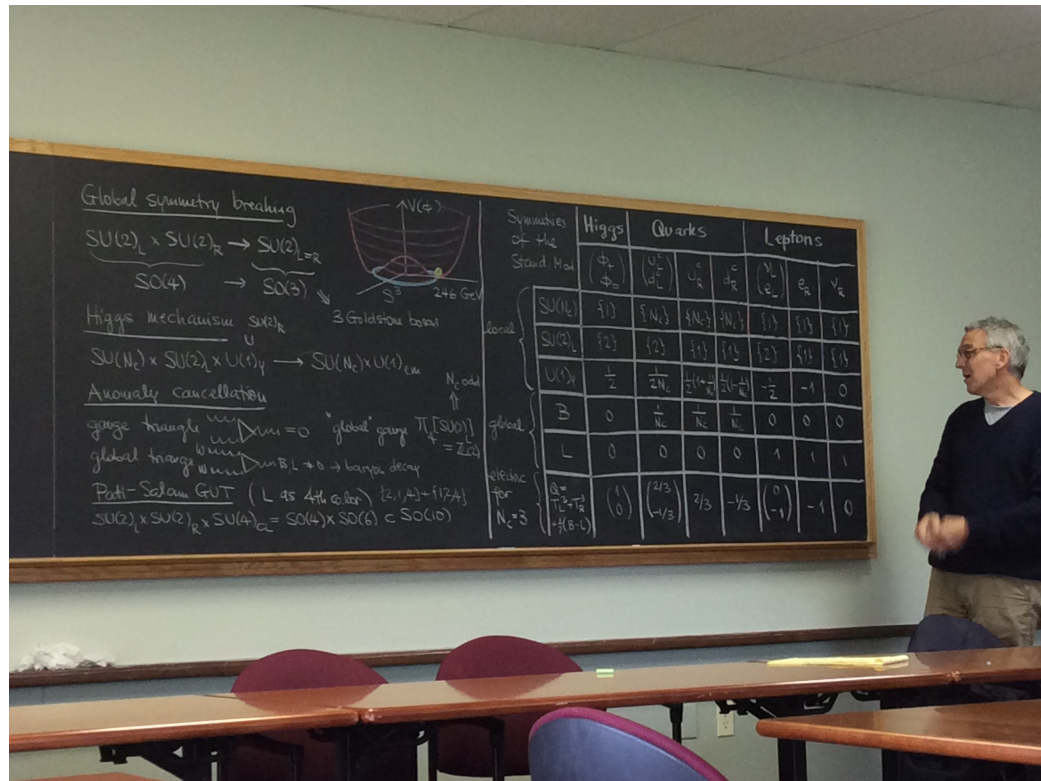


Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24, 2025

Aspen, June, 2015



Thank you for promoting interdisciplinary research !

Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24, 2025

Many phases of matter can be simulated with quantum Monte Carlo methods without
encountering the sign problem!

Mott insulators, spin-liquids, Dirac systems, electron-phonon, spin systems with classical frustration, superconductors, quantum phase transitions, twisted bilayer graphene, continuum field theories...

S. Chandrasekharan and Uwe-Jens Wiese, Phys. Rev. Lett. 83 (1999).
C. Wu and S.-C. Zhang. Phys. Rev. B, 71, 155115, (2005).
E. Huffman and S. Chandrasekharan, Phys. Rev. B 89 (2014), 111101.
Zi-Xiang Li, Yi-Fan Jiang, and H. Yao Phys. Rev. Lett. 117 (2016), 267002.
Z. C. Wei, C. Wu, Yi Li, Shiwei Zhang, and T. Xiang. Phys. Rev. Lett. 116 (2016), 250601.



SFB1170
ToCoTronics



Center of excellence – complexity and
topology in quantum matter



Leibniz-Rechenzentrum
der Bayerischen Akademie der Wissenschaften



Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24 2025

Phases with sign problem include:

Frustrated magnets

PHYSICAL REVIEW B **104**, L081106 (2021)

Letter

Quantum Monte Carlo simulation of generalized Kitaev models

Toshihiro Sato¹ and Fakher F. Assaad^{1,2}

¹Institut für Theoretische Physik und Astrophysik, Universität Würzburg, 97074 Würzburg, Germany

²Würzburg-Dresden Cluster of Excellence ct.qmat, Am Hubland, 97074 Würzburg, Germany

PHYSICAL REVIEW B **110**, L201114 (2024)

Letter

Scale-invariant magnetic anisotropy in α -RuCl₃: A quantum Monte Carlo study

Toshihiro Sato,^{1,2,3} B. J. Ramshaw,^{4,5} K. A. Modic,⁶ and Fakher F. Assaad^{3,2}



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M. Vojta



L. Janssen



D. Luitz

$$Z = \text{Tr} e^{-\beta \hat{H}} = \int D \{ \Phi(i, \tau) \} e^{-S \{ \Phi(i, \tau) \}}$$

$\Phi(\mathbf{x}, \tau)$: Hubbard-Stratonovich
(or arbitrary field with
predefined dynamics)

Multidimensional integral
→ Monte Carlo

One body problem in external
field → Polynomial complexity

R. Blankenbecler, D. J. Scalapino, and R. L. Sugar, Phys. Rev. D 24 (1981), 2278

J. E. Hirsch, Phys. Rev. B 31 (1985), 4403

White, D. Scalapino, R. Sugar, E. Loh, J. Gubernatis, and R. Scalettar, Phys. Rev. B 40 (1989), 506

.....

$$\text{Let } \hat{H} = \hat{H}_0 - \lambda \sum_n \left(\hat{c}^\dagger O^{(n)} \hat{c} \right)^2 \quad \text{with} \quad O^{(n)} = O^{(n),\dagger}$$

Trotter
$$e^{-\beta \hat{H}} = \prod_{\tau=1}^{L_\tau} \left(e^{-\Delta\tau \hat{H}_0} \prod_n e^{\Delta\tau \lambda (\hat{c}^\dagger O^{(n)} \hat{c})^2} \right) + \mathcal{O}(\Delta\tau) \quad L_\tau \Delta\tau = \beta$$

Hubbard-Stratonovich
$$e^{\hat{A}^2} = \frac{1}{\sqrt{2\pi}} \int d\Phi e^{-\frac{\Phi^2}{2} - \sqrt{2}\Phi \hat{A}}$$

$$e^{-S(\Phi(n,\tau))} \simeq e^{-\sum_{n,\tau} \Phi^2(n,\tau)/2} \text{Tr} \prod_{\tau=1}^{L_\tau} \left(e^{-\Delta\tau \hat{H}_0} \prod_n e^{\sqrt{2\Delta\tau\lambda} \Phi(n,\tau) \hat{c}^\dagger O^{(n)} \hat{c}} \right) = e^{-\sum_{n,\tau} \Phi^2(n,\tau)/2} + \log \det M(\Phi)$$

$$Z = \text{Tr} e^{-\beta \hat{H}} = \int D\{\Phi(i, \tau)\} e^{-S\{\Phi(i, \tau)\}}$$

$$S\{\Phi\} = S_B\{\Phi\} - \log |\det(M\{\Phi\})| - i \arg \det M\{\Phi\}$$

$$\arg \det M\{\Phi\} = 0 \quad \text{No sign problem} \quad \text{CPU} \propto V^3 \beta$$

$$\arg \det M\{\Phi\} \in]0, 2\pi] \quad \text{Sign problem} \quad \text{CPU} \propto e^{2\alpha V \beta}$$

Sample $\overline{S\{\Phi\}} = S_B\{\Phi\} - \log |\det(M\{\Phi\})|$

Compensate $\langle \text{sign} \rangle = \frac{\int D\{\Phi(i, \tau)\} e^{-S\{\Phi\}}}{\int D\{\Phi(i, \tau)\} e^{-\overline{S\{\Phi\}}}} \propto e^{-\alpha \beta V}$

$$Z = \text{Tr} e^{-\beta \hat{H}} = \int D\{\Phi(i, \tau)\} e^{-S\{\Phi(i, \tau)\}}$$

$$S\{\Phi\} = S_B\{\Phi\} - \log |\det(M\{\Phi\})| - i \arg \det M\{\Phi\}$$

$$\arg \det M\{\Phi\} = 0$$

No sign problem

$$\text{CPU} \propto V^3 \beta$$

$$\arg \det M\{\Phi\} \in]0, 2\pi]$$

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Sample $\overline{S\{\Phi\}} = S_B\{\Phi\} - \log |\det(M\{\Phi\})|$

Compensate $\langle \text{sign} \rangle = \frac{\int D\{\Phi(i, \tau)\} e^{-S\{\Phi\}}}{\int D\{\Phi(i, \tau)\} e^{-\overline{S\{\Phi\}}}} \propto e^{-\alpha \beta V}$

This contribution:

Optimal formulations that minimize α so as to reach *interesting* energy scales

Designer models that avoid the sign problem but retain aspects of the physics one wishes to study.

Kinetic

$$\hat{H} = \sum_{k=1}^{M_T} \sum_{\sigma=1}^{N_{\text{col}}} \sum_{s=1}^{N_{\text{fl}}} \sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger T_{xy}^{(ks)} \hat{c}_{y\sigma s} + \sum_{k=1}^{M_V} U_k \left\{ \sum_{\sigma=1}^{N_{\text{col}}} \sum_{s=1}^{N_{\text{fl}}} \left[\left(\sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger V_{xy}^{(ks)} \hat{c}_{y\sigma s} \right) + \alpha_{ks} \right] \right\}^2$$

Potential (sum of perfect squares)

Coupling of fermions to bosonic fields with predefined dynamics

$$+ \sum_{k=1}^{M_I} \hat{Z}_k \left(\sum_{\sigma=1}^{N_{\text{col}}} \sum_{s=1}^{N_{\text{fl}}} \sum_{x,y}^{N_{\text{dim}}} \hat{c}_{x\sigma s}^\dagger I_{xy}^{(ks)} \hat{c}_{y\sigma s} \right) + \hat{H}_{\text{Ising}}$$

- Block diagonal in flavors, N_{fl}
- $SU(N_{\text{col}})$ symmetric in colors N_{col}
- Arbitrary Bravais lattice for $d=1,2$
- Model can be specified at minimal programming cost
- Fortran 2008 standard
- MPI implementation
- Global and local moves, Parallel tempering, Langevin, HMC
- Projective and finite T approaches
- pyALF: easy access python interface
- Predefined models



F. Goth



M. Bercx



J. Hoffmann



J. S.E. Portela



J. Schwab



Z. Liu



E. Huffman



A. Götz



F. Parisen Toldin

Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24 2025

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PHYSICAL REVIEW B **104**, L081106 (2021)

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PHYSICAL REVIEW B **110**, L201114 (2024)

Letter

Scale-invariant magnetic anisotropy in α -RuCl₃: A quantum Monte Carlo study

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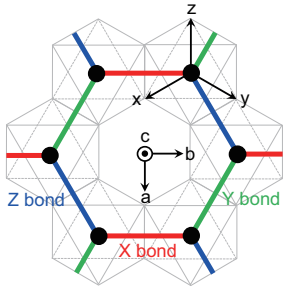


L. Janssen



D. Luitz

Confronting the sign problem for frustrated magnets

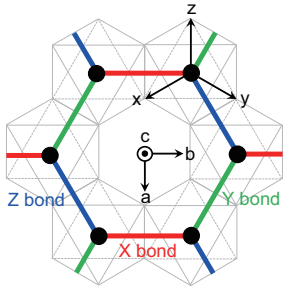


$$\hat{H} = 2K \sum_{i \in A, \delta} \hat{S}_i^\delta \hat{S}_{i+\delta}^\delta + J \sum_{i \in A, \delta} \hat{S}_i \cdot \hat{S}_{i+\delta}.$$

A. Kitaev, Annals of Physics 321 (2006), no. 1 2 - 111.

$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$

Confronting the sign problem for frustrated magnets



$$\hat{H} = 2K \sum_{i \in A, \delta} \hat{S}_i^\delta \hat{S}_{i+\delta}^\delta + J \sum_{i \in A, \delta} \hat{S}_i \cdot \hat{S}_{i+\delta}.$$

$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$

Simulating spins with fermions.

$$\hat{S}_i^\delta = \frac{1}{2} \sum_{s, s'} \hat{f}_{i,s}^\dagger \sigma_{s,s'}^\delta \hat{f}_{i,s'}$$

$$\sum_s \hat{f}_{i,s}^\dagger \hat{f}_{i,s} \equiv \hat{n}_i = 1$$

$$\hat{H}_{QMC} = |K| \sum_{i \in A, \delta} s_\delta \left(s_\delta \hat{S}_i^\delta + \frac{K}{|K|} \hat{S}_{i+\delta}^\delta \right)^2 - \frac{J}{8} \sum_{i \in A, \delta} \left(\left[\hat{D}_{i,\delta}^\dagger + \hat{D}_{i,\delta} \right]^2 + \left[i \hat{D}_{i,\delta} - i \hat{D}_{i,\delta}^\dagger \right]^2 \right) + U \sum_i (\hat{n}_i - 1)^2$$

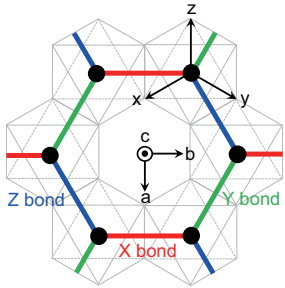
$$\hat{D}_{i,\delta}^\dagger = \sum_s \hat{f}_{i,s}^\dagger \hat{f}_{i+\delta,s} \quad s_\delta = \pm 1$$

$$\hat{H}_{QMC} \Big|_{(-1)^{\hat{n}_i} = -1} = \hat{H} + C \quad \forall s_\delta = \pm 1$$

Constraint commutes with Hamiltonian dynamics

$$\left[\hat{H}_{QMC}, (-1)^{\hat{n}_i} \right] = 0$$

Confronting the sign problem for frustrated magnets



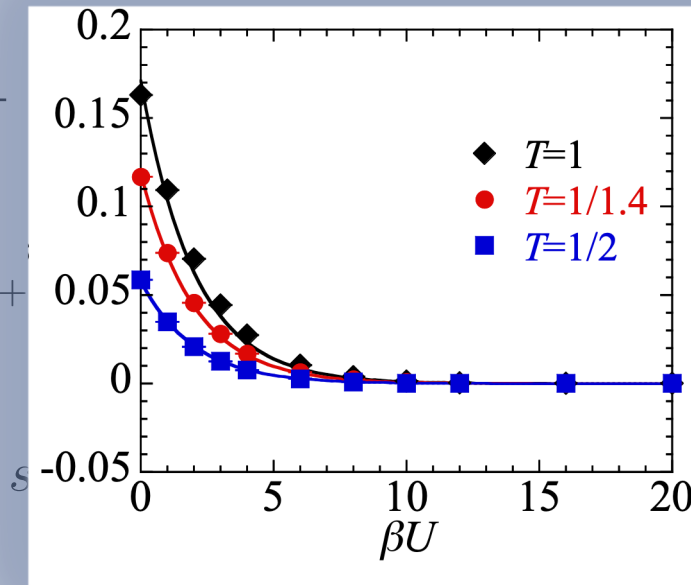
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$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$

Simulating spins with fermions.

$$\hat{H}_{QMC} = |K| \sum_{i \in A, \delta} s_\delta \left(s_\delta \hat{S}_i^\delta + \right.$$

$$\hat{D}_{i,\delta}^\dagger = \sum_s \hat{f}_{i,s}^\dagger \hat{f}_{i+\delta,s}$$



$$\hat{f}_{i,s}^\dagger \hat{f}_{i,s} \equiv \hat{n}_i = 1$$

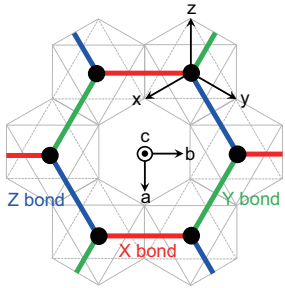
$$\hat{D}_{i,\delta} - i \hat{D}_{i,\delta}^\dagger \Big]^2 \Big) + U \sum_i (\hat{n}_i - 1)^2$$

$$= \hat{H} + C \quad \forall s_\delta = \pm 1$$

Constraint commutes with Hamiltonian dynamics

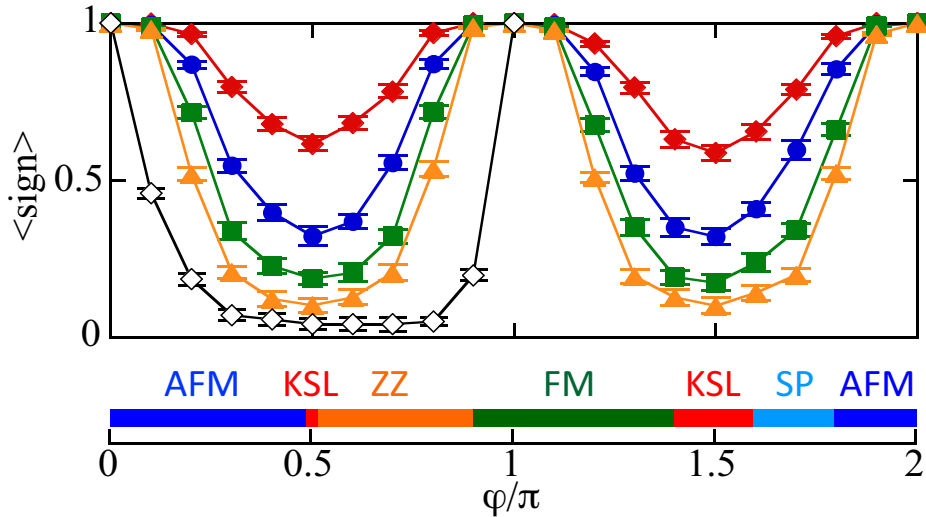
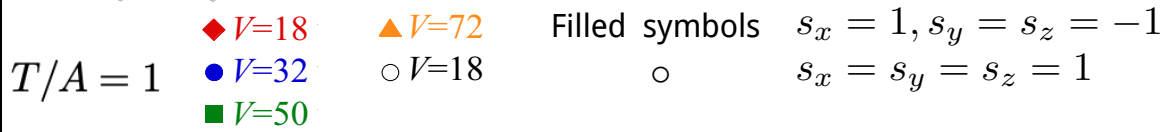
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Confronting the sign problem for frustrated magnets

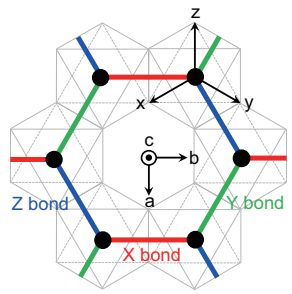


$$\hat{H} = 2K \sum_{i \in A, \delta} \hat{S}_i^\delta \hat{S}_{i+\delta}^\delta + J \sum_{i \in A, \delta} \hat{S}_i \cdot \hat{S}_{i+\delta}.$$

$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$



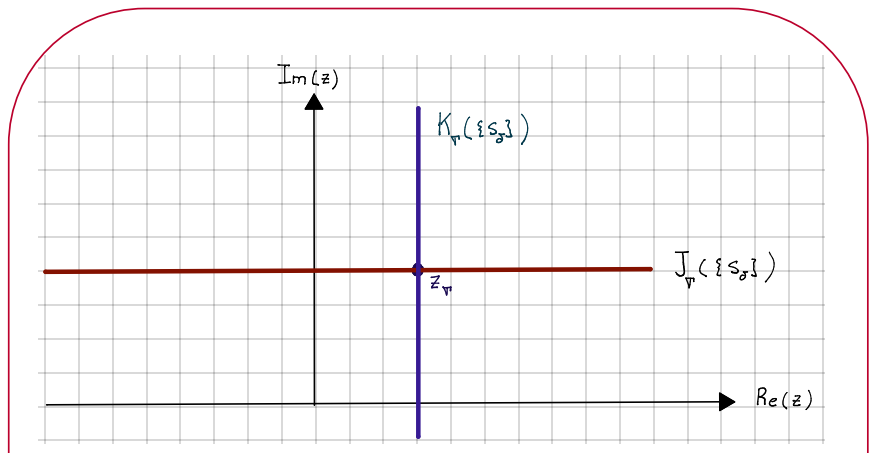
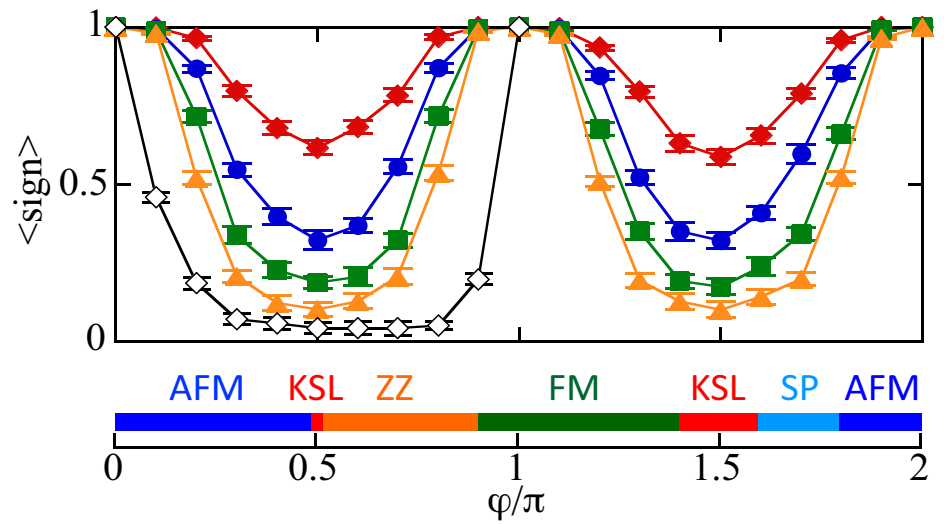
Confronting the sign problem for frustrated magnets



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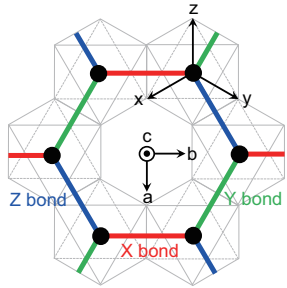
$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$

$T/A = 1$
◆ $V=18$ ▲ $V=72$ Filled symbols $s_x = 1, s_y = s_z = -1$
● $V=32$ ○ $V=18$ ○ $s_x = s_y = s_z = 1$
■ $V=50$



Complex saddle points and thimbles depend upon the gauge choice $\{s_{\delta}\}$. Optimal gauge choice minimizes the distance from the dominant saddle point to the real axis.

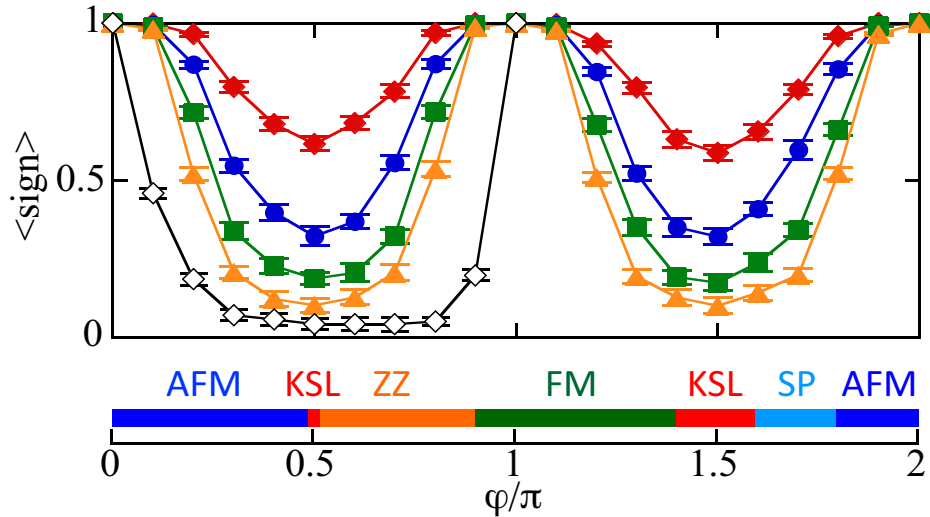
Confronting the sign problem for frustrated magnets



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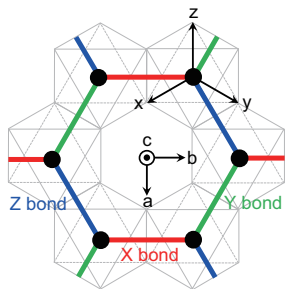


Possible to reach temperatures down to $\beta A \simeq 3$

$A \simeq 10 \text{ meV} \simeq 100 \text{ K}$

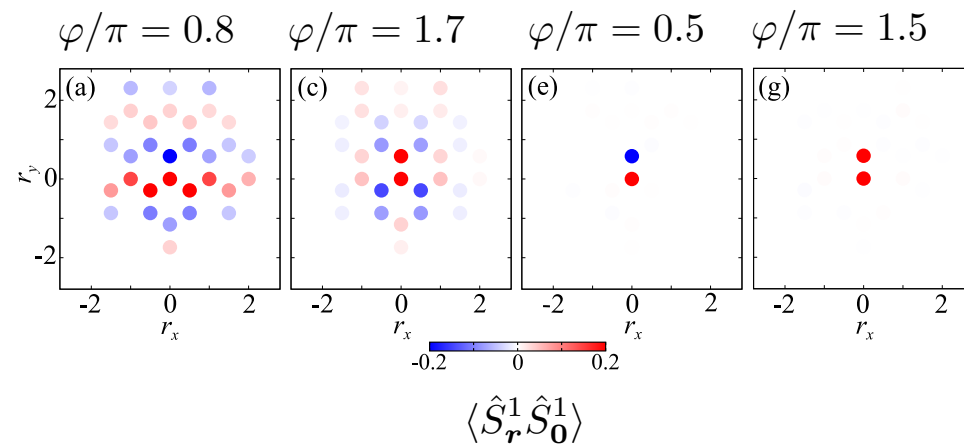
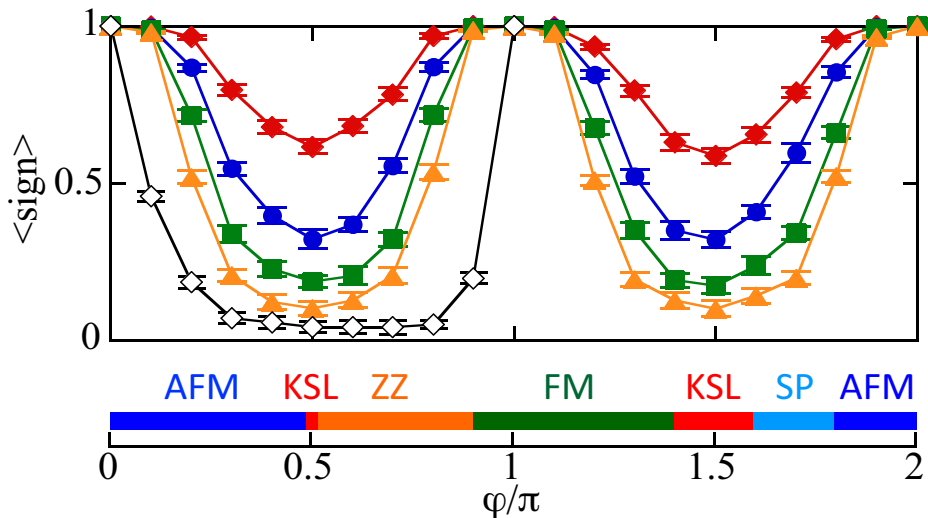
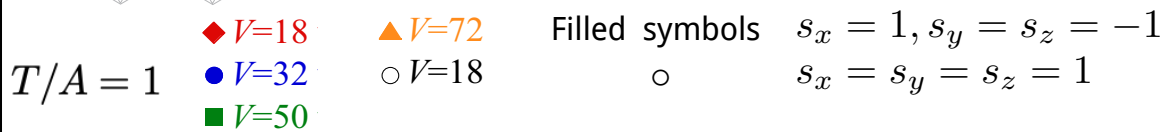
→ Experimentally relevant energy scales are accessible

Confronting the sign problem for frustrated magnets

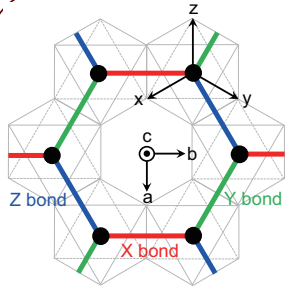


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Confronting the sign problem for frustrated magnets



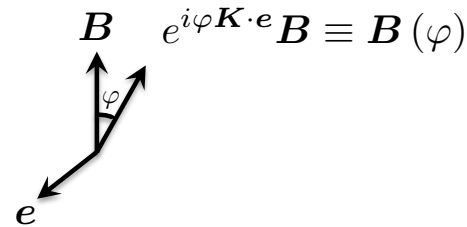
RuCl₃

$$\hat{H}(\varphi) = \sum_{\langle i,j \rangle} \left[K \hat{S}_i^\gamma \hat{S}_j^\gamma + \Gamma \hat{S}_i^\alpha \hat{S}_j^\beta + J_1 \hat{S}_i \cdot \hat{S}_j \right] + J_3 \sum_{\langle\langle i,j \rangle\rangle} \hat{S}_i \cdot \hat{S}_j - \mu_B \sum_i \mathbf{B}(\varphi) \cdot \mathbf{g} \hat{S}_i$$

$$(J_1, J_3, K, \Gamma) = (-0.5, 0.5, -5.0, 2.5) \text{ [meV]} \quad \mathbf{g} = \text{diag} [2.3, 2.3, 1.3]$$

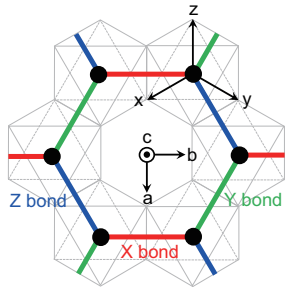
Winter et al. Nat. Comm. 8 (2017), PRL. 120 (2018)

Magnetic rigidity: magnetotropic susceptibility



$$k \equiv \left. \frac{\partial^2 F(\varphi)}{\partial \varphi^2} \right|_{\varphi=0} = \mu_B \mathbf{g} \langle \hat{\mathbf{S}}_{tot} \rangle \cdot \mathbf{e} \times (\mathbf{e} \times \mathbf{B})$$

$$- \mu_B^2 \int_0^\beta d\tau \left[\langle (\mathbf{g} \hat{\mathbf{S}}_{tot}(\tau) \cdot \mathbf{e} \times \mathbf{B}) (\mathbf{g} \hat{\mathbf{S}}_{tot}(0) \cdot \mathbf{e} \times \mathbf{B}) \rangle - \left(\langle \mathbf{g} \hat{\mathbf{S}}_{tot}(0) \cdot \mathbf{e} \times \mathbf{B} \rangle \right)^2 \right]$$



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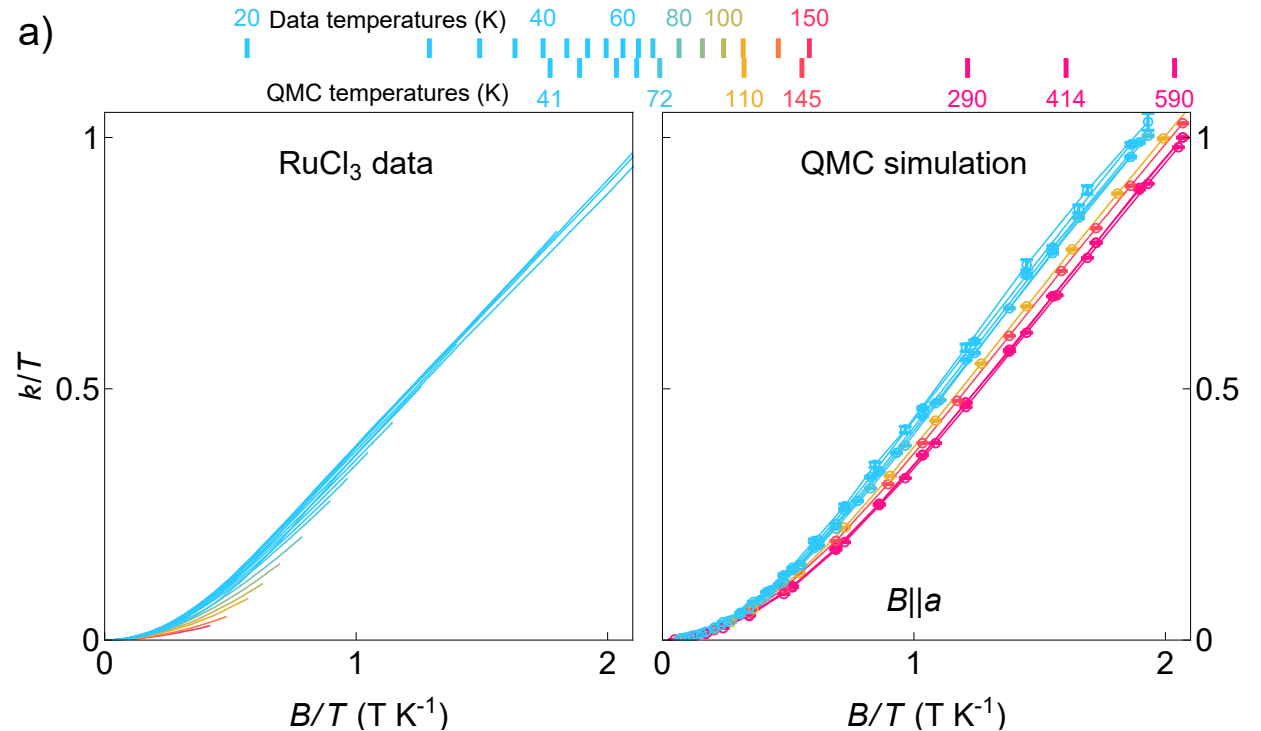
<https://doi.org/10.1038/s41567-020-1028-0>

nature
physics

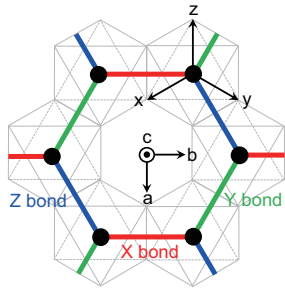
Check for updates

Scale-invariant magnetic anisotropy in RuCl₃ at high magnetic fields

K. A. Modic^{1,2}, Ross D. McDonald³, J. P. C. Ruff⁴, Maja D. Bachmann^{2,5}, You Lai^{3,6,7},
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Marcus Schmidt², Michael J. Lawler⁸, D. A. Sokolov², Philip J. W. Moll^{2,9}, B. J. Ramshaw⁸ and
Arkady Shekhter⁷



For a local moment: $\frac{k}{T} = f(B/T)$



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<https://doi.org/10.1038/s41567-020-1028-0>

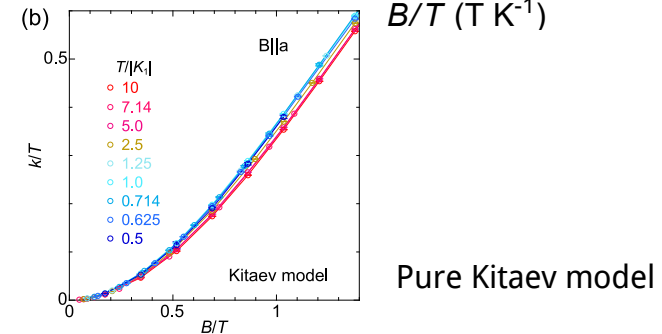
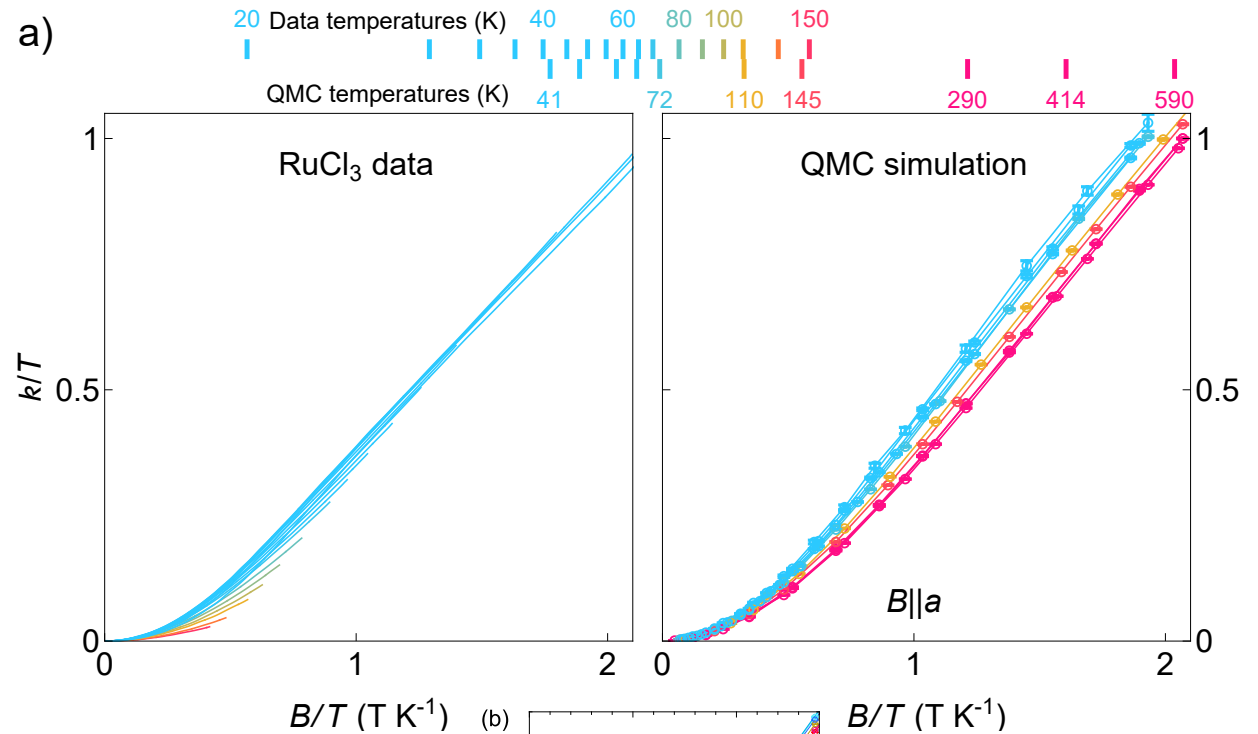
nature
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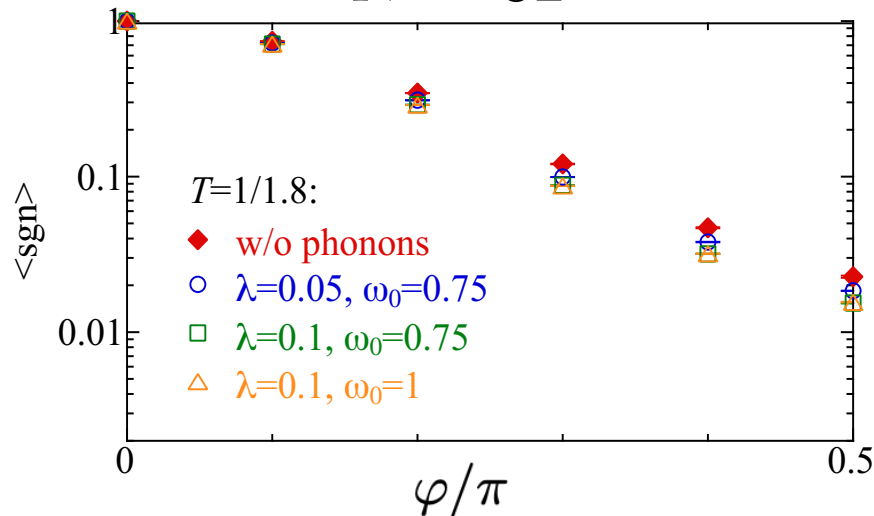
Confronting the sign problem for frustrated magnets

Next steps? Debye temperature $\sim 200\text{K}$ Magnetic energy scale $\sim 100\text{K}$

$$\hat{H} = \sum_{b=[i \in A, \delta]} \frac{\hat{P}_b^2}{2m} + \frac{k}{2} \hat{Q}_b + 2K(1 + \hat{Q}_b) \hat{S}_i^\delta \hat{S}_{i+\delta}^\delta + J(1 + \hat{Q}_b) \mathbf{S}_i \cdot \mathbf{S}_{i+\delta}$$

$$\omega_0 = \sqrt{\frac{k}{m}}, \quad \lambda = \frac{1}{2k}$$

$N = 32$



Coupling to phonons does not lead to a more severe sign problem!

$$K = A \sin(\varphi), \quad J = A \cos(\varphi), \quad A = \sqrt{K^2 + J^2}$$



Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24, 2025

Summary (I)

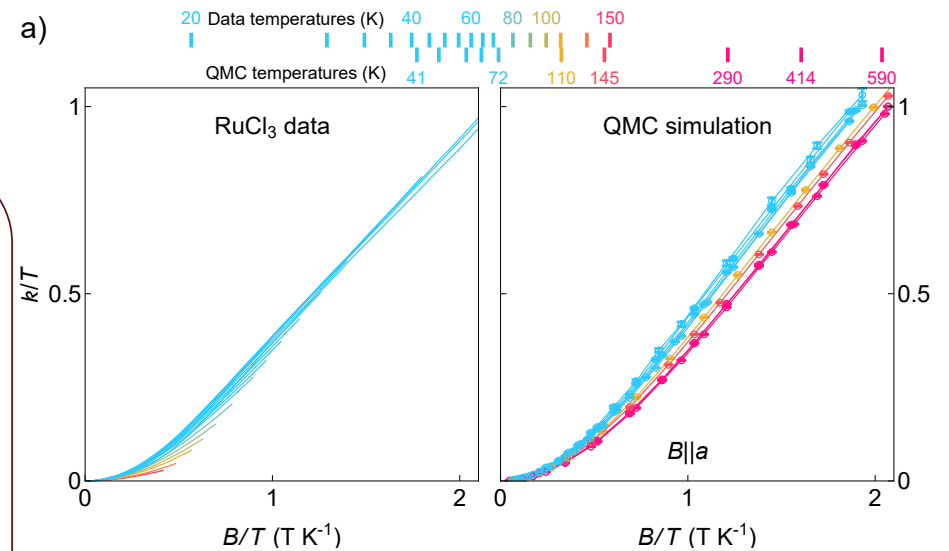
$$Z = \text{Tr} e^{-\beta \hat{H}} = \int D\{\Phi(i, \tau)\} e^{-S\{\Phi(i, \tau)\}}$$

We can simulate models of Kitaev materials down to experimentally relevant energy scales.

Tool to determine model parameters.

Coupling to phonons does not render the sign problem more severe.

There may be room for improvement: $2^3 \rightarrow 2^{3N}$ gauge variables.



Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24 2025

Phases with sign problem include:

Frustrated magnets

PHYSICAL REVIEW B **104**, L081106 (2021)

Letter

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PHYSICAL REVIEW B **110**, L201114 (2024)

Letter

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D. Luitz

Local moments in metallic environments

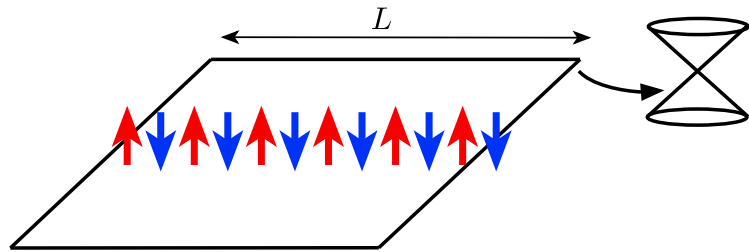
Local moments in metals

A local moment is generated by a repulsive Hubbard term that localizes a single electron without breaking spin rotational symmetry:

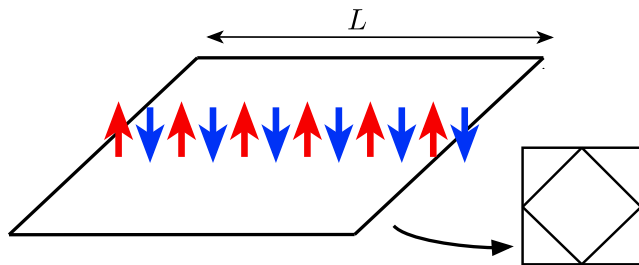
$$U (\hat{n}_r - 1)^2$$

Particle-hole symmetry is required to avoid the negative sign problem. For a **dense** number of local moments this invariably leads to an antiferromagnetic instability and an insulating state.

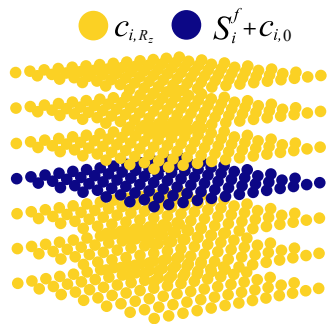
Local moments in metals



Dimensional mismatch Kondo systems



$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_{\mathbf{r}} \hat{c}_{\mathbf{r}}^\dagger \boldsymbol{\sigma} \hat{c}_{\mathbf{r}} \cdot \hat{\mathbf{S}}_{\mathbf{r}} + J_h \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \hat{\mathbf{S}}_{\mathbf{r}} \cdot \hat{\mathbf{S}}_{\mathbf{r}'}$$



Magnetic impurities are sub-intensive

System remains metallic even at particle-hole symmetry

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \boldsymbol{\sigma} \hat{c}_r \cdot \hat{\mathbf{S}}_r + J_h \sum_{\langle r,r' \rangle} \hat{\mathbf{S}}_r \cdot \hat{\mathbf{S}}_{r'}$$

Field theory:

$$\hat{\mathbf{S}}_r = \frac{1}{2} \hat{\mathbf{f}}_r^\dagger \boldsymbol{\sigma} \hat{\mathbf{f}}_r \quad \text{with constraint} \quad \hat{\mathbf{f}}_r^\dagger \hat{\mathbf{f}}_r = 1$$

$$\text{Def} \quad \hat{\mathbf{f}}_r^\dagger = (\hat{f}_{r,\uparrow}^\dagger, \hat{f}_{r,\downarrow}^\dagger)$$

$$\begin{aligned} \hat{H} = & -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) - \frac{J_k}{8} \sum_r \left[(\hat{V}_r + \hat{V}_r^\dagger)^2 + (i\hat{V}_r - i\hat{V}_r^\dagger)^2 \right] \\ & - \frac{J_h}{8} \sum_{b=\langle r,r' \rangle} \left[(\hat{D}_b + \hat{D}_b^\dagger)^2 + (i\hat{D}_b - i\hat{D}_b^\dagger)^2 \right] + \underbrace{U \sum_r (\hat{\mathbf{f}}_r^\dagger \hat{\mathbf{f}}_r - 1)^2}_{= \hat{H}_U} \end{aligned}$$

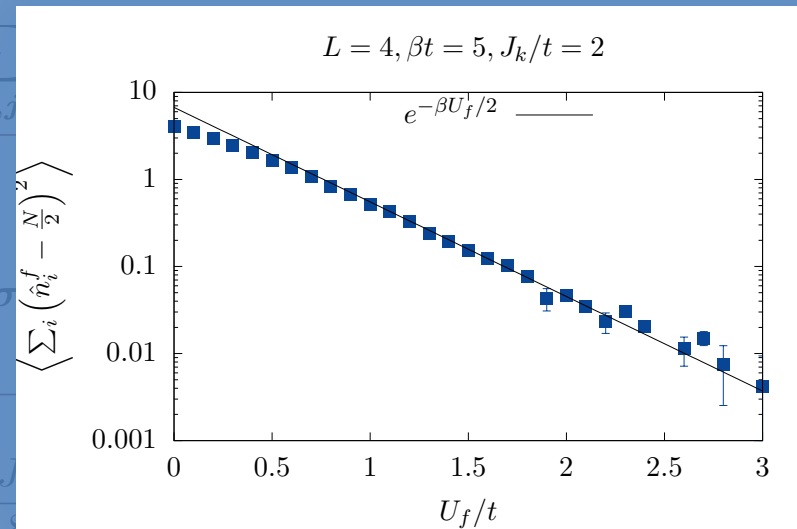
$$\hat{D}_b = \hat{\mathbf{f}}_r^\dagger \hat{\mathbf{f}}_{r'}, \quad \hat{V}_r = \hat{\mathbf{f}}_r^\dagger \hat{c}_r$$

Exact in the limit $U \rightarrow \infty$. But since $[\hat{H}_U, \hat{H}] = 0$ the constraint is imposed very efficiently.

$$\hat{H} = -t \sum_{\langle i,j \rangle} \hat{c}_i^\dagger \hat{c}_j + h.c.$$

Field theory:

$$\hat{S}_r = \frac{1}{2} \hat{f}_r^\dagger \hat{\sigma}_r \hat{f}_r$$



Def $\hat{f}_r^\dagger = (\hat{f}_{r,\uparrow}^\dagger, \hat{f}_{r,\downarrow}^\dagger)$

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.)$$

$$-\frac{J_h}{8} \sum_{b=\langle r,r' \rangle} \left[(\hat{D}_b + \hat{D}_b^\dagger)^2 + (i\hat{D}_b - i\hat{D}_b^\dagger)^2 \right] + U \underbrace{\sum_r (\hat{f}_r^\dagger \hat{f}_r - 1)^2}_{= \hat{H}_U}$$

$$\hat{D}_b = \hat{f}_r^\dagger \hat{f}_{r'}, \quad \hat{V}_r = \hat{f}_r^\dagger \hat{c}_r$$

Exact in the limit $U \rightarrow \infty$. But since $[\hat{H}_U, \hat{H}] = 0$ the constraint is imposed very efficiently.

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) - \frac{J_k}{8} \sum_{\mathbf{r}} \left[\left(\hat{V}_{\mathbf{r}} + \hat{V}_{\mathbf{r}}^\dagger \right)^2 + \left(i\hat{V}_{\mathbf{r}} - i\hat{V}_{\mathbf{r}}^\dagger \right)^2 \right]$$

$$- \frac{J_h}{8} \sum_{b=\langle \mathbf{r}, \mathbf{r}' \rangle} \left[\left(\hat{D}_b + \hat{D}_b^\dagger \right)^2 + \left(i\hat{D}_b - i\hat{D}_b^\dagger \right)^2 \right] + U \sum_{\mathbf{r}} \left(\hat{f}_{\mathbf{r}}^\dagger \hat{f}_{\mathbf{r}} - 1 \right)^2$$

$$\hat{D}_b = \hat{f}_{\mathbf{r}}^\dagger \hat{f}_{\mathbf{r}'}, \quad \hat{V}_{\mathbf{r}} = \hat{f}_{\mathbf{r}}^\dagger \hat{c}_{\mathbf{r}}$$

$$b_{\mathbf{r}} = |b_{\mathbf{r}}| e^{i\varphi_{\mathbf{r}}} \quad \chi_b = |\chi_b| e^{i \int_{\mathbf{r}}^{\mathbf{r}'} \mathbf{a} \cdot d\mathbf{l}} \quad a_0$$

Partition function, $Z = \int D\{f^\dagger f\} D\{c^\dagger c\} D\{\chi_b\} D\{b_{\mathbf{r}}\} D\{a_0\} e^{-S}$ with, for $U \rightarrow \infty$

$$S = \int_0^\beta d\tau \left\{ \frac{2}{J_h} \sum_b |\chi_b(\tau)|^2 + \frac{2}{J_k} \sum_{\mathbf{r}} |b_{\mathbf{r}}(\tau)|^2 + \sum_{i,j} \mathbf{c}_i^\dagger(\tau) [\partial_\tau \delta_{i,j} - T_{i,j}] \mathbf{c}_j(\tau) \right.$$

$$+ \sum_{\mathbf{r}} |b_{\mathbf{r}}(\tau)| \left[e^{i\varphi_{\mathbf{r}}(\tau)} \mathbf{f}_{\mathbf{r}}^\dagger(\tau) \mathbf{c}_{\mathbf{r}}(\tau) + h.c. \right]$$

$$\left. + \sum_{\mathbf{r}} \mathbf{f}_{\mathbf{r}}^\dagger(\tau) [\partial_\tau - ia_{0,\mathbf{r}}(\tau)] \mathbf{f}_{\mathbf{r}}(\tau) + ia_{0,\mathbf{r}}(\tau) + \sum_{b=\langle \mathbf{r}, \mathbf{r}' \rangle} |\chi_b(\tau)| \left[\mathbf{f}_{\mathbf{r}}^\dagger(\tau) e^{-i \int_{\mathbf{r}}^{\mathbf{r}'} \mathbf{a}(l,\tau) dl} \mathbf{f}_{\mathbf{r}'}(\tau) + h.c. \right] \right.$$

$$\begin{aligned}
 S = \int_0^\beta d\tau & \left\{ \frac{2}{J_h} \sum_b |\chi_b(\tau)|^2 + \frac{2}{J_k} \sum_{\mathbf{r}} |b_{\mathbf{r}}(\tau)|^2 + \sum_{i,j} \mathbf{c}_i^\dagger(\tau) [\partial_\tau \delta_{i,j} - T_{i,j}] \mathbf{c}_j(\tau) \right. \\
 & + \sum_{\mathbf{r}} |b_{\mathbf{r}}(\tau)| \left[e^{i\varphi_{\mathbf{r}}(\tau)} \mathbf{f}_{\mathbf{r}}^\dagger(\tau) \mathbf{c}_{\mathbf{r}}(\tau) + h.c. \right] \\
 & \left. + \sum_{\mathbf{r}} \mathbf{f}_{\mathbf{r}}^\dagger(\tau) [\partial_\tau - ia_{0,\mathbf{r}}(\tau)] \mathbf{f}_{\mathbf{r}}(\tau) + ia_{0,\mathbf{r}}(\tau) + \sum_{b=\langle \mathbf{r}, \mathbf{r}' \rangle} |\chi_b(\tau)| \left[\mathbf{f}_{\mathbf{r}}^\dagger(\tau) e^{-i \int_{\mathbf{r}}^{\mathbf{r}'} \mathbf{a}(l,\tau) dl} \mathbf{f}_{\mathbf{r}'}(\tau) + h.c. \right] \right\}
 \end{aligned}$$

Local U(1) gauge invariance:

$$\begin{aligned}
 \mathbf{f}_{\mathbf{r}}^\dagger(\tau) & \rightarrow \mathbf{f}_{\mathbf{r}}^\dagger(\tau) e^{i\eta_{\mathbf{r}}(\tau)} \\
 \mathbf{c}_{\mathbf{r}}^\dagger(\tau) & \rightarrow \mathbf{c}_{\mathbf{r}}^\dagger(\tau) \\
 \left[\begin{array}{ll} a_{0,\mathbf{r}}(\tau) & \rightarrow a_{0,\mathbf{r}}(\tau) - \partial_\tau \eta_{\mathbf{r}}(\tau) \\ \mathbf{a}_{\mathbf{r}}(\tau) & \rightarrow \mathbf{a}_{\mathbf{r}}(\tau) - \nabla_{\mathbf{r}} \eta_{\mathbf{r}}(\tau) \\ \varphi_{\mathbf{r}}(\tau) & \rightarrow \varphi_{\mathbf{r}}(\tau) - \eta_{\mathbf{r}}(\tau) \end{array} \right]
 \end{aligned}$$

Other symmetry allowed terms, such as U(1) flux, and dynamics of the b-field, will be dynamically generated.

$$\begin{aligned}
 S = \int_0^\beta d\tau & \left\{ \frac{2}{J_h} \sum_b |\chi_b(\tau)|^2 + \frac{2}{J_k} \sum_r |b_r(\tau)|^2 + \sum_{i,j} c_i^\dagger(\tau) [\partial_\tau \delta_{i,j} - T_{i,j}] c_j(\tau) \right. \\
 & + \sum_r |b_r(\tau)| \left[e^{i\varphi_r(\tau)} f_r^\dagger(\tau) c_r(\tau) + h.c. \right] \\
 & \left. + \sum_r f_r^\dagger(\tau) [\partial_\tau - ia_{0,r}(\tau)] f_r(\tau) + ia_{0,r}(\tau) + \sum_{b=\langle r,r' \rangle} |\chi_b(\tau)| \left[f_r^\dagger(\tau) e^{-i \int_r^{r'} a(l,\tau) dl} f_{r'}(\tau) + h.c. \right] \right\}
 \end{aligned}$$

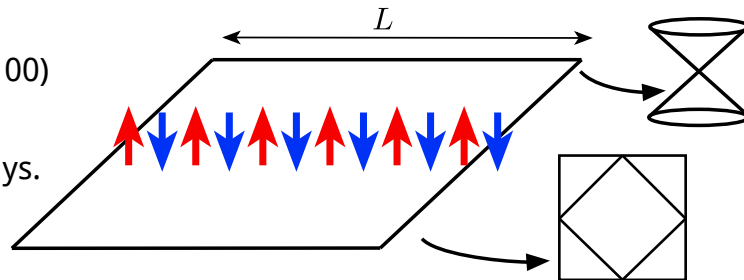
Classification of Phases	$\langle f_r^\dagger \sigma f_r \rangle \neq 0$	$\langle b_r \rangle \neq 0$ $e^{i\varphi} f^\dagger = \tilde{f}^\dagger$	Gauge field
Kondo	X	✓	confined
SDW	✓	X	confined
Kondo + SDW	✓	✓	confined
FL*	X	X	De-confined
SDW*	✓	X	De-confined

Local moments in metals

Toy models to realize **metallic** phases and phase transitions in Kondo systems (FL*, FL, LRO) without confronting the sign problem.

Co atoms
on Cu₂N/Cu(100)

R. Toskovic
et al. Nat. Phys.
2016



Kondo breakdown transitions and phases

B. Danu, M. Vojta, FFA, and T. Grover, Phys. Rev. Lett. 125 (2020), 206602.

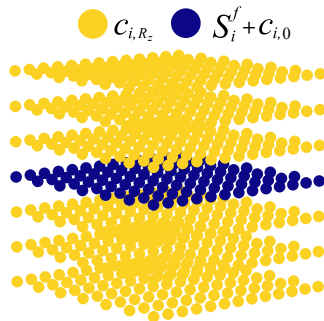
Dissipation induced magnetic order-disorder transitions

B. Danu, M. Vojta, T. Grover FFA, Phys. Rev. B 106 (2022), L161103.

M. Weber, D. J. Luitz, and FFA, Phys. Rev. Lett. 129 (2022), 056402.

LaIn₃/CeIn₃

H. Shishido et al.
Science 2010



Marginal Fermi liquid at magnetic quantum criticality
from dimensional confinement

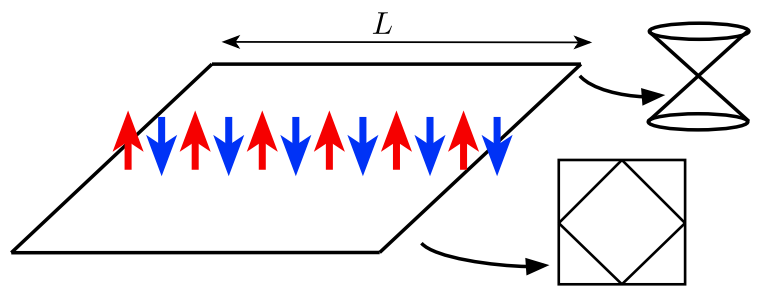
Zi Hong Liu, B. Frank, L. Janssen, M. Vojta, FFA, Phys. Rev. B 107, 165104 (2023)

B. Frank, Zi Hong Liu, FFA, M. Vojta, and L. Janssen, Phys. Rev. B 108 (2023), L100405.

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \boldsymbol{\sigma} \hat{c}_r \cdot \hat{\mathbf{S}}_r + J_h \sum_{\langle r,r' \rangle} \hat{\mathbf{S}}_r \cdot \hat{\mathbf{S}}_{r'}$$

Local moments in metals

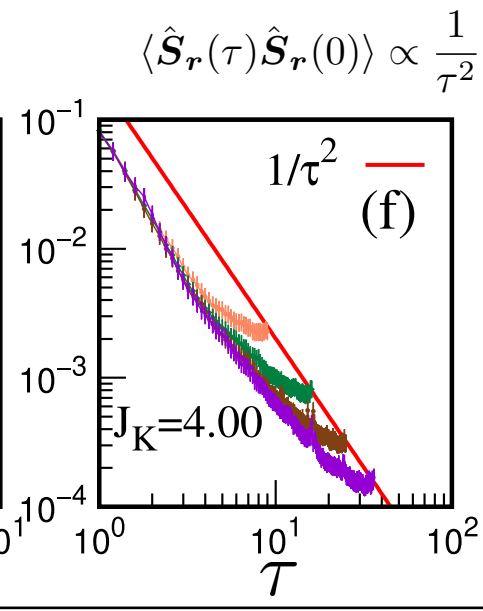
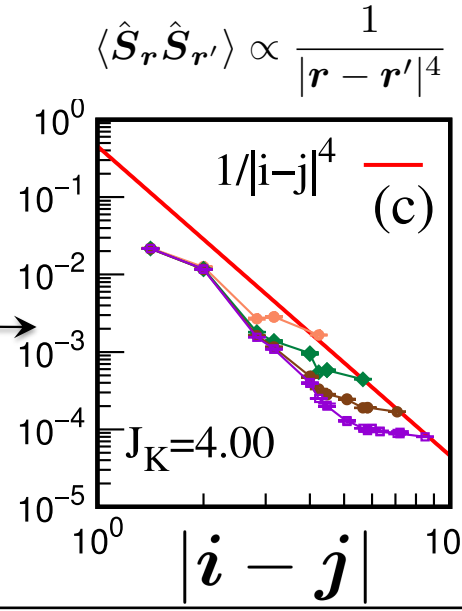
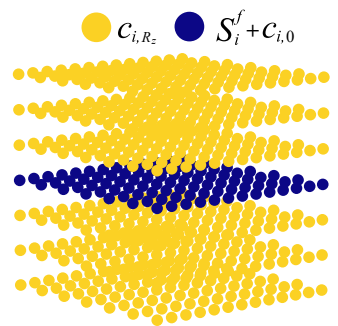
Kondo phase @ $J_k/t \gg 1$



$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \sigma \hat{c}_r \cdot \hat{S}_r + J_h \sum_{\langle r,r' \rangle} \hat{S}_r \cdot \hat{S}_{r'}$$

$e^{i\varphi} f^\dagger = \tilde{f}^\dagger$ Emergent composite fermion that participates in Luttinger count

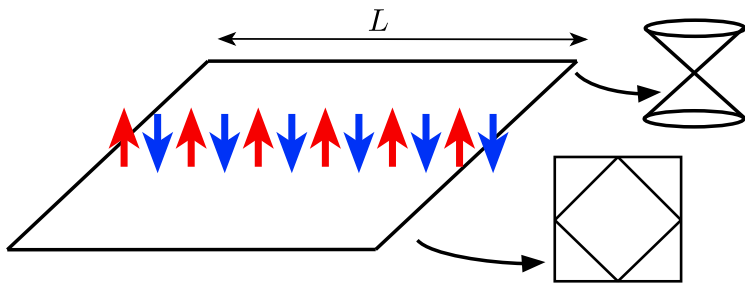
Spin-spin correlations inherit power-law of conduction electrons.



$$\langle f_i^\dagger(\tau) \sigma f_i(\tau) \cdot f_j^\dagger(0) \sigma f_j(0) \rangle = \langle \tilde{f}_i^\dagger(\tau) \sigma \tilde{f}_i(\tau) \cdot \tilde{f}_j^\dagger(0) \sigma \tilde{f}_j(0) \rangle$$

Spin chain on semi-metal

B. Danu, M. Vojta, FFA, and T. Grover, Phys. Rev. Lett. 125 (2020), 206602.



$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \boldsymbol{\sigma} \hat{c}_r \cdot \hat{\mathbf{S}}_r + J_h \sum_{\langle r,r' \rangle} \hat{\mathbf{S}}_r \cdot \hat{\mathbf{S}}_{r'}$$

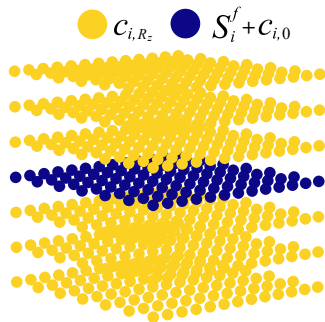
Integrate out electrons à la Hertz-Millis

$$\mathcal{S}(\mathbf{n}) = \mathcal{S}_{\text{spin}}(\mathbf{n}) + \mathcal{S}_{\text{diss}}(\mathbf{n}) + \dots$$

$$\mathcal{S}_{\text{diss}}(\mathbf{n}) = \frac{J_k^2}{8} \int d\tau d\tau' \sum_{\mathbf{r}, \mathbf{r}'} \mathbf{n}_{\mathbf{r}}(\tau) \chi^0(\mathbf{r} - \mathbf{r}', \tau - \tau') \mathbf{n}_{\mathbf{r}'}(\tau').$$

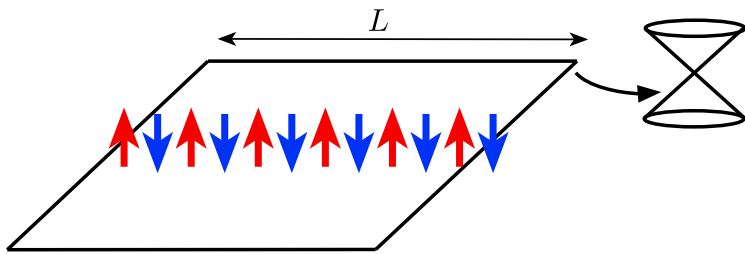


Spin susceptibility of the host metal



Spin chain on semi-metal

B. Danu, M. Vojta, FFA, and T. Grover, Phys. Rev. Lett. 125 (2020), 206602.



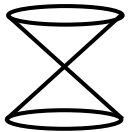
$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \boldsymbol{\sigma} \hat{c}_r \cdot \hat{\mathbf{S}}_r + J_h \sum_{\langle r,r' \rangle} \hat{\mathbf{S}}_r \cdot \hat{\mathbf{S}}_{r'}$$

Integrate out electrons à la Hertz-Millis

$$\mathcal{S}(\mathbf{n}) = \mathcal{S}_{\text{spin}}(\mathbf{n}) + \mathcal{S}_{\text{diss}}(\mathbf{n}) + \dots$$

$$\mathcal{S}_{\text{diss}}(\mathbf{n}) = \frac{J_k^2}{8} \int d\tau d\tau' \sum_{\mathbf{r}, \mathbf{r}'} \mathbf{n}_{\mathbf{r}}(\tau) \chi^0(\mathbf{r} - \mathbf{r}', \tau - \tau') \mathbf{n}_{\mathbf{r}'}(\tau').$$

$$\Delta_n = \frac{1}{2}$$



$$\chi^0(\mathbf{0}, \tau - \tau') \propto \frac{1}{v_F^2 (\tau - \tau')^4}$$

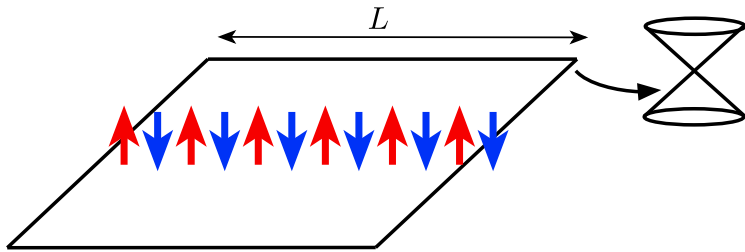
$$\chi^0(\mathbf{r}e_x, 0) \propto \frac{1}{r^4}$$

Kondo is irrelevant

For: $\mathbf{r} \rightarrow \lambda \mathbf{r}, \tau \rightarrow \lambda \tau,$ $\mathcal{S}_{\text{diss}}(\mathbf{n}) = \frac{J_k^2}{8} \int d\tau d\tau' d\mathbf{r} \mathbf{n}_{\mathbf{r}}(\tau) \chi^0(\mathbf{0}, \tau - \tau') \mathbf{n}_{\mathbf{r}}(\tau') \rightarrow \lambda^{-2} \mathcal{S}_{\text{diss}}(\mathbf{n})$

Spin chain on semi-metal

B. Danu, M. Vojta, FFA, and T. Grover, Phys. Rev. Lett. 125 (2020), 206602.

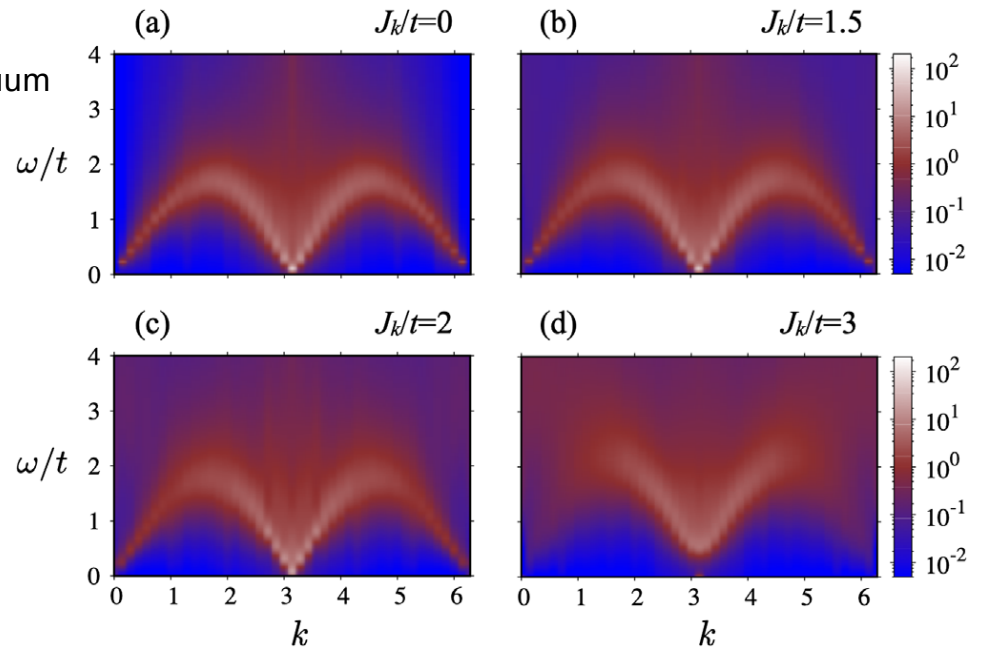
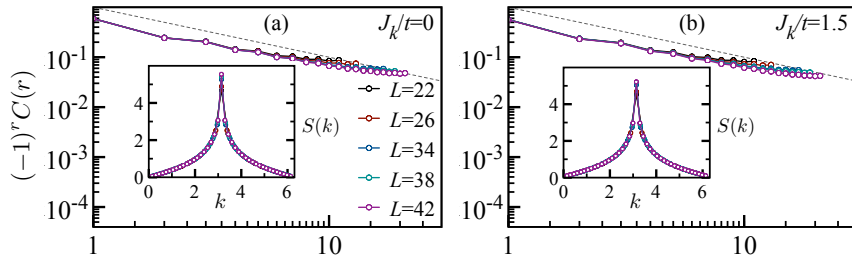


$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \boldsymbol{\sigma} \hat{c}_r \cdot \hat{\mathbf{S}}_r + J_h \sum_{\langle r,r' \rangle} \hat{\mathbf{S}}_r \cdot \hat{\mathbf{S}}_{r'}$$

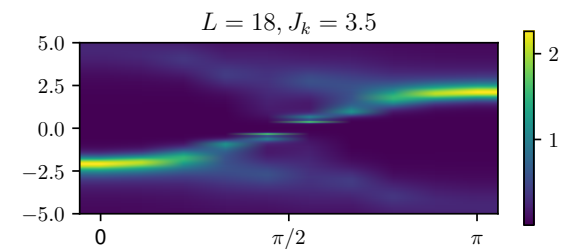
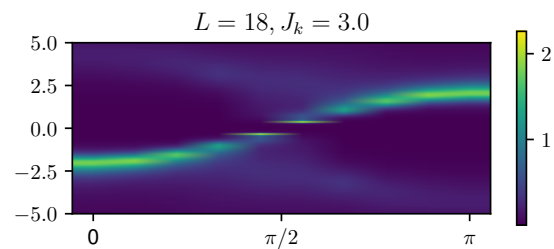
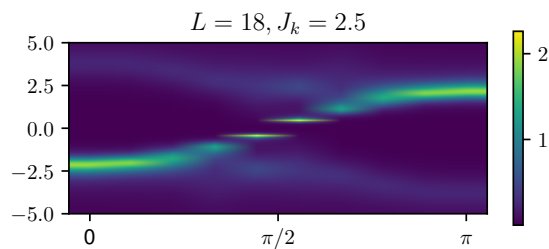
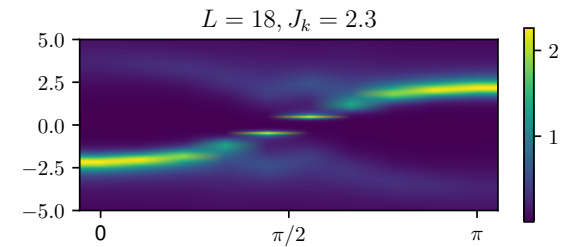
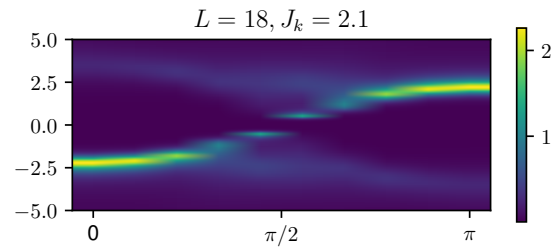
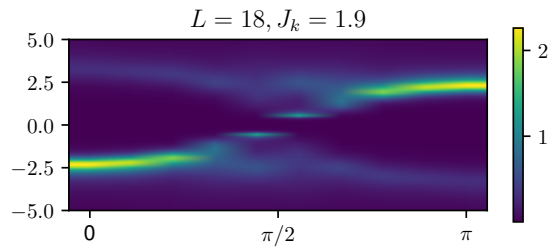
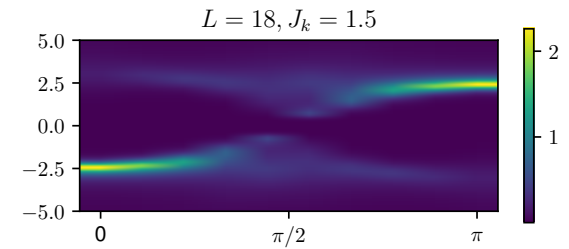
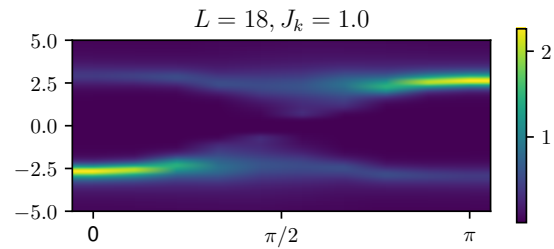
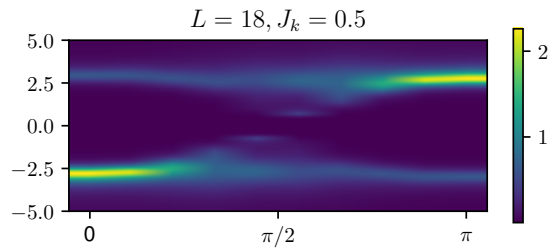
$$S(\mathbf{q}, \omega) = \frac{\chi''(\mathbf{q}, \omega)}{1 - e^{-\beta\omega}}$$

Two
spinon
continuum

$S(\mathbf{q})$

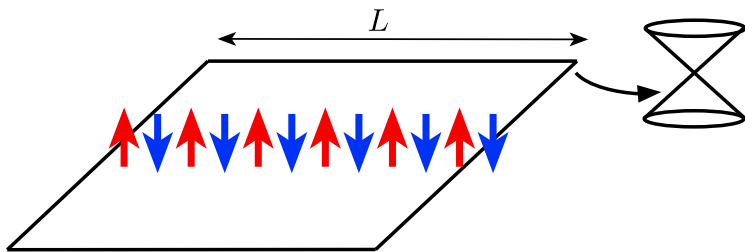


$e^{i\varphi} f^\dagger = \tilde{f}^\dagger$ Composite fermion spectral function



Spin chain on semi-metal

B. Danu, M. Vojta, FFA, and T. Grover, Phys. Rev. Lett. 125 (2020), 206602.



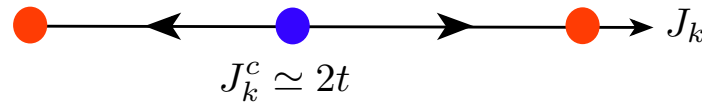
$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_r \hat{c}_r^\dagger \sigma \hat{c}_r \cdot \hat{S}_r + J_h \sum_{\langle r,r' \rangle} \hat{S}_r \cdot \hat{S}_{r'}$$

FL*

Heavy fermion
metal

$$\langle b \rangle = 0, \langle n \rangle = 0$$

$$\langle b \rangle \neq 0, \langle n \rangle = 0$$

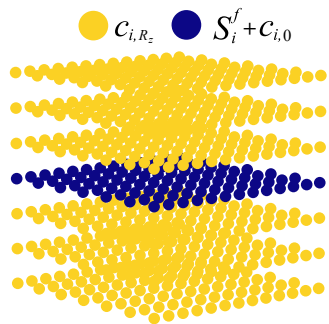
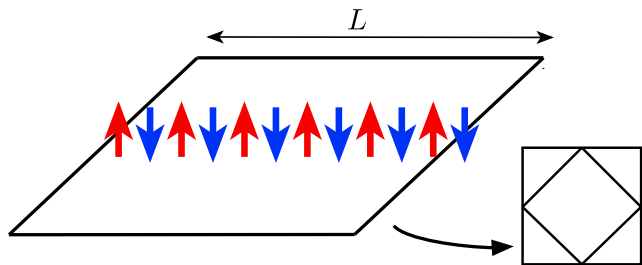
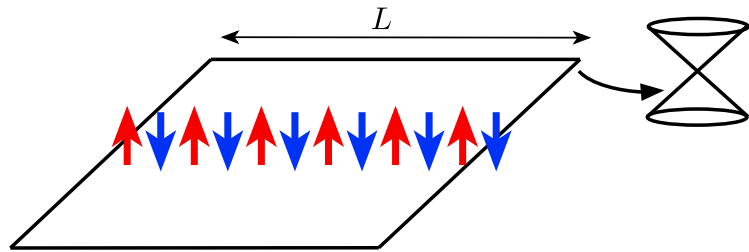


Questions:

- 1) Critical exponents ?
- 2) Transport ?
- 3) Unique realization of Kondo Breakdown transition →
playground to investigate various entanglement measures
and witnesses. F. Mazza et al. arXiv:2403.12779.

Local moments in metals

Summary II



Dimensional mismatch Kondo systems

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + h.c.) + \frac{J_k}{2} \sum_{\mathbf{r}} \hat{c}_{\mathbf{r}}^\dagger \boldsymbol{\sigma} \hat{c}_{\mathbf{r}} \cdot \hat{\mathbf{S}}_{\mathbf{r}} + J_h \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \hat{\mathbf{S}}_{\mathbf{r}} \cdot \hat{\mathbf{S}}_{\mathbf{r}'}$$

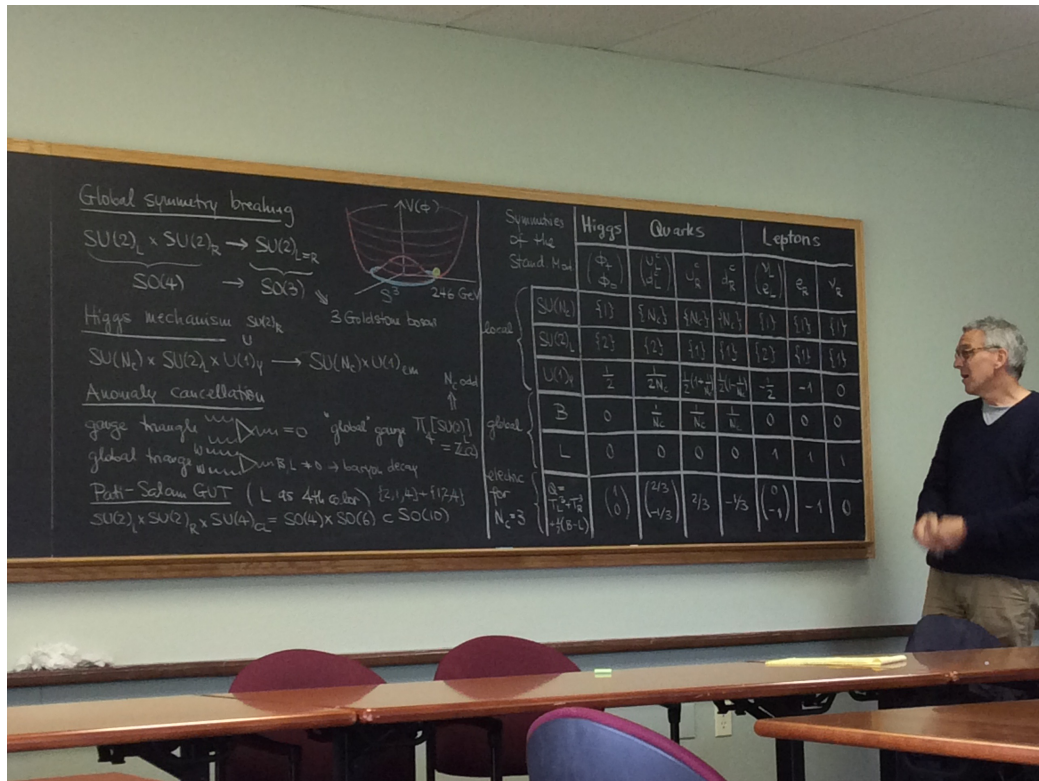
Magnetic impurities are sub-intensive

No nesting instability even at particle-hole symmetry

Negative sign free models that realize metallic phases of heavy fermion systems. Experimentally relevant.

Fakher F. Assaad, Workshop on the sign problem in QCD and beyond, Bern, January 20-24, 2025

Aspen, June, 2015



Thank you for promoting interdisciplinary research !