

# PSEUDOSPECTRUM AND BLACK-HOLE QUASI-NORMAL MODE (IN)STABILITY

J. L. Jaramillo, L. Al Sheik

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PRL 128 21 211102 (2002)

K. Destounis, RPM, E. Berti, V. Cardoso, J.L. Jaramillo

(Reissner Nørdstrom Spacetime)

PRD 104 084091 (2021)



Rodrigo Panosso Macedo



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UNIVERSITET



# QUASINORMAL MODES

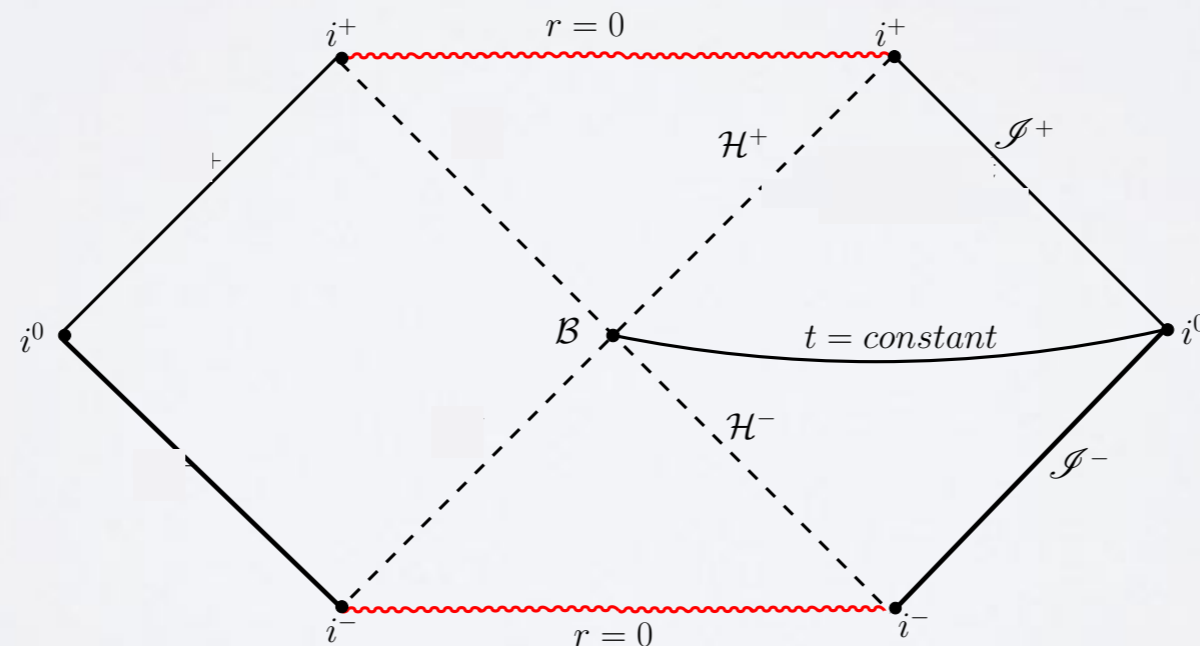
- **Wave equation on (spherically sym.) black-hole background**

$$-\Psi_{,\bar{t}\bar{t}} + \Psi_{,xx} - \mathcal{P}\Psi = 0$$

- **Phenomenological approach:**

Ansatz for time dependence (Fourier modes)

$$\Psi = e^{-i\omega\bar{t}}\psi(x) \quad \Rightarrow \quad [\partial_{xx}^2 - \mathcal{P}] \psi = -\omega^2\psi$$



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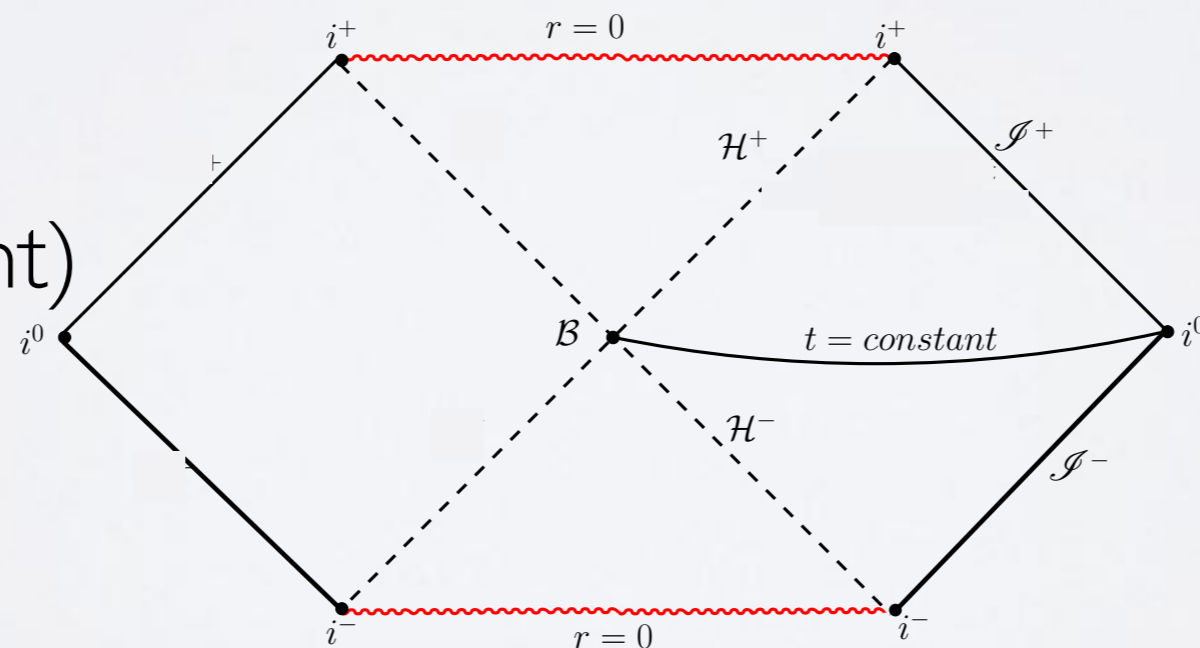
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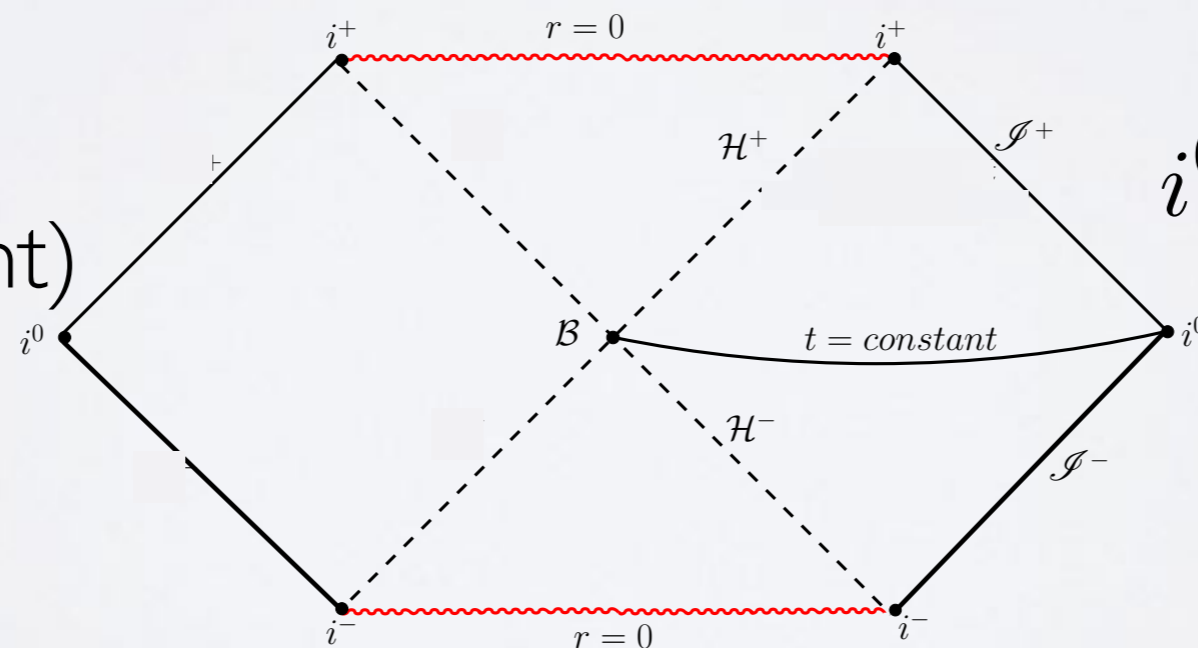
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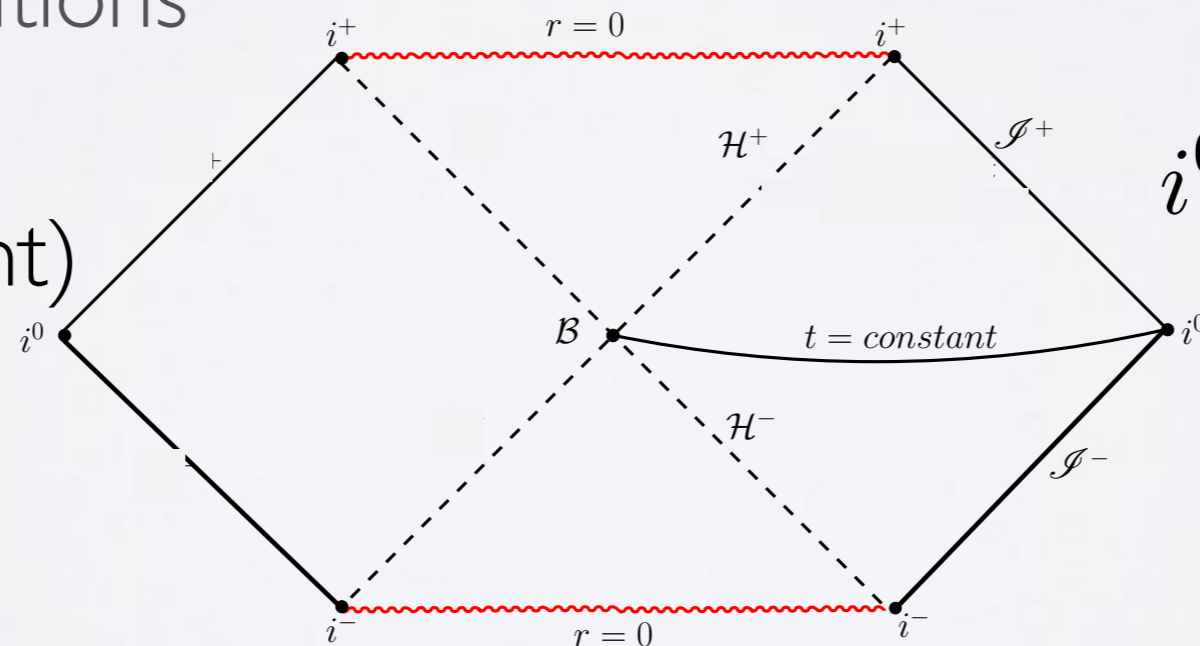
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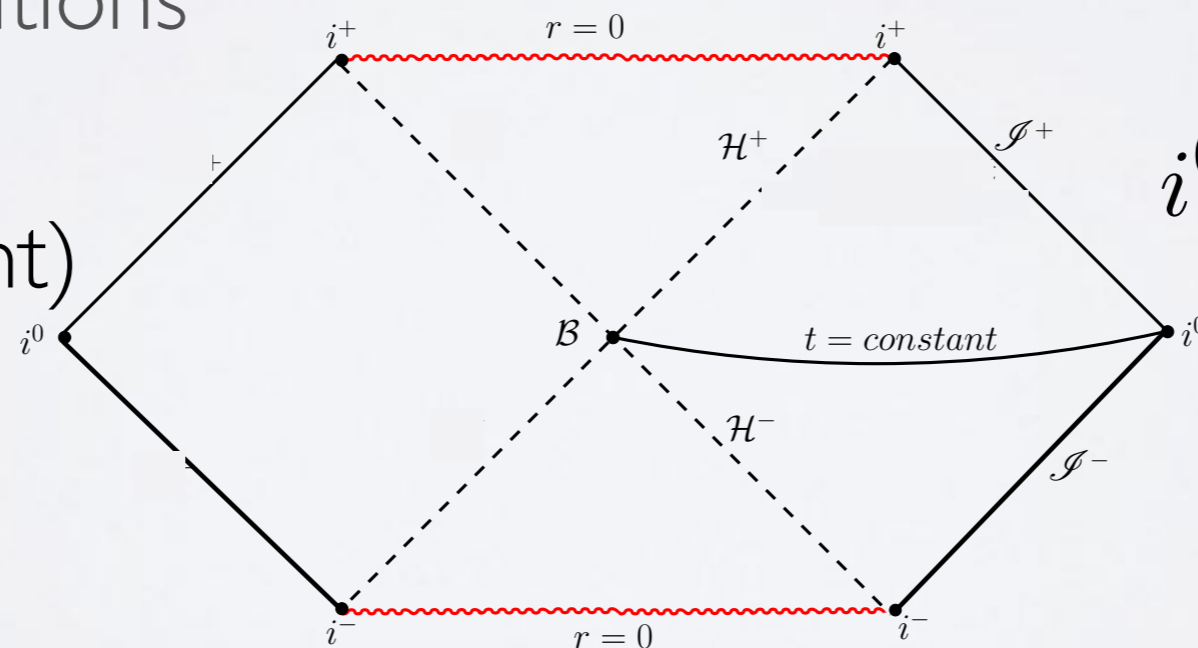
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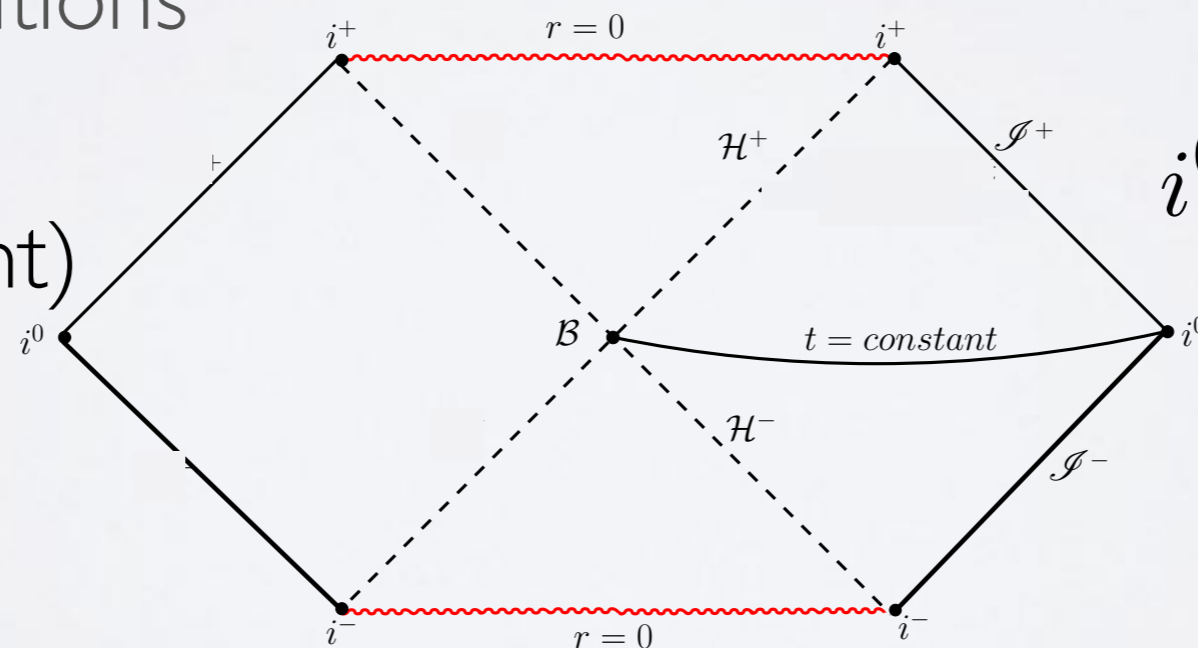
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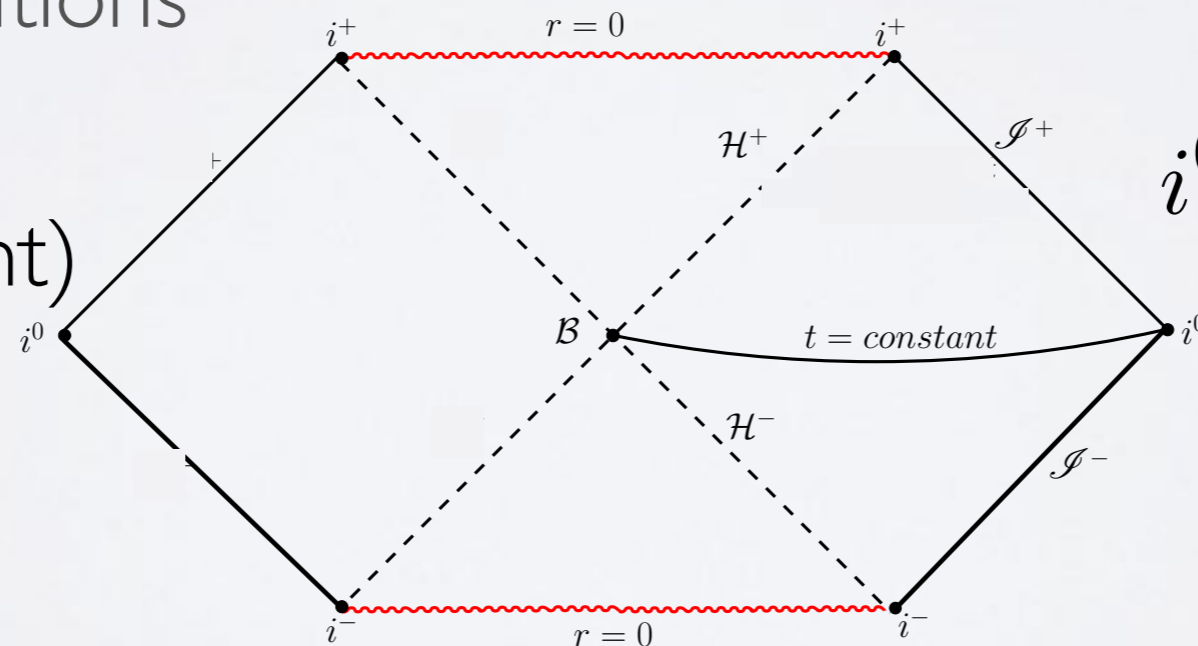
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- **Comparison to normal modes:**

eigenvalue problem



# NORMAL OPERATORS: SPECTRAL THEOREMS

**Def.** Given matrix  $L$  and its adjoint  $L^\dagger$ . Then  $L$  is normal iff  $[L, L^\dagger] = 0$

**Ex.** Symmetric, hermitian, orthogonal, unitary...

**Spectral Theo. (matrices):**  $L$  is normal iff is unitarily diagonalisable

**Obs.** Theorem extends to normal operators in Hilbert (or Banach) space



**Hermitian Physics (self adjoint operators):**

Eigenvectors are orthogonal and form complete basis

Eigenvalues are stable  $L \rightarrow L + \epsilon\delta L \Rightarrow \lambda \rightarrow \lambda + \epsilon\delta\lambda$

# NON-NORMAL OPERATORS: NO SPECTRAL THEOREMS

*Completeness more difficult to study*

If  $[L, L^\dagger] \neq 0$  *Eigenvectors not necessarily orthogonal*

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$$|\lambda_i(\epsilon) - \lambda_i| \leq \kappa_i \epsilon$$

Eigenvalue Condition Number:  $\kappa_i = \frac{\|r_i\| \|l_i\|}{|r_i \cdot l_i|}$

$$L r_i = \lambda_i r_i \text{ (Right eigenvector)}$$

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Small perturbation in operator; small displacement in eigenvalue

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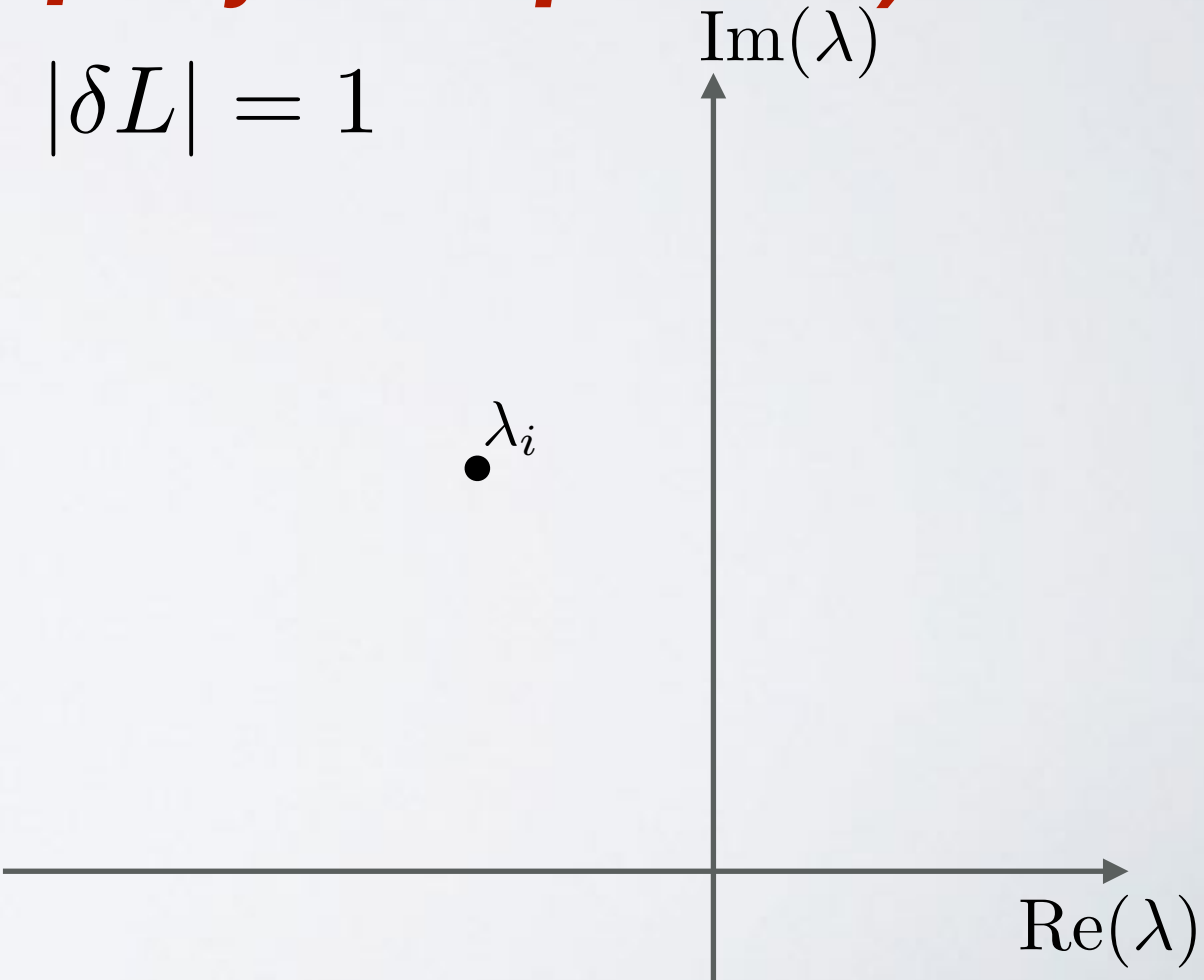
Im( $\lambda$ )

Spectra:

$$\sigma(L) : ||L - \lambda_i \mathbb{I}|| = 0 \text{ (Eigenvalue)}$$

•  $\lambda_i$

Re( $\lambda$ )



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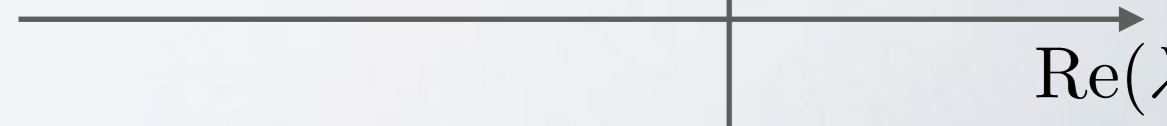
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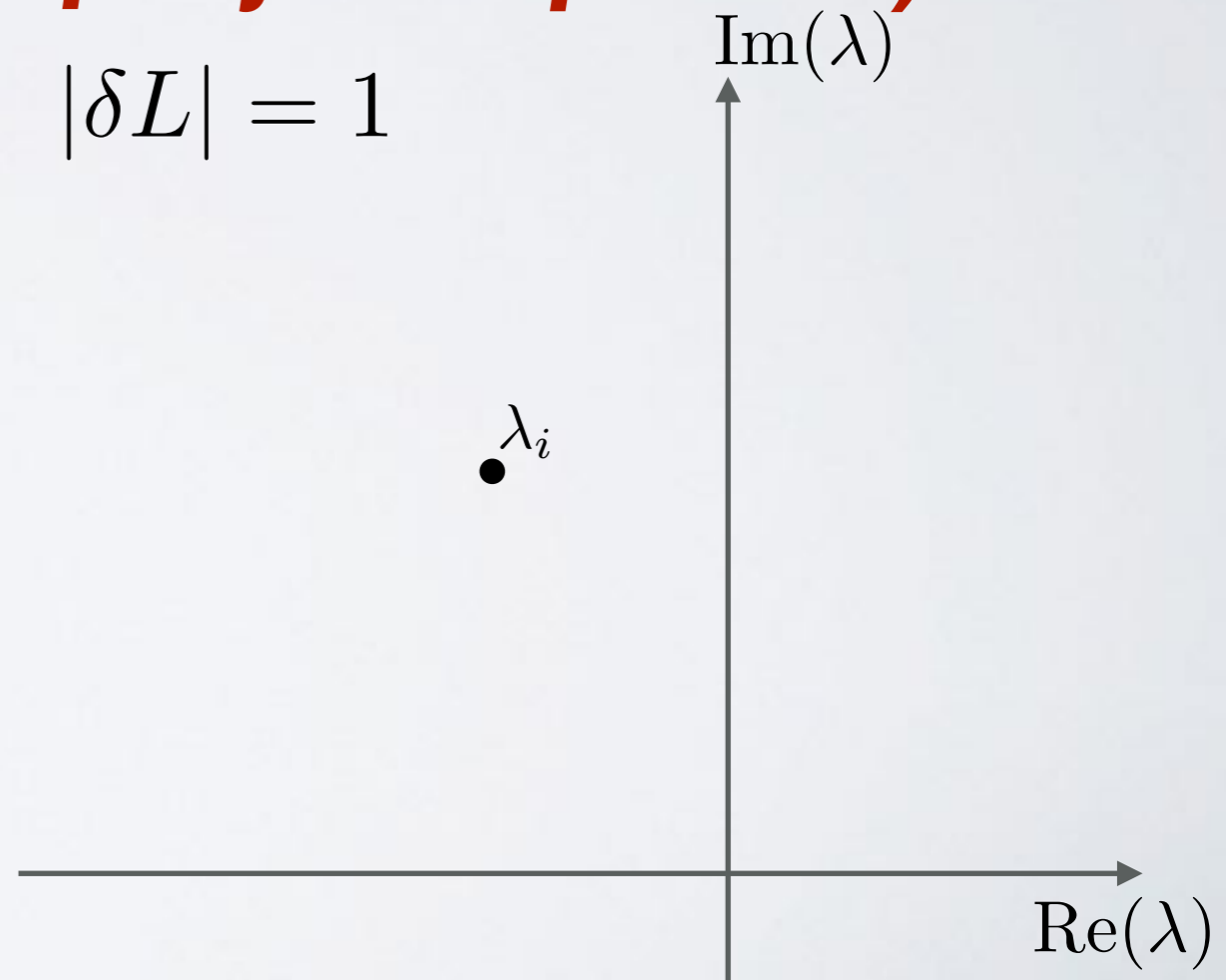
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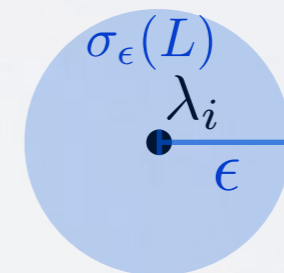
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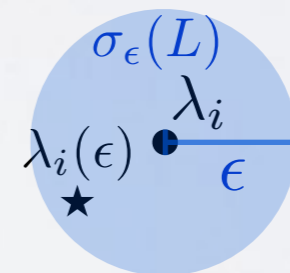
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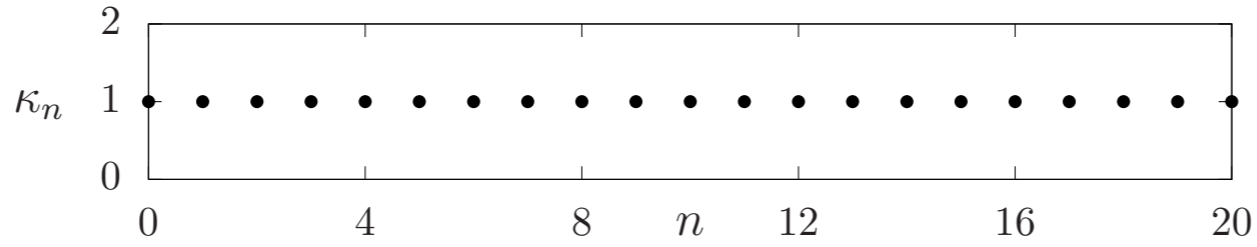
NOI

NO

# Wave on Sphere (self-adjoint problem)

TORS:

REMS



If  $[L, L^\dagger] \neq 0$

**Non-Hermitian**

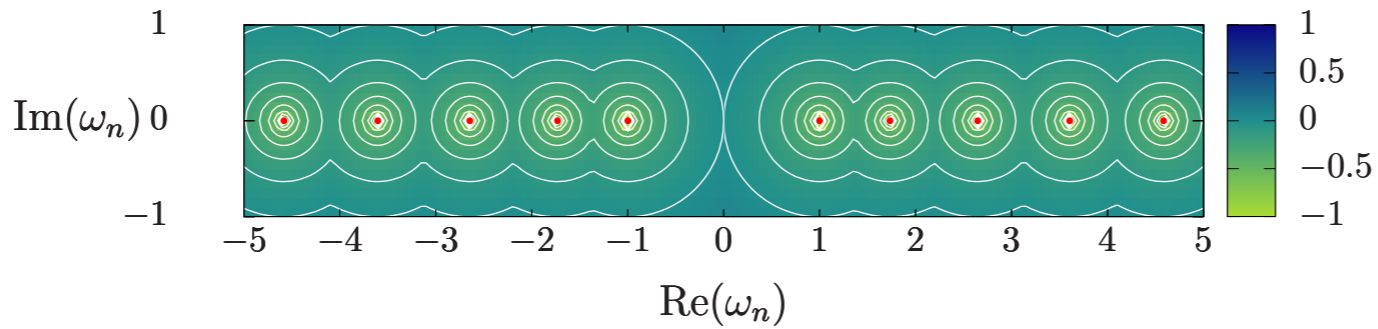
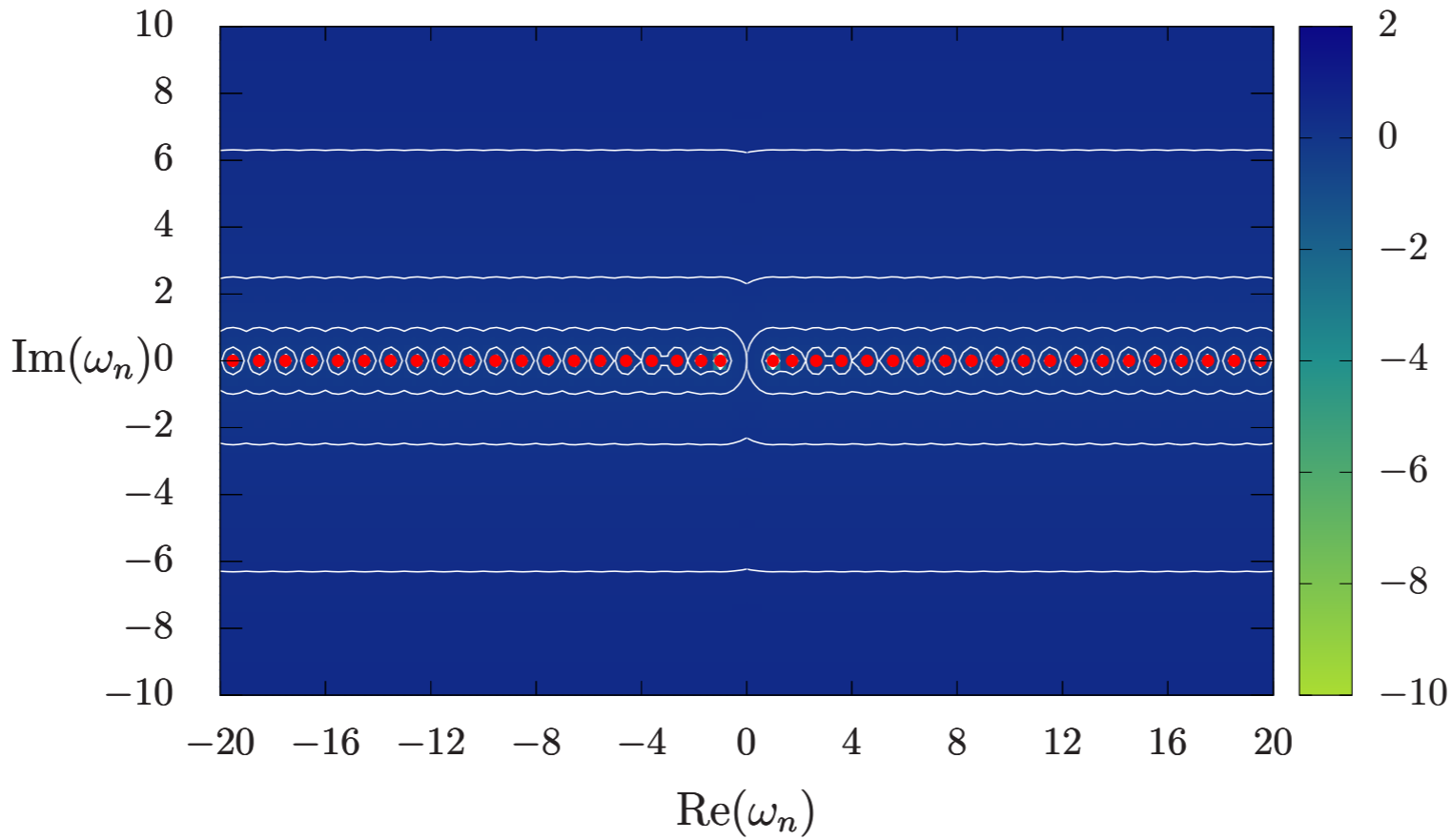
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**operator):**

$\text{Im}(\lambda)$

$\text{Re}(\lambda)$

self adjoint operator.



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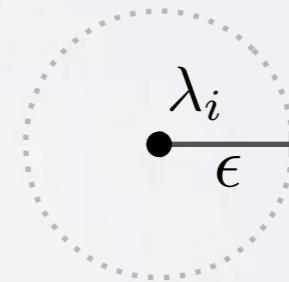
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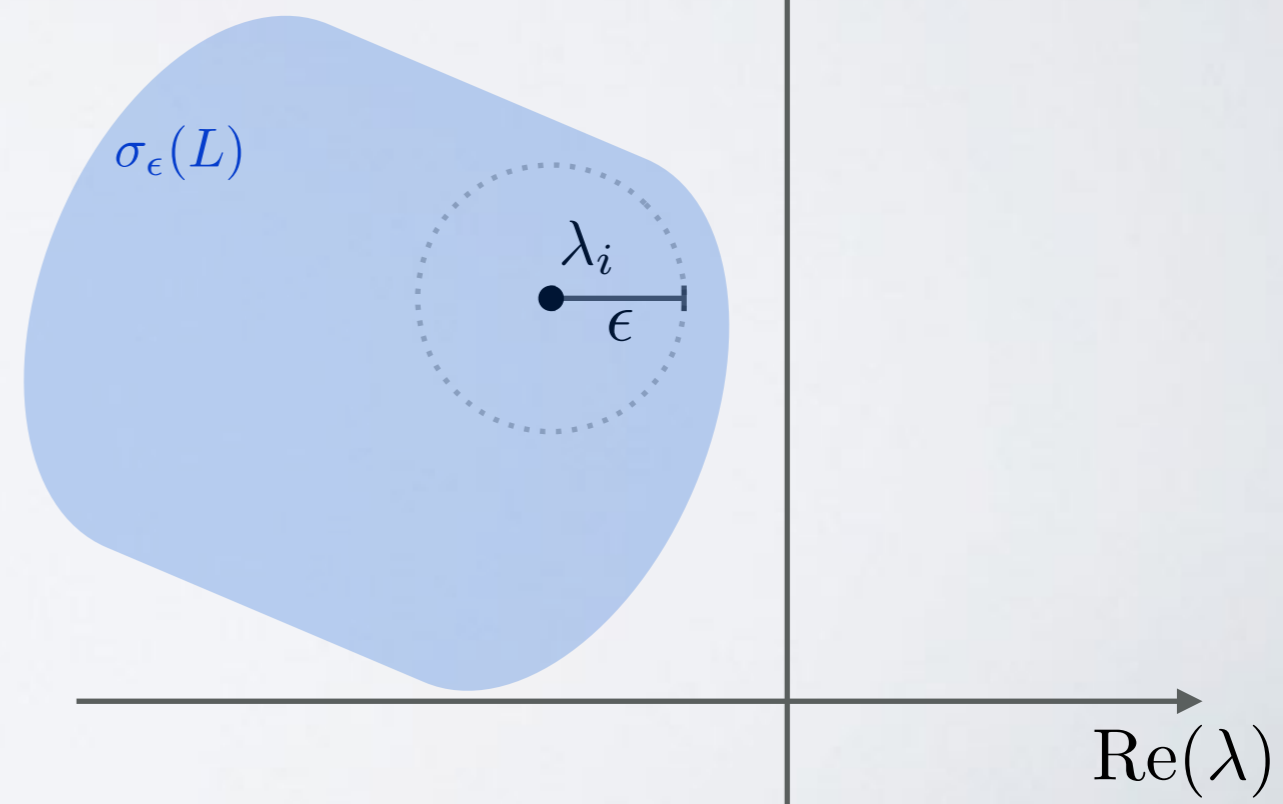
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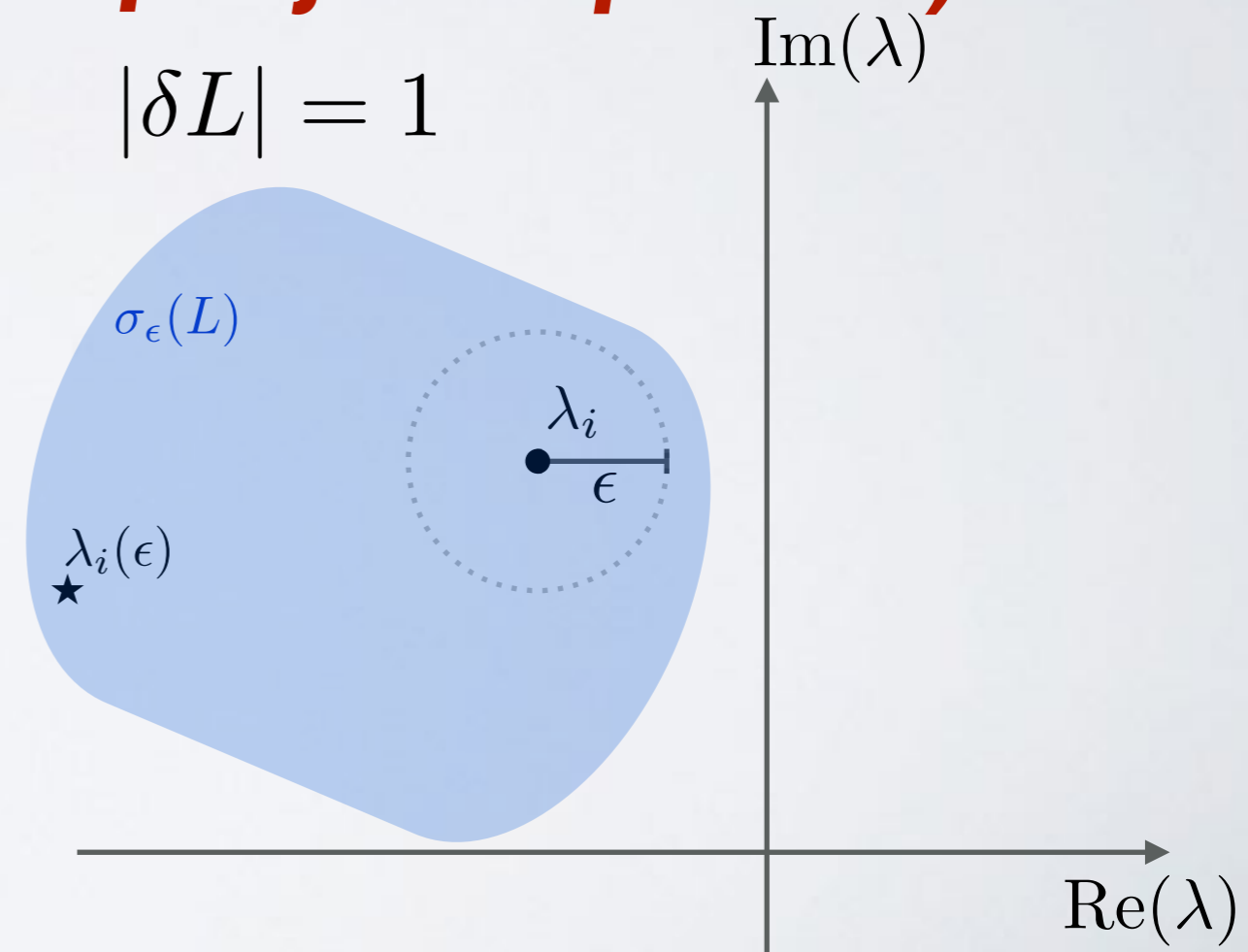
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## **Take away message:**

- The unperturbed operator contains all pieces of information to assess potential spectral instabilities
- Tools to measure: condition number and pseudospectra

Sp

$\sigma$

Ps

$$\sigma_\epsilon(L) : \|L - \lambda_i \mathbb{I}\| < \epsilon$$

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## **Non-Hermitian Physics (non-self adjoint operator):**

*Flow of energy, particles and information to external degrees of freedom out of the Hilbert space*

*Y.Ashida, Z. Gong, M. Ueda Advances in Physics 69 3 (2020) - arXiv 2006.01837*

Table 1. A wide variety of classical and quantum systems described by non-Hermitian matrices/operators together with their physical origins of non-Hermiticity, presented in order of appearance in the present review.

Systems / Processes	Physical origin of non-Hermiticity	Theoretical methods
Photonics	Gain and loss of photons	Maxwell equations [12, 13]
Mechanics	Friction	Newton equation [14, 15]
Electrical circuits	Joule heating	Circuit equation [16]
Stochastic processes	Nonreciprocity of state transitions	Fokker-Planck equation [17, 18]
Soft matter and fluid	Nonlinear instability	Linearized hydrodynamics [19-21]
Nuclear reactions	Radiative decays	Projection methods [4, 6]
Mesoscopic systems	Finite lifetimes of resonances	Scattering theory [22, 23]
Open quantum systems	Dissipation	Master equation [24, 25]
Quantum measurement	Measurement backaction	Quantum trajectory approach [26-31]

# Gravity (Black hole theory)

Fields/Energy leaking away from the system:

at far distances and through the black-hole horizon

How to geometrically impose the notions of far distant

(wave zone) and black-hole horizon?

If  $[L$

**Non**

Flow

freedom

Y.Ashida,

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## Hyperboloidal Approach to BH perturbation theory

M. Ansorg, RPM PRD 93 124016 (2016)

B. Schmidt "On relativistic stellar oscillations"  
Gravity Research Foundation essay (1993)

A. Zenginoglu :  
PRD 83 127502 (2011)

RPM, J. L. Jaramillo, M. Ansorg  
PRD 98 124005 (2018)

RPM CGQ 37 6 (2020)

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# SPECTRAL INSTABILITY

- Condition Number + Pseudospectral tools applied to Schwarzschild

$$\begin{aligned}
 \partial_\tau u &= iLu \\
 L\hat{u} &= \omega\hat{u}
 \end{aligned}
 \quad
 L = \frac{1}{i} \left( \begin{array}{c|c} 0 & 1 \\ \hline L_1 & L_2 \end{array} \right)
 \quad
 L_1 = (1 + \sigma)^{-1} \left( \partial_\sigma [\sigma^2(1 - \sigma)\partial_\sigma] \right.$$

$$\left. L_2 = (1 + \sigma)^{-1} \left( (1 - 2\sigma^2)\partial_\sigma - 2\sigma \right) \quad \sigma \in [0, 1] \quad - \underbrace{[\ell(\ell + 1) + (1 - s^2)\sigma]}_{q_\ell} \right)$$

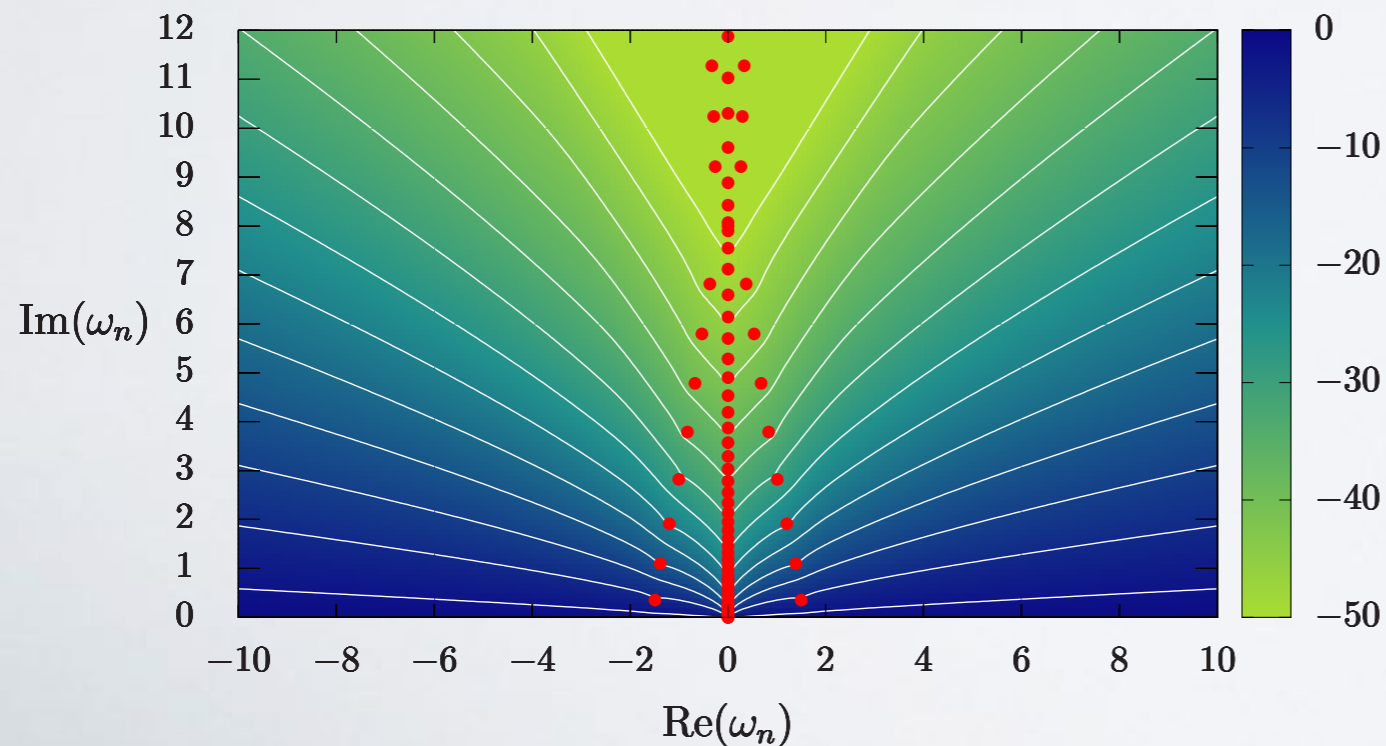
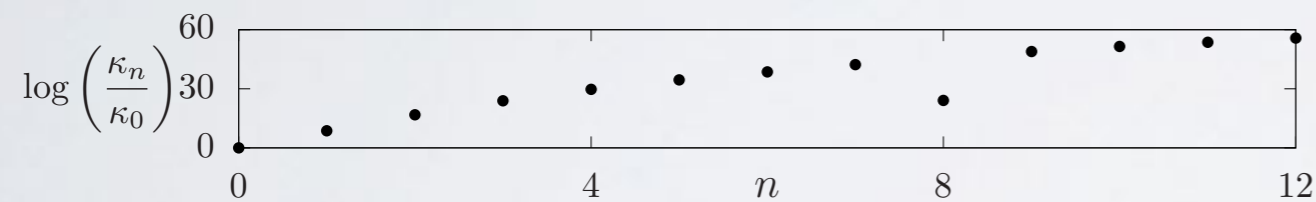


# SPECTRAL INSTABILITY

- Condition Number + Pseudospectral tools applied to Schwarzschild

$$\begin{aligned} \partial_\tau u &= iLu \\ L\hat{u} &= \omega\hat{u} \end{aligned} \quad L = \frac{1}{i} \left( \begin{array}{c|c} 0 & 1 \\ \hline L_1 & L_2 \end{array} \right) \quad L_1 = (1 + \sigma)^{-1} \left( \partial_\sigma [\sigma^2(1 - \sigma)\partial_\sigma] \right.$$

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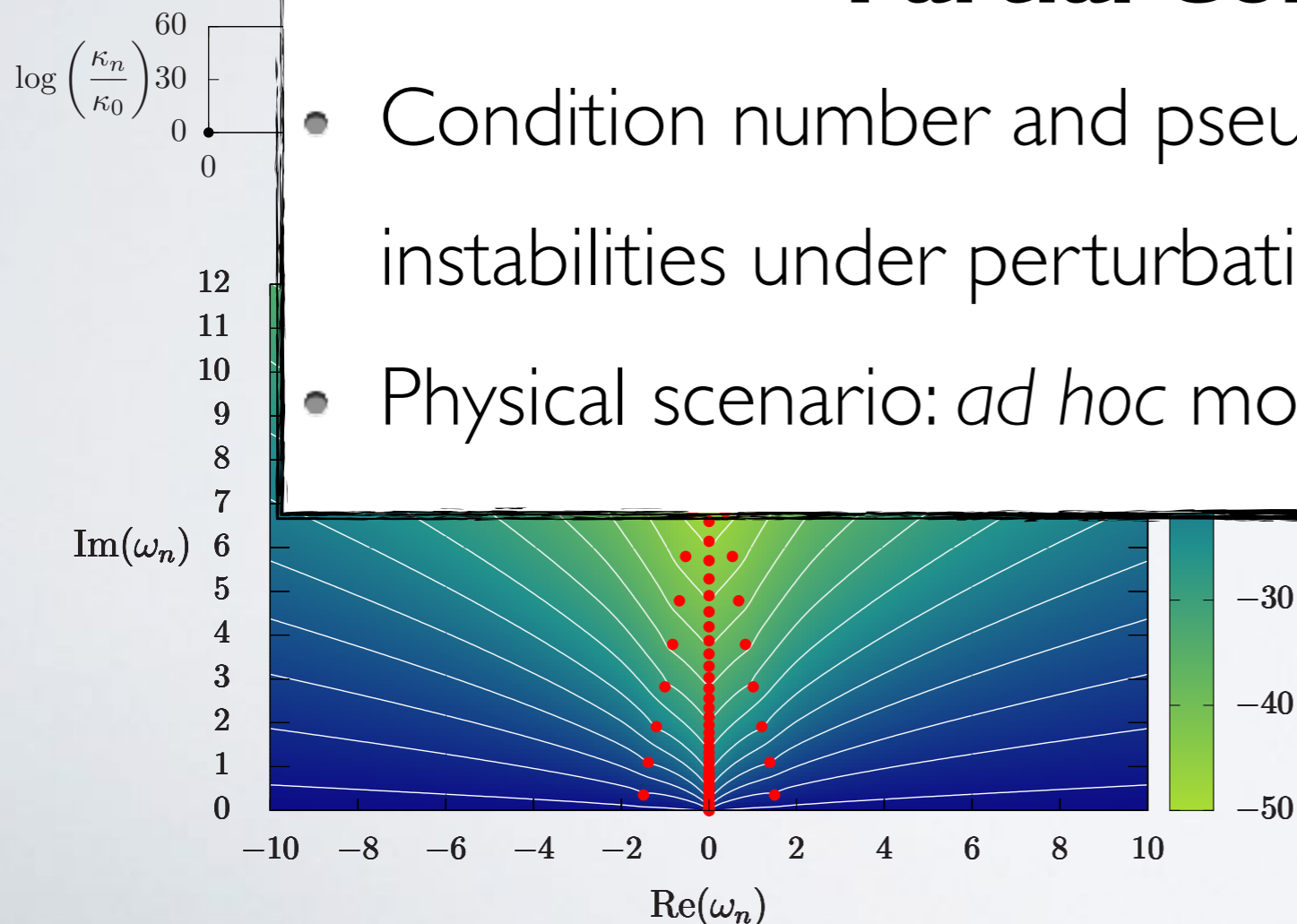
$$\partial_\tau u = iLu \quad L = \frac{1}{i} \left( \begin{array}{c|c} 0 & 1 \\ \hline L_1 & L_2 \end{array} \right) \quad L_1 = (1 + \sigma)^{-1} \left( \partial_\sigma [\sigma^2(1 - \sigma)\partial_\sigma] \right)$$

$$L\hat{u} = \omega\hat{u}$$

$$L_2 = (1 - \sigma)^{-1} \left( (1 - \sigma^2)\partial_\sigma - \sigma \in [0, 1] - [\ell(\ell + 1) + (1 - \epsilon^2)\sigma] \right)$$

## Partial Conclusions:

- Condition number and pseudospectra indicate potential instabilities under perturbation of the operator.
- Physical scenario: *ad hoc* modification of the potential



# HISTORICAL INTERLUDE

C.V.Vishveshwara: "On the Black Hole Trail ... :A Personal Journey" (1996)

wave during the coalescence of binary black holes[18]. Recently Aguirregabiria and I have studied the sensitivity of the quasinormal modes to the scattering potential[19]. The motivation is to understand how any perturbing influence, such as another gravitating source, that might alter the effective potential would thereby affect the quasinormal modes. Interestingly, we find that the fundamental mode is, in general, insensitive to small changes in the potential, whereas the higher modes could alter drastically. The fundamental mode would therefore carry the imprint of the black hole, while higher modes might indicate the nature of the perturbing source.

J.M Aguirregabiria, C.V.Vishveshwara: Phys. Letter A 210 251 (1996)

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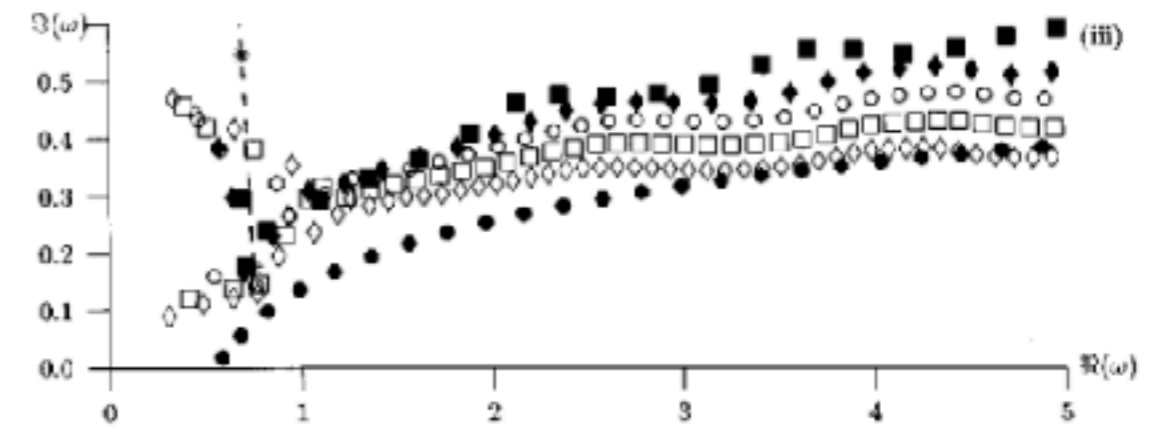
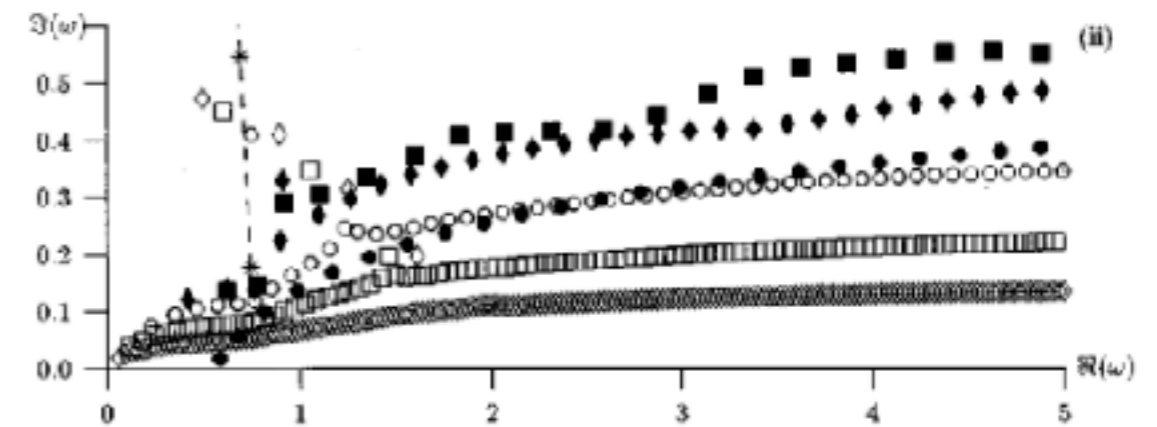
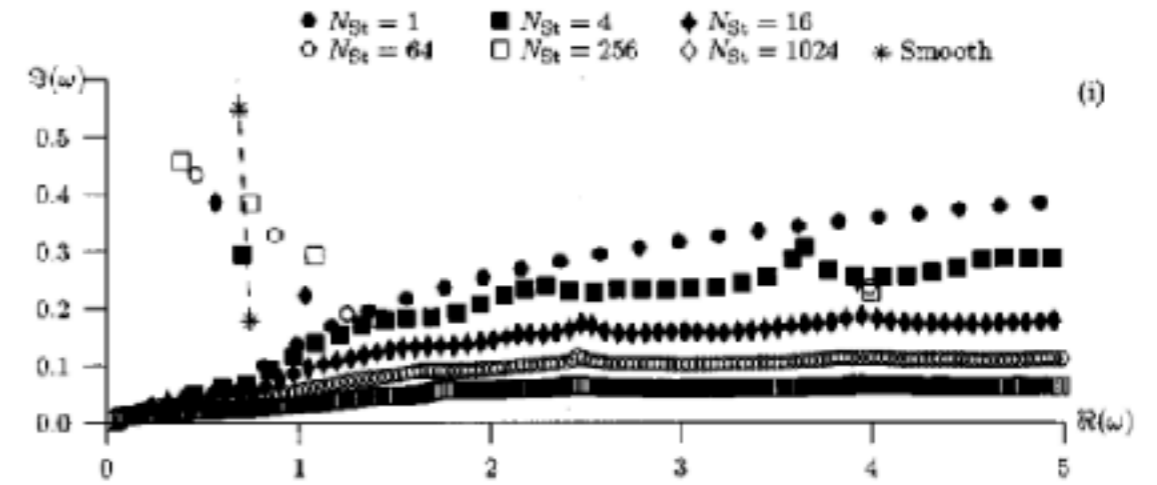
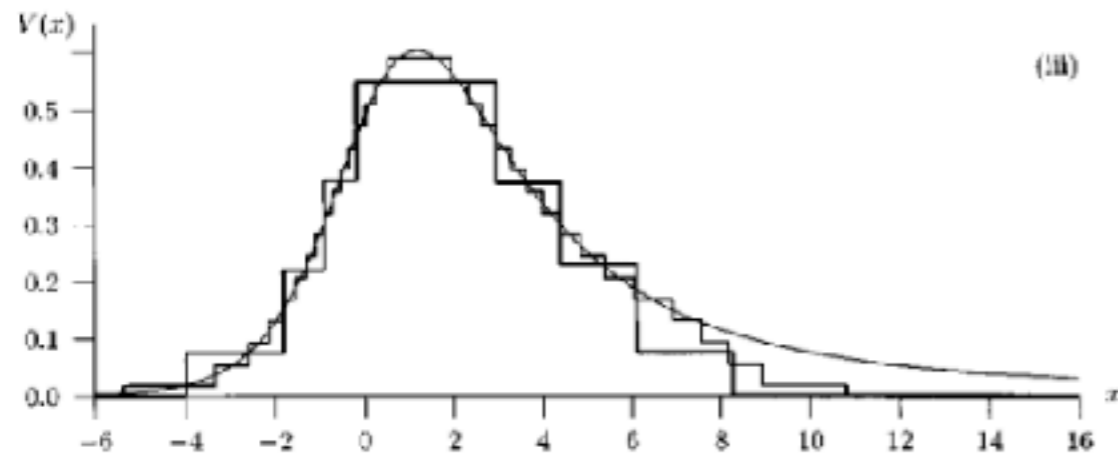
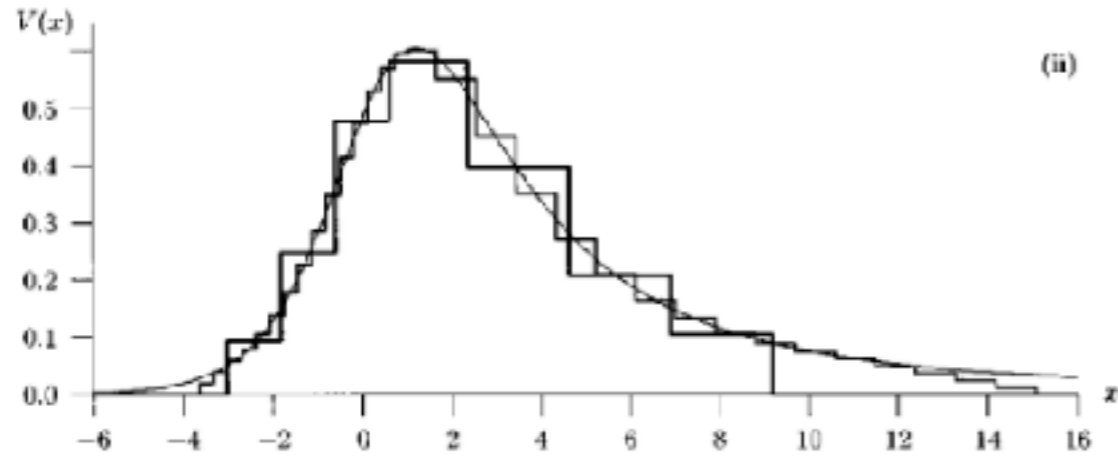
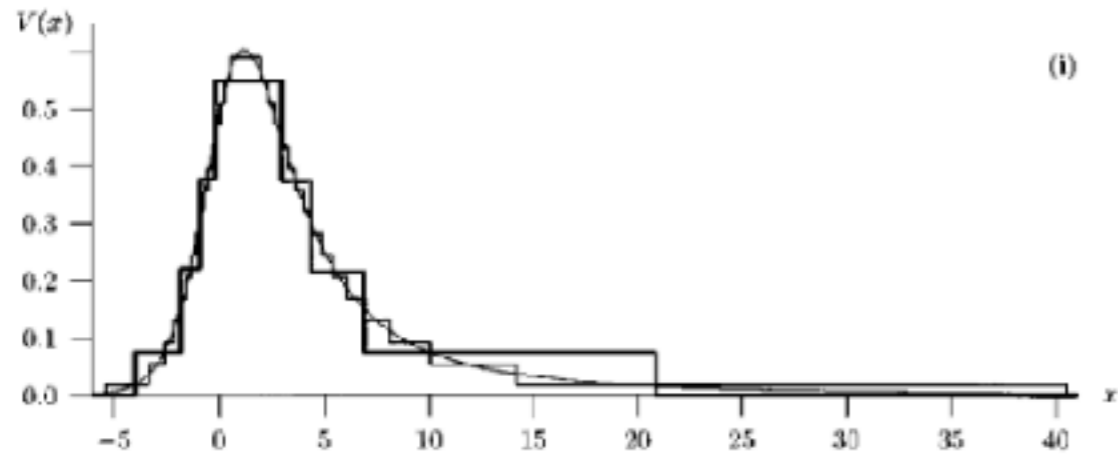
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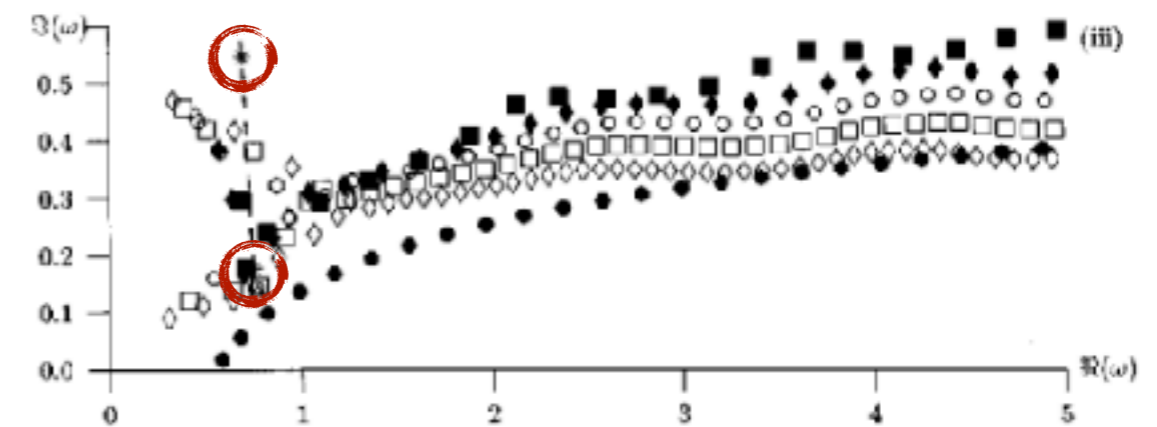
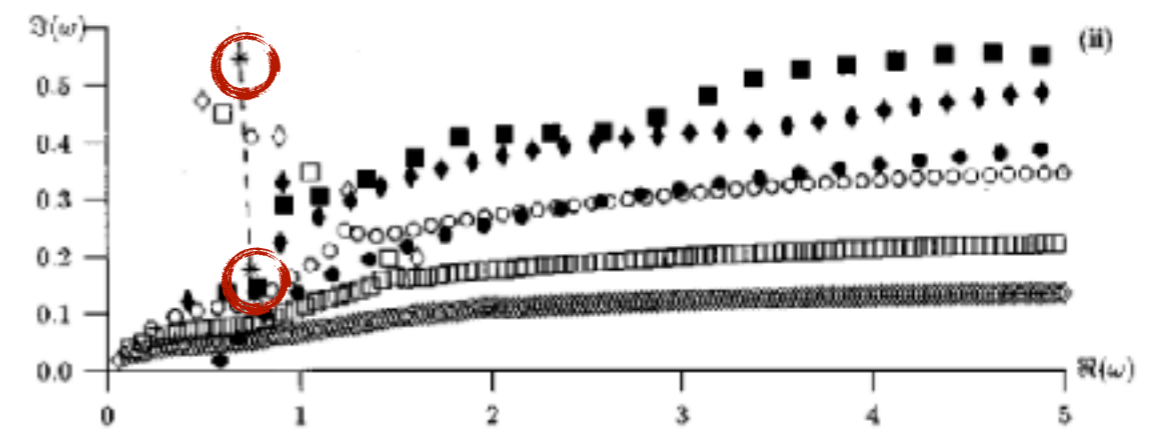
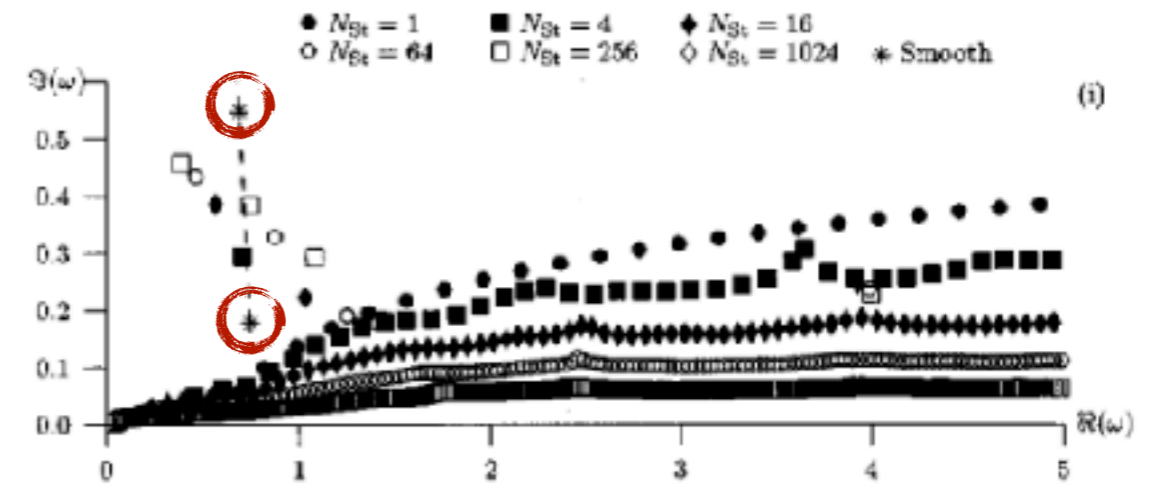
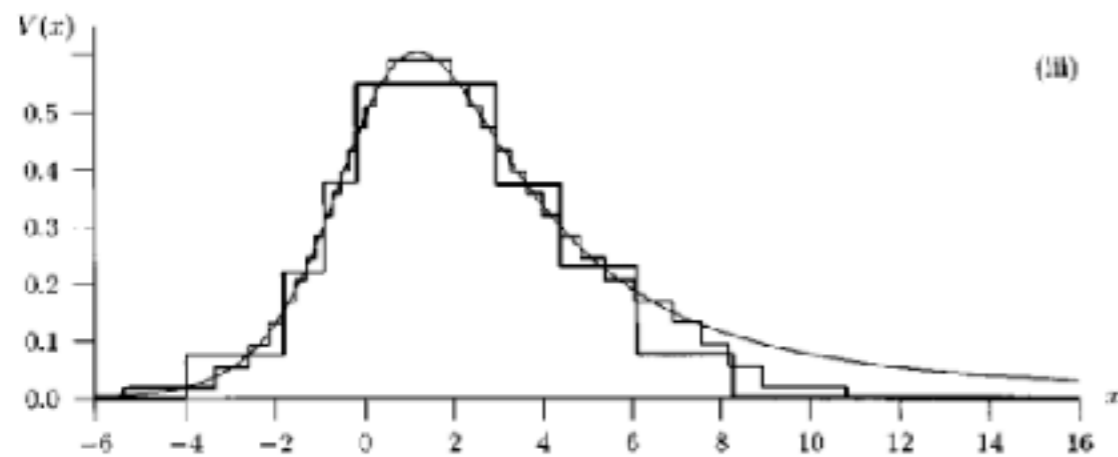
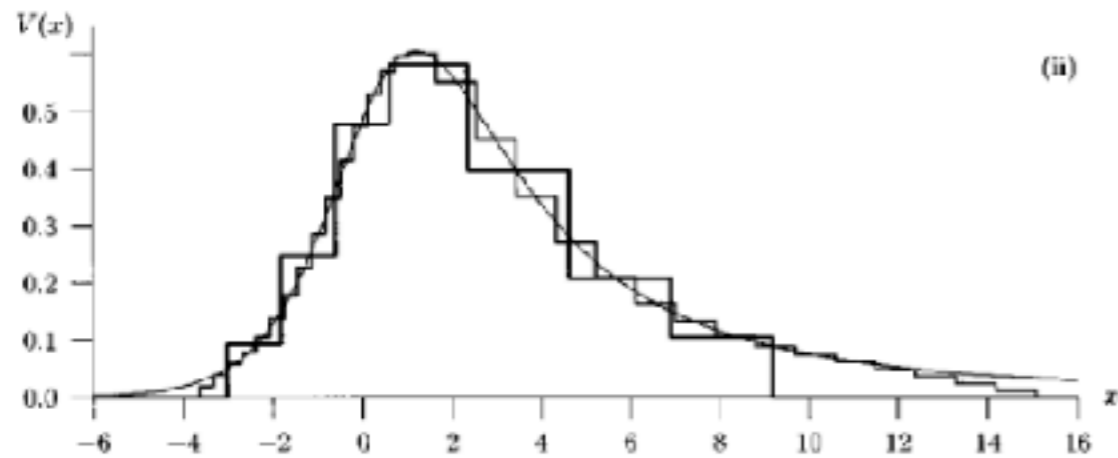
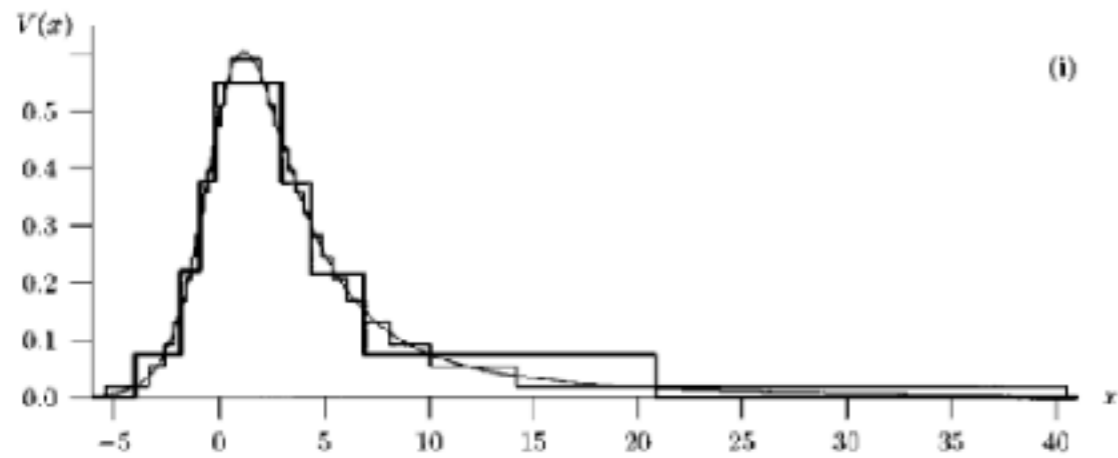
# HISTORICAL INTERLUDE



H.P. Nollert PRD 53 8 (1996)



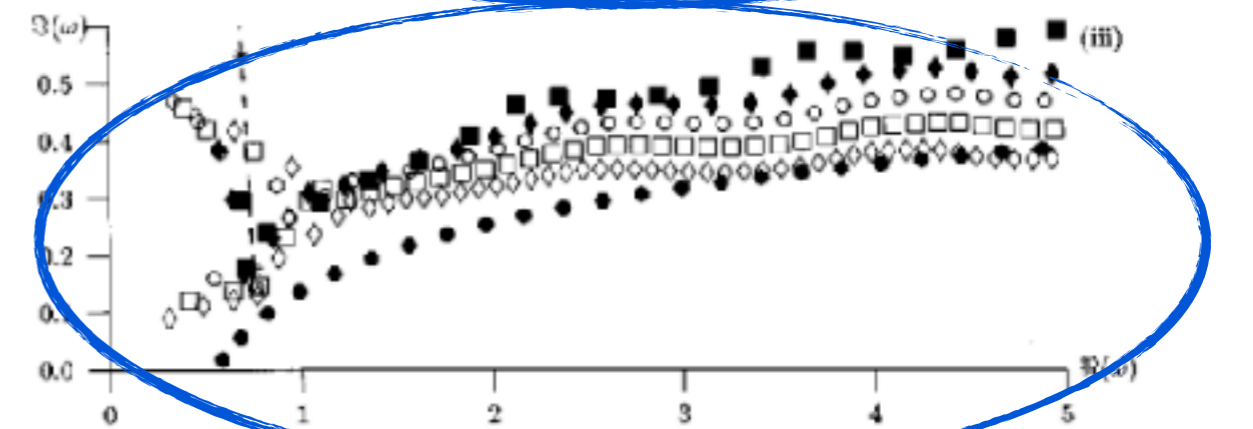
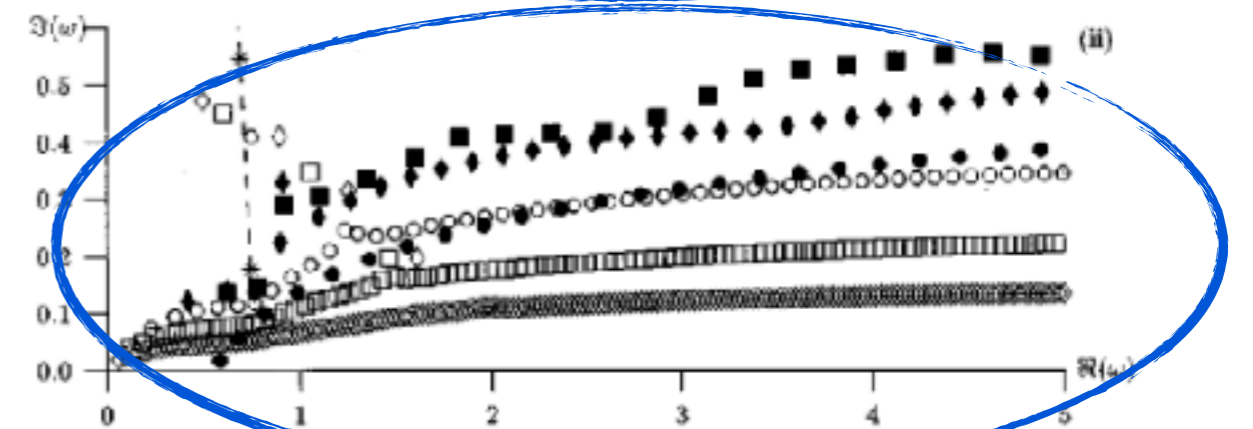
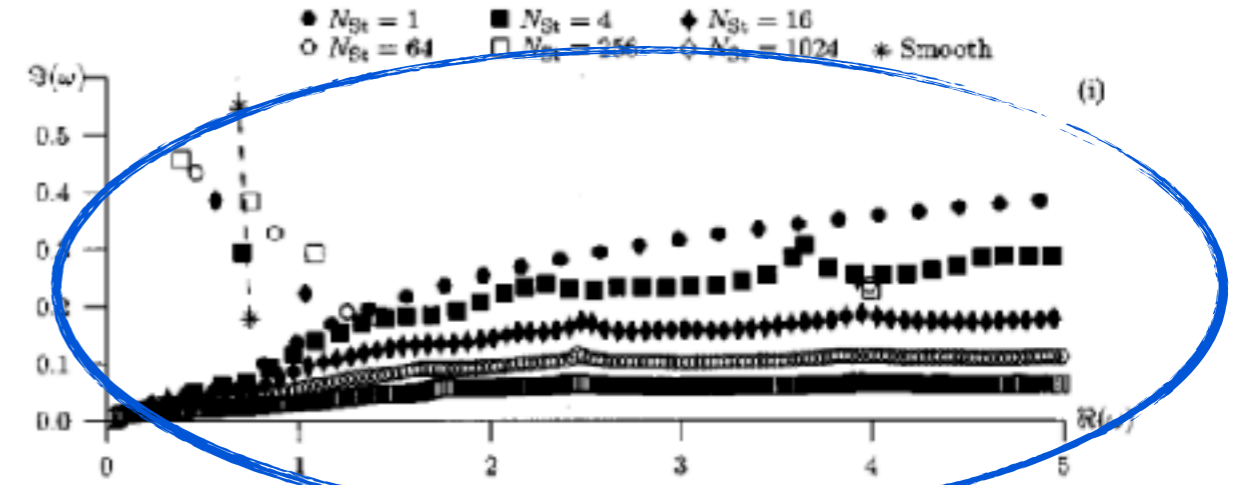
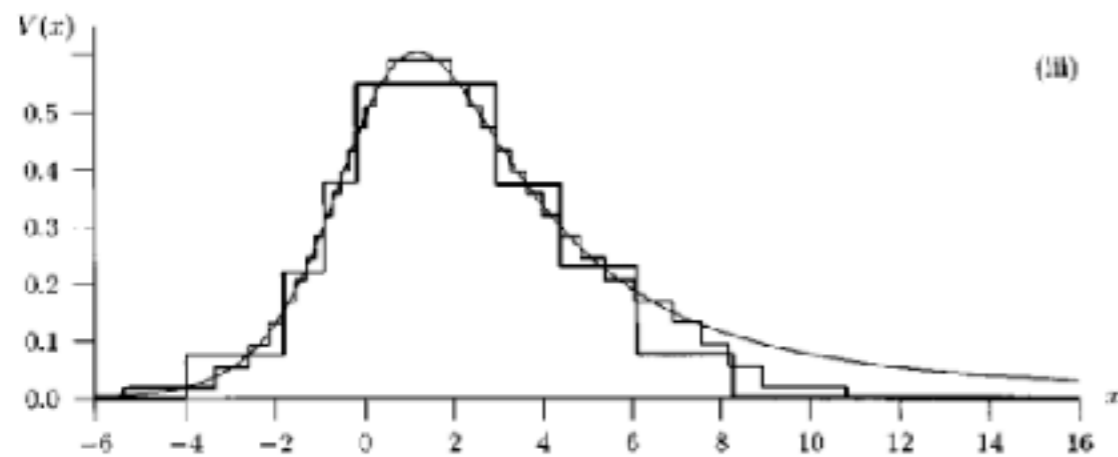
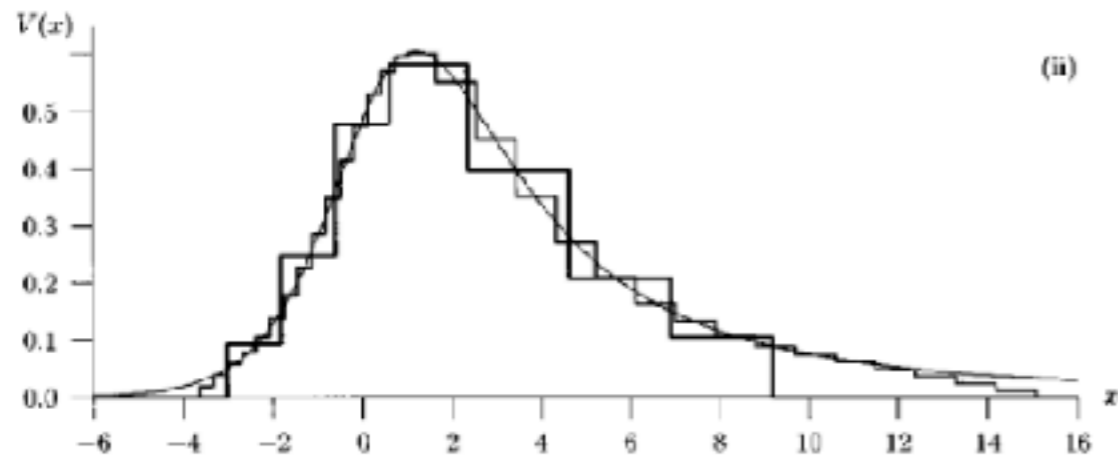
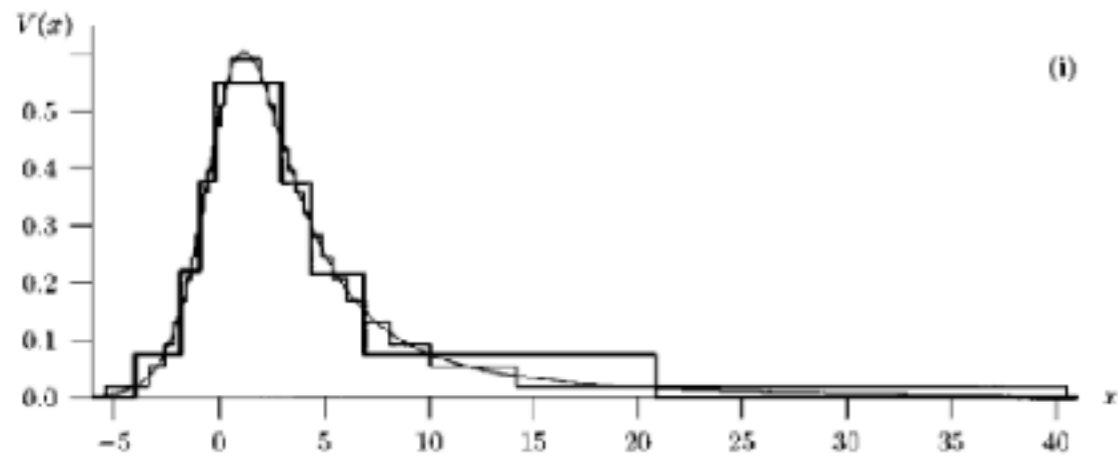
# HISTORICAL INTERLUDE



○ Original (unperturbed) QNMs

H.P. Nollert PRD 53 8 (1996)

# HISTORICAL INTERLUDE

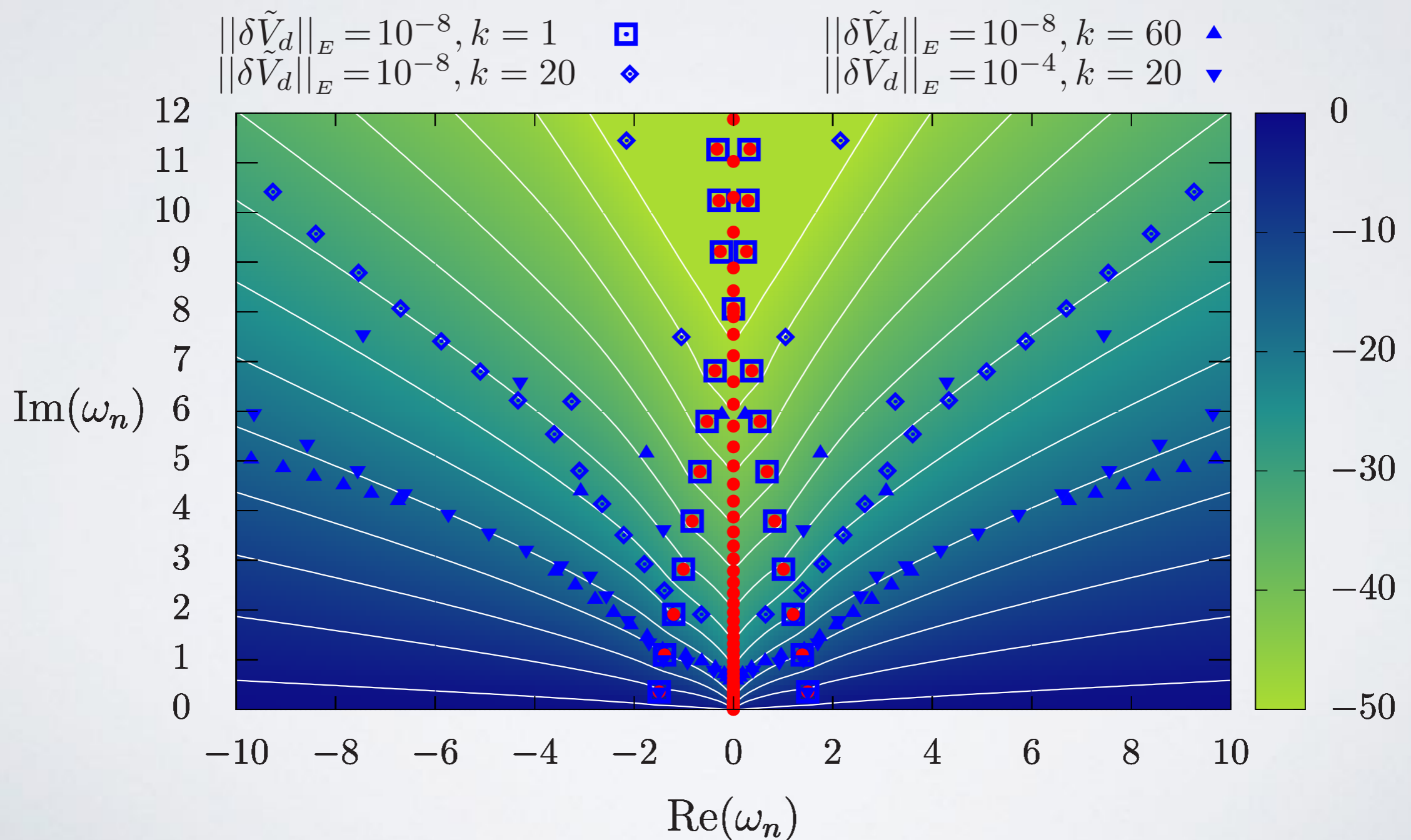
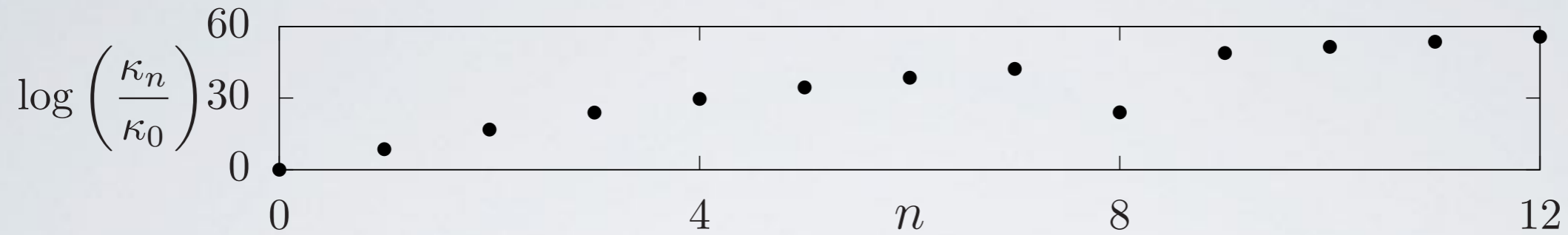


- Original (unperturbed) QNMs
- Perturbed QNMs

H.P. Nollert PRD 53 8 (1996)

# SPECTRAL INSTABILITY

Perturbed potential:  $q_\ell \rightarrow q_\ell + \delta V_d$      $\delta V_d \propto \cos(2\pi k \sigma)$



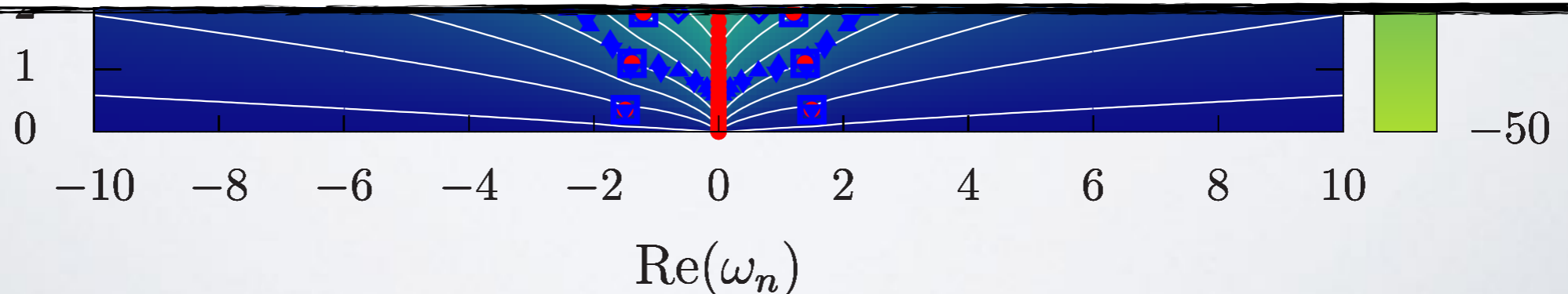
# SPECTRAL INSTABILITY

Perturbed potential:  $q_e \rightarrow q_e + \delta V_d$      $\delta V_d \propto \cos(2\pi k \sigma)$

## Partial Conclusions:

- Fundamental Mode is stable (potential perturbation respects asymptotic decays: ultra-violet effect)
- Overtones are stable under low wave number perturbation
- Overtones are unstable under high wave number perturbations
- Fundamental Mode is unstable (potential perturbation disturbs asymptotic decays: infra-red effect)

“the elephant and the flea effect- M. Cheung, K. Destounis, RPM, E. Berti, V. Cardoso. PRL 128 11 (2022)”



# TIME X FREQUENCY DOMAIN

- Can we read, unveil, detect instability in the GW signal?

$\Psi_{\text{evol}}$  (unpert. pot.)  $\bar{\Psi}_{\text{evol}}$  (pert. pot.)

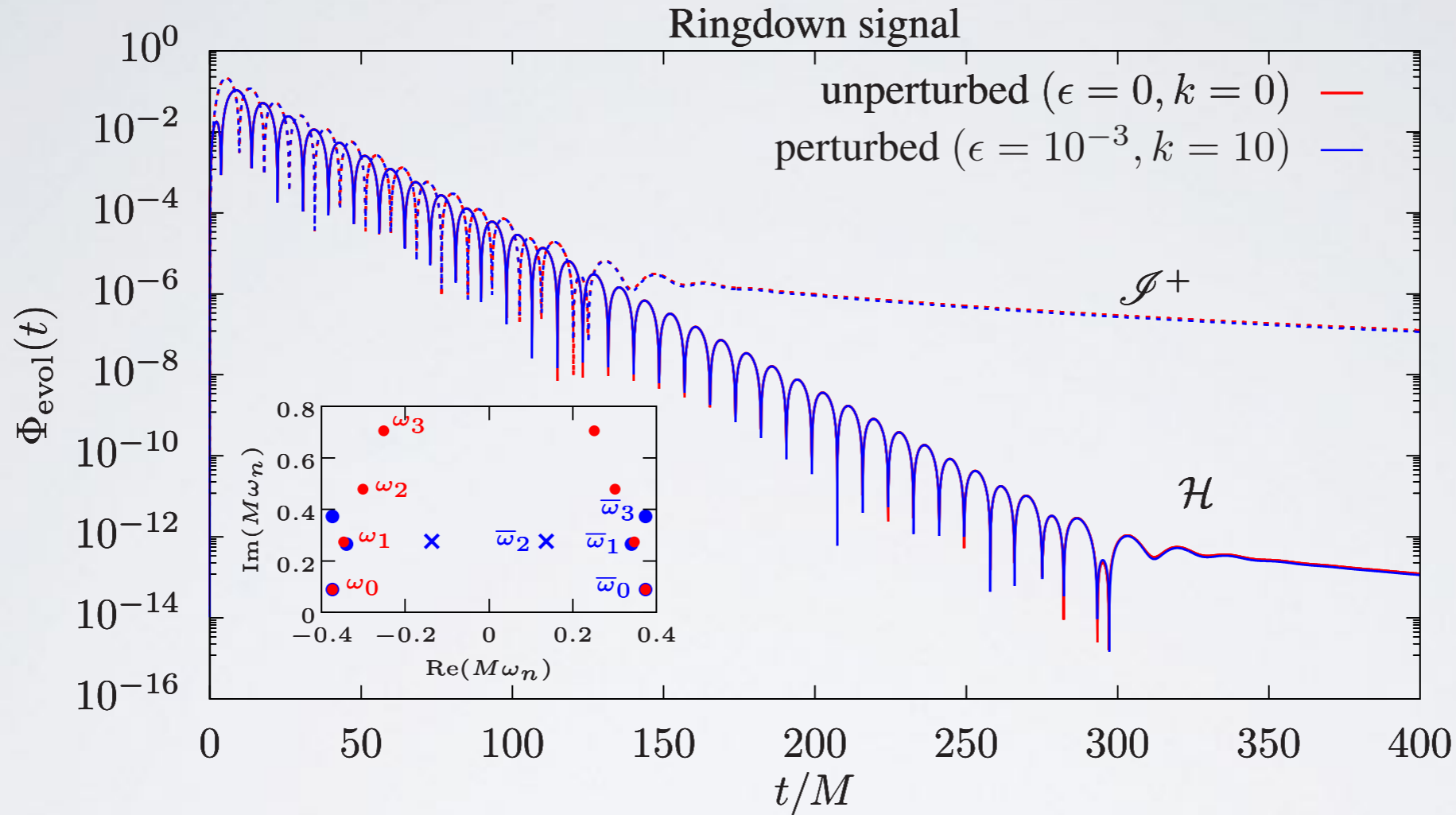


TABLE I. QNMs for unperturbed and perturbed Schwarzschild potentials via Prony's method. Crosses are QNMs not identified.

Unperturbed Potential ( $\epsilon = 0, k = 0$ )				
QNMs	$M\omega_0$	$M\omega_1$	$M\omega_2$	$M\omega_3$
'Spectral'	$\pm 0.37367168 - 0.08896231 i$	$\pm 0.3467110 - 0.2739149 i$	$\pm 0.3010534 - 0.4782770 i$	$\pm 0.2515049 - 0.7051482 i$
Prony's Fit	$\pm 0.37367169 - 0.08896232 i$	$\pm 0.34670 - 0.27392 i$	$\pm 0.302 - 0.48 i$	$\times \times \times$
Perturbed Potential ( $\epsilon = 10^{-3}, k = 10$ )				
QNMs	$M\bar{\omega}_0$	$M\bar{\omega}_1$	$M\bar{\omega}_2$	$M\bar{\omega}_3$
'Spectral'	$\pm 0.37364032 - 0.08898850 i$	$\pm 0.3401722 - 0.2648723 i$	$\pm 0.1367705 - 0.2761794 i$	$\pm 0.3735536 - 0.3723973 i$
Prony's Fit	$\pm 0.37364030 - 0.08898850 i$	$\pm 0.342 - 0.266 i$	$\times \times \times$	$\pm 0.37 - 0.4 i$

# CONCLUSION

- **Hyperboloidal Framework for perturbation theory:**
- **Fundamental aspects of black-hole physics:**  
Use of tools from theory of scattering resonances and non-self adjoint operators — pseudospectra — to assess structural aspects of QNM spectra.
- **Perspectives:**
  - Astrophysics and Cosmology: Black hole spectroscopy; universality of compact object QNM
  - Fundamental gravitational physics: (Sub)planckian-scale physics; QNMs and strong cosmic censorship; spacetime semiclassical limits  
e.g. R. Konoplya et al. JCAP 10 091 (2021) - *Asymptotically safe gravity*
  - Mathematical relativity: Formal statements of the numerical experiments

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Mathematical relativity: Formal statements of the numerical experiments

SEE TALKS IN SEC. 7B:  
(20/12)

- R. Konoplya 14:30-14:45
- F. Duque: 14:45-15:00
- V. Boyanov: 15:15 - 15:30