

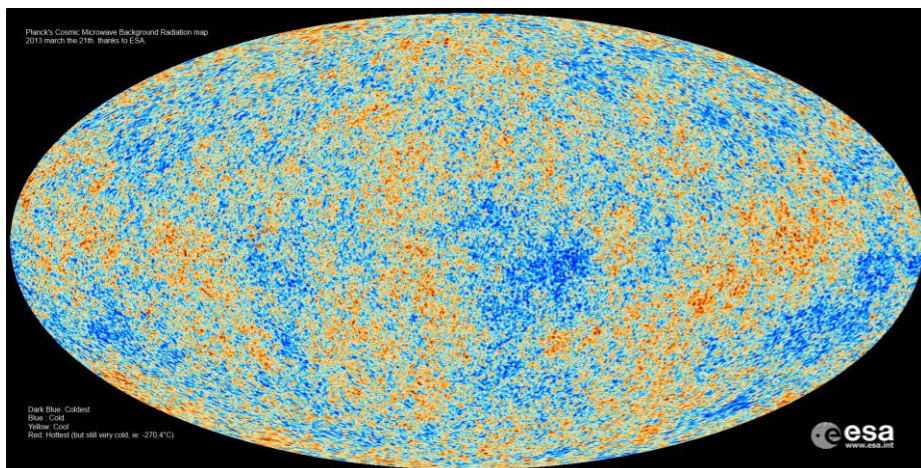
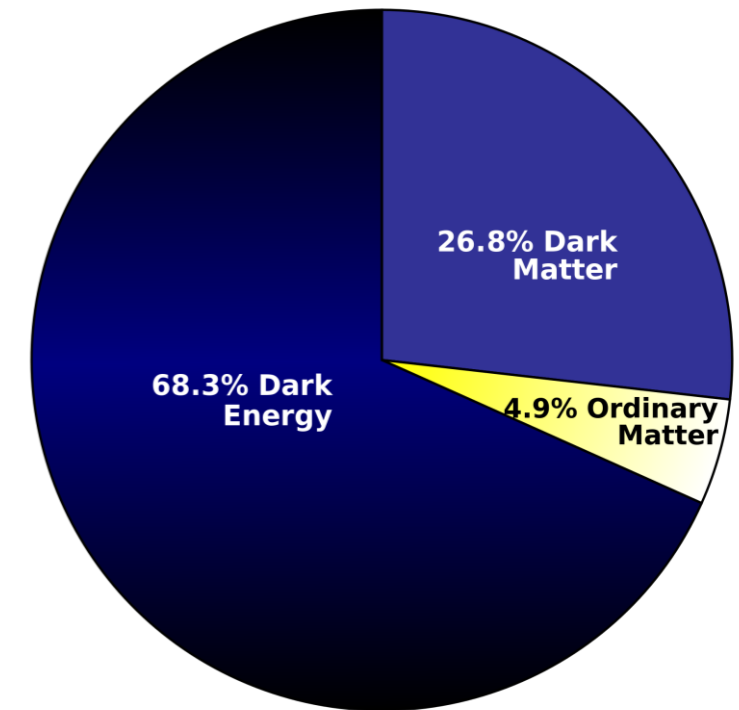
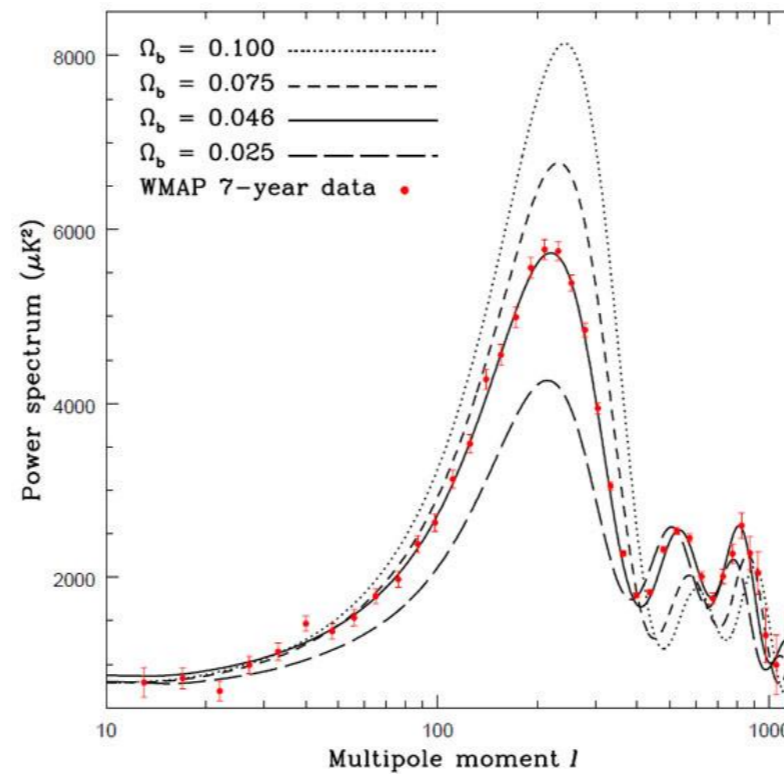
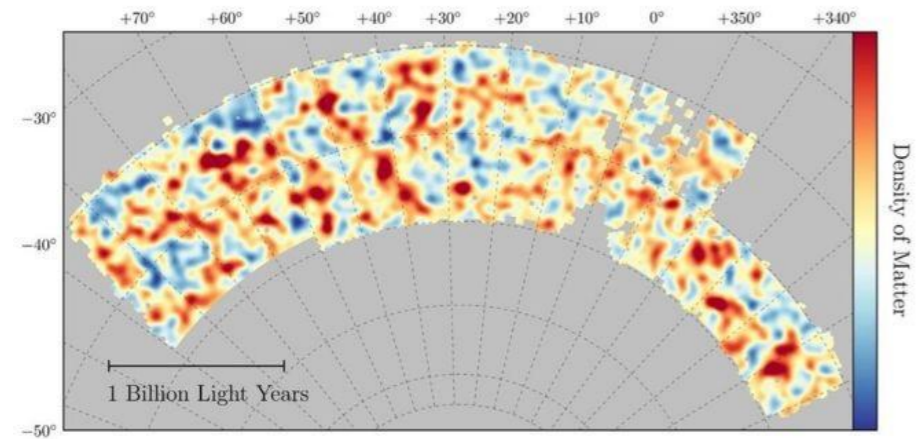
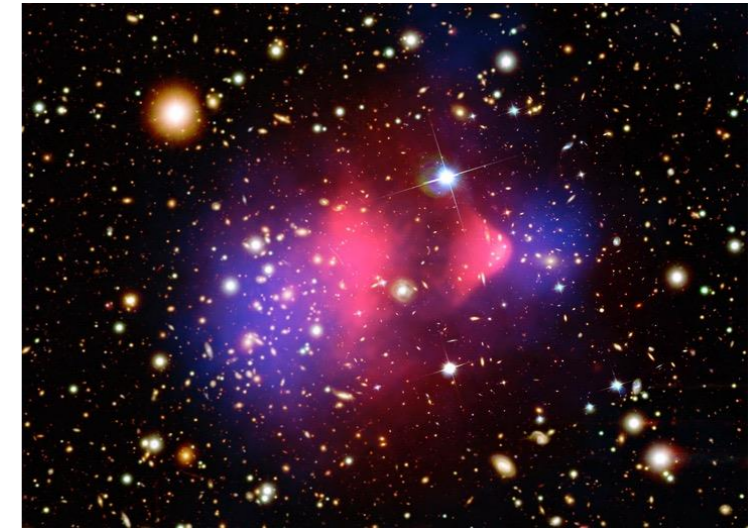
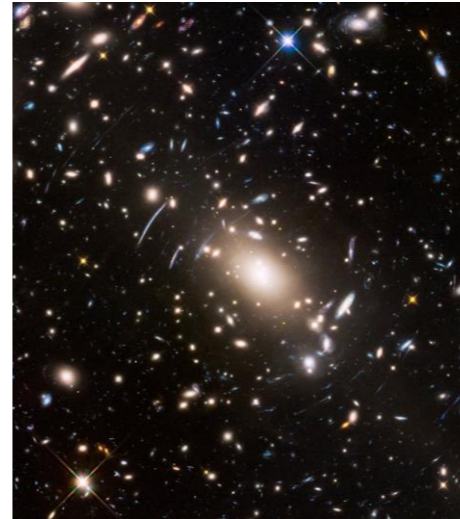
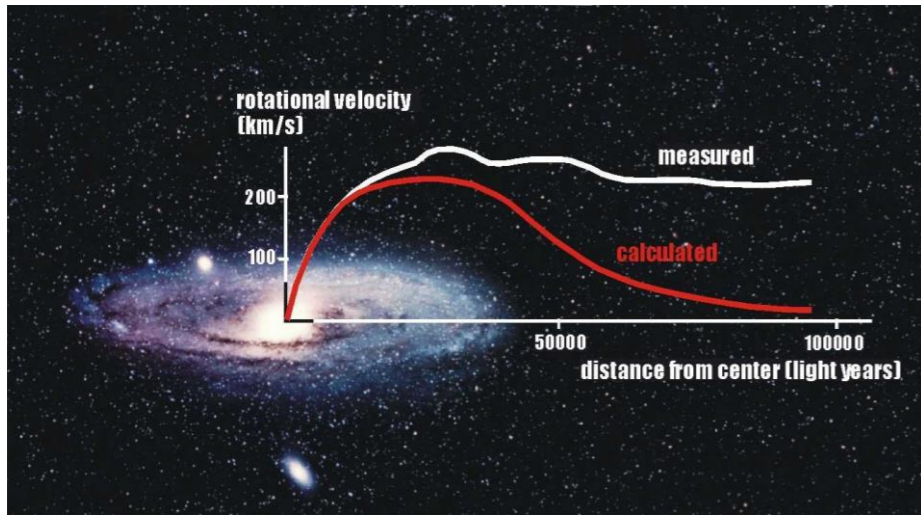
# Gravitational Wave Probes of Dark Matter and Leptogenesis



Debasish Borah  
Indian Institute of Technology Guwahati

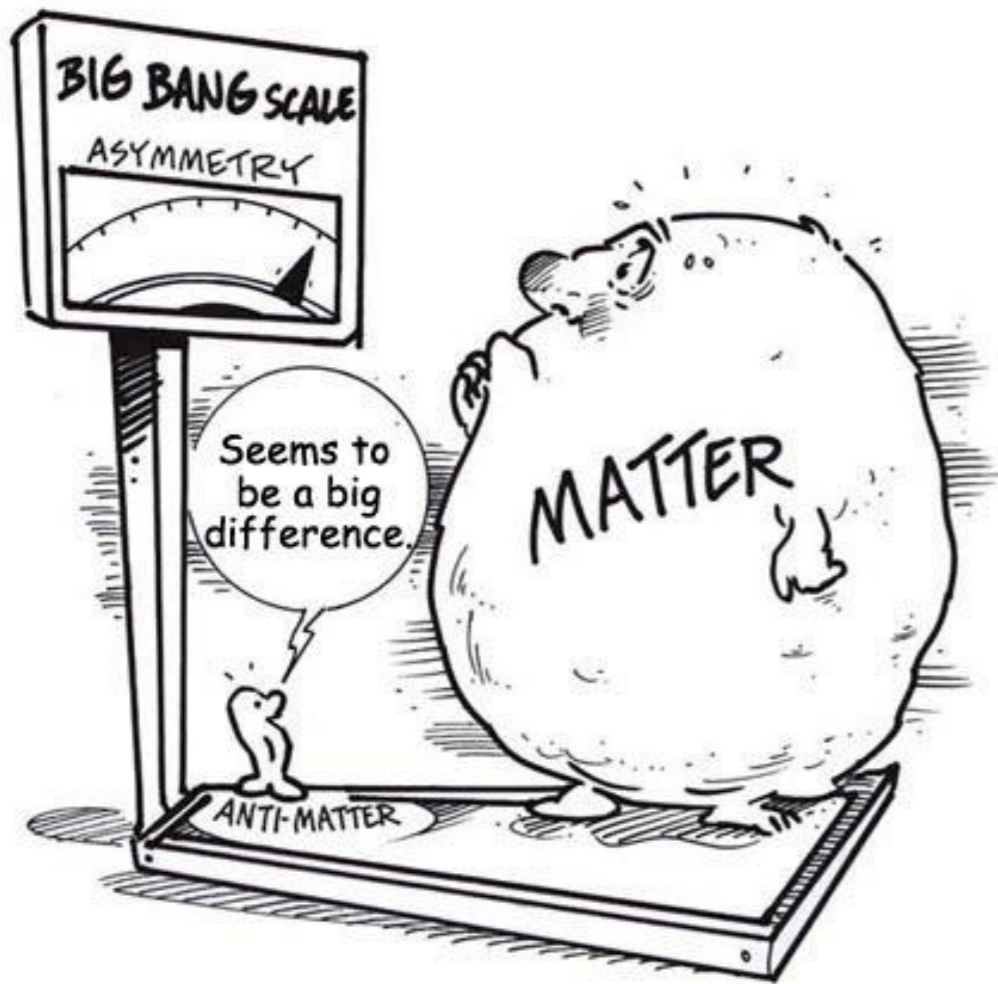
At PPC 2022, Washington University in St. Louis

# Dark Matter: Evidences



Credits: HST, Chandra, DES, WMAP, Planck

Standard Model does not have any DM candidate

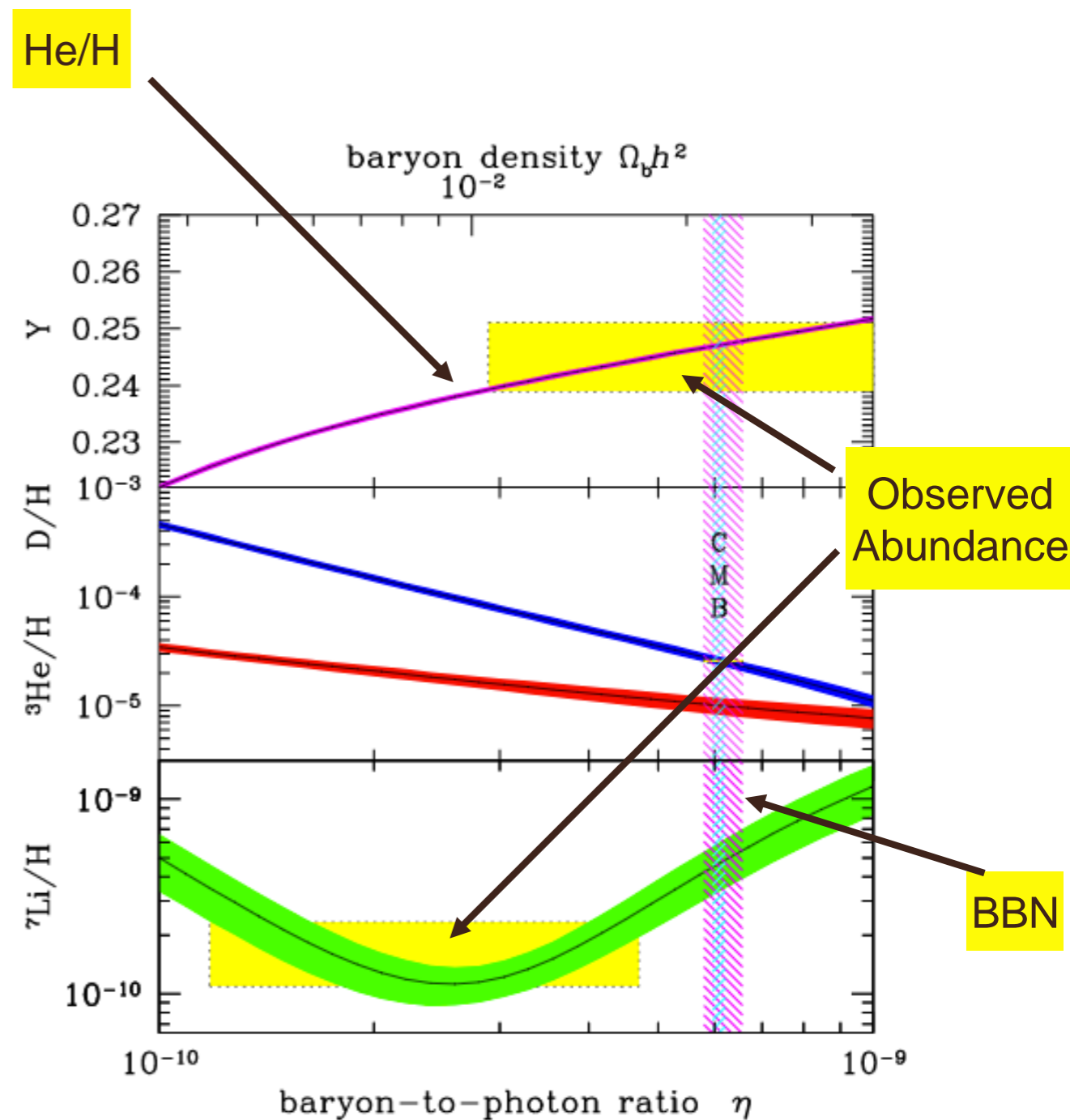


# Matter-antimatter (baryon) asymmetry

- The observed BAU is often quoted in terms of baryon to photon ratio

$$\eta_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = 6.04 \pm 0.08 \times 10^{-10}$$

- The prediction for this ratio the BBN agrees well with the observed value inferred from the CMB measurements (Planck 2018).



Particle Data Group

# Sakharov's Conditions

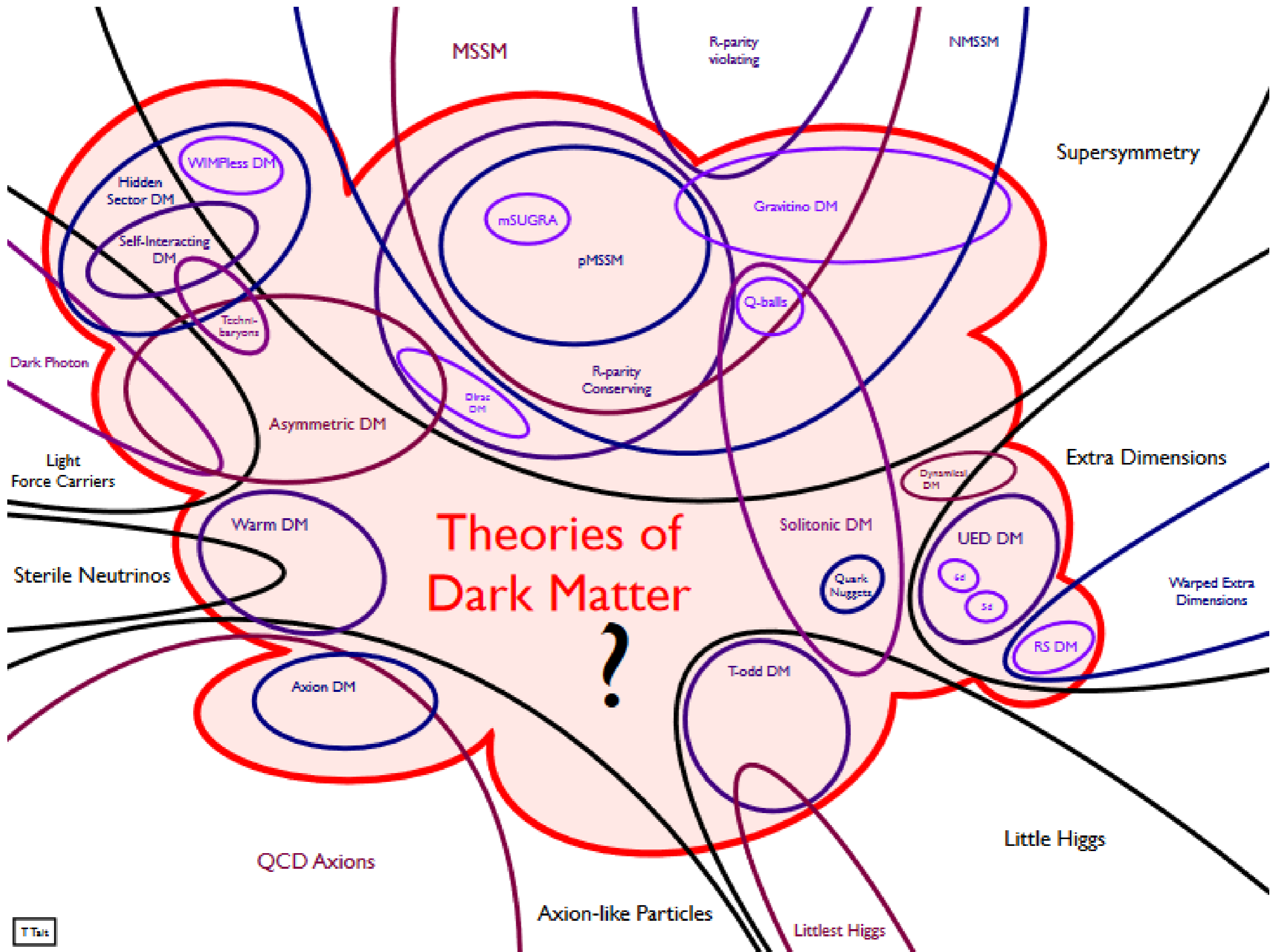
Three basic ingredients necessary to generate a net baryon asymmetry from an initially baryon symmetric Universe (Sakharov 1967):

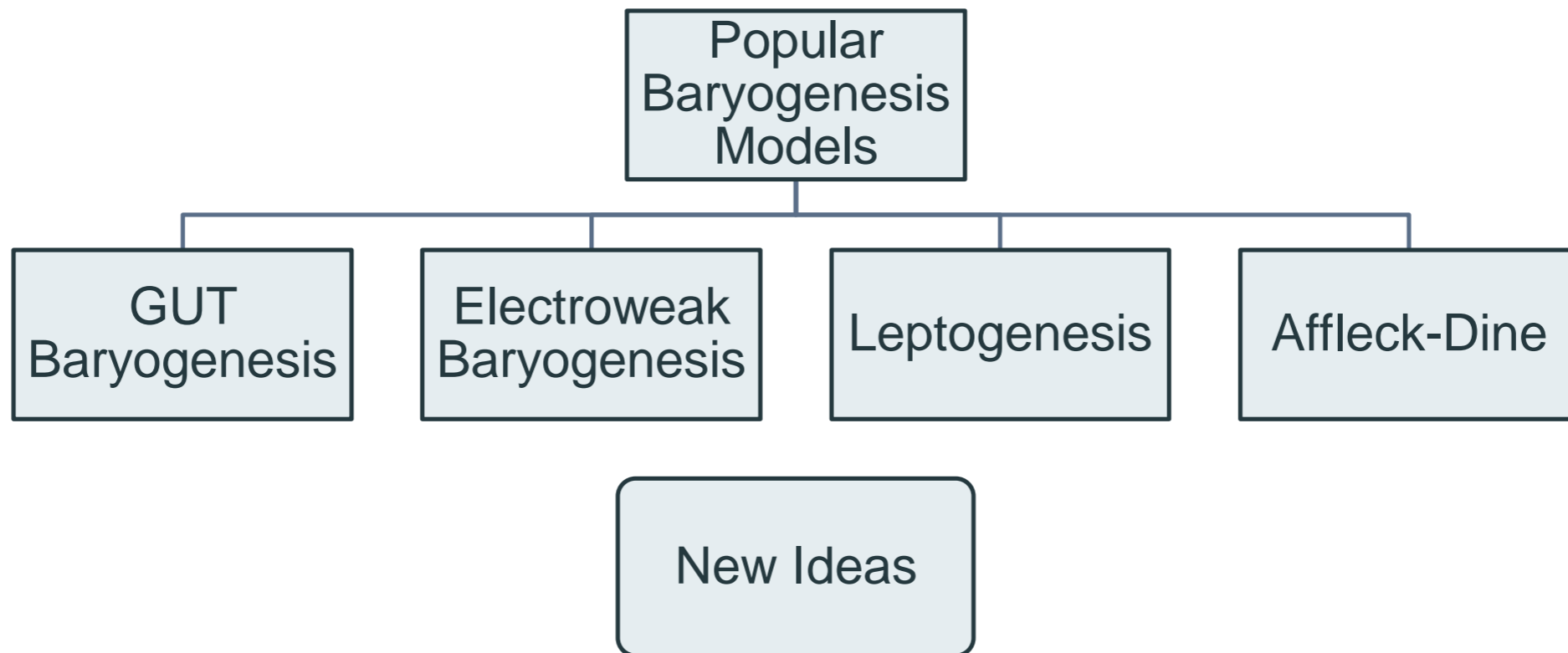
- Baryon Number (B) violation  $X \rightarrow Y + B$
- C & CP violation.  $\Gamma(X \rightarrow Y + B) \neq \Gamma(\bar{X} \rightarrow \bar{Y} + \bar{B})$

$$\Gamma(X \rightarrow q_L q_L) + \Gamma(X \rightarrow q_R q_R) \neq \Gamma(\bar{X} \rightarrow \bar{q}_L + \bar{q}_L) + \Gamma(\bar{X} \rightarrow \bar{q}_R + \bar{q}_R)$$

- Departure from thermal equilibrium.

Standard Model fails to satisfy these conditions in required amount





## 2 New Ideas in Baryogenesis Models

Snowmass 2021  
Arxiv:2203.05010

- 2.1 Axiogenesis
- 2.2  $W_R$ -Axion Baryogenesis and Darkgenesis
- 2.3 QCD Baryogenesis
- 2.4 Wash-in Leptogenesis and Lepto-flavorgenesis
- 2.5 Hylogenesis
- 2.6 Darkogenesis
- 2.7 WIMP-Triggered Baryogenesis
- 2.8 Gaugino Portal Baryogenesis
- 2.9 Freeze-In Baryogenesis via Dark Matter Oscillations
- 2.10 Baryogenesis Through Particle-Antiparticle Oscillations
- 2.11 Mesino Oscillations and Baryogenesis
- 2.12 Mesogenesis
- 2.13 Particle Asymmetries from Quantum Statistics

Related Parallel  
Session talks:

Freeze-in  
leptogenesis  
by Brian Shuve

LRSUSY by Urjit  
Yajnik

Freeze-in  
baryogenesis by  
David Tucker-Smith

GW pathways for  
testable leptogenesis  
by Arnab Dasgupta

Arxiv: hep-ph/0401240, 0802.2962, 1301.3062 for reviews

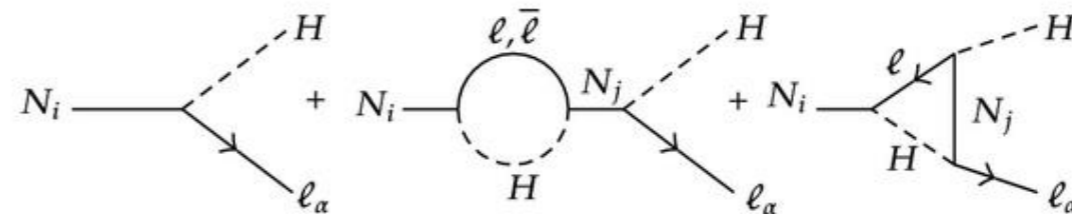
- Right handed neutrino decays out of equilibrium (Fukugita & Yanagida 1986)

$$Y_{ij} \bar{L}_i \tilde{H} N_j + \frac{1}{2} M_{ij} N_i N_j$$

- CP violation due to phases in Yukawa couplings  $Y$ , leads to a lepton asymmetry.

$$\epsilon_{N_k} = - \sum_i \frac{\Gamma(N_k \rightarrow L_i + H^*) - \Gamma(N_k \rightarrow L_i + H)}{\Gamma(N_k \rightarrow L_i + H^*) + \Gamma(N_k \rightarrow L_i + H)}$$

- At least two  $N$  are required to generate an asymmetry. The Boltzmann equations can be written as



$$\frac{dY_N}{dz} = - \frac{\Gamma_1}{\text{Hz}} (Y_N - Y_N^{eq}), \quad \frac{dY_{B-L}}{dz} = -\epsilon_1 \frac{\Gamma_1}{\text{Hz}} (Y_N - Y_N^{eq}) - W Y_{B-L}$$

- The frozen out lepton asymmetry at  $T \ll M_i$  is converted into baryon asymmetry by electroweak sphalerons:

$$\frac{n_{\Delta B}}{s} = - \frac{28}{79} \frac{n_{\Delta L}}{s}$$

Khlebnikov & Shaposhnikov'88

# Gravitational Wave Probes of DM/Leptogenesis

I. First Order  
Phase Transition

II. Unstable  
Topological  
Defects

III. Evaporating  
Primordial Black  
Holes

+ other possibilities

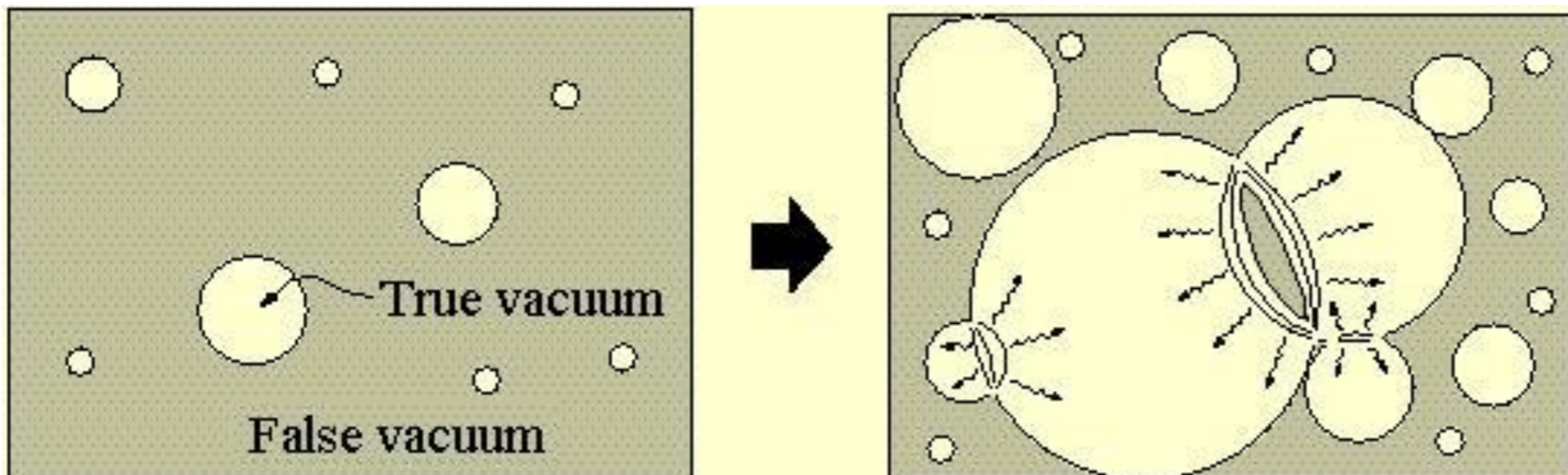
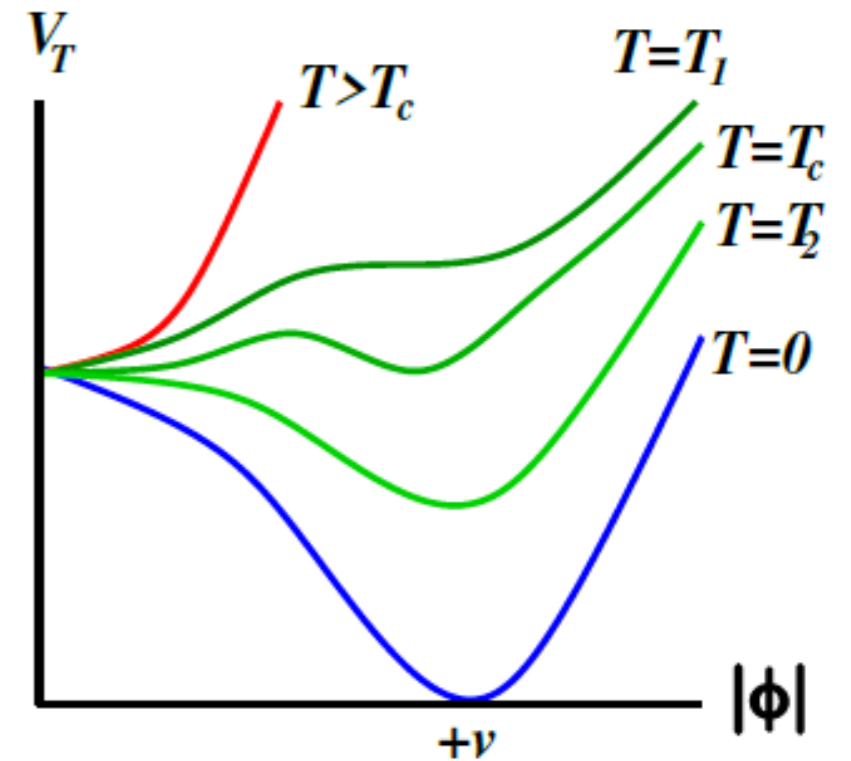


# Part I: First order phase transition (FOPT)

- Depending upon the model parameters, the transition from symmetric to broken phase can be a first or second order phase transition.

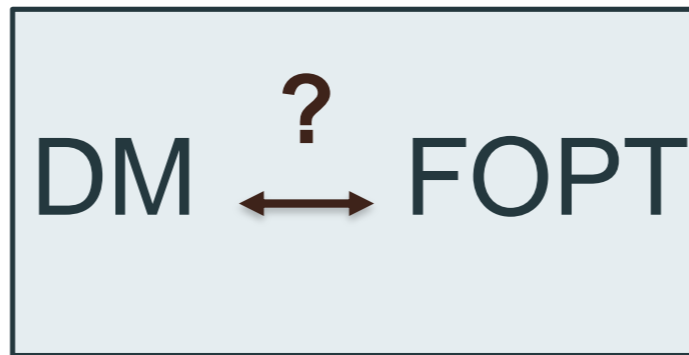
$$V_{eff}(\varphi, T) \approx \frac{\mu^2 + cT^2}{2} \varphi^2 - (ET + A)\varphi^3 + \frac{\lambda}{4} \varphi^4$$

- Larger the order parameter:  $\frac{\varphi_c}{T_c}$ , stronger will be the phase transition.
- In a FOPT, bubbles form and subsequently stochastic gravitational waves can be generated from bubble collisions, sound waves and turbulence in the plasma.



Plenary by  
James Dent

Hindmarsh et al  
Arxiv: 2008.09136



## DM induced EWPT

- SM+scalar singlet (Espinosa, Quiros'93; Benson'93; Choi, Volkas'93; Vergara'96; Cline, Kainulainen, Tucker-Smith'17++)
- SM+scalar doublet (Turok, Zadrozny'92; Cline, Lemieux'97; DB, Cline'12, DB, Dasgupta, Fujikura, Kang, Mahanta'20++)
- SM+scalar triplet (Patel, Ramsey-Musolf'12++)
- Dark sector (Ghosh, Guo, Han, Liu'20++)

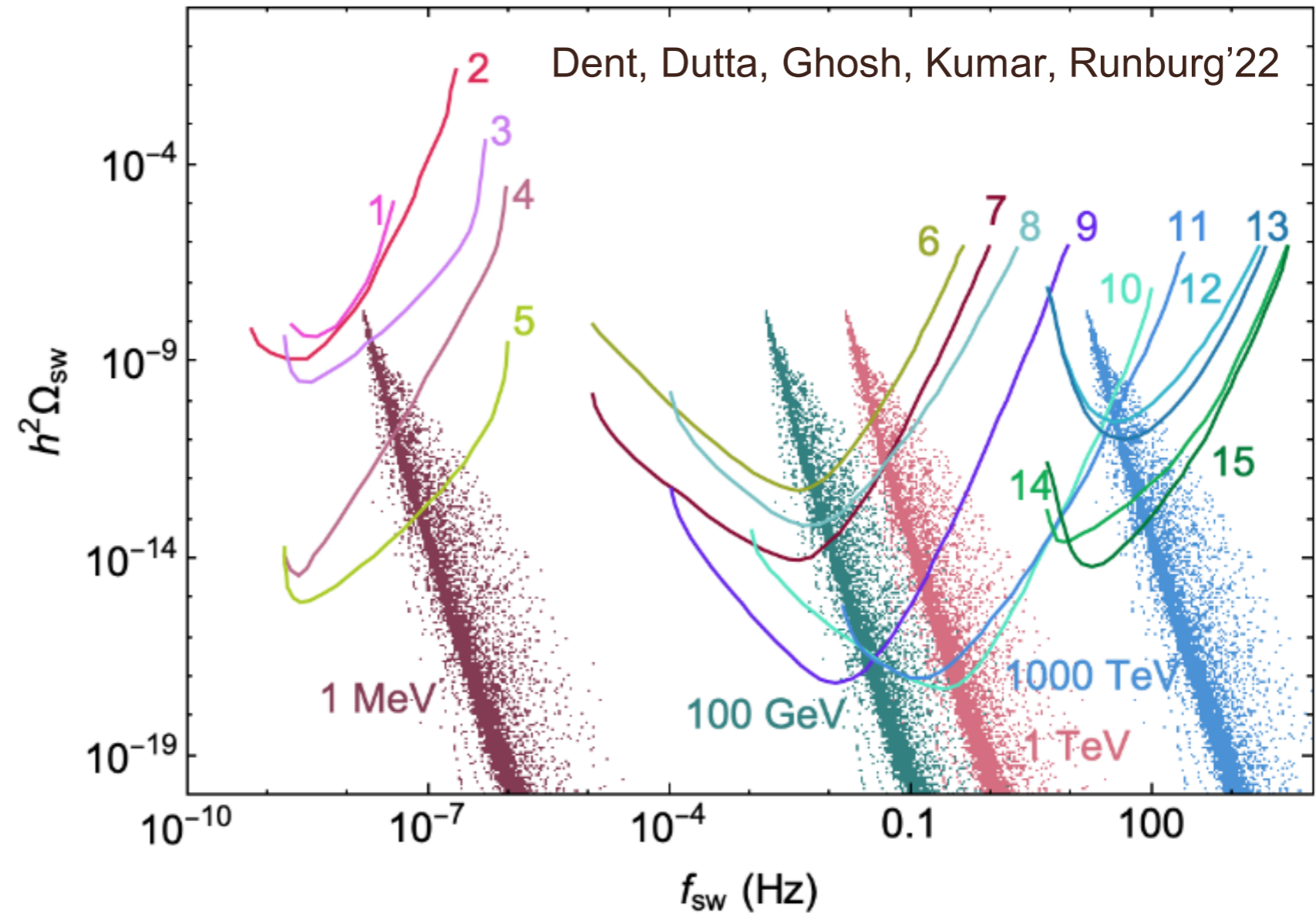
## BSM scenarios with $> \text{TeV}$ scale symmetry

- B-L gauge extension (Jinno, Takimoto'17; Okada, Seto'18; Hasegawa, Okada, Seto'19++) )
- B/L gauge extension (Fornal, Hagi'20)
- Flavour symmetry (Greljo, Opferkuch, Stefanek'20; Fornal'21)
- Left-right extension (Brdar, Graf, Helmboldt, Xu'19, Graf, Jana, Kaladharan, Saad'22++).....

## Dark Sector PT

- Dark U(1) (Schwaller'15; Chiang, Senaha'17; DB, Dasgupta, Kang'21++)
- Dark SU(N) (Baldes, Garcia-Cely'19; Prokopek, Rezacek, Swiezewska'19; DB, Dasgupta, Kang'21++)
- DM production from FOPT: via bubble collisions (Falkowski, No'13), via bubble wall filtering (Baker, Kopp, Long'20), via relativistic bubble walls (Azatov, Vanvlasselaer, Yin'21)++.

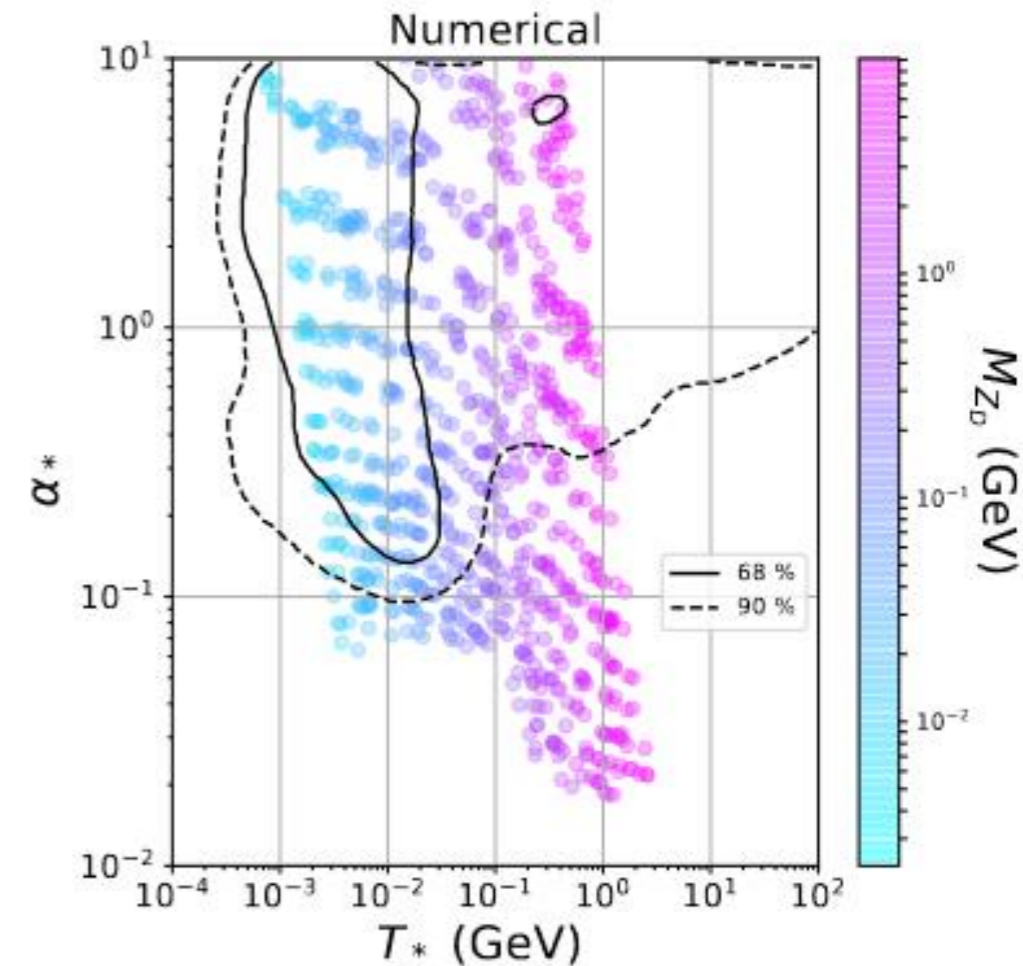
1. EPTA
2. NANOGrav
3. GAIA
4. SKA
5. THEIA
6. LISA
7. TAIJI
8. TIANQIN
9. ALIA
10. BBO
11. DECIGO
12. aLIGO
13. A+
14. Et
15. CE



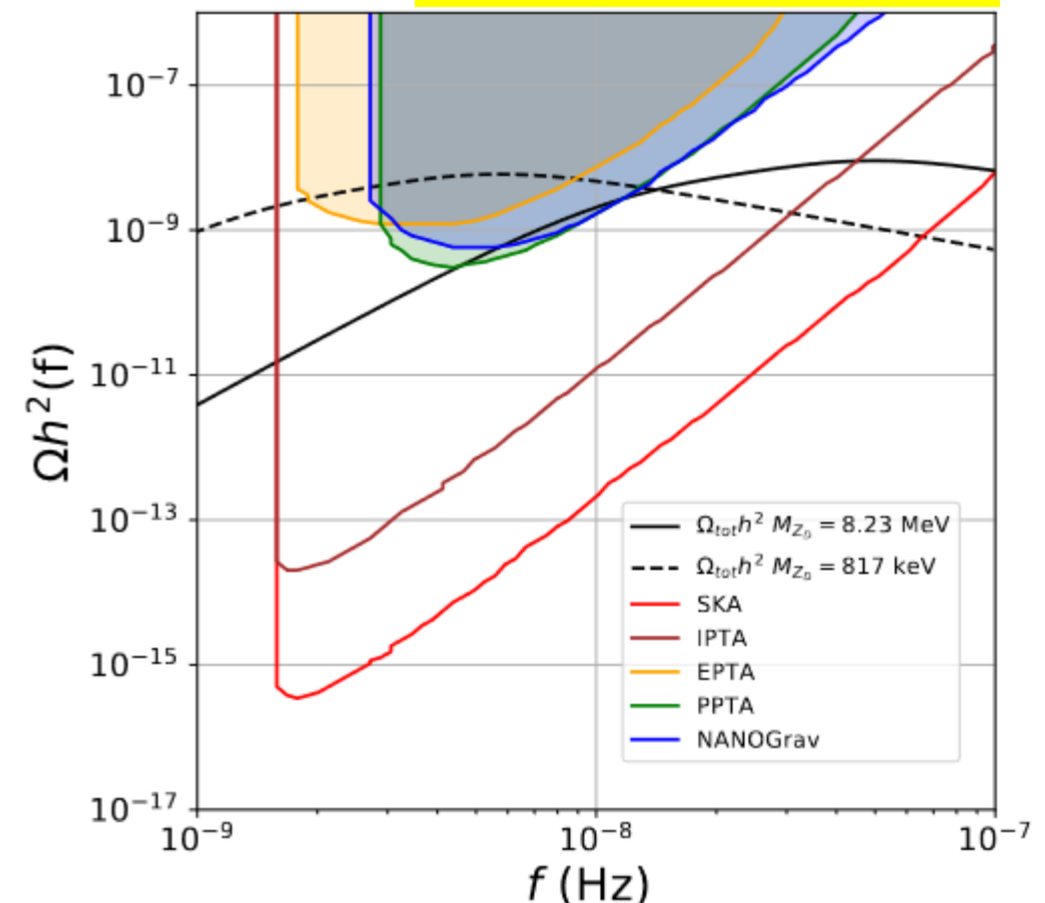
- Typically, DM induced FO EWPT leads to smaller GW amplitude as couplings/masses are tightly constrained by direct search bounds. Multi-step FOPT can enhance it.
- High scale PT can give a large GW amplitude if it can be made a supercooled one.
- Dark sector PT can occur all the way from MeV to very high scale and can be supercooled: can be probed in most of the GW experiments.

# Dark FOPT @ NANOGrav

- NANOGrav: Strong evidence of a stochastic process, modeled as a power-law, with common amplitude and spectral slope across pulsars.” (ApJ Lett. 905, 2 (2020)). Similar results reported by the PPTA collaboration (ApJ Lett. 917, 2 (2021)).
- Consistent with a strong FOPT taking place at sub-electroweak scale temperatures  $T < 100$  GeV (PRL 127, 251302 (2021)). Similar results obtained for the PPTA data (PRL 127, 251303 (2021)).
- Such low scale FOPT can be realized in dark U(1) or SU(N) sectors. A classical conformal symmetry can lead to a supercooled FOPT bringing the GW amplitude within experimental range.
- The dark gauge boson which acquires mass in the FOPT can be DM.



DB, Dasgupta, Kang'22



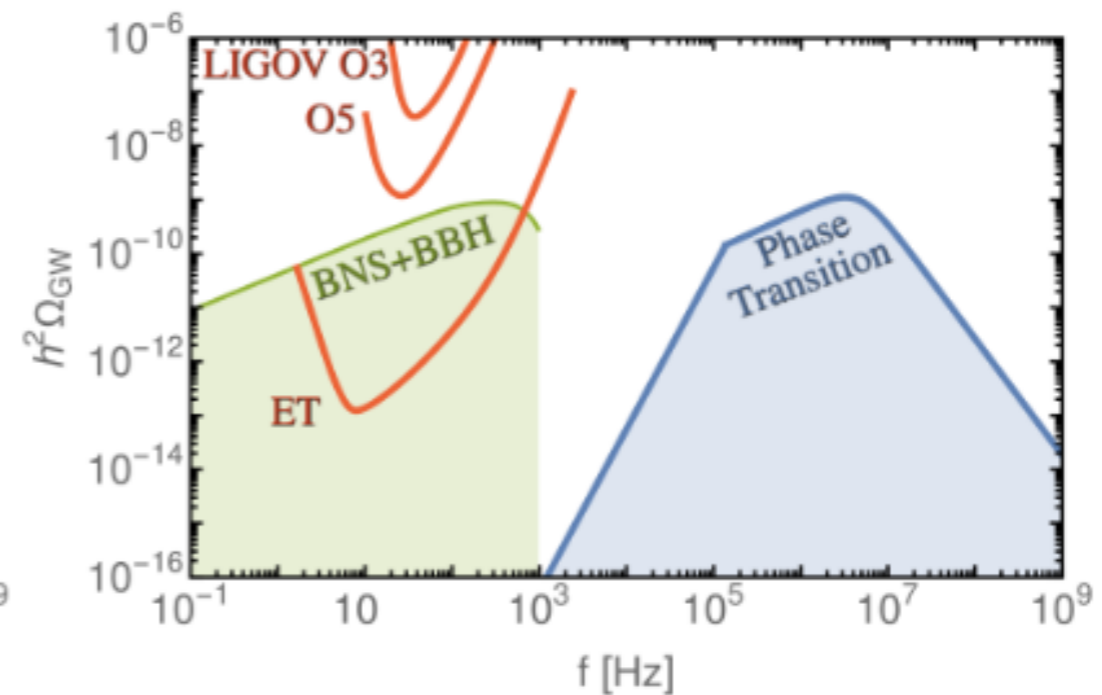
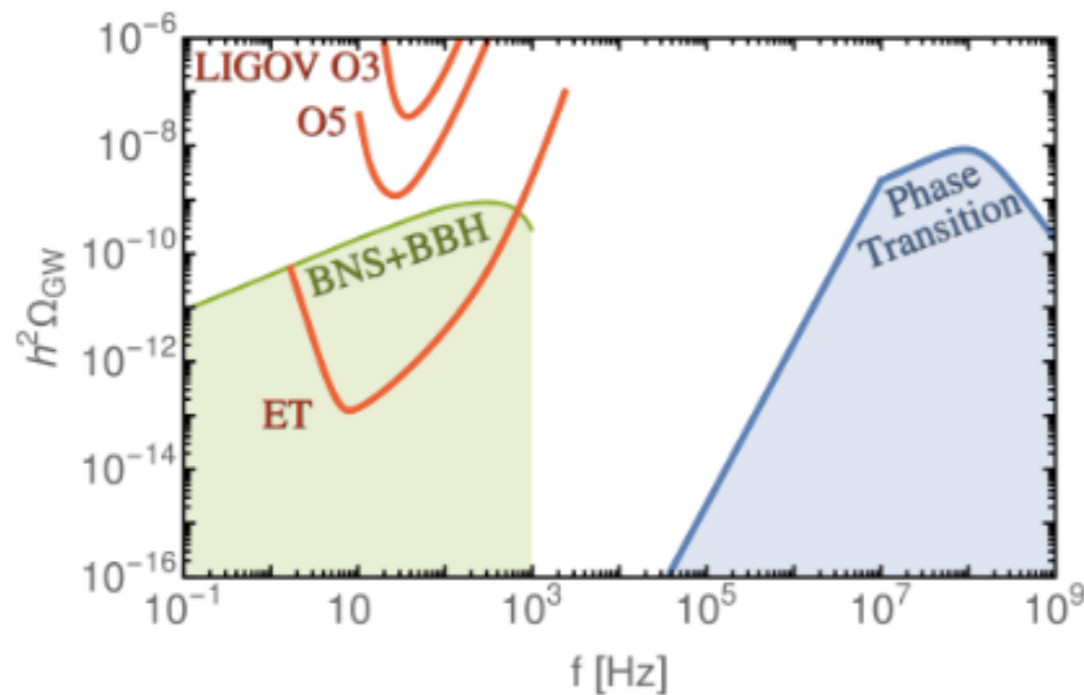
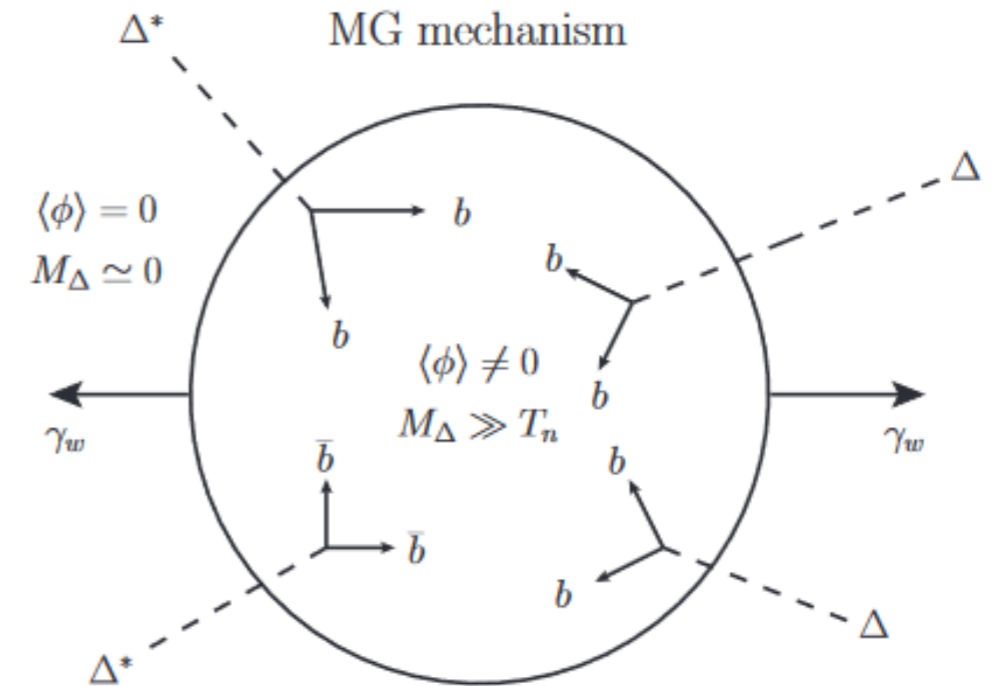
Possible connection to

GW from Axion DW: Plenary by F. Rompineve  
 QCD scale: Parallel session talk by Emma Clarke

# Leptogenesis via FOPT I

Baldes, Blasi, Mariotti, Sevrin, Turbang'21

- The heavy state  $N$  can gain mass in the FOPT itself.
- For ultra-relativistic bubble walls with Lorentz index  $\gamma > M_N/T_n$  can lead to a large abundance of  $N$  in true vacuum.
- Out-of-equilibrium decay of Heavy  $N$  can lead to leptogenesis.



# Leptogenesis via FOPT II

Azatov, Vanvlasselaer, Yin'21

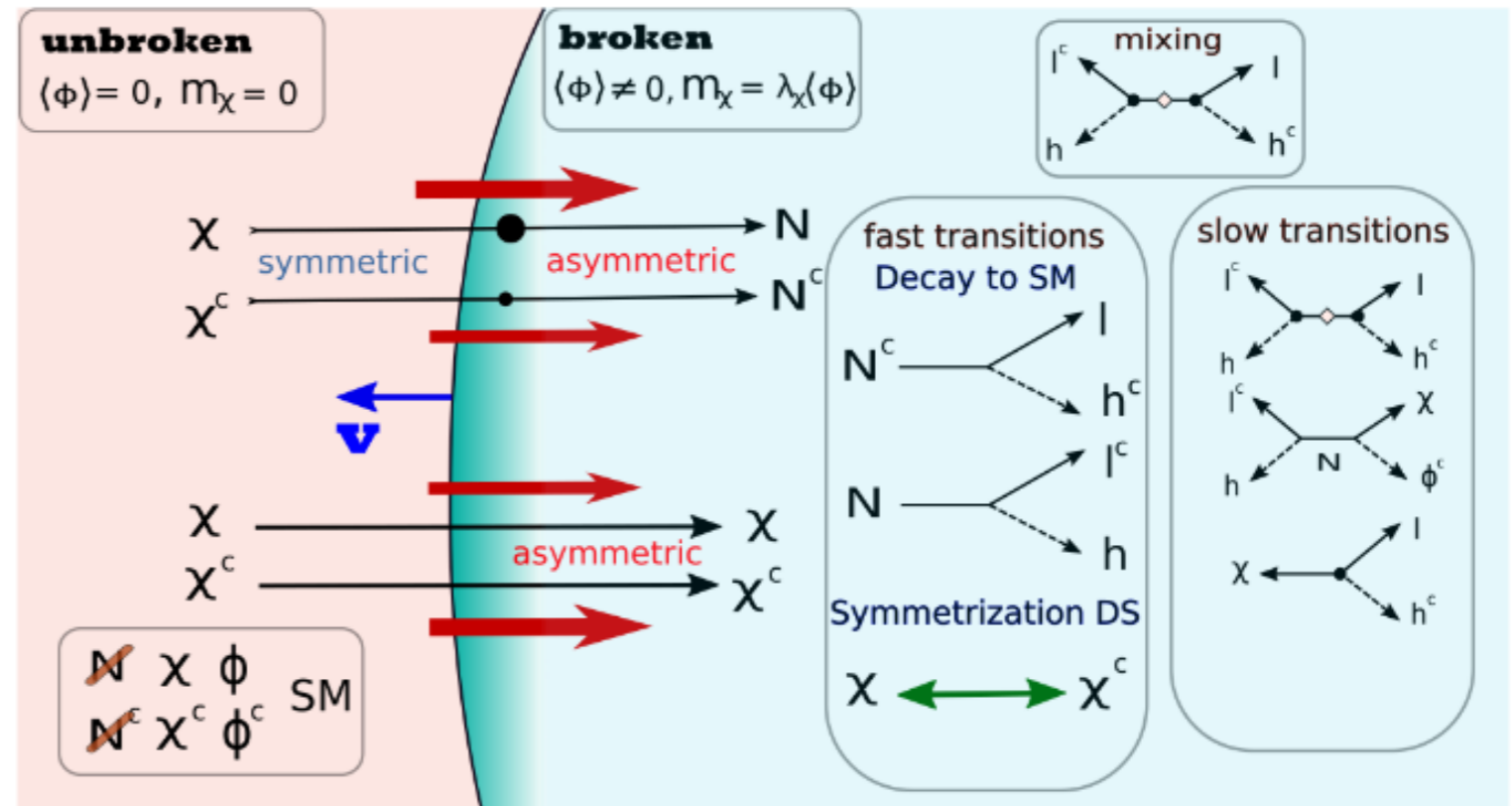
N is produced during relativistic bubble expansion

Asymmetry in N,  $\chi$  generated

$\chi$  asymmetry is washed out after the FOPT

Asymmetry in N is transferred to leptons

Lepton asymmetry is converted to baryon asymmetry by sphaleron



$$\mathcal{L}_{\text{int}} = \underbrace{\sum_I \left( Y_I (\phi^\dagger \bar{\chi}) P_L N_I + Y_I^* \bar{N}_I P_R (\phi \chi) \right) - V(\phi) + \frac{1}{2} \lambda_\chi \phi \bar{\chi} \chi + \sum_I M_I \bar{N}_I N_I}_{\text{Toy model of Dark Sector}}$$

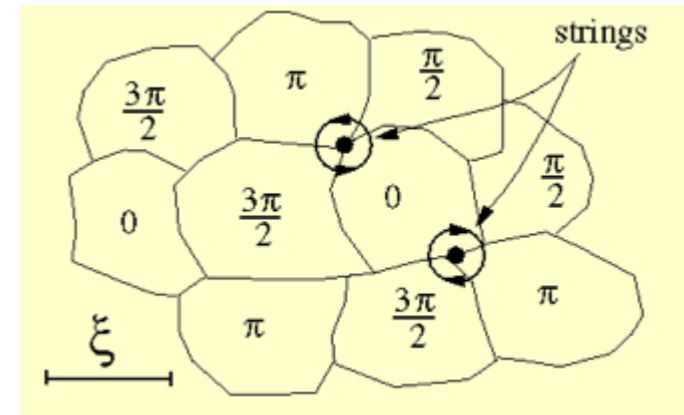
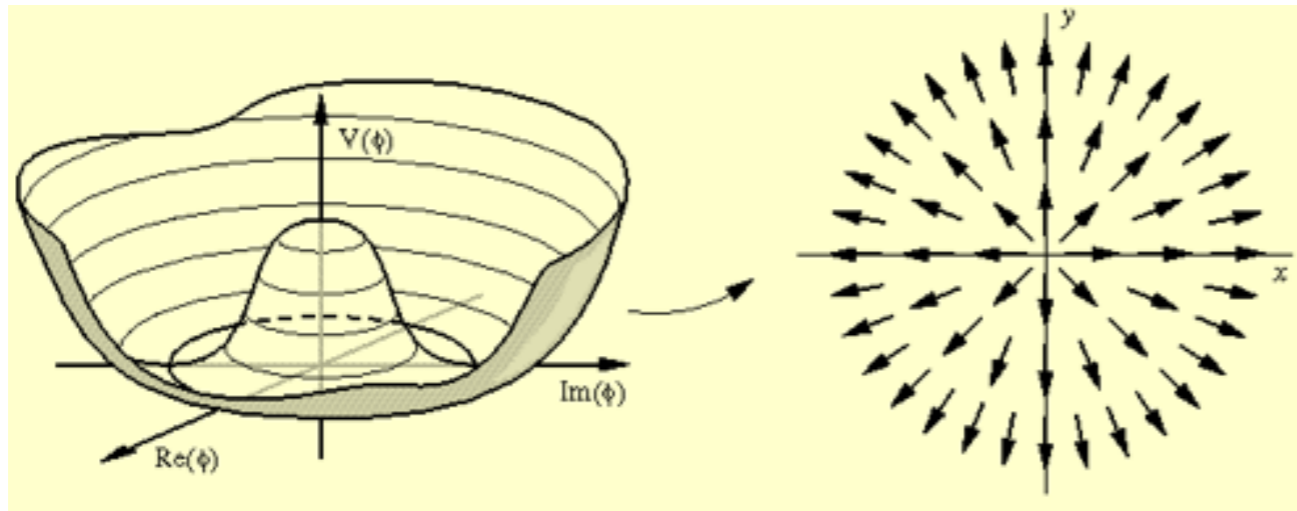
$$+ \underbrace{\sum_{\alpha I} y_{\alpha I} (h \bar{l}_{\alpha, SM}) P_R N_I + h.c.}_{\text{Connection to SM}}$$

$$\frac{n_l - n_{l^c}}{s} \simeq \frac{1}{s(T_{\text{reh}})} \sum_{iI} \epsilon_{iI} \frac{3\zeta(3) |Y_{iI}|^2 T_{\text{nuc}}^3 \langle \phi \rangle^2}{4\pi^2 M_I^2} \times \frac{\text{Br}(N_I \rightarrow hl)}{\text{Br}(N_I \rightarrow hl) + \text{Br}(N_I \rightarrow \chi\phi)}$$

$$\simeq \frac{135\zeta(3) g_\chi}{8\pi^4 g_\star} \sum_I \theta_I^2 \frac{2 \sum_{\alpha, J} \text{Im}(Y_I Y_J^* y_{\alpha J} y_{\alpha I}^*) \text{Im} f_{IJ}^{(hl)}}{|Y_I|^2} \left( \frac{T_{\text{nuc}}}{T_{\text{reh}}} \right)^3 \times \frac{\sum_\alpha |y_{\alpha I}|^2}{\sum_\alpha |y_{\alpha I}|^2 + |Y_I|^2}$$

# Part II: Cosmic Strings, Domain Walls

- Spontaneous breaking of U(1) symmetry (or any other symmetry group containing a vacuum manifold which is not simply connected) at a scale  $v$  can produce cosmic strings (Nielsen, Olesen'73; Kibble'76), characterised by their tension  $\mu \approx v^2$ .



Courtesy: <http://www.ctc.cam.ac.uk>

- Cosmic strings emit GW (Vilenkin'81; Vachaspati, Vilenkin'85; Burden'85++) which are detectable in future experiments if  $v > 10^9$  GeV.
- It is a perfect probe of high-scale physics like
  - U(1) symmetry (Buchmuller, Domcke, Kamada, Schmitz'13; Fornal, Haghi'20; Buchmuller, Domcke, Schmitz'21; Masoud, Rehman, Shafi'21).
  - GUT (Buchmuller, Domcke, Murayama, Schmitz'20; King, Pascoli, Turner, Zhou'21).
  - Non-standard epoch in pre-BBN Universe (Cui, Lewicki, Morrissey, Wells'19).
  - Leptogenesis with U(1) (Dror, Hiramatsu, Kohri, Murayama, White'20; Blasi, Brdar, Schmitz'20; Samanta, Datta'21).
  - Superheavy DM with U(1) (Bian, Liu, Xi'21), sub-TeV DM with U(1) (DB, Das, Saha, Samanta'22).

# Leptogenesis with GW from Cosmic Strings

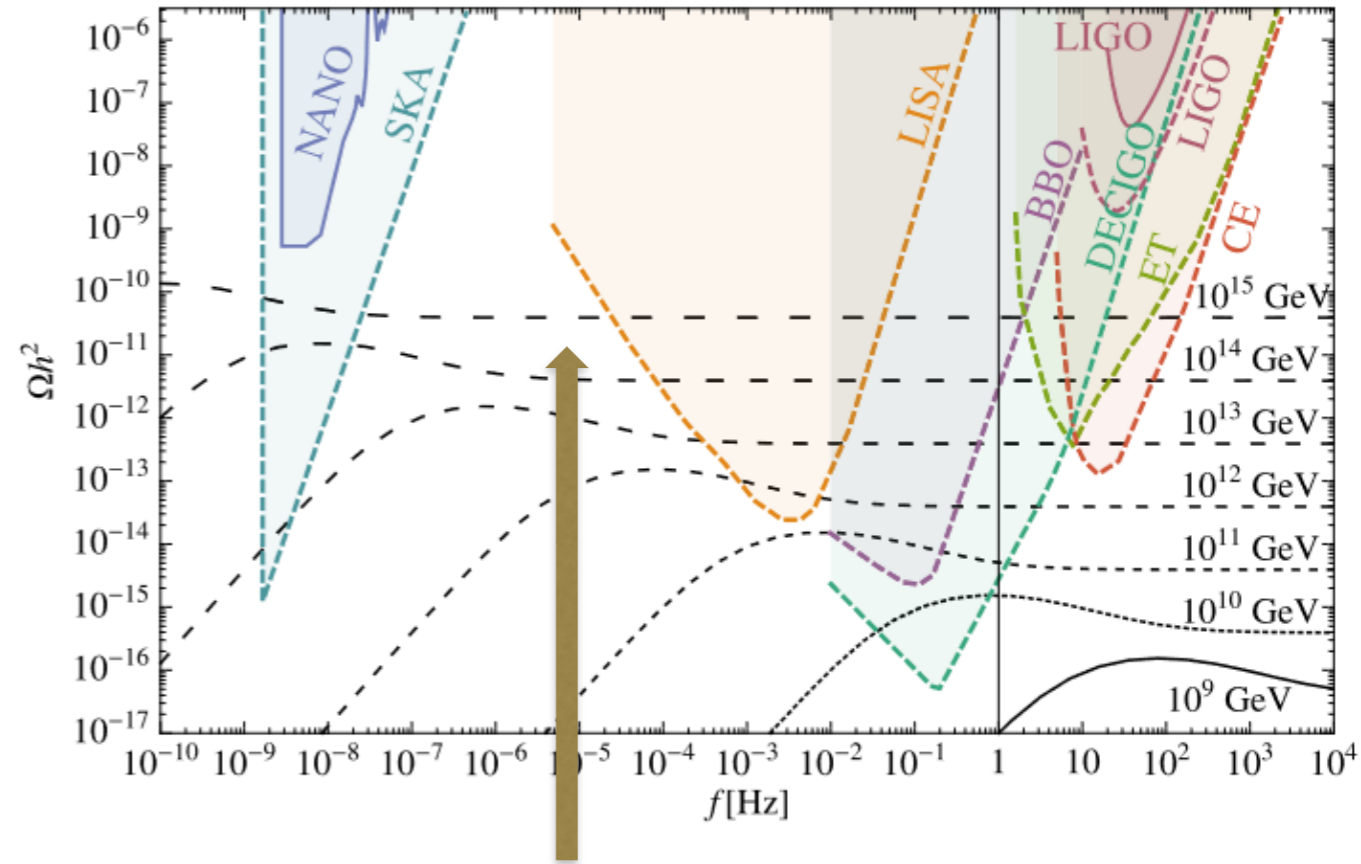
- Cosmic string loops radiate energy as GW leading to shrinking of its length:

$$\frac{dE}{dt} = -\Gamma G\mu^2, \quad l(t) = \alpha t_i - \Gamma G\mu(t - t_i)$$

- GW spectrum (Cui et al'19):

$$\Omega_{\text{GW}} = \frac{f}{\rho_c} \frac{d\rho_{\text{GW}}}{df}, \quad \Omega_{\text{GW}}(f) = \sum_k \Omega_{\text{GW}}^{(k)}(f)$$

$$\Omega_{\text{GW}}^{(k)}(t_0, f) = \frac{2kG\mu^2\Gamma_k}{f\rho_c} \int_{t_{\text{osc}}}^{t_0} dt \left[ \frac{a(t)}{a(t_0)} \right]^5 n(t, l_k)$$



$$\Omega_{\text{GW}}^{(k=1)}(f) = \frac{128\pi G\mu}{9\zeta(\delta)} \frac{A_r}{\epsilon_r} \Omega_r \left[ (1 + \epsilon_r)^{3/2} - 1 \right]$$

$$\epsilon_r = \frac{\alpha}{\Gamma G\mu} \gg 1, \quad \Omega_{\text{GW}}^{k=1}(f) \propto \Lambda_{\text{CS}}$$

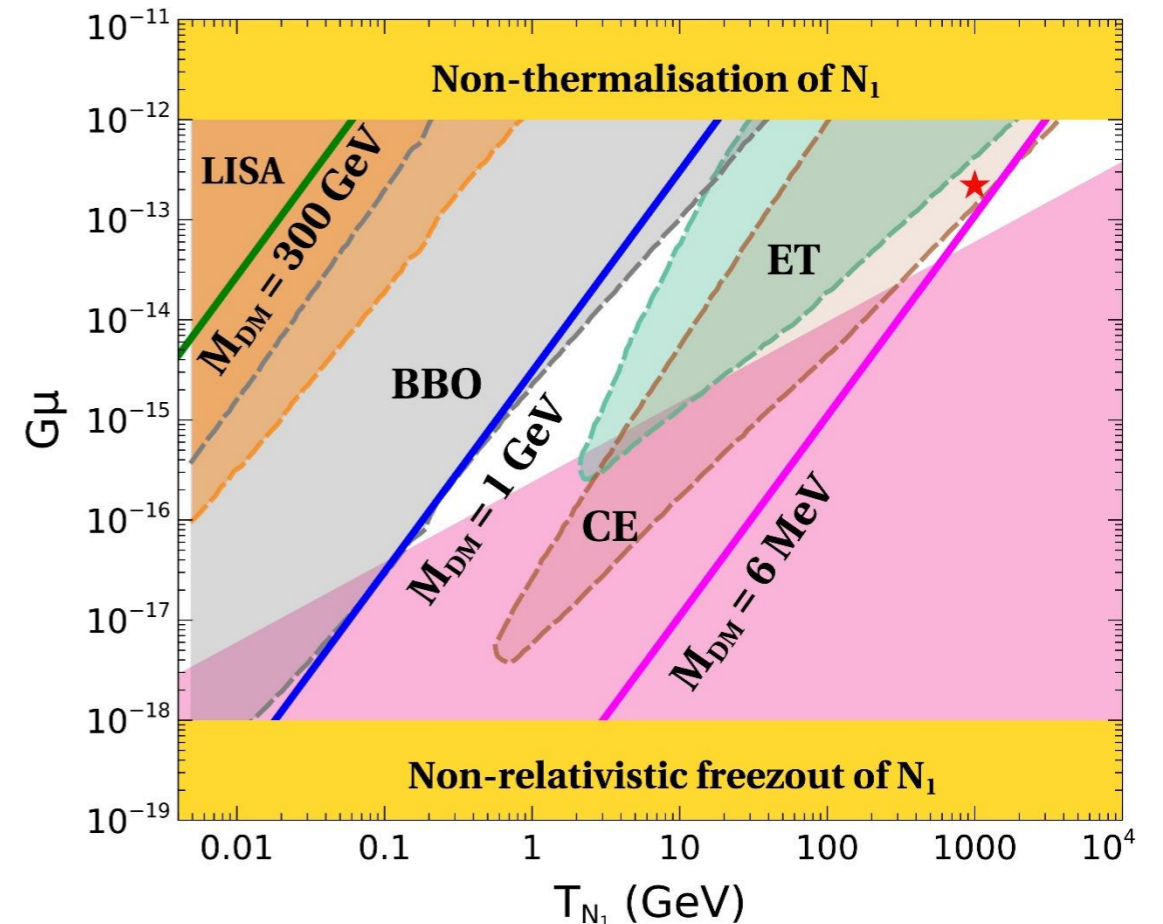
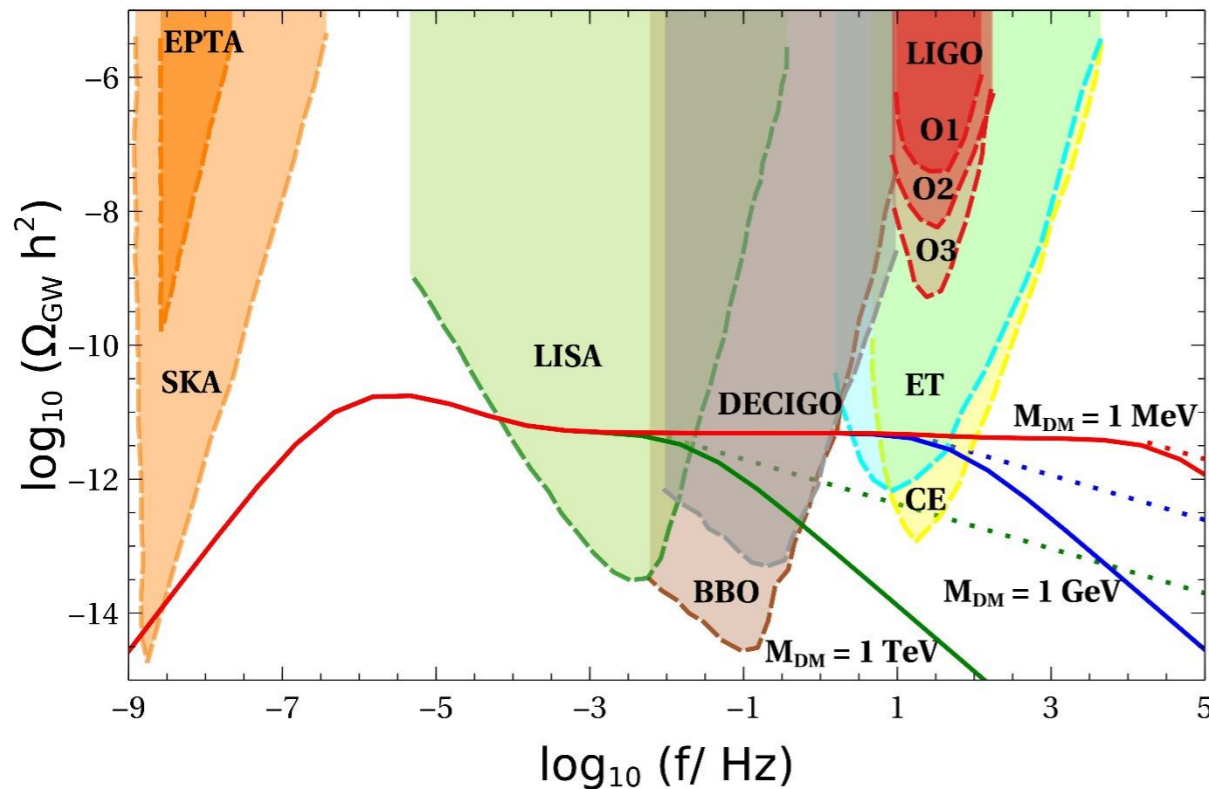
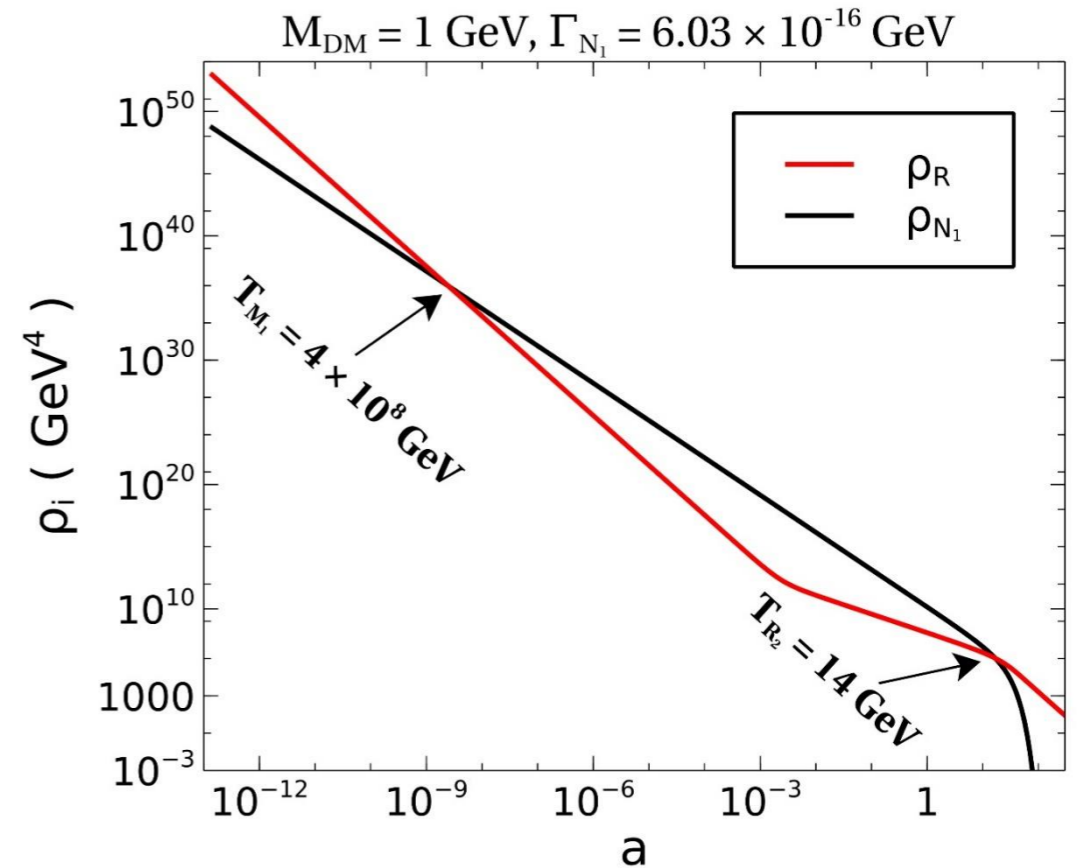
- Consider a gauged B-L setup with three right handed neutrinos (RHN)+ Type-I Seesaw. RHNs make the model anomaly free!
- High scale B-L breaking by  $\phi$  also generates RHN mass enabling thermal leptogenesis.
- Observation of GW with scale-invariant spectrum can probe high scale seesaw/leptogenesis.



# DM with GW from Cosmic Strings

DB, Das, Saha, Samanta'22

- A singlet fermion DM with B-L interactions is thermally overproduced if  $Z'$  is superheavy.
- Its abundance can be brought within limits by entropy dilution (Scherrer, Turner'85) from late decay of one of the RHNs.
- RHN domination and subsequent entropy release leads to distortion in scale-invariant GW spectrum: **one-to-one correspondence between DM mass and turning frequency!**
- The other two RHN's can generate light neutrino mass and also lead to successful leptogenesis



# Domain Walls

Courtesy: <http://www.ctc.cam.ac.uk>

- Spontaneous breaking of discrete symmetries lead to domain wall (DW) formation (Zeldovich, Kobzarev, Okun'74; Kibble'76; Vilenkin'81).

- For example,  $Z_2$ -odd scalar with potential

$$V(\phi) = \frac{\lambda_\phi}{4}(\phi^2 - u^2)^2$$

leads to the formation of DW

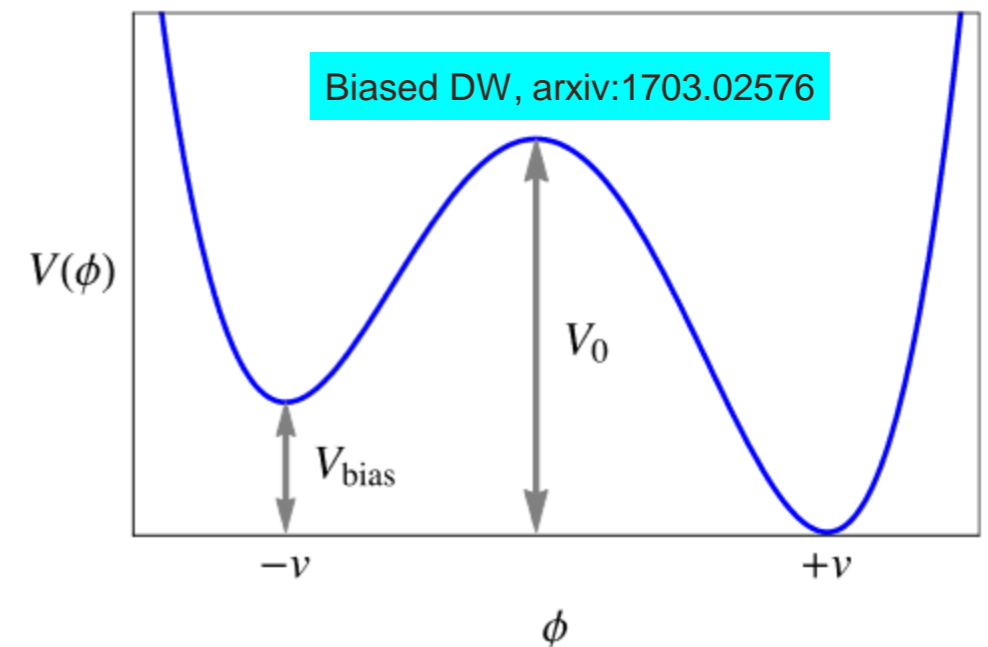
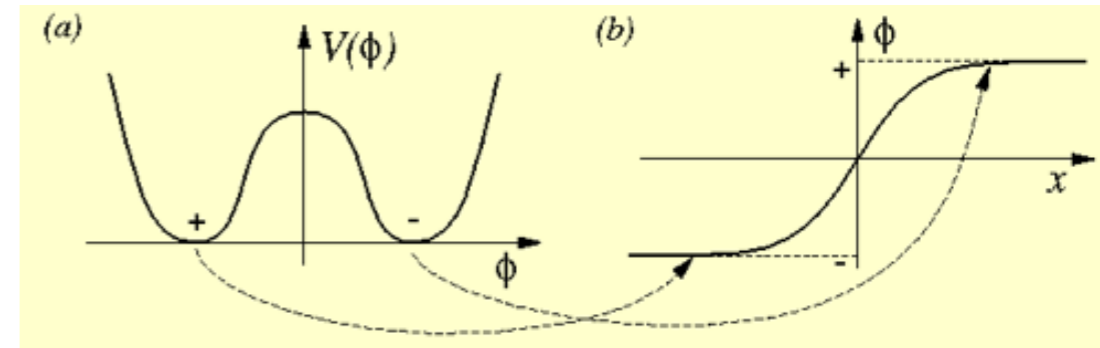
$$\phi(\mathbf{x}) = u \tanh\left(\sqrt{\frac{\lambda_\phi}{2}} u x\right)$$

characterised by

I. Width:  $\delta = m_\phi^{-1} = 1/\sqrt{2\lambda_\phi} u$

II. Tension:  $\sigma = \int_{-\infty}^{\infty} dx \rho_\phi = \frac{2\sqrt{2}}{3} \sqrt{\lambda_\phi} u^3 = \frac{2}{3} m_\phi u^2$

- DW can start dominating the universe soon after formation (scaling regime):  $\rho_{DW} \propto t^{-1}$ ,  $a(t) \propto t^2$  (Press, Ryden, Spergel'89; Hindmarsh'96, '03++).

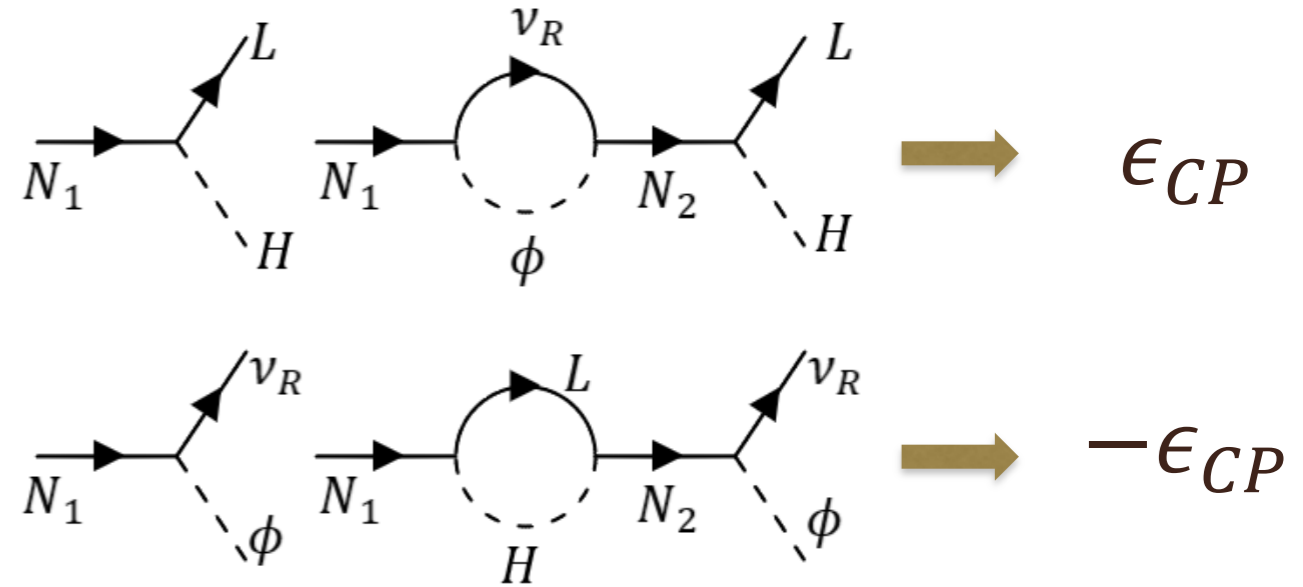


- DW can be made unstable and disappear before dominating, by introducing a bias term (Vilenkin'81; Gelmini, Gleiser, Kolb'89; Larsson, Sarkar, White'97++).
- Such unstable DW can emit stochastic GW (Vilenkin'81; Preskill, Trivedi, Wilczek, Wise'91; Chang, Hagmann, Sikivie'99; Gleiser, Roberts'98; Hiramatsu, Kawasaki, Saikawa'10, '14++).

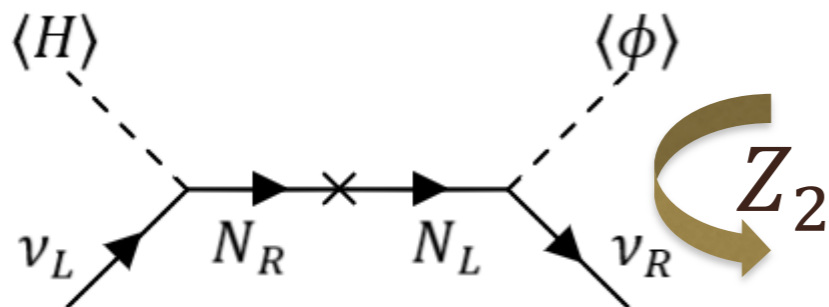
# Leptogenesis with GW from DW

- Minimal Dirac neutrino mass models typically have discrete symmetries, which gets broken spontaneously (hence form DW).
- Lepton asymmetry can be generated by incorporating the idea of Dirac leptogenesis, even with total lepton number conservation (Dick, Lindner, Ratz, Wright'00; Murayama, Pierce'02).
- $Z_2$  symmetry forbids direct coupling of the type  $\bar{L}\tilde{H}\nu_R$  to validate seesaw origin of Dirac neutrino mass.

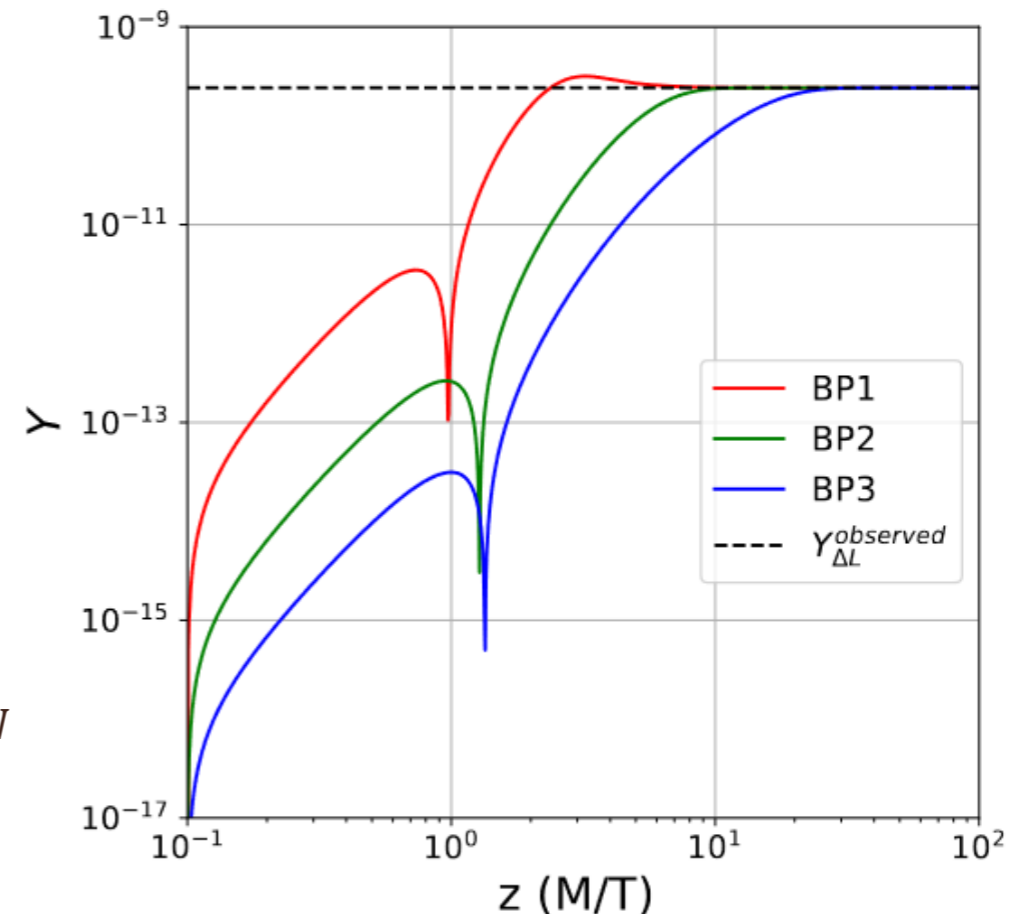
Barman, DB, Dasgupta, Ghoshal'22



$$-\mathcal{L}_Y \supset Y_L \bar{L} \tilde{H} N_R + M_N \bar{N} N + Y_R \bar{N}_L \phi \nu_R + \text{h.c.}$$



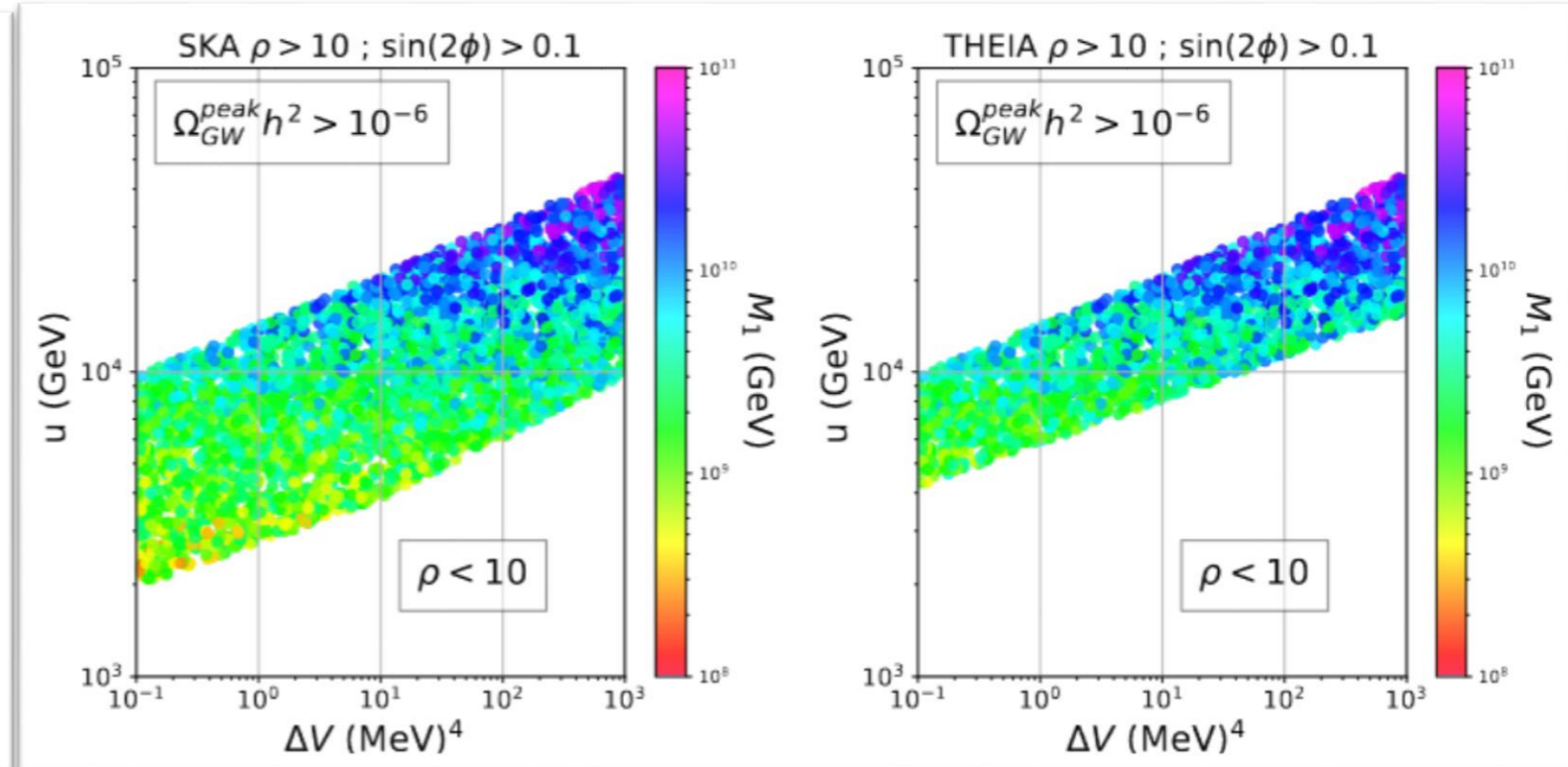
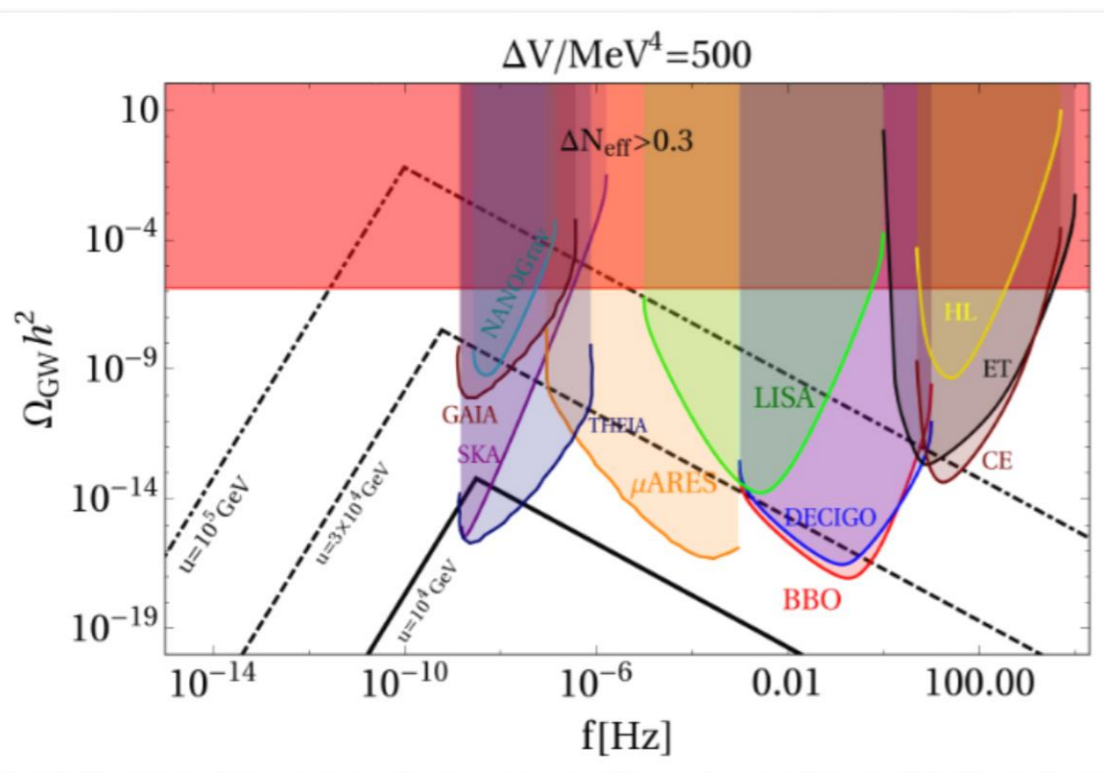
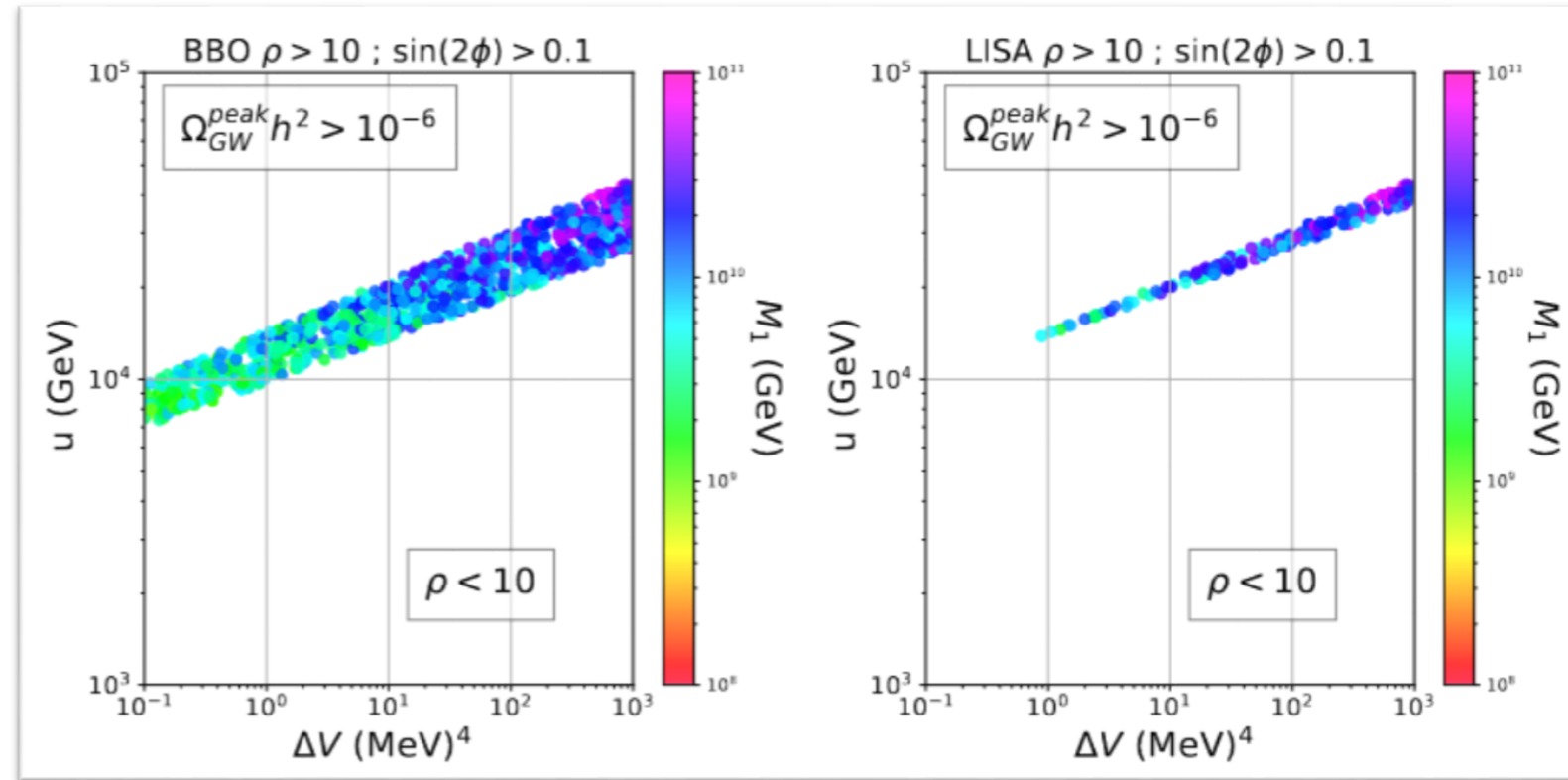
$$m_\nu = Y_L Y_R v_{EW} u / M_N$$



# Leptogenesis with GW from DW

Barman, DB, Dasgupta, Ghoshal'22

- A bias term  $\Delta V$  is introduced to make the DW unstable.
- GW amplitude can be calculated and correlated with scale of Dirac leptogenesis as  $Z_2$  breaking is related to Yukawa's via neutrino mass constraints.
- $\text{SNR} > 10$  can be obtained in most of the GW experiments operating from nano-Hz to Hz regime.



# Part III: Ultra-light PBH Evaporation

For PBH review, see arXiv:2002.12778

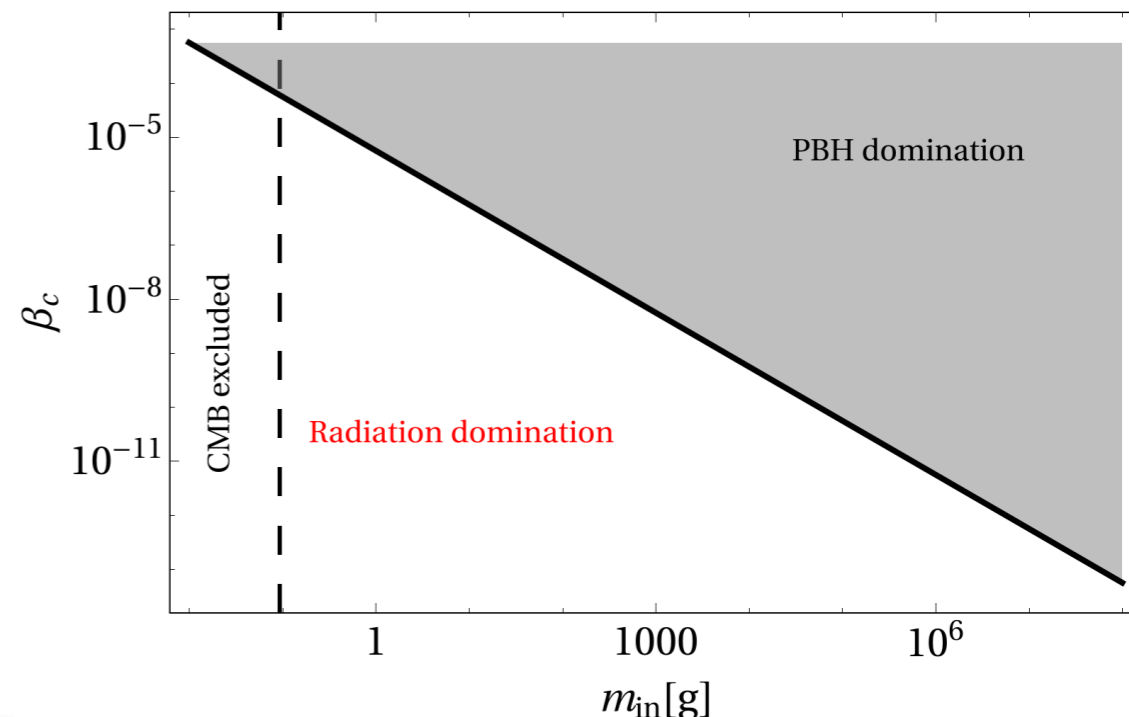
- PBH of a wide mass range can form in the early Universe due to collapse of overdensities (Hawking'71). Typically characterised by initial fraction ( $\beta$ ) and initial mass.

- Ultra-light PBH evaporation can play non-trivial role in Baryogenesis or Leptogenesis:

Hawking'74; Carr'76; Baumann, Steinhardt, Turok'07; Hook'14; Fujita, Kawasaki, Harigaya, Matsuda'14; Hamada, Iso'16; Morrison, Profumo, Yu'18; Hooper, Krnjaic'20; Perez-Gonzalez, Turner'20; Datta, Ghoshal, Samanta'20; Das, Mahanta, DB'21; Barman, DB, Das, Roshan'21, '22; Bernal, Fong, Perez-Gonzalez, Turner'22++

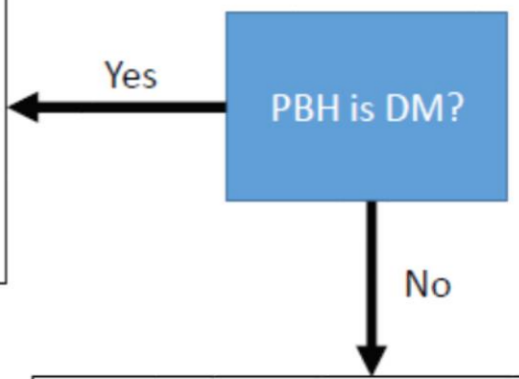
- For Leptogenesis, the desired PBH mass range is:

Upper bound :  $M_{in} \lesssim 2 \times 10^8 \text{ g (BBN)}$      $M_{in} \lesssim 2 \times 10^5 \text{ g (Sphaleron)}$ .



- Must be heavy
- Does not evaporate on cosmological scales
- No obvious connection to BAU

See arxiv:1404.0113, 2110.14660 for exceptions



- Can be light
- Can evaporate on cosmological scales
- Can not create BAU on its own
- Can evaporate into additional particles responsible for BAU

# Leptogenesis from PBH

Thermal + Non-thermal: Need to solve Boltzmann equations. Can either enhance or dilute the asymmetry in pure thermal leptogenesis (Perez-Gonzalez, Turner'20; Das, Mahanta, DB'21; Barman, DB, Das, Roshan'21, '22).

Non-thermal (Fujita, Kawasaki, Harigaya, Matsuda'14):

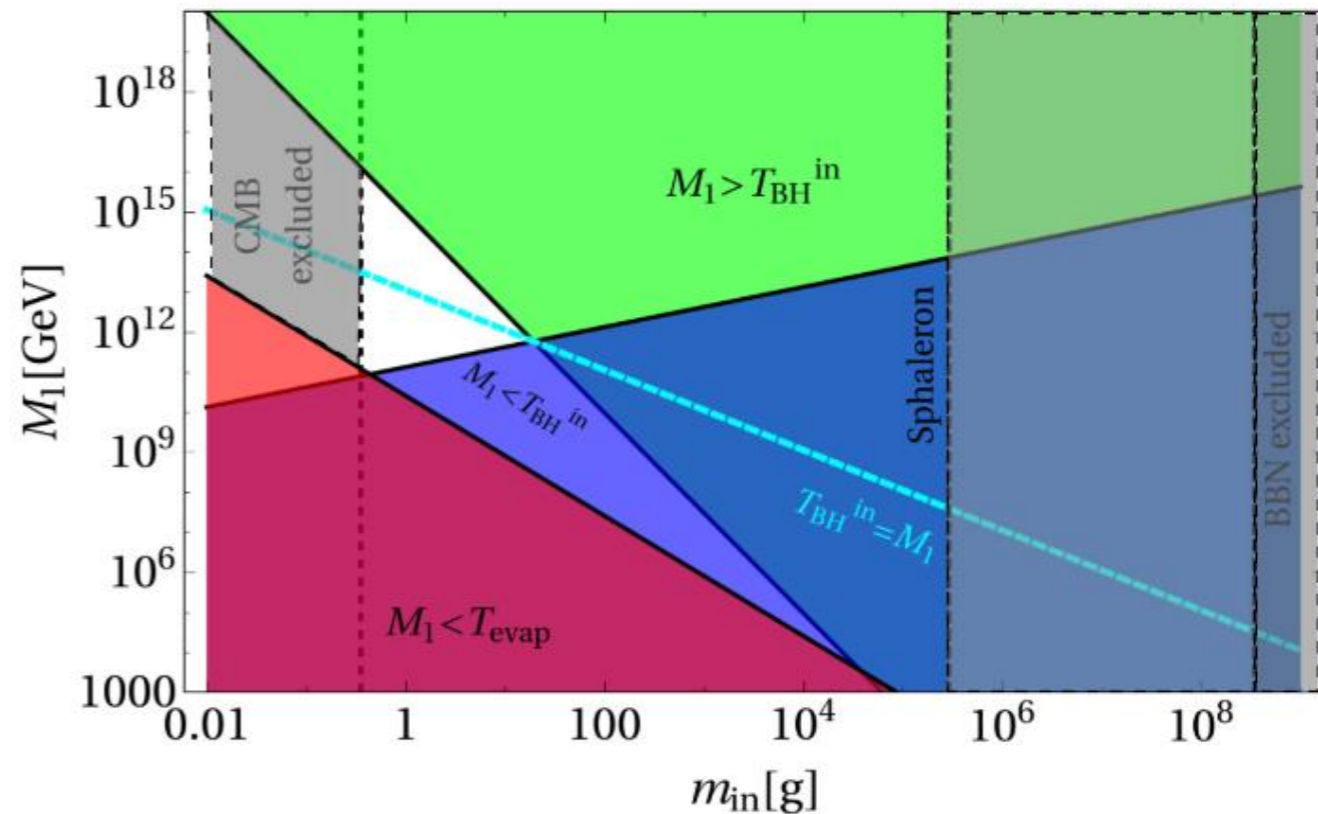
$$(\text{PBH}) \xrightarrow[\times N_\nu]{\text{evaporation}} \begin{pmatrix} \text{right handed} \\ \text{neutrino} \end{pmatrix} \xrightarrow[\times \epsilon]{\text{decay}} \begin{pmatrix} \text{lepton} \\ \text{number} \end{pmatrix} \xrightarrow[\times \kappa]{\text{sphaleron}} \begin{pmatrix} \text{baryon} \\ \text{number} \end{pmatrix}$$

$$\frac{n_B}{s}(T_0) = \mathcal{N} \epsilon_1 a_{\text{sph}} \frac{n_{\text{PBH}}}{s} \Big|_{T_{\text{evap}}}$$

For Type-I seesaw  $\epsilon \lesssim \frac{3}{16\pi} \frac{M_1 m_{\nu, \text{max}}}{v^2}$

$$M_1 \begin{cases} > \frac{2g_\star(T_{\text{BH}})}{a_{\text{sph}} g_N} \frac{M_{\text{pl}}^2 v^2}{m_{\nu, \text{max}} m_{\text{in}}^2} Y_B(T_0) \frac{n_{\text{PBH}}}{s} \Big|_{T_{\text{evap}}} & \text{for } M_1 < T_{\text{BH}}^{\text{in}}; \\ < \frac{a_{\text{sph}} g_N}{128\pi g_\star(T_{\text{BH}})} \frac{M_{\text{pl}}^2 m_{\nu, \text{max}}}{v^2} \frac{1}{Y_B(T_0)} \frac{n_{\text{PBH}}}{s} \Big|_{T_{\text{evap}}} & \text{for } M_1 > T_{\text{BH}}^{\text{in}}, \end{cases}$$

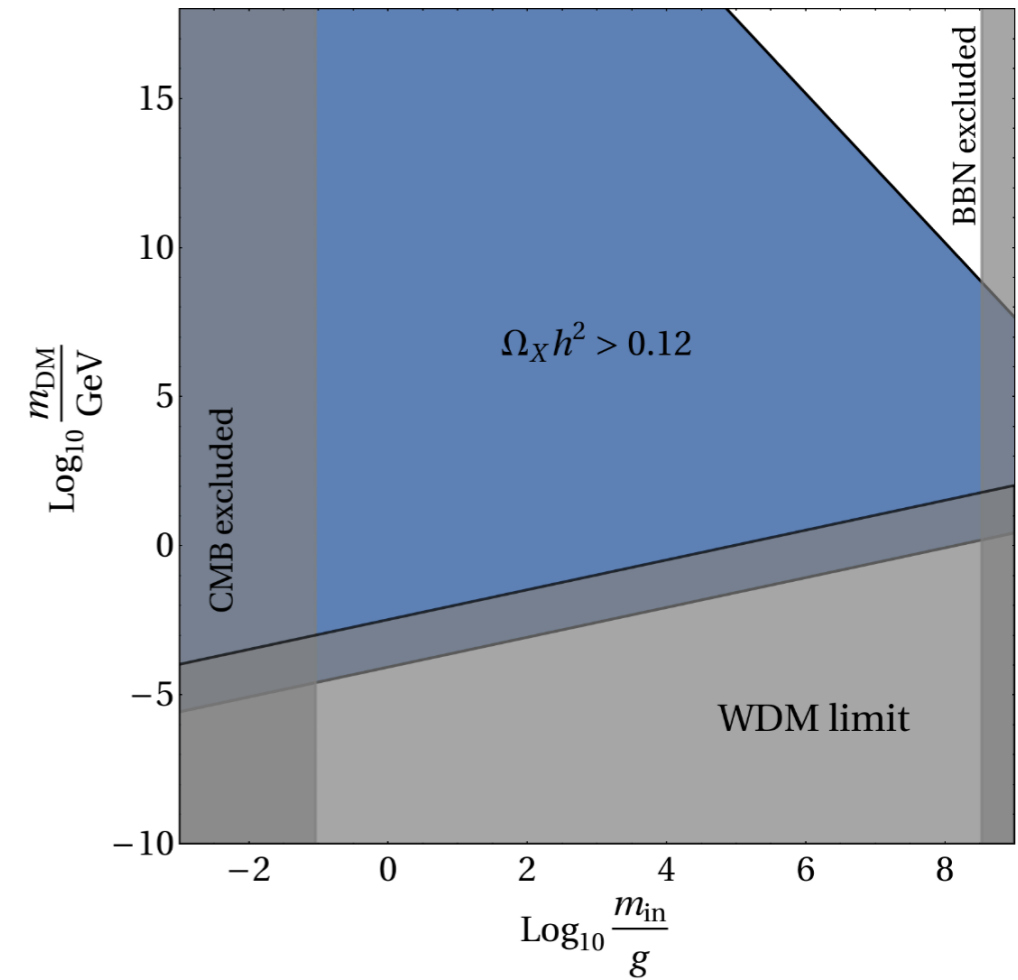
Analogous to Davidson-Ibarra bound in thermal leptogenesis.



# DM & Leptogenesis from PBH

PBH evaporation can also produce DM along with lepton asymmetry: typically leads to overproduction (unless DM is superheavy):

Green'99; Dai, Freese, Stojkovic'09; Allahverdi, Dent, Osinski'17; Lennon, March-Russel, Petrossian-Byrne, Tillim'17; Hooper, Krnjaic, McDermott'19; Gondolo, Sandick, Haggi'20; Bernal, Zapata'20; Cheek, Heurtier, Perez-Gonzalez, Turner'21++

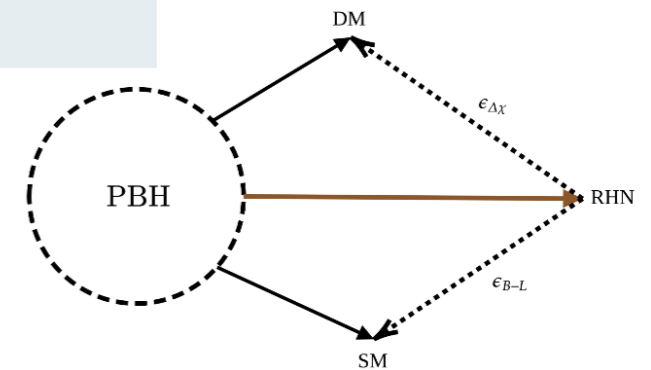


## Possible remedies:

1. Ensure DM freeze-out after PBH evaporation:  $M_{DM} \lesssim 20 T_{evap}$

2. Introduce dark sector asymmetry

3. Late entropy dilution from a long-lived particle produced from PBH



# GW Signatures (PBH)

- GW can originate from the large primordial curvature perturbations which preceded and given rise to the PBHs (Saito, Yokoyama'08; Bugaev, Klimai'09; Nakama, Suyama'15, '16; Cai, Pi, Sasaki'18++)
- GW from the Hawking radiated gravitons (Dong, Kinney, Stojkovic'15++)
- GW from PBH mergers (Hooper, Krnjaic, March-Russel, McDermott, Petrossian-Byrne'20++).
- GW from large scale density perturbations seeded by PBH (Papanikolaou, Vennin, Langlois'20++).
- Doubly peaked induced stochastic GW background in PBH generated baryogenesis models (Bhaumik, Ghoshal, Lewicki'22).

Plenary by James Dent

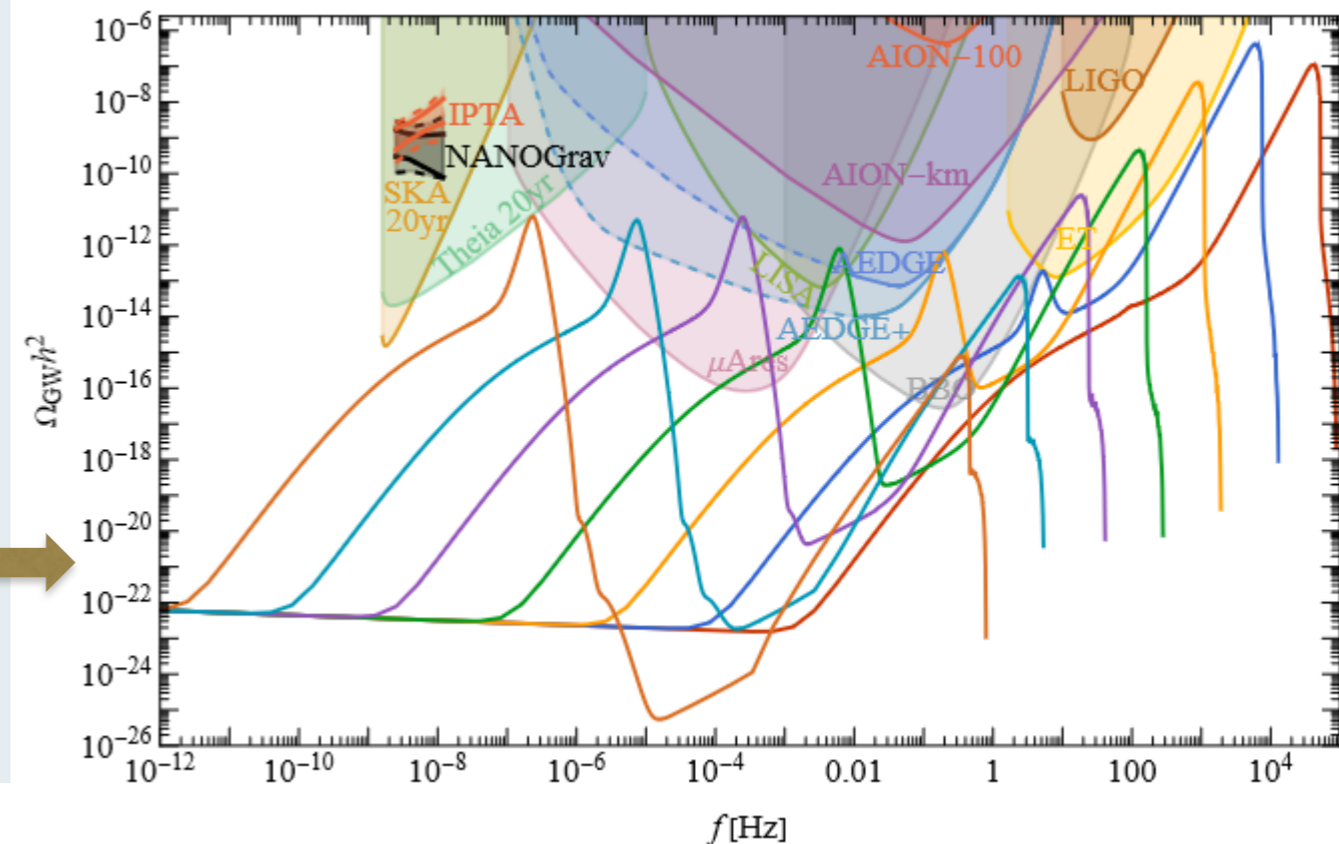
Related Parallel session talks:

GW & Multi-messenger

Astronomy of PBH by Volodymyr Takhistov

Detection of high frequency GW by Jan Schütte-Engel

GW background from PBH by Heling Deng





# Conclusion

- GW offers a complementary probe to different DM and Leptogenesis scenarios. This can be the only probe for high scale leptogenesis and some DM scenarios with superheavy DM or mediator mass, which are difficult to probe at directly.
- Depending upon the particular implementation of leptogenesis, the GW signatures (spectral shape/peak frequency) can vary and remain sensitive at different types of experiments: from PTA based experiments to interferometric ones.
- In addition to FOPT, Cosmic strings, Domain walls and PBH, there exist other interesting frameworks where DM or leptogenesis can have indirect GW signatures. For example,
  - I. The Axiogenesis mechanism can lead to an early matter dominated epoch having the potential to change primordial GW spectrum (Co, Dunskey, Fernandez, Ghalsasi, Hall, Harigaya, Shelton'21)
  - II. Affleck-Dine Leptogenesis (Barrie, Han, Murayama'21) can lead to the formation of Q-balls which, if sufficiently long-lived, can lead to an early matter domination epoch enhancing the primordial GW signal (White, Pearce, Vagie, Kusenko'21).

Thank You