

Charm CP violation and mixing at LHCb

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on behalf of the LHCb collaboration

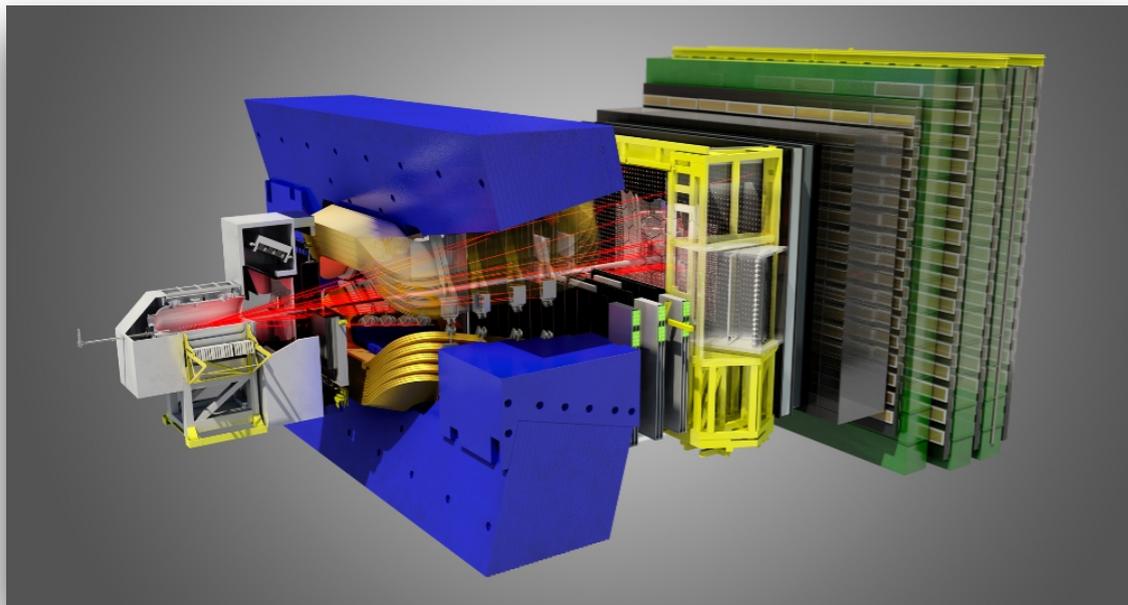
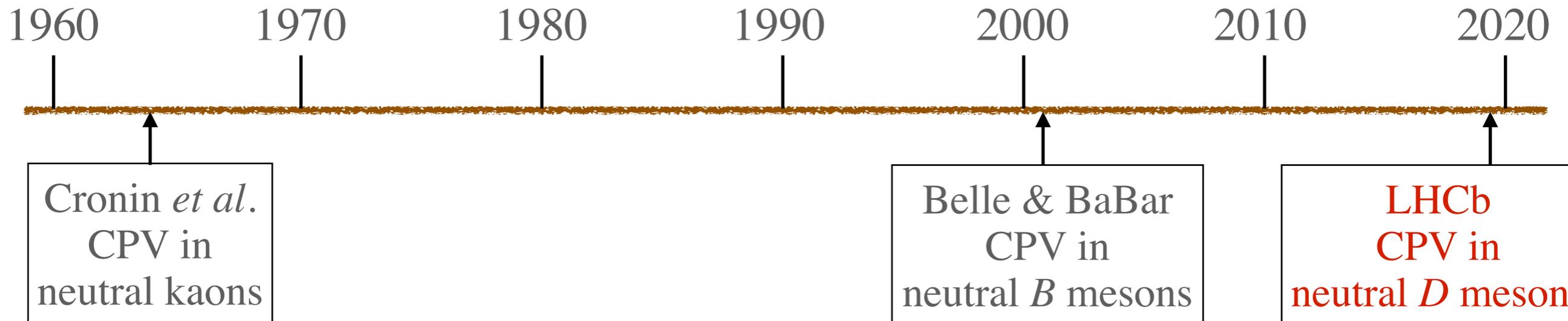


5th Colombian Meeting on High Energy Physics

First observation of CP violation in charm

[PRL 122, 211803 \(2019\)](#)

CP violation timeline



LHCb is a flavour factory:

$$\sigma(pp \rightarrow c\bar{c}X) = (2940 \pm 3 \pm 180 \pm 160) \mu\text{b}$$

for $p_T < 8$ GeV, $2 < \eta < 4.5$, $\sqrt{s} = 13$ TeV
 JHEP 03 (2016) 159

$$\frac{\sigma_{c\bar{c}}}{\sigma_{\text{in}}} \sim \frac{1}{20} : \mathcal{O}(10^9) \text{ reconstructed } D \text{ decays}$$

JHEP 06 (2018) 100

Analysis combine Run 1 + Run 2 data sets $\longrightarrow \int \mathcal{L} \sim 9 \text{ fb}^{-1}$

The smallness of mixing and CPV in charm

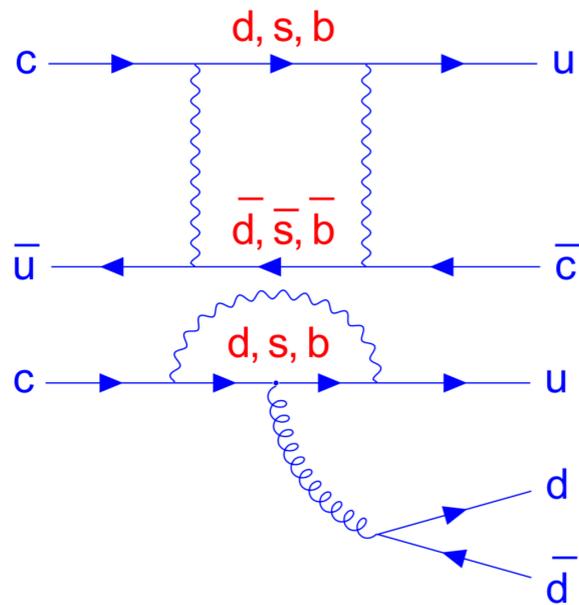
Heavy mesons decays are described by effective Hamiltonians. For charm,

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left(\lambda_d [C_1(\mu) Q_1^d + C_2(\mu) Q_2^d] + \lambda_s [C_1(\mu) Q_1^s + C_2(\mu) Q_2^s] + \lambda_b \sum_{i>2} C_i(\mu) Q_i \right)$$

with $\lambda_q = V_{cq} V_{uq}^*$ and $\lambda_d = -0.21874 - i 2.5 \times 10^{-5}$, $\lambda_b = -1.5 \times 10^{-4} + i 2.64 \times 10^{-5}$
 $\lambda_s = +0.21890 - i 0.13 \times 10^{-5}$

Assuming unitarity of CKM matrix: $\lambda_d + \lambda_s + \lambda_b = 0 \longrightarrow \lambda_d + \lambda_s \approx 0$

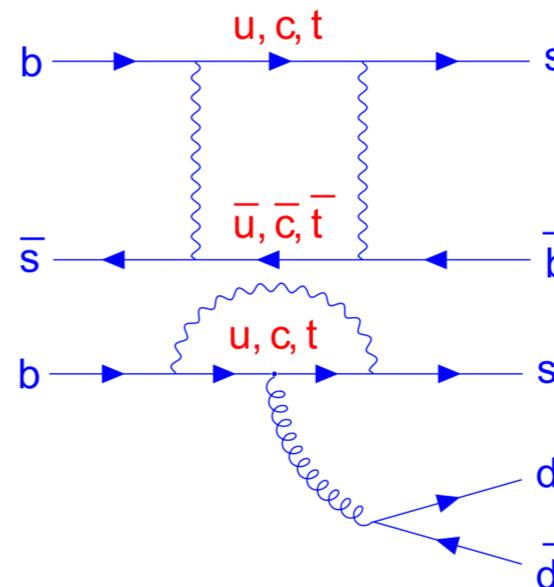
Mixing and CPV involves loops, which depend on the quantity $R_q \equiv \left(\frac{m_q}{M_W} \right)^2$



$$R_d \approx 0$$

$$R_s \approx 1.3 \times 10^{-6}$$

$$R_b \approx 2.8 \times 10^{-3}$$



$$R_u \approx 0$$

$$R_c \approx 2.5 \times 10^{-4}$$

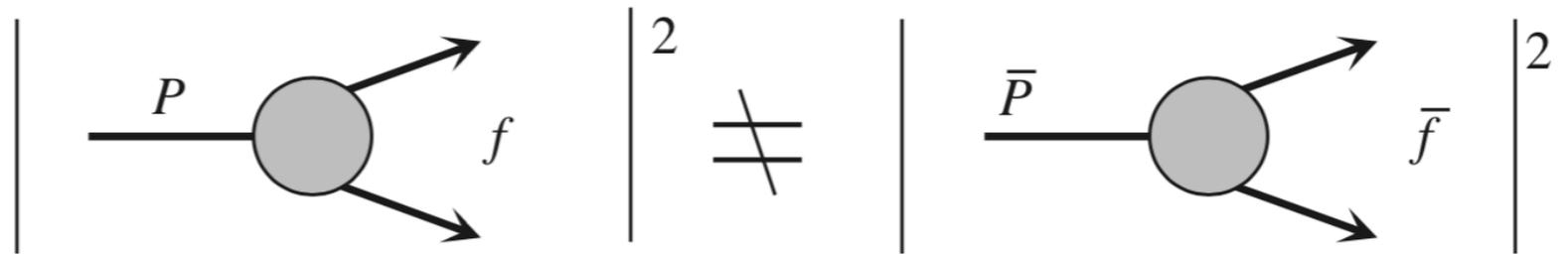
$$R_t \approx 4.5$$

Severe GIM cancellation in the charm sector!

Direct CP violation

In this analysis:

$$f = K^- K^+, \pi^- \pi^+$$



Time-dependent CP asymmetry between states produced as a D^0 or \bar{D}^0

$$\mathcal{A}_{CP}(f; t) \equiv \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)}$$

The time-integrated asymmetry, to first order in $D^0 - \bar{D}^0$ mixing

$$\mathcal{A}_{CP}(f) \simeq a_{CP}^{\text{dir}}(f) - A_{\Gamma}(f) \frac{\langle t(f) \rangle}{\tau(D^0)} \leftarrow \begin{array}{|l|} \hline \text{mean} \\ \text{decay} \\ \text{time} \\ \hline \end{array}$$

Assuming A_{Γ} to be independent of the final state

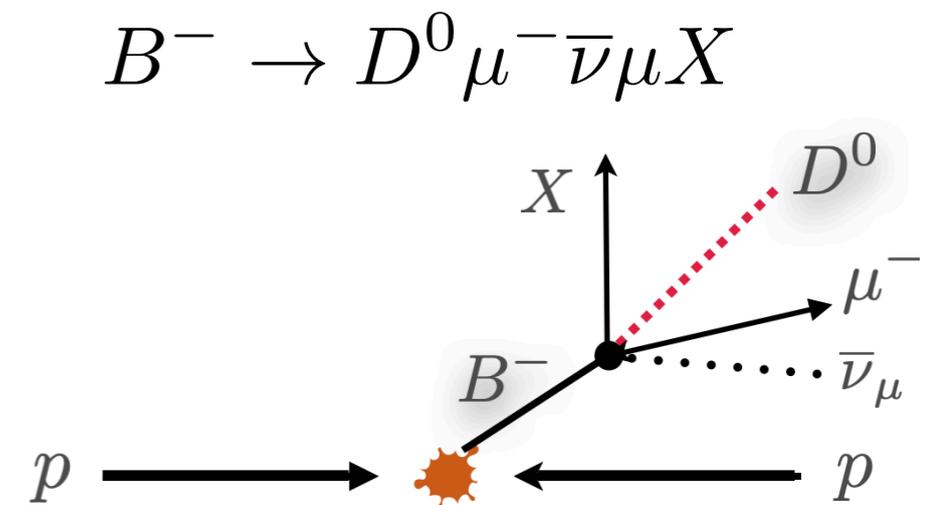
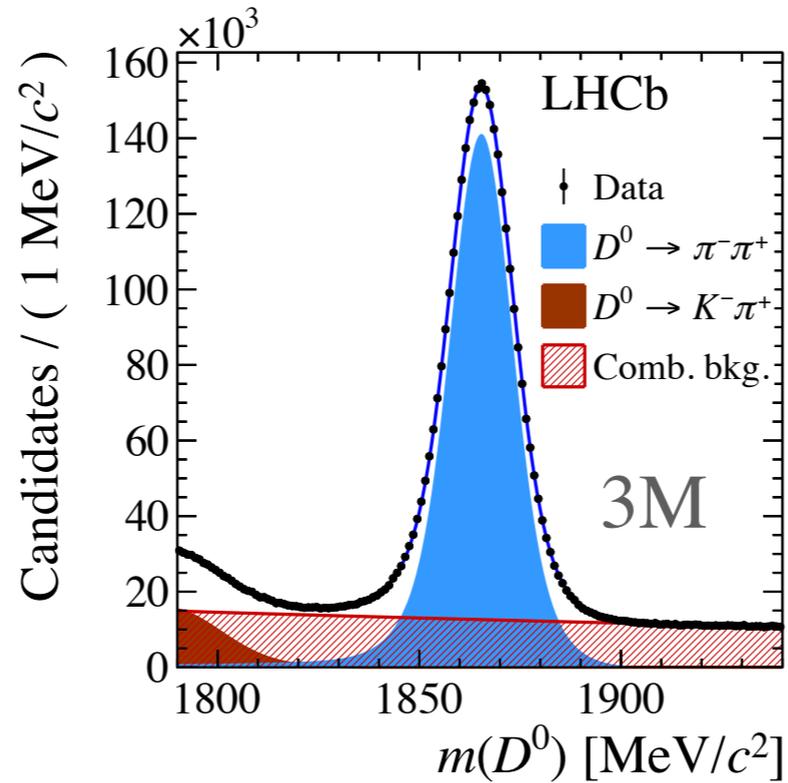
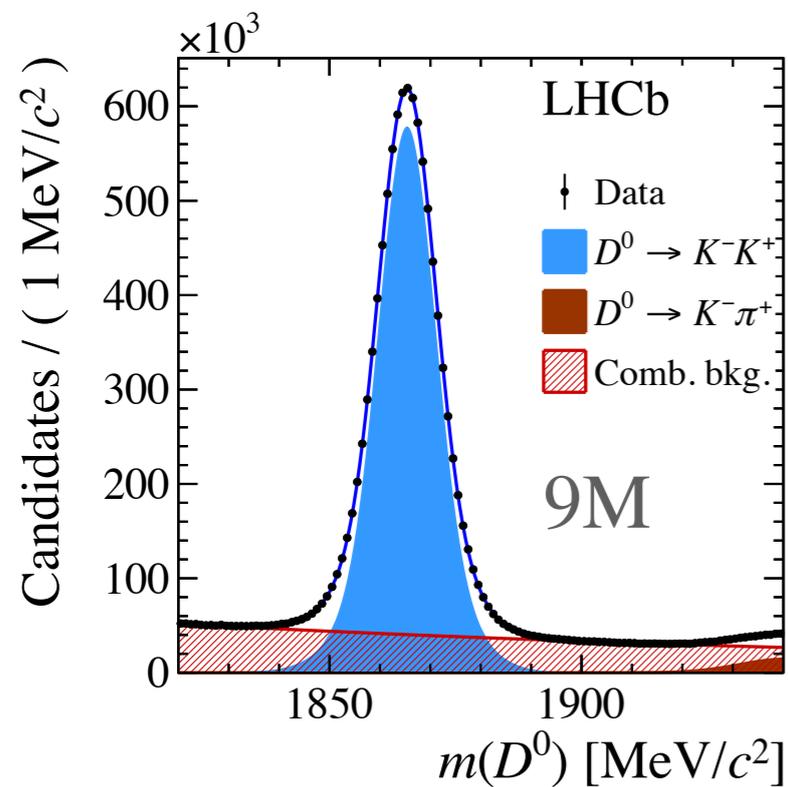
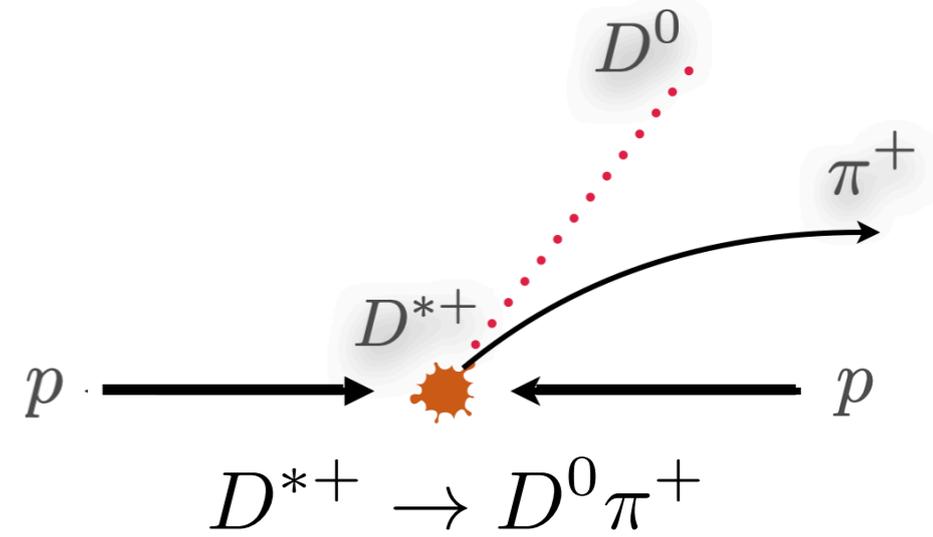
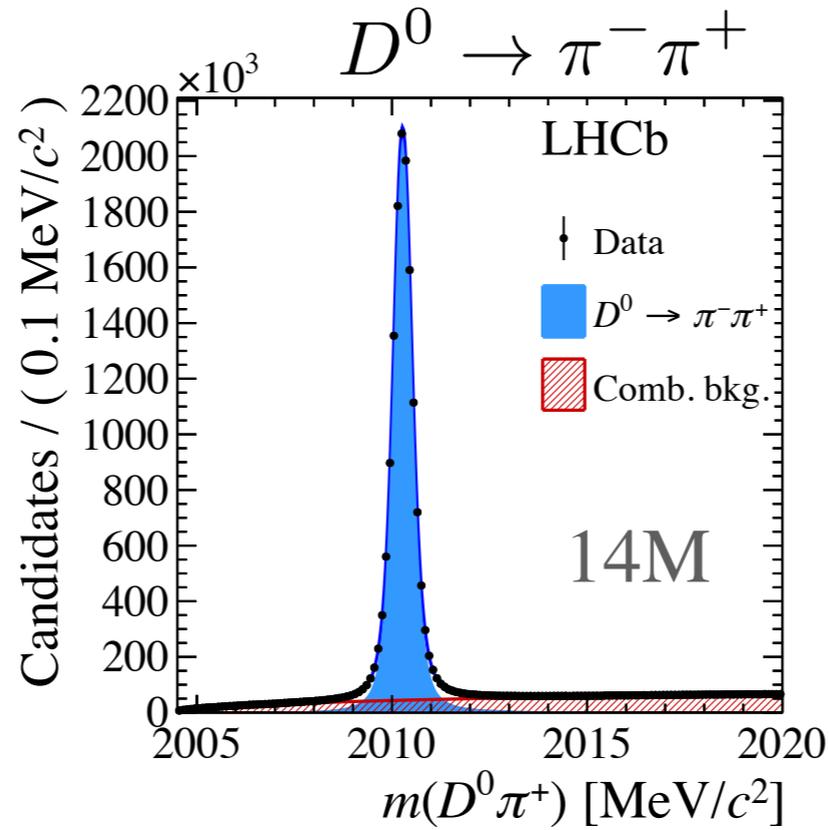
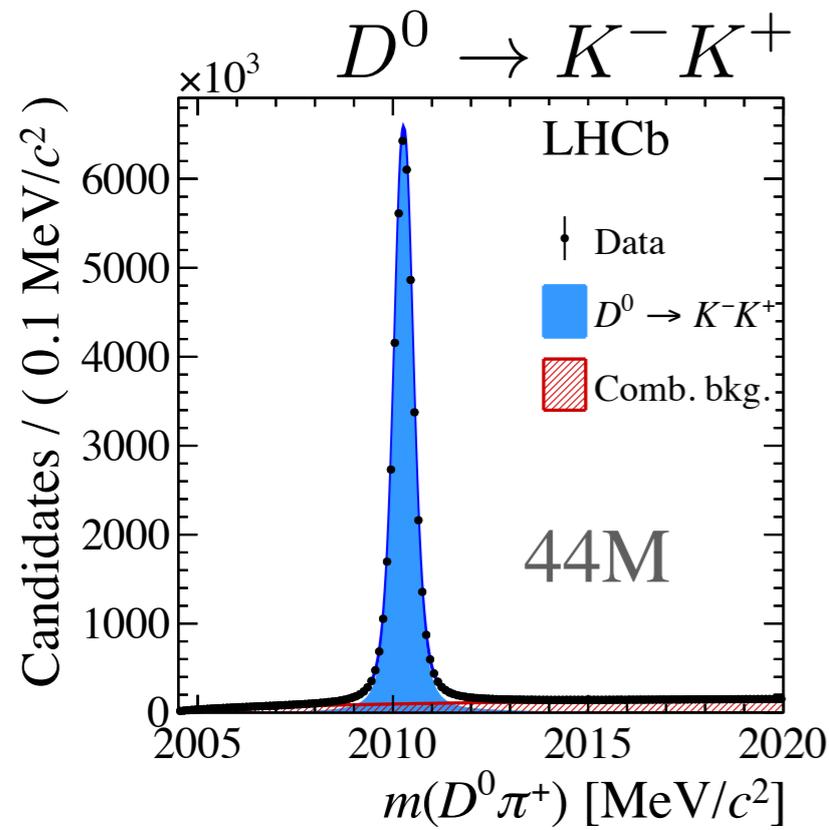
$$\begin{aligned} \Delta \mathcal{A}_{CP} &\equiv \mathcal{A}_{CP}(K^+ K^-) - \mathcal{A}_{CP}(\pi^+ \pi^-) \\ &\simeq \Delta a_{CP}^{\text{dir}} - A_{\Gamma} \frac{\Delta \langle t \rangle}{\tau(D^0)} \end{aligned}$$

U-spin: CP asymmetries expected to have opposite signs

$$\Delta a_{CP}^{\text{dir}} \equiv a_{CP}^{\text{dir}}(K^+ K^-) - a_{CP}^{\text{dir}}(\pi^+ \pi^-)$$

PLB 492 (2000) 297

Two independent data sets (Run 2 data, 5.9 fb⁻¹ @ 13 TeV)



PRL **122**, 211803

The measured quantities are the charge asymmetries

$$A_{\text{raw}}^{\pi\text{-tag}}(f) \equiv \frac{N(D^{*+} \rightarrow D^0(f)\pi^+) - N(D^{*-} \rightarrow \bar{D}^0(f)\pi^-)}{N(D^{*+} \rightarrow D^0(f)\pi^+) + N(D^{*-} \rightarrow \bar{D}^0(f)\pi^-)}$$

$$A_{\text{raw}}^{\mu\text{-tag}}(f) \equiv \frac{N(\bar{B} \rightarrow D^0(f)\mu^- X) - N(B \rightarrow \bar{D}^0(f)\mu^+ X)}{N(\bar{B} \rightarrow D^0(f)\mu^- X) + N(B \rightarrow \bar{D}^0(f)\mu^+ X)}$$

Asymmetries in production and detection efficiencies are small:

$$A_{\text{raw}}^{\pi\text{-tag}}(f) \approx \mathcal{A}_{CP}(f) + A_D(\pi) + A_P(D^*)$$

$$A_{\text{raw}}^{\mu\text{-tag}}(f) \approx \mathcal{A}_{CP}(f) + A_D(\mu) + A_P(B)$$

Reweighting procedure matches kinematics of both final states and ensures cancellation of nuisance asymmetries

$$A_{\text{raw}}(KK) - A_{\text{raw}}(\pi\pi) = (\mathcal{A}_{CP}(KK) + A_D(\text{tag}) + A_P) - (\mathcal{A}_{CP}(\pi\pi) + A_D(\text{tag}) + A_P)$$

$$A_{\text{raw}}(KK) - A_{\text{raw}}(\pi\pi) = \mathcal{A}_{CP}(KK) - \mathcal{A}_{CP}(\pi\pi)$$

Results

Systematic uncertainties (x 10⁻⁴)

Source	π tagged	μ tagged
Fit model	0.6	2
Mistag	...	4
Weighting	0.2	1
Secondary decays	0.3	...
Peaking background	0.5	...
B fractions	...	1
B reco. efficiency	...	2
Total	0.9	5

$$\Delta\mathcal{A}_{CP}^{\pi\text{-tag}} = (-18.2 \pm 3.2 \pm 0.9) \times 10^{-4}$$

$$\Delta\mathcal{A}_{CP}^{\mu\text{-tag}} = (-9 \pm 8 \pm 5) \times 10^{-4}$$

Combining results from the two samples and from Run 1,

$$\Delta\mathcal{A}_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

First observation of CP violation in charm - 5.3σ

Results

This analysis:

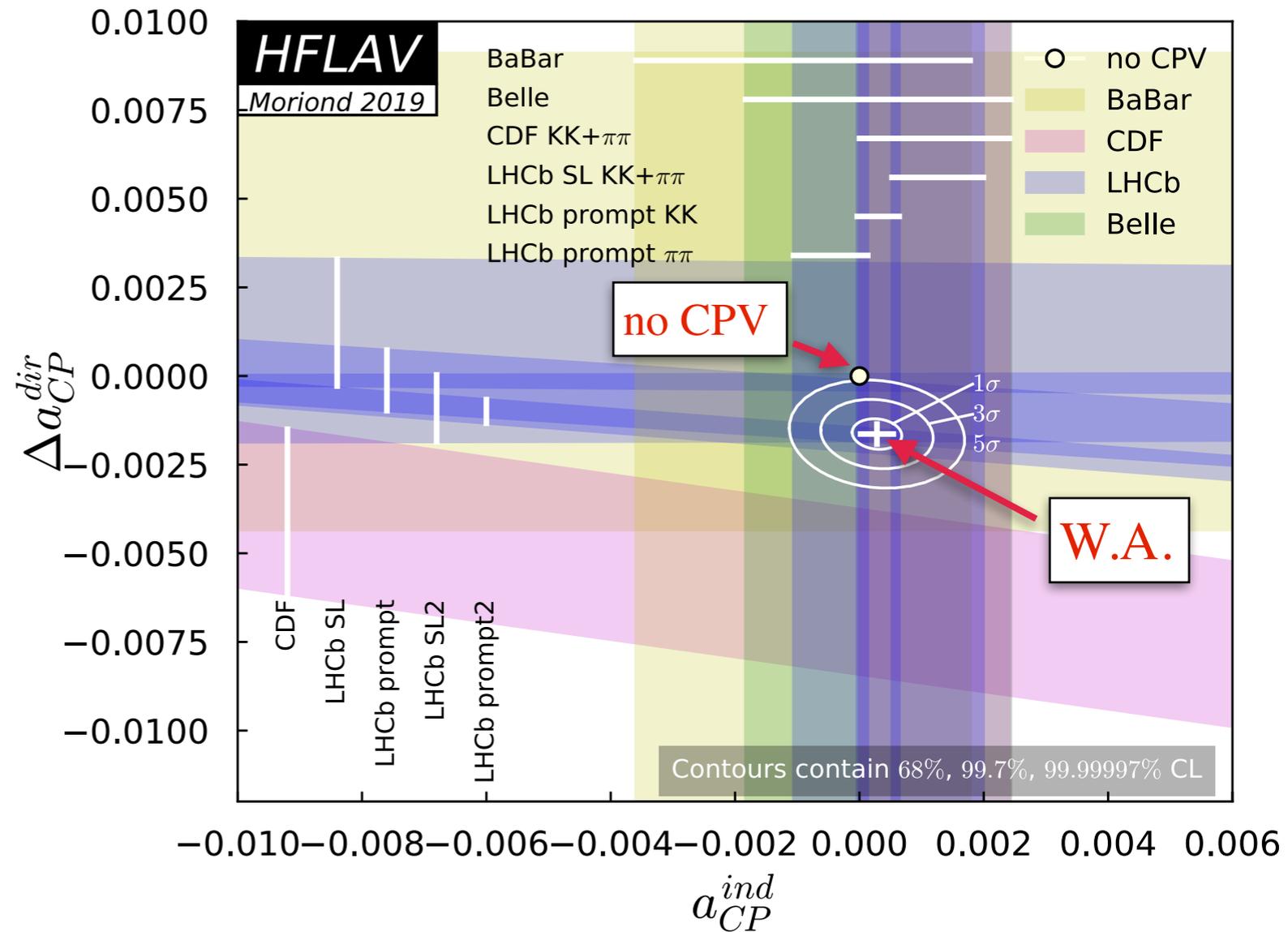
$$\frac{\Delta \langle t \rangle^{\pi\text{-tag}}}{\tau(D^0)} = 0.135 \pm 0.002$$

$$\frac{\Delta \langle t \rangle^{\mu\text{-tag}}}{\tau(D^0)} = 0.003 \pm 0.001$$

LHCb average:

$$A_\Gamma = (-2.8 \pm 2.8) \times 10^{-4}$$

JHEP 04 (2015) 043, PRL 118, 261803 (2017)



$$\Delta a_{CP}^{dir} = (-15.7 \pm 2.9) \times 10^{-4}$$

SM predictions in the range $10^{-3} - 10^{-4}$

further measurements and theoretical improvements needed for a correct interpretation of CPV in charm.

CP violation search in

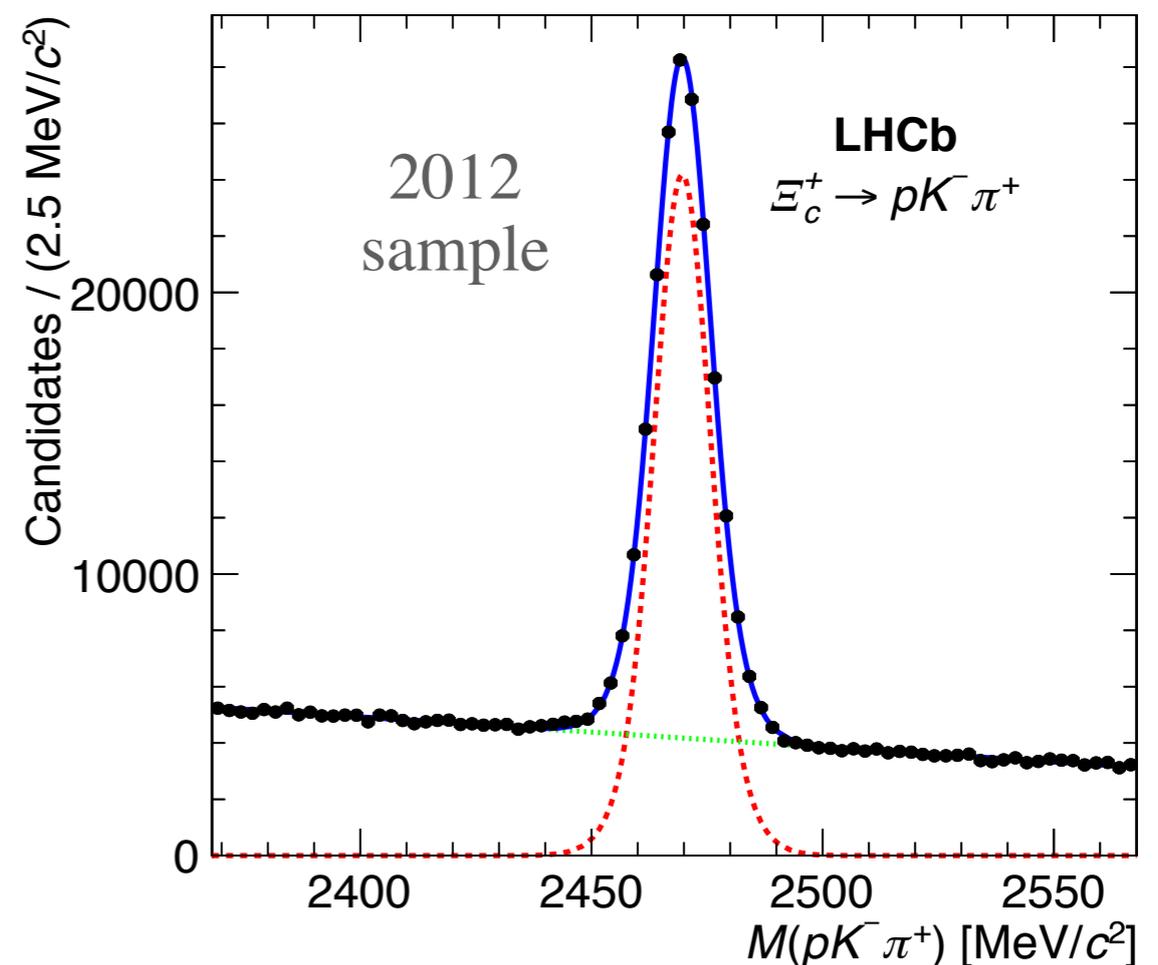
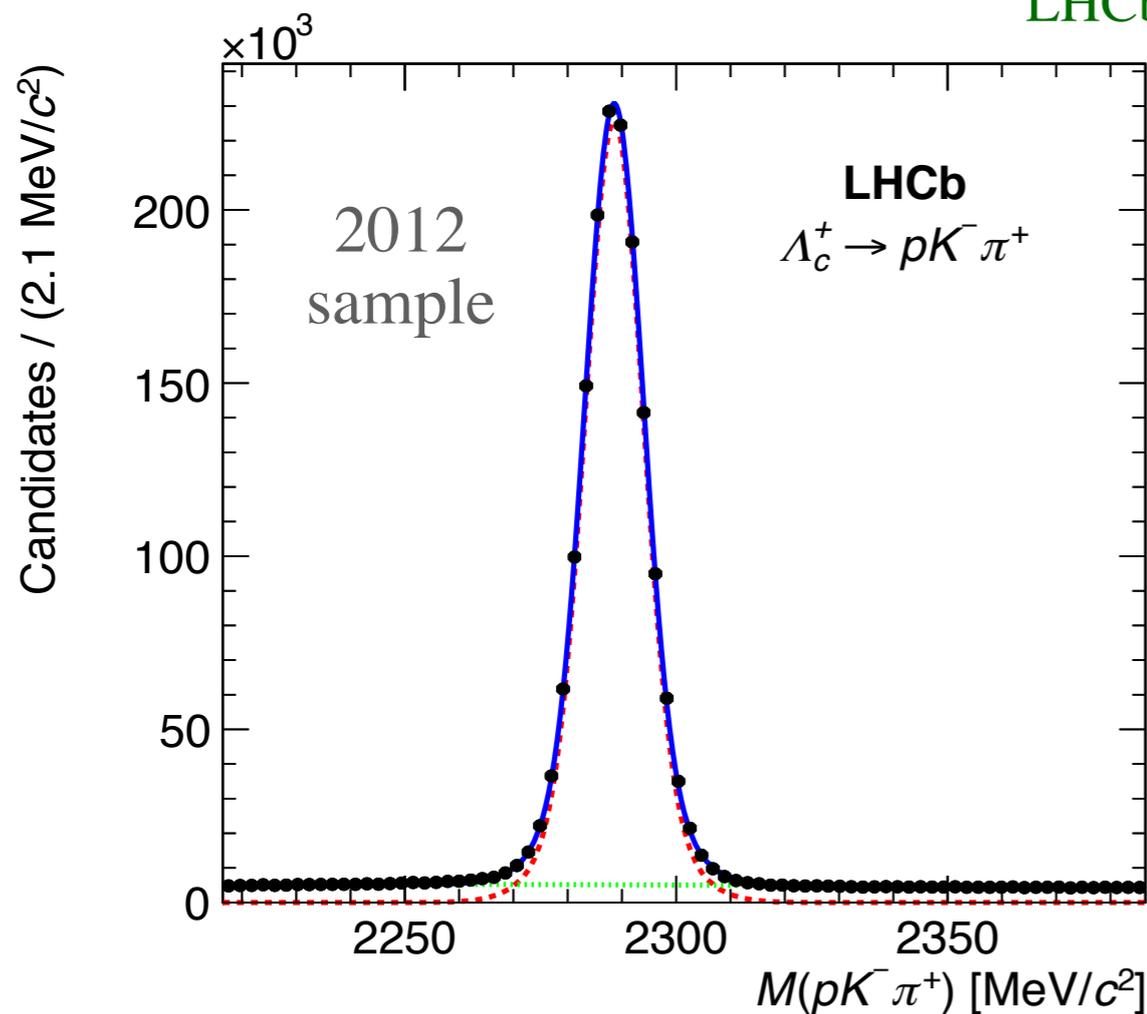
$$\Xi_c^+ \rightarrow p K^- \pi^+$$

LHCb-PAPER-2019-026

arXiv:2006.03145

- Analysis based on Run 1 data ($\sim 3 \text{ fb}^{-1}$ @ 7 and 8 TeV)
- Direct comparison between Ξ_c^- and Ξ_c^+ Dalitz plots (total yield $\sim 190\text{k}$) using two model-independent techniques
- Procedures tested on control channel $\Lambda_c^+ \rightarrow pK^- \pi^+$ (total yield $\sim 1.9\text{M}$) before applied to the signal

LHCb-PAPER-2019-026 ([arXiv:2006.03145](https://arxiv.org/abs/2006.03145))



Binned method

- Dalitz plot divided into bins; for each bin compute the observable

$$\mathcal{S}_{CP}^i = \frac{n_+^i - \alpha n_-^i}{\sqrt{\alpha(n_+^i + n_-^i)}}, \quad \alpha = \frac{n_+^i}{n_-^i} : \text{accounts for global asymmetries}$$

- A p -value from $\chi^2 \equiv \sum (\mathcal{S}_{CP}^i)^2$: test if Ξ_c^- and Ξ_c^+ are statistically compatible

Unbinned method (kNN)

- Dalitz plot divided into regions around expected resonances. In each region compute the test statistic

$$T = \frac{1}{n_k(n_+ + n_-)} \sum_{i=1}^{n_+ + n_-} \sum_{k=1}^{n_k} I(i, k) \quad (n_k = 50 \text{ in this analysis})$$

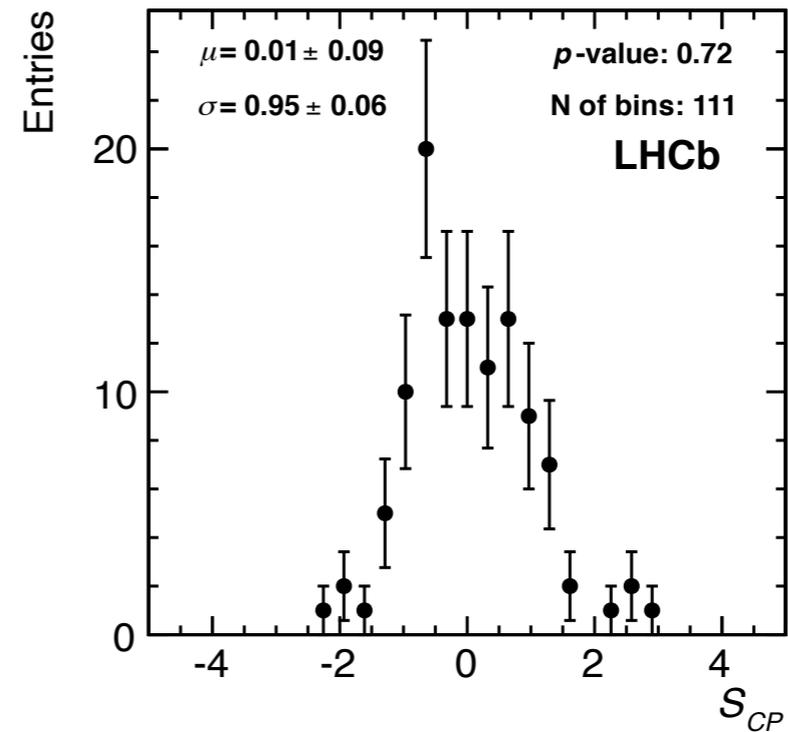
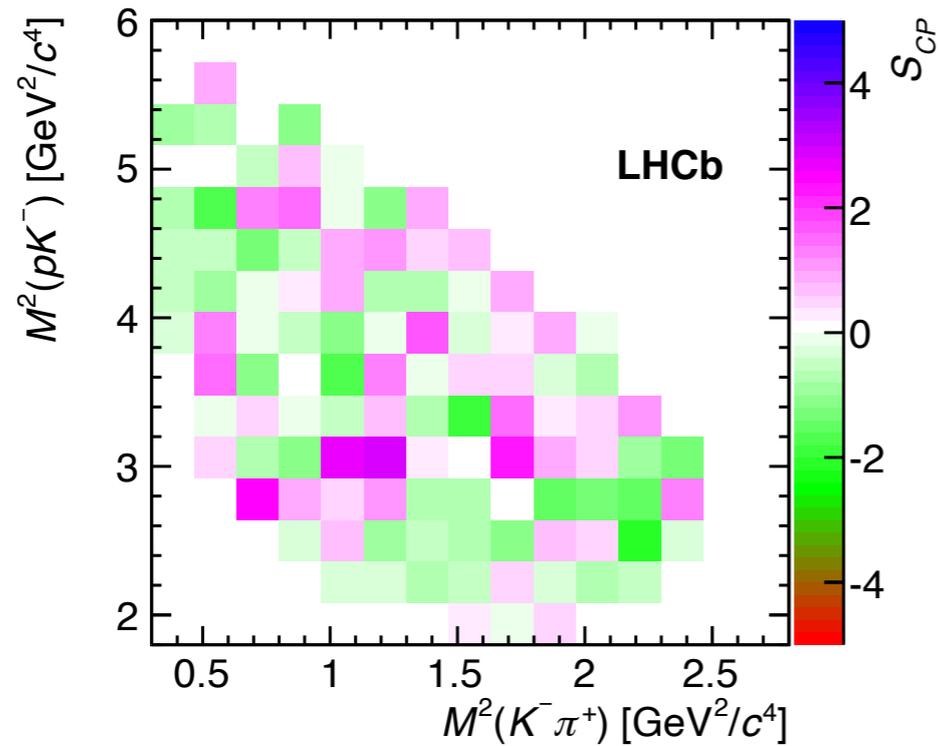
$I(i, k) = 1$ if the i^{th} candidate and its k^{th} nearest neighbour have the same charge

- For two statistically compatible samples, the distribution of T follows a normal distribution with known mean and width, (μ_T, σ_T)
- Compare T distribution with that for null CPV hypothesis

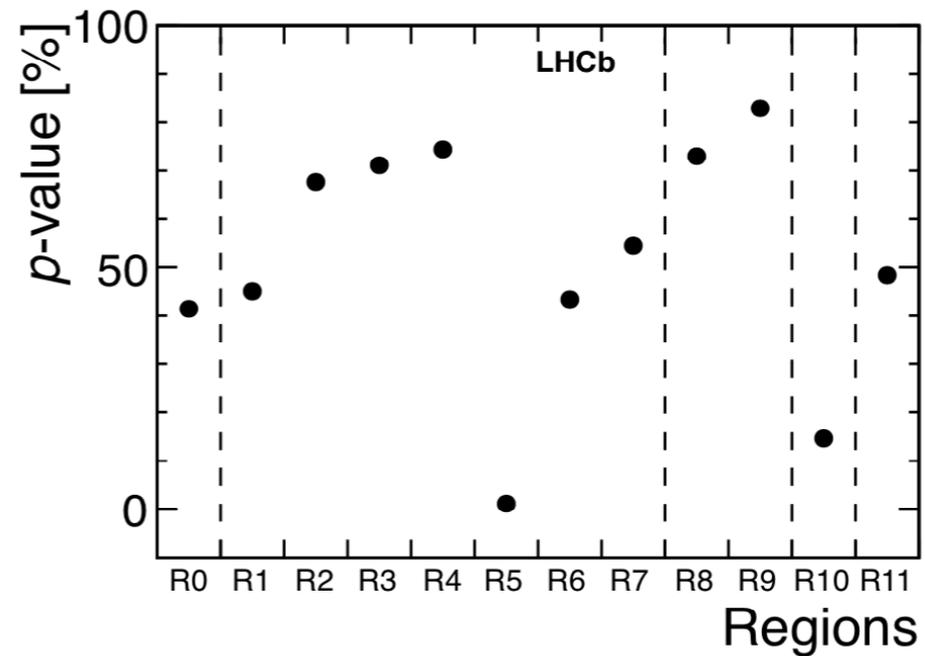
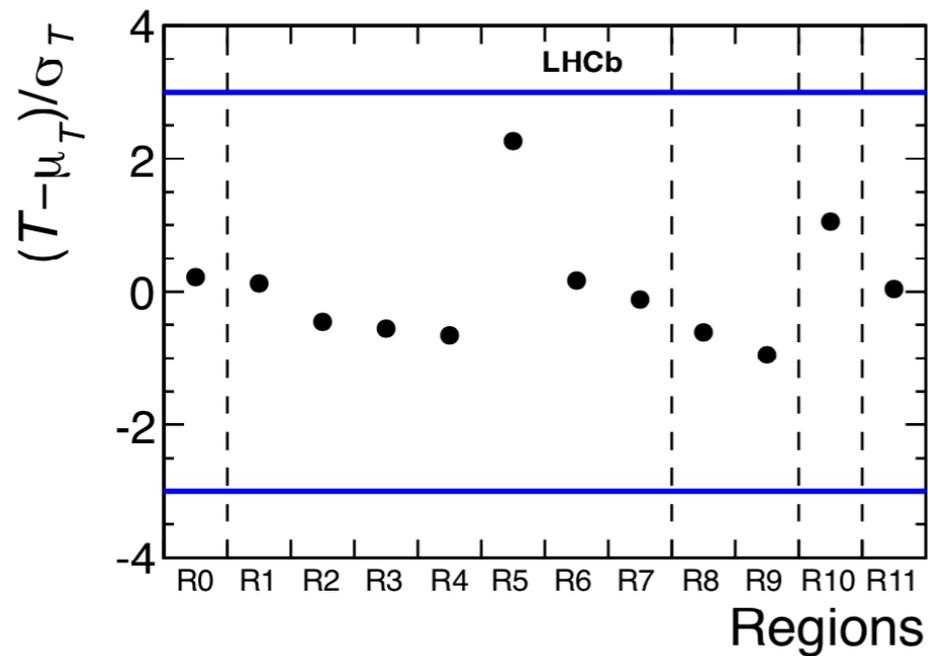
Results

CPV would be established with p -values $< 3 \times 10^{-7}$ (5σ)

LHCb-PAPER-2019-026 (arXiv:2006.03145)



large p -values



No evidence of CP violation

Measurement of the mass difference between neutral D mesons

PRL **122**, 231802 (2019)

Flavoured neutral-meson systems have both virtual and real transitions common to particle and antiparticle \longrightarrow **mixing**

Mass eigenstates are linear combination of states with definite flavour

$$|D_{1,2}\rangle \equiv p|D^0\rangle \pm q|\bar{D}^0\rangle$$

Mixing is governed by four parameters

$$x \equiv \frac{m_1 - m_2}{\Gamma}, \quad y \equiv \frac{\Gamma_1 - \Gamma_2}{2\Gamma}, \quad \left| \frac{q}{p} \right|, \quad \phi_f \equiv \arg \left(\frac{q\bar{A}_f}{pA_f} \right)$$

The most sensitive mode for measuring mixing parameters is $D^0 \rightarrow K_S^0 \pi^- \pi^+$

Direct CP violation is not expected in Cabibbo-favoured decays: $\phi_f \rightarrow \phi$

$$A_\Gamma = \underbrace{(|q/p| - |p/q|)}_{\text{CPV in mixing}} y \cos \phi - \underbrace{(|q/p| + |p/q|)}_{\text{CPV in interference}} x \sin \phi$$

CPV in mixing: $|q/p| \neq 1$

CPV in interference: $\phi \neq 0$

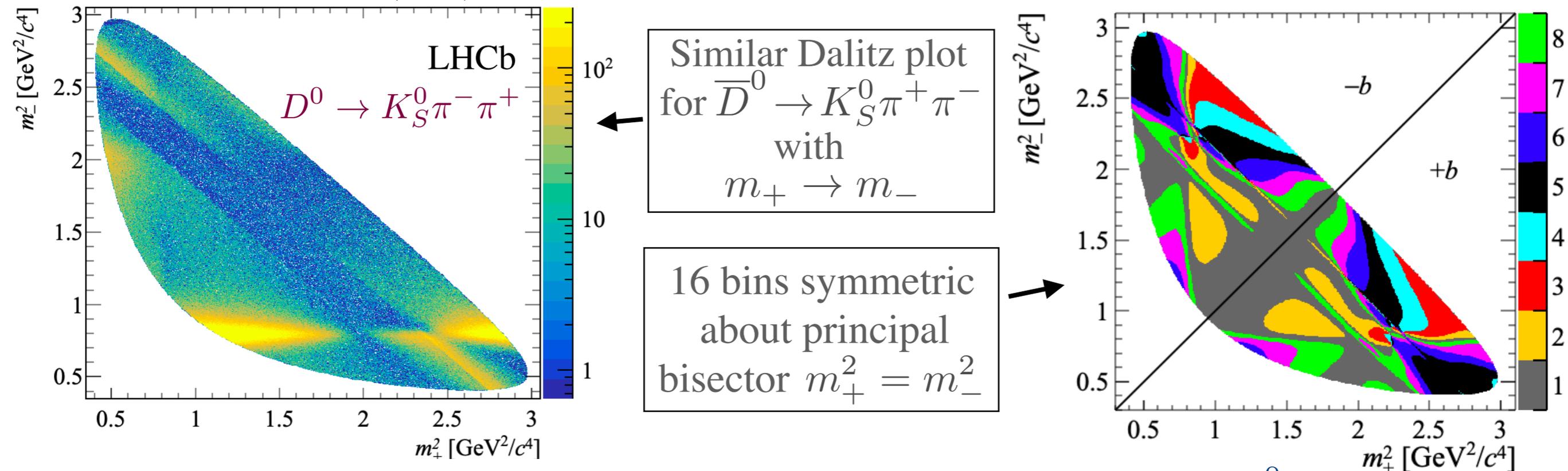
A transformation of variables increases the sensitivity to q/p :

$$z \equiv -(y - ix), \quad (q/p)^\pm z \equiv z \pm \Delta z$$

$$x, y, (q/p), \phi \longrightarrow x_{CP}, y_{CP}, \underbrace{\Delta x, \Delta y}_{\text{CP violating parameters}}$$

CP violating parameters

PRL 122, 231802 (2019)



$$m_{\pm}^2 \equiv m^2(K_S^0 \pi^{\pm}) \text{ for } D^0, m^2(K_S^0 \pi^{\mp}) \text{ for } \bar{D}^0$$

- Dataset divided into bins of decay time; Dalitz plot divided into bins of nearly constant strong-phase difference between D^0 and \bar{D}^0 decay amplitudes
- In each decay time and Dalitz plot bin, fit the D^0 and \bar{D}^0 invariant-mass distributions
- The mixing parameters are obtained from the ratios (details in backup slides)

$$R_b(t_j) = \frac{N_{-b}(t_j)}{N_b(t_j)}, \quad \bar{R}_b(t_j) = \frac{\bar{N}_{-b}(t_j)}{\bar{N}_b(t_j)}$$

- Analysis based on Run 1 dataset (Run 2 results to appear soon)

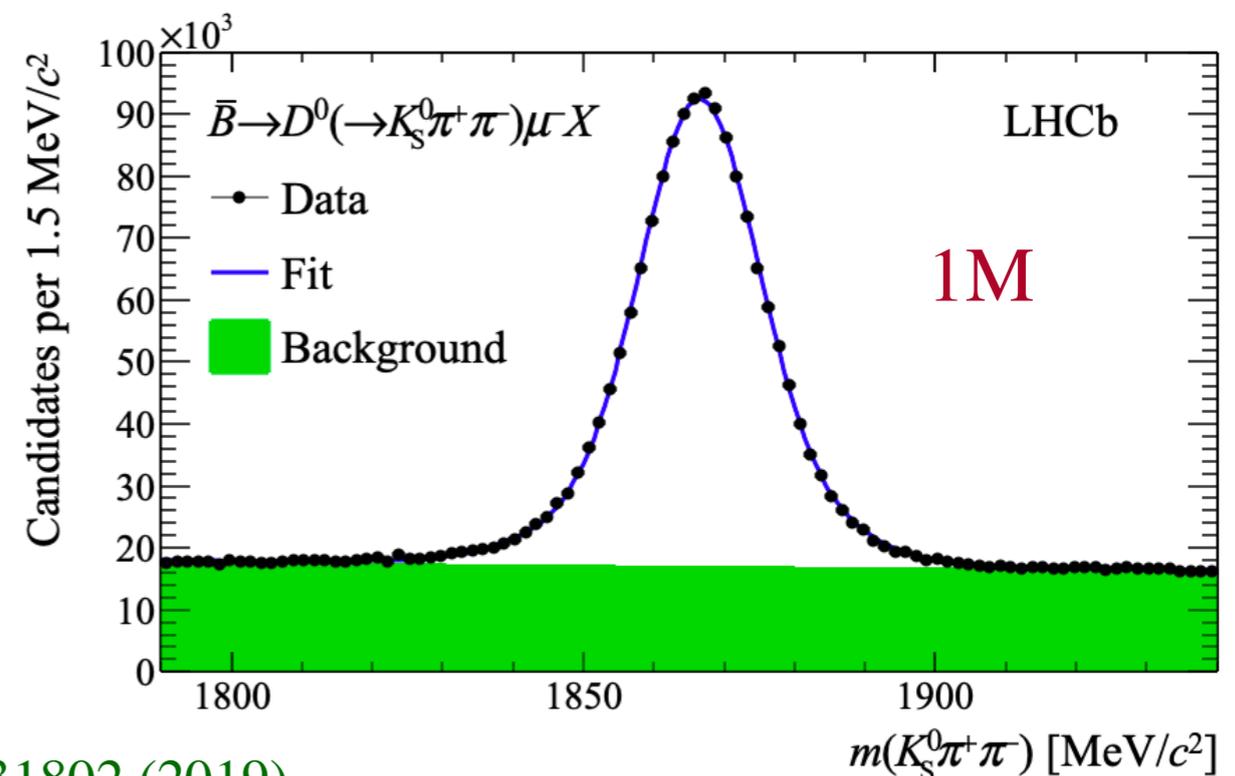
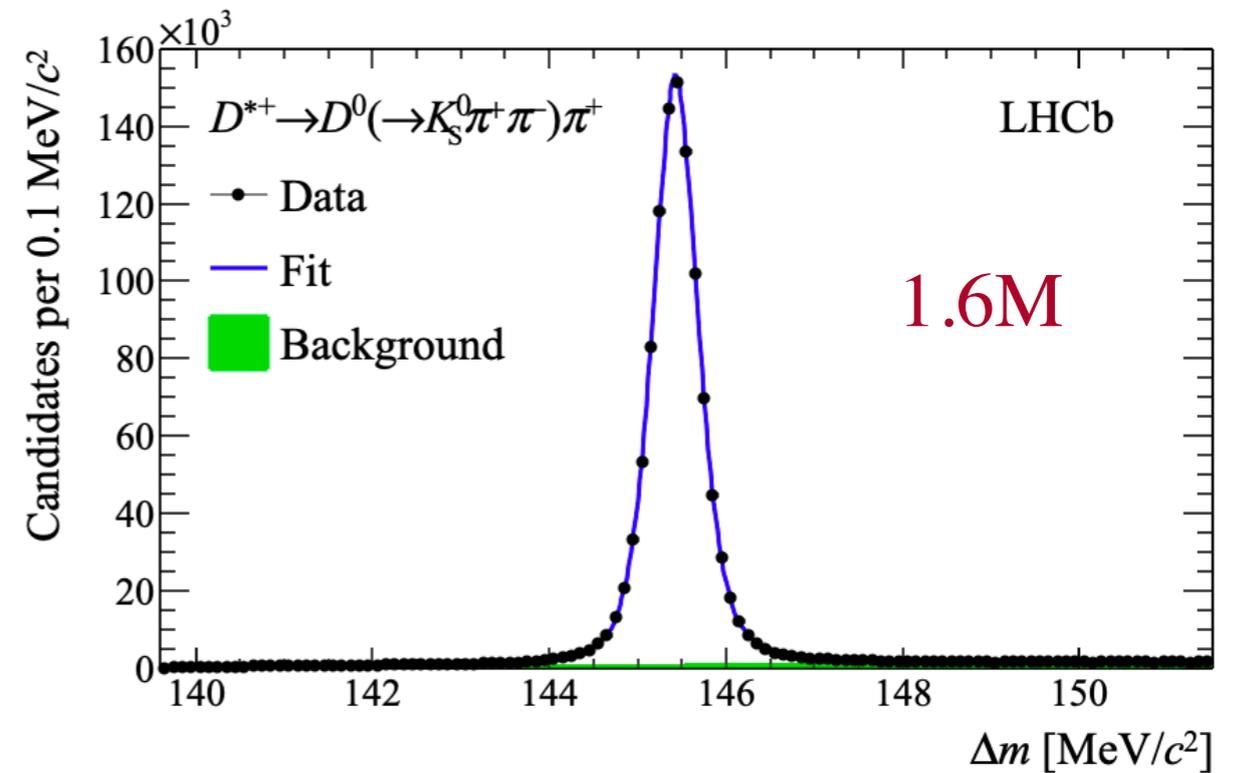
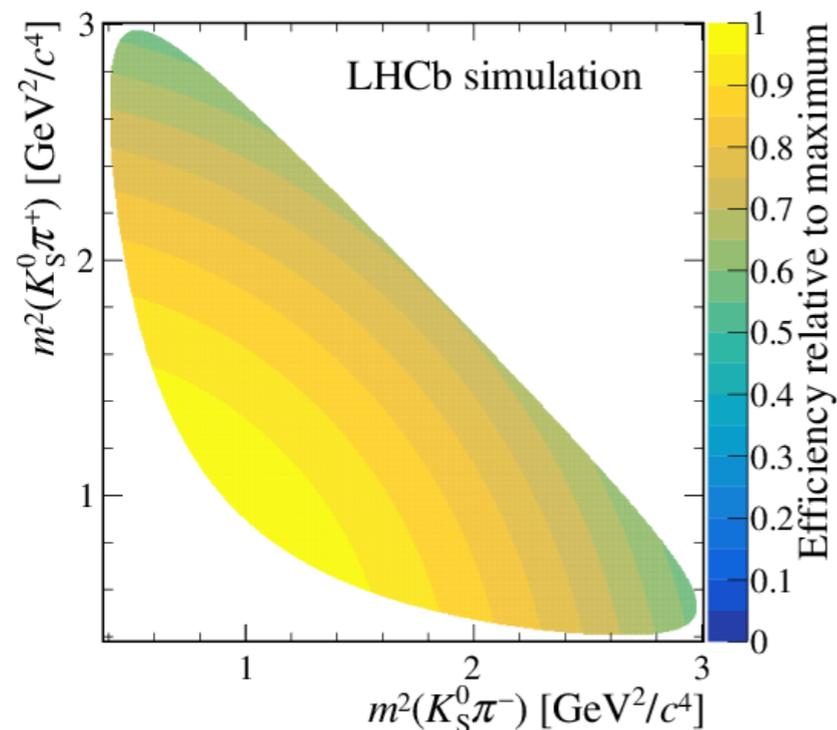
- Two independent samples:

$$D^{*+} \rightarrow D^0(\rightarrow K_S^0 \pi^- \pi^+) \pi^+ \quad (2 \text{ fb}^{-1})$$

$$\bar{B} \rightarrow D^0(\rightarrow K_S^0 \pi^- \pi^+) \mu^- X \quad (3 \text{ fb}^{-1})$$

- Strong-phase differences are external input from CLEO [PRD 82, 112006 \(2010\)](#)

- Symmetric efficiency variation across the Dalitz plot for both samples



[PRL 122, 231802 \(2019\)](#)

The measured quantities are:

$$x_{CP} = -\text{Im}(z_{CP}) = \frac{1}{2} \left[x \cos \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) + y \sin \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) \right]$$

$$\Delta x = -\text{Im}(\Delta z) = \frac{1}{2} \left[x \cos \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) + y \sin \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) \right]$$

$$y_{CP} = -\text{Re}(z_{CP}) = \frac{1}{2} \left[y \cos \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) - x \sin \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) \right]$$

$$\Delta y = A_\Gamma = -\text{Re}(\Delta z) = \frac{1}{2} \left[y \cos \phi \left(\left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) - x \sin \phi \left(\left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) \right]$$

Systematic uncertainties dominated by CLEO input,
 D^0 from B decays (prompt), and unrelated μD^0 combinations (semileptonic)

Values [10^{-3}]

$$x_{CP} = 2.7 \pm 1.7 \pm 0.4$$

$$\Delta x = -0.53 \pm 0.70 \pm 0.22$$

$$y_{CP} = 7.4 \pm 3.6 \pm 1.1$$

$$\Delta y = 0.6 \pm 1.6 \pm 0.3$$

No evidence for
indirect CPV

Results

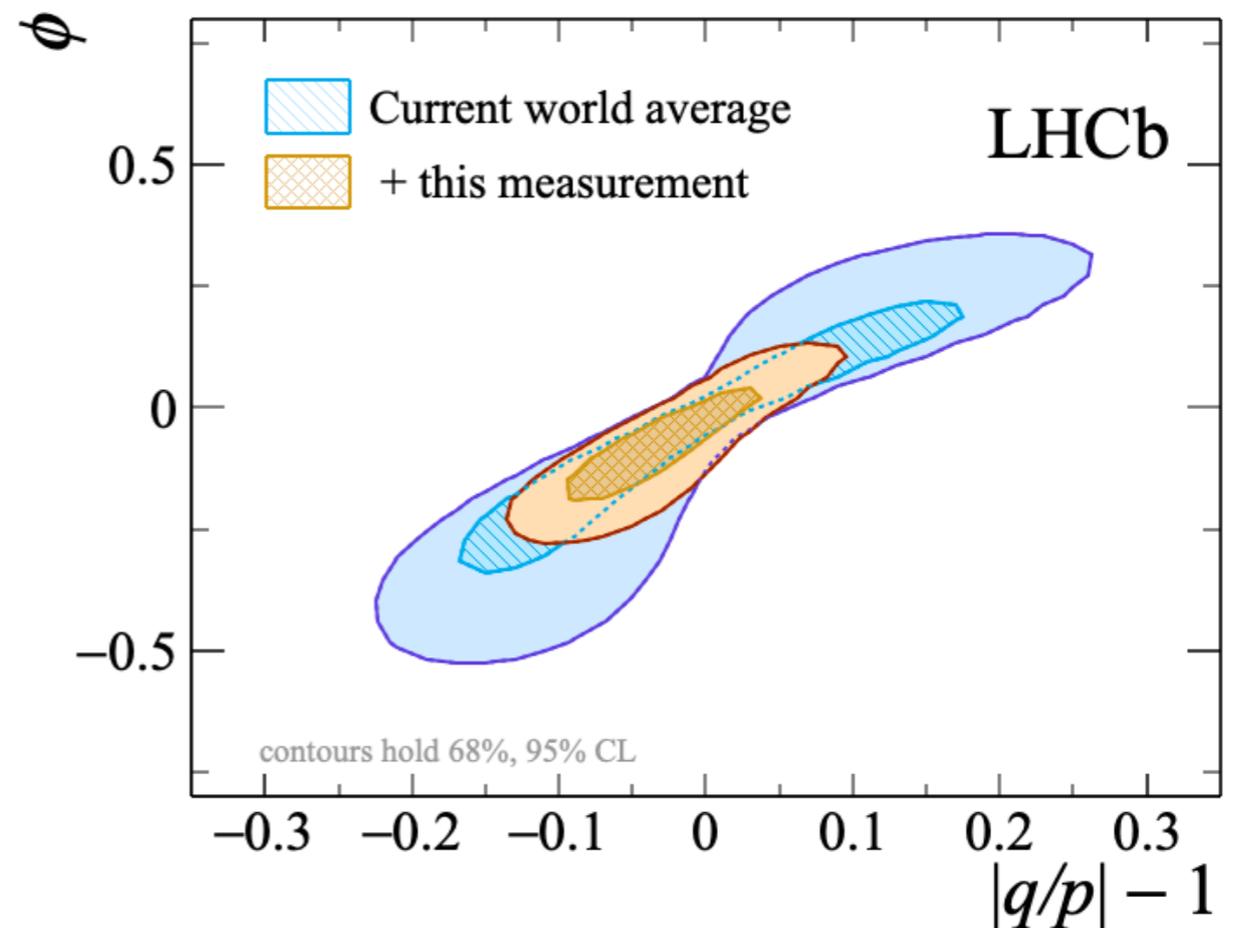
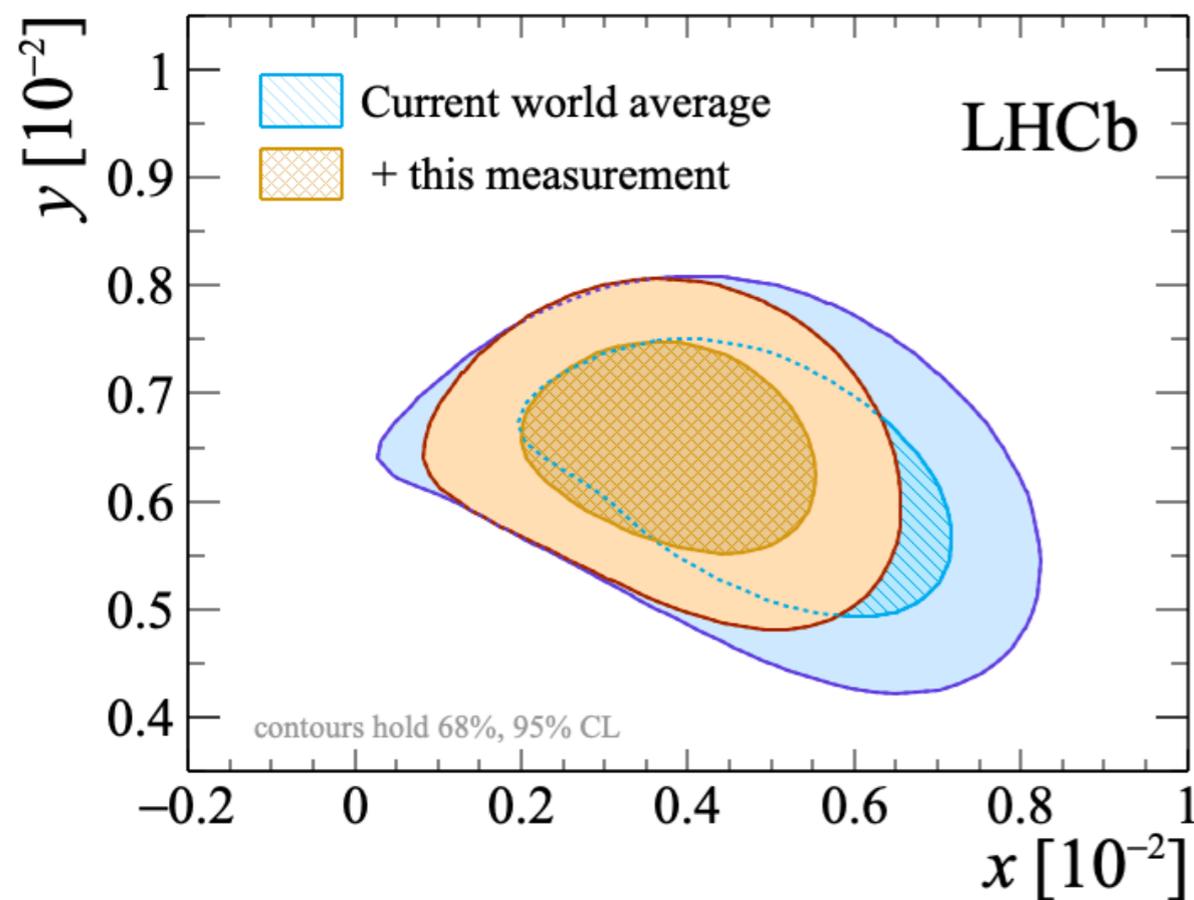
A likelihood is formed to yield the usual mixing parameters:

$$x = (0.27^{+0.17}_{-0.15}) \times 10^{-2}$$

$$y = (0.74 \pm 0.37) \times 10^{-2}$$

$$|q/p| = 1.05^{+0.22}_{-0.17}$$

$$\phi = -0.09^{+0.11}_{-0.16} \text{ rad}$$



PRL 122, 231802 (2019)

HFLAV
average:

$$x = (0.37 \pm 0.12) \times 10^{-2} \longrightarrow x = 0 \text{ excluded by } 2.96\sigma$$

https://hflav-eos.web.cern.ch/hflav-eos/charm/ICHEP20/results_mix_cpv.html

Time-dependent CP asymmetries
in $D^0 \rightarrow K^+ K^-$ and $D^0 \rightarrow \pi^+ \pi^-$

PRD 101, 012005 (2020)

Mixing-induced CP violation has not been observed in charm yet

The time evolution of an initially pure beam of $D^0(\bar{D}^0)$ decaying to a final state f

$$\Gamma(D^0(t) \rightarrow f) = e^{-\Gamma t} |A_f|^2 [1 - |q/p|(y \cos \phi_f - x \sin \phi_f)\Gamma t]$$

$$\Gamma(\bar{D}^0(t) \rightarrow f) = e^{-\Gamma t} |\bar{A}_f|^2 [1 - |p/q|(y \cos \phi_f + x \sin \phi_f)\Gamma t]$$

for $xt, yt \lesssim \Gamma^{-1}$ and with the usual definitions $x \equiv \frac{\Delta m}{\Gamma}$, $y \equiv \frac{\Delta \Gamma}{2\Gamma}$

The effective rates, due to the smallness of x and y

$$\hat{\Gamma}(D^0 \rightarrow f) = \Gamma[1 + |q/p|(y \cos \phi_f - x \sin \phi_f)]$$

$$\hat{\Gamma}(\bar{D}^0 \rightarrow f) = \Gamma[1 + |p/q|(y \cos \phi_f + x \sin \phi_f)]$$

The asymmetry between effective decay rates is sensitive to indirect CP violation

$$A_\Gamma(f) \equiv \frac{\hat{\Gamma}(D^0 \rightarrow f) - \hat{\Gamma}(\bar{D}^0 \rightarrow f)}{\hat{\Gamma}(D^0 \rightarrow f) + \hat{\Gamma}(\bar{D}^0 \rightarrow f)} \approx x\phi_f + y(|q/p| - 1) - \underbrace{y a_{CP}^{\text{dir}}(f)}_{\mathcal{O}(10^{-5})}$$

The measured quantity is the time-dependent charge asymmetry

$$A_{\text{raw}}(D^0 \rightarrow f; t) = \frac{N(\bar{B} \rightarrow D^0(f)\mu^- X) - N(B \rightarrow \bar{D}^0(f)\mu^+ X)}{N(\bar{B} \rightarrow D^0(f)\mu^- X) + N(B \rightarrow \bar{D}^0(f)\mu^+ X)}$$

$$A_{\text{raw}}(D^0 \rightarrow f; t) \approx \mathcal{A}_{CP}(D^0 \rightarrow f; t) + A_{\text{det}} + A_P$$

The time-dependent CP asymmetry:

$$\mathcal{A}_{CP}(D^0 \rightarrow f; t) = \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)} \approx a_{CP}^{\text{dir}} - A_{\Gamma}(f) \frac{t}{\tau}$$

time independent

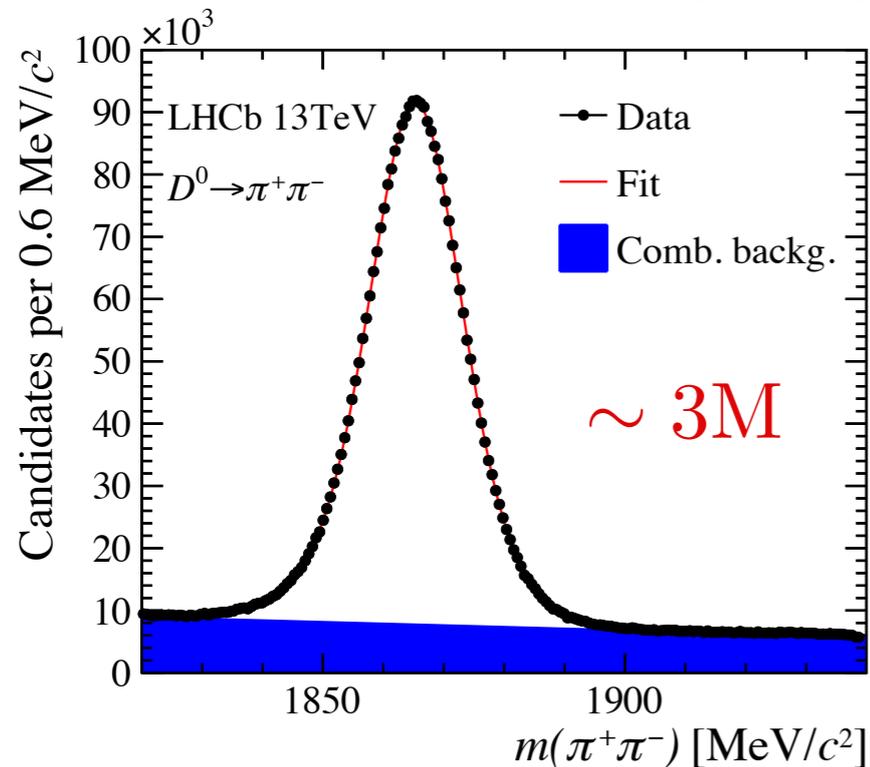
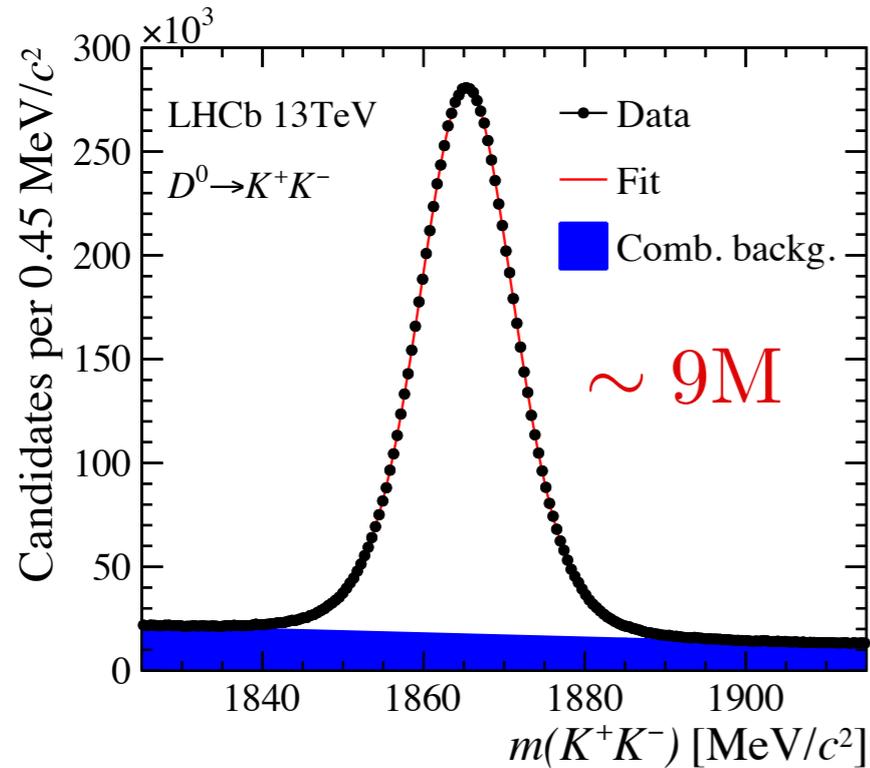
The time-dependent charge asymmetry is computed for 20 bins of decay time and fitted to a linear function

$$A_{\text{raw}}(t) = A_{\text{raw}}(0) + A_{\Gamma} \frac{\langle t \rangle_i}{\tau}$$

Neglecting CPV in decay: $\phi_f = \arg\left(\frac{q\bar{A}_f}{pA_f}\right) \approx \arg\left(\frac{q}{p}\right) = \phi \rightarrow A_{\Gamma}(KK) = A_{\Gamma}(\pi\pi)$

Analysis based on Run 2 data (5.4 fb⁻¹ @13 TeV)

$$\bar{B} \rightarrow D^0 \mu^- X, \quad D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$$



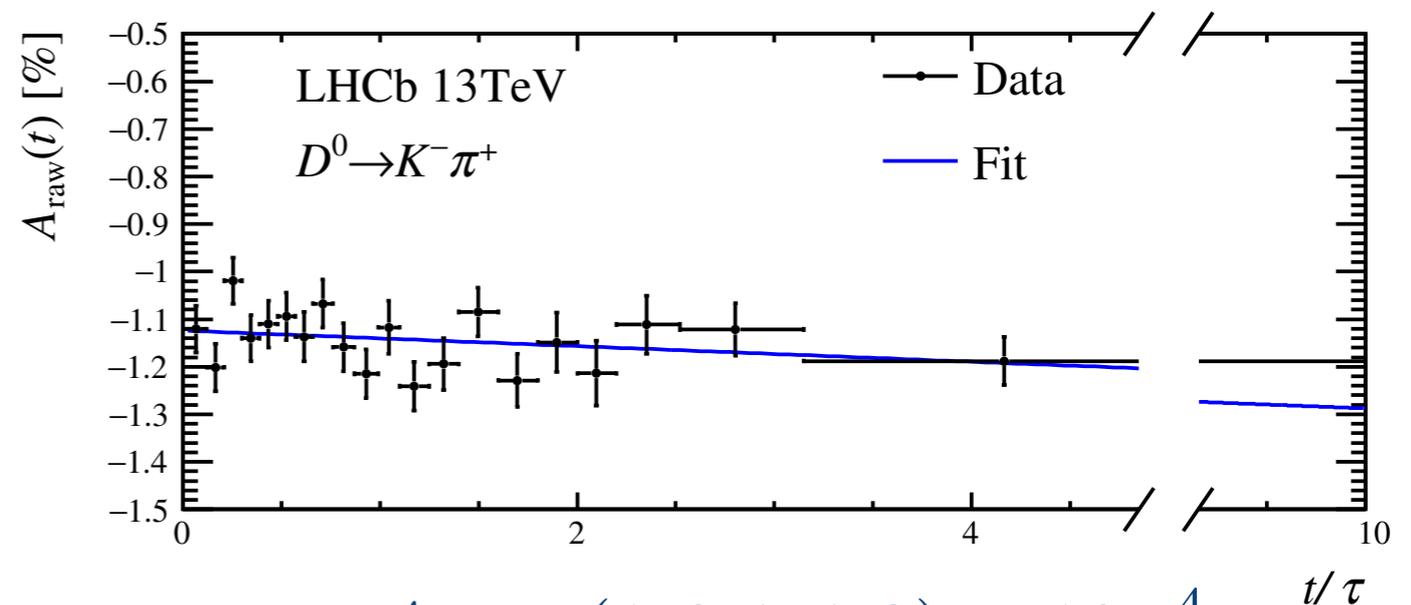
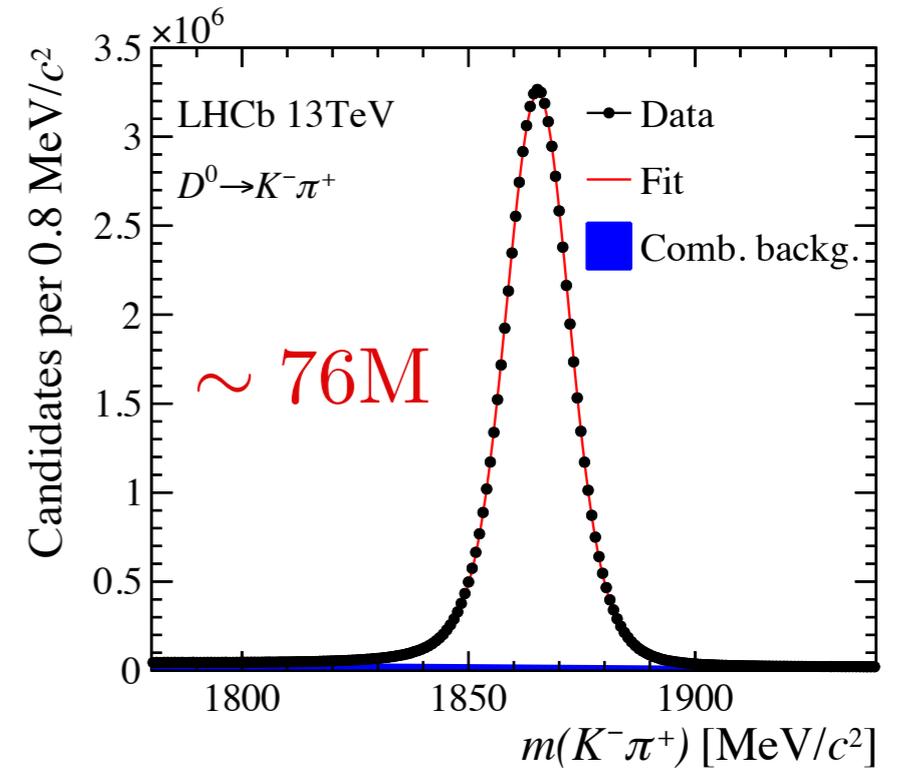
$$\bar{B} \rightarrow D^0 \mu^- X, \quad D^0 \rightarrow K^- \pi^+$$

$$D^0 \rightarrow K^- \pi^+$$

control channel

Expected

$$A_\Gamma = 0$$

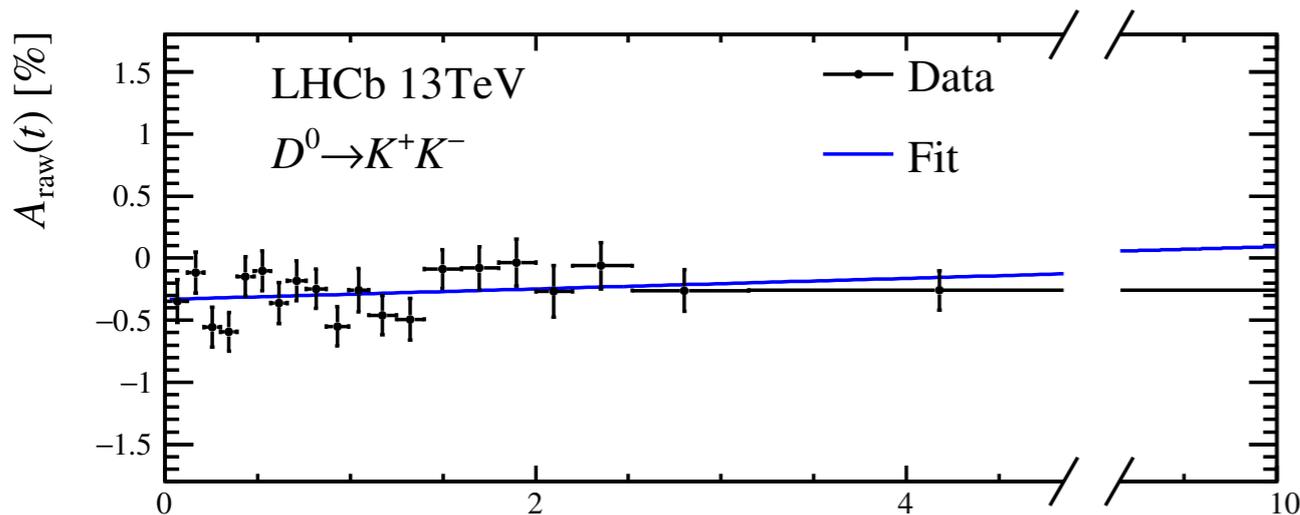


$$A_\Gamma = (1.6 \pm 1.2) \times 10^{-4}$$

PRD 101, 012005 (2020)

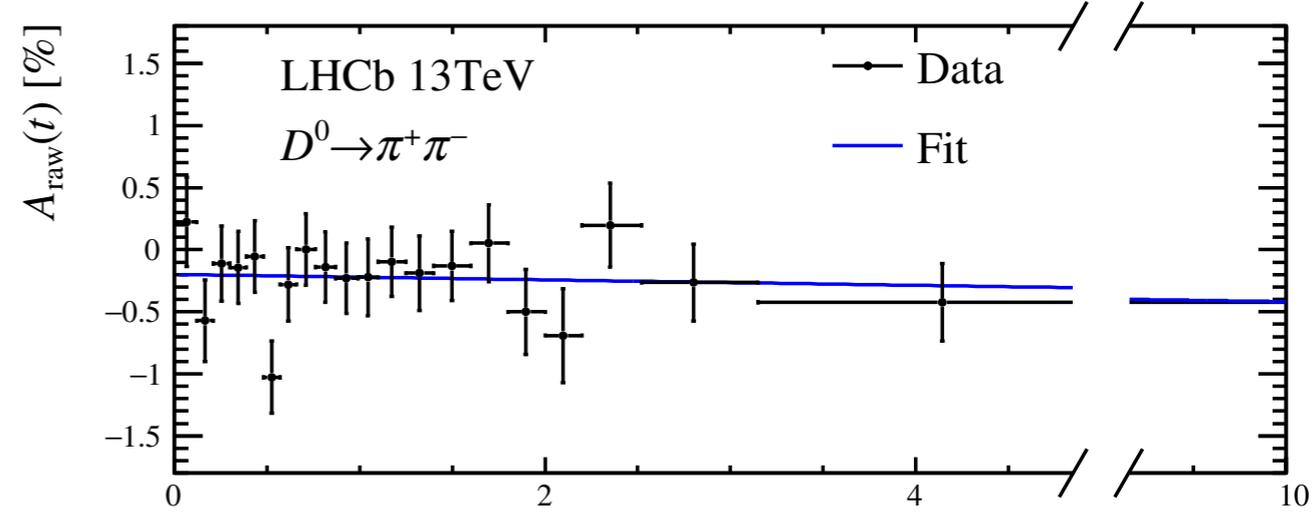
Results

$$D^0 \rightarrow K^+ K^-$$



$$A_\Gamma = (-4.3 \pm 3.6 \pm 0.5) \times 10^{-4} \quad t/\tau$$

$$D^0 \rightarrow \pi^+ \pi^-$$



$$A_\Gamma = (2.2 \pm 7.0 \pm 0.8) \times 10^{-4} \quad t/\tau$$

PRD 101, 012005 (2020)

Dominant systematic uncertainties

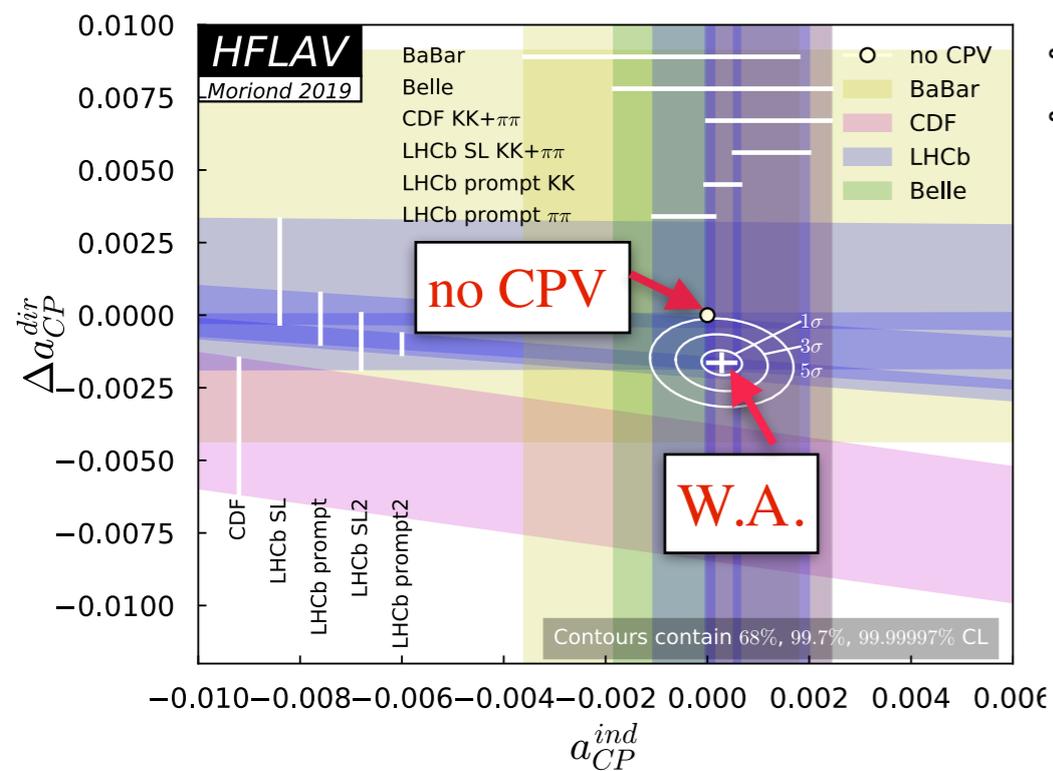
Source of uncertainty	$A_\Gamma(K^+ K^-)$ [10^{-4}]	$A_\Gamma(\pi^+ \pi^-)$ [10^{-4}]
$\sigma_t \sim 127$ fs { Decay-time resolution and acceptance	0.3	0.4
Mistag probability	0.3	0.6
Mass-fit model	0.3	0.3
Total	0.5	0.8

Combining with Run1 results and assuming A_Γ to be universal

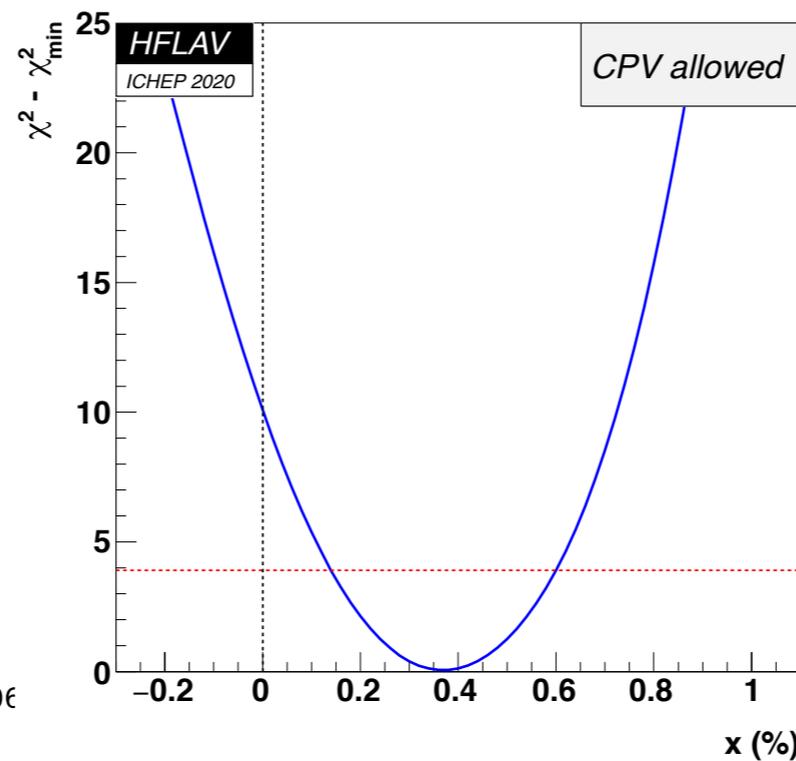
$$A_\Gamma = (-2.9 \pm 2.0 \pm 0.6) \times 10^{-4}$$

No indication of indirect CPV

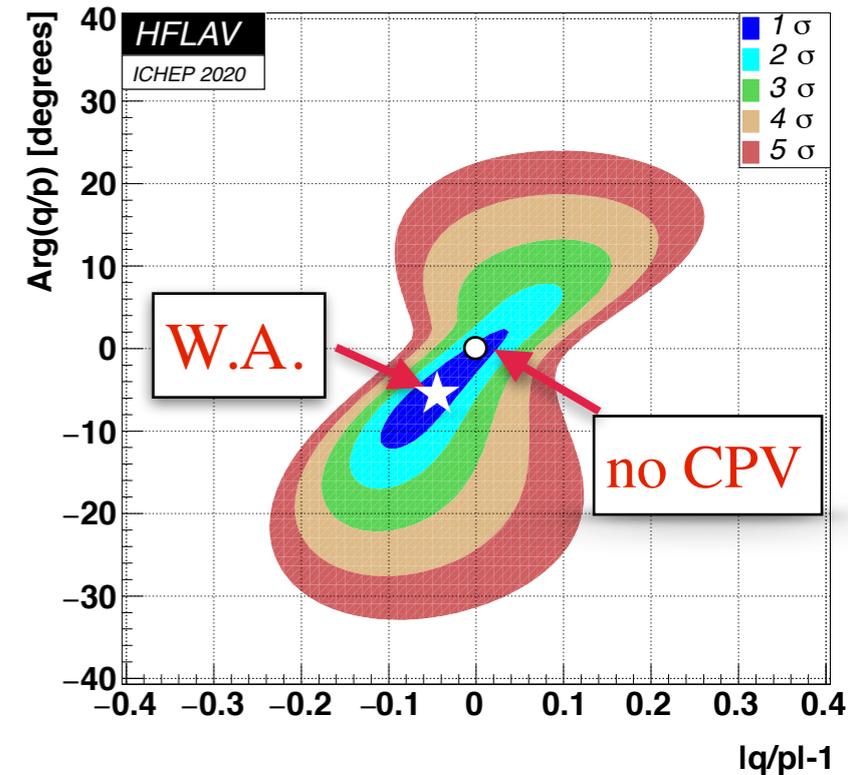
Summary and prospects



First observation of direct CPV in charm



$x = 0$ excluded by 2.96σ



No evidence for indirect CPV

https://hflav-eos.web.cern.ch/hflav-eos/charm/ICHEP20/results_mix_cpv.html

- Ongoing searches for CPV in D^+ and charm baryons
- New results with full Run1+Run2 data to appear soon
- Warming up for Run 3, already looking forward to Upgrade II

Backup slides

The decay amplitude for $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ (similar expression for $\bar{D}^0 \rightarrow K_S^0 \pi^+ \pi^-$)

$$A_f(m_+^2, m_-^2) = a_f(m_+^2, m_-^2) e^{i\delta(m_+^2, m_-^2)}$$

Defining $g_{\pm}(t) = \theta(t) e^{-imt} e^{-t/2} \frac{\cosh}{\sinh}(zt/2)$, the decay rate at decay time t :

$$|T_f(m_+^2, m_-^2; t)|^2 = \left| A_f(m_+^2, m_-^2) g_+(t) + \bar{A}_f(m_-^2, m_+^2) \frac{q}{p} g_-(t) \right|^2$$

With $F_b \equiv \int_b dm_+^2 dm_-^2 |A_f(m_+^2, m_-^2)|^2$ and

$$X_b \equiv \frac{1}{\sqrt{F_b \bar{F}_{-b}}} \int_b dm_+^2 dm_-^2 A_f^*(m_+^2, m_-^2) \bar{A}_f(m_-^2, m_+^2)$$

the yield in bin b is

$$\begin{aligned} N_b(t) &= \int_b dm_+^2 dm_-^2 |T_f(m_+^2, m_-^2; t)|^2 \\ &= F_b |g_+(t)|^2 + \left| \frac{q}{p} \right|^2 \bar{F}_{-b} |g_-(t)|^2 + 2\sqrt{\bar{F}_{-b} F_b} \operatorname{Re} \left[\frac{q}{p} X_b g_+^*(t) g_-(t) \right] \end{aligned}$$

PRD **99**, 012007 (2019)

Information regarding the strong phase difference taken from CLEO

$$c_b \equiv \frac{1}{\sqrt{F_b F_{-b}}} \int_b dm_+^2 dm_-^2 |A_f(m_+^2, m_-^2)| |A_f(m_-^2, m_+^2)| \cos[\Delta\delta(m_+^2, m_-^2)]$$

$$s_b \equiv \frac{1}{\sqrt{F_b F_{-b}}} \int_b dm_+^2 dm_-^2 |A_f(m_+^2, m_-^2)| |A_f(m_-^2, m_+^2)| \sin[\Delta\delta(m_+^2, m_-^2)].$$

PRD **82**, 112006 (2010)

For $|z|t \ll 1$,

$$|g_+(t)|^2 \approx e^{-t} + \frac{1}{4} e^{-t} t^2 \operatorname{Re}(z^2) + \mathcal{O}(z^4),$$

$$|g_-(t)|^2 \approx \frac{1}{4} e^{-t} t^2 |z|^2 + \mathcal{O}(z^4), \quad \text{and}$$

$$g_+^*(t)g_-(t) \approx \frac{1}{2} e^{-t} t z + \mathcal{O}(z^3).$$

Neglecting terms of $\mathcal{O}(z^3)$, the integration over decay time bin j yields

$$\int_j dt |g_+(t)|^2 \approx n_j \left[1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z^2) \right], \quad \int_j dt |g_-(t)|^2 \approx n_j \frac{1}{4} \langle t^2 \rangle_j |z|^2,$$

$$\int_j dt g_+^*(t)g_-(t) \approx n_j \frac{1}{2} \langle t \rangle_j z,$$

The yield at Dalitz plot bin b and decay-time bin j is

$$N_{bj} = \int_j dt N_b(t) \\ \approx F_b \left[1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z^2) \right] + \frac{1}{4} \langle t^2 \rangle_j |z|^2 \left| \frac{q}{p} \right|^2 F_{-b} + \langle t \rangle_j \sqrt{F_{-b} F_b} \operatorname{Re} \left(\frac{q}{p} X_b z \right)$$

The ratio between yields of bins b and $-b$ is ($r_b = F_{-b} / F_b$)

$$R_{bj} = \frac{N_{-bj}}{N_{bj}} \approx \frac{r_b \left[1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z^2) \right] + \frac{1}{4} \langle t^2 \rangle_j |z|^2 \left| \frac{q}{p} \right|^2 + \langle t \rangle_j \sqrt{r_b} \operatorname{Re} \left(X_b^* \frac{q}{p} z \right)}{1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z^2) + \frac{1}{4} \langle t^2 \rangle_j |z|^2 r_b \left| \frac{q}{p} \right|^2 + \langle t \rangle_j \sqrt{r_b} \operatorname{Re} \left(X_b \frac{q}{p} z \right)}$$

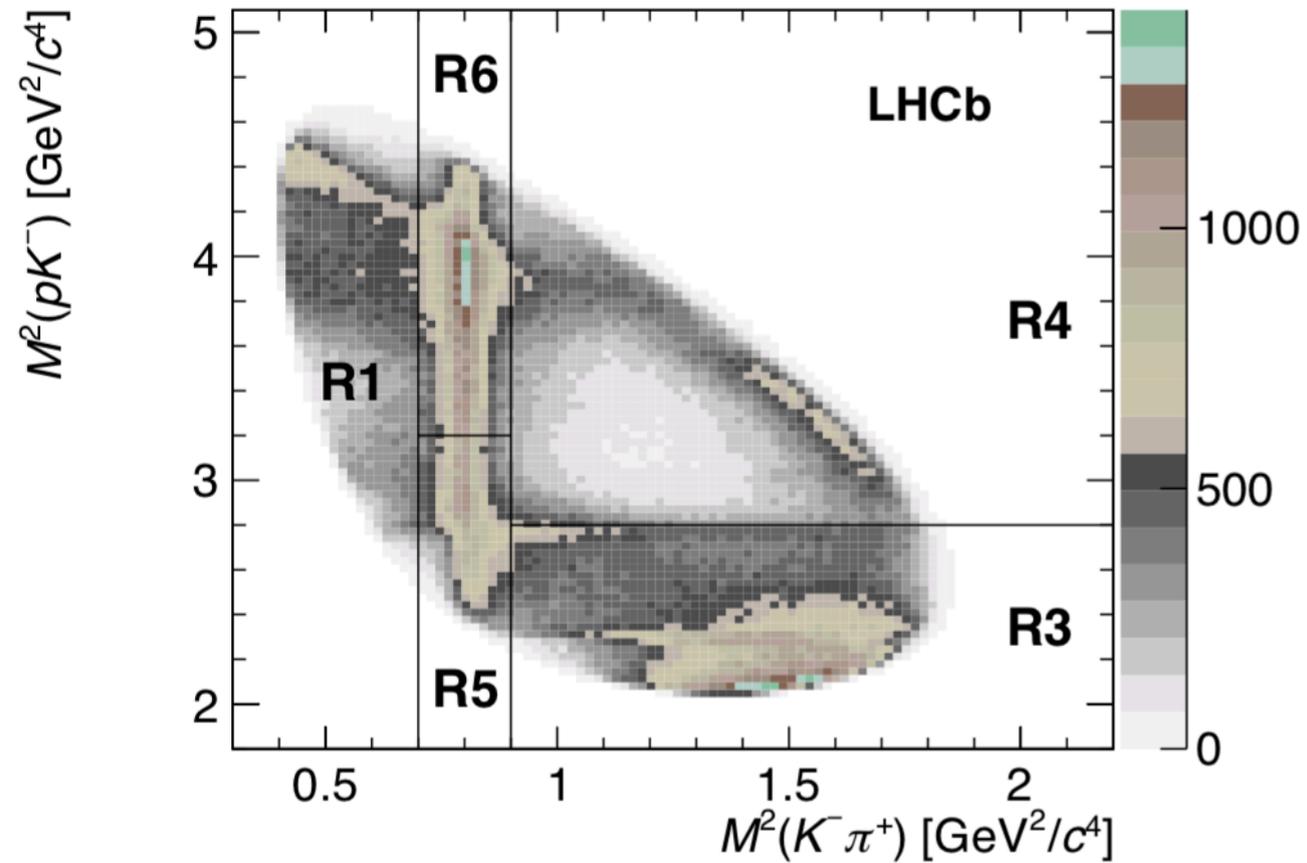
With the definition $z_{CP} \pm \Delta Z \equiv (q/p)^\pm z$, the ratio between yields becomes

$$R_{bj} \approx \frac{r_b \left[1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) \right] + \frac{1}{4} \langle t^2 \rangle_j |z_{CP} + \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re} [X_b^* (z_{CP} + \Delta z)]}{1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) + r_b \frac{1}{4} \langle t^2 \rangle_j |z_{CP} + \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re} [X_b (z_{CP} + \Delta z)]}$$

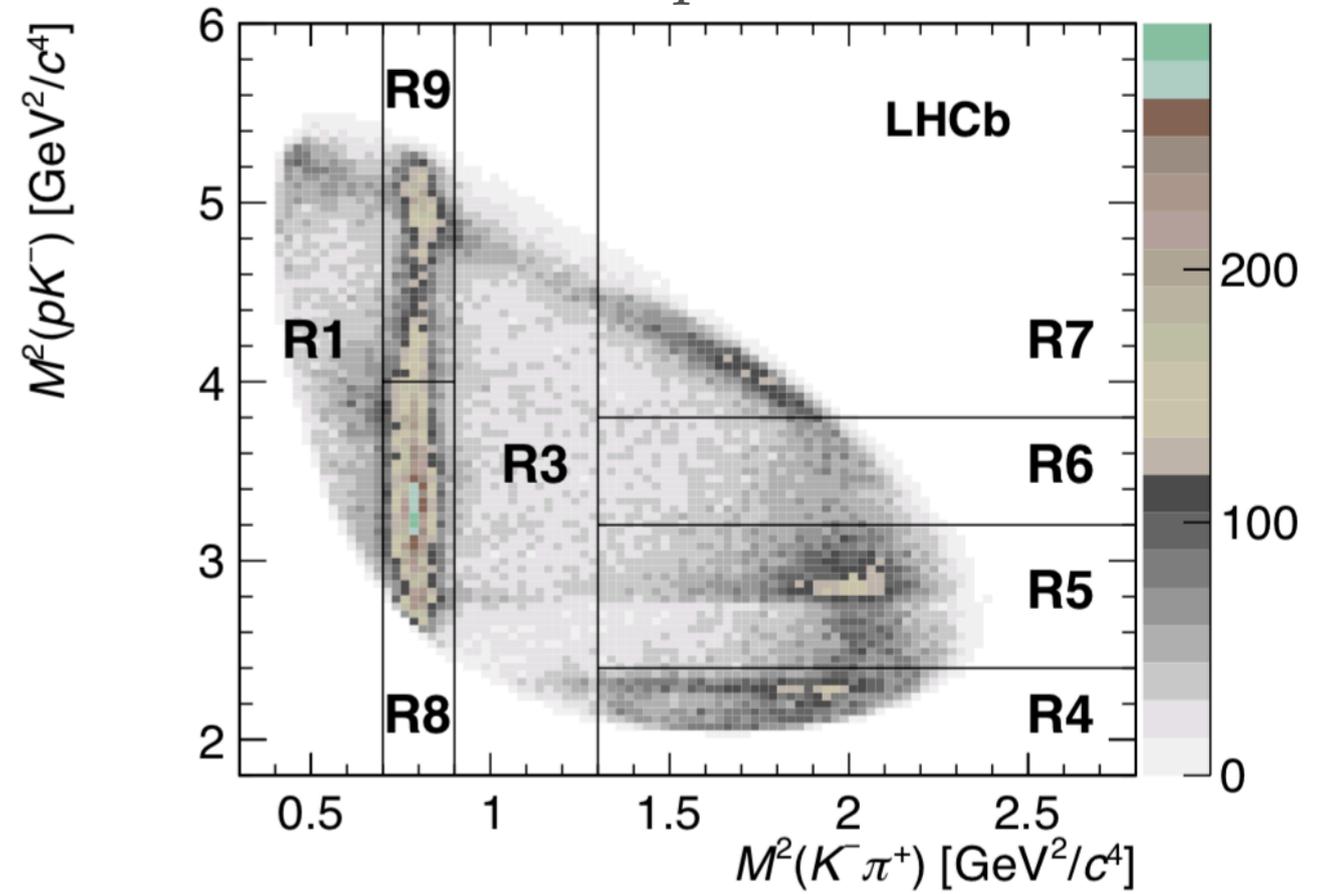
CPV search in $\Xi \rightarrow pK^- \pi^+$

Definition of the Dalitz plot regions

$\Lambda_c \rightarrow pK^- \pi^+$



$\Xi \rightarrow pK^- \pi^+$



In case of CP symmetry, the test statistic T follows a normal distribution with

$$\mu_T = \frac{n_+(n_+ - 1) + n_-(n_- - 1)}{n(n - 1)} \quad \text{and} \quad \sigma_T^2 = \frac{1}{nn_k} \left(\frac{n_+n_-}{n^2} + 4 \frac{n_+^2 n_-^2}{n^4} \right)$$

Table 6.4: Extrapolated signal yields, and statistical precision on indirect CP violation from A_Γ .

Sample (\mathcal{L})	Tag	Yield K^+K^-	$\sigma(A_\Gamma)$	Yield $\pi^+\pi^-$	$\sigma(A_\Gamma)$
Run 1–2 (9 fb^{-1})	Prompt	60M	0.013%	18M	0.024%
Run 1–3 (23 fb^{-1})	Prompt	310M	0.0056%	92M	0.0104 %
Run 1–4 (50 fb^{-1})	Prompt	793M	0.0035%	236M	0.0065 %
Run 1–5 (300 fb^{-1})	Prompt	5.3G	0.0014%	1.6G	0.0025 %

Table 6.5: Extrapolated signal yields and statistical precision on direct CP violation observables for the promptly produced samples.

Sample (\mathcal{L})	Tag	Yield	Yield	$\sigma(\Delta A_{CP})$	$\sigma(A_{CP}(hh))$
		$D^0 \rightarrow K^-K^+$	$D^0 \rightarrow \pi^-\pi^+$	[%]	[%]
Run 1–2 (9 fb^{-1})	Prompt	52M	17M	0.03	0.07
Run 1–3 (23 fb^{-1})	Prompt	280M	94M	0.013	0.03
Run 1–4 (50 fb^{-1})	Prompt	1G	305M	0.01	0.03
Run 1–5 (300 fb^{-1})	Prompt	4.9G	1.6G	0.003	0.007

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Table 6.3: Extrapolated signal yields, and statistical precision on the mixing and CP violation parameters, for the analysis of the decay $D^0 \rightarrow K_S^0 \pi^+ \pi^-$. Candidates tagged by semileptonic B decay (SL) and those from prompt charm meson production are shown separately.

Sample (lumi \mathcal{L})	Tag	Yield	$\sigma(x)$	$\sigma(y)$	$\sigma(q/p)$	$\sigma(\phi)$
Run 1–2 (9 fb $^{-1}$)	SL	10M	0.07%	0.05%	0.07	4.6 $^\circ$
	Prompt	36M	0.05%	0.05%	0.04	1.8 $^\circ$
Run 1–3 (23 fb $^{-1}$)	SL	33M	0.036%	0.030%	0.036	2.5 $^\circ$
	Prompt	200M	0.020%	0.020%	0.017	0.77 $^\circ$
Run 1–4 (50 fb $^{-1}$)	SL	78M	0.024%	0.019%	0.024	1.7 $^\circ$
	Prompt	520M	0.012%	0.013%	0.011	0.48 $^\circ$
Run 1–5 (300 fb $^{-1}$)	SL	490M	0.009%	0.008%	0.009	0.69 $^\circ$
	Prompt	3500M	0.005%	0.005%	0.004	0.18 $^\circ$

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