Overview of Neutrino Flavor Models

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Where Do We Stand?

Esteban, Gonzalez-Garcia, Hernandez-Cabezudo, Maltoni, Schwetz, 1811.05487

• Latest 3 neutrino global analysis:

	Normal Ord	lering (best fit)	Inverted Ordering $(\Delta \chi^2 = 9.3)$		
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range	
$\sin^2 heta_{12}$	$0.310\substack{+0.013\\-0.012}$	$0.275 \rightarrow 0.350$	$0.310\substack{+0.013\\-0.012}$	$0.275 \rightarrow 0.350$	
$ heta_{12}/^\circ$	$33.82\substack{+0.78\\-0.76}$	$31.61 \rightarrow 36.27$	$33.82\substack{+0.78\\-0.75}$	$31.62 \rightarrow 36.27$	
$\sin^2 heta_{23}$	$0.582\substack{+0.015\\-0.019}$	$0.428 \rightarrow 0.624$	$0.582\substack{+0.015\\-0.018}$	$0.433 \rightarrow 0.623$	
$ heta_{23}/^{\circ}$	$49.7^{+0.9}_{-1.1}$	$40.9 \rightarrow 52.2$	$49.7^{+0.9}_{-1.0}$	$41.2 \rightarrow 52.1$	
$\sin^2 heta_{13}$	$0.02240\substack{+0.00065\\-0.00066}$	$0.02044 \rightarrow 0.02437$	$0.02263\substack{+0.00065\\-0.00066}$	$0.02067 \rightarrow 0.02461$	
$ heta_{13}/^{\circ}$	$8.61\substack{+0.12 \\ -0.13}$	$8.22 \rightarrow 8.98$	$8.65\substack{+0.12 \\ -0.13}$	$8.27 \rightarrow 9.03$	
$\delta_{ m CP}/^{\circ}$	217^{+40}_{-28}	$135 \rightarrow 366$	280^{+25}_{-28}	$196 \rightarrow 351$	
$\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV}^2}$	$7.39\substack{+0.21 \\ -0.20}$	$6.79 \rightarrow 8.01$	$7.39\substack{+0.21 \\ -0.20}$	6.79 ightarrow 8.01	
$\frac{\Delta m^2_{3\ell}}{10^{-3}~{\rm eV}^2}$	$+2.525\substack{+0.033\\-0.031}$	$+2.431 \rightarrow +2.622$	$-2.512\substack{+0.034\\-0.031}$	$-2.606 \rightarrow -2.413$	

- ⇒ evidence of $\theta_{13} \neq 0$
- ⇒ hints of $\theta_{23} \neq \pi/4$
- \Rightarrow expectation of Dirac CP phase δ

Recent T2K result $\Rightarrow \delta \approx -\pi/2$, consistent with global fit best fit value



- Majorana vs Dirac?
- CP violation in lepton sector?
- Absolute mass scale of neutrinos?
- $rac{1}{\sim}$ Mass ordering: sign of (Δm_{13}^2)?
- $rightarrow Precision: \theta_{23} > \pi/4, \theta_{23} < \pi/4, \theta_{23} = \pi/4$?
- Sterile neutrino(s)?

a suite of current and upcoming experiments to address these puzzles

some can only be answered by oscillation experiments

Open Questions - Theoretical



Smallness of neutrino mass:



 $m_V \ll m_{e, u, d}$

☞ Flavor structure:



leptonic mixing



quark mixing

Open Questions - Theoretical



Smallness of neutrino mass:

 $m_V \ll m_{e, u, d}$



Fermion mass and hierarchy problem → Many free parameters in the Yukawa sector of SM

Flavor structure:





quark mixing

Smallness of neutrino masses

What is the operator for neutrino mass generation?

- Majorana vs Dirac
- scale of the operator
- suppression mechanism

Neutrino Mass beyond the SM

• SM: effective low energy theory

• only one dim-5 operator: most sensitive to high scale physics

$$rac{\lambda_{ij}}{M} HHL_iL_j \quad \Rightarrow \quad m_{
u} = \lambda_{ij} rac{v^2}{M}$$
 Weinberg, 1979

• $m_v \sim (\Delta m_{atm}^2)^{1/2} \sim 0.1 \text{ eV}$ with $v \sim 100 \text{ GeV}$, $\lambda \sim O(1) \Rightarrow M \sim 10^{14} \text{ GeV}$

• Lepton number violation $\Delta L = 2 \Rightarrow$ Majorana fermions

GUT scale

Neutrino Mass beyond the SM



Lazarides, 1980; Mohapatra, Senjanovic, 1980

Minkowski, 1977; Yanagida, 1979; Glashow, 1979; Gell-mann, Ramond, Slansky,1979; Mohapatra, Senjanovic, 1979; Σ_{R} : SU(3)_c x SU(2)_w x U(1)_Y ~(1,3,0)

Foot, Lew, He, Joshi, 1989; Ma, 1998

Why are neutrinos light? (Type-I) Seesaw Mechanism

• Adding the right-handed neutrinos:

$$\begin{pmatrix} \mathbf{v}_L & \mathbf{v}_R \end{pmatrix} \begin{pmatrix} \mathbf{0} & \mathbf{m}_D \\ \mathbf{m}_D & \mathbf{M}_R \end{pmatrix} \begin{pmatrix} \mathbf{v}_L \\ \mathbf{v}_R \end{pmatrix}$$

$$egin{aligned} m_{m v} &\sim m_{light} \sim rac{m_D^2}{M_R} << m_D \ m_{heavy} &\sim M_R \end{aligned}$$

For
$$m_{v_3} \sim \sqrt{\Delta m_{atm}^2}$$

$$m_D \sim m_t \sim 180 ~GeV$$







Grand Unification Naturally Accommodates Seesaw



- radiative mass generation^{ight}odel dependent singly/doubly charged SU(2) singlet, even colored scalars in loops
- New interactions:
 - LR symmetric model: W_R

• **R** parity violation:
$$\tan^2 \theta_{\text{atm}} \simeq \frac{BR(\tilde{\chi}_1^0 \to \mu^{\pm} W^{\mp})}{BR(\tilde{\chi}_1^0 \to \tau^{\pm} W^{\mp})}$$

Mukhopadhyaya, Roy, Vissani, 1998

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What if neutrinos are Dirac?

Naturally Light Dirac Neutrinos from SUSY

- MSSM: many attractive features (solving gauge hierarchy problem, gauge unification)
 - Dirac neutrino mass from Kähler potential

Arkani-Hamed, Hall, Murayama, Tucker-Smith, Weiner (2001)

<X>: SUSY breaking VEV

- · However, it has several problems
 - mu problem: $\mu \ll M_{pl}$
 - Giudice-Masiero mechanism

Giudice, Masiero (1988)

- absence of mu term in superpotential
- effective mu term (non-perturbatively) from Kähler potential

- proton decay through dim-4, dim-5 operators
 - dim-4 operators: forbidden by imposing R-parity
 - dim-5 operators: severe experimental constraints on the models
- no symmetry reason for the absence of holomorphic mu term/Dirac neutrino mass

Neutrino Mass and the μ Term

- Requiring Symmetries
 - to forbid mu term
 - be anomaly-free
 - be consistent with SU(5)
- continuous R symmetries not available
 A.H. Chamseddine, H.K. Dreiner (1996)
- Search Abelian discrete R symmetries, \mathbb{Z}_{M}^{R} , that satisfy
 - Majorana neutrino case for q_{θ} = integer:
 - anomaly freedom (allowing Green-Schwarz)
 - mu term forbidden perturbatively
 - consistent with SU(5)
 - usual Yukawa allowed
 - Weinberg operators allowed





Discrete R Symmetries

K.S. Babu, I. Gogoladze, K. Wang (2002)

 five viable symmetries found;
 one unique solution consistent with SO(10) → Z4 R-symmetry

H.M. Lee, S. Raby M. Ratz, G.G. Ross, R. Schieren, K. Schmidt-Hoberg, P.K. Vaudrevange, (2011);

M.-C. C., M. Ratz, Ch. Staudt, P. K. Vaudrevange (2012)

Dirac Neutrino Mass and the μ Term

- Search Abelian discrete R symmetries, \mathbb{Z}_M^R , that satisfy
 - Dirac neutrino case for q_{θ} = integer:
 - anomaly freedom (a la Green-Schwarz)
 - forbidding mu term perturbatively
 - consistent with SU(5)
 - allowing usual Yukawa
 - Weinberg operators forbidden perturbatively
 - an example: \mathbb{Z}_8^R symmetry
 - ▶ at non-perturbative level

$$\mathscr{W}_{\text{eff}} \sim m_{3/2} H_u H_d + \frac{m_{3/2}}{M_{\text{P}}} L H_u \bar{\nu} + \frac{m_{3/2}}{M_{\text{P}}^2} Q Q Q L$$

- Δ L = 2 operators forbidden \Rightarrow no neutrinoless double beta decay
- $\Delta L = 4$ operators allowed \Rightarrow new LNV processes

M.-C. C., M. Ratz, Ch. Staudt, P. K. Vaudrevange (2012)



Dirac Neutrinos and SUSY Breaking

• Symmetry realization in MSSM: discrete R symmetries, \mathbb{Z}_M^R

M.-C. C., M. Ratz, C. Staudt, P. Vaudrevange (2012)

- Dirac neutrinos, with naturally small masses
- $\Delta L = 2$ operators forbidden to all orders \Rightarrow no neutrinoless double beta decay
- New signature: lepton number violation ΔL = 4 operators, (v_R)⁴, allowed ⇒
 M.-C. C., M. Ratz, C. Staudt, P. Vaudrevange (2012)
 - neutrinoless quadruple beta decay

Heeck, Rodejohann (2013)



- mu term is naturally small
- dangerous proton decay operators forbidden/suppressed
- can also give dynamical generation of RPV operators with size predicted

M.-C. C., M. Ratz, V. Takhistov (2015)



Anarchy

Hall, Murayama, Weiner (2000); de Gouvea, Murayama (2003)

- there are no parametrically small numbers
- large mixing angle, near mass degeneracy statistically preferred



- UV theory prediction can resemble anarchy
 - warped extra dimensions
 - heterotic string theory





Buchmüller, Hamaguchi, Lebedev, Ramos-Sánchez, Ratz (2007)



$$m_{\gamma} \sim \frac{v^2}{M_*} \sim \frac{M_{\text{GUT}}}{10...100}$$

• statistical expectations with large N (= # of RH neutrinos)

Feldstein, Klemm (2012)





Symmetry Relations

Grand Unified Theories: GUT symmetry

Quarks + Leptons

Family Symmetry:

e-family + muon-family + tau-family

Mass Spectrum of Elementary Particles



Symmetry Relations

Symmetry \Rightarrow relations among parameters \Rightarrow reduction in number of fundamental parameters

Symmetry Relations

Symmetry ⇒ relations among parameters ⇒ reduction in number of fundamental parameters

Symmetry ⇒ experimentally testable correlations among physical observables

Origin of Flavor Mixing and Mass Hierarchies

- several models have been constructed based on
 - GUT Symmetry [SU(5), SO(10)] ⊕ Family Symmetry G_F
- models based on discrete family symmetry groups have been constructed
 - A₄ (tetrahedron)
 - T´ (double tetrahedron)
 - S₃ (equilateral triangle)
 - S₄ (octahedron, cube)
 - A₅ (icosahedron, dodecahedron)
 - Δ₂₇
 - Q6
- Extra dimensional origin
- Modular symmetry



Example: Tetrahedral Group A₄

Smallest group giving rise to tri-bimaximal neutrino mixing: tetrahedral group A4



Tri-bimaximal Neutrino Mixing

Esteban, Gonzalez-Garcia, $\sin^2 \theta_{23} = 0.437 \ (0.374 - 0.626)$ $[\Theta^{\text{lep}_{23}} \sim 49.7^{\circ}]$ Hernandez-Cabezudo, Maltoni, Latest Global Fit (3σ)

> $\sin^2 \theta_{12} = 0.308 \ (0.259 - 0.359)$ $[\Theta^{lep}_{12} \sim 33.8^{\circ}]$

 $\sin^2 \theta_{13} = 0.0234 \ (0.0176 - 0.0295) \quad [\Theta^{\text{lep}}_{13} \sim 8.61^{\circ}]$

Tri-bimaximal Mixing Pattern

Harrison, Perkins, Scott (1999)

$$U_{TBM} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix} \qquad \qquad \sin^2 \theta_{\rm o,TBM} = 1/2 \qquad \sin^2 \theta_{\rm o,TBM} = 1/3 \\ \sin \theta_{13,TBM} = 0.$$

- Leading Order: TBM (from symmetry) + higher order corrections/contributions
- More importantly, corrections to the kinetic terms
- Leurer, Nir, Seiberg ('93); Dudas, Pokorski, Savoy ('95)

- small for quarks
- sizable in discrete symmetry models for leptons M.-C.C, M. Fallbacher, M. Ratz, C. Staudt (2012)

Schwetz, 1811.05487

Ma, Rajasekaran (2001); Babu, Ma, Valle (2003); Altarelli, Feruglio (2005)

$$M_{\nu} = \frac{\lambda v^2}{M_x} \begin{pmatrix} 2\xi_0 + u & -\xi_0 & -\xi_0 \\ -\xi_0 & 2\xi_0 & u - \xi_0 \\ -\xi_0 & u - \xi_0 & 2\xi_0 \end{pmatrix}$$
2 free parameters
2 free parameters
$$\text{relative strengths} \\ \Rightarrow \text{ CG's}$$

• always diagonalized by TBM matrix, independent of the two free parameters

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -\sqrt{1/6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -\sqrt{1/6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

General Structure



Example: SU(5) Compatibility \Rightarrow T' Family Symmetry

- Double Tetrahedral Group T´: double covering of A4
- Symmetries \Rightarrow 10 parameters in Yukawa sector \Rightarrow 22 physical observables

$$\theta_{13} \simeq \theta_c/3\sqrt{2} \longleftarrow \begin{array}{c} {\rm CG's \ of} & {\rm no \ free} \\ {\rm SU(5) \ \& \ T'} & {\rm parameters!} \end{array}$$



M.-C.C, K.T. Mahanthappa (2007, 2009)



Symmetry Relations

Quark Mixing

Lepton Mixing

mixing parameters	best fit	3o range	mixing parameters	best fit	3σ range
θ^{q}_{23}	2.36°	2.25º - 2.48º	θ^{e}_{23}	41.2°	35.1º - 52.6º
θ^{q}_{12}	12.88º	12.75° - 13.01°	θ^{e}_{12}	33.6°	30.6º - 36.8º
θ^{q}_{13}	0.210	0.17º - 0.25º	θ^{e}_{13}	8.90	7.5º -10.2º

• QLC-I
$$\theta_{c} + \theta_{sol} \approx 45^{\circ}$$

(BM) $\theta_{c}^{q} + \theta_{e_{23}}^{e} \approx 45^{\circ}$
• QLC-II $\tan^{2}\theta_{sol} \approx \tan^{2}\theta_{sol,TBM} + (\theta_{c}/2)^{*} \cos \delta_{e}$

Ferrandis, Pakvasa; Dutta, Mimura; M.-C.C., Mahanthappa

(TBM) $\theta_{13} \approx \theta_c / 3\sqrt{2}$

Too small

• testing symmetry relations: a *more* robust way to distinguish different classes

of models

measuring leptonic mixing parameters to the precision of those in quark sector

inconsistent

"Large" Deviations from TBM in A₄

M.-C.C, J. Huang, J. O'Bryan, A. Wijangco, F. Yu, (2012)



• Different A4 breaking patterns:

CP Violation

CP Violation in Nature

- Image: Image
- \blacktriangleright it appears natural to seek connection between flavor physics & \mathcal{M}
- flavor structure may be explained by (non–Abelian discrete) flavor symmetries

non–Abelian discrete (flavor) symmetry $G \leftrightarrow \Im R$

Origin of CP Violation

CP violation ⇔ complex mass matrices

 $\overline{U}_{R,i}(M_u)_{ij}Q_{L,j} + \overline{Q}_{L,j}(M_u^{\dagger})_{ji}U_{R,i} \xrightarrow{\mathfrak{CP}} \overline{Q}_{L,j}(M_u)_{ij}U_{R,i} + \overline{U}_{R,i}(M_u)_{ij}^*Q_{L,j}$

- Conventionally, CPV arises in two ways:
 - Explicit CP violation: complex Yukawa coupling constants Y
 - Spontaneous CP violation: complex scalar VEVs <h>
- Complex CG coefficients in certain discrete groups ⇒ explicit CP violation
 - CPV in quark and lepton sectors purely from complex CG coefficients

CG coefficients in non-Abelian discrete symmetries relative strengths and phases in entries of Yukawa matrices mixing angles and phases (and mass hierarchy)

 e_{p}

Υ

 $\langle h \rangle$

 e_L

Group Theoretical Origin of CP Violation

M.-C.C., K.T. Mahanthappa Phys. Lett. B681, 444 (2009)



CP Transformation

Canonical CP transformation

$$\phi(x) \xrightarrow{C\mathcal{P}} \eta_{C\mathcal{P}} \phi^*(\mathcal{P}x)$$
freedom of re-phasing fields

Generalized CP transformation

Ecker, Grimus, Konetschny (1981); Ecker, Grimus, Neufeld (1987); Grimus, Rebelo (1995)

$$\Phi(x) \xrightarrow{\widetilde{CP}} U_{CP} \Phi^*(\mathcal{P} x)$$

$$\bigwedge$$
unitary matrix

Generalized CP Transformation

 \square setting w/ discrete symmetry G

G and CP transformations do not commute

- Seruglio, Hagedorn, Ziegler (2013); Holthausen, Lindner, Schmidt (2013)
- ${}^{\tiny \hbox{\tiny IMS}}$ invariant contraction/coupling in A_4 or ${
 m T}'$

$$\left[\phi_{\mathbf{1}_2} \otimes (x_{\mathbf{3}} \otimes y_{\mathbf{3}})_{\mathbf{1}_1} \right]_{\mathbf{1}_0} \propto \phi \left(x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3 \right)$$

$$\omega = e^{2\pi i/3}$$

- something non-invariant A_4/T' invariant contraction to
- ► need generalized CP transformation \widetilde{CP} : $\phi \stackrel{\widetilde{CP}}{\longmapsto} \phi^*$ as usual but

$$\left(\begin{array}{c} x_1 \\ x_2 \\ x_3 \end{array}\right) \xrightarrow{\widetilde{CP}} \left(\begin{array}{c} x_1^* \\ x_3^* \\ x_2 \end{array}\right) & \& & \left(\begin{array}{c} y_1 \\ y_2 \\ y_3 \end{array}\right) \xrightarrow{\widetilde{CP}} \left(\begin{array}{c} y_1^* \\ y_3^* \\ y_2^* \end{array}\right)$$

Discrete Family Symmetries and Origin of CP Violation

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Generalizing CP transformations

Constraints on generalized CP transformations



generalized CP transformatiorM.-C.C, M. Fallbacher, K.T. Mahanthappa,

u has to be class-inverting
 in all known cases, u is equivalent to an automorphism of order
 involutory automorphism of G bottom-line: non-existence of such automorphism of G u has to be a class-inverting (involutory) automorphism of G
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bottom-line: T_7 , $\Delta($

u has to be a class-inverting (involutory) automorphism of G



M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

complex CGs I CP symmetry cannot be defined for certain groups

CP Violation from Group Theory!

M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

- For discrete groups that do not have class-inverting, involutory automorphism, CP is generically broken by complex CG coefficients (Type I Group)
- Non-existence of such automorphism ⇔ Physical CP violation



Examples

M.-C.C., M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner (2014)

• Type I: all odd order non-Abelian groups

group	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	T_7	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$
SG	(20,3)	(21,1)	(27,3)	(27, 4)

• Type IIA: dihedral and all Abelian groups

group	S_3	Q_8	A_4	$\mathbb{Z}_3 \rtimes \mathbb{Z}_8$	T'	S_4	A_5
SG	(6,1)	(8,4)	(12,3)	(24,1)	(24,3)	(24, 12)	(60,5)

• Type IIB

group	$\Sigma(72)$	$((\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4) \rtimes \mathbb{Z}_4$
SG	(72, 41)	(144, 120)



Outlook

Summary

- Fundamental origin of fermion mass hierarchy and flavor mixing still not known
- Neutrino masses: evidence of physics beyond the SM
- Symmetries:
 - can provide an understanding of the pattern of fermion masses and mixing
 - Grand unified symmetry + discrete family symmetry \Rightarrow predictive power
 - Symmetries ⇒ Correlations, Correlations, Correlations!!!
- Dirac vs Majorana? should remain open minded!
 - naturally light Dirac neutrinos from discrete R-symmetry
 - suppressed nucleon decays and naturally small mu term

Summary

- Discrete Groups (of Type I) affords a Novel origin of CP violation:
 - Complex CGs ⇒ Group Theoretical Origin of CP Violation
- NOT all outer automorphisms correspond to physical CP transformations
- Condition on automorphism for physical CP transformation

$$\rho_{\mathbf{r}_i}(\mathbf{u}(g)) = \mathbf{U}_{\mathbf{r}_i} \rho_{\mathbf{r}_i}(g)^* \mathbf{U}_{\mathbf{r}_i}^{\dagger} \quad \forall g \in G \text{ and } \forall i$$

M.-C.C, M. Fallbacher, K.T. Mahanthappa, M. Ratz, A. Trautner, NPB (2014)

class inverting, involutory automorphisms



physical CP transformations