

Elastic Compact Objects and Compactness Bounds

XV Black Holes Workshop- 19/12/2022

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arXiv: 2107.12272, arXiv: 2202.00043 + CQG (Jan.2023)

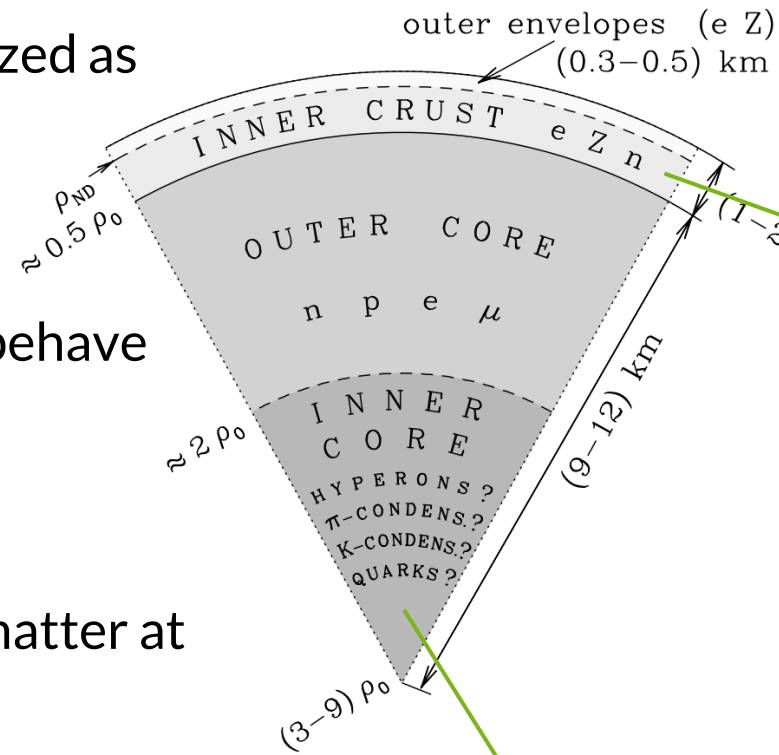
with Artur Alho, José Natário and Paolo Pani

Introduction

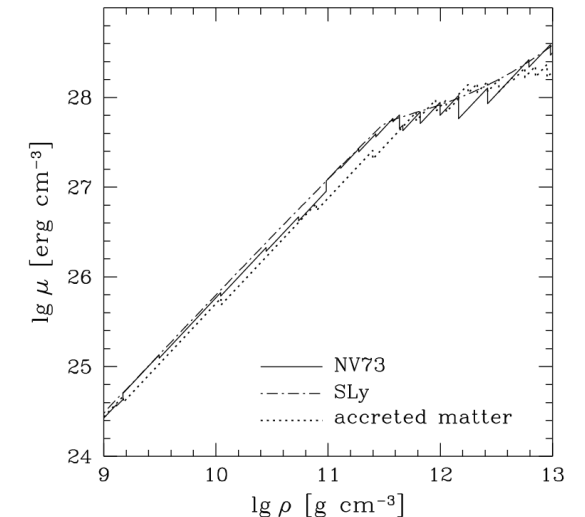
Why Elasticity in Compact Objects?

Neutron Stars

- Astrophysical objects are often idealized as self-gravitating perfect fluids.
- At relatively low densities, fermions behave as a weakly interacting gas;
- Challenging to understand phase of matter at high densities;



Solid Crust



[Haensel, Potekhin, Takovlev, 2007]

?

[Chamel, Haensel, 2008]

Introduction

Why Elasticity in Compact Objects?

Tests of the BH paradigm and Exotic Compact Objects

- **Buchdahl Limit:** (GR, fluids, isotropy)

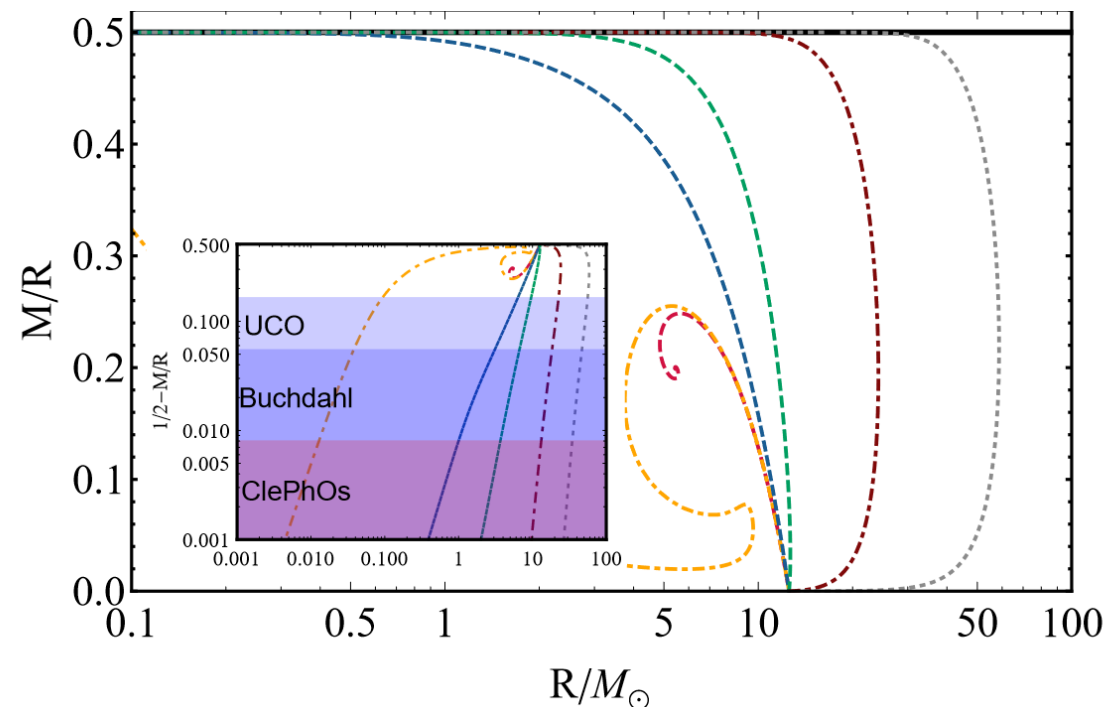
$$M/R < 4/9$$

- Several works have studied stars made of anisotropic fluid...

[Bowers, Liang, 1974; Dev, Gleiser, 2002; Mak, Harko, 2003; Raposo+, 2018]

... but, no physical motivation for the fluid model.

- **Elastic matter** naturally describes **anisotropies**



Introduction

Why Elasticity in Compact Objects?

Tests of the BH paradigm and Exotic Compact Objects

- **Beyond Buchdahl:** (GR, fluids, ~~isotropy~~)

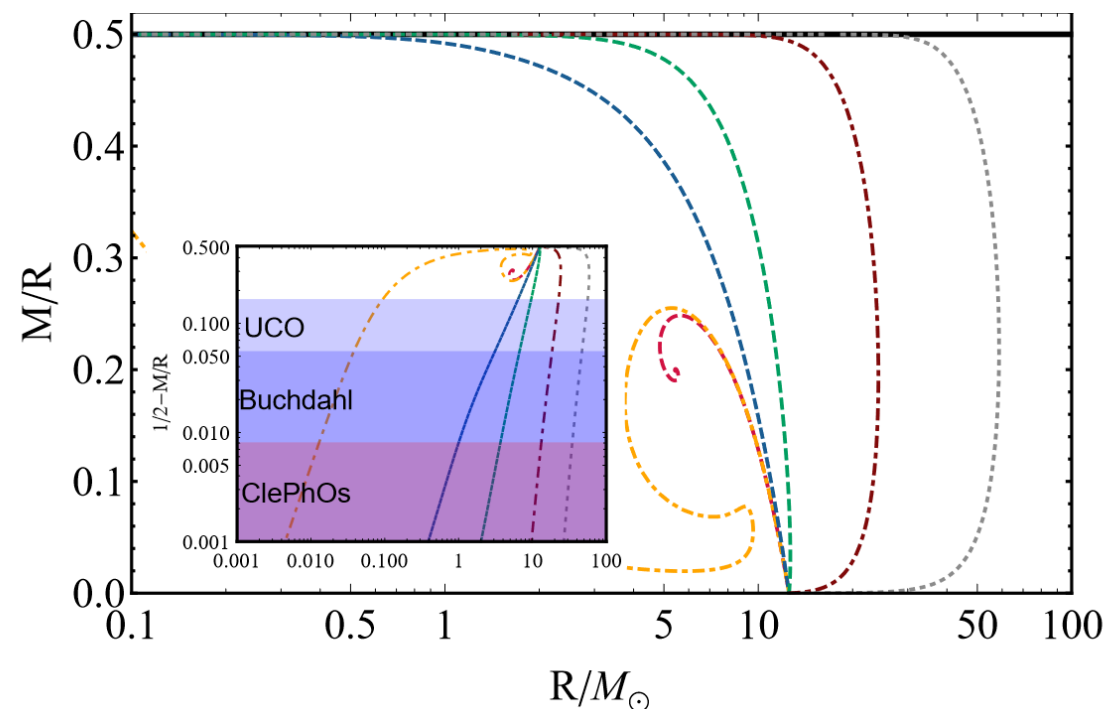
$$M/R \rightarrow 1/2$$

- Several works have studied stars made of anisotropic fluid...

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... but, no physical motivation for the fluid model.

- **Elastic matter** naturally describes anisotropies



Compact Objects in GR

Spherically symmetric stars

+

Elastic Matter

$$ds^2 = -e^{2\alpha} dt^2 + \frac{dr^2}{1-2m/r} + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2$$

$$T_{\nu}^{\mu} = \begin{bmatrix} \rho & 0 & 0 & 0 \\ 0 & P_r & 0 & 0 \\ 0 & 0 & P_t & 0 \\ 0 & 0 & 0 & P_t \end{bmatrix}$$

Energy density
Radial Pressure
Tangential Pressure

Anisotropic TOV equations

$$m' = 4\pi r^2 \rho$$

$$\alpha' = \frac{e^{2\beta}}{r} \left(\frac{m}{r} + 4\pi r^2 P_r \right) \quad P_r' = \frac{2}{r} (P_t - P_r) - (P_r + \rho) \alpha'$$

Equation Of State

Perfect Fluids: One EOS

$$P_r = P_t = P$$

$$P = P(\rho)$$

Anisotropic Fluids: Two EOS

$$P_r = P_r(\rho)$$

$$P_t = P_t(P_r, \rho)$$

Elastic Matter

?

Derived from theory of
Relativistic Elasticity

Equation of State

Fluid Materials

$$\rho = \rho(n) \quad \xrightarrow{\text{Number density}}$$

$$P = \left(n \frac{\partial \rho(n)}{\partial n} - \rho \right)$$

Elastic Materials

$$\rho = \rho(n_1, n_2 = n_3) \quad \xrightarrow{\text{Linear density}}$$

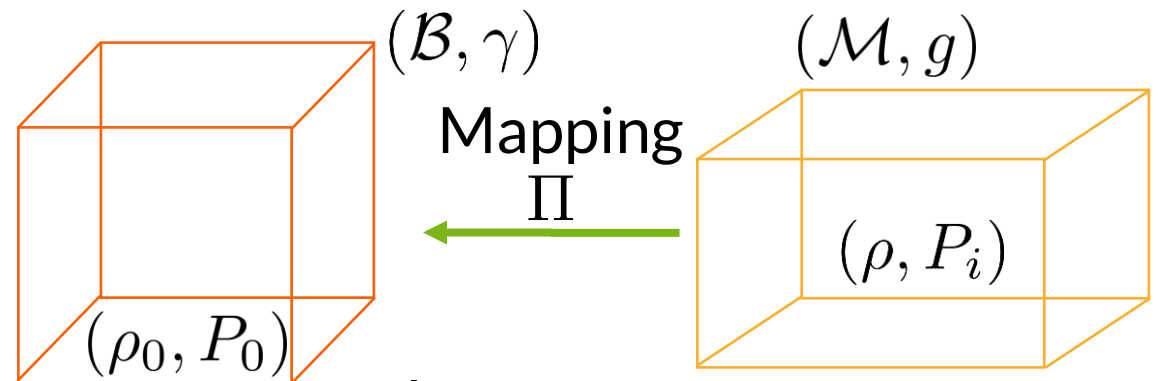
$$P_i = \left(n_i \frac{\partial \rho}{\partial n_i} - \rho \right)$$

$$n_1(t, r) = e^{-\beta} R'(r), \quad n_2(t, r) = n_3(t, r) = \frac{R(r)}{r}.$$

Elastic Theory in a nutshell

Reference
spacetime
(undeformed)

Physical
spacetime
(deformed)



Elastic Strain

$$\sim (H^{IJ} - \gamma^{IJ})$$

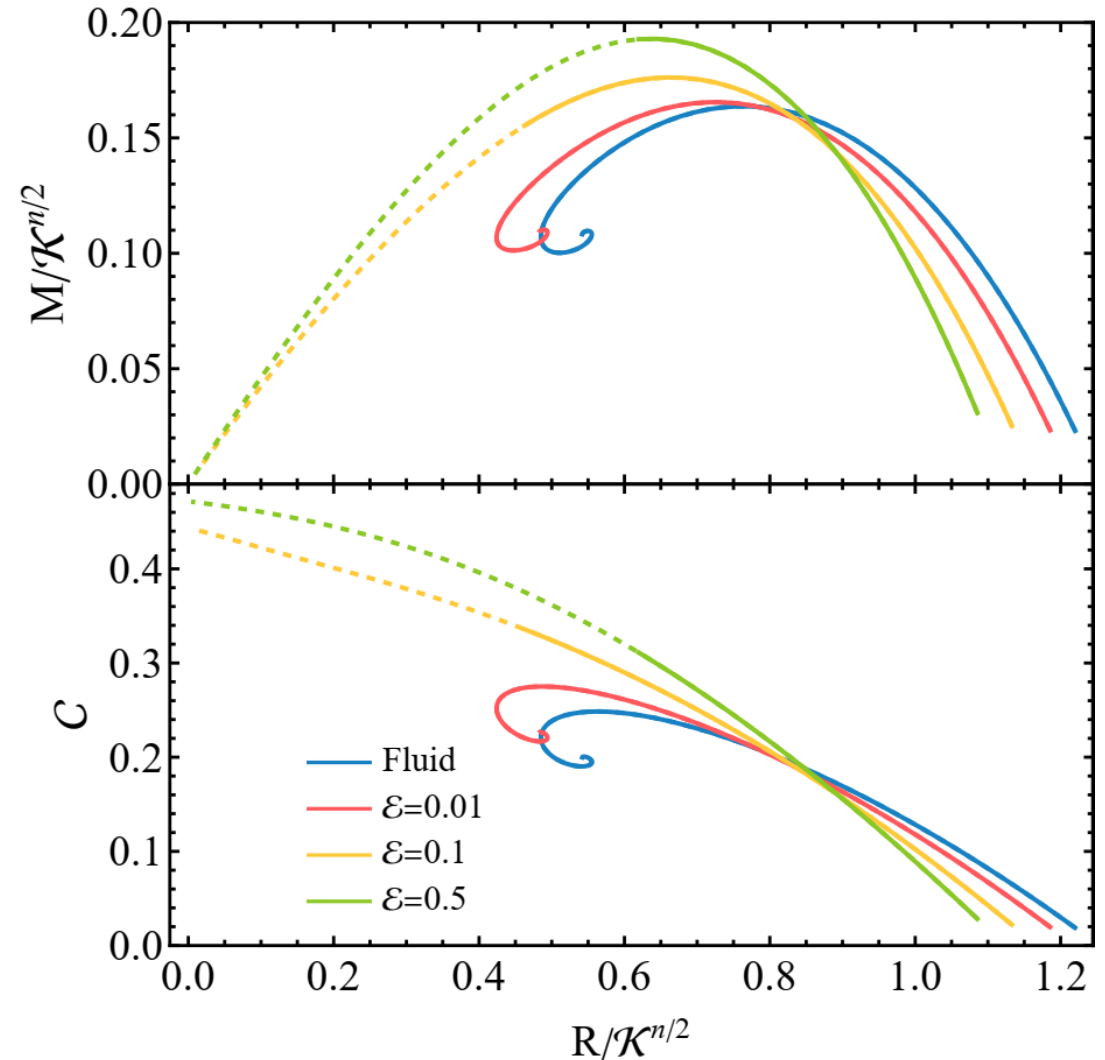
↓
Pushforward of $g^{\mu\nu}$

Example: Polytropic EOS

$$\hat{\rho}(\delta, \eta) = \underbrace{\left(\rho_0 - \frac{n^2 K}{1+n} \right) \delta + \frac{n^2 K}{1+n} \delta^{1+1/n}}_{\text{Perfect-fluid Polytrope}} + \underbrace{\frac{2\mu}{3} \eta^2 \left(1 - \frac{\delta}{\eta} \right)^2}_{\text{Quadratic Correction}}$$

Effects of Elasticity

1. **Increase the maximum mass and compactness of star:**
Ultracompact and beyond-Buchdahl configurations;
2. **New wave propagation modes:**
5 modes (elastic) vs 1 mode (fluid)
3. **Unphysical branch at high densities;**



Compactness Bounds in GR

How compact can a “non-exotic” compact object be?

Incompressible Fluid EOS

$$\rho(r) = \rho_0$$

Buchdahl Bound

$$\frac{M}{R} \lesssim 4/9$$

Linear Equation of State

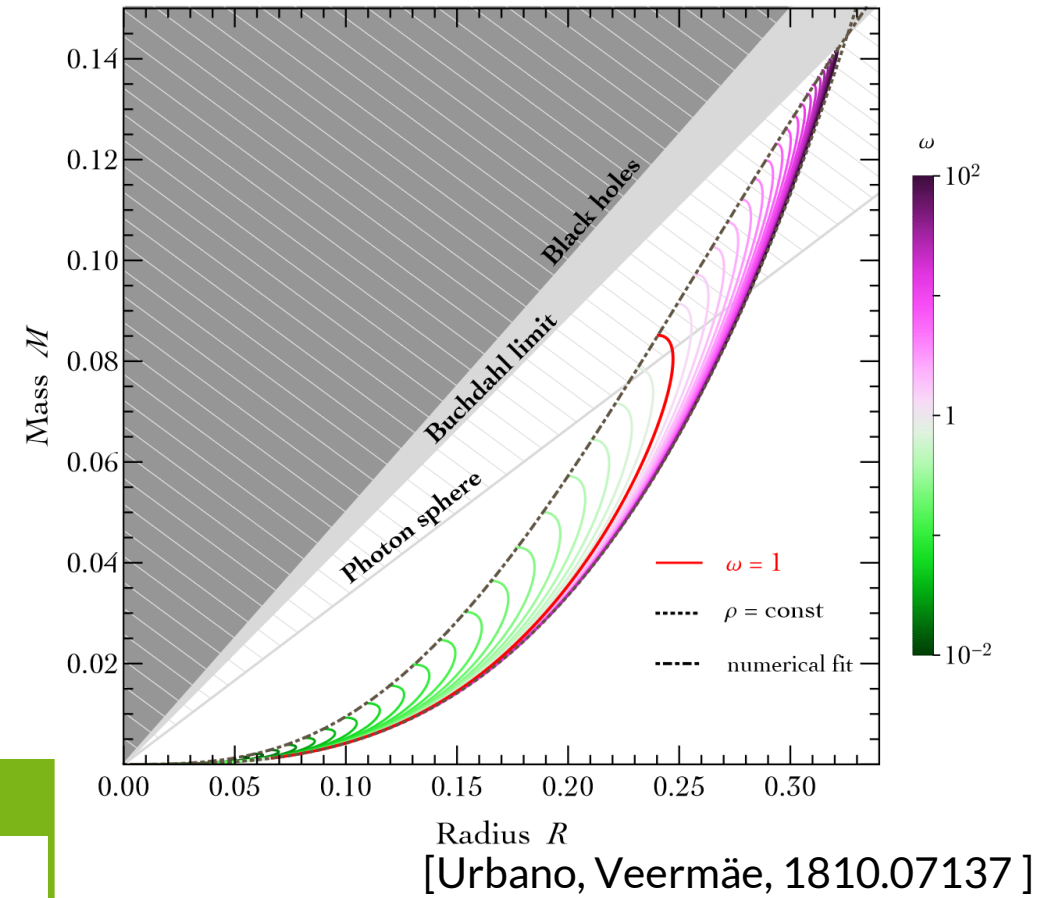
$$P = c_s^2(\rho - \rho_0)$$

Causal Buchdahl Bound

$$\frac{M}{R} \lesssim 0.364$$

Causal + stable Buchdahl Bound

$$\frac{M}{R} \lesssim 0.354$$



Constant Sound Speed EOS

$$\rho = \rho_0 \left[\left(\frac{1}{\gamma} - \varepsilon - 2\theta \right) (n_1 n_2 n_3)^\gamma + \varepsilon (n_1^\gamma + n_2^\gamma + n_3^\gamma) + \theta [(n_1 n_2)^\gamma + (n_2 n_3)^\gamma + (n_3 n_1)^\gamma] + \frac{\gamma - 1}{\gamma} - 2\varepsilon - \theta \right]$$

Important Remarks:

- For elastic materials we cannot set all sound speeds constant.
- Ultrarigid EOS fixes sound speed of **longitudinal waves!**

$$c_{Li}^2 = n_i^2 \frac{\partial^2 \rho}{\partial n_i^2} / (\rho + p_i) = \gamma - 1$$

4 parameters in the EOS

Compactness Bounds

Energy Conditions

$$\begin{array}{l}
 \text{WEC} \\
 \text{SEC}
 \end{array}
 \left\{ \begin{array}{l}
 \rho > 0 \\
 \rho + p_{\text{tan}} > 0 \\
 \rho + p_{\text{rad}} > 0 \\
 \rho + p_{\text{rad}} + 2p_{\text{tan}} > 0
 \end{array} \right\} \text{NEC}$$

$$\boxed{\begin{array}{l}
 \text{DEC} \\
 \rho > |p_{\text{tan}}| \\
 \rho > |p_{\text{rad}}|
 \end{array}}$$

Subluminal Sound Speeds

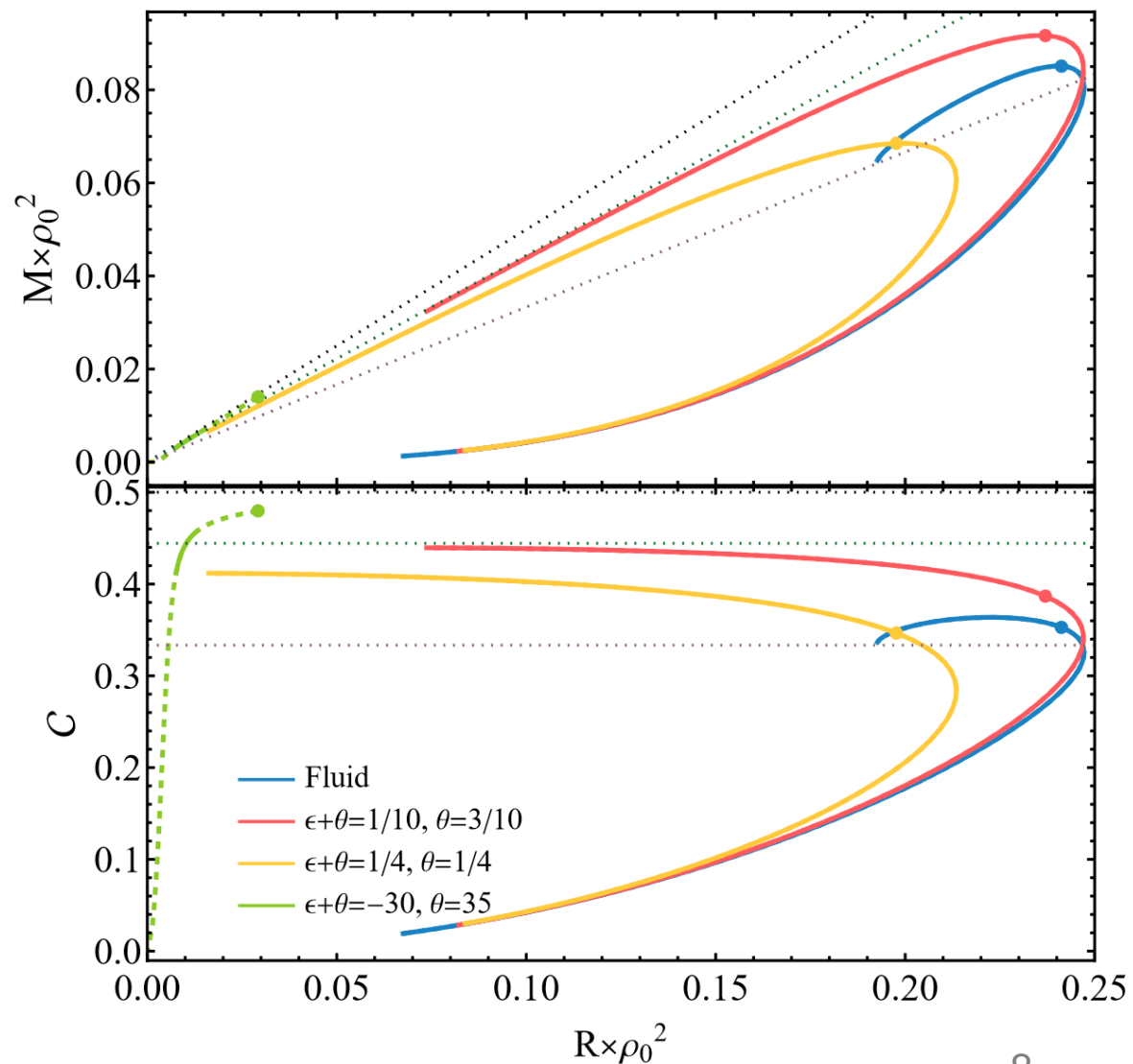
$$c_L, \bar{c}_L, c_T, \bar{c}_T, \bar{c}_{TT} < 1$$

Causal Bound

$$\mathcal{C}_{\text{PA}} \lesssim 0.469$$

Causal + stable Bound

$$\mathcal{C}_{\text{PAS}} \lesssim 0.389$$



Compactness Bounds

Energy Conditions

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Subluminal Sound Speeds

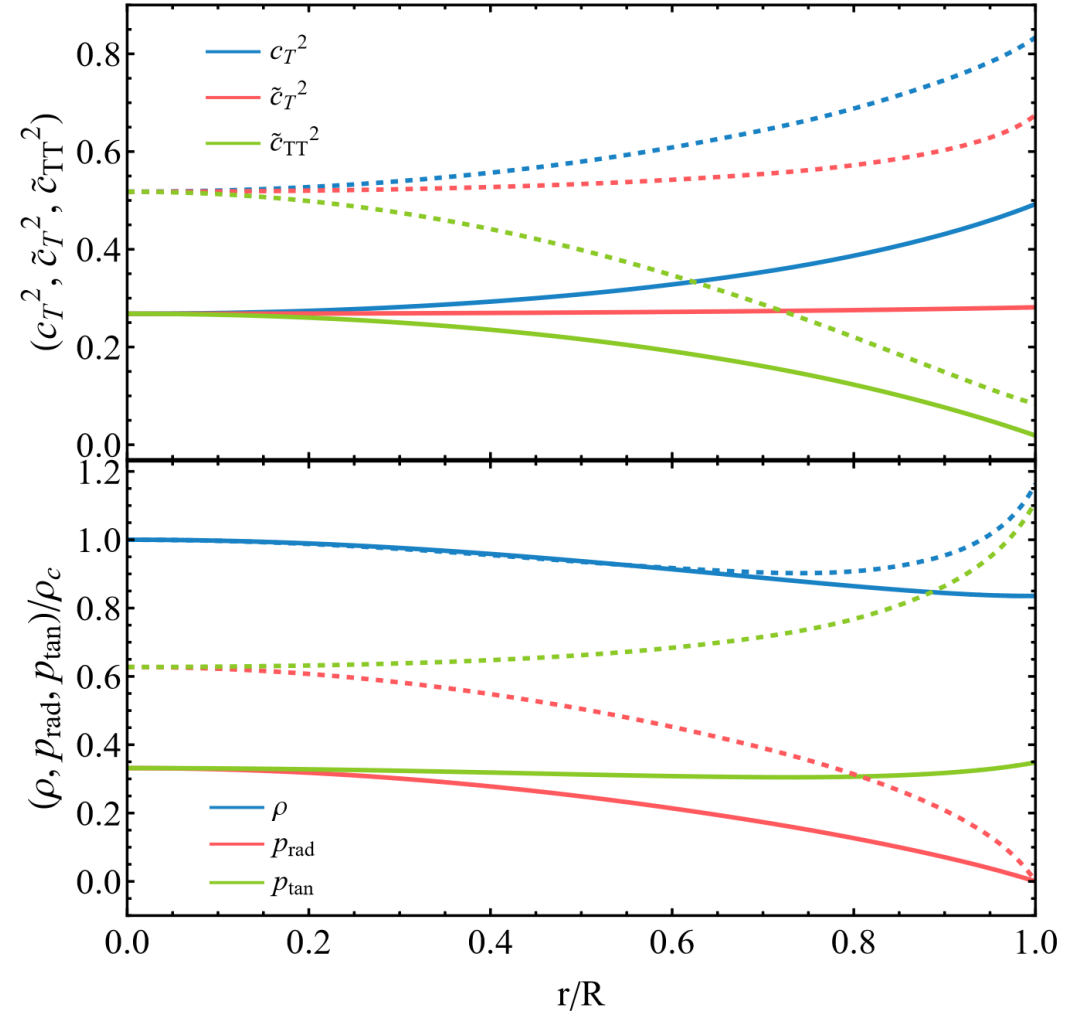
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Causal Bound

Causal + stable Bound

$$\mathcal{C}_{\text{PA}} \lesssim 0.469$$

$$\mathcal{C}_{\text{PAS}} \lesssim 0.389$$



Summary and Final Remarks

Formulation:

- Introduced a simple relativistic formalism to include elastic effects in the description of compact objects;

Elastic EOS

- Generalization of the polytrope: **Quadratic elastic correction**;
- Generalization of linear (fluid) EOS: **Ultrarigid EOS**

Results:

- Elastic Stars: elasticity contributes to increase the maximum mass and compactness;
- Derive a novel set of compactness bounds that extend Buchdahl's results.

	$\mathcal{C}_{\text{Buchdahl}}$	\mathcal{C}_{PA}	\mathcal{C}_{PAS}
Fluid	4/9	0.365	0.354
Elastic	1/2	0.469	0.389

Ongoing Work

Multilayer Neutron Stars

- Setup of stars with different elastic layers and combination of fluid and elastic layers.
- Effects of the solid crust/solid core in fluid neutron stars.

Beyond Spherical symmetry

- Extend the formalism in Part I to spacetimes with less symmetry;
- Rotating Stars, Deformed Stars, Tidal deformations

1+1 NR evolution

Thank you

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Part III

Extra Slides

Relativistic Elasticity

[Carter&Quintana; Kijowski&Magli; Beig&Schmidt,2018]

Concrete example: Spherically Symmetric, Static Spacetime

- **Step 1:** Set the **geometry** in the two physical spacetime and the material spacetime

$$ds^2 = -e^{2\alpha} dt^2 + e^{2\beta} dr^2 + r^2(d\theta^2 + \sin^2 \theta d\varphi^2) \quad \gamma = e^{2\beta_0(R)} dR^2 + R^2(d\Theta^2 + \sin^2 \Theta d\Phi^2).$$

- **Step 2:** Assign the **mapping** between both and the **configuration gradient** $f_\mu^A = \partial X^A / \partial x^\mu$

$$R = R(t, r), \quad \Theta = \theta, \quad \Phi = \varphi,$$

- **Step 3:** Compute the pushforward metric H^{AB} and orthogonal metric to 4-velocity;

$$H^{RR} = e^{-2\beta} (R')^2, \quad H^{\Theta\Theta} = \frac{1}{r^2}, \quad H^{\Phi\Phi} = \frac{1}{r^2 \sin^2 \theta}$$

$$h_{rr} = e^{2\beta}, \quad h_{\theta\theta} = r^2, \quad h_{\varphi\varphi} = r^2 \sin^2 \theta,$$

Relativistic Elasticity

Concrete example: Spherically Symmetric, Static Spacetime

- **Step 4:** Dynamics and matter:

$$S[\Psi] = \int_{\mathcal{M}} \rho(\Psi, d\Psi) \sqrt{-\det(g)} d^4x$$

[Natário, 2019]

$$T_{\mu\nu} = 2 \frac{\partial \rho}{\partial g^{\mu\nu}} - \rho g_{\mu\nu},$$

$$T_{\mu\nu} = \rho u_\mu u_\nu + \sigma_{\mu\nu},$$

$$\sigma_{\mu\nu} u^\nu = 0,$$

- **Step 5:** Homogeneous and isotropic materials;

$$\rho = \hat{\rho}(i_1(\mathcal{H}), i_2(\mathcal{H}), i_3(\mathcal{H})),$$

Principal invariants

$$\sigma_{\mu\nu} = \sum_{i=1}^3 \left(n_i \frac{\partial \rho}{\partial n_i} - \rho \right) e_{(i)\mu} e_{(i)\nu}, \quad \sigma_\nu^\mu e_{(i)\nu} = p_i e_{(i)\mu}^\mu,$$

Principal pressure in the i'th direction

Relativistic Elasticity

Concrete example: Spherically Symmetric, Static Spacetime

First Result: Pressures can be computed from the energy density.

$$P_i = \left(n_i \frac{\partial \rho}{\partial n_i} - \rho \right) \longrightarrow$$

Not enough to close the system of equations.

First law of thermodynamics for perfect-fluids:

$$P = \left(\rho_b \frac{\partial \rho}{\partial \rho_b} - \rho \right)$$

- Step 6:** Principle Linear Densities

$$n_1(t, r) = e^{-\beta} R'(r), \quad n_2(t, r) = n_3(t, r) = \frac{R(r)}{r}.$$

Final Equation to close the system

Relativistic Elasticity - Summary

Anisotropic TOV Equations

$$m' = 4\pi r^2 \rho \quad \alpha' = \frac{e^{2\beta}}{r} \left(\frac{m}{r} + 4\pi r^2 P_r \right) \quad P_r' = \frac{2}{r} (P_t - P_r) - (P_r + \rho) \alpha'$$

Equation of State

$$\rho = \hat{\rho}(\delta, \eta), \quad P_r = \delta \partial_\delta \hat{\rho} - \rho, \quad P_t = P_r + 3/2 \eta \partial_\eta \rho,$$

Elastic Equation

$$\eta' = -\frac{3}{r} (\eta - e^\beta \delta)$$

Part II:

Elastic Stars

arXiv: 2107.12272

Polytropic Elastic Stars

Polytropic (Fluid) Equation of State:

$$\rho = \rho_b + n\mathcal{K}\rho_b^{1+1/n} \qquad P = \mathcal{K}\rho_b^{1+1/n}$$

In the elastic notation:

$$\hat{\rho}(\delta, \eta) = \left(\rho_0 - \frac{n^2 K}{1+n} \right) \delta + \frac{n^2 K}{1+n} \delta^{1+1/n}$$

Simplest Elastic generalization:

$$\hat{\rho}(\delta, \eta) = \underbrace{\left(\rho_0 - \frac{n^2 K}{1+n} \right) \delta + \frac{n^2 K}{1+n} \delta^{1+1/n}}_{\text{Perfect-fluid Polytrope}} + \underbrace{\frac{2\mu}{3} \eta^2 \left(1 - \frac{\delta}{\eta} \right)^2}_{\text{Quadratic Correction}}$$

Perfect-fluid Polytrope

Quadratic Correction

Polytropic Elastic Stars

Polytropic (Fluid) Equation of State:

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Perfect-fluid Polytrope

Quadratic Correction

Reference-frame invariance

$$\hat{\rho}(\delta, \eta) = \left(\rho_0 - \frac{n^2 K}{1+n} \right) \delta + \frac{n^2 K}{1+n} \delta^{1+\frac{1}{n}} + \frac{2\mu}{3} \eta^2 \left(1 - \frac{\delta}{\eta} \right)^2$$

- Why does the reference state do not appear when we study polytropes?
- Consider a new reference state compressed by a volume factor:

$$\delta = f \tilde{\delta} \qquad \eta = f \tilde{\eta}$$

$$\hat{\rho}(\tilde{\delta}, \tilde{\eta}) = \left(\rho_0 - \frac{n^2 K}{1+n} \right) f \tilde{\delta} + \frac{n^2 K}{1+n} f^{1+\frac{1}{n}} \tilde{\delta}^{1+\frac{1}{n}} + \frac{2\mu}{3} f^2 (\tilde{\delta} - \tilde{\eta})^2$$

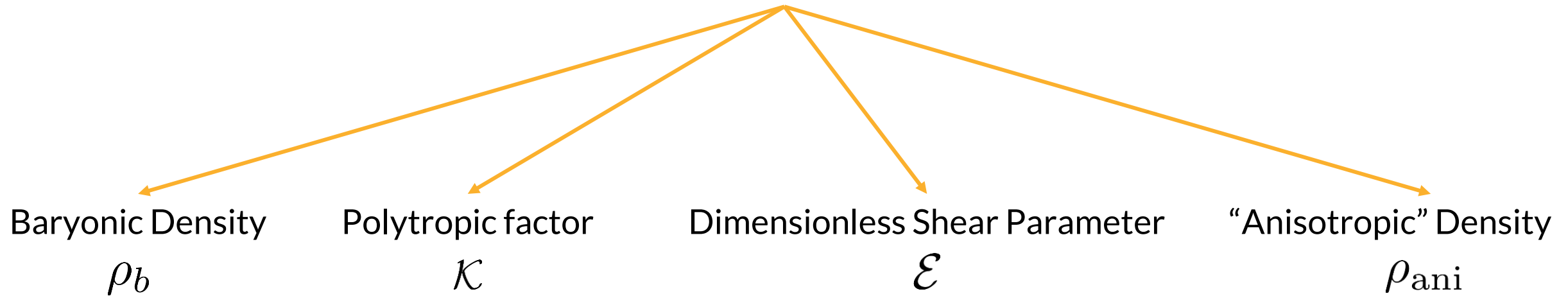
- However, by appropriate parameter redefinition:

$$\hat{\rho}(\tilde{\delta}, \tilde{\eta}) = \left(\tilde{\rho}_0 - \frac{n^2 \tilde{K}}{1+n} \right) \tilde{\delta} + \frac{n^2 \tilde{K}}{1+n} \tilde{\delta}^{1+\frac{1}{n}} + \frac{2\tilde{\mu}}{3} (\tilde{\delta} - \tilde{\eta})^2$$

Reference-frame choice is akin to a gauge choice

Reference-frame invariance

Quantities invariant under renormalization of reference state:



Elastic Polytropic EOS

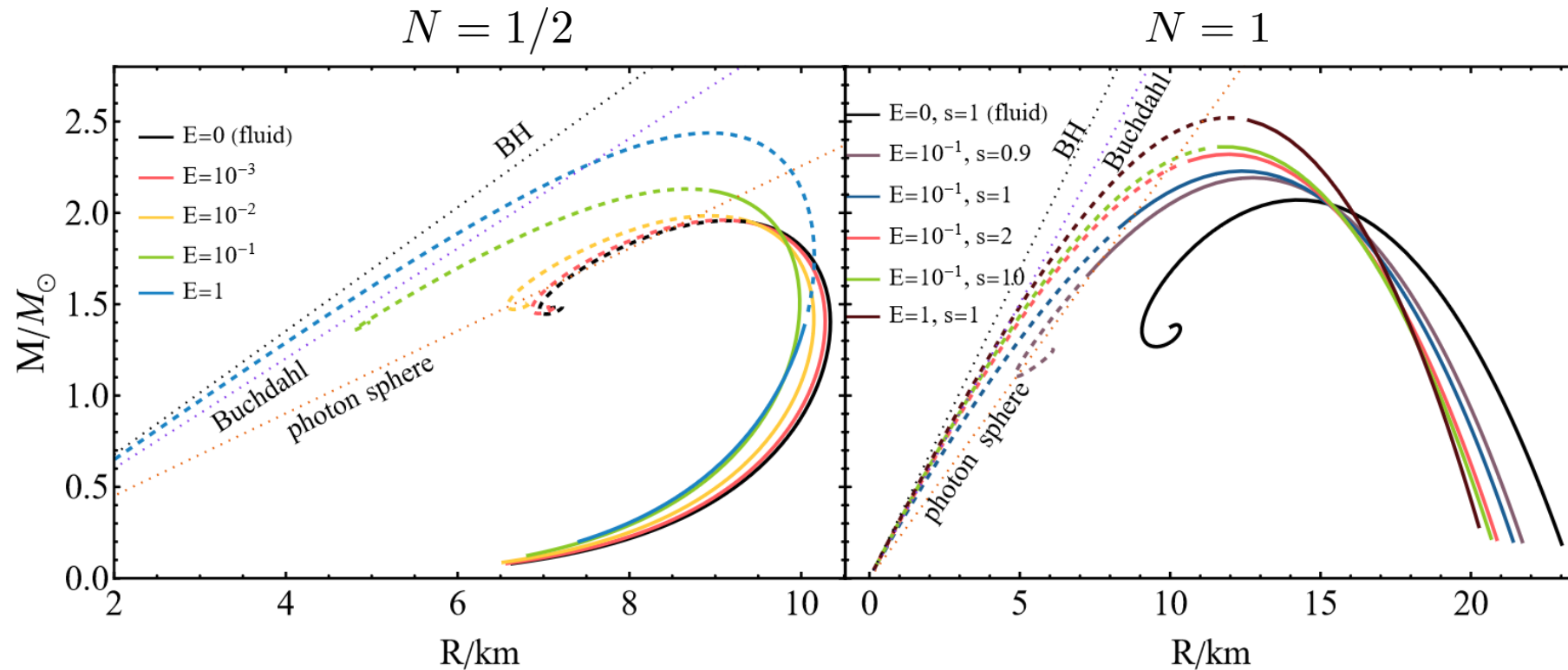
$$\rho = \rho_b + n\mathcal{K}\rho_b^{1+1/n} + \mathcal{E}\mathcal{K}^n(\rho_b - \rho_{\text{ani}})^2$$

Reference-frame dependent quantities do not appear in the final equations

Equilibrium Configurations

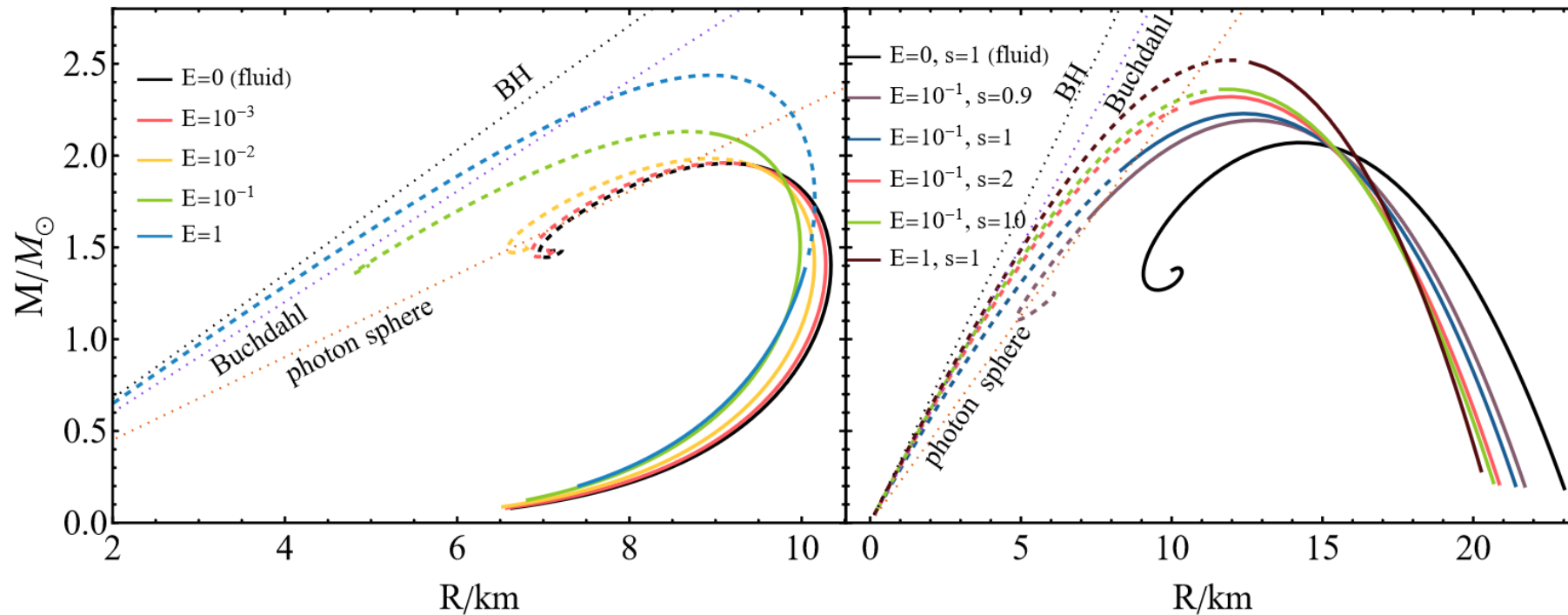
$$\rho = \rho_b + n\mathcal{K}\rho_b^{1+1/n} + \mathcal{E}\mathcal{K}^n(\rho_b - \rho_{\text{ani}})^2 \quad P_r = \rho_b \frac{\partial \rho}{\partial \rho_b} - \rho \quad P_t - P_r = \frac{3}{2}\rho_{\text{ani}} \frac{\partial \rho}{\partial \rho_{\text{ani}}}$$

For each \mathcal{E} there is a one parameter family of solutions depending on central density.



Equilibrium Configuration

Properties of Equilibrium Configurations:



1. Elasticity increases the maximum mass and compactness of star;
2. Ultracompact and beyond-Buchdahl configurations;

Viability Conditions

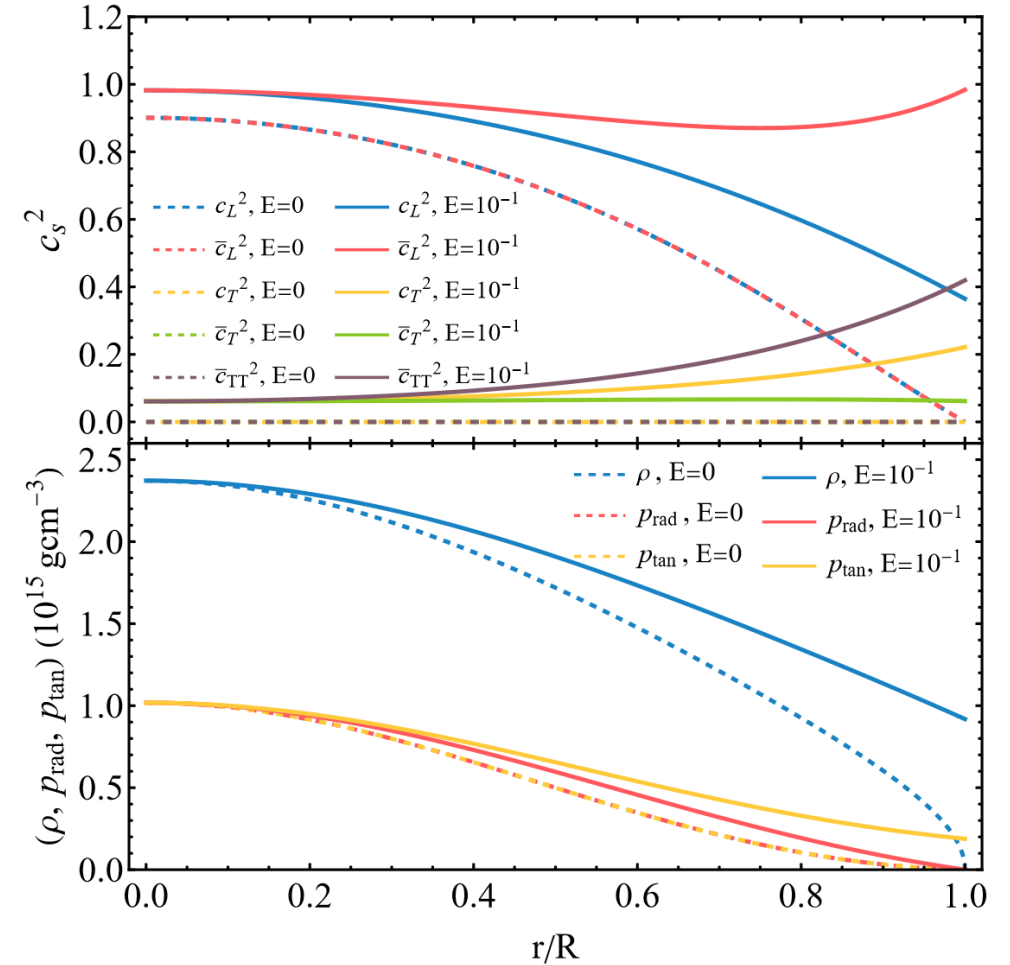
Energy Conditions

WEC	$\left. \begin{aligned} \rho &> 0 \\ \rho + p_{\text{tan}} &> 0 \end{aligned} \right\} \text{NEC}$	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> DEC $\rho > p_{\text{tan}}$ $\rho > p_{\text{rad}}$ </div>
SEC		

Subluminal Sound Speeds

Missed in most of anisotropic studies!

- c_L Longitudinal Waves in the Radial direction
- \bar{c}_L Longitudinal Waves in the Tangential direction
- c_T Transverse Waves in the Radial direction
- \bar{c}_T Transverse Waves in the Tangential direction



Viability Conditions

Stability:

Linear Radial Stability

$$\xi(t, r) = \xi(r)e^{i\omega t}$$

$$\chi(t, r) = \chi(r)e^{i\omega t}$$

Unstable

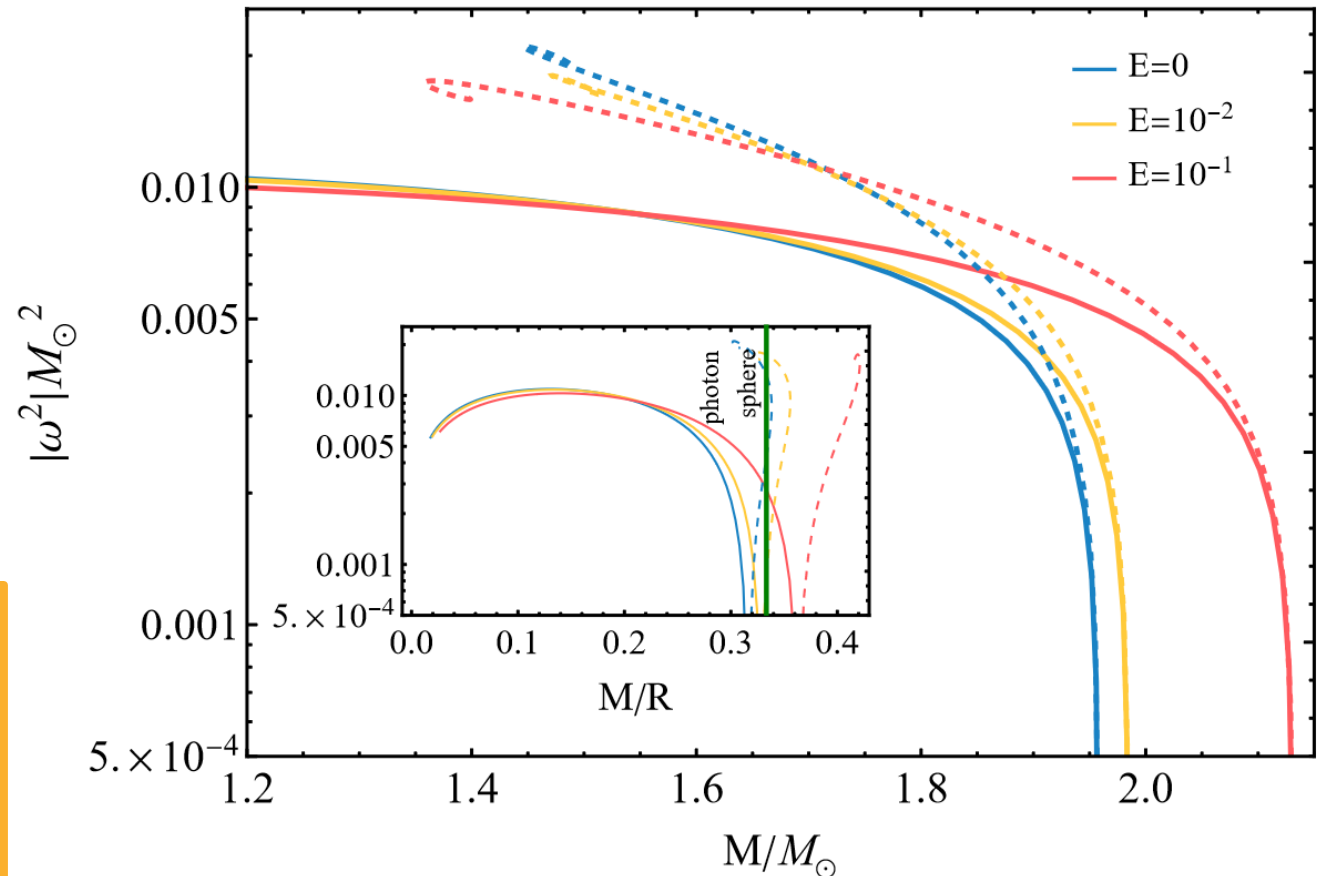
$$\omega^2 < 0$$

Stable

$$\omega^2 > 0$$

Same as perfect-fluids

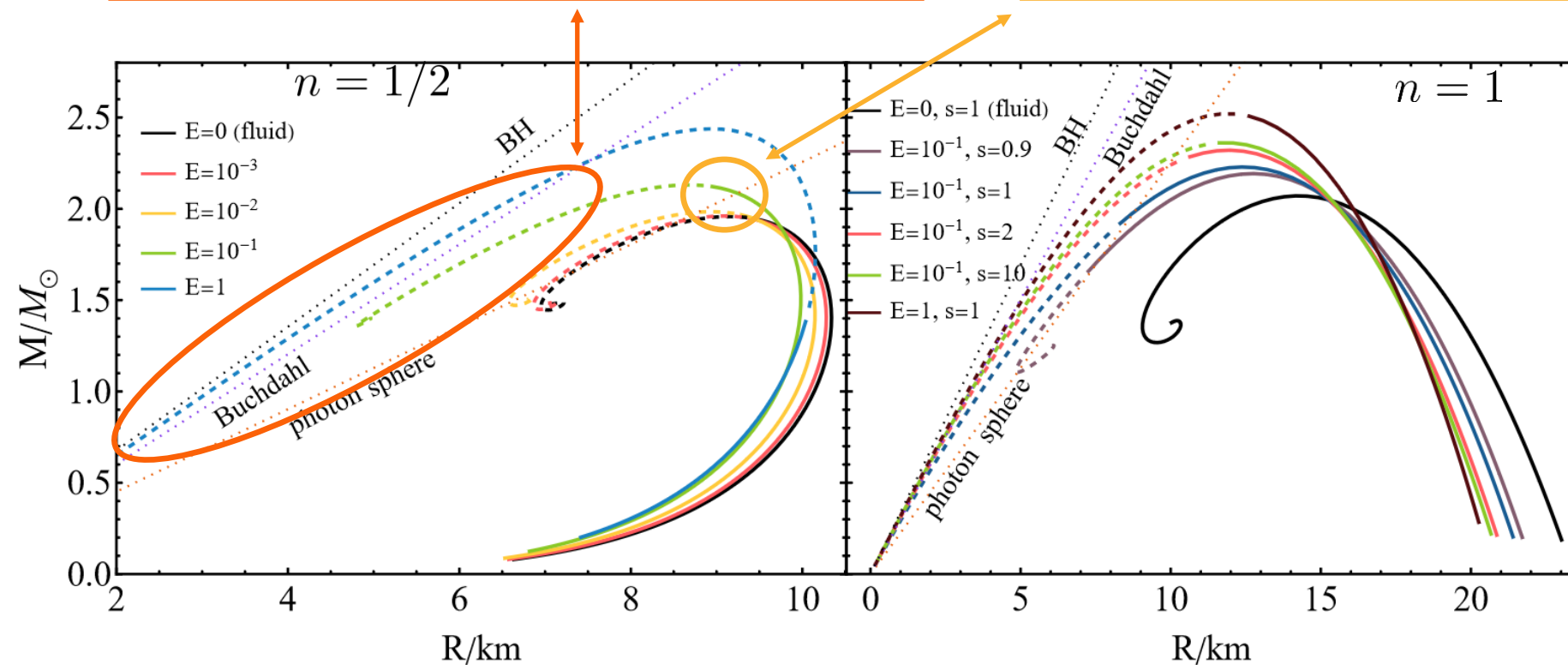
Radial stability threshold is at the maximum mass!



Part I - Final Remarks

Objects beyond Buchdahl limit are **unstable** and **superluminal sound speeds** and **break dominant energy conditions**

Ultracompact stars with LR, while stable and causal wave propagation!
 $R < 3M$



M_{max} can increase up to
 $\approx 22\%$

For fluids:

$$M_{\text{max}} \sim 2M_\odot$$

For elastic:

$$M_{\text{max}} \sim 2.5M_\odot$$

Compatible with GW190814?

$$\mu \approx 7 \times 10^{26} \left(\frac{\rho_0}{10^{11} \text{ g/cm}^3} \right)^2 \sqrt{\frac{K}{10^5 M_\odot^4}} \left(\frac{E}{0.1} \right) \text{ erg/cm}^3$$

Part III

Compactness Bounds in GR

arXiv: 2202.00043

Buchdahl Bound

How compact can a “non-exotic” compact object be?

TOV + Incompressible Fluid EOS

$$m' = 4\pi r^2 \rho$$

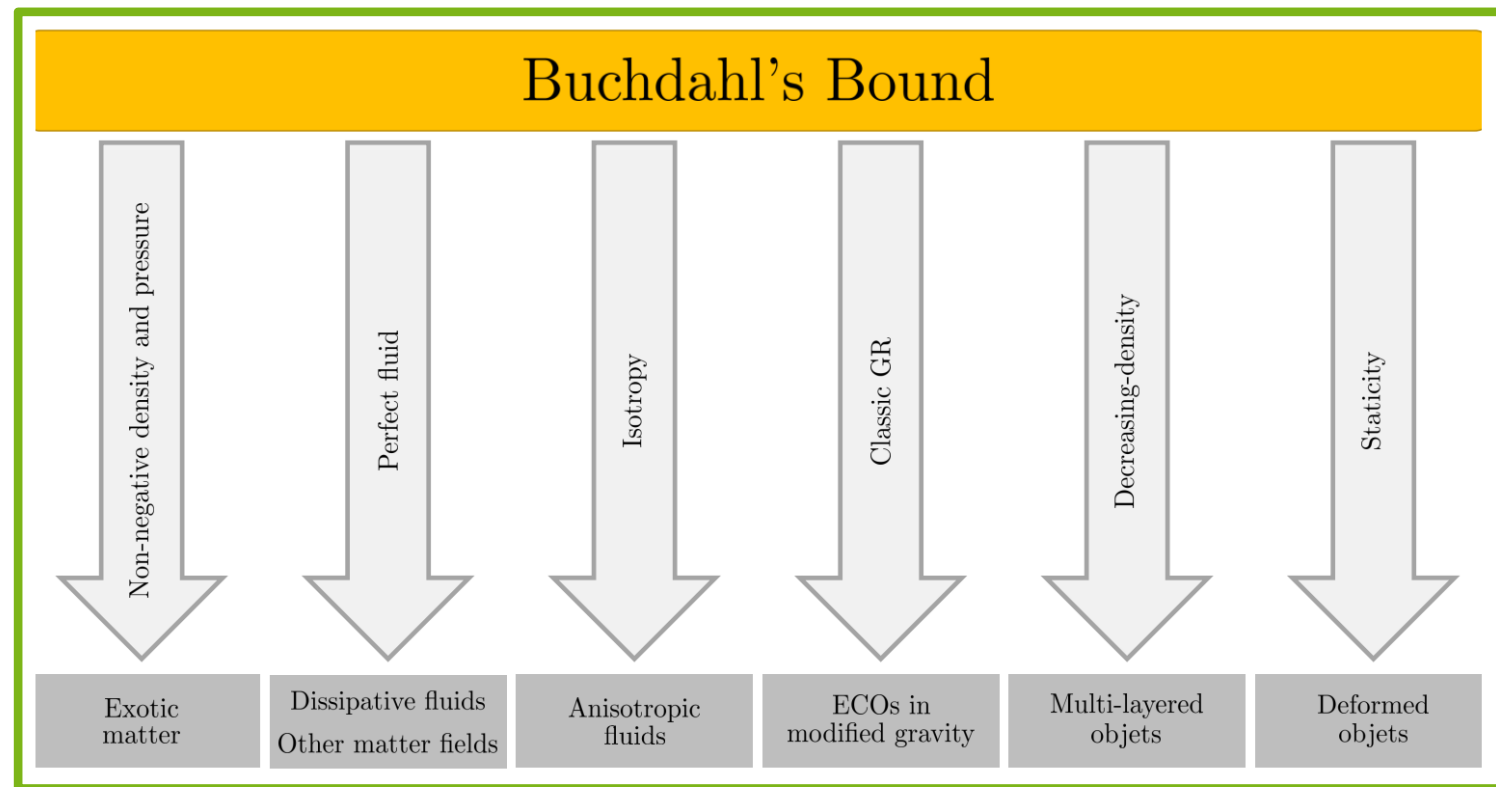
$$\alpha' = \frac{e^{2\beta}}{r} \left(\frac{m}{r} + 4\pi r^2 P_r \right)$$

$$P' = -(P + \rho)\alpha'$$

$$\rho(r) = \rho_c$$

Can be solved analytically:

$$\frac{M}{R} = \frac{2(p_c \rho_c + 2p_c^2)}{(3p_c + \rho_c)^2} < \frac{4}{9}$$



Causal Buchdahl Bound

How compact can a “non-exotic” and casual compact object be?

Causality Condition:

$$0 \leq c_s^2 \equiv dP/d\rho \leq 1$$

Linear Equation of State

$$P = c_s^2(\rho - \rho_0)$$

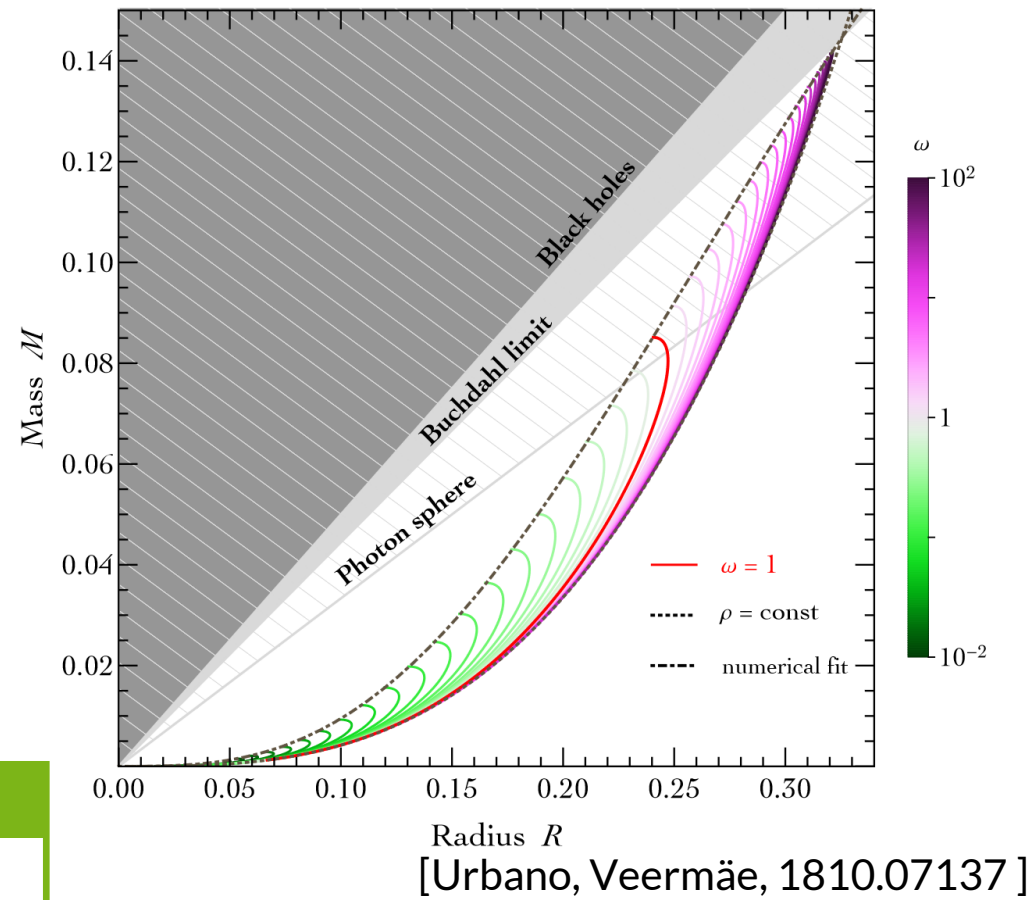
No analytical solution: Solve numerically TOV

Causal Buchdahl Bound

$$\frac{M}{R} \lesssim 0.364$$

Causal + stable Buchdahl Bound

$$\frac{M}{R} \lesssim 0.354$$



Constant Sound Speed EOS

Generalization of Linear Equation of State

$$P = c_s^2(\rho - \rho_0)$$

Important Remarks:

- For elastic materials we cannot set all sound speeds constant.
- At the center: Longitudinal waves must propagate faster than transverse waves;
- Best choice: $c_{Li}^2 = n_i^2 \frac{\partial^2 \rho}{\partial n_i^2} / (\rho + p_i) = \gamma - 1$

Ultrarigid EOS

$$\rho = \rho_0 \left[\left(\frac{1}{\gamma} - \varepsilon - 2\theta \right) (n_1 n_2 n_3)^\gamma + \varepsilon (n_1^\gamma + n_2^\gamma + n_3^\gamma) \right. \\ \left. + \theta [(n_1 n_2)^\gamma + (n_2 n_3)^\gamma + (n_3 n_1)^\gamma] + \frac{\gamma - 1}{\gamma} - 2\varepsilon - \theta \right]$$

Stress-Free Material: Reference frame matters.

Constant Sound Speed EOS

4 EOS parameters

1 Dimensionful

$$\rho_0$$

- Set the scale of the mass and radius;
- Absorbed in the choice of units;
- Does not affect the compactness

3 Dimensionless

$$c_S^2$$

$$\epsilon$$

$$\theta$$

- Characterize the profile of the solutions;
- Fix the sound speed (=1, saturate causality);
- 2 parameters remaining;

Particular cases:

$$(\epsilon = \theta = 0)$$

Christodoulou's hard phase material;

$$(\epsilon = 0, \theta = 1/4)$$

Karlovini-Samuelsson SUREOS

$$(\epsilon = \theta = 1/8)$$

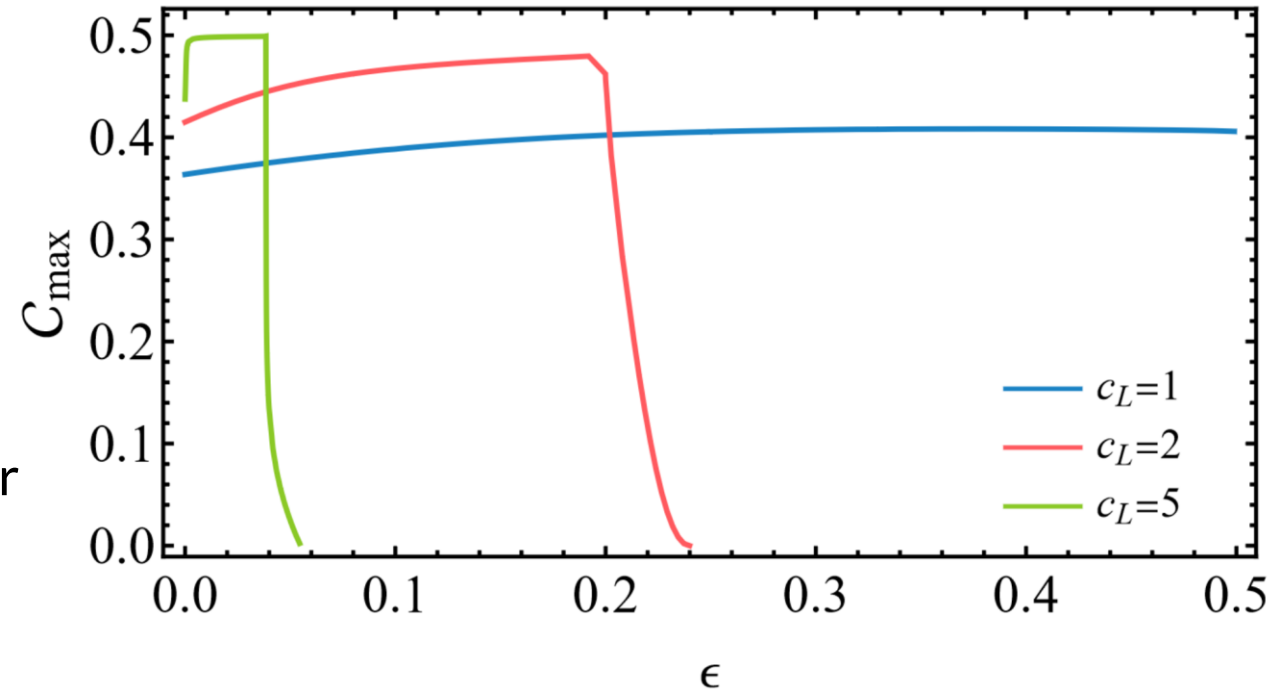
Brotas Rigid Solid

Generalized Buchdahl's Bound

For each set of parameters there is a one parameter family of solutions depending on central density.

- The maximum compactness grows with the sound speed;
- In the **fluid limit** we recover **Buchdahl's bound**.
$$C_{\max}(\mu = 0) \rightarrow 4/9$$
- **Buchdahl bound** abruptly tends to the **BH limit** for elastic materials (even for small parameters).

$$C_{\max}(\mu \neq 0) \rightarrow 1/2$$



However:

Buchdahl's bound, are obtained in **unphysical** configurations!

Bounds on the parameter space

- Positivity of the bulk modulus;

$$\epsilon + \theta < \frac{3}{8}$$

- Real transverse sound speeds in the **reference state**:

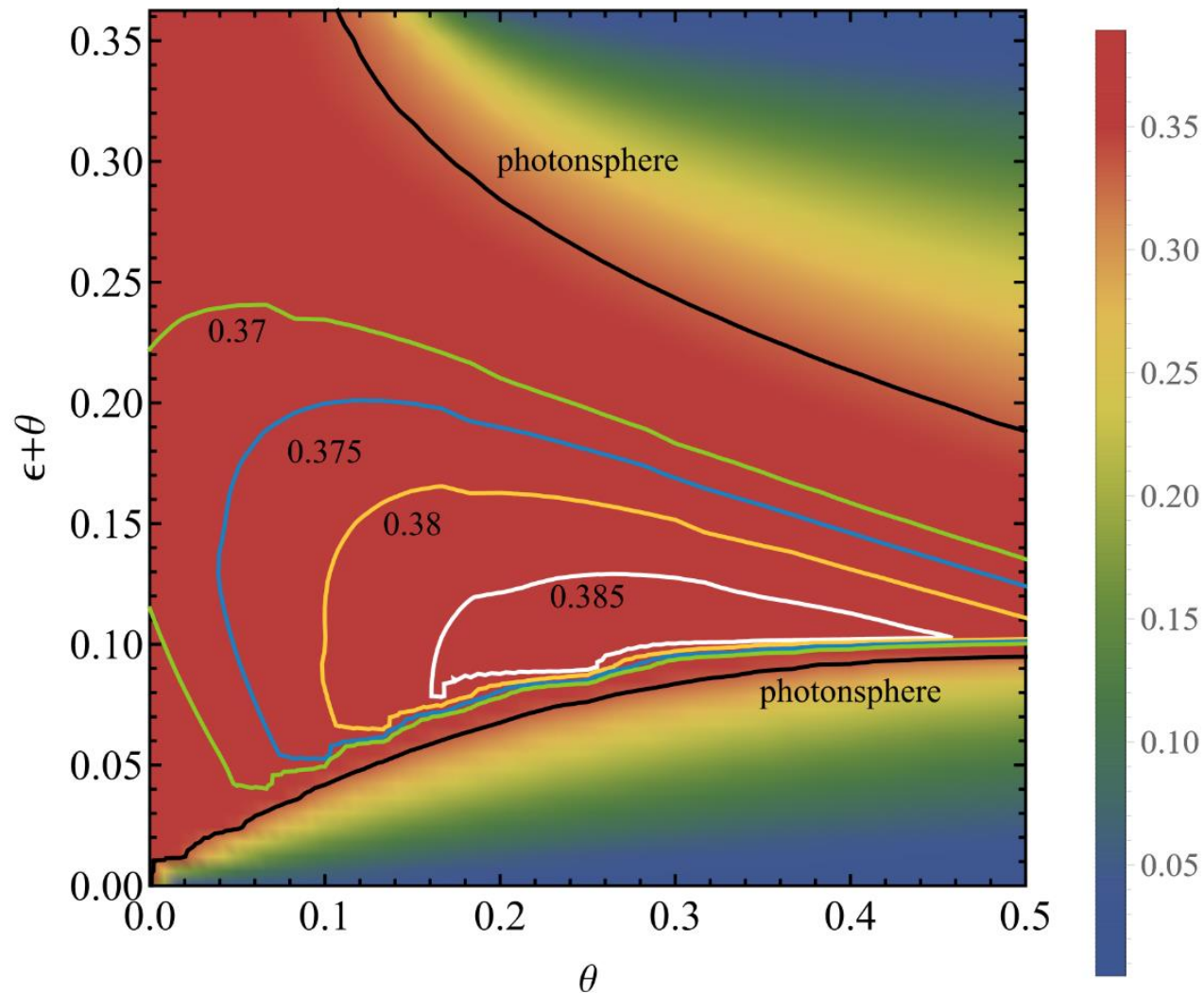
$$\epsilon + \theta > 0$$

- Positivity of bulk modulus, pressure and density for **large densities**

$$\epsilon + 2\theta < \frac{1}{2}$$

- Reality of the transverse speed in the isotropic state for **large densities**

$$\theta > 0$$



Physically Admissible

Energy Conditions

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 \text{SEC}
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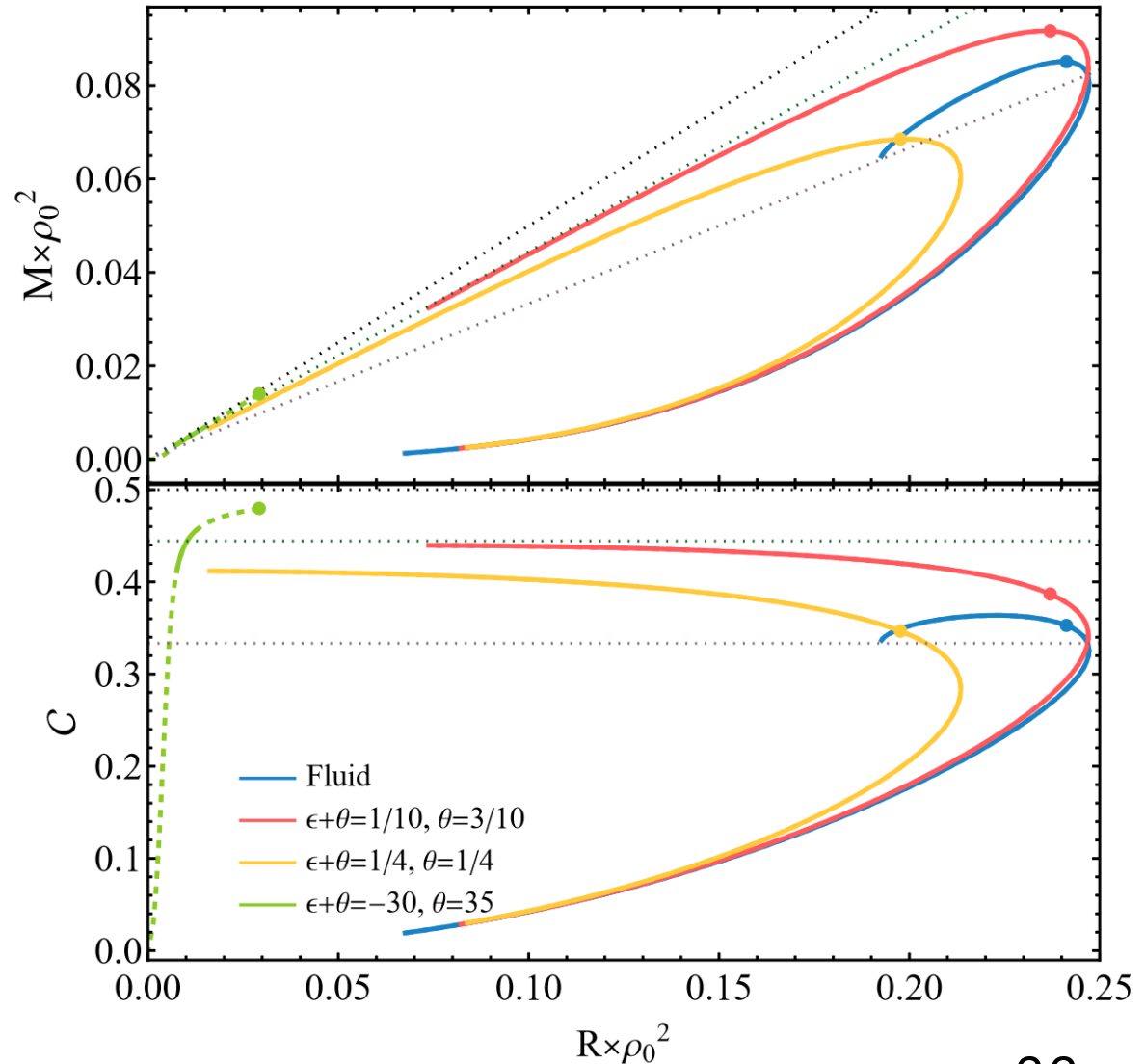
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 \rho > |p_{\text{rad}}|
 \end{array}}$$

Subluminal Sound Speeds

$$c_L, \bar{c}_L, c_T, \bar{c}_T, \bar{c}_{TT} < 1$$

Maximum Compactness of a Physically Admissible Star

$$C_{\text{PA}} \lesssim 0.469$$



Physically Admissible and Stable

Energy Conditions

$$\begin{array}{l} \text{WEC} \\ \text{SEC} \end{array} \left\{ \begin{array}{l} \rho > 0 \\ \rho + p_{\text{tan}} > 0 \\ \rho + p_{\text{rad}} > 0 \\ \rho + p_{\text{rad}} + 2p_{\text{tan}} > 0 \end{array} \right\} \text{NEC}$$

$$\begin{array}{l} \text{DEC} \\ \rho > |p_{\text{tan}}| \\ \rho > |p_{\text{rad}}| \end{array}$$

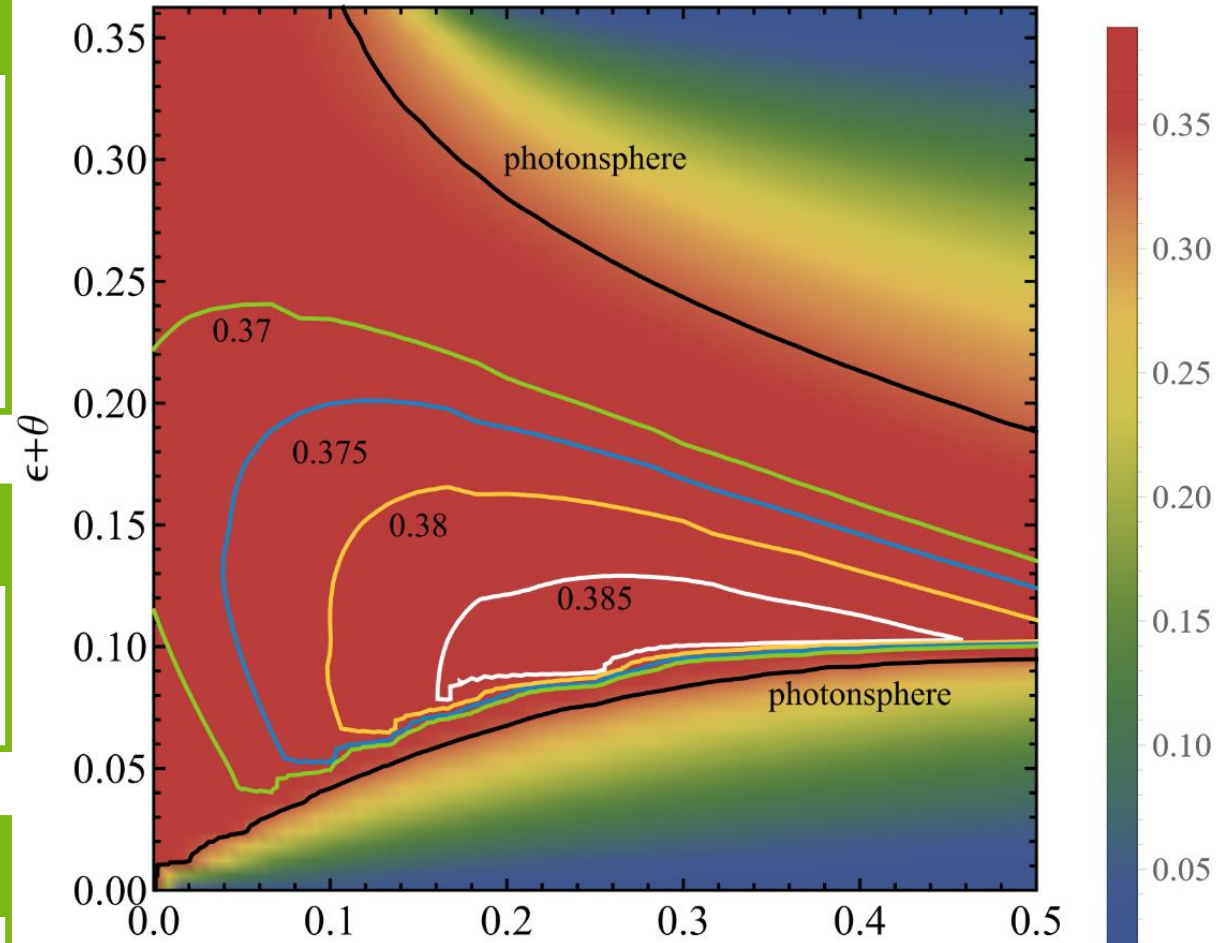
Subluminal Sound Speeds

$$c_L, \bar{c}_L, c_T, \bar{c}_T, \bar{c}_{TT} < 1$$

Radial Stability

$$\rho_c < \rho_c(M_{\text{max}})$$

“On the right of the maximum mass in the mass-radius diagram”



$$C_{\text{PAS}} \lesssim 0.389$$

Physically Admissible and Stable

Energy Conditions

$$\begin{array}{l}
 \text{WEC} \\
 \text{SEC}
 \end{array}
 \left\{ \begin{array}{l}
 \rho > 0 \\
 \rho + p_{\text{tan}} > 0 \\
 \rho + p_{\text{rad}} > 0 \\
 \rho + p_{\text{rad}} + 2p_{\text{tan}} > 0
 \end{array} \right\} \text{NEC}$$

$$\text{DEC} \left\{ \begin{array}{l}
 \rho > |p_{\text{tan}}| \\
 \rho > |p_{\text{rad}}|
 \end{array} \right.$$

Subluminal Sound Speeds

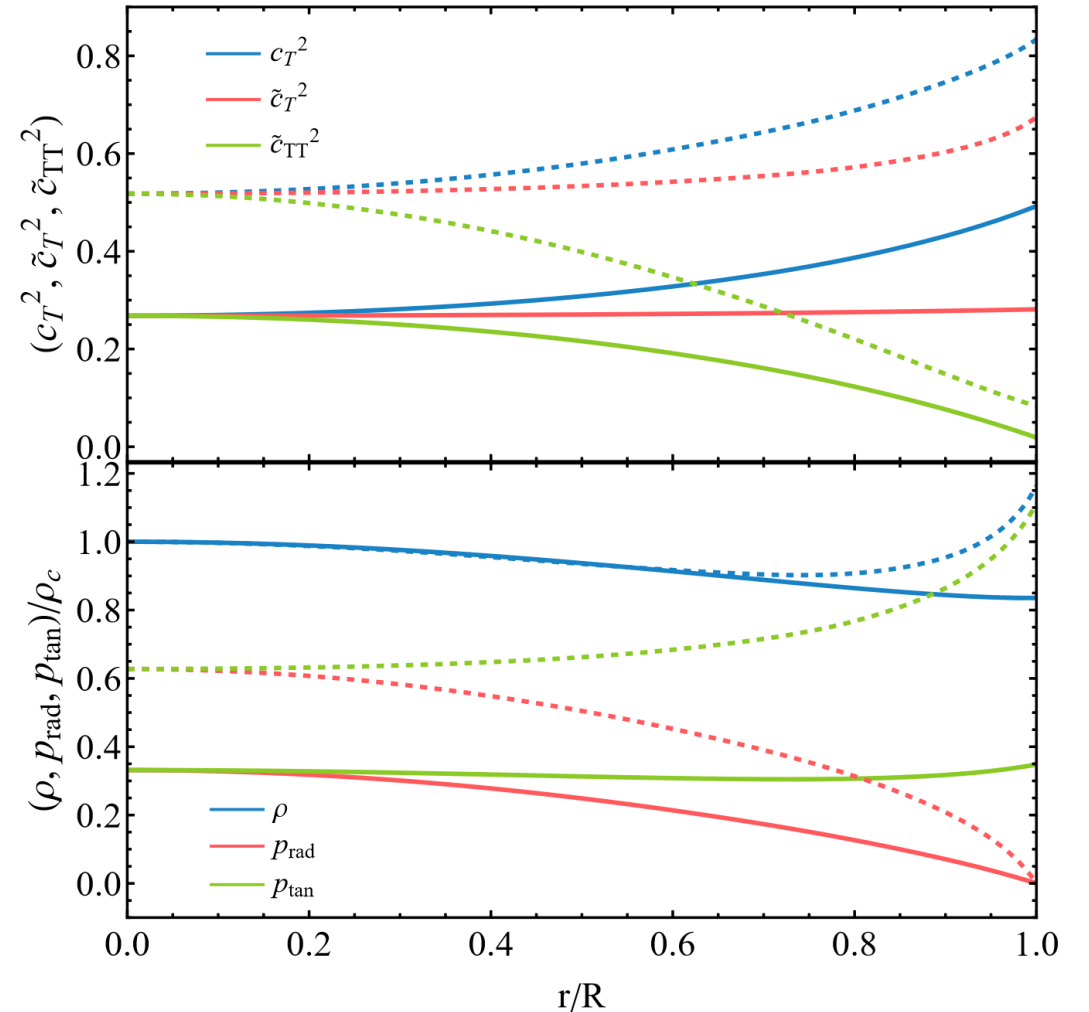
$$c_L, \bar{c}_L, c_T, \bar{c}_T, \bar{c}_{TT} < 1$$

Radial Stability

$$\rho_c < \rho_c(M_{\text{max}})$$

“On the right of the maximum mass in the mass-radius diagram”

Dashed: Saturating PA bound;
Solid: Solution at PAS bound



Summary and Final Remarks

Part I:

- Introduced a simple relativistic formalism to include elastic effects in the description of compact objects;

Part II: Elastic Stars

- Introduce the simplest generalization to polytropic fluids;
- We construct elastic stars: elasticity increases the mass and compactness of the stars.
- Elasticity can be used to construct ECOs and also more accurately model NS.

Part III: Generalized Compactness Bounds

- Introduce the most general equation of state for a rigid body;
- Derive a novel set of compactness bounds that extend Buchdahl's results.

	$\mathcal{C}_{\text{Buchdahl}}$	\mathcal{C}_{PA}	\mathcal{C}_{PAS}
Fluid	4/9	0.365	0.354
Elastic	1/2	0.469	0.389

Multilayer Neutron Stars

- Setup of stars with different elastic layers and combination of fluid and elastic layers.
- Effects of the solid crust/solid core in fluid neutron stars.

Beyond Spherical symmetry

- Extend the formalism in Part I to spacetimes with less symmetry;
- Rotating Stars, Deformed Stars, Tidal deformations

1+1 NR evolution

Thank you