How many states are gauge-invariant?



Alessandro Mariani University of Turin, Italy

Some sign problems are hard

Example: real-time dynamics:

$$|\psi(t)\rangle = e^{-iHt}|\psi(0)\rangle$$

Interest in Hamiltonian methods: tensor networks, quantum simulation, etc



Some sign problems are hard

Example: real-time dynamics:

$$|\psi(t)\rangle = e^{-iHt}|\psi(0)\rangle$$

Interest in Hamiltonian methods: tensor networks, quantum simulation, etc



Some theories of interest are gauge theories.

Only states which satisfy the Gauss law are physical.

Some theories of interest are gauge theories.

Only states which satisfy the Gauss law are physical.

Usually, (e.g.) start with exact diagonalization: It is useful to know **how many states are gauge-invariant:** 1) Resource estimation 2) Crosscheck



 \mathcal{H}_{tot}

Bosonic QFTs have infinite-dimensional Hilbert space

Many ways have been designed to make the Hilbert space finite-dimensional:

Bosonic QFTs have infinite-dimensional Hilbert space

Many ways have been designed to make the Hilbert space finite-dimensional:

Quantum Link Models

Truncation in electric field basis

Finite subgroups

Orbifold

q-deformation

Mixed basis

Fuzzy

Finite subsets

Many more...

Bosonic QFTs have infinite-dimensional Hilbert space

Many ways have been designed to make the Hilbert space finite-dimensional:



Bosonic QFTs have infinite-dimensional Hilbert space

Many ways have been designed to make the Hilbert space finite-dimensional:



Finite gauge groups

Idea: replace the gauge group (a Lie group) with a finite subgroup G.

e.g. $\mathbb{Z}_N \leq U(1),$ $Q_8 \leq SU(2),$ $S(1080) \leq SU(3)$

The link variable $U \in G$ can take only finitely-many values. \longrightarrow Hilbert space is finite-dimensional.

 Continuum limit via improved actions [Alexandru et al '19]
 Can construct Hamiltonian [Orland '91, Harlow & Ooguri '18, Mariani, Pradhan, Ercolessi '23]



[Hasenfratz & Niedermayer '01]

Setting: discretize space as a **graph**:



Setting: discretize space as a graph:



Many interesting special cases:

hypercubic lattices in *d* dimensions, with open, periodic or mixed boundary conditions



triangular, honeycomb lattices, etc.



Setting: discretize space as a graph:



Put one group element $g_l \in G$ per link l

Orthonormal basis of Hilbert space $|g_l\rangle$

$$\mathcal{H}_{tot} = \bigotimes_{links} \mathbb{C}[G]$$

Many interesting special cases:

hypercubic lattices in *d* dimensions, with open, periodic or mixed boundary conditions



triangular, honeycomb lattices, etc.



Setting: discretize space as a **graph**:



Put one group element $g_l \in G$ per link l

Orthonormal basis of Hilbert space $|g_l\rangle$

$$\mathcal{H}_{tot} = \bigotimes_{links} \mathbb{C}[G]$$

Gauge transformations act as:

$$\mathcal{G}|g_l\rangle = |g_x g_l g_y^{-1}\rangle$$



Setting: discretize space as a **graph**:



Put one group element $g_l \in G$ per link l

Orthonormal basis of Hilbert space $|g_l\rangle$

$$\mathcal{H}_{tot} = \bigotimes_{links} \mathbb{C}[G]$$

Same action On every link Gauge transformations act as: $\mathcal{G}|g_l\rangle = |g_x g_l g_y^{-1}\rangle$



Setting: discretize space as a **graph**:



Put one group element $g_l \in G$ per link l

Orthonormal basis of Hilbert space $|g_l\rangle$

$$\mathcal{H}_{tot} = \bigotimes_{links} \mathbb{C}[G]$$

Same action On every link Gauge transformations act as: $G|g_l\rangle = |g_x g_l g_y^{-1}\rangle$

Gauge-invariant states satisfy:

 $\mathcal{G}|\psi
angle = |\psi
angle$ They form the physical Hilbert space \mathcal{H}_{phys}

Counting gauge-invariant states

Write down explicit projector $P: \mathcal{H}_{tot} \rightarrow \mathcal{H}_{phys}$

$$P = \frac{1}{|G|^V} \sum_{\mathcal{G} \in G^V} \mathcal{G}$$

$$P^2 = P$$

[Mariani, Pradhan, Ercolessi 2023] [Mariani 2024, Mariani (in prep.)]

Counting gauge-invariant states

Write down explicit projector $P: \mathcal{H}_{tot} \rightarrow \mathcal{H}_{phys}$

$$P = \frac{1}{|G|^V} \sum_{\mathcal{G} \in G^V} \mathcal{G}$$

$$\dim \mathcal{H}_{phys} = \operatorname{tr} P = \frac{1}{|G|^V} \sum_{\mathcal{G} \in G^V} \operatorname{tr} \mathcal{G}$$



[Mariani, Pradhan, Ercolessi 2023] [Mariani 2024, Mariani (in prep.)]

The dimension of the physical subspace

For a **pure gauge theory** with arbitrary finite group *G* on an arbitrary lattice with *V* sites and *E* links:

$$\dim \mathcal{H}_{tot} = |G|^E$$

$$\dim \mathcal{H}_{phys} = \sum_{C} \left(\frac{|G|}{|C|} \right)^{E-V}$$

C are the **conjugacy classes** of *G*, i.e. g_1 and g_2 are in the same *C* iff $g_2 = g g_1 g^{-1}$.

Remember assumption: Gauss law the same everywhere.



[Mariani, Pradhan, Ercolessi 2023] [Mariani 2024]

Variants of this formula: scalar fields

Can also derive a formula for gauge+scalar theories:

$$\dim \mathcal{H}_{phys} = \sum_{C} \left(\frac{|G|}{|C|} \right)^{E-V} \chi(C)^{V}$$

 $\chi = tr\rho$ is the character of the gauge representation ρ of the scalar field.

Scalar field valued in an arbitrary finite set S, its local Hilbert space is $\mathbb{C}[S]$.



Variants of this formula: arbitrary charges

If we put arbitrary charges on the lattice sites, get instead:

$$\dim \mathcal{H}_{phys} = \sum_{C} \left(\frac{|G|}{|C|} \right)^{E-V} \prod_{x} \chi_{x}(C)$$

 $\chi_x = tr \rho_x$ is the character of the representation ρ_x at site x.



Variants of this formula: arbitrary charges

If we put arbitrary charges on the lattice sites, get instead:

$$\dim \mathcal{H}_{phys} = \sum_{C} \left(\frac{|G|}{|C|} \right)^{E-V} \prod_{\chi} \chi_{\chi}(C)$$

 $\chi_x = tr \rho_x$ is the character of the representation ρ_x at site *x*.

Example: no charged states on a torus. \mathbb{Z}_N theory. Place q = 1 charge on each site. $\dim \mathcal{H}_{phys} = N^{E-V} \sum_{k=0}^{N-1} e^{2\pi i k \frac{V}{N}}$ which is zero unless $Q_{tot} = V \equiv 0 \pmod{N}$.



Variants of this formula: arbitrary charges

If we put arbitrary charges on the lattice sites, get instead:

$$\dim \mathcal{H}_{phys} = \sum_{C} \left(\frac{|G|}{|C|} \right)^{E-V} \prod_{\chi} \chi_{\chi}(C)$$

 $\chi_x = tr \rho_x$ is the character of the representation ρ_x at site *x*.

Example: Dimer model.

$$\mathbb{Z}_N$$
 theory. Stagger $q = \pm 1$ charges.
 $\dim \mathcal{H}_{phys} = N^{E-V} \sum_{k=0}^{N-1} |e^{2\pi i k \frac{V}{N}}| = N^{E-V+1}$
which is not zero!



Variants of this formula: C-periodic boundaries

More general boundary conditions can also be treated with the same method.

Example: C-periodic boundary conditions

[Kronfeld & Wiese (1991), Wiese (1992)]



Total Hilbert space is the same, but extended operators (e. g. Gauss law) are modified.

Variants of this formula: C-periodic boundaries

More general boundary conditions can also be treated with the same method.

Example: C-periodic boundary conditions

$$\dim \mathcal{H}_{phys} = \sum_{C, C=C^{-1}} \left(\frac{|G|}{|C|} \right)^{E-V}$$

i.e. sum over only those conjugacy classes C which are self-inverse (they contain all their inverses).

[Kronfeld & Wiese (1991), Wiese (1992)]



Total Hilbert space is the same, but extended operators (e. g. Gauss law) are modified.

Variants of this formula: C-periodic boundaries

More general boundary conditions can also be treated with the same method.

Example: C-periodic boundary conditions

$$\dim \mathcal{H}_{phys} = \sum_{C, C=C^{-1}} \left(\frac{|G|}{|C|} \right)^{E-V}$$

Example: charged states on a C-per torus.

 \mathbb{Z}_N theory. Place q = 1 charge on each site.

$$\dim \mathcal{H}_{phys} = N^{E-V} \sum_{k=0}^{0} e^{2\pi i k \frac{V}{N}} = N^{E-V}$$

which is not zero!

[Kronfeld & Wiese (1991), Wiese (1992)]



Total Hilbert space is the same, but extended operators (e. g. Gauss law) are modified.



(traced) Wilson loops **do not** necessarily span \mathcal{H}_{phys}

For SU(N) Wilson loops in the fundamental span \mathcal{H}_{phys} .

[Durhuus '80, Sengupta '94, Lévy '04]



See [Mariani '24] for a summary.



(traced) Wilson loops **do not** necessarily span \mathcal{H}_{phys}

For SU(N) Wilson loops in the fundamental span \mathcal{H}_{phys} .

For direct products of SU(N), U(N), SO(N), O(N) and Abelian groups, Wilson loops span \mathcal{H}_{phys} , but **all irreps may be needed** (e.g. SO(2N)).

For other groups such as G_2 it is **not known**.

[Durhuus '80, Sengupta '94, Lévy '04]



See [Mariani '24] for a summary.



(traced) Wilson loops **do not** necessarily span \mathcal{H}_{phys}

For SU(N) Wilson loops in the fundamental span \mathcal{H}_{phys} .

For direct products of SU(N), U(N), SO(N), O(N) and Abelian groups, Wilson loops span \mathcal{H}_{phys} , but **all irreps may be needed** (e.g. SO(2N)).

For other groups such as G_2 it is **not known**.

Cannot use Wilson loops for general description. (various other implications: entanglement entropy, etc) [Durhuus '80, Sengupta '94, Lévy '04]



See [Mariani '24] for a summary.

Gauge-equivalence classes?

Split configurations into gauge-equivalence classes X_i

$$|X_i\rangle = \frac{1}{\sqrt{|X_i|}} \sum_{\vec{g} \in X_i} |\vec{g}\rangle$$

i.e. superimpose all gauge-equivalent configurations.



Gauge-equivalence classes?

Split configurations into gauge-equivalence classes X_i

 $|X_i\rangle = \frac{1}{\sqrt{|X_i|}} \sum_{\vec{g} \in X_i} |\vec{g}\rangle$ i.e. superimpose all gauge-equivalent configurations.

We don't know the normalization

Gauge-equivalence classes do not all have the same size



Better way: use **holonomies** (untraced Wilson loops)

[Mariani '24] see also: [Durhuus '80] similar ideas in: [Grabowska, Kane, Bauer '24] [Burbano, Bauer '24]

Holonomy states

Construct basis of holonomies **based at the same point** (need E - V + 1):



 $h = g_1 g_2 g_3 g_4^{-1} g_1^{-1}$

[Durhuus '80]

Holonomy states

Construct basis of holonomies **based at the same point** (need E - V + 1):





 $h_1, h_2, \dots, h_{E-V+1}$

Gauge-invariant except at the base point:

 $h_i \to g h_i g^{-1}$ for all *i*

 $h = g_1 g_2 g_3 g_4^{-1} g_1^{-1}$

looks like this

[Durhuus '80]

Holonomy states

Construct basis of holonomies **based at the same point** (need E - V + 1):





 $h_1, h_2, \dots, h_{E-V+1}$

Gauge-invariant except at the base point:

 $h_i \to g h_i g^{-1}$ for all *i*

 $h = g_1 g_2 g_3 g_4^{-1} g_1^{-1}$

looks like this

[Durhuus '80]

Two configurations \vec{g} and \vec{g}' are gaugeequivalent iff their holonomies are related by conjugation, $h_i \rightarrow gh_ig^{-1}$ for all *i*.





- Local operators are *s*-sparse.

Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$



Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.



Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_1 = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.

Already known to mathematicians [Beck, Zlaslavsky '03]:

- *s* integer: integer *k*-flow (k = s + 1)
- *s* half-integer: odd-valued integer *k*-flow (k = 2s + 1)
- s = 1/2: nowhere-zero integer 2-flow



Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.

Already known to mathematicians [Beck, Zlaslavsky '03]:

- *s* integer: integer *k*-flow (k = s + 1)
- *s* half-integer: odd-valued integer *k*-flow (k = 2s + 1)
- s = 1/2: nowhere-zero integer 2-flow

Counting gauge-invariant states in the U(1) quantum link model is an **open problem** in graph theory.

Mathematicians have shown that $\dim \mathcal{H}_{phys}^{QLM} = \text{polynomial in } s.$ [Kochol '02]

Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.

Already known to mathematicians [Beck, Zlaslavsky '03]:

- *s* integer: integer *k*-flow (k = s + 1)
- *s* half-integer: odd-valued integer *k*-flow (k = 2s + 1)
- s = 1/2: nowhere-zero integer 2-flow

Counting gauge-invariant states in the U(1) quantum link model is an **open problem** in graph theory.

Mathematicians have shown that $\dim \mathcal{H}_{phys}^{QLM} = \text{polynomial in } s.$ [Kochol '02]

dim \mathcal{H}_{phys}^{QLM} does not depend simply on *E* and *V* (more geometric info needed)

Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.

Already known to mathematicians [Beck, Zlaslavsky '03]:

- *s* integer: integer *k*-flow (k = s + 1)
- *s* half-integer: odd-valued integer *k*-flow (k = 2s + 1)
- s = 1/2: nowhere-zero integer 2-flow

Counting gauge-invariant states in the U(1) quantum link model is an **open problem** in graph theory.

Mathematicians have shown that $\dim \mathcal{H}_{phys}^{QLM} = \text{polynomial in } s.$ [Kochol '02]

dim \mathcal{H}_{phys}^{QLM} does not depend simply on *E* and *V* (more geometric info needed)

On an arbitrary graph, with arbitrary spin on each link, and arbitrary charges the problem is #P-hard. [e.g. Baldoni-Silva et al '03]

Consider the U(1) Quantum Link Model on an arbitrary graph, with spin $s \in \frac{1}{2}\mathbb{Z}$.

On each link the electric field takes a value $j_l = -s, -s + 1, ..., s - 1, s$

Gauge-invariant states (for example on a square lattice) satisfy

 $j_1 + j_2 - j_3 - j_4 = 0$ For the four links attached to the site.

Compare with \mathbb{Z}_N , N = 2s + 1 where the condition is $j_1 + j_2 - j_3 - j_4 = 0 \pmod{N}$ where j = 0, 1, ..., N - 1. Then here the answer is N^{E-V+1}



Conclusions

Can compute dim \mathcal{H}_{phys} for finite groups in various settings.

Fermions anticommute at arbitrary distance: non-local Hilbert space. **Difficult (work in progress)**

Can we do gauge anomalies? [Witten '82] i.e. Gauge anomaly $\leftrightarrow \dim \mathcal{H}_{phys} = 0$?

Backup slides

Gauge anomalies?

Can compute dim \mathcal{H}_{phys} for finite groups in various settings.

Fermions anticommute at arbitrary distance: non-local Hilbert space. Difficult (work in progress)

Idea: can we detect anomalies by computing dim \mathcal{H}_{phys} ?

Example. [Witten '82] Global anomaly for odd no. of SU(2) left-handed fermion doublets.

Consequence: Z = 0 $\langle O \rangle = \frac{0}{0}$

Gauge anomalies?

Can compute dim \mathcal{H}_{phys} for finite groups in various settings.

Fermions anticommute at arbitrary distance: non-local Hilbert space. Difficult (work in progress)

Idea: can we detect anomalies by computing dim \mathcal{H}_{phys} ?

Example. [Witten '82] Global anomaly for odd no. of SU(2) left-handed fermion doublets.

Consequence: Z = 0 $\langle O \rangle = \frac{0}{0}$

Why?
$$Z = \operatorname{tr}(e^{-H}P)$$
 $\langle O \rangle = \frac{\operatorname{tr}(e^{-H}OP)}{\operatorname{tr}(e^{-H}P)}$

P is the projector on the gauge-invariant states

i.e. no gauge-invariant states!

Anomalies on the lattice: [Lüscher '98, Bär '02]

What about electric field truncations?

Choose an eigenbasis of the electric field $|jmn\rangle$, where *j* indexes the irreps of SU(N). Truncate to $j \le j_{max}$.

What about electric field truncations?

Choose an eigenbasis of the electric field $|jmn\rangle$, where *j* indexes the irreps of SU(N). Truncate to $j \le j_{max}$.

Can write again:

$$\dim \mathcal{H}_{phys} = \operatorname{tr} P = \frac{1}{|G|^V} \sum_{\mathcal{G} \in G^V} \operatorname{tr} \mathcal{G}$$

What about electric field truncations?

Choose an eigenbasis of the electric field $|jmn\rangle$, where *j* indexes the irreps of SU(N). Truncate to $j \le j_{max}$.

Can write again:

$$\dim \mathcal{H}_{phys} = \operatorname{tr} P = \frac{1}{|G|^V} \sum_{\mathcal{G} \in G^V} \operatorname{tr} \mathcal{G}$$

To compute the trace, need character identity:

$$\sum_{j \in \text{Irrep}} \chi_j(g)^* \chi_j(h) = \begin{cases} \frac{|G|}{|C|} & \text{if } g, h \in C \text{ (same conjugacy class)} \\ 0 & \text{otherwise} \end{cases}$$

But if we keep only some irreps (i.e. $j \le j_{max}$) the formula no longer simplifies.

Finite subgroups

For finite subgroup $G \leq SU(N)$ in 4D (zero temperature, pure gauge)



For *G* finite, the transition is only **first order** → effective theory → requires **improvement**

Q: Is there an action/formulation with a *second-order* transition?



Laplacian on a discrete group

Hamiltonian requires $tr(E^2)$ but for a finite group *E* does not exist!

But this is a Laplacian in group space! ----- Construct Laplacian for finite groups

Geometric structure of a finite group is a graph:

Vertices = group elements *Edges* based on **multiplication structure**.



Example for the finite group

$$D_4 = \{1, r, r^2, r^3, s, rs, r^2s, r^3s\}$$

[Mariani & Ercolessi '20] but see also [Orland '91] [Caspar et al '16] [Harlow & Ooguri '18]

Every graph has a graph Laplacian!