

# Effective field theory approach to neutrino-less double beta decay

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# Outline

- Introduction: neutrino mass, Lepton Number, and  $0\nu\beta\beta$  decay
- ‘End-to-end’ EFT framework for LNV and  $0\nu\beta\beta$ 
  - $0\nu\beta\beta$  from **high-scale dynamics** (LNV @ dim 5)
  - $0\nu\beta\beta$  from **(multi)TeV-scale dynamics** (LNV @ dim 7, 9, ...)
- Conclusions & outlook

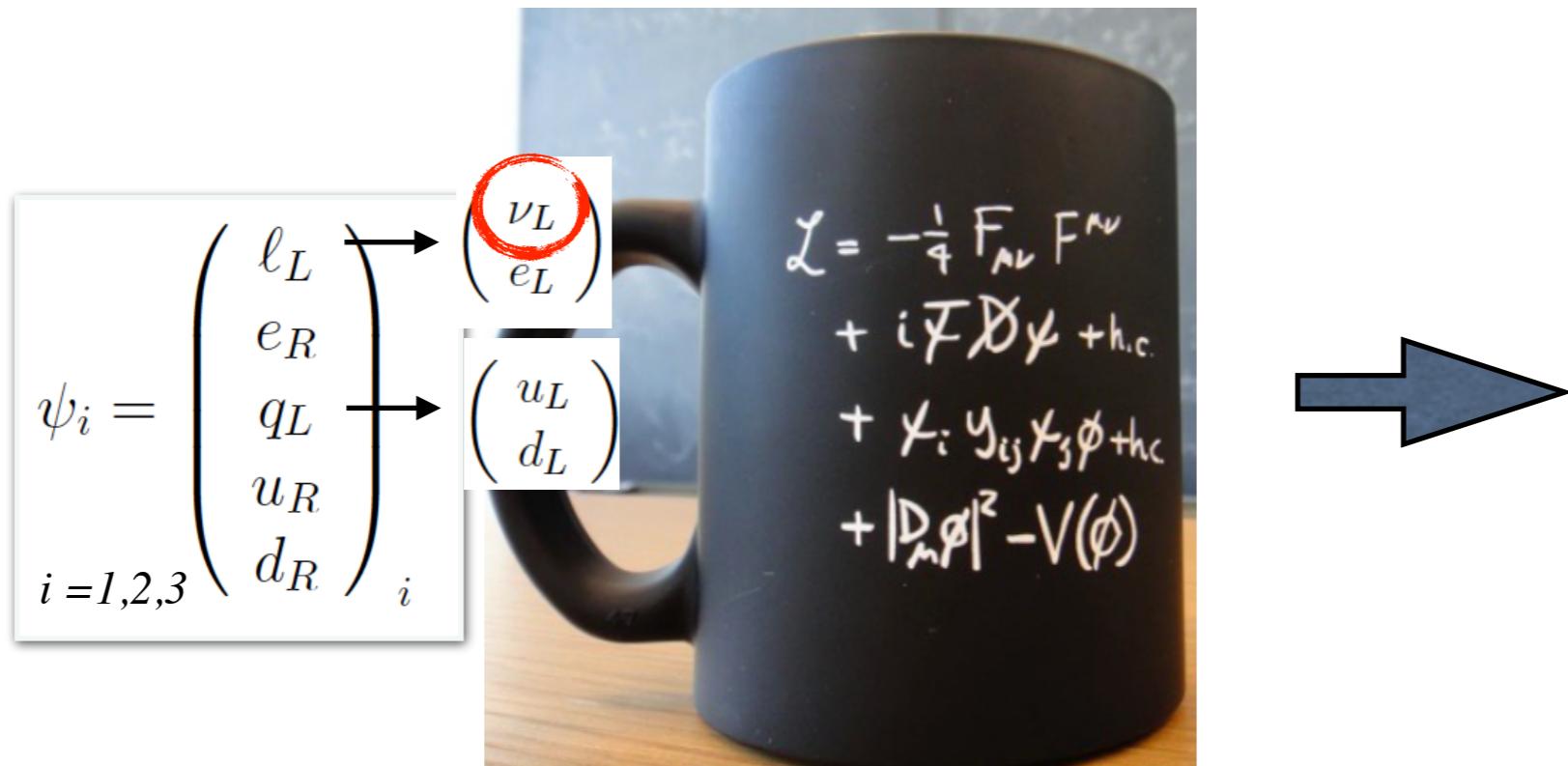
Special thanks to collaborators:

W. Dekens, J. de Vries, M. Graesser, M. Hoferichter, E. Mereghetti, S. Pastore, M. Piarulli,  
U. van Kolck, A. Walker-Loud, R. Wiringa

# Neutrino mass and new physics

- Massive neutrinos provide concrete evidence of physics beyond the SM

The Standard Model



No  
neutrino  
mass

Understanding origin and nature of neutrino mass is an open problem, with implications for baryogenesis, DM, structure formation, ...

# Neutrino mass and new physics

- Lorentz invariance  $\Rightarrow$  two options: Dirac or Majorana

Dirac mass:

$$m_D \overline{\psi_L} \psi_R + \text{h.c.}$$

Majorana mass:

$$m_M \psi_L^T C \psi_L + \text{h.c.}$$

# Neutrino mass and new physics

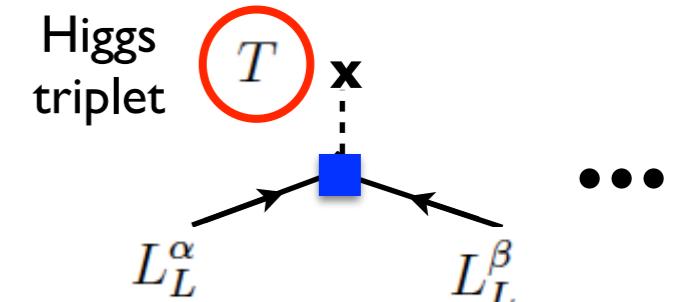
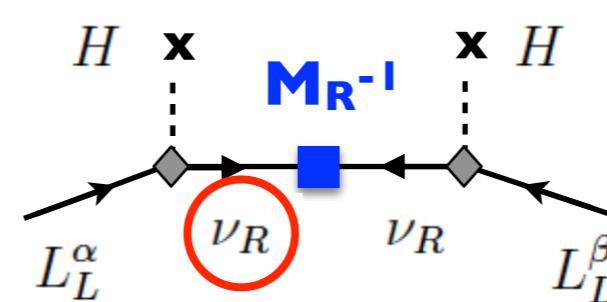
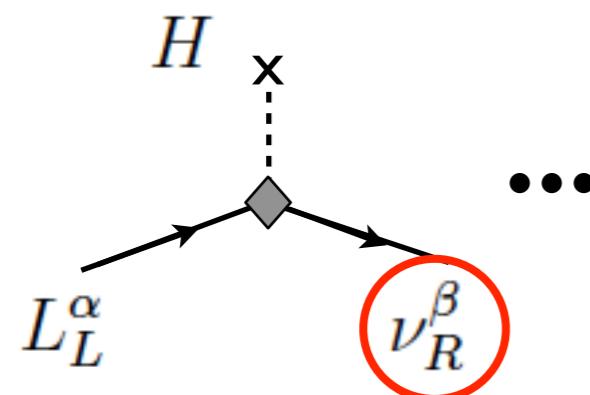
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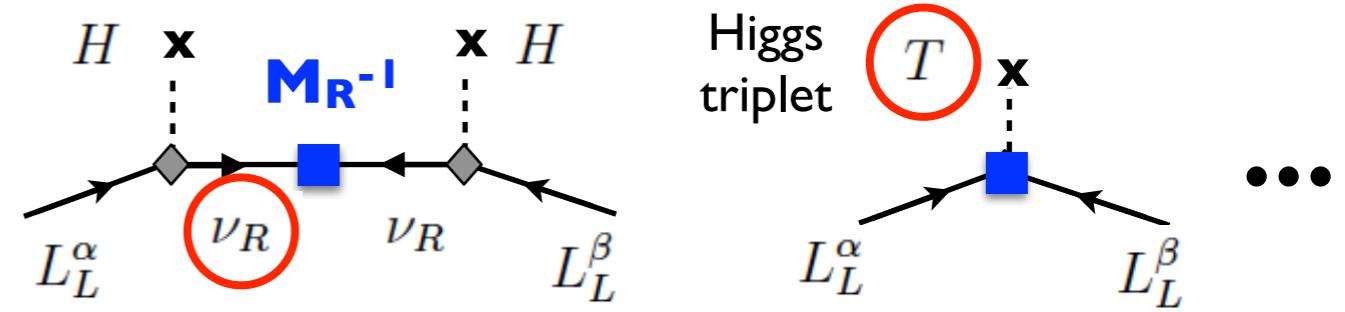
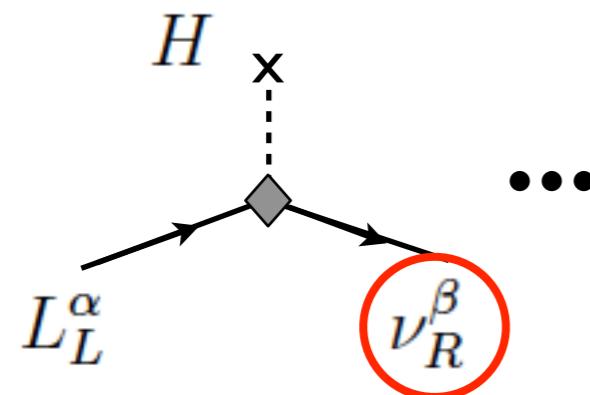
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- Violates  $L_{e,\mu,\tau}$ , conserves  $L$

- Violates  $L_{e,\mu,\tau}$  and  $L$  ( $\Delta L=2$ )

# Which option is realized in nature?

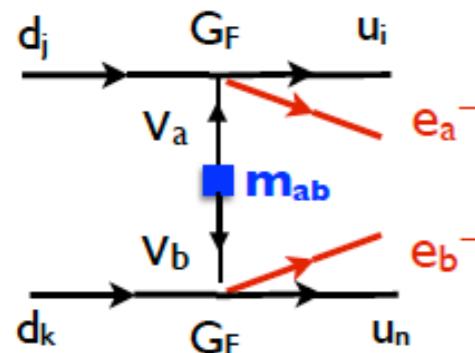
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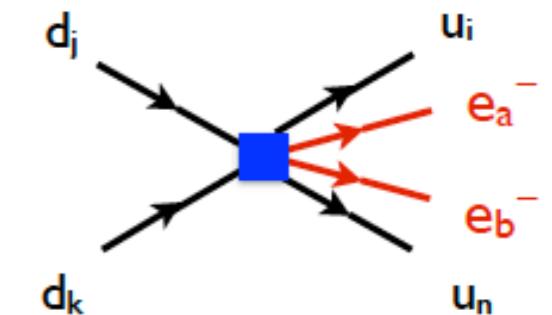
A Majorana neutrino with helicity=+1 (R-handed) will produce  $\mu^+$ .  
But fraction of R-helicity  $\nu$ 's produced in  $\pi^+ \rightarrow \mu^+ \nu_\mu$  is  $\sim (m_\nu/E_\nu)^2 < 10^{-16}!!$

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$$\begin{aligned} (N, Z) &\rightarrow (N - 2, Z + 2) + e^- + e^- \\ K^+ &\rightarrow \pi^- \ell_1^+ \ell_2^+ \quad B^+ \rightarrow h^- \ell_1^+ \ell_2^+ \\ \tau^- &\rightarrow \ell^+ h_1^- h_2^- \\ pp &\rightarrow \ell\ell + 2 \text{ jets} \end{aligned}$$

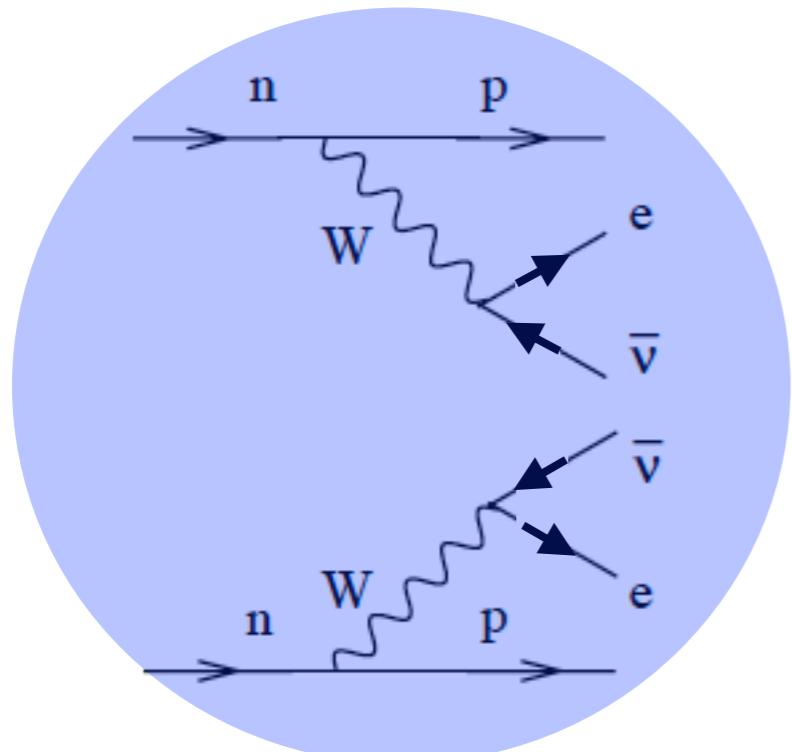
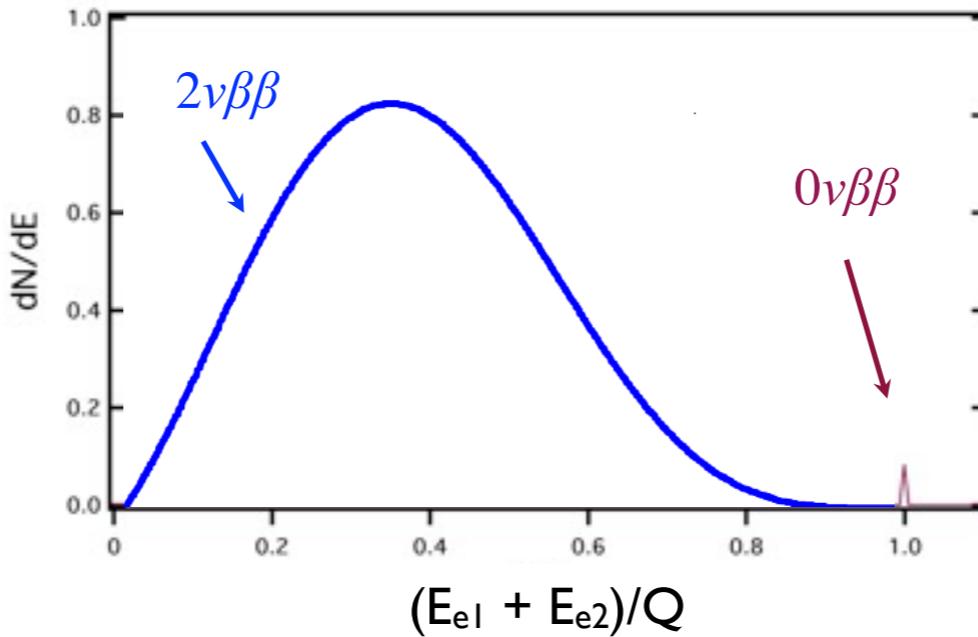
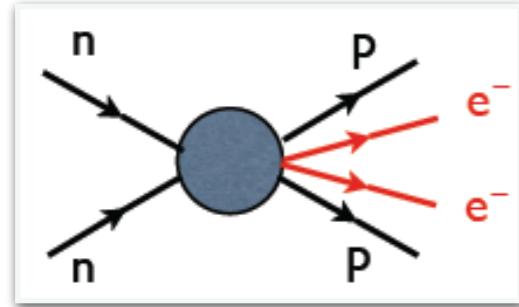


- $0\nu\beta\beta$  provides in many scenarios the strongest sensitivity to LNV couplings (“Avogadro’s number wins”, P. Vogel)
- Other processes can be very competitive in models with low-scale LNV

# Neutrinoless double beta decay

$$(N, Z) \rightarrow (N - 2, Z + 2) + e^- + e^-$$

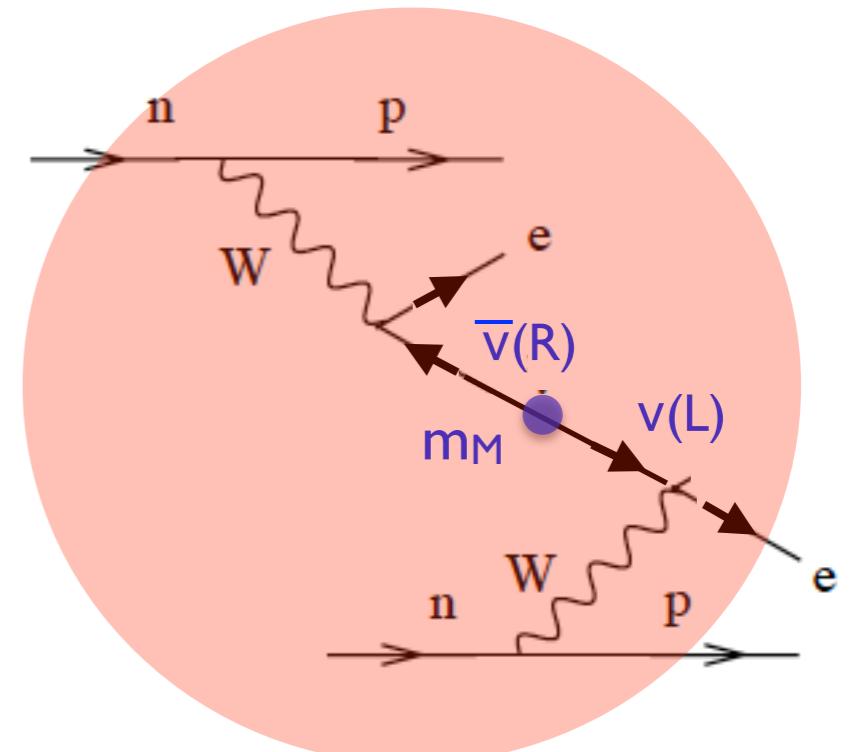
$$T_{1/2} > \# 10^{25} \text{ yr}$$



Simplest mechanism:  
Majorana mass term

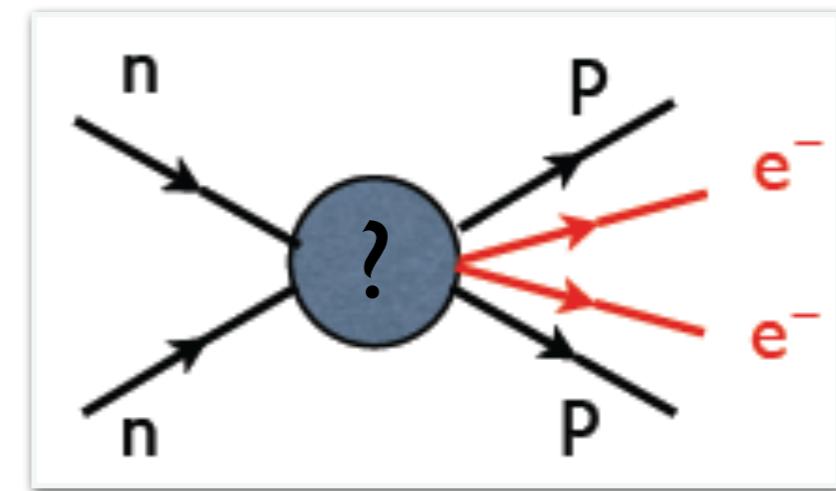
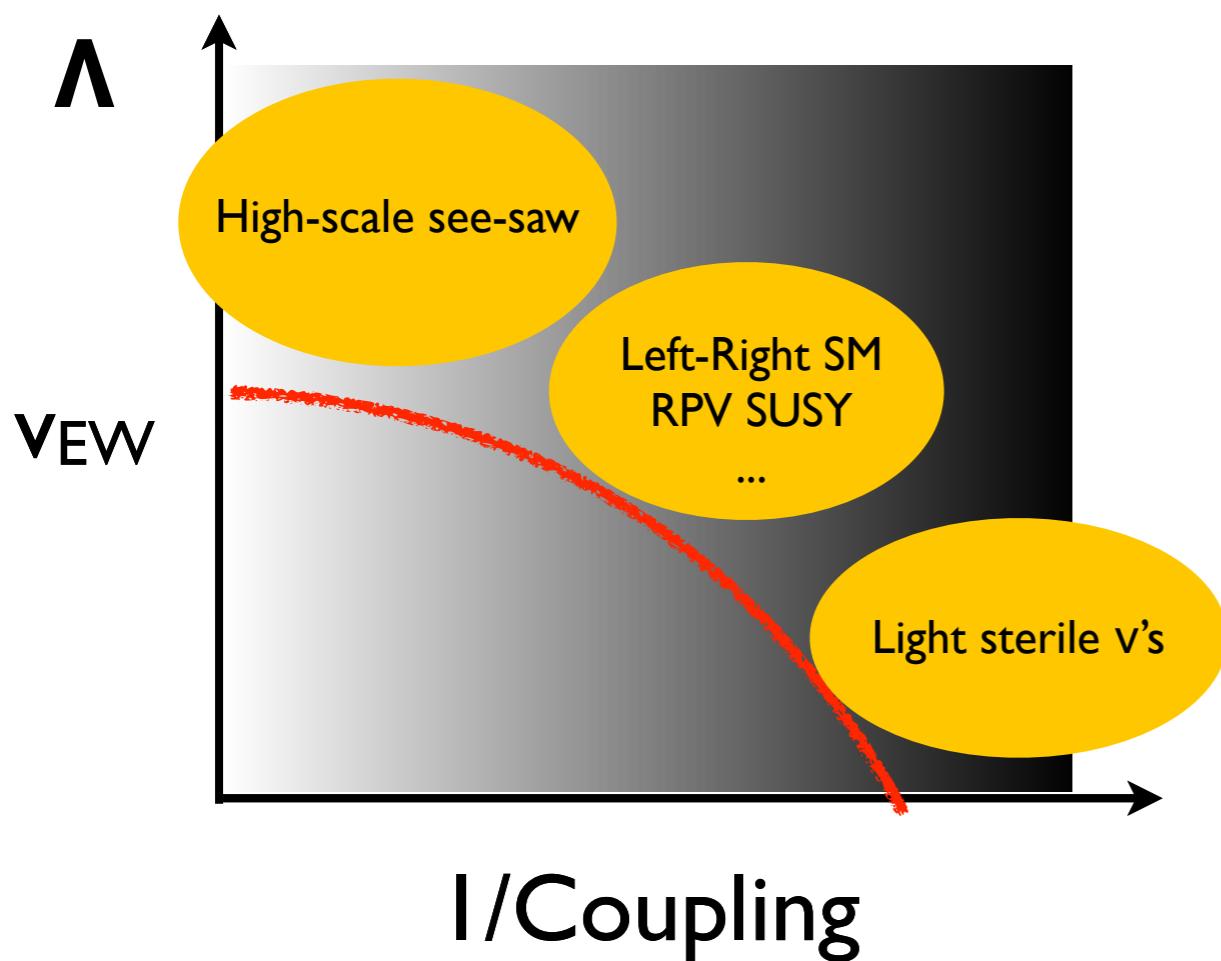


But not the only one!  
Furry 1939



# $0\nu\beta\beta$ physics reach

- Ton-scale  $0\nu\beta\beta$  searches ( $T_{1/2} > 10^{27-28}$  yr) will probe LNV from a broad range of mechanisms

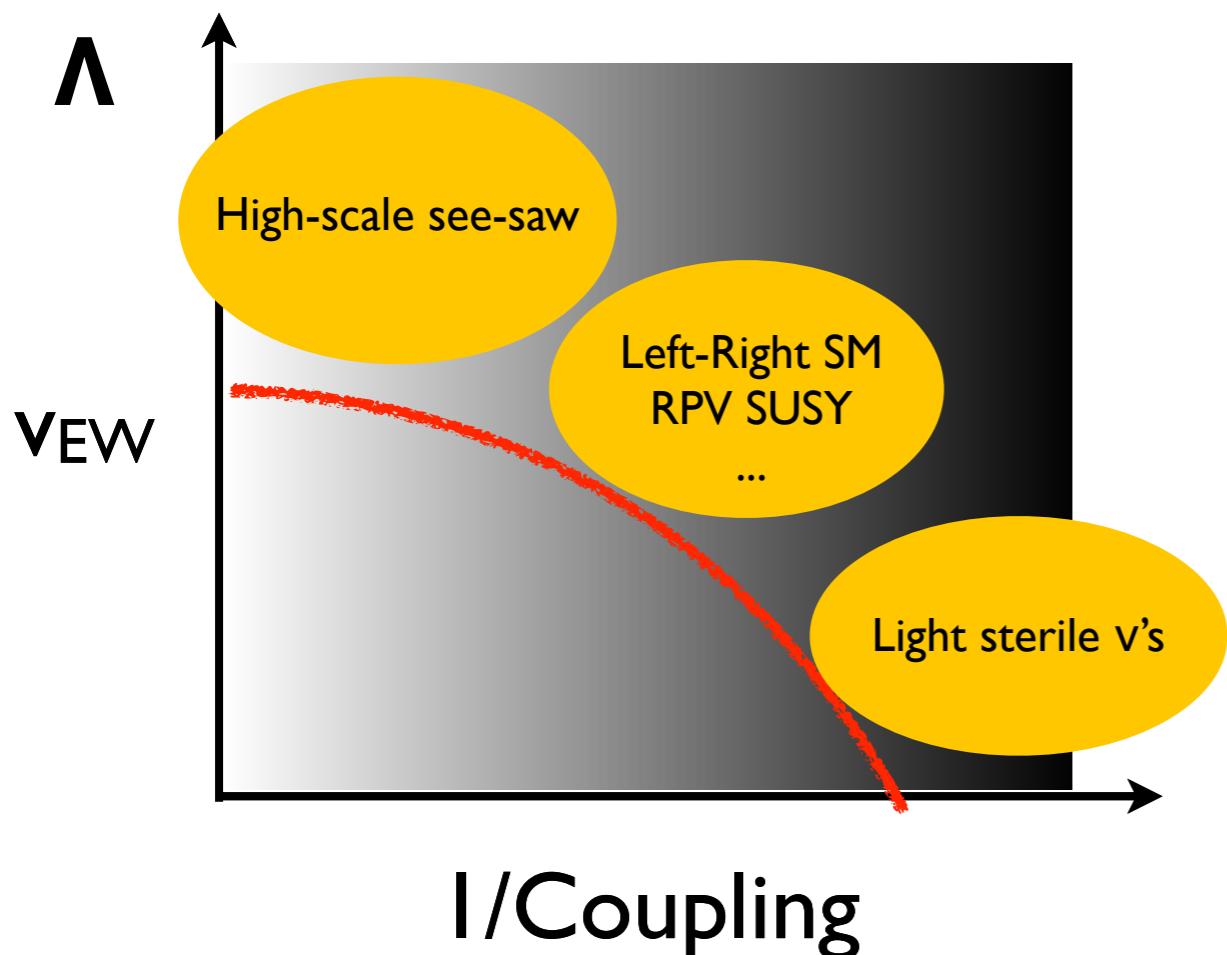


See VC-Dekens-deVries-Graesser-Mereghetti 1806.02780 and references therein

Snowmass white paper: 2203. 21169

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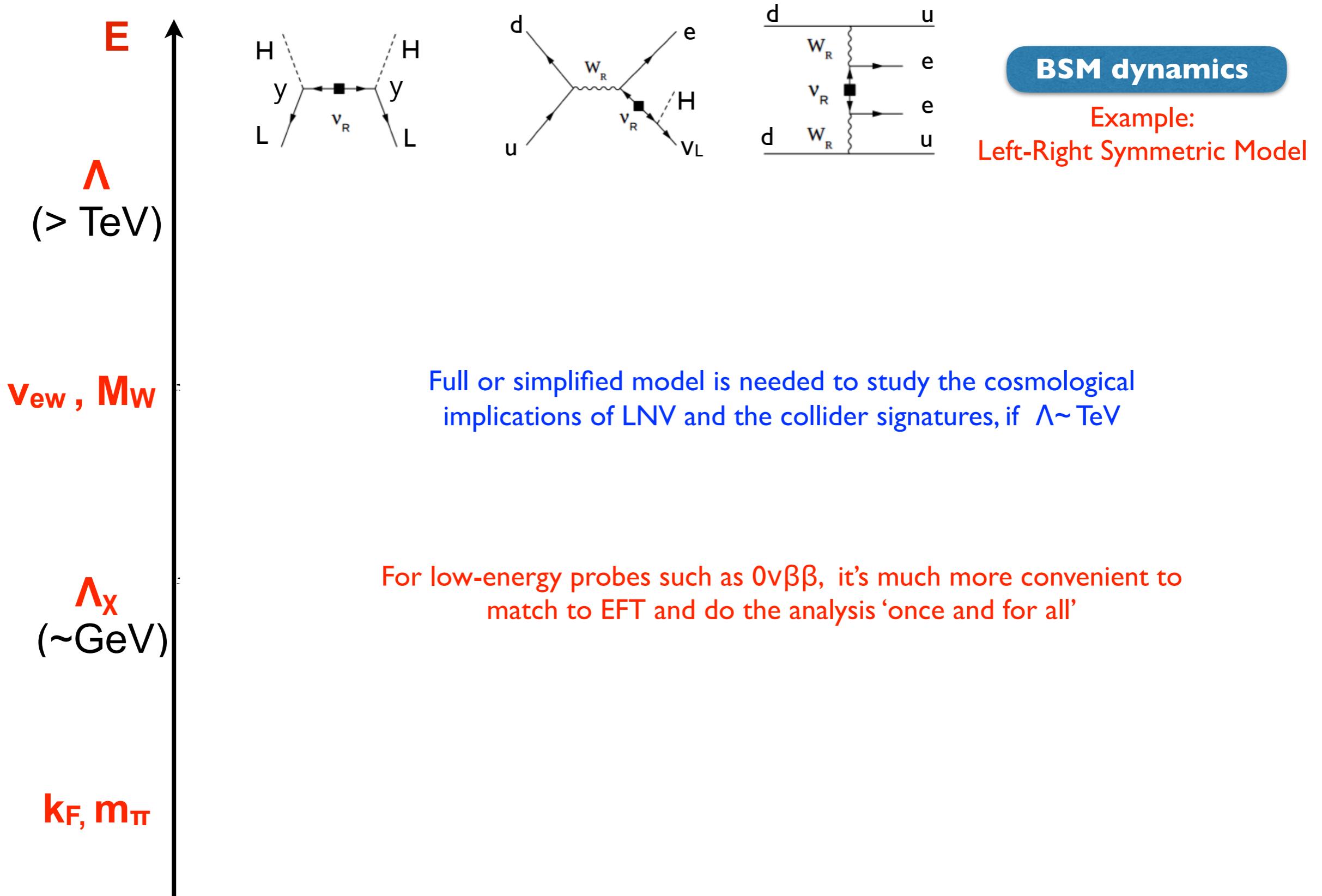


Impact of  $0\nu\beta\beta$  searches and relation to other probes of LNV is best analyzed through a [tower of EFTs](#) that connect LNV scale  $\Lambda$  to nuclear scales, with controllable uncertainties

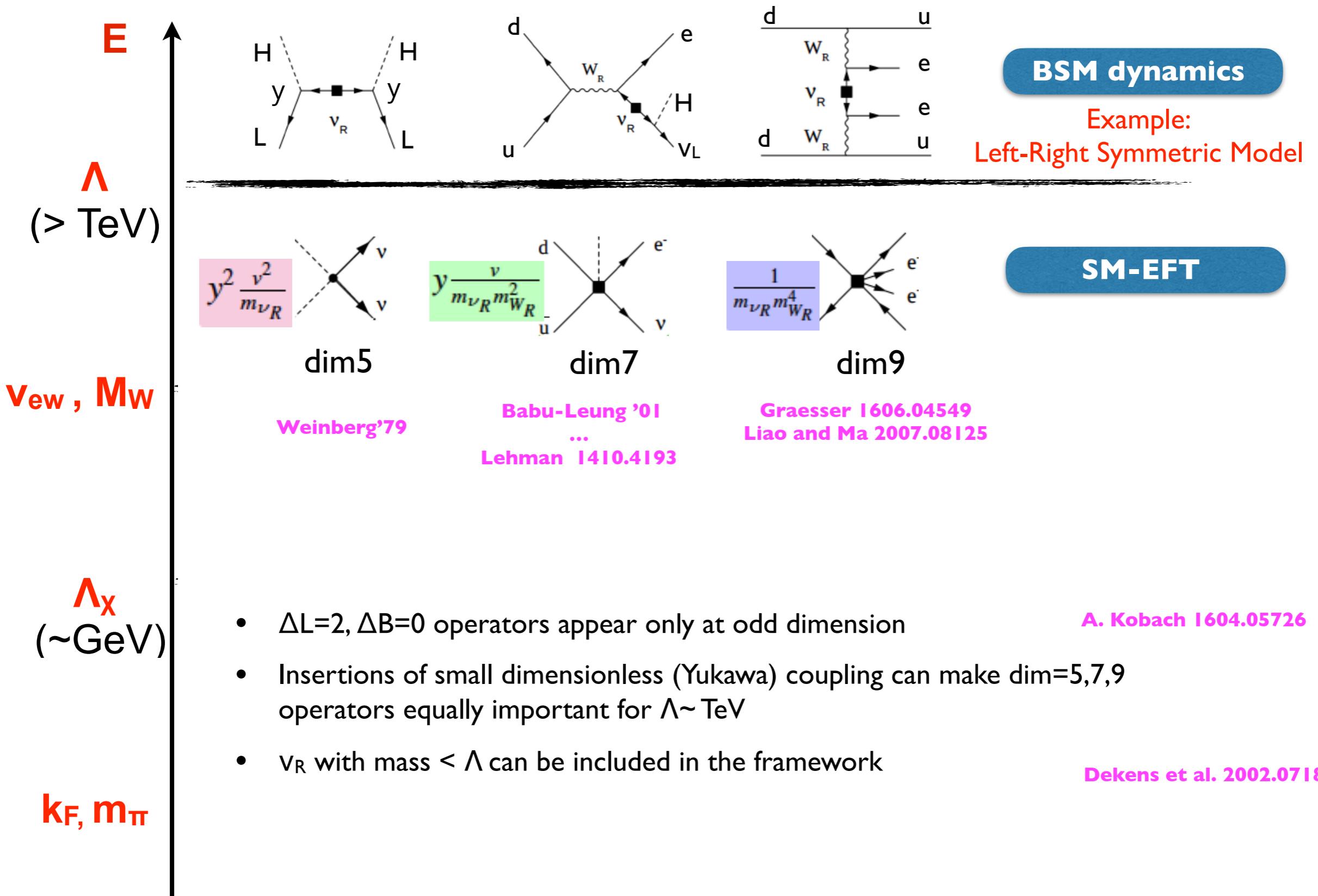
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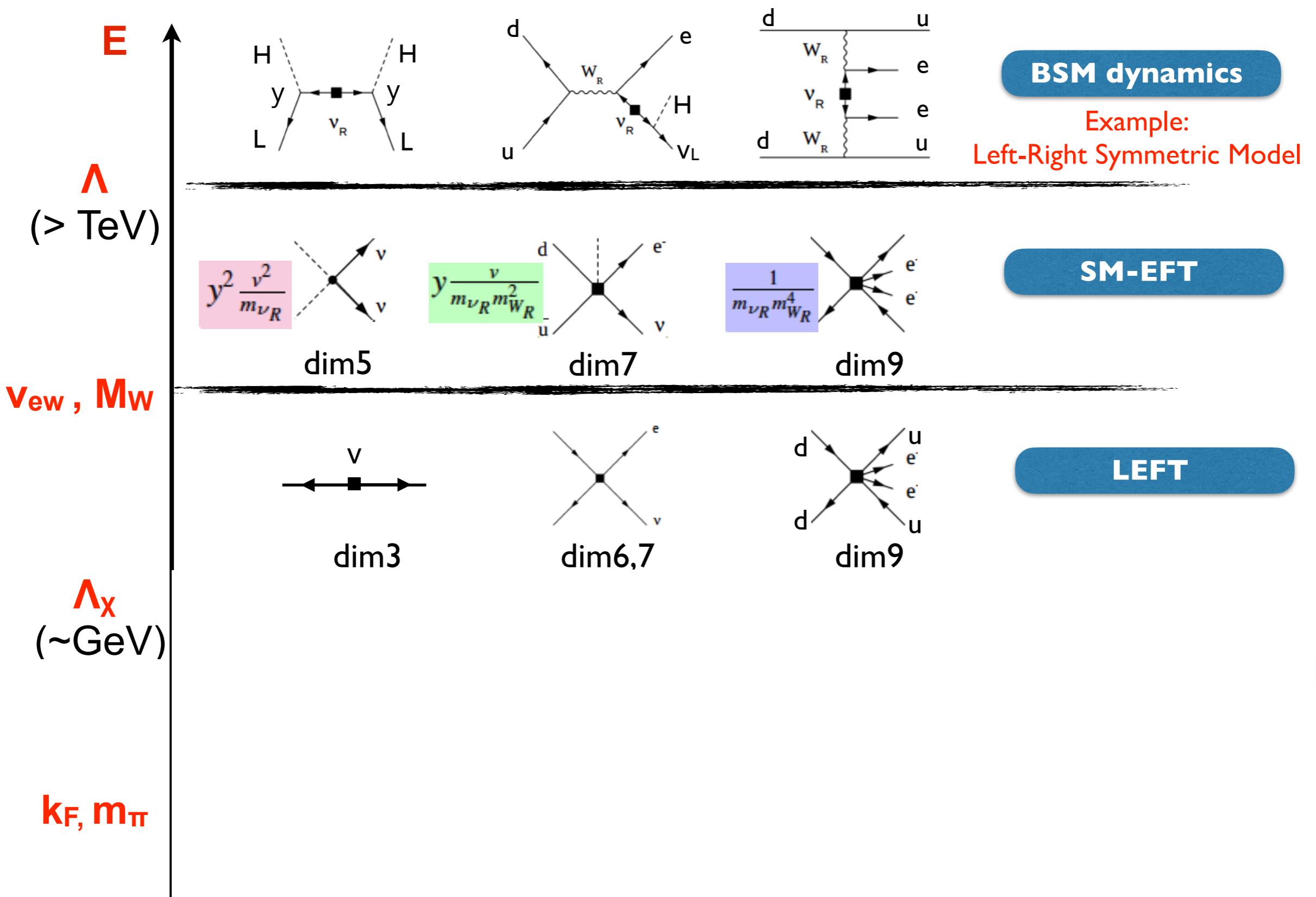
# ‘End-to-end’ EFT framework



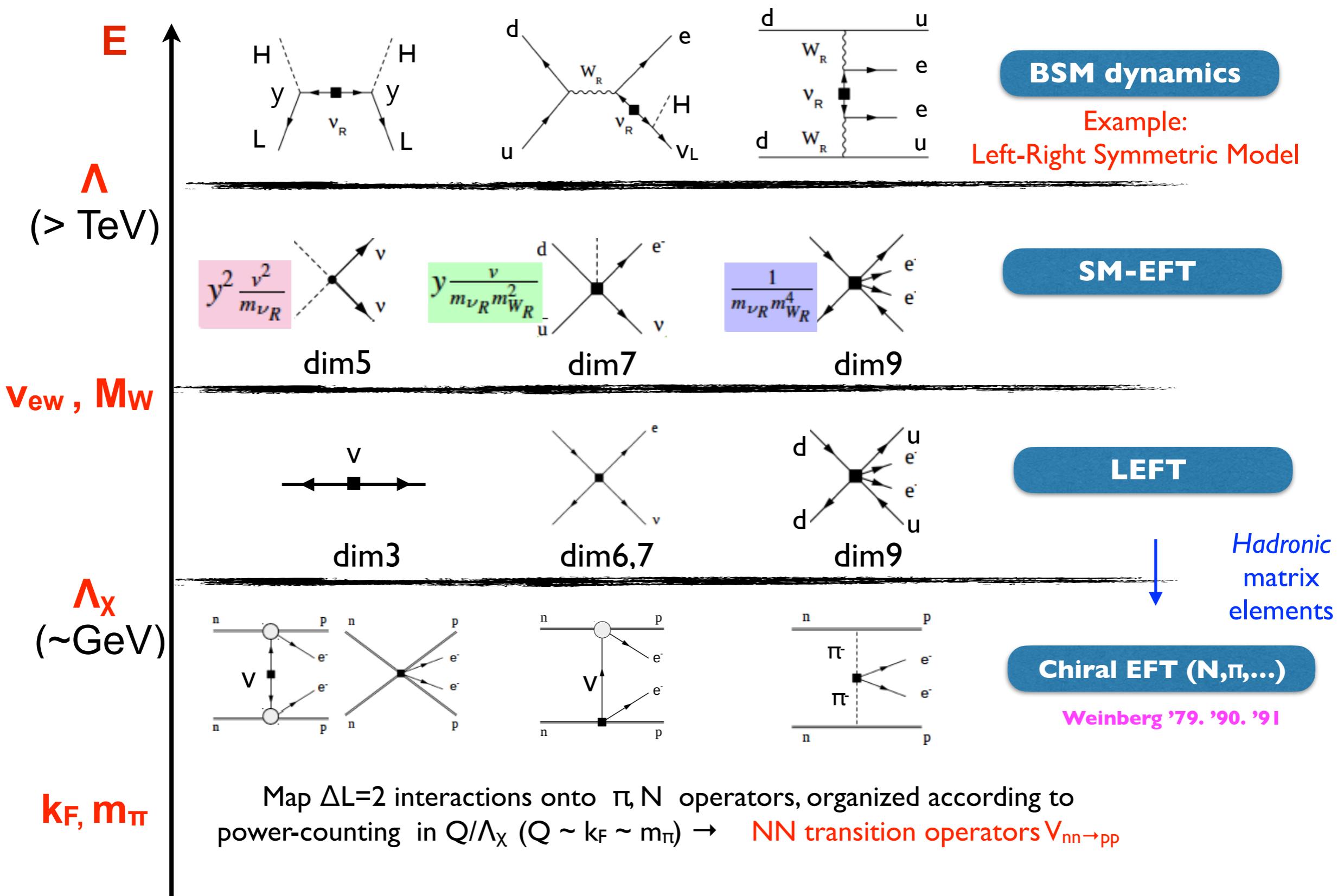
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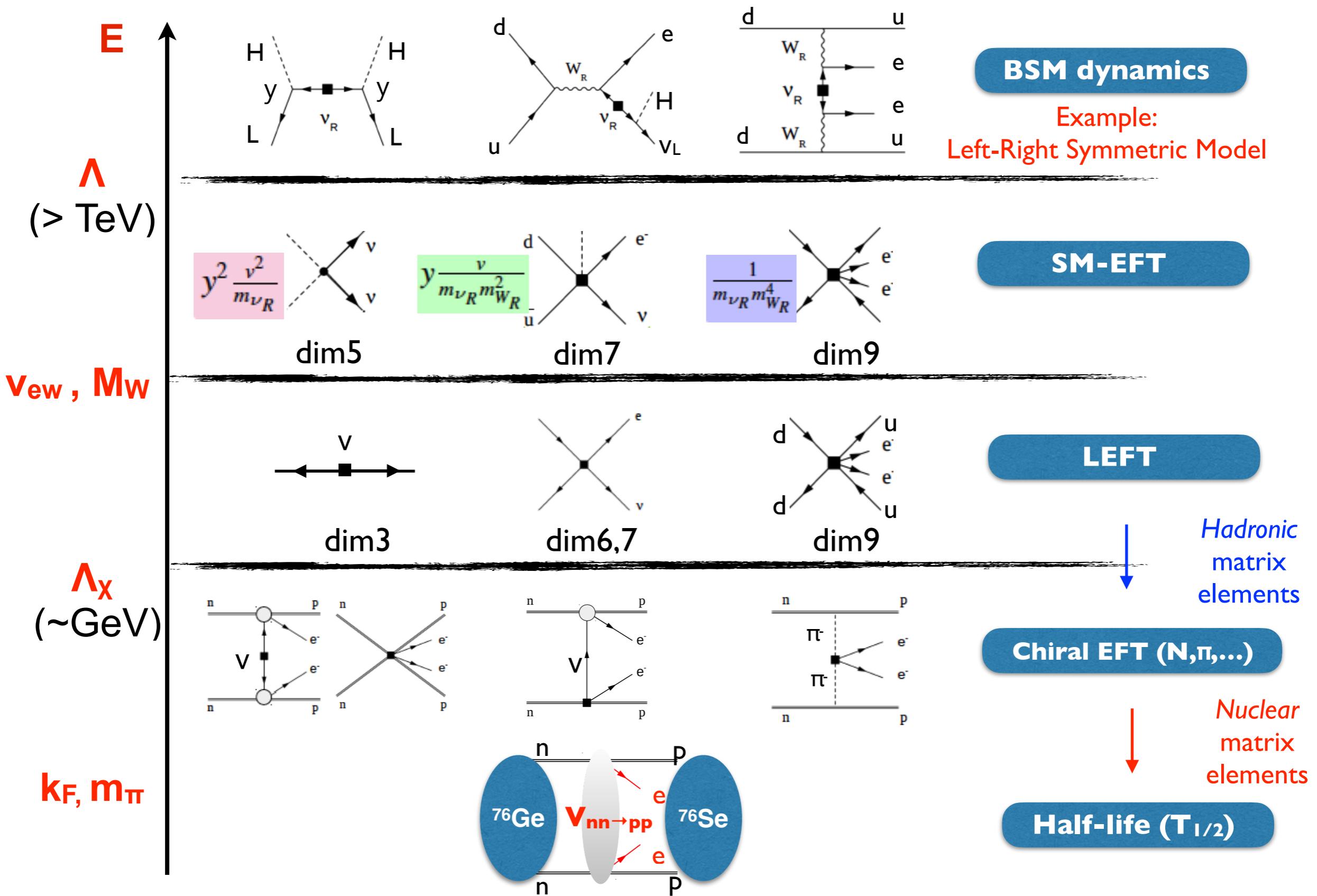
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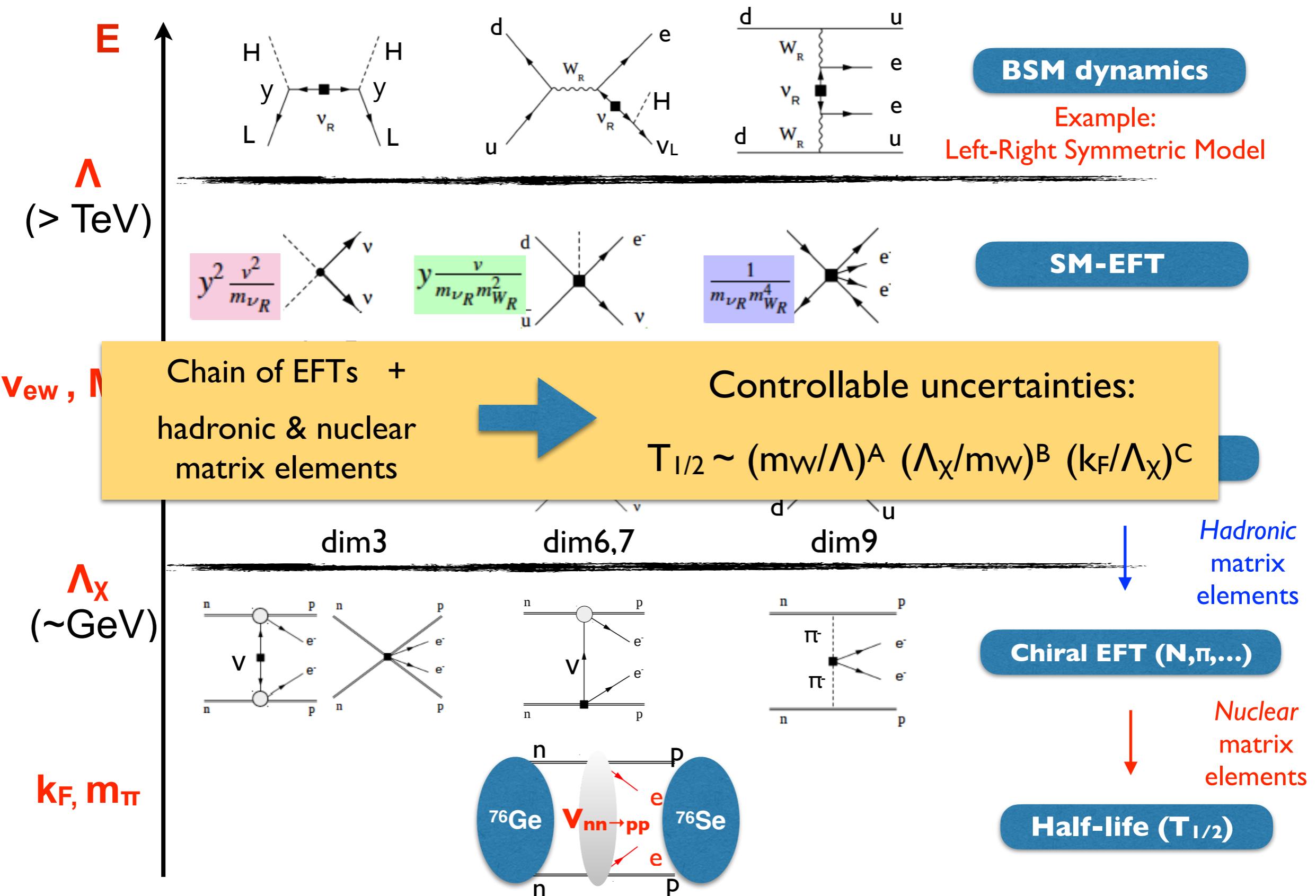
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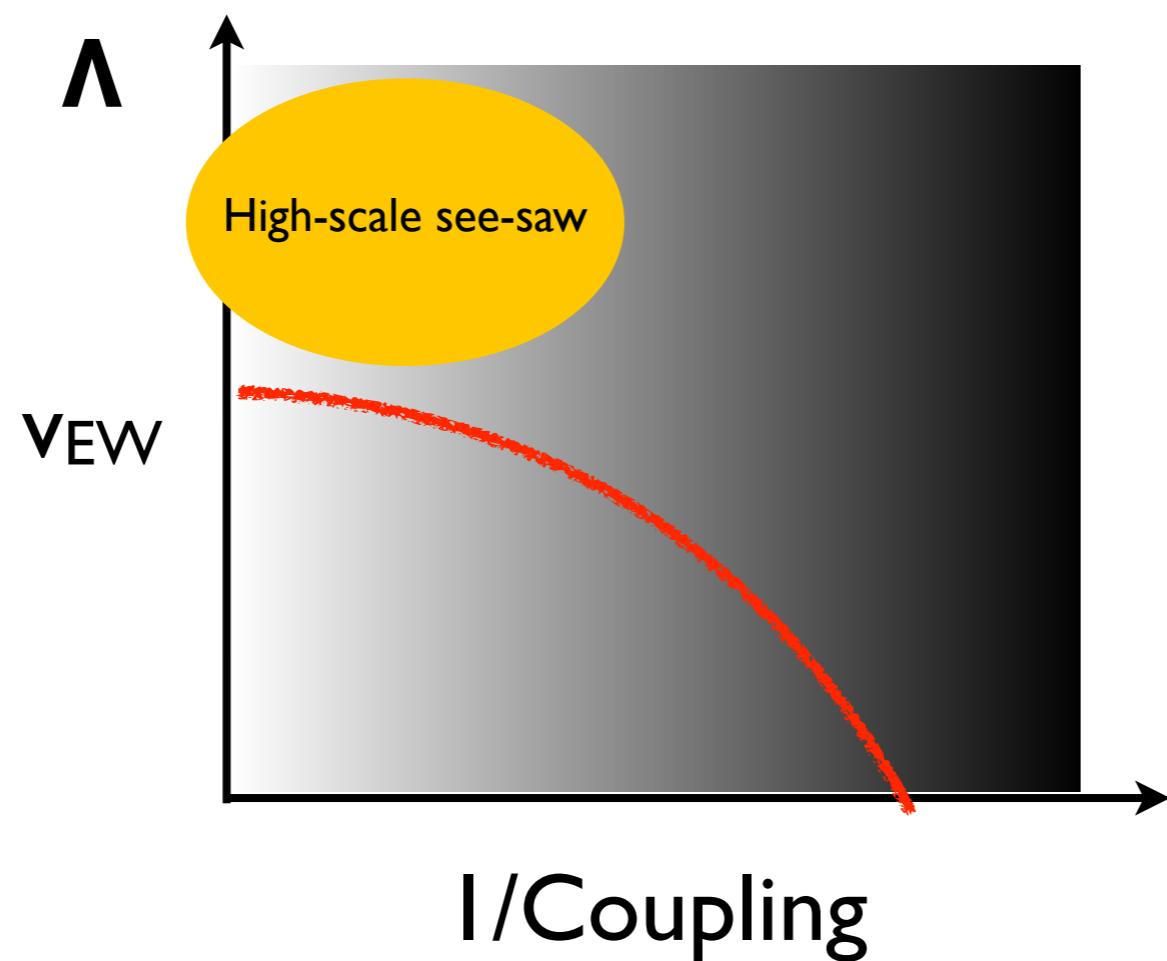
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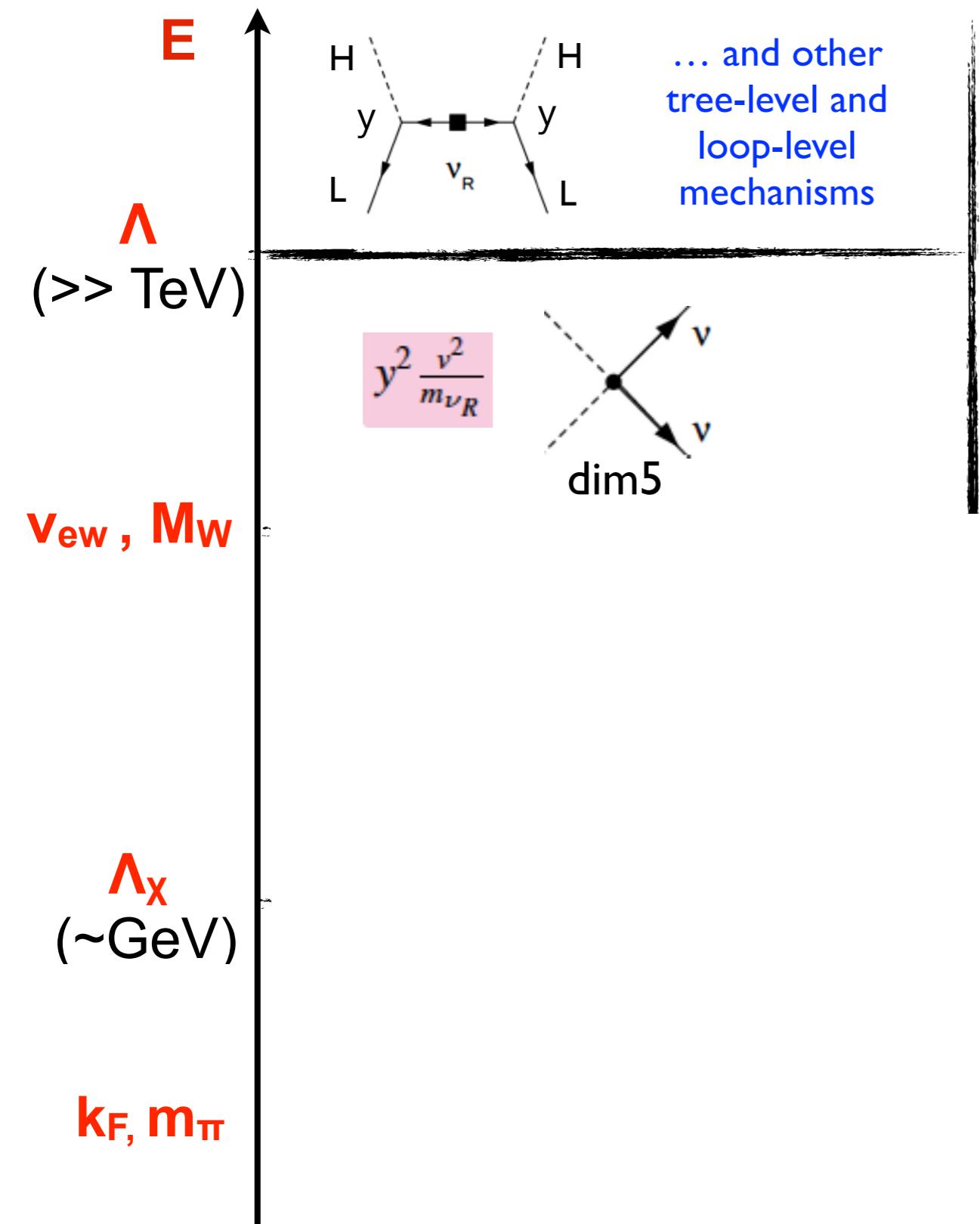
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# $0\nu\beta\beta$ from high-scale LNV (dim-5 operator)



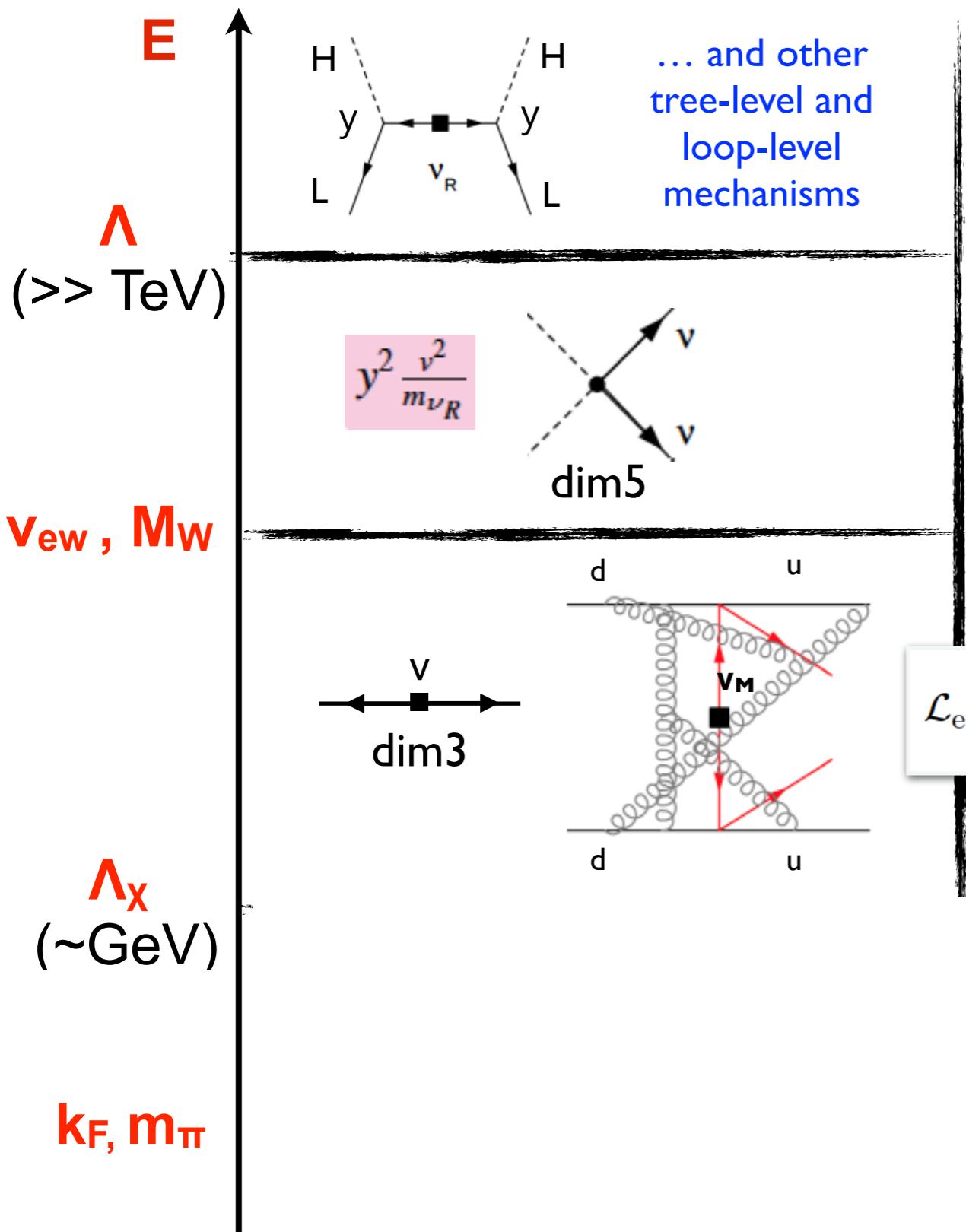
# High scale LNV



- LNV originates at very high scale ( $\Lambda \gg v$ )  $\rightarrow$  dominant low-energy remnant is Weinberg's dim-5 operator:

$$\mathcal{L}_5 = \frac{w_{\alpha\alpha'}}{\Lambda} L_\alpha^T C \epsilon H H^T \epsilon L_{\alpha'}$$

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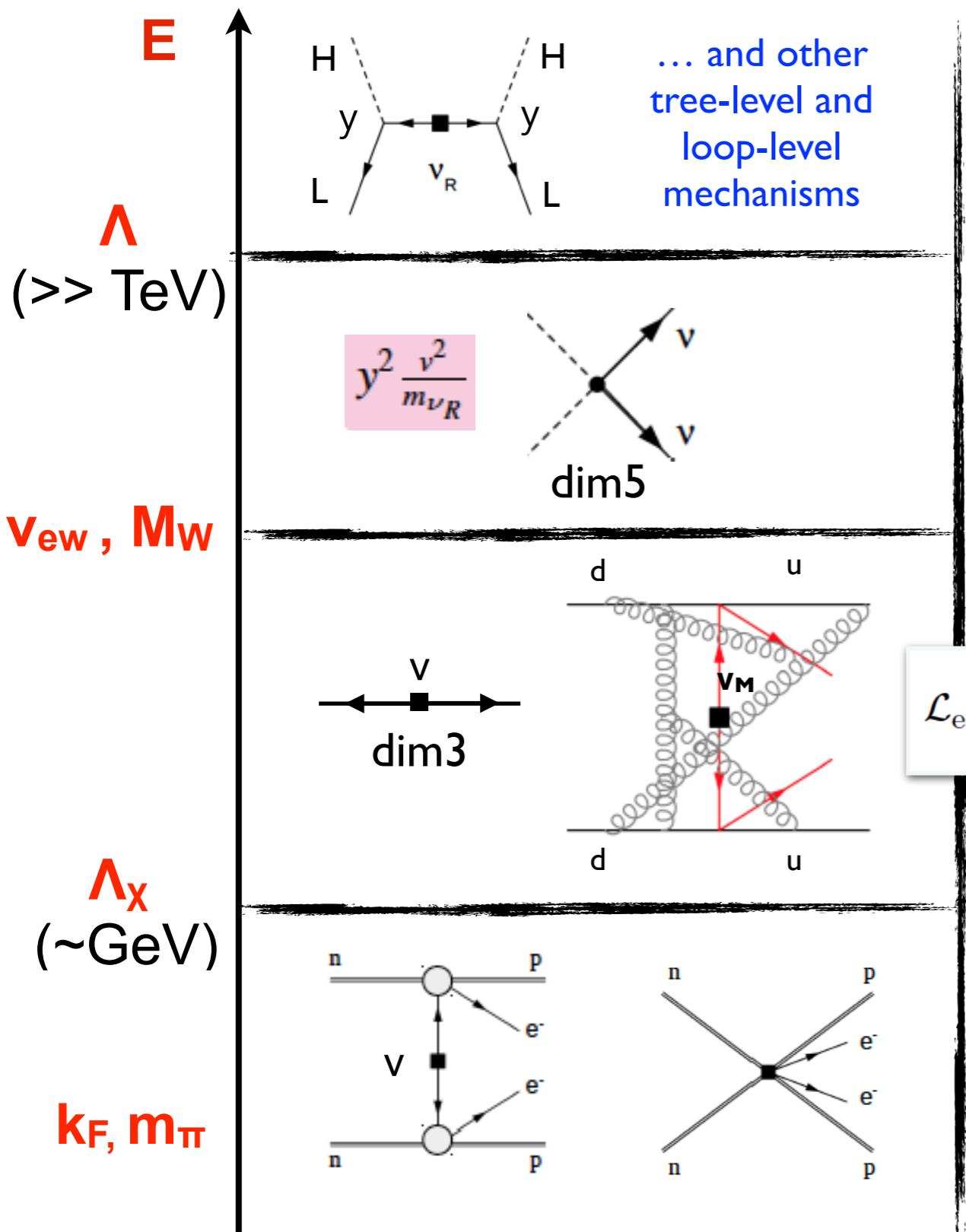
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- Below the weak scale this is just the neutrino Majorana mass ( $m_{\beta\beta} \sim w_{ee} v^2/\Lambda$ )

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{QCD}} - \frac{4G_F}{\sqrt{2}} V_{ud} \bar{u}_L \gamma^\mu d_L \bar{e}_L \gamma_\mu \nu_{eL} - \frac{m_{\beta\beta}}{2} \nu_{eL}^T C \nu_{eL} + \text{H.c.}$$

# High scale LNV



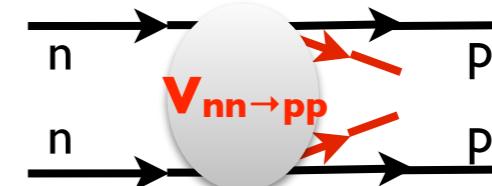
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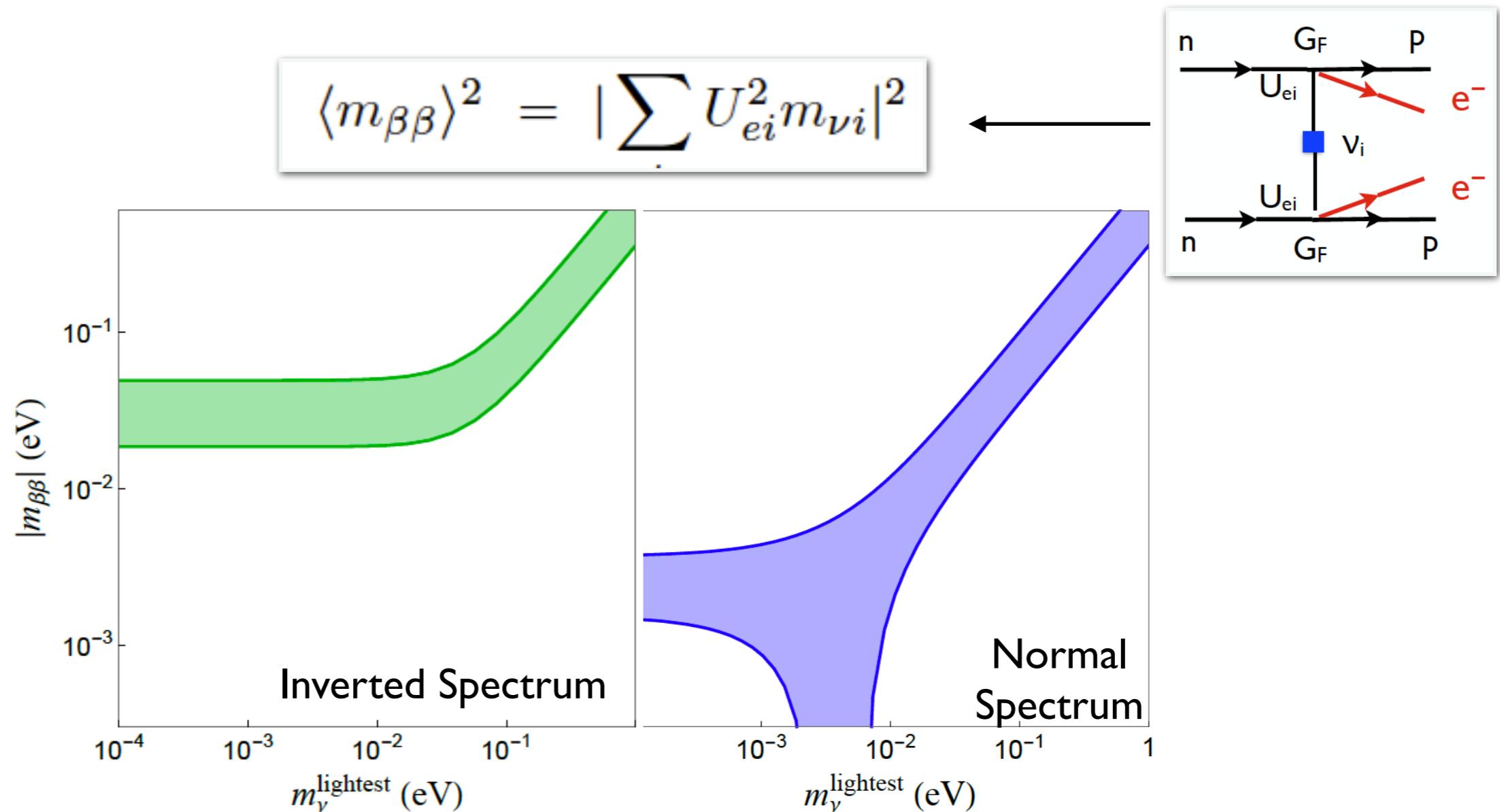
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- $0\nu\beta\beta$  mediated by active  $\nu_M$  with potential  $V_{nn \rightarrow pp}$  with long- and short-range components proportional to  $m_{\beta\beta}$



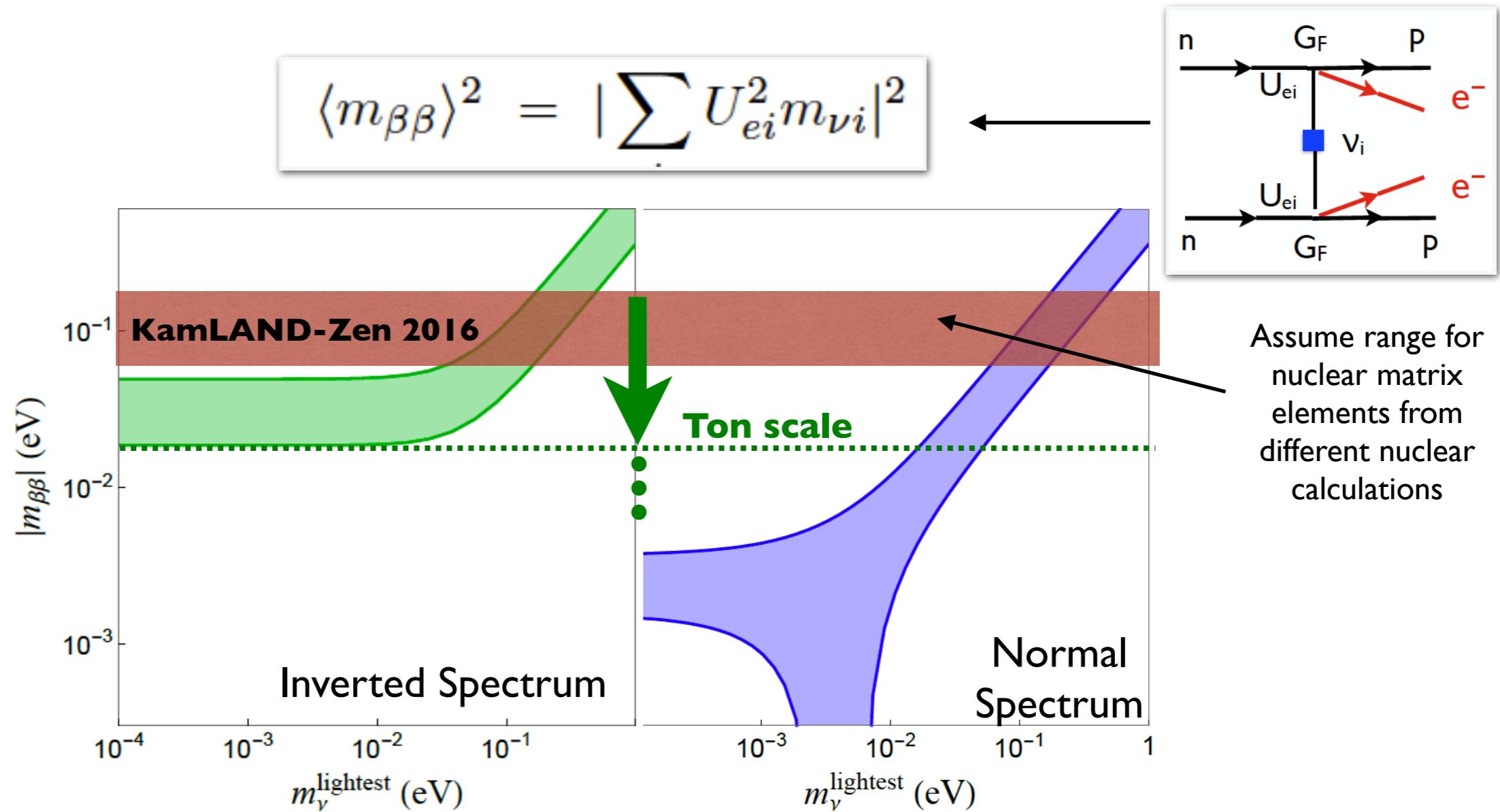
# Discovery potential / target

- In this case  $0\nu\beta\beta$  is a *direct* probe of  $\nu$  Majorana mass:  $\Gamma \propto |\mathbf{M}_{0\nu}|^2 (m_{\beta\beta})^2$



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Assuming current range for matrix elements,  
discovery @ ton-scale possible for inverted spectrum or  $m_{\text{lightest}} > 50$  meV

# Diagnosing power

- High scale seesaw implies falsifiable correlation with other  $\nu$  mass probes. Future data can unravel new LNV sources or physics beyond “ $\Lambda$ CDM +  $m_\nu$ ”

$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

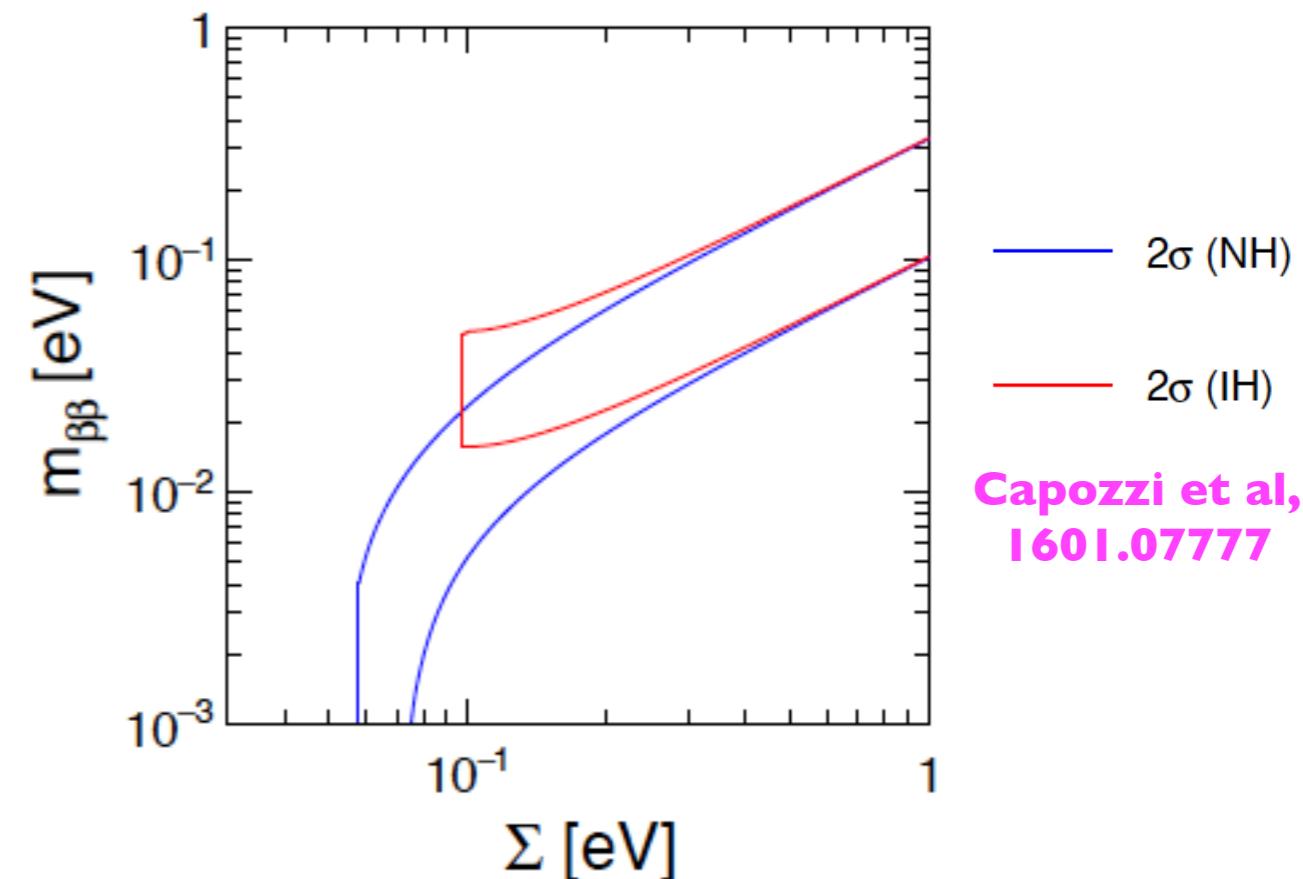
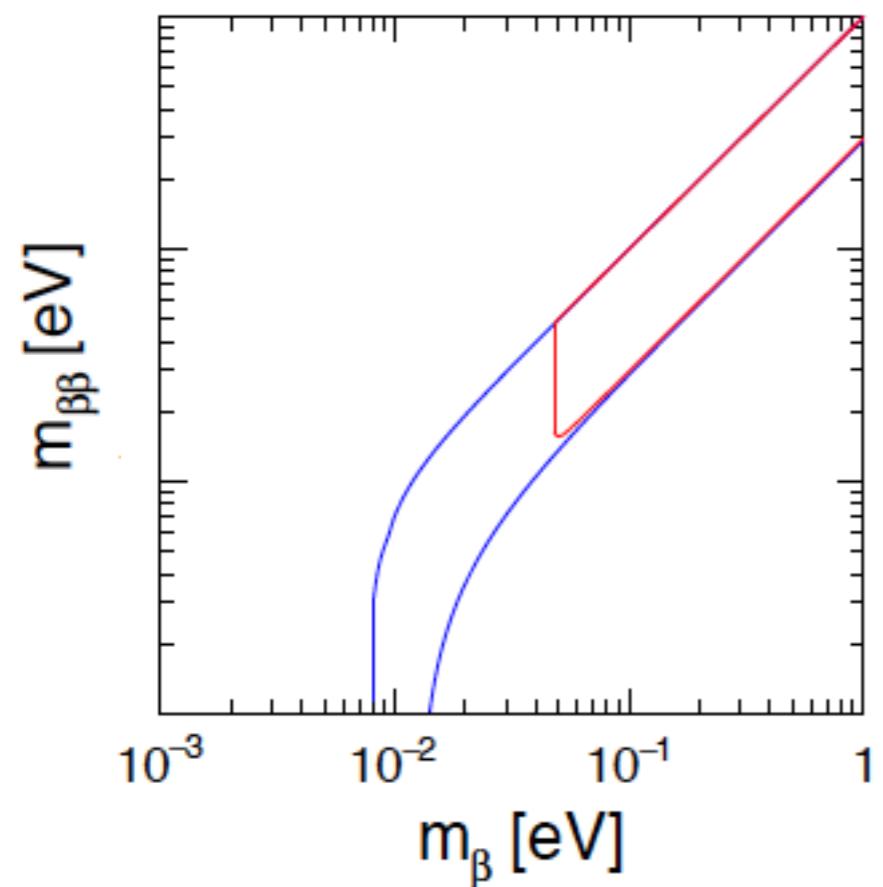
$0\nu\beta\beta$  decay

$$m_\beta = \sqrt{\sum_i |U_{ei}|^2 m_i^2}$$

Tritium  $\beta$  decay

$$\Sigma = \sum_i m_i$$

Cosmology



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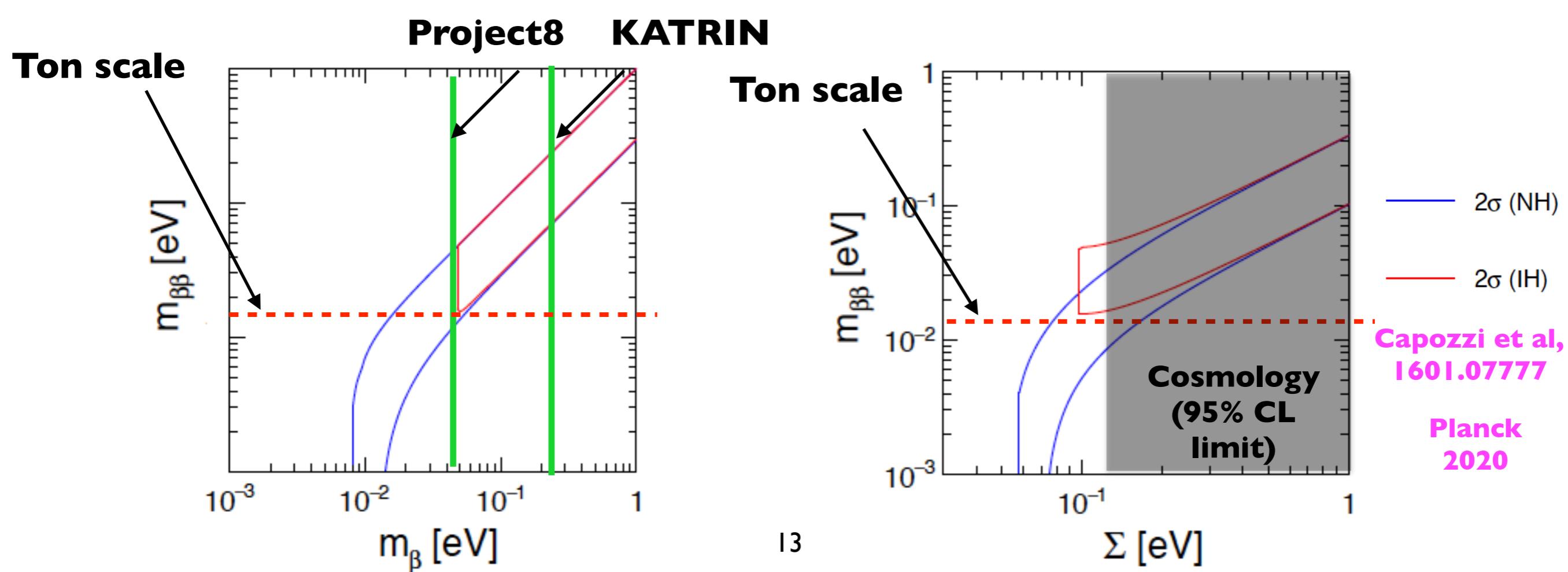
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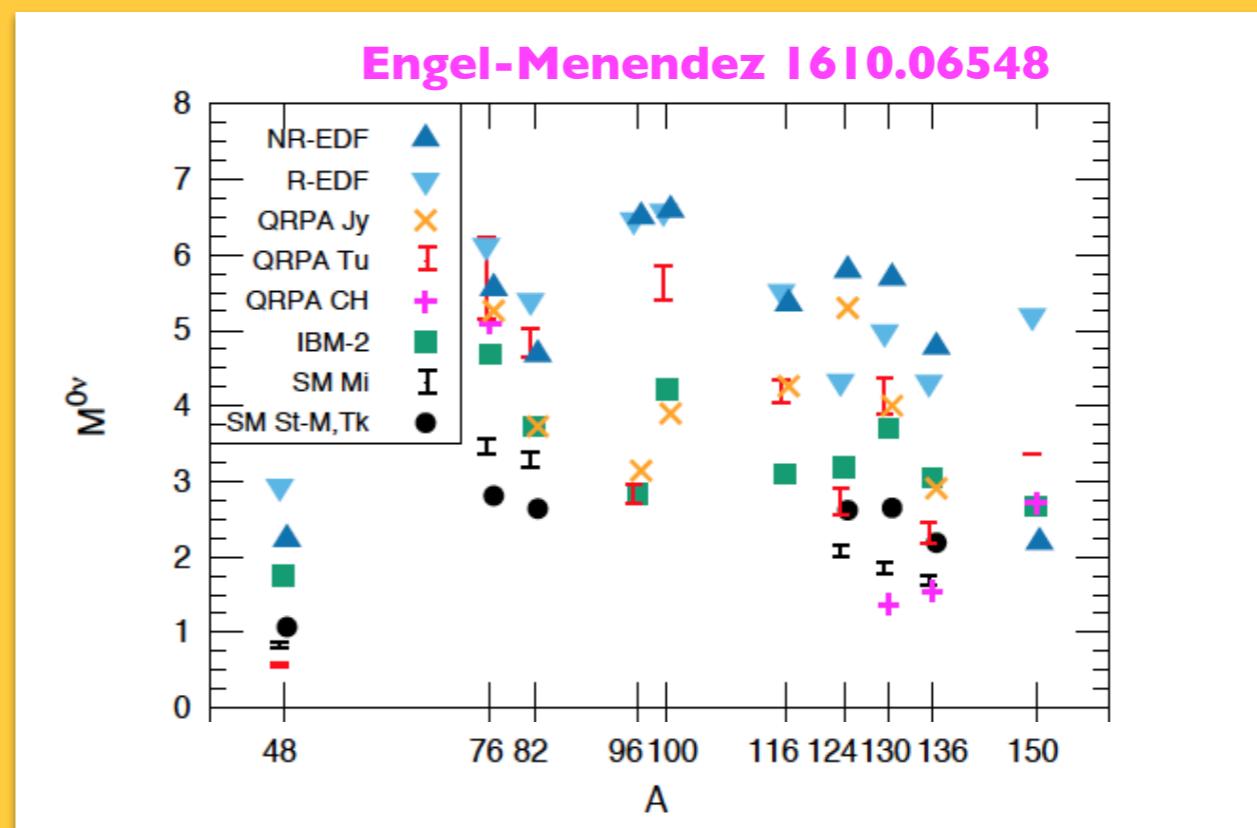
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Cosmology

But these important *quantitative* connections require knowing nuclear matrix elements and their uncertainties!



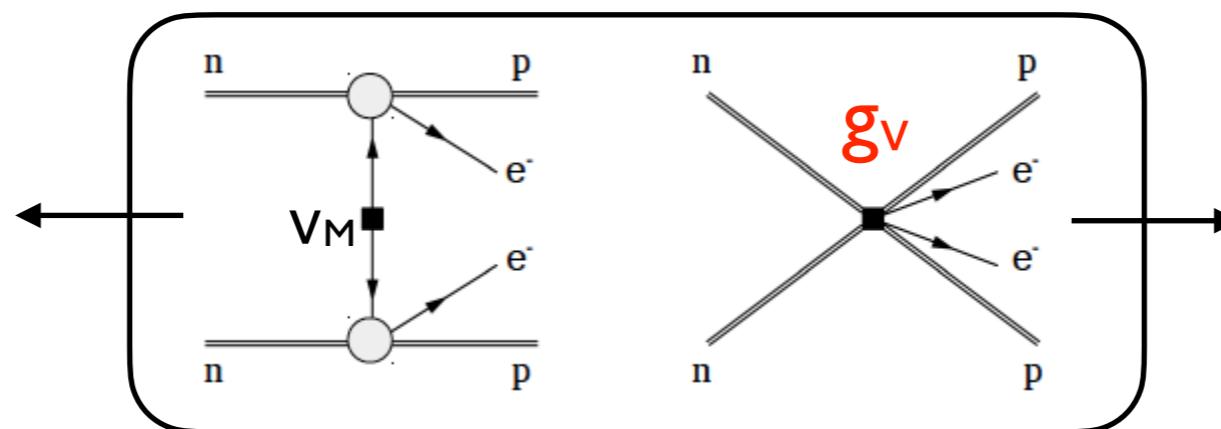
# New insights from EFT

VC, W. Dekens, E. Mereghetti, A. Walker-Loud, 1710.01729

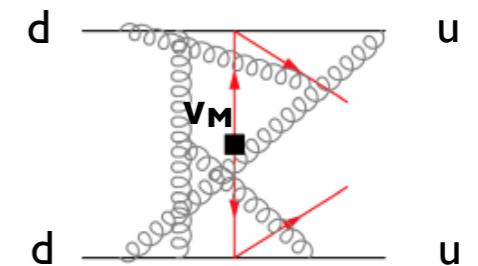
VC, W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, S. Pastore, U. van Kolck 1802.10097

- Transition operator to leading order in  $Q/\Lambda_X$  ( $Q \sim k_F \sim m_\pi$ ,  $\Lambda_X \sim \text{GeV}$ )

‘Usual’  $v_M$  exchange  
 $\sim 1/k_F^2 \sim 1/Q^2$   
Coulomb-like potential



‘New’: short-range  
coupling  $g_V \sim 1/Q^2$



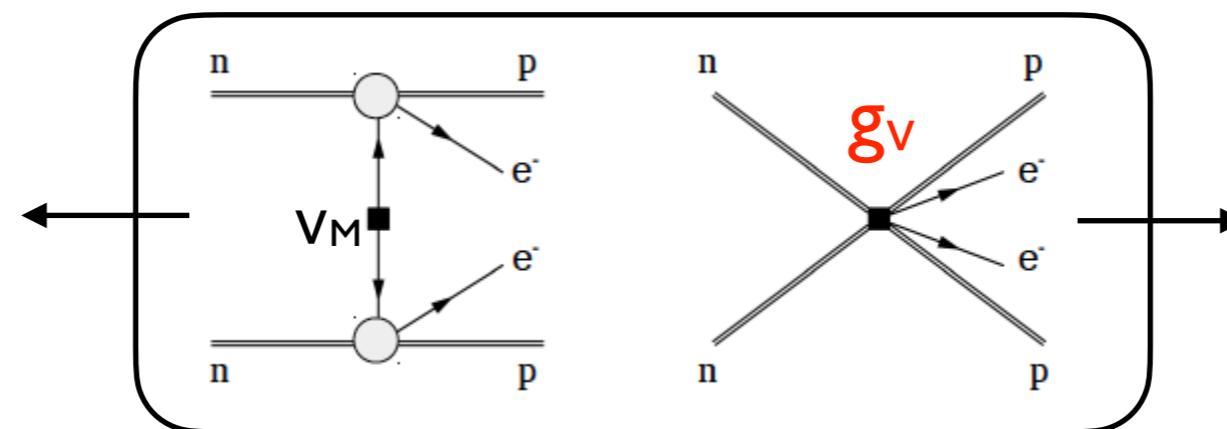
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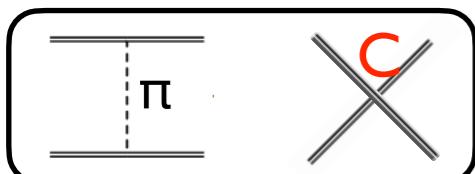


'New': short-range coupling  $g_V \sim 1/Q^2$

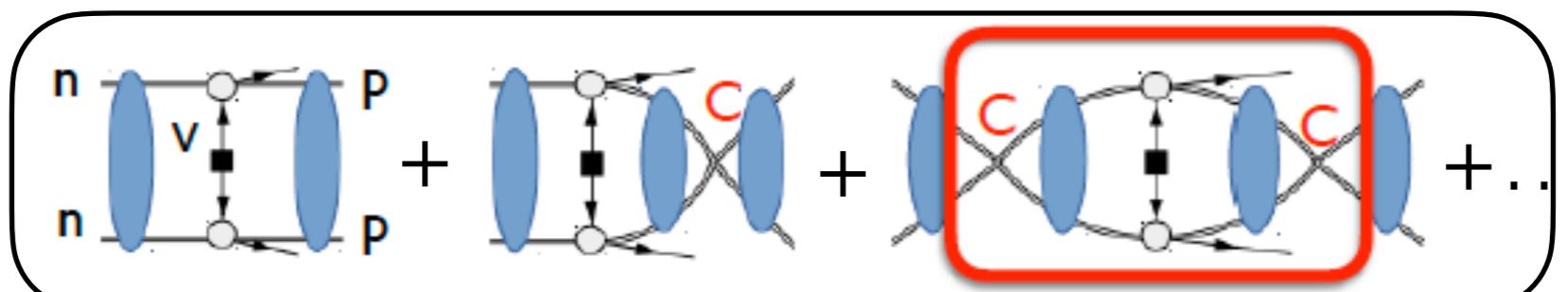
- Required by renormalization of  $nn \rightarrow pp$  amplitude in presence of strong interactions

$$\text{UV divergence} \propto (m_N C / 4\pi)^2 \sim 1/Q^2$$

LO strong potential



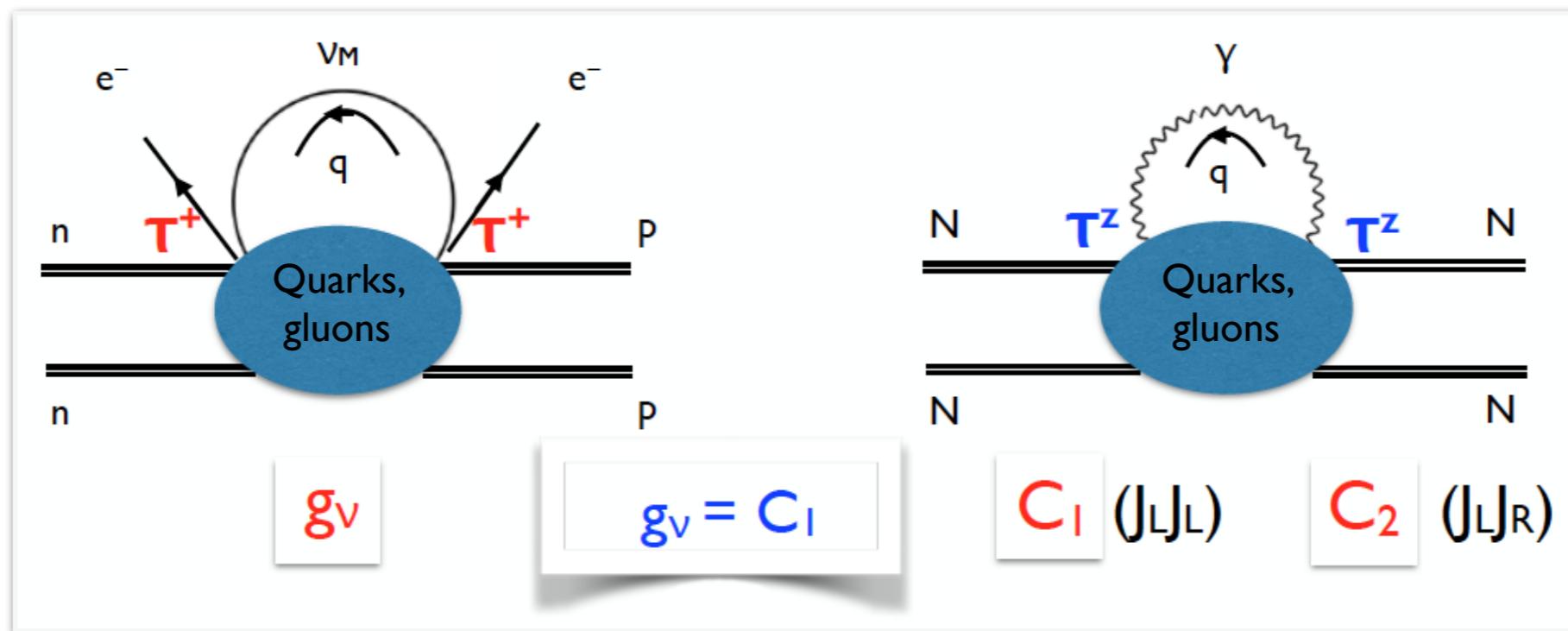
$$C \sim 4\pi/(m_N Q)$$



$$\text{Diagram symbol} = \text{Diagram symbol} + \text{Diagram symbol} + \text{Diagram symbol} \dots$$

# Connection with data?

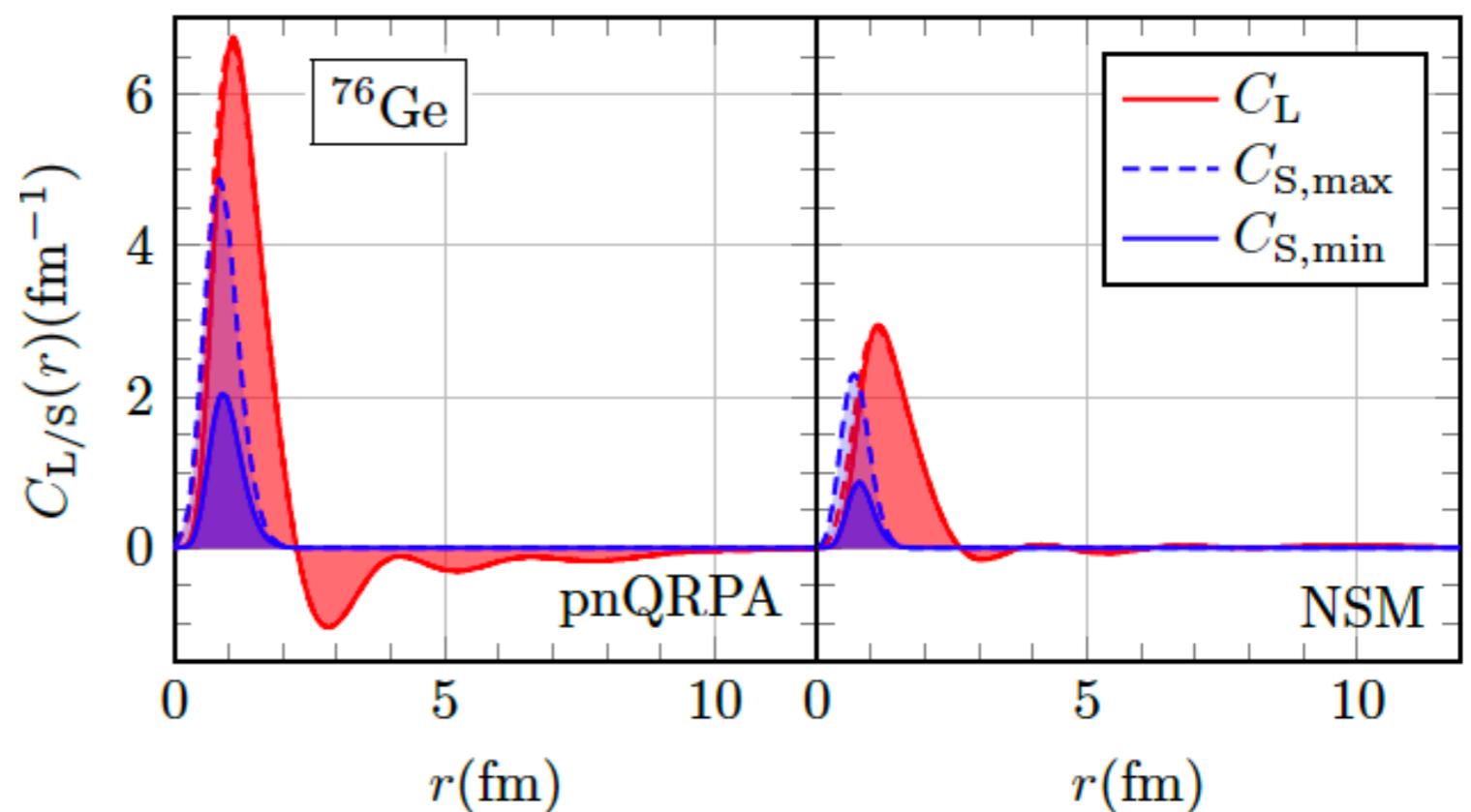
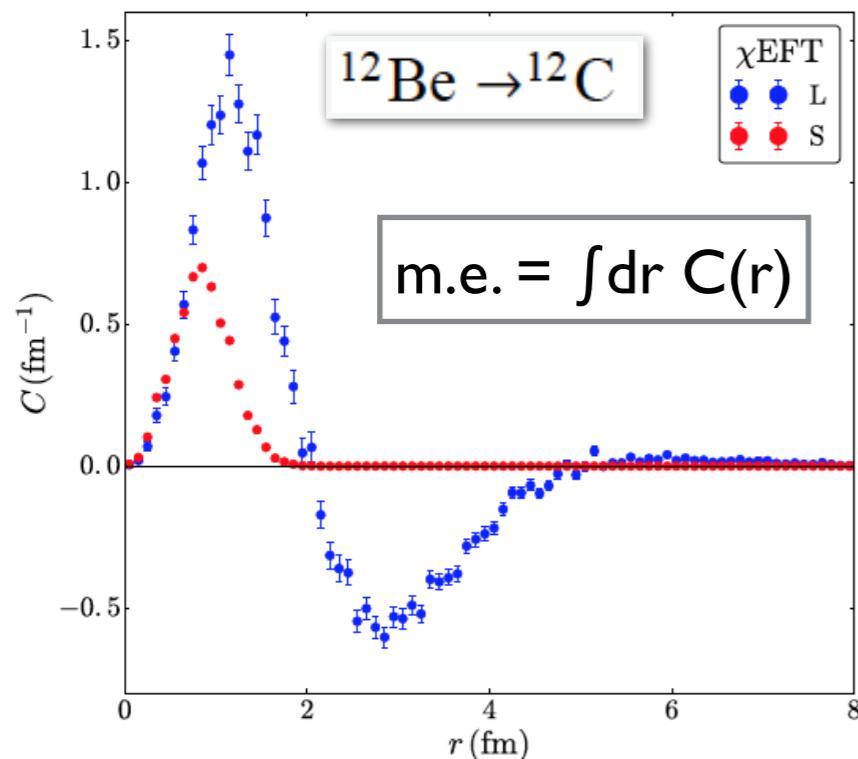
- Isospin symmetry relates  $g_v$  to one of two  $|I|=2$  e.m. couplings (hard  $\gamma$ 's &  $\nu$ 's)



- NN data ( $a_{nn} + a_{pp} - 2a_{np}$ ) determine  $C_1 + C_2$ , confirming LO scaling!

# Impact on nuclear matrix elements

- Assuming  $g_V \sim (C_1 + C_2)/2 \rightarrow O(1)$  impact on m.e. and  $m_{\beta\beta}$  extraction



70% effect in  $^{12}\text{Be}$  transition, using Variational Monte Carlo methods + Norfolk chiral potential [1606.06335]

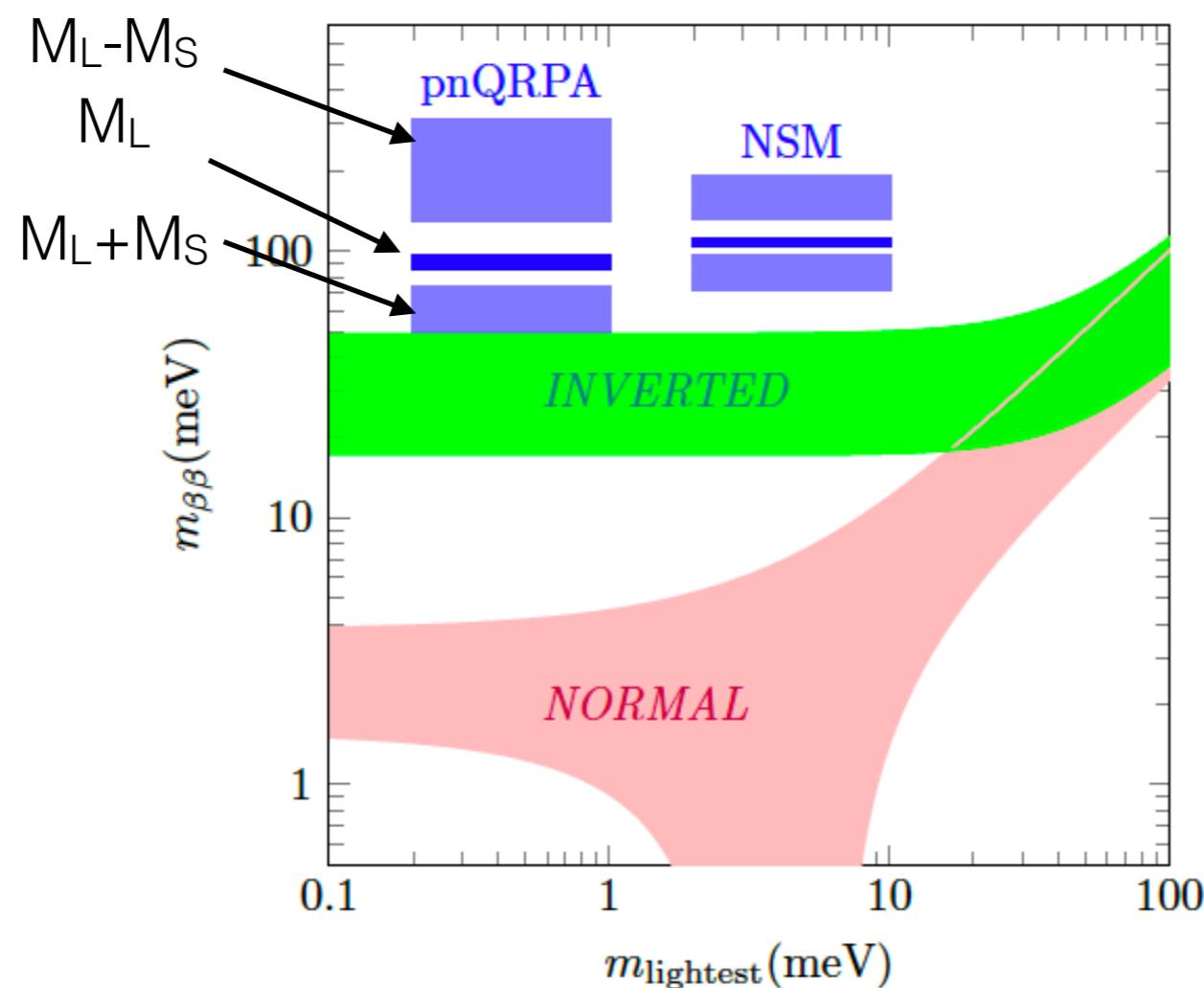
**VC, W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, S. Pastore, M. Piarulli, U. van Kolck, R. Wiringa,** 1907.11254

30-70% effect in QRPA and 15-45% in NSM.  
Similar or larger in other isotopes

**Jokiniemi-Soriano-Menendez,** 2107.13354

# Impact on nuclear matrix elements

- Assuming  $g_V \sim (C_1 + C_2)/2 \rightarrow O(1)$  impact on m.e. and  $m_{\beta\beta}$  extraction



Key question:  
is the interference  
constructive or  
destructive?

# Towards determining of $g_V$

- Large- $N_C$  arguments point to  $g_V \sim (C_1 + C_2)/2$

Richardson, Shindler, Pastore, Springer, 2102.02814

- Lattice QCD

- $\pi^- \rightarrow \pi^+ e^- e^-$  precisely known

Tuo et al. 1909.13525;  
Detmold, Murphy 2004.07404

- Formalism for NN developed

Davoudi, Kadam, 2012.02083

- Analytic approach inspired by Cottingham formula for  $\delta m_{p,n}$  (EM)

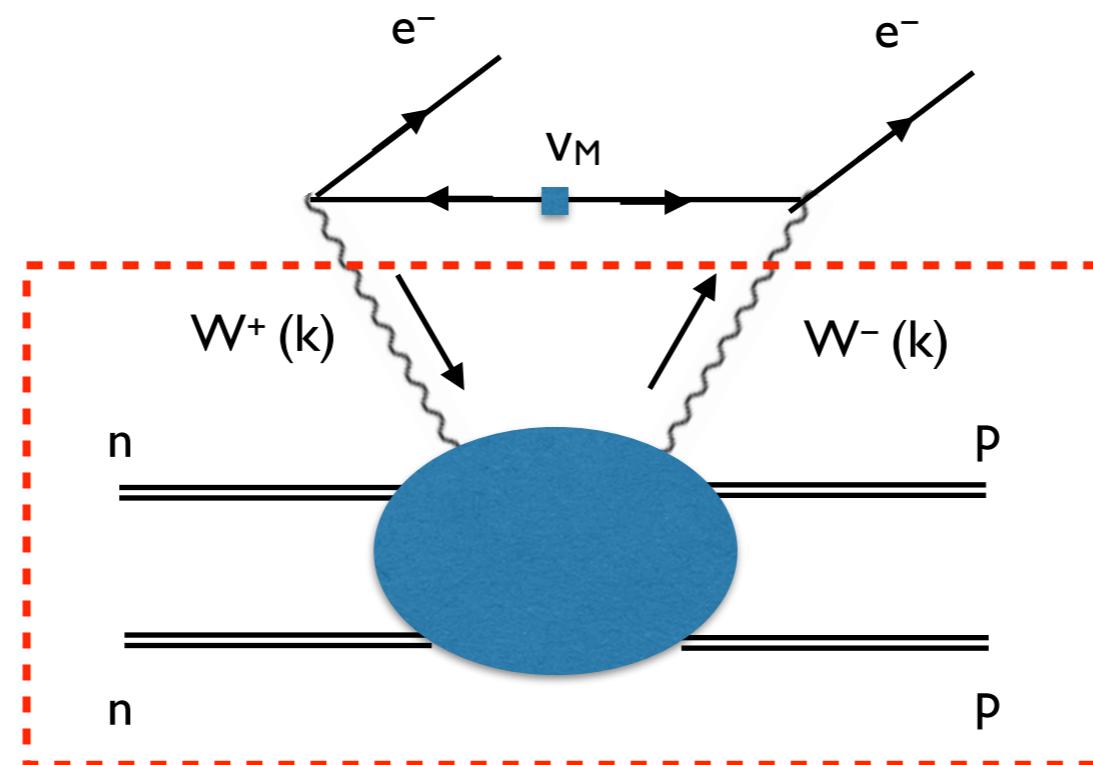
VC, Dekens, deVries, Hoferichter, Mereghetti, 2012.11602, 2102.03371

# Estimating the contact term (I)

VC, Dekens, deVries, Hoferichter, Mereghetti, 2012.11602, 2102.03371

- Useful representation of the amplitude

$$\mathcal{A}_\nu \propto \int \frac{d^4 k}{(2\pi)^4} \frac{g_{\alpha\beta}}{k^2 + i\epsilon} \boxed{\int d^4 x e^{ik \cdot x} \langle pp | T\{ j_w^\alpha(x) j_w^\beta(0) \} | nn \rangle}$$



Forward “Compton” amplitude

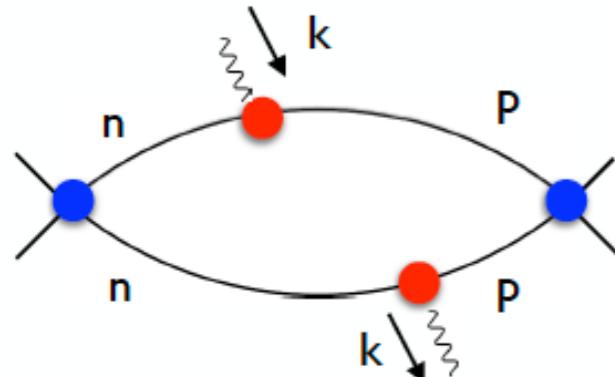
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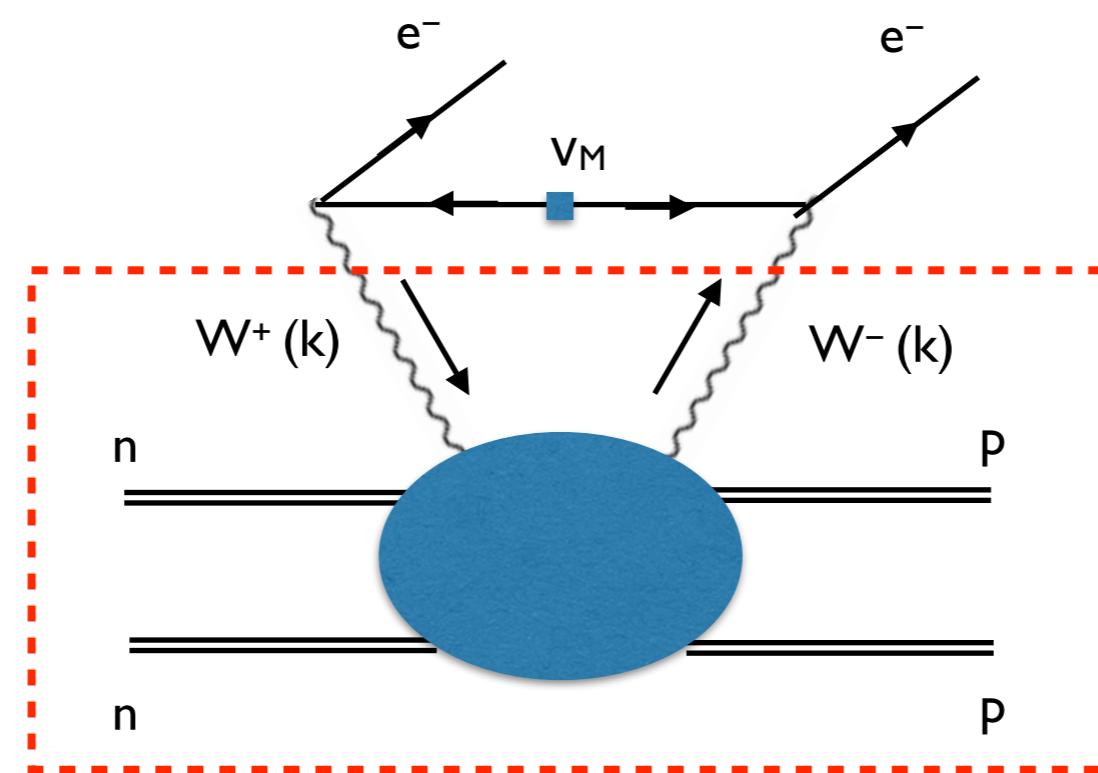
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Low  $k$ : chiral EFT to NLO

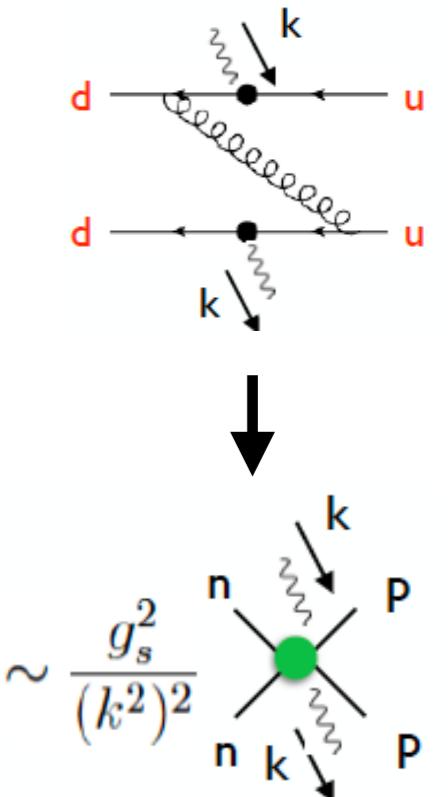


Intermediate  $k$ : resonance contributions in and ,  $\pi NN$  intermediate state, ...



Forward “Compton” amplitude

High  $k$ : QCD OPE

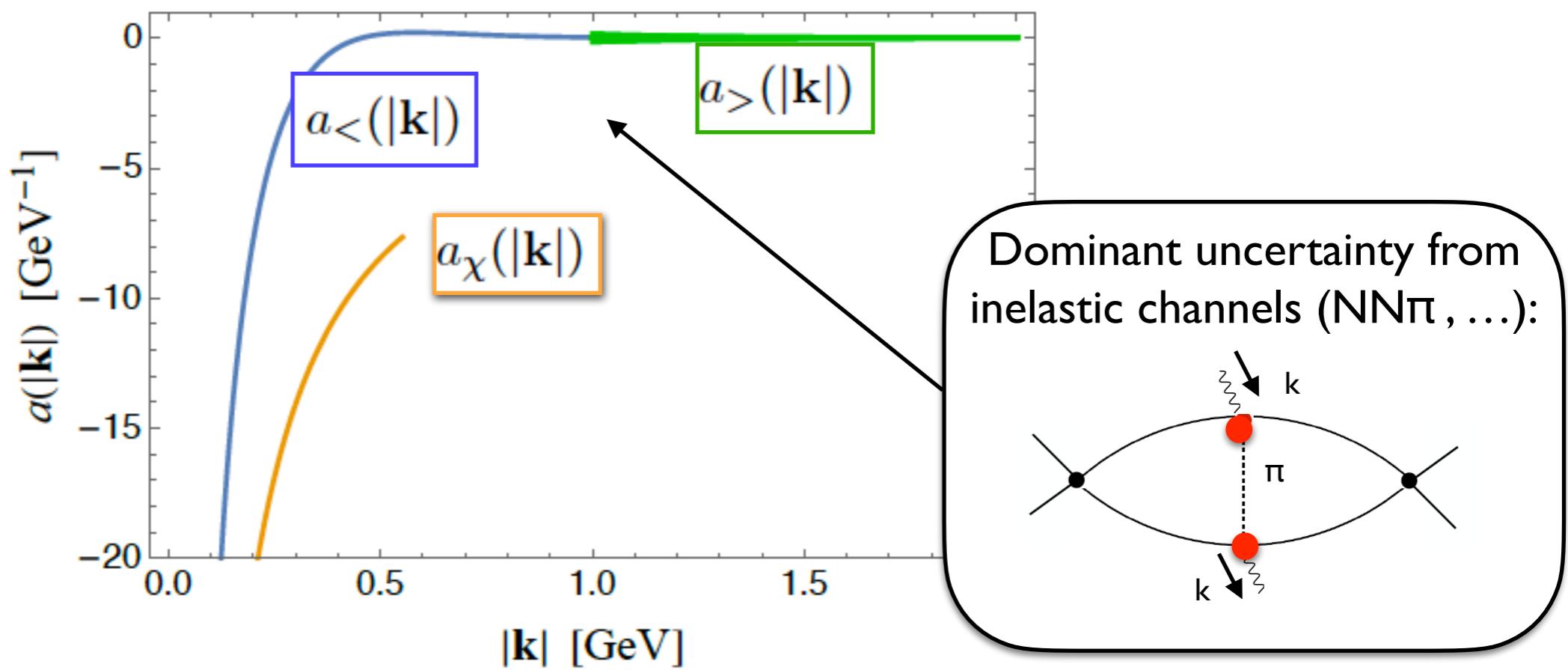


# Estimating the contact term (2)

VC, Dekens, deVries, Hoferichter, Mereghetti, 2012.11602, 2102.03371

- Determine  $C_{1,2}$  with  $\sim 30\%$  uncertainty (dominated by intermediate  $k$ )

$$\mathcal{A}_\nu \propto \int_0^\Lambda d|\mathbf{k}| a_<(|\mathbf{k}|) + \int_\Lambda^\infty d|\mathbf{k}| a_>(|\mathbf{k}|)$$



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- Validation:  $C_1 + C_2 \Rightarrow (a_{nn} + a_{pp})/2 - a_{np} = 15.5(4.5) \text{ fm}$  versus  $10.4(2) \text{ fm}$  (exp)
- Provided ‘synthetic data’ for the  $nn \rightarrow pp$  amplitude at threshold
- First calculation of  $^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$  with contact fitted to synthetic data  $\Rightarrow$  contact term enhances nuclear matrix element by  $(43 \pm 7)\%$

Wirth, Yao, Hergert, 2105.05415

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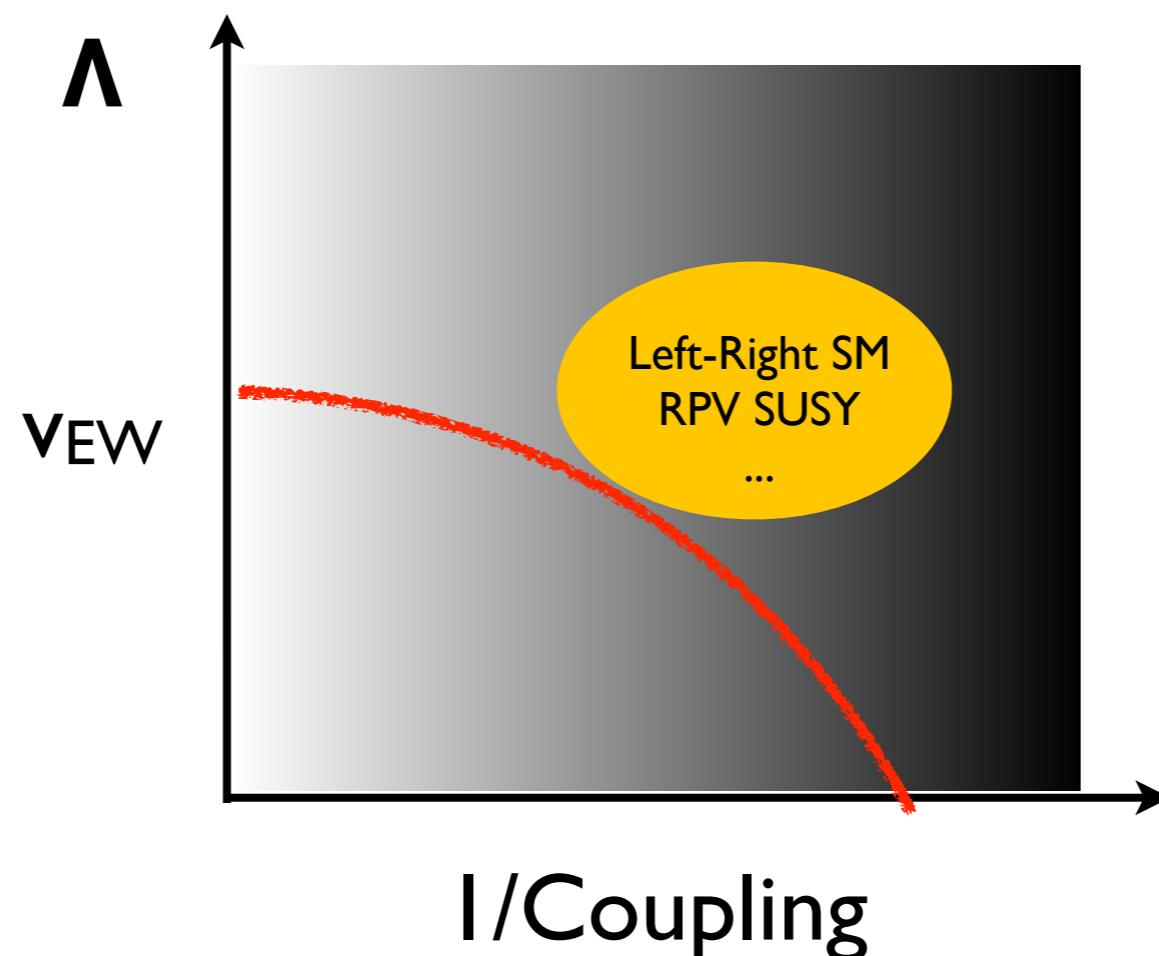
VC, Dekens, deVries, Hoferichter, Mereghetti, 2012.11602, 2102.03371

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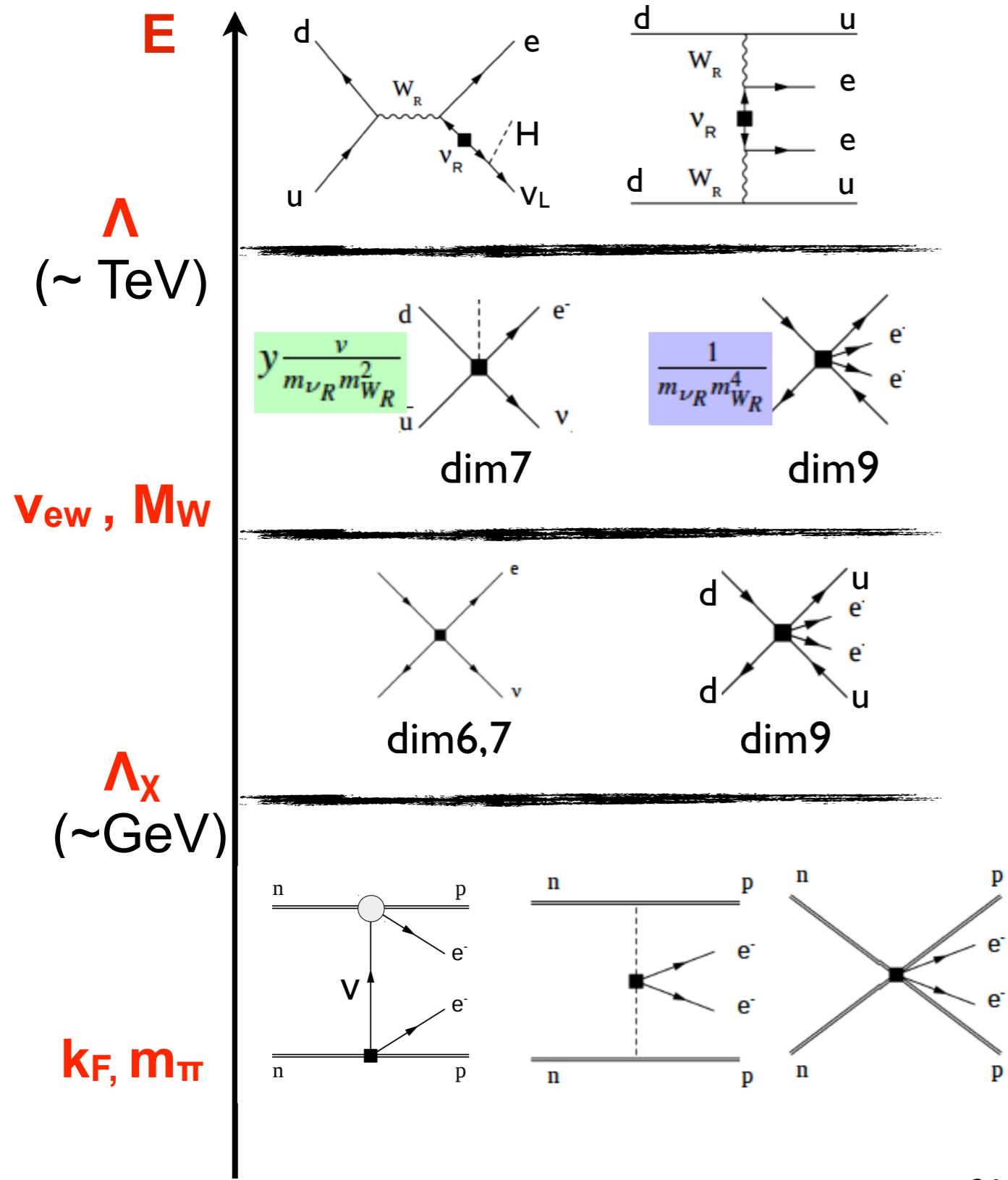
Wirth, Yao, Hergert, 2105.05415

Good news, while we wait for lattice results

# $0\nu\beta\beta$ from multi-TeV scale dynamics (dim-7, 9, ... operators)



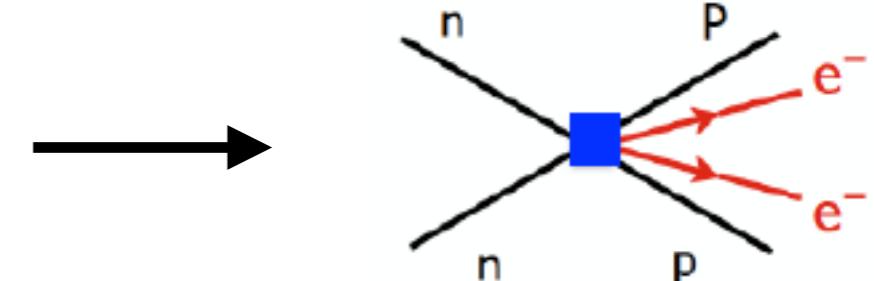
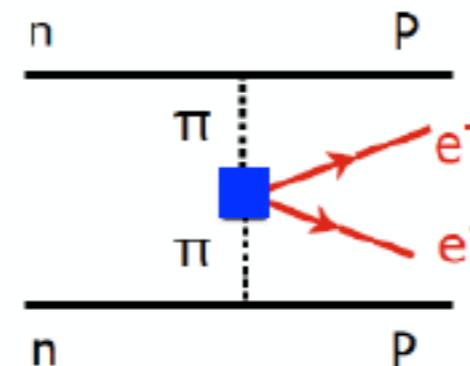
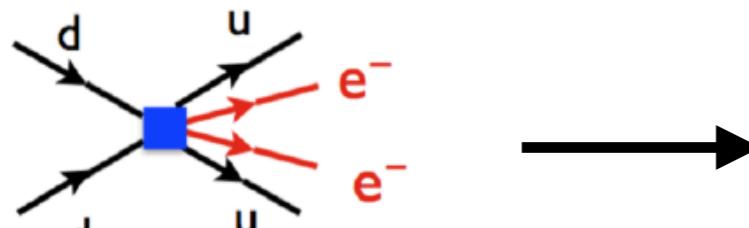
# $\sim$ TeV-scale LNV



- Higher dim operators arise in well motivated models  
See talks by Babu, Fileviez Perez., ...
- 31 operators up to dimension 9
- New mechanisms at the hadronic scale:  
need appropriate chiral EFT treatment.  
**Not including pion range effects leads to factor  $\sim (Q/\Lambda_X)^2 \sim 1/100$  reduction in sensitivity to short-distance couplings!**

# Hadronic theory developments

- Leading order hadronic realization of dim-9 operators:



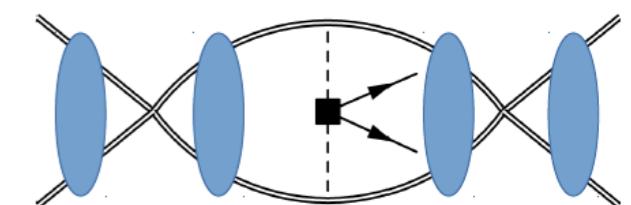
In Weinberg's counting,  
pion-exchange contribution  
dominates

Prezeau, Ramsey-Musolf, Vogel  
[hep-ph/0303205](#)

$\pi\pi\pi$  matrix element known  
from Lattice QCD at <10%

Nicholson et al (CalLat),  
[1805.02634](#)

Renormalization requires a  
contact at the same order!



VC, W. Dekens, J. de Vries, M. Graesser,  
E. Mereghetti [1806.02780]

- Several unknown LO NN contact couplings! Opportunity for LQCD

# Phenomenological interest

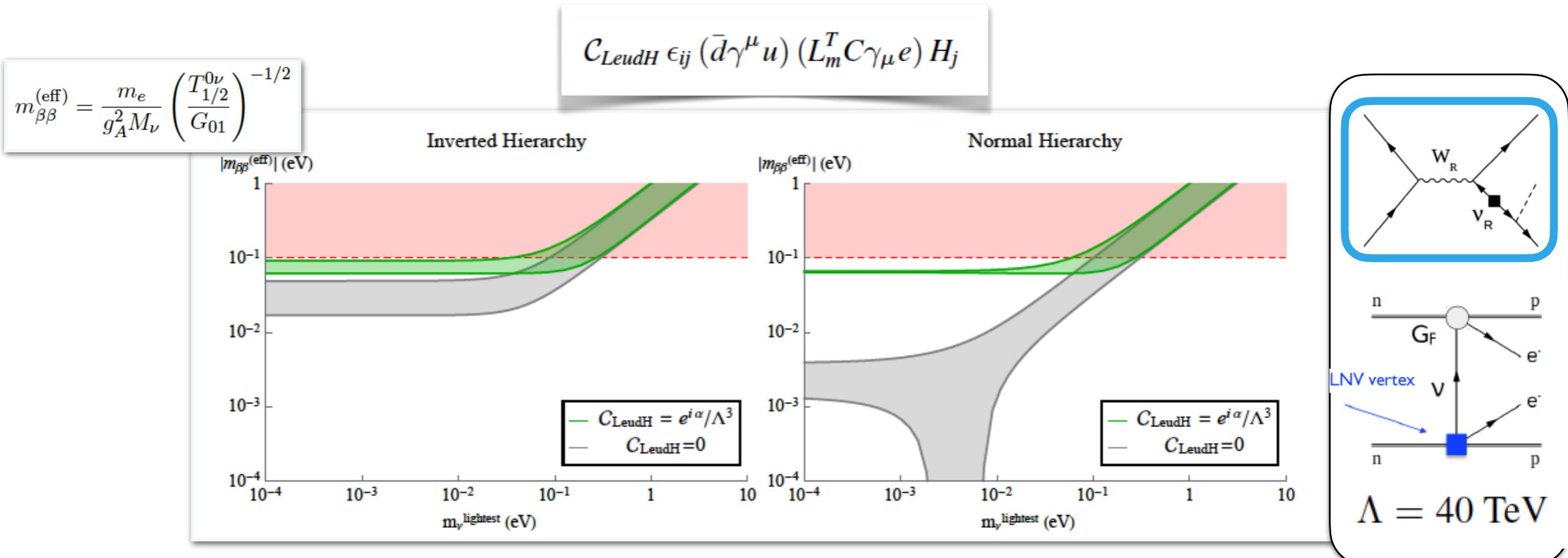
- TeV-scale LNV induces contributions to  $0\nu\beta\beta$  *not directly related to the exchange of light neutrinos*, within reach of current experiments

New contributions can add incoherently or interfere with  $m_{\beta\beta}$ , significantly affecting the interpretation of experimental results

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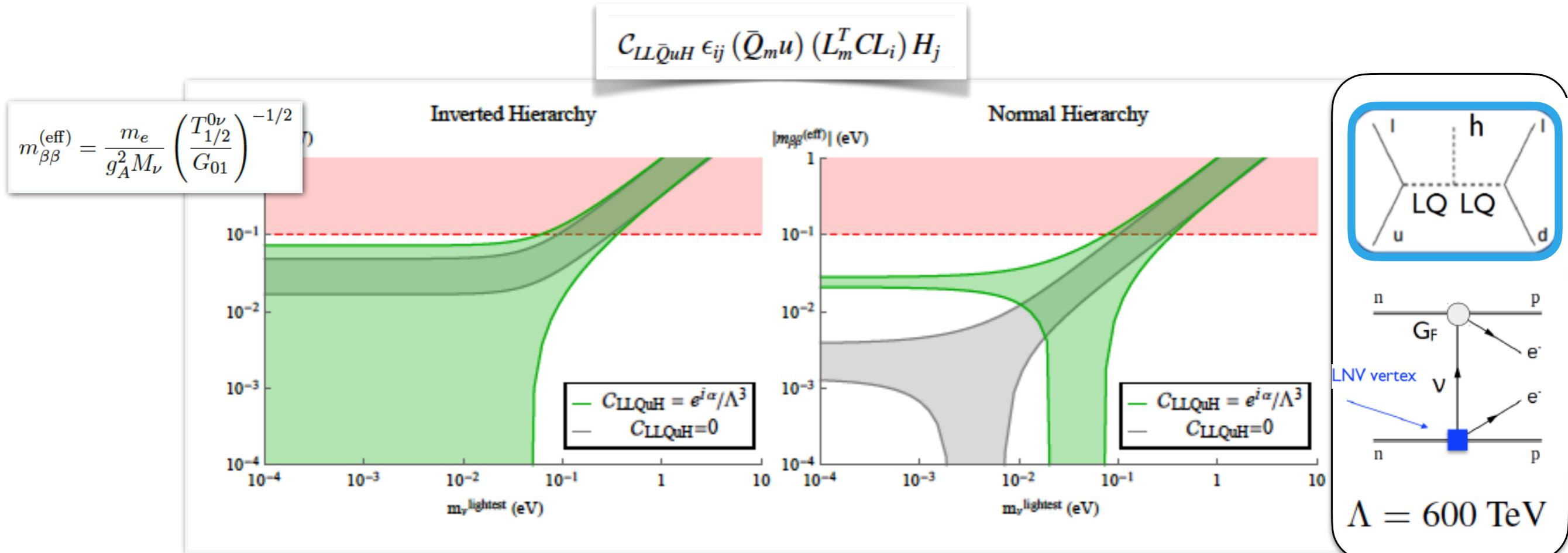
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- TeV-scale LNV induces contributions to  $0\nu\beta\beta$  *not directly related to the exchange of light neutrinos*, within reach of current experiments
- May lead to correlated (or precursor!) signal at LHC:  $\text{pp} \rightarrow \text{ee jj}$

Keung-Senjanovic '83

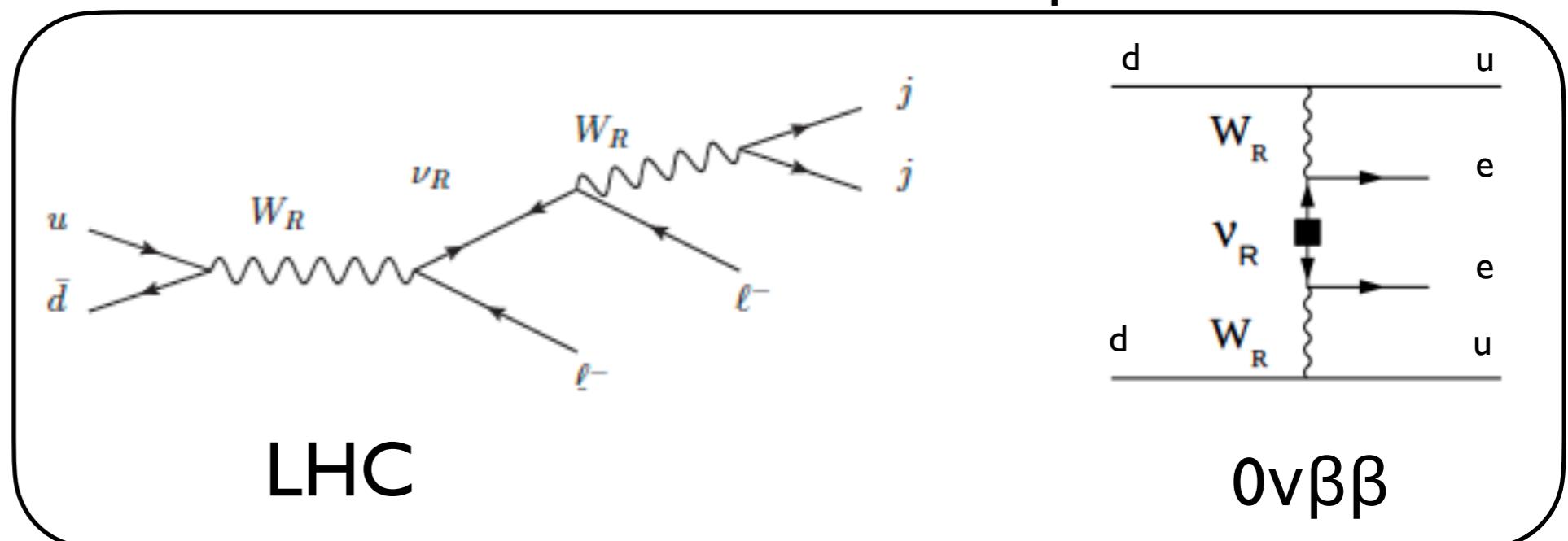
Maiezza-Nemevesek-  
Nesti- Senjanovic  
1005.5160

Helo-Kovalenko-Hirsch-  
Pas 1303.0899, 1307.4849

Cai, Han, Li, Ruiz  
1711.02180

...

## Classic LRSM example



# Phenomenological interest

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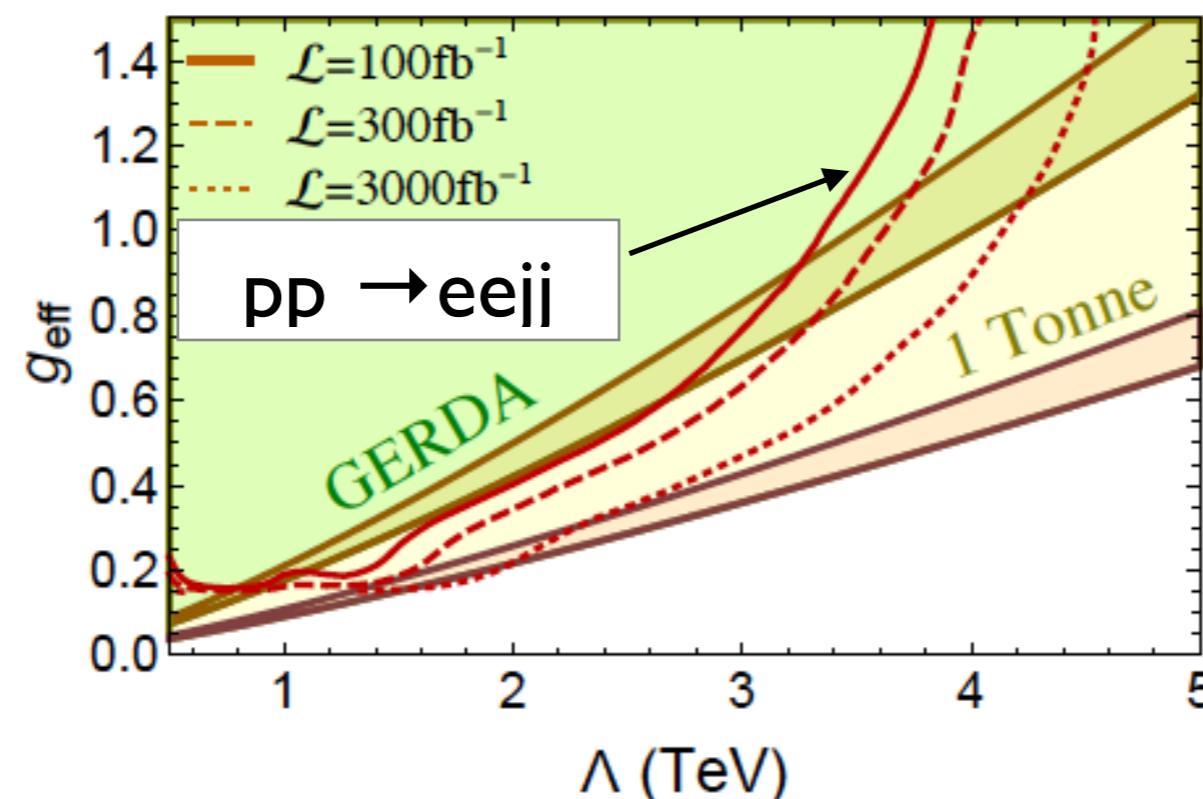
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Cai, Han, Li, Ruiz  
1711.02180

Peng, Ramsey-Musolf,  
Winslow, 1508.0444

...

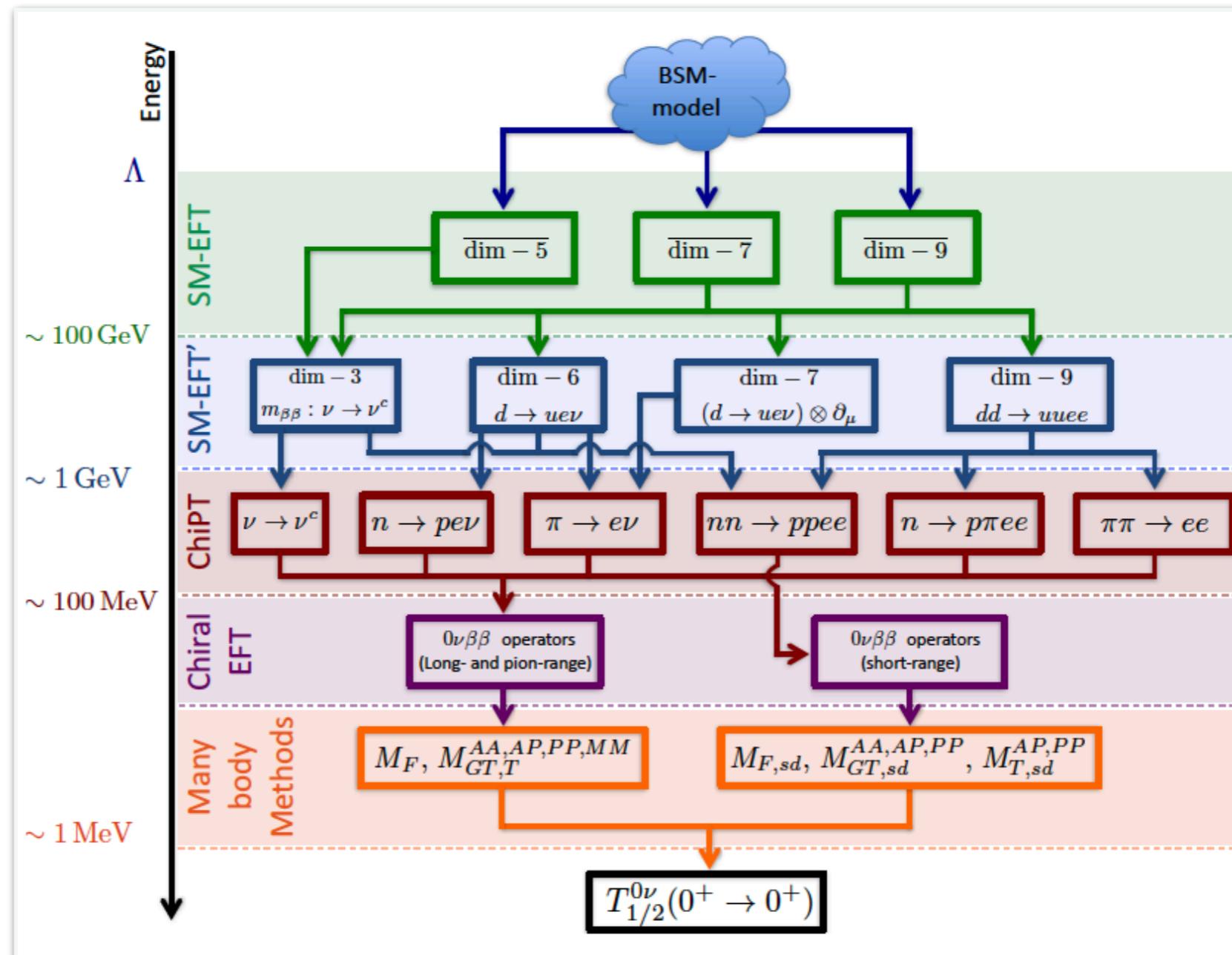


Simplified model

$$M_S = M_F = M_{\text{eff}} \quad (g_{\text{eff}})^4 = g_1^2 g_2^2$$
$$A_{0\nu\beta\beta} \sim (g_{\text{eff}})^4 / (M_{\text{eff}})^5$$

# Summary: EFT-based master formula

- Framework to interpret  $0\nu\beta\beta$  searches in terms of any high-scale model and possibly unravel the underlying mechanism in case of discovery



# Conclusions & Outlook

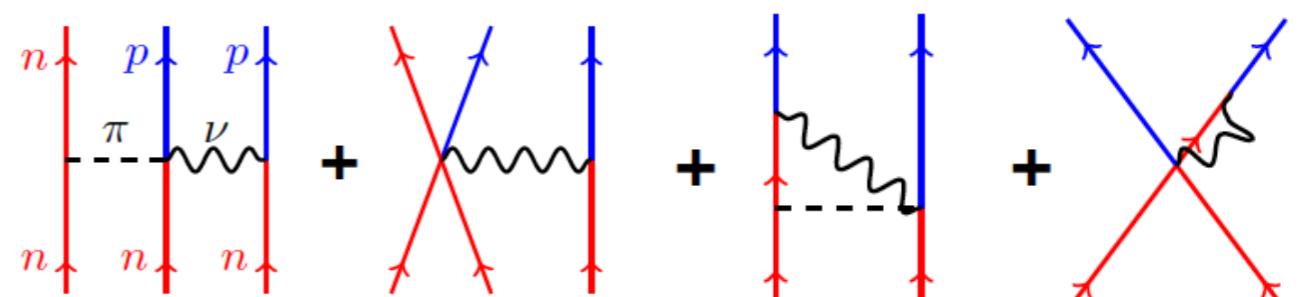
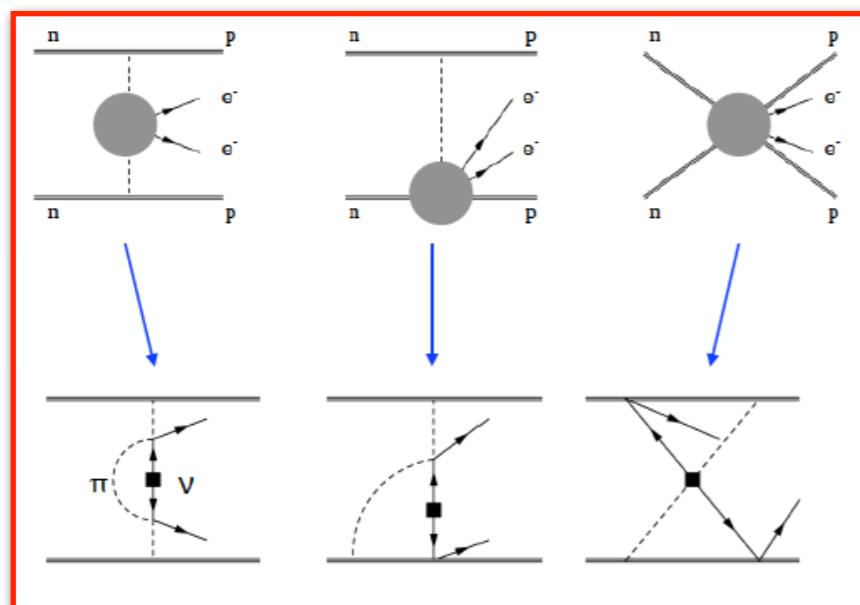
- Ton-scale  $0\nu\beta\beta$  searches have **significant discovery potential** — we simply don't know the origin of  $m_\nu$  and the scale  $\Lambda$  associated with LNV
- EFT approach provides a general framework to:
  - I. Relate  $0\nu\beta\beta$  to underlying LNV dynamics (and collider & cosmology)
    - Master formula for  $0\nu\beta\beta$  up dim-9 operators
  2. Organize contributions to hadronic and nuclear matrix elements
    - Identified new leading order short-range contributions

Improving the theory uncertainty is challenging, but there are exciting prospects thanks to advances in EFT, lattice QCD, and nuclear structure

# Backup

# LNV@dim5: What about higher orders?

- N2LO
  - $\pi N$  loops + new contact VC, W. Dekens, E. Mereghetti, A. Walker-Loud, 1710.01729
  - 2-body  $\times$  1-body current (and a new contact) Wang-Engel-Yao 1805.10276
  - Neglecting contact terms, calculations in light and heavy nuclei find  $O(10\%)$  corrections: encouraging!  
S. Pastore, J. Carlson, V.C., W. Dekens, E. Mereghetti, R. Wiringa 1710.05026  
J. Engel, private communication

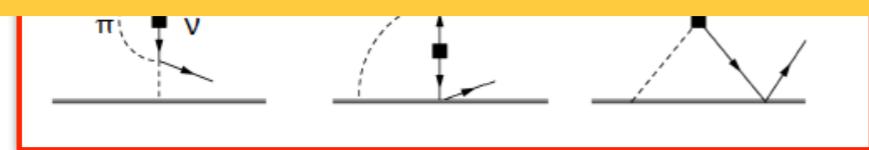


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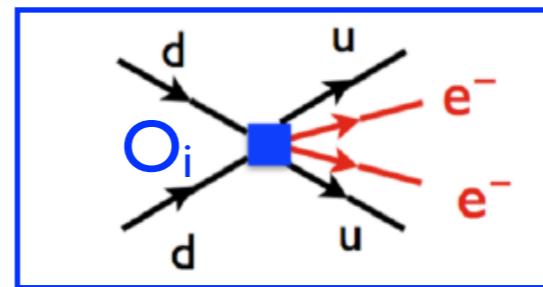
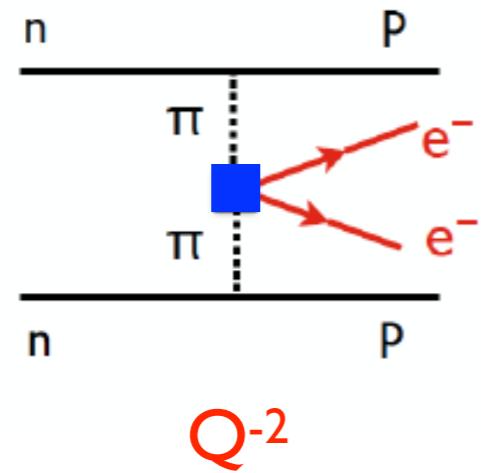


Full analysis beyond leading order requires again matching to Lattice QCD and dedicated many body calculations — long term goal

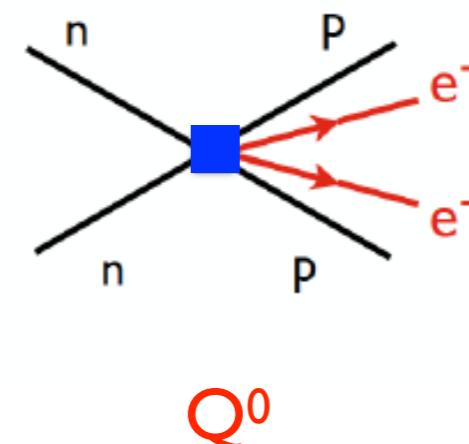


# TeV-scale LNV: hadronic theory developments

Pion-range  
effects



Short-range  
effects



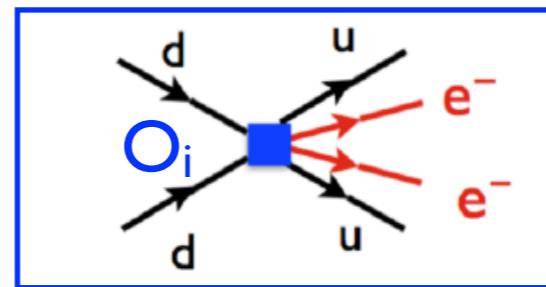
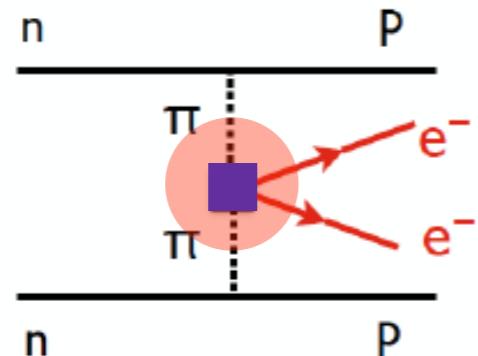
Hadronic realization of dim-9 operators in chiral EFT

Weinberg's counting (NDA for NN contact)  $\rightarrow V_{\pi\pi}$  dominates

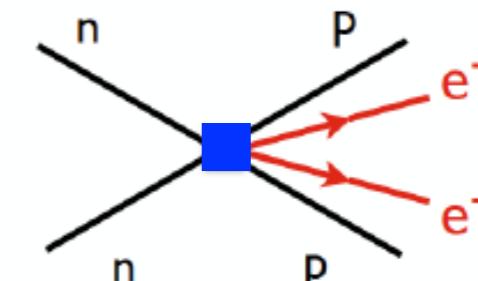
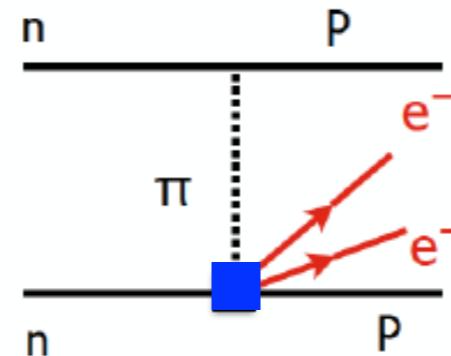
Prezeau, Ramsey-Musolf, Vogel [hep-ph/0303205](#)

# TeV-scale LNV: hadronic theory developments

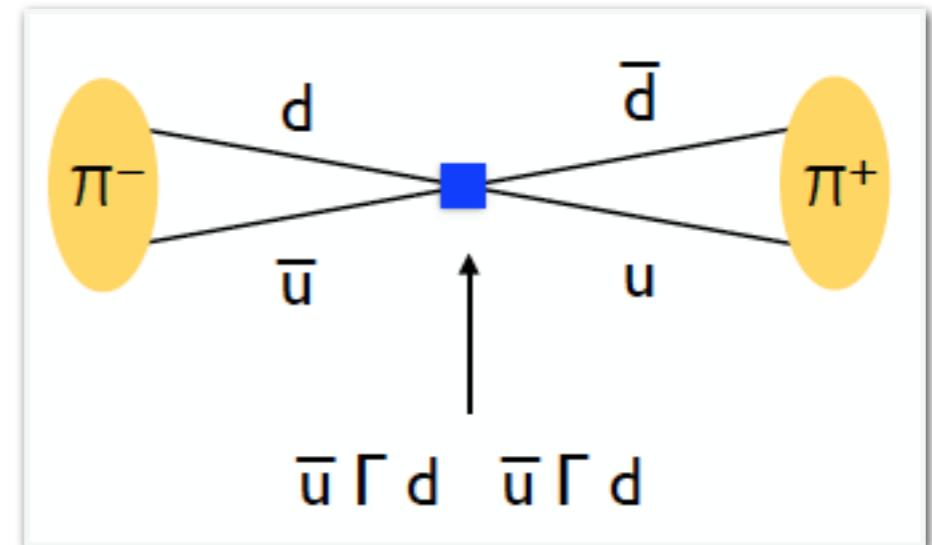
Pion-range effects



Short-range effects



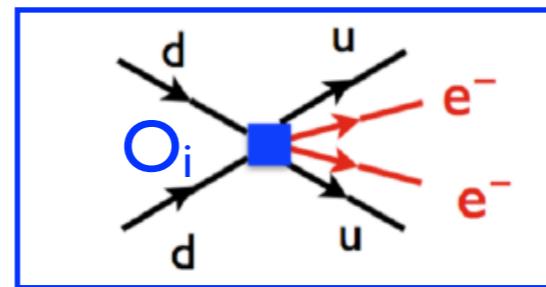
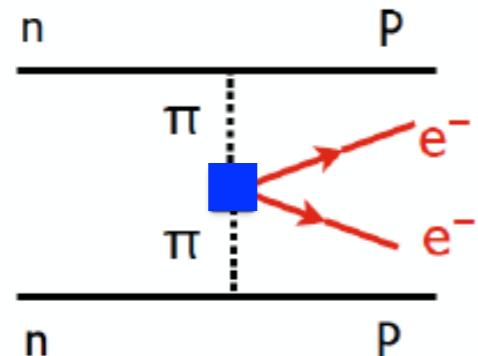
- Two recent developments:
  - I.  $\pi\pi\pi$  matrix elements now precisely calculated in lattice QCD ( $\sim 10\%$  or better)



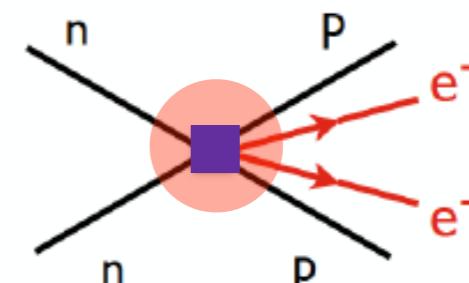
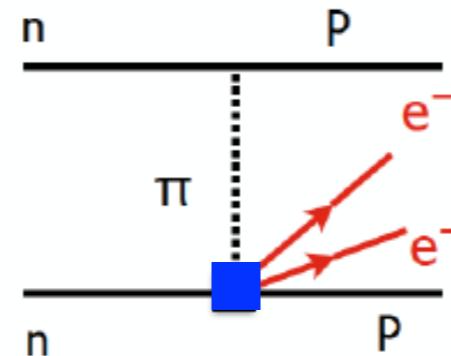
Nicholson et al (CalLat), 1805.02634, PRL

# TeV-scale LNV: hadronic theory developments

Pion-range  
effects

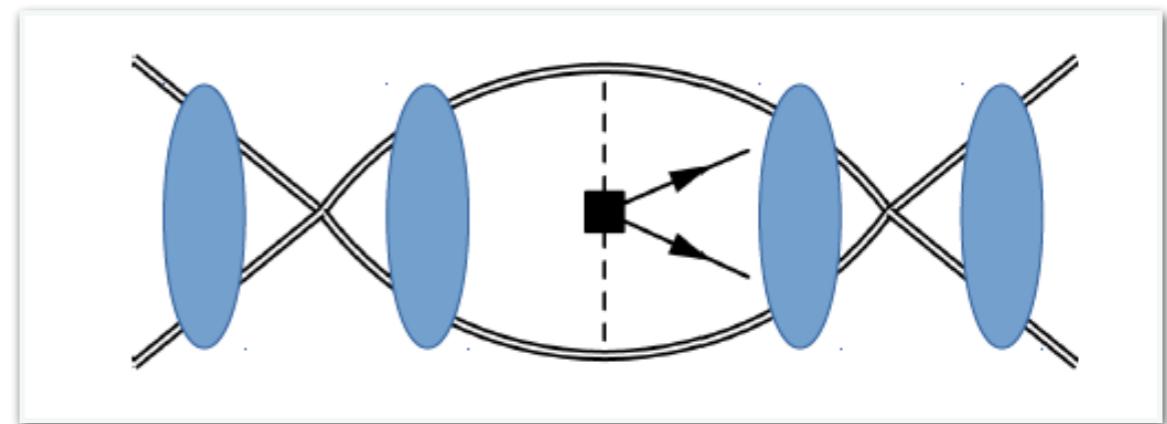


Short-range  
effects



- Two recent developments:

2. Renormalization  $\rightarrow V_{\pi\pi}$  and  $V_{NN}$  are both leading order



# Dimension 6 and 7 operators

$$\begin{aligned}\mathcal{L}_{\Delta L=2}^{(6)} = & \frac{2G_F}{\sqrt{2}} \left( C_{\text{VL},ij}^{(6)} \bar{u}_L \gamma^\mu d_L \bar{e}_{R,i} \gamma_\mu C \bar{\nu}_{L,j}^T + C_{\text{VR},ij}^{(6)} \bar{u}_R \gamma^\mu d_R \bar{e}_{R,i} \gamma_\mu C \bar{\nu}_{L,j}^T \right. \\ & \left. + C_{\text{SR},ij}^{(6)} \bar{u}_L d_R \bar{e}_{L,i} C \bar{\nu}_{L,j}^T + C_{\text{SL},ij}^{(6)} \bar{u}_R d_L \bar{e}_{L,i} C \bar{\nu}_{L,j}^T + C_{\text{T},ij}^{(6)} \bar{u}_L \sigma^{\mu\nu} d_R \bar{e}_{L,i} \sigma_{\mu\nu} C \bar{\nu}_{L,j}^T \right) + \text{h.c.}\end{aligned}$$

$$\mathcal{L}_{\Delta L=2}^{(7)} = \frac{2G_F}{\sqrt{2}v} \left( C_{\text{VL},ij}^{(7)} \bar{u}_L \gamma^\mu d_L \bar{e}_{L,i} C i \overleftrightarrow{\partial}_\mu \bar{\nu}_{L,j}^T + C_{\text{VR},ij}^{(7)} \bar{u}_R \gamma^\mu d_R \bar{e}_{L,i} C i \overleftrightarrow{\partial}_\mu \bar{\nu}_{L,j}^T \right) + \text{h.c.}$$

# Dimension 9 operators

$$\mathcal{L}_{\Delta L=2}^{(9)} = \frac{1}{v^5} \sum_i \left[ \left( C_{iR}^{(9)} \bar{e}_R C \bar{e}_R^T + C_{iL}^{(9)} \bar{e}_L C \bar{e}_L^T \right) O_i + C_i^{(9)} \bar{e} \gamma_\mu \gamma_5 C \bar{e}^T O_i^\mu \right]$$

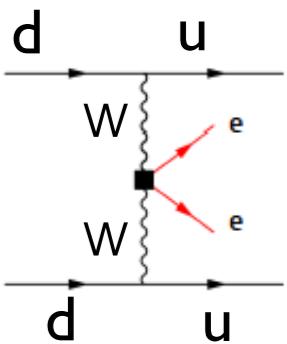
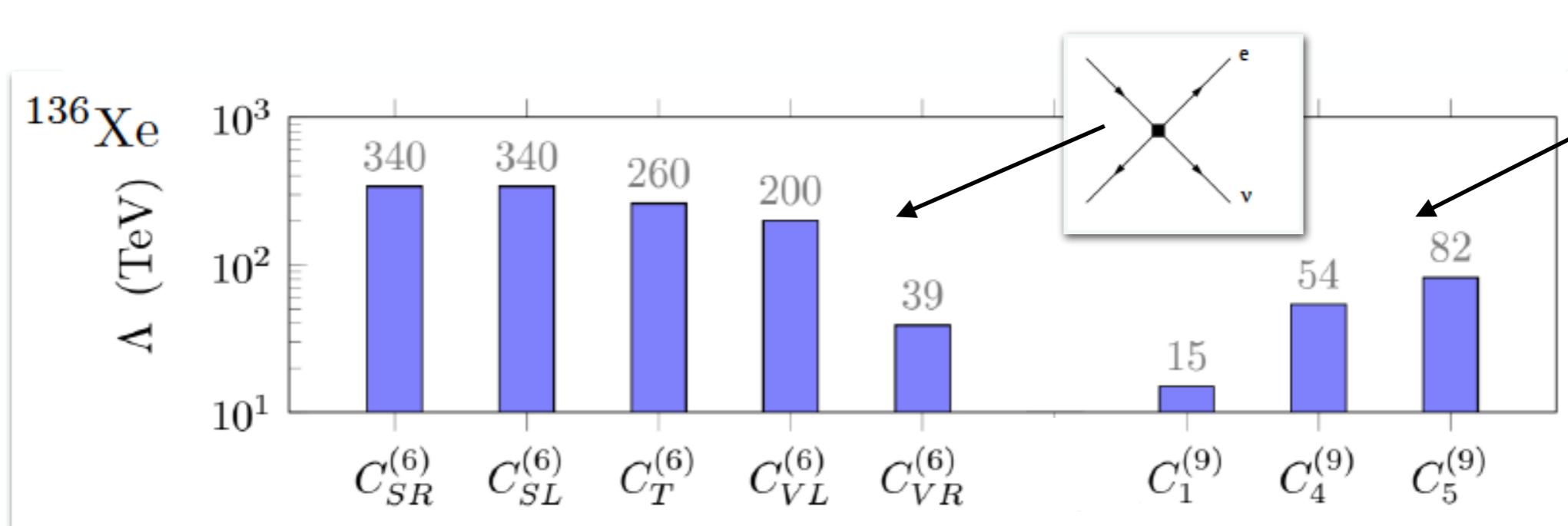
$O_1 = \bar{q}_L^\alpha \gamma_\mu \tau^+ q_L^\alpha \bar{q}_L^\beta \gamma^\mu \tau^+ q_L^\beta,$	$O'_1 = \bar{q}_R^\alpha \gamma_\mu \tau^+ q_R^\alpha \bar{q}_R^\beta \gamma^\mu \tau^+ q_R^\beta$
$O_2 = \bar{q}_R^\alpha \tau^+ q_L^\alpha \bar{q}_R^\beta \tau^+ q_L^\beta,$	$O'_2 = \bar{q}_L^\alpha \tau^+ q_R^\alpha \bar{q}_L^\beta \tau^+ q_R^\beta,$
$O_3 = \bar{q}_R^\alpha \tau^+ q_L^\beta \bar{q}_R^\beta \tau^+ q_L^\alpha,$	$O'_3 = \bar{q}_L^\alpha \tau^+ q_R^\beta \bar{q}_L^\beta \tau^+ q_R^\alpha,$
$O_4 = \bar{q}_L^\alpha \gamma_\mu \tau^+ q_L^\alpha \bar{q}_R^\beta \gamma^\mu \tau^+ q_R^\beta,$	
$O_5 = \bar{q}_L^\alpha \gamma_\mu \tau^+ q_L^\beta \bar{q}_R^\beta \gamma^\mu \tau^+ q_R^\alpha,$	

$O_6^\mu = (\bar{q}_L \tau^+ \gamma^\mu q_L) (\bar{q}_L \tau^+ q_R),$	$O_6^{\mu'} = (\bar{q}_R \tau^+ \gamma^\mu q_R) (\bar{q}_R \tau^+ q_L),$
$O_7^\mu = (\bar{q}_L t^a \tau^+ \gamma^\mu q_L) (\bar{q}_L t^a \tau^+ q_R),$	$O_7^{\mu'} = (\bar{q}_R t^a \tau^+ \gamma^\mu q_R) (\bar{q}_R t^a \tau^+ q_L),$
$O_8^\mu = (\bar{q}_L \tau^+ \gamma^\mu q_L) (\bar{q}_R \tau^+ q_L),$	$O_8^{\mu'} = (\bar{q}_R \tau^+ \gamma^\mu q_R) (\bar{q}_L \tau^+ q_R),$
$O_9^\mu = (\bar{q}_L t^a \tau^+ \gamma^\mu q_L) (\bar{q}_R t^a \tau^+ q_L),$	$O_9^{\mu'} = (\bar{q}_R t^a \tau^+ \gamma^\mu q_R) (\bar{q}_L t^a \tau^+ q_R),$

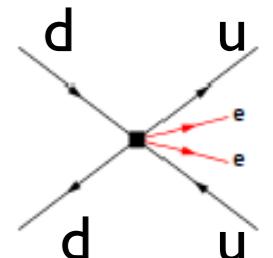
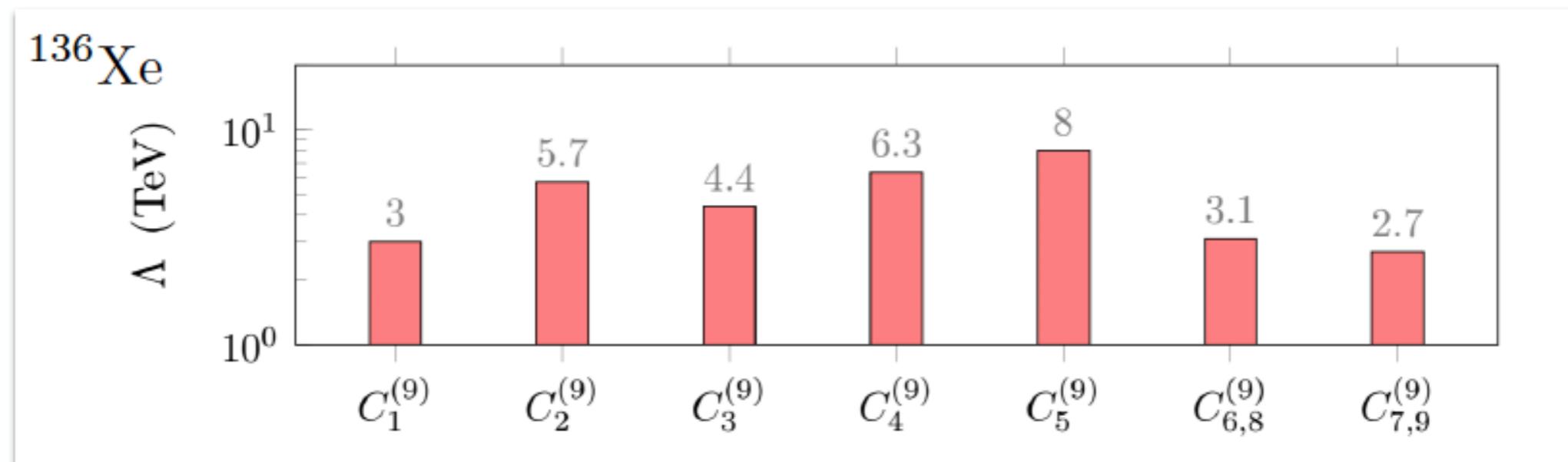
# What scales are we probing?

VC, W. Dekens, J. de Vries, M. Graesser, E. Mereghetti, 1806.02780

Dim 7 in  
SM-EFT



Dim 9 in  
SM-EFT



Bounds reflect dependence on  $\Lambda_x / \Lambda$  and  $Q/\Lambda_x$