

Integral Models of Quantum Invariants of Three-Manifolds

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Overview

- 1 Integral Representation of WRT Invariants
- 2 AEC for $\text{WRT}_k(\Sigma(p_1, \dots, p_n))$
- 3 Integral Representation of GPPV Invariants

The results I will be presenting are based on joint projects with

- 1 J. E. Andersen and S. Hindson,
- 2 J.E. Andersen, L. Han, Y. Li, D. Sauzin and S. Sun and
- 3 Y. Murakami.

Classical Chern-Simons Theory

Let $G = \text{SU}(2)$ with Lie algebra \mathfrak{g} . Let M be a 3-manifold and let $\mathcal{A} \cong \Omega^1(M, \mathfrak{g})$ denote the space of G -connections on the trivial principal G -bundle

$$M \times G \rightarrow M.$$

Let $\mathcal{G} \cong C^\infty(M, G)$ denote the space of gauge transformations. Consider the Chern-Simons action $\text{CS} : \mathcal{A}/\mathcal{G} \rightarrow \mathbb{R}/\mathbb{Z}$ given by

$$\text{CS}([A]) = \frac{1}{8\pi^2} \int_M \text{tr}(A \wedge dA + \frac{2}{3}A \wedge A \wedge A) \pmod{\mathbb{Z}}.$$

For a G -connection A , let $F_A := dA + \frac{1}{2}[A \wedge A]$ be the curvature. Then A solves the Euler-Lagrange equation if and only if A is flat

$$\delta_A \text{CS} = 0 \iff F_A = 0.$$

Set

$$\mathcal{M}_{\text{Flat}}(M, G) = \{A \in \mathcal{A} : F_A = 0\}/\mathcal{G}.$$

The Witten-Reshetikhin-Turaev Invariant $\text{WRT}_k(M, L, \mu)$

Let $k \in \mathbb{Z}_+$. Let W_+ denote the set of dominant integral positive weights of \mathfrak{g} , let θ be the longest root (normalized: $\langle \theta, \theta \rangle = 2$) and

$$\Lambda_k := \{\lambda \in W_+ : 0 \leq \langle \lambda, \theta \rangle \leq k\}.$$

For a triple (M, L, μ) of a 3-manifold M with a framed oriented link L and a labelling $\mu \in \Lambda_k^{\pi_0(L)}$, consider the level- k WRT invariant

$$\text{WRT}_k(M, L, \mu) \in \mathbb{C}.$$

This is the mathematical model of Witten's path integral formula for the expectation of the Wilson operator in Chern-Simons theory (where the inclusion $\Lambda_k \hookrightarrow \text{Rep}_{f.d.}(G)$ is implicit):

$$\int_{A \in \mathcal{A}/\mathcal{G}} e^{2\pi i k \text{CS}(A)} \prod_{K \in \pi_0(L)} \text{tr}(\tilde{\mu}_K \circ \text{Hol}_A(K)) \mathcal{D}A.$$

Witten argued that this gives a geometric extension of the colored Jones polynomial to links in general 3-manifolds.

Witten's Asymptotic Expansion Conjecture

Consider the case $L = \emptyset$. Recall: The space of classical solutions $\delta \text{CS}_A = 0$ is given by the moduli space of flat G -connections

$$\mathcal{M}_{\text{Flat}}(M) := \{[A] \in \mathcal{A}/\mathcal{G} : F_A = 0\}.$$

This is a compact space, and we define the finite set

$$\text{CS}(M) := \text{CS}(\mathcal{M}_{\text{Flat}}(M)) \subset \mathbb{R}/\mathbb{Z}.$$

Set $r = k + 2$. Motivated by the path integral picture:

Conjecture 1 (The asymptotic expansion conjecture)

For each $\theta \in \text{CS}(M)$ there exists $W_\theta(x) \in \cup_{m=1}^{\infty} \mathbb{C}((x^{1/m}))$ such that the following large k asymptotic expansion holds

$$\text{WRT}_k(M) \sim \sum_{\theta \in \text{CS}(M)} e^{2\pi i r \theta} W_\theta(r^{-1}).$$

The AEC for Three-Manifolds with colored Links (M, L, μ)

Fix $k_0 \in \mathbb{Z}_+$, $\mu_0 \in \Lambda_{k_0}^{\pi_0(L)}$. Let $k = sk_0$, $s \in \mathbb{Z}_+$, and set $\mu_k = s\mu_0$. Let C_G be the space of conjugacy classes of G . Set $c := \iota_{k_0}(\mu_0) \in C_G^{\pi_0(L)}$ where $\iota_k : \Lambda_k \hookrightarrow C_G^{\pi_0(L)}$ is given by

$$\iota_k(\lambda) = [\exp(\lambda/k)].$$

Let $\mathcal{M}_{\text{Flat}}(M, L, c)$ be the moduli space of flat G -connections on $M \setminus L$ with meridional holonomy around a component $K \in \pi_0(L)$ within the conjugacy class $c_\mu(K)$. Set

$$\text{CS}(M, L, c) := \text{CS}(\mathcal{M}_{\text{Flat}}(M, L, c_\mu)) \subset \mathbb{R}/\mathbb{Z}.$$

Conjecture 2 (The asymptotic expansion conjecture (AEC))

For each $\theta \in \text{CS}(M, L, c)$ there exists $W_\theta(x) \in \cup_{m=1}^{\infty} \mathbb{C}((x^{1/m}))$:

$$\text{WRT}_k(M, L, \mu_k) \sim \sum_{\theta \in \text{CS}(M, L, \mu)} e^{2\pi i r \theta} W_\theta(r^{-1}), \text{ as } k \text{ tends to } +\infty.$$

The AEC is open in general, but proven in some cases including:

- Lens spaces, torus bundles by work of Jeffrey, Garoufalidis, Andersen–Jørgensen.
- Mapping tori of surface diffeomorphisms of finite order in the mapping class group by work of Andersen, Andersen–Jørgensen–Hempel–Martens–McLellan.
- The mapping tori of a surface diffeomorphism $\varphi : \Sigma \rightarrow \Sigma$ of two-manifolds for which $\mathcal{M}_{\text{Flat}}(\Sigma)^\varphi$ is smooth, by work of Charles, Andersen–M, los.
- Surgeries on the figure 8 knot due to work of Charles–Marche, and Andersen–M (unpublished) building on Andersen–Hansen.
- **New: Seifert fibered integral homology spheres** by work of Andersen–Han–Li–M–Sauzin–Sun as explained later today. .

Analytic Continuation and Chern-Simons Theory

- **Analytic Continuation:** Witten and Garoufalidis independently argued that resurgence appears through analytic continuation of the partition function as a function of k

$$k \mapsto \int_{\mathcal{A}/\mathcal{G}} e^{2\pi i k \text{CS}_{\mathcal{D}}}.$$

- **Quest:** We seek an analytic continuation of WRT invariants of triples (M, L, μ_k) as a function of the level

$$k \mapsto \text{WRT}_k(M, L, \mu_k)$$

which illuminates the asymptotic expansion conjecture and the connection to Chern-Simons with complex gauge group

$$G_{\mathbb{C}} = \text{SL}(2, \mathbb{C}).$$

- **Approach:** R -matrix formula for WRT invariants and analytic continuation of the R -matrix via Faddeev's quantum dilog.

The Colored Jones Polynomial $J(L, \mu, t_r) \in \mathbb{Z}[t_r^\pm]$

Colored Jones: Set $t_r = e^{\pi i/(2r)}$. For a framed oriented link $L \subset S^3$ and $\mu \in \Lambda_k^{\pi_0(L)}$ consider the colored Jones polynomial

$$J(L, \mu, t_r) \in \mathbb{Z}[t_r^\pm].$$

Quantum Integers: Identify $\Lambda_k = \{1, \dots, r-1\}$. For $n \in \Lambda_k$ define the quantum integer and quantum factorial

$$[n]_r := (t_r^{2n} - t_r^{-2n}) / (t_r^2 - t_r^{-2}), \quad [n]_r! := \prod_{j=1}^n [j]_r.$$

WRT-prefactor: Let σ_L be the signature of the linking matrix and

$$\alpha_r(L) := \exp\left(\frac{\sigma_L 3\pi i(2-r)}{4r}\right) \left(\sqrt{\frac{2}{r}} \sin\left(\frac{\pi}{r}\right)\right)^{\pi_0(L)+1}.$$

Surgery: There exists a pair of disjoint framed oriented links $L_i \subset S^3, i = 1, 2, \nu(L_1) \cong \cup_{j=1}^m (S^1 \times B^2)_j$, with an orientation-preserving diffeomorphism $(M, L) \cong (S^3_{L_1}, L_2)$. Here

$$S^3_{L_1} := \left(S^3 \setminus \nu(L_1) \cup_{j=1, \dots, m} (B^2 \times S^1)_j \right) / \sim,$$

where, for $j = 1, \dots, m$, we identify $\partial(S^1 \times B^2)_j = \partial(B^2 \times S^1)_j$.

Definition 1 (Reshetikhin–Turaev)

$$\text{WRT}_k(M, L, \mu) = \alpha_r(L_1) \sum_{\lambda \in \Lambda_k^{\pi_0(L_1)}} J_{\lambda \cup \mu}(L_1 \cup L_2, t_r) \prod_{K \in \pi_0(L_1)} [\lambda_K]_r.$$

The pair L_1, L_2 is unique up to Kirby equivalence, and Reshetikhin and Turaev proved $\text{WRT}_k(M, L, \mu)$ is an invariant of the Kirby class.

Let $U = U_{q_r}(\mathfrak{sl}(2, \mathbb{C}))$, $q_r = e^{2\pi i/r}$. Let $R \in U \otimes U$ be the universal R -matrix. For $m \in \Lambda_k$, let $l_m = (m - 1)/2$, let $B_m = \{-l_m, \dots, l_m\}$ and let $V^{(m)} = \mathbb{C}^{B_m}$ with basis $(e_j^{(m)})_{j \in B_m}$. For $n, m \in \Lambda_k$, the R -matrix induces an isomorphism

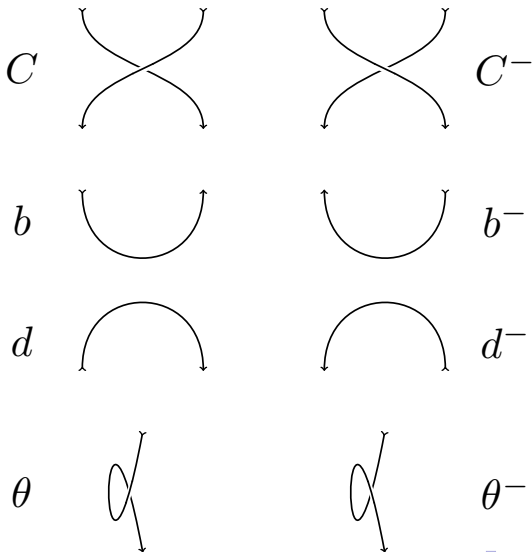
$$C_{r,n,m} : V^{(n)} \otimes V^{(n)} \rightarrow V^{(m)} \otimes V^{(n)}.$$

For all $i, w \in B_n$ and $j, v \in B_m$, define $C_r^\pm(n, m, i, j, v, w) \in \mathbb{C}$ to be the matrix coefficient of $C_{r,n,m}$ w.r.t. these basis elements, i.e. (second equation is proven by Reshetikhin-Turaev)

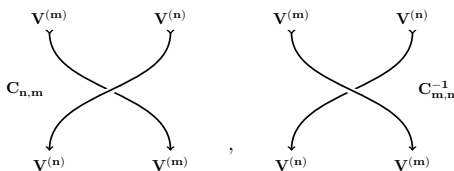
$$C_r^\pm(n, m, i, j, v, w) := (e_v^{(m)} \otimes e_w^{(n)})^* C_{r,n,m}^\pm (e_i^{(n)} \otimes e_j^{(m)}) = \begin{cases} \frac{(\pm(s-\bar{s}))^{\pm(w-i)}}{[\pm(w-i)]!} \frac{[l_n \pm w]!}{[l_n \pm i]!} \frac{[l_m \mp v]!}{[l_m \mp j]!} t^r P_\pm(i, j, v, w) \delta_{v+w}^{i+j}, & \text{if } \pm(w-i) \geq 0, \\ 0 & \text{otherwise,} \end{cases}$$

$$P_\pm(i, j, v, w) = \pm(4ij - 2(w-i)(i-j) - (w-i)(w-i \pm 1)).$$

The R -matrix approach to $J(L, \mu, t_r)$ is combinatorial and depends on a decomposition of a link diagram into elementary tangles



In the R -matrix approach to the colored Jones polynomial $J(L, \mu, t_r)$, one associates R -matrices to crossings:



Let E be the set of edges of the graph of a link diagram D of L . Recall B_m is the basis index of $V^{(m)}$ for $m \in \Lambda$. Set

$$B_\mu := \times_{e \in E} B_{\mu_e}.$$

Each crossing $c \in C$ has a sign $\epsilon_c \in \{\pm\}$, two colors $\mu_c \in \Lambda_k^2$ and four edges $x_c \in E^4$. The R -matrix approach equals $J(L, \mu, t_r)$ to

$$\sum_{x \in B_\mu} \prod_{c \in C} C_r^{c\epsilon}(\mu_c, x_c) \prod_{\theta \in \text{Twists}} t_r^{\epsilon_\theta(\lambda_\theta^2 - 1)} \prod_{u \in \cup^-} t_r^{-4x_u} \prod_{n \in \cap^-} t_r^{4x_n}.$$

Faddeev's quantum dilog: Let $\gamma = \pi/r, \in \mathbb{C}, \text{Re}(r) \geq 2$ and set

$$S_\gamma(z) := \exp \left(\int_{\mathbb{R}(+) } \frac{e^{zy}}{4 \sinh(\pi y) \sinh(\gamma y) y} dy \right), |\text{Re}(z)| < \gamma + \pi,$$

$$\tilde{R}_r(z) := \exp \left(\frac{\pi i z(z+1)}{2r} \right) \frac{S_\gamma(\pi - (2z+1)\gamma)}{S_\gamma(\pi - \gamma)}.$$

The following equations holds, where (1) holds for $\zeta \in \mathbb{C}$ with $|\text{Re}(\zeta)| < \pi$ and (2) is a consequence and holds for $m \in \Lambda_k$

$$(1 + e^{i\zeta})S_\gamma(\zeta + \gamma) = S_\gamma(\zeta - \gamma), \quad (1)$$

$$[m]_r! = \tilde{R}_r(m)((i2 \sin(\gamma)))^{-m}. \quad (2)$$

The first extends S_γ (and consequently \tilde{R}_r) to a meromorphic function on all of \mathbb{C} . We have

$$\text{Pole divisor of } z \mapsto \tilde{R}_r(z): \quad \mathcal{P}(r) = \mathbb{Z}_{\leq -1} + r\mathbb{Z}_{\leq 0},$$

$$\text{Zero divisor of } z \mapsto \tilde{R}_r(z): \quad \mathcal{Z}(r) = \mathbb{Z}_{\geq 0} + r\mathbb{Z}_{\geq 1}.$$

Let $y = (y_1, y_2)$, resp. $x = (x_1, x_2, x_3, x_4)$, be coordinates on \mathbb{C}^2 , resp. \mathbb{C}^4 . Set $z_j = (y_j - 1)/2$ and $\tilde{x} = x_1 + x_2 - x_3 - x_4$.

$$Q_r^\pm(x) := \pm \frac{2x_1x_2 - (x_4 - x_1)(x_1 - x_2)}{r} + \frac{x_3 - x_2 \mp (x_1 - x_4)}{2},$$

$$R_r^\pm(y, x) := \frac{\tilde{R}_r(z_1 \pm x_4)\tilde{R}_r(z_2 \mp x_3) \exp(\pi i Q_r^\pm(x))}{\tilde{R}_r(\pm(x_4 - x_1))\tilde{R}_r(z_1 \pm x_1)\tilde{R}_r(z_2 \mp x_2)} \times \frac{\tilde{R}_r(0)}{\tilde{R}_r(-(\tilde{x})^2)}.$$

Lemma 2 (Andersen–M–Hindson)

For all $n, m \in \Lambda_k, i, w \in B_n, j, v \in B_m$ it holds that

$$C_r^\pm(n, m)_{i,j}^{v,w} = R_r^\pm(n, m, i, j, v, w).$$

The right hand side is a meromorphic function of (n, m, i, j, v, w) and depends analytically on r .

Recall the surgery link $(L_1, L_2) : (M, L) \cong (S_{L_1}^3, L_2)$. Let $D = D_1 \cup D_2$ be a diagram of $L_1 \cup L_2$. Set $\mathbb{C}_y^{\pi_0(L_1)} \times \mathbb{C}_x^E = \mathbb{C}^d$. Define $\Omega_r(D, D_2, \mu) \in \mathcal{M}(\wedge^d(\mathbb{C}^d))$ to be equal to

$$\alpha_r(L_1) \prod_{c \in C} R_r^{\epsilon_c}(y_c, x_c) \prod_{k \in K} t_r^{\epsilon_k(y_k^2 - 1)} \prod_{u \in U^-} t_r^{-4x_u} \prod_{n \in N^-} t_r^{4x_n} \\ \bigwedge_{e \in E} \frac{\cot(\pi(x_e - z_e)) dx_e}{2\pi i} \bigwedge_{K \in \pi_0(L_1)} \frac{\cot(\pi y_K) \sin(\gamma y_K) dy_K}{\sin(\gamma) 2\pi i} \Big|_{y_2 = \mu}.$$

Let $S_r \subset \mathbb{C}^{\pi_0(L_1)}$ be the $|\pi_0(L)|$ -torus enclosing $[1, r - 1]^{|\pi_0(L_1)|}$. Let $T_r \subset \mathbb{C}^d$ be the fibre bundle over S_r with fibre over $y \in S_r$ given by the $|E|$ -torus enclosing $\times_{e \in E} [-z_e(y), z_e(y)]$.

Theorem 3 (Andersen–M–Hindson)

$$\text{WRT}_k(M, L, \mu) = \int_{T_r} \Omega_r(D, D_2, \mu).$$

The semi-classical approximation of Faddeev's quantum dilog:

$$\text{Li}_2(z) = - \int_0^z \frac{\log(1-u)}{u} du,$$

$$S_\gamma(\zeta) \approx \exp\left(\frac{r}{2\pi i} \text{Li}_2(-e^{i\zeta})\right).$$

Using this approximation leads to a formal semi-classical approximation of $\text{WRT}_k(M, L, \mu_k)$ of the form

$$I(r) = \int \exp(2\pi i r W(D, D_2, \mu)) \text{Vol}$$

where $W(D, D_2, \mu)$ is holomorphic. Let $\Sigma(D, D_2, \mu)$ denote the set of critical values of the phase function $W(D, D_2, \mu)$.

Conjecture 3 (Andersen–M–Hindson)

$$\text{CS}(M, L, G_{\mathbb{C}}, c) \subset \Sigma(D, D_2, \mu) \pmod{\mathbb{Z}}.$$

This is expected from the resurgence picture and builds on work of Yoon, and on work of Garoufalidis–Thurston–Zickert.

The AEC for Seifert Fibered Homology Spheres

Let $n \geq 3$, let $(p_j, q_j)_{j=1}^n \subset \mathbb{Z}_+ \times (\mathbb{Z} \setminus \{0\})$ with $(p_j, p_l) = (p_j, q_j) = 1$ and $P \sum_{j=1}^n \frac{q_j}{p_j} = 1$, where $P := p_1 \cdots p_n$. Consider the associated Seifert fibered homology sphere

$$X = \Sigma(p_1, \dots, p_n).$$

Theorem 4 (Andersen–Han–Li–M–Sauzin–Sun)

The AEC holds for X , where $n \geq 3$. Moreover, for each $\theta \in \text{CS}(X) \setminus \{0\}$, we have that $r^{-1/2} W_\theta(r^{-1}) \in \mathbb{C}[r]$.

Our proof builds on work of Andersen–M and Han–Li–Sauzin–Sun, and involves the Gukov–Putrov–Pei–Vafa invariant:

$$\widehat{Z}(X, q) \in q^{\Delta_X} \mathbb{Z}[[q]], \Delta_X \in \mathbb{Q}.$$

In the following, we outline the proof.

The Lawrence–Rozansky formula

Let y be a complex variable, set $g(y) := iy^2/(8\pi P)$ and define

$$F(y) = (e^{y/2} - e^{-y/2})^{2-r} \prod_{j=1}^r (e^{y/(2p_j)} - e^{-y/(2p_j)}).$$

Consider the oriented contour $C' := \mathbb{R}e^{\pi i/4} \subset \mathbb{C}$. LR showed

$$\text{WRT}_k(X) = \int_{C'} \frac{F(y)e^{rg(y)}}{2\pi i} dy - \sum_{m=1}^{2P-1} \text{Res} \left(\frac{F(y)e^{rg(y)}}{1 - e^{-ry}}, y = 2\pi im \right).$$

There exists polynomials $p_m(x) \in \mathbb{C}[x]$ such that

$$- \sum_{m=1}^{2P-1} \text{Res} \left(\frac{F(y)e^{rg(y)}}{1 - e^{-ry}}, y = 2\pi im \right) = \sum_{m=1}^{2P-1} e^{rg(2\pi im)} p_m(r).$$

Let W_0 be the Ohtsuki series of X . Let \mathcal{B} be the Borel transform. Set $q = e^{2\pi i\tau}$, $\tau \in \mathbb{H}$. Set $c = \sqrt{2\pi i\overline{P}}$. Define $(\tilde{\chi}(m))_{m=m_0}^{\infty} \subset \mathbb{Z}$ by

$$G(z) := (z^P - z^{-P})^{2-n} \prod_{j=1}^n (z^{\frac{P}{p_j}} - z^{-\frac{P}{p_j}}) = (-1)^n \sum_{m=1}^{\infty} \tilde{\chi}(m) z^m.$$

Joint with Andersen we showed in a paper from 2022 the following

$$\mathcal{B}(W_0)(\zeta) = \frac{4c}{\pi i \sqrt{\zeta}} G\left(e^{\frac{c\sqrt{\zeta}}{P}}\right), \quad \text{CS}_{\mathbb{C}}^*(X) = \frac{i}{2\pi} \mathcal{P}(\mathcal{B}(W_0)) \pmod{\mathbb{Z}},$$

$$\widehat{Z}(X, q) = \sum_{m=1}^{\infty} \tilde{\chi}(m) q^{\frac{m^2}{2P}} = v.p. \frac{\lambda}{\sqrt{\tau}} \int_{i\mathbb{R}_+} e^{-\frac{\xi}{\tau}} \mathcal{B}(W_0)(\xi) d\xi,$$

$$\text{WRT}_k(X) = \lim_{q \rightarrow e^{2\pi i/r}} \widehat{Z}(X, q).$$

This builds on Lawrence–Rozansky 95, Lawrence–Zagier 97 and Gukov–Mariño–Putrov 16.

The above theorem shows in particular, that $\widehat{Z}(M, q)$ is essentially of the following form, where $j, N \in \mathbb{N}$ and $g : \mathbb{Z} \rightarrow \mathbb{C}$ is N -periodic

$$\Theta(\tau, g, j) := \sum_{m \geq 1} m^j g(m) \exp\left(\frac{2\pi i \tau m^2}{N}\right), \quad \tau \in \mathbb{H}.$$

Resurgence and quantum modularity properties of such series are proven by Han, Li, Sauzin and Sun (2023).

Definition 5 (Zagier 2010)

Let $\Gamma \subset \text{SL}(2, \mathbb{Z})$ be a subgroup, let $\mathcal{Q} \subset \mathbb{Q}$ be preserved by Γ and let $h \in \frac{1}{2}\mathbb{Z}$. A strong quantum modular form on (Γ, \mathcal{Q}, h) is a map

$$f : \mathcal{Q} \rightarrow \mathbb{C}[[x]], \quad \alpha \mapsto f_\alpha(x),$$

such that for all $\alpha \in \mathcal{Q}, \gamma \in \Gamma$, there is an analytic function g_γ on $\mathbb{R} \setminus D$, with D being finite, with the following Taylor series at α

$$g_\gamma(x + \alpha) := f_\alpha(x) - (c(\alpha + x) + d)^{-h} f_{\gamma(\alpha)}(\gamma(x + \alpha) - \gamma(\alpha)).$$

For any root of unity ξ , let $W(X, \xi) \in \mathbb{Q}[\xi]$ be Habiro's extension of the WRT invariant, i.e. $W(X, e^{2\pi i/r}) = \text{WRT}_k(X)$, $r = k + 2$.

Theorem 6 (Andersen–Han–Li–M–Sauzin–Sun)

There is a family of explicitly defined resurgent formal series $\widehat{Z}_\alpha(x)$, $\alpha \in \mathbb{Q}$, such that the following asymptotic expansion holds

$$\widehat{Z}(X, \tau) \sim \widehat{Z}_\alpha(\tau - \alpha), \text{ as } \tau \rightarrow \alpha.$$

Further, for all $\alpha \in \mathbb{Q} \setminus \mathbb{Z}$ we have that

$$\lim_{\tau \rightarrow \alpha} \widehat{Z}(X, \tau) = \text{WRT}_k(X, e^{2\pi i \alpha}),$$

and $\mathbb{Q} \ni \alpha \mapsto \widehat{Z}_\alpha \in \mathbb{C}[[x]]$ is a strong higher depth quantum modular form with congruence subgroup $\Gamma_1(4P)$.

Towards proving the AEC we identify $\mathcal{M}(X) := \mathcal{M}_{\text{Flat}}(X, G)$ with a union of moduli spaces of flat G -connections on the Seifert surface (a compact oriented genus 0 surface with n marked points)

$$\Sigma_{0,n} = X/U(1)$$

with special holonomy around the boundary circles $\partial_j, j = 1, \dots, n$, of discs centered at the exceptional orbits of $X \rightarrow \Sigma_{0,n}$.

Definition 7 (Label set for boundary holonomies)

Let $\mathfrak{R}(p_1, \dots, p_n)$ be the set of $l = (l_1, \dots, l_n) \in \times_{j=1}^n \{0, \dots, p_j\}$:

- ① For $j \geq 2$ we have that l_j is even. Let t_l denote the number of indices $j \in \{1, \dots, n\}$ with $l_j = 0 \pmod{p_j}$. Then $t_l \leq n - 3$.
- ② For every $J \subset \{1, \dots, n\}$ with odd cardinality, we have that

$$\sum_{j \in J} \frac{p_j - l_j}{p_j} + \sum_{j \in \{1, \dots, n\} \setminus J} \frac{l_j}{p_j} > 1.$$

For $l \in \mathbb{Z}^n, j \in \{1, \dots, n\}$, set $C_j^{(l)} := \text{diag}(e^{\pi i l_j / p_j}, e^{-\pi i l_j / p_j})$ and

$$\mathcal{M}(\Sigma_{0,n}, C^{(l)}) := \mathcal{M}_{\text{Flat}}(\Sigma_{0,n}) \bigcap_{j \in \{1, \dots, n\}} \text{hol}_{\partial_j}^{-1}([C_j^{(l)}]).$$

Let T be the trivial connection. Then $\mathcal{M}(X) = \mathcal{M}^{\text{Irr}}(X) \sqcup \{T\}$

Theorem 8 (Andersen–Han–Li–M–Sauzin–Sun)

For all $l \in \mathfrak{R}(p_1, \dots, p_n)$ we have a non-empty connected moduli space

$$\mathcal{M}(\Sigma_{0,n}, C^{(l)}) = \mathcal{M}^{\text{Irr}}(\Sigma_{0,n}, C^{(l)}) \neq \emptyset.$$

Pullback with respect to $\Sigma_{0,n} \hookrightarrow X$ induces a homeomorphism

$$\mathcal{M}^{\text{Irr}}(X) \cong \bigsqcup_{l \in \mathfrak{R}(p_1, \dots, p_n)} \mathcal{M}^{\text{Irr}}(\Sigma_{0,n}, C^{(l)}).$$

This builds on work of Jeffrey.

Let $\mathfrak{R}_{\mathbb{C}}(p_1, \dots, p_n)$ be the set of $l \in \times_{j=1}^n \{0, \dots, p_j\}$ satisfying the first of the two conditions defining $\mathfrak{R}(p_1, \dots, p_n)$. Let $d = n - 3$

Theorem 9 (Andersen–M 22, (building on Kirk–Klassen 90))

We have that $\pi_0(\mathcal{M}_{\text{Flat}}(X, G_{\mathbb{C}})) \cong \mathfrak{R}_{\mathbb{C}}(p_1, \dots, p_n)$ and:

$$\text{CS}(\rho_l) = -\frac{P}{4} \left(\sum_{j=1}^n l_j/p_j \right)^2 \in \mathbb{Q}/\mathbb{Z}, \quad \forall l \in \mathfrak{R}_{\mathbb{C}}(p_1, \dots, p_n).$$

Further, the set $\text{CS}_{\mathbb{C}}(X) \setminus \{0\}$ is equal to

$$\left\{ -\frac{m^2}{4P} \in \mathbb{Q}/\mathbb{Z} : m \in \mathbb{Z}, \text{ is divisible by at most } d \text{ of the } p'_j\text{'s.} \right\}$$

and if p_1, \dots, p_j are all primes, the Chern-Simons action induces a bijection

$$\mathfrak{R}_{\mathbb{C}}(p_1, \dots, p_n) \rightarrow \text{CS}_{\mathbb{C}}(X) \setminus \{0\}.$$

Conclusion: Using

- The identification $\pi_0(\mathcal{M}_{\text{Flat}}(X, G)) \cong \mathfrak{R}(p_1, \dots, p_n)$,
- the formula $\text{CS}(\rho_l) = -\frac{P}{4} \left(\sum_{j=1}^n l_j/p_j \right)^2$,
- the result $\lim_{q \rightarrow q_r} \widehat{Z}(X, q) = \text{WRT}_k(X)$,
- and an analysis of $\widehat{Z}(X, q)$ in the limit $q \rightarrow e^{2\pi i/r}$,

we arrive at

Theorem 10 (Andersen–Han–Li–M–Sauzin–Sun)

The asymptotic expansion conjecture holds for X and the WRT invariant admits an asymptotic expansion of the form

$$\text{WRT}_k(X) \sim \sum_{\theta \in \text{CS}(X)} e^{2\pi i r} W_\theta(1/r),$$

where W_0 is the Ohtsuki series and $r^{-1/2}W_\theta(r^{-1}) \in \mathbb{C}[r]$ for all non-zero Chern-Simons invariants θ .

The Gukov–Pei–Putrov–Vafa invariant: of a plumbed 3-manifold M with a plumbing graph (tree) Γ with a negative definite linking matrix B and a choice of $s \in \text{spin}^c(M)$ is a q -series convergent for $|q| < 1$

$$\widehat{Z}_s(M, q) \in q^{\Delta_s} \mathbb{Z}[[q]], \quad \Delta_s \in \mathbb{Q}.$$

Residue formula: For each vertex v set $F_v(z) = (z - \frac{1}{z})^{2-\text{deg}(v)}$. Set $\delta = (\text{deg}(v))_{v \in V}$. Let $a \in (\mathbb{Z}^V + \delta)/2B\mathbb{Z}^V \cong \text{spin}^c(M)$.

$$\widehat{Z}_a(M, q) := q^{\frac{\psi}{4}} \cdot v.p. \oint_{|z_v|=1} \prod_{v \in V} \frac{dz_v}{2\pi i z_v} F_v(z_v) \Theta_a^{-B}(\vec{z}),$$

$$\Theta_a^{-B}(\vec{z}) := \sum_{\vec{l} \in 2B\mathbb{Z}^s + a} q^{-\frac{(\vec{l}, B^{-1}\vec{l})}{4}} \prod_{v \in V} z_v^{l_v}.$$

Topological invariance: This was proven to be a topological invariant of (M, a) by Gukov-Manolescu.

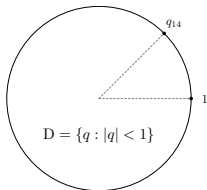
The following theorem was conjectured by GPPV, where

$$\widehat{Z}_r(M; \tau) := \sum_{b \in (\mathbb{Z}^V + \delta) / 2B\mathbb{Z}^V} z_r(b) \cdot \widehat{Z}_b(M, e(\tau + 1/r)),$$

$$z_r(b) := \frac{1}{2(q_{2r} - q_{2r}^{-2}) \sqrt{\det(B)}} \sum_{a \in \mathbb{Z}^V / B\mathbb{Z}^V} e(-ra^t B^{-1} a - a^t B^{-1} b).$$

Theorem 11 (Murakami, 24)

$$\lim_{\tau \rightarrow 0} \widehat{Z}_r(M; \tau) = \text{WRT}_k(M).$$



WRT: $\text{WRT}(M) : \mathbb{N} \rightarrow \mathbb{C}$

GPPV: $\widehat{Z}_b(M) : \mathbb{D} \rightarrow \mathbb{C}$

Analytic Continuation:

$$\sum_b z_r(b) \widehat{Z}_b(M, q_r) = \text{WRT}_k(M)$$

Non-semisimple Quantum Invariants: Let M be a negative definite plumbed 3-manifold. Consider the non-semisimple invariant defined by Costantino, Geer, Patereau & Mirand ($r \in \mathbb{Z}_+ \setminus 4\mathbb{Z}_+$)

$$N_r(M, \omega) \in \mathbb{C}, \quad \omega \in H^1(M, \mathbb{Q}/2\mathbb{Z}) \setminus H^1(M, \mathbb{Z}/2\mathbb{Z}).$$

For each $s \in \text{Spin}^c(M)$ let $z_r(\omega, s) \in \mathbb{C}$ be a certain constant defined in Constantino–Gukov–Putrov 2023. Set

$$\widehat{Z}_r(M, \omega; \tau) := \sum_{s \in \text{Spin}^c(M)} z_r(\omega, s) \widehat{Z}_s(M, e(\tau + 1/r)).$$

The following was conjectured by Costantino–Gukov–Putrov and proven by them for the smaller class of Y -shaped plumbing graphs

Theorem 12 (M–Murakami)

For any sector $S \subset \mathbb{H}$ the following limit holds as $\tau \in S$ tends to 0

$$\lim_{\tau \rightarrow 0} \widehat{Z}_r(M, \omega; \tau) = N_r(M, \omega).$$

Generating Function. For $v \in V$ let $m_v \in H_1(M)$ be the meridian of the corresponding component of the surgery link.

Define $\tilde{\omega} \in (\mathbb{R}/2\mathbb{Z})^V$ by $\tilde{\omega}_v = \omega(m_v), \forall v \in V$. We can assume that

$$\tilde{\omega}_v \notin \mathbb{Z}/2\mathbb{Z}, \forall v \in V.$$

Let Q denote the quadratic form associated with $-B$ (where B is the linking matrix). Recall $F_v(x) = (x - 1/x)^{2-\deg(v)}, \forall v \in V$, set $\tilde{e} = (1, \dots, 1) \in \mathbb{Z}^V$ and $e(x) = e^{2\pi i x}$. Define

$$G_{\omega,r}(x) := \sum_{\alpha \in \frac{1}{2}(\tilde{\omega} + r\tilde{e}) + \mathbb{Z}^V / r\mathbb{Z}^V} e\left(\frac{-Q(\alpha)}{r}\right) \prod_{v \in V} F_v\left(e\left(\frac{\alpha_v}{r} + \frac{x_v}{2\pi i}\right)\right).$$

Then

$$G_{\omega,r}(0) = N_r(M, \omega).$$

The Pole Divisor: of $x \mapsto G_{\omega,r}(ix)$ is

$$\mathcal{P}_{\omega,r} = \bigcup_{\substack{v \in V: \deg(v) \geq 3, \\ \alpha \in \frac{1}{2}(\tilde{\omega} + r\tilde{e}) + \mathbb{Z}^m / r\mathbb{Z}^m}} \left\{ x \in \mathbb{C}^V : \frac{2\pi i \alpha_v}{r} + ix_v \in \pi i \mathbb{Z} \right\} \subset \mathbb{R}^V.$$

Gaussian Reciprocity (version due to Deloup and Turaev)

Let L be a lattice of finite rank n equipped with a non-degenerate symmetric \mathbb{Z} -valued bilinear form $\langle \cdot, \cdot \rangle$. Consider the dual lattice

$$L' := \{y \in L \otimes \mathbb{R} \mid \langle x, y \rangle \in \mathbb{Z} \text{ for all } x \in L\}$$

Let $0 < m \in |L'/L| \mathbb{Z}$, $u \in \frac{1}{m}L$, and $h : L \otimes \mathbb{R} \rightarrow L \otimes \mathbb{R}$ be a self-adjoint automorphism such that

$$h(L') \subset L', \quad \text{and} \quad \frac{m}{2} \langle y, h(y) \rangle \in \mathbb{Z}, \quad \forall y \in L'.$$

Let σ be the signature of $x \mapsto \langle x, h(x) \rangle$. Recall $e(x) := e^{2\pi i x}$. Then the following holds

$$\sum_{x \in L/mL} e\left(\frac{1}{2m} \langle x, h(x) \rangle + \langle x, u \rangle\right) = \frac{e(\sigma/8)m^{n/2}}{\sqrt{|L'/L| |\det h|}} \sum_{y \in L'/h(L')} e\left(-\frac{m}{2} \langle y + u, h^{-1}(y + u) \rangle\right).$$

In CGP23 the conjecture is proven for Γ being Y -shaped and assuming an open condition on B . The key step is an application of Gaussian reciprocity. Following this and using ideas from Murakami 2024, we prove the following, where, for each $\nu \in \{\pm 1\}^V$, we define the linear map $I_\nu : \mathbb{C}^V \rightarrow \mathbb{C}^V$, the quadratic form $Q_\nu^{-1} : \mathbb{C}^V \rightarrow \mathbb{C}$ and the sequence $\{G_{\omega,r,\ell}^\nu\} \subset \mathbb{C}$ as follows

$$I_\nu((x_v)_{v \in V}) := (\nu_v x_v)_{v \in V}, \quad Q_\nu^{-1}(x) := -x^t I_\nu^t B^{-1} I_\nu x,$$

$$G_{\omega,r}(x) = \sum_{\ell \in (\deg(v))_{v \in V} + 2\mathbb{Z}_{\geq -1}^V} G_{\omega,r,\ell}^\nu \exp(I_\nu(\ell)^t x).$$

Lemma 13 (M-Murakami)

$$\widehat{Z}_r(M, \omega; \tau) = 2^{-|V|} \sum_{\nu \in \mu_2^V} \sum_{\ell \in (\deg(v))_{v \in V} + 2\mathbb{Z}_{\geq -1}^V} G_{\omega,r,\ell}^\nu e^{\left(\frac{\tau Q_\nu^{-1}(\ell)}{4}\right)}.$$

Gaussian integration: Let $B' \in M_{m \times m}(\mathbb{C})$ be a symmetric and non-degenerate $m \times m$ matrix with positive definite imaginary part, and let $w \in \mathbb{C}^m$. Then

$$\int_{\mathbb{R}^m} \exp\left(\frac{i}{2}x B' x + i w x\right) d^m x = \sqrt{\frac{(2\pi i)^m}{\det(B')}} \exp\left(-\frac{i}{2}w(B')^{-1}w\right).$$

Stationary phase: Let $B' \in M_{m \times m}(\mathbb{C})$ be a symmetric non-degenerate $m \times m$ matrix with semi-positive definite real part. Let $G \in C_0^\infty(\mathbb{R}^m; \mathbb{C})$. For each $j \in \{1, \dots, m\}$ define $D_j := -i \frac{\partial}{\partial x_j}$, and define $D_B := \sum_{i,j} (B^{-1})_{i,j} D_i D_j$. For every sector $S \subset \mathbb{H}$ the following Poincaré asymptotic expansion holds as $\tau \in S$ tends to 0 and $\rho = 2\pi i \tau$

$$\left(\det\left(\frac{-B'}{\pi\rho}\right)\right)^{1/2} \int_{\mathbb{R}^m} \exp\left(\frac{x^t B' x}{\rho}\right) G(x) dx \sim \sum_{l=0}^{\infty} \rho^l \frac{D_B^l(G)}{4^l l!}(0).$$

Let $\varepsilon > 0$ be a small positive parameter. For each $\nu \in \mu_2^V = \{-1, 1\}^V$, define $\Gamma_\nu := i\varepsilon\nu + \mathbb{R}^V$. An application of Gaussian integration to Lemma 14 gives the result below, where

$$\widehat{Z}_{\omega,r,l} := \frac{1}{4^l l!} \left(\sum_{v,w \in V} B_{v,w}^{-1} \frac{\partial}{\partial x_v} \frac{\partial}{\partial x_w} \right)^l (G_{\omega,r})(0), \quad \forall l \in \mathbb{Z}_{\geq 0}.$$

Theorem 14 (M–Murakami)

The integral representation (3) holds, and for any sector $S \subset \mathbb{H}$ an application of the method of steepest descent to the right hand side of (3) gives the asymptotic expansion (4) as $\tau \in S$ tends to 0

$$\widehat{Z}_r(M, \omega; \tau) = \sum_{\nu \in \mu_2^V} \left(\frac{\det(B)}{(8\pi^2 i\tau)^{|V|}} \right)^{\frac{1}{2}} \int_{\Gamma_\nu} \exp\left(\frac{Q(x)}{2\pi i\tau}\right) G_{\omega,r}(ix) dx, \quad (3)$$

$$\widehat{Z}_r(M, \omega; \tau) \sim N_r(M, \omega) + \sum_{l=1}^{\infty} \widehat{Z}_{\omega,r,l} (2\pi i\tau)^l. \quad (4)$$

Generalization to WRT Invariants

Consider the WRT invariant $\text{WRT}_k(M)$ and $\widehat{Z}_r(M; \tau)$. Let

$$G_r(x) := \sum_{\alpha \in \mathbb{Z}^V / (2r\mathbb{Z})^V} e\left(\frac{-Q(\alpha)}{4r}\right) \prod_{v \in V} F_v(t_r^{2\alpha_v} e^{x_v}).$$

In comparison with $G_{\omega, r}$, it is now non-trivial to show that the principal part at $x = 0$ vanishes, and that $G_r(0) = \text{WRT}_k(M)$. This is done by Murakami 2024. Our method then gives

Theorem 15 (M–Murakami)

$$\widehat{Z}_r(M; \tau) = \sum_{\nu \in \mu_2^V} \left(\frac{\det(B)}{(8\pi^2 i \tau)^{|V|}} \right)^{\frac{1}{2}} \int_{\Gamma_\nu} \exp\left(\frac{Q(x)}{2\pi i \tau}\right) G_r(ix) dx,$$

$$\widehat{Z}_r(M; \tau) \sim \text{WRT}_k(M) + \sum_{l=1}^{\infty} \widehat{Z}_{r,l}(2\pi i \tau)^l.$$