

# Order of the $SU(N_f) \times SU(N_f)$ chiral transition

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GF and T. Hatsuda, Phys. Rev. D**110**, 016021 (2024)

GF, Phys. Rev. D**105**, L071506 (2022)

# Introduction

- Chiral phase transition at the physical point: **crossover**
- Quark mass dependence? **Chiral limit? 1st order or 2nd order?**
- QCD Lagrangian without quark masses:

$$\mathcal{L} = -\frac{1}{4}G_{\mu\nu}^a G^{\mu\nu a} + \bar{q}_i (i\gamma^\mu (D_\mu)_{ij}) q_j$$

→  **$SU(3)$**  gauge symmetry

→ exact  **$U_L(N_f) \times U_R(N_f)$**  chiral symmetry

→ anomalous breaking of  **$U_A(1)$**  axial symmetry

- Low temperature: spontaneous breaking  
 **$SU_L(N_f) \times SU_R(N_f) \rightarrow SU_V(N_f)$**

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- Low temperature: spontaneous breaking

$$\mathbf{SU}_L(N_f) \times \mathbf{SU}_R(N_f) \longrightarrow \mathbf{SU}_V(N_f)$$

- Ginzburg-Landau paradigm for second order (or weakly first order) transitions:

i.) there exists a local order parameter  $\Phi$  near the transition

ii.) the (UV) free energy can be expanded in terms of  $\Phi$

iii.) structure of the free energy  $\longleftrightarrow$  symmetries

# Ginzburg–Landau analysis of the chiral transition

- GL theory for the chiral transition:
  - Hubbard-Stratonovich transformation:  $(\Phi)_{ij} \leftrightarrow \bar{q}_L^i q_R^j$
  - integrate out quarks and gluons
  - perform dimensional reduction at finite  $T$
- Chiral transformation:  $\Phi \rightarrow L\Phi R^\dagger$
- The **most general free energy** functional (no anomaly):

$$\Gamma = \int d^3x \left[ m^2 \text{Tr}(\Phi^\dagger \Phi) + g_1 (\text{Tr}(\Phi^\dagger \Phi))^2 + g_2 \text{Tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + \dots \right. \\ \left. + \text{Tr}(\partial_i \Phi^\dagger \partial_i \Phi) + \dots \right]$$

→  $U_A(1)$  anomaly included via:  $a(\det \Phi^\dagger + \det \Phi)$

- 2nd order transitions  $\longleftrightarrow$  scale invariance (RG fixed point)
- Can the system show scaling behavior?
  - Is there an RG fixed point with **one relevant direction**?

# Ginzburg–Landau analysis of the chiral transition

- Pisarski & Wilczek analysis of the Ginzburg–Landau theory<sup>1</sup>:
  - one-loop calculation of the  $\beta$  functions (no anomaly)
  - counterterms for  $g_1$ ,  $g_2$ :

$$\delta g_1, \delta g_2 \sim \text{diagram}$$


- Results ( $\epsilon$ -expansion,  $\epsilon = 4 - d$ ):

$$\beta_{g_1} = -\epsilon g_1 + \frac{N_f^2 + 4}{4\pi^2} g_1^2 + \frac{N_f}{\pi^2} g_1 g_2 + \frac{3g_2^2}{4\pi^2}$$
$$\beta_{g_2} = -\epsilon g_2 + \frac{3}{2\pi^2} g_1 g_2 + \frac{N_f}{2\pi^2} g_2^2$$

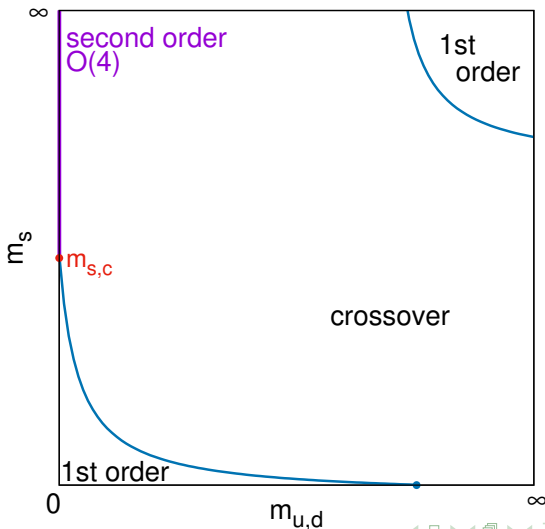
- No infrared stable fixed point at  $T_C$  if  $N_f > \sqrt{3}$ 
  - ⇒ 2nd order transition cannot occur!
- Inclusion of the anomaly: the transition might be 2nd order for  $N_f = 2$  [ $O(4)$  exponents]

<sup>1</sup>R. D. Pisarski and F. Wilczek, Phys. Rev. D **29**, 338 (1984)

# Ginzburg–Landau analysis of the chiral transition

## Columbia plot:

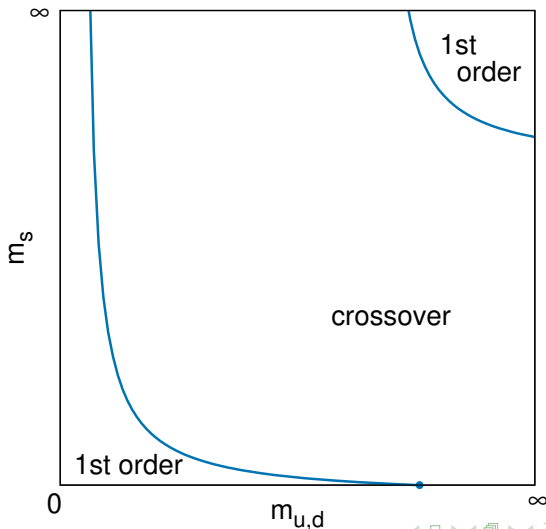
$\epsilon$  expansion with axial anomaly



# Ginzburg–Landau analysis of the chiral transition

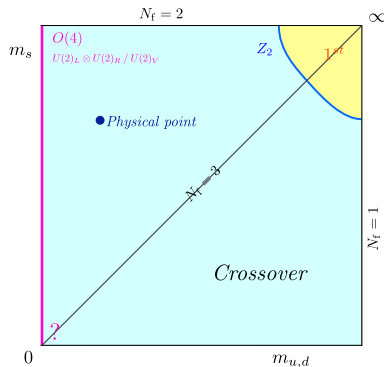
## Columbia plot:

$\varepsilon$  expansion w/o axial anomaly



# Ginzburg–Landau analysis of the chiral transition

- F. Cuteri, O. Philipsen, and A. Sciarra, JHEP **11**, 141 (2021)  
→ chiral transition is second order for all  $N_f$  up to the conformal window



<sup>2</sup>L. Dini et al., Phys. Rev. D105, 034510 (2022)

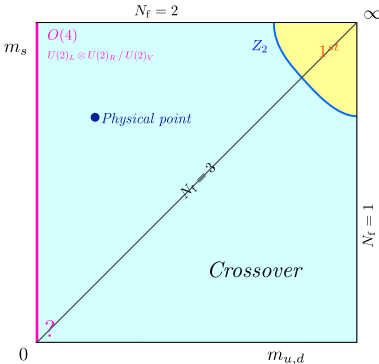
<sup>3</sup>Y. Zhang et al., arXiv:2401.05066

<sup>4</sup>J. Bernhardt and C.-S. Fischer, Phys. Rev. D108, 114018 (2023)

<sup>5</sup>S. R. Kousvos and A. Stergiou, SciPost Phys. **15**, 075 (2023)



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- F. Cuteri, O. Philipsen, and A. Sciarra, JHEP **11**, 141 (2021)  
→ **chiral transition is second order for all  $N_f$  up to the conformal window**
  - Lattice QCD result with highly improved staggered fermions<sup>2</sup>
  - Lattice QCD with Mobius domain wall fermions<sup>3</sup>
  - Dyson-Schwinger approach<sup>4</sup>
  - Conformal bootstrap approach<sup>5</sup>
- 

## Where is the corresponding IR fixed point?

<sup>2</sup>L. Dini et al., Phys. Rev. D **105**, 034510 (2022)

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# Ginzburg–Landau analysis of the chiral transition

- Potential problems with the Pisarski & Wilczek analysis:
  - it uses the field theoretical RG
    - ( $\beta$  functions from UV divergences  $\Rightarrow$  massless)
  - number of (perturbatively) relevant operators are restricted at  $d \approx 4$
- $d = 4$ : operators up to  $\mathcal{O}(\phi^4)$  are not irrelevant
- $d = 3$ : operators up to  $\mathcal{O}(\phi^6)$  are not irrelevant
  - $SU(N_f) \times SU(N_f)$  symmetry allows a richer structure of the free energy in  $d = 3$
- Results of the  $\epsilon$  expansion at LO are insensitive to the introduction of higher order terms
  - an inherently  $d = 3$  approach is important
  - functional renormalization group (FRG)

# Functional Renormalization Group

- Local potential approximation (LPA):

$$\Gamma_k[\Phi] = \int_x \left( \frac{1}{2} \text{Tr} [\partial_i \Phi^\dagger \partial_i \Phi] + V_k(\Phi) \right)$$

- How to build up the most general potential for  $N_f$  flavors?  
→ for  $d = 3$  we need  $\mathcal{O}(\phi^6)$ !
- Independent chiral invariants for  $N_f$  flavors:

$$\begin{aligned} I_1 &= \text{Tr} [\Phi^\dagger \Phi] \\ I_2 &= \text{Tr} [\Phi^\dagger \Phi \Phi^\dagger \Phi] \\ I_3 &= \text{Tr} [\Phi^\dagger \Phi \Phi^\dagger \Phi \Phi^\dagger \Phi] \\ &\dots \\ I_{N_f} &= \text{Tr} [(\Phi^\dagger \Phi)^{N_f}] \end{aligned}$$

→ only  $I_1$ ,  $I_2$  and  $I_3$  enters the potential  
(for  $N_f = 2$ ,  $I_3$  is not independent)

# Chiral transition with the FRG

- The most general chirally symmetric **renormalizable** potential:

$$\begin{aligned} V_{ch}[\Phi] &= m^2 \text{Tr} [\Phi^\dagger \Phi] + g_1 (\text{Tr} [\Phi^\dagger \Phi])^2 + g_2 \text{Tr} [\Phi^\dagger \Phi \Phi^\dagger \Phi] \\ &+ \lambda_1 (\text{Tr} [\Phi^\dagger \Phi])^3 + \lambda_2 \text{Tr} [\Phi^\dagger \Phi] \cdot \text{Tr} [\Phi^\dagger \Phi \Phi^\dagger \Phi] \\ &+ g_3 \text{Tr} [\Phi^\dagger \Phi \Phi^\dagger \Phi \Phi^\dagger \Phi] \end{aligned}$$

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- Possible  $U_A(1)$  breaking terms:

$$I_{\text{det}} = \det \Phi^\dagger + \det \Phi, \quad \tilde{I}_{\text{det}} = \det \Phi^\dagger - \det \Phi$$

→  $\tilde{I}_{\text{det}}^2$  and  $\det \Phi^\dagger \cdot \det \Phi$  are **not independent**

- If  $\Phi$  is too large,  $I_{\text{det}}$  becomes perturbatively irrelevant!  
→  $I_{\text{det}} \sim \mathcal{O}(\phi^6)$
- For  $N_f > 6$  the potential **does not contain the anomaly**

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$$V_A = a \cdot (\det \Phi^\dagger + \det \Phi)$$

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- $N_f = 3$ :

$$V_A = a \cdot (\det \Phi^\dagger + \det \Phi) + b \cdot \text{Tr} [\Phi^\dagger \Phi] (\det \Phi^\dagger + \det \Phi) \\ + a_2 \cdot (\det \Phi^\dagger + \det \Phi)^2$$



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$$V_A = a \cdot (\det \Phi^\dagger + \det \Phi) + b \cdot \text{Tr} [\Phi^\dagger \Phi] (\det \Phi^\dagger + \det \Phi) + a_2 \cdot (\det \Phi^\dagger + \det \Phi)^2$$

- $N_f = 2$ :

$$V_A = a \cdot (\det \Phi^\dagger + \det \Phi) + b_1 \cdot \text{Tr} [\Phi^\dagger \Phi] (\det \Phi^\dagger + \det \Phi) + a_2 \cdot (\det \Phi^\dagger + \det \Phi)^2 + a_3 \cdot (\det \Phi^\dagger + \det \Phi)^3 + b_2 \cdot (\text{Tr} [\Phi^\dagger \Phi])^2 (\det \Phi^\dagger + \det \Phi) + b_3 \cdot (\text{Tr} [\Phi^\dagger \Phi])^3 (\det \Phi^\dagger + \det \Phi) + b_4 \cdot \text{Tr} (\Phi^\dagger \Phi)^2 (\det \Phi^\dagger + \det \Phi)$$

# Chiral transition with the FRG

- Optimized flow equation:

$$k\partial_k V_k = \frac{k^5}{6\pi^2} \text{Tr} [k^2 + V_k^{(2)}]^{-1}$$

- Identification of the scale dependencies:

$$\sum_n k\partial_k g_n \cdot \mathcal{O}_n = \sum_n \frac{k^5}{6\pi^2} [\dots] \cdot \mathcal{O}_n$$

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- Problem:

→  $V_k^{(2)}$  depends on the fields, not invariants!

→  $[k^2 + V_k^{(2)}]$ :  $2N_f^2 \times 2N_f^2$  matrix, **in practice cannot be inverted for a general field configuration**

- Specific background:

$$\Phi = s_0 \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & \dots & \\ & & & 1 \end{pmatrix} + s_L \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & \dots & \\ & & & -(N_f - 1) \end{pmatrix}$$

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- The  $\mathcal{O}_n$  operators become linear combinations:

$$\mathcal{O}_n = \sum_{\alpha+\beta=n} c^{\alpha\beta} s_0^\alpha s_L^\beta$$

→ at each order matching *rhs* and *lhs* leads to coupling flows

- $\beta$  functions: ( $g_n = k^{(6-n)/2} \bar{g}_n$ )

$$\beta_n \equiv k\partial_k \bar{g}_n = -\frac{1}{2}(6-n)\bar{g}_n + k\partial_k g_n / k^{(6-n)/2}$$

# Chiral transition with the FRG

- $\beta$  functions without anomaly:

$$\beta_{m^2} = -2\bar{m}_k^2 - 2 \frac{\bar{g}_{1,k} N_f (N_f^2 + 1) + \bar{g}_{2,k} (N_f^2 - 1)}{3\pi^2 N_f (1 + \bar{m}_k^2)^2},$$

$$\beta_{g_1} = -\bar{g}_{1,k} + 4 \frac{\bar{g}_{1,k}^2 N_f^2 (N_f^2 + 4) + 2\bar{g}_{1,k} \bar{g}_{2,k} N_f (N_f^2 - 1) + 2\bar{g}_{2,k}^2 (N_f^2 - 1)}{3\pi^2 N_f^2 (1 + \bar{m}_k^2)^3} - \frac{3\bar{\lambda}_{1,k} N_f (N_f^2 + 2) + 2\bar{\lambda}_{2,k} (N_f^2 - 1)}{3\pi^2 N_f (1 + \bar{m}_k^2)^2},$$

$$\beta_{g_2} = -\bar{g}_{2,k} + 8 \frac{3\bar{g}_{1,k} \bar{g}_{2,k} N_f + \bar{g}_{2,k}^2 (N_f^2 - 3)}{3\pi^2 N_f (1 + \bar{m}_k^2)^3} - \frac{3\bar{g}_{3,k} (N_f^2 - 4) + \bar{\lambda}_{2,k} N_f (N_f^2 + 4)}{3\pi^2 N_f (1 + \bar{m}_k^2)^2},$$

$$\beta_{\lambda_1} = 4 \frac{\bar{g}_{1,k} N_f^2 (3\bar{\lambda}_{1,k} N_f (N_f^2 + 7) + 2\bar{\lambda}_{2,k} (N_f^2 - 1)) + \bar{g}_{2,k} N_f (N_f^2 - 1) (3N_f \bar{\lambda}_{1,k} + 4\bar{\lambda}_{2,k})}{3\pi^2 N_f^3 (1 + \bar{m}_k^2)^3} - 4 \frac{2\bar{g}_{1,k}^3 N_f^3 (N_f^2 + 13) + 6\bar{g}_{1,k} \bar{g}_{2,k} \bar{g}_{2,k} N_f^2 (N_f^2 - 1) + 12\bar{g}_{1,k} \bar{g}_{2,k}^2 N_f (N_f^2 - 1) + 8\bar{g}_{2,k}^3 (N_f^2 - 1)}{3\pi^2 N_f^3 (1 + \bar{m}_k^2)^4},$$

$$\beta_{\lambda_2} = 4 \frac{\bar{g}_{1,k} N_f (\bar{\lambda}_{2,k} N_f (N_f^2 + 19) + 3\bar{g}_{3,k} (N_f^2 - 4)) + \bar{g}_{2,k} (15\bar{g}_{3,k} (N_f^2 - 4) + N_f (18\bar{\lambda}_{1,k} N_f + \bar{\lambda}_{2,k} (5N_f^2 - 1)))}{3\pi^2 N_f^2 (1 + \bar{m}_k^2)^3} - 4 \frac{72N_f^2 \bar{g}_{1,k}^2 \bar{g}_{2,k} + 6\bar{g}_{1,k} \bar{g}_{2,k}^2 N_f (2N_f^2 + 3) + \bar{g}_{2,k}^3 (24N_f^2 - 90)}{3\pi^2 N_f^2 (1 + \bar{m}_k^2)^4},$$

$$\beta_{g_3} = 4 \frac{5N_f \bar{g}_{1,k} \bar{g}_{3,k} + 4N_f \bar{g}_{2,k} \bar{\lambda}_{2,k} + (2N_f^2 - 17)\bar{g}_{2,k} \bar{g}_{3,k}}{\pi^2 N_f (1 + \bar{m}_k^2)^3} - 4 \frac{54\bar{g}_{1,k} \bar{g}_{2,k} N_f + \bar{g}_{2,k}^3 (4N_f^2 - 54)}{3\pi^2 N_f (1 + \bar{m}_k^2)^4}.$$

- **Fixed points:**  $\beta_i = 0 \forall i$

→ solve for marginal couplings analytically

→ substitute to the relevant couplings

→ find fixed points numerically

→ check stability matrix  $(\partial\beta_i/\partial g_j)$  at the fixed points

$N_f$	FP	$\bar{m}^2$	$\bar{g}_1$	$\bar{g}_2$	RD#
<b>50</b>	$O(2N_f^2)$	-0.33342	0.0017538	0	2
"	$B_2^{50}$	0.040303	-0.0029448	0.12152	2
"	$C_1^{50}$	-0.37509	0.0019579	-0.011198	1
"	$\tilde{C}_1^{50}$	-0.33342	0.0017556	-0.000088291	1
<b>20</b>	$O(2N_f^2)$	-0.33385	0.010939	0	2
"	$B_2^{20}$	0.043192	-0.018915	0.31043	2
"	$C_1^{20}$	-0.38411	0.012287	-0.030728	1
"	$\tilde{C}_1^{20}$	-0.33393	0.011010	-0.0014253	1
<b>10</b>	$O(2N_f^2)$	-0.33492	0.043430	0	2
"	$B_2^{10}$	0.059163	-0.086421	0.68317	2
"	$C_1^{10}$	-0.43356	0.048876	-0.082581	1
"	$\tilde{C}_1^{10}$	-0.33641	0.044669	-0.012667	1
<b>6</b>	$O(2N_f^2)$	-0.33516	0.11855	0	2
"	$B_2^6$	0.40276	-1.23414	3.80527	2
"	$C_1^6$	1.09084	-6.45942	16.76628	1
"	$\tilde{C}_1^6$	-0.34848	0.12934	-0.069536	1

$N_f$	FP	$\bar{m}^2$	$\bar{g}_1$	$\bar{g}_2$	$\bar{a}$	RD#
<b>5</b>	$O(2N_f^2)$	-0.33386	0.16871	0	0	2
"	$\tilde{C}_1^5$	-0.36068	0.19128	-0.12675	0	1
"	$A_3^5$	-0.17023	0.14387	-0.056313	-2.79735	3

$N_f$	FP	$\bar{m}^2$	$\bar{g}_1$	$\bar{g}_2$	$\bar{a}$	RD#
<b>4</b>	$O(2N_f^2)$	-0.32940	0.25800	0	0	3 (2)
"	$\tilde{C}_2^4$	-0.38129	0.31042	-0.25480	0	2 (1)
"	$A_2^4$	-0.34949	0.63992	-1.73326	-3.82052	2
"	$\tilde{A}_2^4$	-0.40273	0.21168	0.17473	-0.73657	2

$N_f$	FP	$\bar{m}^2$	$\bar{g}_1$	$\bar{g}_2$	$\bar{a}$	$\bar{b}$	RD#
<b>3</b>	$O(2N_f^2)$	-0.31496	0.43763	0	0	0	3 (2)
"	$\tilde{C}_2^3$	-0.38262	0.59725	-0.62042	0	0	2 (1)
"	$A_4^3$	-0.01786	0.091631	-0.14148	-0.11900	0.39087	4
"	$A_{1*}^3$	-0.41126	0.73099	-0.88199	-0.46585	-0.91131	1*

- **Anomaly free** fixed points for  $N_f = 2$ :

$N_f$	FP	$\bar{m}^2$	$\bar{g}_1$	$\bar{g}_2$	RD#
2	$O(2N_f^2)$	-0.27094	0.85280	0	4 (3)
"	$\tilde{C}_2^2$	-0.20599	1.33367	-1.88211	2 (1)
"	$\hat{C}_2^2$	-0.26318	0.33093	1.71728	2 (1)

- **Anomalous** fixed points for  $N_f = 2$ ?

→ numerically challenging

→  $a = -\infty$ ,  $m^2 = \infty$  with  $m^2 + a = \text{finite}$

→ half of the modes decouple  $\Rightarrow$   **$O(4)$  FP**

→ infrared stable at the critical temperature



# Fixed points and stability

- Flavor continuity conjecture:

The chiral transition is governed by the  $\tilde{C}^{N_f}$  fixed points; other fixed points (if exist) do not have an influence.

- For  $N_f \geq 5$ , irrespectively of the  $U_A(1)$  anomaly  
→ second order transition

- For  $N_f = 2, 3, 4$  with disappearing  $U_A(1)$  anomaly  
→ second order transition

→  $\nu_{N_f=3} \approx 0.829$  [close  $O(7)$  univ. class]

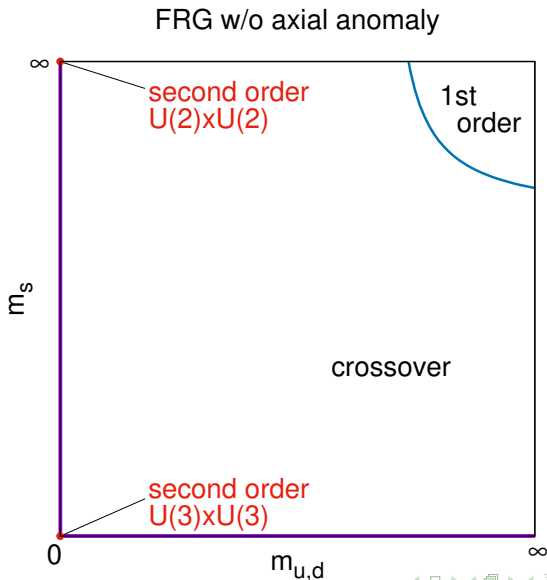
→  $\nu_{N_f=2} \approx 0.638$  [close to Ising univ. class]

- For  $N_f = 2, 3, 4$  with not disappearing  $U_A(1)$  anomaly  
→ first order transition  
→  $U_A(1)$  breaking controls the strength of the transition  
→ weak anomaly  $\Leftrightarrow$  weak first order transition

# Fixed points and stability

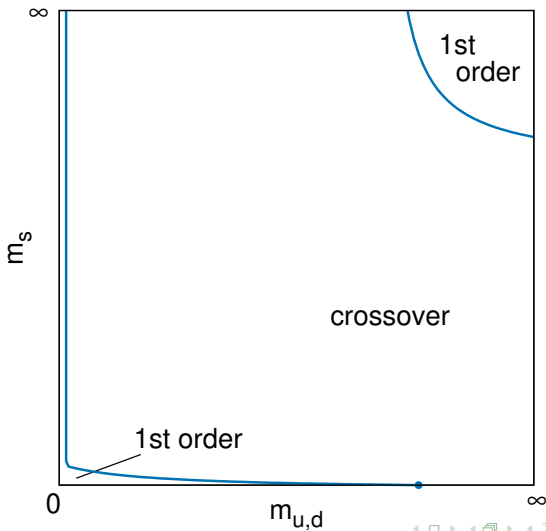
- For  $N_f = 2$  and  $N_f = 3$  the situation is more subtle
- $N_f = 3$ :
  - there exist a fixed point with **nonzero anomaly** with **one relevant direction**
  - however: the stability matrix has **complex eigenvalues**  
⇒ unnatural
- $N_f = 2$ :
  - there also exist a fixed point with **nonzero (infinite) anomaly** with **one relevant direction** [ $O(4)$  FP]
- Problem 1.) we do not know the domain of attraction of the fixed points
- Problem 2.) we do not know where the bare (UV) parameters lie in the parameter space

# Columbia plot with flavor continuity



# Columbia plot with flavor continuity

FRG with axial anomaly



## Transition orders without anomaly:

	$N_f = 2$	$N_f = 3$	$N_f = 4$	$N_f \geq 5$
$\epsilon$ expansion ( $\epsilon = 1$ )	1st order	1st order	1st order	1st order
FRG ( $d = 3$ )	2nd order	2nd order	2nd order	2nd order

## Transition orders with anomaly:

	$N_f = 2$	$N_f = 3$	$N_f = 4$	$N_f \geq 5$
$\epsilon$ expansion ( $\epsilon = 1$ )	2nd order*	1st order	1st order	1st order
FRG ( $d = 3$ )	1st order (Case I) 2nd order (Case II) 2nd order (Case III)	1st order (Case I) 1st order (Case II) 2nd order (Case III)	1st order	2nd order

\*:only with strong anomaly

- **Re-analysis of the RG flows of the Ginzburg-Landau potential of chiral transition**
  - scale evolution is obtained directly at  $d = 3$  using the Functional Renormalization Group method
  - Local Potential Approximation +  $\mathcal{O}(\phi^6)$  truncation: inclusion of all relevant and marginal interactions
- **Results can be made consistent with recent lattice QCD simulations** [i.e. chiral transition is second order]
  - there exist new classes of fixed points spanned in the entire  $N_f$  range
  - they are IR stable at  $T_C$  for  $N_f \geq 5$
  - they are IR stable at  $T_C$  for  $N_f = 2, 3, 4$  only if  $U_A(1)$  is restored
- **Future:**
  - improve truncation (irrelevant operators, wavefunction renormalization, higher derivatives)
  - establishing fully non-perturbative fixed point potentials