# Complex instanton gas approximation for the Hubbard model away of half-filling

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> Phys. Rev. D 101, 014508; Phys. Rev. B 107, 045143; arXiv:2407.09452

### Goals

Systematic study of the thimbles decomposition of the path integral with the aim to develop an effective model predicting the phase and weight of thimbles without actual QMC simulation.

This model can be used:

- 1) to draw general conclusions about the difficulty of the sign problem;
- to construct approximations extending to the regions of the phase diagram, where we are unable to perform QMC simulations.



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### Recovering exact saddle points from Hybrid Monte Carlo data



1) generation of lattice field configurations;

 2) GF for each configuration;
 3) Histogram for the final actions after GF shows

 $\frac{L_{\sigma}}{Z}$ 

If HMC is ergodic, we can find all saddles with the share in partition function >  $1/N_{\mbox{confs}}$ 



## Examples of saddles for the Hubbard model on hexagonal lattice



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(12)

 $X_s$ and is the state of the state o the dight and the dight and the dight of the Note that the second s



graphene. T condensate a

phene



bins of electrons at different sublattices



following representation of the euclidean

$$- \frac{-H_{tb}\delta}{\sum_{x,y,n,n'}} e^{-H_C\delta} I.....)$$

nake it invertible. Usually this mass term should al point in the calculations is that fermionic ated to each other only in the case of

prmionic operator.

may simulate the theory without any artificial ss term. Mass gap can be introduced ometrically» by special boundary conditions or cial lattice sizes. This method is based on two Dirac points in graphene are not at zero nentum but at two special points (K and K') in Brillouine zone.

iny finite size lattice allows only the discrete set particle's momentum. The allowed values of nentum can or can not cover the K-points ending on the geometry of the lattice.

ssible momentum values inside the Brillouine K-points are not covered by latice momentum gap.

introlled by the size of the lattice. The larger is smaller is the gap.

is that «geometrical» mass gap doesn't rimer for the symmetry breaking. So we still are ct the formation of any condensates.

e fluctuations of order parameter:

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-H_C\delta Ie^{-H_c}
 _{n}V_{xy}^{-1}\varphi_{y,n}-
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1 order to make
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term in ferm

x 6

x 8

We ma mass «geom special facts: 1) Dir

## Examples of saddles for the Hubbard model on hexagonal lattice: spin-coupled field (2)



Analytical solution for instantons (1)  $S = \frac{\sum_{x,\tau} (\phi_{x,\tau})^2}{2I/\Lambda\tau} - \ln \det \left( M_{el.}M_{h.} \right)$  $\frac{\partial S}{\partial \phi_{x,\tau} x} = \frac{\phi_{x,\tau}}{\Delta \tau U} - \left( g_{xx}^{2\tau} i e^{i\phi_{x,\tau}} - \overline{g_{xx}^{2\tau}} i e^{-i\phi_{x,\tau}} \right) = 0 \quad \xrightarrow{\Delta \tau \to 0} \quad \phi_{x,\tau} = -U \operatorname{Im} g_{xx}^{\tau}$ +Euclidean time evolution for equal-time GF  $g^{(\tau+1)} = \{e^{-i\phi_{x,(\tau+1)}}\}e^{\Delta\tau h}g^{\tau}\{e^{i\phi_{x,(\tau+1)}}\}e^{-\Delta\tau h}$  $\begin{cases} \frac{d}{d\tau} \operatorname{Im} g_{xx}(\tau) = 6\kappa \operatorname{Im} g_{xy}(\tau) \\ \frac{d}{d\tau} \operatorname{Im} g_{xy}(\tau) = iUg_{xy}(\tau) \operatorname{Im} g_{xx}(\tau) + i\kappa \operatorname{Im} g_{xx}(\tau) \end{cases}$ (locality of the solution is taken into account) Nearest neighbors

At half-filling:

### Analytical solution for instantons (2)

Number of instantons and anti-instantons fixes the initial conditions  $\frac{\dot{\theta}^2}{2} + \cos\theta = E_0 \qquad \qquad \beta/N_{inst.} = 2\int_0^{\pi} \frac{d\theta}{\sqrt{2(E_0 - \cos\theta)}}$ θ Two instantons: Analytical solution is possible in terms of elliptic integrals. one insometionstanton one instantostanton or sp/0, h sp/0 p 125 1.3 1.\$ ۴ 1.5 1.5  $\theta/\pi$  $\tau_w \approx \sqrt{6GR}$ Instanton and antiμ/θ0.¥  $\theta/\pi$ 015 0.5 instanton:  $0.5 \theta 0.50$ 0.**Ø** 20  $4^2$   $6^4$   $8^6$   $10^8/12^0$   $14^2$   $16^4$   $18^6$   $20^8$  20Reminder: 0 2 0 4 2 6 4 8 6 10 8 12 10 14 12 16 14 18 16 20 18 20  $2^{0}$   $4^{2}$   $6^{4}$   $8^{6}$   $10^{8}$   $12^{0}$   $14^{2}$   $16^{4}$   $18^{6}$   $20^{8}$  20  $\phi_x^{\tau} = -U \operatorname{Im} \bar{g}_{xx}^{\tau}$  $\operatorname{Im} g_{xx}(\tau) = d(\tau)$ instanton-anti-instanton instanton-anti-instanton 2 1.5  $d(\tau) = \dot{\theta}(\tau)/G$ instanton-anti-instanton instanton-anti-instanton 1.5, 2 ap/0.1 - sp/0.1 - sp 1.5 E/0 θ/π 1.5  $1.5^{1}$ Winding number: ₽.5 9.5  $\theta/\pi$ 0.5  $W = \frac{1}{2\pi} \int_0^\beta d\tau \theta(\tau)$ 0.5 0 0.5 9-0.5 9 18 19 28 29 15 19 25 <u>29</u> 30 **₹**₽ 39 25 30 25 40

-2

### Role of continuous symmetries

$$\begin{split} \tilde{\mathcal{A}}_{0}^{(X,T+dT)} & \tilde{\mathcal{A}}_{1} = 2N_{S} \mathcal{Z}_{1}^{P}(\{\phi^{(X,T)}\}) \int_{\mathcal{O}^{(1)}} d\tilde{\phi}_{0} \\ \tilde{\mathcal{A}}_{0} = 2N_{S} \mathcal{Z}_{1}^{P}(\{\phi^{(X,T)}\}) = \int_{1}^{N_{S}N_{r}-1} d\tilde{\phi}_{i} e^{-S^{(1)}-\frac{1}{2}\sum_{i=1}^{N_{S}N_{r}-1} \lambda_{i}^{(i)} \tilde{\phi}_{i}^{2}} \\ \tilde{\mathcal{A}}_{1} \text{ leigenvalues of Hessian except the zero mode:} \\ \mathcal{H}_{(x,\tau),(y,\tau)}^{(1)} = \frac{\partial^{2}S(\phi)}{\partial\phi_{x,\tau_{1}}\partial\phi_{y,\tau_{2}}} = \frac{\partial^{2}S(\phi)}{\partial\phi_{x,\tau_{1}}\partial\phi_{y,\tau_{2}}} \Big|_{\phi=\phi^{(X,T)}} \\ \tilde{\mathcal{A}}_{0}^{(1)} = \det\left(\mathcal{H}^{(1)} + \mathcal{P}^{(1)}\right) = \prod_{i=1}^{N_{s}N_{r}-1} \lambda_{i}^{(1)} \\ \tilde{\mathcal{A}}_{1}^{(1)} = \int_{0}^{\beta} dT \left\| \frac{\phi^{(X,T+dT)} - \phi^{(X,T)}}{dT} \right\|, \quad \tilde{\mathcal{A}}_{0}^{(1)} = 0 \\ \tilde{\mathcal{A}}_{1}^{(1)} = 2N_{S} \mathcal{Z}_{1}^{P}(\{\phi^{(X,T)}\}) L^{(1)} \longrightarrow L^{(1)} = \int_{0}^{\beta} dT \left\| \frac{\phi^{(X,T+dT)} - \phi^{(X,T)}}{dT} \right\|, \quad \frac{L^{(1)}}{\beta} = \| \frac{1}{2b} \int_{0}^{1} \int_{0}^{1} \int_{0}^{1} \int_{0}^{1} \int_{0}^{1} \frac{\phi^{(X,T+dT)} - \phi^{(X,T)}}{\Delta T} \\ \tilde{\mathcal{Z}}_{1} = 2N_{S} \beta e^{-S^{(1)}} \| \Delta \phi^{(X,T)} \| \| \sqrt{\frac{(2\pi)^{N_{S}N_{\tau}-1}}{\prod_{i} \lambda_{i}^{(1)}}} - \frac{1}{1} \int_{0}^{2} \int_{0}^{1} \int_{0}^{1}$$

### Many-instanton configurations

- 1) Variation of action depending on relative position of the instantons
- Variation of the determinant, of Hessian
   Variation of the volume element along the dire
  - Variation of the volume element along the directions defined by zero modes (obtained by triangulation inside multi-dimensional orbits)



### Examples of interaction curves



# Analytical expression for the partition function for non-interacting instantons



### Benchmark: distribution of instantons



Grand canonical classical MC for instanton gas

Distribution for the number of instantons and its comparison vs analytical and classical MC predictions:



### Spectral functions: comparison with QMC



dF/dU

Broadening of the spectral function on smaller lattices: local AFM correlation.



Spectral functions and relative spectral weight of the peaks in the whole BZ:

1.5

 $_{q}^{M}$ 

1.5

Κ

0.5

0.5

8

7

6

5 ¥/3

3

2

1

0

8

7

6

5 ¥4 3

3

2

Γ

0

2.5

QMC

Γ

2

2

Instanton gas model

2.5



# Full integral over one dominant thimble: algorithms

Check that we are still within the same thimble via GF after HMC update:

0.05

0

-40

-30

-20

-10

0

S

10

20

30

40







### Full integral over the dominant thimble:results



Integral over just one thimble attached to the «dominant» saddle randomly picked up from the peak of instanton number distribution:



### Complex instantons







Gaussian approximation for thimble weight:

$$W = \int dT_0 \prod_{j=1}^{N-1} dT_j e^{-S(z_{x,\tau}^{(0)}(T_0))} e^{-\frac{1}{2}\sum_{j=1}^{N-1}\lambda_j T_j^2} \left\| \frac{\partial z_{x,\tau}}{\partial T_j} \right\|$$
$$\left\| \frac{\partial z_{x,\tau}}{\partial T_j} \right\| = \left\| \frac{\frac{\partial z_{1,1}^{(0)}}{\partial T_0} V_{1,1}^1 \dots V_{1,1}^{N-1}}{\frac{1}{\partial T_0} V_{1,1}^1 \dots V_{1,1}^{N-1}} \right\|$$
$$\left\| \frac{\frac{\partial z_{N_s,N_\tau}}{\partial T_0} V_{N_s,N_\tau}^1 \dots V_{N_s,N_\tau}^{N-1}}{\frac{1}{\partial T_0} V_{N_s,N_\tau}^1} \right\|$$



### Finding directions for 1-instanton saddle

Linear functional to fix the center of the configuration:

$$\begin{split} F_{T}[\phi_{x,\tau}]|_{T=C[\phi_{x,\tau}]} &= 0 \\ F_{T}[\phi_{x,\tau}] &= \sum_{x,\tau} \sin \frac{2\pi}{\beta} (\Delta \tau t - T) \operatorname{Re} \phi_{x,\tau} \\ \text{Zero modes:} \\ W_{x,\tau}^{(i)}, i &= 1,2 \\ Combination of zero modes keeping the center constant: \\ F_{C[\phi_{x,\tau}]}[\phi_{x,\tau} + W_{x,\tau}^{(1)}C_{1} + W_{x,\tau}^{(2)}C_{2}] &= 0 \\ C_{1}F_{C[\phi_{x,\tau}]}[W_{x,\tau}^{(1)}] + C_{2}F_{C[\phi_{x,\tau}]}[W_{x,\tau}^{(2)}] &= 0 \\ M_{x,\tau} - \text{shifts the center} \\ Final optimization: \\ \tilde{M}_{x,\tau} &= M_{x,\tau}cos\theta + N_{x,\tau}sin\theta \end{split}$$

Maximization:

$$\left(\tilde{M}_{x,\tau}\cdot\frac{\Delta\phi_{x,\tau}}{\Delta\tau}\right)$$

$$\frac{\Delta\phi_{x,\tau}}{\Delta\tau} = \frac{\phi_{x,\tau+1} - \phi_{x,\tau-1}}{\Delta 2\tau}$$

Finding directions for 2-instanton saddle 4 zero modes:  $W_{x,\tau}^{(i)}$ , i = 1...42 functionals, one fixes the center, another the time distance between instantons:  $F_T[\phi_{x,\tau}] = 0$   $G_D^{C[\phi_{x,\tau}]}[\phi_{x,\tau}] = 0$   $G_D^T = \sum_{x,x} \operatorname{Re} \phi_{x,\tau} K_{x,\tau}^{T,D}$   $K_{x,\tau}^{T,D} = \frac{\phi_{x-X_1,\tau-T-D/2+1}^{(1)} - \phi_{x-X_1,\tau-T-D/2-1}^{(1)}}{\Delta 2\tau} + \frac{\phi_{x-X_2,\tau-T+D/2+1}^{(1)} - \phi_{x-X_2,\tau-T+D/2-1}^{(1)}}{\Delta 2\tau}$ 2-dim subspace where center and distance change and 2-dim subspace where they are

constant:

$$\begin{array}{l} F_{C[\phi_{x,\tau}]}[\phi_{x,\tau} + \sum_{i} W_{x,\tau}^{(i)}C_{i}] = 0 \\ G_{C[\phi_{x,\tau}]}^{D[\phi_{x,\tau}]}[\phi_{x,\tau} + \sum_{i} W_{x,\tau}^{(i)}C_{i}] = 0 \end{array} \longrightarrow \qquad N_{x,\tau}^{1} N_{x,\tau}^{2} \qquad M_{x,\tau}^{1} M_{x,\tau}^{2} \end{array}$$

One mode shifts center, another shifts distance:

$$F_{C[\phi_{x,\tau}]}[\phi_{x,\tau} + \sum_{i} M_{x,\tau}^{(i)}B_{i}] = 0 \longrightarrow M_{x,\tau}^{(1,1)} M_{x,\tau}^{(2,1)}$$
  
Optimization I:

$$\left(\tilde{M}_{x,\tau}^{(1,1)} \cdot \frac{\Delta \phi_{x,\tau}}{\Delta \tau}\right) \qquad \tilde{M}_{x,\tau}^{(1,1)} = M_{x,\tau}^{(1,1)} \cos\theta_1 + \left(N_{x,\tau}^{(1)} \sin\xi_1 + N_{x,\tau}^{(2)} \cos\xi_1\right) \sin\theta_1$$

Optimization II:

$$\left( \tilde{M}_{x,\tau}^{(2,1)} \cdot \frac{\phi_{x,\tau}^{D+1} - \phi_{x,\tau}^{D-1}}{2\Delta\tau} \right) + \text{condition:} \perp \tilde{M}_{x,\tau}^{(1,1)} \quad \tilde{M}_{x,\tau}^{(2,1)} = M_{x,\tau}^{(2,1)} \cos\theta_2 + \left( N_{x,\tau}^{(1)} \cos\xi_1 - N_{x,\tau}^{(2)} \sin\xi_1 \right) \sin\theta_2 \\ \theta_1, \xi_1 \ll 1$$

#### Finding directions in general case

 $M_{x,\tau}^{i} = N_{x,\tau}^{i}$   $i = 1...N_{inst.}$  $N_{inst}$  collective coordinates

Found from:

$$F_{C_{1}}^{1}[\phi_{x,\tau} + \sum_{i=1}^{N_{inst}} W_{x,\tau}^{(i)}C_{i}] = 0$$

$$F_{C_{1},C_{2}}^{2}[\phi_{x,\tau} + \sum_{i=1}^{N_{inst}} W_{x,\tau}^{(i)}C_{i}] = 0$$

$$\vdots$$

$$F_{C_{1}...C_{N_{inst}}}^{N_{inst.}}[\phi_{x,\tau} + \sum_{i=1}^{N_{inst}} W_{x,\tau}^{(i)}C_{i}] = 0$$

Zero mode changing the 1st collective coordinate:

$$F_{C_1}^1[\phi_{x,\tau} + \sum_{i=1}^{N_{inst}} M_{x,\tau}^{(i)}B_i] = 0 \longrightarrow M_{x,\tau}^{(1,1)} \qquad M_{x,\tau}^{(1,k)}, k = 2...N_{inst}$$
Zero mode changing the 2nd collective coordinate:

Leio mode changing the zhu collective coordinate.

$$F_{C_1,C_2}^2[\phi_{x,\tau} + \sum_{k=2}^{N_{inst}} M_{x,\tau}^{(1,k)} B_k] = 0 \longrightarrow M_{x,\tau}^{(2,1)} \qquad M_{x,\tau}^{(2,k)}, k = 2...N_{inst} - 1$$

...and so on Optimizations:  $(\tilde{M}_{r\,\tau}^{(1,1)} \cdot D^{(1)})$ 

$$(\tilde{M}_{x,\tau}^{(2,1)} \cdot D^{(2)}) \qquad \tilde{M}_{x,\tau}^{(2,1)} = M_{x,\tau}^{(2,1)} \cos\theta_2 + \mathcal{N}_2 \sin\theta_2 \qquad \mathcal{N}_2 \perp \tilde{M}_{x,\tau}^{(1,1)}$$

 $\tilde{M}_{x,\tau}^{(1,1)} = M_{x,\tau}^{(1,1)} \cos\theta_1 + \mathcal{N}_1 \sin\theta_1$ 

...and so on

General properties of complex N-instanton saddles  $6 \times 6, \beta = 10, U = 5.0$  $N_{inst} = N_{+} + N_{-}$ 5 1 inst — 2 inst / 2 ⊽ 4.5  $\frac{\det \mathcal{H}_{\perp}^{(N_{+},N_{-})}}{\det \mathcal{H}^{(0)}} \approx \left[ \det \left( \left( \mathcal{H}^{(1)} + \mathcal{P}^{(1)} \right) \left( \mathcal{H}^{(0)} \right)^{-1} \right) \right]^{N}$ 3.5 3 2.5 m S<sub>inst</sub> 2 1.5  $S_{N_+,N_-} = S_0 + \text{Re} S_1(N_+ + N_-) + i \text{Im} S_1(N_+ - N_-)$ 1 0.5 0  $J_{N_{+},N_{-}} = J_{1}^{N_{+}} \overline{J}_{1}^{N_{-}}$ 0.5 1.5 2.5 3.5 2 3 1 4.5 0 4 chemical potential 20 action 1 inst + hessian+orbit 1inst 15 all 1inst  $S^{eff} = \operatorname{Re} S_1 + \frac{1}{2} \ln \det \mathcal{H}_{\perp} - \ln |J|$ action 2inst Seff<sub>inst</sub>-Seff<sub>vac</sub> 10 hessian+orbit 2inst all 2inst/2 0 -5 2 instanton 0.1 1 instanton x2 -10 0.5 2.5 3.5 0 1.5 2 3 4.5 1 4 0 chemical potential phase (J) -0.1 0.4 0.3 -0.2 0.2 phase(J) -0.3 0.1  $\mu = 2.0$ 0 -0.4 -0.1 -0.5 -0.2 15 20 25 0 5 10 -0.3

0

0.5

1

1.5

2

2.5

chemical potential

3

3.5

4

4.5

5

saddle index

#### Interaction of complex instantons



#### Gas of weakly interacting complex instantons

$$\frac{Z}{Z_{0}} = 1 + \sum_{K=1}^{K_{max}} \frac{1}{K!} (\beta N_{s} - \Delta \beta X) \dots (\beta N_{s} - (K - 1)\Delta \beta X) 2^{2K} \times e^{-S_{1}K} \left\{ \left[ \det \left( \mathscr{H}_{\perp}^{(1)} (\mathscr{H}^{(0)})^{-1} \right) \right]^{-1/2} \frac{L}{\sqrt{2\pi\beta}} \right\}^{K}$$

$$1 + \sum_{K_{+}=1,K_{-}=1}^{K_{max}} \frac{1}{K!} (\beta N_{s} - \Delta \beta X) \dots (\beta N_{s} - (K - 1)\Delta \beta X) 2^{K} \times e^{-\operatorname{Re} S_{1}K} e^{-i\operatorname{Im}(S_{1} + \Phi_{j})(K_{+} - K_{-})} \left\{ \left[ \det \left( \mathscr{H}_{\perp}^{(1)} (\mathscr{H}^{(0)})^{-1} \right) \right]^{-1/2} \frac{L}{\sqrt{2\pi\beta}} \right\}^{K}$$

$$\int f = f_{0} - \frac{1}{\Delta\beta X} \ln \left( 1 + \frac{2e^{-\operatorname{Re} S_{1}} \cos(\operatorname{Im} S_{1} + \Phi_{j})\Delta\beta XL}{\beta\sqrt{2\pi} \det \left( \mathscr{H}_{\perp}^{(1)} (\mathscr{H}^{(0)})^{-1} \right)} \right)$$





## - $\sum_{k=1}^{\infty} e^{-i(k_+-k_-)\operatorname{Im} S^{eff}} e^{-(k_++k_-)\operatorname{Re} S^{eff}}$

$$Z = \sum_{k_{-},k_{+}} C_{k_{-},k_{+}} e^{-i(\kappa_{+}-\kappa_{-})\operatorname{Im} S^{\circ}} e^{-(\kappa_{+}+\kappa_{-})\operatorname{Re} S^{\circ}}$$

Observable: 
$$\langle O \rangle = \frac{\sum_{\mathcal{J}} \int_{\mathcal{J}} dz e^{-S} O(z)}{\sum_{\mathcal{J}} \int_{\mathcal{J}} dz e^{-S}} = \frac{\sum_{\mathcal{J}} \langle O \rangle_{\mathcal{J}} W_{\mathcal{J}}}{\sum_{\mathcal{J}} W_{\mathcal{J}}}$$

$$\langle O \rangle_{\mathscr{F}} = \frac{\int_{\mathscr{F}} dz e^{-S} O(z)}{\int_{\mathscr{F}} dz e^{-S}} = O(k_{+}, k_{-}) \approx O_{0} + O_{1}^{+}(k_{+} + k_{-}) + O_{1}^{-}(k_{+} - k_{-}) + O_{2}^{++}(k_{+} + k_{-})^{2} \dots$$

$$W_{\mathscr{F}} \quad \text{from instanton gas}$$

$$\sum_{k_{-},k_{+}} C_{k_{-},k_{+}} e^{-i(k_{+} - k_{-})\operatorname{Im} S^{eff}} e^{-(k_{+} + k_{-})\operatorname{Re} S^{eff}} [O_{0} + O_{1}^{+}(k_{+} + k_{-}) + O_{1}^{-}(k_{+} - k_{-}) + O_{2}^{++}(k_{+} + k_{-})^{2} \dots]$$

$$\langle O \rangle = \frac{-k_{-},k_{+}}{\sum_{k_{-},k_{+}} C_{k_{-},k_{+}} e^{-i(k_{+}-k_{-})\operatorname{Im} S^{eff}} e^{-(k_{+}+k_{-})\operatorname{Re} S^{eff}}}$$

$$\langle O \rangle = O_0 + O_1^+ \langle k_+ + k_- \rangle + O_1^- \langle k_+ - k_- \rangle + O_2^{++} \langle (k_+ + k_-)^2 \rangle + \dots$$

$$\langle k_+ + k_- \rangle = -\frac{\partial \ln Z}{\partial \operatorname{Re} S^{eff}}; \quad \langle k_+ - k_- \rangle = i \frac{\partial \ln Z}{\partial \operatorname{Im} S^{eff}}; \dots$$



### Resummation - non-local correlation



### Further perspectives

1) better approximation for the thimble integral



2) Square lattice Hubbard model



Square lattice Hubbard model features not only localized instantons but also domain walls as saddles points

3) Connections to CPQMC

$$|E_0\rangle \approx \sum_X \int \prod_{i=1}^{k_++k_-} dT_i \ W(X,T) \prod_{\tau} e^{-\hat{H}_{tb}\Delta\tau} e^{i\sum_x z(X,T)\hat{q}_x} |\Omega\rangle$$
  
Weights and profiles taken from instanton gas model