

A unifying description of Dark Energy (& Modified Gravity)

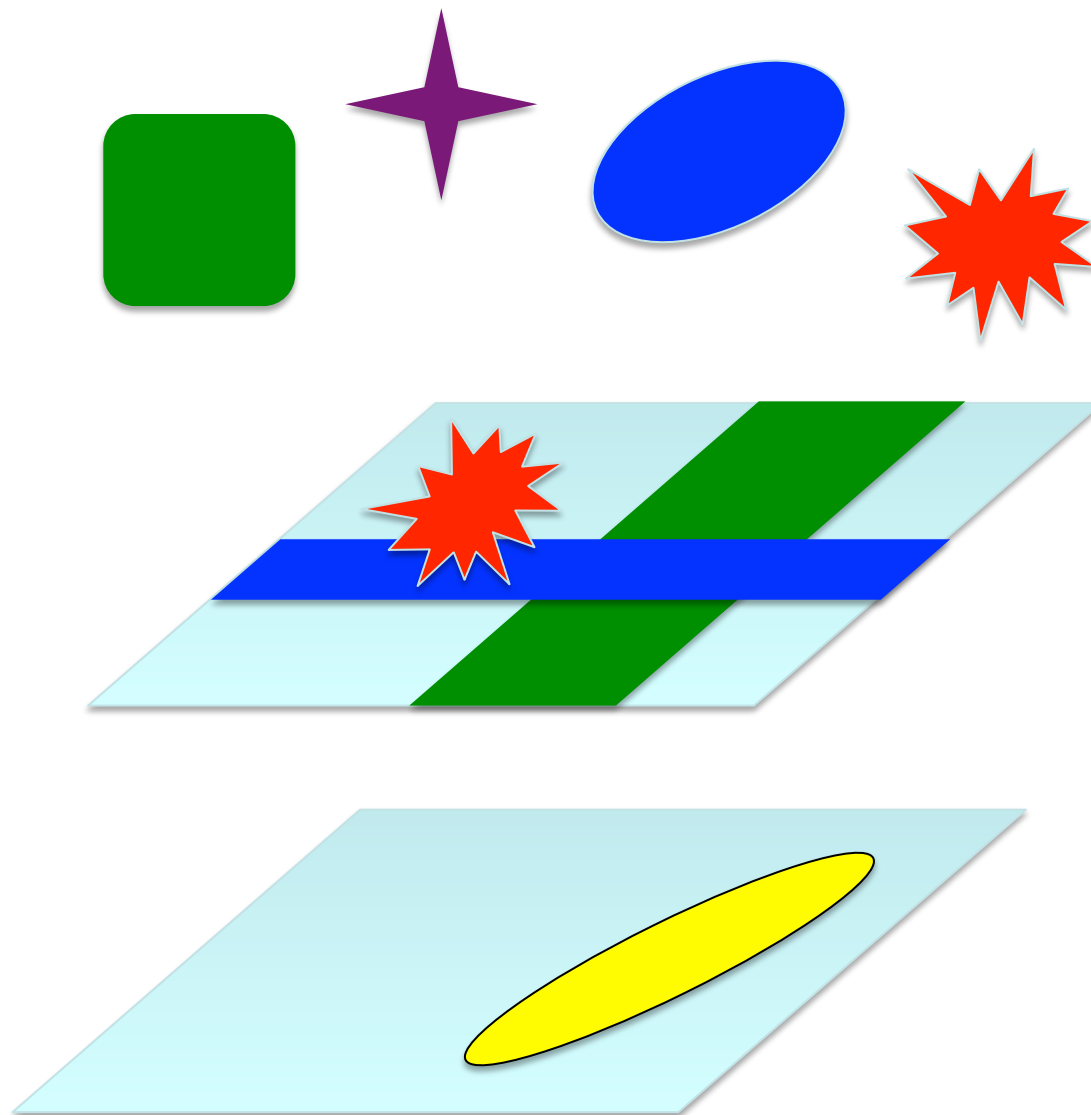
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Astroparticules
et Cosmologie

Introduction & motivations

- **Plethora of models of dark energy & modified gravity:**
 - Cosmological constant
 - quintessence, K-essence
 - $f(R)$ gravity
 - **Horndeski & beyond Horndeski**
 - Massive gravity
 - ...
- Large amount of data from future cosmological surveys (DES, LSST, eBOSS, DESI, Euclid, ...)
- **General framework** to confront models with data



Theories



**Effective
description
(unified language)**



**Observational
constraints**

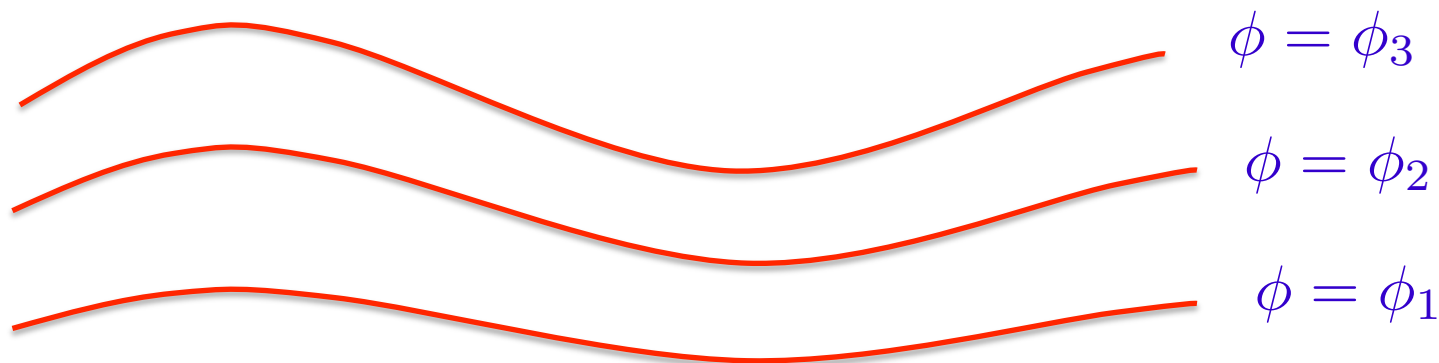
Introduction & motivations

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 - Cosmological constant
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 - **Horndeski & beyond Horndeski**
 - Massive gravity
 - ...
- Large amount of data from future cosmological surveys (DES, LSST, eBOSS, DESI, Euclid, ...)
- **General framework** to confront models with data:
 - Parametrized modified Einstein equations
 - **Effective action**

Uniform scalar field slicing

[Inflation: Creminelli et al. '06; Cheung et al. '07]

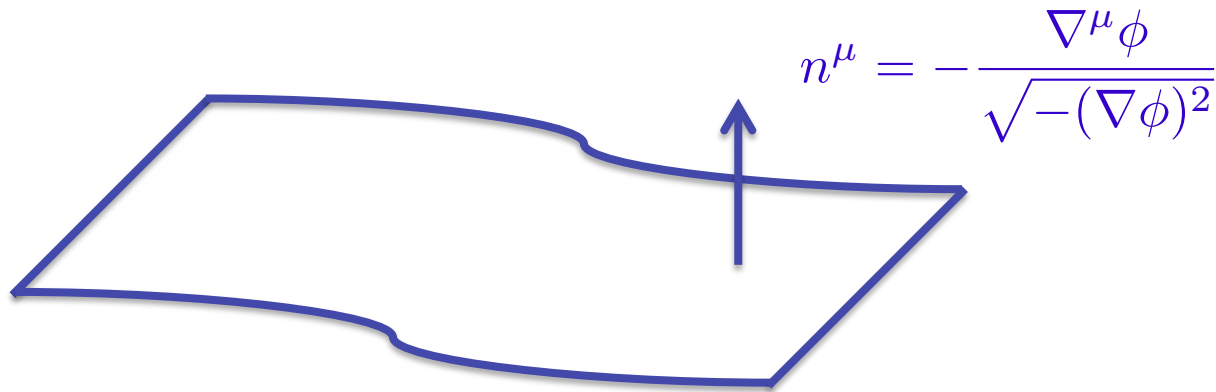
- Restriction: **single scalar field** models
- The scalar field defines a **preferred slicing**
Constant time hypersurfaces = uniform field hypersurfaces



- All perturbations embodied by the metric only

Uniform scalar field slicing

- **3+1 decomposition** based on this preferred slicing
- Basic ingredients
 - **Unit vector normal** to the hypersurfaces

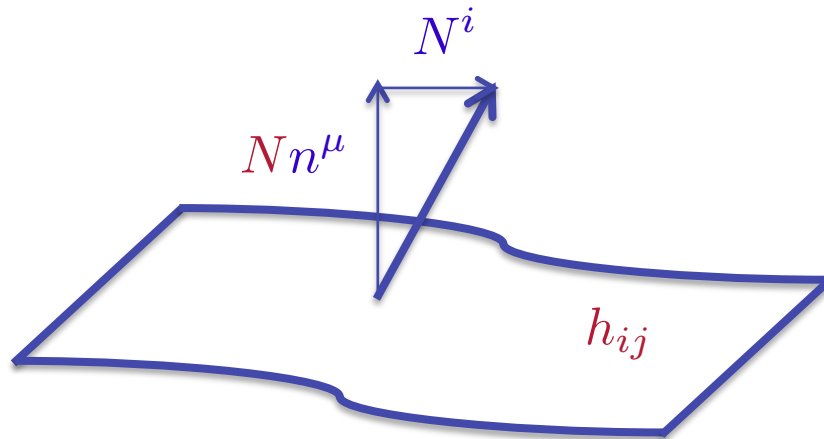


- **Projection** on the hypersurfaces: $h_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu$

ADM formulation

- **ADM decomposition of spacetime**

$$ds^2 = -N^2 dt^2 + h_{ij} (dx^i + N^i dt) (dx^j + N^j dt)$$



Extrinsic curvature:

$$K_{ij} = \frac{1}{2N} (\dot{h}_{ij} - D_i N_j - D_j N_i)$$

Intrinsic curvature: R_{ij}

$$X \equiv g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi = -\frac{\dot{\phi}^2(t)}{N^2}$$

- **Generic Lagrangians of the form**

$$S_g = \int d^4x N \sqrt{h} L(N, K_{ij}, R_{ij}; t)$$

Example: GR + quintessence

- Consider a quintessence model

$$S = \int d^4x \sqrt{-g} \left[\frac{M_P^2}{2} {}^{(4)}R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right]$$

- In the uniform ϕ slicing, this leads to the Lagrangian

$$L = \frac{M_{\text{Pl}}^2}{2} [K_{ij} K^{ij} - K^2 + R] + \frac{\dot{\phi}^2(t)}{2N^2} - V(\phi(t))$$

Homogeneous evolution

- FLRW metric: $ds^2 = -\bar{N}^2(t) dt^2 + a^2(t) \delta_{ij} dx^i dx^j$

- Extrinsic curvature: $K_j^i = \frac{\dot{a}}{\bar{N}a} \delta_j^i \equiv H \delta_j^i$

- Homogeneous Lagrangian

$$\bar{L}(a, \dot{a}, \bar{N}) \equiv L \left[K_j^i = \frac{\dot{a}}{\bar{N}a} \delta_j^i, R_j^i = 0, N = \bar{N}(t) \right]$$

- One can include **matter** by adding the Lagrangian for matter (assumed to be minimally coupled to the metric).

Friedmann equations

- Variation of the action $\bar{S}_g = \int dt d^3x \bar{N} a^3 \bar{L}(a, \dot{a}, \bar{N})$

Using $\left(\frac{\partial L}{\partial K_i^j} \right)_{\text{bgd}} \equiv \mathcal{F} \delta_j^i$, one finds

$$a^{-3} \frac{\delta \bar{S}_g}{\delta \bar{N}} = \bar{L} + \bar{N} L_N - 3H\mathcal{F} = \rho_m$$

$$\frac{1}{3a^2 \bar{N}} \frac{\delta \bar{S}_g}{\delta a} = \bar{L} - 3H\mathcal{F} - \frac{\dot{\mathcal{F}}}{\bar{N}} = -p_m$$

Matter

- For GR: $\bar{L}_{\text{GR}} = -3M_P^2 H^2$, $\mathcal{F}_{\text{GR}} = -2M_P^2 H$

Linear perturbations

- **Perturbations**

$$\delta N \equiv N - \bar{N}, \quad \delta K_j^i \equiv K_j^i - H \delta_j^i, \quad \delta R_j^i \equiv R_j^i$$

- Expand the Lagrangian

$$L(q_A) \quad \text{with} \quad q_A \equiv \{N, K_j^i, R_j^i\}$$

yields

$$L(q_A) = \bar{L} + \frac{\partial L}{\partial q_A} \delta q^A + \frac{1}{2} \frac{\partial^2 L}{\partial q_A \partial q_B} \delta q_A \delta q_B + \dots$$

- The **quadratic** action describes the **dynamics of linear perturbations**

Linear perturbations

- The coefficients are evaluated on the homogeneous background, e.g.

$$\frac{\partial^2 L}{\partial K_i^j \partial K_k^l} \equiv \hat{\mathcal{A}}_K \delta_j^i \delta_l^k + \mathcal{A}_K (\delta_l^i \delta_j^k + \delta^{ik} \delta_{jl})$$

$$\frac{\partial^2 L}{\partial R_i^j \partial R_k^l} \rightarrow (\hat{\mathcal{A}}_R, \mathcal{A}_R) \quad \frac{\partial^2 L}{\partial K_i^j \partial R_k^l} \rightarrow (\hat{\mathcal{C}}, \mathcal{C}) \quad \dots$$

- For simplicity, we assume the three conditions

$$\hat{\mathcal{A}}_K + 2\mathcal{A}_K = 0, \quad \hat{\mathcal{C}} + \frac{1}{2}\mathcal{C} = 0, \quad 4\hat{\mathcal{A}}_R + 3\mathcal{A}_R = 0$$

so that the EOM are 2nd order in spatial gradients.

Linear perturbations

- Quadratic action in terms of **5 functions of time**

$$S^{(2)} = \int dx^3 dt a^3 \frac{M^2}{2} \left[\delta K_j^i \delta K_i^j - \delta K^2 + \alpha_K H^2 \delta N^2 + 4 \alpha_B H \delta K \delta N \right. \\ \left. + (1 + \alpha_T) \delta_2 \left(\frac{\sqrt{h}}{a^3} R \right) + (1 + \alpha_H) R \delta N \right]$$

Gleyzes, DL, Piazza & Vernizzi '13,
[notation from Bellini & Sawicki '14]

- Includes many models
 - GR: $M = M_P$, $\alpha_i = 0$
 - Quintessence, K-essence: $\alpha_K \neq 0$
 - Kinetic braiding, DGP: $\alpha_B \neq 0$
 - Brans-Dicke, F(R): $M = M(t)$
 - Horndeski: $\alpha_T \neq 0$
 - beyond Horndeski: $\alpha_H \neq 0$

Scalar degree of freedom

- Scalar perturbations: δN , $N_i \equiv \partial_i \psi$, $h_{ij} = a^2(t) e^{2\zeta} \delta_{ij}$
- Quadratic action for the **physical degree of freedom**:

$$S^{(2)} = \frac{1}{2} \int dx^3 dt a^3 \left[\mathcal{K}_t \dot{\zeta}^2 + \mathcal{K}_s \frac{(\partial_i \zeta)^2}{a^2} \right]$$

$$\mathcal{K}_t \equiv \frac{\alpha_K + 6\alpha_B^2}{(1 + \alpha_B)^2}, \quad \mathcal{K}_s \equiv 2M^2 \left\{ 1 + \alpha_T - \frac{1 + \alpha_H}{1 + \alpha_B} \left(1 + \alpha_M - \frac{\dot{H}}{H^2} \right) - \frac{1}{H} \frac{d}{dt} \left(\frac{1 + \alpha_H}{1 + \alpha_B} \right) \right\}$$

- **Stability**

- No ghost: $\mathcal{K}_t > 0$

- No gradient instability: $c_s^2 \equiv -\frac{\mathcal{K}_s}{\mathcal{K}_t} > 0$

Tensor degrees of freedom

- Quadratic action for the **tensor modes**:

$$S_{\gamma}^{(2)} = \frac{1}{2} \int dt d^3x a^3 \left[\frac{M^2}{4} \dot{\gamma}_{ij}^2 - \frac{M^2}{4} (1 + \alpha_T) \frac{(\partial_k \gamma_{ij})^2}{a^2} \right]$$

- Stability

– No ghost: $M^2 > 0$

– No gradient instability: $c_T^2 \equiv 1 + \alpha_T > 0$

Example: Horndeski theories

- Most general scalar-tensor action leading to at most second order equations of motion for the scalar field and metric. [Horndeski 74](#)
- **Generalized galileons** coupled to gravity [Nicolis et al. 08;](#)
[Deffayet et al. 09 & 11](#)
- Combination of the following four Lagrangians

$$L_2^H = G_2(\phi, X)$$

$$L_3^H = G_3(\phi, X) \square\phi$$

$$L_4^H = G_4(\phi, X) {}^{(4)}R - 2G_{4X}(\phi, X)(\square\phi^2 - \phi^{\mu\nu}\phi_{\mu\nu})$$

$$L_5^H = G_5(\phi, X) {}^{(4)}G_{\mu\nu}\phi^{\mu\nu} + \frac{1}{3}G_{5X}(\phi, X)(\square\phi^3 - 3\square\phi\phi_{\mu\nu}\phi^{\mu\nu} + 2\phi_{\mu\nu}\phi^{\mu\sigma}\phi^\nu{}_\sigma)$$

with

$$X \equiv \nabla_\mu\phi\nabla^\mu\phi$$

$$\phi_{\mu\nu} \equiv \nabla_\nu\nabla_\mu\phi$$

- **Higher order** derivatives in the Lagrangian

Beyond Horndeski

- 2nd order time derivatives in the **Lagrangian** usually lead to an extra DOF, which is unstable (**Ostrogradski**)

$$\text{e.g. } L(q, \dot{q}, \ddot{q})$$

- 2nd order **EOMs** were believed to be necessary to avoid Ostrogradski's ghost but higher order equations of motion are in fact possible.

- Two extensions beyond Horndeski [Gleyzes, DL, Piazza & Vernizzi '14]

$$L_4^{\text{bH}} \equiv F_4(\phi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \phi_\mu \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'}$$

$$L_5^{\text{bH}} \equiv F_5(\phi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \phi_\mu \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'} \phi_{\sigma\sigma'}$$

- Crucial ingredient: $\mathcal{L}[\phi, g_{\mu\nu}]$ must be **degenerate** [DL & Noui '15]

Horndeski & beyond in ADM form

- One obtains combinations of the Lagrangians

$$L_2 = A_2 \quad L_3 = A_3 K$$

$$L_4 = A_4 (K^2 - K_{ij}K^{ij}) + B_4 R$$

$$L_5 = A_5 (K^3 - 3KK_{ij}K^{ij} + 2K_{ij}K^{ik}K^j_k) + B_5 K^{ij} [R_{ij} - h_{ij}R/2]$$

where the A 's and B 's depend on the functions G 's & F 's.

- Horndeski theories (only four G 's) satisfy the relations

$$A_4 = -B_4 + 2XB_{4X}$$

$$A_5 = -XB_{5X}/3$$

- One can then use the results of the general formalism.

Generalized couplings to matter

Gleyzes, DL, Mancarella & Vernizzi '15

- Minimal coupling: $S_m = S_m[\psi_m, g_{\mu\nu}]$
- Conformal coupling: $S_m = S_m[\psi_m, C(\phi) g_{\mu\nu}]$
- **Conformal-disformal couplings**

$$S_m^{(I)} = S_m^{(I)}[\psi_m, \check{g}_{\mu\nu}^{(I)}]$$

with $\check{g}_{\mu\nu}^{(I)} = C_I(\phi) g_{\mu\nu} + D_I(\phi) \partial_\mu \phi \partial_\nu \phi$ [Bekenstein '93]

$$\alpha_{C,I} \equiv \frac{1}{2} \frac{d \ln C_I}{d \ln a}, \quad \alpha_{D,I} \equiv \frac{D_I}{C_I - D_I}$$

“Frame” transformation

- Gravity can be described by a different metric

$$g_{\mu\nu} \longrightarrow \tilde{g}_{\mu\nu} = C(\phi)g_{\mu\nu} + D(\phi)\partial_\mu\phi\partial_\nu\phi$$

- Horndeski’s structure is invariant [Bettoni & Liberati ’12]

The quadratic action (with $\alpha_H = 0$ here)

$$S^{(2)} = \int dx^3 dt a^3 \frac{M^2}{2} \left[\delta K_j^i \delta K_i^j - \delta K^2 + \alpha_K H^2 \delta N^2 + 4 \alpha_B H \delta K \delta N \right. \\ \left. + (1 + \alpha_T) \delta_2 \left(\frac{\sqrt{h}}{a^3} R \right) + R \delta N \right]$$

gets transformed into a similar action

$$\{M, \alpha_K, \alpha_B, \alpha_T\} \longrightarrow \{\tilde{M}, \tilde{\alpha}_K, \tilde{\alpha}_B, \tilde{\alpha}_T\} \\ \{C, D\}$$

“Frame” transformation

- Metric transformation

$$\alpha_C \equiv \frac{1}{2} \frac{d \ln C}{d \ln a}, \quad \alpha_D \equiv \frac{D}{C - D}$$

- **New gravitational coefficients**

$$\tilde{M}^2 = \frac{M^2}{C \sqrt{1 + \alpha_D}}, \quad \tilde{\alpha}_T = (1 + \alpha_T)(1 + \alpha_D) - 1 \quad \tilde{\alpha}_B = \frac{1 + \alpha_B}{(1 + \alpha_C)(1 + \alpha_D)} - 1$$

$$\tilde{\alpha}_K = \frac{\alpha_K + 12\alpha_B[\alpha_C + (1 + \alpha_D)\alpha_D] - 6[\alpha_C + (1 + \alpha_D)\alpha_D]^2 + 3\Omega_m\alpha_D}{(1 + \alpha_C)^2(1 + \alpha_D)^2},$$

- **New matter couplings**

$$\{\alpha_{C,I}, \alpha_{D,I}\} \longrightarrow \{\tilde{\alpha}_{C,I}, \tilde{\alpha}_{D,I}\}$$

$$\tilde{\alpha}_{C,I} = \frac{\alpha_{C,I} - \alpha_C}{1 + \alpha_C}$$

$$\tilde{\alpha}_{D,I} = \frac{\alpha_{D,I} - \alpha_D}{1 + \alpha_D}$$

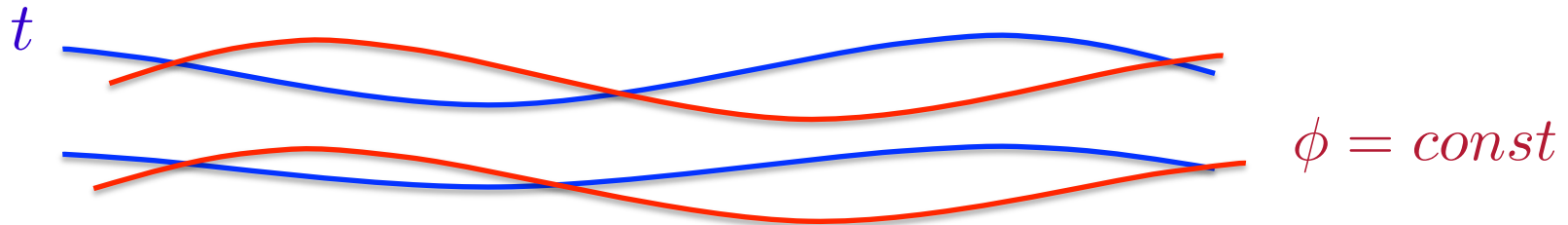
- N_S species: $4 + 2N_S - 2 = 2(N_S + 1)$ independent parameters

Confrontation with observations

- Use a traditional gauge, e.g. Newtonian gauge

$$ds^2 = -(1 + 2\Phi)dt^2 + a^2(t) (1 - 2\Psi) \delta_{ij} dx^i dx^j$$

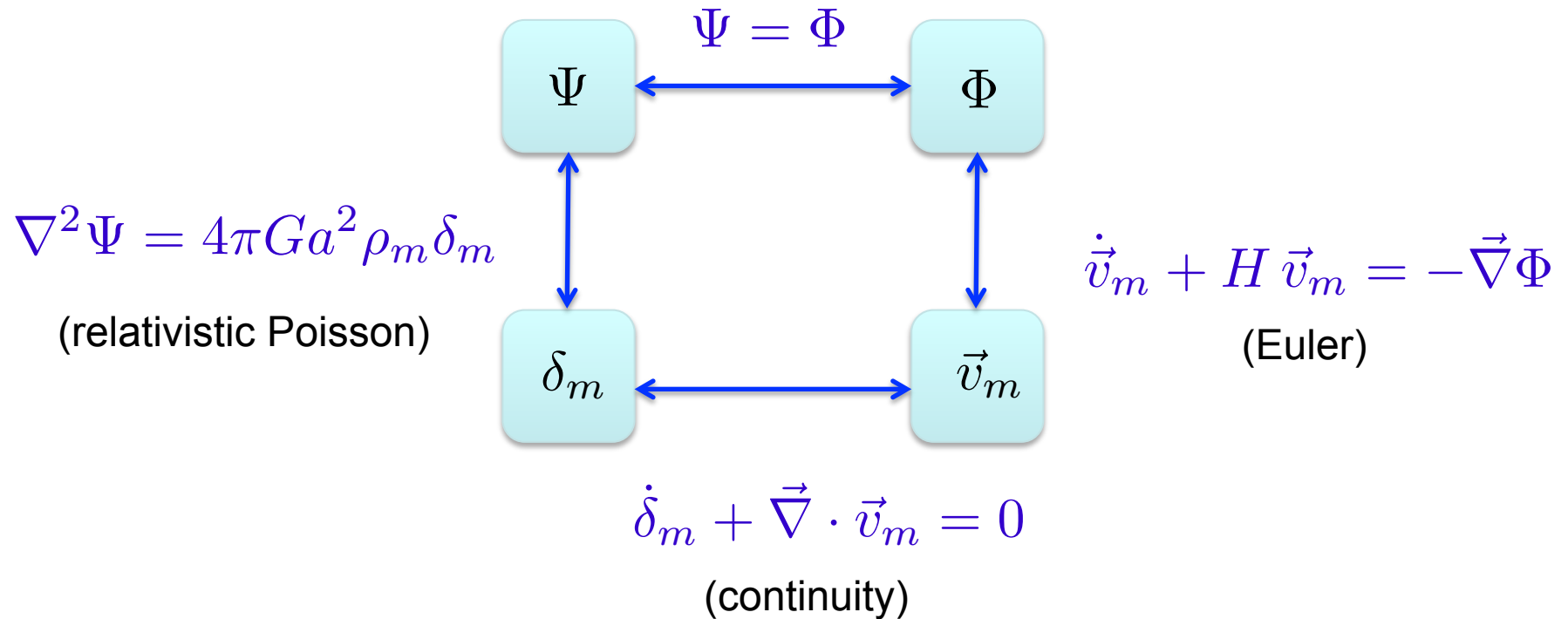
- Description in an arbitrary slicing ?



- Coordinate change $t \rightarrow t + \pi(t, \vec{x})$
- Perturbations: $\Phi, \Psi, \pi, \delta_m, \vec{v}_m$

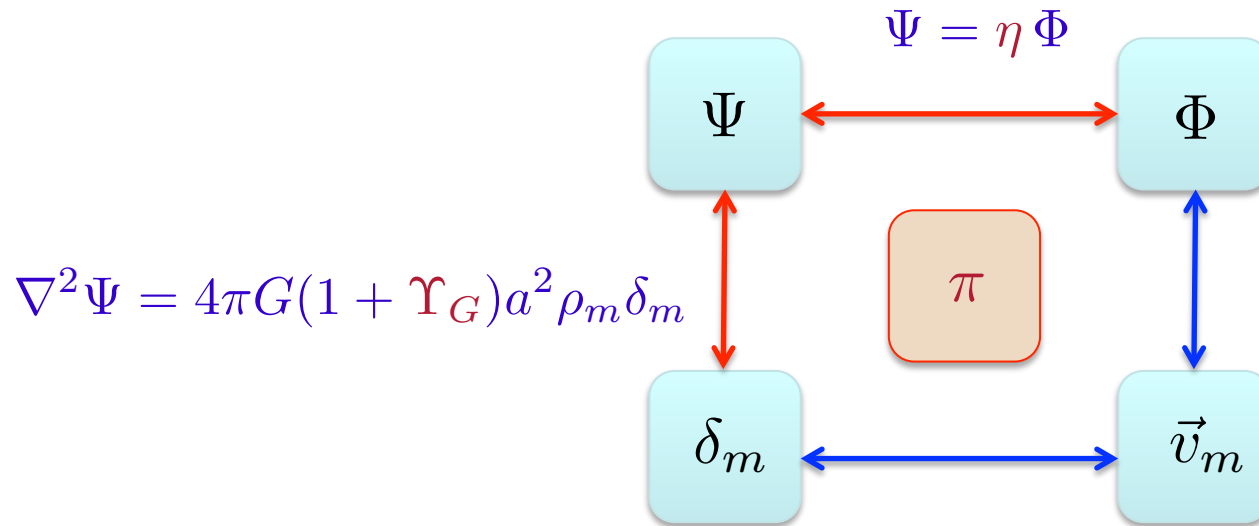
Cosmological perturbations

- **Standard equations** (in GR)



Cosmological perturbations

- **Modified equations** (minimal coupling)



Quasi-static approximation
(valid on scales
 $kc_s \gg aH$
[Sawicki &
Bellini '15])

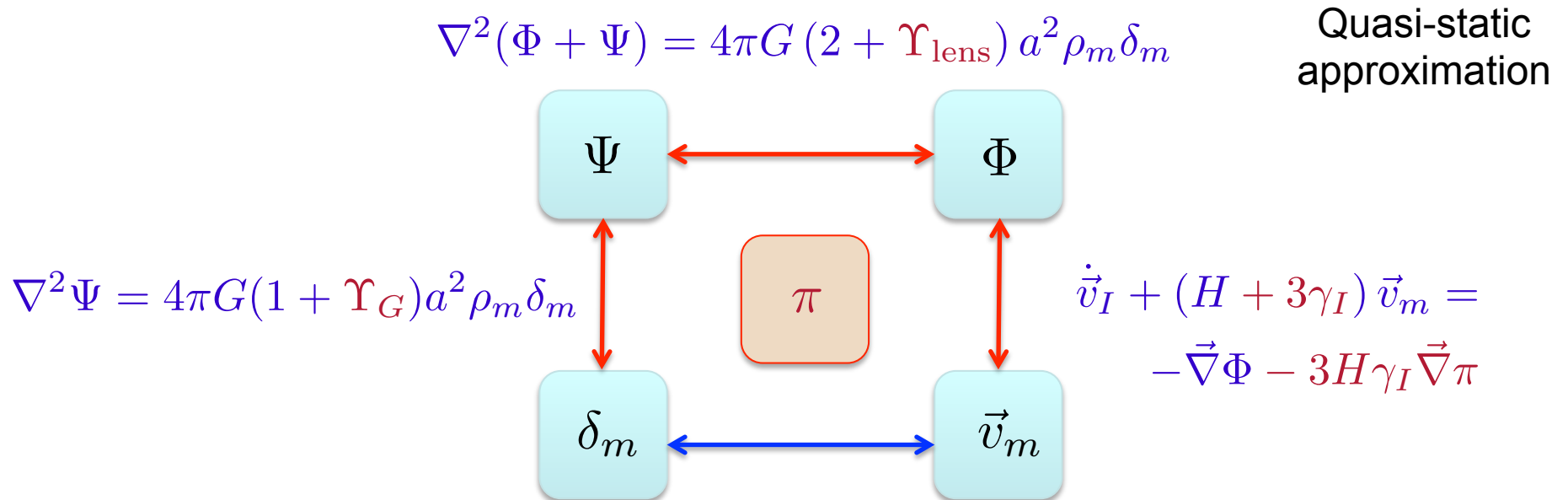
$$G_{\text{eff}} = G_{\text{eff}}(\alpha_i), \quad \eta = \eta(\alpha_i)$$

which can be confronted to observations (RSD, weak lensing, ...).

Cosmological perturbations

- **Modified equations** (non minimal coupling)

Gleyzes, DL, Mancarella & Vernizzi '15



- Generalization of coupled quintessence [Amendola '00]

On smaller scales

- Deviations from GR on cosmological scales should be compatible with small-scale observations (solar system, binary systems)
- **Screening mechanism**

$$Z(\phi_0) \nabla^2 \delta\phi - m^2(\phi_0) \delta\phi = -\beta(\phi_0) \frac{\delta T}{M_P}$$

- Chameleon: $m(\phi_0)$ is large
- Dilaton & symmetron: $\beta(\phi_0) \ll 1$
- Vainshtein: $Z(\phi_0) \gg \beta^2(\phi_0)$

Example: beyond Horndeski

Saito, Yamauchi, Mizuno, Gleyzes & DL '15
(see also Koyama & Sakstein '15)

- Partial breaking of Vainshtein mechanism inside matter

Kobayashi, Watanabe & Yamauchi '14

- Spherical symmetry & nonrelativistic limit:

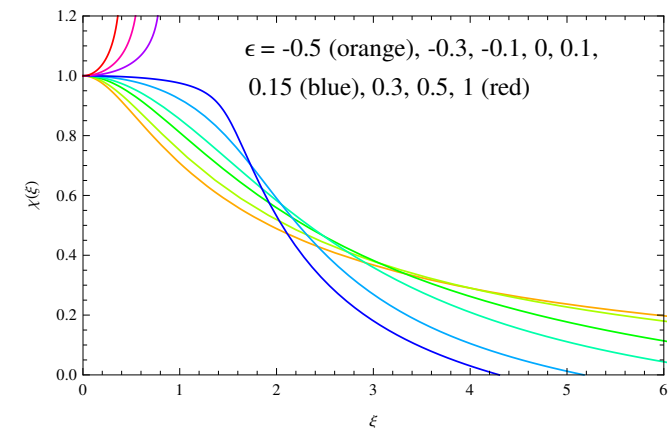
$$\frac{d\Phi}{dr} = G_N \left(\frac{\mathcal{M}}{r^2} - \epsilon \frac{d^2\mathcal{M}}{dr^2} \right), \quad \mathcal{M}(r) = 4\pi \int_0^r r'^2 \rho(r') dr'$$

- Modified **Lane-Emden equation**

(for $P = K\rho^{1+\frac{1}{n}}$)

- Universal bound $\epsilon < 1/6$
- Astrophysical constraints [Sakstein '15]

$$\epsilon > -0.0068$$



Conclusions

- **Unifying description** of dark energy and modified gravity models
 - Easy comparisons between models
 - Identification of degeneracies
 - Observational data can constrain many models simultaneously
 - Explore uncharted territories (e.g. theories beyond Horndeski)
- Very general and efficient way to describe linear perturbations in scalar-tensor theories with **only five time-dependent functions**.
- Extension to include non-universal couplings