

Eigenvector Continuation and Emulation With the Symmetry-Adapted No-Core Shell Model

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... In Collaboration With...

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HPC Resources

DOE ...NERSC
 NSF ...Frontera
 LSU ... SuperMike-II

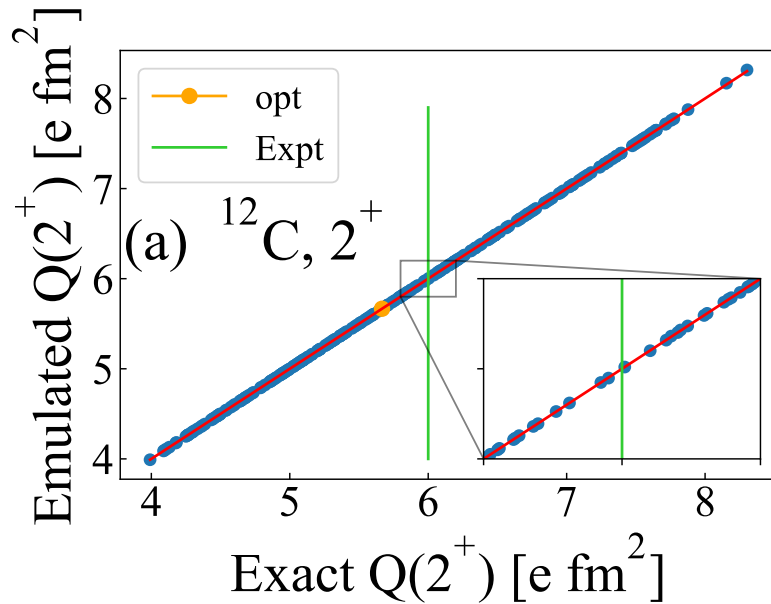
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Emulators Work Great – But Why??

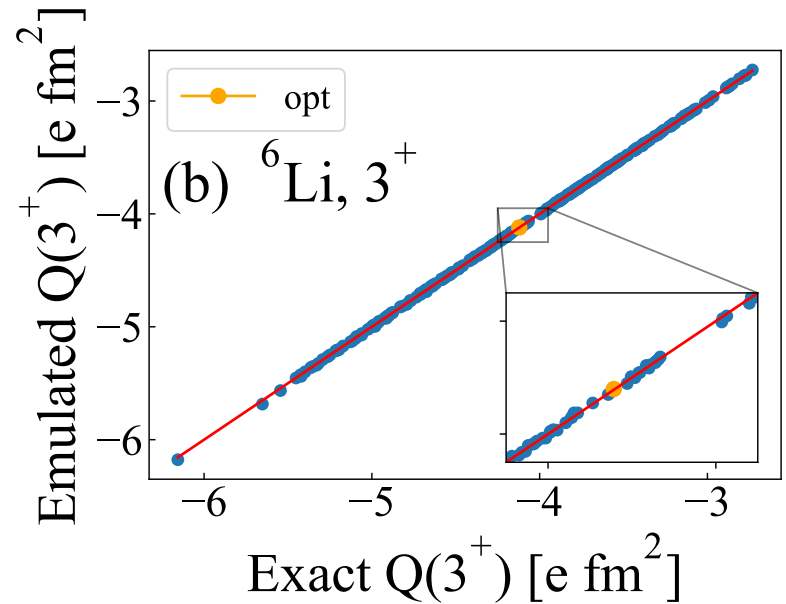
Becker et al., Frontiers in Nucl. Phys., 2023

Uniformly sample set of model parameters \vec{c}



32 x 32
matrices
1 process

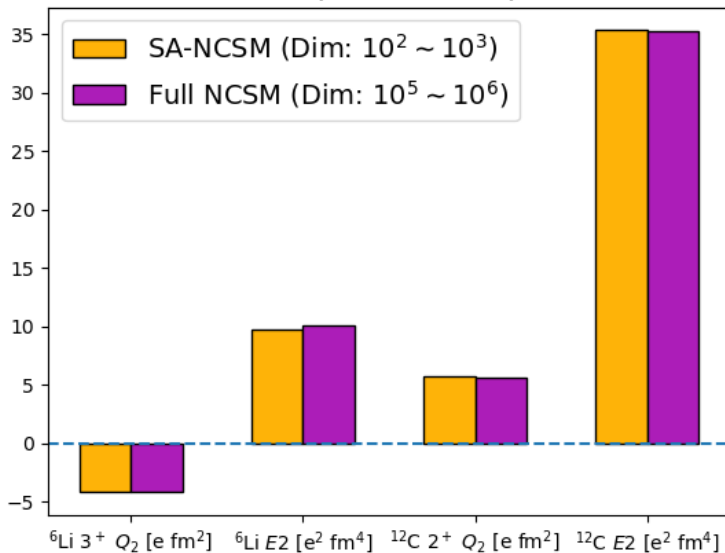
SA Basis:
238 x 238 matrices
Full basis:
 $5 \times 10^6 \times 5 \times 10^6$ matrices
10,584 parallel processes!!!



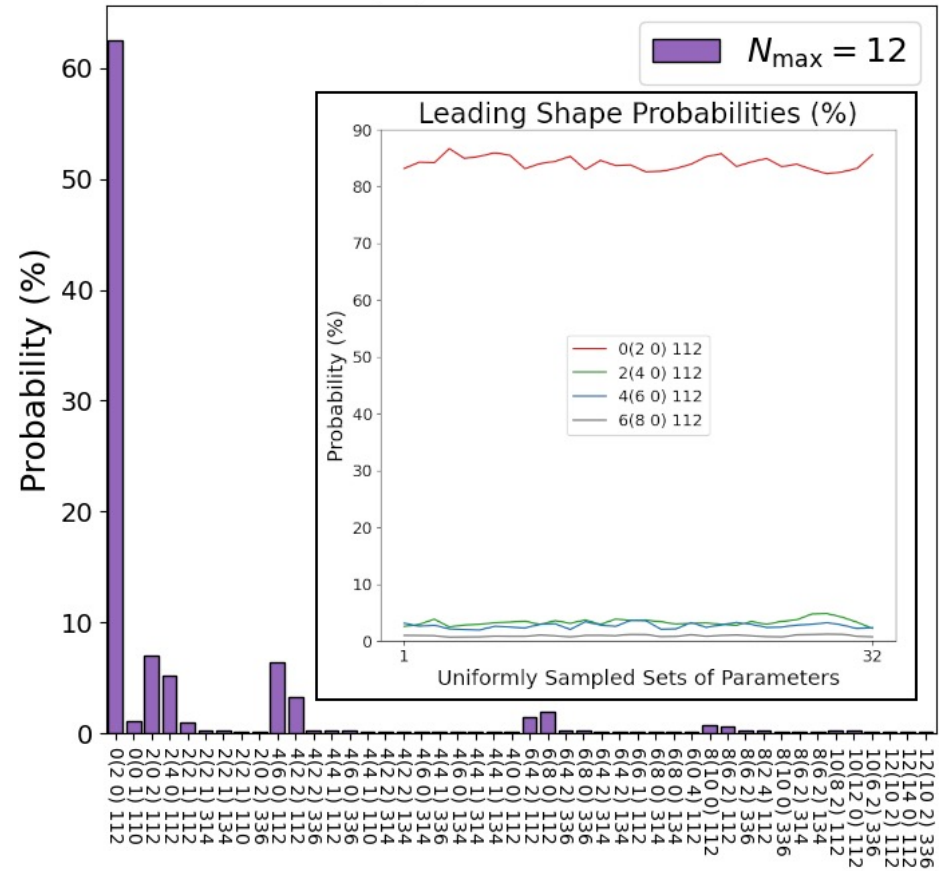
SA Basis:
9,108 x 9,108 matrices
Full basis:
 $3 \times 10^5 \times 3 \times 10^5$ matrices
168 parallel processes!

Group Theory and Near-Perfect Symmetry!

- ❖ Near-perfect $Sp(3,R)$ symmetry guarantees wave functions depend smoothly on model parameters
- ❖ Very small subset of configurations contribute due to $SU(3)$ symmetry



${}^6\text{Li } 1^+ SU(3)$ coefficients $> 0.1\%$



- ❖ Exploiting these symmetries provides an excellent emulator for the full many-body problem

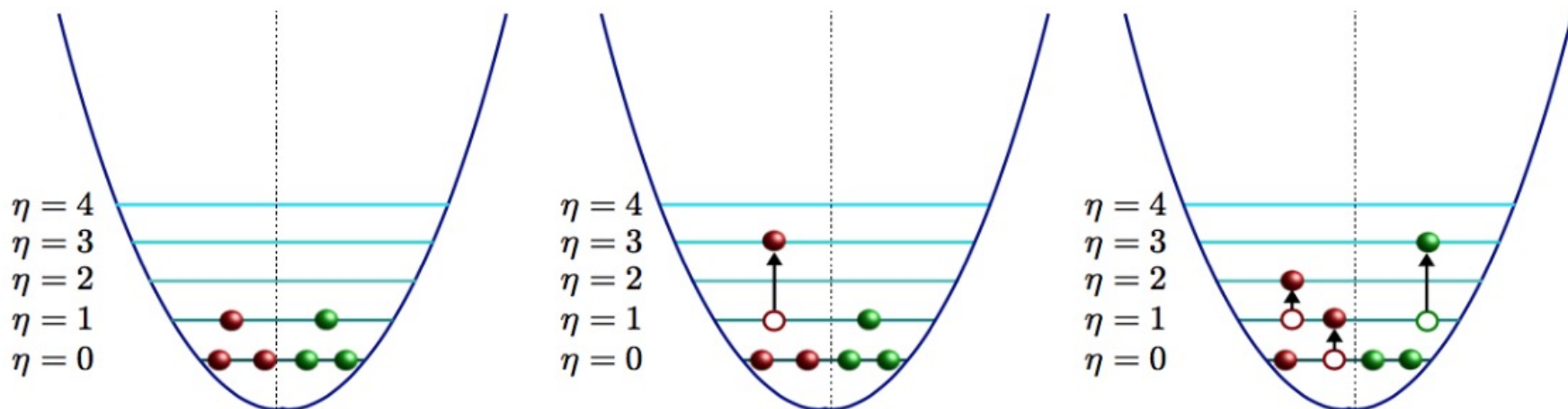
Nuclear Many-Body Problem: No-Core Shell Model

- ❖ Goal: solve the A -body Schrödinger equation for a nucleus with A particles
- ❖ Use antisymmetrized products of well-known single-particle 3D harmonic oscillator eigenstates

$0\hbar\Omega$

$2\hbar\Omega$

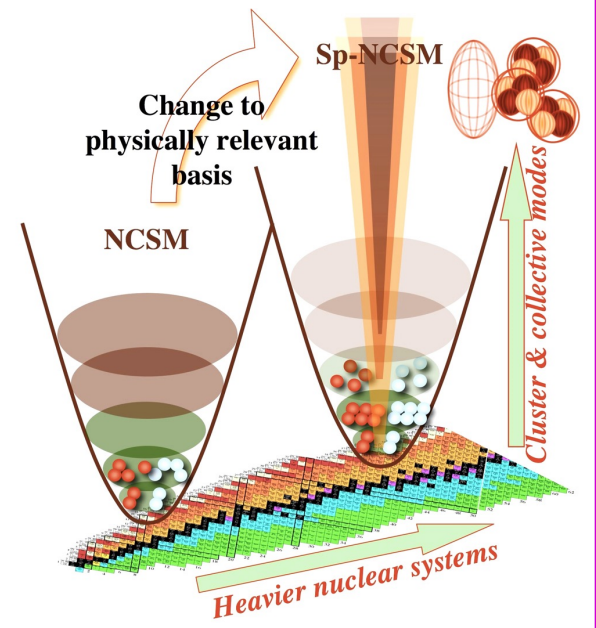
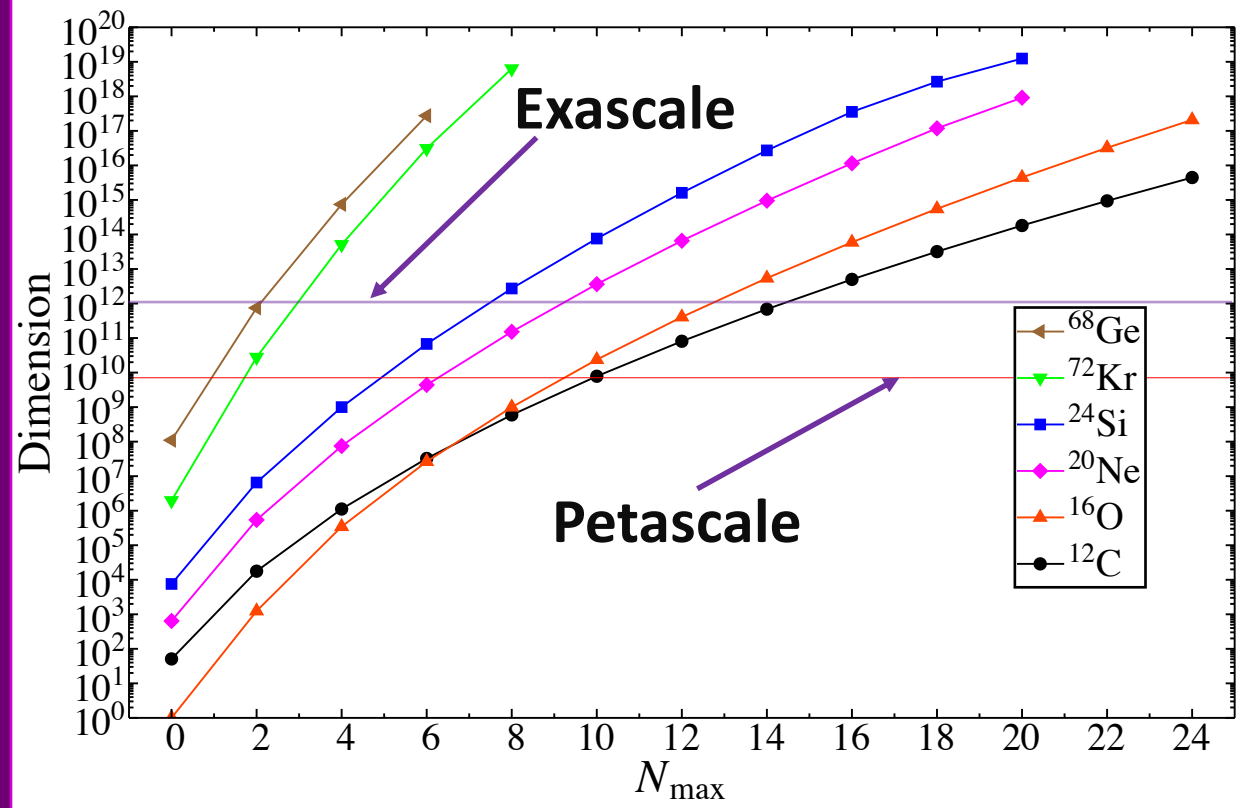
$4\hbar\Omega$



- ❖ Truncate infinite dimensional space up to cutoff in excitations: N_{\max}

Solving the Many-Body Problem: No-Core Shell Model

❖ Principal difficulty: Dimension explodes combinatorially with A and N_{\max} !



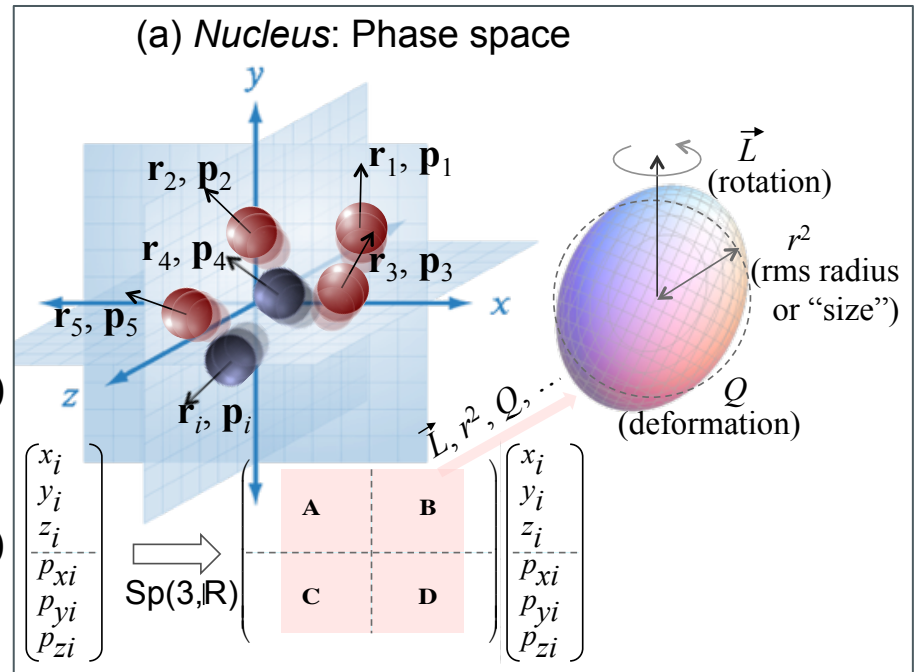
Sp(3,R) Algebra

All linear canonical transformations of the single-particle phase-space observables

$$x_{i\alpha} \rightarrow \sum_{\beta=x,y,z} a_{\alpha\beta} x_{i\beta} + b_{\alpha\beta} p_{i\beta}$$

$$p_{i\alpha} \rightarrow \sum_{\beta=x,y,z} c_{\alpha\beta} x_{i\beta} + d_{\alpha\beta} p_{i\beta}$$

that preserve the canonical commutation relation $[x_{i\alpha}, p_{j\beta}] = i\hbar \delta_{ij} \delta_{\alpha\beta}$



$$Sp(3, \mathbb{R}) \supset U(3) \supset SO(3) \supset SO(2)$$

Generators:

$$Q_{\alpha\beta} = \sum_{i=1}^A x_{i\alpha} x_{i\beta}$$

$$S_{\alpha\beta} = \sum_{i=1}^A (x_{i\alpha} p_{i\beta} + p_{i\alpha} x_{i\beta})$$

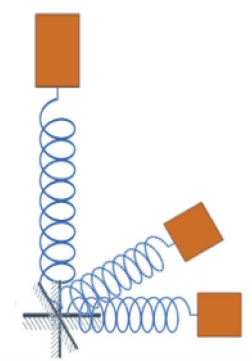
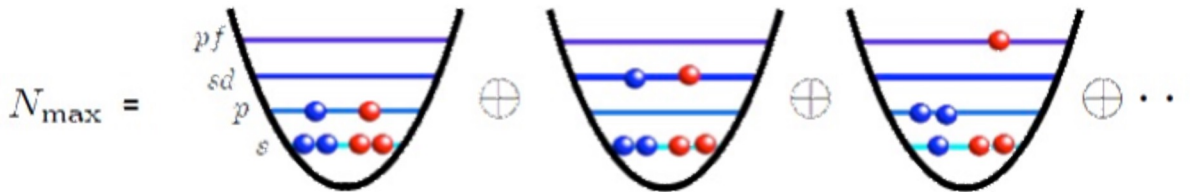
$$L_{\alpha\beta} = \sum_{i=1}^A (x_{i\alpha} p_{i\beta} - x_{i\beta} p_{i\alpha})$$

$$K_{\alpha\beta} = \sum_{i=1}^A p_{i\alpha} p_{i\beta}$$

U(3)
in a HO shell
(Elliott, 1958)

Constructing the Basis

Distributions of nucleon over HO shells ($0\hbar\Omega, 2\hbar\Omega, \dots$; $0p-0h, 2p-2h, \dots$)



SU(3) basis states (unitary transformation from m -scheme), e.g. $A=2$:

$$\frac{1}{N} \left[a_{(n_1 0)st}^\dagger \times a_{(n_2 0)st}^\dagger \right]^{(\lambda\mu)\kappa(LS)JM;TT_0} |0\rangle \quad [\dots \text{not used}]$$

$$\lambda = n_z - n_x; \quad \mu = n_x - n_y$$

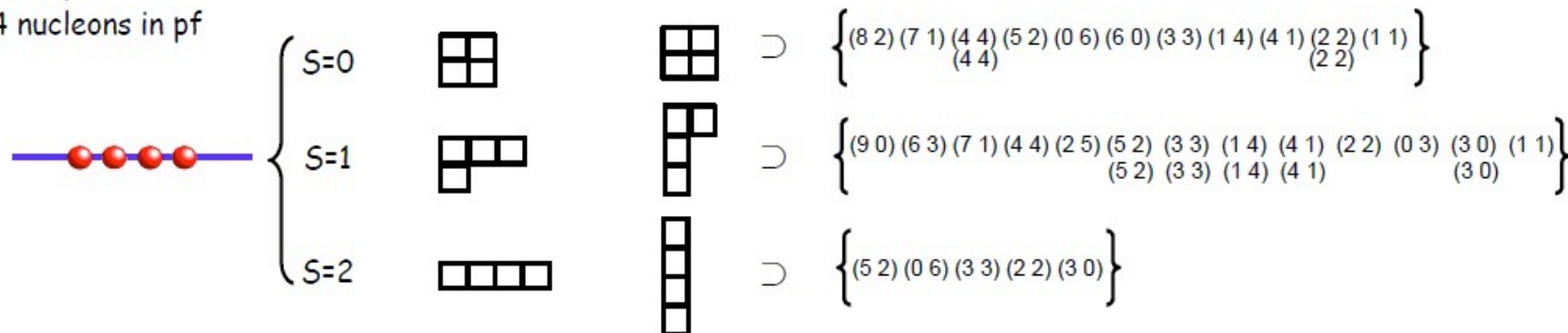
Fast basis construction! ... based on Gel'fand patterns

$$\text{quantum labels: } U(2) \otimes U(10) \supset SU(3)$$

$$S \quad [f] \quad \alpha \quad (\lambda \mu)$$

• Example:

4 nucleons in pf

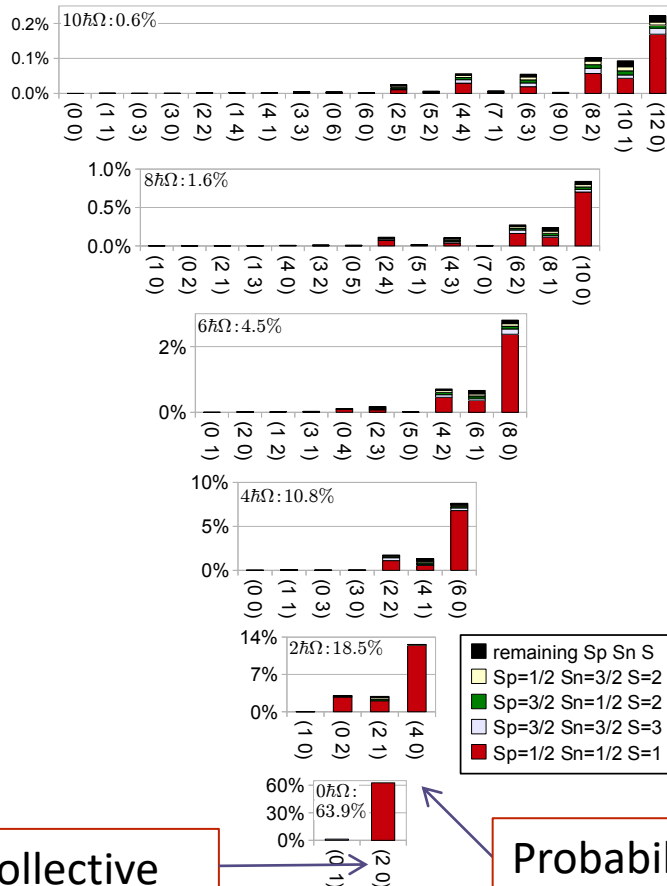


... followed by multi-shell coupling of SU(3) configurations

Using SU(3) coupling/recoupling coefficients ... analogous to SU(2), but outer/inner multiplicities!

Wave Functions in the SA-NCSM

${}^6\text{Li } 1^+$



Express nuclear wave functions in terms of states with definite deformation – SU(3)-adapted basis

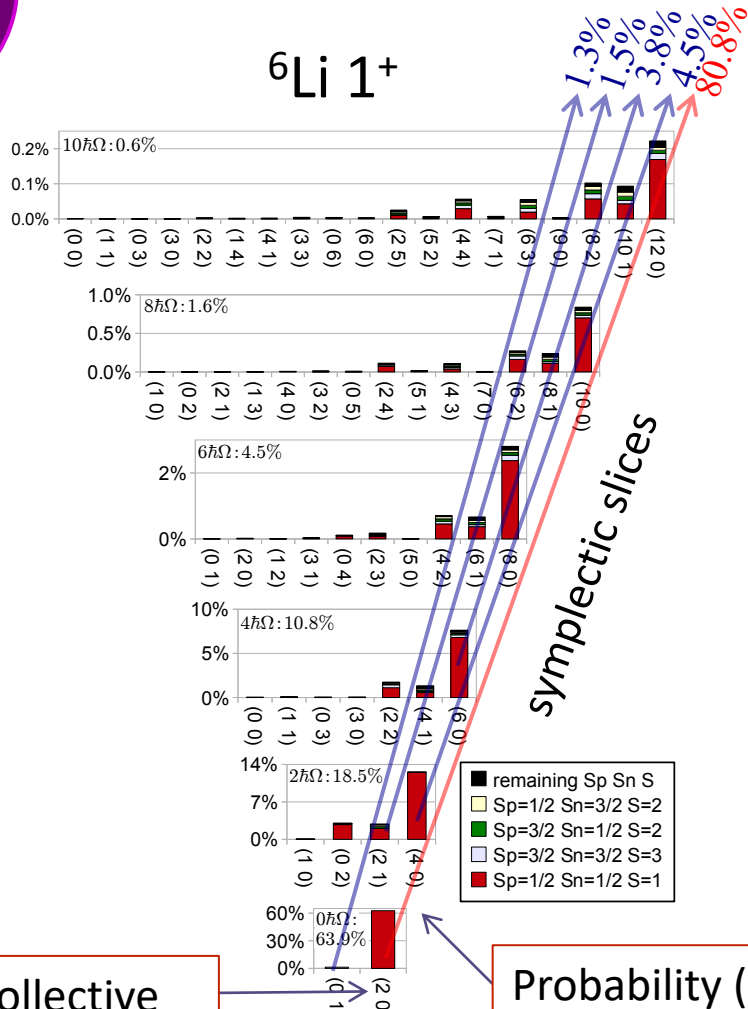
Collective basis states

Probability (%)

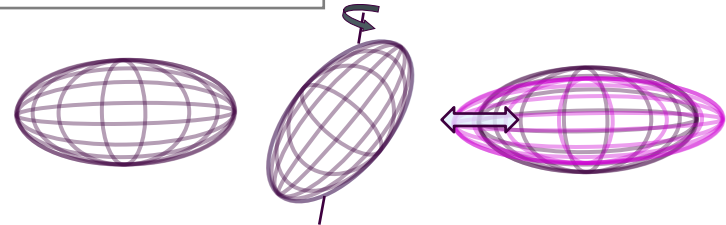
T. Dytrych,
Phys. Rev. Lett. 111, 252501
2013

Wave Functions in the SA-NCSM

${}^6\text{Li } 1^+$



Symplectic slice:



Express nuclear wave functions in terms of states with definite deformation – SU(3)-adapted basis

Further organize SU(3) states into Sp(3,R) irreps – Sp(3,R)-adapted basis

Approximate symplectic symmetry in nuclei

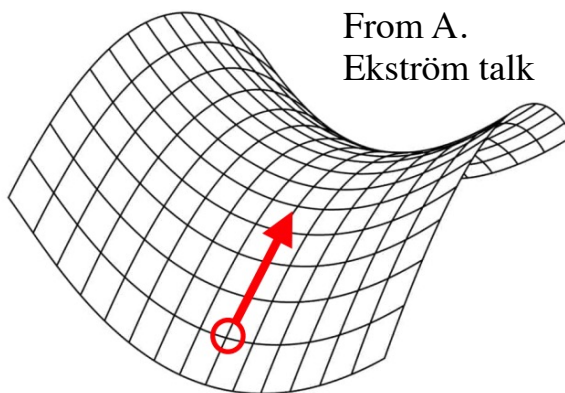
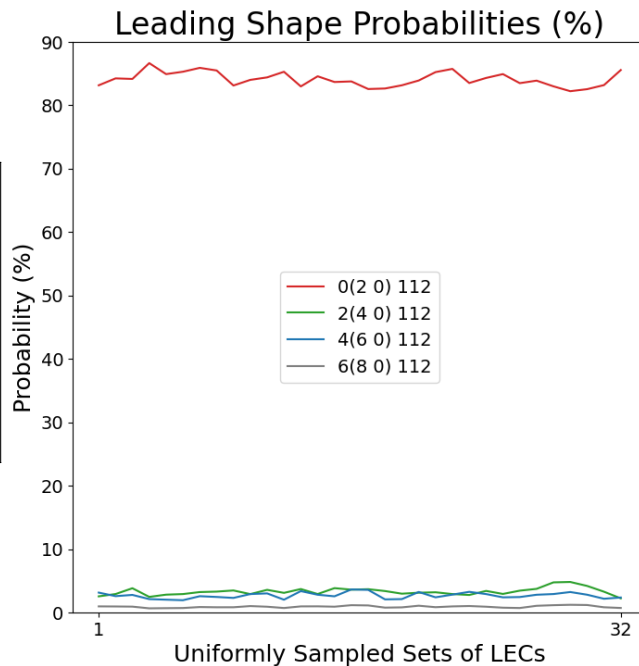
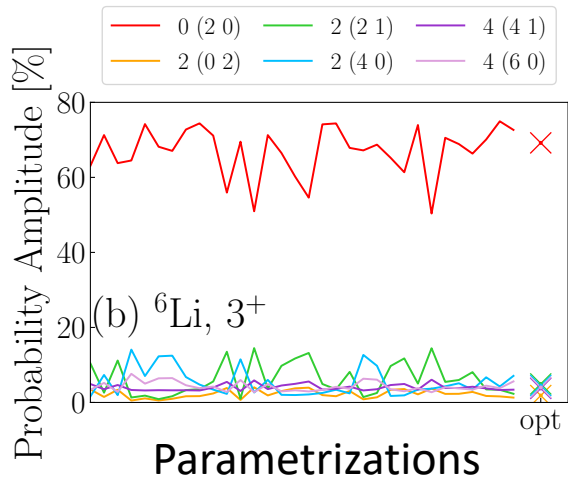
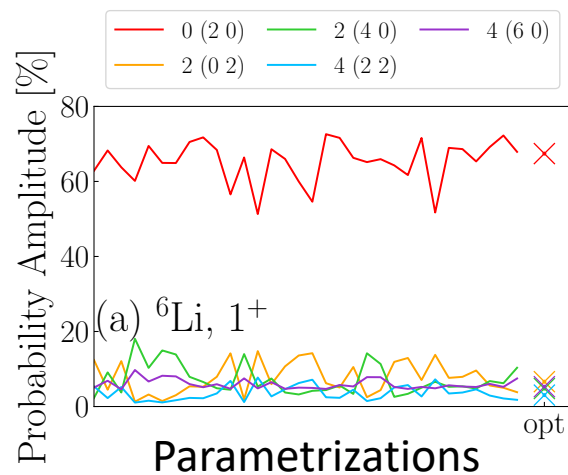
T. Dytrych,
Phys. Rev. Lett. 111, 252501
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Model for the Nuclear Hamiltonian

$$\hat{H} = \hat{H}_0 + \sum_{i=1}^{14} c_i \hat{H}_i$$

- ❖ \hat{H}_0 : Kinetic Energy + Coulomb repulsion + parameter-independent terms
- ❖ \hat{H}_i : Realistic nucleon-nucleon interaction terms derived from chiral effective field theory at next-to-next-to-leading order
- ❖ c_i : Unknown low-energy constants (LECs) that tie physics of nuclei to elementary particle interactions at low energies

The Ingredients of Eigenvector Continuation!



Wave function coefficients vary smoothly with parameters

Out of thousands, only a few dozen contribute above 0.1%

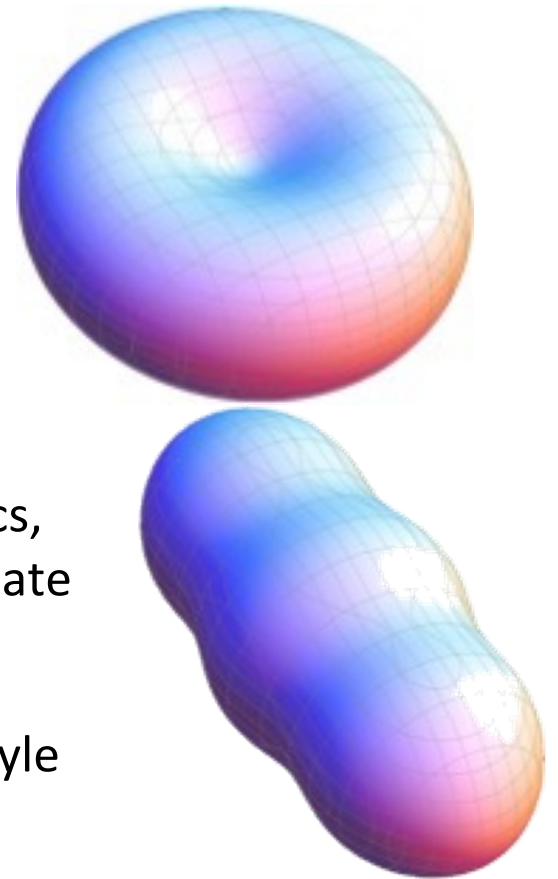
An even smaller subset vary appreciably with parameters

The wave function traces out a trajectory in a low-dimensional subspace of the model

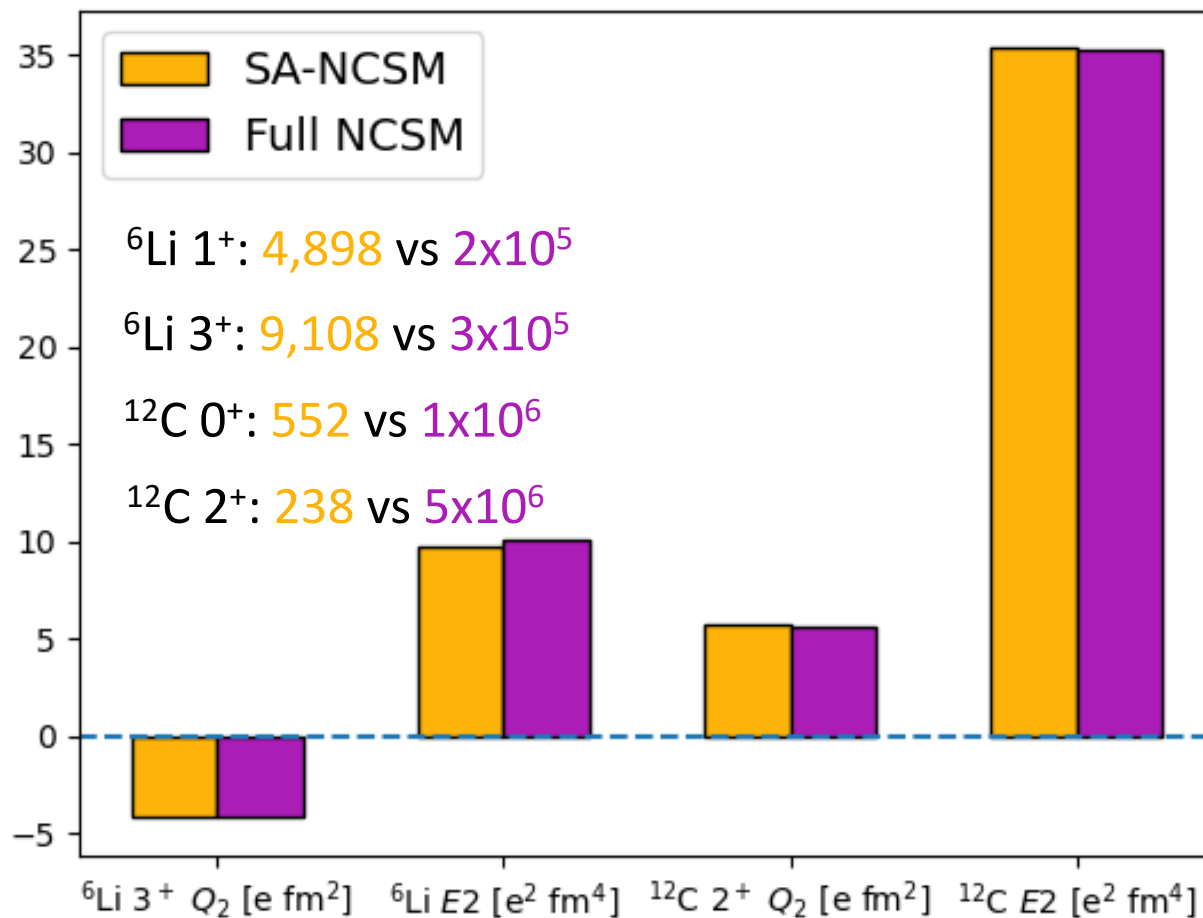
Becker et al., Frontiers in Nucl. Phys., 2023

Eigenvector Continuation: ^{12}C

- ❖ Consider the 0^+ ground state of ^{12}C : predominantly
- ❖ Emulator trained on g.s. wave functions can predict the next 0^+ : dominated by the same shapes
- ❖ The Hoyle state is described by very different physics, different shape. This may mix slightly into ground state only at very large N_{max}
- ❖ We need an emulator that includes ground AND Hoyle state physics, degrees of freedom!
- ❖ Difficulty of training EVC emulator scales \sim linearly with number of shapes; not too hard to train on both!



SA-NCSM Provides a Highly Effective Emulator!



Becker et al., Frontiers in Nucl. Phys., 2023

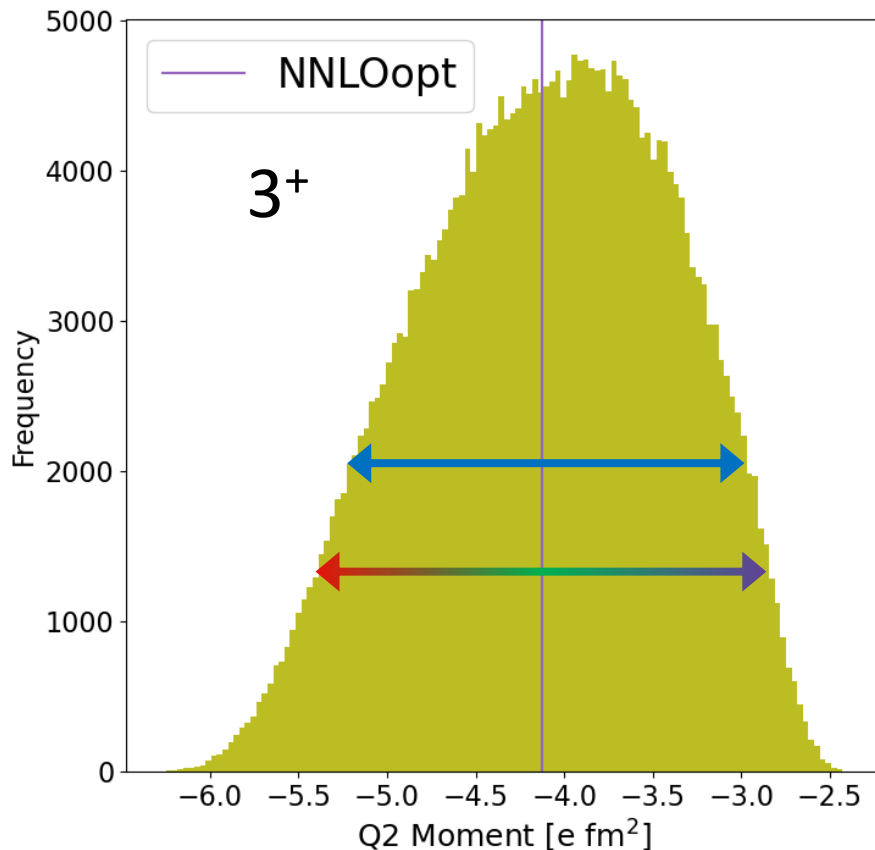
Which Parameters Are Most Important?

$$\hat{H} = \hat{H}_0 + \sum_{i=1}^{14} c_i \hat{H}_i$$

- ❖ High-precision nuclear physics: accurate fitting and uncertainty quantifications of LECs c_i is required
- ❖ Question: Which (if any!) LECs have the greatest impact on collective properties of the nucleus?

Global Sensitivity Analysis

Global Sensitivity Analysis: how does uncertainty in parameters cause uncertainty in nuclear observables?



Distribution of Q2 Moments in ${}^6\text{Li}$, 10 HO Shells

Var[Q2]

Partial Variances
Break down width
into contributions from
different parameters

ANOVA Representation

❖ $Q_2 = f(\vec{c})$

❖ Expand f into the following series:

$$f_0 + \sum_{i=1}^d f_i(c_i) + \sum_{i<j}^d f_{ij}(c_i, c_j) + \sum_{i<j<k}^d f_{ijk}(c_i, c_j, c_k) + \cdots + f_{1\dots d}(\vec{c})$$

LEC-
independent

single-variable
contribution

two-variable
contribution

three-variable
contribution

d -variable
contribution

Decomposition into Partial Variances

❖ One can show that

$$\int f^2(\vec{x}) d\vec{x} - f_0^2 = \sum_{i=1}^d \int f_i^2(x_i) dx_i + \sum_{i<j}^d \int f_{ij}^2(x_i, x_j) dx_i dx_j + \dots$$

$\text{Var}(Q_2)$

Single-variable
contribution

V_i

Two-variable
contribution

V_{ij}

❖ **First-Order Sensitivity Index:**

$$S_i = \frac{V_i}{\text{Var}(Q_2)}$$

How much of the output variance is due to variance of c_i only?

❖ **Second-Order Sensitivity Index:**

$$S_{ij} = \frac{V_{ij}}{\text{Var}(Q_2)}$$

How much of the output variance is due to correlated variance of c_i and c_j ?

Total-Order Sensitivity Effect

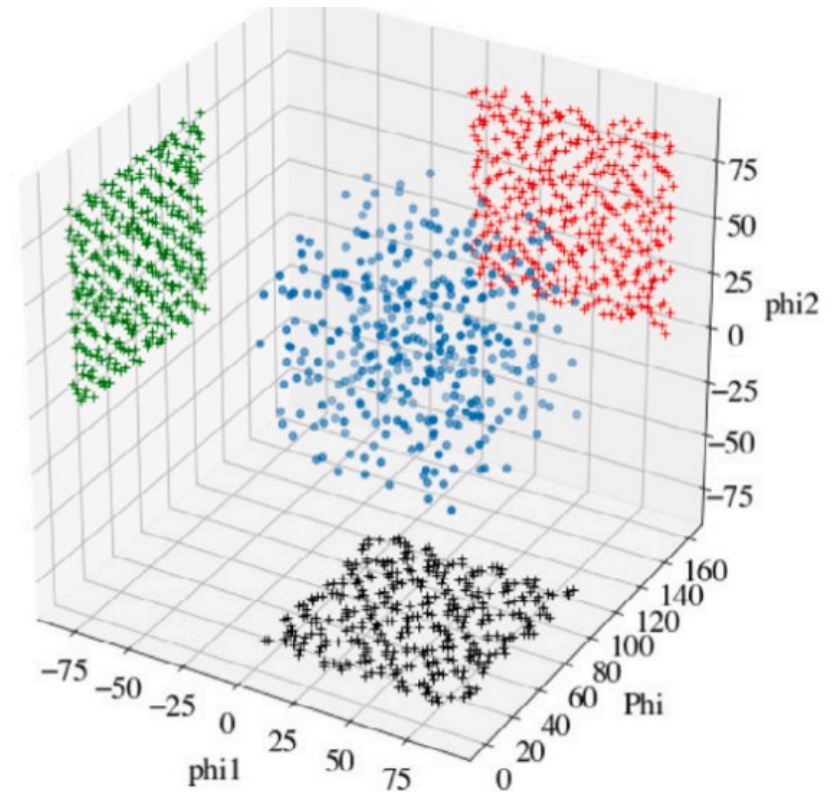
- ❖ We are most interested in the total sensitivity across all orders of correlation for the parameter c_i :

$$S_{Ti} = S_i + \sum_j S_{ij} + \sum_{jk} S_{ijk} + \dots$$

- ❖ Each of the summands becomes progressively harder to evaluate...
- ❖ ...But the total effect S_{Ti} can be well approximated with a single Monte Carlo integral

Saltelli Sampling Procedure

- ❖ A. Saltelli's method of drawing samples from a Sobol sequence
- ❖ Deterministic, based on number of parameters (14) and their ranges ($\pm 10\%$ NNLOopt values)
- ❖ Evenly fill 14-dimensional hypercube *AND* all lower-dimensional hypersurfaces
- ❖ Huge number required for GSA convergence: $(d + 1) \cdot 2^d \rightarrow 300,000$



Ibragimova et al.,
Int. Journal of Plasticity,
103059, 2021

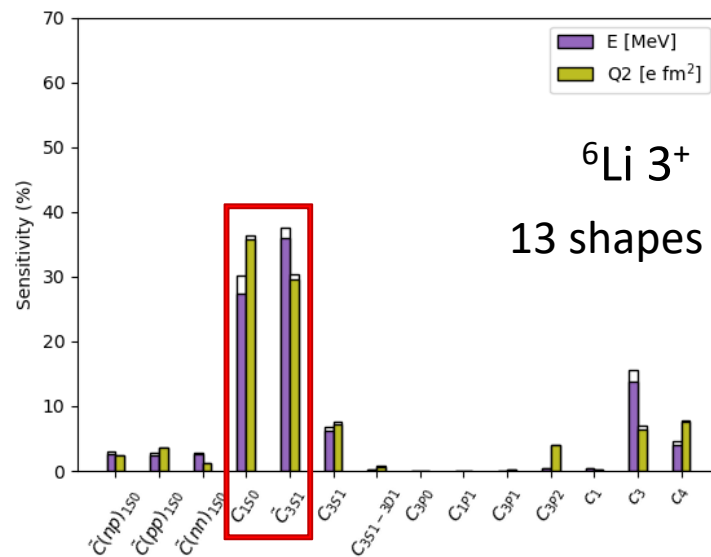
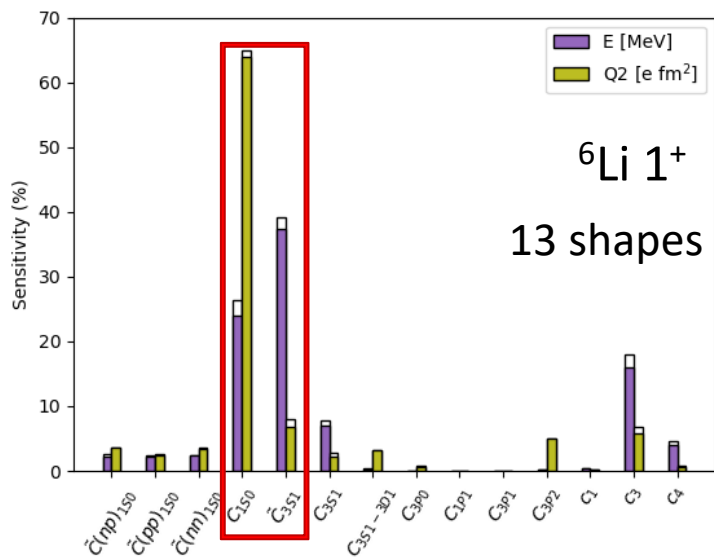
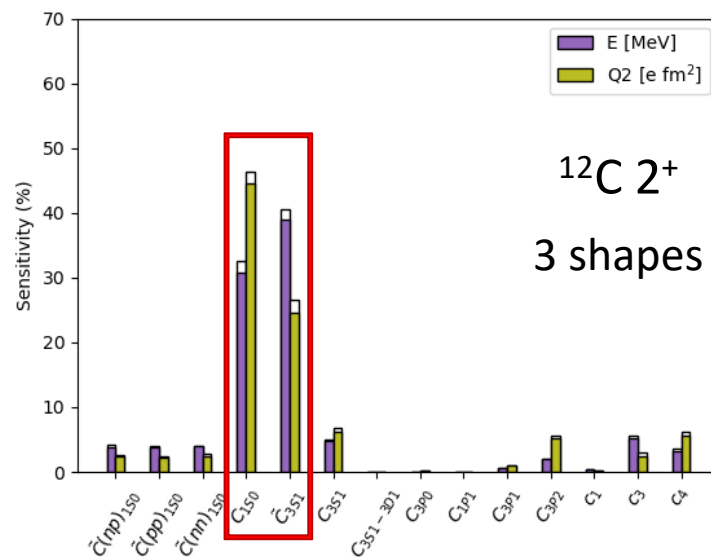
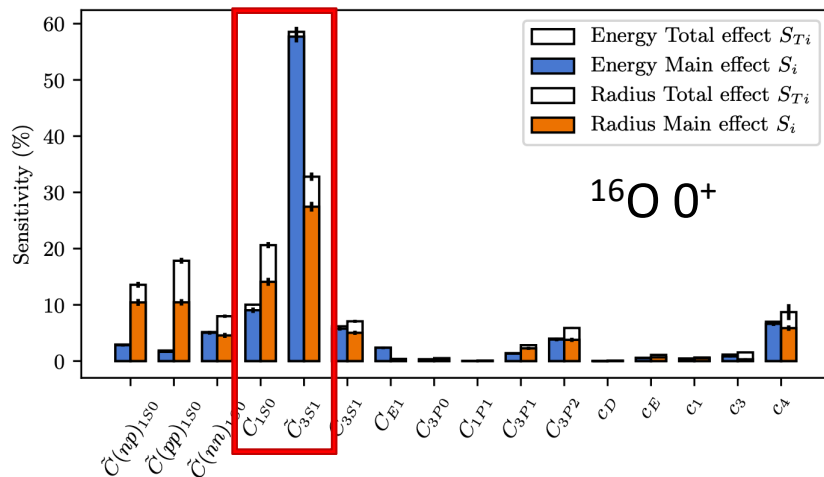
Enormous computational cost!

- ❖ ${}^6\text{Li}$ ground state, $N_{\text{max}} = 8$: dimension = 186,926
 - ❖ ~ 0.07 node-hours per evaluation: **21,000 for GSA**
- ❖ ${}^6\text{Li}$ ground state, $N_{\text{max}} = 12$: dimension = 3,948,000
 - ❖ ~ 9 node-hours per evaluation; **2.7 million for GSA!!**
- ❖ ${}^{12}\text{C}$ first excited 2^+ state, $N_{\text{max}} = 6$: dimension = 5,025,653
 - ❖ ~ 18 node-hours per evaluation; **5.4 million for GSA!!**
- ❖ ${}^{12}\text{C}$ first excited 2^+ state, $N_{\text{max}} = 8$: dimension = 78,814,670
 - ❖ ~ 3000 node-hours per evaluation! **900 million for GSA!!!!**
- ❖ Clearly, some kind of emulator is necessary to proceed!!
 - ❖ Or, combination of emulators...

Sensitivity Indices: ^{16}O , ^{12}C , and ^6Li

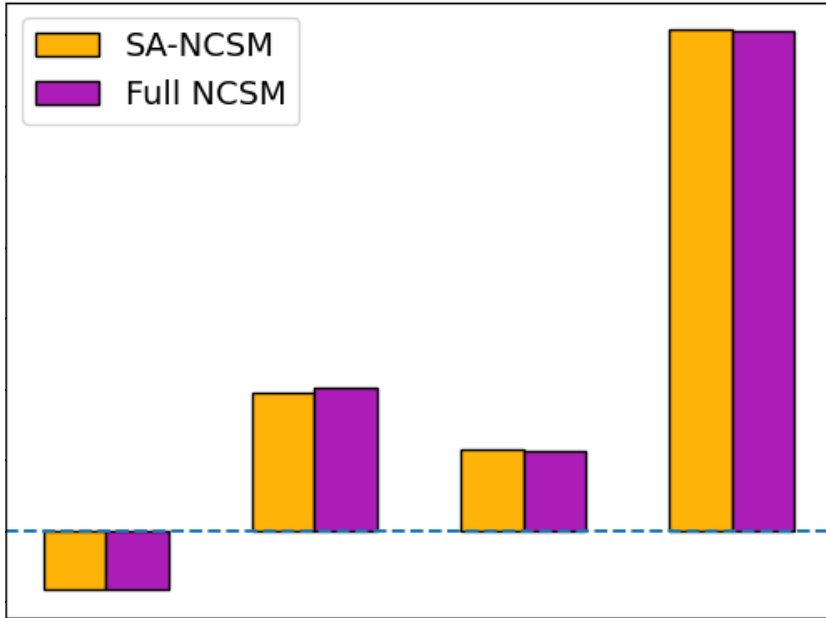
A. Ekström

Phys. Rev. Lett. 123, 252501 (2019)

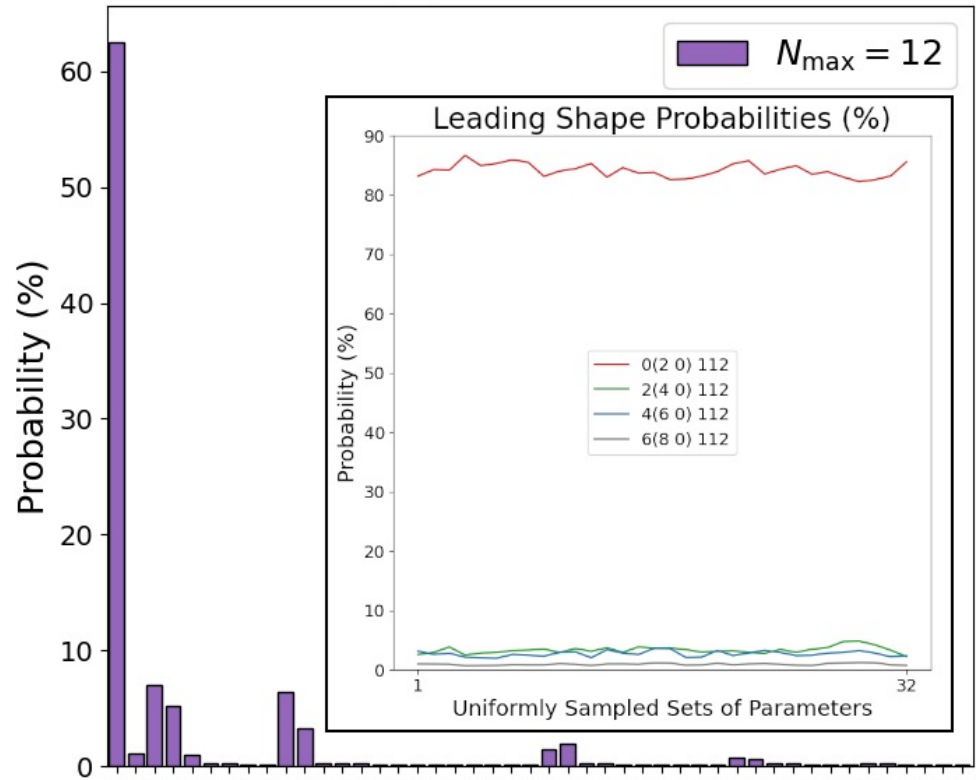


Summary

❖ Because of near-perfect symplectic symmetry and dominance of a small set of deformation...



${}^6\text{Li } 1^+$ SU(3) coefficients $> 0.1\%$



❖ ...The SA-NCSM is an effective emulator of the high-fidelity NCSM!