



INPP Demokritos-APCTP meeting and HOCTOOLS-II mini-workshop  
30 September ~ 4 October, 2024



National Centre for  
Scientific Research (NCSR)

# Dilaton-Einstein-Gauss-Bonnet Gravity and its Cosmological Implication

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apctp

asia pacific center for  
theoretical physics

**ASIA PACIFIC CENTER FOR  
THEORETICAL PHYSICS**

tp

# Brief Facts about Korea

**People & Language:** Korean (~4,500 yrs in the area)

**Area (South):** ~100,000 km<sup>2</sup>

cf) Area of Uzbekistan ≈ 447,000 km<sup>2</sup>

**Population (South):** 51 million

## Recent History:

1945: Divided into North and South

1950~1953: Korean Conflict

1960~1970: Modernization (Migration to cities)

1970~1980: Industrialization (Heavy Industries)

1990~2019: High-tech oriented

## Leading Industries:

Electronics, Automobile, **Ship-building**, Steel,  
Chemicals, Construction, Textiles

**Economy:** GNI: 31.3 k\$/capita in 2018

**Religion:** Christian (~30%), Buddhism (~30%)

**Education:** > 80% high-school seniors go to college

**Theoretical Physics Institute:** KIAS, IBS,  
APCTP(Asia Pacific Center for Theoretical Physic) etc.



## Our Vision and Mission

**International Organization** for Science Research and Collaboration, established in **1996** with **10** member countries, endorsed by **APEC**.

### Vision

- Asia-Pacific Physics Community should play a **global leadership** in Theoretical Physics.

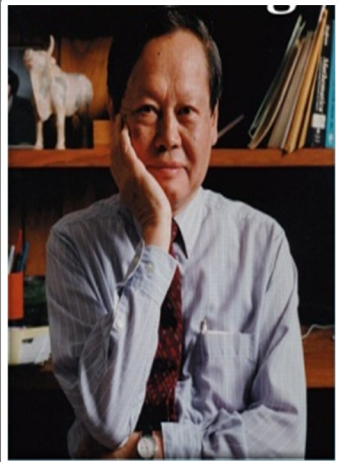
### Mission

- Function as **Hub Center** to create a **network of exchange and collaboration** for Physicists in the AP-region.
- Train **young Physicists** in the AP-region.
- Contribute to increase the **global Common Wealth**.





# History



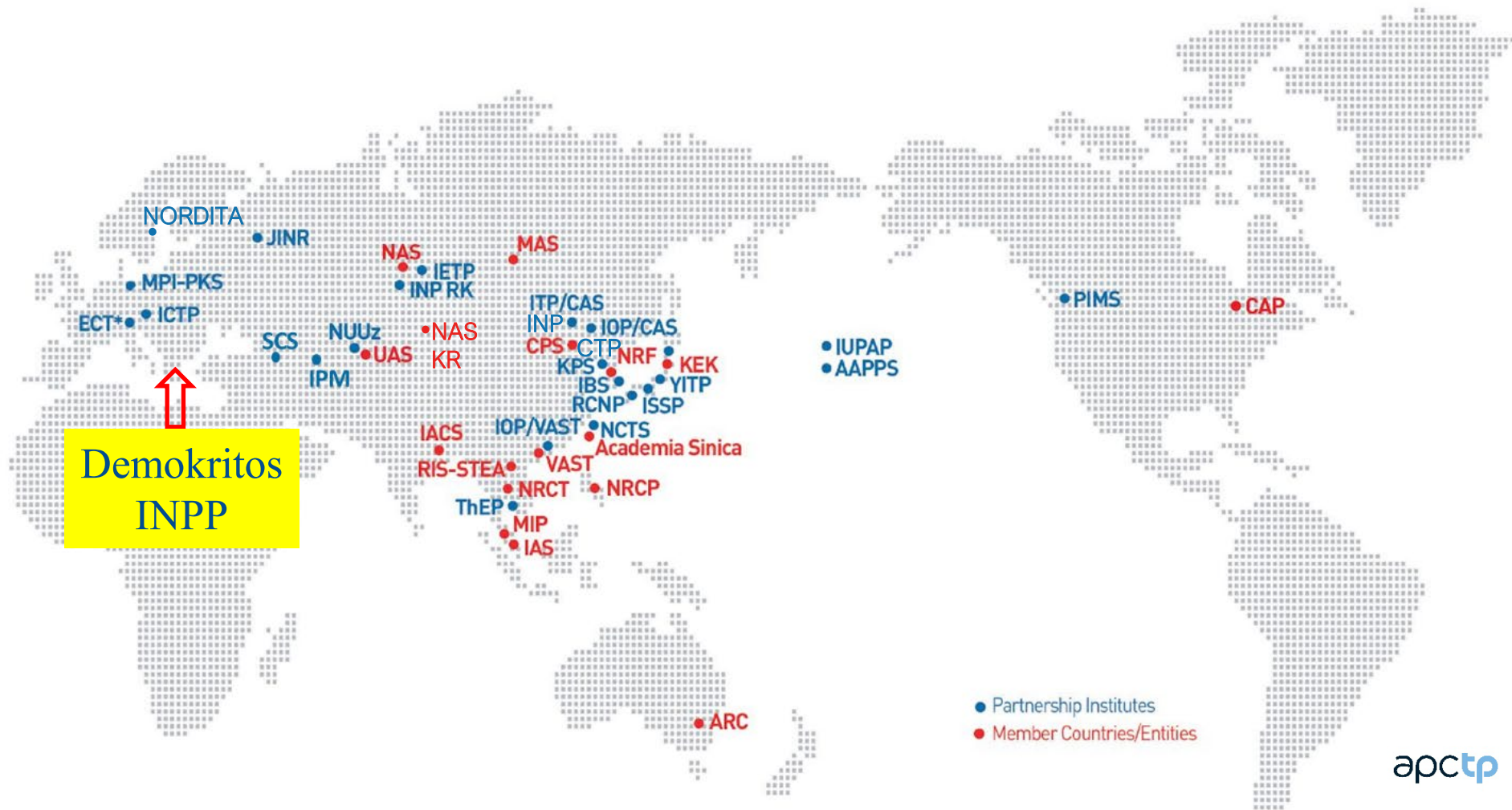
**Nobel Laureate  
in Physics, 1957**

<b>1989</b>	Proposal to establish <b>an international center for theoretical physics</b> in the <b>Asia-Pacific region</b>
<b>1994</b>	IUPAP, AAPPS supports the establishment of <b>APCTP</b> in Korea. (AAPPS: Association of Asia Pacific Physical Societies)
<b>1996</b>	Inauguration of <b>APCTP</b> (APEC S&T Ministers Meeting endorsed) 10 member countries (Australia, China, Japan, Malaysia, Philippines, Korea, Singapore, Taipei, Thailand, Vietnam) Prof. <b>C.N. Yang</b> (1st President and Chairperson)
<b>2001</b>	Relocated in <b>POSTECH</b> Prof. <b>A. Arima</b> (2nd Chairperson of BOT)
<b>2004</b>	Prof. <b>R. B. Laughlin</b> (2 <sup>nd</sup> President) Lao PDR (2006), Mongolia (2006)
<b>2007- 2013</b>	Prof. <b>P. Fulde</b> (3rd, 4th President) India (2008), Uzbekistan (2011)
<b>2013</b>	Prof. <b>Seunghwan Kim</b> (5 <sup>th</sup> President) Kazakhstan (2013)
<b>2014</b>	Join the <b>APEC PPSTI working group</b> ( <b>PPSTI</b> : Policy Partnership on Science, Technology and Innovation)
<b>2015</b>	Prof. <b>Bum-Hoon Lee</b> (6 <sup>th</sup> President)
<b>2016</b>	Opening of <b>AAPPS headquarter.</b> Canada (2016)
<b>2018~</b>	Prof. <b>Yunkyu Bang</b> (7th President ), Prof. <b>Noboru Kawamoto</b> (7th Chairperson)

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# Member Entities & Partnership Institutions

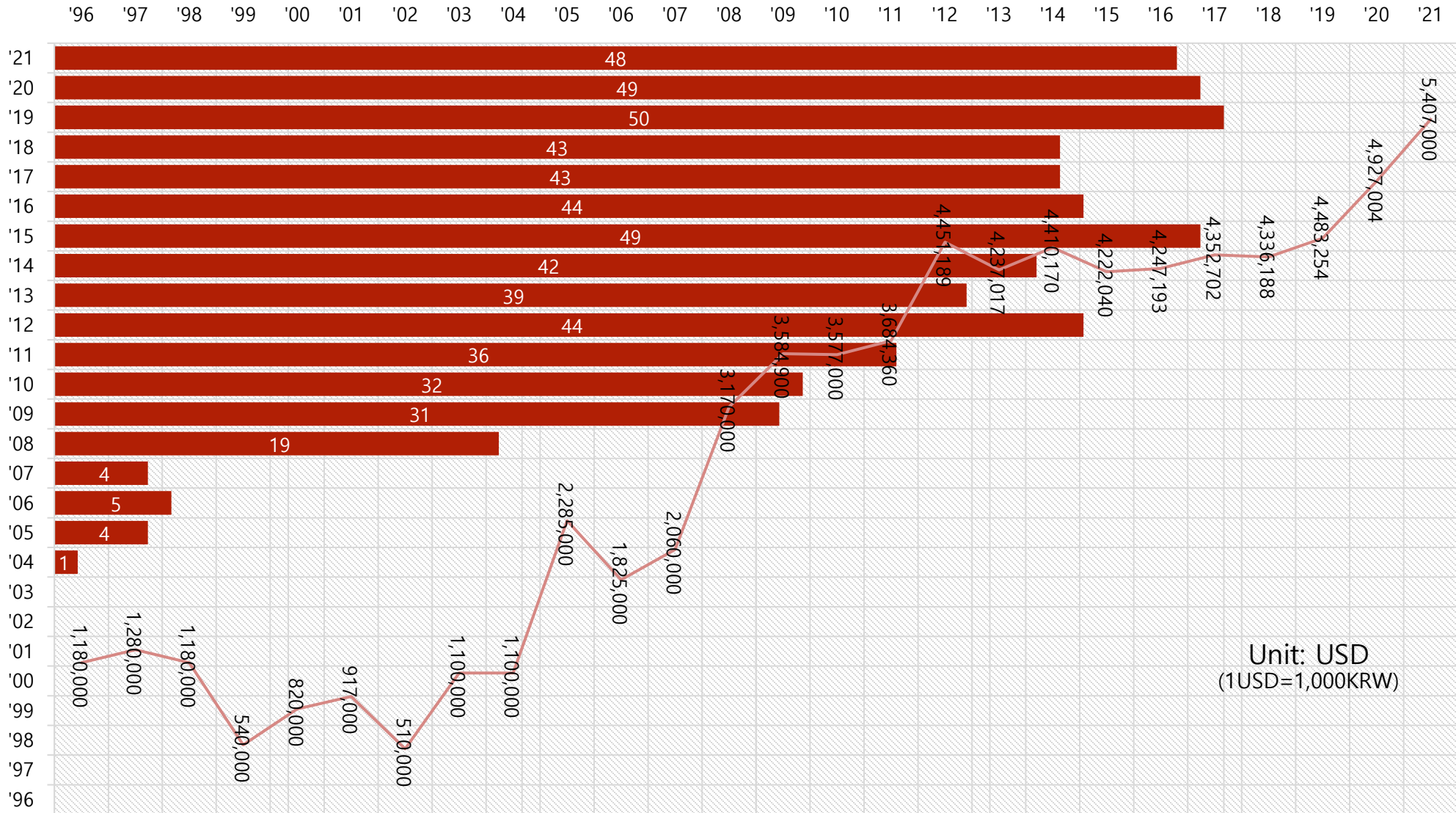


Demokritos  
INPP

- Partnership Institutes
- Member Countries/Entities



# Progress of APCTP (Quantitative)



Unit: USD  
(1USD=1,000KRW)

## Structure of In-House Research (2023)

**10** Junior Research Groups (**JRG**) ~ **39** PhDs

1. Observational Cosmology
2. Duality in String/M-Theory and Quantum Gravity
3. String Theory and Quantum Chromodynamics
4. Black Holes, Quantum Gravity and String Theory
5. Holography and Black holes
6. Interfaces and Defects in Strongly Coupled Matter
7. Magnetized Plasma Physics and Astrophysics
8. Thermodynamics of Microscopic Non-equilibrium Systems
9. Scattering Amplitude and Precision Collider Phenomenology
10. To be filled.

+ Young Scientist Training (**YST**) Program  
~ **16** PhDs

### No. of APCTP Researchers by Nationality

Australia	1
China	5
Chinese Taipei	1
Cuba	1
Finland	1
India	12
Indonesia	1
Iran	2
Italy	1
Japan	5
Korea, Republic of	20
Mexico	1
Sweden	1
Turkey	1
United Kingdom	2
<b>Total</b>	<b>55</b>



## Structure of In-House Research (2023)

4 Senior Advisory Groups (SRG) ~ 40 Professors

1. High Energy and Particle Physics:

(Bumhoon Lee, Kimyung Lee, Robert de Mello Koch, Jacob Sonnenschein, etc)

1. Condensed Matter Physics and Quantum Material :

(Naoto Nagaosa, A.V. Balatsky, Isaac Kim, KS Kim, HW Lee, etc)

2. Astrophysics and Cosmology :

(Misao Sasaki, Y M Cho, JE Kim, KM Lee, L P Zayas, Frank Ferrari, Antal Jevicki, etc)

3. Non-Equilibrium Physics and Statistical Physics :

(Fuchun Zhang, Ralf Jevicki, Eli Barkai, HK Kee, etc.)

**Aim:** short or long-term visiting position

Providing collaborations with and mentoring to the Center's Young Researchers

# Scientific Programs of APCTP (2022) (45)

apctp  
 Scientific Activity  
 Calendar 2022

Month	Event	Location
January	139 - 14 Physics 2022 International Meeting The 19th IASG-APCTP Winter School on Statistical Physics	
February	27 - 11 Online Dark Matter as a Portal to New Physics	234 - 19 Online APCTP Winter School on Fundamental Physics
April	4.4 - 9 APCTP HQ Spring Term APCTP Focus Program in Nuclear Physics 2022: Hadron Physics Opportunities with JLAB Energy and Luminosity Upgrade	
May	5.15 - 21 APCTP HQ Spring Term Numerical Methods in Theoretical Physics	5.19 - 21 RICC International Center, Pohang, Korea The 18th School of Mesoscopic Physics: Quantum control and sensing
June	5.30 - 6.4 Online I-CFCC Seoul Term Neutrino 2022 - The XXX International conference on Neutrino Physics and Astrophysics	
July	6.1 - 12 Online Online Wuhan 2022 International workshop on "Challenges in Integrability"	6.13 - 18 Hadron Physics, Korea term The 20th International Conference on Strangeness in Quark Matter
August	8.1 - 30 Online I-CFCC Seoul Term The 9th Soft Matter Summer School: Active Soft Matter	8.1 - 13 Trondheim, Norway Satellite Meeting of StatPhys28: Recent advances in complex networks with higher-order interactions
September	9.1 - 10 Online Online The 2022 APCTP-TRIFMAP Joint Workshop on Understanding Hubble from Different Theoretical Approaches	9.1 - 10 Online Online The 2022 APCTP-TRIFMAP Joint Workshop on Understanding Hubble from Different Theoretical Approaches
October	10.2 - 4 Theoretical and Experimental Meeting Online The 13th APCTP Workshop on Multiferroics	10.2 - 4 APCTP HQ Spring Term Exotic and Exotic phenomena in Heavy Ion Collision (EHTC)
November	11.8 - 12 APCTP HQ Spring Term QCD and gauge-gravity duality	11.8 - 12 Online Online The 13th International Conference on Photonics and Applications (ICPA 13)
December	12.8 - 30 The University of New South Wales, Sydney, Australia 17th International Workshop on the Dark Side of the Universe	12.8 - 30 APCTP HQ Spring Term The 3rd International Workshop on Scanning Probe Microscopy

**Topical Research Programs (11-11:30)**

- Origin and evolution of the Universe
- Dark Matter Astrophysics and Cosmology
- Physics of the early universe
- Quantum entanglement and non-locality
- Quantum systems and interfaces: quantum simulation series of Quantum and Nano Device society (QNDS)

- Particle physics in the post-LHC era (SM & beyond)
- Quantum Gravity and Duality
- Decision Making in Quantum Physics Theory
- Machine Learning on Condensed Matter Physics
- Update on methods of theoretical physics and Research Summary
- Future Heavy Ion Collision Projects from IASAC to FCC
- Physics Interactions and Social Systems
- Workshop on Gravitational Waves and Numerical Relativity

Memberships: APCTP (P.O. Box 107-542-2719, 40711, Incheon, Korea), E-mail: apctp@krci.ac.kr  
 The APCTP is supported by the Korean Government through the Science and Technology Promotion Fund and Lottery Fund and strives to maximize social value through its various activities.

# World Class APCTP Colloquium (2022)

**Venue**  
Online via Zoom

**Organizer**  
Ki-Seok Kim | POSTECH  
Asia Pacific Center for Theoretical Physics

## apctp Colloquium

Through this colloquium, not only recent trends but also long-standing problems in theoretical physics will be discussed based on unique and fundamental perspectives, providing young scientists motivation and inspiration on theoretical physics.

**Program**

Date	Time	Speakers	Affiliation	Title
September 2 <sup>nd</sup>	10:00(KST)	Edward Witten	Institute for Advanced Study	An Algebra of Observables for de Sitter Space
September 16 <sup>th</sup>	10:00(KST)	Shinsei Ryu	Princeton University	Partial transpose for quantum matter, spacetime and information
September 23 <sup>rd</sup>	10:00(KST)	Xiao-gang Wen	Massachusetts Institute of Technology	Topological order and non-Abelian statistics
September 29 <sup>th</sup>	16:00(KST)	Sameer Murthy	King's College London	Black holes, holography, and phases of Yang-Mills theory
November 4 <sup>th</sup>	10:00(KST)	Subir Sachdev	Harvard University	Quantum statistical mechanics of strange metals and black holes
November 11 <sup>th</sup>	10:00(KST)	Leonard Susskind	Stanford University	Aspects of de Sitter Holography
November 18 <sup>th</sup>	10:00(KST)	Norman Yao	Harvard University	What is a time crystal?
November 25 <sup>th</sup>	10:00(KST)	Yifan Wang	New York University	Taming Defects in Quantum Field Theory
December 2 <sup>nd</sup>	10:00(KST)	Dam Thanh Son	University of Chicago	Bosonization of Fermi liquids and coadjoint orbits
December 9 <sup>th</sup>	10:00(KST)	Hitoshi Murayama	University of California, Berkeley	Understanding gauge theories using anomaly mediation

apctp asia pacific center for theoretical physics

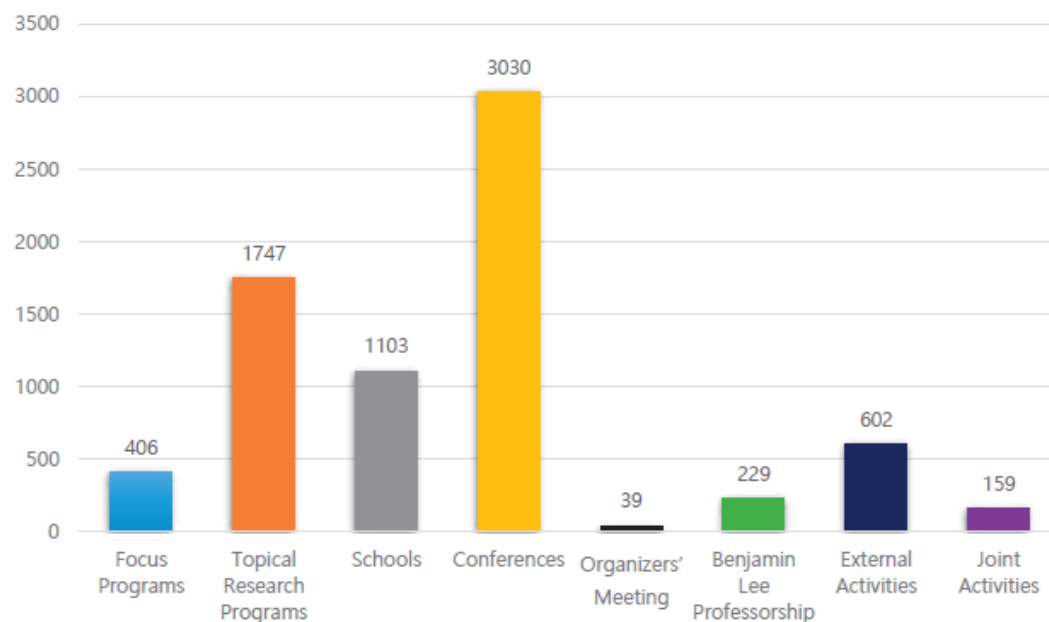
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This Colloquium is endorsed by the AAPP.

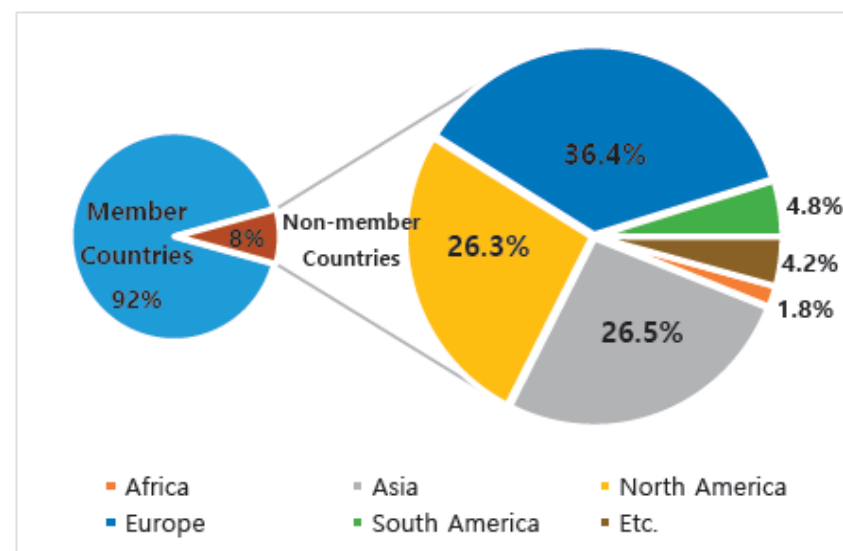
## APCTP: Scientific Activities Statistics (2021)

- **Academic Activity Hub (~50 programs)**  
Conferences, Workshops, Focus programs, Schools, Topical research programs, etc.

2021 Number of Participants :7,315



Participation in Scientific Activities  
by Region



## Science Diplomacy and Cooperation

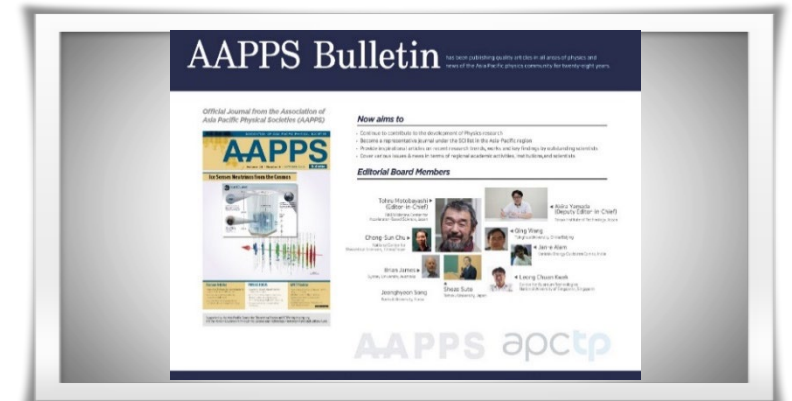
**Mission** Contribute to increase the **Global Common Wealth** through Science.

We are working with **AAPPS, APEC-PPSTI, IUPAP, AAAS, ASEAN**

- ✓ Publication of *AAPPS Bulletin Journal*
- ✓ Develop Strategic Agenda in Science Diplomacy with **APEC-PPSTI**

### Expected outcomes

- ✓ Build a science diplomatic bridge which connects the Asia Pacific region and Other regional Blocs.
- ✓ Form an active platform for global cooperation on science related social issues.



## Collaborations with ICTP (International Center for Theoretical Physics)

Established in 1964 during the peak of Cold War  
by *Abdus Salam*, endorsed by UNESCO and IAEA.  
financially supported by the Italian government.



*The first example of International cooperation based on Basic science*

Why Theoretical Physics ?  
Most *non-political*, *Common asset of all Human Civilization*  
→ *can be shared and spread with the least conflicts.*

### ICTP's mission is to:

Foster the growth of advanced studies and research in physical and mathematical sciences,  
especially in support of excellence in developing countries.  
Develop high-level scientific programs keeping in mind the needs of developing countries,  
and provide an international forum of scientific contact for scientists from all countries.  
Conduct research at the highest international standards.

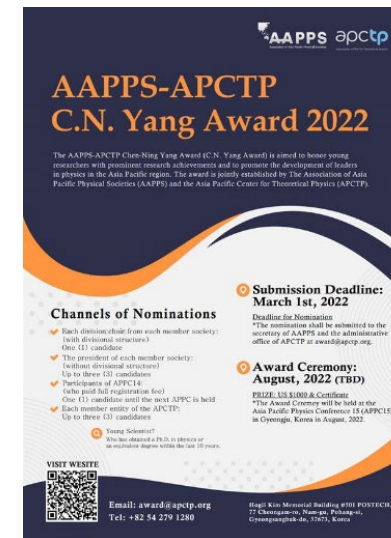
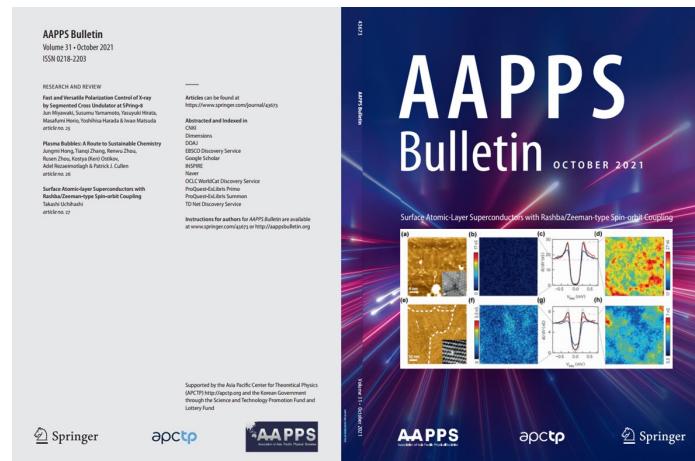
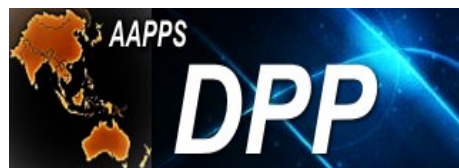


ICTP has played an important role for the communication between E-W and N-S.



# Collaborations with the AAPPS

1. Operating HQ Office of AAPPS
2. Jointly publish/promote the **AAPPS bulletin** as an International Research Journal
3. Support **Divisional activities (DPP, DACG, DNP, DCMP)**
4. Jointly awarding **C N Yang Award**
5. Support **APPC conference** every 3 years
6. Etc.



# 4. Various forms of Science Diplomacy



1. Support Less active countries in the AP-regions:  
(YST, APCTP-schools, South-Asia network program w/ ICTP)
2. Collaborations w/ Int'l science organizations:  
AAPPs, IUPAP, EPS, APS, etc
3. Targeted Int'l Collaborations :  
-- JINR (Russia), NORDITA (Sweden)  
-- Large Facility related programs :  
CERN (Europe), EIC (US), PAL (Pohang)
4. More Future programs ??



# Global Common Wealth and Cooperation through Theoretical Physics



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30 September ~ 4 October, 2024



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## **I. Motivation: Gravity beyond Einstein**

Modified Gravity beyond Einstein - Is it needed?

## **II. Gravity with Gauss-Bonnet (G-B) term**

the Dilaton Einstein Gauss-Bonnet(DEGB) theory

## **III. Black Holes**

- Black Hole solutions (asymptotic flat & asymptotic AdS)
- Stability of the DEGB Black holes under fragmentation

## **IV. dEGB Cosmology**

- Reives and Overviews
- Effects to Inflation; Reconstruction of the Scalar Potential; Reheating phases
- WIMP indirect detection, constraints from the GW signals
- New Phases and SBGWs

## **V. Summary**



# I. Motivation: Gravity beyond Einstein - Is it needed? :- Alternatives to $\Lambda$ CDM ?

## 1. Gravity : Theoretical Aspect

- GR is an **effective theory** valid below UV cut-off,  $M_{Pl} \sim 10^{19} GeV$

Ex) String theory  $\xrightarrow{\text{Low Energy}}$  Einstein Gravity + higher curvature terms ( $\alpha'$ -expansion)

- **Extreme fine-tuning** ( $\Lambda = 2, 9 \times 10^{-122} \ell_p^{-2}$ ) for Present accelerating Expansion (c.c. or DE)

- Holographic QCD & CMT

Maldacena, Witten 98; Gubser, Klebanov, Polyakov 98 etc.

Goal : Using the 5 dim. dual classical gravity, study 4 dim. strongly interacting QCD & CMT

Needs the **dual geometry (beyond Einstein) !**

\* BHs in high dimensions are quite diverse !

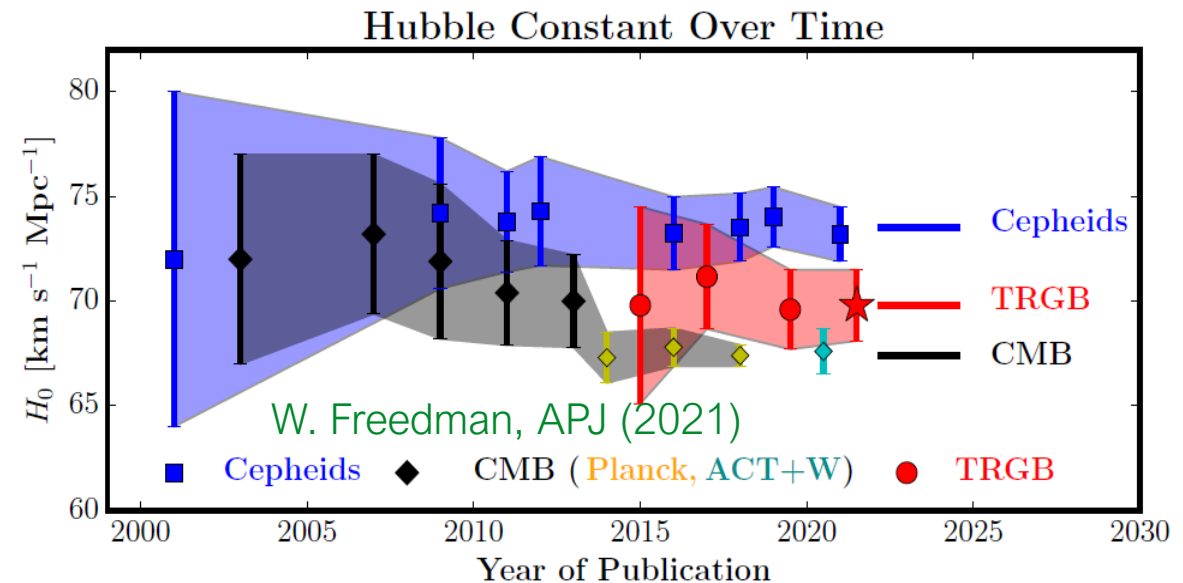
## 2. Cosmology ( $\Lambda$ CDM) - Observational Aspect Observational $H_0$ tension

$H_0 = 67.4 \pm 0.5$  km/s/Mpc (CMB),  
 $= 73.5 \pm 1.4$  km/s/Mpc (SN & Cepheids)

## 3. Modified Gravity beyond Einstein

**Q : Is it working better ?  $\Rightarrow$  We investigate**

- 1) the **Black Hole** properties &
- 2) the implication to the **cosmology**.



# II. Gravity with Gauss-Bonnet (G-B) term

## II-1) Lovelock theory (dim. $D = 2t + 1$ or $2t$ )

Lagrangian with only **1) metric** **2) 2<sup>nd</sup> order e.o.m** (for no ghosts and instabilities) will be in the following form

$$\mathcal{L}_D = \sqrt{-g} \sum_{n=0}^t \alpha_n L^n$$

Ex)  $D$ -dim

$$\mathcal{L}_2 = L^1 = \sqrt{-g} R \quad \text{topological}$$

$$\mathcal{L}_3 = L^1 = \sqrt{-g} R$$

$$\mathcal{L}_4 = L^1 + L^2 = \sqrt{-g}(R + R_{GB}^2) \approx \sqrt{-g} R$$

$$\mathcal{L}_5 = L^1 + L^2 = \sqrt{-g}(R + R_{GB}^2)$$

$$\mathcal{L}_6 = L^1 + L^2 + L^3 = \sqrt{-g}(R + R_{GB}^2 + R_{E.C}^3) \approx \sqrt{-g}(R + R_{GB}^2)$$

$$\mathcal{L}_7 = L^1 + L^2 + L^3 = \sqrt{-g}(R + R_{GB}^2 + R_{E.C}^3)$$

$L^n$  : Lovelock term, topological in  $2n D$   
 Ex)  $L^1 = R$  Einstein-Hilbert term topol in  $2 D$

$$L^2 = R^2 - 4R_{ab}R^{ab} + R_{abcd}R^{abcd} \\ = R_{GB}^2 \quad \text{Gauss-Bonnet term.} \quad \text{topol in } 4 D$$

$$L^m = \frac{1}{2^m} \delta_{a_1 b_1 a_2 b_2 \dots a_m b_m}^{\mu_1 \nu_1 \mu_2 \nu_2 \dots \mu_m \nu_m} R_{a_1 b_1}^{\mu_1 \nu_1} R_{a_2 b_2}^{\mu_2 \nu_2} \dots R_{a_m b_m}^{\mu_m \nu_m} \\ \text{Euler characteristic of dim } 2m \quad \text{topol in } 2m D$$

$$\delta_{a_1 b_1 a_2 b_2 \dots a_m b_m}^{\mu_1 \nu_1 \mu_2 \nu_2 \dots \mu_m \nu_m} = (2m)! \delta_{[a_1}^{\mu_1} \delta_{b_1}^{\nu_1} \dots \delta_{a_m}^{\mu_m} \delta_{b_m}^{\nu_m]}$$

## Lovelock's theorem ( in dim =4 (& 3)

The Einstein eqns (w/ c.c.) are the only possible **2nd-order eqns** derived in 4 dim. **solely from the metric.**

**Modification of GR needs to relax the assumptions of Lovelock's theorem.**  
 → Adding a **new degree of freedom (such as scalars)** other than the metric

## II-2) Horndeski Theory - the most general scalar-tensor theory w/ 2nd-order field eqn in 4D

$$\mathcal{L} = G_2(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi, X)R + G_{4X}[(\square\phi)^2 - \phi_{\mu\nu}\phi^{\nu\mu}] \\ + G_5(\phi, X)G^{\mu\nu}\phi_{\mu\nu} - \frac{G_{5X}}{6}[(\square\phi)^3 - 3\square\phi\phi_{\mu\nu}\phi^{\nu\mu} + 2\phi_{\mu\nu}\phi^{\nu\lambda}\phi_{\lambda}{}^{\mu}]$$

higher derivative theories may have ghosts and Ostrogradsky instability :

**Note** : Horndeski theory is classified by 4 arbitrary functions  $\{G_i(\phi, X), i = 2,3,4,5\}$ .

### Examples:

(i) Einstein Gravity is obtained by taking  $G_4 = \frac{M_P^2}{2}$  (other  $G_i = 0$ )

$$S = \int d^4x \sqrt{-g} \frac{M_P^2}{2} R \quad \text{Linear in curvature scalar}$$

(ii) Brans-Dicke  $f(R)$ , k-inflation/k-essence, Quintessence gravity, etc

(iii) Gauss-Bonnet Term  $S = -\frac{1}{2} \int d^4x \sqrt{-g} \xi(\phi) R_{GB}^2$  where  $R_{GB}^2 = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$

can be shown to be realized (at the level of the e.o.m)

Horndeski, *Int. J. Theor. Phys.*

**10** 363–84 (1974)

Charmousis, Copeland, Padilla &

Saffin *Phys. Rev. Lett.* **108**

051101 (2012)

the Dilaton-Einstein-Gauss-Bonnet (DEGB) Gravity

$f(\phi) = \alpha e^{\gamma\phi}$  polynomial etc.

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{\Lambda e^{\lambda\phi(r)}}{2\kappa} + f(\phi) R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{matt} \right]$$

**Goal** : To understand the physics due to the main parameters

## II-3) Einstein Gauss-Bonnet Gravity

1) The general theory with quadratic curvature terms  $\Lambda$  in  $d > 4$

$$S_{quad} = \int d^d x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda + aR^2 + bR_{\mu\nu}R^{\mu\nu} + cR_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}) + \mathcal{L}_m^{matt} \right]$$

The e.o.m. doesn't include the derivatives of the curvatures only if  $b = -4a$  &  $c = a$

Gauss-Bonnet term

$$R_{GB}^2 = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$$

2) the Einstein-Gauss-Bonnet (EGB)-  $\Lambda$  Gravity (GB-AdS) in  $d > 4$

$$S_{EGB-\Lambda} = \int d^d x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda + \alpha R_{GB}^2) + \mathcal{L}_m^{matt} \right]$$

Note :  $\Lambda = -\frac{(d-1)(d-2)}{2\ell^2}$

$\kappa = 8\pi G$ ,  $g = \det g_{\mu\nu}$

$[\alpha] = [\text{length}]^2$

3) the Dilaton-Einstein-Gauss-Bonnet (DEGB) Gravity in  $d = 4$

$f(\phi) = \alpha e^{\gamma\phi}$  polynomial etc.

$$S_{dEGB} = \int d^4 x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda e^{\lambda\phi(r)} + f(\phi)R_{GB}^2) + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{matt} \right]$$

**Goal** : To understand the physics due to the main parameters

# III. Black Holes (in $d$ -dim)

**Horizon** : a null hypersurface defined by  $f(r_H) = 0$  w/ finite curvatures)

## III-1) Einstein theory – Schwarzschild BH

**Action**

$$S = \int d^d x \sqrt{-g} \left[ \frac{1}{2\kappa} R \right]$$

Eqns of motion

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 0$$

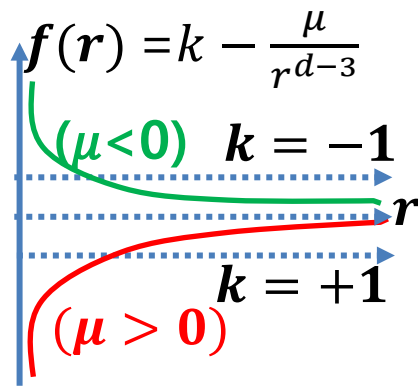
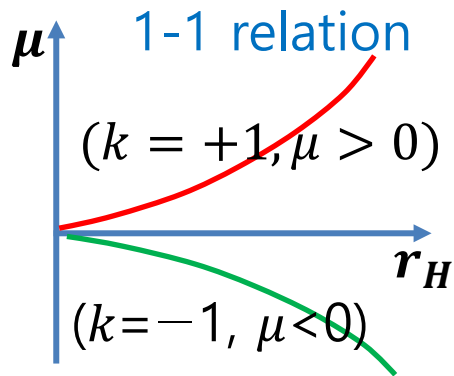
$$\kappa = 8\pi G, \quad g = \det g_{\mu\nu}$$

**Black Hole solution**

$$f(r) = k - \frac{\mu}{r^{d-3}} \xrightarrow{d=4; k=1} 1 - \frac{\mu}{r} \quad (\mu > 0),$$

**Horizon ( $f(r_H) = 0$ ) & ( $\mu - r_H$ ) relation**

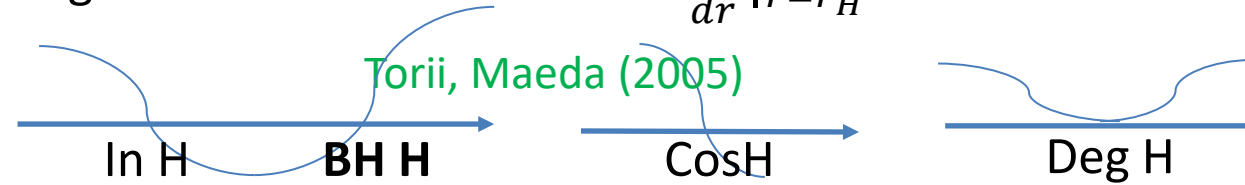
$$\mu = k r_H^{d-3} \xrightarrow{d=4; k=1} r_H \quad (\mu > 0)$$



Schwarzschild BH only for  $k = +1, \mu > 0$

$$ds^2 = -f(r) dt^2 + f^{-1}(r) dr^2 + r^2 d\Sigma_k^{d-2}$$

- Black hole horizon : if  $\left. \frac{df}{dr} \right|_{r=r_H} > 0$
- Inner horizon : within a BH hor w/  $\left. \frac{df}{dr} \right|_{r=r_H} < 0$
- Cosmological H : the outer most hor w/  $\left. \frac{df}{dr} \right|_{r=r_H} < 0$
- Degenerate H : a horizon with  $\left. \frac{df}{dr} \right|_{r=r_H} = 0$



**Note:** ADM mass  $M$

$$\mu = \frac{16\pi G}{(d-2)\Sigma_k^{d-2}} M$$

**Ex)**  $\mu = 2GM$  ( $d = 4$ )

$$\mu = \frac{8}{3\pi} GM \quad (d = 5)$$

( $\mu = 0$ )

$$ds^2 = -k dt^2 + k^{-1} dr^2 + r^2 d\Sigma_k^{d-2}$$

$k = +1$ , Minkowski

$k = -1$ , Hyperbolic

**Note:**  $[S] = ML$  ;

$$[G] = \frac{L^{d-3}}{M} ; [\mu] = L^{d-3} ;$$

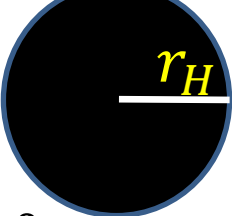
$\Sigma_k^{d-2}$ : Einstein mfld ( $R_{ij} \propto h_{ij}$ ),  
codim.2, curvature =  $k$

Ex)  $\Sigma_1^2 = S^2$ ;  $\Sigma_0^2 = T^2$ ;  $\Sigma_{-1}^2 = H^2$

$$d\Sigma_k^{d-2} = h_{ij}(x) dx^i dx^j$$

$$d\Sigma_k^2 = \begin{cases} d\Omega_{d-2}^2 & \text{for } k = +1 \\ \Sigma dx_i^2 & \text{for } k = 0 \\ dH_{d-2}^2 & \text{for } k = -1 \end{cases}$$

$$\Sigma_k^{d-2} = \int d^{d-2} x \sqrt{|h_{ij}|}$$





## Singularity (spacelike) at $r = 0$

The Kretschmann invariant

$$I \equiv R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} = \mathcal{O}\left(\frac{\mu^2}{r^{2(d-1)}}\right)$$

## Thermodynamics

### Hawking Temperature

$$kT_H = \frac{\hbar\kappa_{SG}}{2\pi} = \frac{\hbar}{4\pi} f'(r_H) = \frac{\hbar(d-3)k}{4\pi r_H}$$

$$\xrightarrow{d=4;k=1} \frac{\hbar}{4\pi r_H} = \frac{\hbar c^3}{8\pi GM}$$

**Note:** For a BH, with the metric

$$ds^2 = -f(r) dt^2 + f^{-1}(r) dr^2 + r^2 d\Sigma_k^{d-2}$$

Near enough to the horizon,

$$f(r) = f'(r_H)(r - r_H) = 2\kappa_{SG}(r - r_H)$$

The Euclidean BH metric after "Wick rotation"

$$ds^2 = 2\kappa_{SG}(r - r_H)d\tau^2 + \frac{1}{2\kappa_{SG}(r - r_H)} dr^2 + r^2 d\Sigma_k^{d-2}$$

$$= d\rho^2 + \kappa_{SG}^2 \rho^2 d\tau^2 + r^2 d\Sigma_k^{d-2};$$

$$\rho = \frac{1}{\kappa_{SG}} \sqrt{2\kappa_{SG}(r - r_H)}$$

For no conical singularity at the origin,

$$\tau\text{-period} = \frac{2\pi}{\kappa_{SG}} = \frac{4\pi}{f'(r_H)} \equiv \beta = \frac{1}{T_H}$$

Black Hole	Thermodynamics
Mass	Energy
Area	Entropy
Surf grav	Temperature

## Horizon

$$kr_H^{d-3} = \mu \xrightarrow{d=4;k=1} 2GM$$

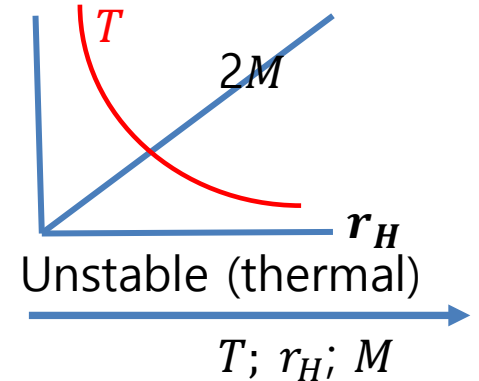
$$\text{or } M = \frac{1}{2G} r_H = \frac{1}{8\pi G T}$$

Surface Gravity  $\kappa_{SG} = \frac{f'(r_H)}{2}$

$$f(r) = k - \frac{\mu}{r^{d-3}} \quad \mu = kr_H^{d-3}$$

$$\Rightarrow f'(r_H) = (d-3) \frac{\mu}{r_H^{d-2}}$$

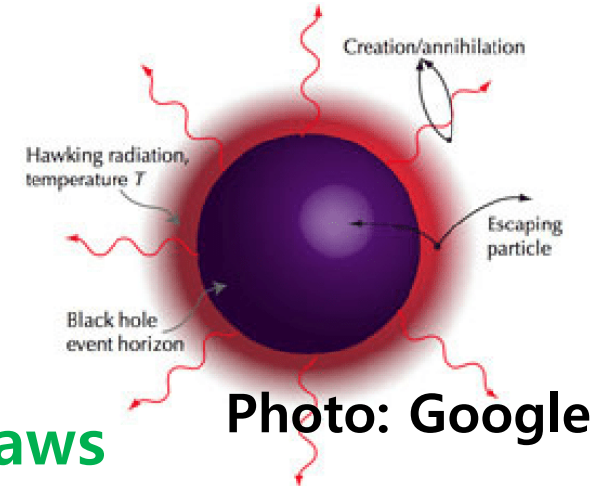
$$= (d-3) \frac{k}{r_H}$$



### Entropy

$$S = \frac{\text{Area}}{4\hbar G}$$

$$= \frac{\pi r_H^2}{\hbar G} = \frac{1}{16\pi\hbar G T^2}$$



## Thermodynamic Laws

$$F(T) = M - TS = \frac{r_H}{4G} = \frac{1}{16\pi G T}; \quad dF = -SdT,$$

$$C_V = \frac{dM}{dT} = -\frac{1}{8\pi G T^2} < 0 : \text{Unstable}$$

(Hawking radiation)

A BH in asymptotically flat space is thermodynamically unstable (Hawking Radiation).

**Question:** How to make the BH thermodynamically stable?

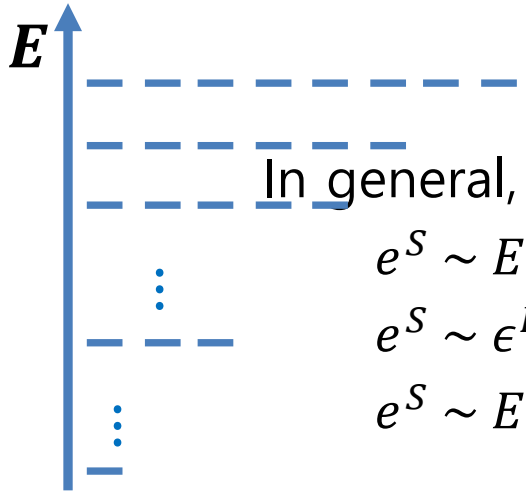
**Method 1)** Place the BH inside a finite spherical cavity.  $T$  is fixed at the surface of the cavity,

**Method 2)** Put the BH in AdS space ( $\Lambda < 0$ ), which stabilizes BH by acting as a reflecting box.

**Question:** Information loss? No unitary evolution for the Hawking radiation? cf) Page curve  
Does the Hawking radiation change the pure quantum state into a mixed state?

### Thermodynamics

Entropy  
 $e^S = \#$  of configurations (states)



In general, the entropy  $S$

- $e^S \sim E^\epsilon$ , then,  $S \sim \ln E \ll E^2$
- $e^S \sim \epsilon^E$ , then,  $S \sim E \ll E^2$
- $e^S \sim E! \sim E^E$ , then,  $S \sim E \ln E \ll E^2$

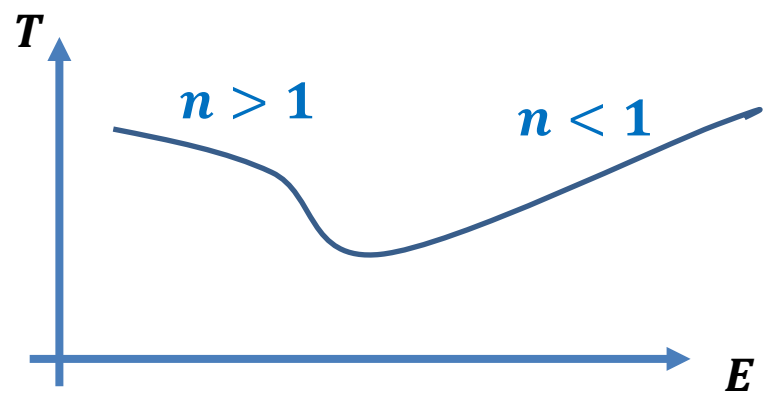
Temperature  
 $\frac{1}{T} = \frac{dS}{dE}$

### Black Holes

Entropy ( $r_H = 2GM$ )  $S = Area/4 = \pi r_H^2 = 4\pi G^2 M^2$   
 $e^S \sim \epsilon^{E^2}$

Stability  
 $\frac{\partial^2 \mathcal{F}}{\partial x \partial y} \geq 0$

Ex) Heat Capacity  
 $C = \frac{dE}{dT}$   
 $S \sim E^n \rightarrow T \sim E^{1-n}$ ,  
 $C = \frac{dE}{dT} \sim E^n$



## III-2) Schwarz AdS<sub>d</sub> Black Holes

**Action** Birmingham (1999); Emparan (1999)

$$S = \frac{1}{2\kappa} \int_{\mathcal{M}} d^d x \sqrt{-g} [ (R - 2\Lambda) ] \\ + \frac{1}{\kappa} \int_{\partial\mathcal{M}} d^{d-1} x \sqrt{-h} K + S_{ct}$$

Eqns of motion (vacuum)  $\kappa = 8\pi G,$   
 $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R + \Lambda g_{\mu\nu} = 0$   $g = \det g_{\mu\nu};$

Einstein manifold solution: or

$$R = \frac{d}{d-2} 2\Lambda; \quad R_{\mu\nu} = \frac{2\Lambda}{d-2} g_{\mu\nu} \\ R = -\frac{d(d-1)}{\ell^2}; \quad R_{\mu\nu} = -\frac{(d-1)}{\ell^2} g_{\mu\nu}$$

**Note:**  
**Dimension**  $[S] = ML; [G] = \frac{L^{d-3}}{M}; [\mu] = L^{d-3}; [\ell^2] = L^2$   
**(c=1)**

$$\Lambda = -\frac{(d-1)(d-2)}{2\ell^2} < 0 \quad \text{Cosmol Const for AdS}$$

$K$  = Trace of the extrinsic curvature  
(Tr of the 2<sup>nd</sup> fundamental form)

$h$  the induced metric on the boundary

**Note :**

1) The BH is an Einstein spacetime, if the horizon is an Einstein space of +, 0, - curvature.

i.e.,  $R_{\mu\nu} = -\frac{(d-1)}{\ell^2} g_{\mu\nu}$  (Einstein space w/  $\Lambda < 0$  )

if hor is Einstein mfld  $R_{ij}(h) = (d-3)k h_{ij}; k = +1, 0, -1$

2)  $\mu = 0 \Rightarrow$  (locally) AdS  $R_{\mu\nu\rho\sigma} = -\frac{1}{\ell^2} (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$

if hor : const curvature  $R_{ijkl}(h) = k(g_{ik}g_{jl} - g_{il}g_{jk})$

3) Solutions classified by  $k$  and  $\mu$ .

## III-2) Schwarz AdS<sub>d</sub> Black Holes

**Action** Birmingham (1999); Emparan (1999)

$$S = \frac{1}{2\kappa} \int d^d x \sqrt{-g} [ (R - 2\Lambda) ] \\ + \frac{1}{\kappa} \int d^{d-1} x \sqrt{-h} K + S_{ct}$$

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Einstein manifold solution: or

$$R = \frac{d}{d-2} 2\Lambda; \quad R_{\mu\nu} = \frac{2\Lambda}{d-2} g_{\mu\nu}$$

$$R = -\frac{d(d-1)}{\ell^2}; \quad R_{\mu\nu} = -\frac{(d-1)}{\ell^2} g_{\mu\nu}$$

### Black Hole solution

$$ds^2 = -f(r) dt^2 + f^{-1}(r) dr^2 + r^2 d\Sigma_k^{d-2}$$

$$f(r) = k - \frac{\mu}{r^{d-3}} + \frac{r^2}{l^2}$$

$$\mu = \frac{16\pi G}{(d-2)\Sigma_k^{d-2}} M; \quad M: \text{ADM mass}$$

**Note:**  
**Dimension**  $[S] = ML; [G] = \frac{L^{d-3}}{M}; [\mu] = L^{d-3}; [\ell^2] = L^2$   
**(c=1)**

$$\Lambda = -\frac{(d-1)(d-2)}{2\ell^2} < 0 \quad \text{Cosmol Const for AdS}$$

$K =$  Trace of the extrinsic curvature

**Note :**

1) The BH is an Einstein spacetime, if the horizon is an Einstein space of +, 0, - curvature.

i.e.,  $R_{\mu\nu} = -\frac{(d-1)}{\ell^2} g_{\mu\nu}$  (Einstein space w/  $\Lambda < 0$ )

if hor is Einstein mfld  $R_{ij}(h) = (d-3)kh_{ij}; k = +1, 0, -1$

2)  $\mu = 0 \Rightarrow$  (locally) AdS  $R_{\mu\nu\rho\sigma} = -\frac{1}{\ell^2} (g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$

if hor : const curvature  $R_{ijkl}(h) = k(g_{ik}g_{jl} - g_{il}g_{jk})$

3) Solutions classified by  $k$  and  $\mu$ .

$\Sigma_k^{d-2}$ : Einstein mfld (codim.2) ( $R_{ij} = (d-3)kh_{ij}$ )

Metric  $d\Sigma_k^{d-2} = h_{ij}(x) dx^i dx^j$

$$= \begin{cases} d\Omega_{d-2}^2 & k = +1 \text{ sphere} \\ \sum_{i=1}^{d-2} dx_i^2 & k = 0 \text{ plane} \\ dH_{d-2}^2 & k = -1 \text{ hyperbolic space} \end{cases}$$

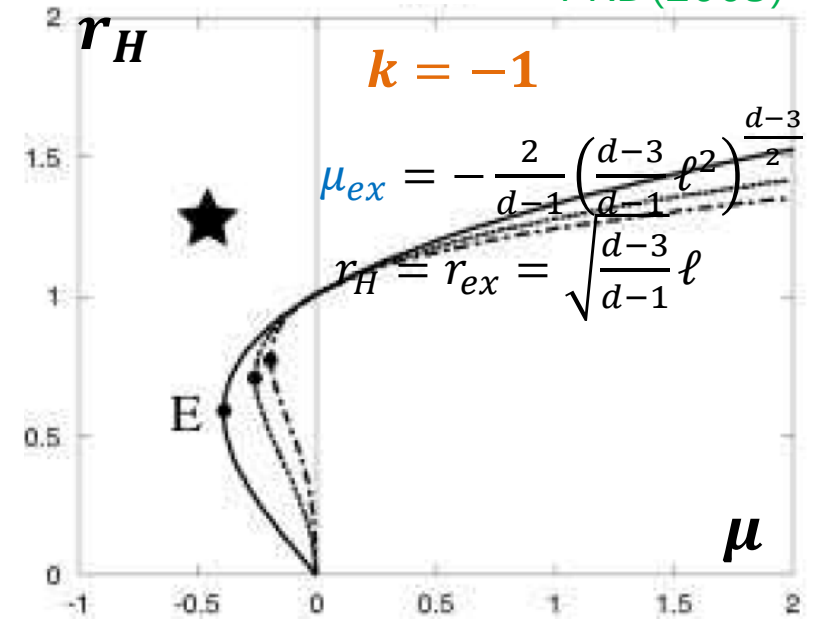
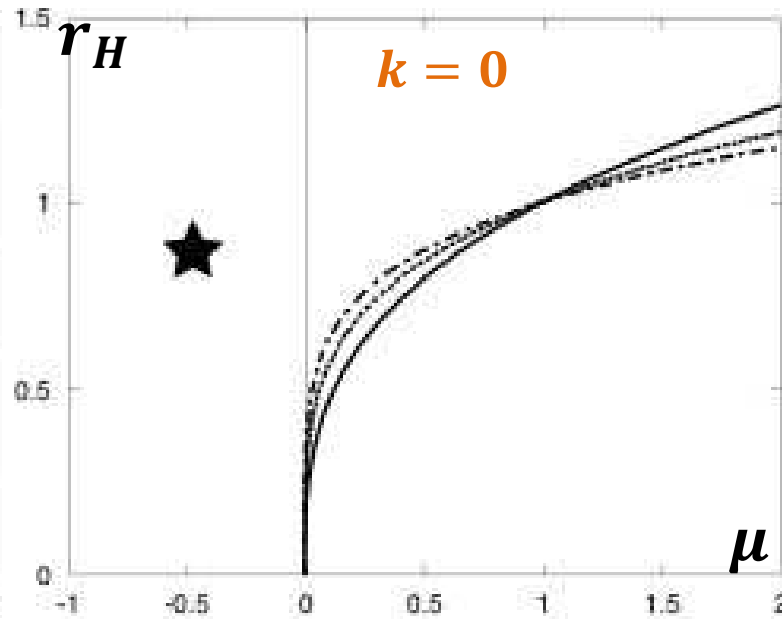
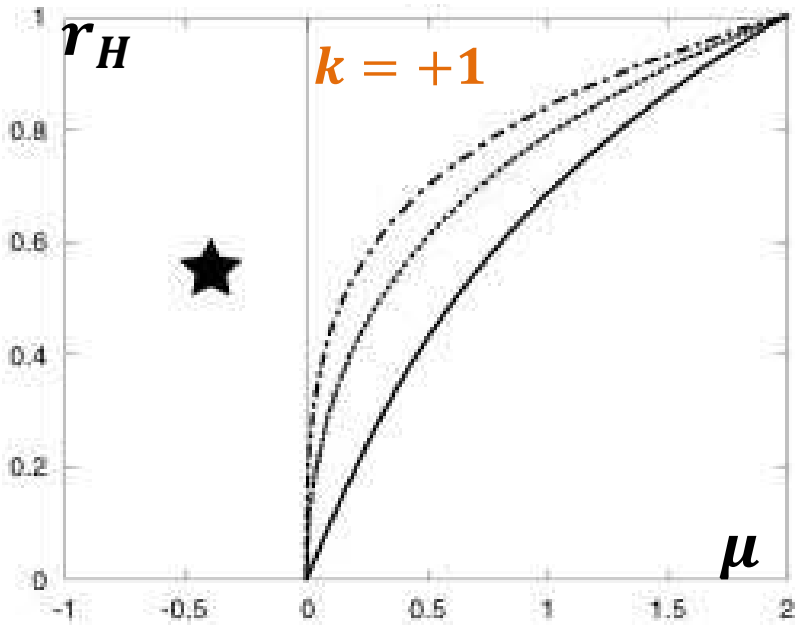
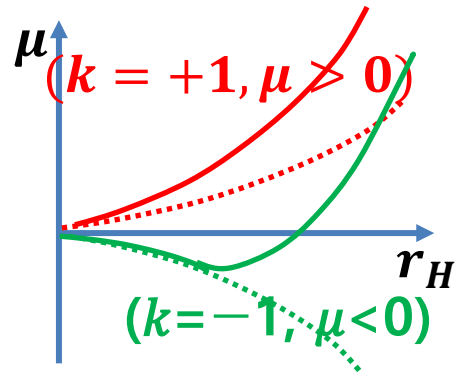
Volume  $\Sigma_k^{d-2} = \int d^{d-2} x \sqrt{|h_{ij}|}$

# Horizon $f(r_H) = 0$ & $(\mu - r_H)$ relation (Schwarz AdS BH)

$$f(r) = k - \frac{\mu}{r^{d-3}} + \frac{r^2}{l^2}$$

$$\mu = r_H^{d-3} \left( k + \frac{r_H^2}{\ell^2} \right)$$

Solutions classified by  $k$  and  $\mu$ .



Torii, Maeda  
PRD(2005)



# Thermodynamics - Schwarz AdS Black Holes: Phases

**Hawking Temperature**  $\mu = r_H^{d-3} \left( k + \frac{r_H^2}{\ell^2} \right)$   $f(r) = 1 - \frac{\mu}{r^{d-3}} + \frac{r^2}{\ell^2}$

$$T_H = \frac{1}{4\pi} f'(r_H) = \frac{1}{4\pi} \left( (d-3) \frac{\mu}{r_H^{d-2}} + 2 \frac{r_H}{\ell^2} \right) = \frac{1}{4\pi} \left( \frac{(d-3)k}{r_H} + (d-1) \frac{r_H}{\ell^2} \right)$$

$$\text{or } \beta = \frac{4\pi\ell^2 r_H}{(d-1)r_H^2 + k(d-3)\ell^2}$$

$$\text{Or } r_H = \frac{2\pi\ell^2 T_H}{d-1} \left[ 1 + \sqrt{1 - k \frac{(d-1)(d-3)}{4\pi^2\ell^2 T_H^2}} \right]$$

**Surface Gravity**

$$\kappa_{SG} = \frac{f'(r_H)}{2}$$

**Note :**

For  $k = +1$ , (Schw. AdS BH)

(1)  $T \geq T_{min} = \frac{\sqrt{2}}{\pi\ell}$ ,

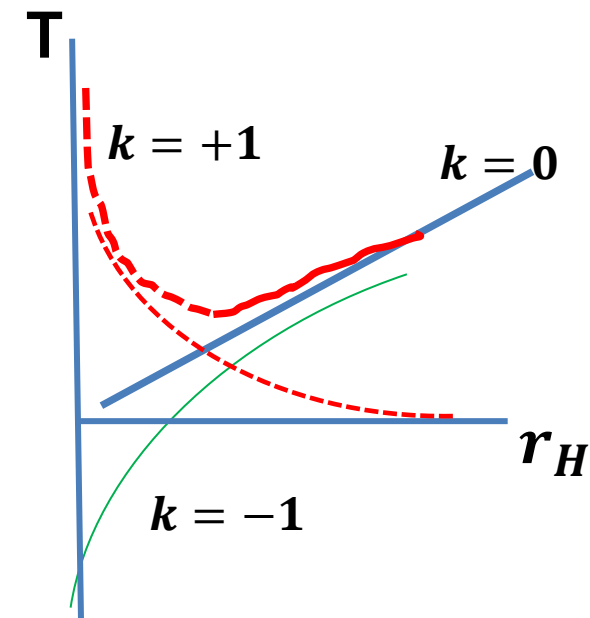
(2) Two branches:

Small BH ( $r_H \ll \ell$ ) is unstable (like SSBH), while

Large BH ( $r_H \gg \ell$ ) is stable.

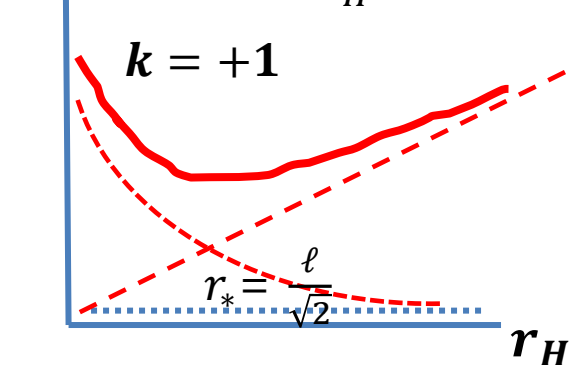
(3) Hawking-Page Tr.

(1-parameter)



**Ex) AdS4 ( $k = +1$ )**

$$T_H = \frac{1}{4\pi} \left( \frac{1}{r_H} + \frac{3}{\ell^2} r_H \right)$$



**Small BH:**  $C < 0$ , unstable  
**Large BH:** Stable,

# Hawking-page Transition

Gravitational **Partition function** (the Euclidean path integral) : **Canonical Ensemble**

$$Z[\beta] = \int [dg][d\Phi_{matter}] e^{-I_{Euc}} = e^{-\beta F} \quad -\ln Z = I_{Euc} = \beta F \quad (\text{for } X_2 = \text{AdS SS BH wrt } X_1 = \text{AdS}_d/Z)$$

$$I_{Euc} = -\frac{1}{16\pi G} \int d^d x \sqrt{-g} [R - 2\Lambda] = \frac{(d-1)}{8\pi G \ell^2} (V_2(R) - V_1(R))$$

$$= \frac{\Sigma_1^{d-2}}{4G} \frac{\ell^2 r_H^{d-2} - r_H^d}{(d-1)r_H^2 + (d-3)\ell^2}$$

$$2\Lambda = -\frac{(d-1)(d-2)}{\ell^2}$$

**For  $T < T_0$ , only thermal AdS4 (no BH)**

$$F = -T \ln Z = -\frac{\pi^4}{30} g T^4 \ell^3 + \mathcal{O}(\ell T^2)$$

**For  $T > T_0$ , two AdS4 BHs**

**large BH : stable**

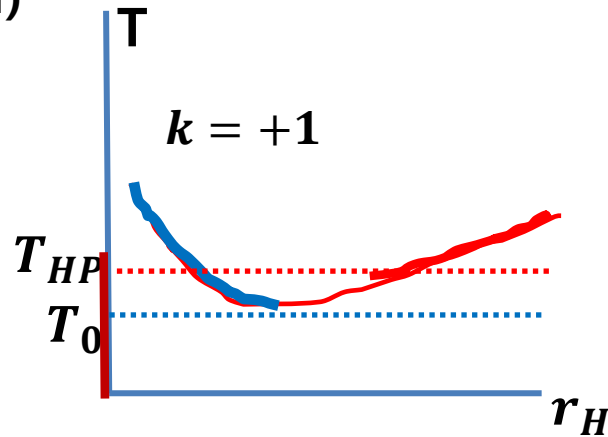
**small BH : unstable  $\rightarrow$  Thermal AdS**

**For  $T_0 < T < T_{HP} = \frac{1}{\pi \ell}$ ,  $F > 0$  :**

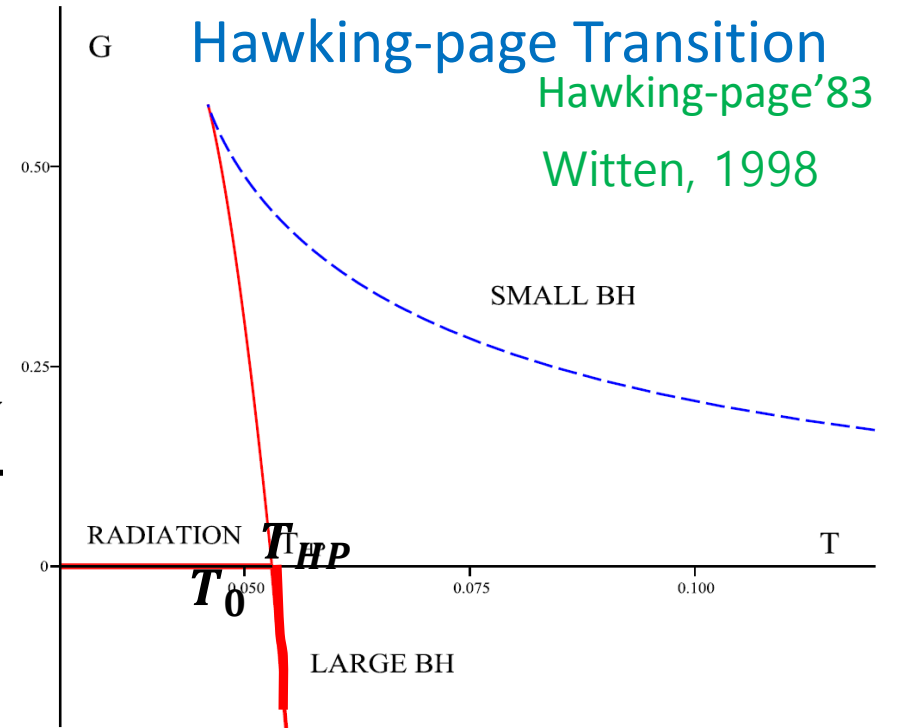
BH evaporate into thermal AdS

**For  $T > T_{HP}$ ,  $F < 0$  (large BH):**

thermal AdS tunnels into BH



**Small BH:  $C < 0$ , Large BH Stable,**  
unstable



Phase Transitions are described in terms of the geometry change.

# RNAdS BH

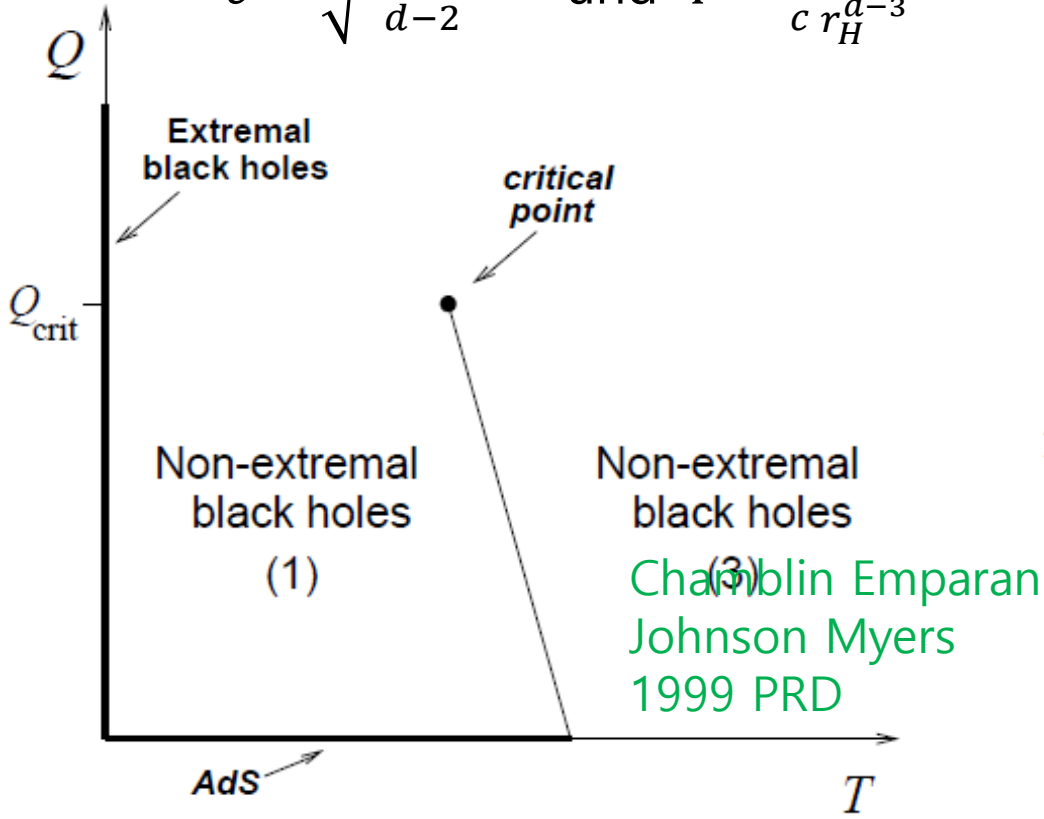
## Black Hole solution

$$ds^2 = -f(r) dt^2 + f^{-1}(r) dr^2 + r^2 d\Sigma_k^2$$

$$f(r) = k - \frac{\mu}{r^{d-3}} + \frac{q^2}{r^{2(d-3)}} + \frac{r^2}{l^2};$$

$$A = \left( -\frac{1}{c} \frac{q}{r^{d-3}} + \Phi \right) dt$$

$$c = \sqrt{\frac{2(d-3)}{d-2}} \quad \text{and} \quad \Phi = \frac{1}{c} \frac{q}{r^{d-3}}$$



## Horizon-Mass

$$\mu = r_H^{d-3} \left( k + \frac{q^2}{r_H^{2(d-3)}} + \frac{r_H^2}{\ell^2} \right) = k r_H^{d-3} + \frac{q^2}{r_H^{(d-3)}} + \frac{r_H^{d-1}}{\ell^2}$$

## Hawking Temperature

$$T_H = \frac{1}{4\pi} f'(r_H) = \frac{1}{4\pi} \left( k(d-3) \frac{1}{r_H} - \frac{(d-3)q^2}{r_H^{2(d-3)+1}} + \frac{d-1}{\ell^2} r_H \right)$$

## Entropy

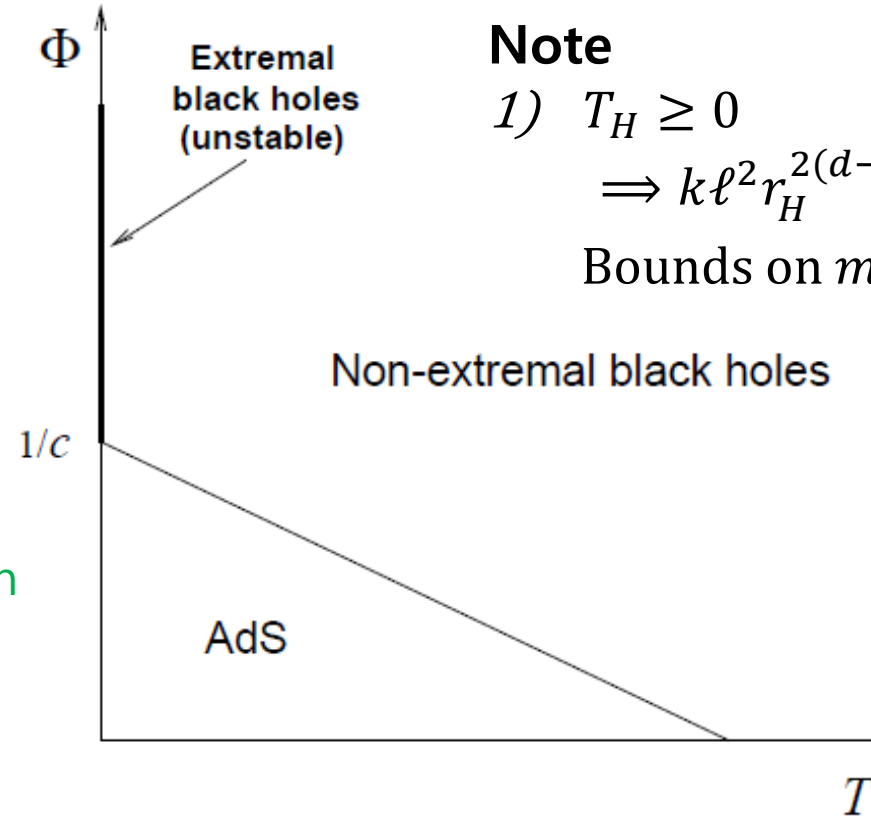
$$S = \frac{Area}{4G} = \frac{\Sigma_{d-2}^1}{4G} r_H^{d-2} = \frac{\pi}{2(d-2)\tilde{\Gamma}G} r_H^{d-2}$$

## Note

1)  $T_H \geq 0$

$$\Rightarrow k\ell^2 r_H^{2(d-3)} + \frac{d-1}{d-3} r_H^{2(d-2)} \geq \ell^2 q^2$$

Bounds on  $m$ ,  $m \geq m_e(q, \ell) > 2q$



The inequality saturated is extremal BH, nonSUSY.

SUSY : bounds  $m \geq 2q$ ,

SUSY solution:

$$f(r) = \left( 1 - \frac{q}{r^{d-3}} \right)^2 + \frac{r^2}{l^2} > 0$$

: naked singularity

### III-3) RNAdS in Einstein-Gauss-Bonnet ( $d > 4$ )

R. -G. Cai, Phys. Rev. D (2002).

I. Jeon, B-HL, W. Lee, M. Mishra, 2407.20016

**Action**

$$S_{EGB-\Lambda} = \int d^d x \sqrt{-g} \left[ \frac{1}{2\kappa} \left( R + \frac{(d-1)(d-2)}{l^2} + \alpha_{GB} R_{GB}^2 \right) + \mathcal{L}_m^{matt} \right]$$

**Black Hole solution**

$$ds^2 = -f(r) dt^2 + f^{-1}(r) dr^2 + r^2 d\Sigma_k^2$$

$$f(r) = k + \frac{r^2}{2\tilde{\alpha}} \left( 1 \mp \sqrt{1 - \frac{4\alpha}{\ell^2}} \sqrt{1 + \frac{\mu}{r^{d-1}} - \frac{q^2}{r^{2(d-2)}}} \right)$$

$$A(r) = \left( -\frac{1}{c} \frac{q}{r^{d-3}} + \Phi \right) dt \quad c = \sqrt{\frac{2(d-3)}{d-2}} \quad \text{and} \quad \Phi = \frac{1}{c} \frac{q}{r_H^{d-3}}$$

**Horizon**

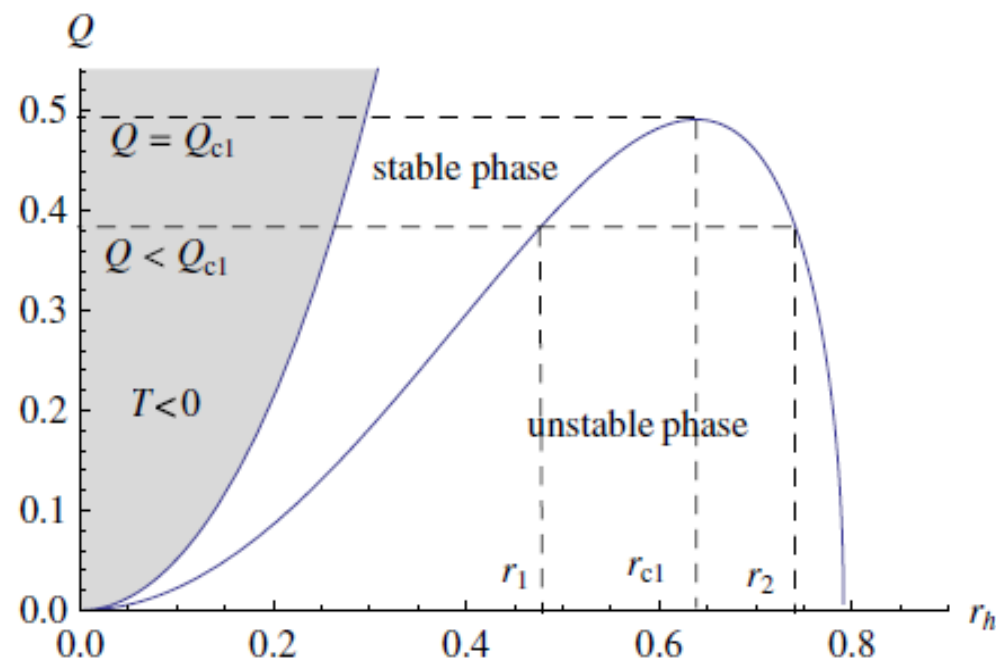
$$M = \frac{(d-1)Q^2 r_H^8 + 2\pi r_H^{2d} (d-3) \left( (d^2 - 3d + 2)(k r_H^2 + k^2 \alpha) - 2\Lambda r_H^4 \right)}{8\pi^2 (d^2 - 4d + 3) r_H^{d+5}} \Sigma_{d-2}^k$$

**Hawking Temperature**

$$T_H = \frac{1}{4\pi} f'(r_H) = \frac{-Q^2 r_H^8 + 2\pi r_H^{2d} \left( (d-2)k \left( (d-3)r_H^2 + (d-5)k\alpha \right) - 2\Lambda r_H^4 \right)}{32\pi^2 (d-2) r_H^{2d+1} (2k\alpha + r_H^2)}$$

Near Extremal behavior etc.

I. Jeon, BHL, W. Lee, M. Mishra,  
in preparation



# III-4) dEGB theory - Black Holes ( $d = 4$ )

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda e^{\lambda\phi(r)} + f(\phi)R_{GB}^2) + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{matt} \right]$$

Note :

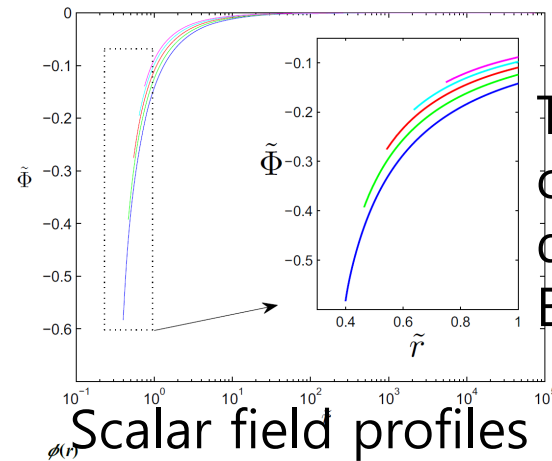
Guo, Ohta & Torii, Prog.Theor.Phys. (2008); (2009); (2010);  
 Maeda, Ohta Sasagawa, PRD(2009);(2011) Ohta Torii, PRD (2013).

- 1) For  $\gamma \rightarrow 0$ , DEGB  $\rightarrow$  EGB (the GB becomes the bdrly term)
- 2) The symmetry under  $\gamma \rightarrow -\gamma$ ,  $\phi \rightarrow -\phi$  allows choosing  $\gamma$  positive.
- 3)  $\alpha$  scaling  $r \rightarrow r/\sqrt{|\alpha|}$  absorbs  $\alpha$  dependency.

Sign of  $\alpha$  is important (can't be absorbed) DEGB BH solutions ( $\gamma = 1/6$ ,  $\alpha = 1/16$ )

4) DEGB BH

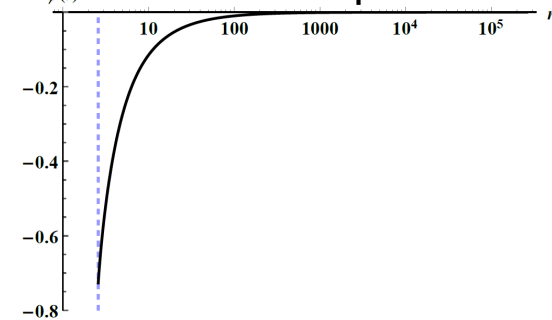
- Hair Charge  $Q \neq 0$ , and is
- not independent charge
- : secondary hair.



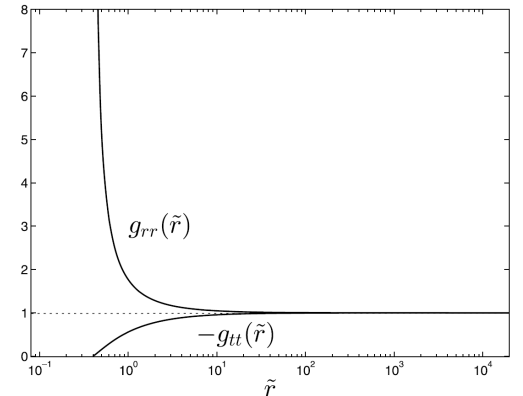
The five colors correspond to different DEGB BH solutions.

( $\alpha > 0$ )

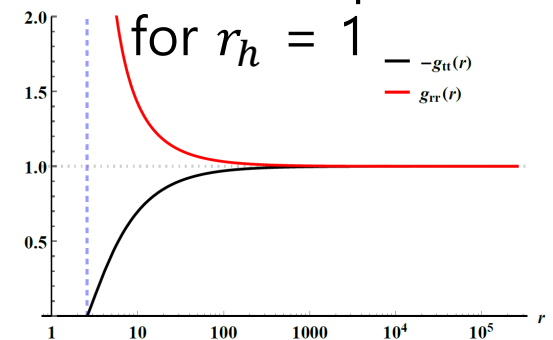
( $\alpha < 0$ )



BHL, W. Lee, D. Rho, PRD (2019)



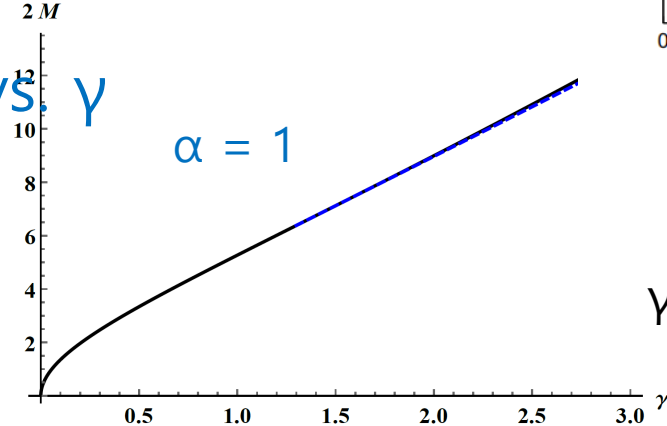
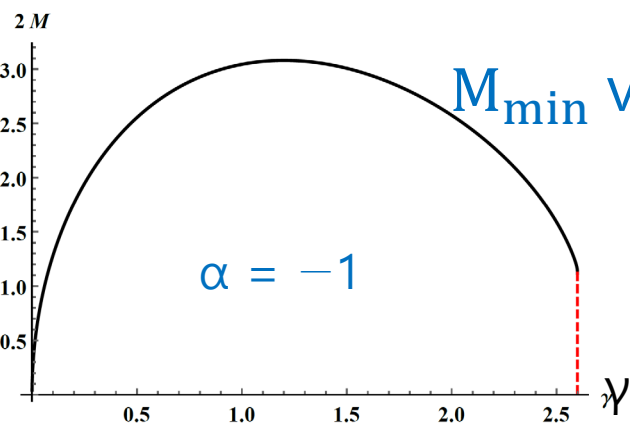
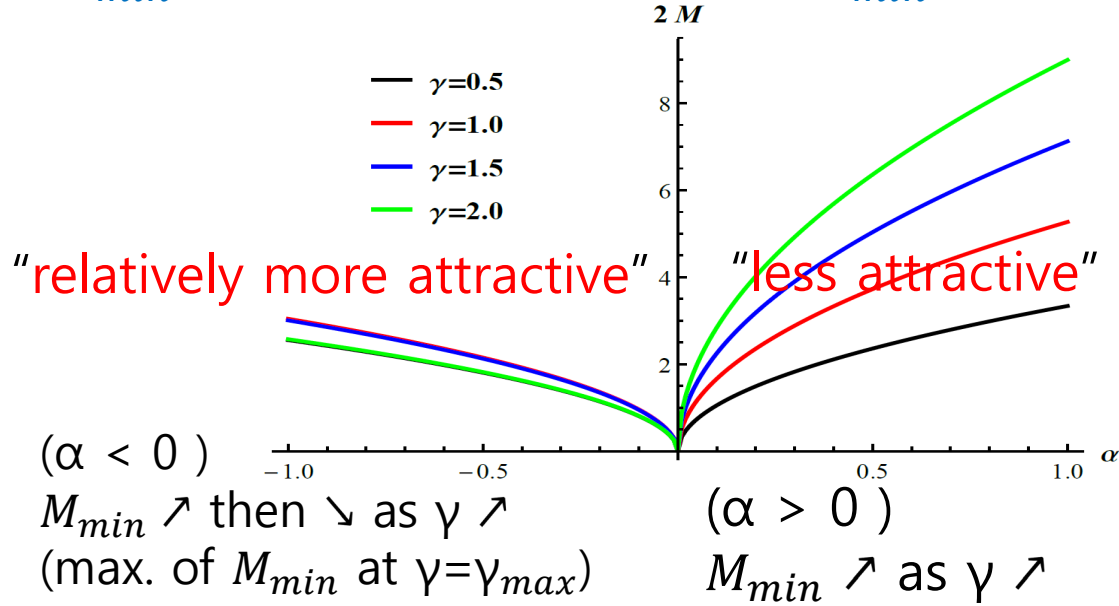
for  $r_h = 1$



# New Properties of the Black Holes

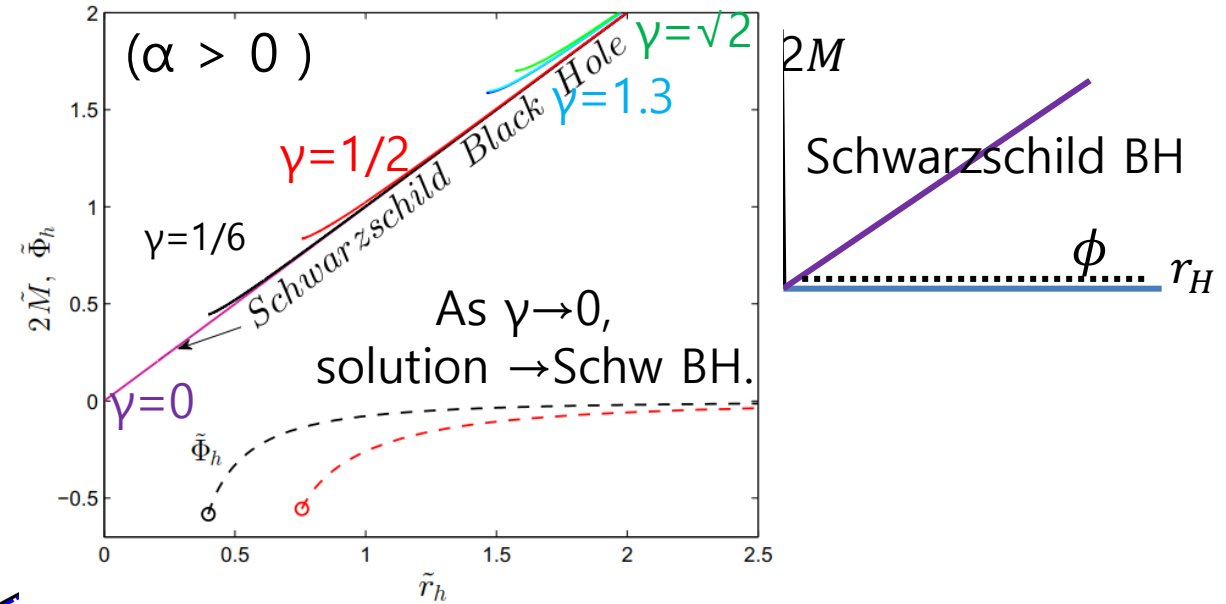
∃ Scalar Hair,

∃  $M_{min}$  such that BH mass  $M \geq M_{min}$

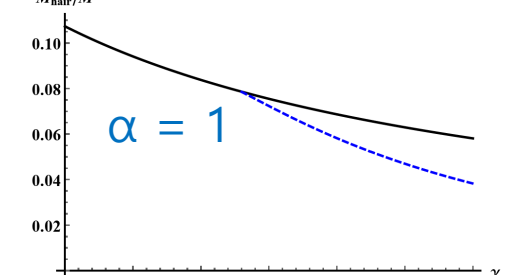
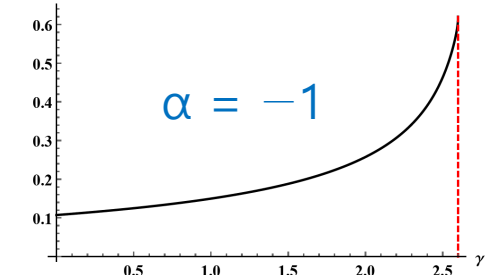


minimum mass  $\rightarrow$  New Phase?

Soliton Star? Black Holes



$M_{min}/M$  vs.  $\gamma$



(a) The hairy mass ratio vs.  $\gamma$  with  $\alpha = -1$ .

(b) The hairy mass ratio vs.  $\gamma$  with  $\alpha = 1$ .

GB term  $\rightarrow$  makes gravity "less attractive" (for  $\alpha > 0$ ) (making the black hole "smaller") !!!



# Einsten Gauss-Bonnet (EGB) theory

W.Ahn, B. Gwak, BHL, W.Lee, Eur.Phys.J.C (2015)

## Action

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + \alpha R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right]$$

Eqns of motion

$$R_{GB}^2 = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$$

$\kappa \equiv 8\pi G$  The Gauss-Bonnet term  
 $g = \det g_{\mu\nu}$

$$G_{\mu\nu} = \kappa T_{\mu\nu} = \kappa \left( \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} g_{\mu\nu} \partial_\rho \phi \partial^\rho \phi \right)$$

$$\frac{1}{\sqrt{-g}} \partial_\mu [\sqrt{-g} g^{\mu\nu} \partial_\nu \phi] = 0$$

## Black Hole solution

$$ds^2 = - \left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2M}{r}\right)} + r^2 d\Omega^2$$

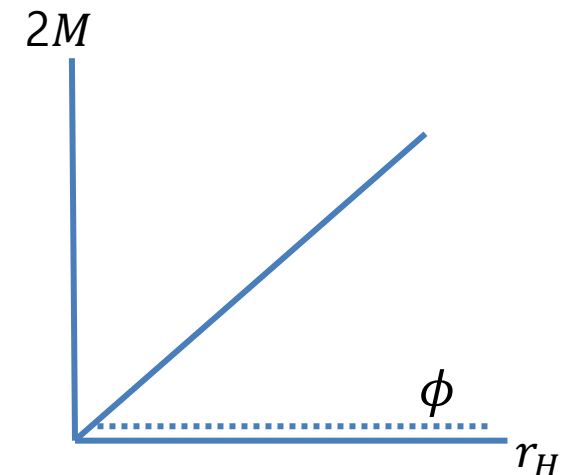
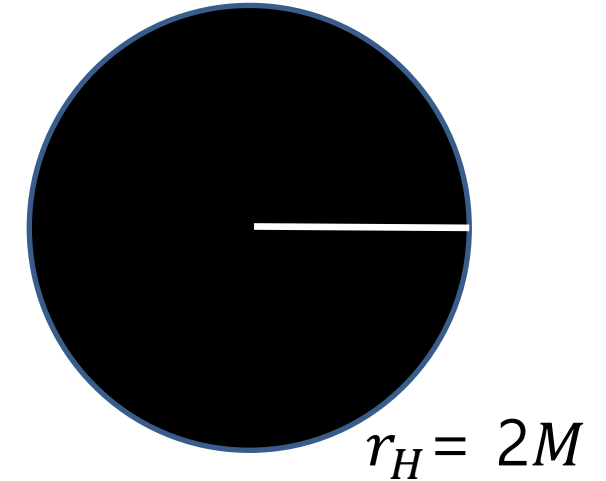
$$\phi = 0 \quad \text{No hair}$$

Horizon

$$r_H = 2M$$

## Note :

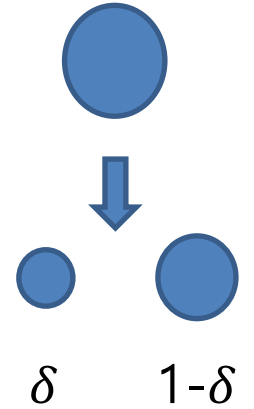
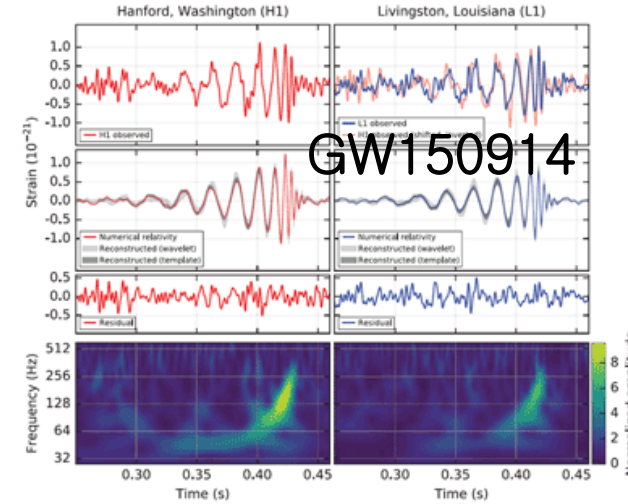
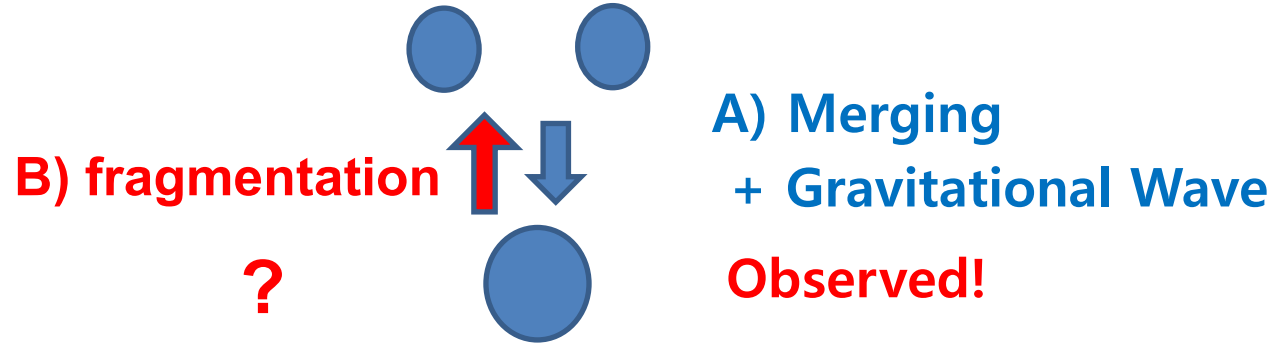
- 1) For the coupling  $\alpha = 0$ , the theory becomes the Einstein gravity.
- 2) GB term is a surface term, not affecting the e.o.m. Hence, The black hole solution is the same as that of the Schwarzschild one.
- 3) However, the GB term contributes to the black hole entropy and influence stability.



# (In)stability of the DEGB Blackholes under fragmentation

B. Gwak & BHL, PRD (2015).

B.Gwak, BHL, D. Rho, PL.B (2016)



## B) Fragmentation Process : one BHs $\rightarrow$ two BH ?

There exists parameter range where the BHs are unstable under the fragmentation.

Schwarzschild BHs is marginally stable under shooting off the infinitesimal mass BH .

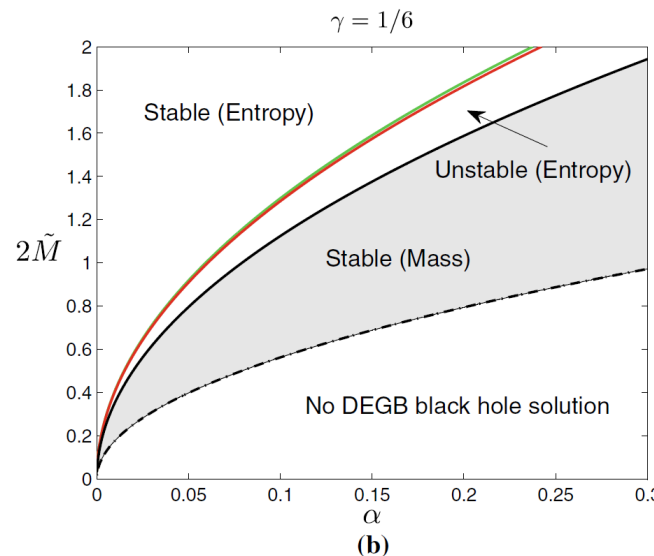
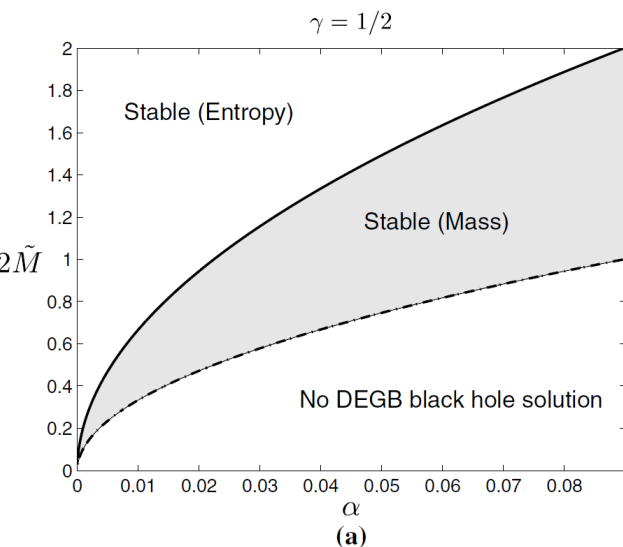
$$\frac{S_f}{S_i} = \frac{M_1^2 + M_2^2}{(M_1 + M_2)^2} = \frac{(\delta r_h)^2 + ((1-\delta)r_h)^2}{r_h^2} = \delta^2 + (1-\delta)^2 \leq 1$$

(equality only when  $M_1 \cdot M_2 = 0$ )

Note :

1) It cannot decay into black holes with mass smaller than the minimum mass  $M_{min}$ . Hence,  $\delta_m \leq \delta \leq 1/2$ ,  $\delta_m = M_{min}/M$  .

2) The BHs with  $M < 2M_{min}$  are absolutely stable. The black hole can be fragmented only when its mass exceeds twice of minimum mass.



# IV. Dilaton-Einstein-Gauss-Bonnet (dEGB) Cosmology

Action

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + f(\phi) R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m \right]$$

Note:

1) If  $f(\phi) = \text{const}$ , the theory is reduced to a **quintessence model**.

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{rad} + \mathcal{L}_m \right] \quad (\text{dEGB} \xrightarrow{\text{GB term dropped}} \text{Quintessence})$$

2) If  $f(\phi) = \text{const}$  and  $\phi = \text{const}$ , the theory is reduced to **Standard  $\Lambda$ CDM**.

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + \mathcal{L}_m - \frac{1}{\kappa} \Lambda \right] \quad \mathcal{L}_m = \mathcal{L}_{rad} + \mathcal{L}_{matt} + \mathcal{L}_{CDM} \quad (\text{dEGB} \xrightarrow{\text{GB } \phi \text{ dropped}} \Lambda\text{CDM})$$

3) WIMPs

WIMPs decouple in the rad dom era, hence will take  $\mathcal{L}_m = \mathcal{L}_{rad} + \mathcal{L}_{DM}^{WIMP}$ .

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + f(\phi) R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{rad} + \mathcal{L}_{DM}^{WIMP} \right]$$

4) The spatially flat Friedmann-Lemaître-Robertson-Walker (FLRW) metric,

$$ds^2 = -dt^2 + a^2(t) \delta_{ij} dx^i dx^j$$

(\*) Geometric units  $\kappa = 8\pi G = 1$ ,  $c = 1$  Then  $[\alpha] = (\text{length})^2$ ,  $[\phi] = [\gamma] = \text{dimensionless}$ .

A. Biswas, A. Kar, **BHL**, H. Lee, W. Lee, **S. Scopel**, L. Yin **JCAP08 (2023) 023**

A. Biswas, A. Kar, **BHL**, H. Lee, W. Lee, **S. Scopel**, L. Yin **arXiv 2405.15998**

$$R_{GB}^2 = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2$$

Gauss-Bonnet term

$$\kappa \equiv 8\pi G, [\kappa] = \sqrt{\frac{[L]}{[M]}}$$

$$\mathcal{L}_m = \mathcal{L}_{SM} + \mathcal{L}_{CDM} - \frac{1}{\kappa} \Lambda \rightarrow \mathcal{L}_{rad}$$

# The Einstein and scalar Eqs.

$$w_I = \frac{p_I}{\rho_I}$$

$$(w_{rad} = \frac{1}{3})$$

$$H^2 = \frac{\kappa}{3} (\rho_{\{\phi+GB\}} + \rho_m)$$

$$= \frac{\kappa}{3} \left( \frac{1}{2} \dot{\phi}^2 - 24fH^3 + \rho_m \right) = \frac{\kappa}{3} \rho_{tot}$$

$$\dot{H} = -\frac{\kappa}{2} [(\rho_{\{\phi+GB\}} + p_{\{\phi+GB\}}) + (\rho_m + p_m)]$$

$$= -\frac{\kappa}{2} \left[ \dot{\phi}^2 + 8 \frac{d(fH^2)}{dt} - 8fH^3 + (\rho_m + p_m) \right]$$

$$\equiv -\frac{\kappa}{2} (\rho_{tot} + p_{tot}) = -\frac{\kappa}{2} \rho_{tot} (1 + w_{tot})$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) + V'_{GB} = 0$$

where:

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 = p_\phi \quad (V(\phi) = 0) \quad \rho_{rad} = 3 p_{rad} = \frac{\pi^2}{30} g_* T^4$$

$$\rho_{GB} = -24fH^3 = -24f'H^3 = -24\alpha\gamma e^{\gamma\phi} \dot{\phi} H^3$$

$$p_{GB} = 8(f''\dot{\phi}^2 + f'\ddot{\phi})H^2 + 16f'\dot{\phi}H(\dot{H} + H^2)$$

$$= 8 \frac{d(fH^2)}{dt} + 16fH^3 = 8 \frac{d(fH^2)}{dt} - \frac{2}{3} \rho_{GB}$$

$$V'_{GB} \equiv -f'R_{GB}^2 = -24f'H^2(\dot{H} + H^2) = 24\alpha\gamma e^{\gamma\phi} q H^4$$

## the continuity equation

$$\dot{\rho}_I + 3H(\rho_I + p_I) = \dot{\rho}_I + 3H(1 + w_I)\rho_I = 0$$

Deceleration parameter

$$q = -\frac{\ddot{a}a}{\dot{a}^2} = \frac{1}{2} (1 + 3w_{tot})$$

acceleration → ← deceleration

$w_I: -1 \quad -1/3 \quad 0 \quad +1/3 \quad +1$

## Note

$\rho_{GB}$   $p_{GB}$   $w_\phi$   $\rho_{\{\phi+GB\}}$  &  $p_{\{\phi+GB\}}$ : NOT necessarily +tive.

## Bdry Conditions at BBN

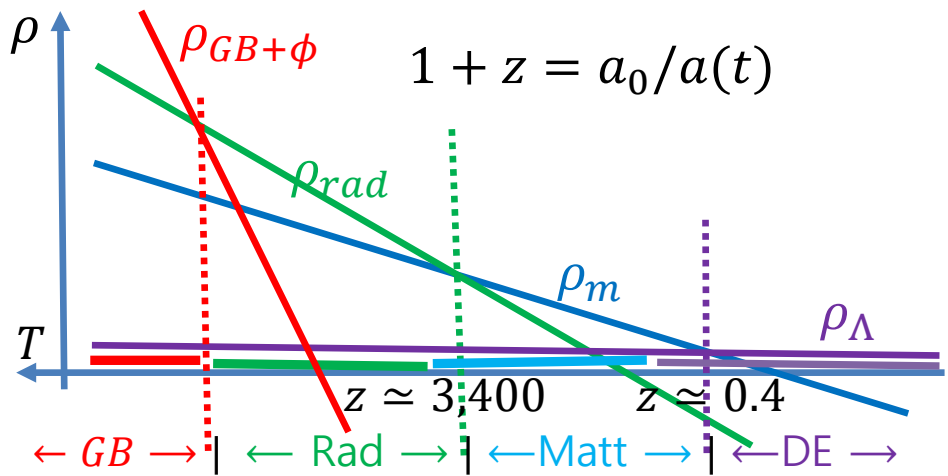
$$\phi_{BBN} = 0$$

$$\dot{\phi}_{BBN} \geq 0: \text{ For magnitude, Use } \eta = \frac{\rho_\phi(T_{BBN})}{\rho_{tot}(T_{BBN})}$$

$(\eta \leq 3 \times 10^{-2} \text{ from } N_{eff} \leq 2.99 \pm 0.17)$

$$H_{BBN}: \text{ from } 8\sqrt{6\kappa\eta} f'(0) H_{BBN}^4 + (1 - \eta) H_{BBN}^2 + \frac{\kappa}{3} \rho_{rad}(T_{BBN}) = 0$$

## New Phases



## Goal : Constrain the **Modified Gravity (dEGB)**

Investigate the cosmological effects of the **Modified Gravity (dEGB)** during the various phases of the cosmological evolution

1) With  $V(\phi)$ : Inflation in DEGB theory ( $\mathcal{L}_m^{matt}=0$ )

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) - \frac{1}{2} \xi(\phi) R_{GB}^2 \right]$$

The duration of inflation gets shorter as  $\xi_0$  increases.  
(making the effective potential steeper)

[S. Koh](#), BHL, [Tumurtushaa](#)

**PRD98 (2018) 10, 103511**

[S. Koh](#), BHL, [W. Lee](#), [Tumurtushaa](#)

**PRD90 (2014) no.6, 063527**

Blue shifted spectrum

2) Reconstruction of  $V(\phi)$  in Inflationary Models with a GB term

How to get the inflationary potential from the cosmol data?

“Inverse Scattering” Problem

$$V \Leftrightarrow n_s, r$$

[S. Koh](#), BHL, [Tumurtushaa](#)

**PRD 95 (2017)**

3) Primordial Grav Waves & Reheating parameters in G-B inflation

PRIMORDIAL GRAVITATIONAL WAVES INDUCED BY THE BLUE-TILTED AND RED-TILTED TENSOR SPECTRA

4) w/o  $V(\phi)$  WIMPs in DEGB cosmology

**Big Bang Nucleosynthesis (BBN) : initial condition**

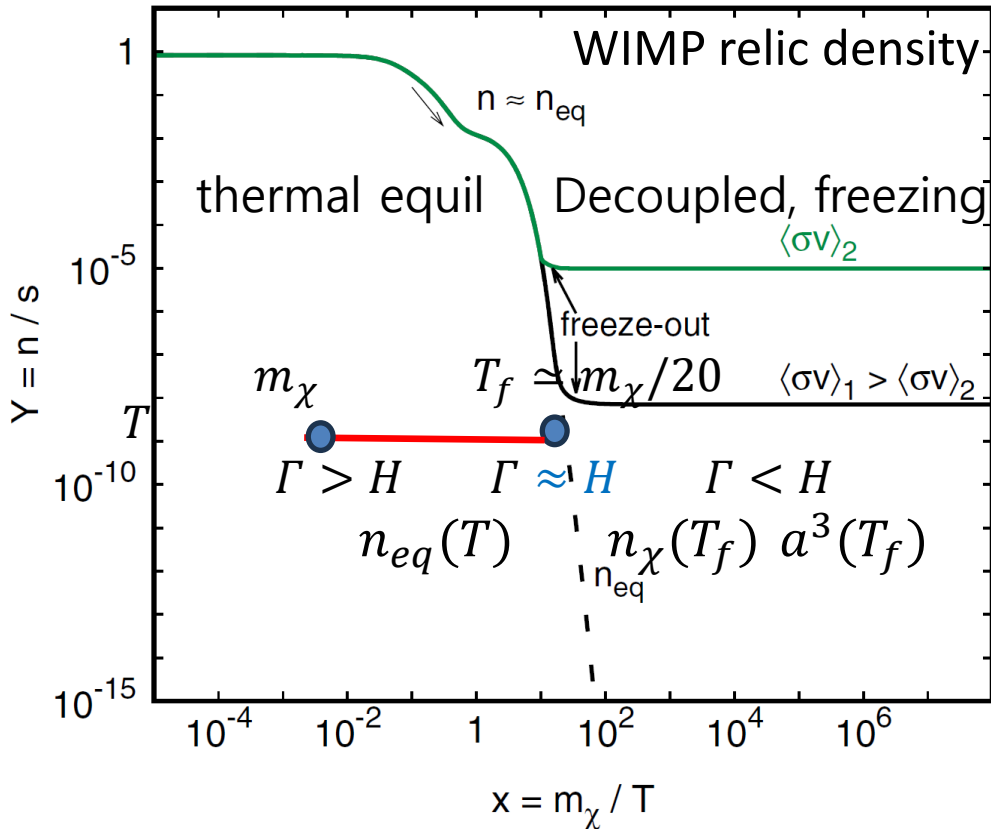
BBN ( $T_{BBN} \simeq 1 \text{ MeV}$ ) strongly constrains any departure from Standard Cosmology.

All events that take place at  $T > T_{BBN}$  can be used to shed light on physics beyond GR and the SM.

# WIMPs $\chi$ in DEGB cosmology

Biswas, Kar, **BHL**, Lee, Lee, **Scopel**, Velasco-Sevilla, Yin (2023)

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda(\phi) + f(\phi)R_{GB}^2) + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{matt} \right] \quad f(\phi) = \alpha e^{\gamma\phi(r)}$$



$\Gamma = n_\chi \langle \sigma v \rangle$  : The WIMP annihilation rate to SM particles  
**The larger is  $\langle \sigma v \rangle_f$ , the lower relic abundance  $\Omega_\chi h^2$**

- find  $\langle \sigma v \rangle_{relic}$  of  $\langle \sigma v \rangle_f$  as a fn of  $m_\chi$  which yields WIMP relic density  $\Omega_\chi h^2 \simeq 0.12$  (the observational CDM density).

Ex)  $\Omega_\chi h^2 \simeq 0.12$  (CDM density in  $\Lambda$ CDM) gives

$\langle \sigma v \rangle_f \simeq 3 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1} \simeq \text{pb} \cdot c$ . (if all DM are WIMPs)

- Nonobservation of the WIMP annihilation in our Galaxy to  $\gamma$ ,  $e^\pm$ ,  $p, \bar{p}$  &  $\nu, \bar{\nu}$ ,  $\rightsquigarrow$  an upper bound  $\langle \sigma v \rangle_{ID}$  on  $\langle \sigma v \rangle_{gal}$  as a fn of  $m_\chi$ .

- **The favoured param** of the dEGB cosmol are those satisfying

$$\langle \sigma v \rangle_{gal} / \langle \sigma v \rangle_{ID} \lesssim 1$$

## GWs from BH-BH & BH-NS merger events

	LMXB	GW (BBH)		GW (NSBH)		
		O1-O2	O1-O3	GW200115	combined	
$\alpha_{GB}^{1/2}$ [km]	1.9	5.6	1.7,	1.33	1.18	Yagi, (2012) Nair, Perkins, Silva, Yunes, (2019) Perkins,Nair,Silva,Yunes(2021), Lyu, Jiang, Yagi, (2022)



# the constraints from the GW signals from BH-BH and BH-NS merger events

- $\phi$  freezes at  $T_L \ll T_{BBN}$  to a background value  $\phi(T_L)$ , while near a BH or a NS,  $\phi$  is distorted compared to  $\phi(T_L)$ , that can modify the GW signal in a merger event.
- the data from the LIGO-Virgo for constraints  $\alpha_{GB}^{1/2} \leq \mathcal{O}(2 \text{ km})$  or  $\alpha_{GB}^{1/2} \leq 1.18 \text{ km}$

Lyu Jiang Yagi  
PRD (2022)

the constraints from compact binary mergers

$$|f'(\phi(T_L))| \leq \sqrt{8\pi} \alpha_{GB}^{max} \text{ w/ } \alpha_{GB}^{max} = (1.18)^2 \text{ km}^2$$

- If  $\dot{\phi}(T_{BBN}) = 0$ , then  $|\tilde{\alpha}\gamma| \leq \sqrt{8\pi} \alpha_{GB}^{max}$
- If  $\dot{\phi}(T_{BBN}) \neq 0$ , then  $|\tilde{\alpha}\gamma e^{\gamma \frac{\phi_{BBN}}{H_{BBN}}}| \leq \sqrt{8\pi} \alpha_{GB}^{max}$

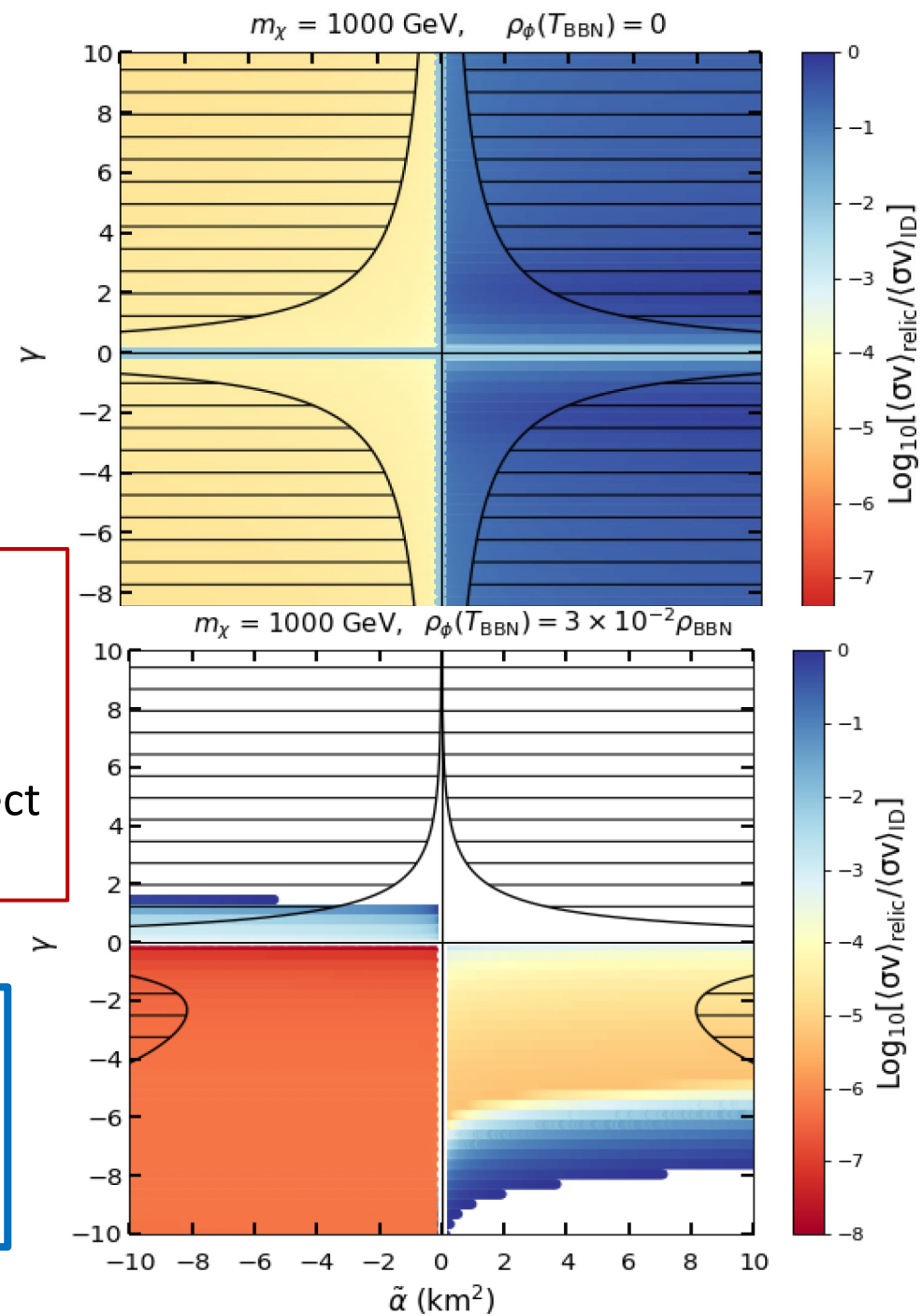
- The bounds from WIMP indirect detection are complementary to late-time BBH merger constraints.

- As  $m_\chi$  increases for fixed  $\epsilon$ ,  $\frac{\langle \sigma v \rangle_f}{\langle \sigma v \rangle_{ID}}$  decreases (more favored).

- As  $\epsilon$  increases for fixed  $m_\chi$ ,  $\langle \sigma v \rangle_f / \langle \sigma v \rangle_{ID}$  usually increase,

White regions ( $\frac{\langle \sigma v \rangle_{relic}}{\langle \sigma v \rangle_{ID}} > 1$ ) are disfavoured by WIMP indirect detection.

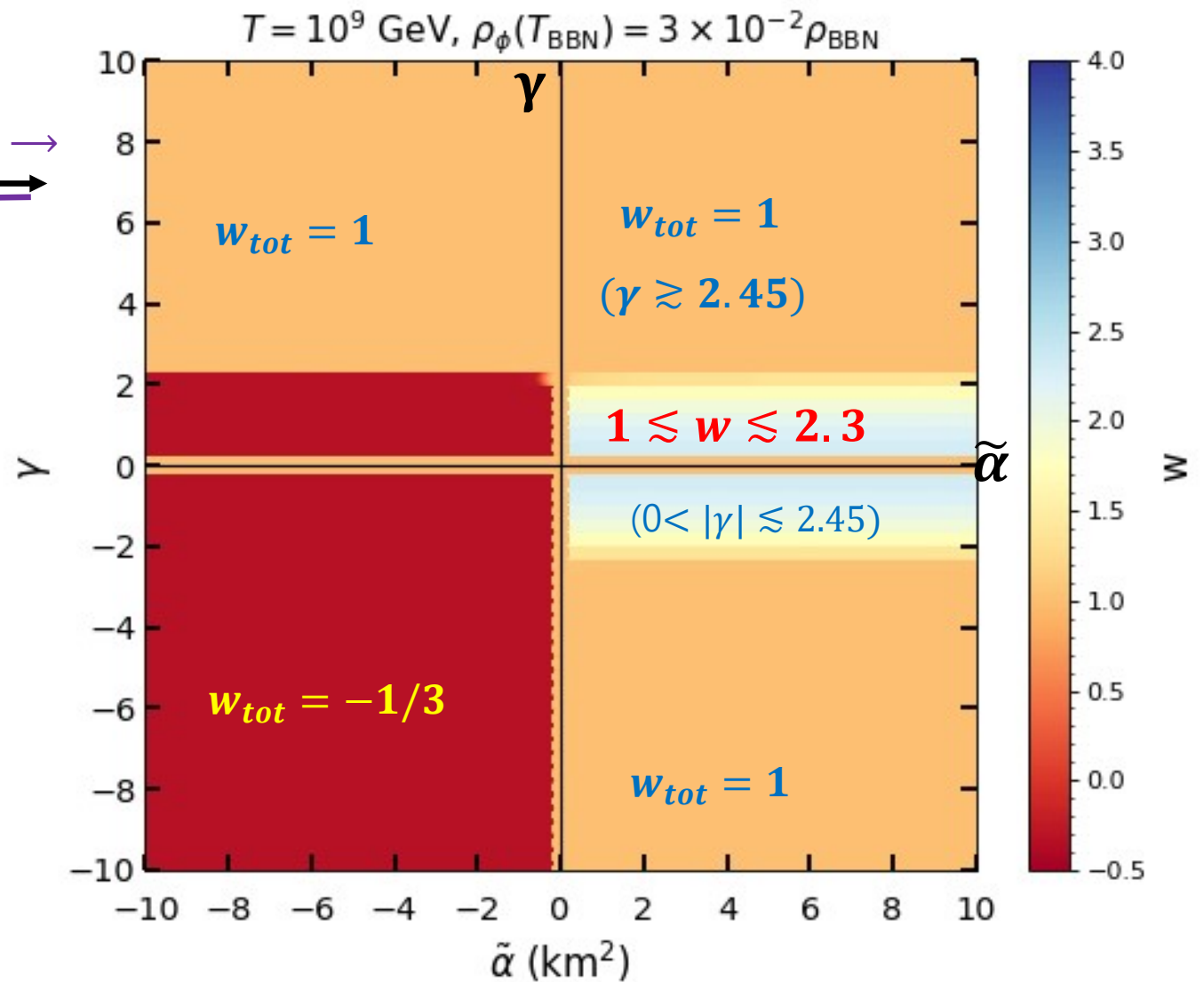
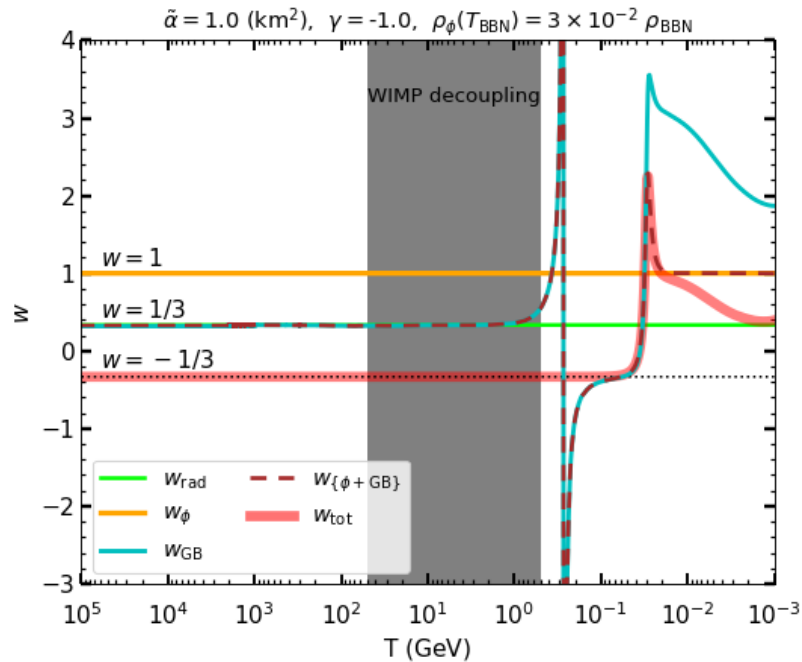
Hatched areas of the  $\tilde{\alpha}$ - $\gamma$  parameter space are disallowed by the constraint



# High T behavior of dEGB cosmology

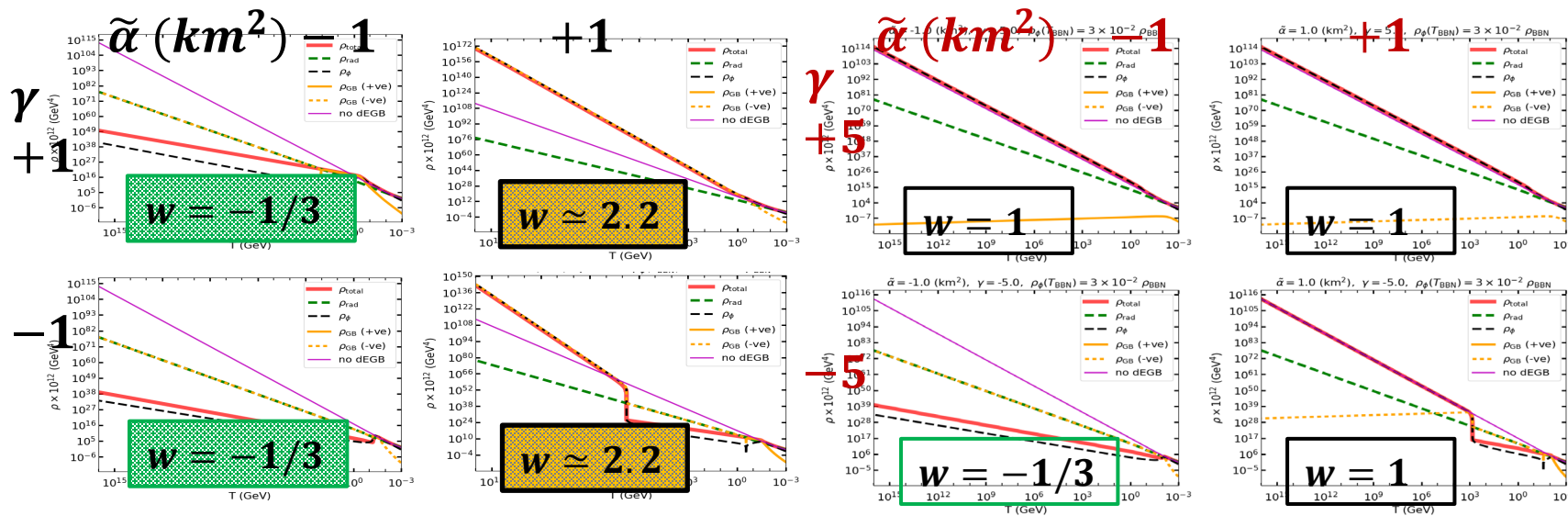
NEW PHASES → | ← Rad Dom → | ← Matt → | ←  $\Lambda$ (DE) →

- 1) New Phases appear
  - Ex) Super Kination phase ( $w > 1$ )
  - Kination Phase ( $w = 1$ )
  - Slow rolling phase ( $w \approx -1/3$ )
- 2) These are attractor/fixed point solutions)
- 3) May affect observation -New Physics
  - Ex) GWs

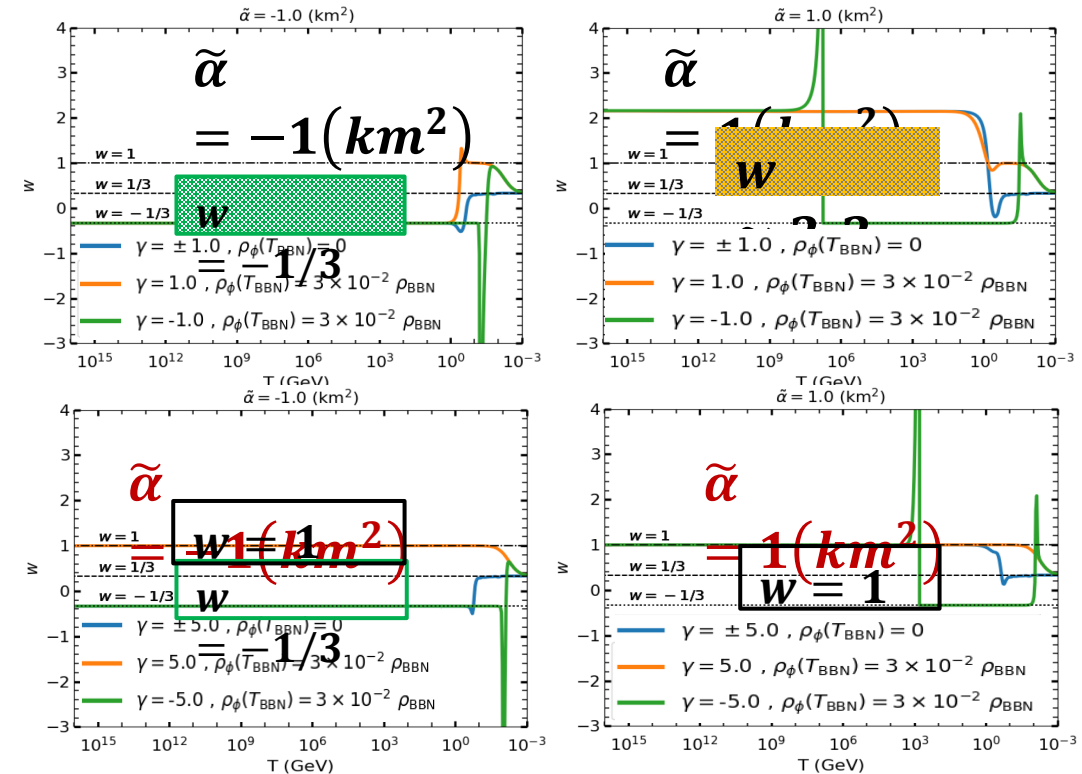


A. Biswas, A. Kar, **BHL**, H. Lee, W. Lee,  
**S. Scopel**, L. Yin **arXiv 2405.15998**

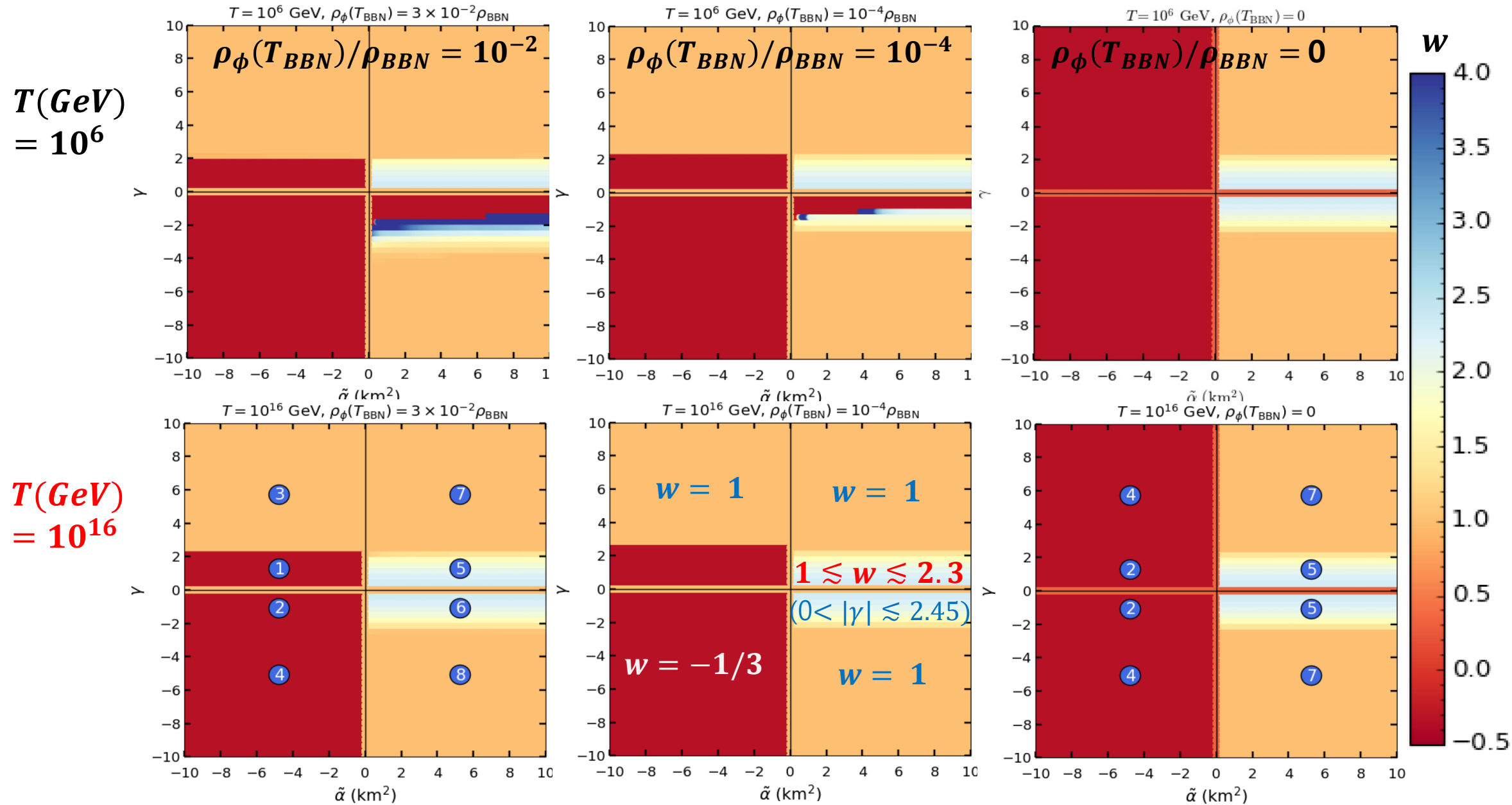
$$\rho_\phi(T_{BBN}) = 3 \times 10^{-2} \rho_{BBN}$$

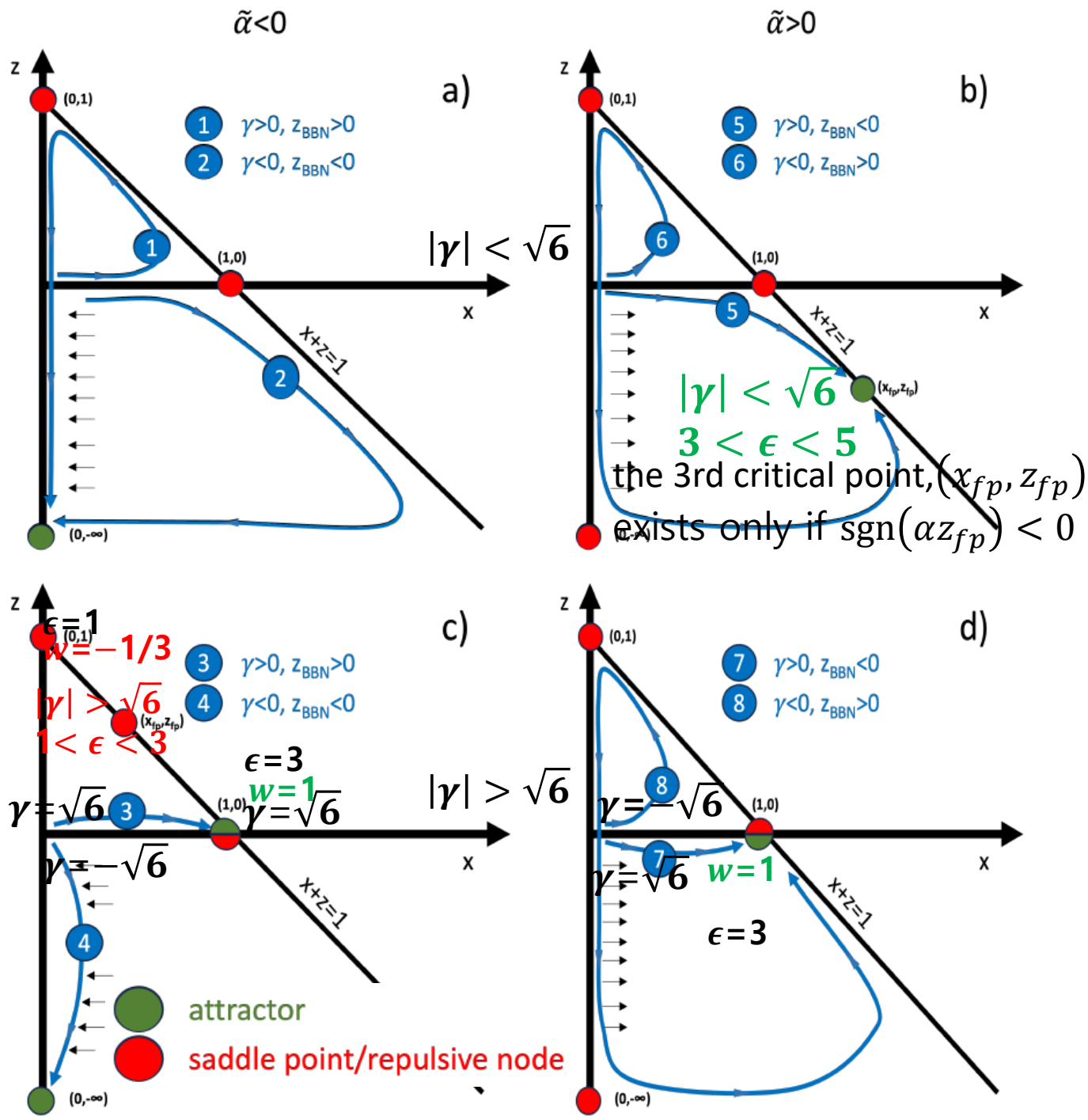


- At high enough  $T$ ,  $\rho_{tot}$  reaches an asymptotics ( $w = \text{const}$ ).
- At high  $T$ ,  $|\rho_{GB}|$  either tracks the dominant component(\*) or negligible
- (\*) =  $\rho_{rad}$  for  $w = -\frac{1}{3}$  (for  $\tilde{\alpha} < 0$  and  $|\gamma| < \sqrt{6}$  or  $\gamma < -\sqrt{6}$ ),  $\rho \ll \rho_{rad}$ ,  $|\rho_{GB}| = \rho_\phi$  for  $w \gtrsim 1$  (for  $\tilde{\alpha} > 0$  (any  $\gamma$ ) or for  $\tilde{\alpha} < 0$  &  $\gamma > \sqrt{6}$ )



- 1) For  $(\tilde{\alpha}, \gamma, \rho_\phi(T_{BBN}))$ , asymptotically,  $w = -\frac{1}{3}$  or  $1 \leq w \lesssim 2.3$
- 2) The asymptotic value of  $w$  depends only on the sign of  $\tilde{\alpha}$ , but not on its actual value.

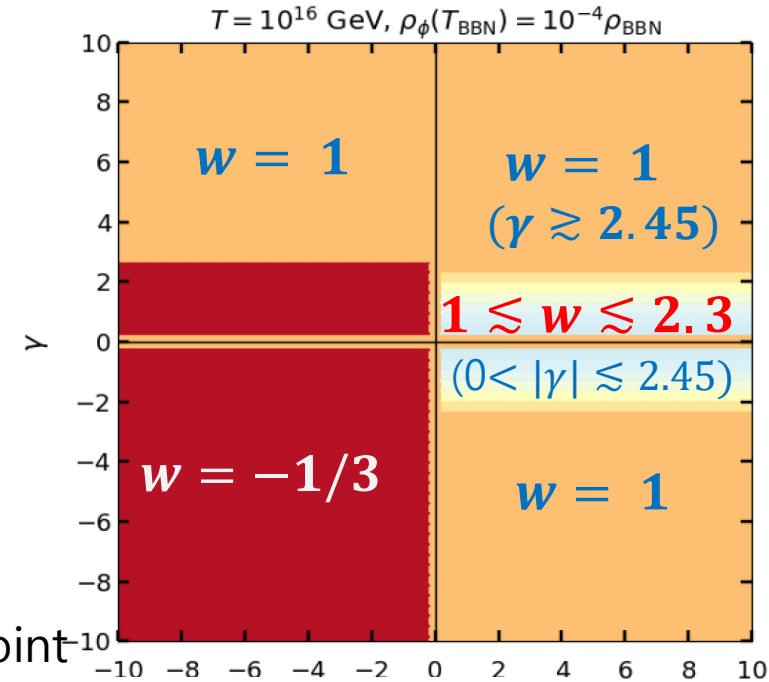




Consider the case of  $\alpha > 0$ :

- 1) for  $|\gamma| < \sqrt{6}$  the only stable critical point of the system is  $(x_{fp}, z_{fp})$ ,
- 2) while for  $|\gamma| > \sqrt{6}$  it is  $(1, 0)$  (for  $z \rightarrow 0^-$ ).

$|\gamma| < \sqrt{6}$



$(x_c, z_c)$  stability

$(0, 1)$  saddle point

$(1, 0)$   $|\gamma| < \sqrt{6\kappa} \rightarrow$  saddle point,

$$|\gamma| > \sqrt{6\kappa} \rightarrow \begin{cases} \alpha > 0 & \begin{cases} z \rightarrow 0^+ & \text{saddle point} \\ z \rightarrow 0^- & \text{attractor} \end{cases} \\ \alpha < 0 & \begin{cases} z \rightarrow 0^+ & \text{attractor} \\ z \rightarrow 0^- & \text{saddle point.} \end{cases} \end{cases}$$



# Constraints from Gravitational Waves

J. Ghiglieri and M. Laine, [JCAP \(2015\)](#), [1504.02569].

Ghiglieri, Jackson, Laine, Zhu, [JHEP \(2020\)](#), [2004.11392]

- Any plasma of relativistic particles in thermal equilibrium emits a stochastic GW background (SGWB)
- SGWB : potential probe of Cosmological models before BBN. Ex) the Standard Model : peak around 80 GHz (Present detectors are only sensitive to few Hertz, some proposals exist to extend to the GHz range.)

The magnitude and spectral shape of the SGWB produced at a given time (during the thermal-radiation dominated epoch until the electroweak crossover, at  $T_{EWCO} = 160$  GeV)

$$\frac{1}{a^4} \frac{d}{dt} (a^4 \rho_{GW}(t)) = \left( \frac{\partial}{\partial t} + 4H \right) \rho_{GW}(t) = 4 \frac{T^4}{M_{PL}^2} \int \frac{d^3 k}{(2\pi)^3} \eta(T, k), \quad \eta(k, T): \text{the shear viscosity of the plasma.}$$

$$\eta(\hat{k}, T) = \begin{cases} \frac{1}{8\pi} \frac{16}{g_1^4 \ln(5T/m_{D1})}, & k \lesssim \alpha_1^2 T, \\ \eta_{HTL}(\hat{k}, T) + \eta^T(\hat{k}, T), & k \gtrsim 3T, \end{cases}, \quad \hat{k} = k/T$$

$\eta_{HTL}(\hat{k}, T)$ : Hard Thermal Logarithmic (HTL),  
 $\eta^T(\hat{k}, T)$ : the thermal corrections.

The fraction of energy liberated into GW radiation per frequency octave,

$$\Omega_{GW}(f, T_0) h^2 \equiv \frac{1}{\rho_{crit}(T_0)} \frac{d\rho_{GW}(T_0)}{d \ln f} h^2$$

$$= \Omega_{\gamma,0} h^2 \frac{\lambda}{M_{PL}} \int_{T_{EWCO}}^{T_{Max}} dT \left( \frac{g_{*0}}{g_{*}(T)} \right)^{4/3} T^2 \hat{k}(f, T)^3 \frac{\eta(\hat{k}, T)}{\sqrt{\rho(T)}} \beta(T),$$

$$\hat{k}(f, T) = \left[ \frac{g_{*s}(T)}{g_{*s}(T_0)} \right]^{\frac{1}{3}} \frac{2\pi f}{T_0}. \quad f = \frac{1}{2\pi} \left[ \frac{g_{*s}(T_0)}{g_{*s}(T_{EWCO})} \right]^{\frac{1}{3}} \left( \frac{T_0}{T_{EWCO}} \right) k_{EWCO},$$

$\Omega_{\gamma,0} = 2.4729(21) \times 10^{-5}$   
the present photon density  
 $h = H_0 / (100 \text{ km/s/Mpc})$   
 $T_0 = 2.7 \text{ K}$   $f$ : freq. measured today  
 $\lambda = 30\sqrt{3}/\pi^4, g_{*0} = 2,$   
 $g_{*s}(T_0) = 3.91, g_{*s}(T_{EWCO}) = 106.75$   
 $\beta = \left( 1 + \frac{1}{3} \frac{d \ln g_{*s}}{d \ln T} \right)$

$\eta(\hat{k}, T)$  has a peak at  $\hat{k}_{peak} \simeq 3.92$  (at production) independent of  $T$  or  $f_{peak} \simeq 74 \text{ GHz}$  (today)

The BBN bound:  $\Omega(f, T_0) h^2 < 1.3 \times 10^{-6}$



$$\tilde{\alpha} = -1 \text{ km}^2 \quad T_{RH} = 1 \times 10^8 \text{ GeV}$$

-  $T_{max} = 10^9 \text{ GeV}$ .

- the BBN bound

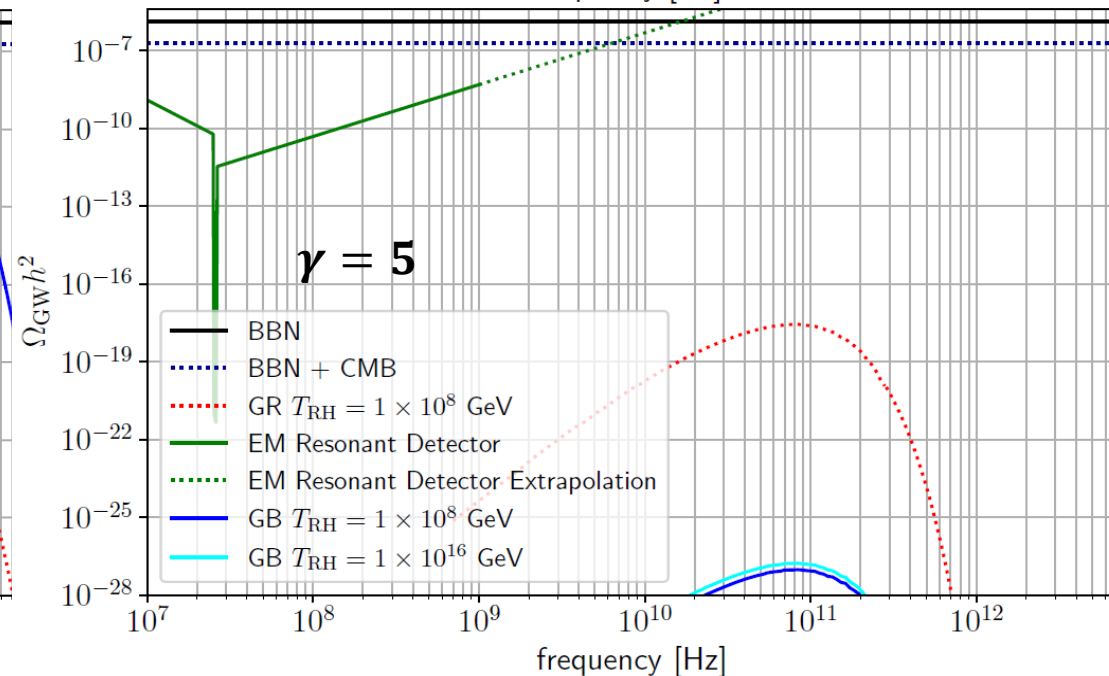
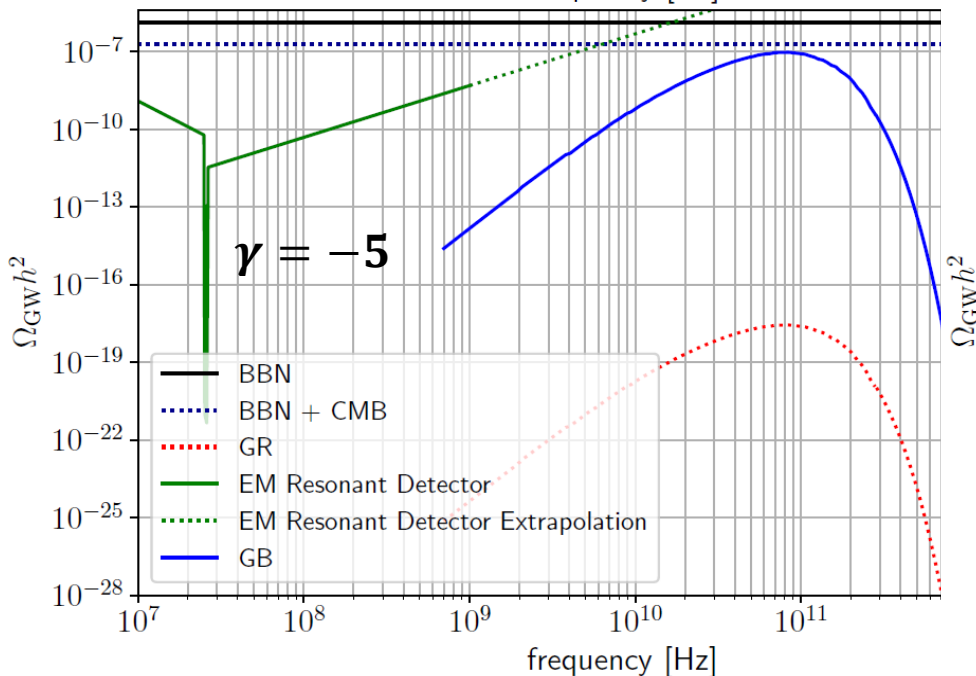
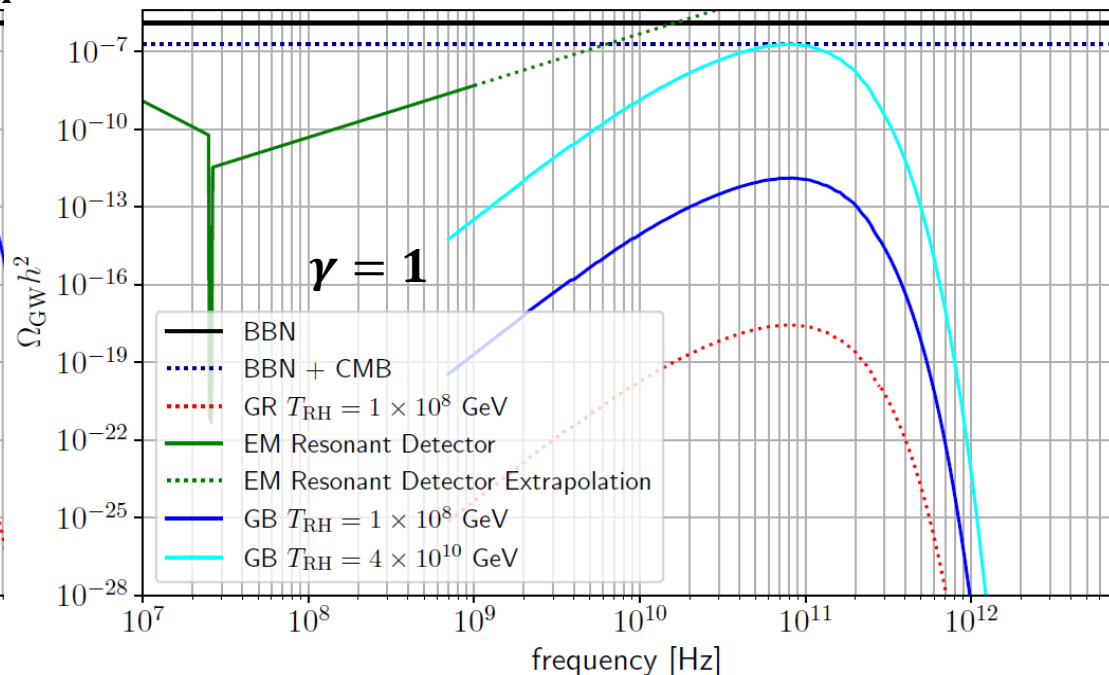
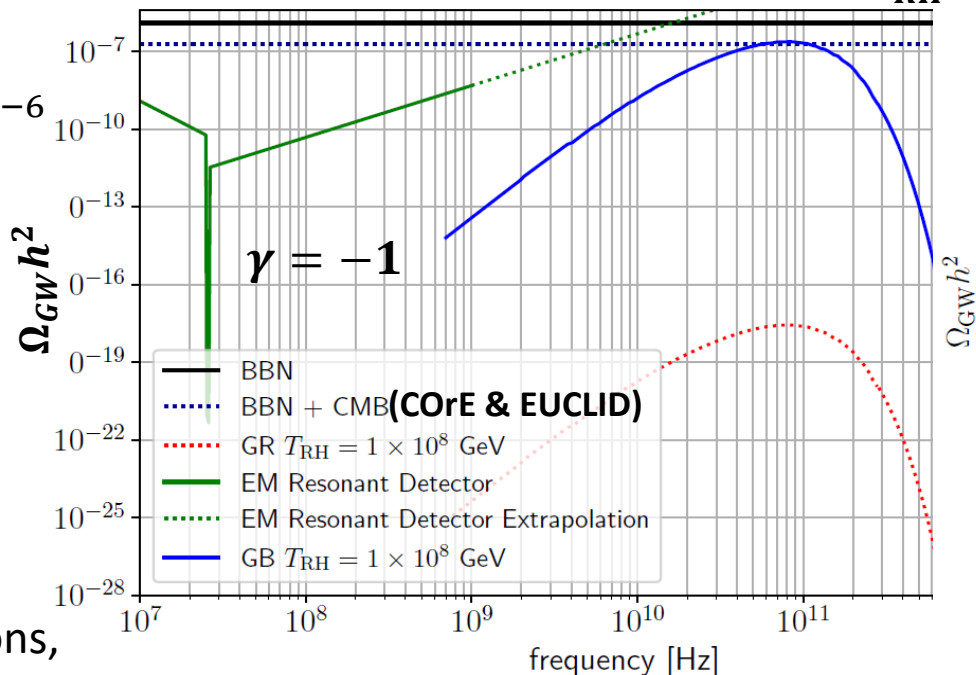
$$\Omega(f, T_0) h^2 < 1.3 \times 10^{-6}$$

- future (CORe, EUCLID)

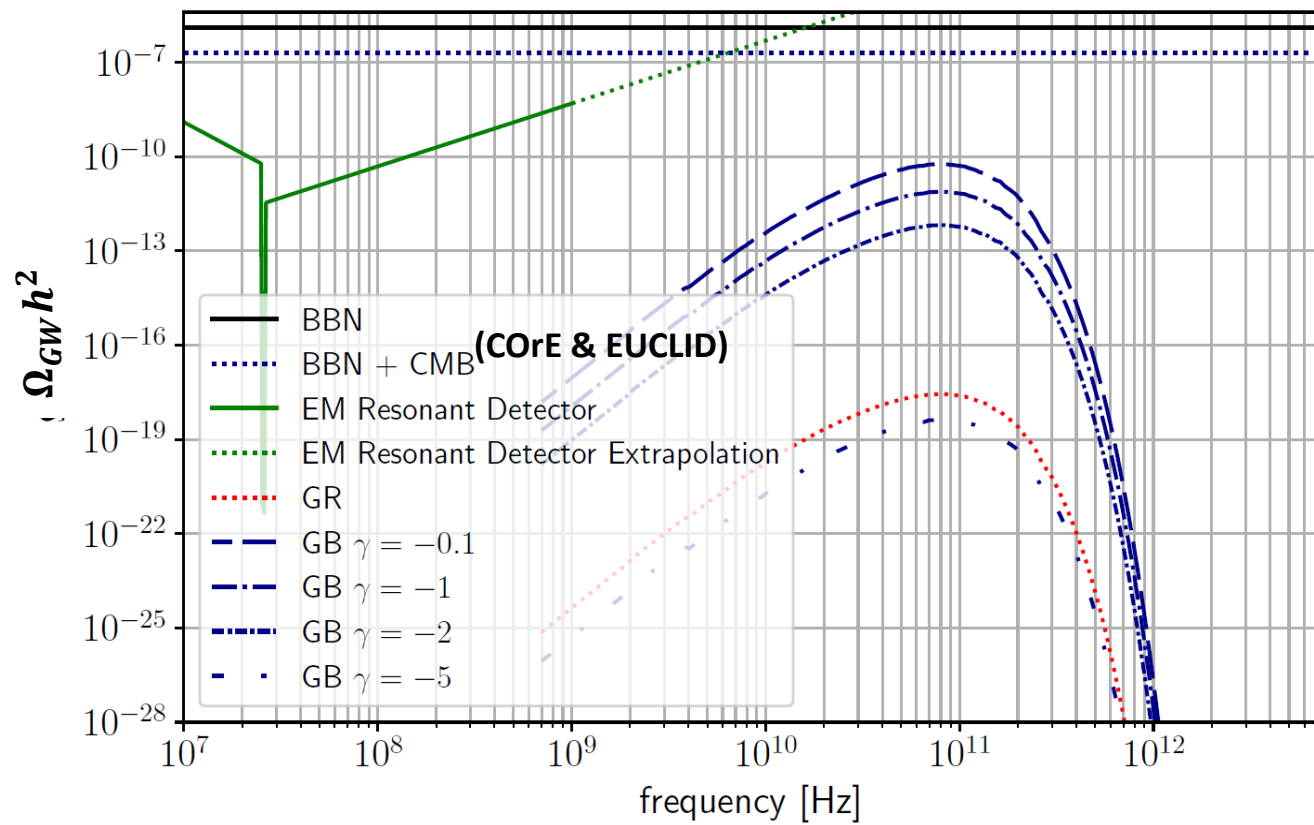
$$\Omega(f, T_0) h^2 \lesssim \sigma(10^{-7})$$

The GW peak value largely exceeds unity whenever asymptotic e.o.s. corresponds to slow roll ( $w = -1/3$ ).

In such parameter regions, can set an upper bound on  $T_{max} \ll 10^{16} \text{ GeV}$ .

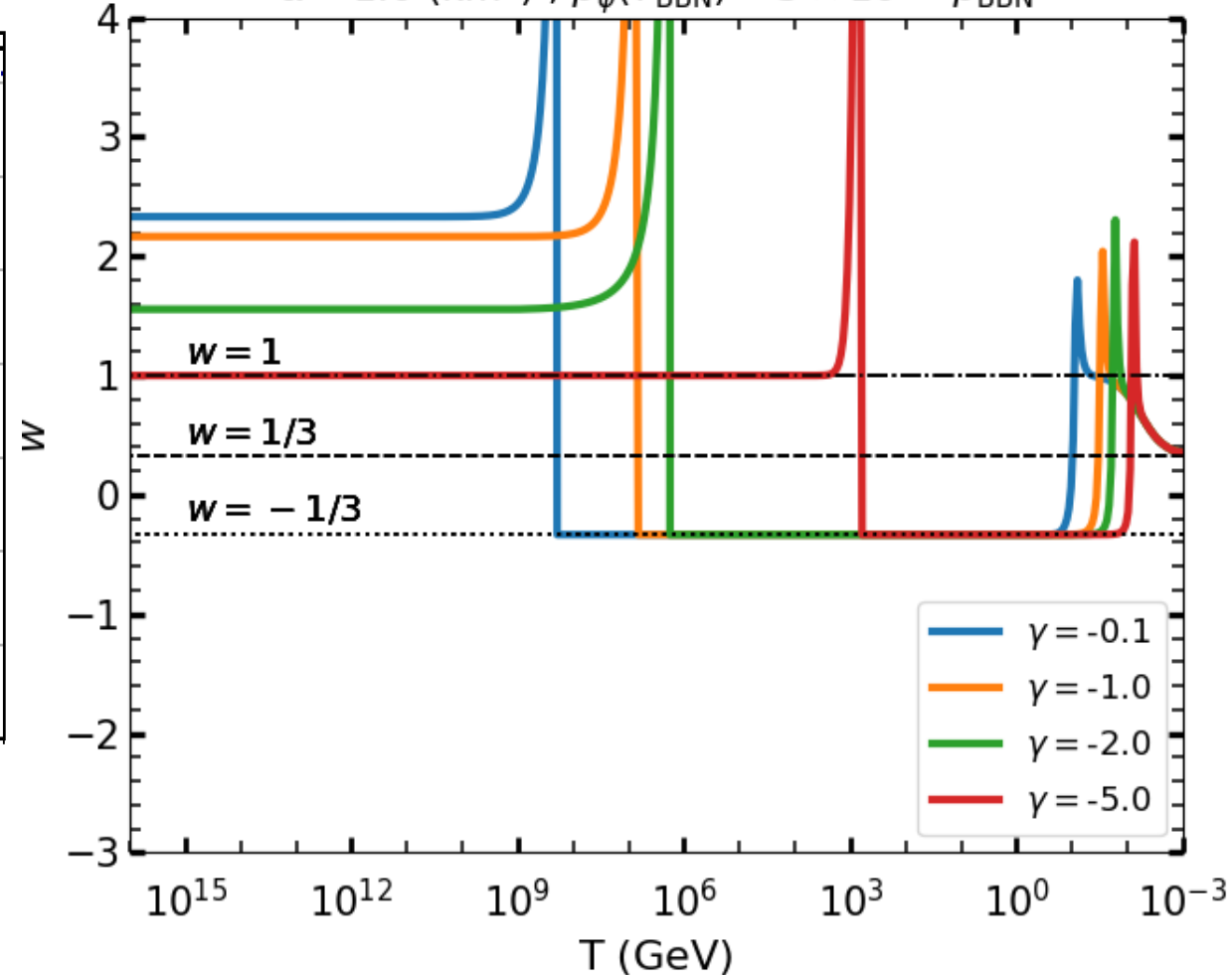


$$\tilde{\alpha} = 1 \text{ km}^2 \quad T_{RH} = 1 \times 10^8 \text{ GeV}$$



The signal is enhanced as  $|\gamma| \rightarrow 0$  because the metastable slow-roll solution with  $w = -1/3$  lasts longer.

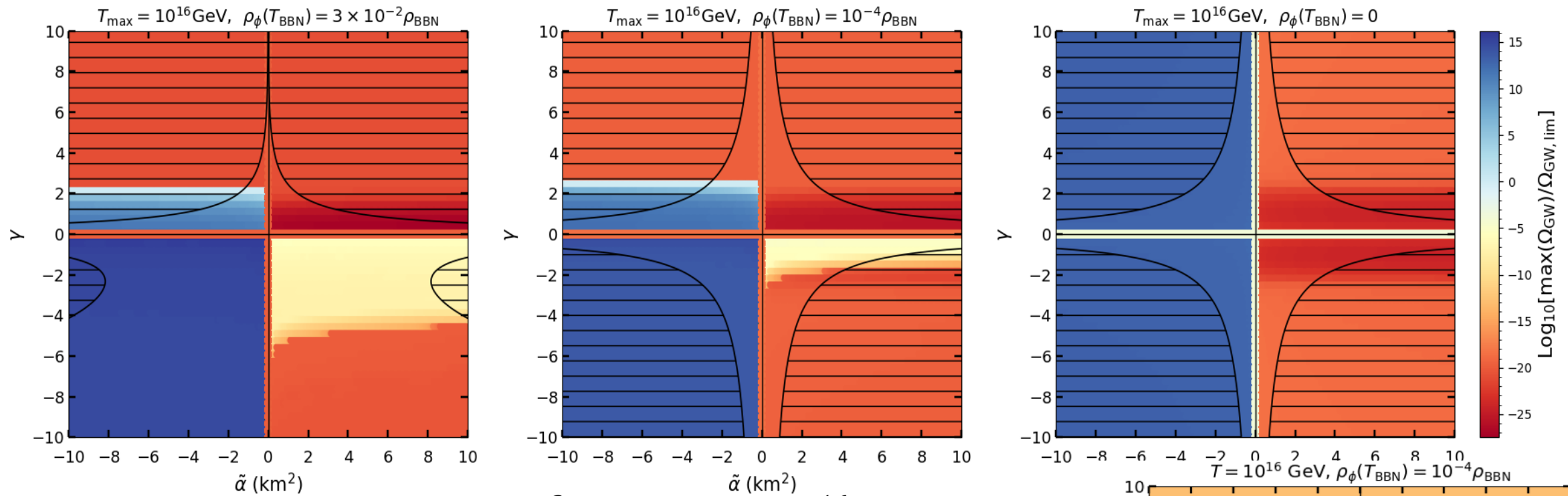
$$\tilde{\alpha} = 1.0 \text{ (km}^2\text{)}, \quad \rho_\phi(T_{\text{BBN}}) = 3 \times 10^{-2} \rho_{\text{BBN}}$$



As  $|\gamma| \rightarrow 0$  the system follows the metastable solution  $w = -1/3$  for a larger interval of  $T$  before jumping to a different regime, implying an increasing GW stochastic background.

## Summary of GW bounds

- A sizeable GWs are produced when radiation is the dominant component,  $\rho \propto T^4$ .
- As a consequence,  $d\Omega_{GW}/d \ln a \propto T$ , UV dominated, i.e. by the GWs emitted at high T.
- In the standard Cosmol,  $\rho_{rad}$  dominates at all  $T > T_{EWCO}$ ,  $\Omega_{GW}$  is a monotonically growing fn of  $T_{max}$ .  
potentially put bounds on  $T_{RH}$ .
- For standard Cosmology the ensuing stochastic background turns out to be below the BBN bound even for values as high as  $T_{RH} \simeq 10^{16}$  GeV.
- For a non-standard cosmology where radiation dominance stops above some temperature  $T_{rad,max}$  the stochastic background is dominated by the GWs produced at  $T_{rad,max}$ , and increasing  $T_{max}$  beyond  $T_{rad,max}$  does not change the final result, so that the detection are even worse.
- The dEGB scenario presents an interesting twist to the picture. In fact, in a “slow-roll regime” with  $w = -1/3$  where the energy density of the Universe is dominated by  $\rho_{rad}$ ,  $|\rho_{GB}| \propto T^4$  while at the same time  $\rho_{rad} + \rho_{GB} \propto T^2$ , with a large cancellation between  $\rho_{rad}$  and  $\rho_{GB}$ .
- dEGB allows to have an epoch when the relativistic plasma dominates the energy while at the same time the rate of dilution with T of  $\rho_{tot}$  is slower than what usually expected during rad dom.
- This strongly enhances the GW expected signal compared to the standard case and allows to put bounds on  $T_{RH} \simeq 10^9$  GeV  $\ll$   $10^{16}$  GeV in the “slow roll” asymptotic behaviour regions.

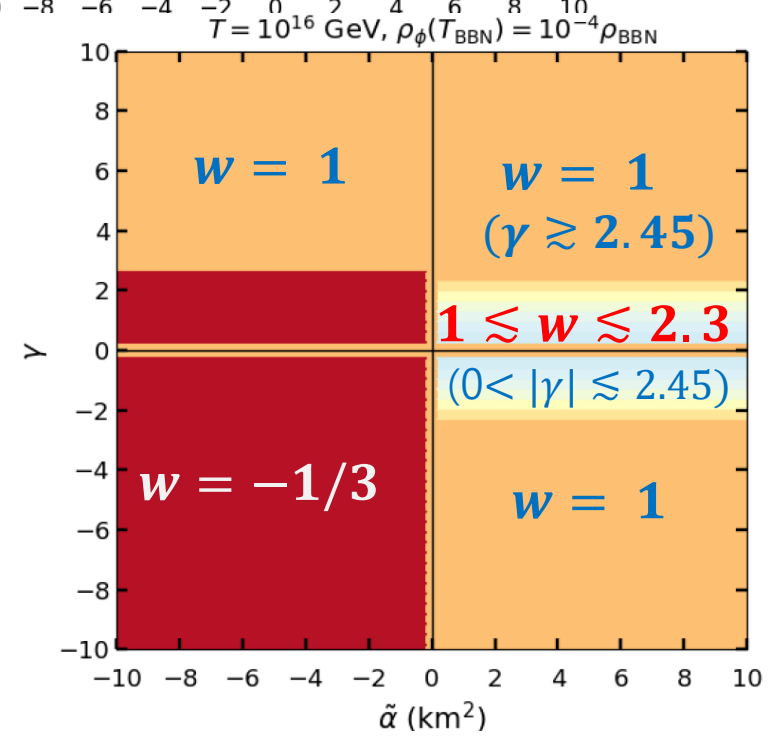


The peak value of the SGWB ( $\max(\Omega_{GW}h^2)$ ), for  $T_{max} = 10^{16}$  GeV, normalised to the BBN upper limit ( $\Omega_{GW,lim}h^2 \simeq 10^{-6}$ ).

The hatched areas are ruled out by the detection of GW from compact binary mergers.

In the “slow roll” asymptotic behaviour parameter space of the dEGB, the GW signal strongly enhances compared to the standard case and allows to put sensible bounds on  $T_{RH} \simeq 10^9$  GeV  $\ll 10^{16}$  GeV.

The SGWB can set a meaningful bound on  $T_{max} < 10^{16}$  GeV only for  $\tilde{\alpha} < 0$ , when the slow-roll attractor solution is achieved ( $w = -1/3$ ).



# V. Summary

## Modified Gravity beyond Einstein needed?

### Theoretical Aspect

- an **effective theory** below UV cut-off,  $M_{Pl} \sim 10^{19} GeV \rightarrow$  Einstein Grav + **higher curvature** terms
- Is Standard Cosmology ( $\Lambda$ CDM) satisfactory? extremely fine-tuned ( $\Lambda = 2,888 \times 10^{-122} \ell_P^{-2}$ )
- Holography

Observational Aspect -  $H_0$  tension, etc.

## Modification of GR - needs to introduce additional d.o.f.

- higher than 2<sup>nd</sup> order theories have generically, ghosts & Ostrogradsky instability :

**Horndeski theory** is the most general scalar-tensor theory w/ 2nd-order field eqn in 4 dim. (no ghost or instability, as a result), classified by 4 arbitrary functions  $\{G_i(\phi, X), i = 2,3,4,5\}$ .

(d=4) the **Dilaton-Einstein-Gauss-Bonnet (dEGB) Gravity** belongs to Horndeski theory

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + f(\phi) R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m \right] \quad \text{We chose } f(\phi) = \alpha e^{\gamma\phi}$$

In dim>4, GB term is dynamical as well as allowing 2<sup>nd</sup> order e.o.m.

## V. Summary (continued)

In **dim>4**, consider the Einstein-Gauss-Bonnet (EGB)-  $\Lambda$  Gravity (GB-AdS)

$$S_{EGB-\Lambda} = \int d^d x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda + \alpha R_{GB}^2) + \mathcal{L}_m^{matt} \right]$$

$$\Lambda = -\frac{(d-1)(d-2)}{2\ell^2}$$
$$\kappa = 8\pi G, \quad g = \det g_{\mu\nu}$$

We systematically study the black hole solutions, thermodynamics, and phases:

- Schwarzschild BH
- AdS Schwarzschild BH,
- RN AdS BH,
- AdS GB Black Holes
- charged GB AdS BH, etc.

In **dim=4**,

We study the Dilaton-Einstein-Gauss-Bonnet (DEGB) Gravity  $f(\phi) = \alpha e^{\gamma\phi}$

$$S_{dEGB} = \int d^4 x \sqrt{-g} \left[ \frac{1}{2\kappa} (R - 2\Lambda e^{\lambda\phi(r)} + f(\phi) R_{GB}^2) + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m^{matt} \right]$$



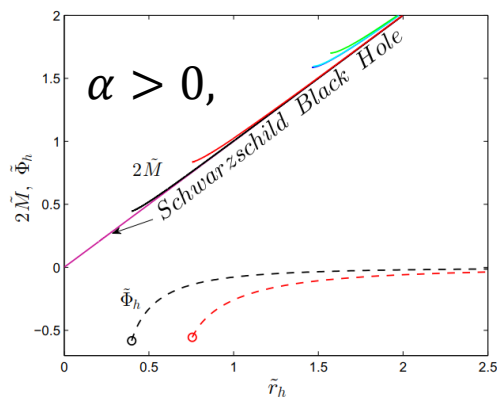
# V. Summary (continued)

## DEGB hairy Black Hole

(with Dilaton, Gauss-Bonnet term and cosmological constant)

- There exists **minimum mass**.
- BHs have hairs (shown to be consistent with the no hair theorem).

- The BH solution & its properties are strongly dependent on the signature of the Gauss-Bonnet term (as well as  $\Lambda$ ).



When the scalar field on the horizon is the maximum, the DGB black hole solution has the minimum horizon size.

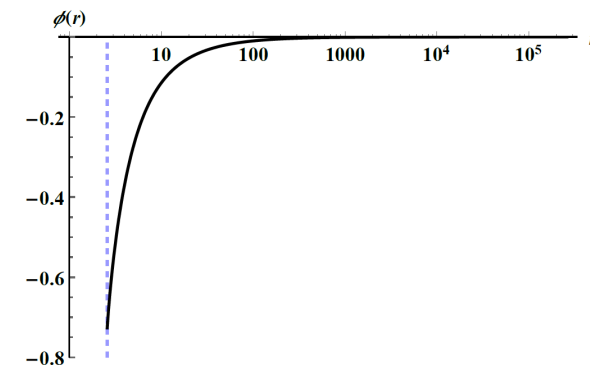
The amount of black hole hair decreases as the DGB black hole mass increases.

- With Cos. Const :

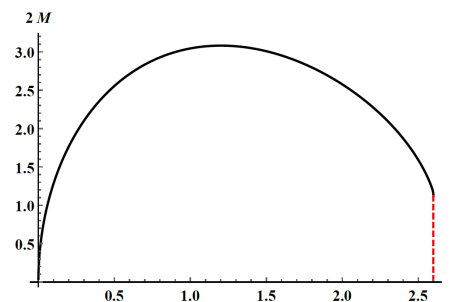
$$\Phi(r) \rightarrow \Phi_\infty (\text{Constant}) \quad \text{only when } \gamma + \lambda = 0, \text{ \& } \Lambda = \frac{3\lambda}{8\kappa\alpha\gamma} e^{-(\gamma+\lambda)\Phi_\infty}$$

## Fragmentation instability of black holes:

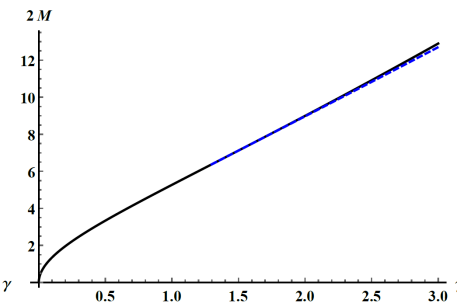
For some parameter range, the dEGB BH is unstable under fragmentation, even if these phases are stable under perturbation.



(b)  $\phi(r)$  vs.  $r$



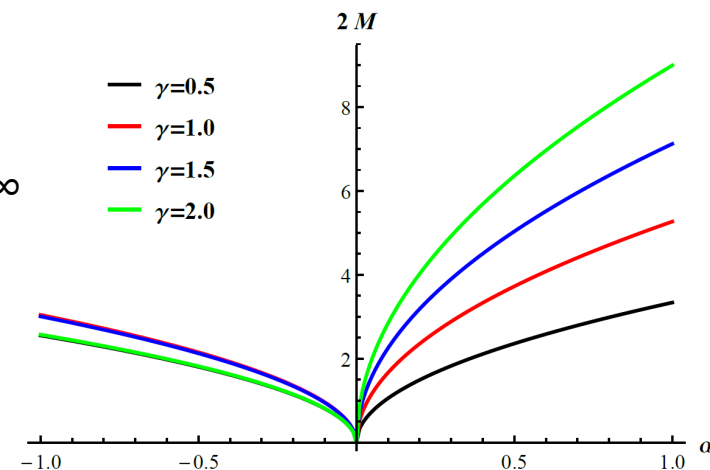
(a) The black hole mass vs.  $\gamma$  with  $\alpha = -1$ .



(b) The black hole mass vs.  $\gamma$  with  $\alpha = 1$ .

"attractive"

"repulsive"



# 5. Summary

Ex) The String theory at low Energy

→ Einstein Grav + higher curvature terms

## Modified Gravity beyond Einstein needed?

### Theoretical Aspect

- an **effective theory** below UV cut-off,  $M_{Pl} \sim 10^{19} GeV \rightarrow$  Einstein Grav + higher curvature terms
- Is Standard Cosmology ( $\Lambda$ CDM) satisfactory? extremely fine-tuned ( $\Lambda = 2,888 \times 10^{-122} \ell_P^{-2}$ )
- Holography

Observational Aspect -  $H_0$  tension, Cosmological Birefringence etc.

### Modification of GR - needs to introduce additional d.o.f.

- higher derivatives is one way of introducing additional d.o.f.  
Genirically, ghosts & Ostrogradsky instability :

**Horndeski theory** is the most general scalar-tensor theory w/ 2nd-order field eqn in 4 dim. (no ghost or instability, as a result), classified by 4 arbitrary functions  $\{G_i(\phi, X), i = 2,3,4,5\}$ .

the **Dilaton-Einstein-Gauss-Bonnet (dEGB) Gravity** belongs to Horndeski theory

$$S_{dEGB} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + f(\phi) R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \mathcal{L}_m \right]$$

We chose

$$f(\phi) = \alpha e^{\gamma\phi}$$

# 5. Summary (continued)

Cosmological implications of dEGB gravity  
 - Inflation, reheating, rad-dom period, etc

- **WIMPs** indirect detection put some constraints

- **Bounds from GWs of BH-BH & BH-NS mergers**

White regions in the figures are disfavored.

The WIMP indirect detection bounds are complementary to late-time BBH merger constraints.

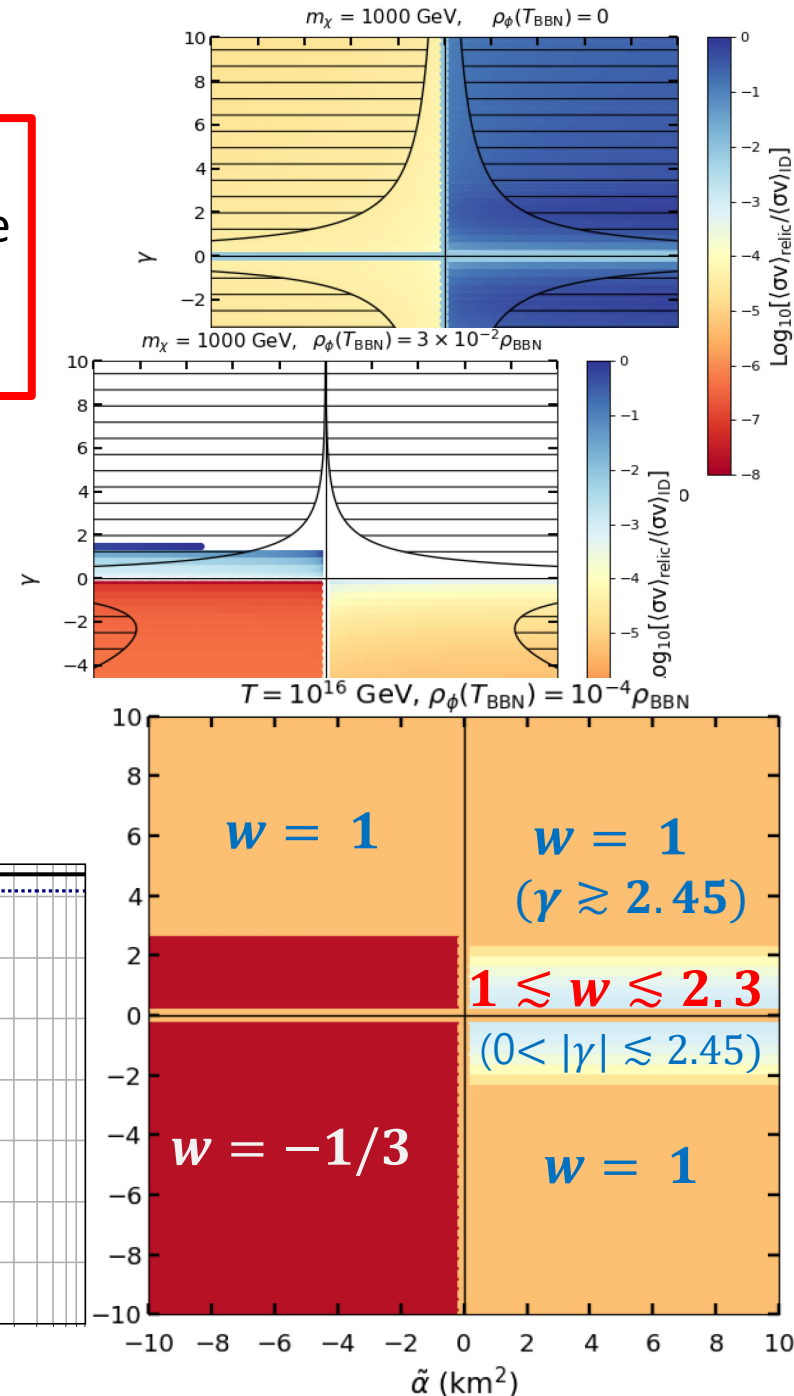
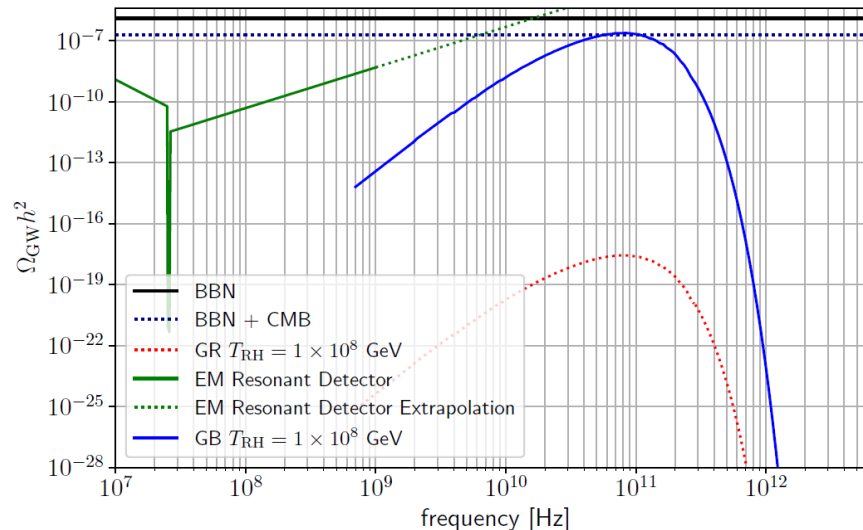
Hatched areas are disallowed by the BBHs

New Phases exists at high enough temperature



- the regions  $w = -1/3$  imply a strong enhancement of the expected GWSG produced by the primordial plasma of relativistic particles.

- This allows to put bounds on  $T_{RH} \simeq 10^8 - 10^9 \text{ GeV} \ll 10^{16} \text{ GeV}$ .



Thank you!