

# High-quality axions in solutions to the $\mu$ problem

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Based on work with Stephen P. Martin, [arXiv:hep-ph/2106.14964](https://arxiv.org/abs/hep-ph/2106.14964)

# The Kim-Nilles mechanism

Consider MSSM (without  $\mu$ -term) + two gauge-singlets  $X$  and  $Y$ :

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with  $m_{\text{soft}}$  TeV scale, and  $M_{\text{int}}$  in the range

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with  $m_{\text{soft}} \sim \text{TeV}$  scale, and  $M_{\text{int}}$  in the range

$$10^9 \text{ GeV} < M_{\text{int}} < 10^{12} \text{ GeV};$$

The low-energy theory now contains:

- $\mu = \frac{m_{\text{soft}}}{M_P} \langle X \rangle \langle Y \rangle$

- An invisible DFSZ-type QCD axion

solving the  $\mu$  problem and the strong CP problem!

# The four base models<sup>†</sup>

Base model	Superpotential terms	PQ charges of ( $X$ ; $Y$ )
$B_I$	$XYH_uH_d + X^3Y$	( 1; 3 )
$B_{II}$	$X^2H_uH_d + X^3Y$	( 1; 3 )
$B_{III}$	$Y^2H_uH_d + X^3Y$	$\frac{1}{3}$ ; 1
$B_{IV}$	$X^2H_uH_d + X^2Y^2$	( 1; 1 )

<sup>†</sup> $B_I$  proposed in H. Murayama, H. Suzuki, T. Yanagida Phys. Lett. B **291**, 418-425 (1992);  $B_{II}$  in K. Choi, E. J. Chun, J. E. Kim hep-ph/9608222;  $B_{III}$ ;  $B_{IV}$  in S. P. Martin hep-ph/0005116;

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In terms of the PQ charges of the MSSM quark and lepton doublets  $Q_q$ ,  $Q_\ell$ .

	$H_u$	$H_d$	$\bar{u}$	$\bar{d}$	$\bar{e}$
PQ charge	$2c^2$	$2s^2$	$2c^2 - Q_q$	$2s^2 - Q_q$	$2s^2 - Q_\ell$

where  $\tan \beta = s/c$  is the ratio of the Higgs VEVs.

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where  $\tan \beta = s/c$  is the ratio of the Higgs VEVs.

$g_A$  **suppressed** in all four base models!

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# Cosmological domain wall problem

$$U(1)_{\text{PQ}} \xrightarrow{\text{PQ breaking}} Z_{N_{\text{DW}}} \text{ discrete symmetry}$$

Domain wall number ( $N_{\text{DW}}$ ): number of discrete set of inequivalent degenerate minima of the axion potential.

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## Problem

Formation of topological defects such as stable DWs, due to the different possible phases of the axion, which dominate the universe<sup>†</sup>

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## Some solutions

- | If PQ breaking happens before inflation
- |  $N_{\text{DW}} = 1$  (**our focus**)

$N_{\text{DW}} \neq 1$  in all four base models.

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# Base model extensions

Consistent with gauge coupling unification, we consider the following extensions:

- |  $5 + \bar{5}$  at TeV or  $M_{\text{int}}$
  - |  $10 + \bar{10}$  at TeV
  - |  $10 + \bar{10}$  at  $M_{\text{int}}$
  - |  $(5 + \bar{5})$  or  $(10 + \bar{10})$  at TeV,  $(5 + \bar{5})$  or  $(10 + \bar{10})$  at  $M_{\text{int}}$
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Here,

$$\begin{aligned}\bar{5} &= \left( \bar{\mathbf{3}}; \mathbf{1}; 1=3 \right)_{\bar{D}} + \left( \mathbf{1}; \mathbf{2}; 1=2 \right)_L \\ 10 &= \left( \mathbf{3}; \mathbf{2}; 1=6 \right)_Q + \left( \bar{\mathbf{3}}; \mathbf{1}; 2=3 \right)_{\bar{U}} + \left( \mathbf{1}; \mathbf{1}; 1 \right)_{\bar{E}}\end{aligned}$$

We allow for different components of the  $5 + \bar{5}$  and/or  $10 + \bar{10}$  to have different mass source terms.

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Here,

$$\bar{5} = \left( \begin{array}{c} \bar{\mathbf{3}}; \mathbf{1}; 1=3 \\ \hline \{Z\} \\ \bar{D} \end{array} \right) + \left( \begin{array}{c} \mathbf{1}; \mathbf{2}; 1=2 \\ \hline \{Z\} \\ L \end{array} \right)$$

$$10 = \left( \begin{array}{c} \mathbf{3}; \mathbf{2}; 1=6 \\ \hline \{Z\} \\ Q \end{array} \right) + \left( \begin{array}{c} \bar{\mathbf{3}}; \mathbf{1}; 2=3 \\ \hline \{Z\} \\ \bar{U} \end{array} \right) + \left( \begin{array}{c} \mathbf{1}; \mathbf{1}; 1 \\ \hline \{Z\} \\ \bar{E} \end{array} \right)$$

We allow for different components of the  $5 + \bar{5}$  and/or  $10 + \bar{10}$  to have different mass source terms.

Extensions with  $N_{\text{DW}} = 1$  give rise to **enhanced** low-energy axion couplings!

# Vectorlike mass terms

Assuming the same mechanism that gives a  $\mu$  term also gives masses to vectorlike pairs of chiral superfields  $\Phi + \bar{\Phi}$ .

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TeV scale masses:

$$W_{\text{mass}} = \begin{aligned} & \supseteq \frac{\phi}{M_P} XY\Phi\bar{\Phi}; \\ & \supseteq \frac{\phi}{2M_P} X^2\Phi\bar{\Phi}; \\ & \supseteq \frac{\phi}{2M_P} Y^2\Phi\bar{\Phi}; \end{aligned}$$

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Intermediate scale masses:

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Mass terms fix the PQ charge of the terms  $\Phi\bar{\Phi}$  which in turn fix the low-energy axion couplings, independent of the Yukawa terms.

# The axion quality problem

## Problem

Higher dimensional operators from quantum gravity can explicitly violate global  $U(1)_{PQ}$  and reintroduce the strong CP problem

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In our case, consider

$$W = \frac{X^j Y^{p-j}}{M_P^p}$$

that contributes to the axion potential (with soft terms), giving rise to:

$$j_{\text{eff}} = \frac{f_A^{p+2}}{(0.0754 \text{ GeV})^4 M_P^p}$$

with a dimensionless quantity  $j_{\text{eff}}$ , and  $f_A$  identified with  $M_{\text{int}}$ .

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## Solution

We find that  $X^j Y^p$  with  $p < 7$  should be forbidden for  $j_{\text{eff}} < 10^{-10}$

# Non- $R$ and $R$ discrete $Z_n$ symmetries

	gauginos	$W$	chiral superfield $\Phi$	fermion in $\Phi$
$Z_n^R$ charge (mod $n$ )	$r$	$2r$	$Z_\Phi$	$Z_\Phi - r$

For non- $R$  symmetry  $r = 0$ , and for  $R$ -symmetry  $0 < r < n-2$ .

In both cases,  $Z_\Phi = 0; 1; \dots; n-1$ .

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With a normalization where  $Z_n^R = G_{SM}$   $G_{SM}$  anomalies are integers, we impose the following anomaly-free conditions:<sup>†</sup>

$$A_2 = A_3 = 0 \pmod{n};$$

for the weaker condition

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for the weaker condition, with the additional stronger condition

$$A_1 = 5A_3 = 0 \pmod{n};$$

which does not require the Green-Schwarz (GS) mechanism if  $\gamma_{GS} = 0$ .

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# Examples with non- $R$ $Z_n$ symmetries: Base models

Stronger constraints with  $\zeta_{\text{GS}} \neq 0$ : (Here,  $m = 0; 1; 2$ )

Model	$Z_n$	$X$	$H_u$	$\rho$	GS
B <sub>III</sub>	36	1	$8 + 12m$	12	18
B <sub>IV</sub>	36	3	4	8	18

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**Weaker constraint** with  $g_{GS} \neq 0$ : Lots of cases, e.g., a  $Z_{22}$  symmetry<sup>†</sup>

Model	$Z_n$	$X$	$H_u$	$\rho$	$g_{GS}$
B <sub>IV</sub>	22	2	2	11	12

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# Examples with $Z_n^R$ symmetries: Base models

Stronger constraints with  $g_S = 0$ : Some examples,

Model	$Z_n^R$	$r$	$X$	$H_u$	$p$
B <sub>III</sub>	54	3	5	$1 + 18m$	10
B <sub>IV</sub>	12	1	8	$1 + 4m$	7

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**Stronger constraints** with  $g_S \neq 0$ : As a special case, we found a  $Z_{24}^R$  symmetry with  $SU(5)$  invariance<sup>†</sup>

Model	$Z_n^R$	$r$	$X$	$H_u$	$p$	$g_S$
B <sub>II</sub>	24	1	11	1	10	18
B <sub>III</sub>	24	1	5	1	10	18

We do not impose  $SU(5)$  invariance.

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# Examples with $Z_n^{(R)}$ symmetries: Base model extensions

**Stronger constraints:** Examples,

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B <sub>I</sub>	$XYD\bar{D} + X^2L\bar{L}$	34	1	31	15	12	16
B <sub>II</sub>	$Y^2D\bar{D} + Y^2L\bar{L}$	108	6	11	$22 + 36m$	20	0
B <sub>III</sub>	$X^2Q\bar{Q} + X^2U\bar{U} + Y^2E\bar{E}$	42	0	1	$8 + 14m$	14	18
B <sub>IV</sub>	$XDD\bar{D} + YLL\bar{L}$	20	0	1	8	12	5

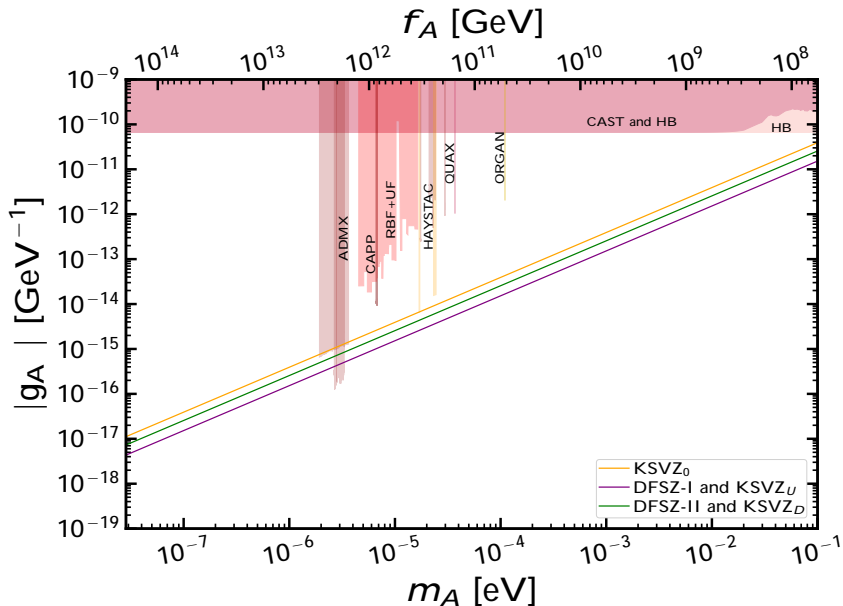
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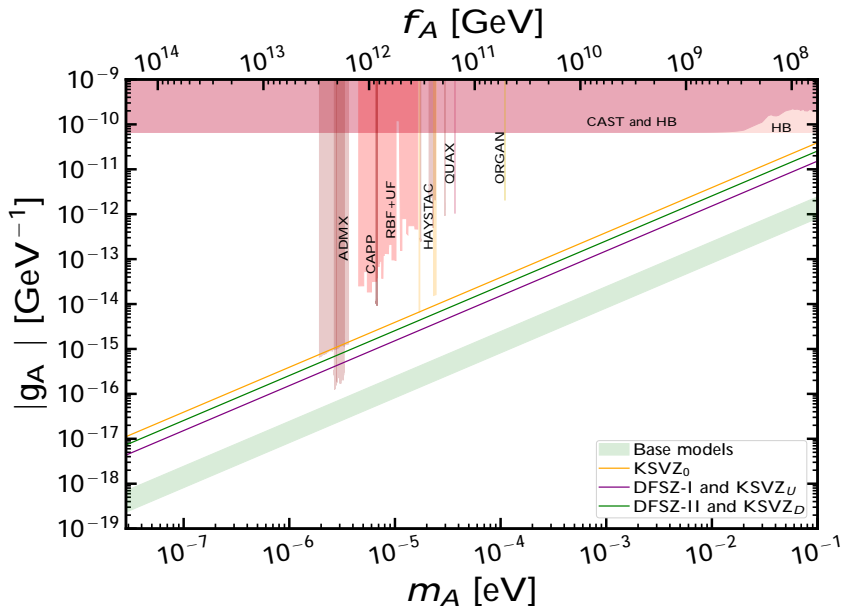
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Can find an anomaly-free  $Z_n^{(R)}$  symmetry protecting  $U(1)_{PQ}$  for each model thus giving rise to a high-quality axion!

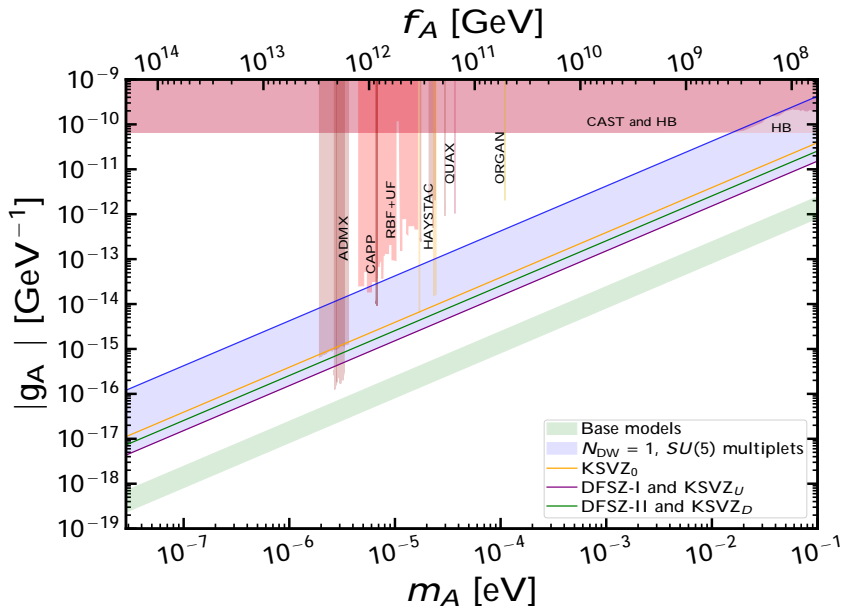
# Axion-photon coupling (limits)



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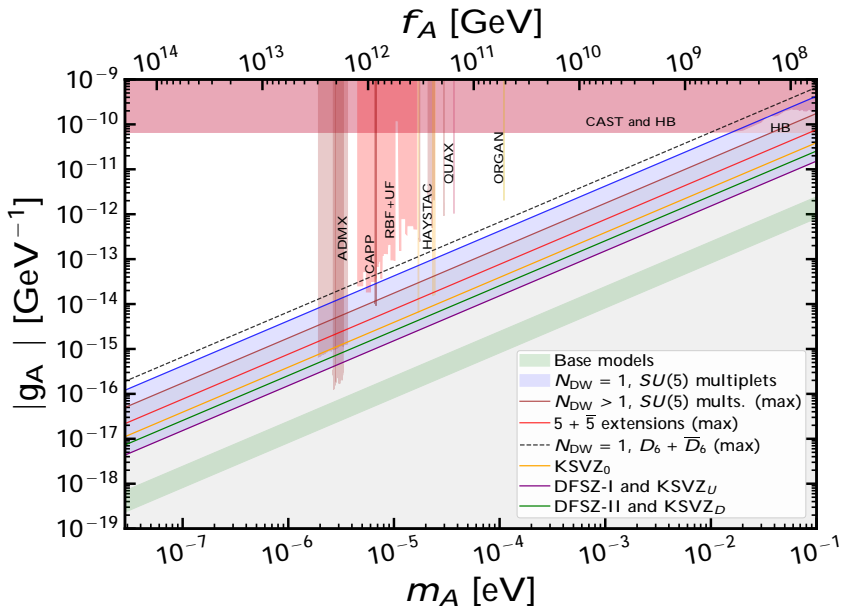


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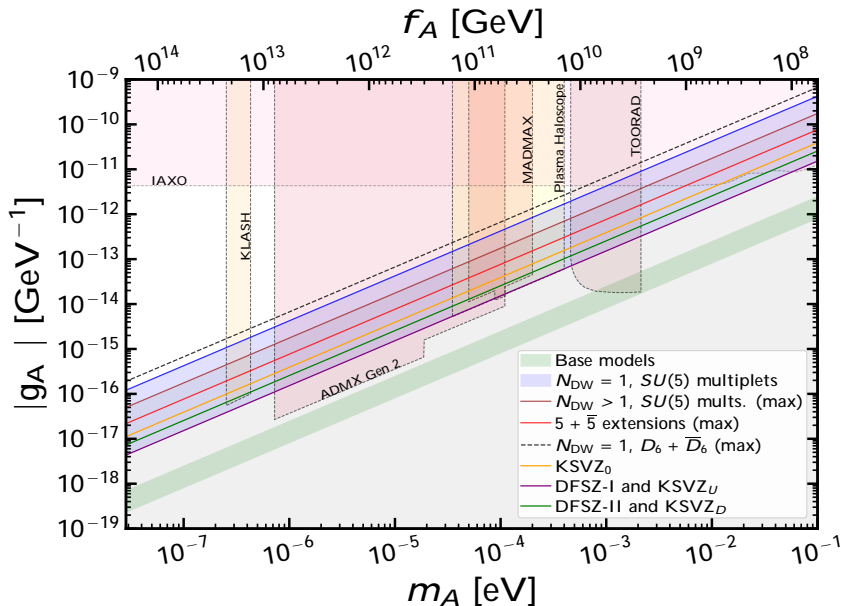




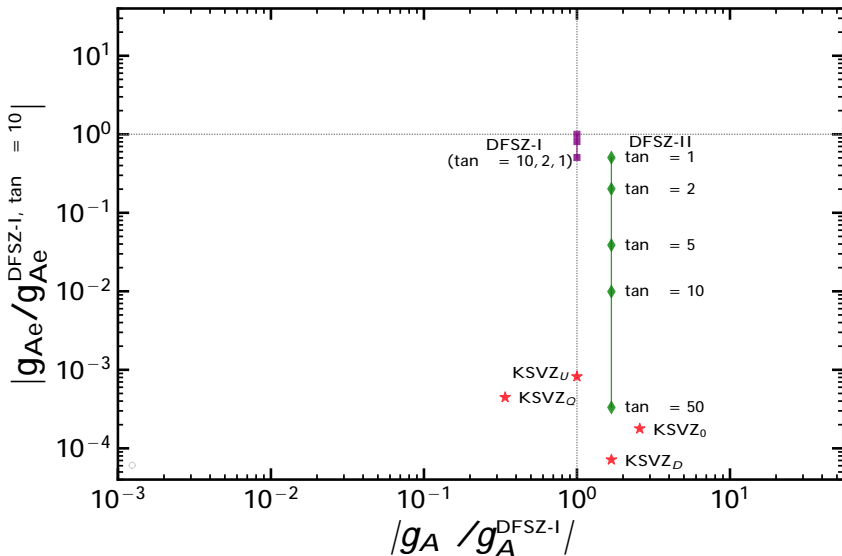
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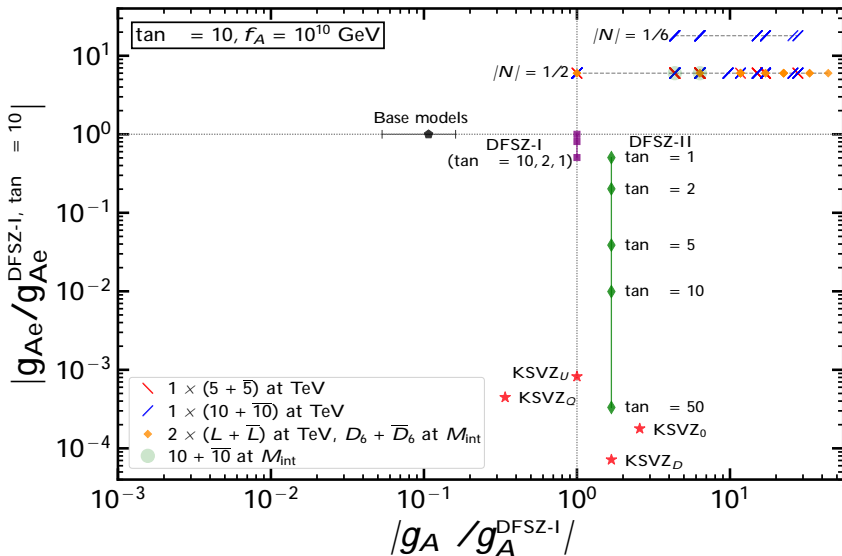
# Axion-photon coupling (projections)

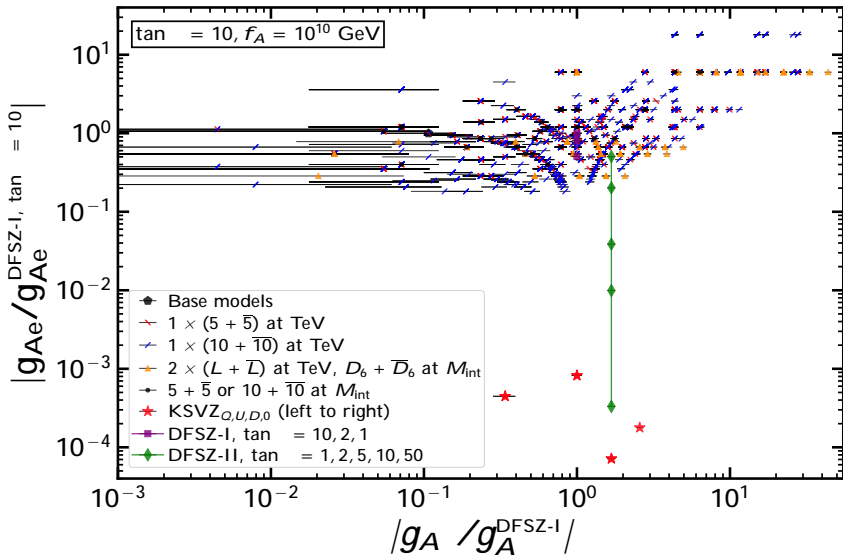


$jg_{Ae}/g_{Ae}^{\text{DFSZ-I, tan}=10} = 10^j$  vs.  $jg_A/g_A^{\text{DFSZ-I}}$



$jg_{Ae}/g_{Ae}^{\text{DFSZ-I, tan}=10}$  vs.  $jg_A/g_A^{\text{DFSZ-I}}$





Supersymmetry by itself addresses the electroweak hierarchy puzzle.

We considered extensions with extra vectorlike content that:

- | have high-quality QCD axions within the reach of future axion searches
- | simultaneously solve the  $\mu$  problem
- | evade cosmological domain wall problem
- | maintain gauge coupling unification

# BACKUP SLIDES

# Lightning review: The strong CP problem

Non-trivial QCD vacuum structure requires the term:

$$L_{\text{QCD}} = \frac{g_s^2}{32\pi^2} G^a \tilde{G}^a ;$$

where the QCD vacuum angle  $\theta$  is expected to be  $O(1)$ .

“Everything not forbidden is compulsory.”

However, experimentally:

$$|\theta| < 10^{-10};$$

Why so small? **strong CP problem**

Peccei-Quinn (PQ) solution: promote  $\theta$  to a dynamical field



# Lightning review: Peccei-Quinn (PQ) solution

Consider a global  $U(1)_{PQ}$  axial symmetry:

$$@ j_{PQ} = \underbrace{\frac{g_s^2 N}{16\pi^2} G^a \tilde{G}^a}_{\text{QCD anomaly}} + \underbrace{\frac{e^2 E}{16\pi^2} F \tilde{F}}_{\text{EM anomaly}} ;$$

with left-handed fermions with PQ charge  $Q_f$ ,  $SU(3)_c$  index  $T(R_f)$ , and EM charge  $q_f$  contributing to:

$$N = \text{Tr}[Q_f T(R_f)] ;$$

$$E = \text{Tr} Q_f q_f^2 ;$$

$U(1)_{PQ}$  can be spontaneously broken by scalars with PQ charge  $Q_s$

$$' s \quad \frac{V_s}{2} e^{ia_s = v_s} ;$$

With  $V^2 = \sum_s Q_s^2 v_s^2$ , the axion field is given by:

$$A = \frac{1}{V} \sum_s Q_s v_s a_s ;$$

Ensuring the axion is massless at tree-level by imposing:

$$\sum Y_s Q_s v_s^2 = 0;$$

where  $Y_s$ : weak hypercharge of  $\psi_s$ .

QCD vacuum term now becomes:

$$\mathcal{L}_{\text{QCD}} + \frac{A}{f_A} \frac{g_s^2}{32\pi^2} G^a \tilde{G}^a ;$$

with the axion decay constant

$$f_A = \frac{V}{2N};$$

Under  $U(1)_{\text{PQ}}$  transformations:

$$A \rightarrow A + (\text{constant}) f_A;$$

Thus solving the strong CP problem.

# Lightning review: Low-energy axion couplings

$$L_{\text{int}}^A = \frac{1}{4} g_A A F \tilde{F} \quad \times \quad \sum_{f=e;n;p} i g_{Af} A \bar{\Psi}_f \Psi_f$$

where,

$$g_A = \frac{e}{2 f_A} (c \approx 1.92(4));$$

$$g_{Ae} = \frac{m_e}{f_A} c_e + \frac{3}{4} \frac{e^2}{f_A^2} c \log \frac{f_A}{m_e} \approx 1.92(4) \log \frac{\text{GeV}}{m_e};$$

$$g_{An} = \frac{m_n}{f_A} (0.02(3) + 0.833(30)c_d - 0.406(21)c_u);$$

with

$$c = \frac{E}{N}; \quad c_e = \frac{Q_p + Q_{\bar{e}}}{2N}; \quad c_u = \frac{Q_q + Q_{\bar{u}}}{2N}; \quad c_d = \frac{Q_q + Q_{\bar{d}}}{2N};$$

Axion can accidentally decouple from photons if  $E = N \approx 1.92$ .

# Non-supersymmetric benchmark QCD axion models<sup>†</sup>

Benchmark	PQ charged fermions	$N$	$C$	$C_U$	$C_D$	$C_e$
KSVZ <sub>0</sub>	$(\mathbf{3}; \mathbf{1}; 0) + (\bar{\mathbf{3}}; \mathbf{1}; 0)$	$\frac{1}{2}$	0	0	0	0
KSVZ <sub>D</sub>	$D + \bar{D}$	$\frac{1}{2}$	$\frac{2}{3}$	0	0	0
KSVZ <sub>U</sub>	$U + \bar{U}$	$\frac{1}{2}$	$\frac{8}{3}$	0	0	0
KSVZ <sub>Q</sub>	$Q + \bar{Q}$	1	$\frac{5}{3}$	0	0	0
DFSZ-I	SM fermions	3	$\frac{8}{3}$	$\frac{C_\beta^2}{3}$	$\frac{s_\beta^2}{3}$	$\frac{s_\beta^2}{3}$
DFSZ-II	SM fermions	3	$\frac{2}{3}$	$\frac{C_\beta^2}{3}$	$\frac{s_\beta^2}{3}$	$\frac{C_\beta^2}{3}$

where  $\tan \beta = s_\beta / c_\beta$  is the ratio of Higgs VEVs in the DFSZ models.

<sup>†</sup>J. E. Kim Phys. Rev. Lett. **43**, 103 (1979); M. A. Shifman, A. I. Vainshtein, V. I. Zakharov Nucl. Phys. B **166**, 493-506 (1980); M. Dine, W. Fischler, M. Srednicki Phys. Lett. B **104**, 199-202 (1981); A. R. Zhitnitsky Sov. J. Nucl. Phys. **31**, 260 (1980)

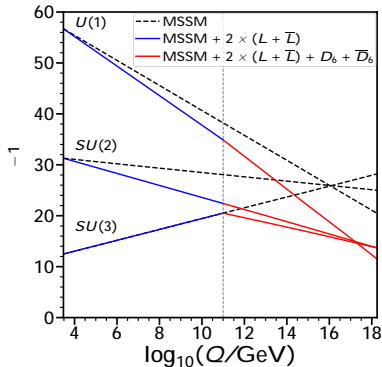
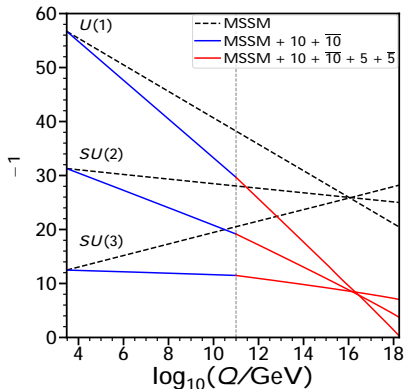
Consistent with gauge coupling unification, we can also consider

**$D_6LL$  models:** 2  $(L + \bar{L})$  at TeV,  $D_6 + \bar{D}_6$  at  $M_{\text{int}} \sim 10^{11}$  GeV ( $N_{\text{DW}} = 1$  possible)

where

$D_6 + \bar{D}_6 = (\mathbf{6}; \mathbf{1}; 1=3) + (\bar{\mathbf{6}}; \mathbf{1}; 1=3)$  is an exotic quix pair

# Gauge coupling unification



# $N_{DW} = 1$ in base model extensions

$$N_{DW} = \text{minimum integer } 2N \times \frac{n_s Q_s V_s^2}{V^2};$$

where  $n_s \in \mathbb{Z}$ .<sup>†</sup> Using the above formula:

$$N_{DW} = \begin{cases} \text{minimum integer } j2Nn_xj \text{ in } B_I, B_{II}, B_{IV}, \text{ and extensions;} \\ \text{minimum integer } j6Nn_xj \text{ in } B_{III} \text{ and extensions;} \end{cases}$$

Clearly,  $N_{DW} \neq 1$  in all four base models.

In the base model extensions,

$$\text{For } N_{DW} = 1: \quad N = \begin{cases} \frac{1}{2} \text{ in model extensions of } B_I, B_{II}, \text{ and } B_{IV}; \\ \frac{1}{6} \text{ in model extensions of } B_{III}; \end{cases}$$

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<sup>†</sup>See A. Ernst, A. Ringwald, C. Tamarit 1801.04906

# Lower bound on the axion decay constant

The red giant bound on the axion-electron coupling

$$g_{Ae} > 1.3 \cdot 10^{-13};$$

sets the most stringent astrophysical constraint throughout our supersymmetric DFSZ axion model space:

$$f_A > \frac{\sin^2}{jNj} (3.9 \cdot 10^9 \text{ GeV}):$$

For large  $\tan \beta$ , the lower bound on the axion decay constant for

$$jNj = 1=6 : f_A \gtrsim 2.3 \cdot 10^{10} \text{ GeV};$$

$$jNj = 1=2 : f_A \gtrsim 7.8 \cdot 10^9 \text{ GeV};$$

$$jNj = 3 : f_A \gtrsim 1.3 \cdot 10^9 \text{ GeV};$$



# B and L violating operators

Renormalizable operators:

$$W_{L\text{-violating}} = H_u \bar{e} + q \bar{d} + \bar{e} \bar{e}; \quad W_{B\text{-violating}} = \bar{u} \bar{d} \bar{d}:$$

The most common way of avoiding rapid proton decay due to these operators is to impose  $R$ -parity.

There are also non-renormalizable operators that mediate proton decay:

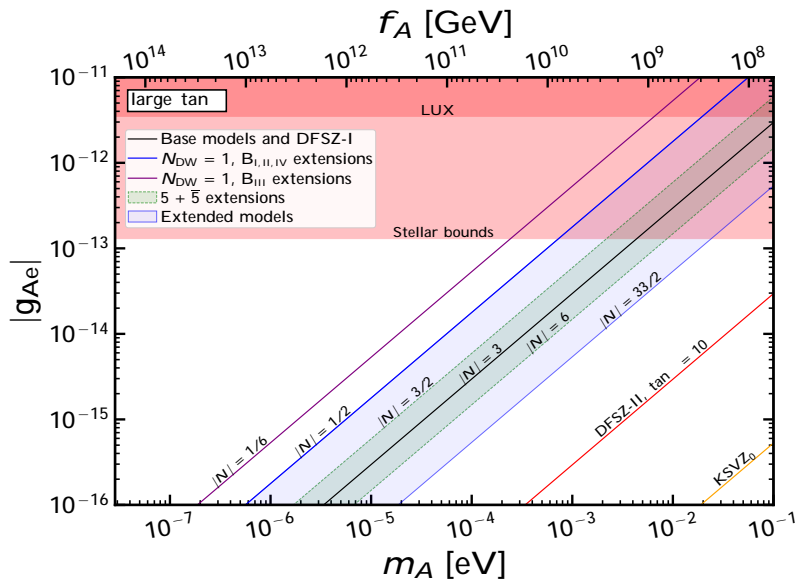
$$W = \frac{1}{M_P} q q q \bar{e} + \frac{1}{M_P} \bar{u} \bar{u} \bar{d} \bar{e}:$$

The discrete charges  $Z_O$   $2r$  charges:

$O$	$B_I$	$B_{II}, B_{IV}$	$B_{III}$
$H_u \bar{e}$	$r$	$r$	$r$
$\bar{e}, q \bar{d}$	$2x + r$	$2x - r$	$6x + 3r$
$\bar{u} \bar{d} \bar{d}$	$h - 4x + 4r$	$h + 4x$	$h - 12x + 8r$
$q q q \bar{e}$	$h - r$	$h - r$	$h - r$
$\bar{u} \bar{u} \bar{d} \bar{e}$	$h - 4x + 5r$	$h + 4x + r$	$h - 12x + 9r$

Here,  $x; h$  are the  $Z_n^R$  charges of  $X; H_U$  superfields.

# Axion-electron coupling



# Axion-neutron coupling

