

# Constant path integral contour shifts

Johann Ostmeyer



Helmholtz-Institut für Strahlen- und Kernphysik, University of Bonn, Germany



Bern, January 23, 2025



Gefördert durch

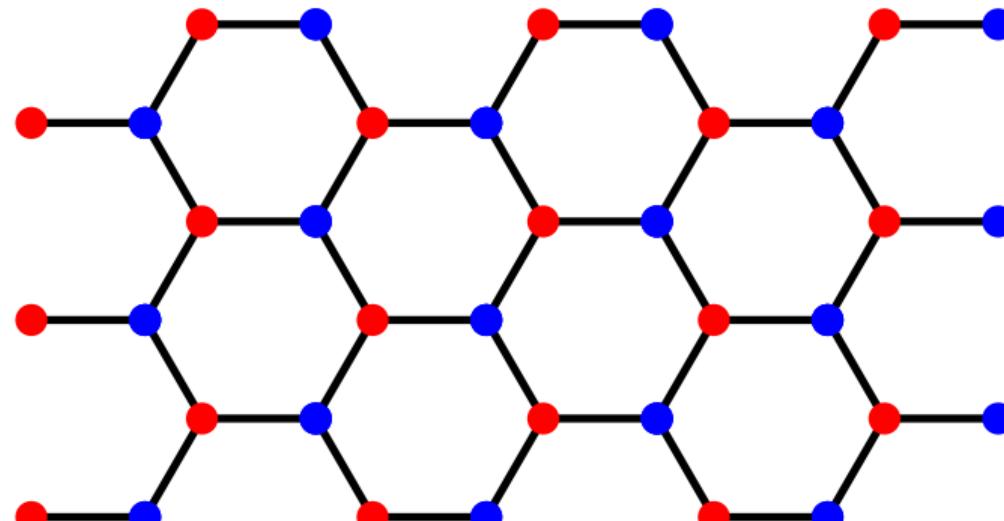


Deutsche  
Forschungsgemeinschaft

# Hubbard model

[Hubbard *ProcRSoc* **276** (1963); Novoselov + *Science* **306** (2004); Wallace *PhysRev* **71** (1947)]

$$H = - \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s} + \frac{1}{2} U \sum_x q_x^2$$



Beyond half filling?

Sign problem!

$$H = - \sum_{\langle x,y \rangle, s} c_{x,s}^\dagger c_{y,s} + \frac{1}{2} U \sum_x q_x^2 + \mu \sum_x q_x$$

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$$p[\phi] \propto \det(M[\phi, \mu] M[\phi, -\mu]^\dagger) \not\geq 0$$

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► Lefschetz thimbles & contour deformation

[Alexandru + *PRD* **93** (2016); Cristoforetti + *PRD* **88** (2013); Rodekamp, JO + *PRB* **106** (2022);

Ulybyshev + *PRD* **101** (2020); Wijnen, JO + *PRB* **103** (2021)]

[Gärtgen, JO + *PRB* **109** (2024); Rodekamp, JO + *EPJB* accepted (2024)]

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► Tensor Networks

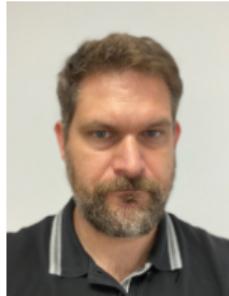
[Corboz *PRB* **93** (2016); Schneider, JO + *PRB* **104** (2021)]

[Suladze, JO + (forthcoming)]

# The Team



Evan  
Berkowitz



Stefan Krieg



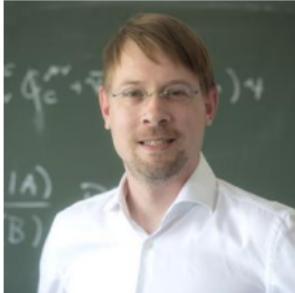
Thomas Luu



Giovanni  
Pederiva



Manuel  
Schneider



Carsten  
Urbach



[Gärtgen, JO +  
*PRB* **109** (2024)]

Christoph Gärtgen



Marcel Rodekamp

[Rodekamp, JO +  
*EPJB* accepted  
(2024)]



Archil Suladze

[Suladze, JO +  
(forthcoming)]

## Disclaimers

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1. Typically not the best, but versatile approach.

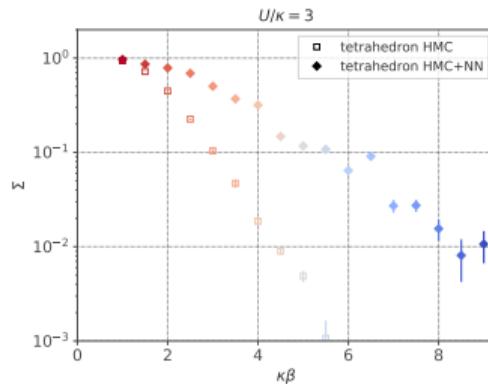
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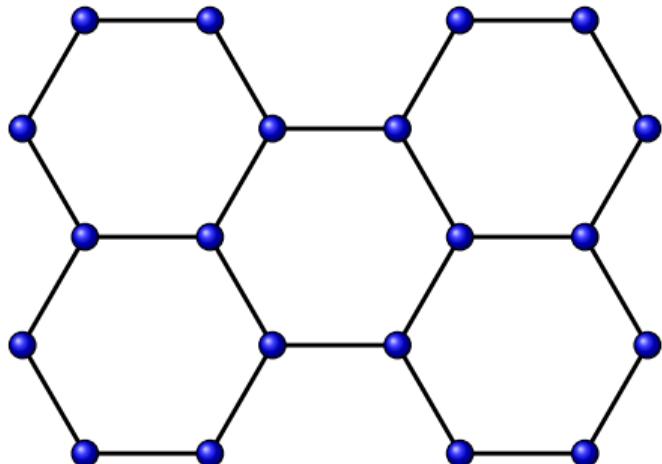
1. Typically not the best, but versatile approach.
2. Sign problem still exponentially bad.



[Wynen, JO + PRB **103** (2021)]

# Perylene

[Cao & Yang *RSC Adv* **12** (2022); Sato + *IEEE JSelTopQEI* **4** (1998); Shchuka + *ChemPhysLet* **164** (1989)]



Candidate for organic LEDs, solar cells,...

## Lefschetz thimbles

[Alexandru + *PRD* **93** (2016); Lefschetz *AMS* **22** (1921); Tanizaki + *NewJPhys* **18** (2016); talk by M. Ulybyshev]

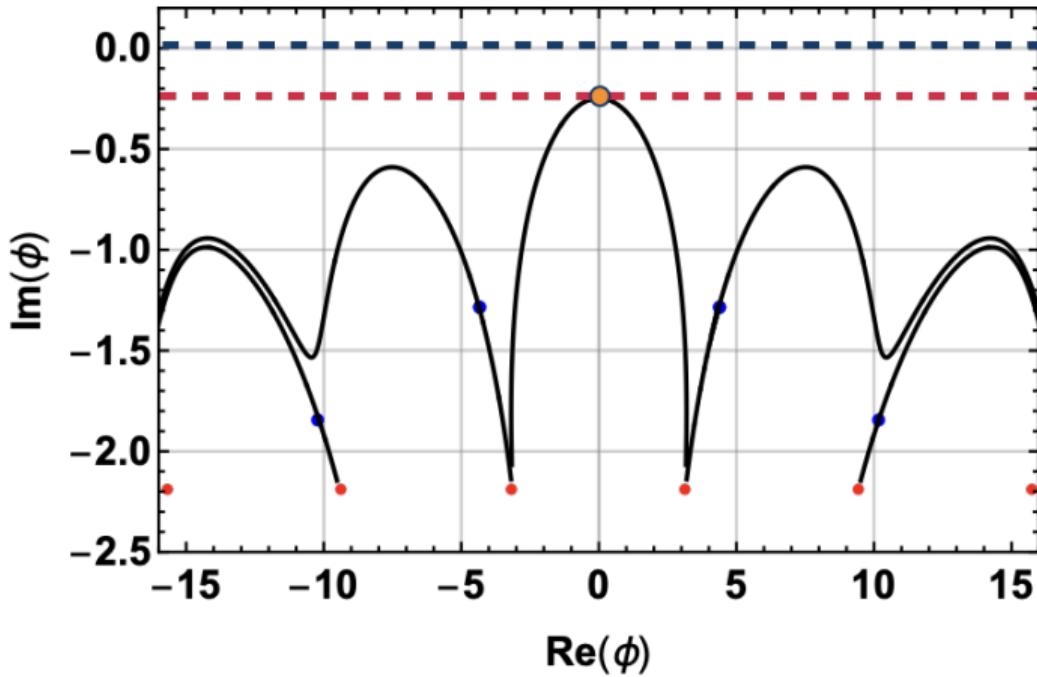
- ▶ Path integral formalism

$$\phi \sim e^{-S[\phi]}$$

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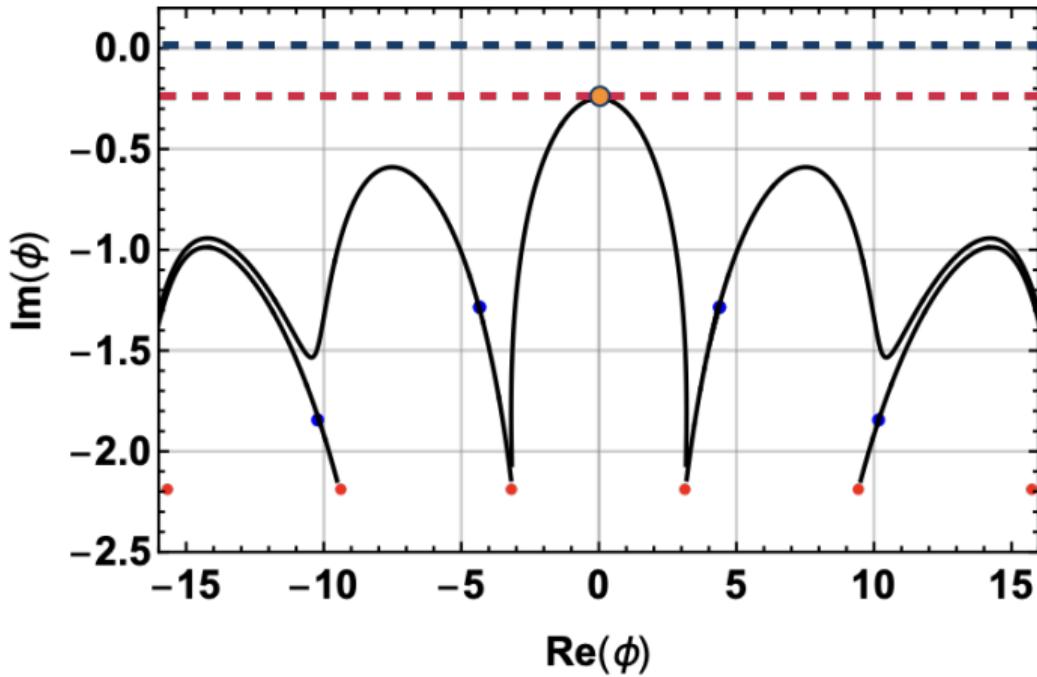
- ▶ Path integral formalism  
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- ▶ Complex manifolds of constant  $\text{Im}S$



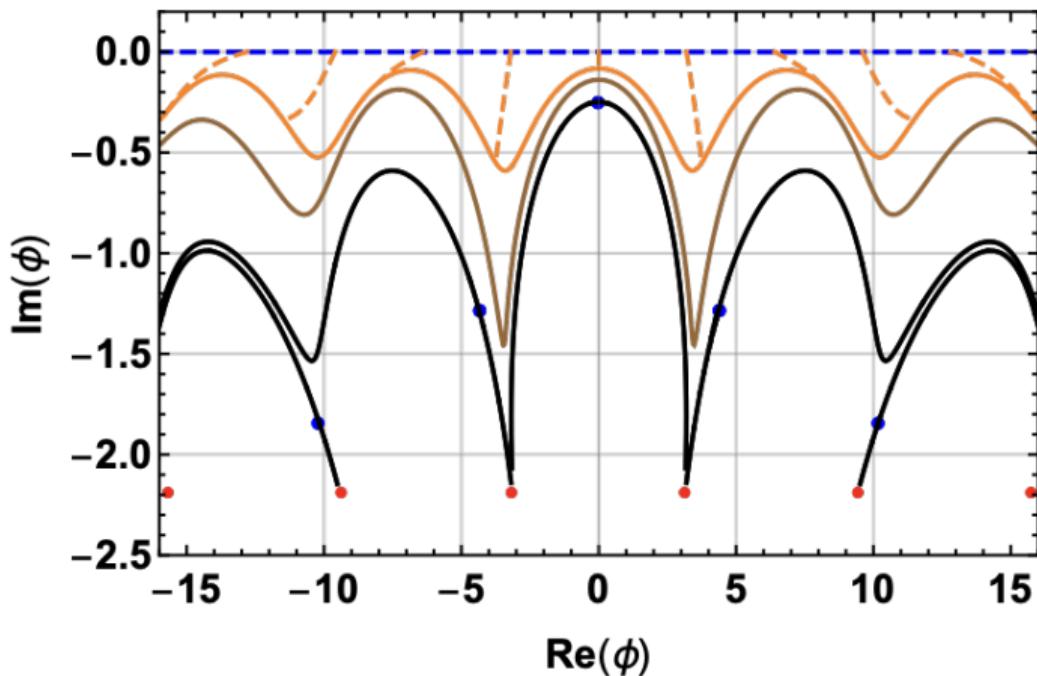
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- ▶ Path integral formalism  
 $\phi \sim e^{-S[\phi]}$
- ▶ Complex manifolds of constant  $\text{Im}S$
- ▶ Same path integral by Cauchy's theorem

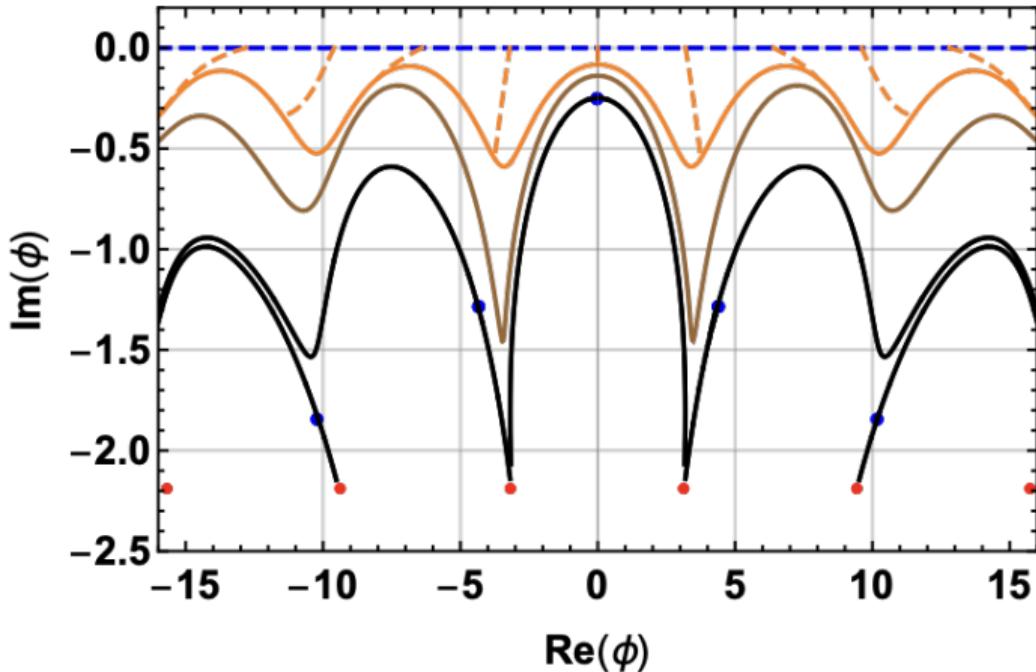


## Holomorphic flow [Cristoforetti + *PRD* **86** (2012)]



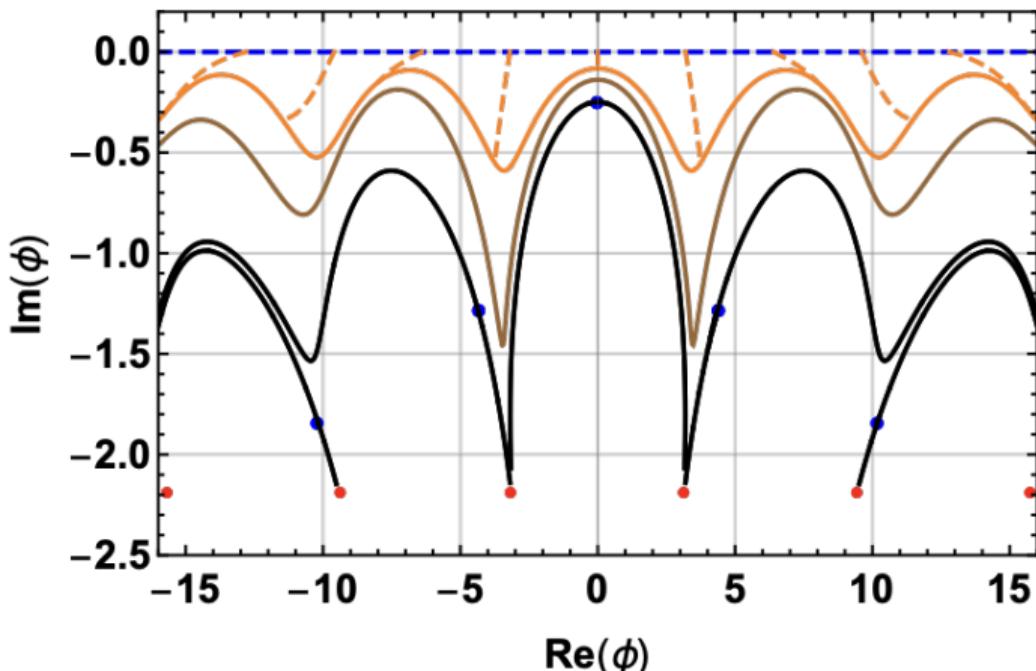
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$$\frac{d\Phi(\tau)}{d\tau} = \left( \frac{\partial S[\Phi(\tau)]}{\partial \Phi(\tau)} \right)^*$$



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Extremely expensive!

## Use Machine Learning

[Alexandru + *PRD* **96** (2017); Rodekamp, JO + *PRB* **106** (2022); Wynen, JO + *PRB* **103** (2021)]

- ▶ Flow some random field configurations

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- ▶ Flow some random field configurations
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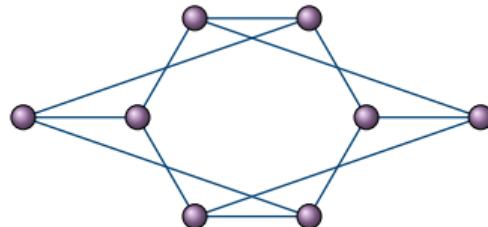
- ▶ Flow some random field configurations
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- ▶ SHIFT:  $\mathbb{R}^n \rightarrow \mathbb{C}^n$ ,  $\phi \mapsto \mathcal{NN}(\phi)$

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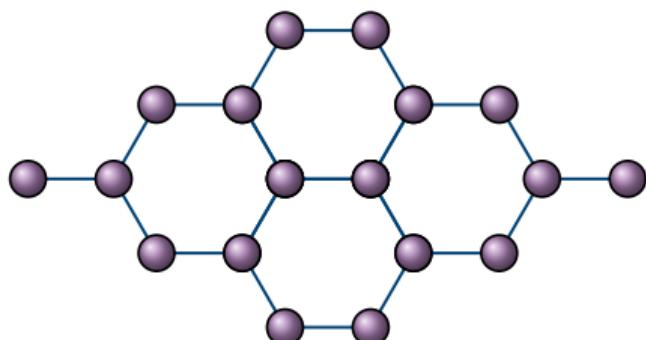
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- ▶ SHIFT:  $\mathbb{R}^n \rightarrow \mathbb{C}^n$ ,  $\phi \mapsto \mathcal{NN}(\phi)$
- ▶ Apply reweighting  $\Rightarrow$  SHIFT doesn’t have to be perfect

## Benchmark lattices [Rodekamp, JO + PRB **106** (2022)]



(a) 8 Sites

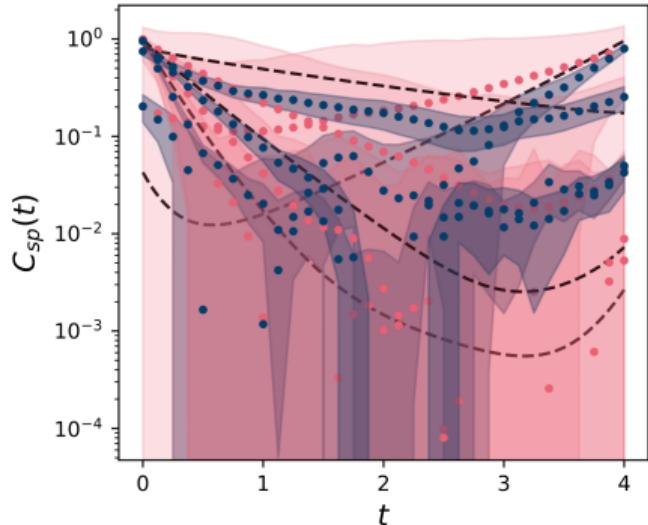


(b) 18 Sites (boundary suppressed)

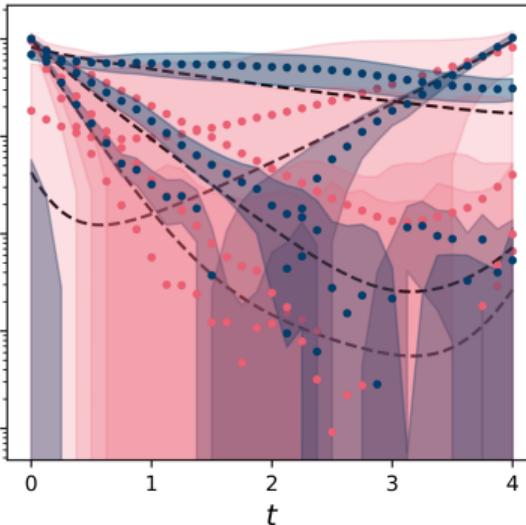
# Single Particle Correlators [Rodekamp, JO + PRB **106** (2022)]

**8 Sites**

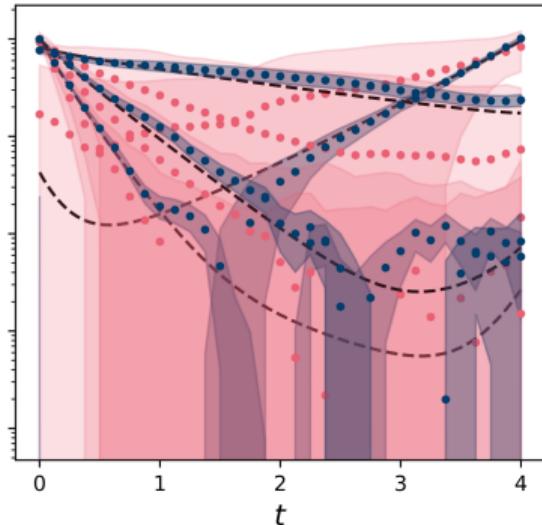
$N_{conf} = 1000$



$N_{conf} = 50000$



$N_{conf} = 100000$



ML HMC



HMC

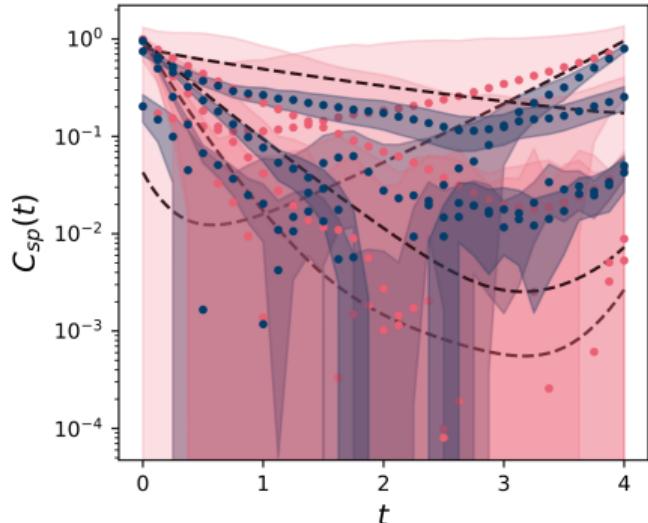


--- Exact Diagonalization

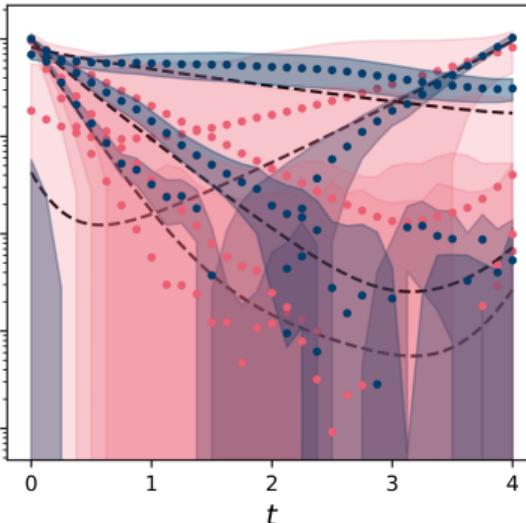
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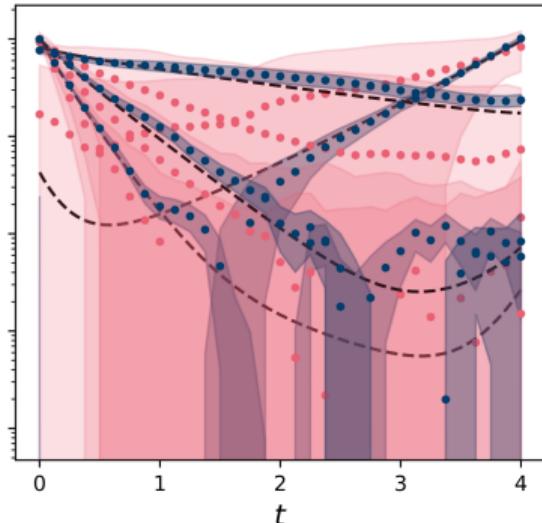
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ML HMC



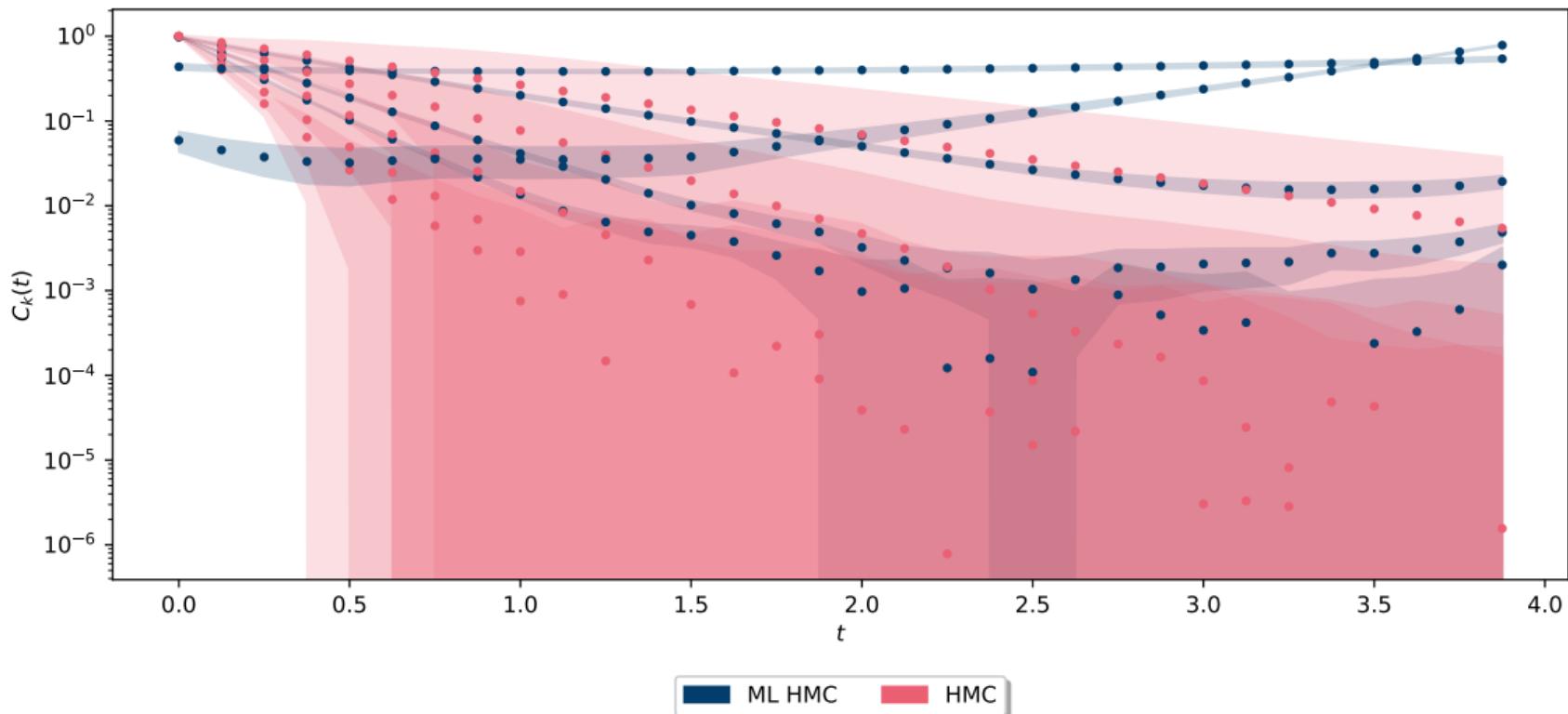
HMC



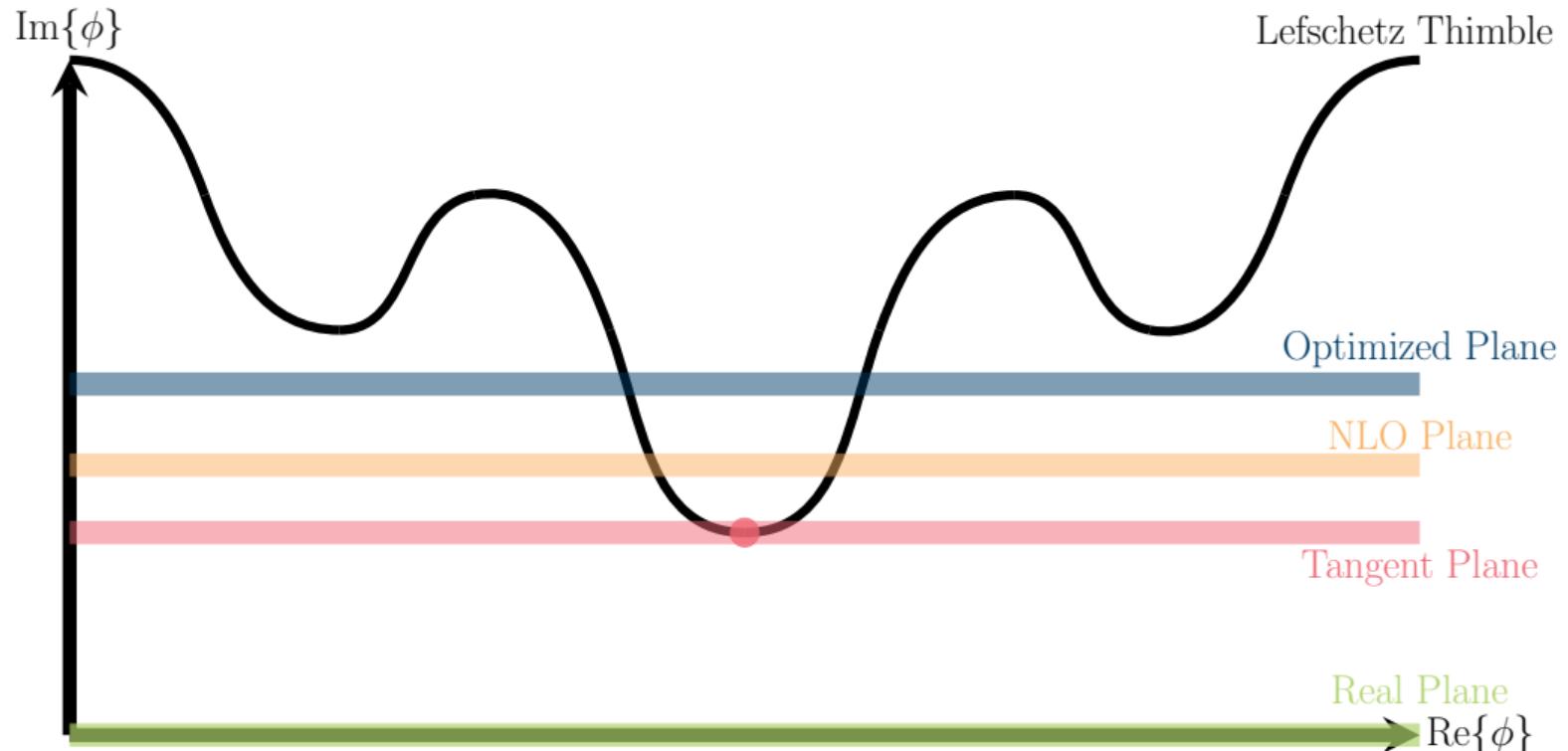
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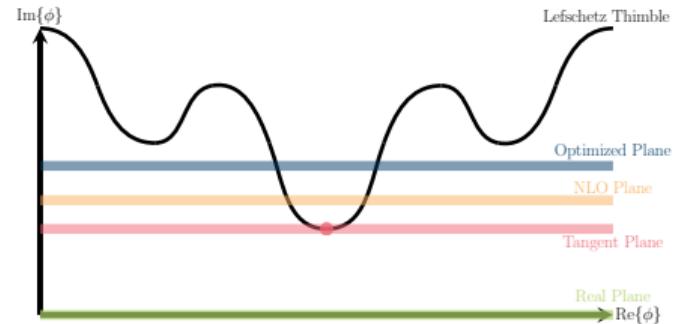
**18 Sites**



# Sketch of manifolds [Gärtgen, JO + PRB 109 (2024)]

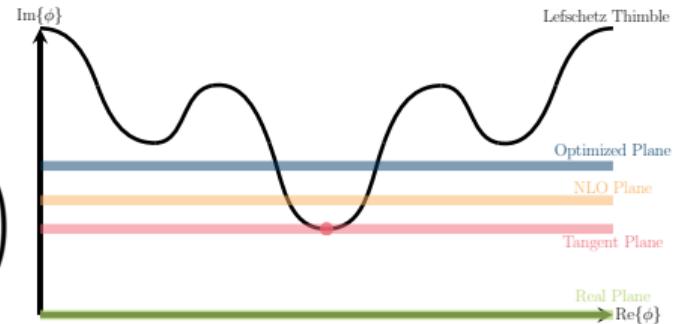


# Analytic formulae [Gärtgen, JO + PRB **109** (2024)]



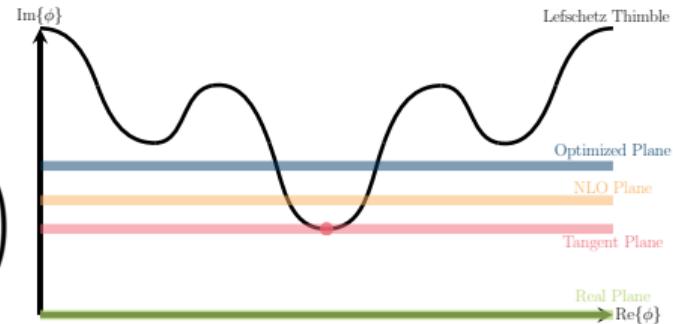
Tangent plane (saddle point of  $S$ ):

$$\phi_0/\delta = -\frac{U}{N_x} \sum_k \tanh \left( \frac{\beta}{2} [\epsilon_k + \mu + \phi_0/\delta] \right)$$



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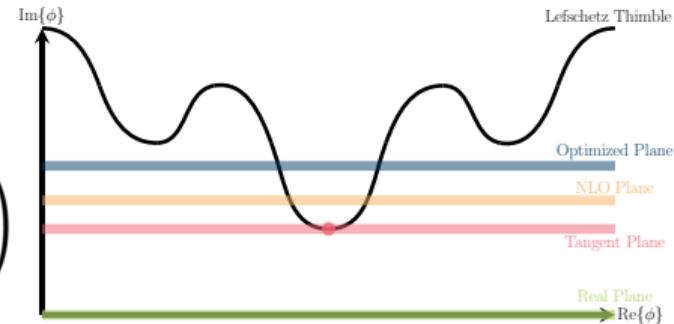
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Next-to-leading order (NLO) plane  
 (saddle point of  $S_{\text{eff}} = S + \frac{1}{2} \log \det \mathbb{H}$ )

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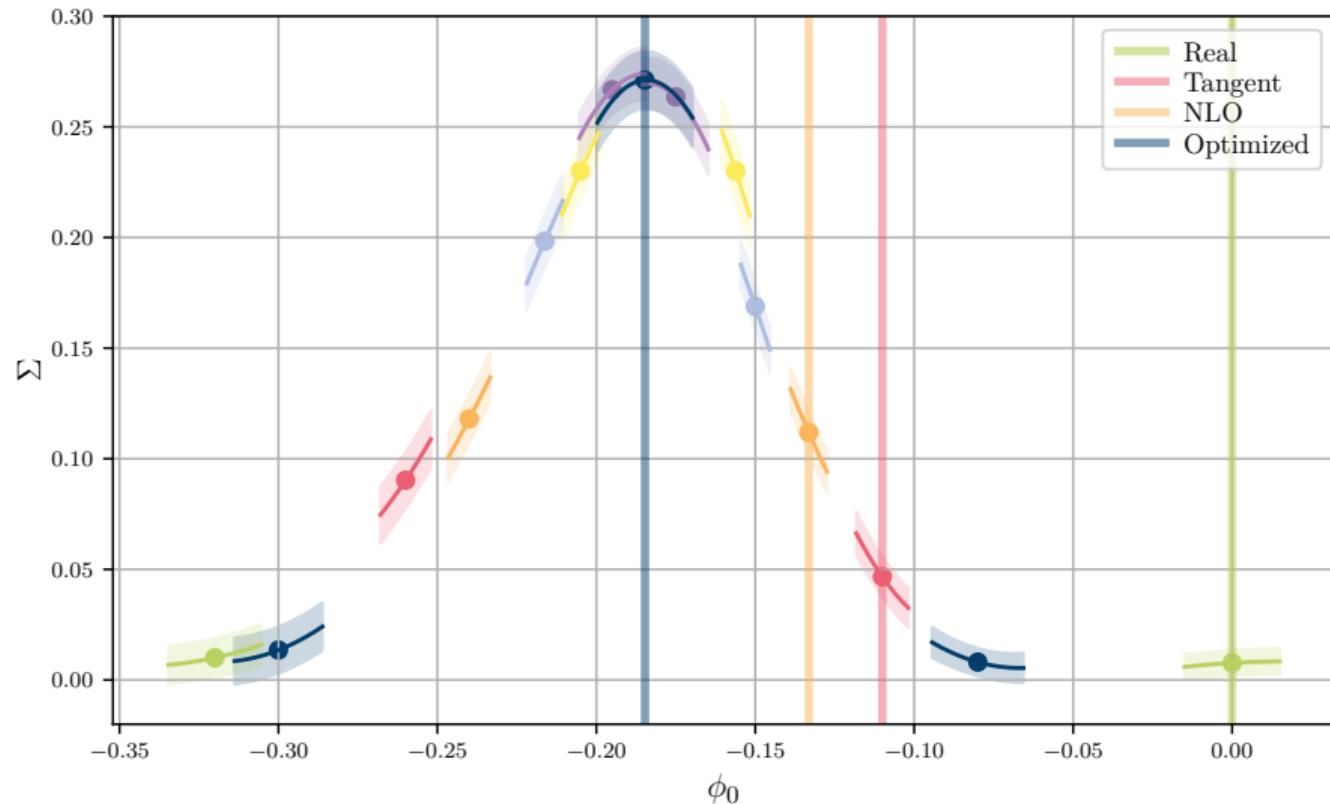


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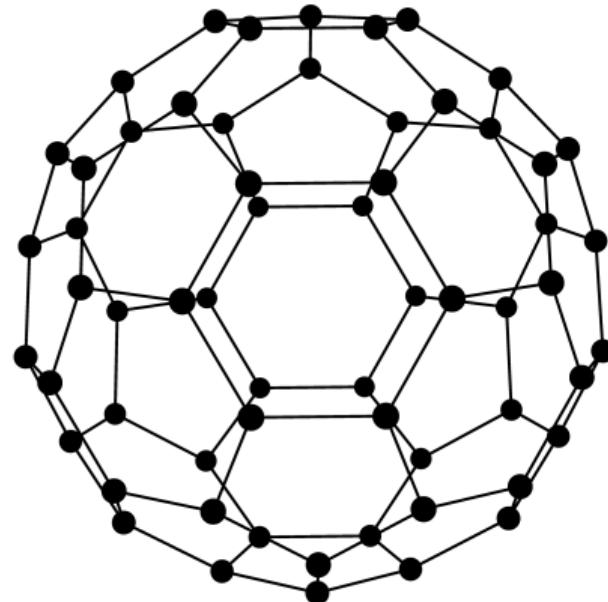
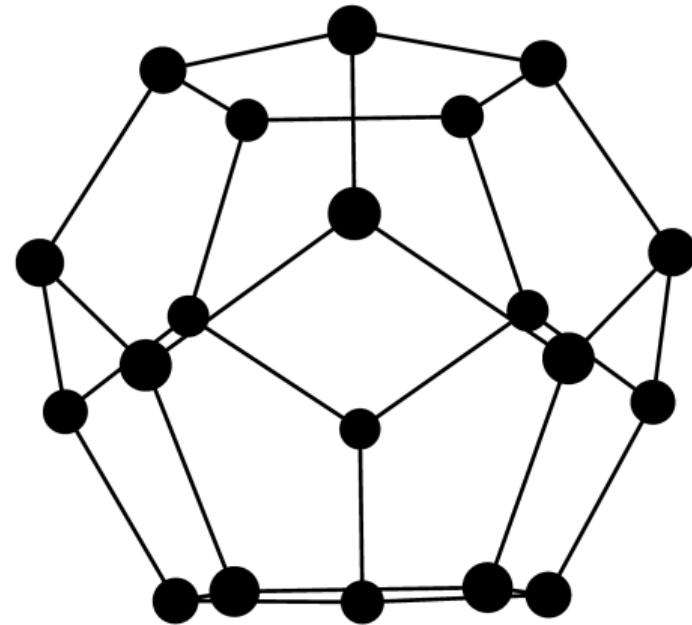
$$\mathbb{H}_{x't',xt} = \left( \frac{1}{\tilde{U}} - 1 \right) \delta_{x',x} \delta_{t',t} - T_{+;xt,x't'} T_{+;x't',xt} - T_{-;xt,x't'} T_{-;x't',xt},$$

$$T_{\pm;x't',xt} = \sum_{kn} A_{x't',kn}^\dagger \frac{e^{\pm(\delta\epsilon_k + \delta\mu + \phi_1 + i\tilde{\omega}_n)}}{1 - e^{\pm(\delta\epsilon_k + \delta\mu + \phi_1 + i\tilde{\omega}_n)}} A_{kn,xt}$$

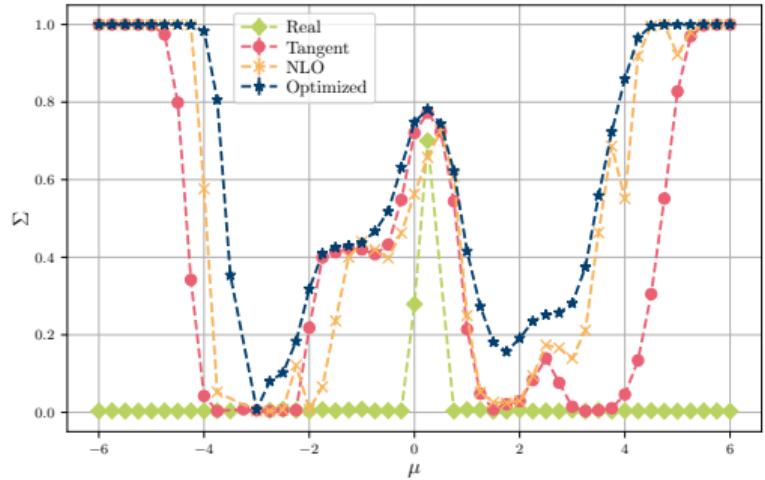
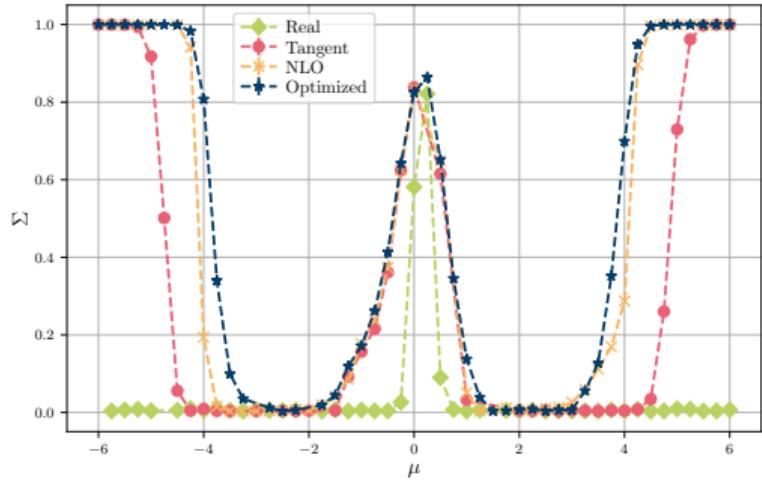
# Numerical search [Gärtgen, JO + PRB 109 (2024)]



## Bigger benchmark lattices [Gärtgen, JO + PRB **109** (2024)]

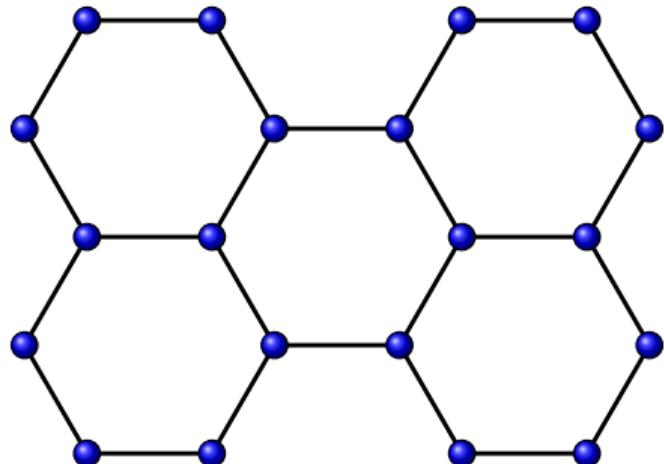


# Statistical power [Gärtgen, JO + PRB 109 (2024)]

 $C_{20}$  $C_{60}$

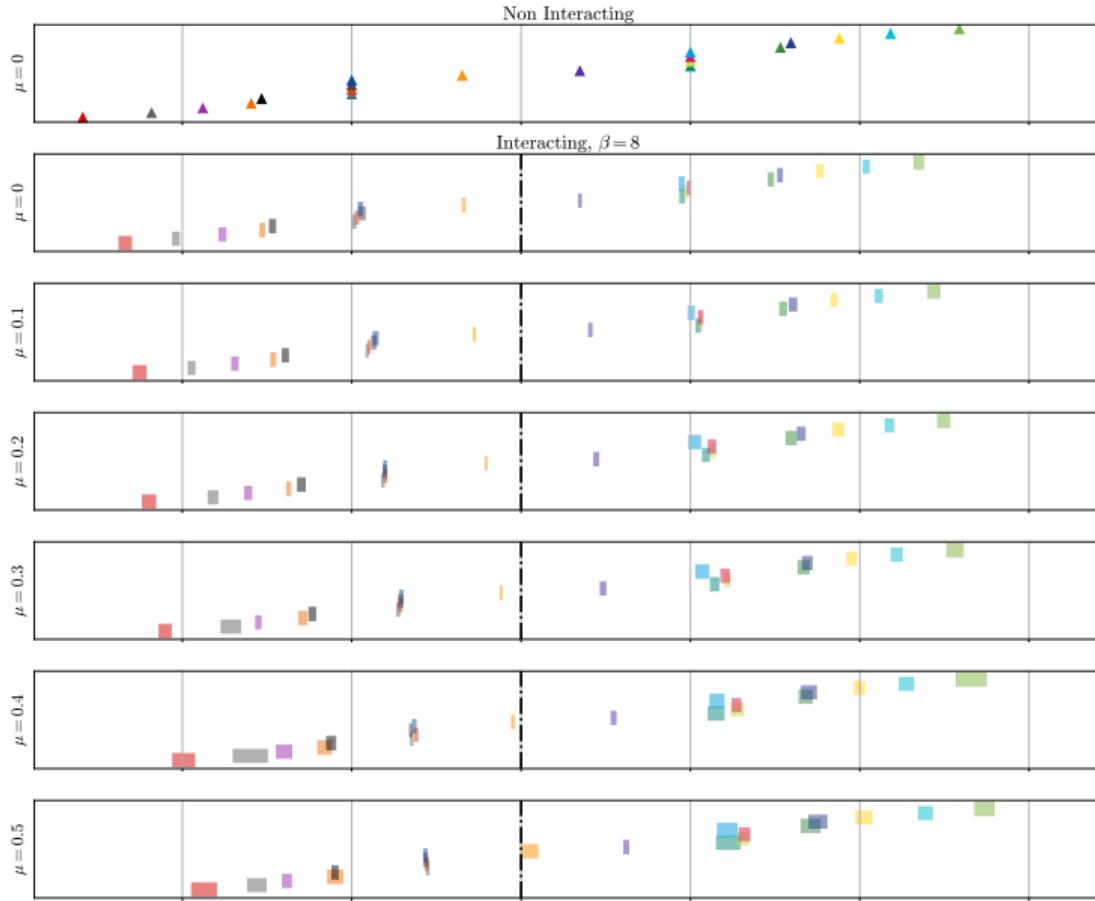
# Perylene

[Cao & Yang *RSC Adv* **12** (2022); Sato + *IEEE JSelTopQEI* **4** (1998); Shchuka + *ChemPhysLet* **164** (1989)]

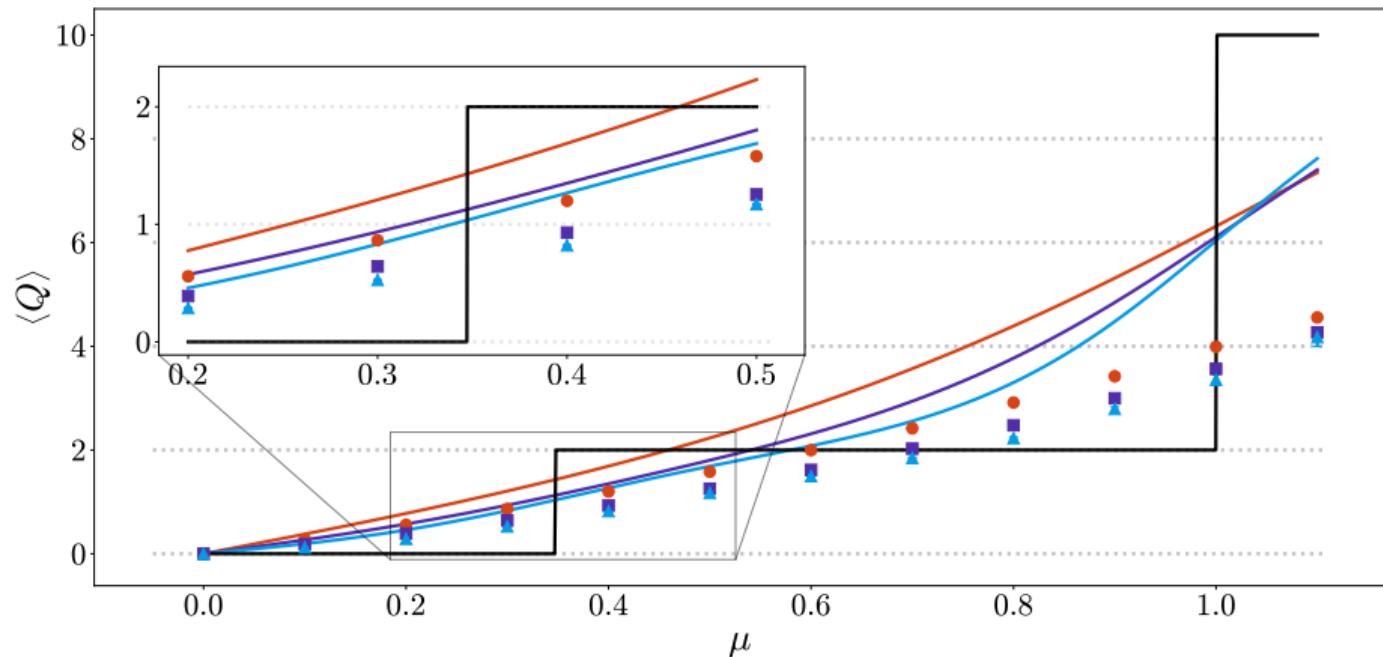


Candidate for organic LEDs, solar cells,...

# Single particle spectrum [Rodekamp, JO + EPJB accepted (2024)]



## Charge with doping [Rodekamp, JO + EPJB accepted (2024)]



Interacting:  
Non-Interacting:

<span style="color:red;">●</span>	$\beta = 4$	<span style="color:purple;">■</span>	$\beta = 6$	<span style="color:blue;">▲</span>	$\beta = 8$	<span style="color:black;">—</span>	$\beta \rightarrow \infty$
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**+SIGN25+**

Tensor Networks (TN) have no sign problem [Chen + *PRXQuantum* **6** (2025)]

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Right!

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Wrong! (kind off)

Contraction complexity depends on average sign.

$$\langle \dots \rangle = \text{tr} \left( \begin{array}{ccccccc} \ddots & & & & & & \\ & \ddots & & & & & \\ & & \ddots & & & & \\ & & & \ddots & & & \\ & & & & \ddots & & \\ & & & & & \ddots & \\ & & & & & & \ddots \end{array} \right)$$

Tensor Networks (TN) have no sign problem [Chen + *PRXQuantum* **6** (2025)]

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$$\langle \dots \rangle = \text{tr} \begin{pmatrix} & & & & \\ & + & & & \\ & - & & & \\ & & + & & \\ & & & - & \\ & & & & \ddots \end{pmatrix}$$

# Projected Entangled Pair States (PEPS)

[Orús *AnnPhys* **349** (2014); Verstraete & Cirac *cond-mat/0407066*]

$$|\psi\rangle = \sum_{s_1} \sum_{s_2} \cdots \sum_{s_N} A_{s_1, s_2, \dots, s_N} |s_1\rangle \otimes |s_2\rangle \otimes \cdots \otimes |s_N\rangle$$

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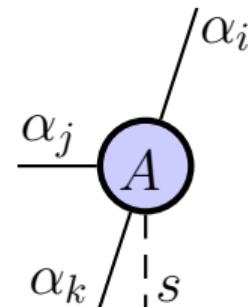
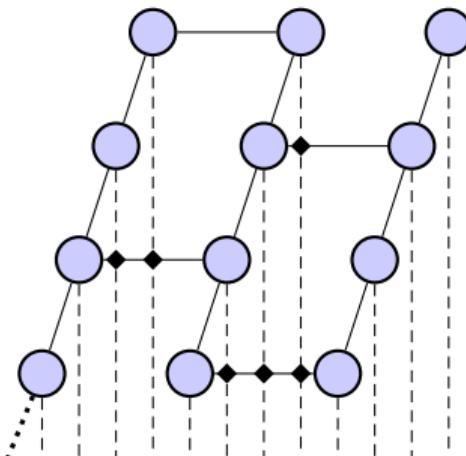
Truncate  $\alpha_i \leq D \forall i$

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$$\approx \sum_{s_1} \sum_{s_2} \cdots \sum_{s_N} A_{s_1; \alpha_1}^1 A_{s_2; \alpha_1, \alpha_2}^2 \cdots A_{s_N; \alpha_{N-1}}^N |s_1\rangle \otimes |s_2\rangle \otimes \cdots \otimes |s_N\rangle$$



Truncate  $\alpha_i \leq D \forall i$

## Contractions [Schuch + *PRL* **98** (2007)]

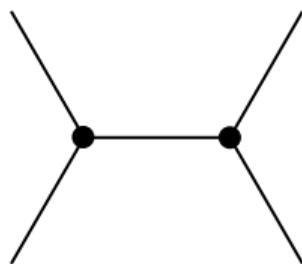
$d = 1$



=



$d > 1$



=

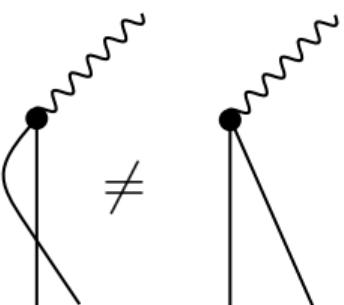


## Fermionic PEPS [Corboz + *PRB* **81** (2010)]

$$c_i c_k = -c_k c_i$$

A diagram illustrating the fermion commutation relation  $c_i c_k = -c_k c_i$ . It consists of two parts separated by a double inequality symbol ( $\neq$ ). The left part shows a black dot at the top connected by a wavy line to a vertical line that splits into two lines meeting at a point below. The right part shows a similar setup but with the vertical line meeting the horizontal line from below.

## Fermionic PEPS [Corboz + *PRB* **81** (2010)]

$$c_i c_k = -c_k c_i$$
$$(c_i c_j) c_k = c_k (c_i c_j)$$


A diagram illustrating fermion commutation relations. It features two vertices connected by a horizontal line. The left vertex has two outgoing lines: one going up-right and another going down-left. The right vertex has two outgoing lines: one going up-left and another going down-right. A wavy line connects the top of the left vertex to the top of the right vertex. Below the diagram is a large inequality symbol ( $\neq$ ), indicating that the two configurations represent different states due to fermion statistics.

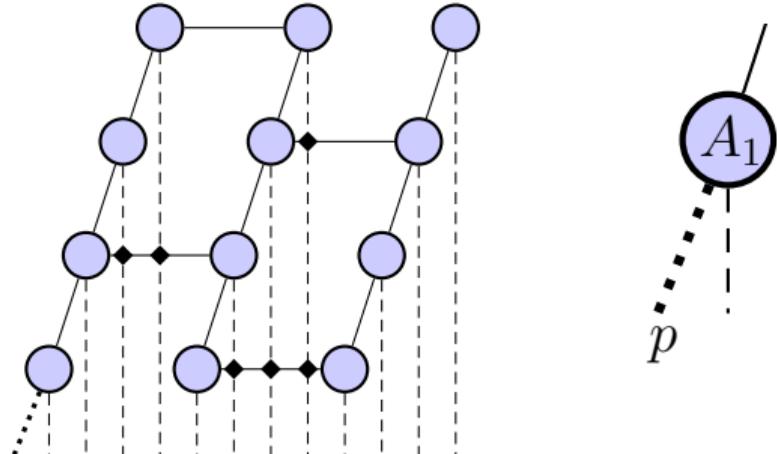
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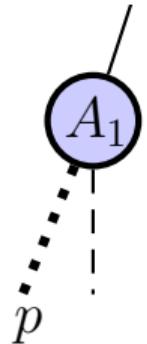
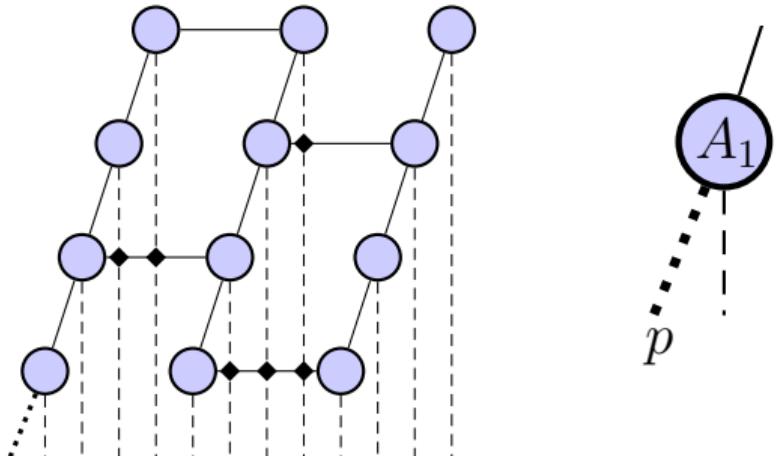
$$(c_i c_j) c_k = c_k (c_i c_j)$$

$$S = \left( \begin{array}{cccccc} 1 & \dots & 1 & 1 & \dots & 1 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 1 & \dots & 1 & 1 & \dots & 1 \\ 1 & \dots & 1 & -1 & \dots & -1 \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 1 & \dots & 1 & -1 & \dots & -1 \end{array} \right) \left. \begin{array}{l} \text{even} \\ \text{odd} \end{array} \right\} \begin{array}{l} \text{even} \\ \text{odd} \end{array}$$

## Parity link



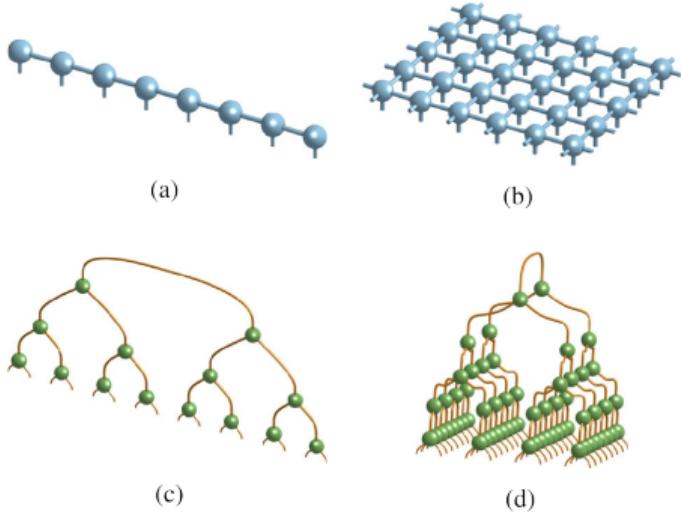
## Parity link



$$p = \pm 1$$

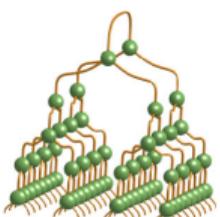
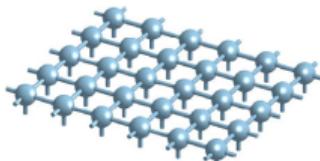
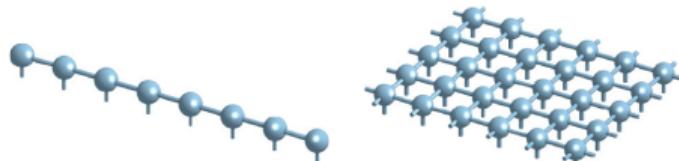
$\Rightarrow$  even- and odd-parity subspaces are disjoint

# Augmented Tree Tensor Networks (aTTN) [Felser + *PRL* **126** (2021)]

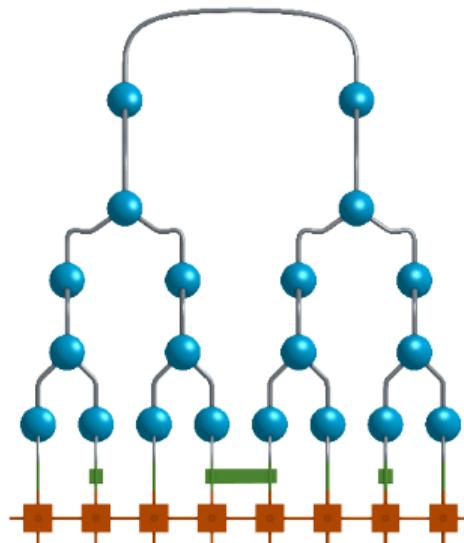


[Felser + *PRX* **10** (2020)]

# Augmented Tree Tensor Networks (aTTN) [Felser + *PRL* **126** (2021)]

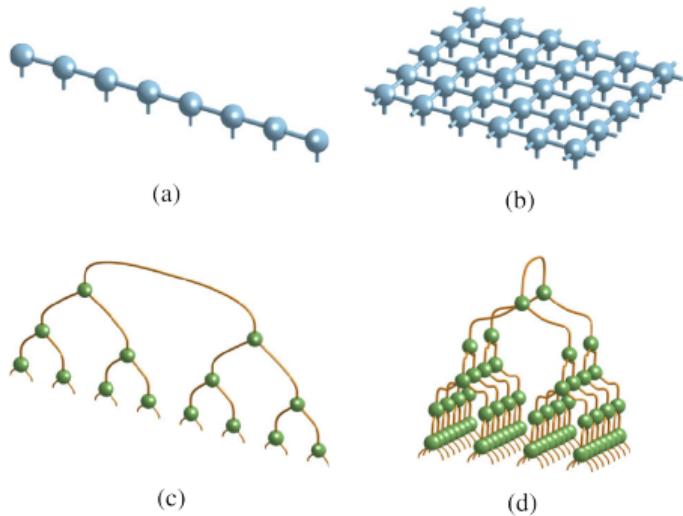


[Felser + *PRX* **10** (2020)]

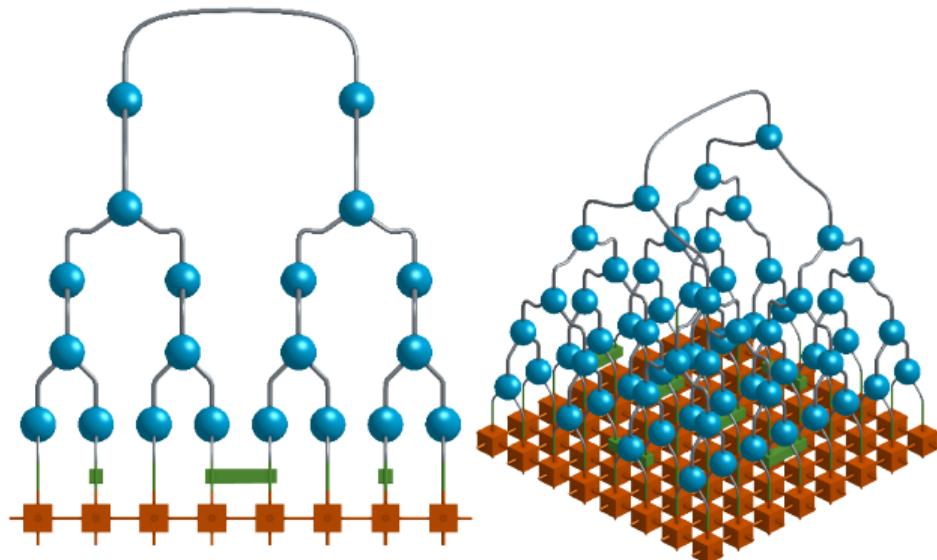


[Felser *PhD thesis* (2021)]

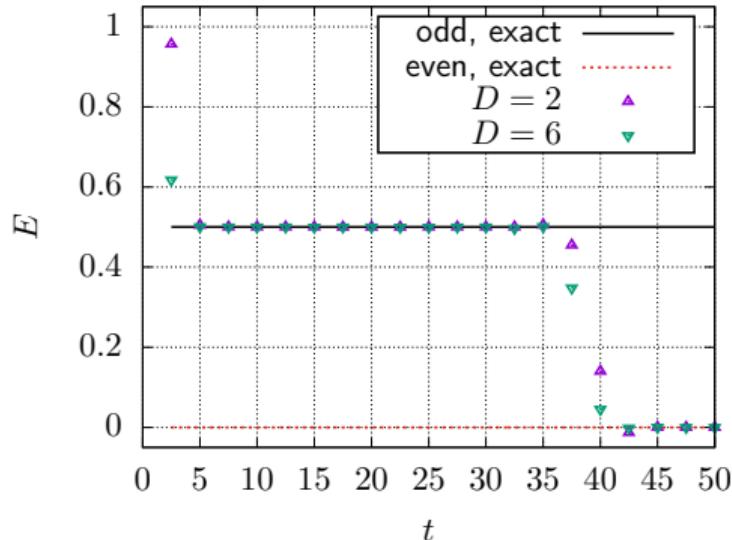
# Augmented Tree Tensor Networks (aTTN) [Felser + *PRL* **126** (2021)]



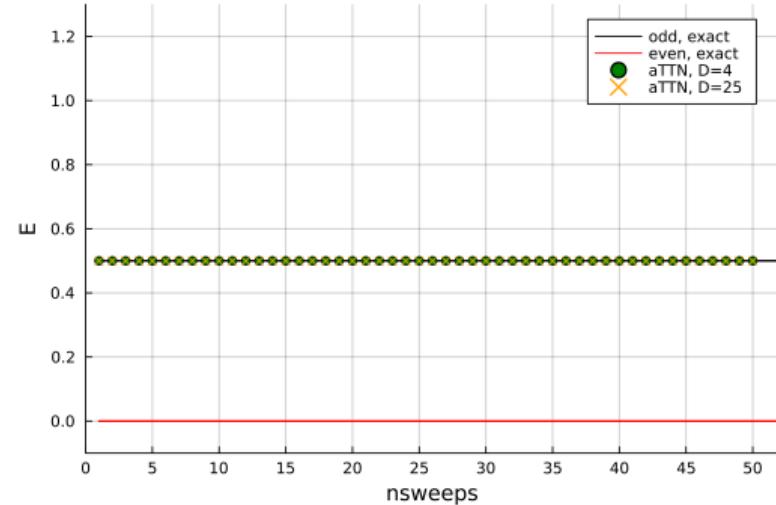
[Felser + *PRX* **10** (2020)]



# Stability in the odd parity sector

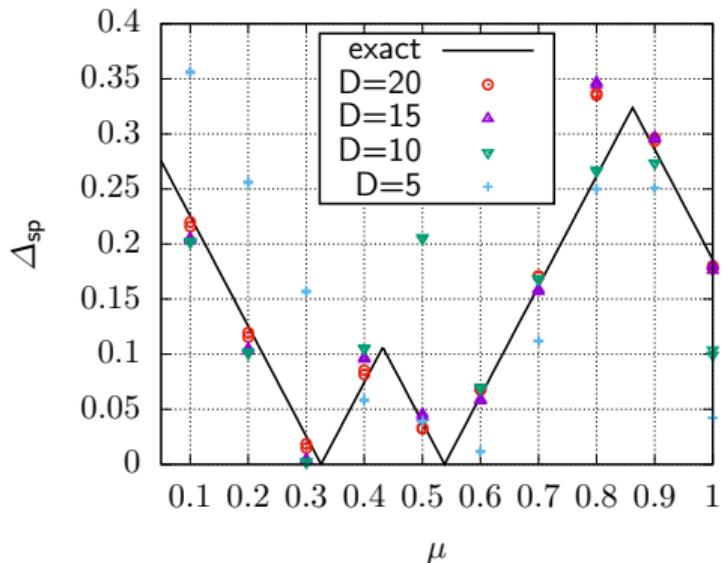


PEPS [Schneider, JO + *PRB* **104** (2021)]

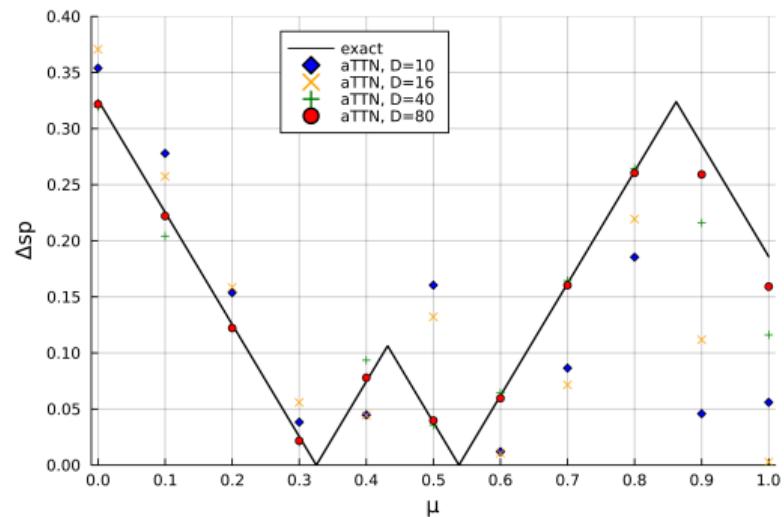


aTTN [Suladze, JO + (forthcoming)]

# Simulations with chemical potential ( $3 \times 4$ , $U = 2$ )

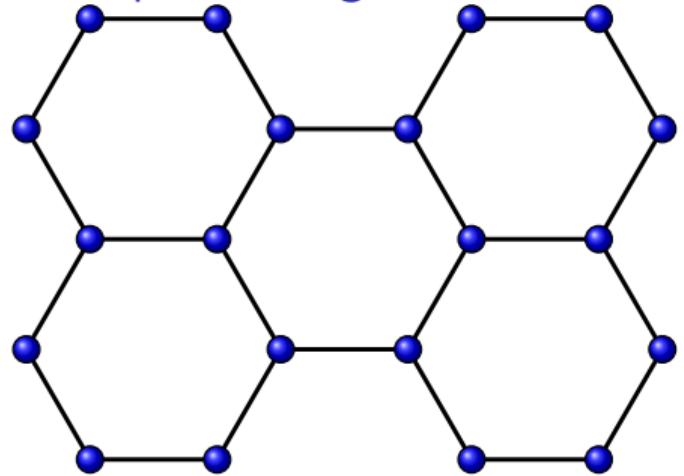


PEPS [Schneider, JO + PRB **104** (2021)]

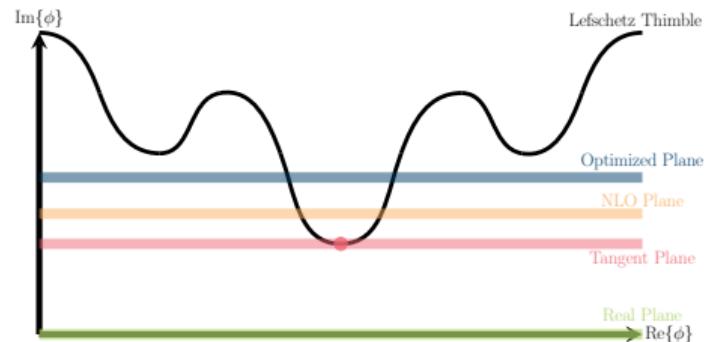
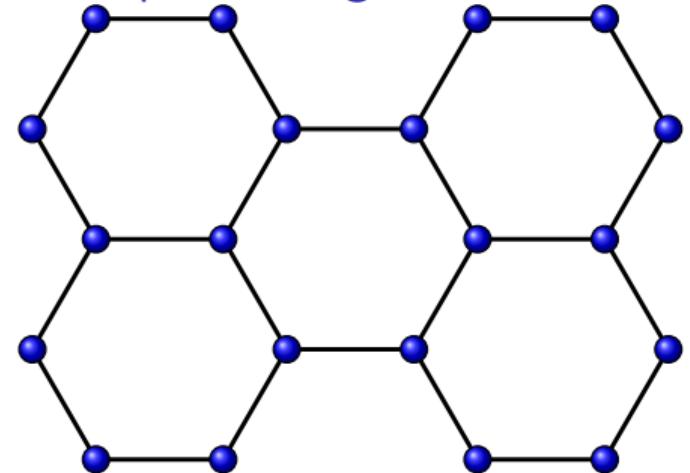


aTTN [Suladze, JO + (forthcoming)]

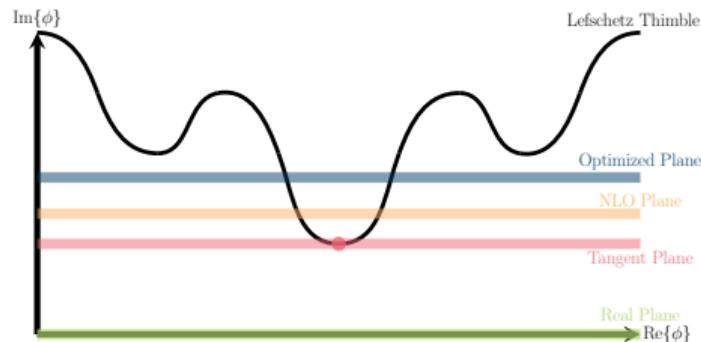
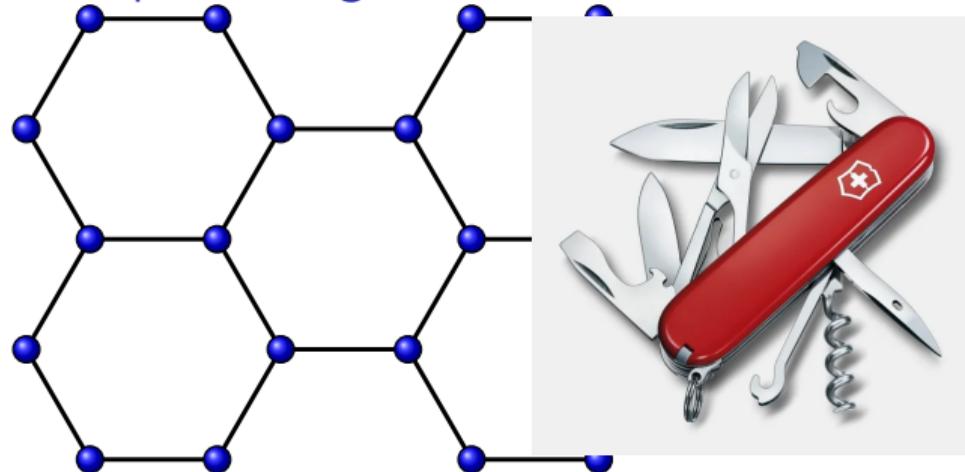
## Constant path integral contour shifts



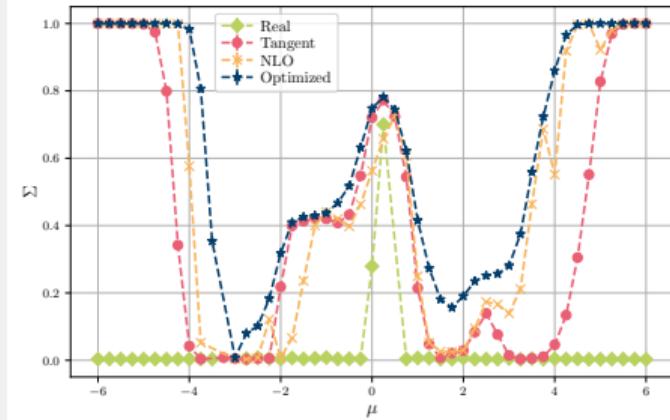
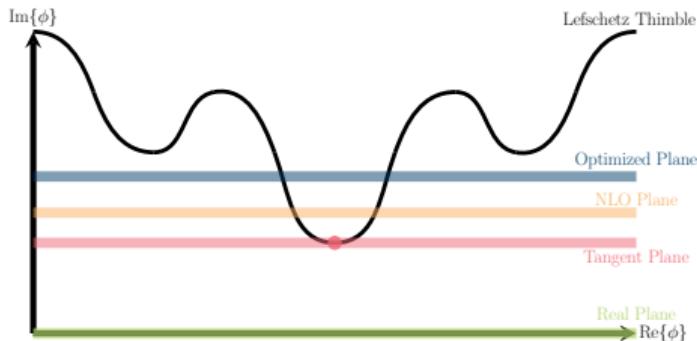
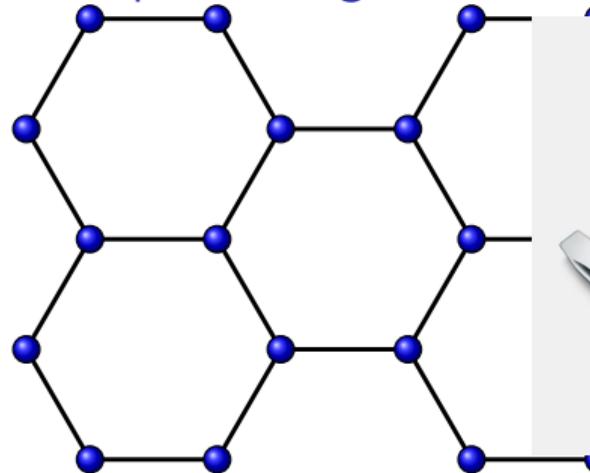
## Constant path integral contour shifts



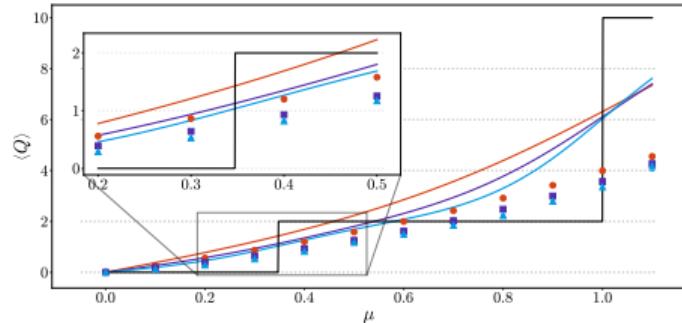
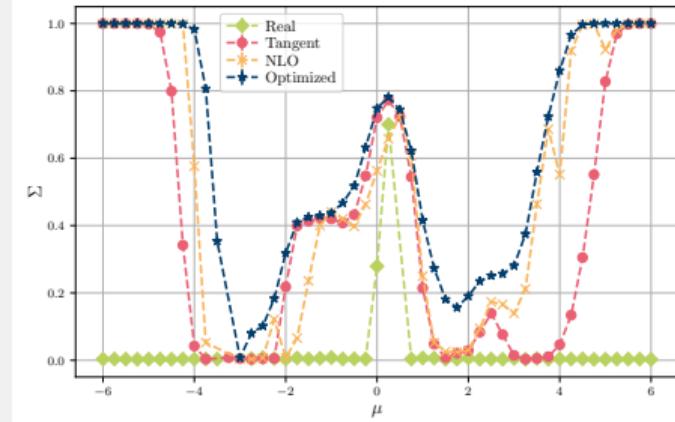
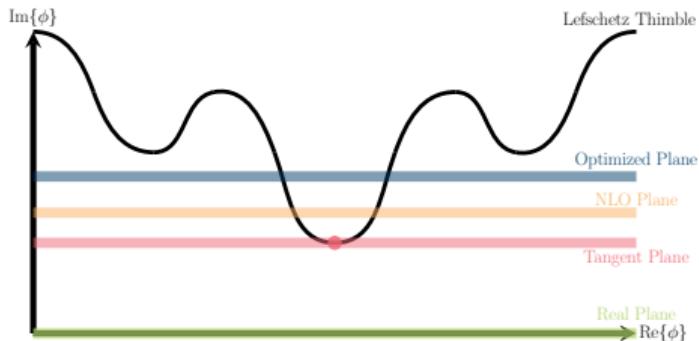
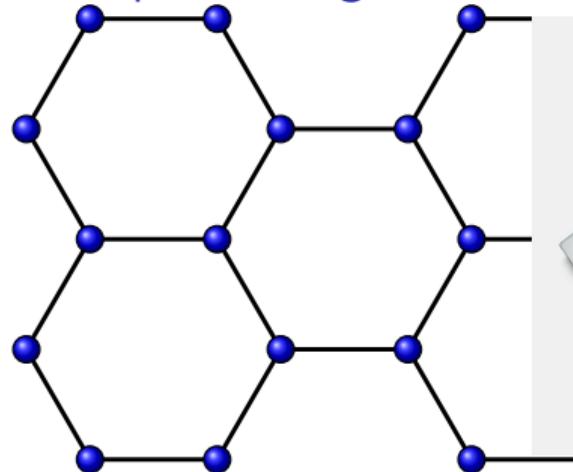
# Constant path integral contour shifts



# Constant path integral contour shifts

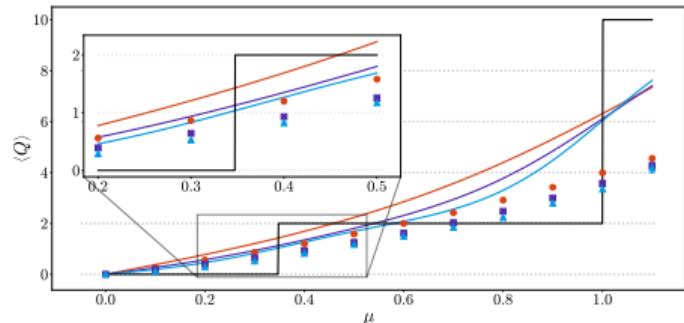
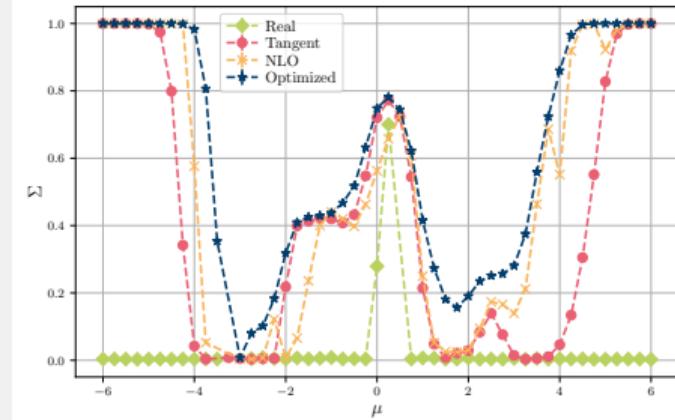
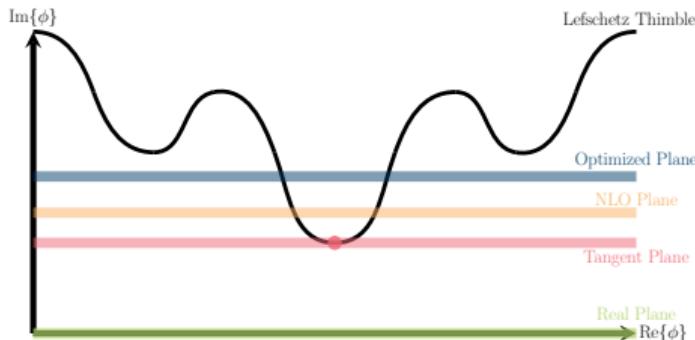
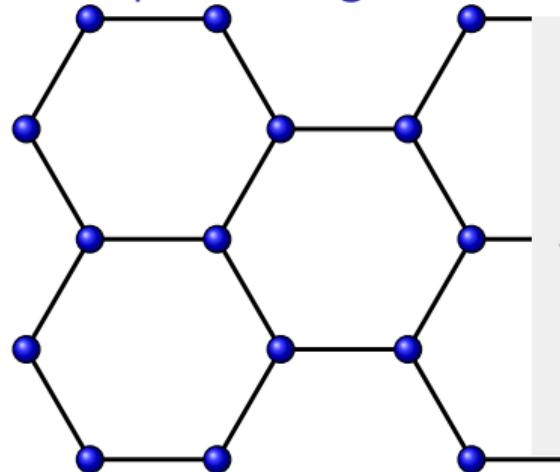


# Constant path integral contour shifts



Interacting:	$\beta = 4$	$\beta = 6$	$\beta = 8$	$\beta \rightarrow \infty$
Non-Interacting:	— $\beta = 4$	— $\beta = 6$	— $\beta = 8$	— $\beta \rightarrow \infty$

# Constant path integral contour shifts



Interacting:       $\beta = 4$      $\beta = 6$      $\beta = 8$   
Non-Interacting:  $\beta = 4$      $\beta = 6$      $\beta = 8$      $\beta \rightarrow \infty$

Stay tuned for updates on fermionic aTTNs!

---

**parameters:** dimension  $d$ , potential  $V$  with  $V(x) \approx c|x|^a$  for large  $|x|$

**input** : initial configuration  $x^i \in X$ , standard deviation  $\sigma$

$$\text{(default } \sigma = \sqrt{\frac{2}{ad}}\text{)}$$

**output** : final configuration  $x^f \in X$

sample  $\gamma \sim \mathcal{N}(0, \sigma^2)$ ; // normal distribution

$x \leftarrow x^i \cdot e^\gamma;$

$\Delta V \leftarrow V(x) - V(x^i);$

**if**  $e^{-\Delta V + d\gamma} \geq \mathcal{U}_{[0,1]}$  **then** // uniform distribution

  |  $x^f \leftarrow x;$

**else**

  |  $x^f \leftarrow x^i;$

**end**

## Path integral formalism

[Krieg, JO + CPC **236** (2019); Luu & Lähde PRB **93** (2016); Smith & Smekal PRB **89** (2014)]

- ▶ Discretise imaginary time into steps  $\delta = \beta/N_t$ ,  $\beta = 1/T$
- ▶ Hubbard-Stratonovich transformation

$$e^{-\frac{1}{2} \sum_{x,y} V_{x,y} q_x q_y} \propto \int \mathcal{D}\phi_t e^{-\frac{1}{2} \sum_{x,y} V_{x,y}^{-1} \phi_{x,t} \phi_{y,t} + i \sum_x \phi_{x,t} q_x}$$

- ▶ Fermion matrix

$$M_{(x,t)(y,t')} = \delta_{xy} \delta_{tt'} - e^{-i \delta \cdot \phi_{x,t}} \delta_{xy} \delta_{t-1,t'} - \delta \cdot \delta_{\langle x,y \rangle} \delta_{t-1,t'}$$

- ▶ Hybrid Monte Carlo simulation of probability

$$p[\phi] \propto \det(M M^\dagger) e^{-\frac{\delta}{2U} \phi^2}$$

## Chemical potential

- ▶  $H_\mu = \mu \sum_x (c_{x,\uparrow}^\dagger c_{x,\uparrow} + c_{x,\downarrow}^\dagger c_{x,\downarrow}) = \mu \sum_x (p_x^\dagger p_x - h_x^\dagger h_x) + \text{const.}$
- ▶  $\mu$  introduces net charge in the ground state
- ▶ breaks particle-hole symmetry
- ▶ Probability weight

$$\det(M[\mu] M[-\mu]^\dagger) \not\geq 0$$

→ Sign Problem

## Statistical Power

$$\langle \mathcal{O} \rangle = \frac{\langle e^{-i\text{Im}S} \mathcal{O} \rangle_{\text{Re}S}}{\langle e^{-i\text{Im}S} \rangle_{\text{Re}S}} = \frac{1}{\Sigma} \langle e^{-i\text{Im}S} \mathcal{O} \rangle_{\text{Re}S}$$

$$\Sigma \coloneqq \langle e^{-i\text{Im}S} \rangle_{\text{Re}S} \equiv \frac{\int \mathcal{D}\Phi e^{-\text{Re}S} e^{-i\text{Im}S}}{\int \mathcal{D}\Phi e^{-\text{Re}S}}$$

$$N^{\text{eff}} = |\Sigma|^2 \cdot N$$

$$\text{statistical error} \sim 1/\sqrt{N^{\text{eff}}} \propto 1/|\Sigma|$$

## Transform path integral contour

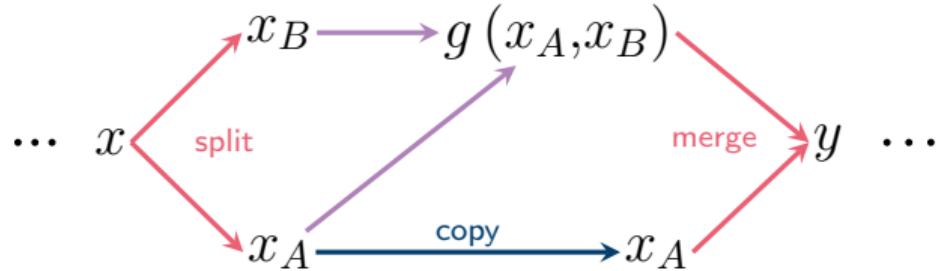
$$\begin{aligned}\mathcal{Z} &= \int_{\mathcal{M}} \mathcal{D}\Phi e^{-S[\Phi]} \\ &= \int_{\mathbb{R}^n} \mathcal{D}\phi \det J[\Phi(\phi)] e^{-S[\Phi(\phi)]}, \quad J_{ij} = \frac{\partial \Phi_i}{\partial \phi_j} \\ \Rightarrow S^{\text{eff}}[\phi] &= S[\Phi(\phi)] - \log \det J[\Phi(\phi)]\end{aligned}$$

Runtime of determinant as  $V^3!$

## Affine coupling layers [Albergo + *hep-lat/2101.08176*; Dinh + *cs.LG/1410.8516*]

$$f(x) = \begin{cases} y_A = x_A \\ y_B = g(x_A, x_B) \end{cases}$$

$$g(x_A, x_B) = x_B \odot s(x_A) + t(x_A)$$



$$\Rightarrow \det \left( \frac{\partial f}{\partial x} \right) = \det \left( \begin{array}{cc} \mathbb{1} & 0 \\ \frac{\partial y_B}{\partial x_A} & s(x_A) \end{array} \right) = \prod_j s(x_A)_j$$

$$\det \frac{\partial \mathcal{NN}}{\partial x} = \det \left( \frac{\partial f^n(x)}{\partial x} \right) \det \left( \frac{\partial f^{n-1}(x)}{\partial x} \right) \cdots \det \left( \frac{\partial f^1(x)}{\partial x} \right)$$

$$\det J = \det \left( \mathbb{1} + i \frac{\partial \mathcal{NN}}{\partial x} \right) \neq \prod_k \det \left( \mathbb{1} + i \frac{\partial f^k(x)}{\partial x} \right)$$

# Complex-valued Neural Networks

[Bassey + *stat.ML/2101.12249*; Bouboulis *cs.LG/1005.5170*; Brandwood *IET 130* (1983)]

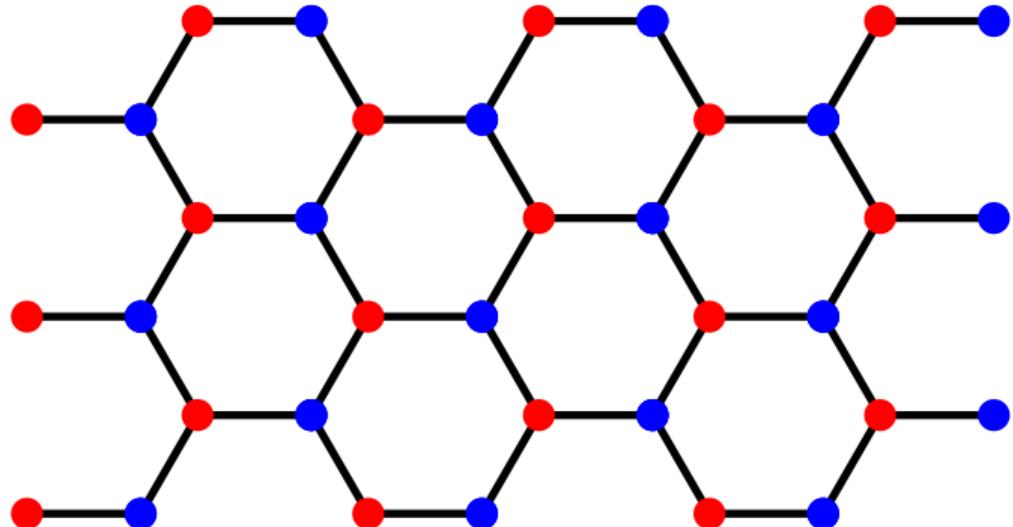
[Rodekamp, JO + *PRB 106* (2022)]

	real	complex
Trafo	$\phi \mapsto \phi + i\mathcal{NN}(\phi)$	$\phi \mapsto \mathcal{NN}(\phi)$
Derivative	$\frac{\partial f(x)}{\partial x}$	$\frac{\partial f(z)}{\partial z} = \frac{1}{2} \left( \frac{\partial f(z)}{\partial \text{Re}z} - i \frac{\partial f(z)}{\partial \text{Im}z} \right)$ $\frac{\partial f(z)}{\partial z^*} = \frac{1}{2} \left( \frac{\partial f(z)}{\partial \text{Re}z} + i \frac{\partial f(z)}{\partial \text{Im}z} \right)$
Layers	dense	affine
det $J$ runtime	$\mathcal{O}(V^3)$	$\mathcal{O}(V)$

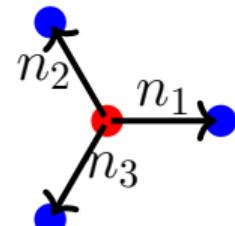
## Tight binding model [Bloch 1929]

$$H^0 = - \sum_{\langle x,y \rangle} c_x^\dagger c_y$$

$\langle x,y \rangle$  denotes nearest neighbours

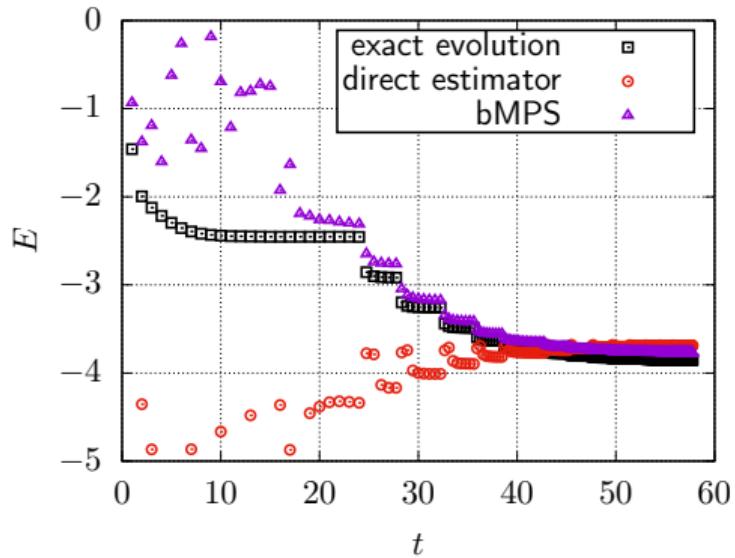


Sub-lattices **A** and **B**



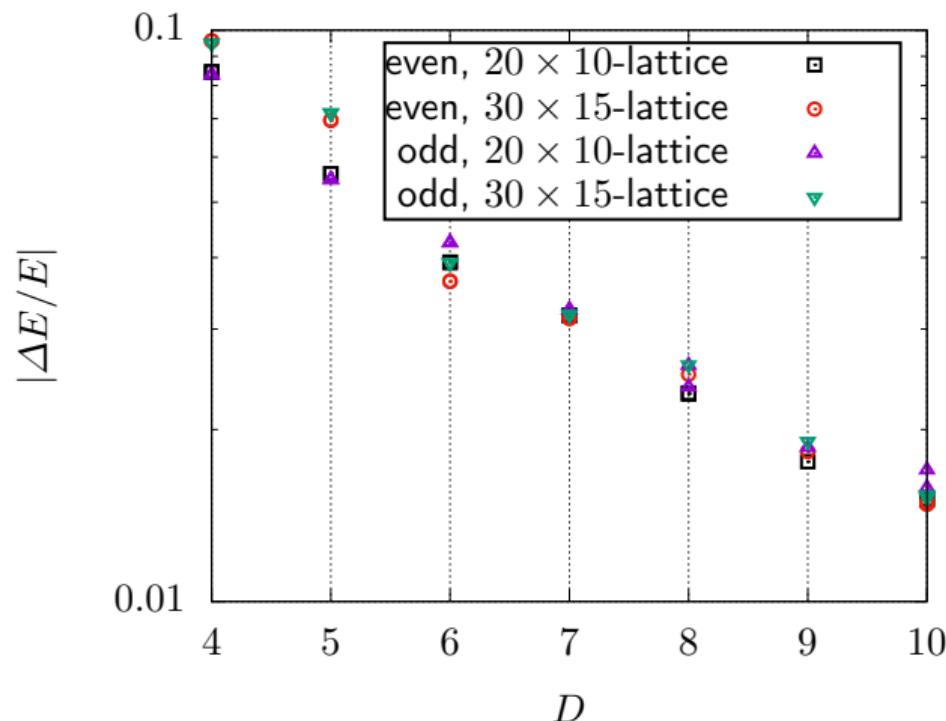
## Ground state search [Schneider, JO + PRB 104 (2021)]

- ▶ Fix bond dimension  $D$
- ▶ Initialise PEPS randomly
- ▶ Trotter-decomposed imaginary time evolution
- ▶ Local updates
- ▶ Contract network to calculate expectation values



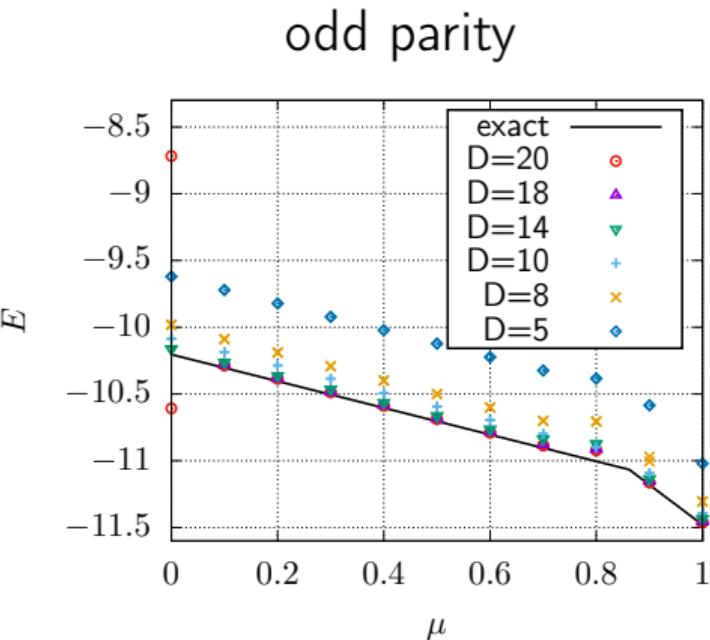
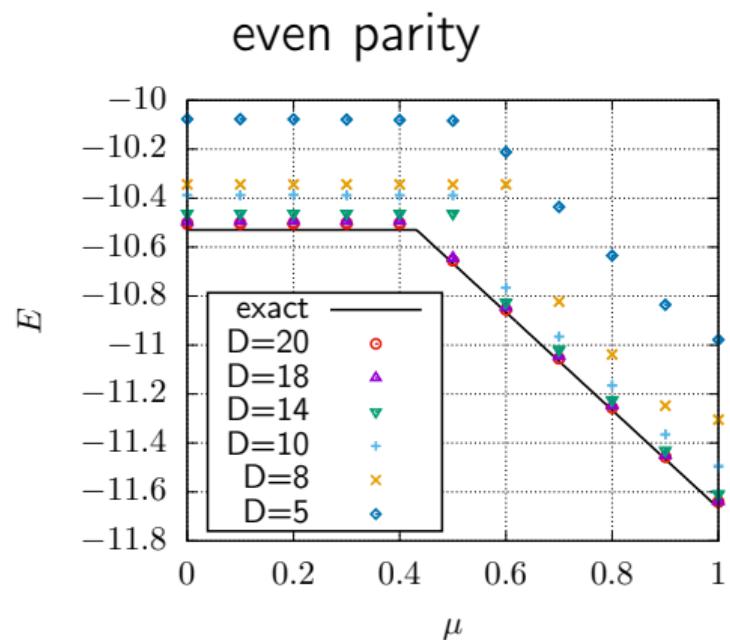
# Convergence (non-interacting $U = 0$ , $\mu = 0.5$ )

[Schneider, JO + PRB 104 (2021)]

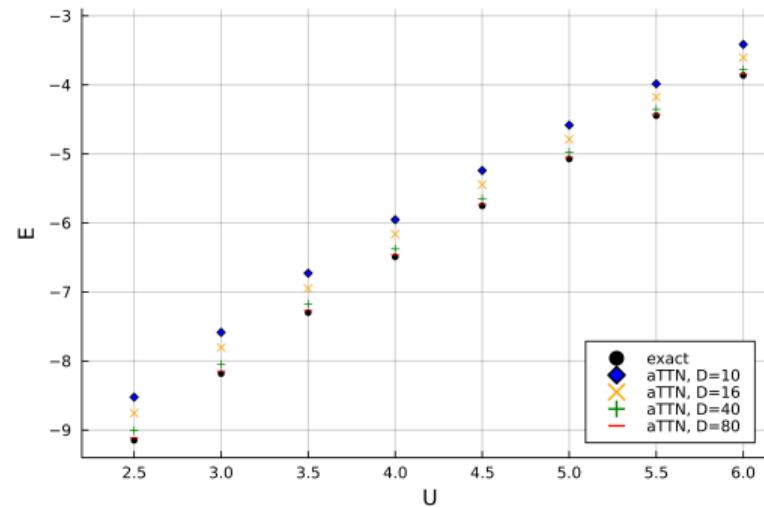
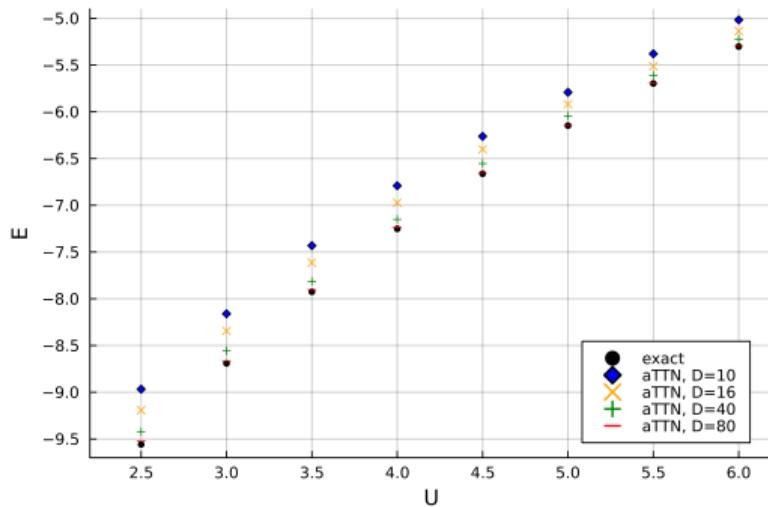


# Simulations with chemical potential ( $3 \times 4$ , $U = 2$ )

[Schneider, JO + PRB 104 (2021)]

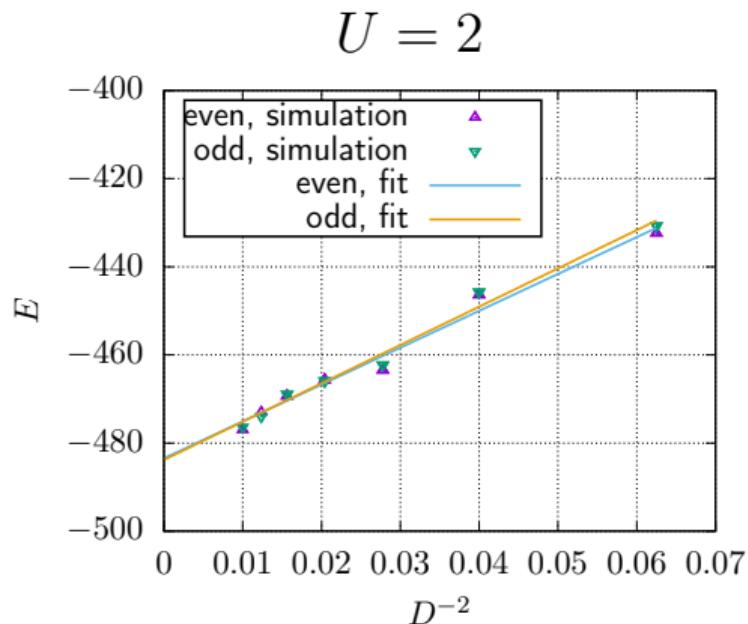
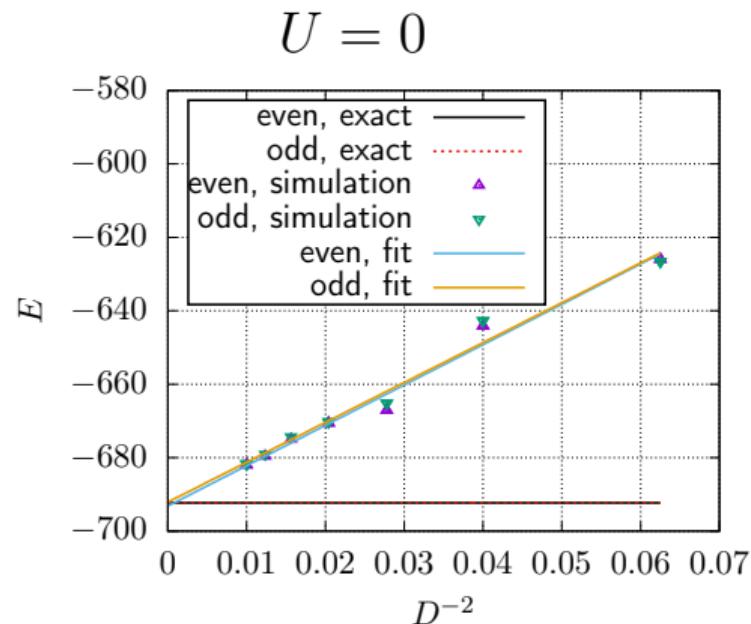


## More preliminary fermionic aTTN results [Suladze, JO + (forthcoming)]



# Simulations with chemical potential ( $30 \times 15$ , $\mu = 0.5$ )

[Schneider, JO + PRB **104** (2021)]



## Overview

	Hybrid Monte Carlo	Fermionic PEPS
lattice size	$L \lesssim 100$	$L \lesssim 30$
boundary conditions	periodic	open
thermodynamic limit	easy	hard
continuum limit	controlled, expensive	easy
temperature	only finite	only zero
other extrapolations	no	uncontrolled in $D$
excited states	few lowest, expensive	some specific, unstable
sign problem	yes	no
performance	CPU-intensive	RAM-intensive

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