

# **From first FASER physics results to searches at the FPF**

**Far Forwards Physics Meeting  
April 4th 2023**

**Felix Kling**

**First Results from Moriond!**  
Dark Photons and Neutrinos

**What can we learn from this?**  
Comparison to Flux Predictions

**Some remark on limitations of GENIE.**  
Hadronization

# **First Results from Moriond!**

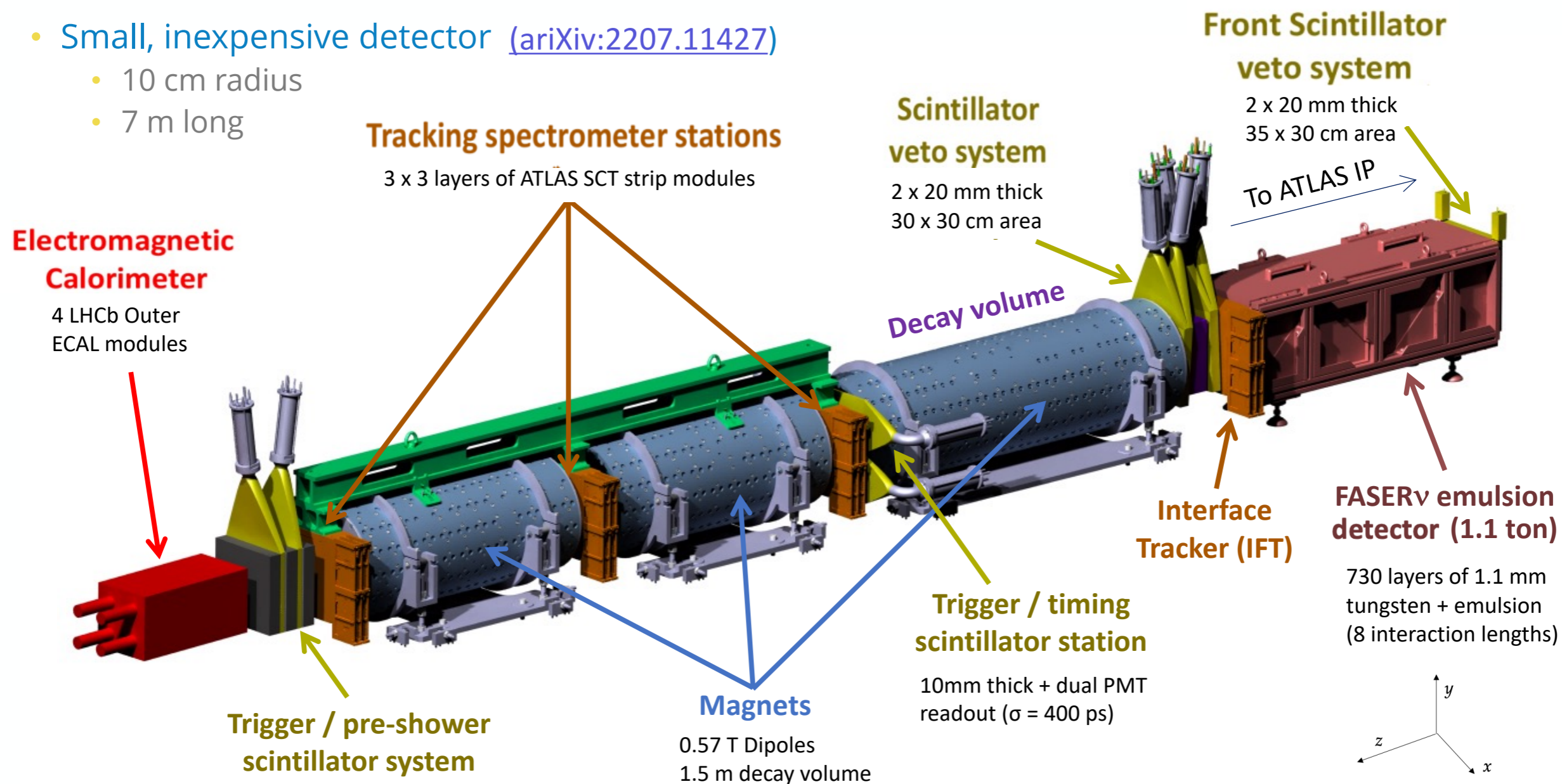
## **Dark Photons and Neutrinos**

Brian Peterson's (FASER) talk at Moriond EW: [slides](#)  
Ettore Zaffaroni's (SND) talk at Moriond EW: [slides](#)  
Carl Gwilliam's talk (FASER) at Moriond QCD: [slides](#)  
Neutrino Observation (FASER): [2303.14185](#)  
Dark Photon Analysis (FASER): [CONF NOTE](#)

# FASER Experiment

## FASER Detector

- Small, inexpensive detector ([arXiv:2207.11427](https://arxiv.org/abs/2207.11427))
  - 10 cm radius
  - 7 m long



# FASER Experiment

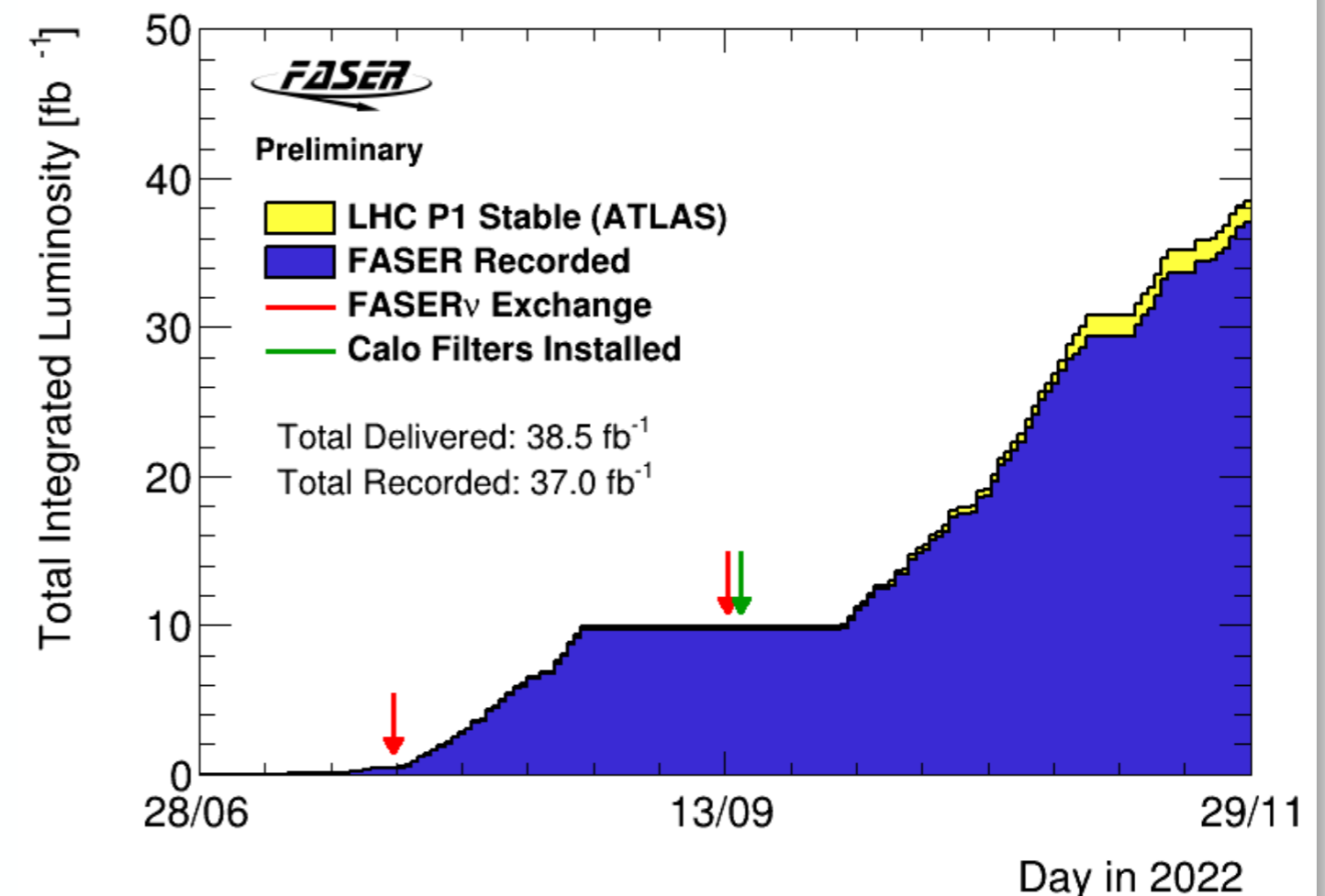


- Detector installed between March – Nov 2021, ready for LHC run 3

# FASER Experiment

## FASER Operations

- Successfully operated throughout 2022
  - Continuous data taking
  - Largely automated
  - Up to 1.3 kHz
- Recorded 96.1% of delivered lumi.
  - DAQ dead-time of 1.3%
  - A couple of DAQ crashes
- Emulsion detector exchanged twice
  - Needed to manage occupancy
  - First box only partially filled
- Calorimeter gain optimised for:
  - Low E (<300 GeV) before 2<sup>nd</sup> exchange
  - High E (up to 3 TeV) after this exchange



Analyses presented use 27.0 fb<sup>-1</sup> or 35.4 fb<sup>-1</sup>

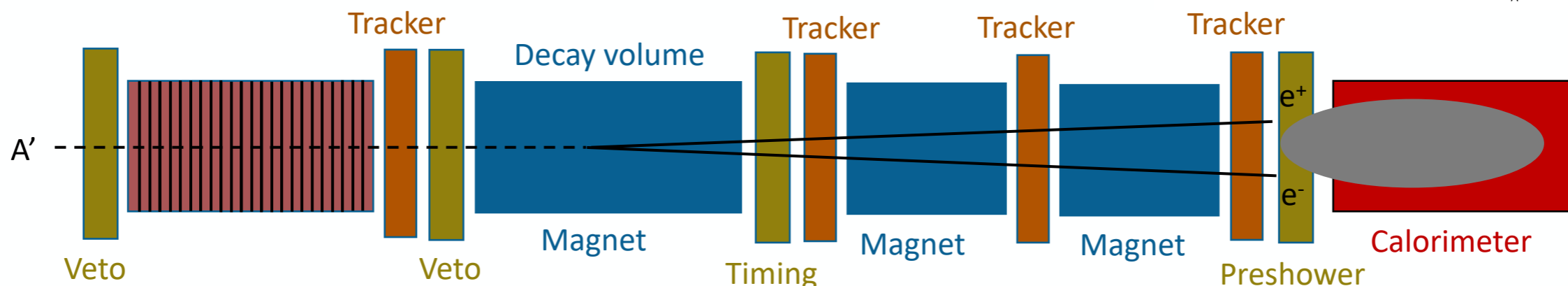
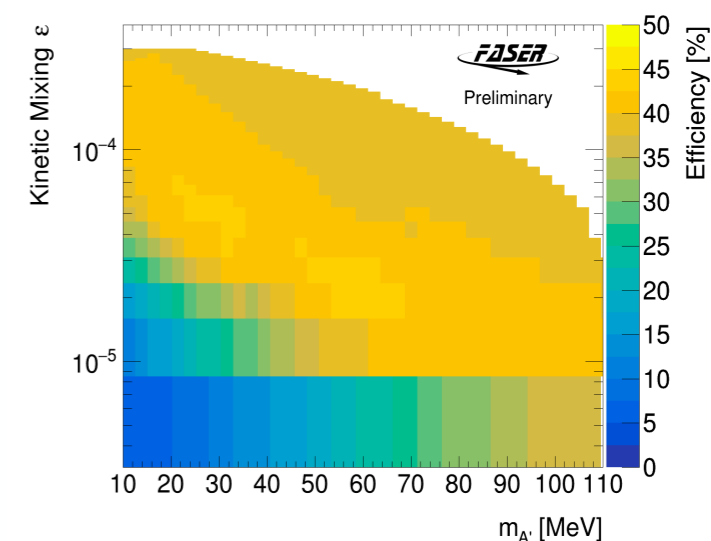
# Dark Photon Analysis

## Dark Photon Selection

- Simple and robust  $A' \rightarrow e^+e^-$  selection, optimised for discovery
  - Blind events with no veto signal and  $E(\text{calo}) > 100 \text{ GeV}$
  - Efficiency of  $\sim 40\%$  across region sensitive to

1. Collision event with good data quality

4. Timing and preshower consistent with  $\geq 2$  MIPs



2. No signal ( $< 40 \text{ pC}$ ) in any veto scintillator

3. Exactly 2 good fiducial tracks

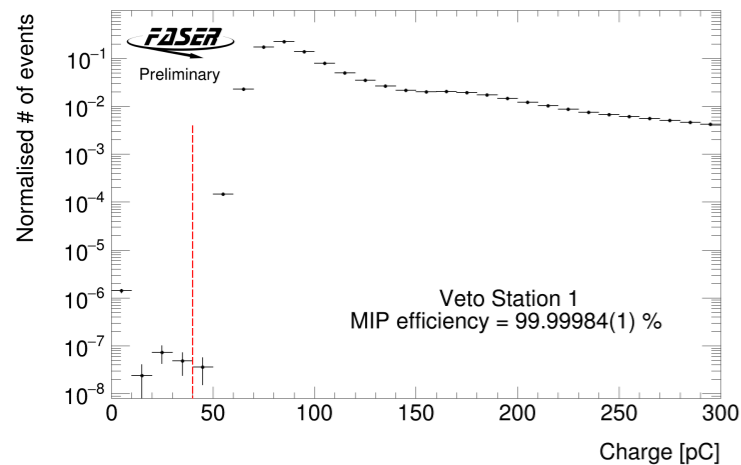
- $p > 20 \text{ GeV}$  and  $r < 95 \text{ mm}$
- Extrapolating to  $r < 95 \text{ mm}$  at vetos

5. Calo  $E > 500 \text{ GeV}$

# Dark Photon Backgrounds

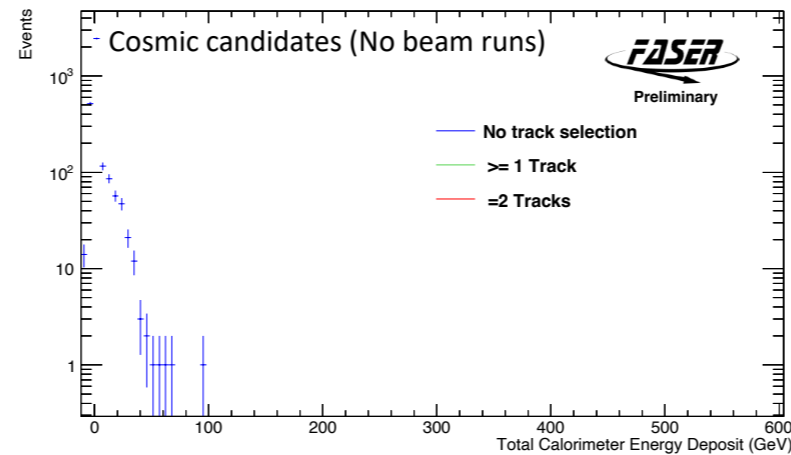
- **Veto inefficiency**

- Measured layer-by-layer via muons with tracks pointing back to vetos
- Layer efficiency > 99.998%
- 5 layers reduce exp.  $10^8$  muons to negligible level (even before cuts)



- **Non-collision backgrounds**

- Cosmics measured in runs with no beam
- Near-by beam debris measured in non-colliding bunches
- No events observed with  $\geq 1$  track or  $E(\text{calo}) > 500$  GeV individually



10

- **Main background is from neutrino interactions**

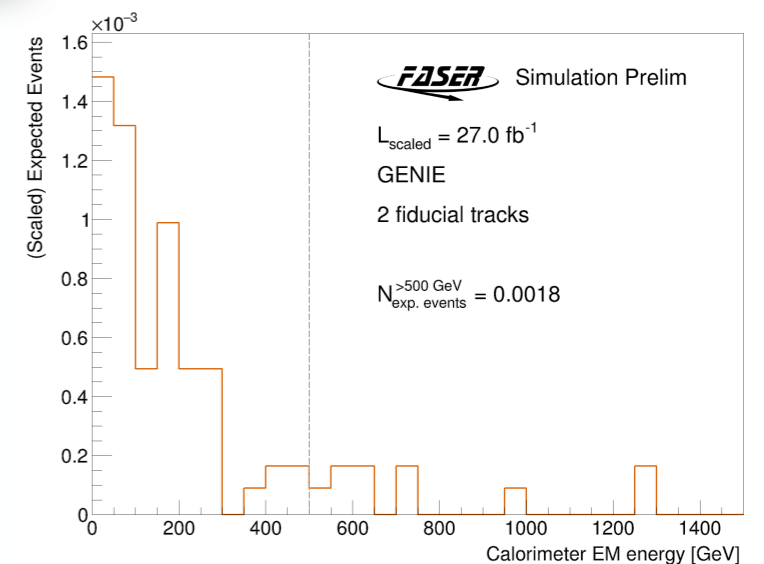
- Primarily coming from vicinity of timing detector
- Estimated from GENIE simulation ( $300 \text{ ab}^{-1}$ )
  - Uncertainties from neutrino flux & mismodelling
- Predicted events with  $E(\text{calo}) > 500$  GeV

$$N = (1.8 \pm 2.4) \times 10^{-3}$$

- **Neutral hadrons (e.g.  $K_S$ ) from upstream muons interacting in rock in front of FASER**

- Heavily suppressed since:
  - Muon nearly always continues after interaction
  - Has to pass through 8 interaction lengths (FASERv)
  - Decay products have to leave  $E(\text{calo}) > 500$  GeV
- Estimated from lower energy events with 2 or 3 tracks and different veto conditions

$$N = (2.2 \pm 3.1) \times 10^{-4}$$



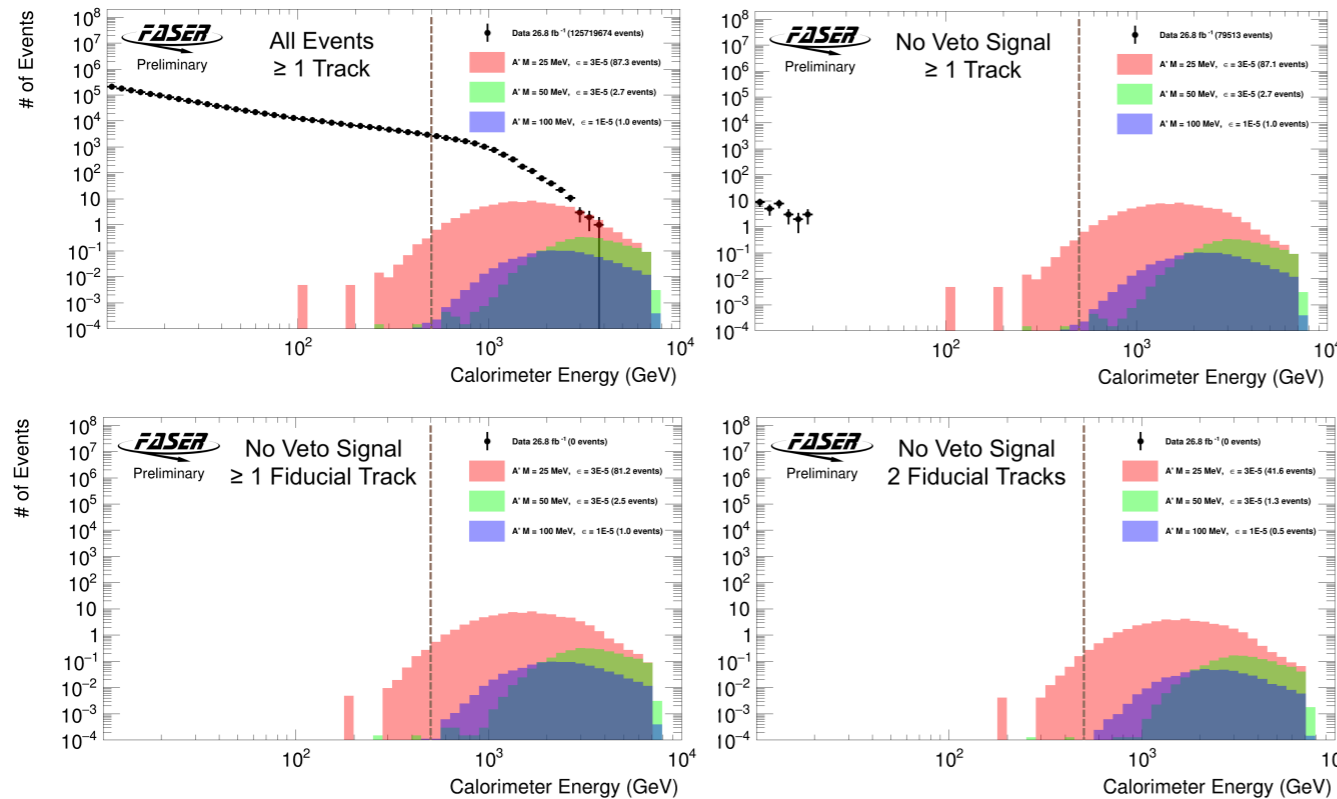
- **Total background prediction**

$$N = (2.02 \pm 2.4) \times 10^{-3}$$

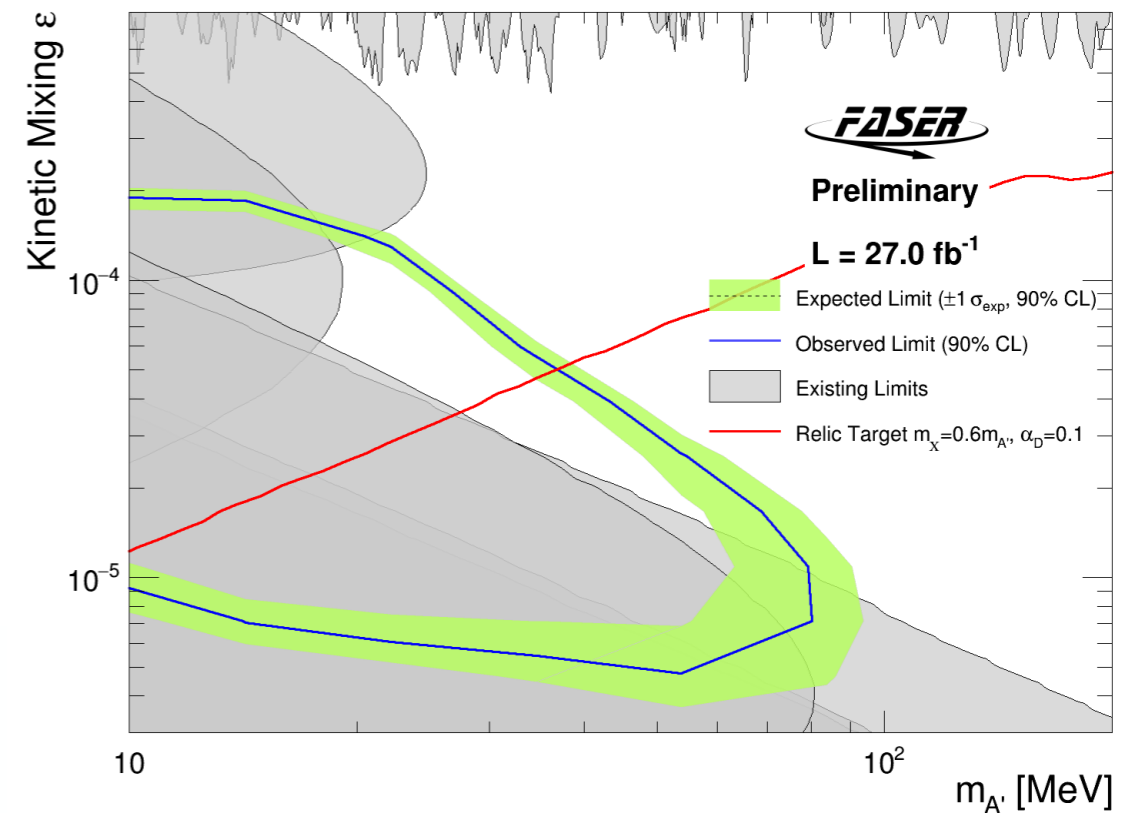


# Dark Photon Analysis

## Dark Photon Results



- No events in unblinded signal region
- Not even any with  $\geq 1$  fiducial track



- Based on this null results, FASER sets limits in previously unexplored parameter space!
  - Probing region interesting from thermal relic target
  - Also taking into account new preliminary NA62 result (see backup)

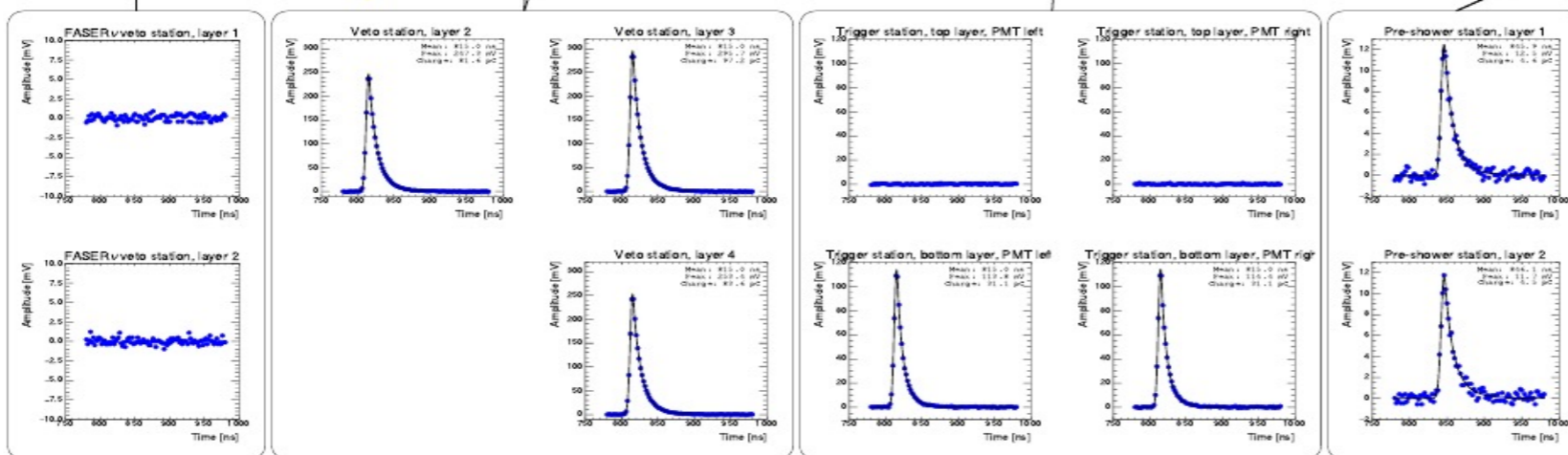
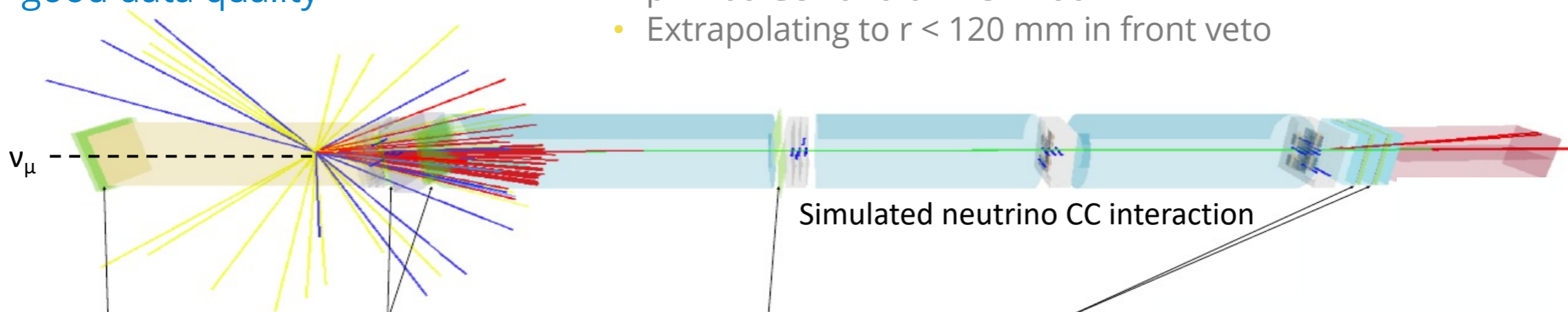
[CERN-FASER-CONF-2023-001](https://cds.cern.ch/record/2811111/files/CERN-FASER-CONF-2023-001)

# Electronic Neutrino Analysis

## Observing Neutrino Candidates in FASER

1. Collision event with good data quality

4. Exactly 1 good fiducial ( $r < 95$  mm) track
  - $p > 100$  GeV and  $\theta < 25$  mrad
  - Extrapolating to  $r < 120$  mm in front veto



2. No signal ( $<40$  pc) in 2 front vetos
3. Signal ( $>40$  pc) in other 3 vetos

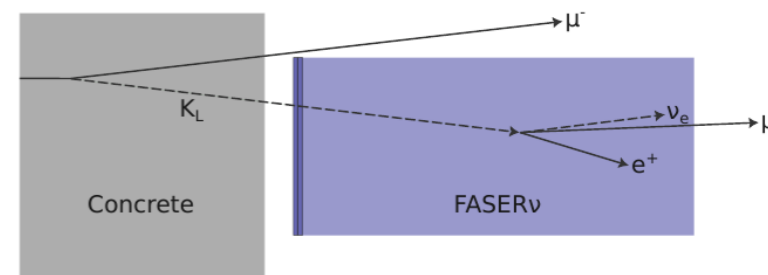
5. Timing and preshower consistent with  $\geq 1$  MIP

- Can detect CC  $\nu_\mu$  using just spectrometer and veto systems!
- Expect  $151 \pm 41$  events from GENIE simulation
  - Uncertainty from DPMJET vs SIBYLL
  - No experimental errors
    - Currently not trying to measure cross section

# Electronic Neutrino Analysis

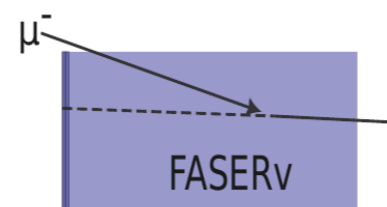
## Neutrino Backgrounds

- Neutral hadrons estimated from 2-step simulation
  - Expect ~300 neutral hadrons with  $E > 100$  GeV reaching FASERv
    - Most accompanied by  $\mu$  but conservatively assume missed
  - Estimate fraction of these passing event selection
    - Most are absorbed in tungsten with no high-momentum track
  - Predict  $N = 0.11 \pm 0.06$  events



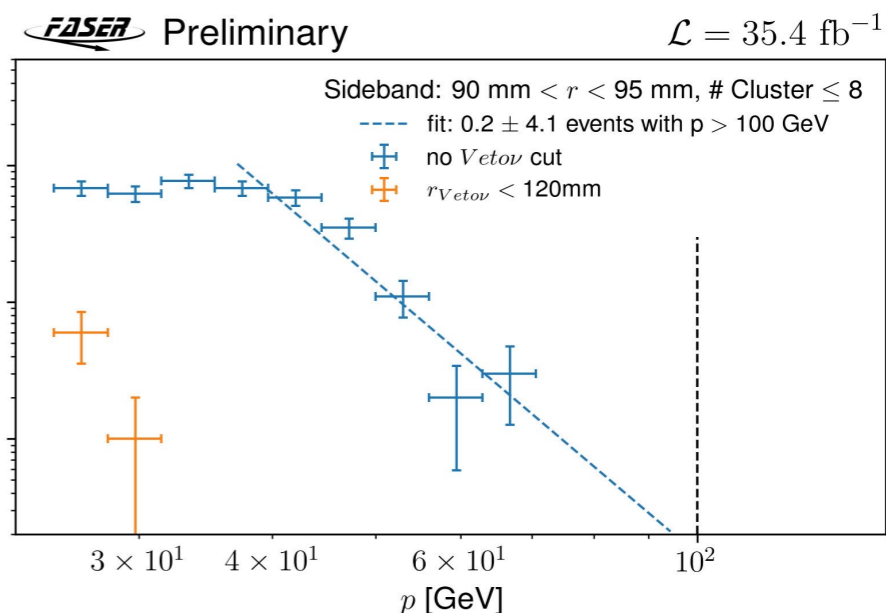
- Scattered muons estimated from data SB

- Take events w/o front veto radius requirement and single track segment in first tracker station with  $90 < r < 95$  mm
  - Fit to extrapolate to higher momentum
- Scale by # events with front veto cut
  - Use MC to extrapolate to signal region
- Predict  $N = 0.08 \pm 1.83$  events
  - Uncertainty from varying selection



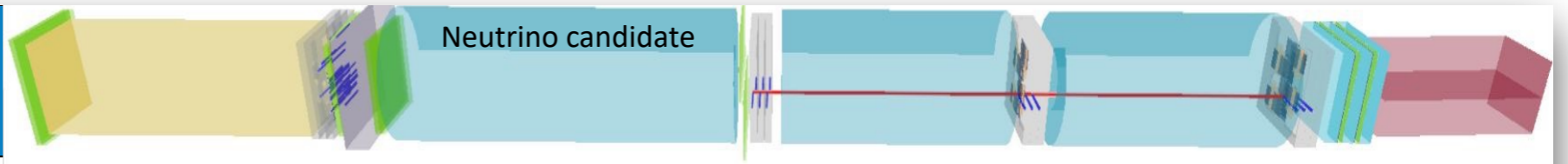
- Veto inefficiency estimated from final fit

- Fit events with 0 (SR) and also 1 (1<sup>st</sup> or 2<sup>nd</sup>) or 2 front veto layers firing
- Find negligible background due to very high veto efficiency



# Electronic Neutrino Analysis

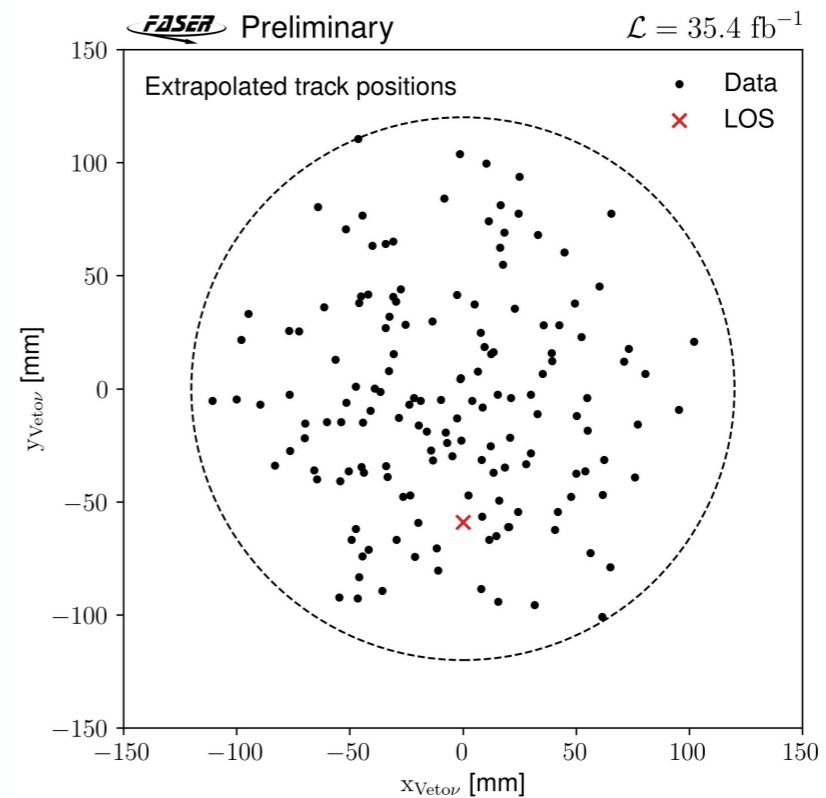
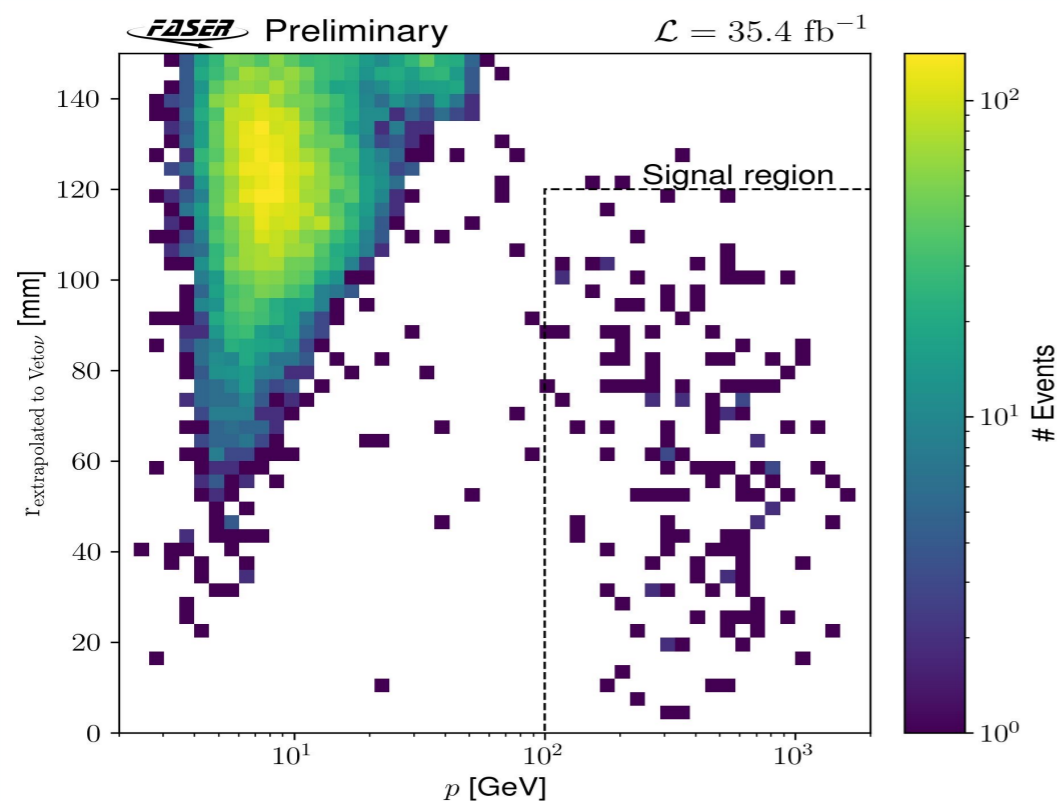
## Neutrino Results



- Upon unblinding find 153 events with no veto signal
  - Just 10 events with one veto signal
- First *direct* detection of collider neutrinos!
  - With signal significance of  $16\sigma$
  - Submitted to PRL [arXiv:2303.14185](https://arxiv.org/abs/2303.14185)

Candidate	Events
$n_0$	153 ( $151 \pm 41$ )
$n_{10}$	4
$n_{01}$	6
$n_2$	64014695

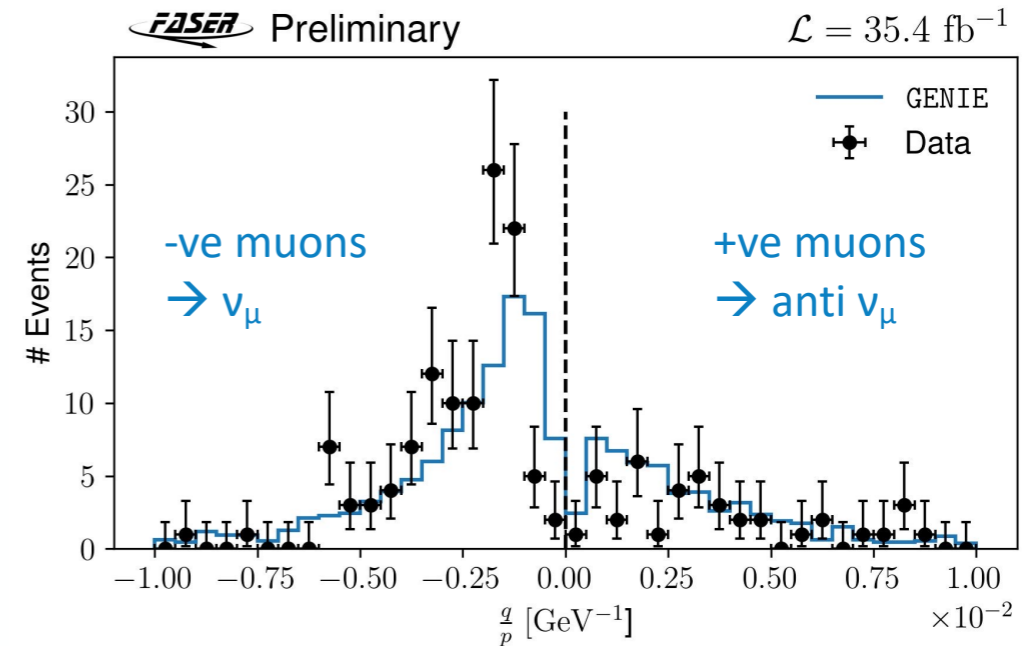
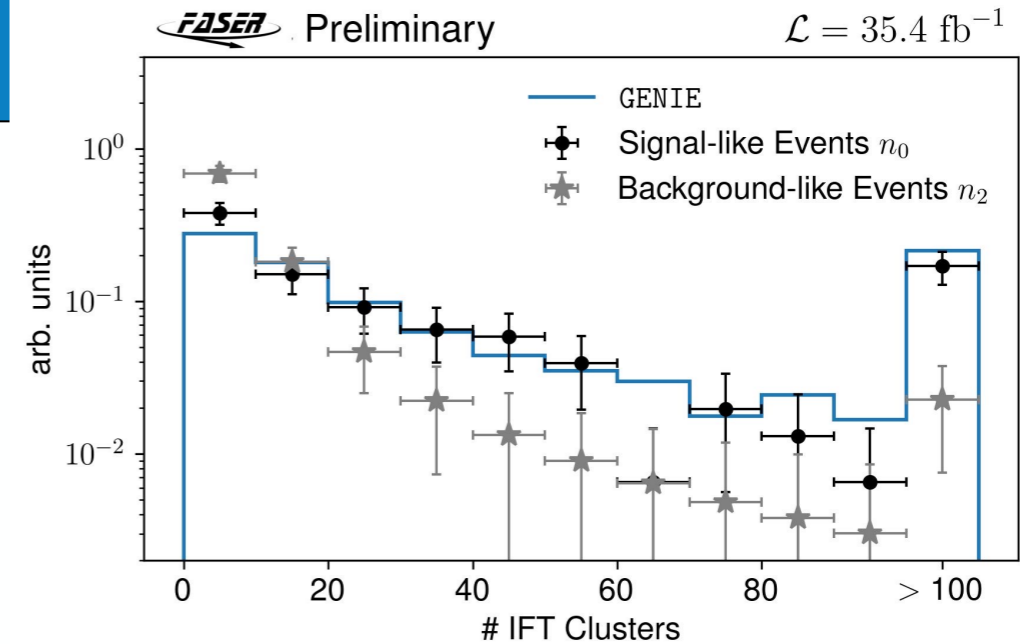
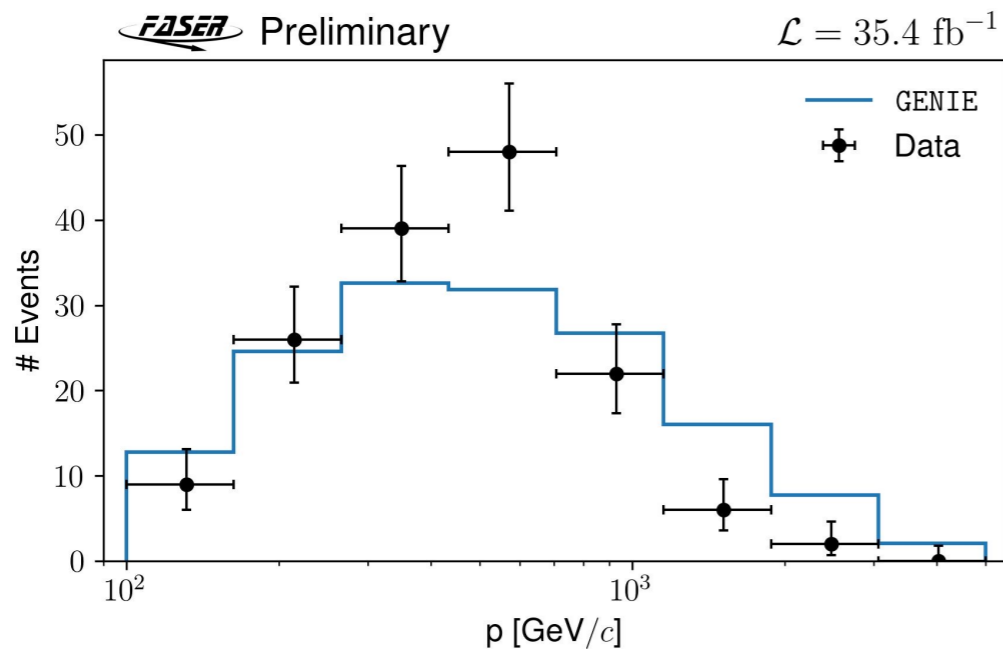
**FASEER** Run 8943  
 preliminary Event 47032829  
 2022-10-27 08:52:45



# Electronic Neutrino Analysis

## Neutrino Characteristics

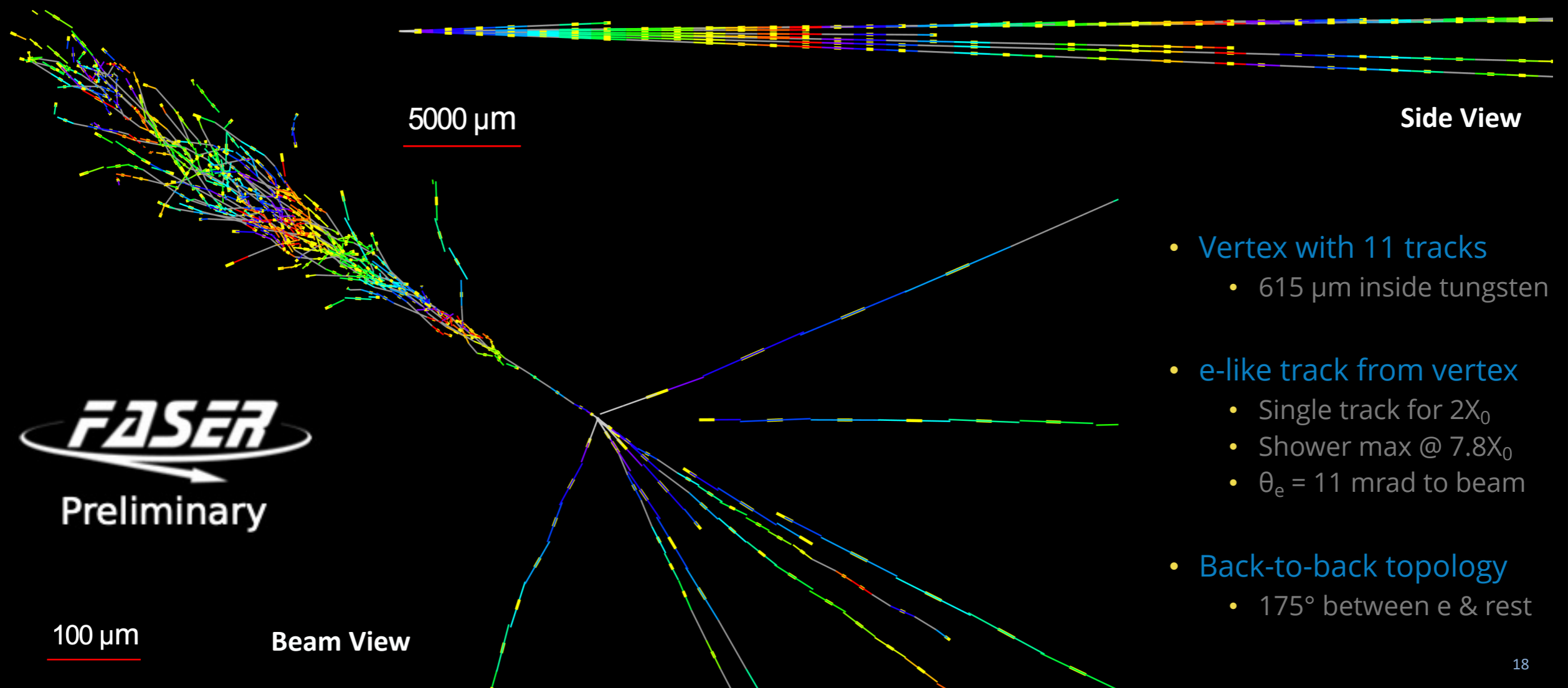
- Candidate neutrino events match expectation from signal
  - High occupancy in front tracker station
  - Most events have high  $\mu$  momentum
  - More  $\nu_\mu$  than anti- $\nu_\mu$
- Note: no acceptance corrections nor any systematic uncertainties in these plots



# First FASER $\nu$ Results

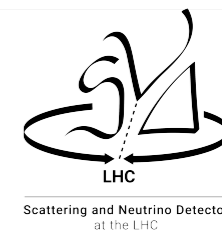
## Neutrinos in FASER $\nu$

- Analysis of FASER $\nu$  emulsion detector underway
  - Have multiple candidates including highly  $\nu_e$  like CC event

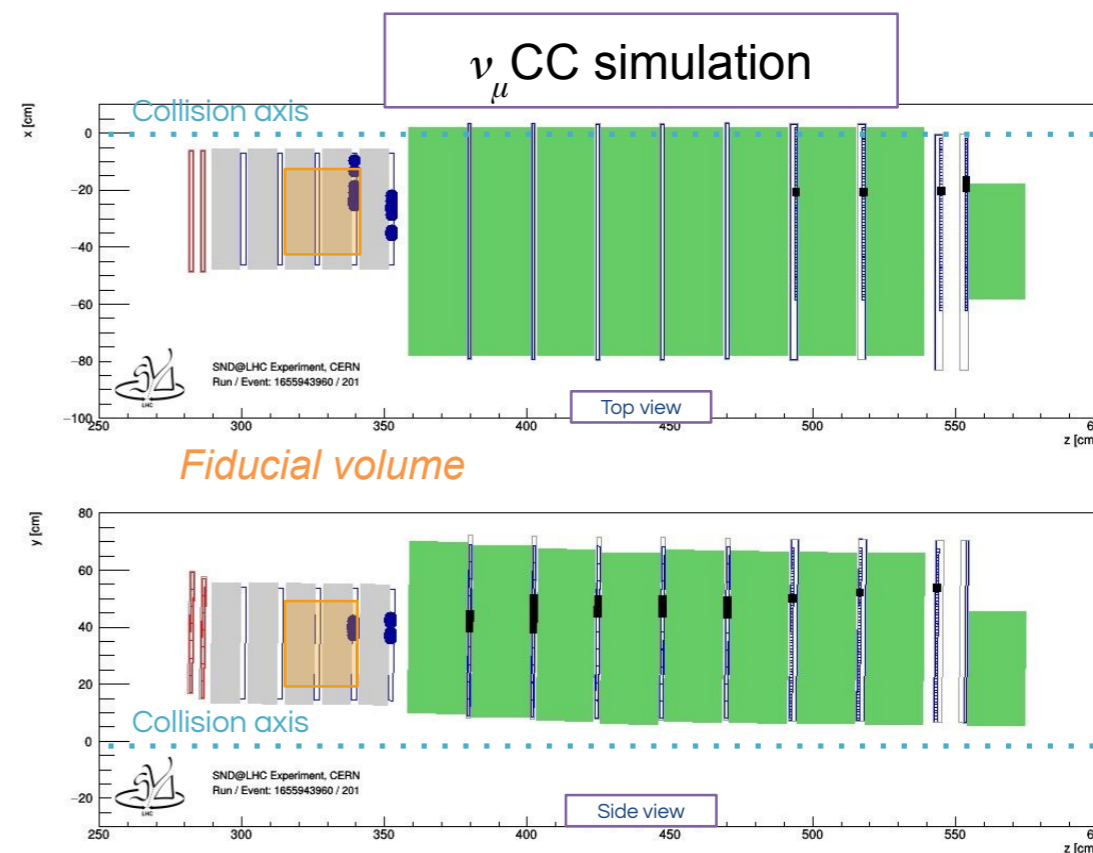
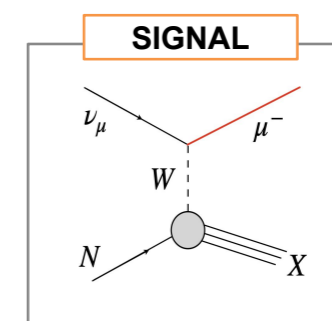


# First SND@LHC Results

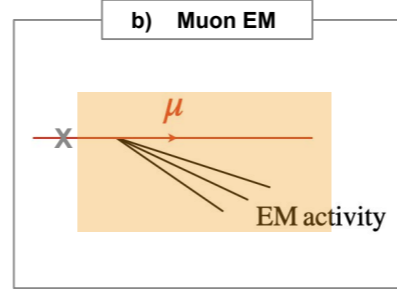
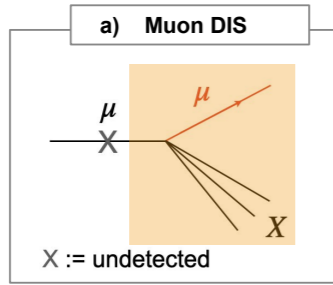
## Neutrino observation with electronic detectors



- Analysis strategy:
  - Full Run 3 **2022 dataset**,  $39 \text{ fb}^{-1}$
  - Observe  $\nu_\mu$  **Charged Current** interactions with **electronic detectors only**
  - **Maximise S/B**, counting-based approach
  - $\sim 10^9$  muon events: apply **cuts with a strong rejection power** to reach a negligible background level
- Signal selection:
  - **Fiducial Volume (1, 2) cuts**
    - Require an event from a **neutral vertex**, located in the 3<sup>rd</sup> or 4<sup>th</sup> target wall
    - Select fiducial cross-sectional area to reject entering backgrounds
  - **Neutrino ID cuts**
    - Require large EM activity in SciFi and hadronic activity in the HCAL
    - Event produced upstream (timing)
    - **Muon** reconstructed and **isolated** in the Muon system



# Background estimates (I)



:= within SND@LHC acceptance

- Muon induced background  
Number of undetected muons entering the target (2022 Run3 data)

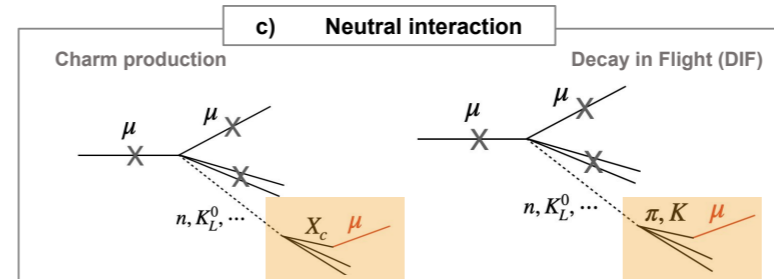
$$N_{\mu}^{bkg} = N_{\mu} \times (1 - \epsilon_{Veto}) \times (1 - \epsilon_{SciFi1}) \times (1 - \epsilon_{SciFi2}) \sim 10^{-2} \text{ totally negligible}$$

Total number of muons in target acceptance      Veto inefficiency      SciFi plane inefficiency

$$N_{\mu} = \frac{28 \times 10^6}{fb^{-1}} \times 39 fb^{-1} = 1.1 \times 10^9$$

$$(1 - \epsilon_{Veto}) \times (1 - \epsilon_{SciFi1}) \times (1 - \epsilon_{SciFi2}) \sim 10^{-11}$$

# Background estimates (II)



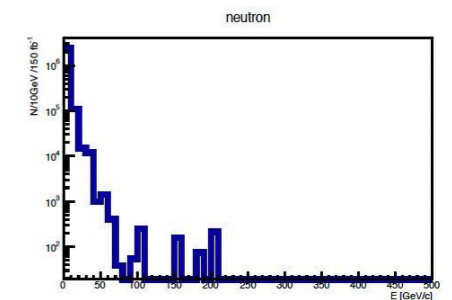
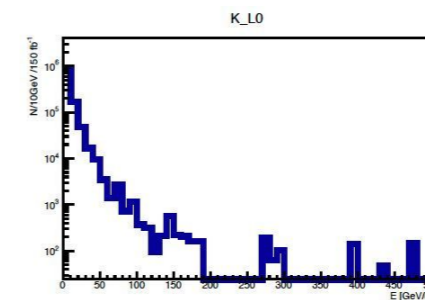
:= within SND@LHC acceptance

- Muon-induced neutral interactions

$$N_{\text{neutrals}}^{bkg} = N_{\text{neutrals}} \times P_{\text{inel}} \times \epsilon_{\text{sel}}$$

$$\sim 0.12 (K_L^0) + 0.06 (\text{neutrons}) \sim 0.2$$

Systematic uncertainty estimation is ongoing

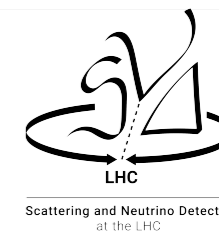
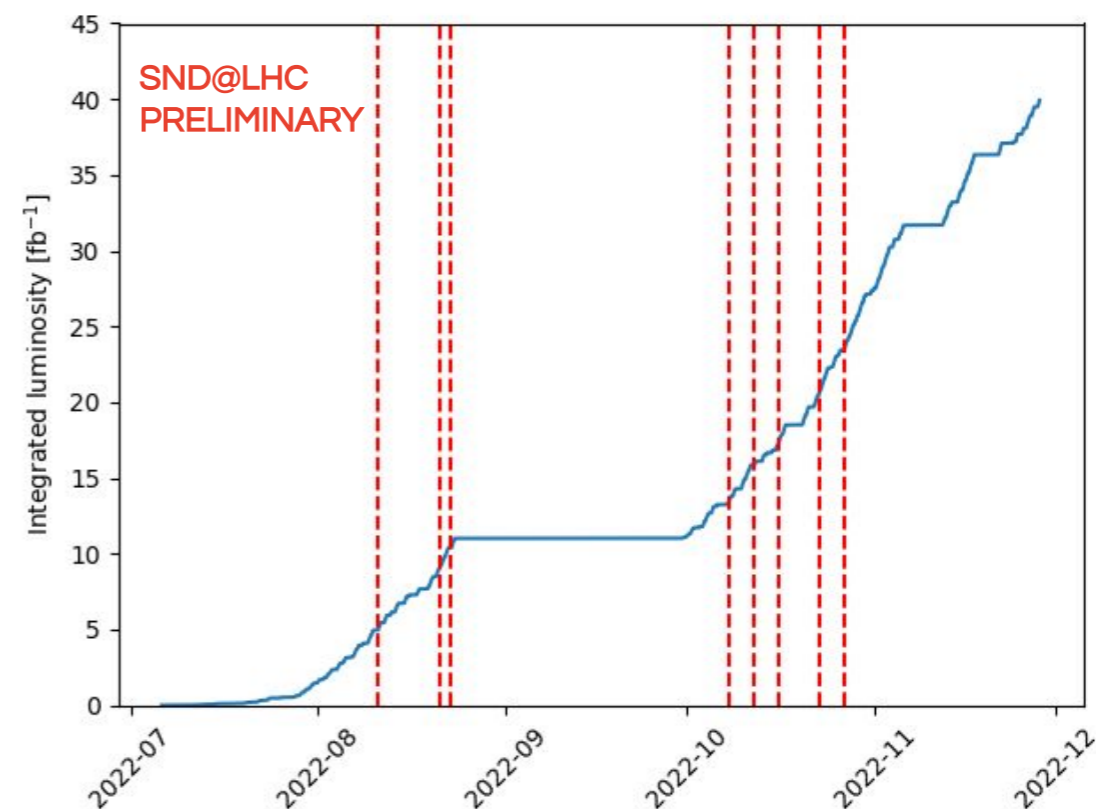
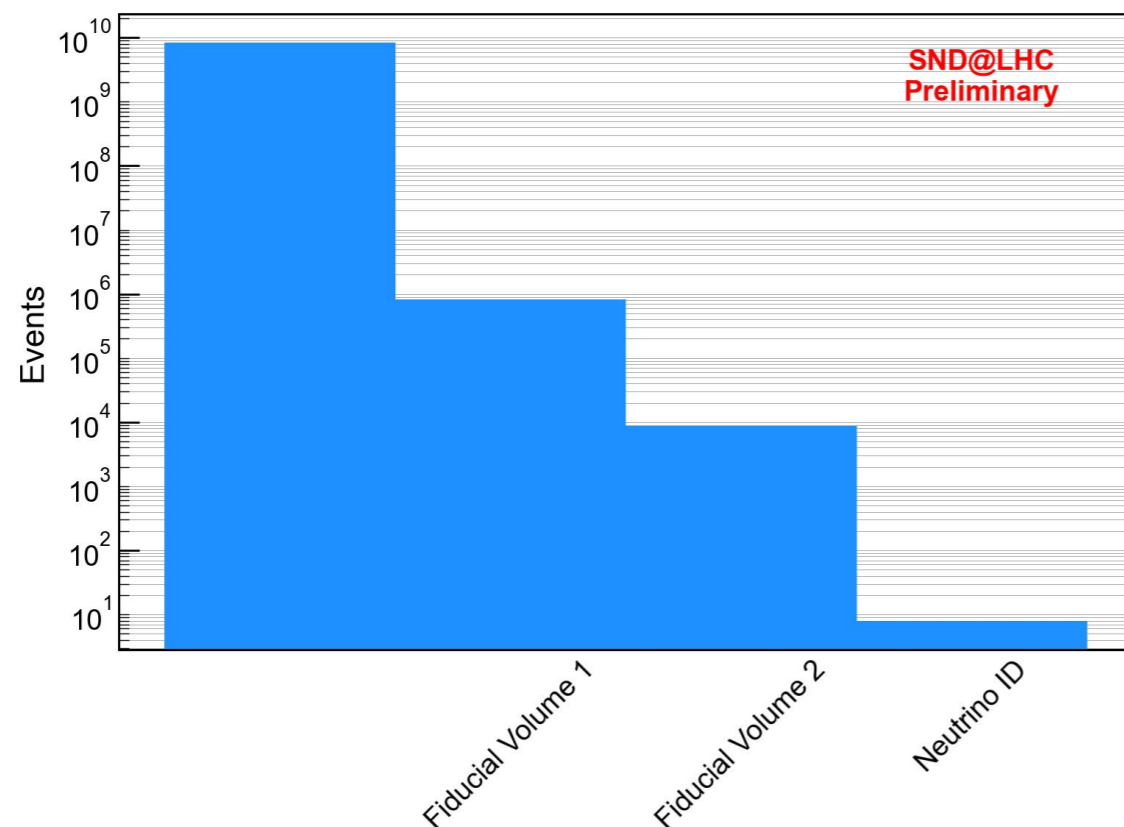




# First SND@LHC Results

## Observed candidates

- Observed  $\nu_{\mu}$  candidates: 8 (expected 5)
- Preliminary estimate of background yield: 0.2



**What can we learn from this?**  
**Comparison to Flux Predictions**

# Neutrino Fluxes

Use neutrino fluxes from 2105.08270

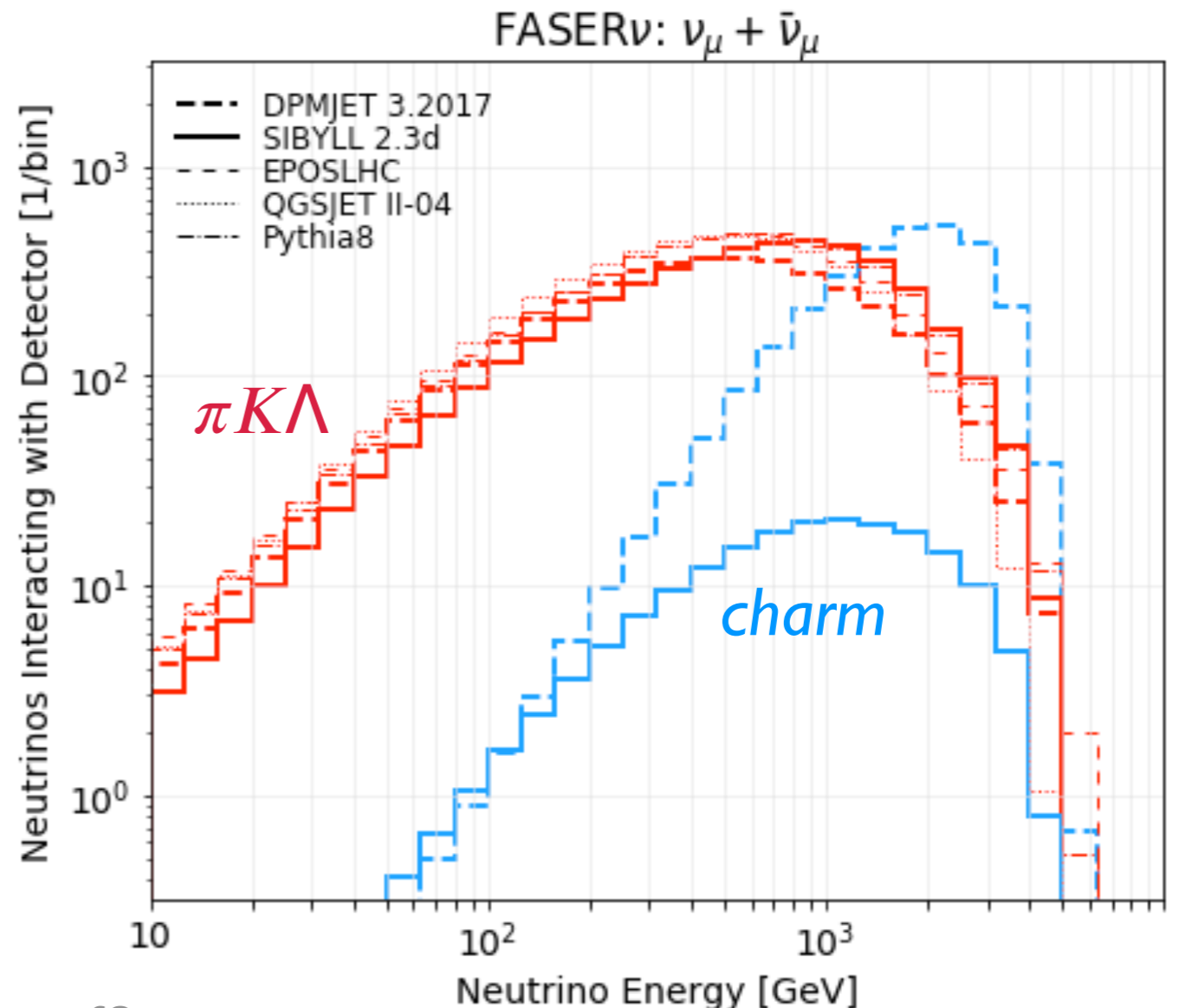
- hadrons from MC generators
- propagation of light hadrons through LHC
- hadron decays into neutrinos
- Large uncertainties from forward charm

- Expect  $151 \pm 41$  events from GENIE simulation
  - Uncertainty from DPMJET vs SIBYLL
  - No experimental errors
    - Currently not trying to measure cross section

Simulation and analysis

- GENIE + Geant4 + cuts
- no experimental uncertainties  
→ no flux measurement

*So one cannot really say anything, except that it roughly matches expectations.*

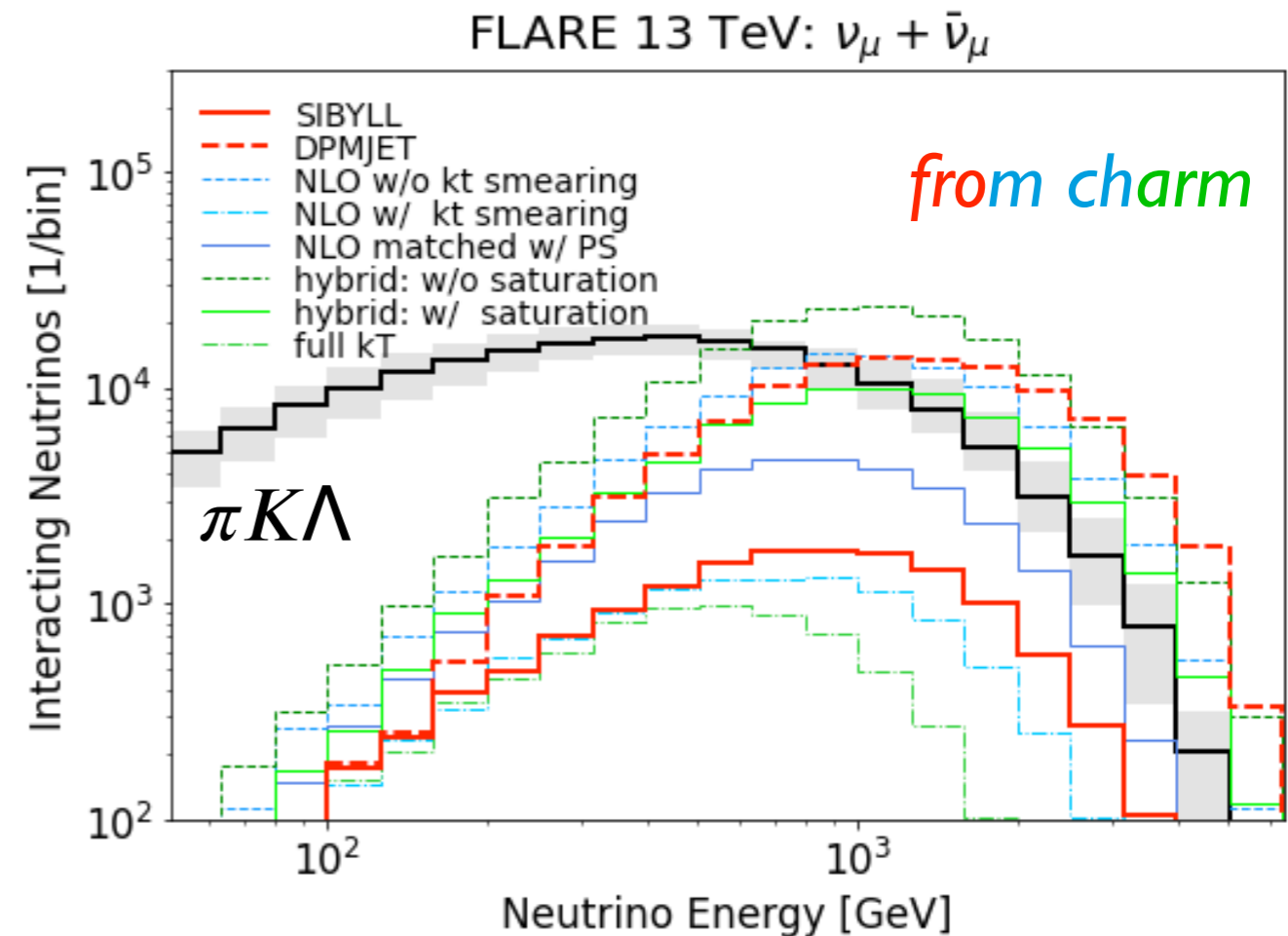
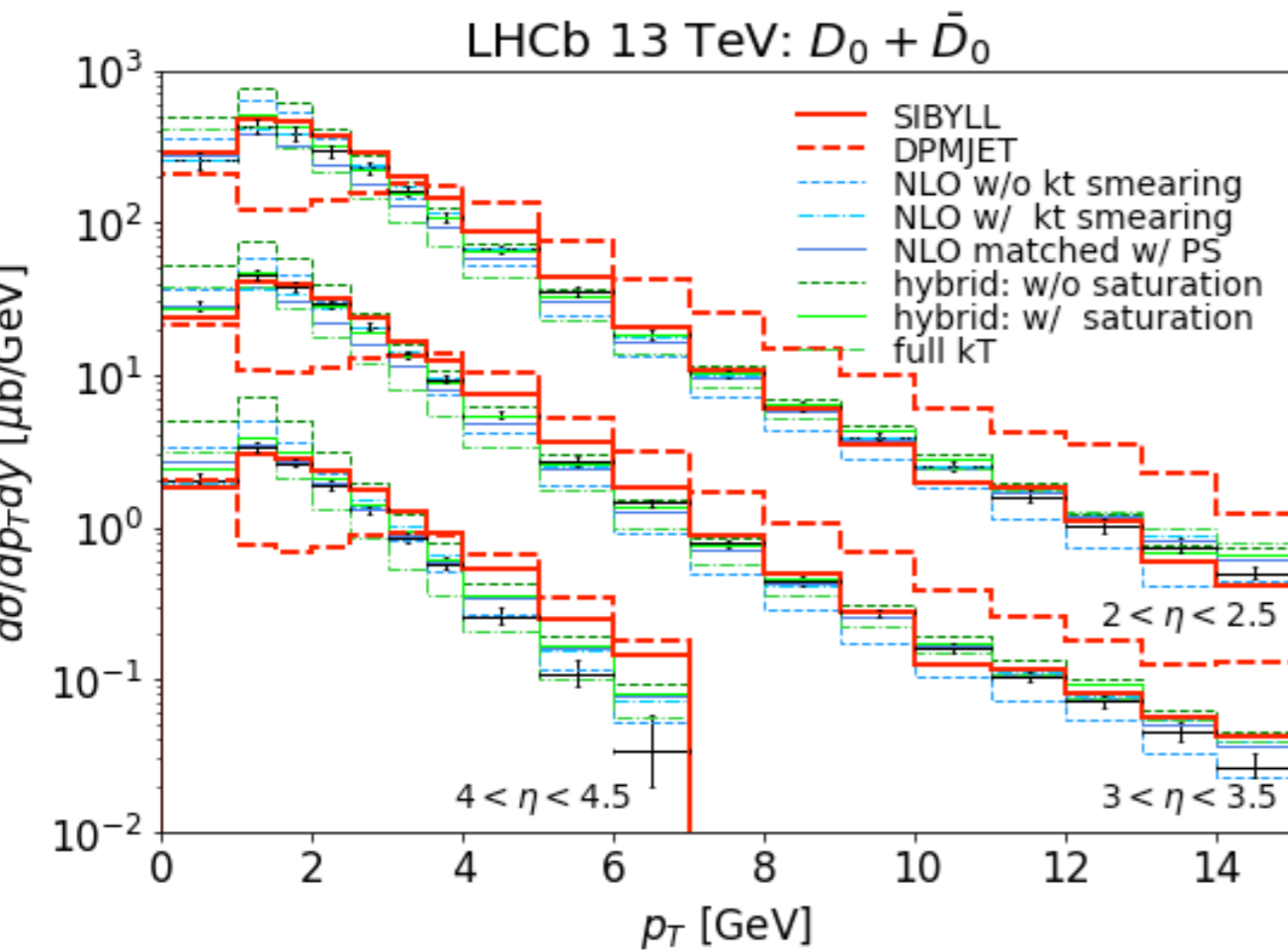


full volume, 150/fb, no efficiencies

# Neutrino Fluxes

FPF WG2: forward charm predictions from 5 groups

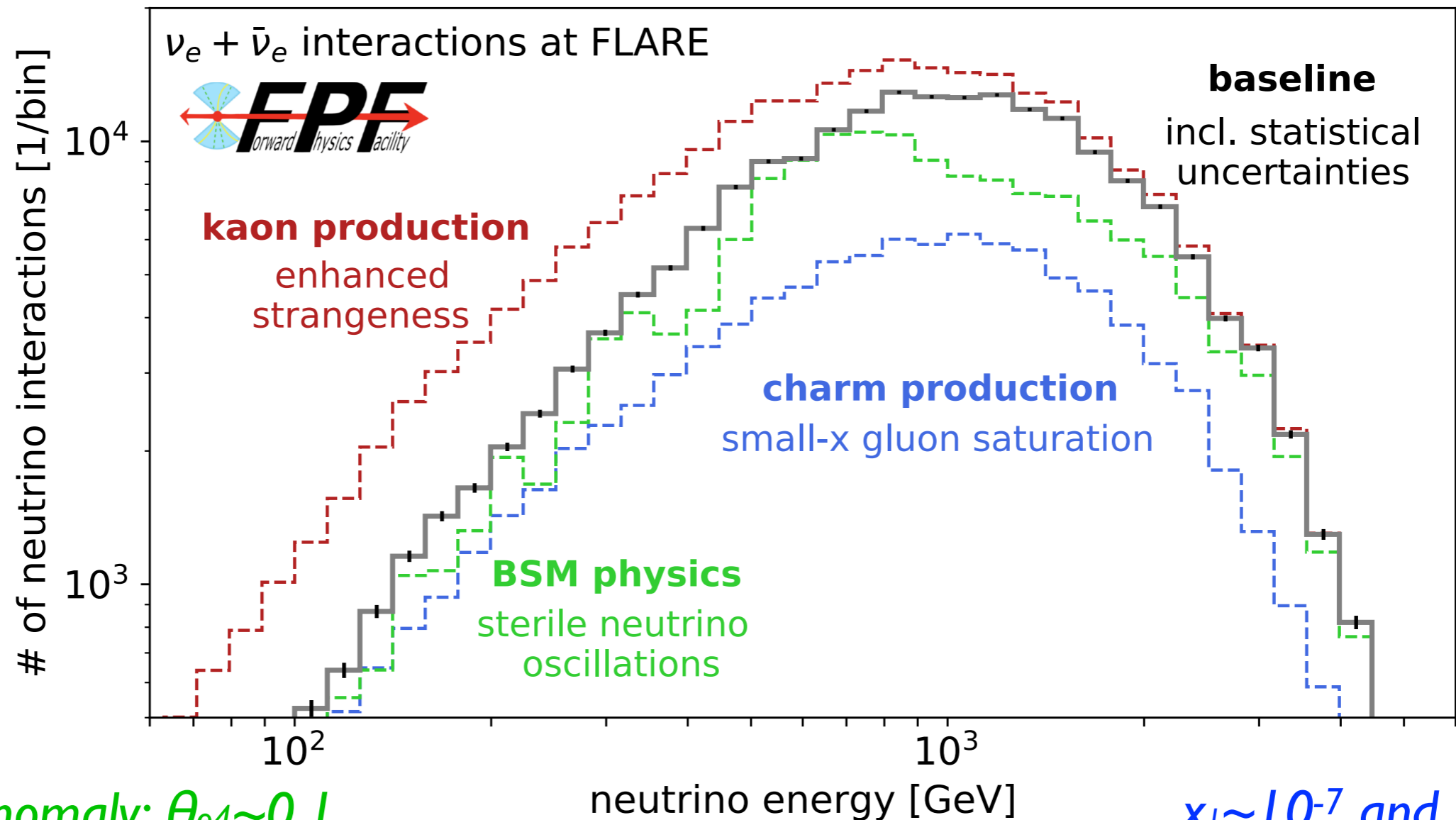
- different approaches on physics modeling
- predictions span large range
- SIBYLL / DPMJET roughly correspond to minimum/maximum prediction



Many thanks to all the contributing groups!

# Physics Potential with FLARE

- CR experiments observe an excess of muons compared to generators
- this prevents them from measuring the flux composition and understanding the origin of the cosmic rays
- most likely explanation: enhanced forward strangeness at high energies



Gallium Anomaly:  $\theta_{e4} \sim 0.1$

$x_1 \sim 10^{-7}$  and  $x_2 \sim 1$

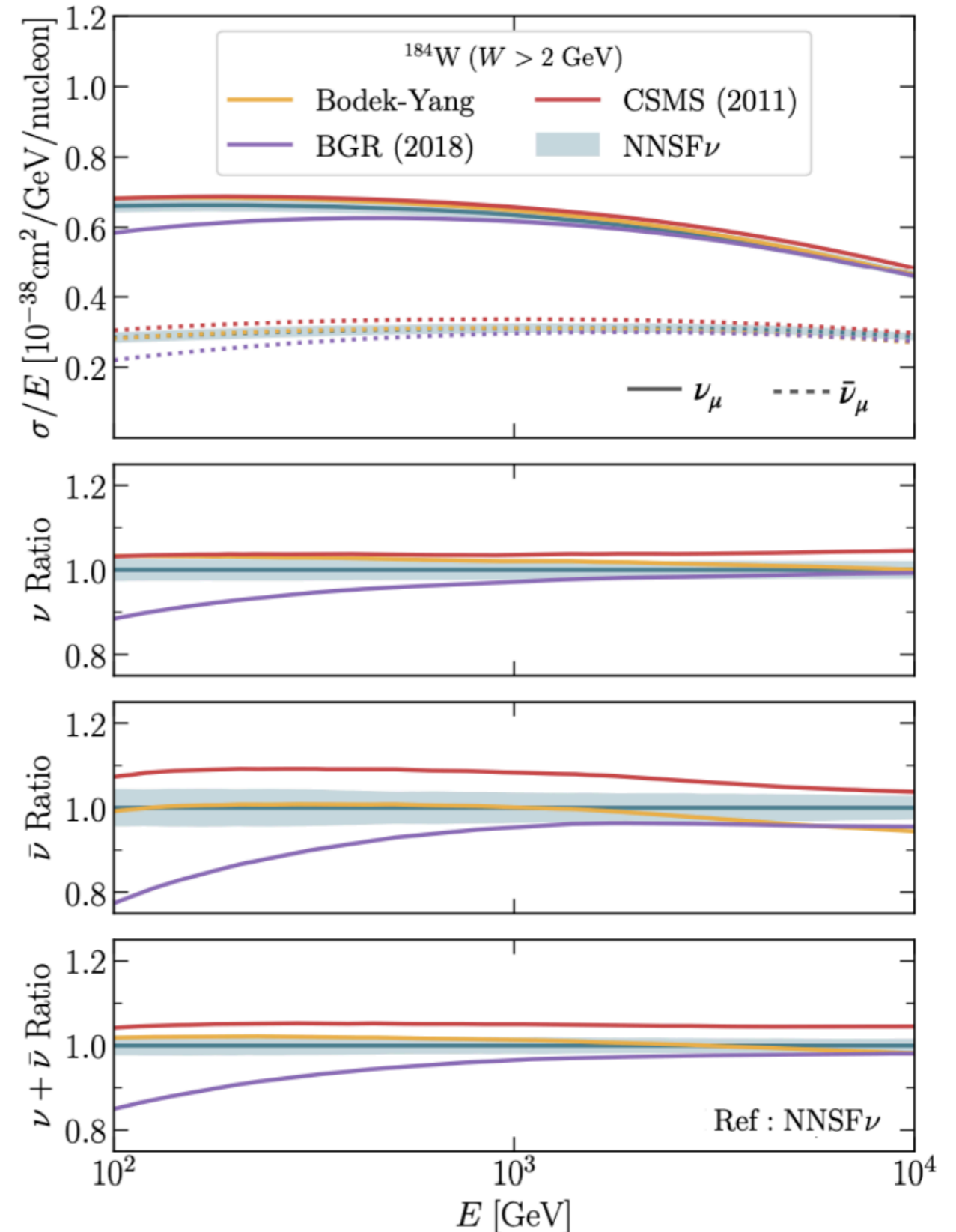
# **Some remark on limitations of GENIE**

## **Hadronization**

# Neutrino Interaction Simulation

Last time Juan talked about neutrino interactions

- There are reliable state-of-the-art predictions for differential neutrino cross-sections at FPF energies
- Robust estimate of all relevant sources of experimental and theory uncertainties
- Model-independent determination of nuclear corrections to free-nucleon scattering
- They can be readily used in GENIE by means of the HEDIS package (official GENIE release)

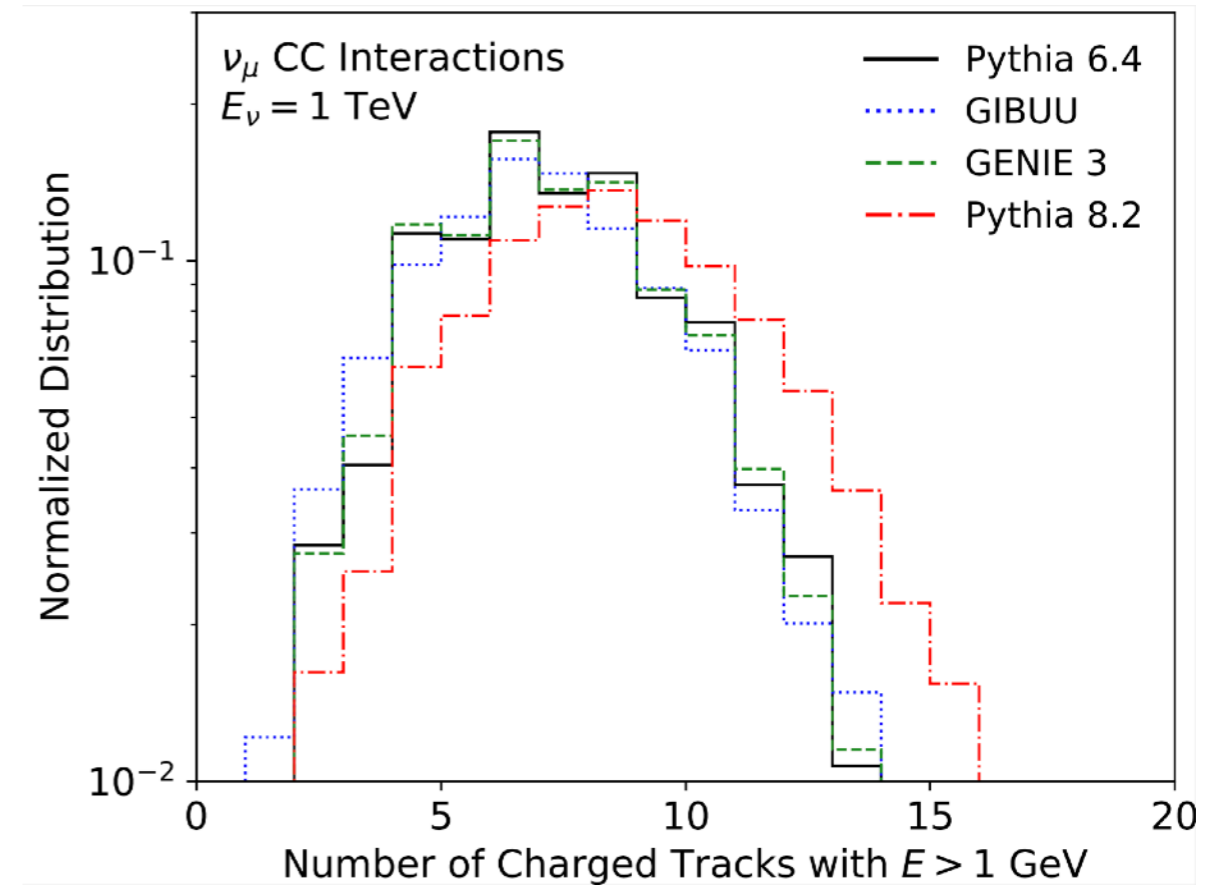


# Neutrino Interaction Simulation

Juan mainly covered total rate / leptonic final state.

How about hadronic final state?

- Test: simulate neutrino interactions with GENIE and GIBUU and plot energetic charge particle multiplicity.
- Result: they are the same





# Neutrino Interaction Simulation

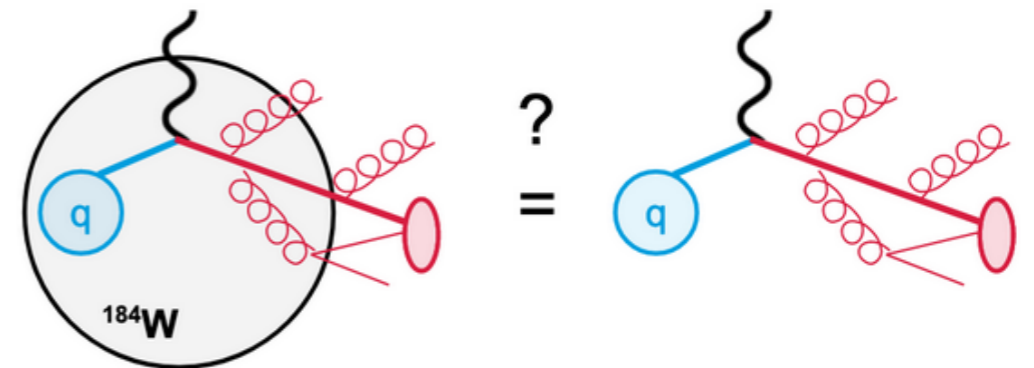
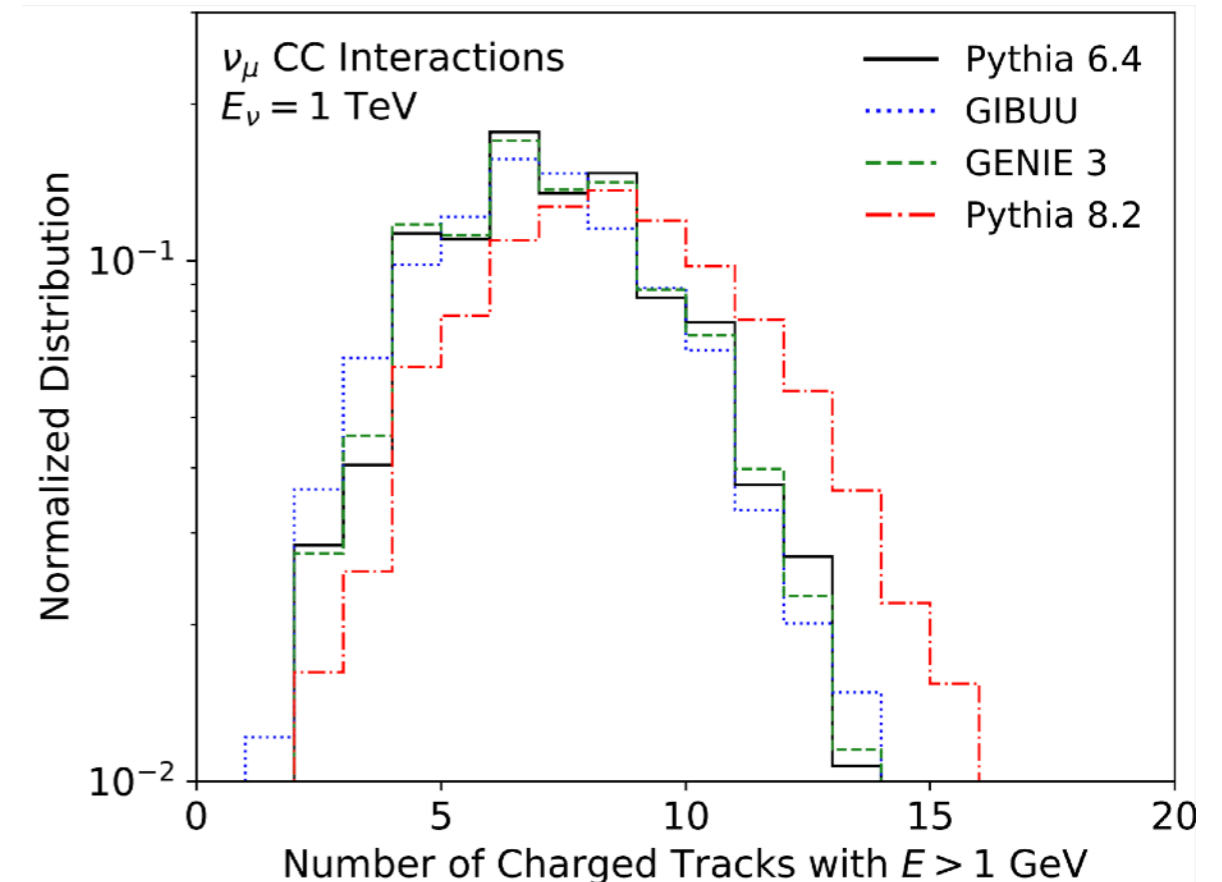
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How about hadronic final state?

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- Result: they are the same

I asked authors about it:

- assumption: hadronization occurs outside nucleus  $\rightarrow$  actually that's a hypothesis, not measured yet
- assumption: partons don't interact with nucleus  $\rightarrow$  that's counterintuitive and most likely wrong

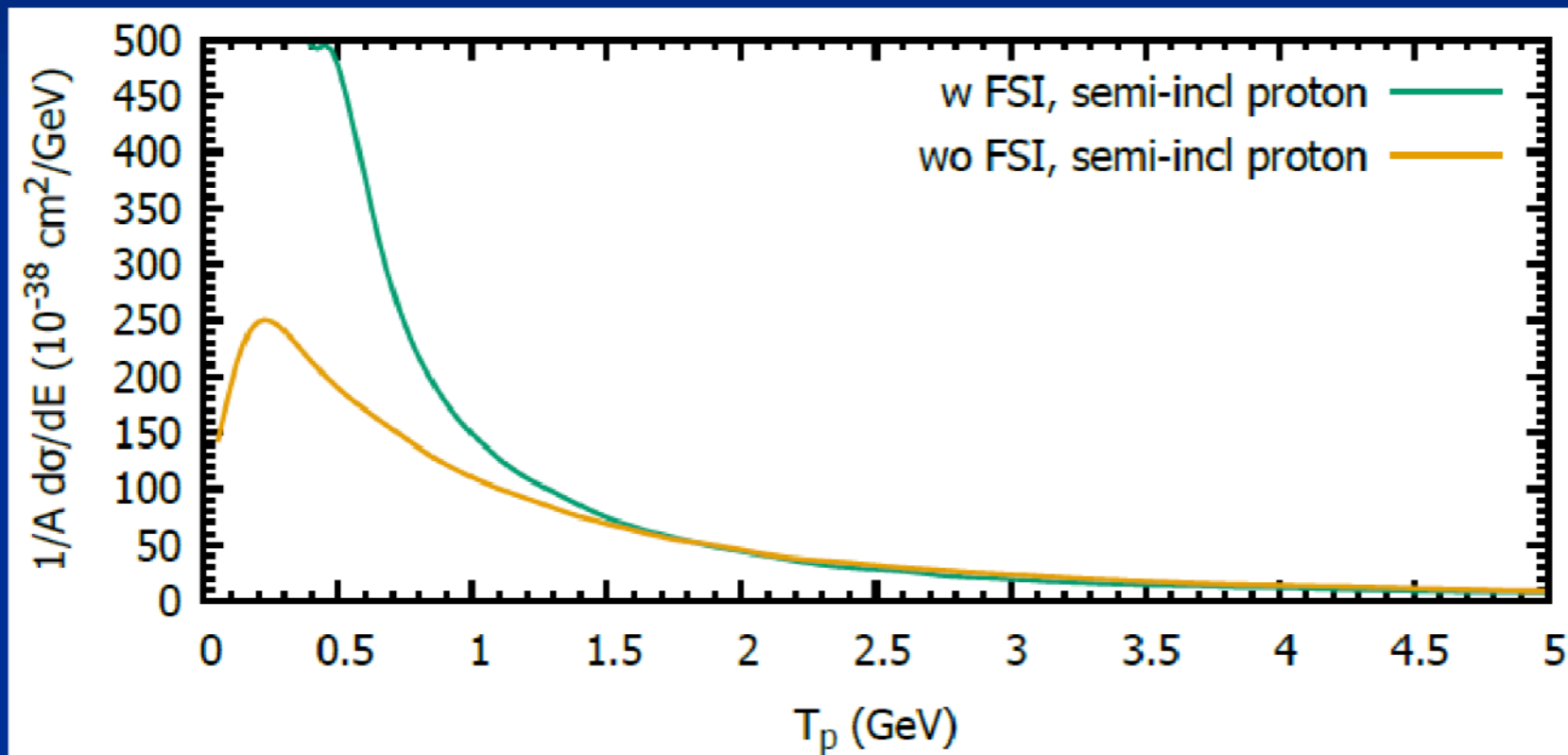


One should be cautious regarding details of hadronic final state.

- Is that important for FLARE
- It's relevant for emulsion experiments (which require  $>4$  tracks)

# Neutrino Interaction Simulation

Ulrich Mosel, GIBUU, at FPF3: [link](#)



Target: W, 1 TeV

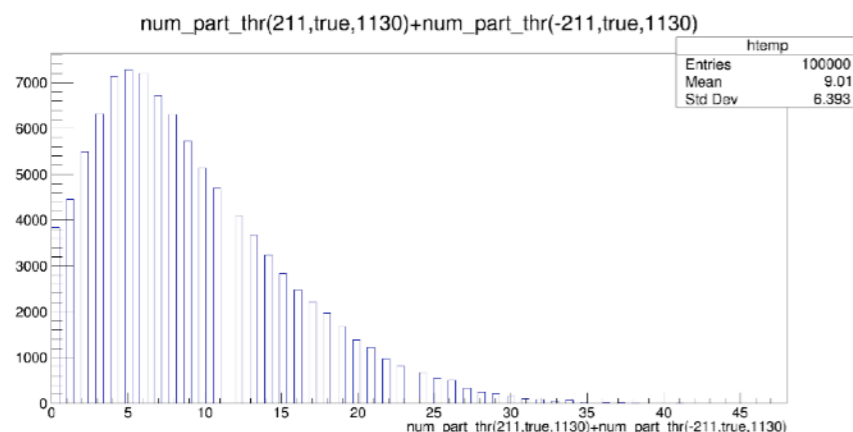
FSI sets in below  $\sim 1.5$  GeV kinetic energy

# Neutrino Interaction Simulation

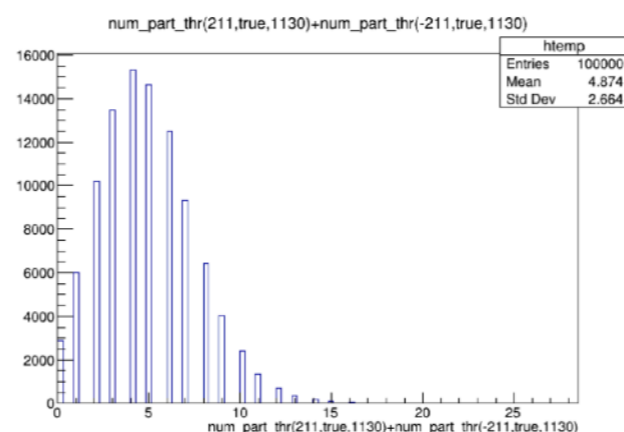
Jan T. Sobczyk,  
NuWro,  
at FPF3 [link](#)

Preliminary NuWro results (cont)

Multiplicities of charged pions with kinetic energy above 1 GeV.



Without formation zone.



With formation zone.

Events with  $\sim \geq 15$  charged pions carry information about formation zone effects.

## 3.2.6 Formation zone

The idea of a *formation zone* is implemented and the production positions of hadrons in nucleus are shifted from the initial neutrino interaction position. The implemented model in NEUT is based on SKAT data [44,45]. The production points of the hadrons for those interactions are shifted using the formation length ( $L_{FZ}$ ), where

$$L_{FZ} = p/\mu^2, \quad (11)$$

$p$  is the momentum of the hadron and  $\mu = 0.08(\text{GeV}/c^2)$ . The actual size of the shift is determined as  $L_{FZ} \times (-\log(\text{rand}[0, 1]))$ , where  $\text{rand}[0,1]$  is a random number from 0 to 1. The distribution of secondary hadron production position is shifted further from the center of the nucleus, the produced position of hadrons shifts to the outer region of the nucleus and thus reduce the probability of FSI.

Neut manual: [link](#)