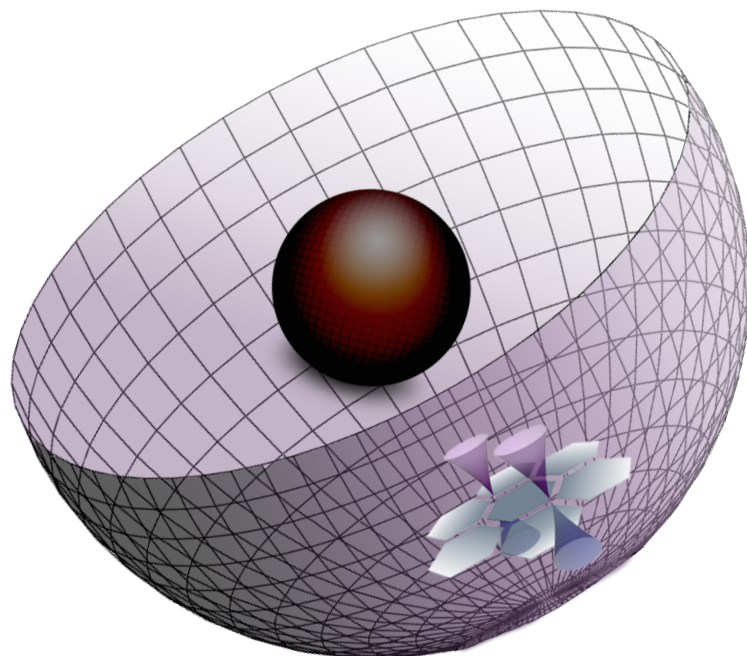


# Holographic mean field theory and Kondo Lattice

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2024.09@Athen



[Mean field theory and holographic Kondo lattice,  
2407.01978](#)

[Mean field theory for strongly coupled systems:  
Holographic approach](#)

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# I. Introduction

## II. Holographic mean field theory

### III. Kondo Physics

## IV. Topology with Holography



# Frame of Physical thinking : reductionism

Δημόκριτος | Democritus



$$\text{Matter} = \sum \text{atom}$$

A frame of physical thinking:

$$\text{Complex} = \sum \text{simple}$$

**Simplicity** is the key to the physics.

# Ways to the simplicity

- Physics=Seeking the simplicity. More than 5 parameter? Not much predictability.
- In condensed matter physics, there are  $10^{25}$  dof.  
How CM can be a physics?  
Ans= Periodic structure + 1 electron theory based on the weakness of int.)
- Even in particle physics SM, we need something for the simplicity
  - i) Group structure (symmetry)
  - ii) Hierarchy + Family structure. (repetition)
  - iii) Weakness of coupling. (Independence of different sectors)
- In both PP & CM, the origin of the simplicity is the periodicity (repetition) & independence (weakness of int.)

# What happen if interaction is not weak? I

- 1. Particle nature is lost.
- 2. system is strongly entangled.

$$H_{tot} = H(x_1) + H(x_2)$$

$$\Rightarrow \psi_{tot} = \psi_i(x_1)\psi_j(x_2) \Rightarrow \text{No entanglement}$$

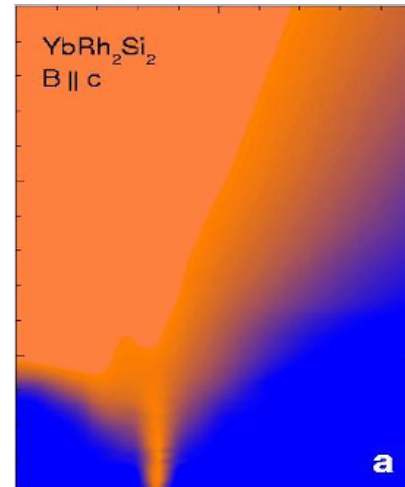
$$H_{tot} = H(x_1) + H(x_2) + H_{int}(x_1, x_2)$$

$$\Rightarrow \psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1)\psi_j(x_2) \Rightarrow \text{entanglement} \leftrightarrow \text{more even } c_{ij}$$

# What if interaction is not weak? II

- Weak coupling: in  $\psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1) \psi_j(x_2)$ , one term dominance.  
 $\Rightarrow \psi_{tot} = \psi_i(x_1) \psi_j(x_2)$  *separability*
- For strong coupling,  
all the  $c_{ij}$  in  $\psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1) \psi_j(x_2)$  are evenly distributed  
 $\Rightarrow$  No. of the important terms increases.  
 $\Rightarrow$  Entire system becomes one object.
- Inseparability is the characteristic of the strongly int. Sys.
- Simplicity restored!  
What one object? The black hole.

view the whole as one body: QCP = BH



- Origin of simplification/universality in SIY = Information Loss = Democracy of scales = Emergence of physical law!
- Thermodynamic character: indeed, both have 0,1,2,3 law.
- Classification of QCP vs HSV:  $(z, \theta)$   $\omega=kz$ ,  $[s]=D-\theta$  & sym.
- Equivalence is supported by exactly solvable models: AdS/SYM

# Faq in AdS/CMT

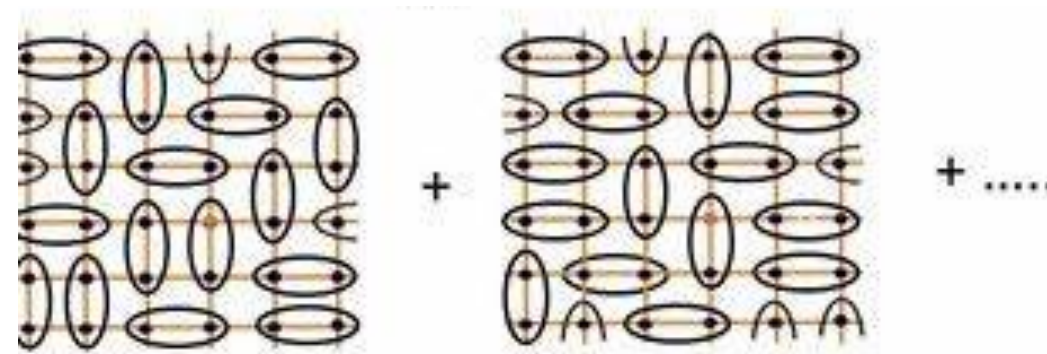
- Postulate: gravity dual exists and Dictionary works.

GKP-Witten Relation

$$Z_{\text{gauge}} = Z_{\text{AdS}}$$

$$\left\langle \exp \left( i \int \phi^{(0)} O \right) \right\rangle = e^{i \underline{S}[\phi|_{u=0}=\phi^{(0)}]}.$$

- Where is N of SU(N)?  
Large number of degen.



- Respect the bulk locality NOT the body locality.
- How to characterize a material?

## II. Holographic mean field theory

- Material = lattice\_structure + chem\_composition
- To characterize a CM, need to introduce a lattice.  
Otherwise, we would not know what material we are dealing.
- 3 ways
  1. Explicit introduction. Brute force => PDE
  2. Explicit introduction. Tight Binding => ODE
  3. **Implicit introduction by symmetry breaking**
    - IR Probe scale = 1 meV =  $10^{-6}$  KeV (scale of lattice).
    - => impossible to see the details of the lattice.
    - Proposal:** Effect of the lattice = effect of the Symmetry breaking!

# Symmetry breaking and lattice

Proposal: in low E limit,

Role of lattice = R or Tr symmetry breaking

How to establish this?

Calculate the effect of the order on the Fermion spectrum

Mean field theory = Theory of symmetry breaking.

Conversely lattice can be identified as the spectrum generating symmetry breaking.

That is, material = spectrum ( $\sim$  band structure)



# Universal structure of MFT : Condensation and Order

$$\Delta \sim c_k c_{-k}, \text{ BCS}$$

$$\Delta \sim f_k^\dagger c_{-k}, \text{ Kondo Condensation}$$

$$M \sim c_k^\dagger \Gamma c_k, \text{ Charge density or magnetic ordering}$$

# Holographic MFT= Effect of order in fermion spectrum

Order :  $\langle \bar{c}\Gamma^A c \rangle \neq 0$ ,

Holographic dictionary:

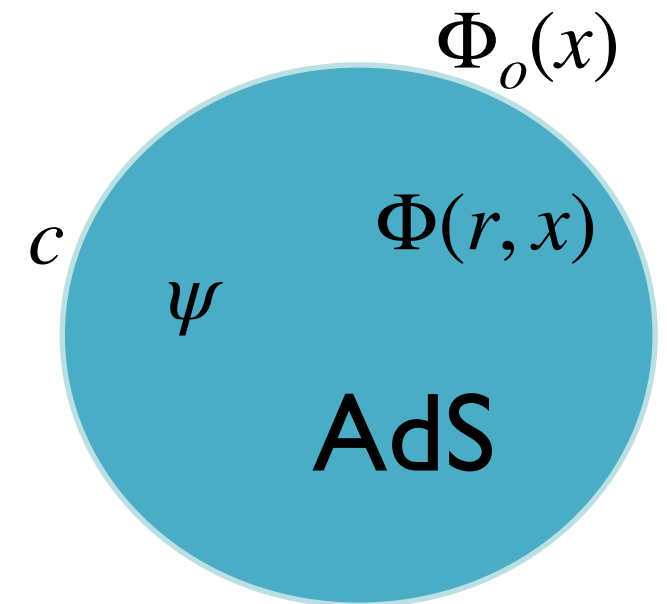
Consider  $\psi$  dual to  $c$ , and

add  $\Phi_A \cdot \bar{\psi}\Gamma^A\psi$  to  $\mathcal{L}_0 = \bar{\psi}(\gamma^\mu i\partial_\mu - m)\psi$ .

Find the configuration of  $\Phi$  first, in the fixed BH gravity.

—> Study  $\psi(z, x)$  in the fixed  $(g_{\mu\nu}, \Phi)$

to get spectrum of  $\chi$ .



# Structure of holographic MFT



T. Yuk

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$$S_{total} = S_{\psi} + S_{bdy} + S_{g, \Phi} + S_{int},$$

$$S_{\psi} = i \int d^d x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} (\not{D} - m^{(j)}) \psi^{(j)},$$

$$S_{bdy} = \frac{i}{2} \int_{bdy} d^{d-1} x \sqrt{-h} \left( \bar{\psi}^{(1)} \psi^{(1)} \pm \bar{\psi}^{(2)} \psi^{(2)} \right),$$

$$S_{g, \Phi} = \int d^d x \sqrt{-g} \left( R - 2\Lambda + |D_M \Phi_I|^2 - m_{\Phi}^2 |\Phi|^2 \right),$$

$$S_{int} = \int d^d x \sqrt{-g} \left( \bar{\psi}^{(1)} \Phi \cdot \Gamma \psi^{(2)} + h.c \right)$$



S. Sukrakarn

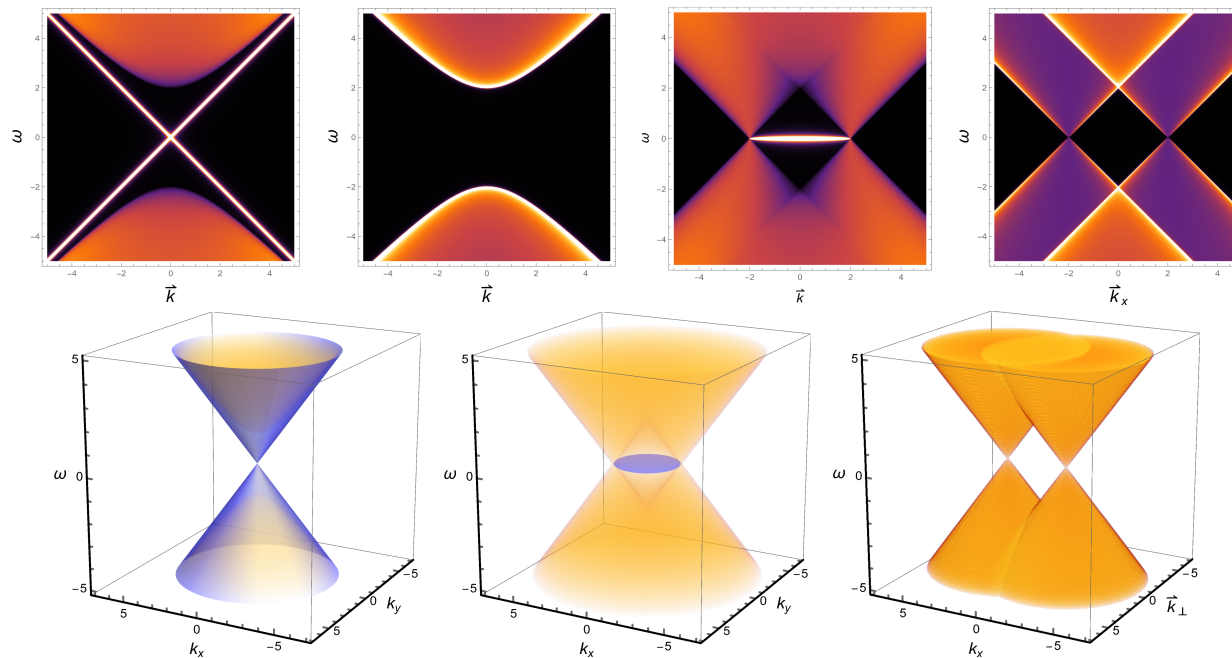
where  $\Phi_I$  is order parameter field,  $\bar{\psi}^{(1)} \Phi \cdot \Gamma \psi^{(2)}$  is constructed by considering all possible Lorentz symmetry.

$$\Phi \cdot \Gamma = \Gamma^{\underline{\mu_1 \mu_2 \dots \mu_I}} \Phi_{\underline{\mu_1 \mu_2 \dots \mu_I}}.$$

# Classifying the MFT by the symmetry of the order

8 (half) of them have both **simple pole** and **branch-cut** types.

- $\Phi, B_i, B_{jk}, B_{tu}$  ( $AdS_5$ )
- $\Phi, \Phi_5, B_i, B_{5i}, B_{jk}, B_{tu}$  ( $AdS_4$ )



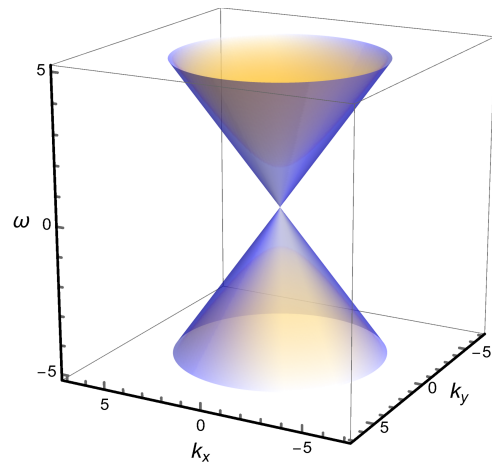
- 2-dimensional slice of the spectral density
- 3-dimensional spectral density

Figure: Simple pole and Branch-Cut types spectra

Appearing features: **Gaps of s-,p-wave sym.**  
**Flat bands of dim 1,2,3.**  
**Nodal rings of dim 1,2**

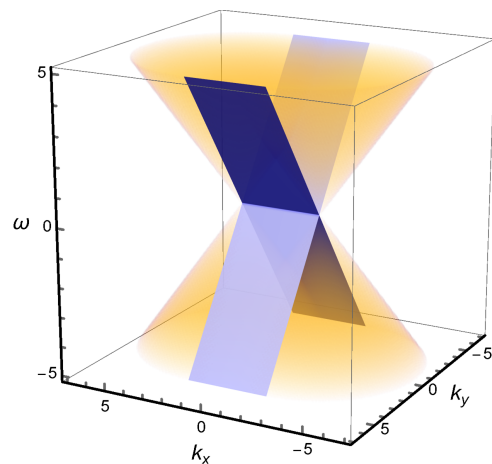
# Analytic Green functions and their spectral functions (pole types I/4)

$\Phi_{(SS)}, \Phi_{5(SA)}$



$$\text{Tr}G_R(k_\mu) = \frac{4\omega\sqrt{\vec{k}^2 - \omega^2 + M^2}}{\vec{k}^2 - \omega^2}$$

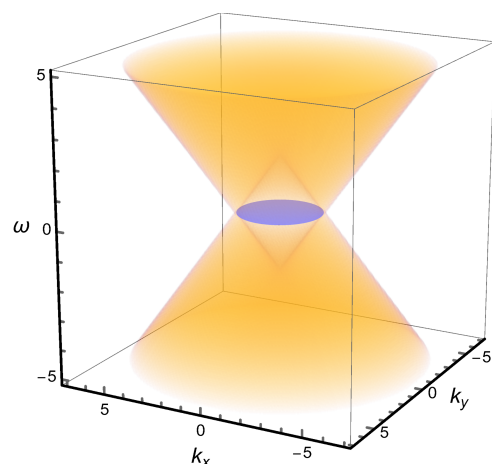
$B_{x(SA)}, B_{x5(SS)}$



$$\text{Tr}G_R(k_\mu) = \frac{2\omega}{b(k_y^2 - \omega^2)} [(b + k_x)\epsilon_- + (b - k_x)\epsilon_+]$$

$$; \quad \epsilon_\pm = \sqrt{(b \pm k_x)^2 + k_y^2 - \omega^2}$$

$B_{xy(SS)}, B_{tu(SA)}$



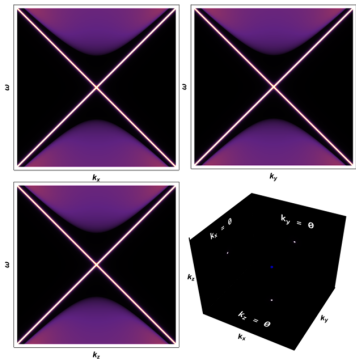
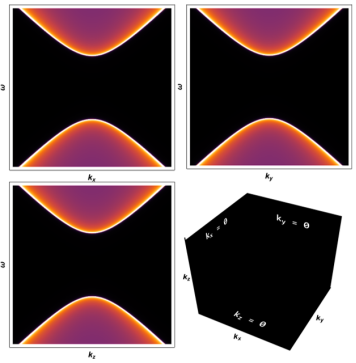
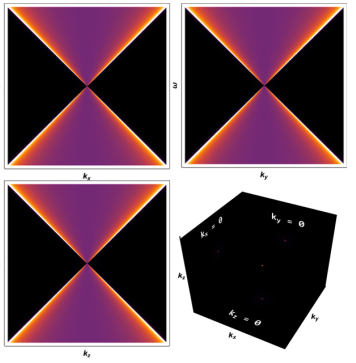
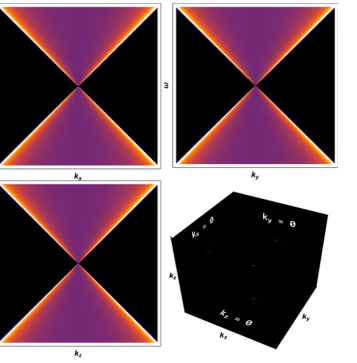
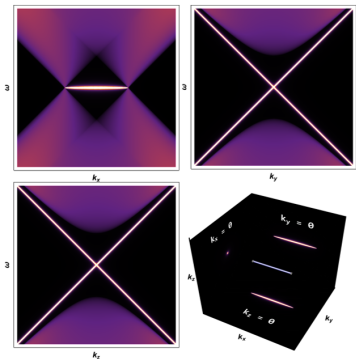
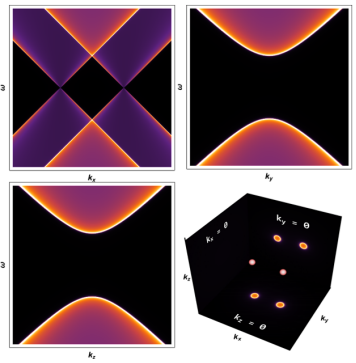
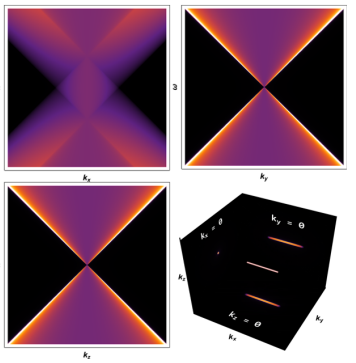
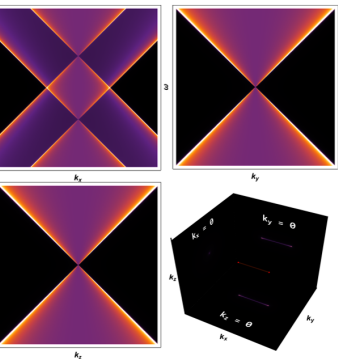
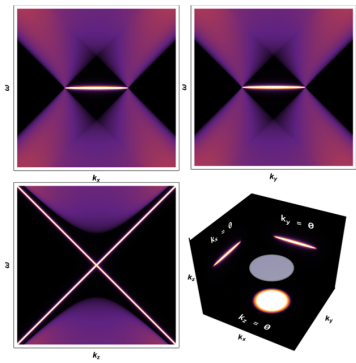
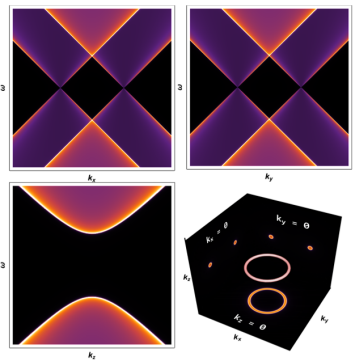
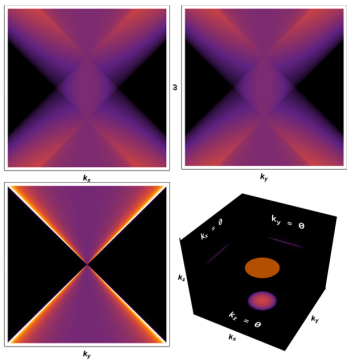
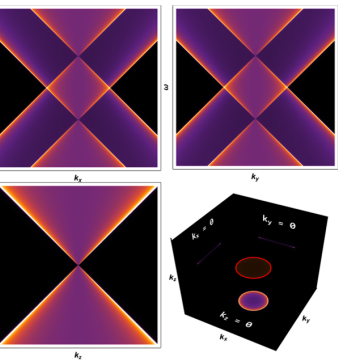
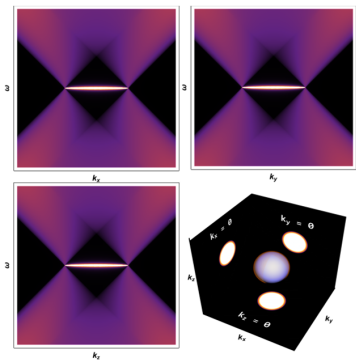
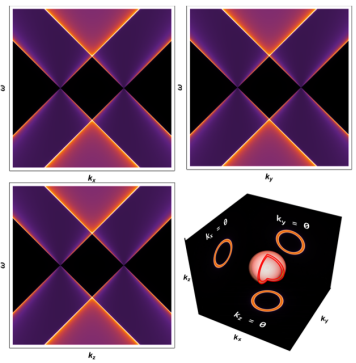
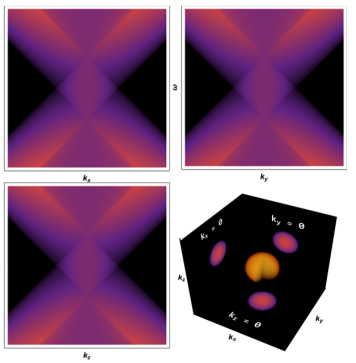
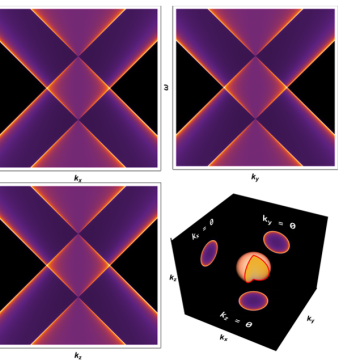
$$\text{Tr}G_R(k_\mu) = -\frac{2}{b\omega} [(b + |\vec{k}|)\epsilon_- + (b - |\vec{k}|)\epsilon_+]$$

$$; \quad \epsilon_\pm = \sqrt{(b \pm |\vec{k}|)^2 - \omega^2}$$

Interactions	Trace of analytic Green's functions (AdS <sub>5</sub> )	Features/Classifications	Singularity types
$M_0$	$\text{Tr } \mathbb{G}_{M_0}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}} \quad (4.3)$	Gapful/s-wave gap	Branch-cut
	$\text{Tr } \mathbb{G}_{M_0}^{(SS)} = 4\omega \frac{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}{\mathbf{k}^2 - \omega^2 - i\epsilon} \quad (4.2)$	Topological liquid	Pole
$B_x$	$\text{Tr } \mathbb{G}_{B_x^{(0)}}^{(SS)} = \frac{2\omega}{\sqrt{(b-k_x)^2 + \mathbf{k}_\perp^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b+k_x)^2 + \mathbf{k}_\perp^2 - \omega^2}} \quad (4.10)$	Shifting cones/p-wave gap	Branch-cut
	$\text{Tr } \mathbb{G}_{B_x^{(0)}}^{(SA)} = \frac{2\omega}{b} \left[ \frac{(b+k_x)\sqrt{(b-k_x)^2 + \mathbf{k}_\perp^2 - \omega^2} + (b-k_x)\sqrt{(b+k_x)^2 + \mathbf{k}_\perp^2 - \omega^2}}{\mathbf{k}_\perp^2 - \omega^2 - i\epsilon} \right] \quad (4.11)$	1D flat band	Pole
$B_{xy}$	$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SA)} = \frac{2\omega}{\sqrt{(b- \mathbf{k}_\perp )^2 + k_z^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b+ \mathbf{k}_\perp )^2 + k_z^2 - \omega^2}} \quad (4.15)$	Nodal ring	Branch-cut
	$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SS)} = \frac{2\omega}{b} \left[ \frac{(b+ \mathbf{k}_\perp )\sqrt{(b- \mathbf{k}_\perp )^2 + k_z^2 - \omega^2} + (b- \mathbf{k}_\perp )\sqrt{(b+ \mathbf{k}_\perp )^2 + k_z^2 - \omega^2}}{k_z^2 - \omega^2 - i\epsilon} \right] \quad (4.14)$	2D flat band	Pole
$B_{tu}$	$\text{Tr } \mathbb{G}_{B_{tu}^{(-1)}}^{(SS)} = \frac{2\omega}{\sqrt{(b- \mathbf{k} )^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b+ \mathbf{k} )^2 - \omega^2}} \quad (4.8)$	Nodal shell	Branch-cut
	$\text{Tr } \mathbb{G}_{B_{tu}^{(-1)}}^{(SA)} = -\frac{2}{b} \left[ \frac{(b+ \mathbf{k} )\sqrt{(b- \mathbf{k} )^2 - \omega^2} + (b- \mathbf{k} )\sqrt{(b+ \mathbf{k} )^2 - \omega^2}}{\omega + i\epsilon} \right] \quad (4.9)$	3D flat band	Pole
$B_u$	$\text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2}} \quad (4.5)$	QCP	Branch-cut
$B_{ux}$	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SS)} = 4\omega \frac{b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-}{f_+ f_- (f_+ + f_-)} ; f_\pm = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - \mathbf{k}_\perp^2})^2} \quad (4.12)$	Filled nodal segment	Branch-cut
	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SA)} = 4\omega \frac{(f_+ + f_-)\sqrt{\omega^2 - \mathbf{k}_\perp^2} - b(f_+ - f_-)}{\sqrt{\omega^2 - \mathbf{k}_\perp^2} (b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-)} ; f_\pm = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - \mathbf{k}_\perp^2})^2} \quad (4.13)$	Non-singular segment	Branch-cut & nonsingular
$B_{tz}$	$\text{Tr } \mathbb{G}_{B_{tz}^{(-1)}}^{(SA)} = 4\omega \frac{b^2 + \mathbf{k}^2 - \omega^2 + h_+ h_-}{h_+ h_- (h_+ + h_-)} ; h_\pm = \sqrt{k_\perp^2 - (b \pm \sqrt{\omega^2 - k_z^2})^2} \quad (4.16)$	Filled nodal ring	Branch-cut
	$\text{Tr } \mathbb{G}_{B_{tz}^{(-1)}}^{(SS)} = 4\omega \frac{(h_+ + h_-)\sqrt{\omega^2 - \mathbf{k}_\perp^2} - b(h_+ - h_-)}{\sqrt{\omega^2 - \mathbf{k}_\perp^2} (b^2 + \mathbf{k}^2 - \omega^2 + h_+ h_-)} ; h_\pm = \sqrt{k_\perp^2 - (b \pm \sqrt{\omega^2 - k_z^2})^2} \quad (4.17)$	Non-singular disk	Branch-cut & nonsingular
$B_t$	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SS)} = 2 \left( \frac{b + \omega}{\sqrt{\mathbf{k}^2 - (b + \omega)^2}} - \frac{b - \omega}{\sqrt{\mathbf{k}^2 - (b - \omega)^2}} \right) \quad (4.6)$	Filled nodal shell	Branch-cut
	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SA)} = \frac{2}{b} \left[ \sqrt{\mathbf{k}^2 - (b - \omega)^2} - \sqrt{\mathbf{k}^2 - (b + \omega)^2} \right] \quad (4.7)$	Non-singular bowl	Branch-cut & nonsingular

Interactions	Trace of analytic Green's functions (AdS <sub>4</sub> )	Features/Classification
$M_0/M_{05}$	$\text{Tr } \mathbb{G}_{M_0}^{(SA)} \equiv \text{Tr } \mathbb{G}_{M_{50}}^{(SS)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}$	Gapful/s-wave gap
	$\text{Tr } \mathbb{G}_{M_0}^{(SS)} \equiv \text{Tr } \mathbb{G}_{M_{50}}^{(SA)} = 4\omega \frac{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}{\mathbf{k}^2 - \omega^2 - i\epsilon}$	Topological liquid
$B_x/B_{5x}$	$\text{Tr } G_{B_x^{(0)}}^{(SS)} \equiv \text{Tr } G_{B_{5x}^{(0)}}^{(SA)} = \frac{2\omega}{\sqrt{(b - k_x)^2 + k_y^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b + k_x)^2 + k_y^2 - \omega^2}}$	Shifting cones/p-wave gap
	$\text{Tr } \mathbb{G}_{B_x^{(0)}}^{(SA)} \equiv \text{Tr } G_{B_{5x}^{(0)}}^{(SS)} = \frac{2\omega}{b} \left[ \frac{(b + k_x) \sqrt{(b - k_x)^2 + k_y^2 - \omega^2} + (b - k_x) \sqrt{(b + k_x)^2 + k_y^2 - \omega^2}}{k_y^2 - \omega^2 - i\epsilon} \right]$	1D flat band
$B_{xy}/B_{tu}$ (anti-symmetric)	$\text{Tr } G_{B_{xy}^{(-1)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{tu}^{(-1)}}^{(SS)} = \frac{2\omega}{\sqrt{(b - \mathbf{k})^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b + \mathbf{k})^2 - \omega^2}}$	Nodal ring
	$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{tu}^{(-1)}}^{(SA)} = -\frac{2}{b} \left[ \frac{(b +  \mathbf{k} ) \sqrt{(b - \mathbf{k})^2 - \omega^2} + (b -  \mathbf{k} ) \sqrt{(b + \mathbf{k})^2 - \omega^2}}{\omega + i\epsilon} \right]$	2D flat band
$B_u$	$\text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2}}$	QCP
$B_{ux}/B_{5u}$	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{5u}^{(-1)}}^{(SA)} = 4\omega \frac{b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-}{f_+ f_- (f_+ + f_-)} ; f_{\pm} = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - k_y^2})^2}$	Filled nodal line
	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{5u}^{(-1)}}^{(SS)} = 4\omega \frac{(f_+ + f_-) \sqrt{\omega^2 - k_y^2} - b(f_+ - f_-)}{\sqrt{\omega^2 - k_y^2} (b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-)} ; f_{\pm} = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - k_y^2})^2}$	Non-singular segment
$B_t/B_{5t}$	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{5t}^{(0)}}^{(SA)} = 2 \left( \frac{b + \omega}{\sqrt{\mathbf{k}^2 - (b + \omega)^2}} - \frac{b - \omega}{\sqrt{\mathbf{k}^2 - (b - \omega)^2}} \right)$	<b>1</b> Filled nodal ring
	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{5t}^{(0)}}^{(SS)} = \frac{2}{b} \left[ \sqrt{\mathbf{k}^2 - (b - \omega)^2} - \sqrt{\mathbf{k}^2 - (b + \omega)^2} \right]$	Non-singular disk



Order $p$ . & Dims	Flat bands	Gaps	Order $p$ . & Dims	Nonsingular/Gapless	$\omega$ -shiftings/Gapless
$\emptyset$ $d_{\text{eff}}=0$	SS, (figure 2) 	SA, (figure 2) 	$B_u$ $d_{\text{eff}}=0$	SS,SA 	SS,SA 
$B_x$ $d_{\text{eff}}=1$	SA, (figure 5) 	SS, (figure 5) 	$B_{ux}$ $d_{\text{eff}}=1$	SA, (figure 6) 	SS, (figure 6) 
$B_{xy}$ $d_{\text{eff}}=2$	SS, (figure 7) 	SA, (figure 7) 	$B_{tz}$ $d_{\text{eff}}=2$	SS, (figure 8) 	SA, (figure 8) 
$B_{tu}$ $d_{\text{eff}}=3$	SA, (figure 4) 	SS, (figure 4) 	$B_t$ $d_{\text{eff}}=3$	SA, (figure 3) 	SS, (figure 3) 



# Summary of II

Features in spectrum from Sym. Breaking

Gaps of s-,p-wave sym.

Flat bands of dim 1,2,3.

Nodal rings of dim 1,2

Lattice  $\Leftrightarrow$  symmetry breaking

All many body theory assume:  $G \sim \frac{Z}{\omega - \epsilon - \Sigma}$ .

Some of Green fct has poles, indeed.

but some of them are not. Branch cut singularity!

$\Rightarrow$  New class of Non-Fermi liquid

## III. Kondo Physics

1. Single Kondo
2. Multi-Kondo : Random impurities
3. Muti-Kondo : Kondo lattice

# What is Kondo physics

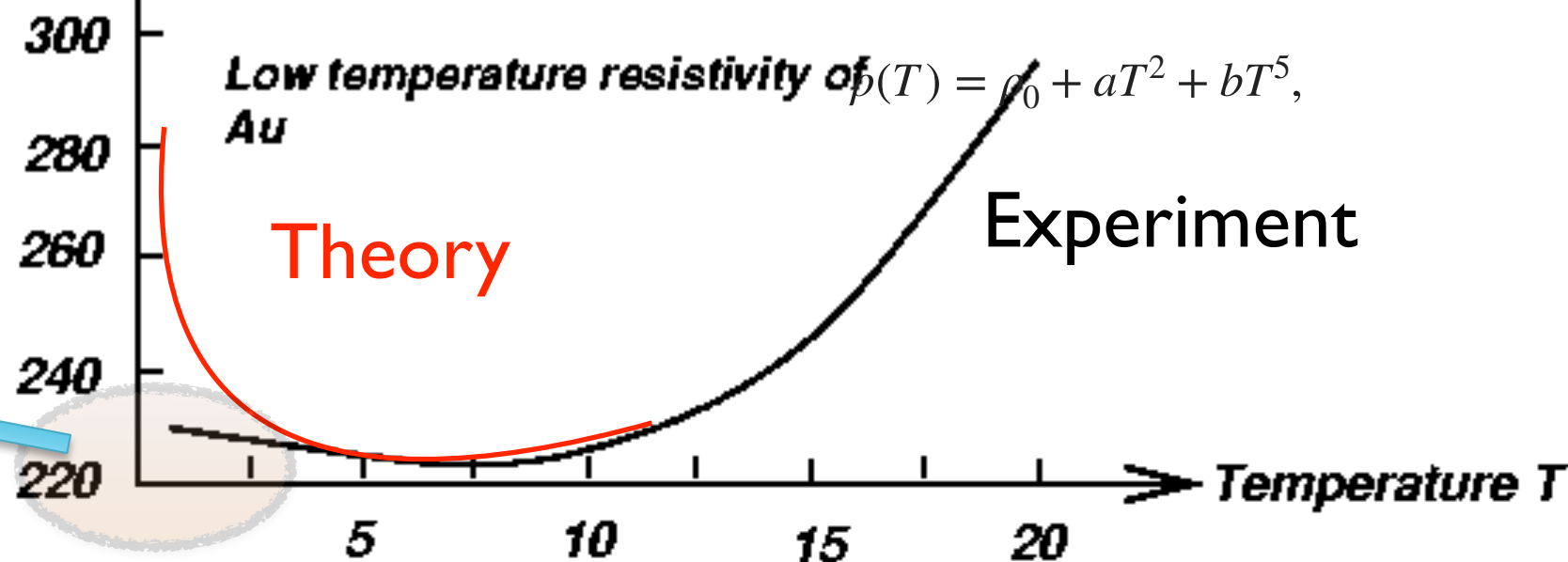
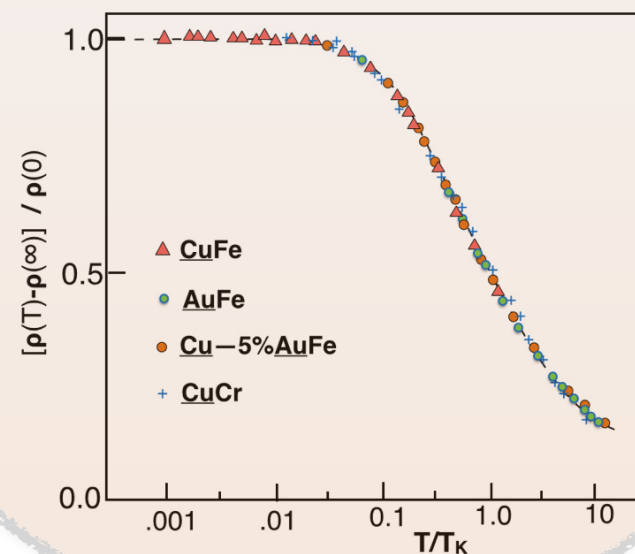


**Scattering of conduction electrons** in a metal  
by the **magnetic impurities**.

1. Exp. Fact : Resistivity increase as T decrease after certain temp.

**Resistance/Resistance(T=0 Celsius) x 10000**

(from W.J. de Haas and G.J. van den Berg,  
*Physica vol. 3, page 440, 1936*)



2. Theory: **Kondo**:  $\rho(T) = \rho_0 + aT^2 + bT^5 + c_m \ln \frac{\mu}{T}$ , Divergence as  $T \rightarrow 0$ .

# Saturation of $\rho$ in $T \rightarrow 0$

**RG: imp-itinerant e coupling goes strong in IR: complete screening**

The Hamiltonian of the Anderson model can be described by

$$H_0 = \sum_{k,\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \epsilon_d \sum_{\sigma} n_{d\sigma} + U n_{d\uparrow} n_{d\downarrow}$$

$$H' = \frac{1}{\sqrt{N}} \sum_{k,\sigma} (V_{kd} c_{k\sigma}^\dagger d_{\sigma} + V_{dk} d_{\sigma}^\dagger c_{k\sigma}) \quad (\text{perturbation})$$

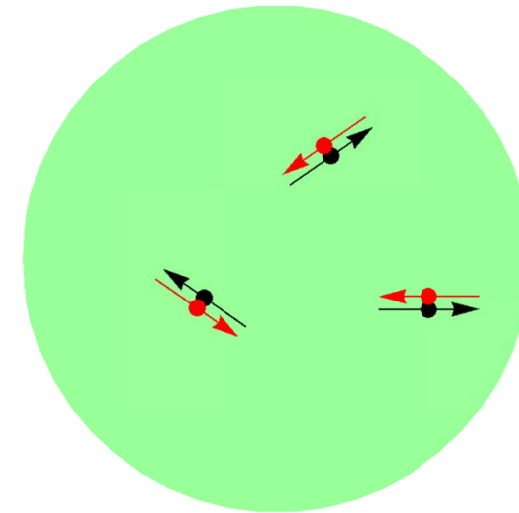
where

$$n_{d\sigma} = d_{\sigma}^\dagger d_{\sigma} \quad (\sigma = \uparrow, \downarrow).$$

$$\{d_{\sigma}, d_{\sigma}^\dagger\} = 1, \quad \{c_{k\sigma}, c_{k'\sigma}^\dagger\} = \delta_{k,k'}$$

Schrieffer-Wolff transformation  $\rightarrow$  Kondo Hamiltonian

$$H = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \overbrace{g \psi^\dagger(0) \vec{\sigma} \psi(0) \cdot \vec{S}_f}^{\Delta H}$$



$$\frac{dg}{d \ln D} = \beta(g) = -2g^2$$

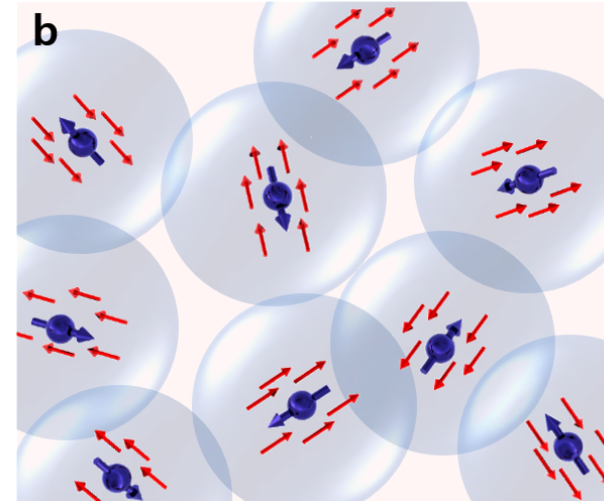
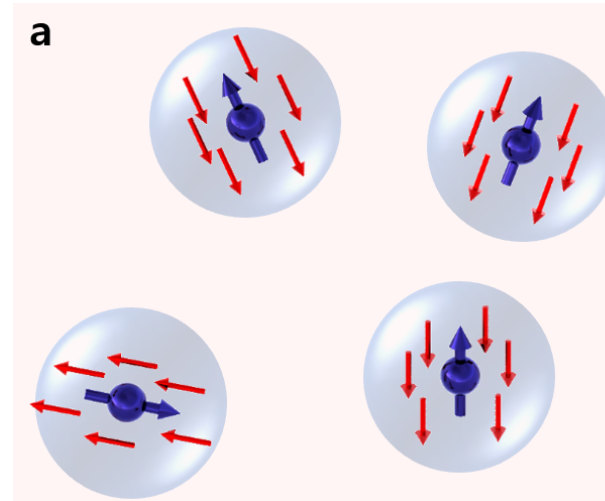
$$g(D') = \frac{g_0}{1 + 2g_0 \ln(D_0/D')}$$

$$T_K = D_0 e^{-1/2g_0} = D_0 e^{-1/\rho_0 J}$$

**Anderson's poor man's scaling(1970)  $\rightarrow$  K. Wilson : numerical RG (1975)**

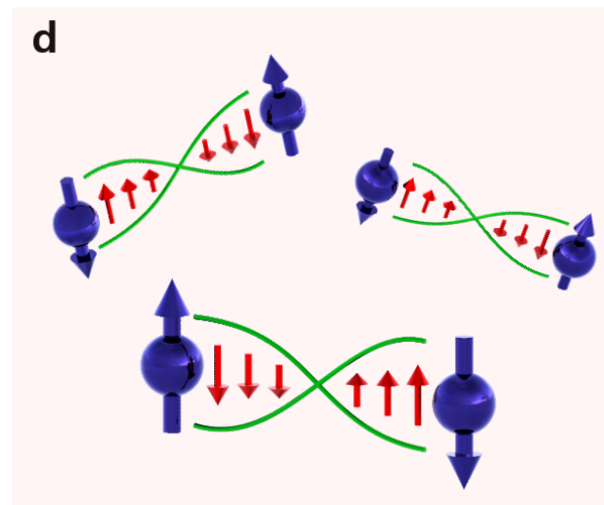
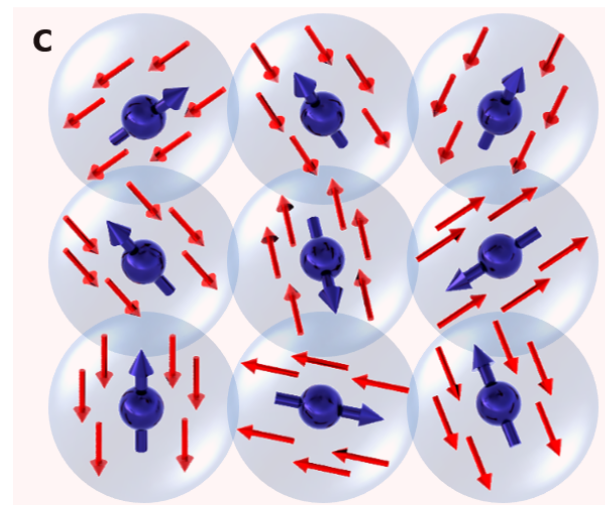
# Classification of Multi Kondo : Random vs regular impurities

single Kondo

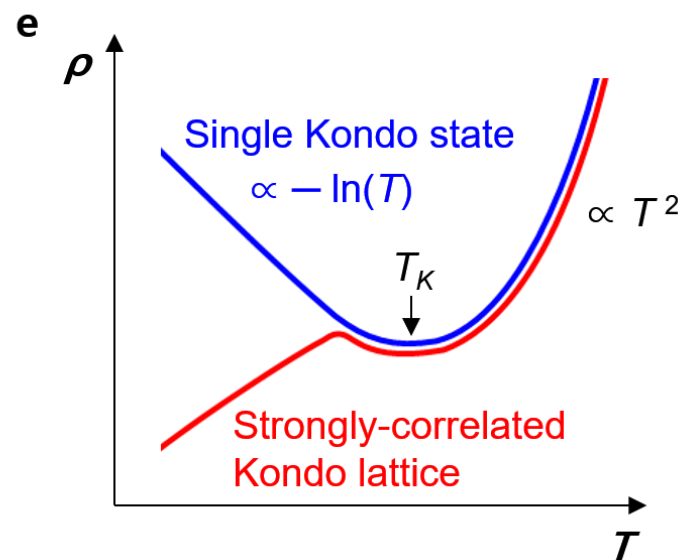


Random imp.  
Kondo-Condens:  
gap

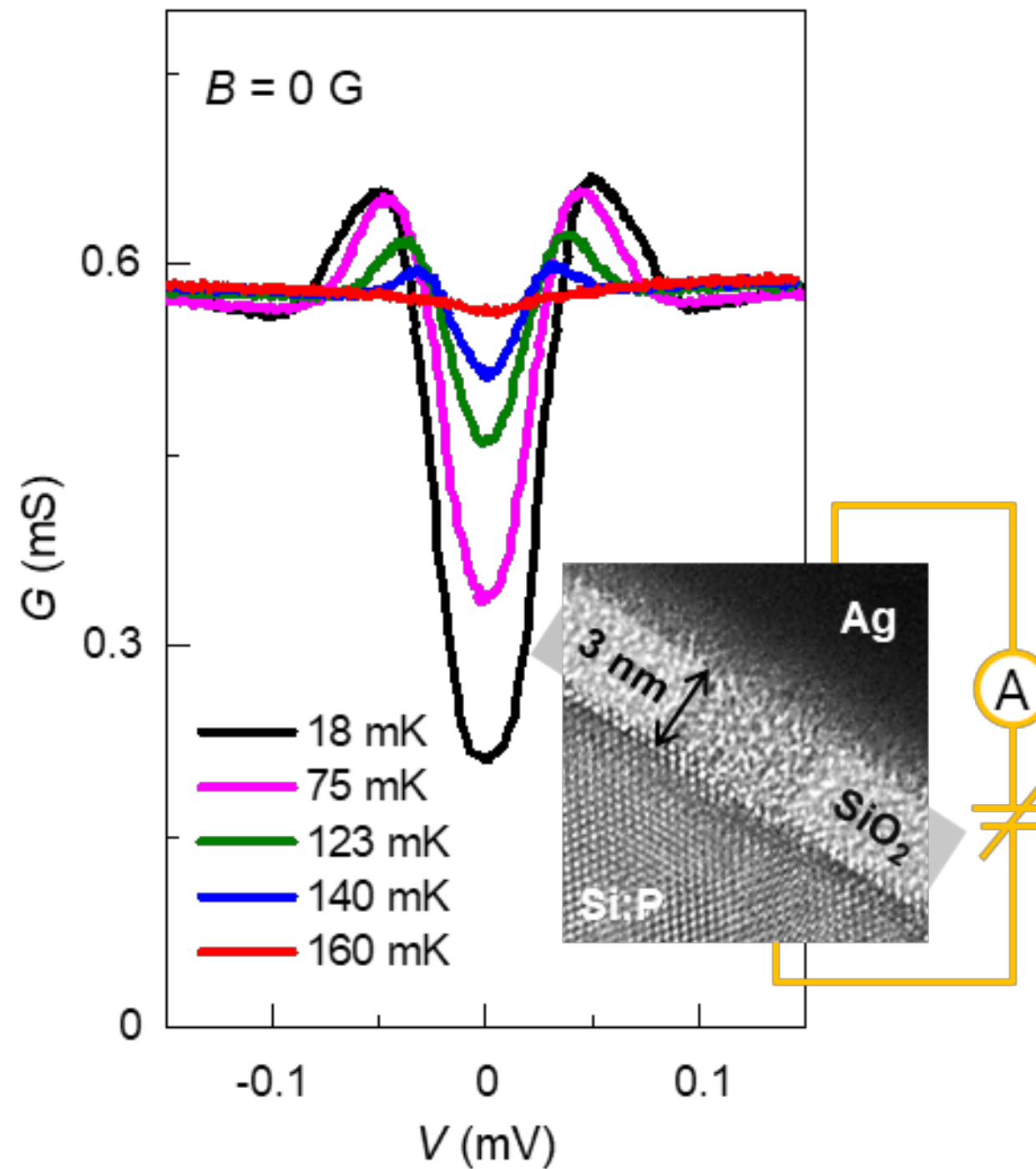
Kondo Lattice  
Both  
heavy fermion/  
Kondo insulator



RKKY  
weak coupling



## III.2. Discovery of a tiny gap in a dirty semiconductor



# Difficulty of our system as Kondo lattice

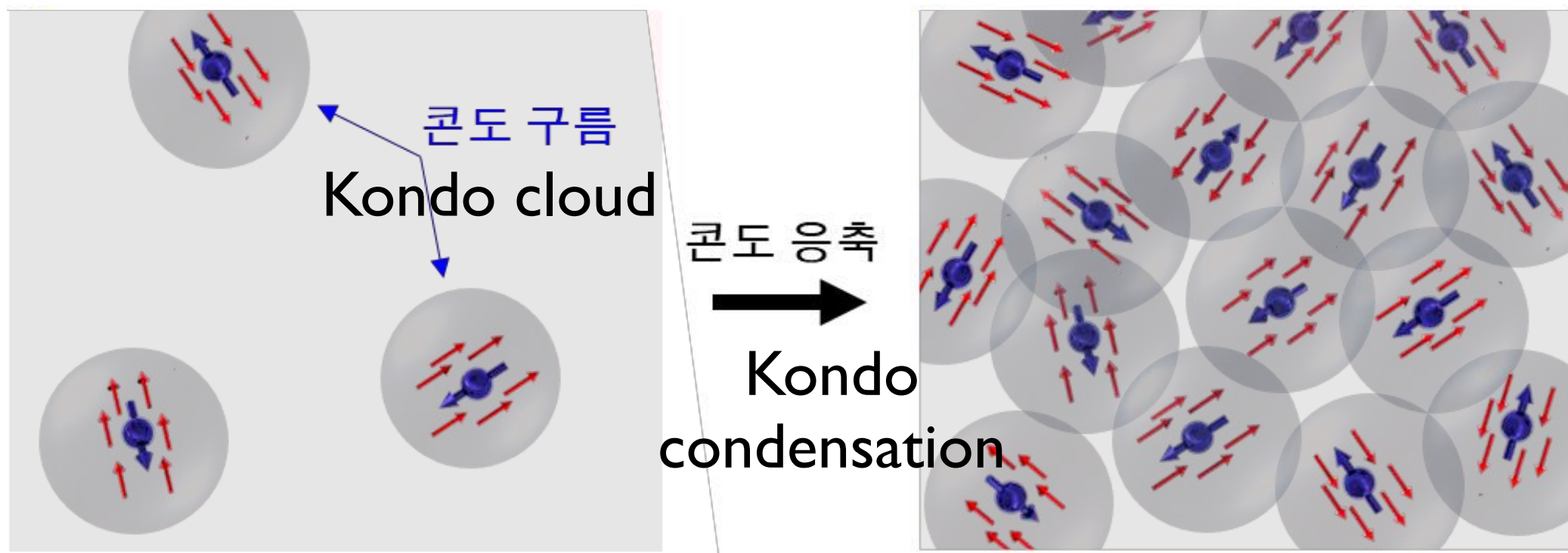
- If no periodicity—> No momentum !  
No band.  
The whole picture of Kondo-lattice break down.  
No calculational scheme.  
In fact, **random singlet** picture  
—> **No gap!**
- However, .....  
**A gap is found in random impurity**  
similar to  
**Indirect gap of Kondo lattice**



# Our proposal: dense Random multi-Kondo Overlapping Kondo cloud => Kondo condensation :

$$H = \sum_{i\sigma} \varepsilon_i^f f_{i\sigma}^\dagger f_{i\sigma} + U n_{i\uparrow} n_{i\downarrow} + \sum_{\vec{k}\sigma} \varepsilon_{\vec{k}\sigma} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \sum_{i,\vec{k},\sigma} V_k \left( e^{i\vec{k}\vec{R}_i} f_{i\sigma}^\dagger c_{\vec{k}\sigma} + e^{-i\vec{k}\vec{R}_i} c_{\vec{k}\sigma}^\dagger f_{i\sigma} \right)$$

- Cooper pair=cc :  $\langle cc \rangle \neq 0 \rightarrow$  superconductivity
- Kondo pair =  $f^\dagger c$  :  $\langle f^\dagger c \rangle \neq 0 \rightarrow$  Kondo condensation



- Yamamoto et. al.  
“Observation of the Kondo screening cloud”  
Nature 2020



# Kondo condensation model and its result

$$S_D = \int d^{d+1}x \sqrt{-g} \bar{\psi} (\Gamma^M D_M - m - \Phi) \psi + \int d^{d+1}x \sqrt{-g} (|\partial_\mu \Phi|^2 - m^2 \Phi^2)$$

$$D_M = \partial_M + \frac{1}{4} \omega_{abM} \Gamma^{ab} - iqA_M,$$

$$\Phi = \frac{\Phi^{(0)}}{r} + \frac{\Phi^{(1)}}{r^2} + \dots$$

$$\Phi^{(0)} = 0, \quad \Phi^{(1)} = M_0 \sqrt{1 - T/T^*}$$

$$\Phi \sim f^\dagger c$$

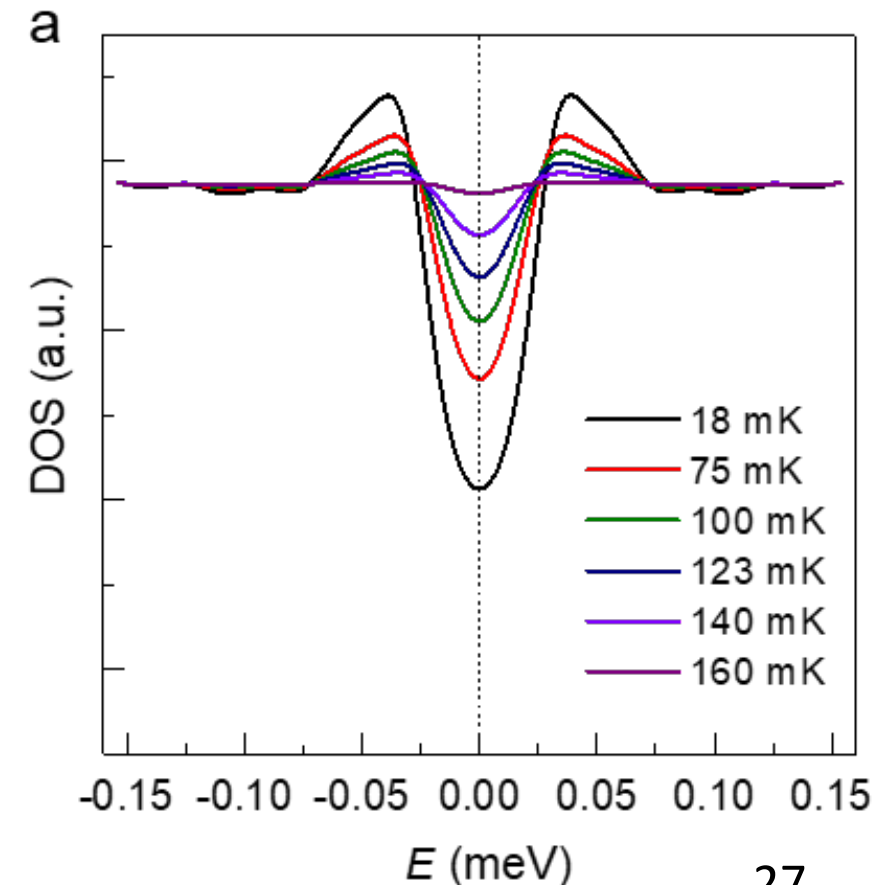
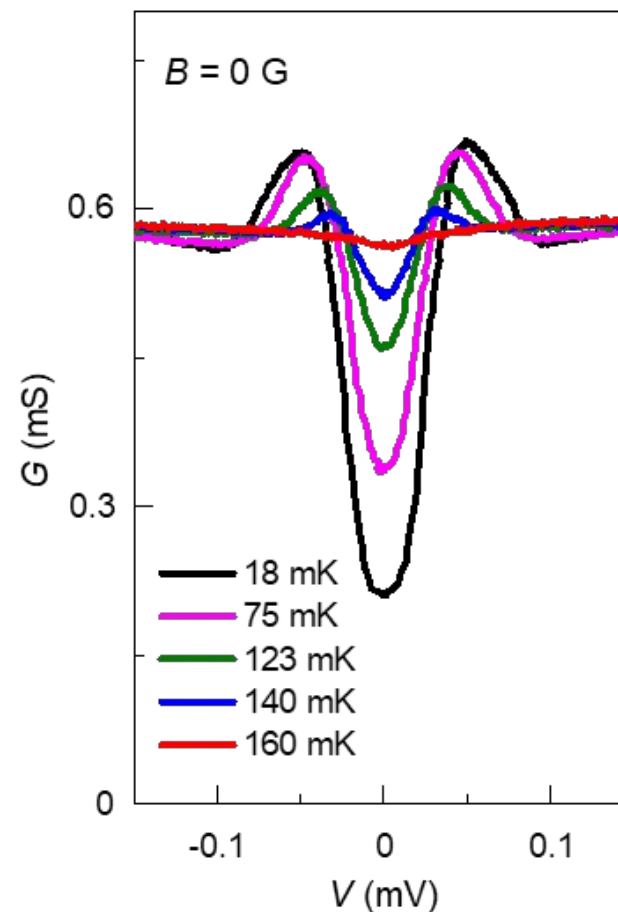
$$ds^2 = -r^2 f(r) dt^2 + \frac{1}{r^2 f(r)} dr^2 + r^2 d\vec{x}^2$$

$$f(r) = 1 - \frac{r_0^3}{r^3} - \frac{r_0 \mu^2}{r^3} + \frac{r_0^2 \mu^2}{r^4}.$$

$$T \rightarrow \frac{k_B T}{\hbar v_F} L = \frac{\hat{T}}{\text{Kelvin } 2.3 \times 10^6 \text{ nm}}$$












$$B \rightarrow \frac{e}{\hbar} B L^2 = \frac{\hat{B}}{\text{Tesla } (25.7 \text{ nm})^2}$$

$$M_0 \rightarrow \frac{M_0}{(\hbar v_F)^2} L^2 = \frac{9v_0^2}{4v_F^2} \frac{L^2}{(\text{nm})^2} \frac{M}{(\text{eV})^2}$$

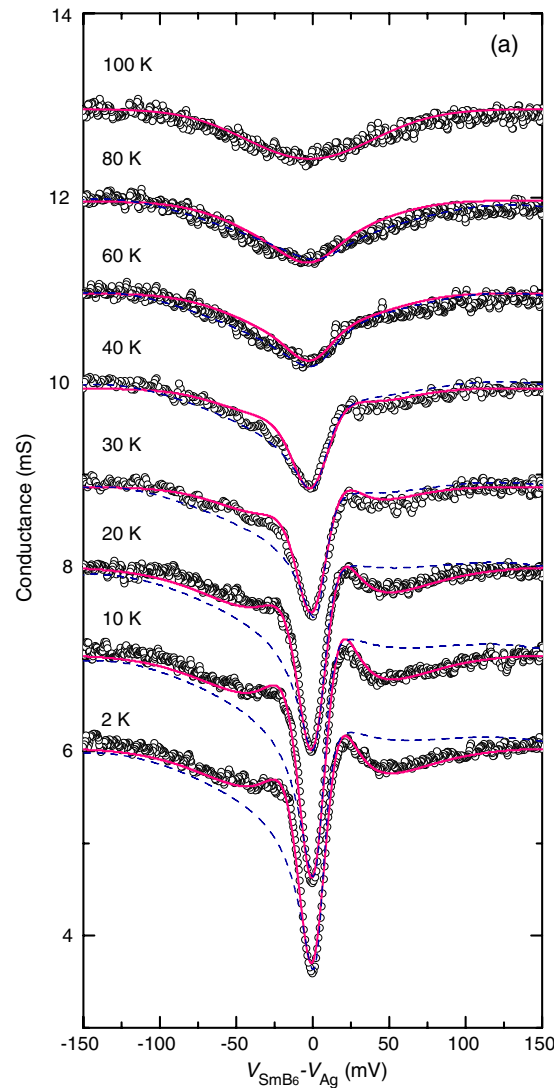




# Observation of Kondo condensation in a degenerately doped silicon metal

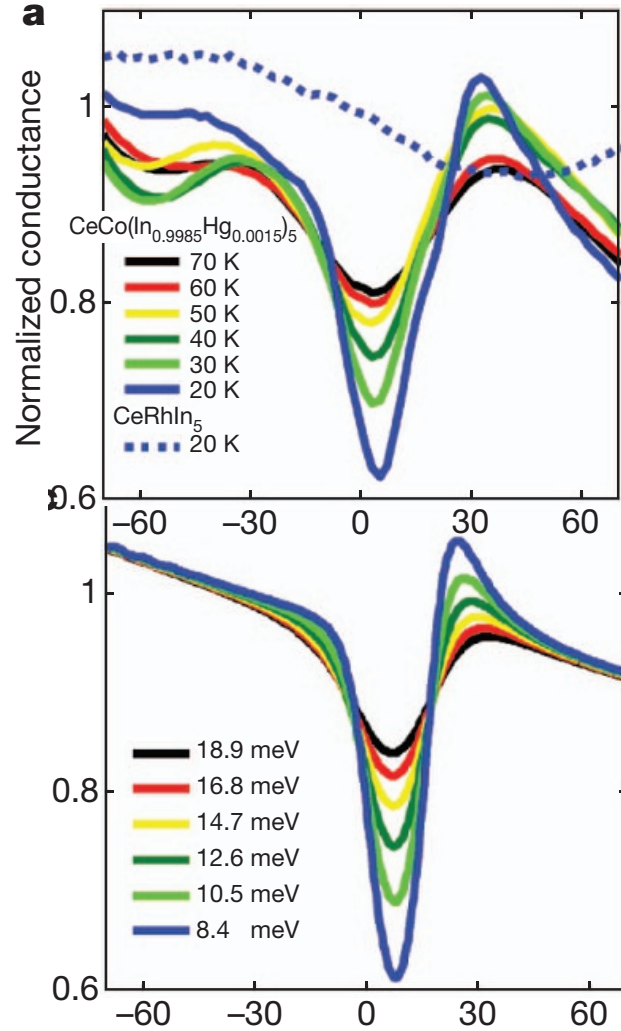
Hyunsik Im <sup>1,2</sup> , Dong Uk Lee <sup>3</sup>, Yongcheol Jo<sup>1</sup>, Jongmin Kim<sup>1</sup>,  
Yonuk Chong <sup>4</sup> , Woon Song<sup>5</sup>, Hyungsang Kim<sup>1</sup>, Eun Kyu Kim <sup>3</sup> ,  
Taewon Yuk<sup>3</sup>, Sang-Jin Sin <sup>3</sup> , Soonjae Moon<sup>3</sup>, Jonathan R. Prance <sup>6</sup>,  
Yuri A. Pashkin <sup>6</sup> & Jaw-Shen Tsai<sup>2,7</sup>

# Remark: random vs lattice K-condensation vs K-insulator



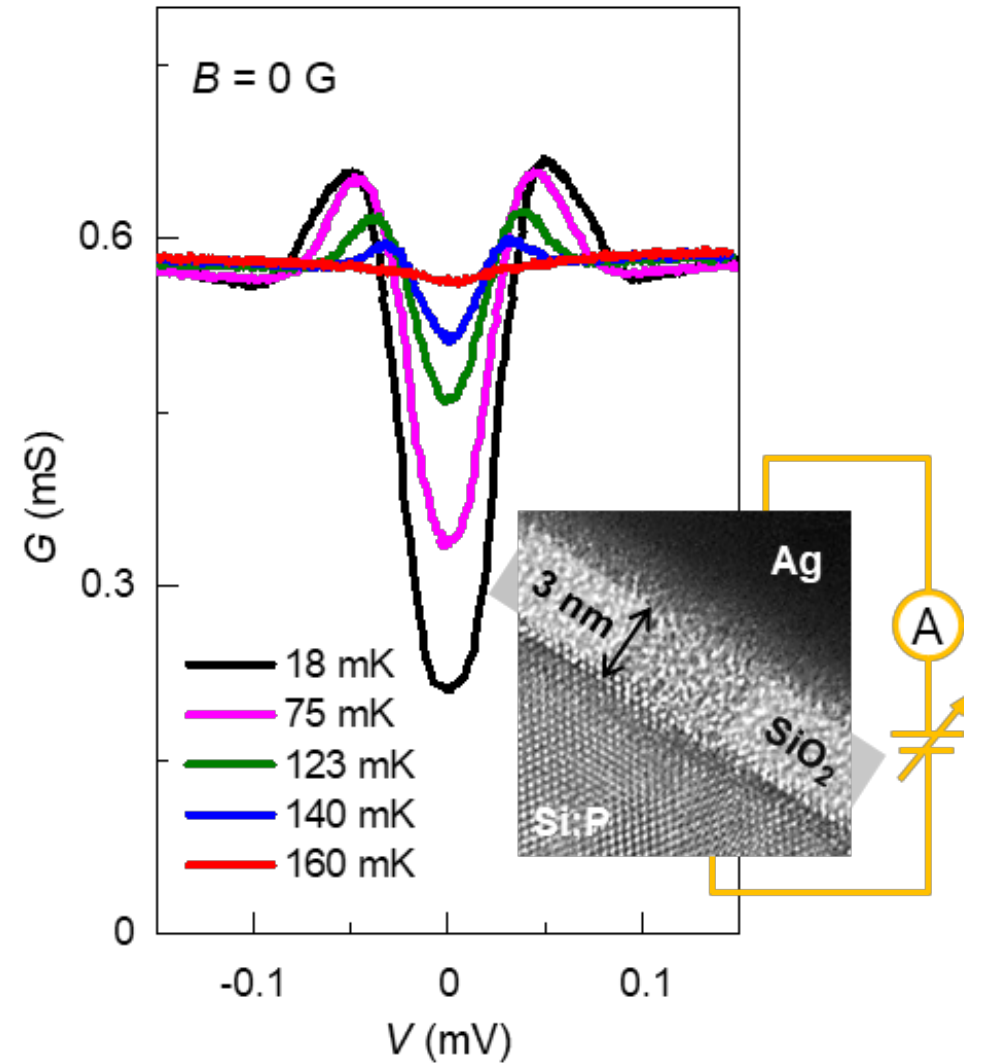
SmB6

PHYSICAL REVIEW X 3, 011011 (2013)



$CeCoIn_{1-x}Hg_x; x = 0.0015$

nature11204

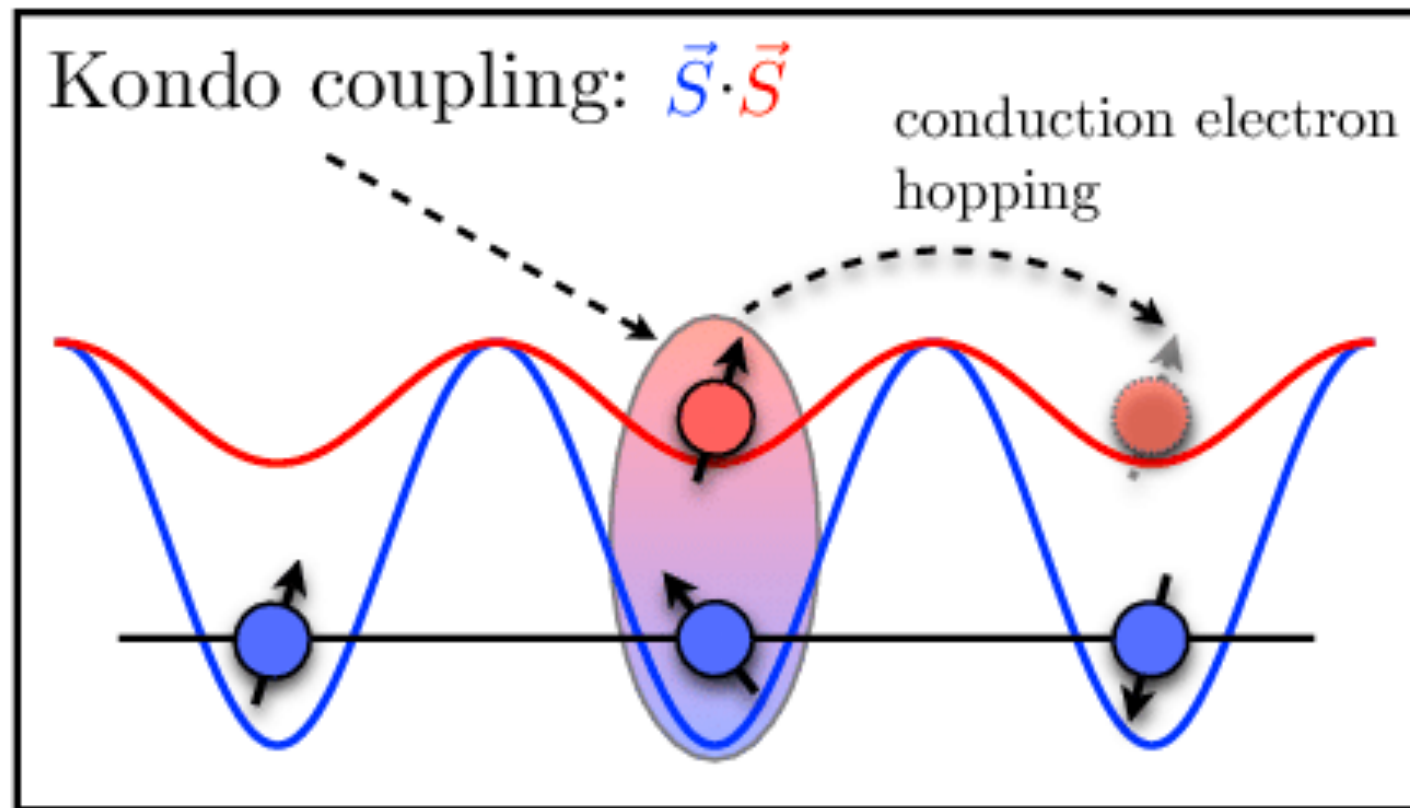


Si:P

K-lattice : asymmetric gap

K-cond: symmetric gap

## III.3 Physics of Kondo lattice



Essence of the Kondo Lattice physics:

Electron trapped and propagate rarely from site to site.

On a larger length scale, a very slow coherent motion  
= a quasi-particle with a large effective mass.

# MFT for the Kondo lattice

$$\mathcal{L} = \psi^\dagger \left( i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right) \psi + \chi^\dagger \left( i \frac{\partial}{\partial t} - \lambda \right) \chi + \frac{g_l}{2} (\psi^\dagger \psi)^2 - g_s (\psi^\dagger \psi) (\chi^\dagger \chi) - g_v (\psi^\dagger \vec{\sigma} \psi) \cdot (\chi^\dagger \vec{\sigma} \chi).$$

Using the Fierz identity,

$$\mathcal{L} = \psi^\dagger \left( i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right) \psi + \chi^\dagger \left( i \frac{\partial}{\partial t} - \lambda \right) \chi + \frac{g_l}{2} (\psi^\dagger \psi)^2 + g'_s (\psi^\dagger \chi) (\chi^\dagger \psi) + g'_v (\psi^\dagger \vec{\sigma} \chi) \cdot (\chi^\dagger \vec{\sigma} \psi), \quad g'_s := \frac{g_s + 3g_v}{2}, \quad g'_v := \frac{g_s - g_v}{2}.$$

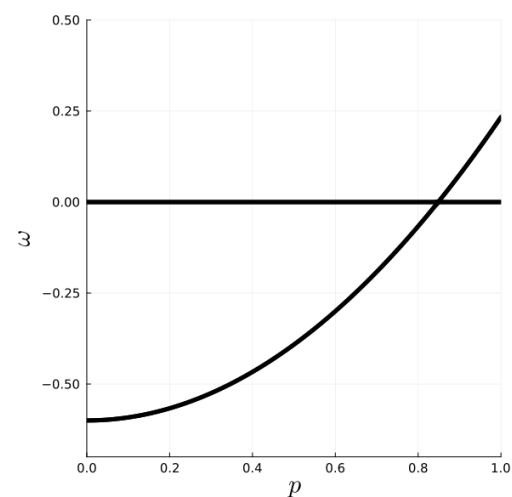
$$\mathcal{L}_{\text{MF}} = \Psi^\dagger D \Psi - U,$$

$$\Psi^\dagger := \begin{pmatrix} \psi^\dagger & \chi^\dagger \end{pmatrix}, \quad \Psi := \begin{pmatrix} \psi \\ \chi \end{pmatrix}, \quad \langle \psi^\dagger \psi \rangle \equiv -\frac{M}{g_l}, \quad \langle \psi^\dagger \chi \rangle \equiv \frac{\Delta_s}{g'_s}, \quad \langle \psi^\dagger \vec{\sigma} \chi \rangle \equiv \frac{\vec{\Delta}_v}{g'_v},$$

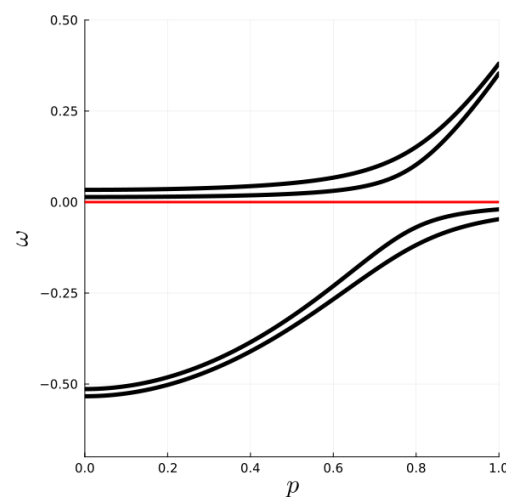
$$D := \begin{pmatrix} i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu - M & \Delta_s^* + \vec{\sigma} \cdot \vec{\Delta}_v^* \\ \Delta_s + \vec{\sigma} \cdot \vec{\Delta}_v & i \frac{\partial}{\partial t} - \lambda \end{pmatrix},$$

$$U := \frac{M^2}{2g_l} + \frac{|\Delta_s|^2}{g'_s} + \frac{|\vec{\Delta}_v|^2}{g'_v}.$$

# MFT for the Kondo lattice (continued)



(a)  $\omega(p)$  without condensation.



(b)  $\omega(p)$  with condensation.

$T_K \sim V^2/D$  : 1 – Kondo Temp .

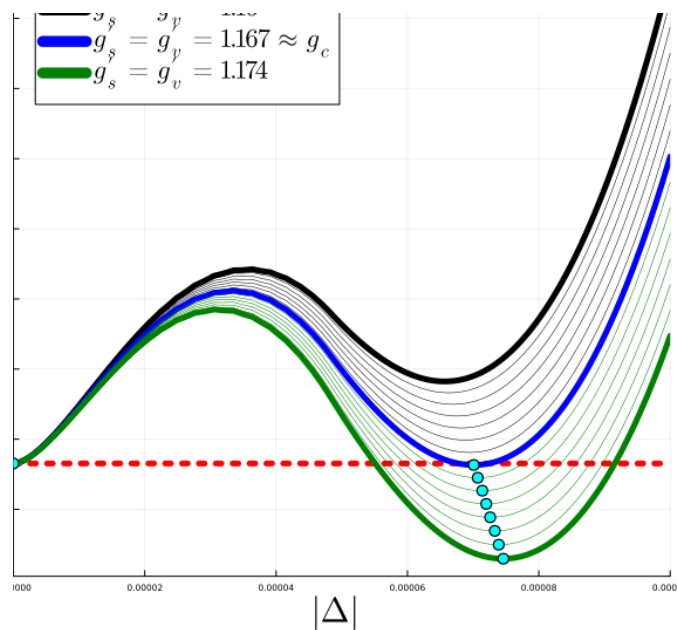
FS in gap- $\rightarrow$  **K insulator**,  
otherwise

Heavy Fermion w/ **larger FS**

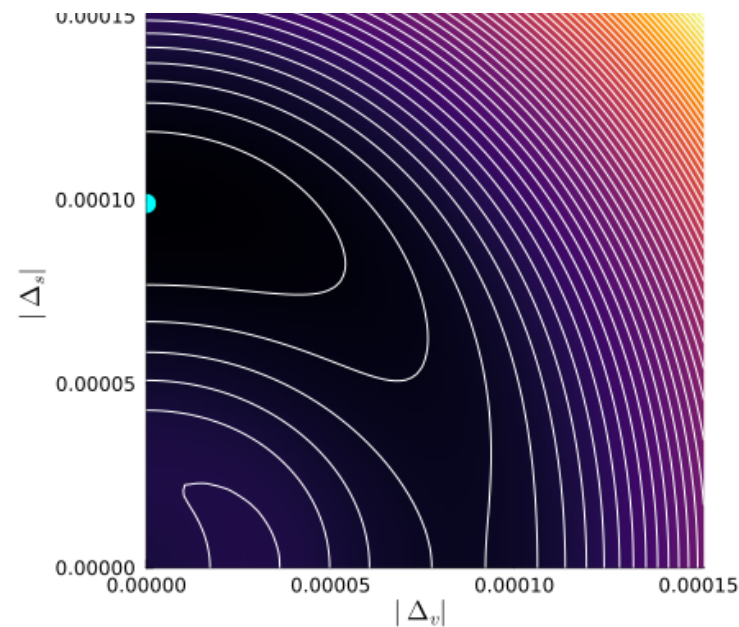
# MFT for the Kondo lattice 2

$$\begin{aligned}\Omega &= U + \frac{1}{V} \sum_{|\vec{p}| < \Lambda} \sum_{i=1}^4 \left\{ -\frac{1}{2} |\omega_i(\vec{p})| - \frac{1}{\beta} \ln \left[ 1 + e^{-\beta |\omega_i(\vec{p})|} \right] \right\} \\ &= U - \frac{1}{4\pi^2} \int_0^\Lambda dp p^2 \sum_{i=1}^4 |\omega_i(p)| - \frac{1}{2\pi^2 \beta} \int_0^\Lambda dp p^2 \sum_{i=1}^4 \ln \left[ 1 + e^{-\beta |\omega_i(p)|} \right],\end{aligned}$$

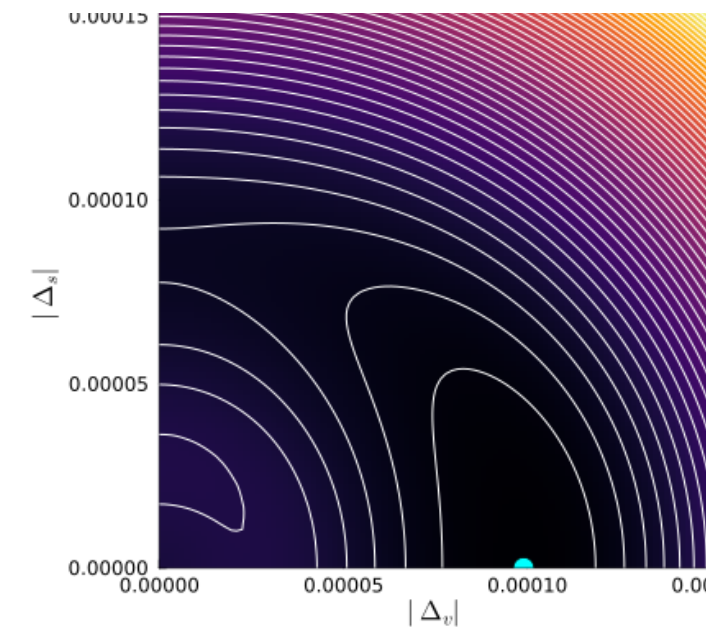
$$\begin{aligned}\omega_{i=1, \dots, 4} &= \mathcal{E}_+ \pm \sqrt{\mathcal{E}_-^2 + |\Delta_s|^2 + |\vec{\Delta}_v|^2} \pm \sqrt{(|\Delta_s|^2 + |\vec{\Delta}_v|^2)^2 - |\Delta_s^2 - \vec{\Delta}_v \cdot \vec{\Delta}_v|^2}, \\ \mathcal{E}_\pm &:= \frac{1}{2} \left[ \left( \frac{p^2}{2m} - \mu + M \right) \pm \lambda \right].\end{aligned}$$



(a)  $\Omega$  versus  $|\Delta|$ .



(b)  $\Omega$  with strong  $g'_s > g'_v > g_c$ .



(c)  $\Omega$  with strong  $g'_v > g'_s >$



# Holographic Kondo Lattice



YoungKwon Han

$$S_{\text{tot}} = S_{\text{bg}} + S_{\text{spin}},$$

$$S_{\text{bg}} = S_{\text{bg, bdy}} + \int d^4x \sqrt{-g} \left( R + \frac{6}{L^2} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right) \\ + \int d^4x \sqrt{-g} [ -(\partial_\mu \Phi_s)(\partial^\mu \Phi_s) - m_s^2 \Phi_s^2 - (\partial_\mu \Phi_{\text{ps}})(\partial^\mu \Phi_{\text{ps}}) - m_{\text{ps}}^2 \Phi_{\text{ps}}^2 ],$$

$$S_{\text{spin}} = S_{\text{spin, bdy}} + \sum_{j=1}^2 \int d^4x \sqrt{-g} i \bar{\psi}^{(j)} \left[ \frac{1}{2} \left( \overrightarrow{\not{D}}^{(j)} - \overleftarrow{\not{D}}^{(j)} \right) - m_j \right] \psi^{(j)} \\ + \int d^4x \sqrt{-g} \begin{pmatrix} \bar{\psi}^{(1)} \\ \bar{\psi}^{(2)} \end{pmatrix}^T \begin{pmatrix} g_1 \Phi_{\text{ps}} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix},$$

$$S_{\text{spin, bdy}} = \frac{1}{2} \int d^3x \sqrt{-h} [ \bar{\psi}^{(1)} (i\mathbb{I}_4) \psi^{(1)} + \bar{\psi}^{(2)} \Gamma^{\underline{xy}} \psi^{(2)} ],$$

$$\not{D}^{(j)} = \Gamma^a e_a^B \left( \partial_B + \frac{1}{4} \omega_{Bcd} \Gamma^{cd} - iq_j A_B \right),$$

$$L = 1,$$

$$\Gamma^{\underline{t}} = \sigma_1 \otimes i\sigma_2 = \begin{pmatrix} 0 & i\sigma_2 \\ i\sigma_2 & 0 \end{pmatrix},$$

$$\Gamma^{\underline{y}} = \sigma_1 \otimes \sigma_3 = \begin{pmatrix} 0 & \sigma_3 \\ \sigma_3 & 0 \end{pmatrix},$$

$$\Gamma^5 = i\Gamma^{\underline{t}}\Gamma^{\underline{x}}\Gamma^{\underline{y}}\Gamma^{\underline{u}},$$

$$h = gg^{uu},$$

$$\bar{\psi}^{(j)} = \psi^{(j)\dagger} \Gamma^{\underline{t}},$$

$$\Gamma^{\underline{x}} = \sigma_1 \otimes \sigma_1 = \begin{pmatrix} 0 & \sigma_1 \\ \sigma_1 & 0 \end{pmatrix},$$

$$\Gamma^{\underline{u}} = \sigma_3 \otimes \sigma_0 = \begin{pmatrix} \sigma_0 & 0 \\ 0 & -\sigma_0 \end{pmatrix}$$

$$\Gamma^{ab} = \frac{1}{2} [\Gamma^a, \Gamma^b].$$



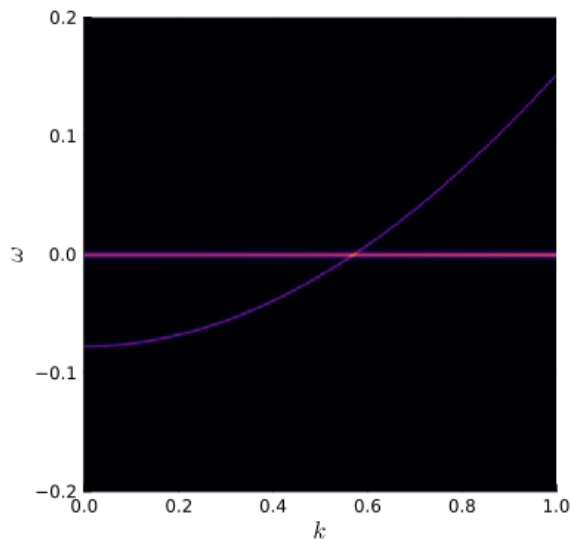
# Holographic Kondo Lattice 2

$$+ \int d^4x \sqrt{-g} \begin{pmatrix} \bar{\psi}^{(1)} \\ \bar{\psi}^{(2)} \end{pmatrix}^t \begin{pmatrix} g_1 \Phi_{ps} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix},$$

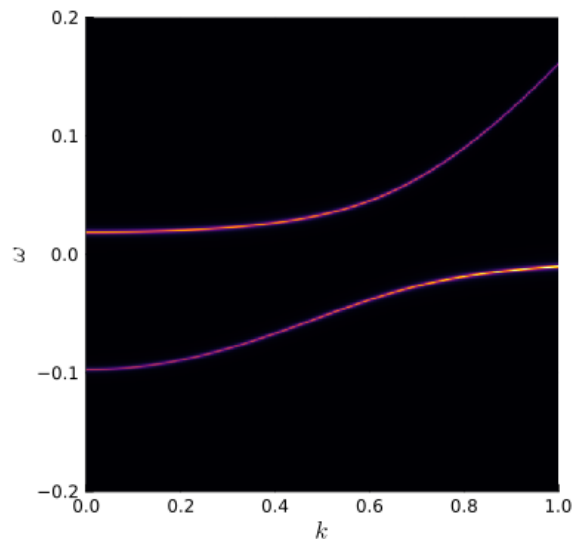
- $g_1$  is the coupling strength of  $\bar{\psi}^{(1)}(\Phi_{ps} \cdot \Gamma^5)\psi^{(1)}$  that makes a hyperbolic spectrum of the light fermion dual to  $\psi^{(1)}$  (to see why we have not chosen the scalar-type interaction, see appendix [D](#)).
- We consider the standard-mixed quantization to flatten the spectrum of the heavy fermion dual to  $\psi^{(2)}$  (see eq. (3.4) and refs. [[70](#), [71](#), [83](#)]). The flat spectrum comes from the cancellation of the spinor components making the compact localized states (CLS) [[71](#), [84](#)].
- $g_2$  is the coupling strength of  $\bar{\psi}^{(2)}(\Phi_s \cdot i\mathbb{I}_4)\psi^{(2)}$  that isolates the flat spectrum from others (see appendix [D](#) and ref. [[71](#)]).
- $V$  is the coupling constant of the inter-flavor interaction  $\bar{\psi}^{(1)}(\Phi_s \cdot i\mathbb{I}_4)\psi^{(2)}$  hybridizing the light and heavy fermions.

# Holographic Kondo Lattice 3

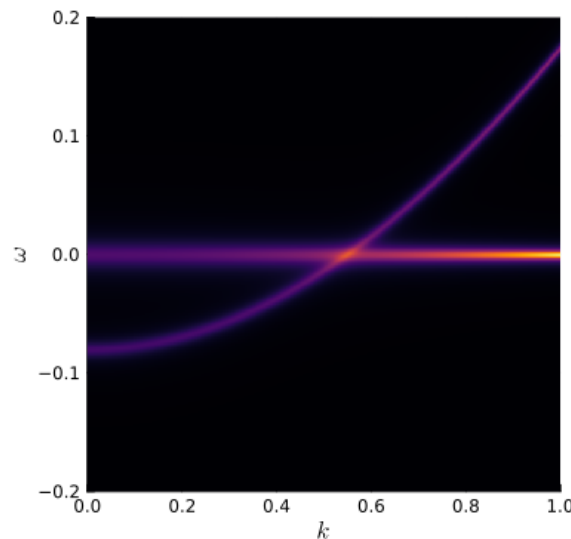
$$\left[ \begin{pmatrix} \vec{D} - m_1 & 0 \\ 0 & \vec{D} - m_2 \end{pmatrix} - i \begin{pmatrix} g_1 \Phi_{ps} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \right] \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix} = 0.$$



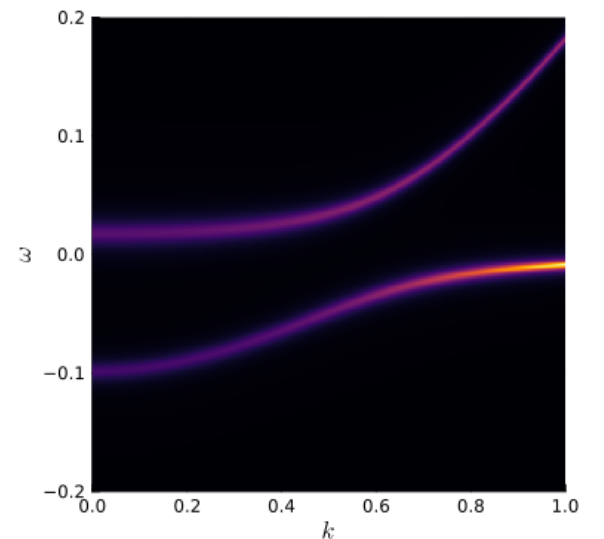
(a)  $A(\omega, k), V = 0.$



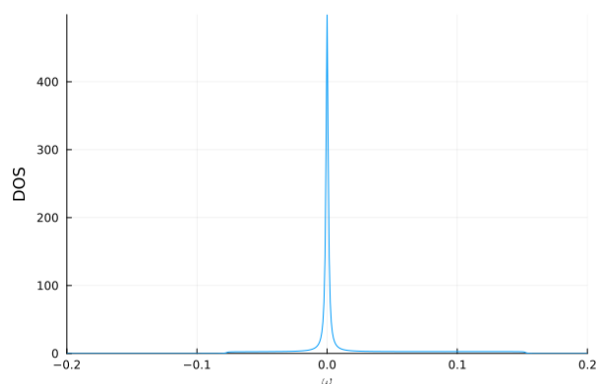
(b)  $A(\omega, k), V = 0.5.$



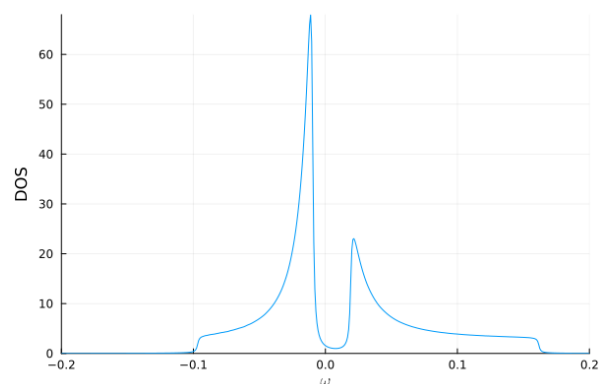
(c)  $A(\omega, k), V = 0.$



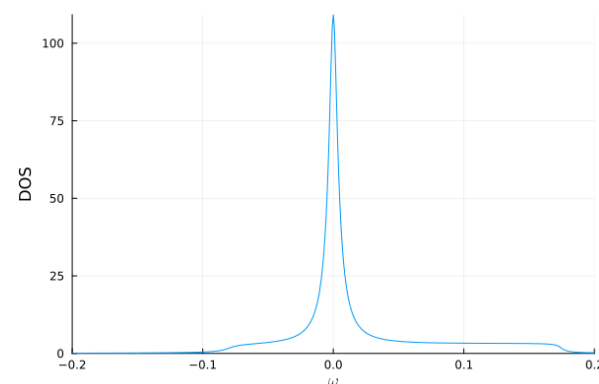
(d)  $A(\omega, k), V = 0.5.$



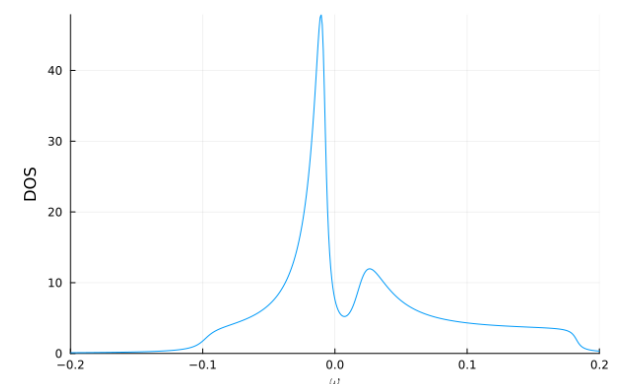
(e)  $g(\omega), V = 0.$



(f)  $g(\omega), V = 0.5.$



(g)  $g(\omega), V = 0.$



(h)  $g(\omega), V = 0.5.$

# IV. Topology in interacting system

- Topological Hamiltonian Method and Eigenvectors ( $\omega = 0$ )

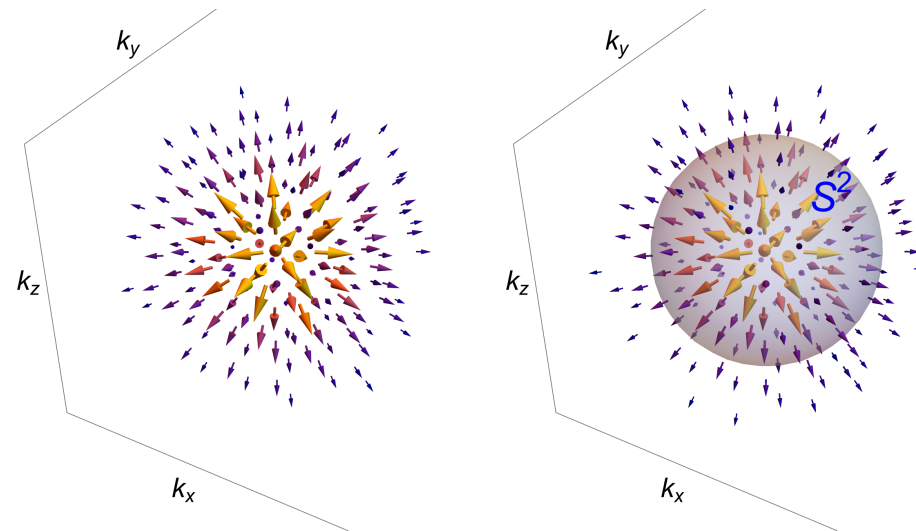
$$\mathcal{H}_t(\mathbf{k}) = -\mathbb{G}^{-1}(0, \mathbf{k})$$

where eigenvector of  $H_t$  and  $H$  share the same eigenvector,  $|n\rangle$ .

$$\mathcal{F}_c = \nabla \times \langle n | \partial_{\mathbf{k}} | n \rangle \quad (2)$$

- Alternative method: "Cubic of Green's function"

$$\mathcal{F}_c = \frac{1}{3!} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \epsilon_{\mu\nu\rho c} \text{Tr} [\mathbb{G}(\partial_{\mu} \mathbb{G}^{-1}) \mathbb{G}(\partial_{\nu} \mathbb{G}^{-1}) \mathbb{G}(\partial_{\rho} \mathbb{G}^{-1})] \quad (3)$$



Monopole Number:

$$C_n = \oint \mathcal{F}_c \cdot dS = i \oint \nabla \times \langle n | \partial_{\mathbf{k}} | n \rangle \cdot dS$$

# Critical case ( $\Phi = 0$ )

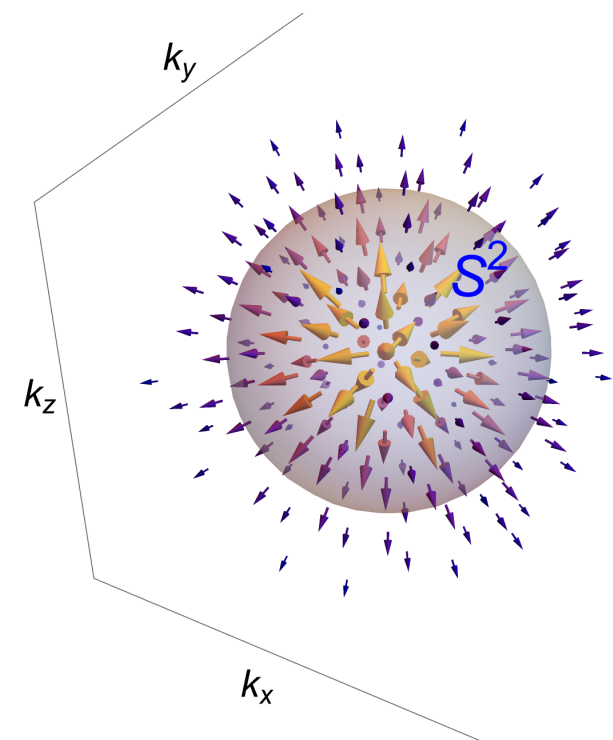
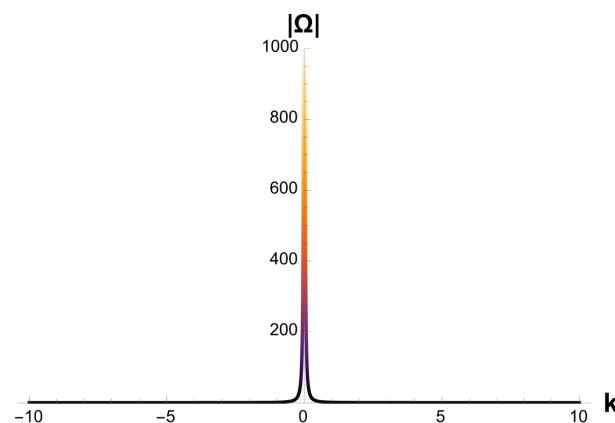
$$\mathcal{A}^{11} = \mathcal{A}^{22} = \frac{|\mathbf{k}| - k_x}{2|\mathbf{k}|(k_y^2 + k_z^2)} (0, -k_z, k_y)^T \quad (5.1)$$

$$\mathcal{A}^{33} = \mathcal{A}^{44} = \frac{|\mathbf{k}| + k_x}{2|\mathbf{k}|(k_y^2 + k_z^2)} (0, -k_z, k_y)^T \quad (5.2)$$

$$\mathcal{A}^{13} = \mathcal{A}^{24} = \mathcal{A}^{31*} = \mathcal{A}^{42*} = \frac{\sqrt{\mathbf{k}^2 - k_x^2}}{2\mathbf{k}^2(k_y^2 + k_z^2)} (-i(k_y^2 + k_z^2), ik_x k_y + |\mathbf{k}|k_z, ik_x k_z - |\mathbf{k}|k_y)^T \quad (5.3)$$

$F = dA + A \wedge A \Rightarrow$  for Abelian case, denote  $F = \Omega$

$$\Omega = \frac{1}{k^{3/2}} (k_x, k_y, k_z)^T \quad \text{flux} = \int_S \Omega \cdot dS = 2\pi$$



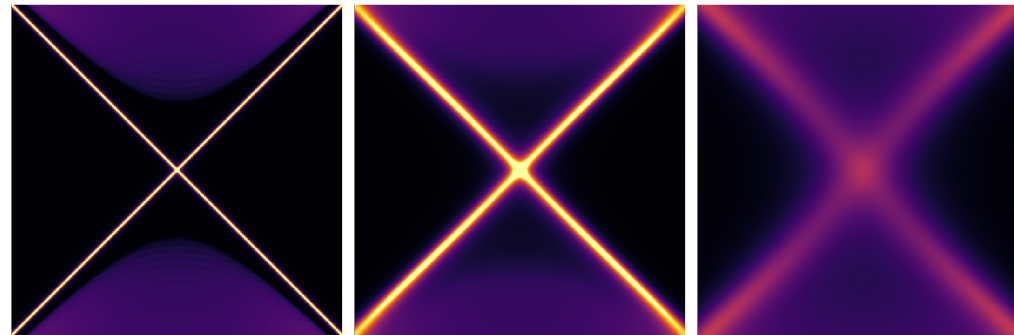
# Topological Liquid : scalar order without gap

$$S_\psi = \int d^5x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} \left( \frac{\overrightarrow{\not{D}} - \overleftarrow{\not{D}}}{2} - m^{(j)} \right) \psi^{(j)}, \quad (5)$$

$$S_{g,\Phi} = \int d^5x \sqrt{-g} \left( R - 2\Lambda - \nabla_M \Phi^2 - m_\Phi^2 |\Phi|^2 \right) \quad (6)$$

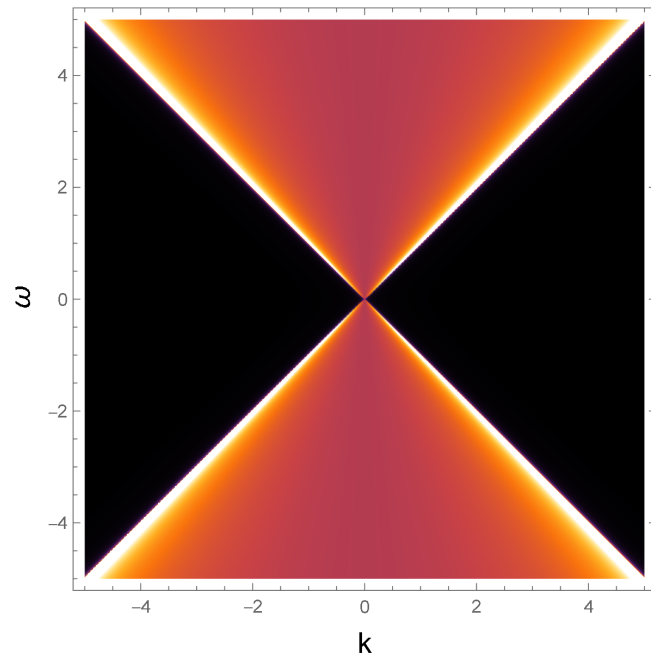
$$S_{int} = \int d^5x \sqrt{-g} \left( i\Phi \bar{\psi}^{(1)} \psi^{(2)} + h.c. \right). \quad (7)$$

where  $\not{D} = \Gamma^M D_M$ ,  $D_M = (\partial_M - iqA_M + \frac{1}{4}\omega_{M\alpha\beta}\Gamma^{\alpha\beta})$

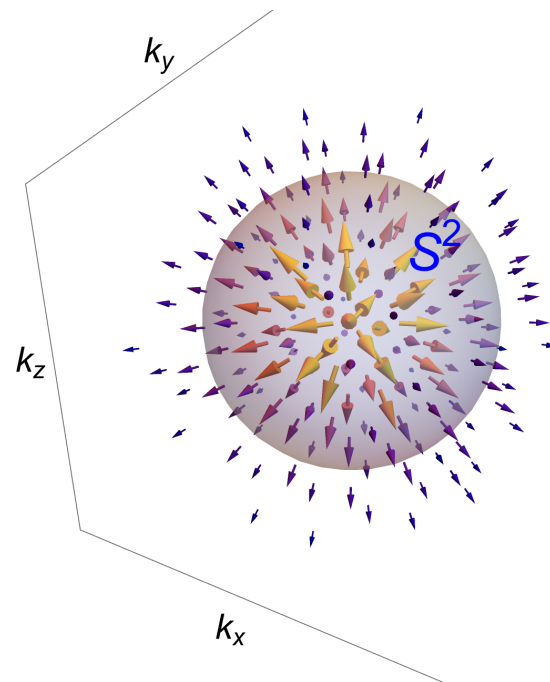


# Scalar Interaction case(SS quantization)

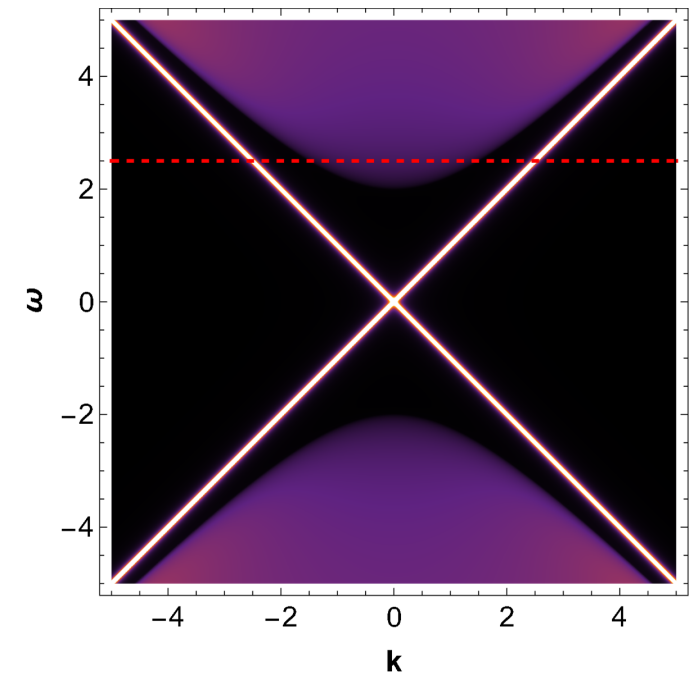
Spectrum is pole type, differ from critical case.



Spectrum of free Fermion



Berry curvature for both cases



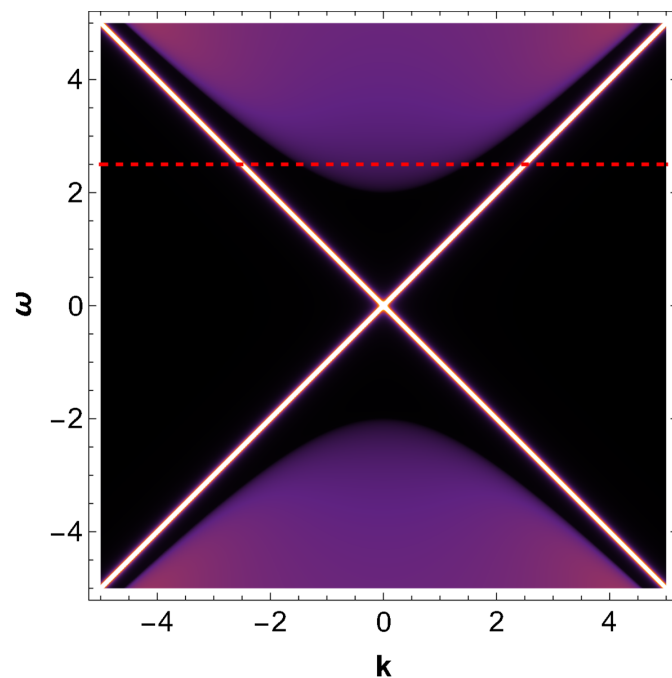
Spectrum of scalar coupled Fermion

However, Berry Curvature is Identical to critical case.  
The same Dirac monopole

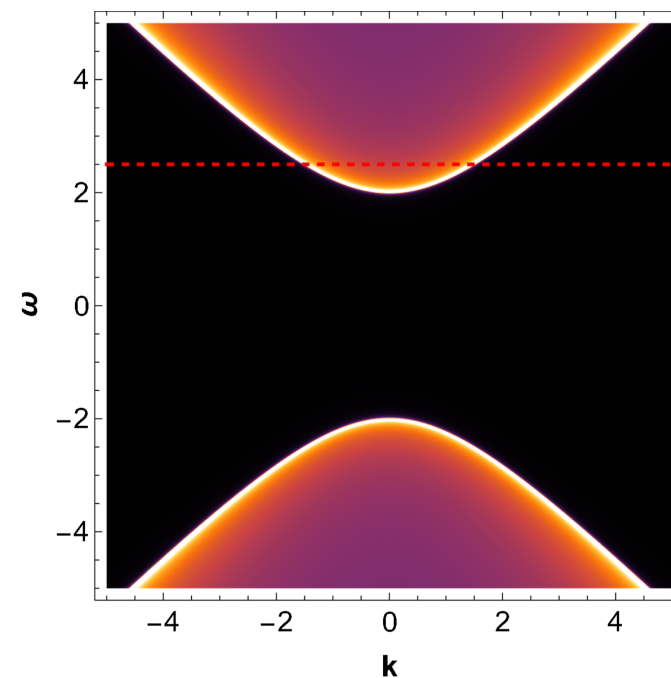
# Scalar Interaction case (SA quantization)

- Gapped spectrum
- Trivial topology

$$\text{Tr } \mathbb{G}_{M_0}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}},$$



(a)  $M_0^{(SS)}, \omega - \mathbf{k}$



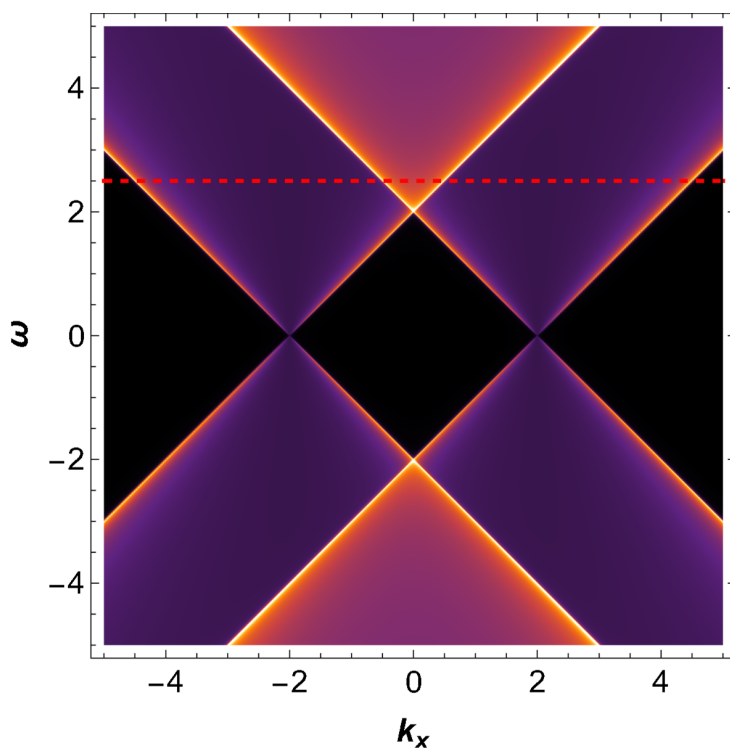
(b)  $M_0^{(SA)}, \omega - \mathbf{k}$

# Vector Interaction : Separated Dirac monopole

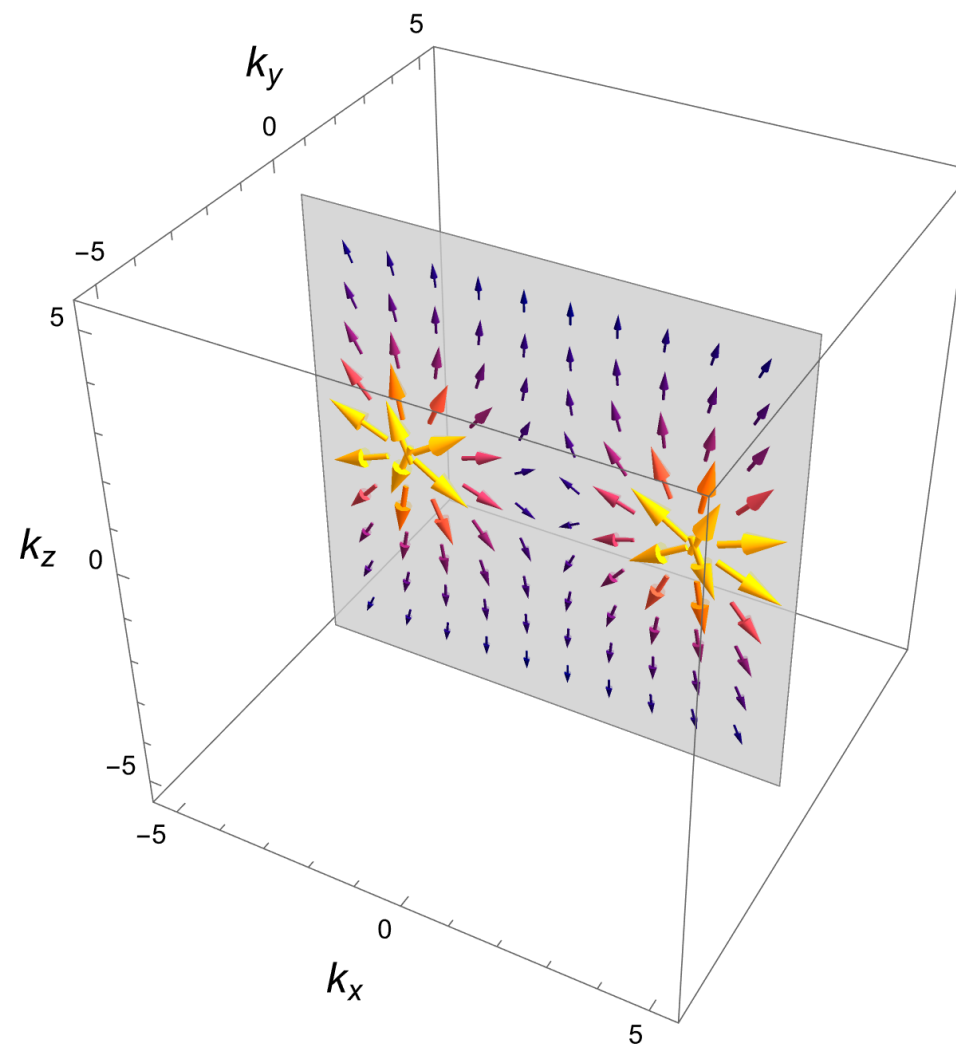
## Berry curvature

$$\Omega = \frac{1}{2((b_x + k_x)^2 + k_y^2 + k_z^2)^{3/2}}(k_x + b_x, k_y, k_z)^T + \frac{1}{2((b_x - k_x)^2 + k_y^2 + k_z^2)^{3/2}}(k_x - b_x, k_y, k_z)^T$$

Spectrum



(a)  $B_x^{(0)(SS)}, \omega - k_x$



(b) Berry curvature on  $k_z - k_x$  plane



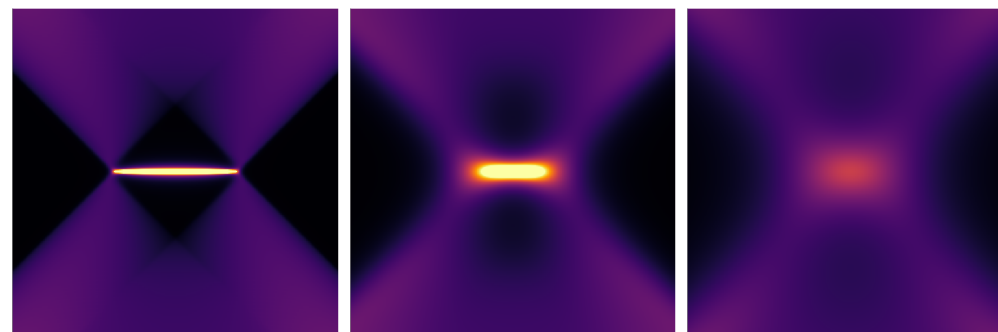
# $B_{xy}$ case

$$S_\psi = \int d^5x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} \left( \frac{\overrightarrow{D} - \overleftarrow{D}}{2} - m^{(j)} \right) \psi^{(j)}, \quad (8)$$

$$S_{g,B_{\mu\nu}} = \int d^5x \sqrt{-g} \left( R - 2\Lambda - |D_M \Phi|^2 - m_\Phi^2 |\Phi|^2 \right), \quad (9)$$

$$S_{int} = \int d^5x \sqrt{-g} \left( B_{\mu\nu} \bar{\psi}^{(1)} \Gamma^{\mu\nu} \psi^{(2)} + h.c. \right). \quad (10)$$

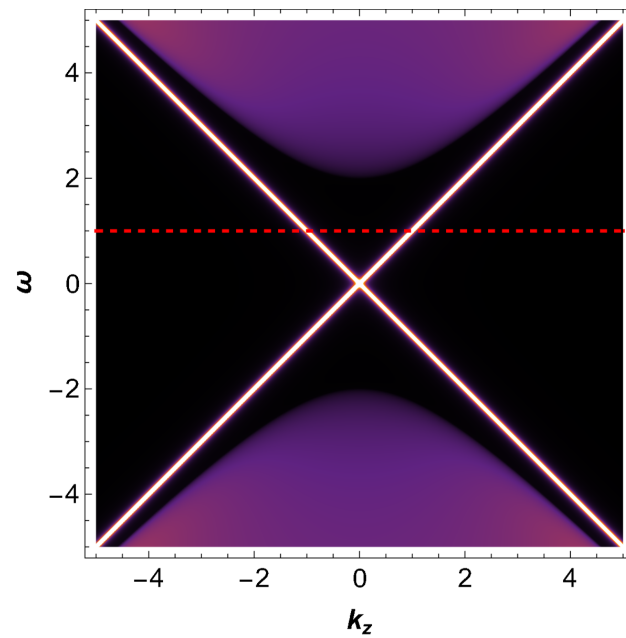
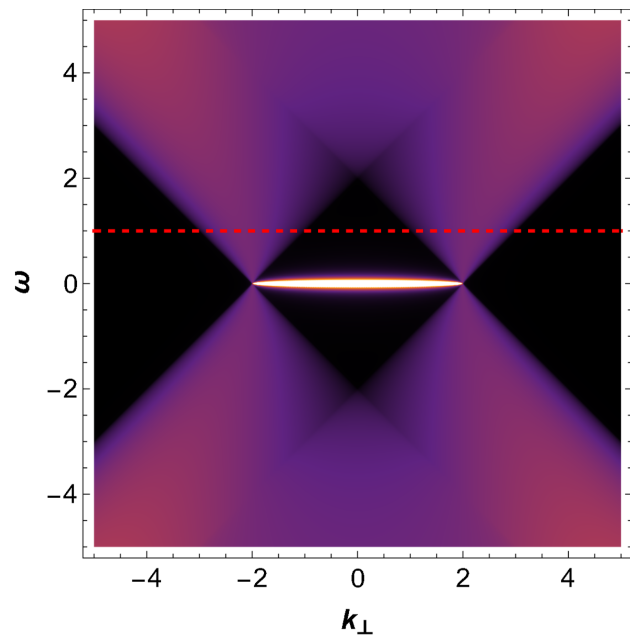
where  $\overrightarrow{D} = \Gamma^M D_M$ ,  $D_M = (\partial_M + \frac{1}{4} \omega_{M\alpha\beta} \Gamma^{\alpha\beta})$ , and  $B = B_{xy}(u) dx \wedge dy$



# Topology of Flat band

## Spectrum = 2d Disk

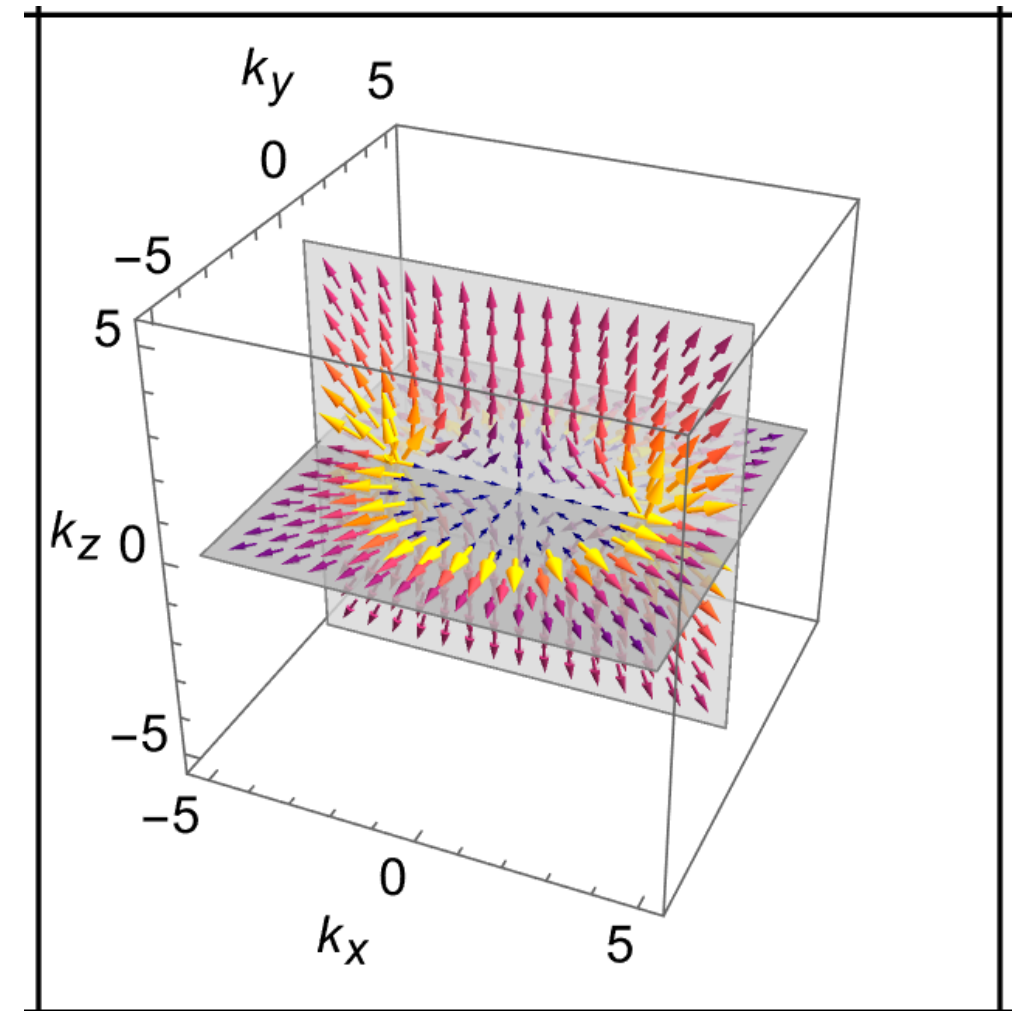
$$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SS)} = \frac{2\omega}{b} \left[ \frac{(b + |\mathbf{k}_\perp|) \sqrt{(b - |\mathbf{k}_\perp|)^2 + k_z^2} - \omega^2 + (b - |\mathbf{k}_\perp|) \sqrt{(b + |\mathbf{k}_\perp|)^2 + k_z^2} - \omega^2}{k_z^2 - \omega^2 - i\epsilon} \right].$$



(e)  $B_{xy}^{(-1)(SS)}, \omega - \mathbf{k}_\perp$

(f)  $B_{xy}^{(-1)(SS)}, \omega - k_z$

## Berry curvature = monopole Ring

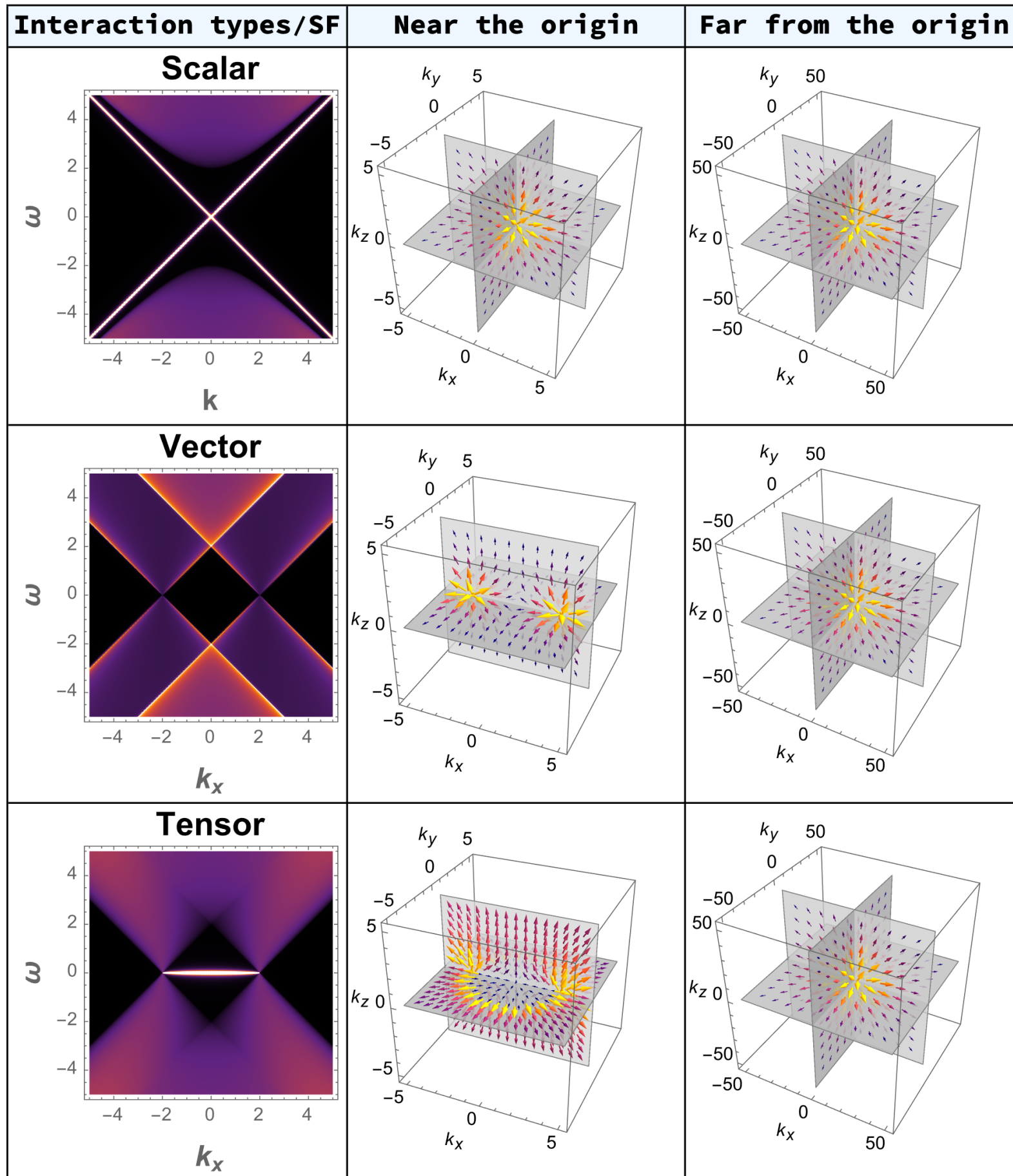


$$\Omega = \frac{k_z (f_- - f_+)^2 (\mathbf{k}^2 - b^2)}{4\sqrt{2} |\mathbf{k}_\perp| f_- f_+ ((\mathbf{k}^2 - b^2)(\mathbf{k}^2 - b_{xy}^2 - f_- f_+))^{3/2}}$$

$$f_\pm = \sqrt{(b_{xy} \pm |\mathbf{k}_\perp|)^2 + k_z^2} \text{ and } \mathbf{k}_\perp = \sqrt{k_x^2 + k_y^2}.$$

$$\left( \begin{array}{l} (|\mathbf{k}_\perp| (f_- - f_+) + b_{xy} (f_- + f_+)) \sin \theta \\ (|\mathbf{k}_\perp| (f_- - f_+) + b_{xy} (f_- + f_+)) \cos \theta \\ \frac{4k_z (b_{xy}^2 + \mathbf{k}_\perp^2 + k_z^2) \left( (\mathbf{k}_\perp^2 + k_z^2) (f_- - f_+) + b_{xy} |\mathbf{k}_\perp| (f_- + f_+) \right)}{(\mathbf{k}_\perp^2 + k_z^2 - b_{xy}^2) (f_- - f_+)^2} \end{array} \right)$$

# Summary ( $AdS_5$ or 3d topology)



Single monopole

Separated monopole

Monopole ring

# $AdS_4$ : scalar vs pseudo-scalar

the scalar  $\Gamma \cdot \Phi = iM_0$  Green's function is given by

$$\mathbb{G} = \begin{pmatrix} \frac{k_x + \omega}{-M_0 + \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} & \frac{k_y}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} \\ \frac{k_y}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} & \frac{k_x + \omega}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} \end{pmatrix}$$

$$\text{Tr } \mathbb{G} = \frac{2\omega}{-M_0 + \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}}$$

Spectrum  $\rightarrow$  gap ( $g > 0$ )  
Topological Liquid ( $g < 0$ )

But in both case

$$\Omega_{xy} = 0$$

the 1-flavor with pseudo scalar  $\Gamma \cdot \Phi = \Gamma^5 M_5$  can give a gap

$$\mathbb{G} = \frac{1}{\sqrt{k_x^2 + k_y^2 + M_5^2 - \omega^2}} \begin{pmatrix} k_x + \omega & -k_y + iM_5 \\ -k_y - iM_5 & -k_x + \omega \end{pmatrix},$$

$$\text{Tr } \mathbb{G} = \frac{2\omega}{\sqrt{k_x^2 + k_y^2 + M_5^2 - \omega^2}}$$

Spectrum  $\rightarrow$  gap

$$\Omega = \frac{M_5}{2(k_x^2 + k_y^2 + M_5^2)^{3/2}}$$

$$c_1 = \frac{1}{2\pi} \int F = 1$$

# Topology in finite temperature

I. Non-interacting (single particle) theory:

Finite temperature is ensemble average.

Each band has its own topological number  $c_n$ .

Therefore the topological number = average of  $c_n$ :

$$c(T) = \sum p_n(T) c_n$$

Actually Uhlmann defined a T-dependent  $c$ .

Q: But does it make sense for a topology to be dependent on T, a continuous deformation?

Q: What holography says about it?

# Monopole number at Finite T in holography

Method 1:  $A$  &  $F$  are  $T$ -independent, though  $G$  depends on  $T$ .

Method 2:  $GdG^{-1}$  depends on  $T$ .

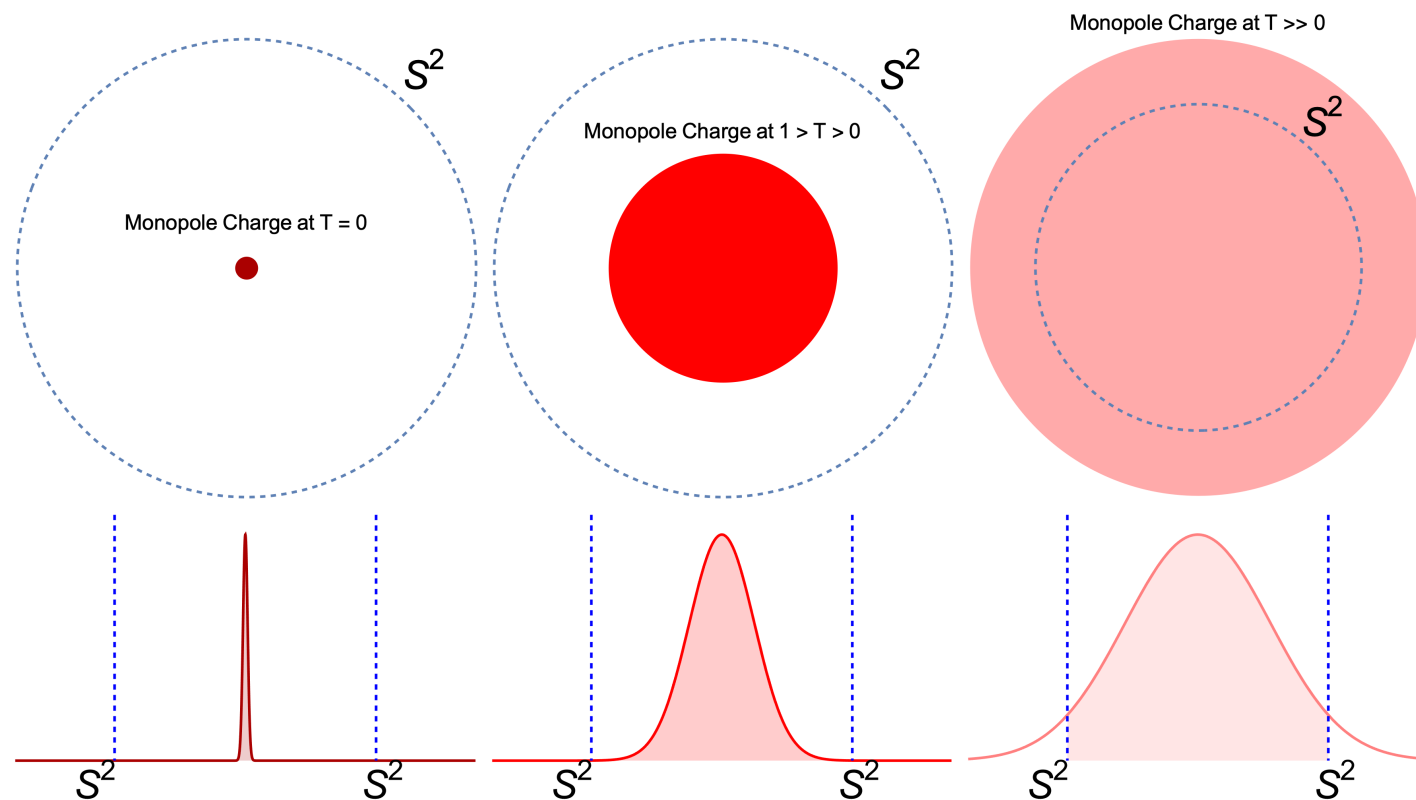


Figure: Monopole charge with increasing of temperature, with a fixed sphere surface

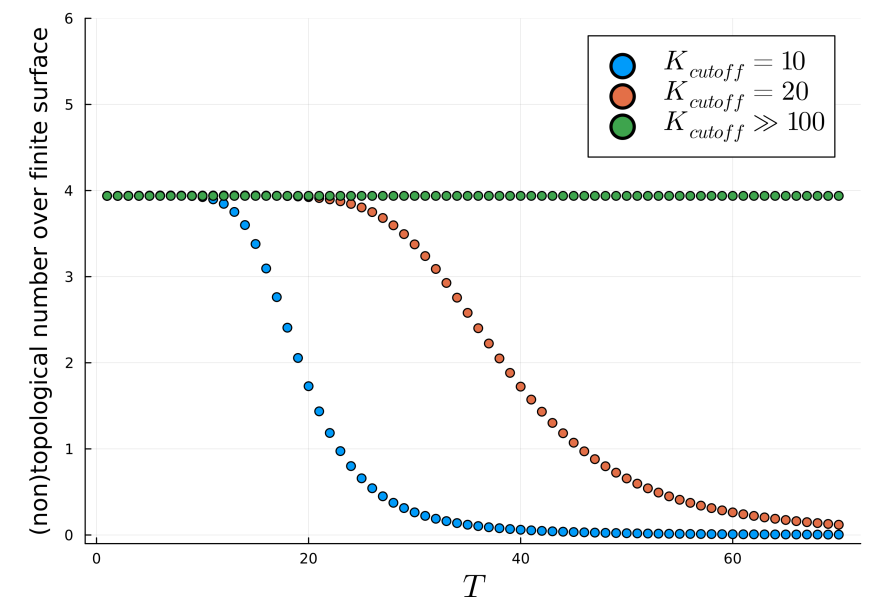


Figure: monopole numbers over the evolution of temperature by various integration sphere radius.

Flux over Large enough Surface  $\Rightarrow$  temperature independent result.

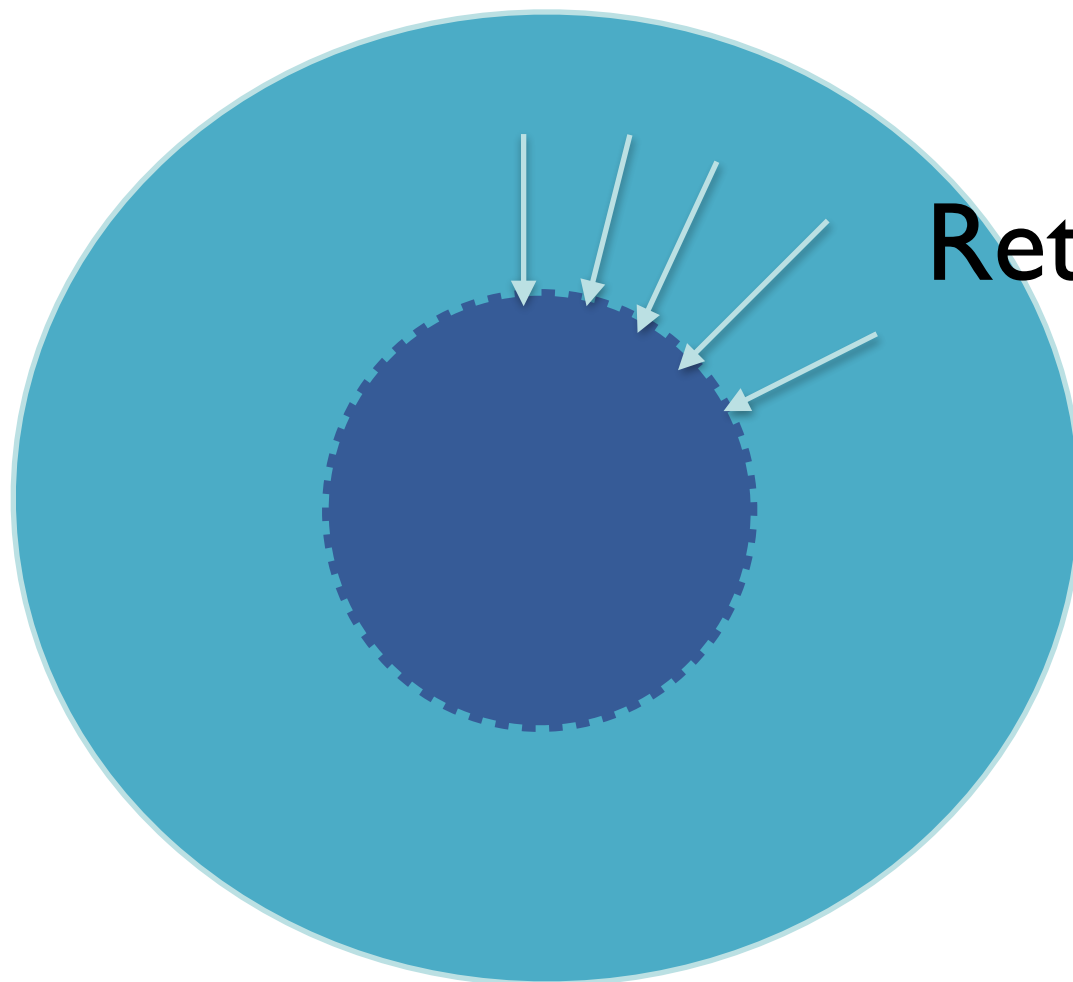
# Observation

1. In holography,  $c_1(T) = c_1(0)$  .

2. Why this happen?

In AdS/CFT dictionary,

finite temperature  $\sim$  black hole  $\sim$  (a pure) state!



Retarded = inflating BC

# Conclusion

1. Lattice = symmetry breaking mechanism => spectrum generation  
CLS=Atom, essence of both=localization of electron  
identify f orbital = flat band by CLS.
2. Topology of strongly interaction can be handled and holography gives a T-independent Topology.
3. Kondo lattice = flat band hybridized with s-band.



Thank you