

# Low-energy effective theories for metals

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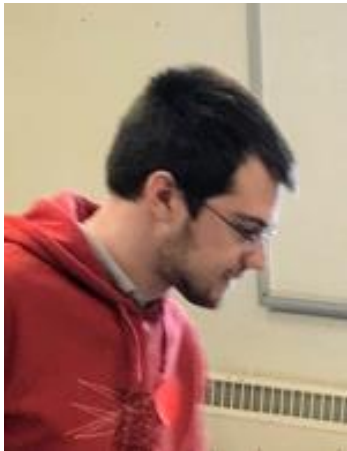
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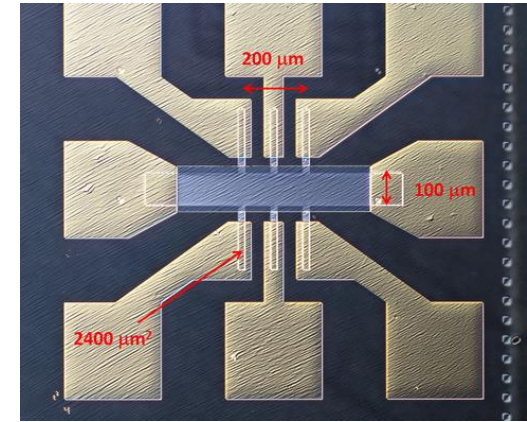
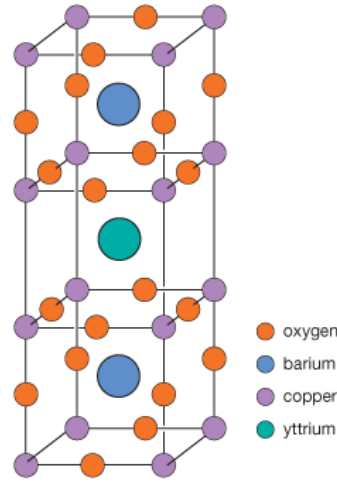
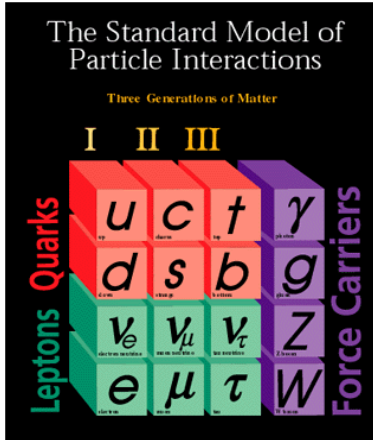


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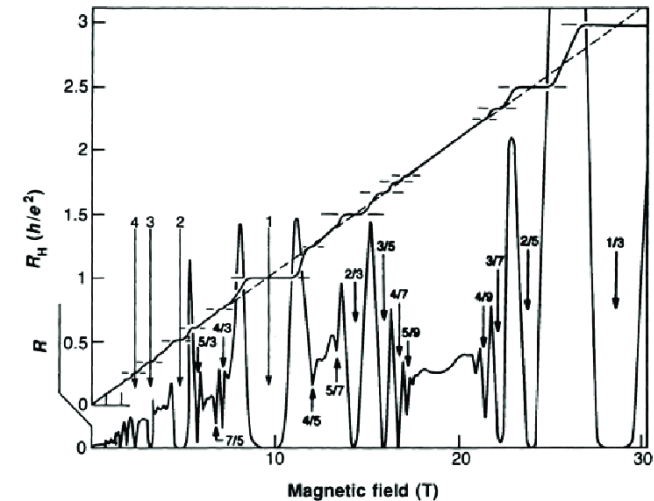
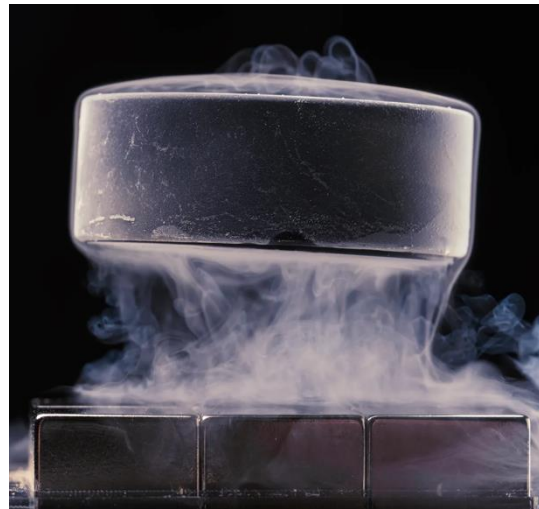
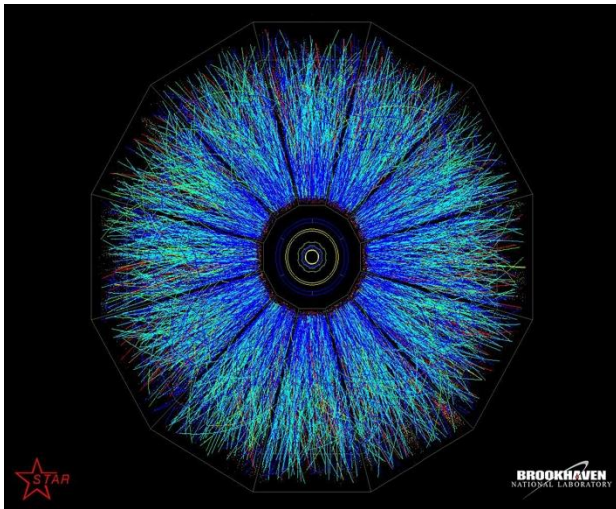


Shubham Kukreja

# Emergent Phenomena



+..



Quark-gluon plasma

High temperature superconductor

Fractional quantum Hall state

# From theories to observables

$$S(\phi, \psi; \{g_j\}; \Lambda) \quad \longrightarrow \quad \Gamma_n(\{\omega_i, k_i\}; \{g_j\}; \Lambda)$$

- The exact vertex function contains the full dynamical information
  - hard to extract
- Mapping the space of low-energy phases is easier due to simplicity that arises in the low-energy limit

# Universality of low-energy physics

There exists a set of low-energy parameters defined through observables measured at energy scale  $\mu \ll \Lambda$

$$\mathbf{g}^{(m)}(\mu) = \Gamma_m \left( \{\omega_i^{(m)}, k_i^{(m)}\}; \{g_j\}; \Lambda \right), \quad \omega_i^{(m)} \sim \mu$$

Those low-energy parameters determine all observables at that energy scale and below within errors that vanish in powers of  $\mu/\Lambda$

$$\Gamma_n \left( \{\omega_i, k_i\}; \{g_j\}; \Lambda \right) = f_n \left( \{\omega_i, k_i\}; \{\mathbf{g}^{(m)}(\mu)\} \right) + (\mu/\Lambda)^a$$

for  $\omega_i \leq \mu$

\*Extracting  $f_n \left( \{\omega_i, k_i\}; \{\mathbf{g}^{(m)}(\mu)\} \right)$  is still highly non-trivial

# For relativistic QFTs

- The space of low-energy parameters is composed of a finite number of marginal and relevant parameters that carry non-negative scaling dimensions
- RG flow within the finite-dimensional space

$$\mathbf{g}^{(m)}(\mu)$$

- Fixed points of the renormalization group flow represent universality classes

$$\lim_{\mu \rightarrow 0} \mathbf{g}^{(m)}(\mu)$$

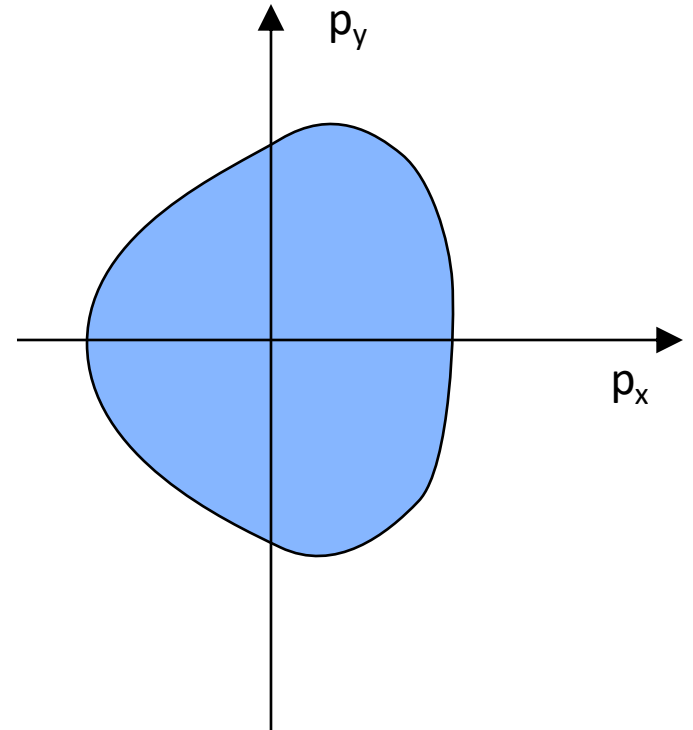
# Metals

A non-zero density of fermions form a droplet of occupied states in the momentum space

Infinitely many gapless modes that describe soft shape deformations of the droplet (particle-hole excitations near Fermi surface)

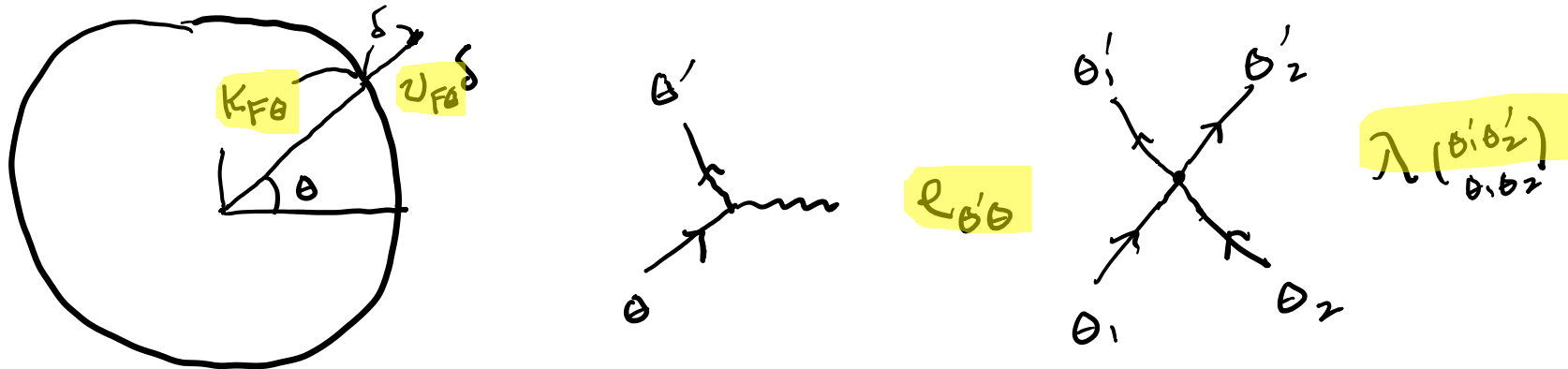
Metals subject to strong quantum fluctuations remain poorly understood

- Metals with vector flavors can behave like matrix models (non-trivial large  $N$  limit)
- Dynamical kinematic energy quenching
- **UV/IR mixing**



# Theoretical challenge : infinite-dimensional space of low-energy theories

- Low-energy theories are characterized by Fermi momentum, Fermi velocity, couplings, which are functions of angles around FS

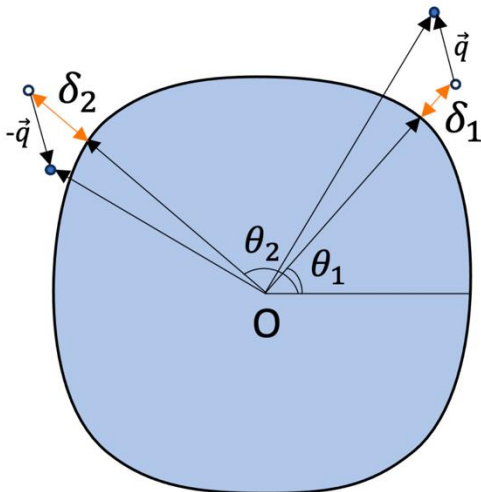


- To chart the space of metallic universality classes, one needs to track a functional renormalization group flow for a minimal set of coupling functions
  - Field-theoretic functional RG



# Theoretical challenge : absence of scale invariance

- There is no scale invariance in metals due to Fermi momentum, which is a large momentum(UV) scale but a low-energy(IR) scale
- Fermi momentum, measured in the unit of  $\mu$ , grows incessantly under scale transformations



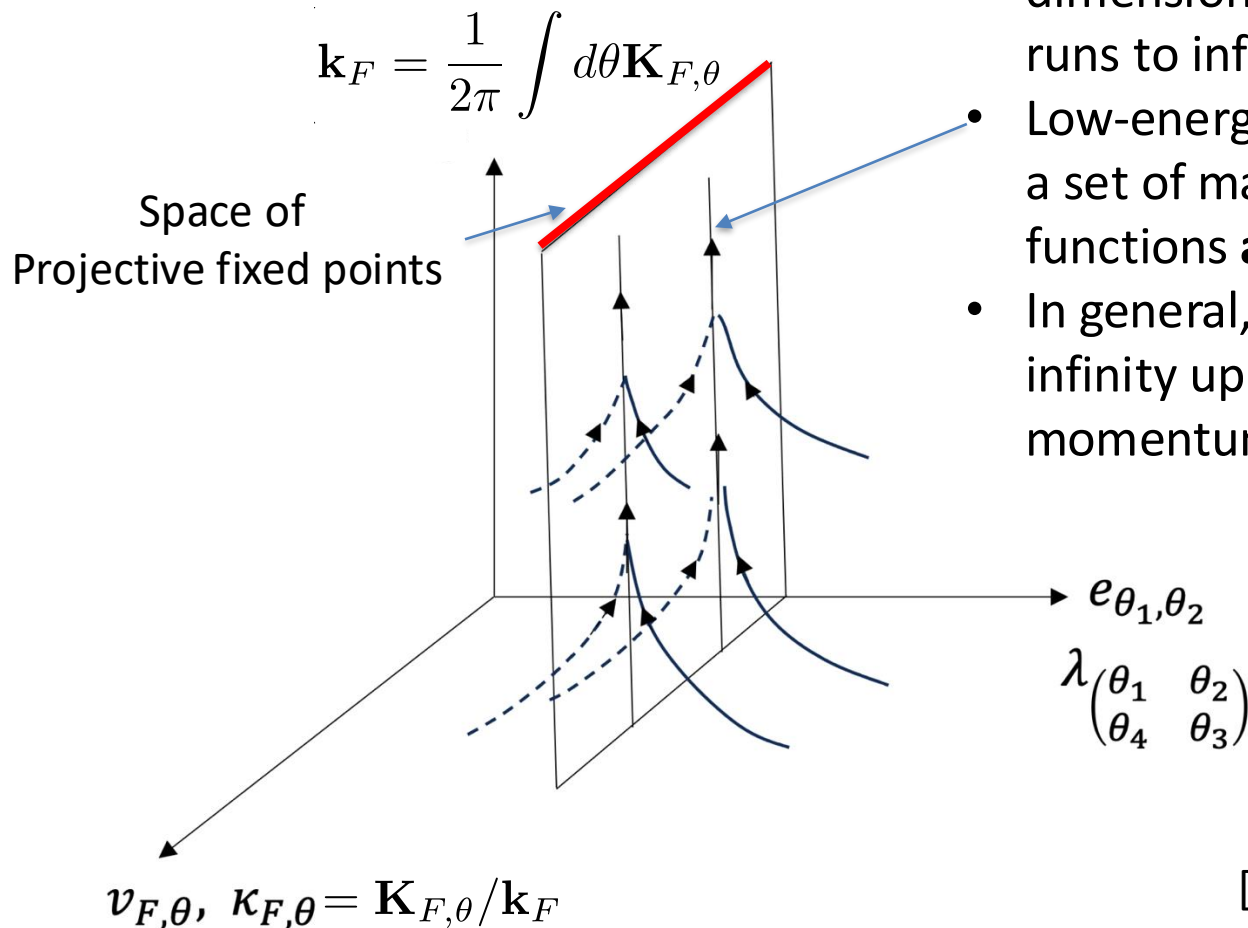
$$\Delta\theta \sim \frac{q}{K_F}$$

$$q \rightarrow q/b, \quad \theta \rightarrow \theta$$

$$K_F \rightarrow bK_F$$

# Metallic Universality classes correspond to projective fixed points

- RG flow is attracted toward an one-dimensional manifold in which  $k_F$  runs to infinity
- Low-energy observables are fixed by a set of marginal/relevant coupling functions **and** Fermi momentum
- In general, one can not set  $k_F$  to infinity up front because large Fermi momentum limit can be singular



# Physical consequences of projective nature of fixed points

- Mismatches between scaling dimension of couplings and their relevance
  - Coupling functions with negative dimensions can become marginal/relevant
- A lack of unique dynamical critical exponent
  - The vertex function in different kinematic regimes exhibit scale invariance under  $q \rightarrow sq, \omega \rightarrow s^z \omega$  with different  $z$

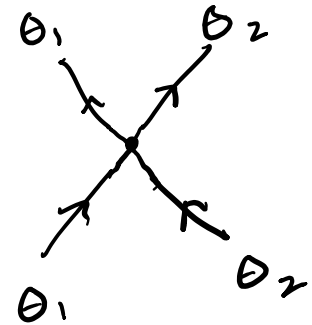
# Fermi liquids

[Landau]

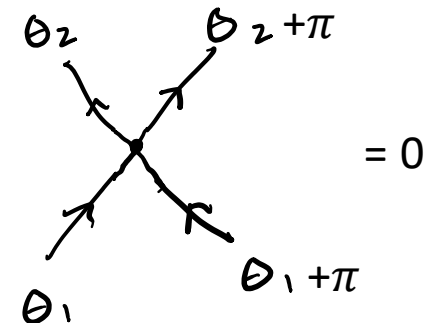
[Benfatto, Gallavotti] [Shankar] [Polchinski]

- Marginal functions :

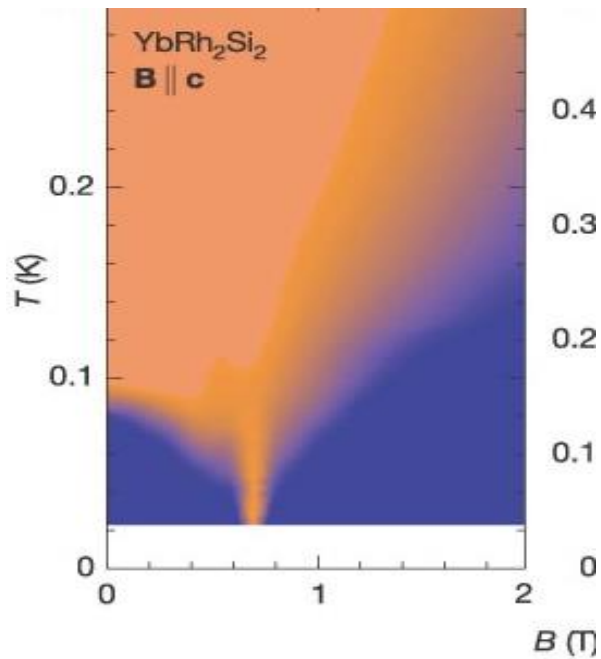
- Fermi momentum, Fermi velocity,  
Landau function (the forward scattering)



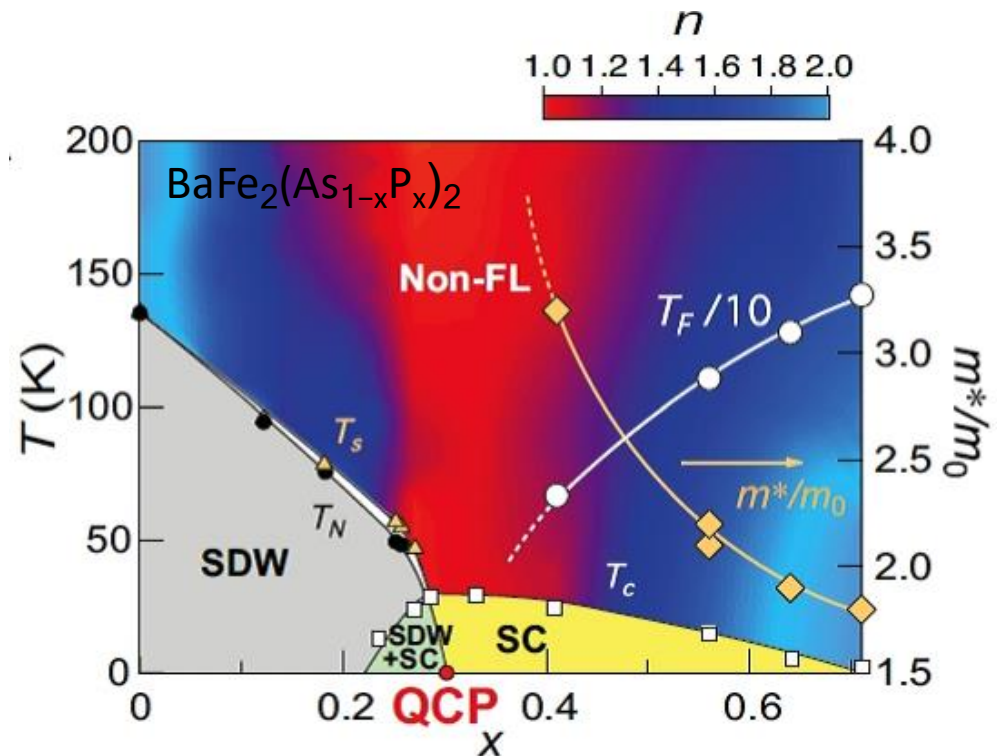
- Vanishing pairing interaction at the projective fixed point



# Non-Fermi liquids at Quantum Critical Points



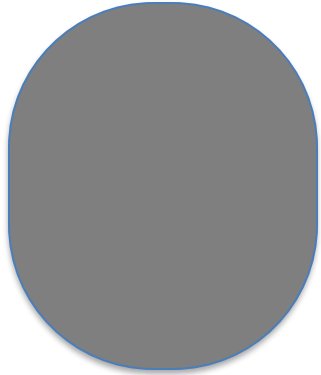
[Custers et al.(2003)]



[Hashimoto et al. Science 336, 1554 (2012)]

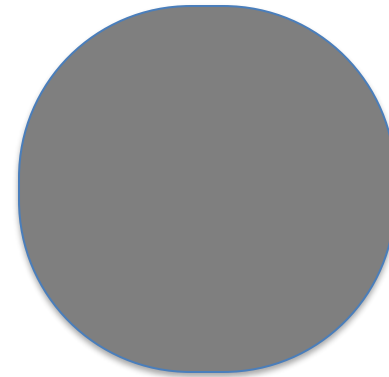
- Gapless collective boson coupled with particle-hole excitations creates qualitative deviations from Fermi liquids
- Strongly interacting metals realized in 2+1 dimension – the focus of this talk

# Ising-Nematic QCP



$$\langle \Delta_{q=0} \rangle > 0$$

OR



$$\langle \Delta_{q=0} \rangle < 0$$

$$\langle \Delta_{q=0} \rangle = 0$$



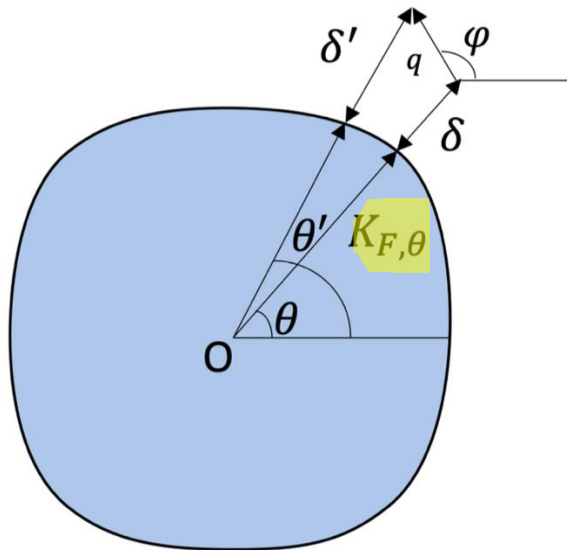
FL metal with  
broken rotational  
symmetry ( $C_2$ )

Quantum  
Critical Point

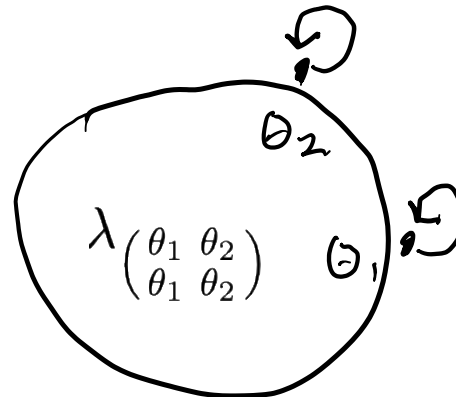
FL metal with  
unbroken rotational  
symmetry ( $C_4$ )

# Theory of the Ising-nematic quantum critical metal

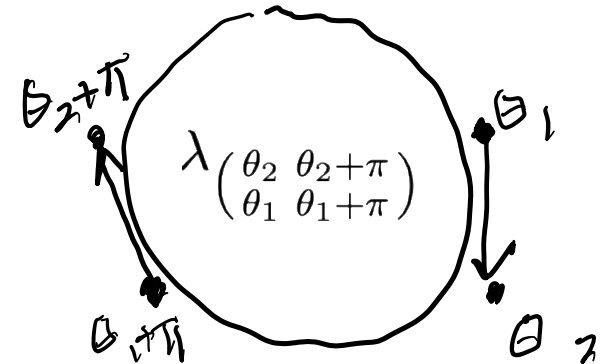
$$\begin{aligned}
 S = & \int d_f^3 \mathbf{k} \psi_j^\dagger(k_0, \delta, \theta) \left[ ik_0 + v_{F,\theta} \delta \right] \psi_j(k_0, \delta, \theta) + \frac{1}{2} \int d_b^3 \mathbf{q} |\mathbf{q}|^2 \phi(-\mathbf{q}) \phi(\mathbf{q}) \\
 & + \frac{1}{\sqrt{N}} \int d_f^3 \mathbf{k} d_b^3 \mathbf{q} \mathbf{e}_{\Theta(\theta, \vec{q}), \theta} \phi(q_0, \vec{q}) \psi_j^\dagger(k_0 + q_0, \Delta(\delta, \theta, \vec{q}), \Theta(\theta, \vec{q})) \psi_j(k_0, \delta, \theta) \\
 & + \int d_f^3 \mathbf{k} d_f^3 \mathbf{k}' d_b^3 \mathbf{q} \lambda \begin{pmatrix} \Theta(\theta, \vec{q}) & \theta' \\ \theta & \Theta(\theta', \vec{q}) \end{pmatrix} \\
 & \psi_{j_1}^\dagger(k_0 + q_0, \Delta(\delta, \theta, \vec{q}), \Theta(\theta, \vec{q})) \psi_{j_1}(k_0, \delta, \theta) \psi_{j_2}^\dagger(k'_0, \delta', \theta') \psi_{j_2}(k'_0 + q_0, \Delta(\delta', \theta', \vec{q}), \Theta(\theta', \vec{q}))
 \end{aligned}$$



Forward scattering

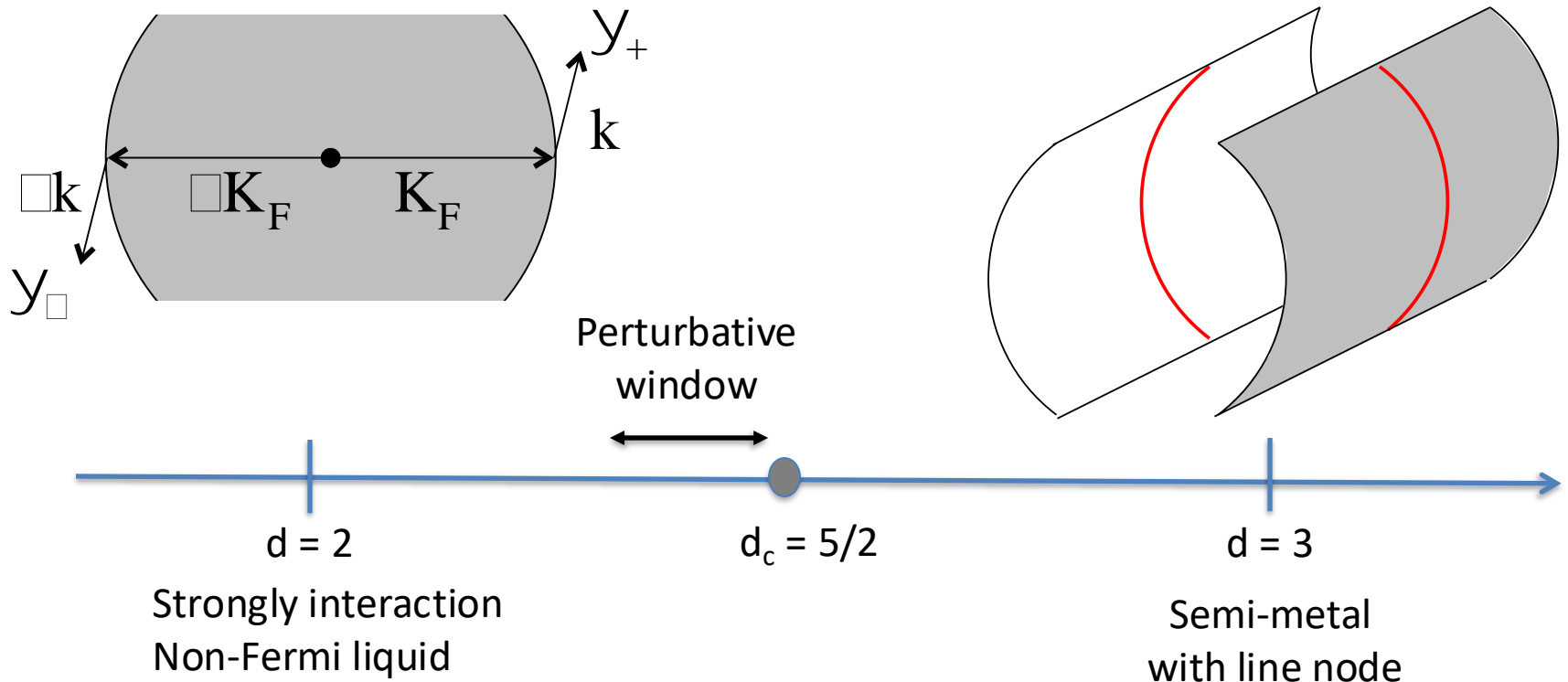


Pairing interaction



# A dimensional regularization : an analytic continuation of the 2d metal to a semi-metal with line node in 3d

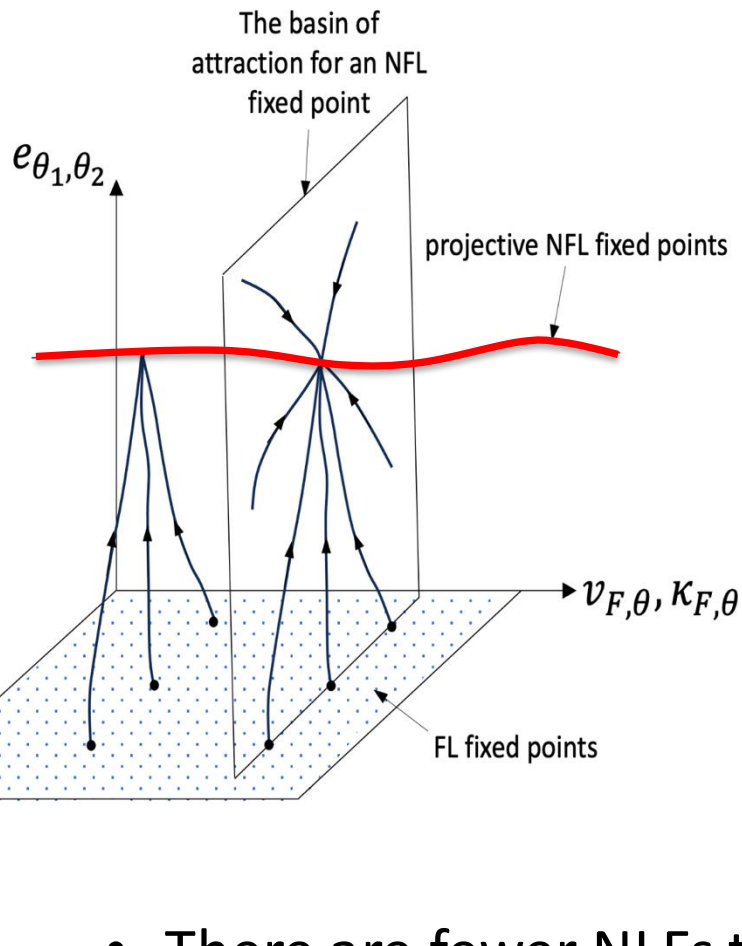
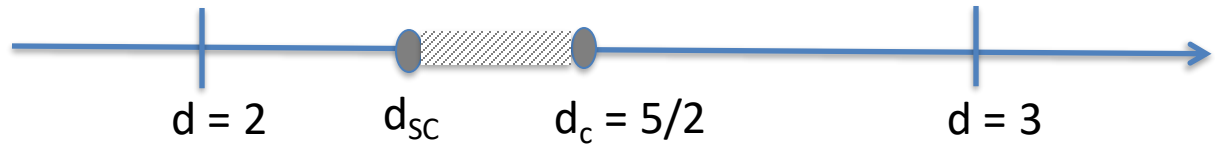
[D. Dalidovich, SL (2013)]



- Upper critical dimension :  $d_c = 5/2$



$$d > d_{SC}$$



- Stable projective NFL fixed points
- Only two marginal parameters for the shape of FS and Fermi velocity

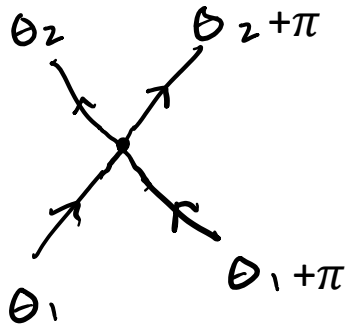
$$\kappa_{F, \theta}, v_{F, \theta}$$

- Landau function and pairing interaction are non-zero, but fixed by the marginal parameters and Fermi momentum

- There are fewer NFLs than FLs
- SC fluctuations are intrinsic parts of NFLs

# Universal pairing interaction ( $d > d_{SC}$ )

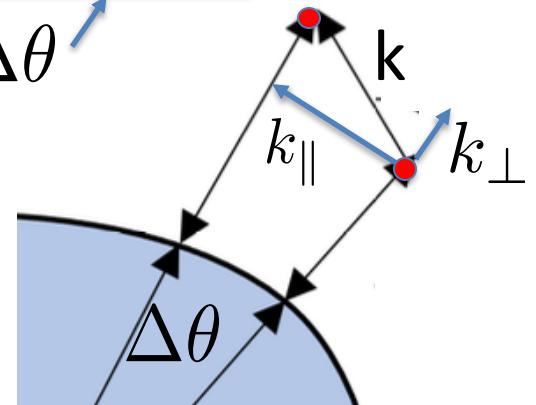
Dimensionless pairing interaction for Cooper pairs with center of mass momentum  $\vec{q}$  and energy  $\omega$



$$\Gamma_{\theta_1, \theta_2}^{(4)}(\vec{q} = 0, \omega) \sim \left| \left( \frac{\omega^{1/z}}{K_F} \right)^{1/2} \frac{1}{\theta_1 - \theta_2} \right|^{2\Delta}$$

$$\Delta\theta \sim k_{\parallel} / K_F \sim \sqrt{\omega^{1/z} / K_F}$$

$$k_{\perp} \sim \omega^{1/z}, \quad k_{\parallel}^2 \sim k_{\perp} K_F \sim \omega^{1/z} K_F$$

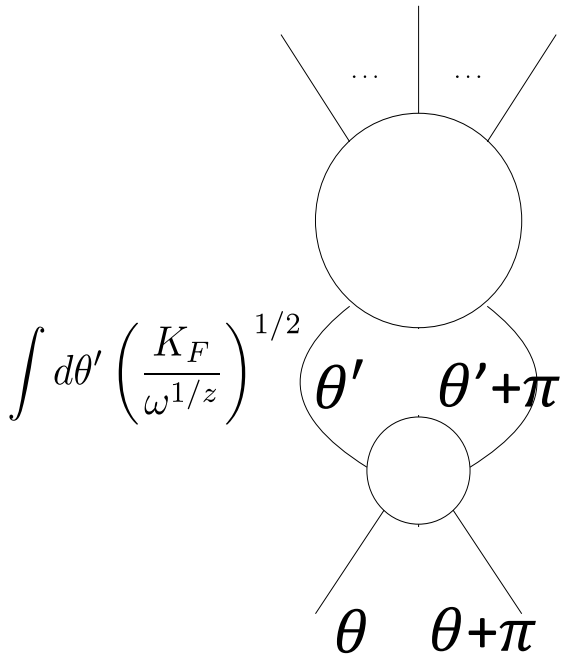


$z$ : a dynamical critical exponent

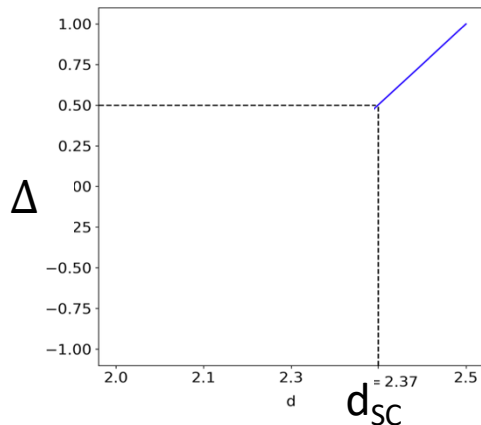
$\Delta$ : minus the scaling dimension of the four-fermion coupling function

# UV/IR mixing

$$\Gamma_{\theta_1, \theta_2}^{(4)}(\vec{q} = 0, \omega) \sim \left| \left( \frac{\omega^{1/z}}{K_F} \right)^{1/2} \frac{1}{\theta_1 - \theta_2} \right|^{2\Delta}$$



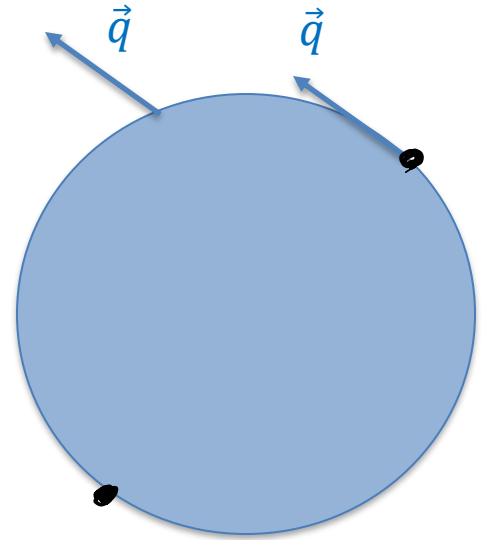
- Four-fermion coupling has a negative scaling dimension and \*naively\* irrelevant for  $\Delta > 0$
- The pairing interaction becomes marginal for  $\Delta = 1/2$ 
  - The growth of the number of patches compensates the decay of the interaction strength



# Universal pairing interaction for $\vec{q} \neq 0$

Crossover function

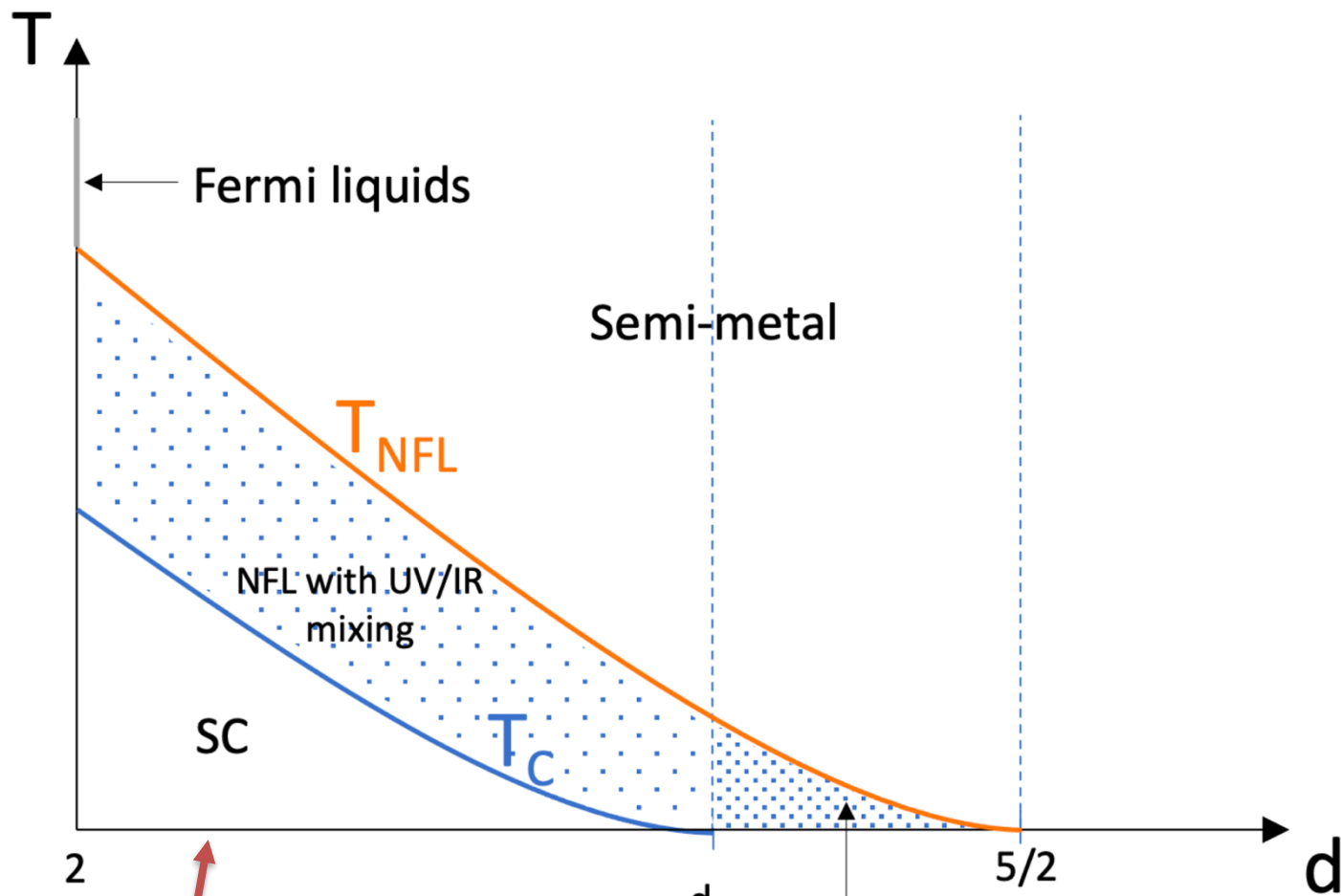
$$\Gamma_{\theta_1, \theta_2}^{(4)}(\vec{q}, \omega) \sim \left| \left( \frac{\omega^{1/z}}{K_F} \right)^{1/2} \frac{1}{\theta_1 - \theta_2} \right|^{2\Delta} \mathcal{V}_{\theta_1}(\vec{q}) \mathcal{V}_{\theta_2}(\vec{q})$$



For large  $q$ ,

$$\mathcal{V}_{\theta}(\vec{q}) \sim \begin{cases} \left( \frac{\omega^{1/z}}{q} \right)^{\frac{\eta_d}{2}}, & \vec{q} \text{ not parallel to FS at } \theta \\ \left( \frac{\omega^{1/z} K_F}{q^2} \right)^{\frac{\eta_d}{2}}, & \vec{q} \text{ almost parallel to FS at } \theta \end{cases}$$

No unique dynamical critical exponent that sets the relative scaling between  $q$  and  $\omega$



In  $d < d_{sc}$ , the non-Fermi liquids become unstable against superconductivity due to the UV/IR mixing

Scaling dimension of four-fermion coupling becomes  $-\frac{1}{2}$

# Summary

- The space of metallic universality classes is infinite dimensional
- Due to Fermi momentum, fixed points of metals are defined only projectively
  - Mismatch between scaling dimensions and relevancy of couplings
  - No unique dynamical critical exponent
- Universality classes of non-Fermi liquids being mapped out