

# neutrino physics: theory and phenomenology

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**CSIC**  
CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS



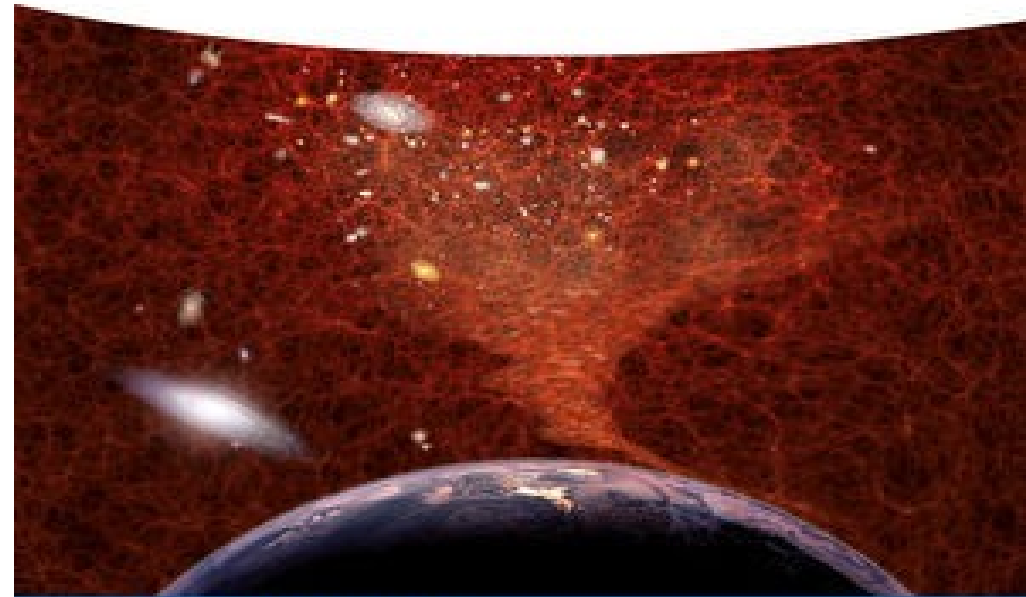
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José W. F. Valle and Jorge C. Romão

# Neutrinos in High Energy and Astroparticle Physics



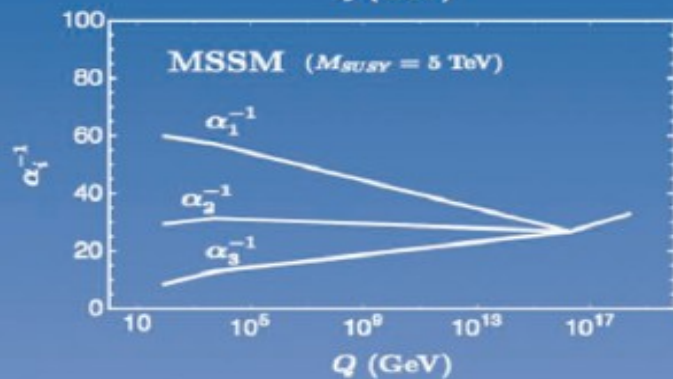
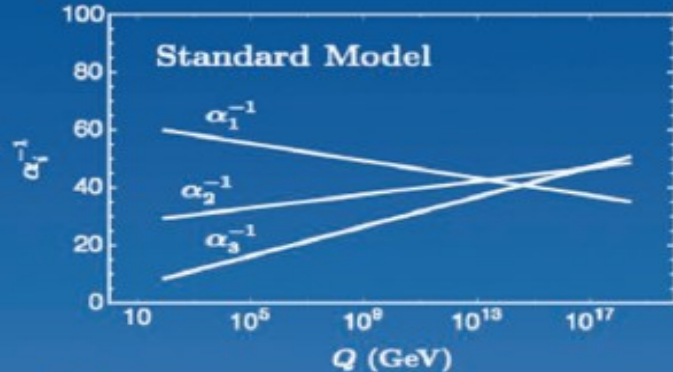
PHYSICS TEXTBOOK

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# The Standard Model and Beyond

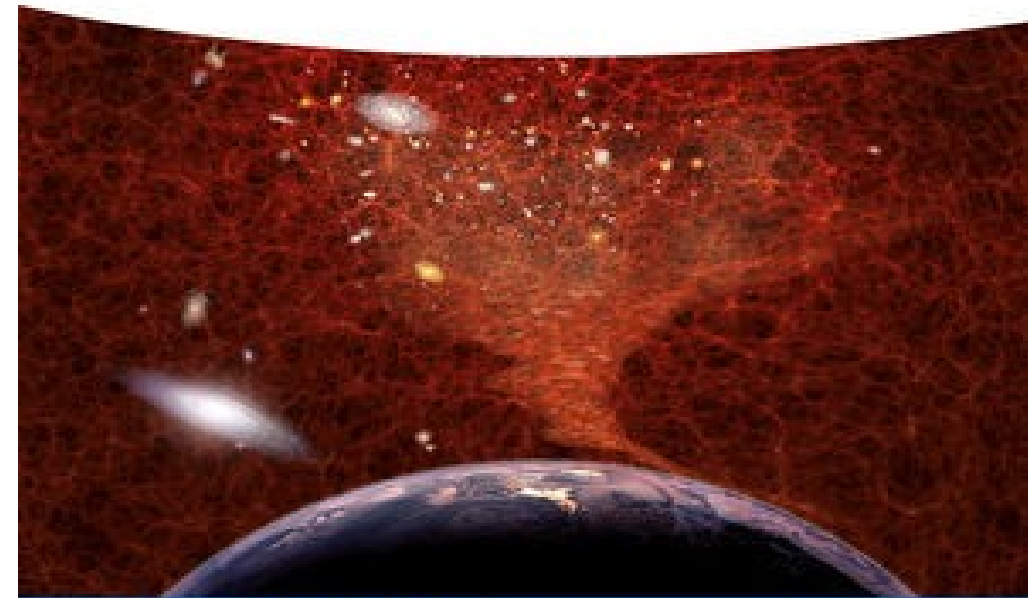
SECOND EDITION



Paul Langacker

José W. F. Valle and Jorge C. Romão

# Neutrinos in High Energy and Astroparticle Physics



PHYSICS TEXTBOOK

including results from various publications towards the end

# lecture 1

# SM recap

The **standard model** (SM) of strong and electroweak interactions has provided the cornerstone of elementary particle physics for over 4 decades

The basic principle of the SM is **gauge invariance** which enables us to describe the interaction of the **matter** particles by **vector boson exchange**

The theory is based on the **321** gauge group with three sectors:

Quantum Chromodynamics (QCD) which deals with the strong interaction, **SU3**  
weak interaction or **EW** sector **SU2**

Quantum Electrodynamics (QED) responsible for the electromagnetic force, **U1**

**QED** and **weak** forces get **combined** in the process of **symmetry breaking** required in order to reconcile the **short** range nature of the **weak** interaction with the **long** range of **EM**



Glashow, Salam and Weinberg  
Higgs Englert



## Electroweak gauge bosons

As we mentioned before there are four gauge bosons characterizing the electroweak sector of the standard model, three  $W_\mu^i$  ( $i = 1, 2, 3$ , one for each generator  $T^i$ ) transforming as the adjoint representation of  $SU(2)_L$ , and one  $B_\mu$  for  $U(1)_Y$ . The corresponding field tensors are:

$$\begin{aligned} W_{\mu\nu}^a &= \partial_\mu W_\nu^a - \partial_\nu W_\mu^a - g \epsilon_{abc} W_\mu^b W_\nu^c, \\ B_{\mu\nu} &= \partial_\mu B_\nu - \partial_\nu B_\mu, \end{aligned} \quad (2.1)$$

where we call  $g'$  and  $g$  the coupling constants of the  $U(1)_Y$  and  $SU(2)_L$  groups respectively, and  $\epsilon_{abc}$  is the completely antisymmetric tensor in three dimensions. The kinetic Lagrangian for the bosons is given by

$$\mathcal{L}_G = -\frac{1}{4} W_{\mu\nu}^a W_a^{\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}, \quad (2.2)$$

and it is invariant under the (separate) local gauge transformations of the  $SU(2)_L$  and  $U(1)_Y$  groups. The general form of these gauge transformations for finite gauge parameters is,

$$\delta W_\mu^a = -\epsilon^{abc} \alpha^b W_\mu^c - \frac{1}{g} \partial_\mu \alpha^a$$

$$\delta B_\mu = -\frac{1}{g'} \partial_\mu \alpha_Y$$

$$W_\mu^a \frac{\sigma^a}{2} \rightarrow W_\mu'^a \frac{\sigma^a}{2} = \mathcal{U}_L W_\mu^a \frac{\sigma^a}{2} \mathcal{U}_L^{-1} + \frac{i}{g} \partial_\mu \mathcal{U}_L \mathcal{U}_L^{-1}, \quad \text{SU(2)}$$

$$B_\mu \rightarrow B_\mu' = B_\mu + \frac{i}{g'} \partial_\mu \mathcal{U}_Y \mathcal{U}_Y^{-1}, \quad \text{U(1)} \quad (2.3)$$

$$\mathcal{U}_L = e^{i\alpha^a \frac{\sigma^a}{2}}, \quad \mathcal{U}_Y = e^{i\alpha_Y}, \quad (2.4)$$

# matter fields

	I	II	III	
Quarks	2.4 MeV <b>u</b>	1.3 GeV <b>c</b>	170 GeV <b>t</b>	0 <b>γ</b>
	4.8 MeV <b>d</b>	104 MeV <b>s</b>	4.2 GeV <b>b</b>	0 <b>g</b>
	<2.2 eV <b>ν<sub>e</sub></b>	<0.2 MeV <b>ν<sub>μ</sub></b>	<16 MeV <b>ν<sub>τ</sub></b>	91 GeV <b>Z</b>
Leptons	0.5 MeV <b>e</b>	16 MeV <b>μ</b>	1.8 GeV <b>τ</b>	80 GeV <b>W</b>
				<b>H</b>
				Bosons

The matter fields of the standard model are all the known fermions which are classified in three generations. The two helicity states, left and right

$$\psi_L = \frac{1}{2}(1 - \gamma_5)\psi,$$

$$\psi_R = \frac{1}{2}(1 + \gamma_5)\psi,$$

$$\gamma_5 = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \quad (2.6)$$

of each charged fermion transform differently under the  $SU(2)_L$  group. Left handed components are assigned to doublet representation while right handed ones transform as singlets, that is,

$$L_L = \begin{bmatrix} \nu_e \\ e^- \end{bmatrix}_L, \quad Q_L = \begin{bmatrix} u \\ d \end{bmatrix}_L, \quad e_R^-, u_R, d_R. \quad (2.7)$$

where we have only shown the particles in the first generation. The other two generations are just copies of the first. The quantum numbers with respect to the  $SU(2)_L \otimes U(1)_Y$  gauge group are given in Table 2.1, where the electric charge is given by

$$Q = T_3 + Y, \quad (2.8)$$

and  $T_3 = \frac{1}{2}\sigma_3$ .

Standard model matter fields

Particle	$\nu_{eL}$	$e_L$	$u_L$	$d_L$	$e_R$	$u_R$	$d_R$
$T_3$	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{1}{2}$	$-\frac{1}{2}$	0	0	0
$Y$	$-\frac{1}{2}$	$-\frac{1}{2}$	$\frac{1}{6}$	$\frac{1}{6}$	-1	$\frac{2}{3}$	$-\frac{1}{3}$
$Q$	0	-1	$\frac{2}{3}$	$-\frac{1}{3}$	-1	$\frac{2}{3}$	$-\frac{1}{3}$

**Table 2.1** Quantum numbers of the particles of the first generation with respect to the  $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$  gauge group.

Under finite local gauge transformations the  $\Psi_L$  and  $\psi_R$  fields transform as follows

$$\begin{aligned}\Psi_L &\rightarrow \Psi'_L = e^{i\alpha^a \frac{\sigma_a}{2}} e^{i\alpha_Y Y} \Psi_L, \\ \psi_R &\rightarrow \psi'_R = e^{i\alpha_Y Y} \psi_R.\end{aligned}\tag{2.9}$$

The principle of gauge invariance establishes that the piece of the Lagrangian describing the gauge interactions of the fermions is obtained from the kinetic energy part of the Lagrangian, after substituting the derivative by the covariant derivative,

$$\begin{aligned}\partial_\mu \Psi_L &\rightarrow \mathcal{D}_\mu \Psi_L = \left( \partial_\mu + ig \frac{\sigma_a}{2} W_\mu^a + ig' Y B_\mu \right) \Psi_L, \\ \partial_\mu \psi_R &\rightarrow \mathcal{D}_\mu \psi_R = \left( \partial_\mu + ig' Y B_\mu \right) \psi_R.\end{aligned}\tag{2.10}$$

Using Eq. (2.3) and Eq. (2.9) one can easily verify that the covariant derivatives have the appropriate transformation properties (that is, they transform in the same way as the fields themselves),

$$\begin{aligned}\mathcal{D}_\mu \Psi_L &\rightarrow \mathcal{D}_\mu \Psi'_L = e^{i\alpha^a \frac{\sigma_a}{2}} e^{i\alpha_Y Y} \mathcal{D}_\mu \Psi_L, \\ \mathcal{D}_\mu \psi_R &\rightarrow \mathcal{D}_\mu \psi'_R = e^{i\alpha_Y Y} \mathcal{D}_\mu \psi_R.\end{aligned}\tag{2.11}$$

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After symmetry breaking (see below) the neutral gauge bosons  $W_\mu^3$  and  $B_\mu$  will mix to give one massless photon,  $A_\mu$  and one massive  $Z_\mu$ , through the relations,

$$\begin{aligned}W_\mu^3 &= \sin \theta_W A_\mu + \cos \theta_W Z_\mu, \\ B_\mu &= \cos \theta_W A_\mu - \sin \theta_W Z_\mu,\end{aligned}\quad (2.12)$$

where  $\theta_W$  is the weak mixing angle (also called Weinberg angle), satisfying the relations,

$$e = g \sin \theta_W = g' \cos \theta_W \quad ; \quad \frac{g'}{g} = \tan \theta_W, \quad (2.13)$$

and

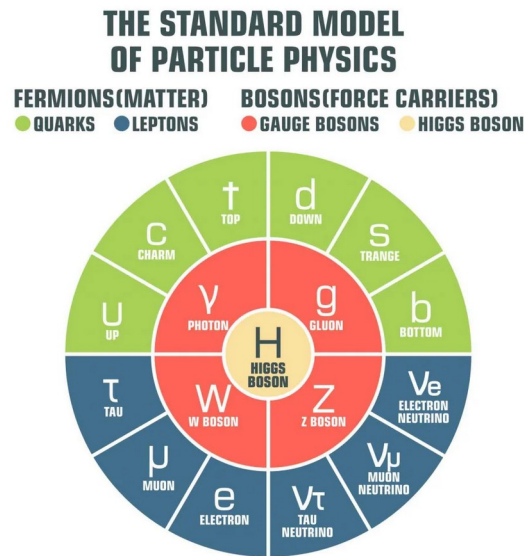
$$W_\mu^\pm = \frac{W_\mu^1 \mp i W_\mu^2}{\sqrt{2}}. \quad (2.14)$$

weak basis W,B

mass basis A,Z

## Spontaneous symmetry breaking: mass generation

A spontaneously broken symmetry is preserved by the Lagrangian but it is not a symmetry of the ground state of the system, the vacuum state. In order to implement this idea in the standard model an  $SU(2)_L$  scalar doublet  $\Phi$  is introduced in the theory



$$\Phi = \begin{bmatrix} \phi^+ \\ \phi^0 \end{bmatrix}$$

$SU(2)$  doublet  
with  $Y=1/2$

Particle	$\phi^+$	$\phi^0$
$T_3$	$\frac{1}{2}$	$-\frac{1}{2}$
$Y$	$\frac{1}{2}$	$\frac{1}{2}$
$Q$	1	0

Lagrangian, invariant under the  $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$  gauge group.

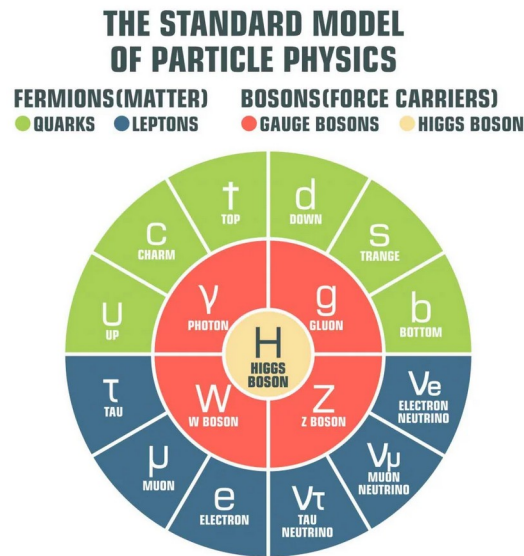
$$\mathcal{L}_H = (\mathcal{D}_\mu \Phi)^\dagger (\mathcal{D}^\mu \Phi) - \mu^2 \Phi^\dagger \Phi - \lambda (\Phi^\dagger \Phi)^2,$$

$$D_\mu \Phi = \left[ \partial_\mu + i \frac{g}{\sqrt{2}} (\tau^+ W_\mu^+ + \tau^- W_\mu^-) + i \frac{g}{2} \tau_3 W_\mu^3 + i \frac{g'}{2} B_\mu \right] \Phi$$



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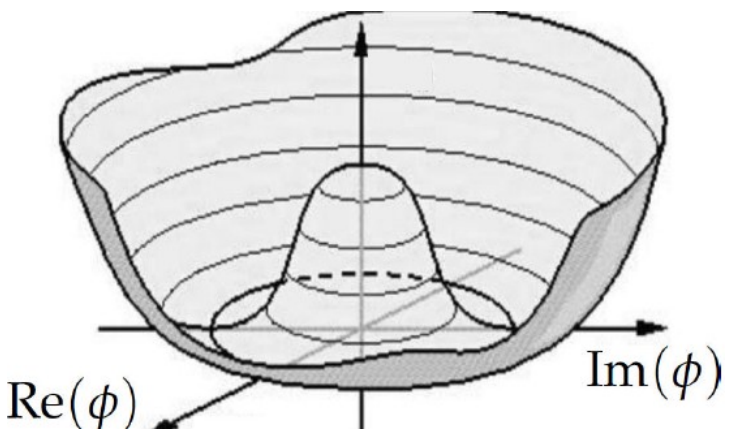
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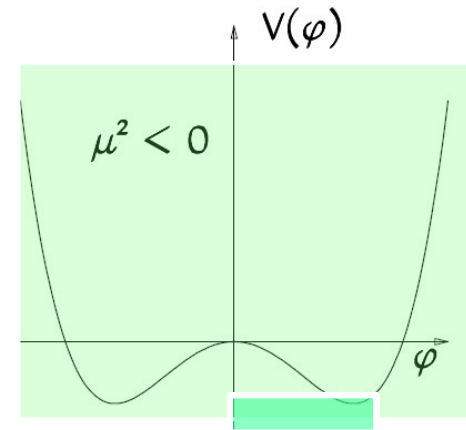
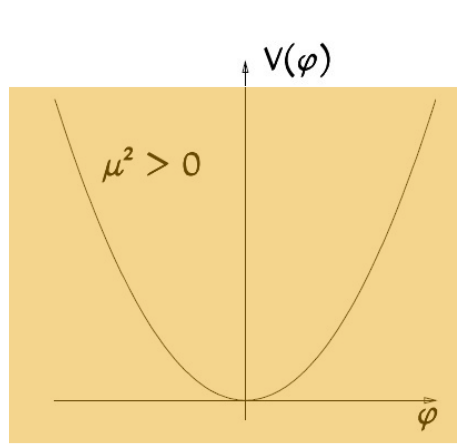
$$D_\mu \Phi = \left[ \partial_\mu + i \frac{g}{\sqrt{2}} (\tau^+ W_\mu^+ + \tau^- W_\mu^-) + i \frac{g}{2} \tau_3 W_\mu^3 + i \frac{g'}{2} B_\mu \right] \Phi$$

In Fig. 2.1 we sketch the potential part in  $\mathcal{L}_H$ ,  $V = \mu^2 |\Phi|^2 + \lambda |\Phi|^4$  as a function of  $|\Phi| = \sqrt{\Phi^\dagger \Phi}$ . For  $\mu^2 > 0$   $V$  has a unique minimum at  $|\Phi| = 0$ . However when  $\mu^2 < 0$  the classical ground state occurs at  $|\Phi|^2 = -\frac{1}{2} \mu^2 / \lambda$ .



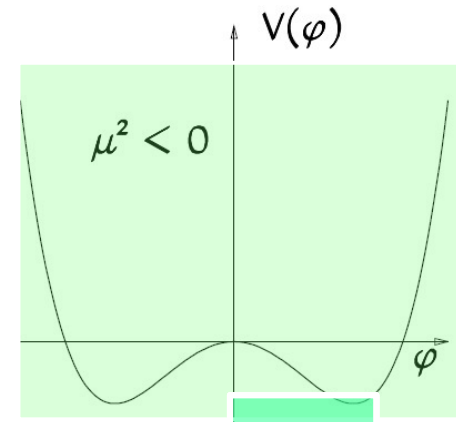
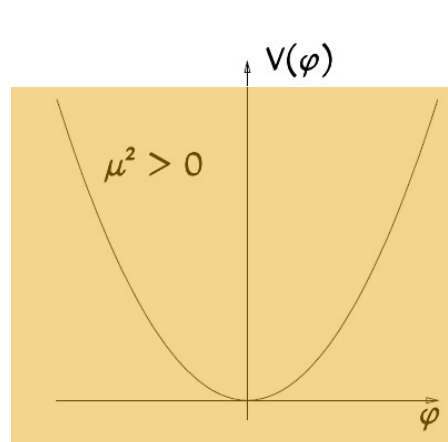
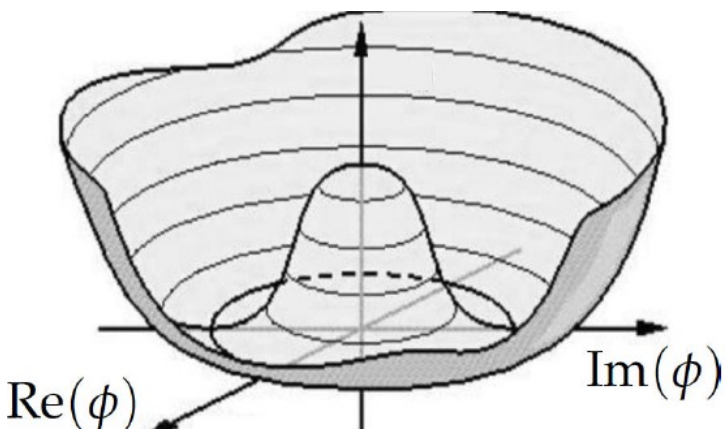


$SU(2)_L \times U(1)_Y \rightarrow U(1)$



gives

$\langle |\Phi| \rangle = \frac{v}{\sqrt{2}} = \sqrt{-\frac{\mu^2}{2\lambda}}$



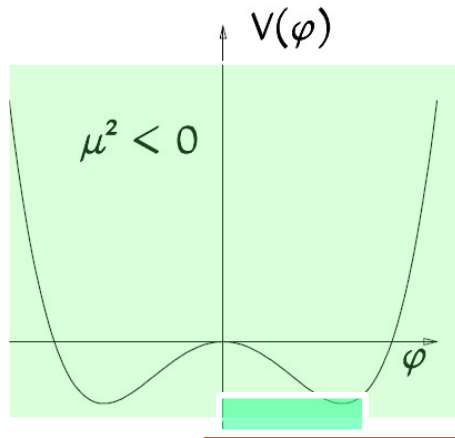
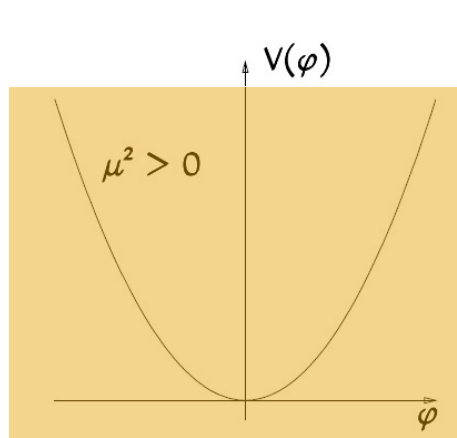
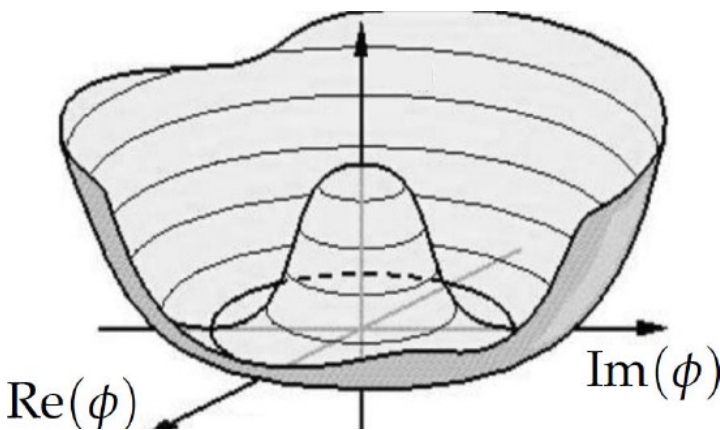
gives  $\langle |\Phi| \rangle = \frac{v}{\sqrt{2}} = \sqrt{-\frac{\mu^2}{2\lambda}}$

$SU(2)_L \times U(1)_Y \rightarrow U(1)$

We now perform perturbation theory around one of the above continuous set of true vacua. To do this it is convenient to parametrize the scalar field as

$$\Phi = e^{i \frac{\theta^a(x) \sigma_a}{v}} \left[ v + \frac{H(x)}{\sqrt{2}} \right], \quad (2.23)$$

where the fields  $\theta^a$  and  $H$  are real and have zero vacuum expectation value. If the  $SU(2)_L$  symmetry was a *global* symmetry of the Lagrangian the three  $\theta^a$  fields would correspond to physical fields with zero mass since the potential is flat in those directions, as stated by the Goldstone Theorem



$$SU(2)_L \times U(1)_Y \rightarrow U(1)$$

gives  $\langle |\Phi| \rangle = \frac{v}{\sqrt{2}} = \sqrt{-\frac{\mu^2}{2\lambda}}$

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$$SU(2)_L \times U(1)_Y / U(1) \quad \Phi = e^{i \frac{\theta^a(x) \sigma_a}{v}} \left[ v + \frac{H(x)}{\sqrt{2}} \right], \quad (2.23)$$

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$$W_\mu^\pm = \frac{W_\mu^1 \mp i W_\mu^2}{\sqrt{2}}$$

In the mass basis the  $SU(2) \times U(1)$  covariant derivative reads

$$D_\mu \Phi = \left[ \partial_\mu + i \frac{g}{\sqrt{2}} (\tau^+ W_\mu^+ + \tau^- W_\mu^-) + ie Q A_\mu + i \frac{g}{\cos \theta_W} \left( \frac{\tau_3}{2} - Q \sin^2 \theta_W \right) Z_\mu \right] \Phi$$

$$(\mathcal{D}_\mu \Phi)^\dagger (\mathcal{D}^\mu \Phi)$$

$$\mathcal{L}_H = \frac{1}{2} \partial_\mu H \partial^\mu H + \mu^2 H^2 + \frac{1}{2} \partial_\mu \theta^a \partial^\mu \theta^a + \frac{1}{4} g^2 v^2 W_\mu^+ W^{-\mu} + \frac{1}{8} g_Z^2 v^2 Z_\mu Z^\mu$$

$$+ \frac{gv}{2} W_\mu^- \partial^\mu \theta^+ + \frac{gv}{2} W_\mu^+ \partial^\mu \theta^- + \frac{gv}{2 \cos \theta_W} Z_\mu \partial^\mu \theta^3 + \dots,$$

where  $g_Z^2 = g^2 + g'^2$ , and the dots stand for cubic and quartic terms and we have used Eq. (2.22). Looking at Eq. (2.24) one would think that the three  $\theta^a$  fields are massless and the remaining  $H$  field has a mass squared  $M_H^2 = -2\mu^2 > 0$ . Notice however, that there is a mixing between the gauge fields and the  $\theta^a$  fields, the *would be* Goldstone bosons. One has therefore to be more careful in the analysis of the spectrum. The best way to do it is to realize that the three  $\theta^a$  fields can be gauged away by a finite transformation under the local  $SU(2)_L$  group.

Now we will rotate away the  $\theta^a$  fields. We then have

$$\Phi(x) \rightarrow \Phi'(x) = e^{-i \frac{2\theta^a(x) \sigma^a}{v}} \Phi = \left[ \begin{array}{c} 0 \\ v + \frac{H(x)}{\sqrt{2}} \end{array} \right]$$

This particular choice of gauge is called the *unitary gauge*. In this gauge there is only one physical scalar field, the Higgs boson  $H$ , and the  $\theta^a$  degrees of freedom become the longitudinal components of the 3 gauge bosons of  $SU(2)_L$  which are now massive.

**physical Higgs boson as dynamical trace of SSB mechanism**



Introducing Eq. (2.26) into the Higgs Lagrangian, Eq (2.20), and dropping the prime in  $W_\mu^{\prime a}$ , we get after rotating the gauge bosons according to Eq. (2.12),

$$\begin{aligned}
 \mathcal{L}_H = & \frac{1}{2}(\partial_\mu H)^2 - \frac{1}{2}M_H^2 H^2 - \frac{1}{4}\lambda H^4 - \lambda v H^3 + \frac{1}{2}vg^2 W_\mu^+ W^{-\mu} H \\
 & + \frac{1}{4}v\frac{g^2}{\cos\theta_W} Z_\mu Z^\mu H + \frac{1}{4}g^2 W_\mu^+ W^{-\mu} H^2 + \frac{1}{8}\frac{g^2}{\cos\theta_W} Z_\mu Z^\mu H^2 \\
 & + \frac{1}{2}M_Z^2 Z_\mu Z^\mu + M_W^2 W_\mu^+ W^{-\mu} + \lambda\frac{v^4}{4}, \tag{2.27}
 \end{aligned}$$

no photon  
quadratic term

where the masses  $M_W$ ,  $M_Z$ , and  $M_H$  are given by

$$M_W = \frac{1}{2}gv, \quad M_Z = \frac{1}{2}g_Z v = \frac{M_W}{\cos\theta_W}, \quad M_H = \sqrt{-2\mu^2} = 2\lambda v^2. \tag{2.28}$$

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no photon  
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$$M_W = \frac{1}{2} g v, \quad M_Z = \frac{1}{2} g_Z v = \frac{M_W}{\cos \theta_W}, \quad M_H = \sqrt{-2\mu^2} = 2\lambda v^2. \quad (2.28)$$

After symmetry breaking (see below) the neutral gauge bosons  $W_\mu^3$  and  $B_\mu$  will mix to give one massless photon,  $A_\mu$  and one massive  $Z_\mu$ , through the relations,

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where  $\theta_W$  is the weak mixing angle (also called Weinberg angle), satisfying

$$e = g \sin \theta_W = g' \cos \theta_W \quad ; \quad \frac{g'}{g} = \tan \theta_W,$$

weak CC & NC  
W/Z UA1, UA2





$$\Phi = \begin{bmatrix} 0 \\ \frac{v + H(x)}{\sqrt{2}} \end{bmatrix}$$

$$\tilde{\Phi} = \begin{bmatrix} \frac{v + H(x)}{\sqrt{2}} \\ 0 \end{bmatrix}$$

$$\mathcal{L}_{\text{Yuk}} = - \sum_{ij} \left[ Y_{ij}^l \bar{l}'_{iL} \Phi l'_{jR} + Y_{ij}^u \bar{u}'_{iL} \tilde{\Phi} u'_{jR} + Y_{ij}^d \bar{d}'_{iL} \Phi d'_{jR} + h.c. \right],$$

$$\mathcal{L}_{\text{Yuk}} = - \sum_{ij} \left[ \bar{l}'_{iL} M_{ij}^l l'_{jR} + \bar{u}'_{iL} M_{ij}^u u'_{jR} + \bar{d}'_{iL} M_{ij}^d d'_{jR} \right. \\ \left. + \frac{H}{\sqrt{2}} \bar{l}'_{iL} Y_{ij}^l l'_{jR} + \frac{H}{\sqrt{2}} \bar{u}'_{iL} Y_{ij}^u u'_{jR} + \frac{H}{\sqrt{2}} \bar{d}'_{iL} Y_{ij}^d d'_{jR} + h.c. \right]$$

where

$$M_{ij}^l = Y_{ij}^l \frac{v}{\sqrt{2}}, \quad M_{ij}^u = Y_{ij}^u \frac{v}{\sqrt{2}}, \quad M_{ij}^d = Y_{ij}^d \frac{v}{\sqrt{2}}.$$

Let us denote by  $l$ ,  $u$  and  $d$  the mass eigenstates obtained via the rotations

$$l_{iL} = U_{Lij}^l l'_{jL}$$

$$l_{iR} = U_{Rij}^l l'_{jR}$$

$$u_{iL} = U_{Lij}^u u'_{jL}$$

$$u_{iR} = U_{Rij}^u u'_{jR}$$

$$d_{iL} = U_{Lij}^d d'_{jL},$$

$$d_{iR} = U_{Rij}^d d'_{jR},$$

$$\Phi = \begin{bmatrix} 0 \\ \frac{v + H(x)}{\sqrt{2}} \end{bmatrix}$$

$$\tilde{\Phi} = \begin{bmatrix} \frac{v + H(x)}{\sqrt{2}} \\ 0 \end{bmatrix}$$

$$\mathcal{L}_{\text{Yuk}} = - \sum_{ij} \left[ Y_{ij}^l \bar{l}'_{iL} \Phi l'_{jR} + Y_{ij}^u \bar{u}'_{iL} \tilde{\Phi} u'_{jR} + Y_{ij}^d \bar{d}'_{iL} \Phi d'_{jR} + h.c. \right],$$

$$\mathcal{L}_{\text{Yuk}} = - \sum_{ij} \left[ \bar{l}'_{iL} M_{ij}^l l'_{jR} + \bar{u}'_{iL} M_{ij}^u u'_{jR} + \bar{d}'_{iL} M_{ij}^d d'_{jR} \right. \\ \left. + \frac{H}{\sqrt{2}} \bar{l}'_{iL} Y_{ij}^l l'_{jR} + \frac{H}{\sqrt{2}} \bar{u}'_{iL} Y_{ij}^u u'_{jR} + \frac{H}{\sqrt{2}} \bar{d}'_{iL} Y_{ij}^d d'_{jR} + h.c. \right]$$

where

$$M_{ij}^l = Y_{ij}^l \frac{v}{\sqrt{2}}, \quad M_{ij}^u = Y_{ij}^u \frac{v}{\sqrt{2}}, \quad M_{ij}^d = Y_{ij}^d \frac{v}{\sqrt{2}}.$$

Let us denote by  $l, u$  and  $d$  the mass eigenstates obtained via the rotations

$$l_{iL} = U_{Lij}^l l'_{jL}$$

$$l_{iR} = U_{Rij}^l l'_{jR}$$

$$u_{iL} = U_{Lij}^u u'_{jL}$$

$$u_{iR} = U_{Rij}^u u'_{jR}$$

$$d_{iL} = U_{Lij}^d d'_{jL},$$

$$d_{iR} = U_{Rij}^d d'_{jR},$$

$$\mathcal{L}_F^{\text{kinetic}} = \sum_{\text{doub}} i \bar{\Psi}_L \gamma^\mu D_\mu \Psi_L + \sum_{\text{sing}} i \bar{\psi}_R \gamma^\mu D_\mu \psi_R,$$

$$= \sum_f i \bar{\psi}_f \gamma^\mu \partial_\mu \psi_f$$

$$- e \sum_f Q^f \bar{\psi}_f \gamma^\mu \psi_f A_\mu - \frac{g}{\cos \theta_W} \sum_f \bar{\psi}_f \gamma^\mu (g_V^f - g_A^f \gamma_5) \psi_f Z_\mu$$

$$- \frac{g}{\sqrt{2}} \sum_{\text{doub}} \bar{\psi}_u \gamma^\mu \frac{1 - \gamma_5}{2} \psi_d W_\mu^+ - \frac{g}{\sqrt{2}} \sum_{\text{doub}} \bar{\psi}_d \gamma^\mu \frac{1 - \gamma_5}{2} \psi_u W_\mu^-.$$

$$\mathcal{L}_{\text{Yuk}} = - \sum_i \left[ m_i^l \bar{l}_i l_i + m_i^u \bar{u}_i u_i + m_i^d \bar{d}_i d_i \right] + \dots$$

$$\mathcal{L} = - \frac{g}{2\sqrt{2}} \bar{u}_i \gamma^\mu (1 - \gamma_5) V_{ij}^{\text{CKM}} d_j W_\mu^+ + h.c.$$

$$V^{\text{CKM}} = U_L^u U_L^{d\dagger}$$

## CC quark Weak interaction

The CKM matrix contains 4 free parameters,  
**3 angles and 1 phase** which leads to CP violation

Like the value of the masses, the values of the angles in the **CKM** matrix have no explanation in the standard model and are fitted to experiment. **Flavor problem**

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The neutral current of quarks remains flavor-diagonal since it always involves

$$U^{f\dagger}U^f = I.$$

Since the neutral current only connects fermions with the same electroweak charges

Glashow, Iliopoulos and Maiani (GIM) mechanism

$$\mathcal{L} = -e \sum_f Q^f \bar{\psi}_f \gamma^\mu \psi_f A_\mu - \frac{g}{\cos \theta_W} \sum_f \bar{\psi}_f \gamma^\mu (g_V^f - g_A^f \gamma_5) \psi_f Z_\mu$$

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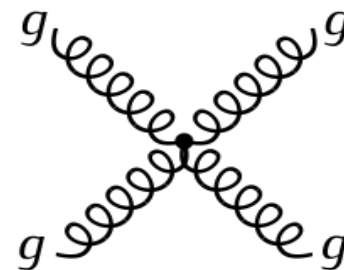
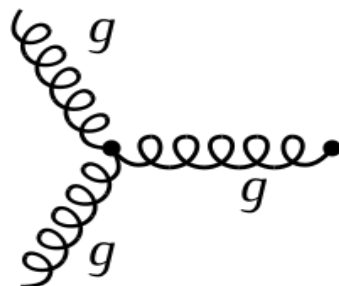
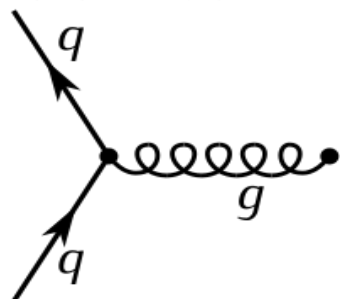
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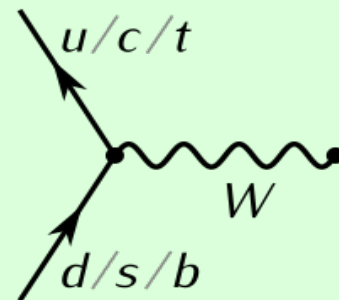
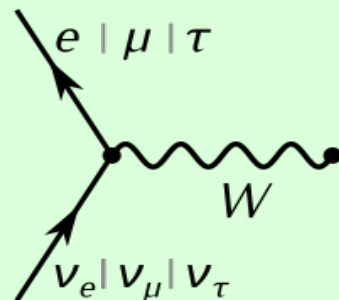
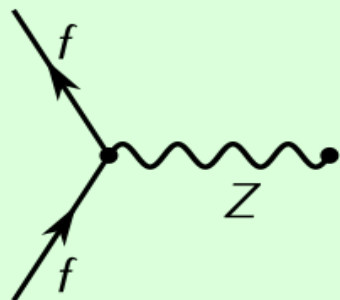
**neutrinos** remain massless since the standard model does not contain right-handed neutrinos nor any other ingredient capable of inducing neutrino masses.

the charged current for **leptons** remains **trivial** because of the freedom to redefine the neutrino states by the same matrix as the charge leptons

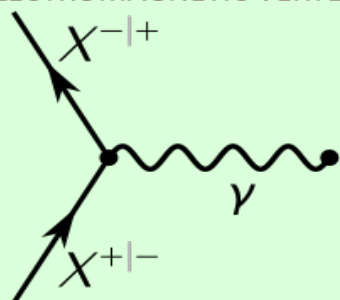
STRONG VERTICES



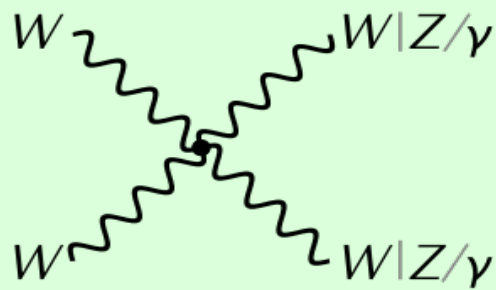
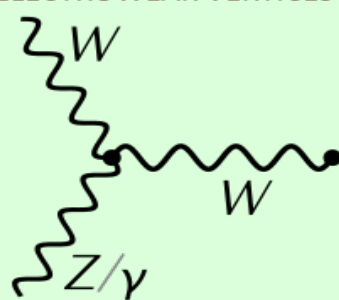
WEAK VERTICES



ELECTROMAGNETIC VERTEX



ELECTROWEAK VERTICES



HIGGS VERTICES



some SM Feynman diagrams



$$\mu \overset{\gamma}{\text{~~~~~}} \nu \quad -i \left[ \frac{g_{\mu\nu}}{k^2 + i\epsilon} - (1 - \xi_A) \frac{k_\mu k_\nu}{(k^2)^2} \right] \quad (\text{C.24})$$

$$\mu \overset{W}{\text{~~~~~}} \nu \quad -i \frac{1}{k^2 - M_W^2 + i\epsilon} \left[ g_{\mu\nu} - \frac{(1 - \xi_W) k_\mu k_\nu}{k^2 - \xi_W M_W^2} \right] \quad (\text{C.25})$$

$$\mu \overset{Z}{\text{~~~~~}} \nu \quad -i \frac{1}{k^2 - M_Z^2 + i\epsilon} \left[ g_{\mu\nu} - \frac{(1 - \xi_Z) k_\mu k_\nu}{k^2 - \xi_Z M_Z^2} \right] \quad (\text{C.26})$$

$$\overset{\longrightarrow}{p} \quad \frac{i(\not{p} + m_f)}{p^2 - m_f^2 + i\epsilon} \quad (\text{C.27})$$

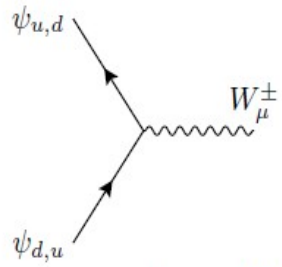
$$\overset{H}{\text{-----}} \quad \frac{i}{p^2 - M_h^2 + i\epsilon} \quad (\text{C.28})$$

$$\overset{\varphi_Z}{\text{-----}} \quad \frac{i}{p^2 - \xi_Z M_Z^2 + i\epsilon} \quad (\text{C.29})$$

$$\overset{\varphi^\pm}{\text{-----}} \quad \frac{i}{p^2 - \xi_W M_W^2 + i\epsilon} \quad (\text{C.30})$$

### Charged current interaction

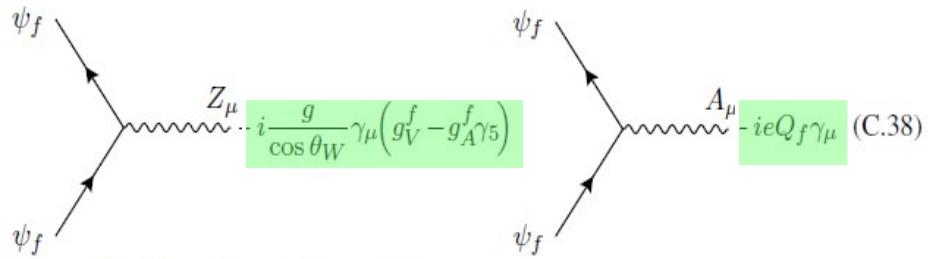
## SM Vertices Feynman rules



$$-i \frac{g}{\sqrt{2}} \gamma_\mu \frac{1 - \gamma_5}{2} \quad (\text{C.37})$$

where we are neglecting  $V^{\text{CKM}}$  that can be easily introduced using Eq. (2.34).

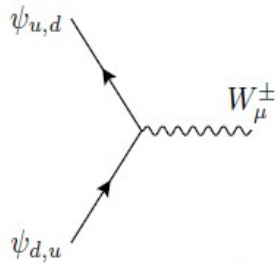
### Neutral current interaction



where  $g_V^f, g_A^f$  are defined in Eq. (2.18).

**Complete SM Feynman rules  
In appendix C of our book**

### Charged current interaction

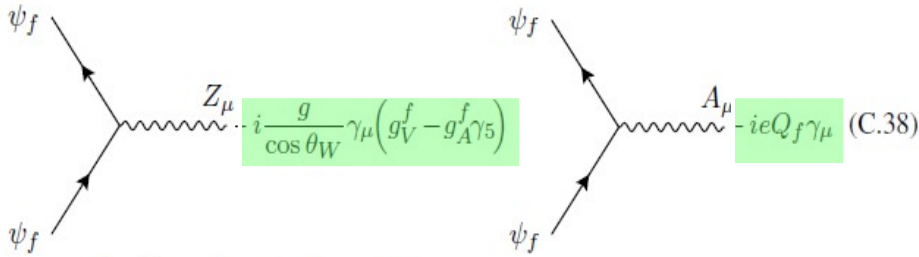


## SM Vertices Feynman rules

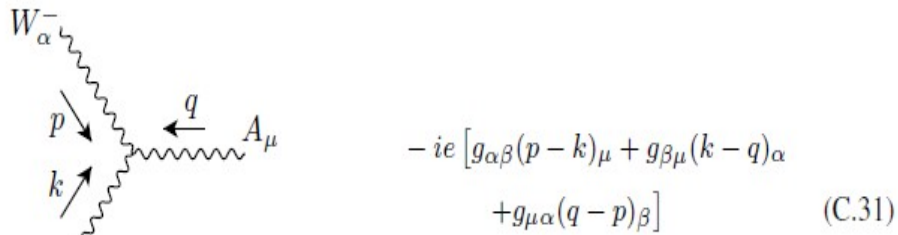
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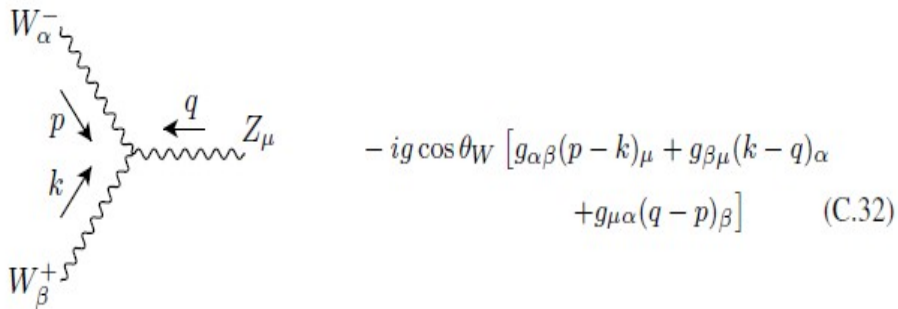
### Neutral current interaction



### Triple gauge interactions



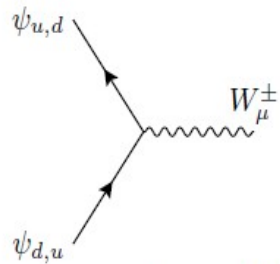
$$-ie [g_{\alpha\beta}(p-k)_\mu + g_{\beta\mu}(k-q)_\alpha + g_{\mu\alpha}(q-p)_\beta] \quad (C.31)$$



$$-ig \cos \theta_W [g_{\alpha\beta}(p-k)_\mu + g_{\beta\mu}(k-q)_\alpha + g_{\mu\alpha}(q-p)_\beta] \quad (C.32)$$

Complete SM Feynman rules  
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### Charged current interaction

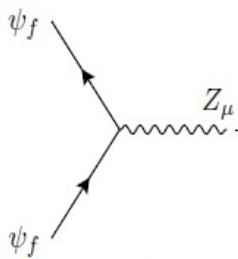


## SM Vertices Feynman rules

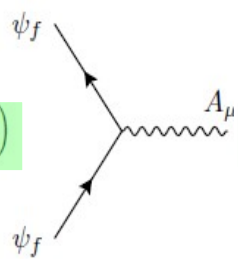
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### Neutral current interaction

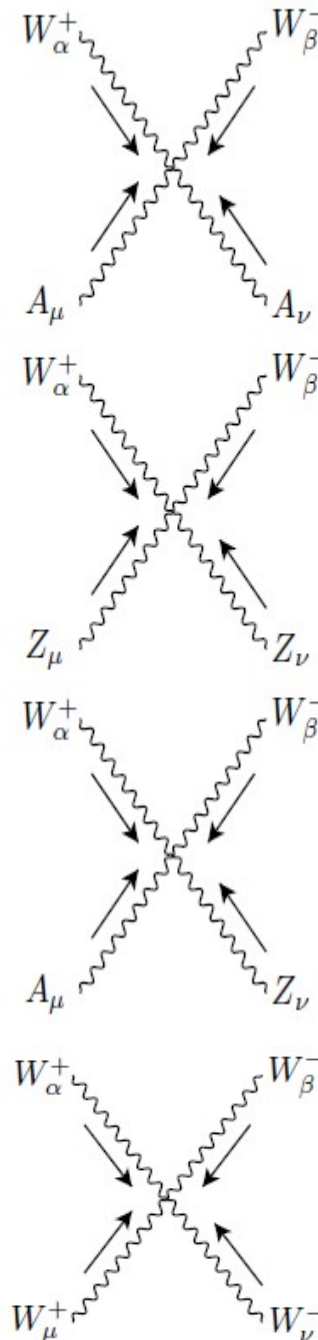


$$i \frac{g}{\cos \theta_W} \gamma_\mu (g_V^f - g_A^f \gamma_5)$$



$$-ieQ_f \gamma_\mu \quad (C.38)$$

### Quartic gauge interactions



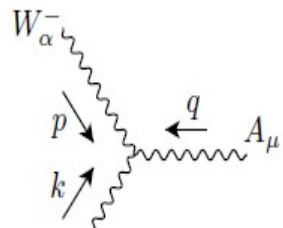
$$-ie^2 [2g_{\alpha\beta}g_{\mu\nu} - g_{\alpha\mu}g_{\beta\nu} - g_{\alpha\nu}g_{\beta\mu}] \quad (C.33)$$

$$-ig^2 \cos^2 \theta_W [2g_{\alpha\beta}g_{\mu\nu} - g_{\alpha\mu}g_{\beta\nu} - g_{\alpha\nu}g_{\beta\mu}] \quad (C.34)$$

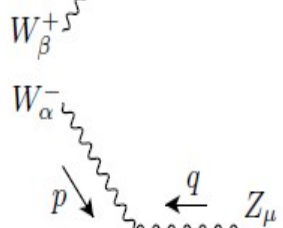
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### Triple gauge interactions



$$-ie [g_{\alpha\beta}(p-k)_\mu + g_{\beta\mu}(k-q)_\alpha + g_{\mu\alpha}(q-p)_\beta] \quad (C.31)$$



$$-ig \cos \theta_W [g_{\alpha\beta}(p-k)_\mu + g_{\beta\mu}(k-q)_\alpha + g_{\mu\alpha}(q-p)_\beta] \quad (C.32)$$

## Higgs boson and unitarity in the standard model



The most important indication for physics beyond the standard model at the 1 TeV scale is the need to unitarize the weak interaction cross sections

For example the scattering of longitudinal gauge bosons only respects the unitarity limit if there is a Higgs boson, either elementary or composite but having the same effective coupling as in the standard model.

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One can show that cancellations occur for the Higgs boson of the **SM**. The conventions for the **SM** vertices are very important and are given in Appendix C of our book

The Higgs boson is crucial to unitarize the amplitudes.

The process we consider is the scattering of longitudinal  $W$



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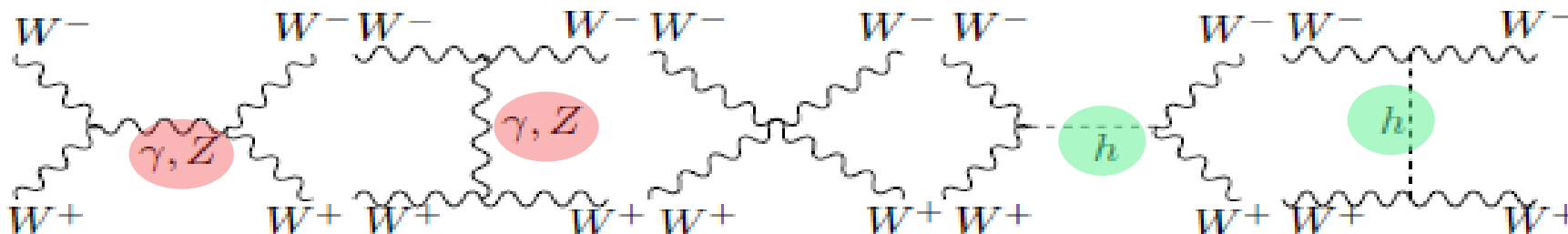
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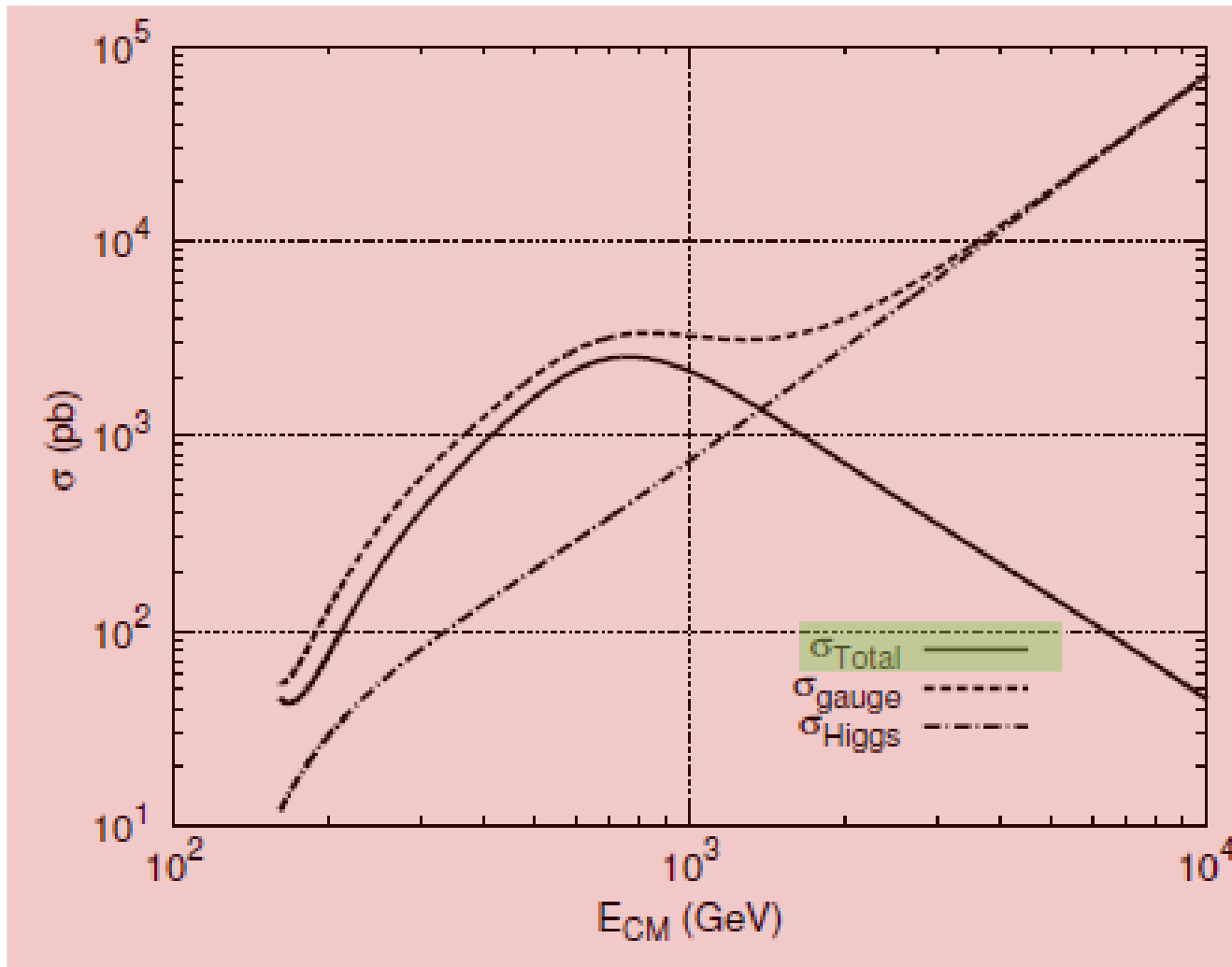
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The Higgs boson is crucial to unitarize the amplitudes.

The process we consider is the scattering of longitudinal W

$$W_L^-(p_1) + W_L^+(p_2) \rightarrow W_L^-(q_1) + W_L^+(q_2)$$





Gauge terms quadratic in  $s/(4M_W^2)$  cancel, but the linear term remains after we sum over the gauge part.

So, a theory of just intermediate vector bosons, is in trouble. This can be traced back to the fact that with mass the gauge invariance is lost, and the theory is not consistent without the Higgs boson diagrams

Hence the Higgs boson is crucial to make the **SM** model consistent.

**Figure 2.4** Cross section for  $W_L^- + W_L^+ \rightarrow W_L^- + W_L^+$ . Shown are the contribution of the gauge diagrams (dashed), the contribution from the Higgs (dot-dashed) and the total cross section (solid line). The sum of the amplitudes from the gauge part have the opposite sign from those from the Higgs (not visible in the figure because we are plotting cross sections) forcing the cross section to decrease.

# neutrino masses and mixing

Two types of massive fermions: **Dirac** or **Majorana**.

A Majorana fermion, is one that is its own antiparticle [Majorana, 1937](#).

Except possibly for neutrinos **no** known elementary fermions are known to be their own antiparticle.

Massive **charged fermions** like the electron, the muon, the tau or the quarks must all be of Dirac-type.

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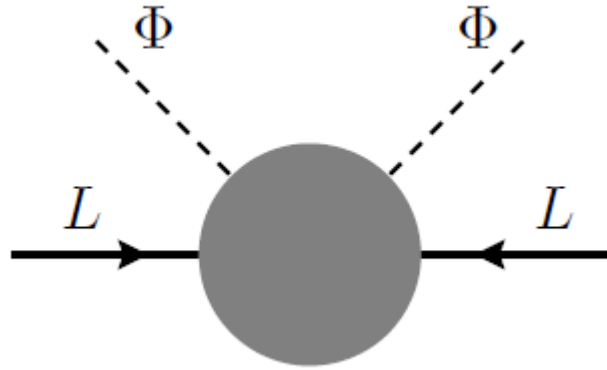
Except possibly for neutrinos **no** known elementary fermions are known to be their own antiparticle.

Massive **charged fermions** like the electron, the muon, the tau or the quarks must all be of Dirac-type.

However, **electrically neutral** fermions, like neutrinos, are generally **expected** to be Majorana-type, **irrespective** of how they acquire their mass. [Schechter & JV PRD22 \(1980\) 2227](#)

Note that the argument in favor of Majorana neutrinos goes beyond any particular neutrino mass generation mechanism, e.g. the **seesaw**, to be discussed later.

Nevertheless Majorana neutrinos fit well within the simplest effective source of neutrino mass, i.e. Weinberg's dimension five operator. [Weinberg Phys.Rev.D 22 \(1980\) 1694.](#)

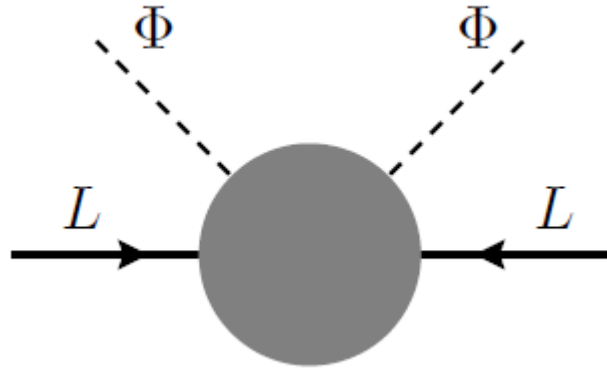


Lepton-number-violating dimension five operator responsible for generating neutrino mass after the electroweak symmetry breaking takes place.

Nothing is known regarding the mechanism that induces Weinberg's operator, its characteristic scale or flavor structure

we first describe Majorana masses at the **kinematical** level, before adding interactions

Schechter & JV PRD22 (1980) 2227



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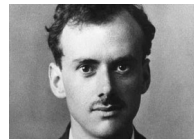
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Schechter & JV PRD22 (1980) 2227

In order to derive in a simple way the 2-component description of Majorana fermion we start from usual theory of a massive spin-1/2 Dirac fermion, given by the Lagrangian

$$\mathcal{L}_D = i \bar{\Psi} \gamma^\mu \partial_\mu \Psi - m \bar{\Psi} \Psi$$



$$\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2 g^{\mu\nu},$$

$$\gamma^0 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \gamma^i = \begin{bmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{bmatrix},$$

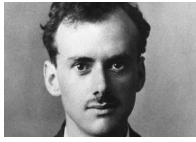
$$\gamma_5 = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$C^T = -C, \quad C^\dagger = C^{-1}, \quad C^{-1} \gamma_\mu C = -\gamma_\mu^T$$

$$C = \begin{bmatrix} i \sigma_2 & 0 \\ 0 & i \sigma_2 \end{bmatrix}$$



A Dirac spinor and its **conjugate** can then be written in terms of **two-component spinors**



$$\Psi_D = \begin{bmatrix} \chi \\ i\sigma_2 \phi^* \end{bmatrix}$$

$$\Psi_D^c = \begin{bmatrix} \phi \\ i\sigma_2 \chi^* \end{bmatrix}$$

$$\mathcal{L}_D = i\phi\sigma^\mu\partial_\mu\bar{\phi} + i\bar{\chi}\bar{\sigma}^\mu\partial_\mu\chi - m(\phi\chi + \bar{\chi}\bar{\phi}) \quad S=S(\Lambda')$$

$$\sigma^\mu \equiv (1, \vec{\sigma}), \quad \bar{\sigma}^\mu \equiv (1, -\vec{\sigma})$$

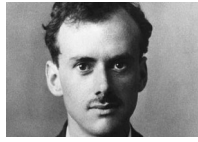
$$S^\dagger\bar{\sigma}^\mu S = \Lambda^\mu{}_\nu\bar{\sigma}^\nu, \quad S\sigma^\mu S^\dagger = \Lambda^\mu{}_\nu\sigma^\nu$$

$$= i \sum_{a=1}^2 \bar{\rho}_a \bar{\sigma}^\mu \partial_\mu \rho_a - \frac{1}{2} m \sum_{i=a}^2 (\rho_a \rho_a + \bar{\rho}_a \bar{\rho}_a)$$

$$\chi = \frac{1}{\sqrt{2}}(\rho_1 + i\rho_2),$$

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$$\sigma^\mu \equiv (1, \vec{\sigma}), \quad \bar{\sigma}^\mu \equiv (1, -\vec{\sigma}) \quad S^\dagger\bar{\sigma}^\mu S = \Lambda^\mu{}_\nu\bar{\sigma}^\nu, \quad S\sigma^\mu S^\dagger = \Lambda^\mu{}_\nu\sigma^\nu$$

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$$\chi = \frac{1}{\sqrt{2}}(\rho_1 + i\rho_2),$$

$$\phi = \frac{1}{\sqrt{2}}(\rho_1 - i\rho_2)$$

this way the Dirac fermion is shown to be equivalent to two Majorana fermions of equal mass

$$\Psi_D \rightarrow e^{i\alpha} \Psi_D$$

The U(1) symmetry of the theory described by amounts to the continuous rotation symmetry

$$\rho_1 \rightarrow \cos \theta \rho_1 + \sin \theta \rho_2$$

$$\rho_2 \rightarrow -\sin \theta \rho_1 + \cos \theta \rho_2$$

In any theory of neutrino masses the free field Lagrangian is given as

$$\mathcal{L}_M = i \sum_{a=1}^n \bar{\rho}_a \bar{\sigma}_\mu \partial^\mu \rho_a - \frac{1}{2} \sum_{a,b=1}^n (\mathcal{M}_{\nu ab} \rho_a \rho_b + \text{h.c.})$$

By Fermi statistics the mass coefficients we must have a **symmetric** matrix, in general **complex**.

One can show that this matrix can always be diagonalized by a complex unitary matrix as [Schechter & JV PRD22 \(1980\) 2227](#)


$$\mathcal{U}_\nu^T \mathcal{M}_\nu \mathcal{U}_\nu = \text{diag}(m_1, m_2, \dots, m_n)$$

In general lepton number not fundamental

# Quantization of Majorana and Dirac fermions

The solutions of the Majorana field equation can easily be obtained in terms of those of the Dirac equation, which are well known

Schechter & JV PRD22 (1980) 2227



$$\Psi_M = \int \frac{d^3k}{(2\pi)^{3/2}} \frac{1}{2E} \sum_{r=1}^2 \left[ e^{-ik \cdot x} A_r(k) u_L(k, r) + e^{ik \cdot x} A_r^\dagger(k) v_L(k, r) \right]$$

where  $u = C \bar{v}^T$  and  $E(k) = (\vec{k}^2 + m^2)^{1/2}$  is the mass-shell condition.

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Schechter & JV PRD22 (1980) 2227

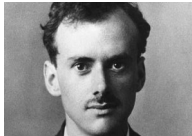

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where  $u = C\bar{v}^T$  and  $E(k) = (\vec{k}^2 + m^2)^{1/2}$  is the mass-shell condition.

- i. the creation and annihilation operators obey canonical **anti-commutation** rules
- ii. the spinor **uL, vL** Dirac wave-functions are 2-component,  
as there is a **chiral** projection in front

**only one Fock space**, instead of two characterizing the Dirac theory, corresponding to particle and anti-particle

**u's and v's are the same** wave functions in the Fourier decomposition the Dirac field


$$\Psi_D = \int \frac{d^3k}{(2\pi)^{3/2}} \frac{1}{2E} \sum_{r=1}^2 \left[ e^{-ik \cdot x} a_r(k) u_r(k) + e^{ik \cdot x} b_r^\dagger(k) v_r(k) \right]$$

## Two independent propagators

$$\langle 0 | T(\rho_\alpha(x) \bar{\rho}_\beta(y)) | 0 \rangle = i(\sigma^\mu)_{\alpha\beta} \partial_\mu \Delta_F(x-y; m),$$

characterizes fermion number  
**conserving** processes

$$\langle 0 | T(\rho_\alpha(x) \rho_\beta(y)) | 0 \rangle = -m \epsilon_{\alpha\beta} \Delta_F(x-y; m) = m(i\sigma_2)_{\alpha\beta} \Delta_F(x-y; m),$$

characterizes fermion number  
**violating** processes



Taking into account the free Lagrangian described above and the gauge interactions of the SM, one can derive all Feynman rules for processes involving Majorana (as well as Dirac) fermions from first principles

Using the helicity eigenstate wave-functions

$$\vec{\sigma} \cdot \vec{k} u_L^\pm(k) = \pm |\vec{k}| u_L^\pm(k)$$
$$\vec{\sigma} \cdot \vec{k} v_L^\pm(k) = \mp |\vec{k}| v_L^\pm(k).$$

one can show that, out of the 4 linearly independent wave functions  $u_L^\pm(k)$  and  $v_L^\pm(k)$ , only two survive as the mass approaches zero, namely,  $u_L^-(k)$  and  $v_L^+(k)$ . This way we recover the Lee-Yang two-component massless neutrino theory, namely as the massless limit of the Majorana theory. [Schechter, JV PRD24\(1982\)1883](#)



# lecture 2

## The lepton mixing matrix

We now turn to the structure of the charged and neutral current weak interactions **CC** **NC** associated to massive neutrinos

we diagonalize all mass matrices resulting from spontaneous gauge symmetry **SSB** breaking and then rewrite the gauge interactions in the mass eigenstate basis, where physical particles are clearly identified, as we did in the **CKM** matrix.

# The lepton mixing matrix

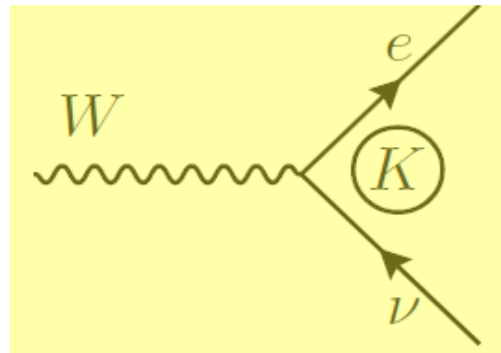
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## Dirac neutrinos



$$V^{\text{LEP}} = R_L^e \dagger R_L^\nu$$



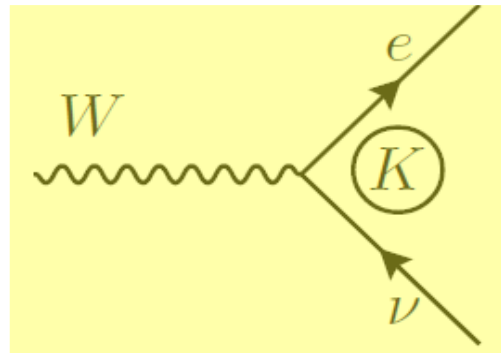
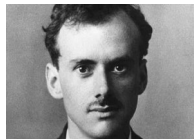
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$$V^{\text{LEP}} = R_L^e \dagger R_L^\nu$$

$$V^{\text{LEP}} = \omega_0(\gamma) \prod_{i < j} \omega_{ij}(\eta_{ij})$$

$$\omega_0(\gamma) = \exp i \left( \sum_{a=1}^n \gamma_a, A_a^a \right)$$

$$\omega_{ab}(\eta_{ab}) = \exp \sum_{a=1}^n (\eta_{ab} A_a^b - \eta_{ab}^* A_b^a)$$

$$\omega_{12}(\eta_{12}) = \begin{bmatrix} c_{12} & e^{i\theta_{12}} s_{12} & 0 \dots \\ -e^{-i\theta_{12}} s_{12} & c_{12} & 0 \dots \\ 0 & 0 & 1 \dots \\ \dots & \dots & \dots \end{bmatrix}$$

$$\eta_{ab} = |\eta_{ab}| \exp i\theta_{ab}$$

once the charged leptons and Dirac neutrino mass matrices are diagonal, one can still rephase the corresponding fields, keeping the free Lagrangian invariant by  $\omega_0(\alpha)$  and  $\omega_0(\gamma - \alpha)$

$$\mathbf{V}^{\text{LEP}} = \omega_0(\alpha) \prod_{i < j}^n \omega_{ij}(\eta_{ij}) \omega_0^\dagger(\alpha).$$

$$\omega_0(\alpha) \omega_{ab}(|\eta_{ab}| \exp i\theta_{ab}) \omega_0^\dagger(\alpha) = \omega_{ab}[|\eta_{ab}| \exp i(\alpha_a + \theta_{ab} - \alpha_b)]$$

**3**

$n(n - 1)/2$  mixing angles  $\theta_{ij}$  and

**1**

$n(n - 1)/2 - (n - 1)$  independent CP phases.



Massive Dirac neutrinos mixing matrix has the same form as **CKM matrix**

$$\delta \equiv \phi_{12} + \phi_{23} - \phi_{13}$$

Affects neutrino oscillations



## Majorana neutrinos: unitary approximation

The imposition of lepton number conservation in a gauge theory would be *ad hoc*  
So neutrinos are generally expected to be Majorana

$$K = \omega_{23}(\theta_{23}, \phi_{23})\omega_{13}(\theta_{13}, \phi_{13})\omega_{12}(\theta_{12}, \phi_{12}),$$





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Our parametrization of the lepton mixing matrix is fully “**symmetrical**”  
But there is a basic difference between Dirac and Majorana phases.

The rephasing invariant combination  
is the **Dirac** phase

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lepton-number  
conserving (**LNC**)  
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The other two phases are physical J. Schechter, JV PRD 23 (1981) 1666  
these **Majorana phases** show up only in lepton-number violating (**LNV**) processes, e.g.



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- **neutrinoless double beta decay**
- **neutrino electromagnetic properties**
- **neutrino to anti-neutrino oscillation (thought-experiment)**  
but do **not** enter **LNC** processes like standard neutrino oscillations



# Most general symmetrical form of the lepton mixing matrix

Schechter & JV PRD22 (1980) 2227  
W. Rodejohann, JV PRD 84 (2011) 073011

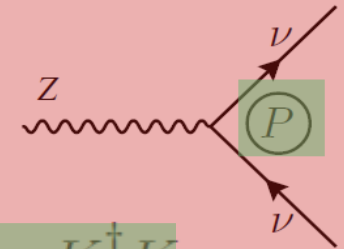
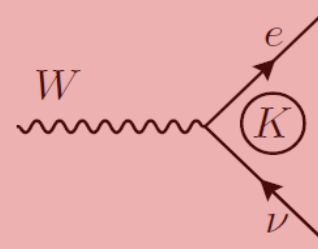
the most general seesaw is described by  $(n,m)$ ,  
 $n=3$  being the number of 321 isodoublets and  $m$  the number of extra leptons

$$K^{(1)} = \prod_{a=2}^{n+m} \omega(\eta_{1a}) e^{(1)}$$

$$K^{(2)} = \prod_{a=2}^{n+m} \omega(\eta_{1a}) \prod_{b=3}^{n+m} \omega(\eta_{2b}) e^{(2)}$$

$$K^{(3)} = \prod_{a=2}^{n+m} \omega(\eta_{1a}) \prod_{b=3}^{n+m} \omega(\eta_{2b}) \prod_{c=4}^{n+m} \omega(\eta_{3c}) e^{(3)}$$

$$e_b^{(a)} = \delta_{ab}$$



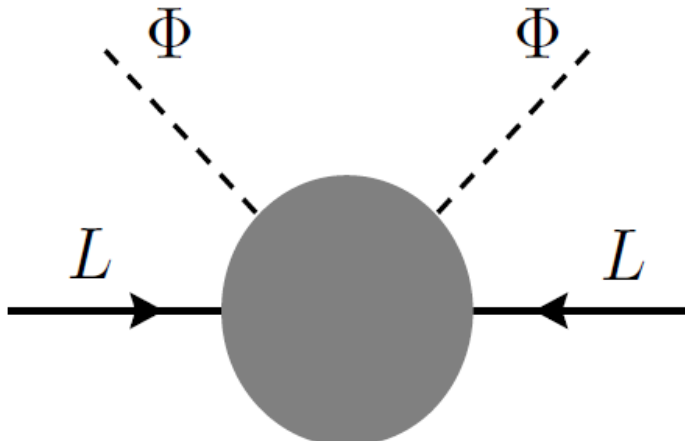
$$P = K^\dagger K$$

same number of angles and phases

$$n(n + 2m - 1)/2$$

Indeed lepton mixing is in general more complex in structure than quark mixing

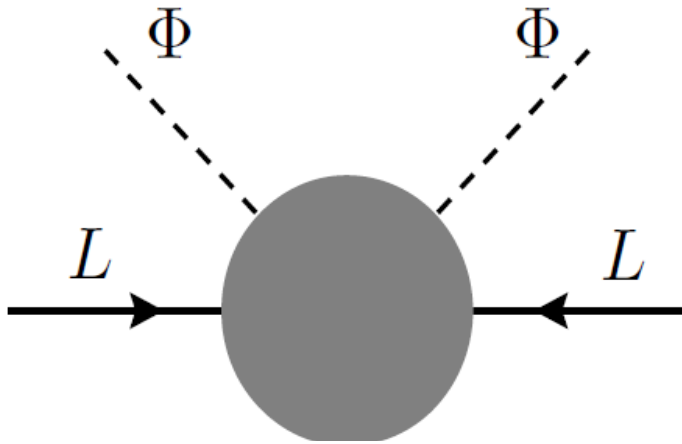
# Origin of neutrino mass



new physics

$$\mathcal{O}_5 \propto LL\Phi\Phi \quad m_\nu = \lambda_0 \frac{\langle \Phi \rangle^2}{M_X}$$

# Origin of neutrino mass



	$SU(3) \otimes SU(2) \otimes U(1)$
$L_a = (\nu_a, l_a)^T$ $e_a^c$	$(1, 2, -1/2)$ $(1, 1, 1)$
$Q_a = (u_a, d_a)^T$ $u_a^c$ $d_a^c$	$(3, 2, 1/6)$ $(\bar{3}, 1, -2/3)$ $(\bar{3}, 1, 1/3)$
$\Phi$	$(1, 2, 1/2)$

+ new neutrals

new physics

$$\mathcal{O}_5 \propto LL\Phi\Phi \quad m_\nu = \lambda_0 \frac{\langle \Phi \rangle^2}{M_X}$$

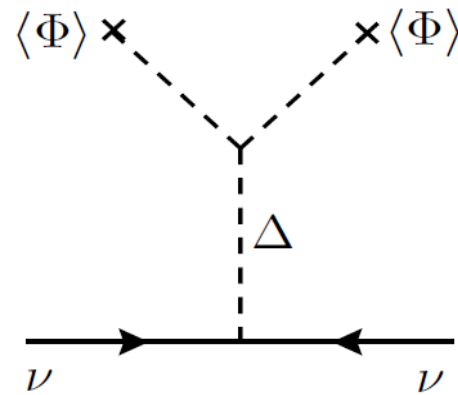
The seesaw mechanism postulate that additional **neutral heavy states** act as “**messenger**” particles to induce neutrino masses

**explicit** lepton number violation

**spontaneous** lepton number violation

**Global** symmetry  
**Gauge** symmetry

# Simplest or triplet seesaw (now called type II)



$$\Delta = \begin{bmatrix} \frac{\Delta^+}{\sqrt{2}} & \Delta^{++} \\ \Delta^0 & -\frac{\Delta^-}{\sqrt{2}} \end{bmatrix}$$

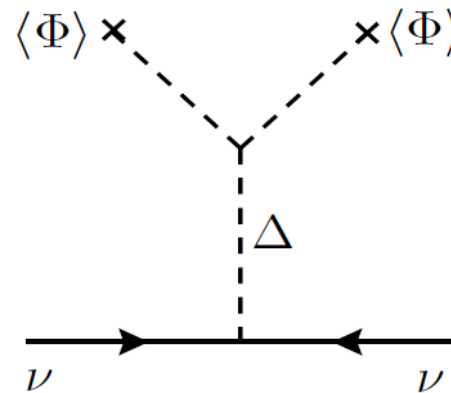
$$v_3 \equiv \langle \Delta^0 \rangle$$

$$v_3 \ll v_2$$

$$v_2 \equiv \langle \Phi \rangle$$

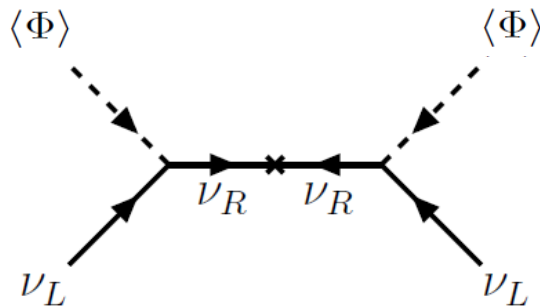


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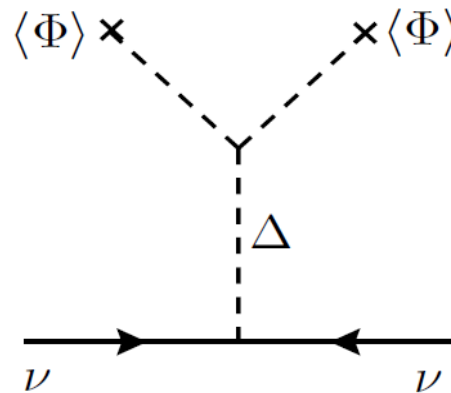
# Type I seesaw

$$\mathcal{M}_\nu = \begin{bmatrix} M_1 & M_D \\ M_D^T & M_2 \end{bmatrix}$$

$$M_1 \ll M_D \ll M_2.$$

$$\mathcal{U}_\nu^T \mathcal{M}_\nu \mathcal{U}_\nu = \text{real, diagonal}$$

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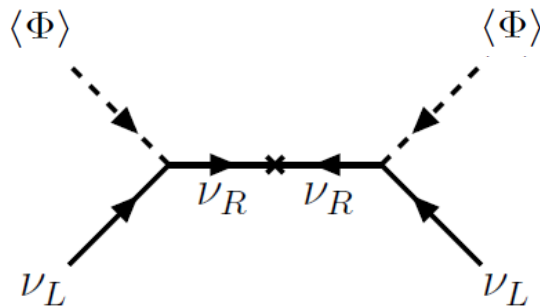


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$$\mathcal{U}_\nu = \exp(iH) \cdot V, \quad H = \begin{bmatrix} 0 & S \\ S^\dagger & 0 \end{bmatrix}, \quad V = \begin{bmatrix} V_1 & 0 \\ 0 & V_2 \end{bmatrix} \quad \text{Seesaw expansion}$$

$$\mathcal{U}_\nu = \begin{bmatrix} \left( I - \frac{1}{2} M_D^* (M_2^*)^{-1} M_2^{-1} M_D^T \right) V_1 & M_D^* (M_2^*)^{-1} V_2 \\ -M_2^{-1} M_D^T V_1 & \left( I - \frac{1}{2} M_2^{-1} M_D^T M_D^* (M_2^*)^{-1} \right) V_2 \end{bmatrix}$$



# spontaneous L violation in SM seesaw

J. Schechter, JV, PRD25 (1982) 774

$$\mathcal{M}_\nu = \begin{bmatrix} Y_3 v_3 & Y_\nu v_2 \\ Y_\nu^T v_2 & Y_1 v_1 \end{bmatrix}$$

$$Y_1 \sigma \nu_L^c T (i\sigma_2) \nu_L^c + \text{h.c.}$$

$$v_3 v_1 \sim v_2^2$$

$$v_1 \gg v_2 \gg v_3$$

$$m_\nu^{(I+II)} = M_1 - M_D M_2^{-1} M_D^T \simeq Y_3 v_3 - Y_\nu Y_1^{-1} Y_\nu^T \frac{\langle \Phi \rangle^2}{v_1}$$

$$V = m_\Phi^2 \Phi^\dagger \Phi + m_\sigma^2 \sigma^\dagger \sigma + \lambda_\Phi (\Phi^\dagger \Phi)^2 + \lambda_\sigma (\sigma^\dagger \sigma)^2 + \lambda_{\Phi\sigma} (\Phi^\dagger \Phi) (\sigma^\dagger \sigma)$$



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invariance of V under a global lepton-number transformation leads to

physical Nambu-Goldstone boson associated with its spontaneous breakdown

$$M_i^2 = \begin{bmatrix} 1 & \frac{v_2}{2v_1} & -\frac{v_2}{2v_3} \\ \frac{v_2}{2v_1} & \frac{v_2^2}{4v_1^2} & -\frac{v_2^2}{4v_1 v_3} \\ -\frac{v_2}{2v_3} & -\frac{v_2^2}{4v_1 v_3} & \frac{v_2^2}{4v_3^2} \end{bmatrix} \times \left\langle \frac{\partial^2 V}{\partial \Phi_i \partial \Phi_i} \right\rangle$$

$$A = \mathfrak{Im} \Phi^0 + \frac{v_2}{2v_1} \mathfrak{Im} \sigma - \frac{v_2}{2v_3} \mathfrak{Im} \Delta^0$$

$$G_Z \propto v_2 \mathfrak{Im} \Phi^0 + 2v_3 \mathfrak{Im} \Delta^0$$

Noether's theorem

$$J \propto v_3 v_2^2 \mathfrak{Im}(\Delta^0) - 2v_2 v_3^2 \mathfrak{Im}(\Phi^0) + v_1 (v_2^2 + 4v_3^2) \mathfrak{Im}(\sigma)$$

(Check the normalization factor)



## perturbative expansion for the majoron (J) couplings to light neutrinos

$$\mathcal{L}_{\text{Yuk}} = \frac{J}{2} \sum_{ij} \nu_i^T g_{ij} (i\sigma_2) \nu_j + \text{h.c.}$$

$$g_{ij} = -\frac{m_i}{v_1} \delta_{ij} + \left[ \frac{m_i}{v_1} \left( V_1^\dagger D^* M_2^{*-1} M_2^{-1} D^T V_1 \right)_{ij} + \text{transpose} \right] + \dots$$

relevant for astrophysics

relevant for cosmology

relevant for  $0\nu\beta\beta$  decay



## Low-scale seesaw mechanism

## Inverse seesaw

R.N. Mohapatra, JV: PRD34 (1986) 1642  
M.C. Gonzalez-Garcia, JV: PLB 216 (1989) 360

So far we considered type-1 seesaw with  $(n;m) = (3; 3)$ . One may assume by hand that the **LNV** scale is low in such **simplest 321** seesaw by choosing correspondingly small “Dirac” Yukawas to account for small neutrino masses, e.g. of order of the electron Yukawa coupling or less.



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**New features** emerge when the seesaw is realized with non-minimal lepton content. Now we turn to extended **321 seesaw with more isosinglets than isodoublets**





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$$\mathcal{M}_\nu = \begin{bmatrix} 0 & Y_\nu^T \langle \Phi \rangle & 0 \\ Y_\nu \langle \Phi \rangle & 0 & M^T \\ 0 & M & \mu \end{bmatrix}$$

in the basis  $\nu_L, \nu_L^c, S_L$

$Y_\nu$  is an arbitrary  $3 \times 3$  complex Yukawa



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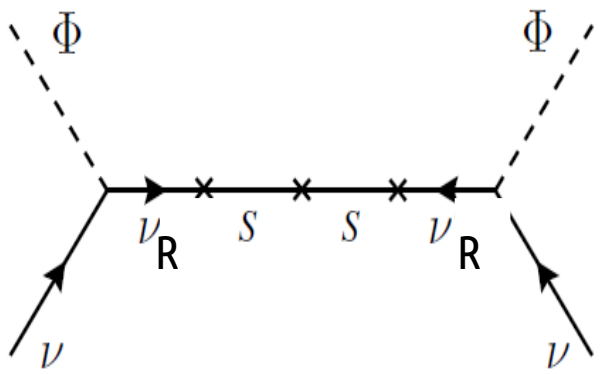
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linear seesaw Evgeny K. Akhmedov et al: PRD53 (1996) 2752  
PLB368 (1996) 270  
Michal Malinsky, Romao, JV: PRL95 (2005) 161801

After electroweak symmetry breaking one gets the mass matrix

$$\mathcal{U}_\nu^T \cdot \mathcal{M}_\nu \cdot \mathcal{U}_\nu = \text{block diag}$$

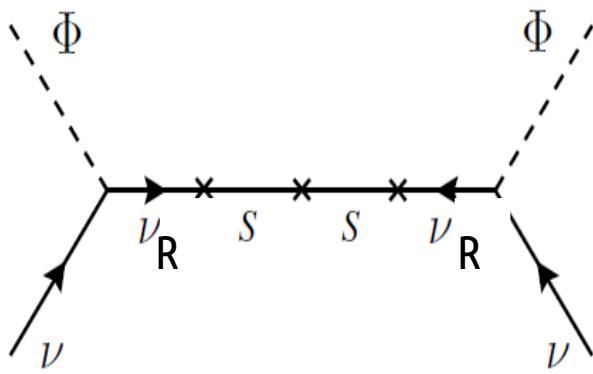


$$\mathcal{U}_\nu \approx \begin{bmatrix} I & iS_2 & iS_1 \\ -i\frac{1}{\sqrt{2}} [S_1^\dagger - S_2^\dagger] & \frac{1}{\sqrt{2}} I & -\frac{1}{\sqrt{2}} I \\ i\frac{1}{\sqrt{2}} [S_1^\dagger + S_2^\dagger] & \frac{1}{\sqrt{2}} I & \frac{1}{\sqrt{2}} I \end{bmatrix} + \mathcal{O}(\epsilon^2)$$

$$iS^* = -\frac{1}{\sqrt{2}} m_D (M^T)^{-1} \sim \epsilon$$

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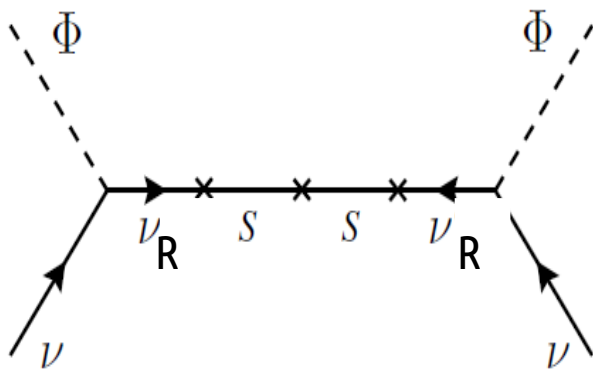
$$m_\nu^{\text{inverse}} = M_D^T M^{T^{-1}} \mu M^{-1} M_D^*$$

$$m_\nu \rightarrow 0 \quad \text{as} \quad \mu \rightarrow 0$$

**t'Hooft**

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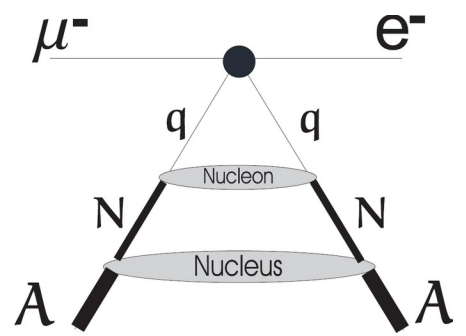
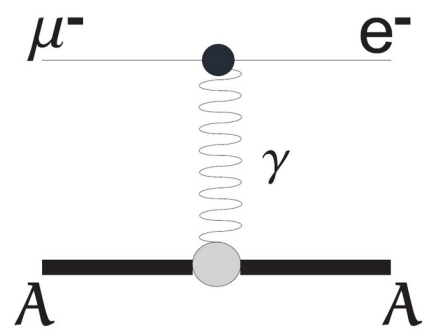
$$m_\nu^{\text{inverse}} = M_D^T M^{T^{-1}} \mu M^{-1} M_D^*$$

$$m_\nu \rightarrow 0 \quad \text{as} \quad \mu \rightarrow 0$$

t'Hooft

**CLFV & Leptonic CPV that persist in the massless limit**

J. Bernabeu et al, PLB187 (1987) 303  
 G. Branco, M. Rebelo, and JV, PLB 225 (1989) 385  
 N. Rius and JV, PLB 246 (1990) 249



After electroweak symmetry breaking one gets the mass matrix

$$\mathcal{U}_\nu^T \cdot \mathcal{M}_\nu \cdot \mathcal{U}_\nu = \text{block diag}$$

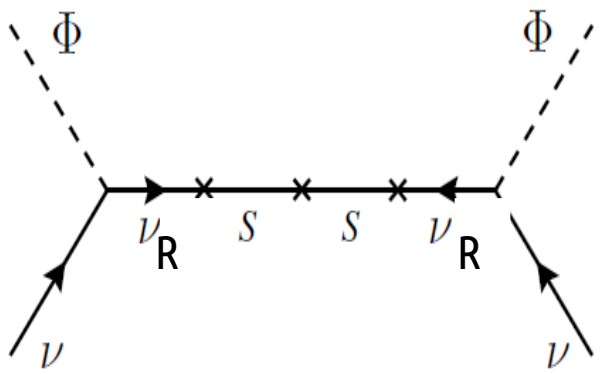
$$\mathcal{U}_\nu \approx \begin{bmatrix} I & iS_2 & iS_1 \\ -i\frac{1}{\sqrt{2}} [S_1^\dagger - S_2^\dagger] & \frac{1}{\sqrt{2}}I & -\frac{1}{\sqrt{2}}I \\ i\frac{1}{\sqrt{2}} [S_1^\dagger + S_2^\dagger] & \frac{1}{\sqrt{2}}I & \frac{1}{\sqrt{2}}I \end{bmatrix} + \mathcal{O}(\epsilon^2)$$

$$iS^* = -\frac{1}{\sqrt{2}} m_D (M^T)^{-1} \sim \epsilon$$

$$m_\nu^{\text{inverse}} = M_D^T M^{T^{-1}} \mu M^{-1} M_D^*$$

$$m_\nu \rightarrow 0 \quad \text{as} \quad \mu \rightarrow 0$$

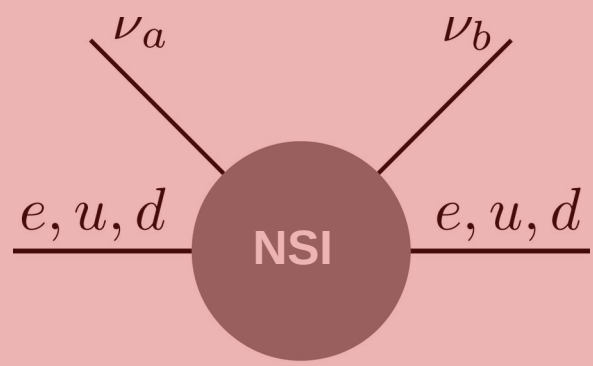
t'Hooft



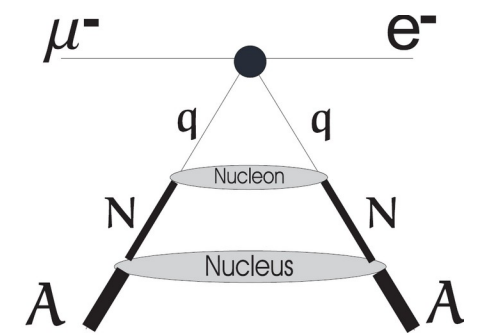
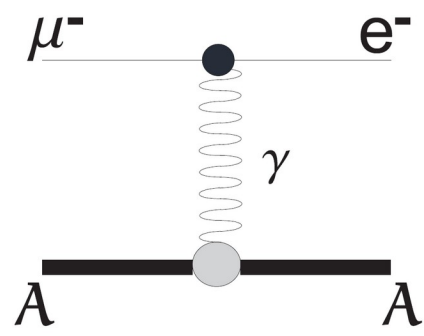
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**Low-scale seesaw phenomenology**



Unitarity violation

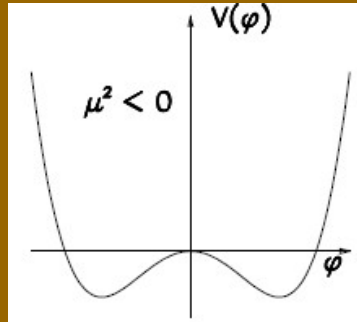


# seesaw sum up

## stability

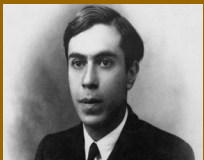
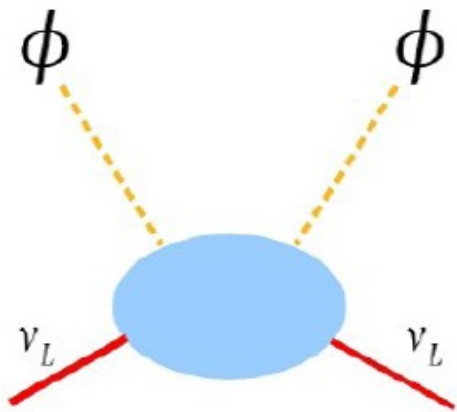
## SEESAW dynamics

$$v_3 v_1 \sim v_2^2$$



Mandal et al [Phys.Rev.D 101 \(2020\) 115030](#)

[JHEP03\(2021\)212](#) & [JHEP07\(2021\) 029](#)

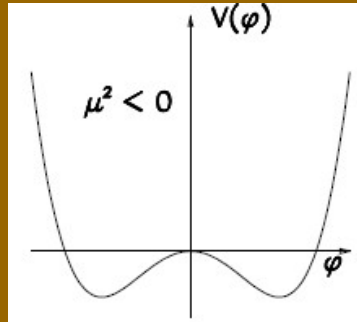


# SEESAW SUM UP

## stability

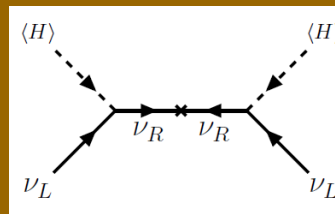
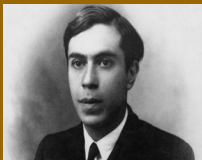
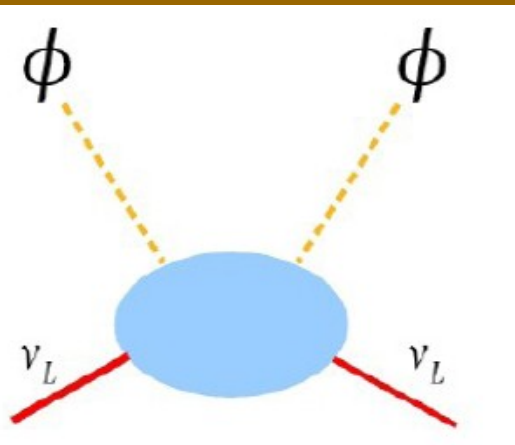
## SEESAW dynamics

$$v_3 v_1 \sim v_2^2$$



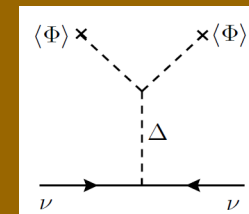
Mandal et al [Phys.Rev.D 101 \(2020\) 115030](#)

[JHEP03\(2021\)212](#) & [JHEP07\(2021\) 029](#)



## TYPE I

- Minkowski 77
- Gellman Ramond Slansky 80
- Glashow, Yanagida 79
- Mohapatra Senjanovic 80
- Lazarides Shafi Weterrich 81
- Schechter-Valle 80 & 82



## TYPE II

[Schechter-Valle 80 & 82](#)

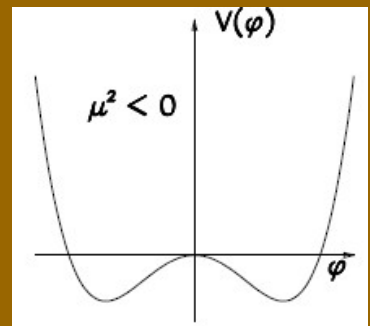


# seesaw sum up

## stability

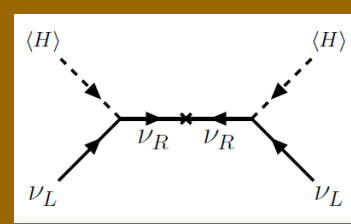
## SEESAW dynamics

$$v_3 v_1 \sim v_2^2$$



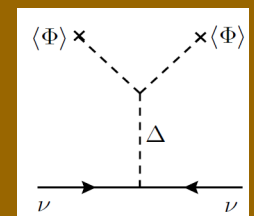
Mandal et al [Phys.Rev.D 101 \(2020\) 115030](#)

[JHEP03\(2021\)212](#) & [JHEP07\(2021\) 029](#)



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### TYPE II

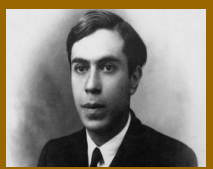
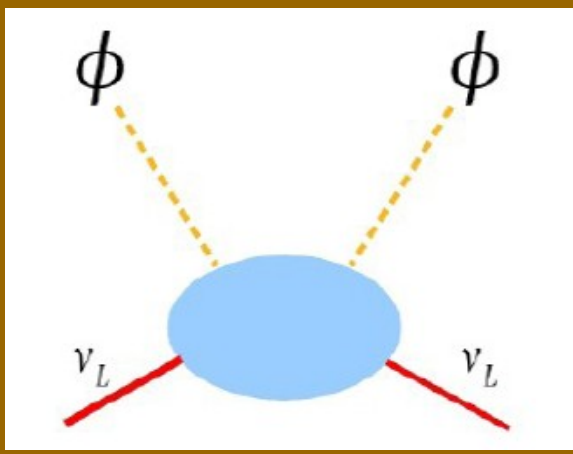
[Schechter-Valle 80 & 82](#)

**L-R seesaw**

**# of Rs = # Ls**

**SM seesaw**

**# of singlets arbitrary**

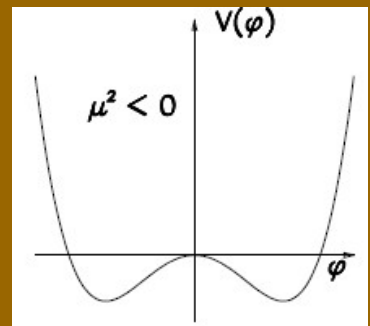


# SEESAW SUM UP

## stability

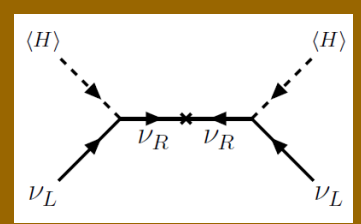
## SEESAW dynamics

$$v_3 v_1 \sim v_2^2$$



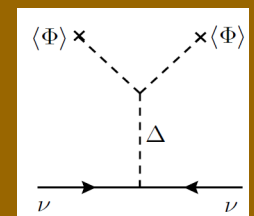
Mandal et al [Phys.Rev.D 101 \(2020\) 115030](#)

[JHEP03\(2021\)212](#) & [JHEP07\(2021\) 029](#)



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TYPE II

[Schechter-Valle 80 & 82](#)

L-R seesaw

# of Rs = # Ls

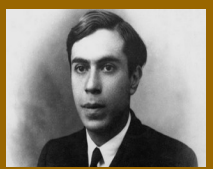
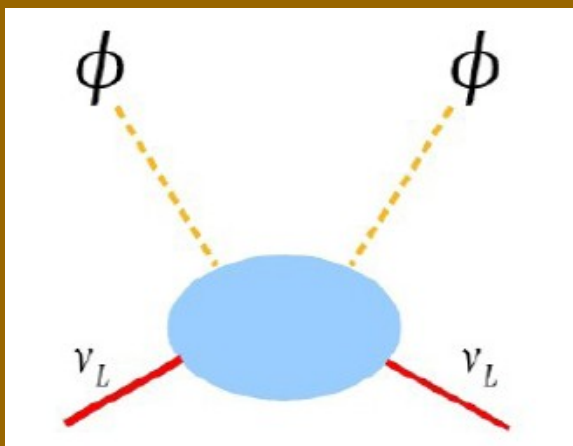
SM seesaw

# of singlets arbitrary

MISSING PARTNER

- (3,2) min viable type1 seesaw
- (3,1) scoto-seesaw template

$$m_{\beta\beta}$$

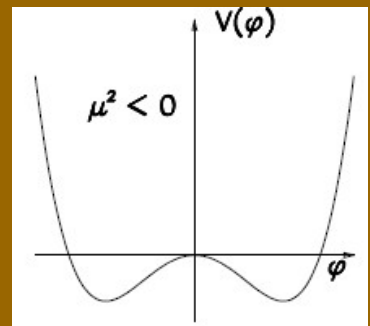


# SEESAW SUM UP

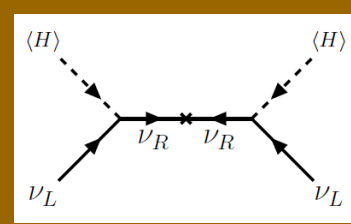
## stability

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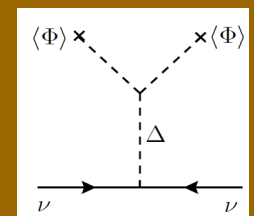


Mandal et al [Phys.Rev.D 101 \(2020\) 115030](#)  
[JHEP03\(2021\)212](#) & [JHEP07\(2021\) 029](#)



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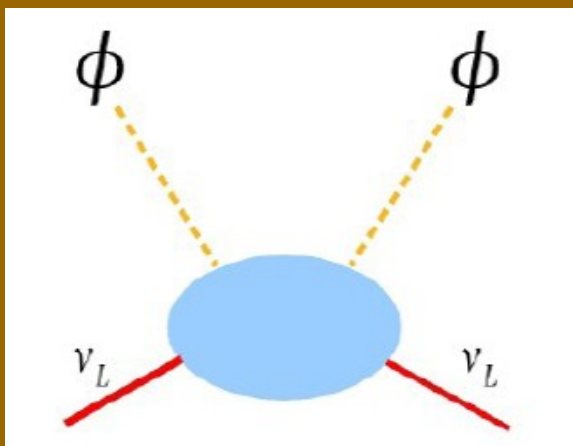
Schechter-Valle 80 & 82

### L-R seesaw

# of Rs = # Ls

### SM seesaw

# of singlets arbitrary



### MISSING PARTNER

- (3,2) min viable type1 seesaw
- (3,1) scoto-seesaw template

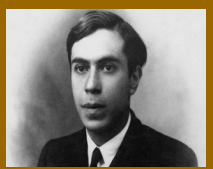
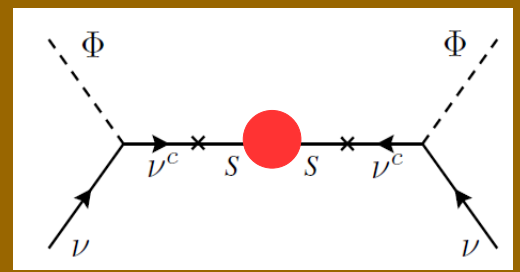
$$m_{\beta\beta}$$

### LOW-SCALE Type1 SEESAW (3,6) ISS & LSS

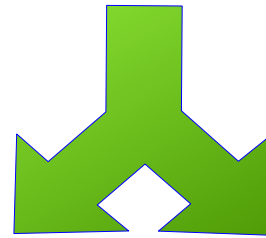
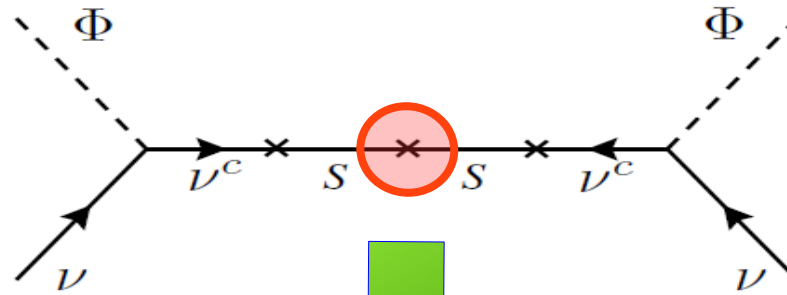
Mohapatra, Valle 86

Akhmedov et al [Phys.Rev.D53 \(1996\) 2752](#)  
[PhysLettB368 \(1996\) 270](#)

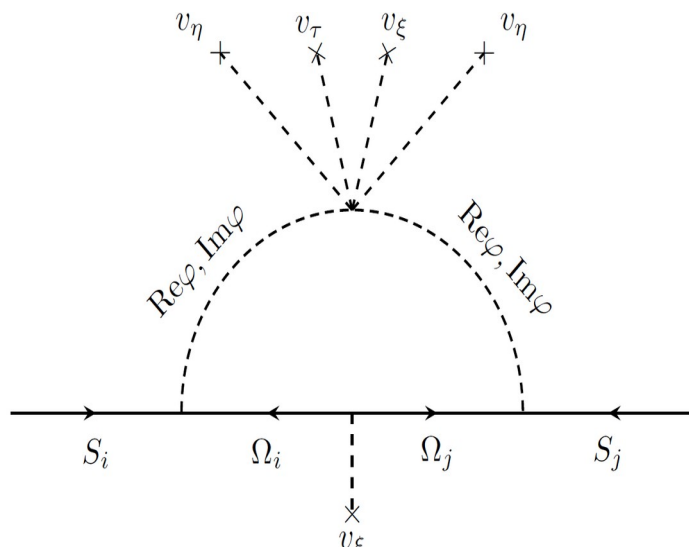
Malinsky et al [PhysRevLett95\(2005\)161801](#)



# doubly protected inverse seesaw

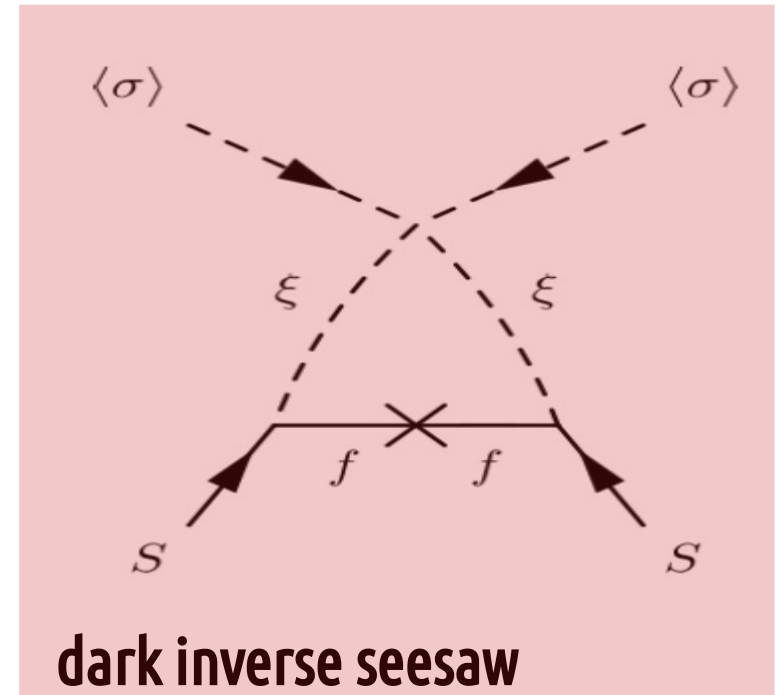


radiative  
inverse seesaw



L-R scheme

:Cárcamo Hernández et al JHEP 1902 (2019) 065

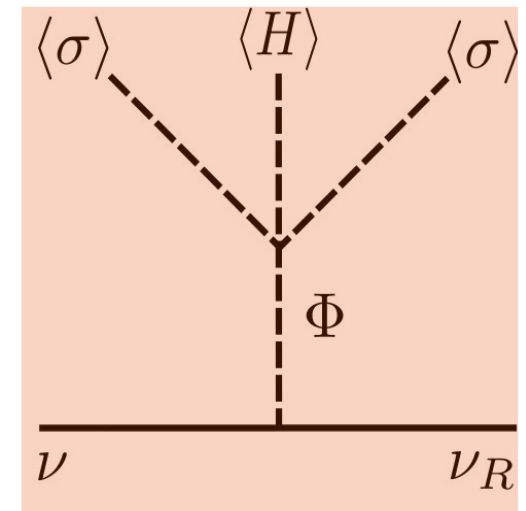
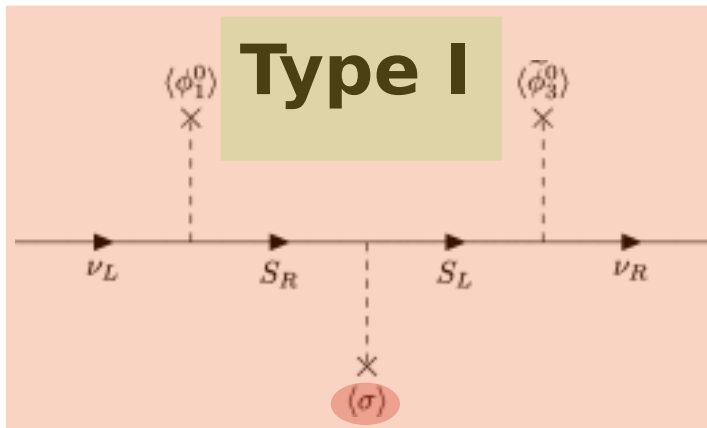


dark inverse seesaw

Mandal et al Phys.Lett.B821 (2021) 136609



# Seesawing a la

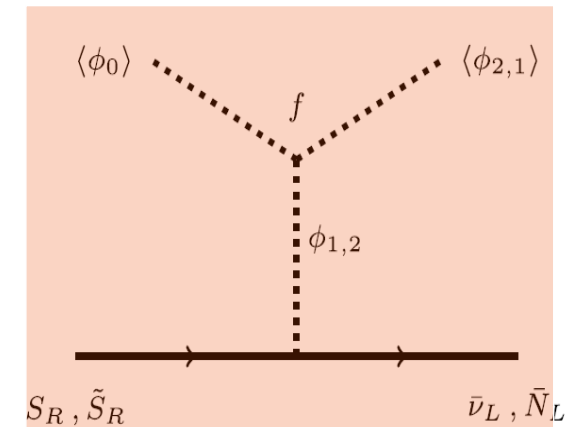


## Type II

symmetry protecting small neutrino mass  
+ Diracness

Phys.Lett. B762 (2016) 162-165

Phys.Rev. D94 (2016) 033012



Phys.Lett. B761 (2016) 431-436

Phys.Lett. B767 (2017) 209-213

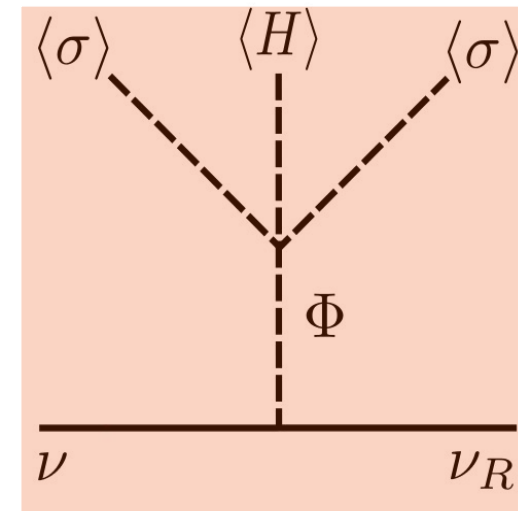
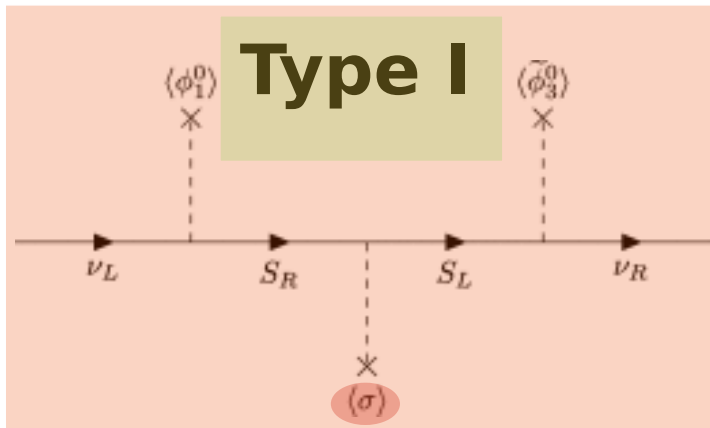
Phys.Rev. D98 (2018) 035009

Phys.Lett. B781 (2018) 122-128

Addazi et al Phys.Lett. B759 (2016) 471-478

Phys.Lett. B755 (2016) 363-366

# Seesawing a la



## Type II

symmetry protecting small neutrino mass  
+ Diracness

Peccei-Quinn symmetry

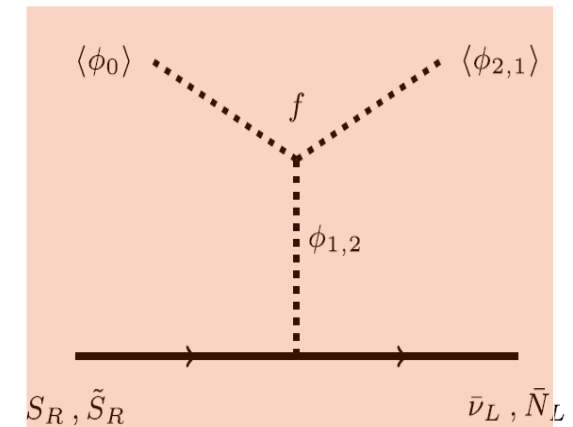
$$m_\nu^D \simeq \frac{y^{\nu_1} (y^S)^{-1} (y^{\nu_2})^T}{\sqrt{2}} \frac{v \langle W \rangle}{v \langle V \sigma \rangle}$$

← SU3L
← PQ

Revamped axion Phys.Lett.B 810 (2020) 135829

Phys.Lett. B762 (2016) 162-165

Phys.Rev. D94 (2016) 033012



Phys.Lett. B761 (2016) 431-436

Phys.Lett. B767 (2017) 209-213

Phys.Rev. D98 (2018) 035009

Phys.Lett. B781 (2018) 122-128

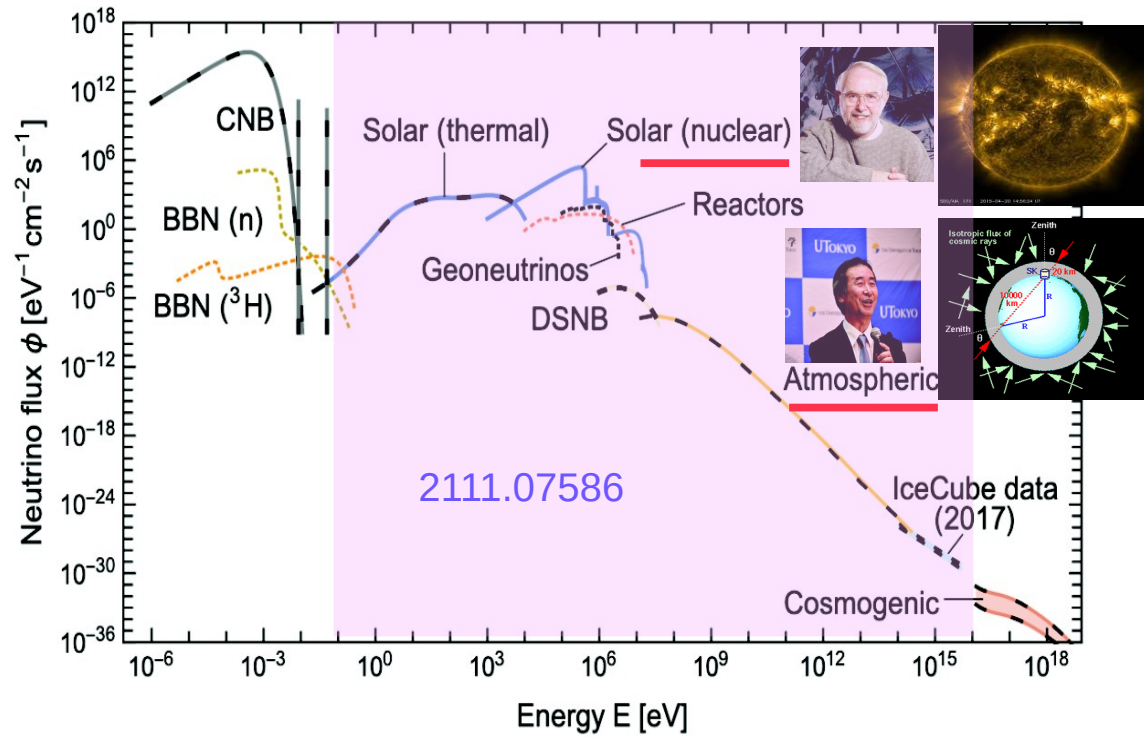
Addazi et al Phys.Lett. B759 (2016) 471-478

Phys.Lett. B755 (2016) 363-366

# neutrino sources

Neutrinos arise in a variety of processes like **beta decays** in atomic nuclei. Besides **Reactors**, neutrinos are produced at **accelerators**.

Neutrinos are also produced by **natural** sources like **solar** neutrinos, and in cosmic ray interactions with atomic nuclei in the Earth: **atmospheric**

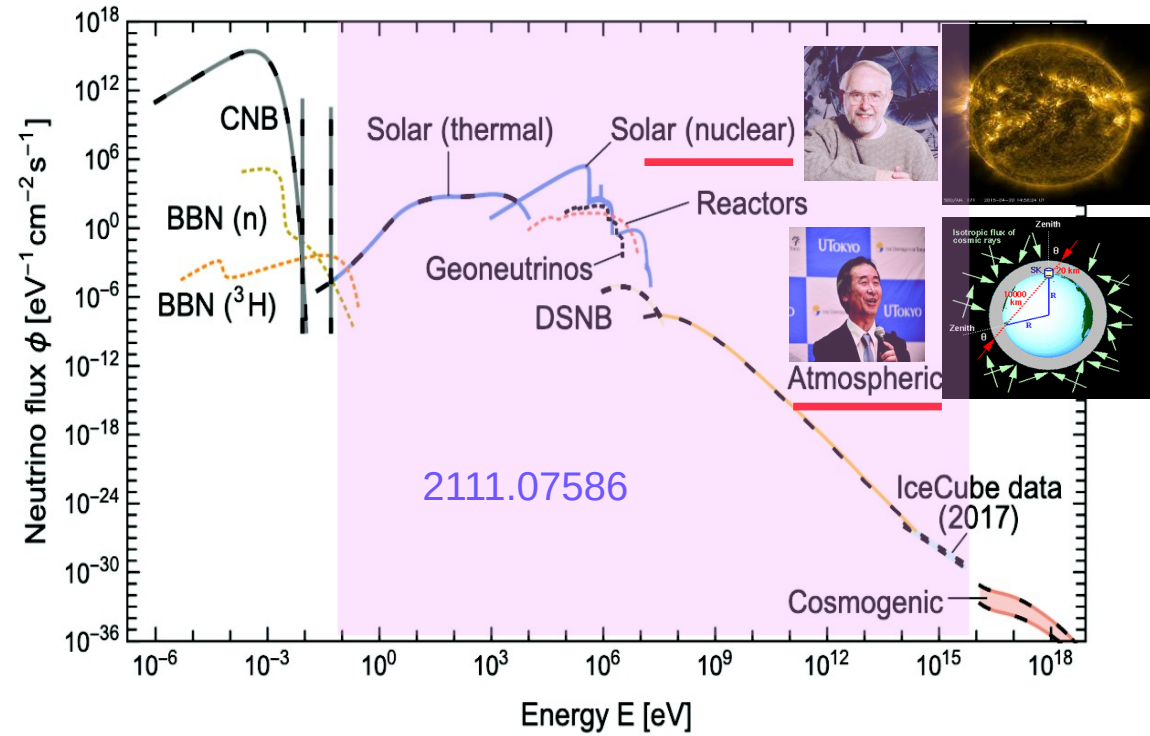




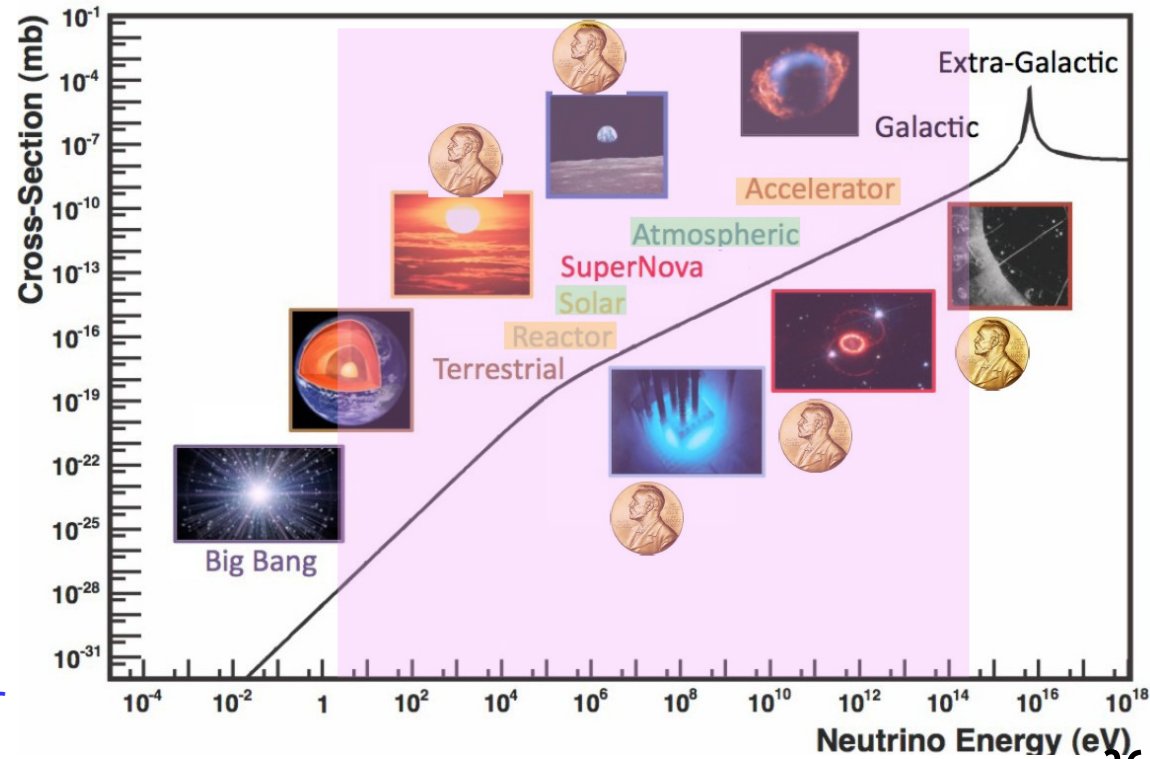
# neutrino sources

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# neutrino cross sections



Snowmass white paper:  
beyond the standard model effects on neutrino flavor



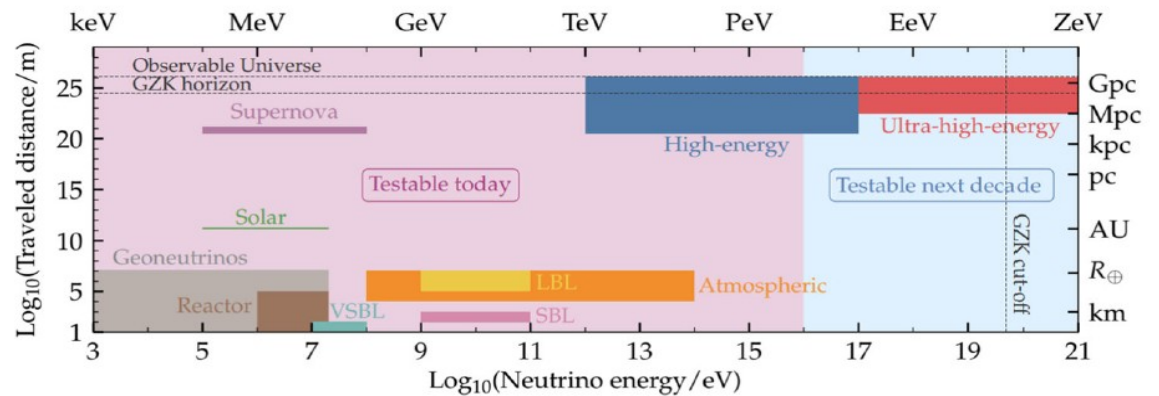
Snowmass white paper:  
beyond the standard model effects on neutrino flavor

**Table 5** Neutrino detectors and neutrino telescopes sorted from smaller to larger energies. These are grouped into three categories separated by the double lines: large neutrino detectors (top), high-energy neutrino telescopes (HENT, middle), and extremely high-energy neutrino

telescopes (EHENT, bottom). The detector technology and the neutrino interaction that they are sensitive to are shown in the right most columns with references. The label ‘All Flavors’ implies that they can also detect both charge- and neutral-current interactions. Table adapted from [696]

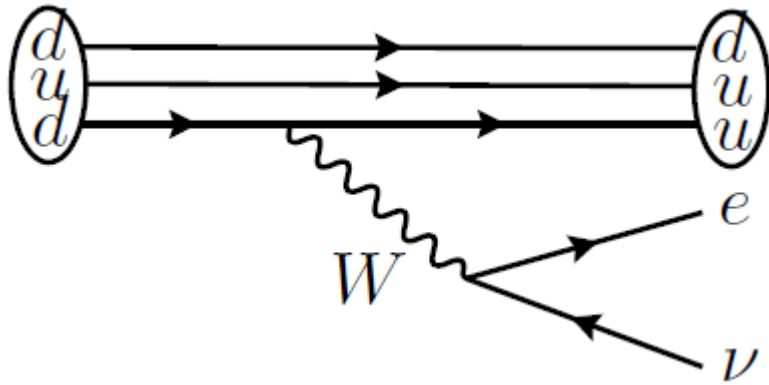
Energy range	Experiment	Technology	Detected flavor	References
$\gtrsim 10^3$ GeV	JUNO	Liquid scintillator	All flavors	[236]
$\gtrsim 10^3$ GeV	DUNE	LArTPC	All Flavors	[678]
$\gtrsim 10^3$ GeV	THEIA	WbLS	All flavors	[488]
$\gtrsim 10^3$ GeV	Super-Kamiokande	Gd-loaded water C	All flavors	[652]
$\gtrsim 10^4$ GeV	Hyper-Kamiokande	Water Cherenkov	All flavors	[485]
$\gtrsim 10^5$ GeV	ANTARES	Sea-Water Cherenkov	$\nu_\mu, \bar{\nu}_\mu$ (CC)	[679]
$\gtrsim 10^6$ GeV	IceCube/IceCube-Gen2	Ice Cherenkov	All flavors	[435,680]
$\gtrsim 10^6$ GeV	KM3NeT	Sea-water Cherenkov	All flavors	[681]
$\gtrsim 10^6$ GeV	Baikal-GVD	Lake-Water Cherenkov	All flavors	[682]
$\gtrsim 10^6$ GeV	P-ONE	Sea-Water Cherenkov	All flavors	[683]
1 – 100 PeV	TAMBO	Earth-skimming WC	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[684]
$\gtrsim 1$ PeV	Trinity	Earth-skimming Image	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[685]
$\gtrsim 10$ PeV	RET-N	Radar echo	All flavors	[686]
$\gtrsim 10$ PeV	IceCube-Gen2	In-ice Radio	All flavors	[435]
$\gtrsim 10$ PeV	ARIANNA-200	On-ice Radio	All Flavors	[687]
$\gtrsim 20$ PeV	POEMMA	Space Air-shower image	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[688]
$\gtrsim 100$ PeV	RNO-G	In-ice radio	All flavors	[689]
$\gtrsim 100$ PeV	ANITA/PUEO	Balloon radio	All Flavors	[690,691]
$\gtrsim 100$ PeV	Auger/GCOS	Earth-skimming WC	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[692,693]
$\gtrsim 100$ PeV	Beacon	Earth-skimming radio	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[694]
$\gtrsim 100$ PeV	GRAND	Earth-skimming radio	$\nu_\tau, \bar{\nu}_\tau$ (CC)	[695]

**Fig. 14** Energy and distance scales relevant for neutrino telescopes. Three high-energy neutrino fluxes are labeled as atmospheric (orange), high-energy astrophysical (blue), and ultra-high-energy (red). The region explored by the current experiment is shown in pink, while next-generation is in light blue. Adapted from [697]



# Beta decay

$$n \rightarrow p + e^{-} + \bar{\nu}_e$$



endpoint factor

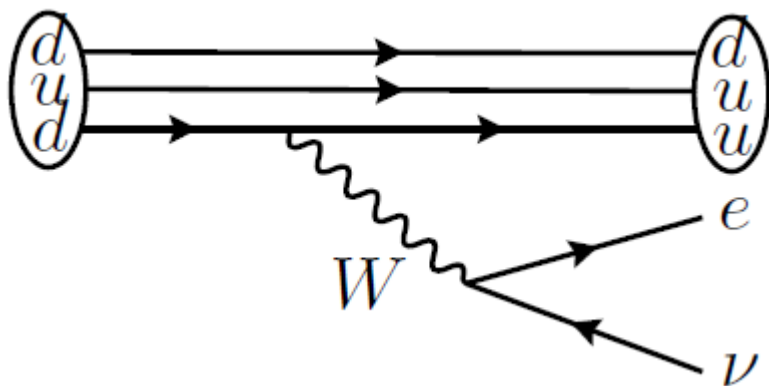
$${}^3H(\mathbf{0}, M) \rightarrow {}^3He^+(\mathbf{p}', E') + e^-(\mathbf{p}_e, E_e) + \bar{\nu}_e(\mathbf{p}_\nu, E_\nu).$$



# Beta decay

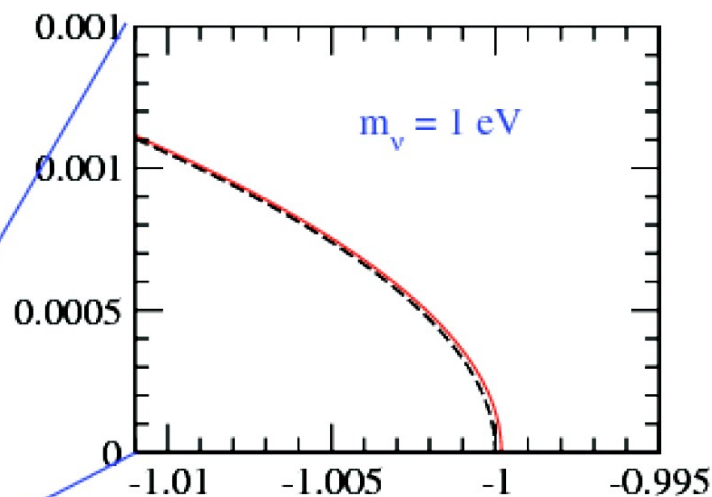
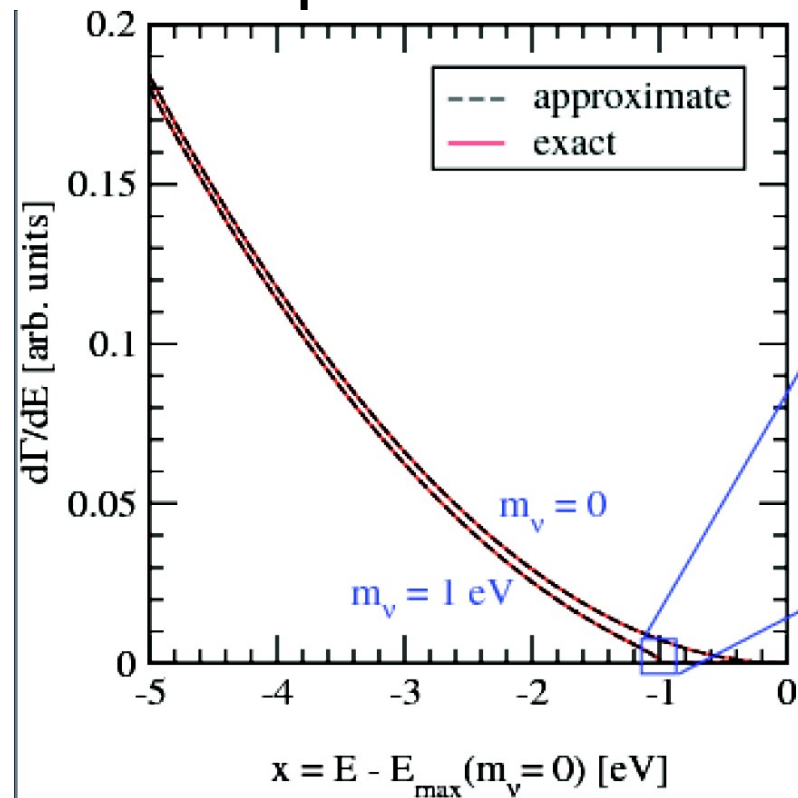
$$n \rightarrow p + e^- + \bar{\nu}_e$$

$${}^3H(\mathbf{0}, M) \rightarrow {}^3He^+(\mathbf{p}', E') + e^-(\mathbf{p}_e, E_e) + \bar{\nu}_e(\mathbf{p}_\nu, E_\nu)$$



## endpoint factor

Phys.Rev.C76 (2007) 045501

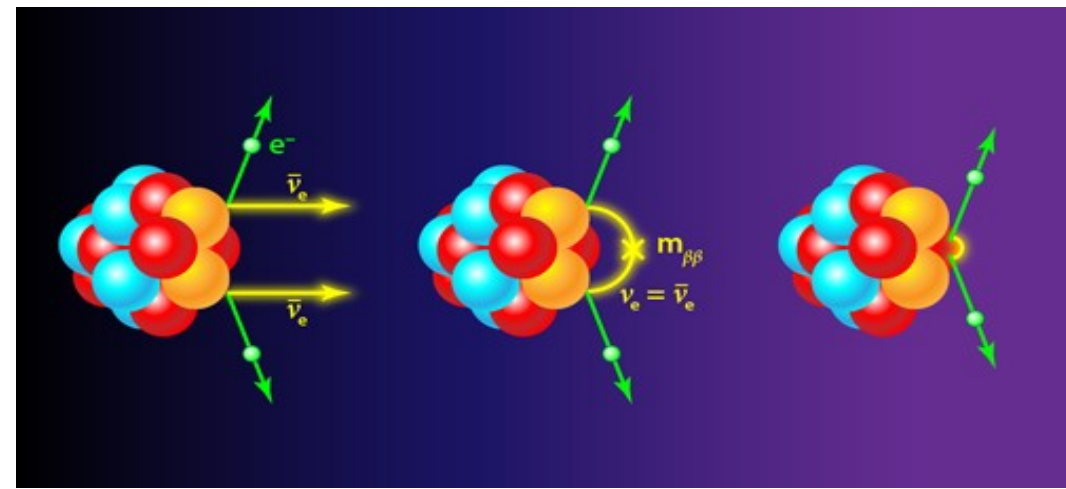


-detail-

Nature Phys. 18 (2022) 2, 160-166 • e-Print: 2105.08533

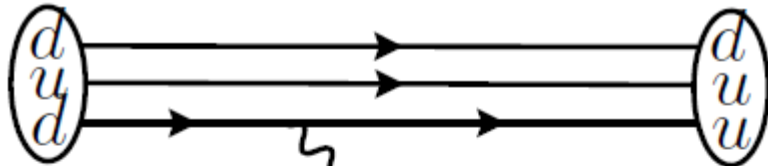
$m_\nu < 0.8 \text{ eV } c^{-2}$  at 90% CL.

# neutrinoless double beta decay

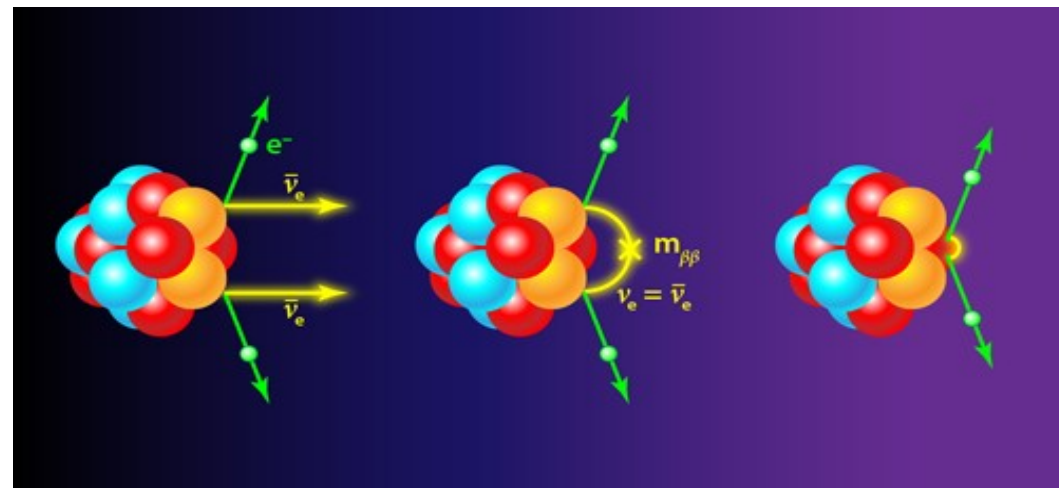
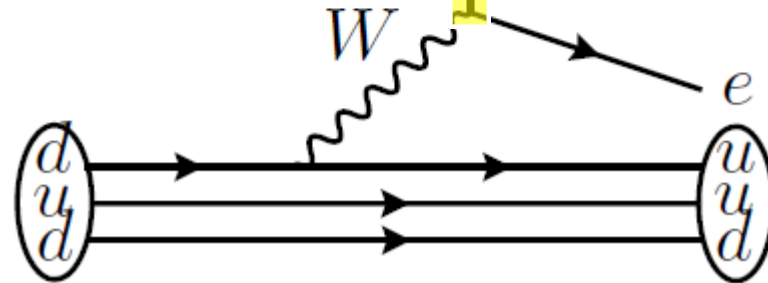


B.J.P. Jones 2108.09364 (TASI 2020)  
C Adams et al 2212.11099

# neutrinoless double beta decay

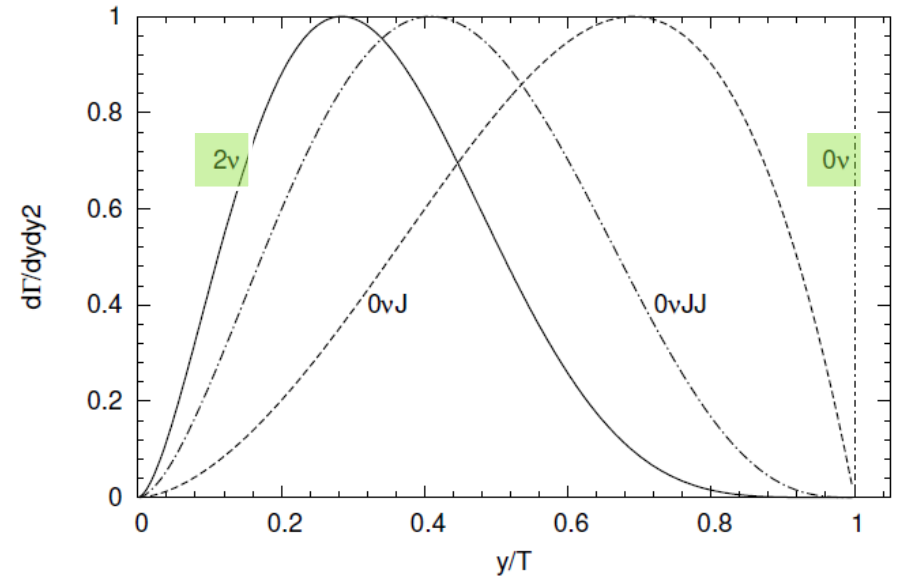


$\nu$



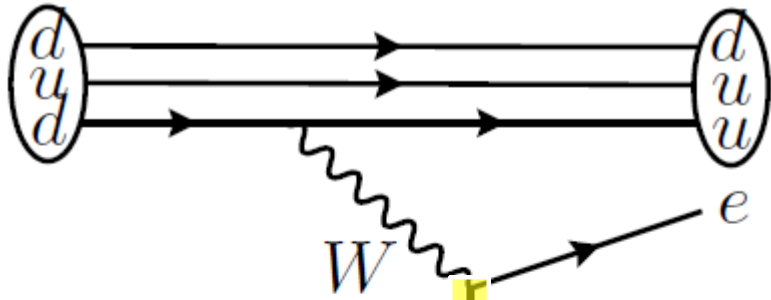
B.J.P. Jones 2108.09364 (TASI 2020)  
C Adams et al 2212.11099

$$m_{\beta\beta} = \sum_i K_{ei}^2 m_i = |c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{2i\phi_{12}} + s_{13}^2 m_3 e^{2i\phi_{13}}|$$

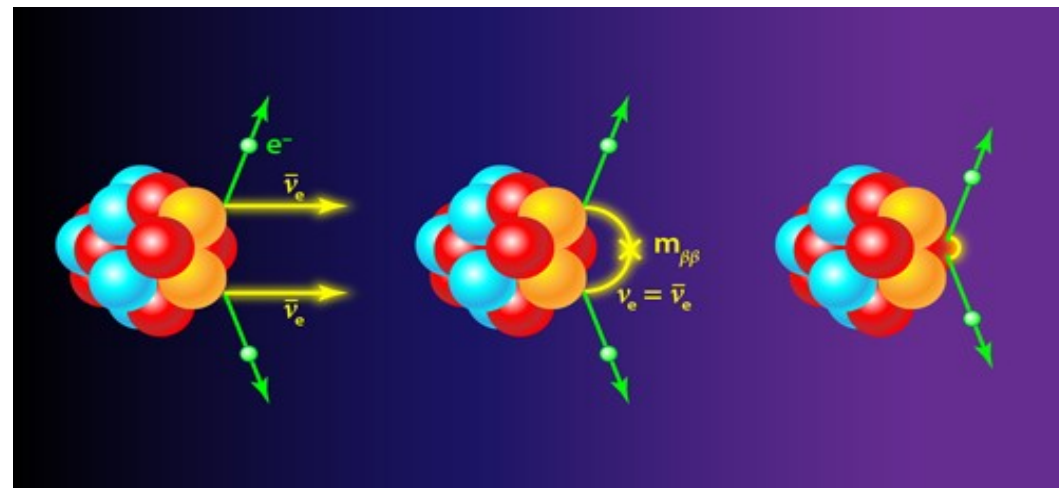
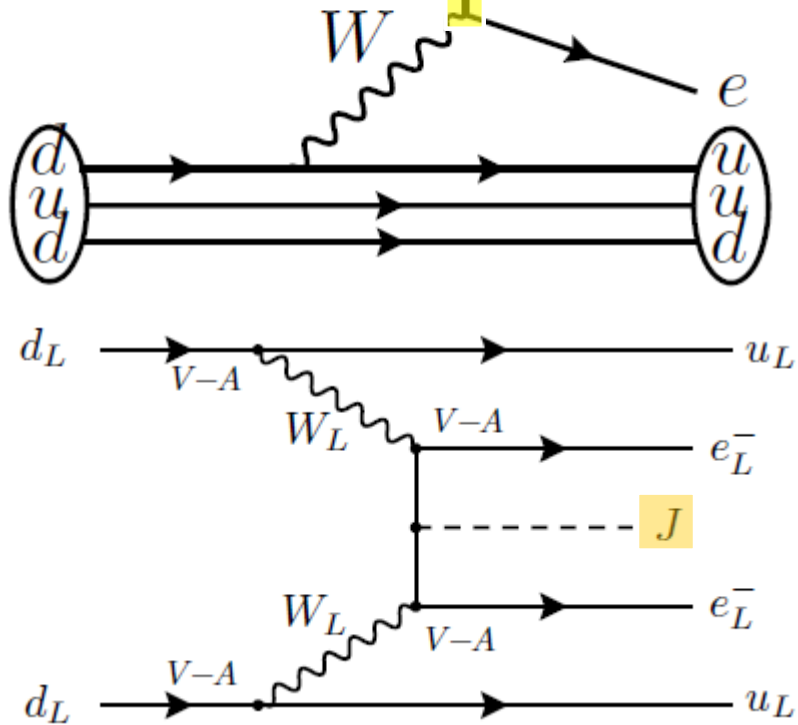




# neutrinoless double beta decay

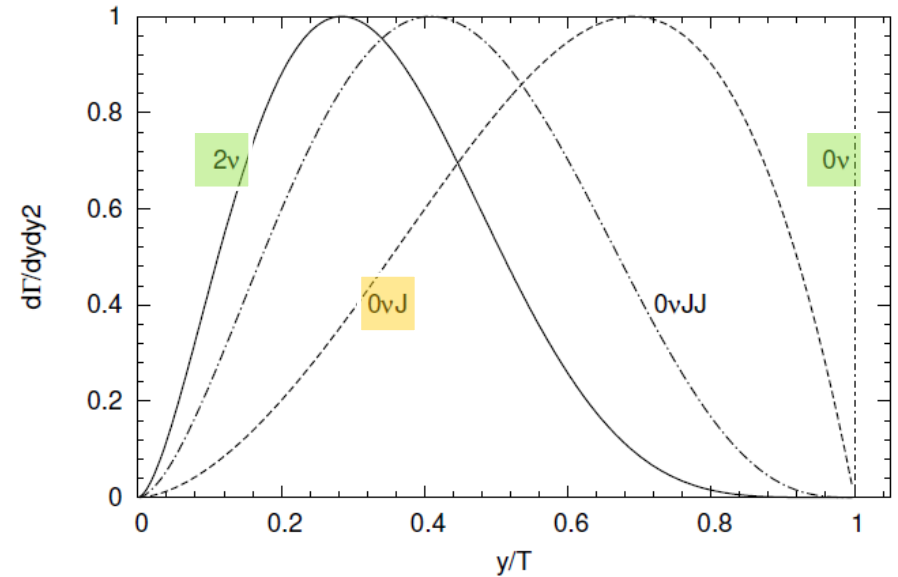


$\nu$



B.J.P. Jones 2108.09364 (TASI 2020)  
C Adams et al 2212.11099

$$m_{\beta\beta} = \sum_i K_{ei}^2 m_i = |c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{2i\phi_{12}} + s_{13}^2 m_3 e^{2i\phi_{13}}|$$



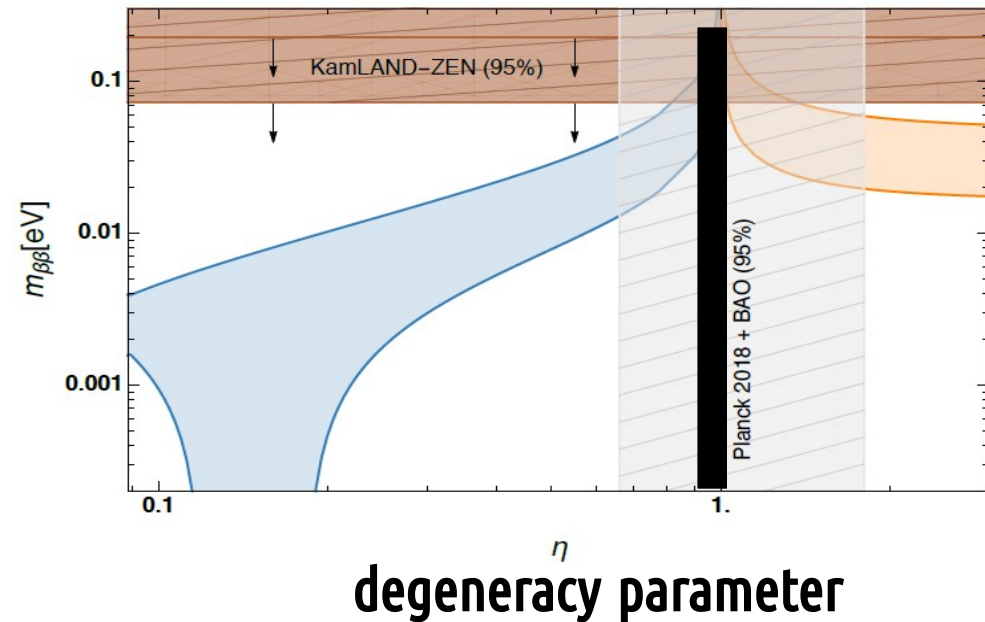
# symmetrical parametrization is better than PDG



$$m_{\beta\beta} = \begin{cases} \left| c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{\frac{1}{2}i\alpha_{21}} + s_{13}^2 m_3 e^{\frac{1}{2}i(\alpha_{31} - 2\delta)} \right| & \text{(PDG),} \\ \left| c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{2i\phi_{12}} + s_{13}^2 m_3 e^{2i\phi_{13}} \right| & \text{(symm.)} \end{cases}$$

Lattanzi et al JHEP 10 (2020) 213

## Near degeneracy



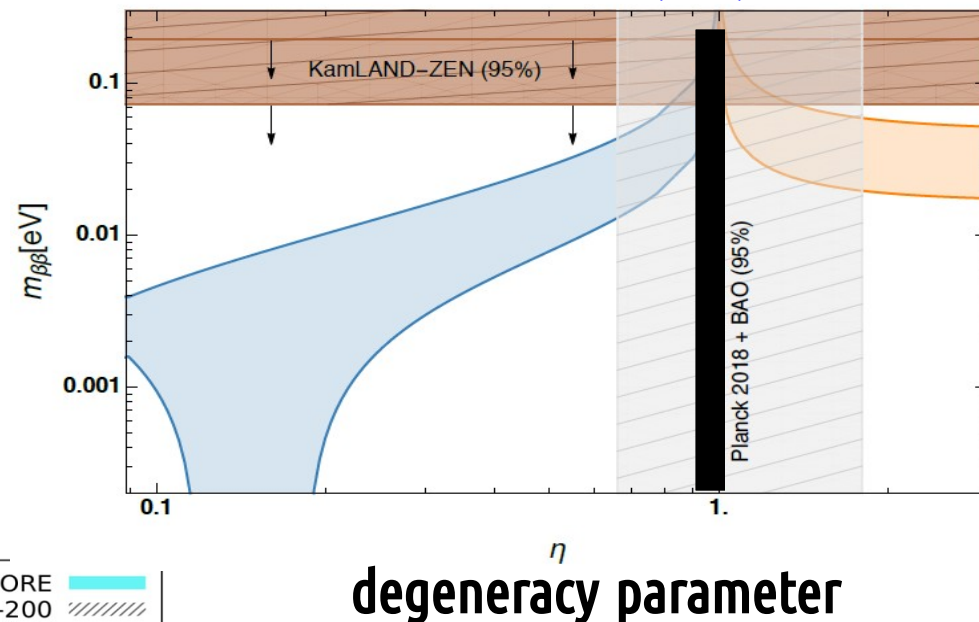
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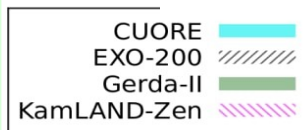
$$m_{\beta\beta} = \begin{cases} \left| c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{\frac{1}{2}i\alpha_{21}} + s_{13}^2 m_3 e^{\frac{1}{2}i(\alpha_{31} - 2\delta)} \right| & \text{(PDG),} \\ \left| c_{12}^2 c_{13}^2 m_1 + s_{12}^2 c_{13}^2 m_2 e^{2i\phi_{12}} + s_{13}^2 m_3 e^{2i\phi_{13}} \right| & \text{(symm.)} \end{cases}$$

Lattanzi et al JHEP 10 (2020) 213

## Near degeneracy

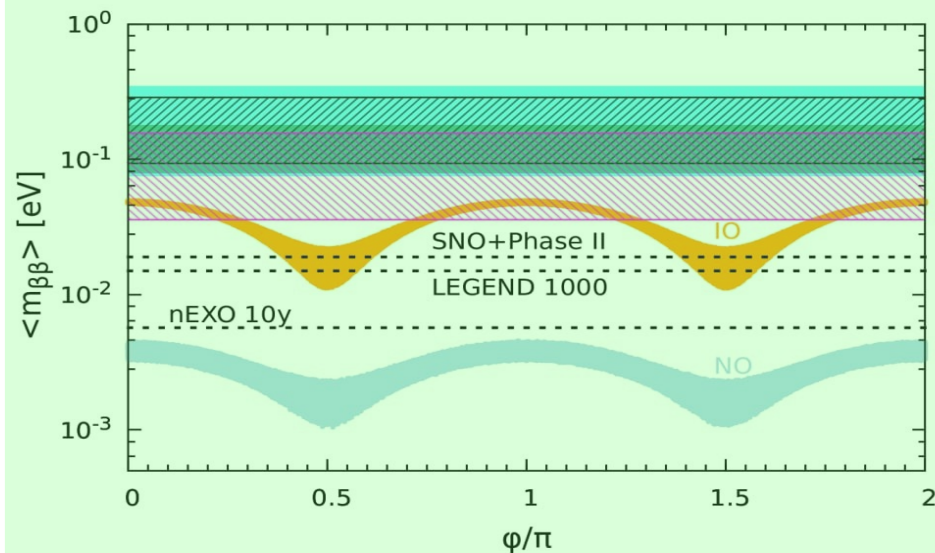


$\eta$   
degeneracy parameter



- Reig et al Phys.Lett. B790 (2019)303
- Barreiros, Felipe & Joaquim JHEP (2019) 223
- Mandal et al PLB789 (2019) 132
- Avila et al Eur.Phys.J.C 80 (2020) 10, 908

## One-massless neutrino



Agostini et al. Science 365 (2019) 1445  
Final Gerda II ... 2009.06079



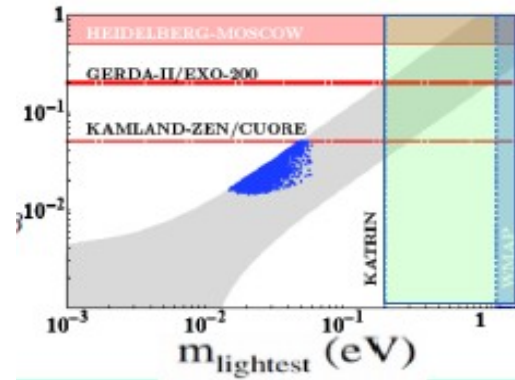
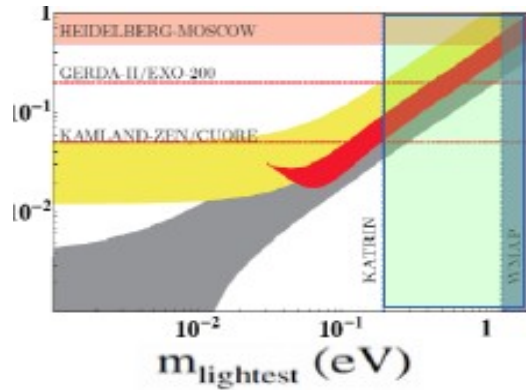


# general case Lower bounds from family symmetries

Dorame et al PhysRevD86(2012)056001

Dorame et al Nucl.Phys.B 861 (2012) 259-270

King et al Phys.Lett. B 724 (2013) 68-72



10 yrs ago

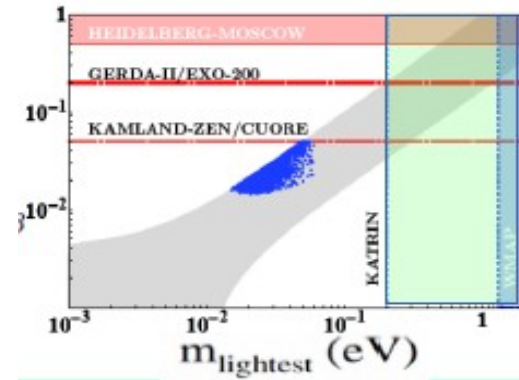
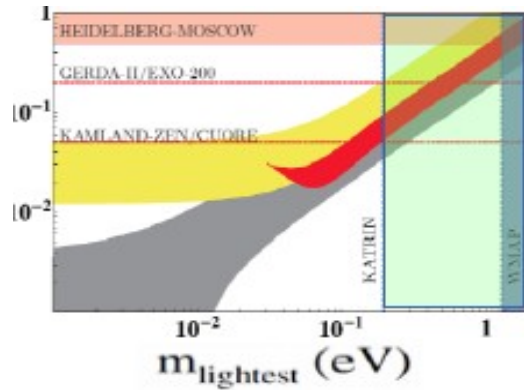


# general case Lower bounds from family symmetries

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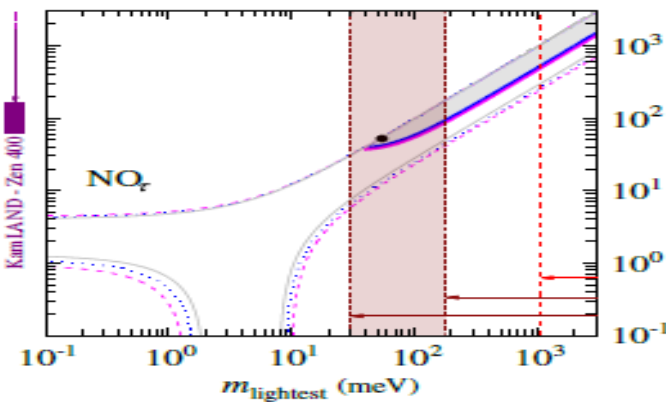
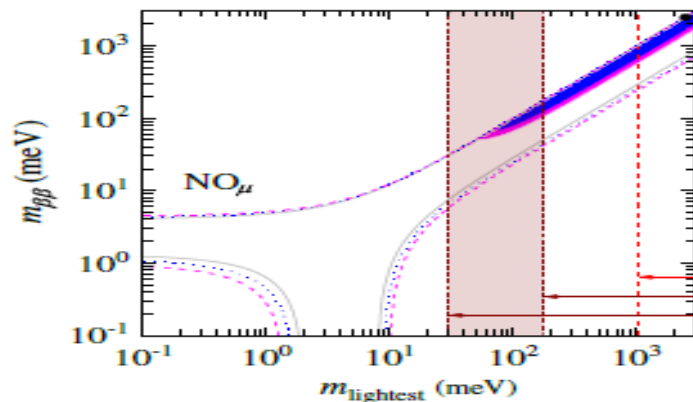
Dorame et al Nucl.Phys.B 861 (2012) 259-270

King et al Phys.Lett. B 724 (2013) 68-72



10 yrs ago

From Barreiros et al JHEP04(2021)249

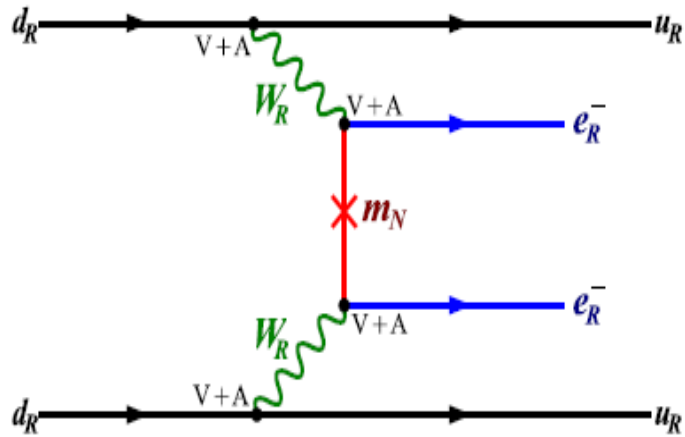


2 yrs ago

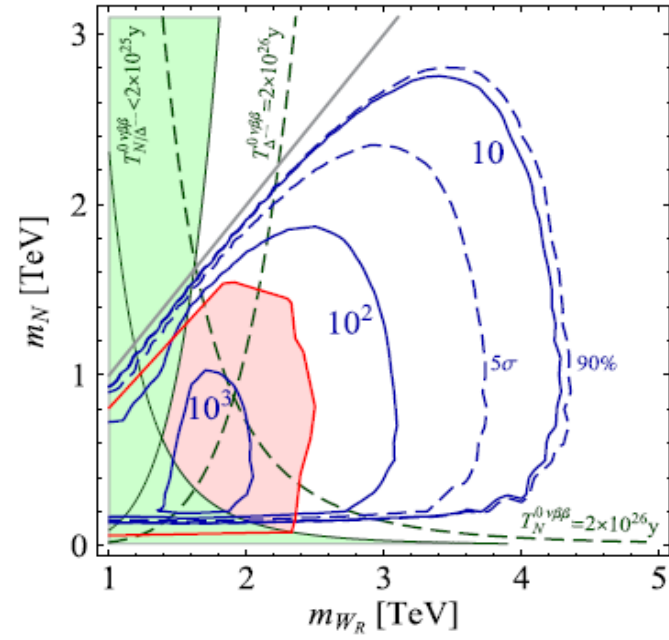
# neutrinoless DBD induced by heavy mediators



PHYSICAL REVIEW D 86, 055006 (2012)



PHYSICAL REVIEW D 86, 055006 (2012)

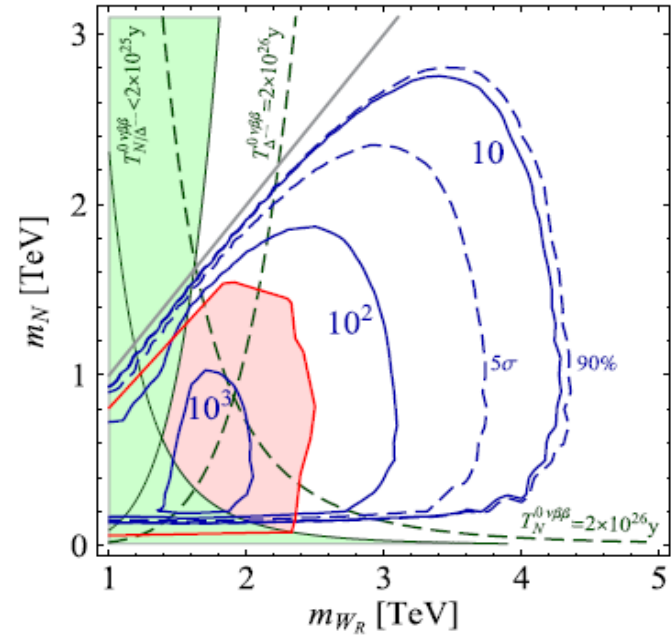
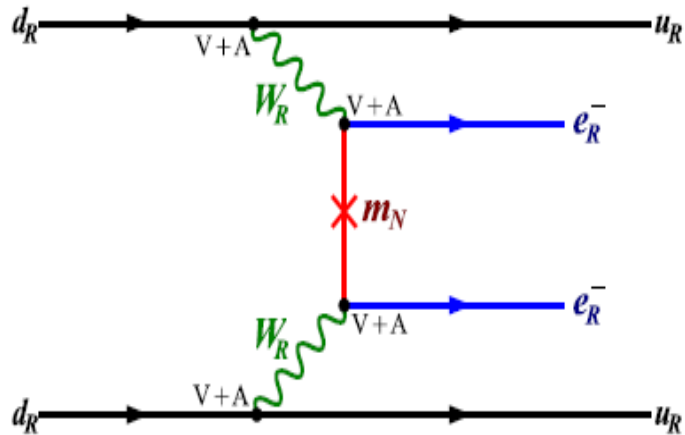




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PHYSICAL REVIEW D 86, 055006 (2012)

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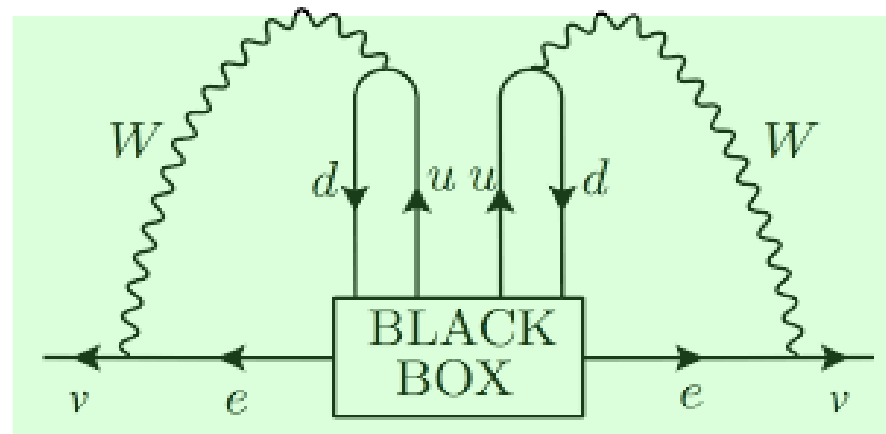
## Theoretical significance

### BLACK-BOX THEOREM

Schechter, Valle PhysRev D25 (1982) 2951

Duerr, Lindner, Merle JHEP06(2011)091

$0\nu\beta\beta$  implies Majorana



# lecture 3

## Neutrino oscillations

Current data indicates that there are three neutrinos that participate in the weak interactions

the precise measurement of the invisible width of the Z-boson at LEP we also know that there are three “active” isodoublet neutrinos (electron, muon or tau)

$$K \equiv V^{\text{LEP}} \equiv U,$$

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

$$c_{ij} \equiv \cos \theta_{ij}, s_{ij} \equiv \sin \theta_{ij}.$$

$$\delta \equiv \phi_{13} - \phi_{12} - \phi_{23}$$

“Dirac phase”

# Neutrino oscillations

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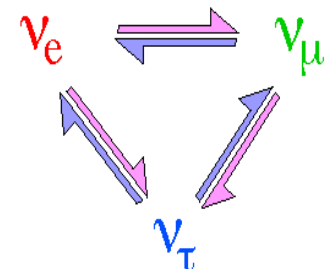
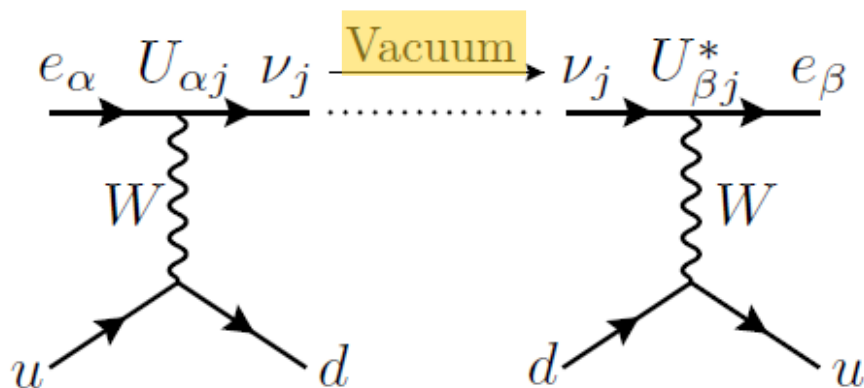
The lepton mixing matrix implies a new phenomenon whereby a neutrino produced with a specific flavor can later be measured as a different flavor

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

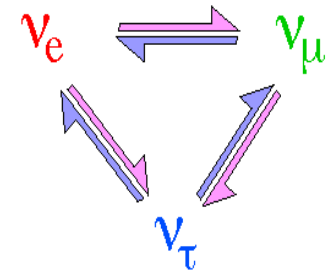
$$c_{ij} \equiv \cos \theta_{ij}, s_{ij} \equiv \sin \theta_{ij}.$$

$$\delta \equiv \phi_{13} - \phi_{12} - \phi_{23}$$

“Dirac phase”



$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \bar{l}_\alpha \gamma^\mu P_L \nu_k U_{\alpha k} W_\mu^- - \frac{g}{\sqrt{2}} \bar{\nu}_k \gamma^\mu P_L l_\alpha U_{\alpha k}^* W_\mu^+$$



Using the state vectors

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle, \quad (\alpha = e, \mu, \tau)$$

Derive

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= \left| \sum_j U_{\alpha j}^* U_{\beta j} e^{-i \frac{m_j^2}{2E} L} \right|^2 \\ &= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin^2 \left( \frac{\Delta m_{ij}^2}{4E} L \right) \\ &\quad + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin \left( \frac{\Delta m_{ij}^2}{2E} L \right) \end{aligned}$$

where  $E$  is the neutrino energy,  $L$  is the distance traveled by neutrino, and  $\Delta m_{ij} \equiv m_i^2 - m_j^2$  ( $m_i$  being mass eigenvalues) are the mass squared differences. Here  $\Re$  and  $\Im$  denote real and imaginary parts.

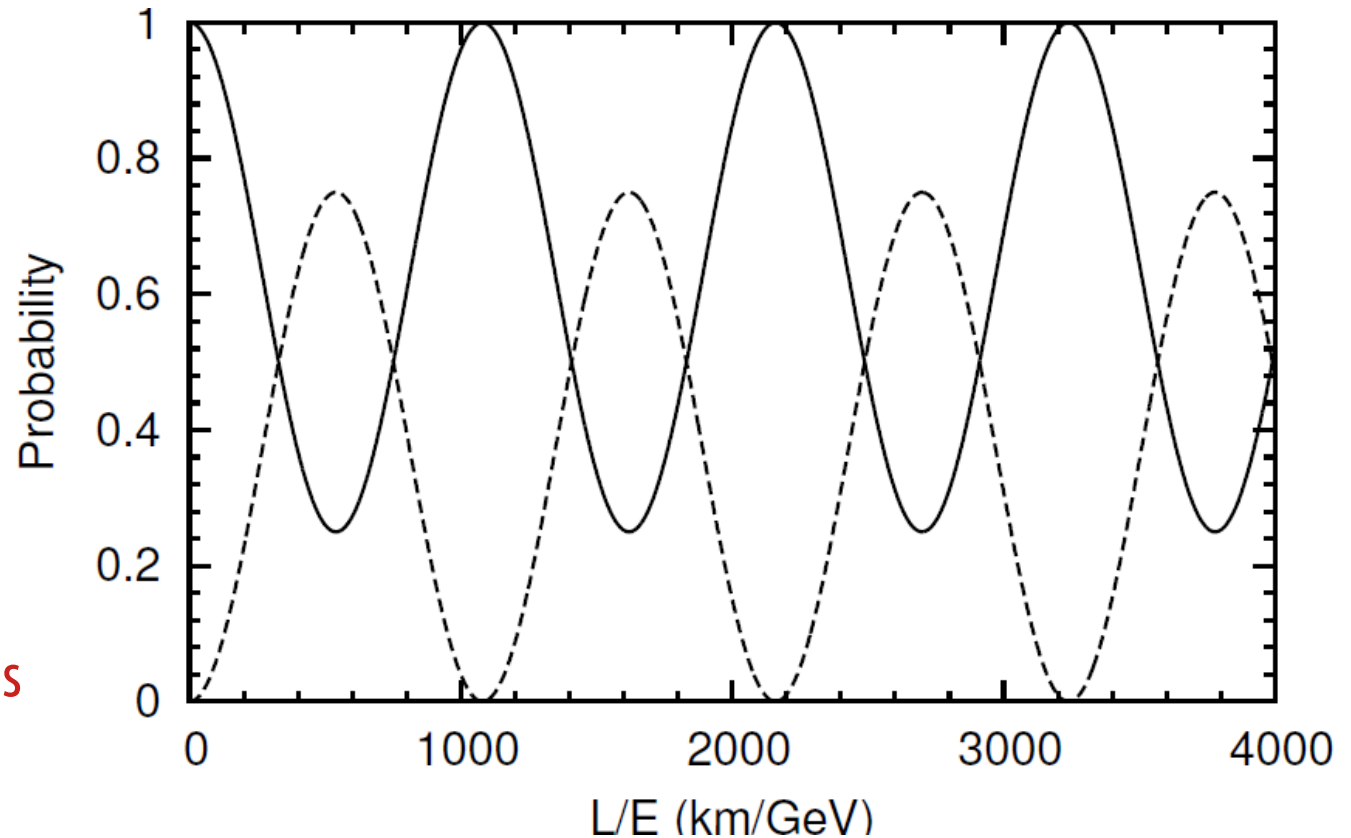


**E.g. two-neutrino approximation** conversion probability takes a very simple form

$$P_{\text{vacuum}}(\nu_e \rightarrow \nu_\mu) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

a neutrino born as an electron neutrino will become a muon neutrino after traveling a distance L

solid curve is the probability for the original neutrino retaining its identity, the dashed one is the probability of conversion to the other neutrino species



neutrino oscillations exist only if the mixing matrix U has non-vanishing non-diagonal matrix elements and the neutrino masses are non-degenerate

$$L_{ij}^{\text{osc}} \equiv 2\pi \frac{2E}{\Delta m_{ij}^2} \quad \text{neutrino oscillation lengths}$$

the quantum mechanical phase evolves periodically, after some distance the state will return

The flavor content of the neutrino will then continue to oscillate as long as the quantum mechanical state maintains coherence. Oscillations can only be observed if the neutrino production, propagation and detection coherence conditions are satisfied

$$L_{ij}^{\text{coh}} \lesssim E[l_{ij}]^2 = \frac{16\pi^2 E^3}{[\Delta m_{ij}^2]^2}$$

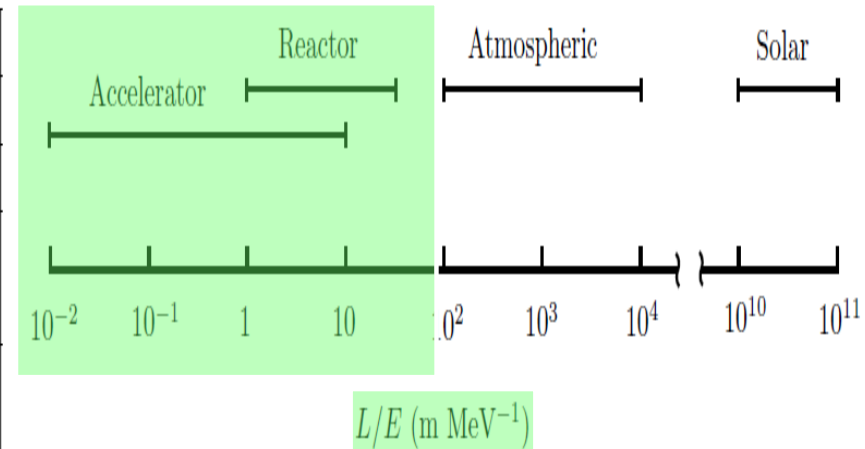
Since the mass differences between the neutrinos are so small the coherence length for neutrino oscillations will be very long, making this microscopic quantum effect observable over macroscopic distances.

For neutrinos in the electron-volt range, coherence is lost in the case of solar neutrinos, where what arrives at the underground detector on Earth is an incoherent neutrino admixture.

Oscillations arise from an interference between the different mass eigenstates in the neutrino wave function. Oscillations probe the squared mass splittings which appear in the oscillation length.

Information on the mixing coefficients is obtained from the oscillation amplitudes. The oscillation pattern depends on  $L/E$ , the distance/neutrino energy ratio

Experiment	L (m)	E (MeV)	$\Delta m^2$ (eV <sup>2</sup> )
Solar	$10^{10}$	1	$10^{-10}$
Atmospheric	$10^4 - 10^7$	$10^2 - 10^5$	$10^{-1} - 10^{-4}$
Reactor	SBL	$10^2 - 10^3$	$10^{-2} - 10^{-3}$
	LBL	$10^4 - 10^5$	$10^{-4} - 10^{-5}$
Accelerator	SBL	$10^2$	$> 0.1$
	LBL	$10^5 - 10^6$	$10^{-2} - 10^{-3}$



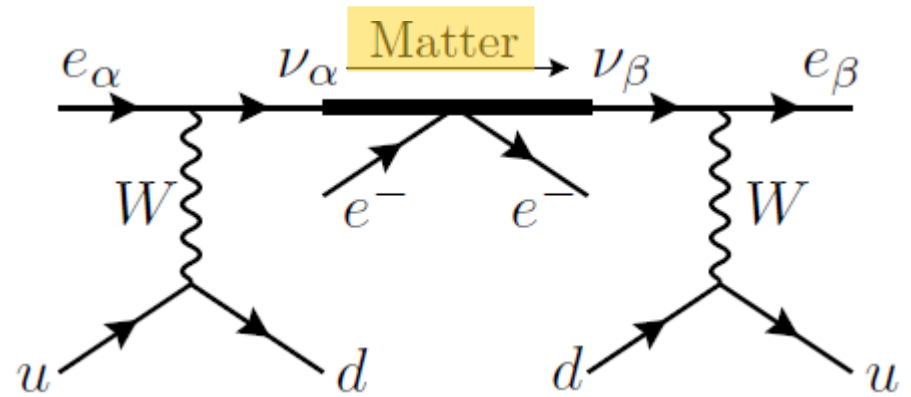
In **appearance** experiments one starts with a beam of neutrinos of a given flavor and observes neutrinos of a different flavor after traveling a distance  $L$  from the source.

If oscillations are present, the oscillation probability to the different flavor is nonzero. one needs a neutrino beam of energy larger than the rest mass of the charged lepton to be created in the detection reaction.

In **disappearance** experiments, the detector probes the same flavor of the neutrinos originally present in the beam.  $|\nu_\alpha\rangle$  ( $\alpha = e, \mu, \tau$ )

If oscillations are present, the “survival” probability is smaller than unity. These experiments are “inclusive” i.e. one probes oscillations from the original flavor to all others

to discuss actual experiments one must take **matter effects** into account. e.g. **solar neutrinos** are produced in the interior of the Sun and must cross the solar interior and also **Earth** matter before being detected in underground experiments such as Super-K

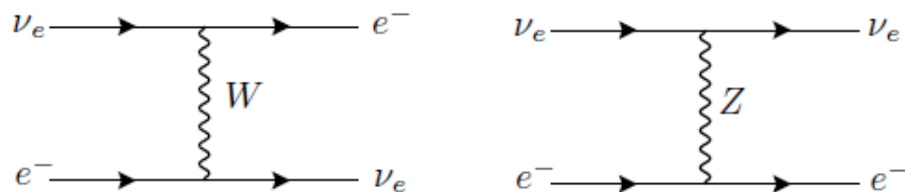


Earth matter effects relevant for atmospheric and, to some extent, also long baseline oscillation experiments. Kim, C. and Pevsner, A. (1993) *Neutrinos in Physics and Astrophysics*, Harwood .

The presence of electrons in the medium changes the energy levels of the mass eigenstate neutrinos due to charged current coherent forward scattering of the electron neutrinos.

$$V(x) = \sqrt{2}G_F N_e(x)$$

$8 \times 10^{-12} \text{eV}$   
to  $8 \times 10^{-13} \text{eV}$



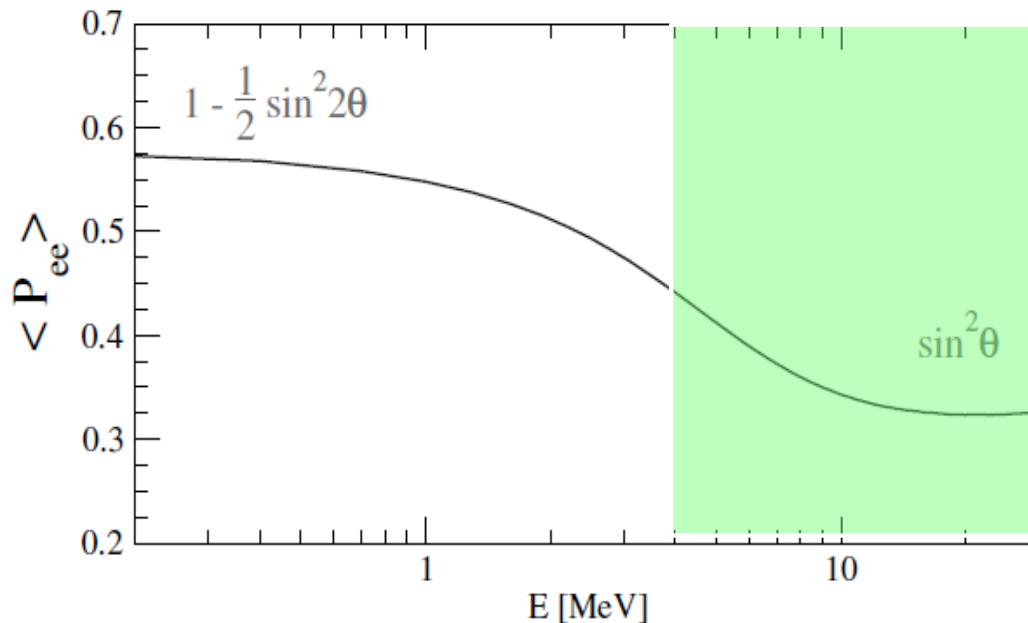
**MSW** effect must be taken into account when considering the oscillations of neutrinos traveling through matter

where  $G_F$  is the Fermi constant, and  $N_e(x)$  is the electron number density at  $x$ .

this matter potential may substantially modify effective “masses” and mixing angles in the solar medium. E.g, in the adiabatic regime of slowly-varying matter densities, the mixing angle in matter is given by the MSW expression

$$\cos 2\theta_m = \frac{\Delta m^2 \cos 2\theta - 2\sqrt{2} EG_F N_e}{\sqrt{(\Delta m^2 \cos 2\theta - 2\sqrt{2} EG_F N_e)^2 + (\Delta m^2 \sin 2\theta)^2}}$$

to describe the experimental data we need the **neutrino survival probability**



the effect of matter is important for the suppression of high energy solar neutrinos

For which one has a stronger suppression in the flux reaching the detectors, as indicated by the data

**Solar Neutrino Puzzle Davis**

## neutrino evolution equation in matter

$$i \frac{d}{dx} \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = H(x) \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

where  $\nu_\alpha$  ( $\alpha = e, \mu, \tau$ ) is the amplitude for the  $\alpha$ -flavour. The Hamiltonian matrix  $H$  is

$$H(x) = U \begin{bmatrix} \frac{m_1^2}{2E} & 0 & 0 \\ 0 & \frac{m_2^2}{2E} & 0 \\ 0 & 0 & \frac{m_3^2}{2E} \end{bmatrix} U^\dagger + \begin{bmatrix} V(x) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

by re-phasing all the neutrino flavors

$$H(x) = U \text{diag} \left[ 0, \frac{\Delta m_{21}^2}{2E}, \frac{\Delta m_{31}^2}{2E} \right] U^\dagger + \text{diag}[V(x), 0, 0],$$

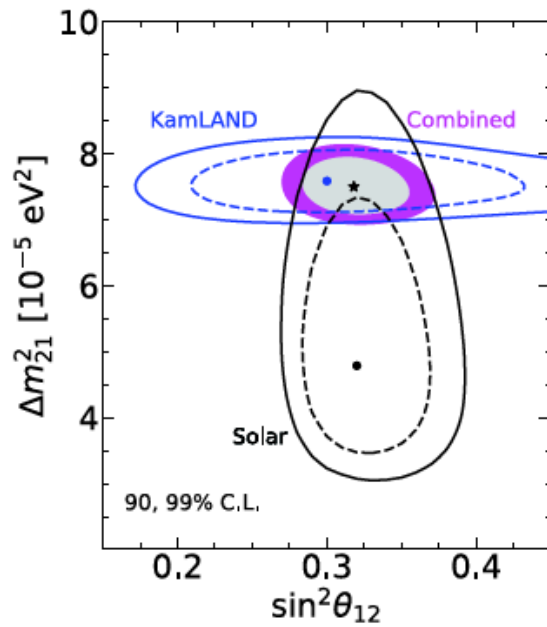
For anti-neutrinos  $V(x) \rightarrow -V(x)$  and  $U \rightarrow U^*$

$$H(x) = U(N) \text{diag} \left[ 0, \frac{\Delta m_{21}^2(N)}{2E}, \frac{\Delta m_{31}^2(N)}{2E} \right] U^\dagger(N)$$

A new generation of experiments including Super-K, the Sudbury Neutrino Observatory (SNO), KamLAND and accelerators have showed that neutrino flavors get inter-converted during their propagation mainly by oscillations 12

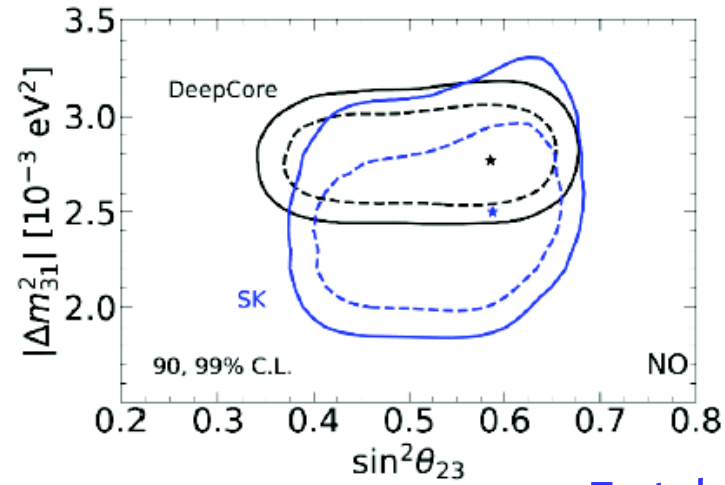
**solar sector**

Cl, Ga, SK  
SNO, Borexino  
KamLAND



**atmospheric results**

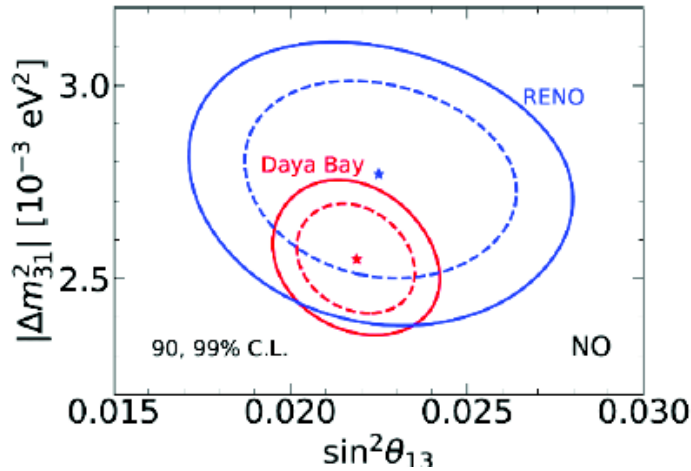
Super-K  
IC-DeepCore



Tortola@DBD Lisbon2022

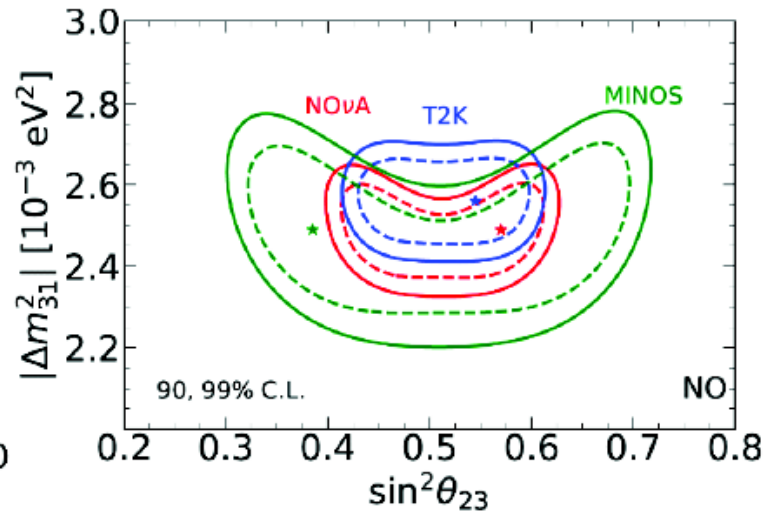
**SBL reactors**

Daya Bay  
RENO



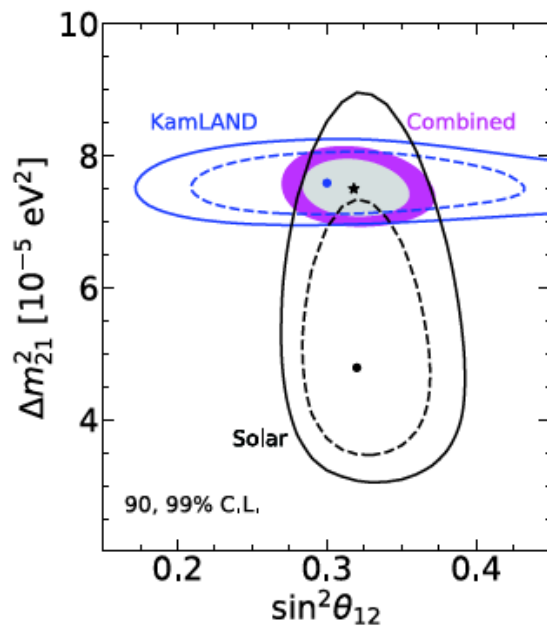
**LBL experiments**

MINOS  
T2K  
NOvA



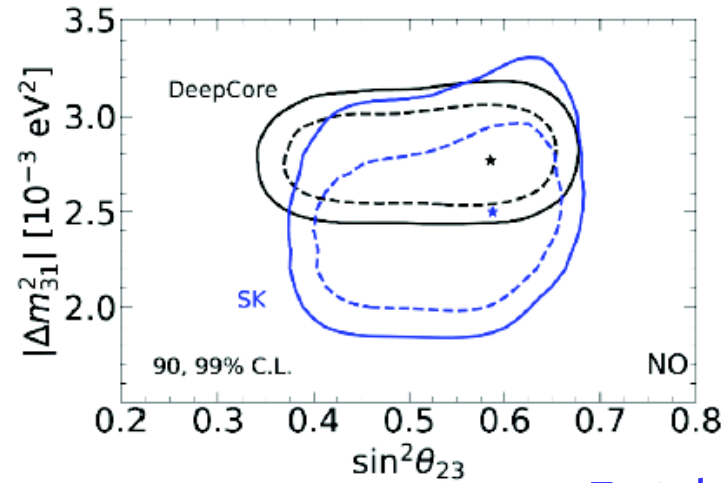
solar sector

Cl, Ga, SK  
SNO, Borexino  
KamLAND



atmospheric results

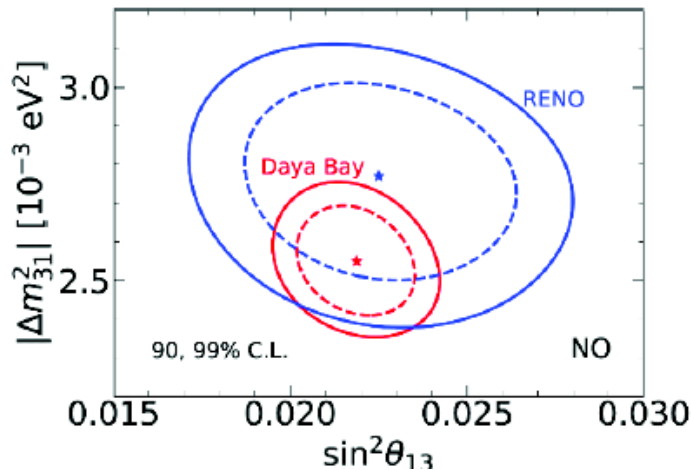
Super-K  
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Tortola@DBD Lisbon2022

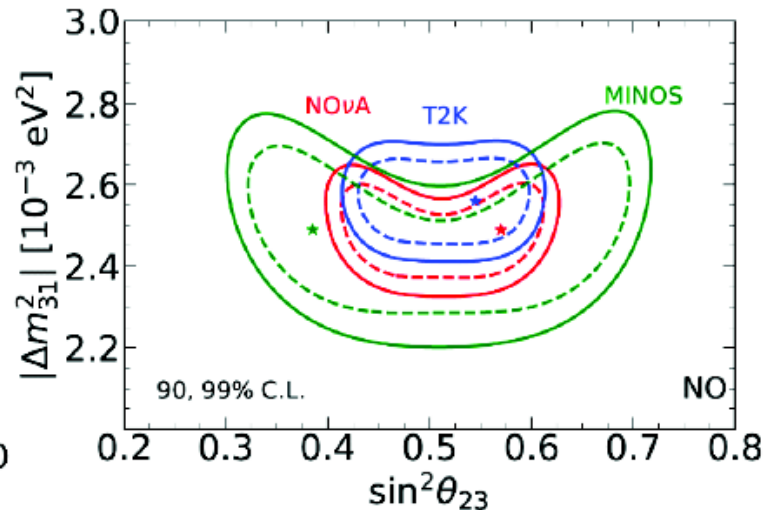
SBL reactors

Daya Bay  
RENO



LBL experiments

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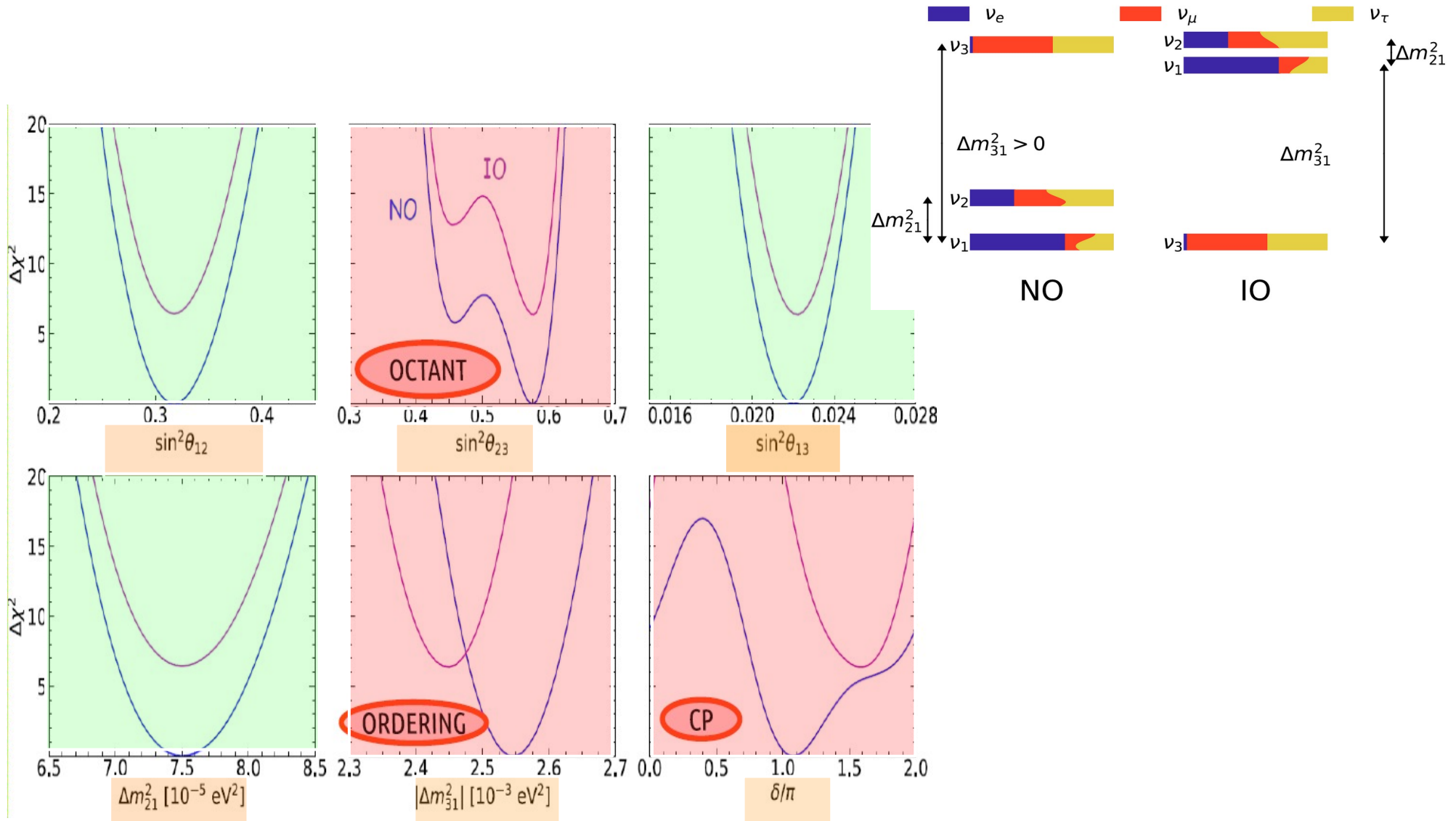
**Note** NC scattering is diagonal and does not distinguish flavors, it just gives an overall unphysical phase shift. This follows the **unitarity of the lepton mixing matrix** and consequent triviality of the neutral current matrix P. These features can be broken appreciably in low-scale seesaw. **In this case the NC would also contribute relevant potentials to be taken into account in the neutrino evolution equation, and be relevant to describe neutrino propagation in very dense media like supernovae**



# neutrino oscillations

PF de Salas et al JHEP02(2021)071

<https://zenodo.org/record/4593330#.YFoBVWNKjlo>



Similar results from Bari and NuFit groups

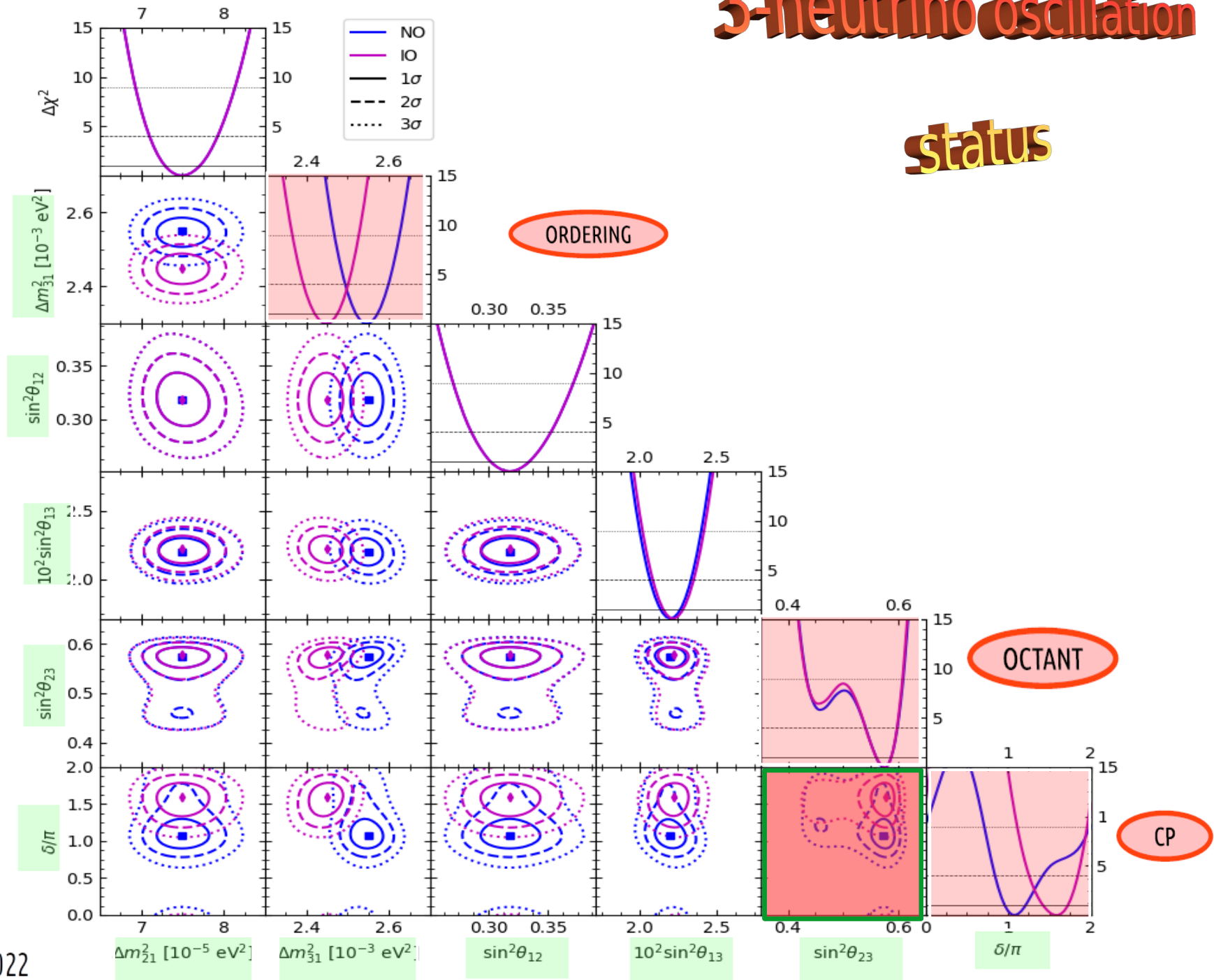


# 3-neutrino oscillation

status

PF de Salas et al JHEP02(2021)071

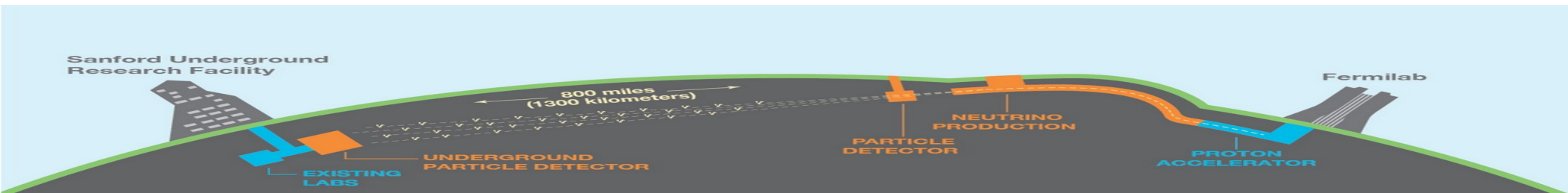
<https://zenodo.org/record/4593330#.YFoBVWNKjIo>



Updates from nu2022

$$\sin^2 2\theta_{13} = 0.0853^{+0.0024}_{-0.0024} \text{ (2.8\% precision)}$$

## DUNE T2HK



Leptonic CPV reviews

Nunokawa, Parke, JV

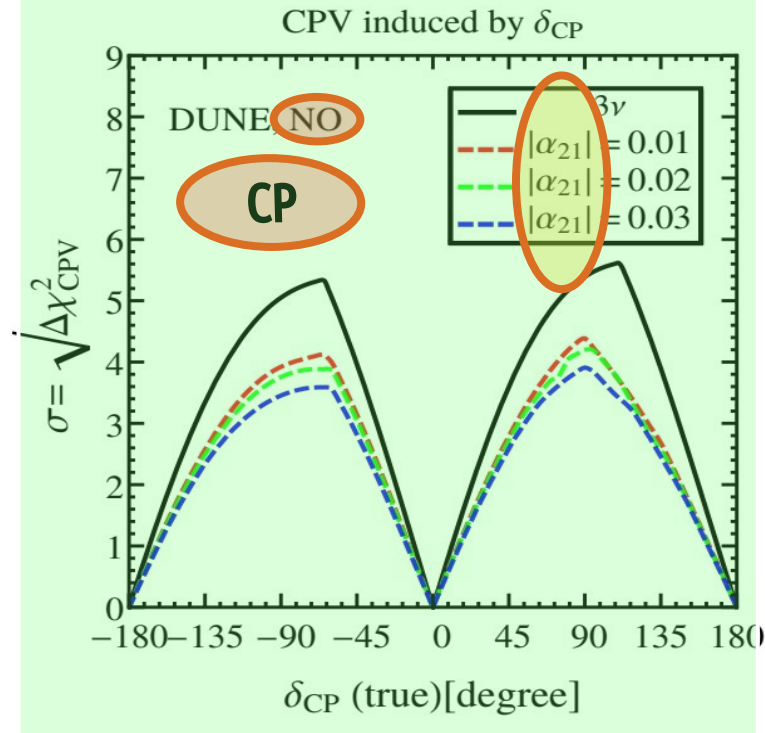
Prog.Part.Nucl.Phys. 60 (2008) 338

Branco, Felipe, Joaquim,

Rev.Mod.Phys. 84 (2012) 515

# CPV

## DUNE



PhysRevLett117(2016)061804

New J.Phys. 19 (2017) 9, 093005

PhysRevD97 (2018) 095026

2008.12769

## DUNE T2HK

### Expected CP discovery Sensitivity: standard 3-nu vs Unitarity violation



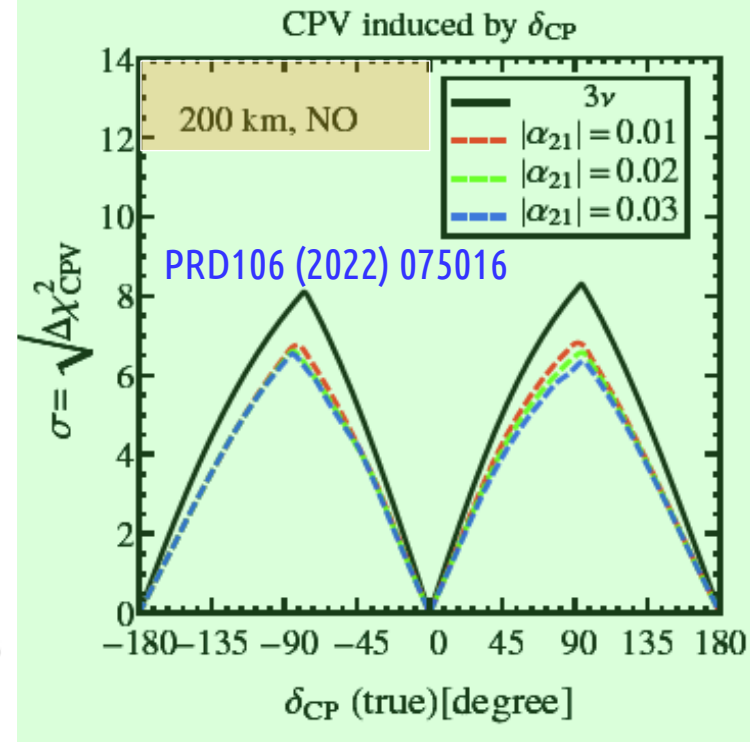
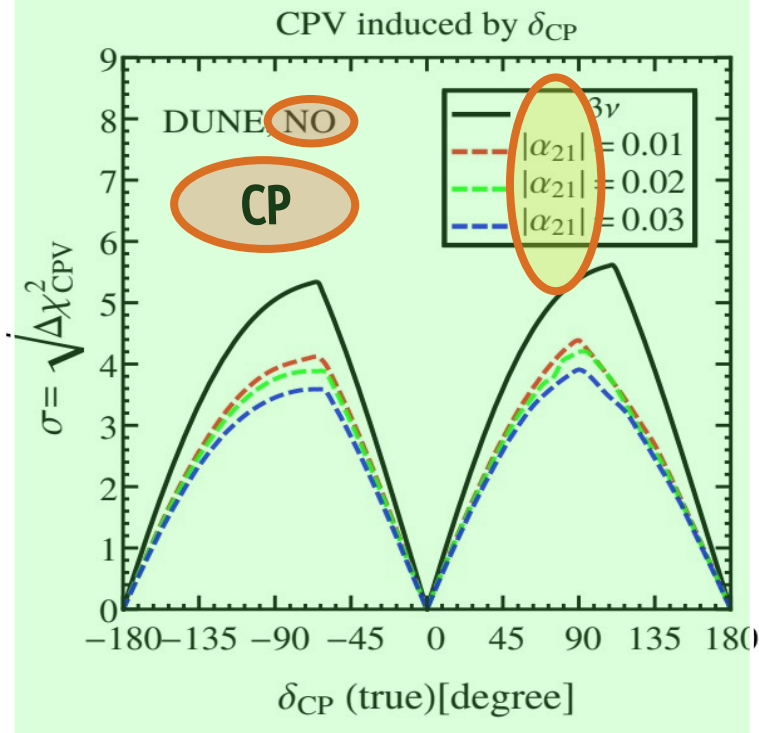
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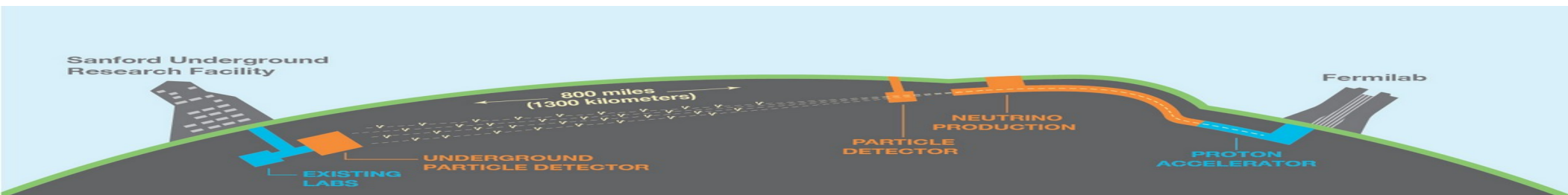
Rev.Mod.Phys. 84 (2012) 515



PhysRevLett117(2016)061804  
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 2008.12769

**DUNE**  
**T2HK**

**Expected CP discovery Sensitivity: standard 3-nu vs Unitarity violation**



Leptonic CPV reviews  
 Nunokawa, Parke, JV  
 Prog.Part.Nucl.Phys. 60 (2008) 338  
 Branco, Felipe, Joaquim,  
 Rev.Mod.Phys. 84 (2012) 515

# TBM interpretation

Harrison, Scott  
& Perkins 2002

$$\begin{bmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

$\theta_{13}$



CP

# systematic revamping

Chen et al

Phys.Lett. B753 (2016) 644

Phys.Rev. D94 (2016) 033002

JHEP 1807 (2018) 077

Phys.Lett. B792 (2019) 461

Phys.Rev. D99 (2019) 075005

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$\theta_{13}$



CP

## systematic revamping

Chen et al

Phys.Lett. B753 (2016) 644

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JHEP 1807 (2018) 077

Phys.Lett. B792 (2019) 461

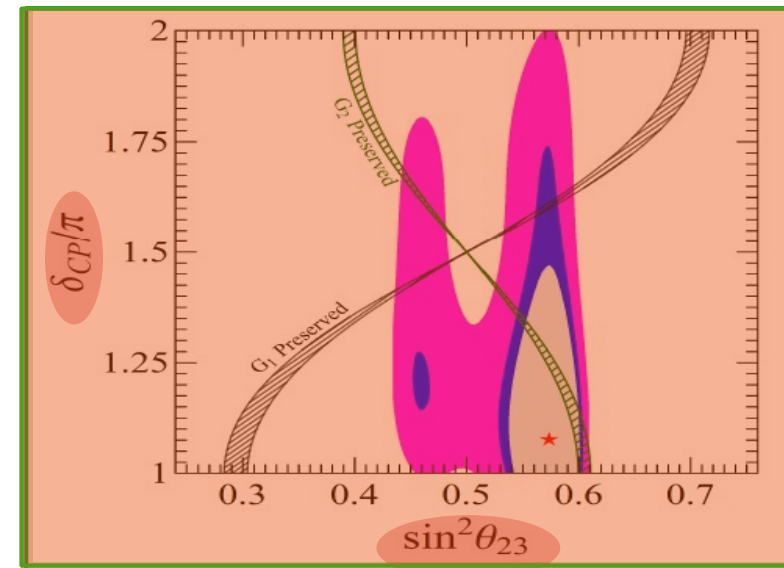
Phys.Rev. D99 (2019) 075005

Phys.Rev.D98(2018)055019

$$\sin^2 \theta_{12} \cos^2 \theta_{13} = \frac{1}{3} ;$$

$$\tan 2\theta_{23} \cos \delta_{CP} = \frac{\cos 2\theta_{13}}{\sin \theta_{13} \sqrt{2 - 3 \sin^2 \theta_{13}}}$$

## an example



# Bi-Large lepton mixing pattern

$$\begin{bmatrix} 1 - \frac{1}{2}\lambda^2 & -\lambda e^{i\phi} & A\lambda^3 e^{i\phi} \\ \lambda e^{-i\phi} & 1 - \frac{1}{2}\lambda^2 & -A\lambda^2 \\ 0 & A\lambda^2 & 1 \end{bmatrix} \begin{bmatrix} 1 - \frac{5\lambda^2}{2} & 2\lambda & -\lambda \\ -2\lambda + 3\lambda^2 & 1 - \frac{13\lambda^2}{2} & 3\lambda \\ \lambda + 6\lambda^2 & -3\lambda + 2\lambda^2 & 1 - 5\lambda^2 \end{bmatrix}$$

$\sin \theta_{12}^{\text{CKM}} = \lambda$  and  $\sin \theta_{23}^{\text{CKM}} = A\lambda^2$ , where  $\lambda = 0.22453 \pm 0.00044$ ,  $A = 0.836 \pm 0.015$

## predicting solar & atm

Largest Q-mixing similar to smallest L-mixing  
Cabibbo angle as universal seed for flavor mixing

Phys.Rev. D86 (2012) 051301

Phys.Rev.D87 (2013) 053013

Phys.Lett. B748 (2015) 1-4



# Bi-Large lepton mixing pattern

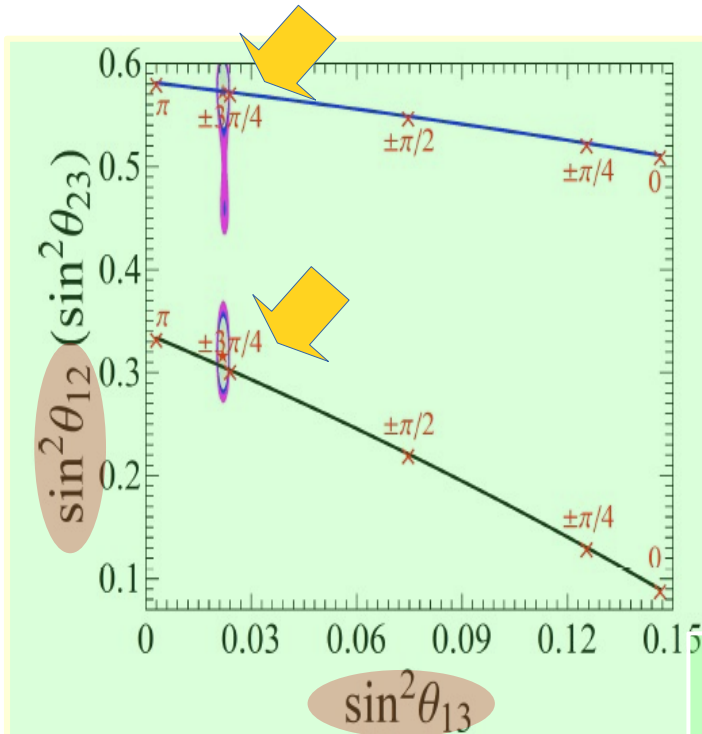
$$\begin{bmatrix} 1 - \frac{1}{2}\lambda^2 & -\lambda e^{i\phi} & A\lambda^3 e^{i\phi} \\ \lambda e^{-i\phi} & 1 - \frac{1}{2}\lambda^2 & -A\lambda^2 \\ 0 & A\lambda^2 & 1 \end{bmatrix} \begin{bmatrix} 1 - \frac{5\lambda^2}{2} & 2\lambda & -\lambda \\ -2\lambda + 3\lambda^2 & 1 - \frac{13\lambda^2}{2} & 3\lambda \\ \lambda + 6\lambda^2 & -3\lambda + 2\lambda^2 & 1 - 5\lambda^2 \end{bmatrix}$$

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## predicting solar & atm



looser realization  
Phys.Lett.B 796 (2019) 162

Many other patterns, e.g. trimaximal,  
most can be probed at DUNE or T2HK

e.g. Phys.Rev.D97(2018)095025

From Phys.Lett. B792 (2019) 461



# robustness unitarity seesaw probe

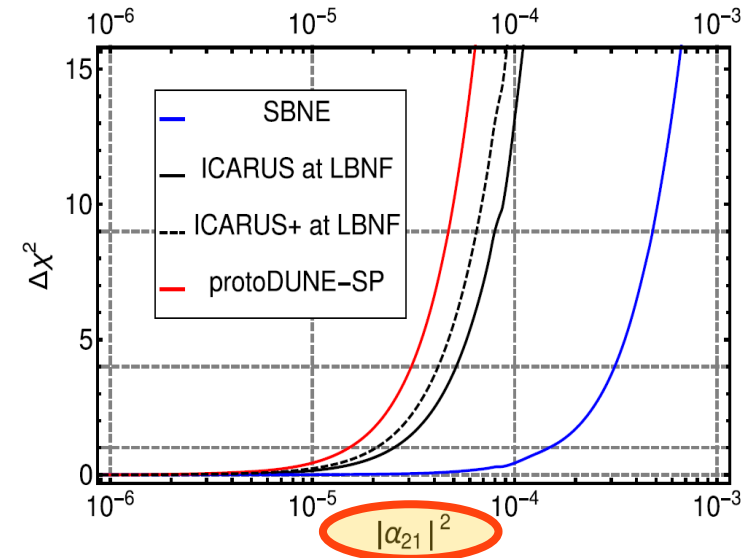
J.V. Phys.Lett. B199 (1987) 432  
 Miranda & J.V. Nucl.Phys. B908 (2016) 436  
 Escrihuela et al, Phys.Rev. D92 (2015) 053009  
 New J. Phys. 19 (2017) 093005

$$\begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U$$

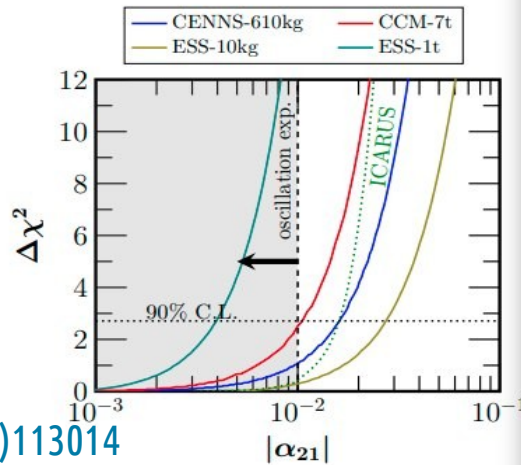
near measurements  
 needed

Shao-Feng Ge et al  
 Phys.Rev. D95 (2017) 033005

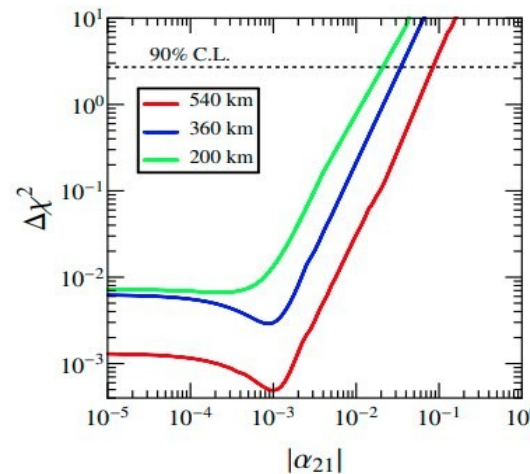
	One parameter (1 d.o.f.)		All parameters (6 d.o.f.)	
	90% C.L.	$3\sigma$	90% C.L.	$3\sigma$
<b>Neutrinos only</b>				
$\langle \alpha_{11} \rangle$	0.98	0.95	0.96	0.93
$\langle \alpha_{22} \rangle$	0.99	0.96	0.97	0.95
$\langle \alpha_{33} \rangle$	0.93	0.76	0.79	0.61
$ \alpha_{21}  <$	$1.0 \times 10^{-2}$	$2.6 \times 10^{-2}$	$2.4 \times 10^{-2}$	$3.6 \times 10^{-2}$
$ \alpha_{31}  <$	$4.2 \times 10^{-2}$	$9.8 \times 10^{-2}$	$9.0 \times 10^{-2}$	$1.3 \times 10^{-1}$
$ \alpha_{32}  <$	$9.8 \times 10^{-3}$	$1.7 \times 10^{-2}$	$1.6 \times 10^{-2}$	$2.1 \times 10^{-2}$



PRD97 (2018) 095026



PhysRevD102(2020)113014



ESSnuB

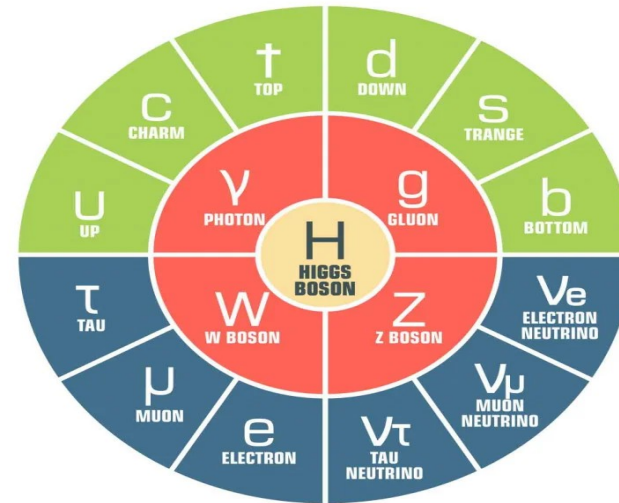
PRD106 (2022) 075016

# Higgs discovery is not the last brick



## THE STANDARD MODEL OF PARTICLE PHYSICS

FERMIONS (MATTER)      BOSONS (FORCE CARRIERS)  
● QUARKS   ● LEPTONS      ● GAUGE BOSONS   ● HIGGS BOSON



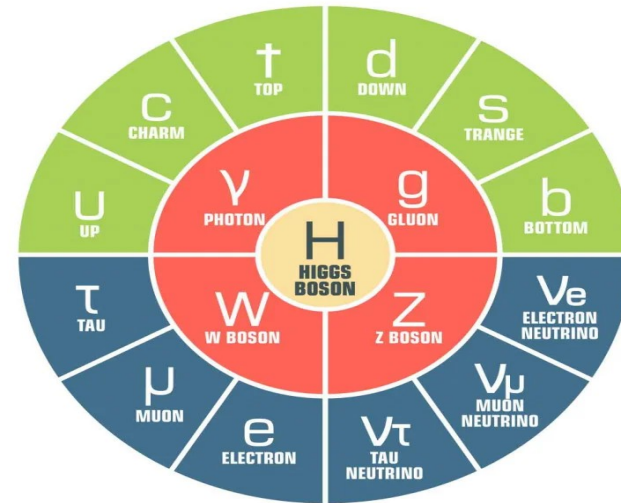
- neutrinos are massless because the SM does not contain right-handed neutrinos nor any other ingredient capable of inducing neutrino mass
- SM fails in the neutrino sector

# Higgs discovery is not the last brick



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SM  
drawbacks

- neutrino mass
- EWSB,
- Flavor
- unification,
- Gravity
-

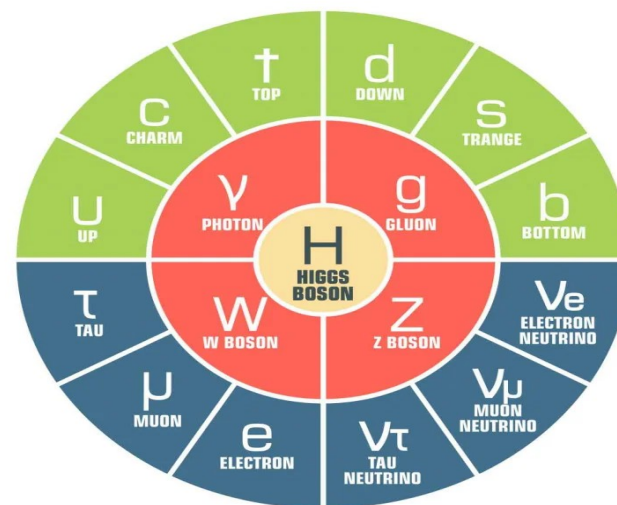


# Higgs discovery is not the last brick



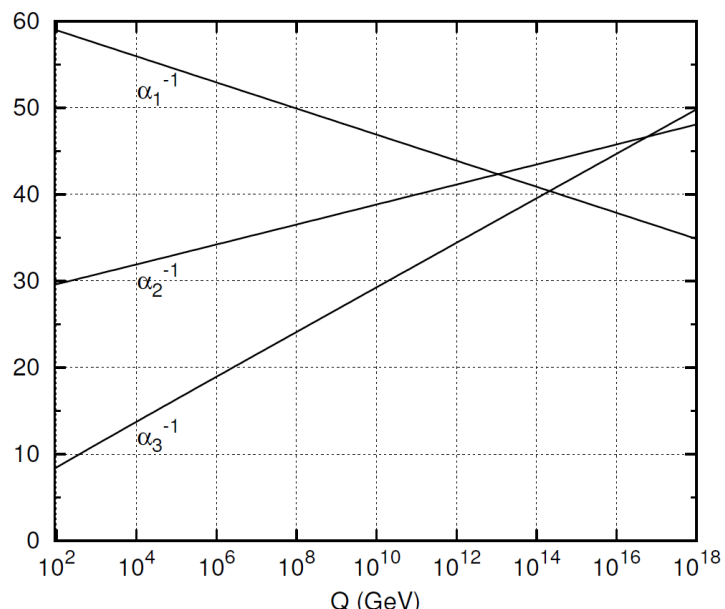
## THE STANDARD MODEL OF PARTICLE PHYSICS

FERMIONS (MATTER)      BOSONS (FORCE CARRIERS)  
 ● QUARKS   ● LEPTONS      ● GAUGE BOSONS   ● HIGGS BOSON



## SM drawbacks

- neutrino mass
- EWSB
- Flavor ...
- unification
- Gravity
- 



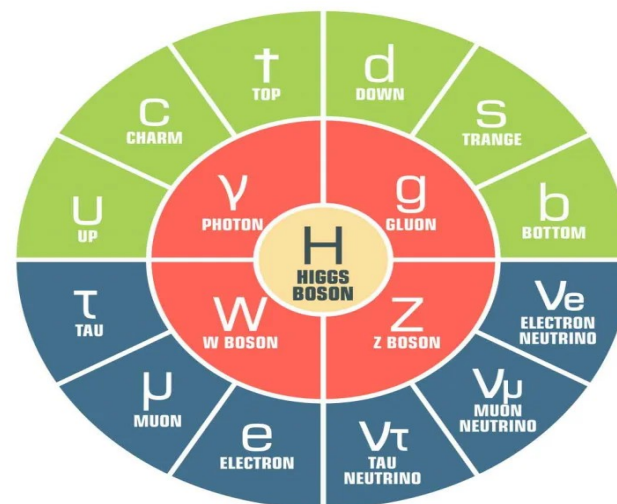
- neutrinos are massless because the SM does not contain right-handed neutrinos nor any other ingredient capable of inducing neutrino mass
- SM fails in the neutrino sector
- no real unification in SM

# Higgs discovery is not the last brick

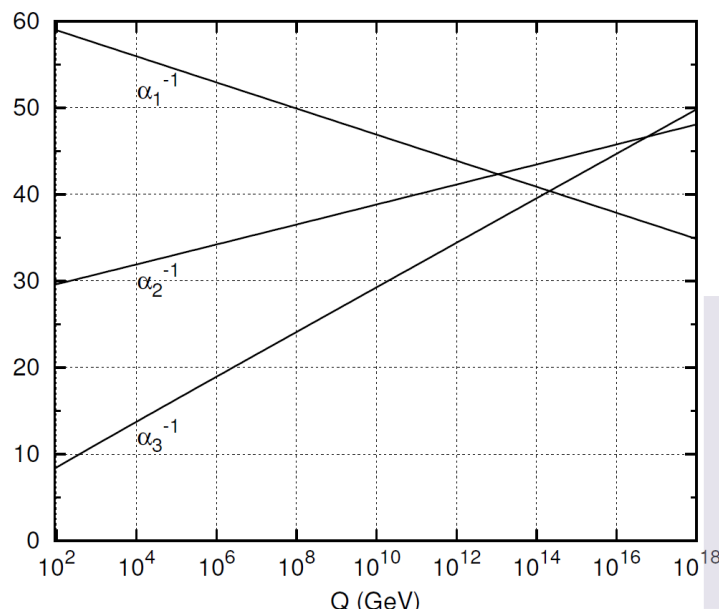


## THE STANDARD MODEL OF PARTICLE PHYSICS

FERMIONS (MATTER)      BOSONS (FORCE CARRIERS)  
 ● QUARKS   ● LEPTONS      ● GAUGE BOSONS   ● HIGGS BOSON



## SM drawbacks



- neutrino mass
- EWSB
- Flavor ...
- unification
- Gravity
- 

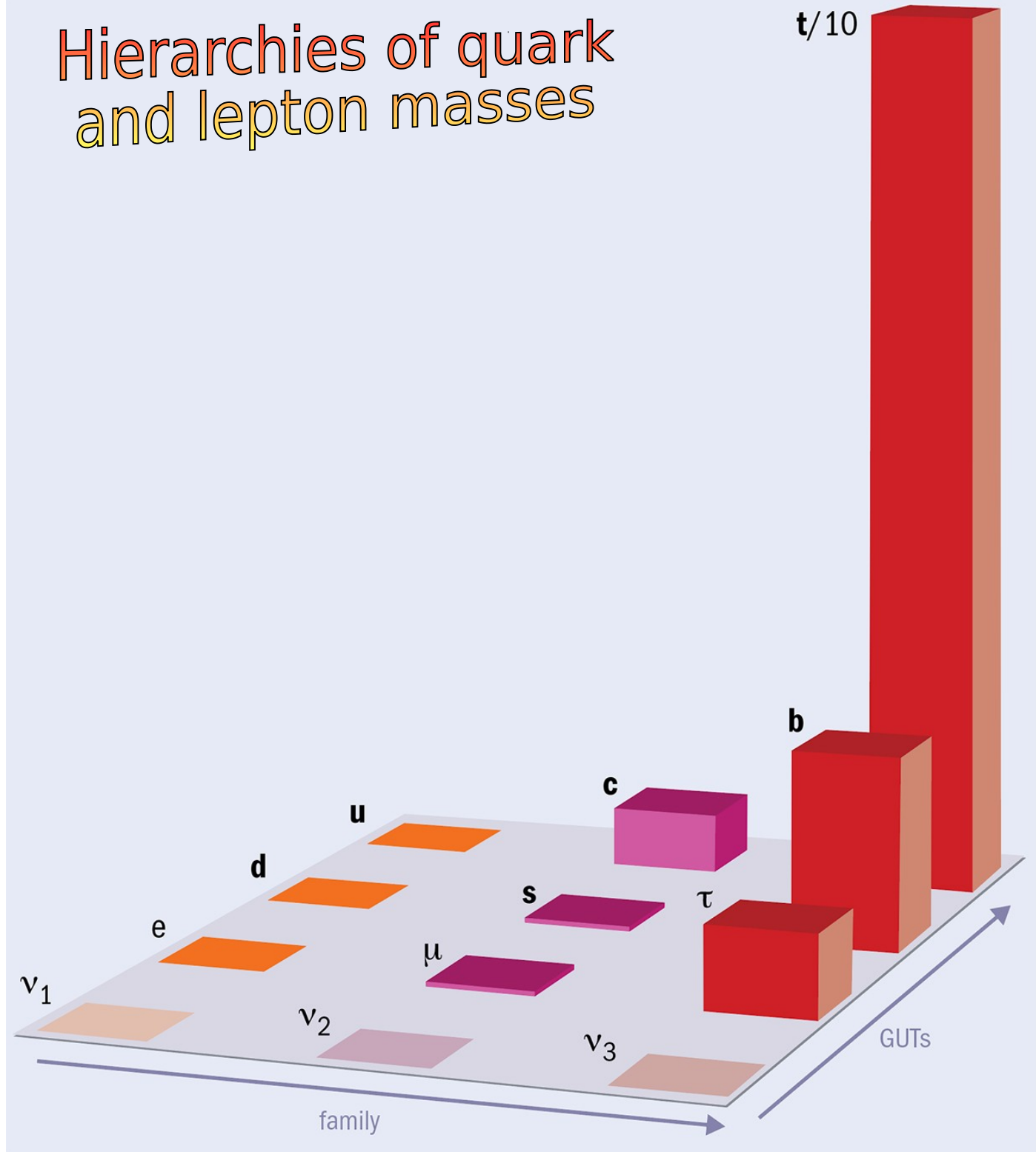
Cosmology, e.g.

dark matter, baryogenesis, inflation, dark energy

- neutrinos are massless because the SM does not contain right-handed neutrinos nor any other ingredient capable of inducing neutrino mass
- SM fails in the neutrino sector
- no real unification in SM



# Hierarchies of quark and lepton masses

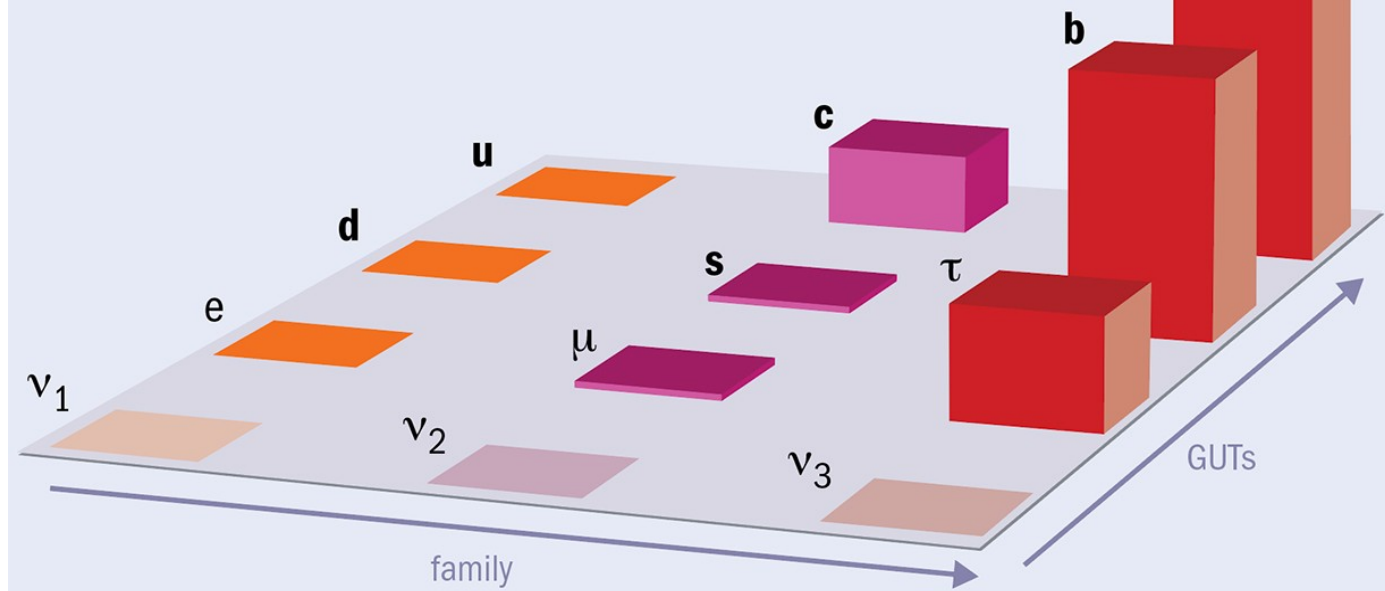
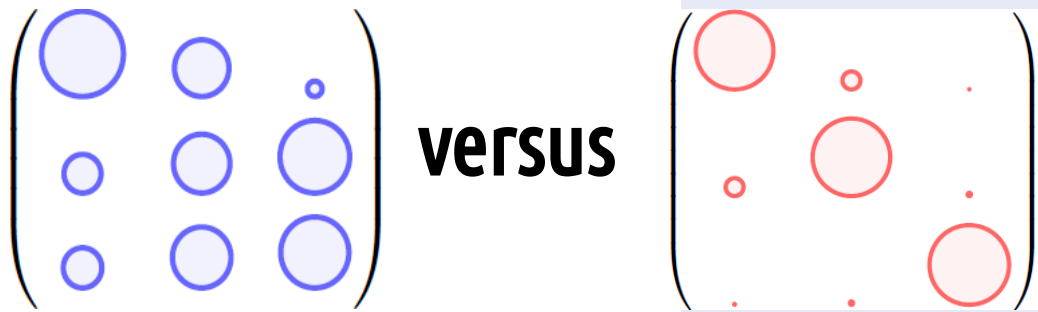




# Hierarchies of quark and lepton masses

$t/10$

## Pattern of quark & lepton mixings



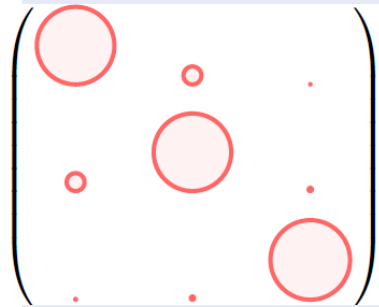
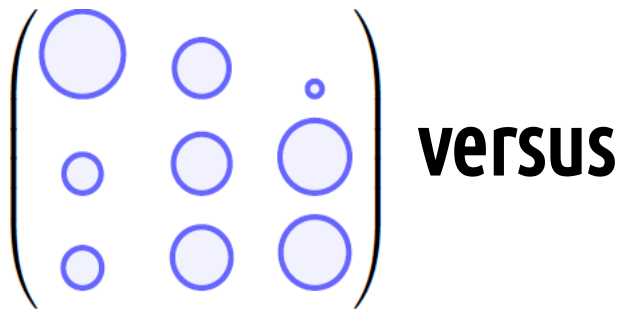




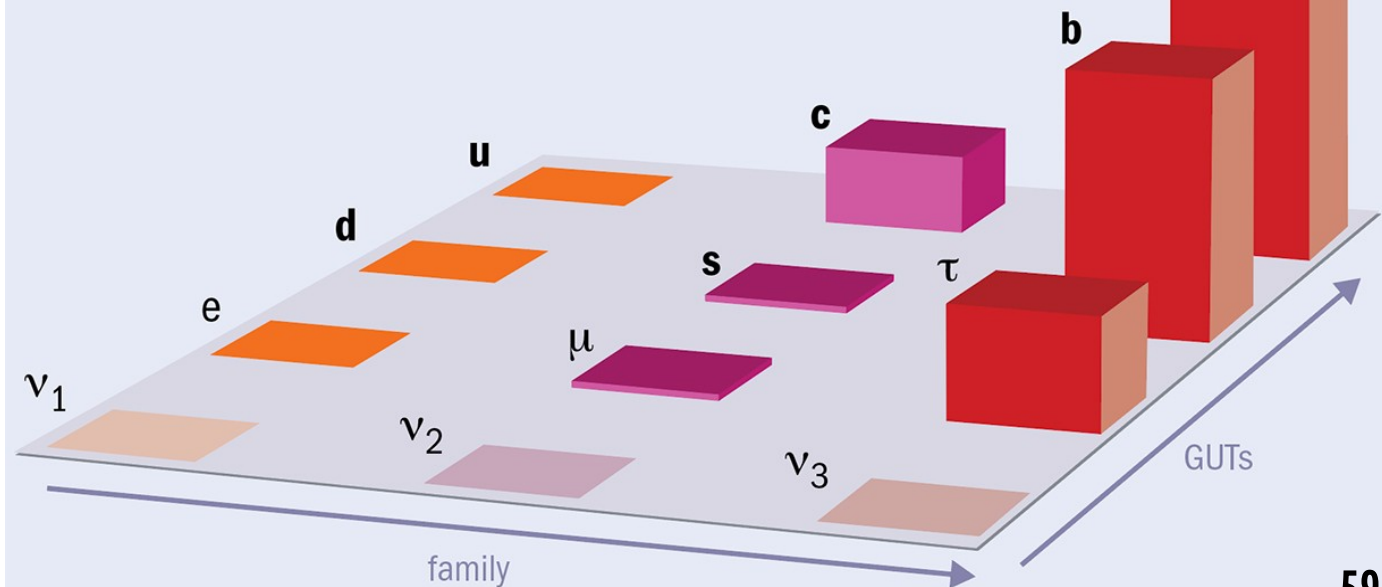
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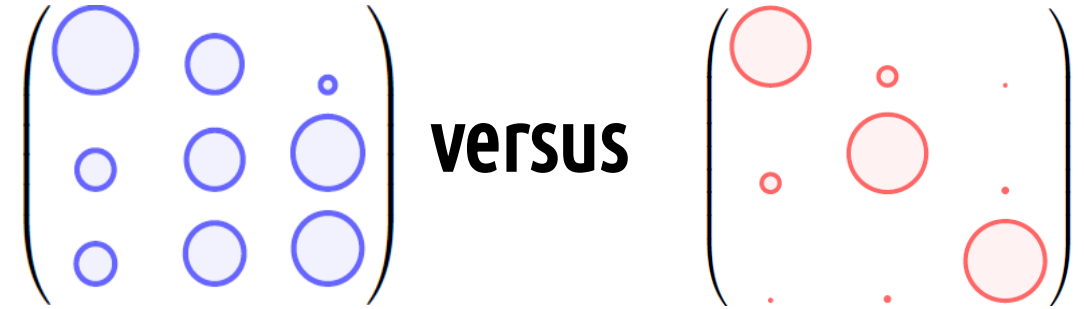
understanding flavor  
may require a more  
radical departure  
involving extra  
space-time dimensions





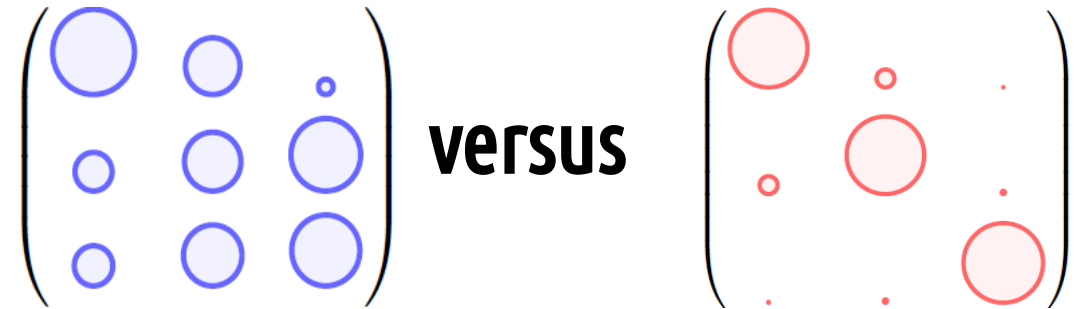
# flavour legacy of oscillations

Q/L mixing pattern

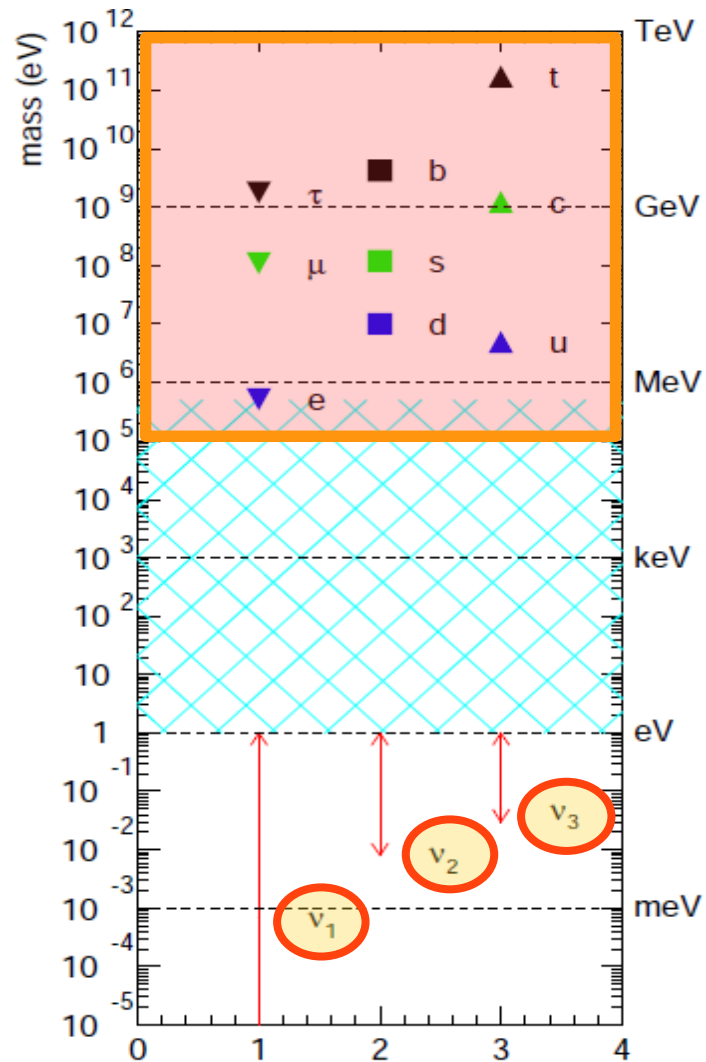


# flavour legacy of oscillations

Q/L mixing pattern

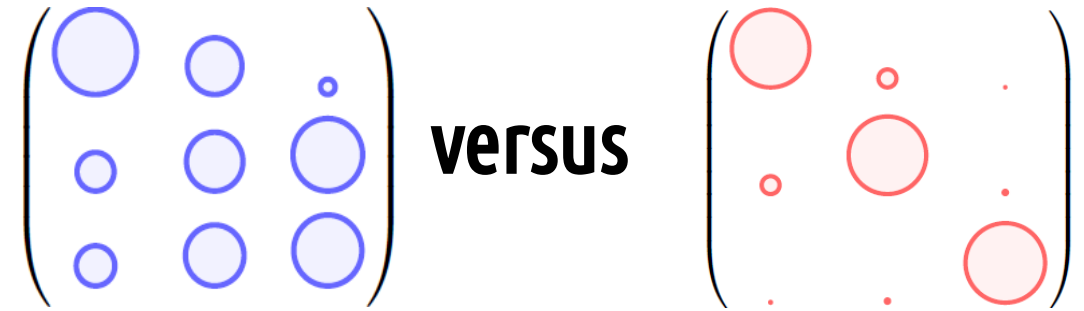


Q/L mass hierarchies

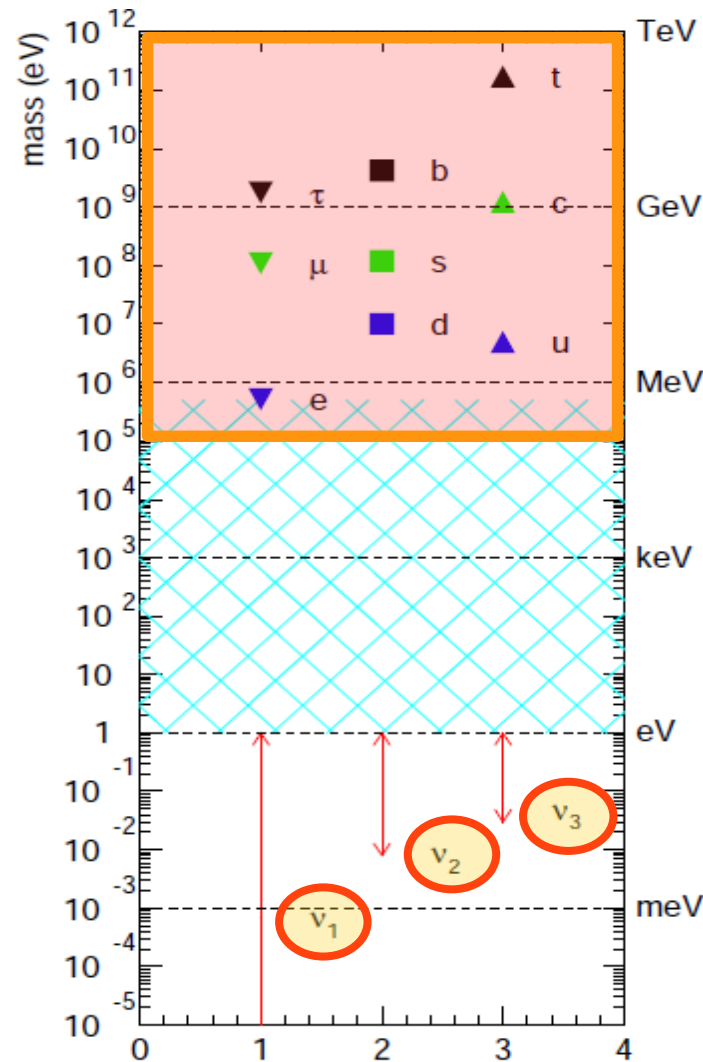


# flavour legacy of oscillations

Q/L mixing pattern



Q/L mass hierarchies



- Morisi et al Phys.Rev. D84 (2011) 036003
- King et al Phys. Lett. B 724 (2013) 68
- Morisi et al Phys.Rev. D88 (2013) 036001
- Bonilla et al Phys.Lett. B742 (2015) 99
- Reig, JV, Wilczek Phys.Rev. D98 (2018) 095008

# 5D Warped flavour dynamics

Randall-Sundrum Phys.Rev.Lett. 83 (1999) 3370

- **mass hierarchies from geometry**

Arkani-Hamed & Schmaltz hep-ph/9903417

- **mixing angles from family symmetry**

# 5D Warped flavour dynamics

Randall-Sundrum Phys.Rev.Lett. 83 (1999) 3370

## ■ mass hierarchies from geometry

Arkani-Hamed & Schmaltz hep-ph/9903417

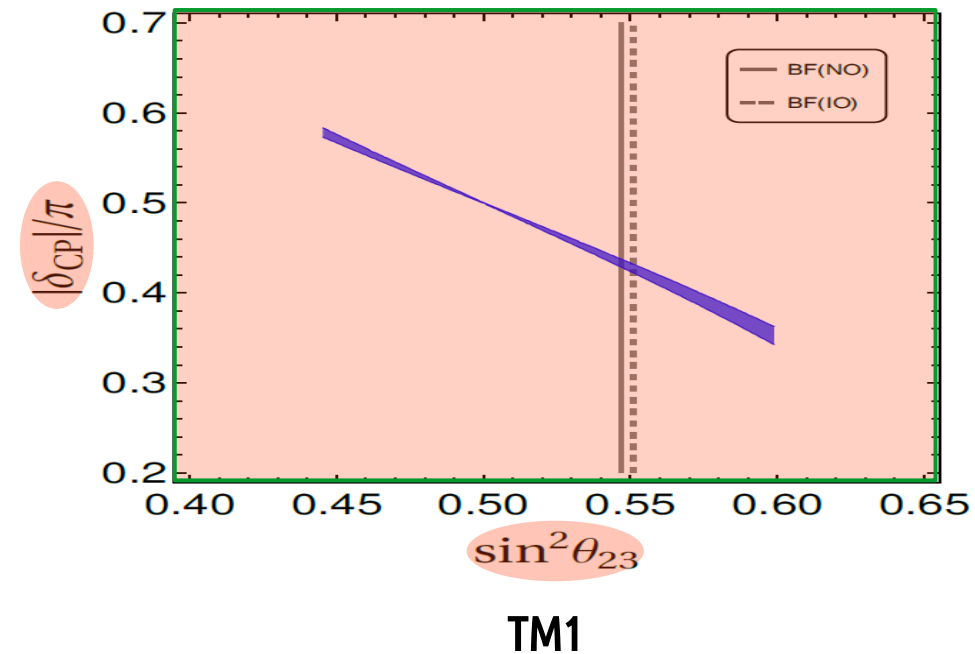
## ■ mixing angles from family symmetry

### TM mixing pattern predicted from T'

$$\cos^2 \theta_{12} \cos^2 \theta_{13} = \frac{2}{3} \quad \text{TM1 pattern}$$

$$\cos \delta_{CP} = \frac{(3 \cos 2\theta_{12} - 2) \cos 2\theta_{23}}{3 \sin 2\theta_{23} \sin 2\theta_{12} \sin \theta_{13}}$$

Chen et al Phys. Rev. D 102, 095014 (2020)



# 5D Warped flavour dynamics

Randall-Sundrum Phys.Rev.Lett. 83 (1999) 3370

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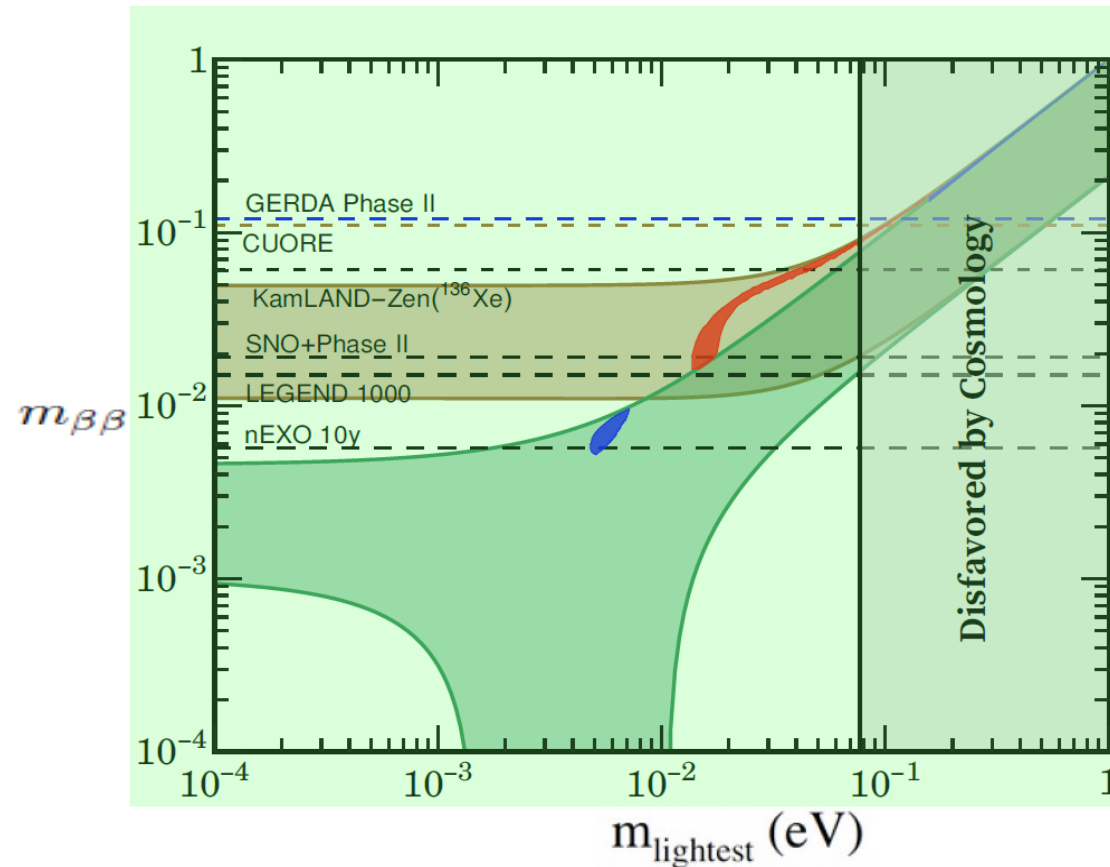
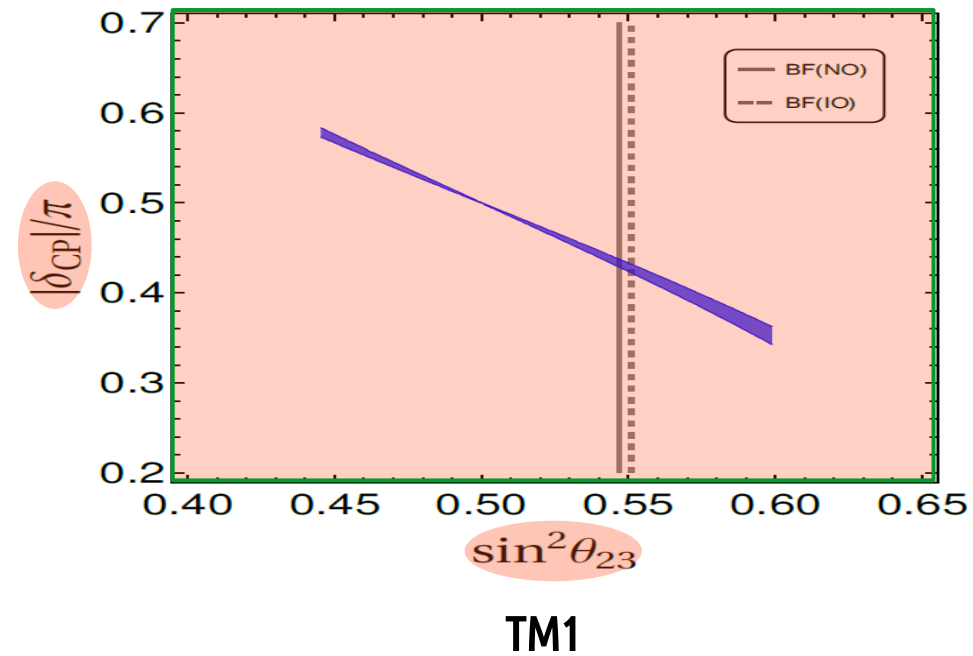
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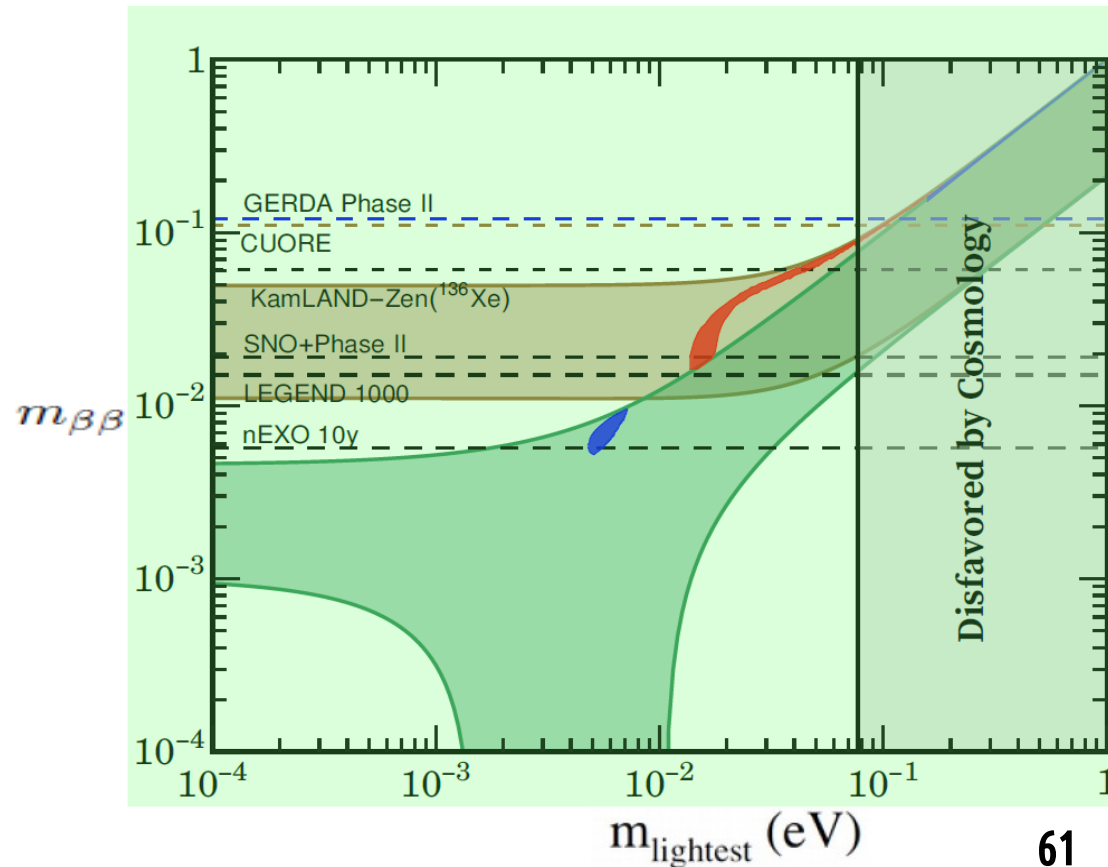
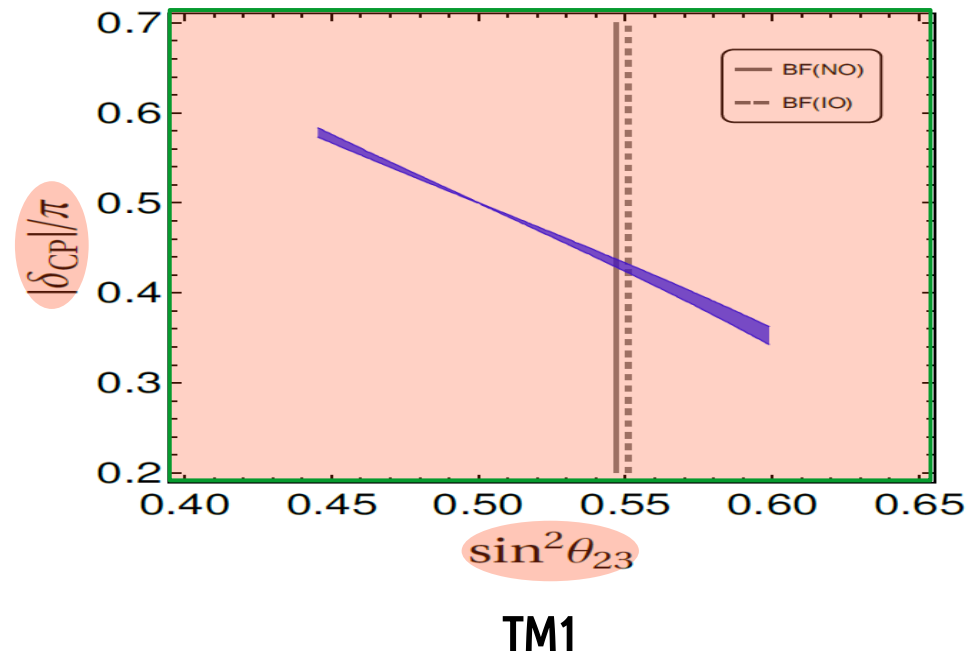
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Chen et al Phys. Rev. D 102, 095014 (2020)

### TM2 pattern

Dirac neutrino alternative  
 Chen et al JHEP01(2016)007  
 Phys. Rev. D95 (2017) 095030  
 Phys.Lett. B771 (2017) 524

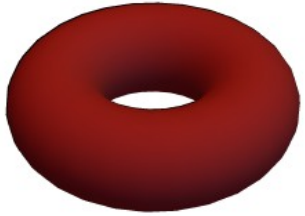


# family symmetry from 6D Orbifold

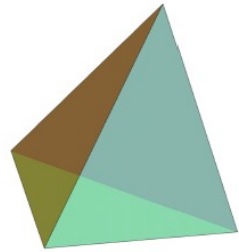
$$\mathcal{M} = \mathbb{M}^4 \times (\mathbb{T}^2 / \mathbb{Z}_2)$$

Phys.Lett.B 801 (2020) 135195

Phys.Rev.D 101 (2020) 11, 116012



**A4 family symmetry “derived”**



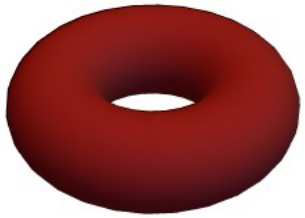


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Phys.Lett.B 801 (2020) 135195

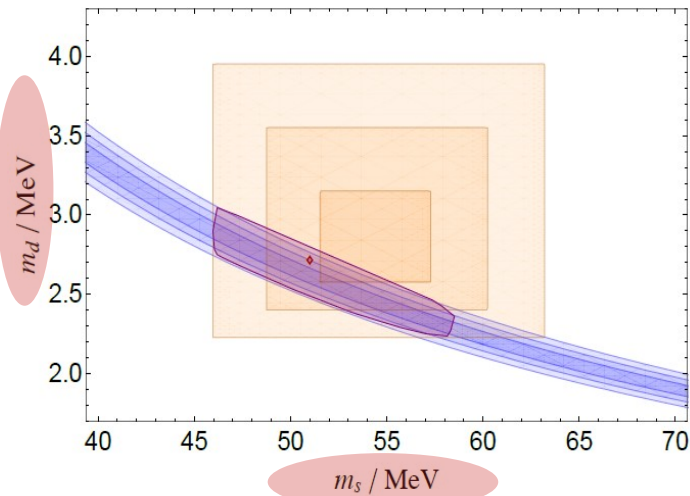
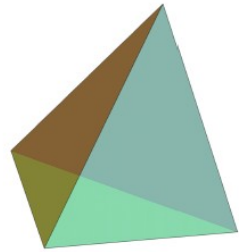
Phys.Rev.D 101 (2020) 11, 116012



A4 family symmetry “derived”

Golden Q-L relation

$$\frac{m_\tau}{\sqrt{m_\mu m_e}} \approx \frac{m_b}{\sqrt{m_s m_d}}$$

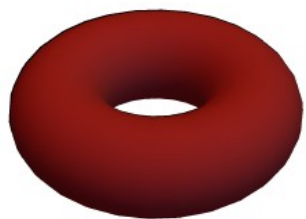


# family symmetry from 6D Orbifold

$$\mathcal{M} = M^4 \times (T^2/Z_2)$$

Phys.Lett.B 801 (2020) 135195

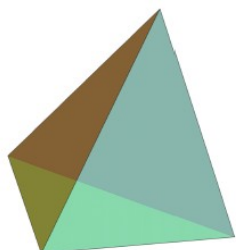
Phys.Rev.D 101 (2020) 11, 116012



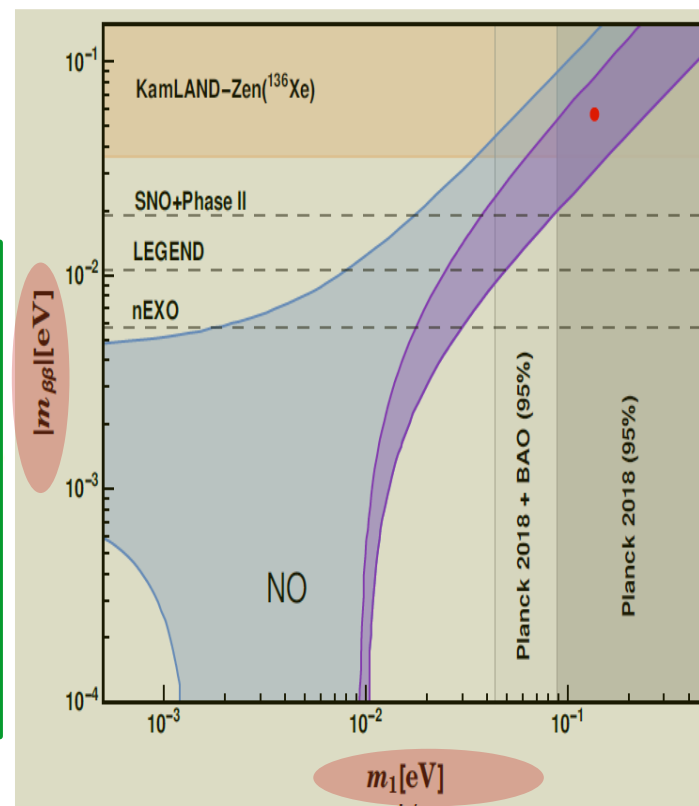
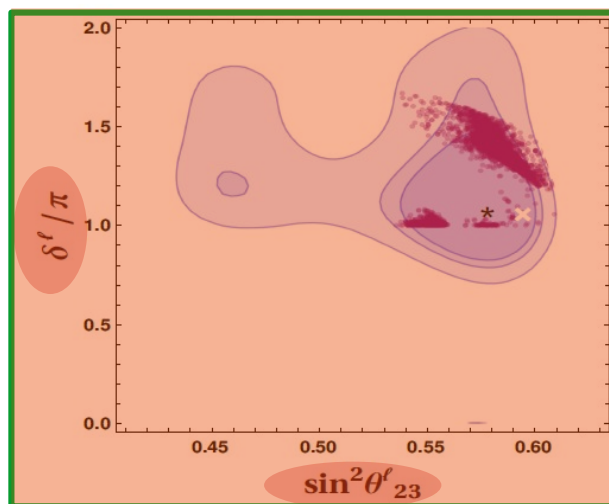
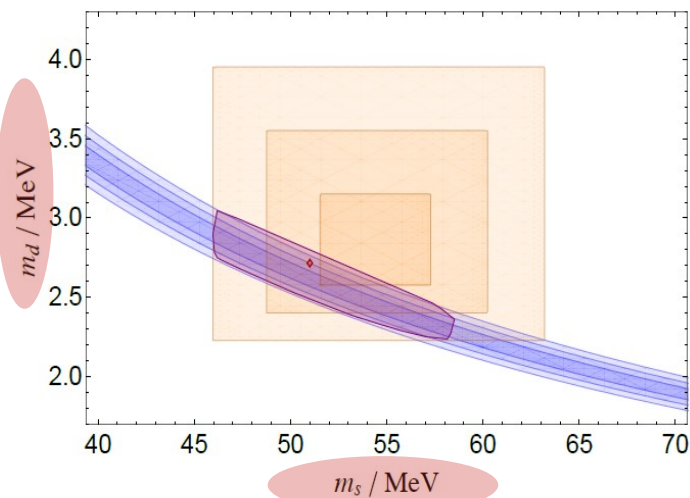
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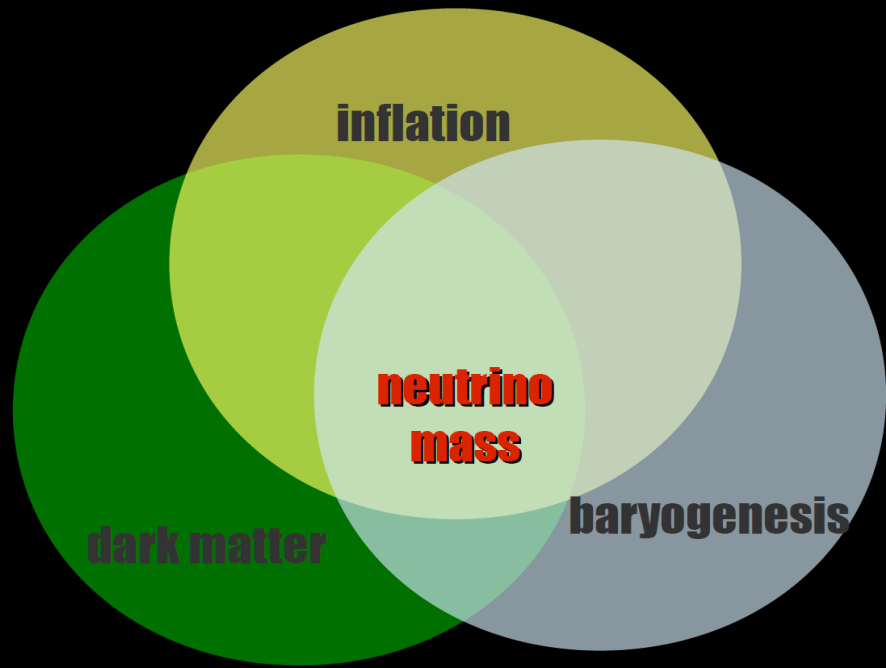


NO



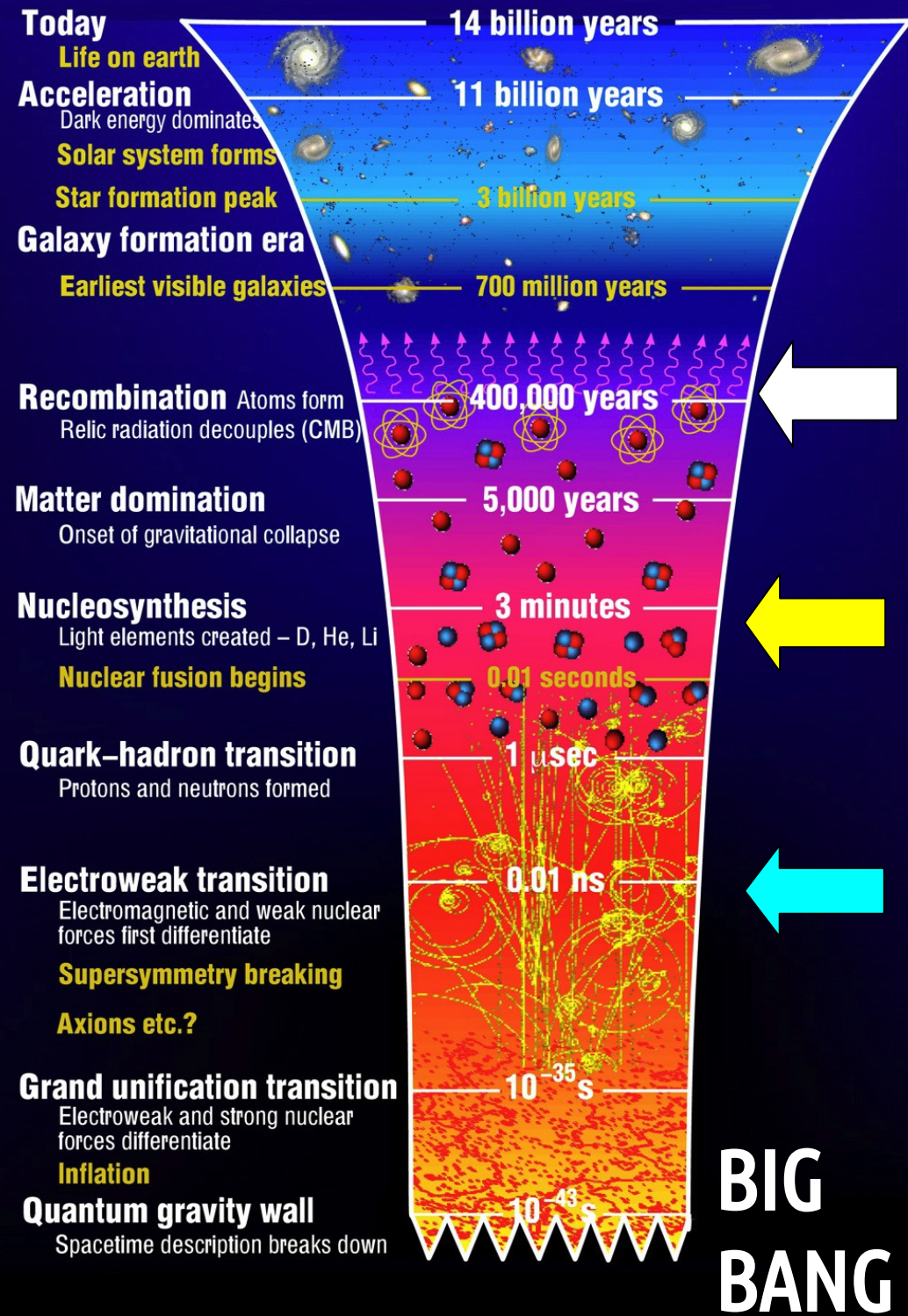
Good global fit of flavor observables

Phys.Rev.D 105 (2022) 055030



neutrinos can probe early stages of the Universe

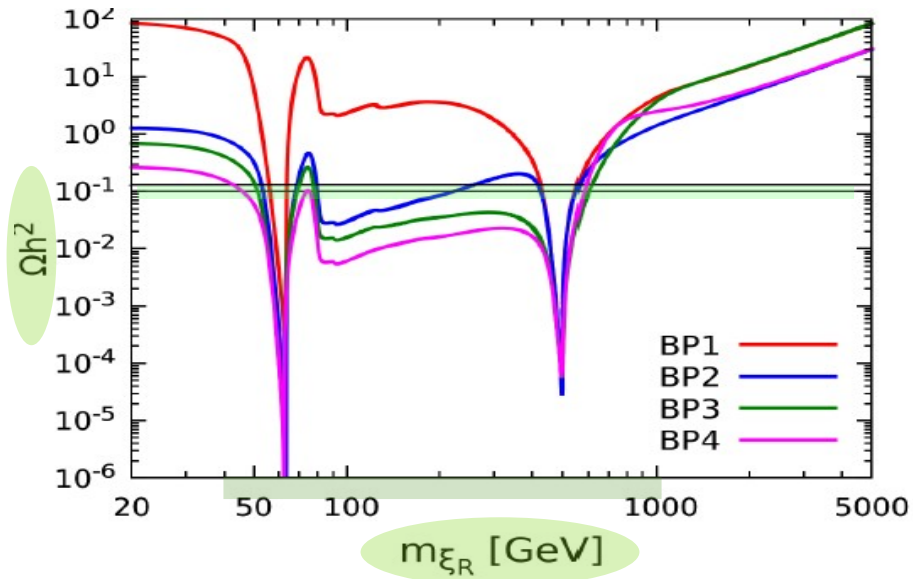
neutrinos may hold the key to "explaining" DM



# dark inverse typeI seesaw mechanism

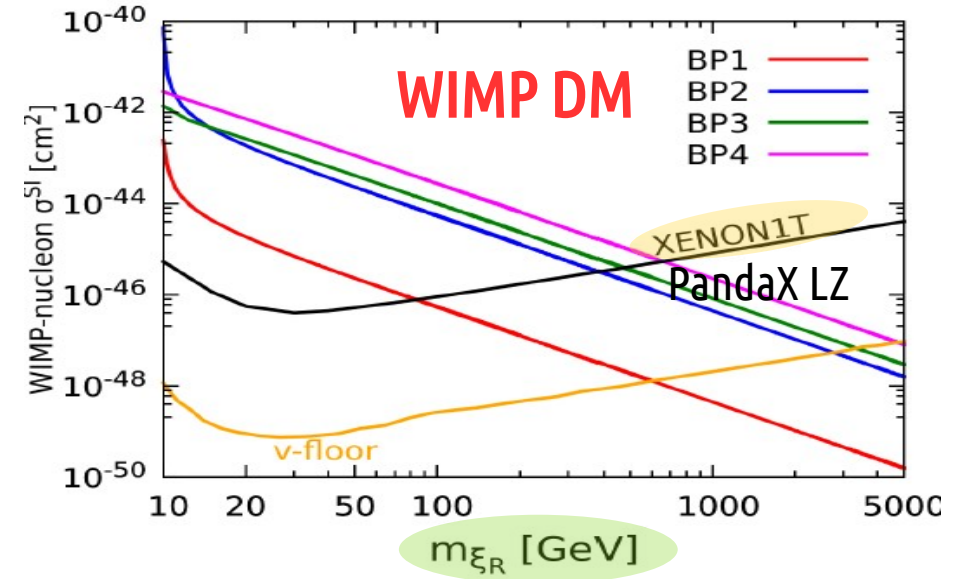
**LambdaCDM**

Phys.Lett.B 821 (2021) 136609



Xenon1T PhysRevLett.121.111302

PandaX Lux-Zepellin

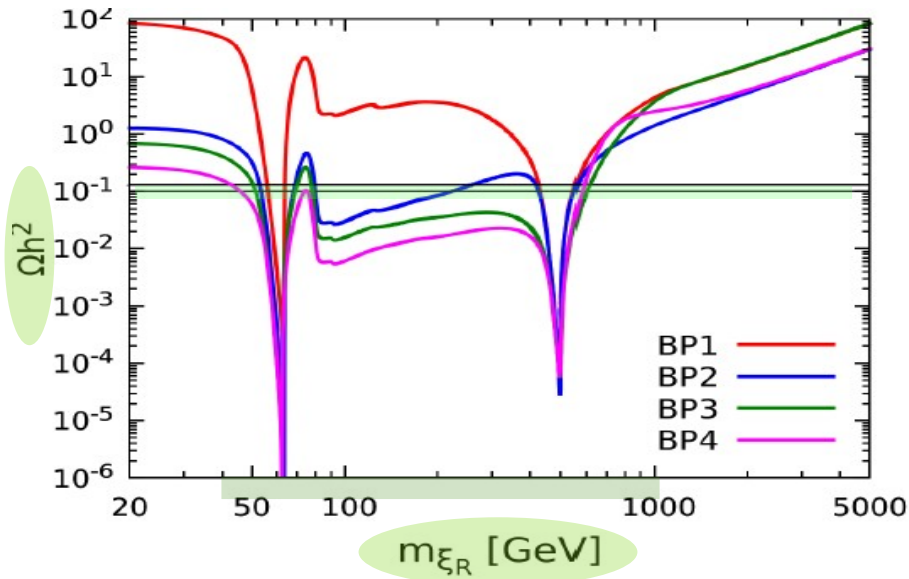




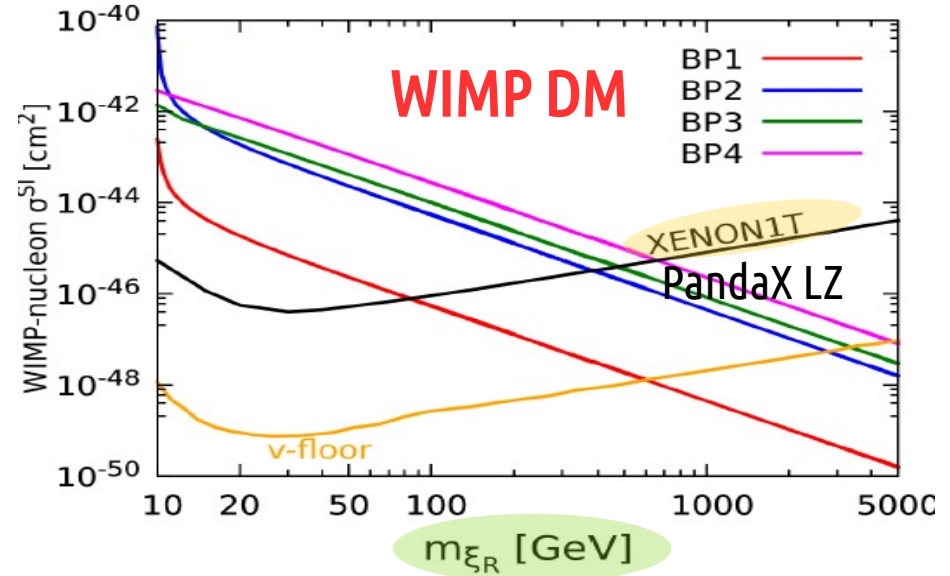
# dark inverse type I seesaw mechanism

LambdaCDM

Phys.Lett.B 821 (2021) 136609

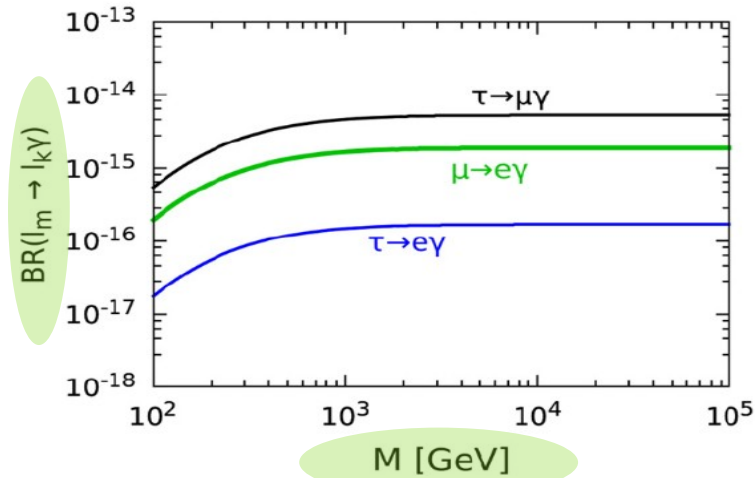


Xenon1T PhysRevLett.121.111302  
PandaX Lux-Zepellin



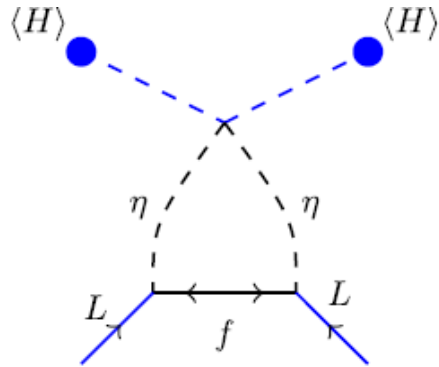
e.g. large cLFV from inverse type I seesaw  
Mandal et al  
Phys.Lett.B 821 (2021) 136609  
(larger values possible)

$\mu=10^{-6}$  GeV,  $m_1=0.1$  eV,  $R=1$

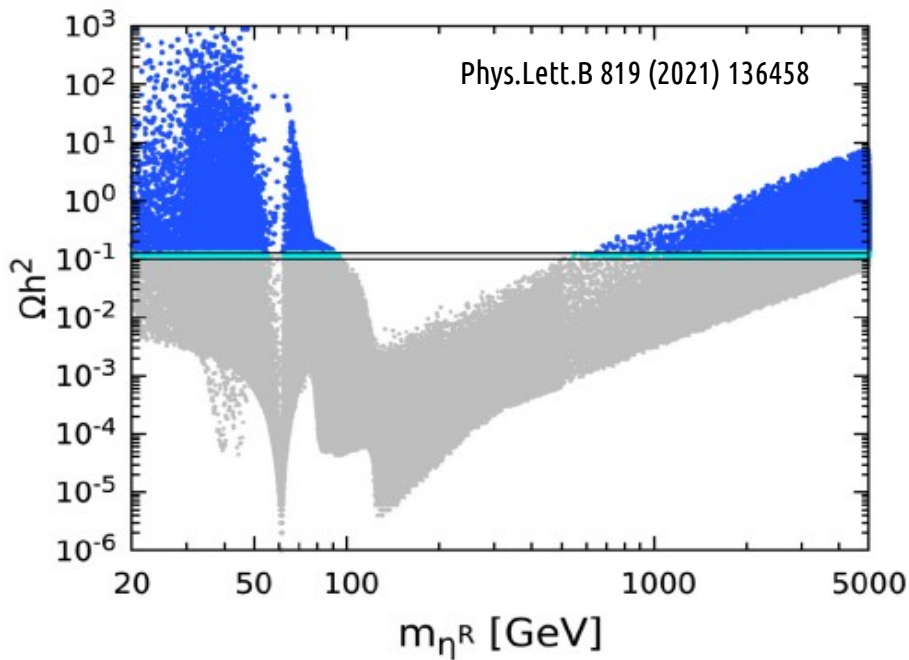


# SCOTOseesaw : combining WIMP & seesaw paradigms

Loop solar scale



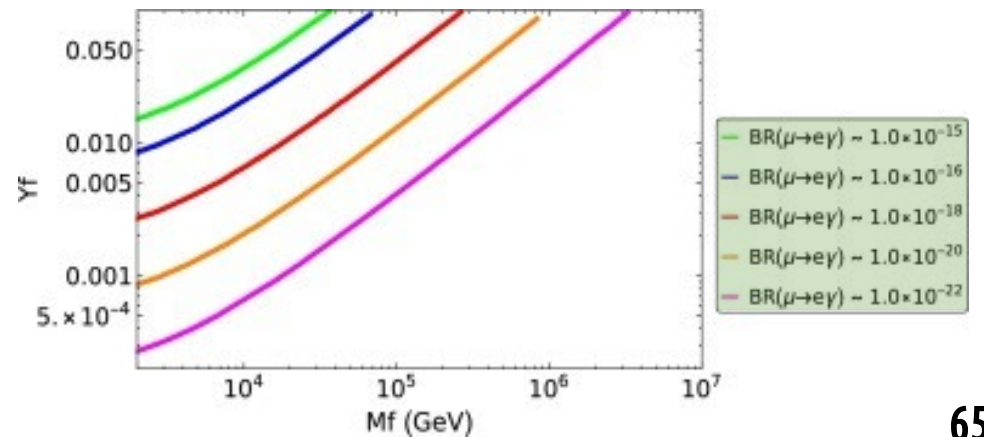
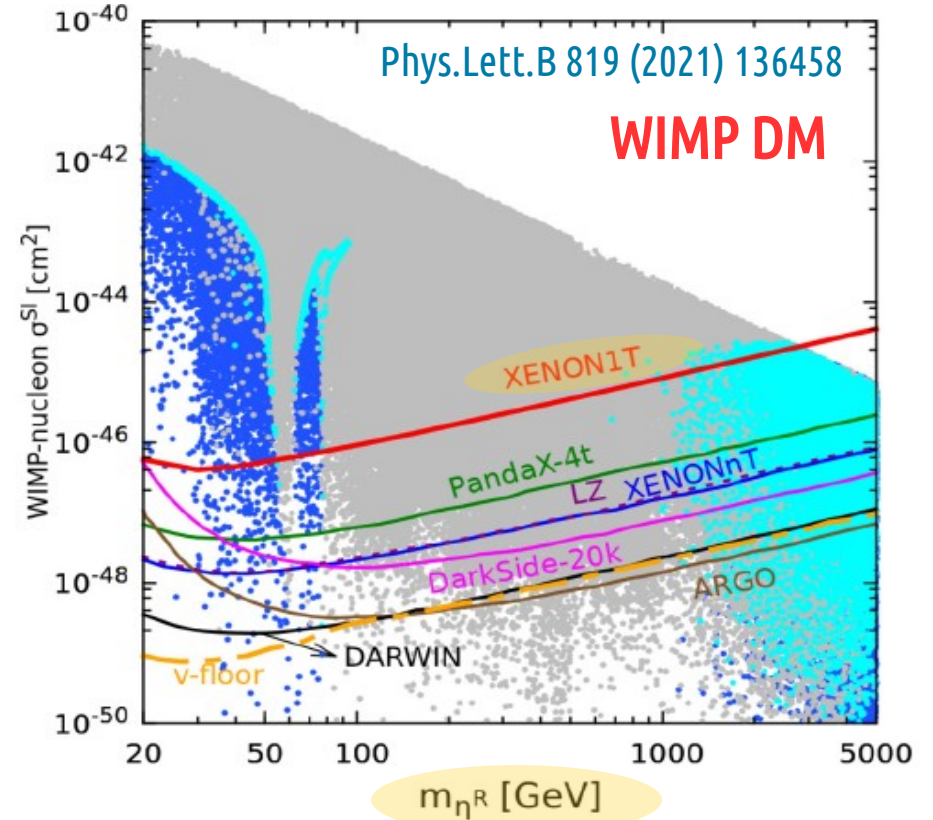
Tree atm scale from type-I seesaw



$0\nu\beta\beta$  lower bound  
cLFV from "dark" loops

PLB789 (2019) 132

$$\Delta m_{\text{ATM}}^2 = \left( \frac{v^2}{2M_N} Y_N^2 \right)^2, \quad \Delta m_{\text{SOL}}^2 \approx \left( \frac{1}{32\pi^2} \right)^2 \left( \frac{\lambda_5 v^2}{M_f^2 - m_\eta^2} M_f Y_f^2 \right)^2$$



# majoron dark matter

$$\sigma = \frac{1}{\sqrt{2}}(\langle\sigma\rangle + \rho + iJ)$$

NEUTRINO MASSES

DARK MATTER

INFLATON

DM Berezhinsky, Valle PLB318 (1993) 360

Inflation Boucenna, Morisi, Shafi, Valle Phys.Rev. D90 (2014) 055023

LG Aristizabal et al JCAP 1407 (2014) 052

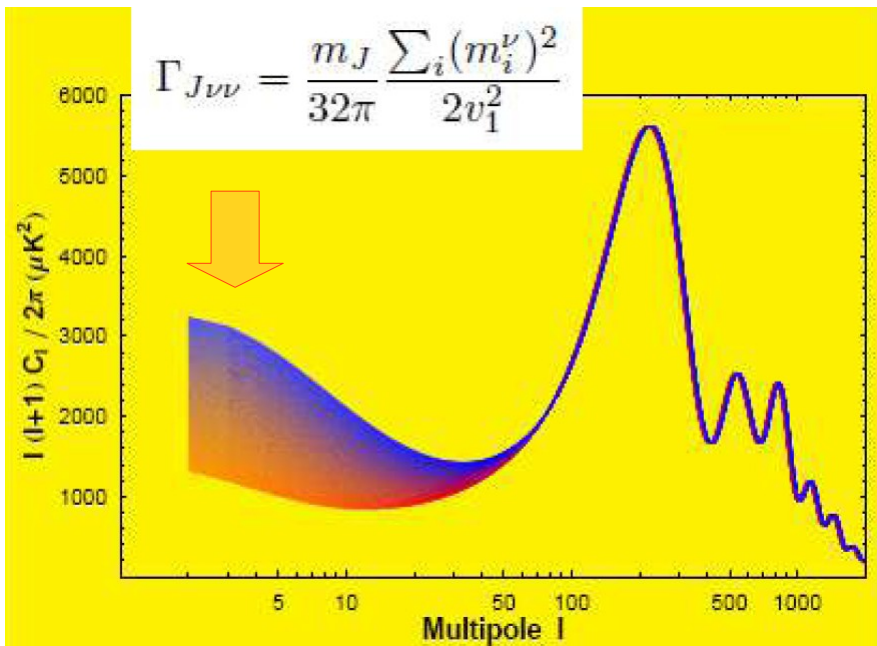
## X-rays from DM decay

$$J \rightarrow \gamma\gamma$$

Lattanzi et al PRD88 (2013) 063528

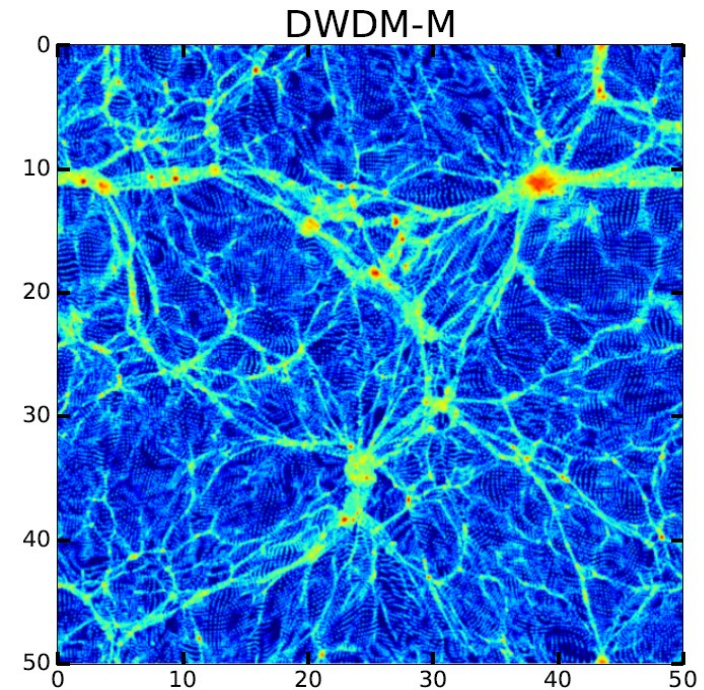
## Consistency with CMB

Lattanzi & Valle, PRL99 (2007) 121301



large scale structure

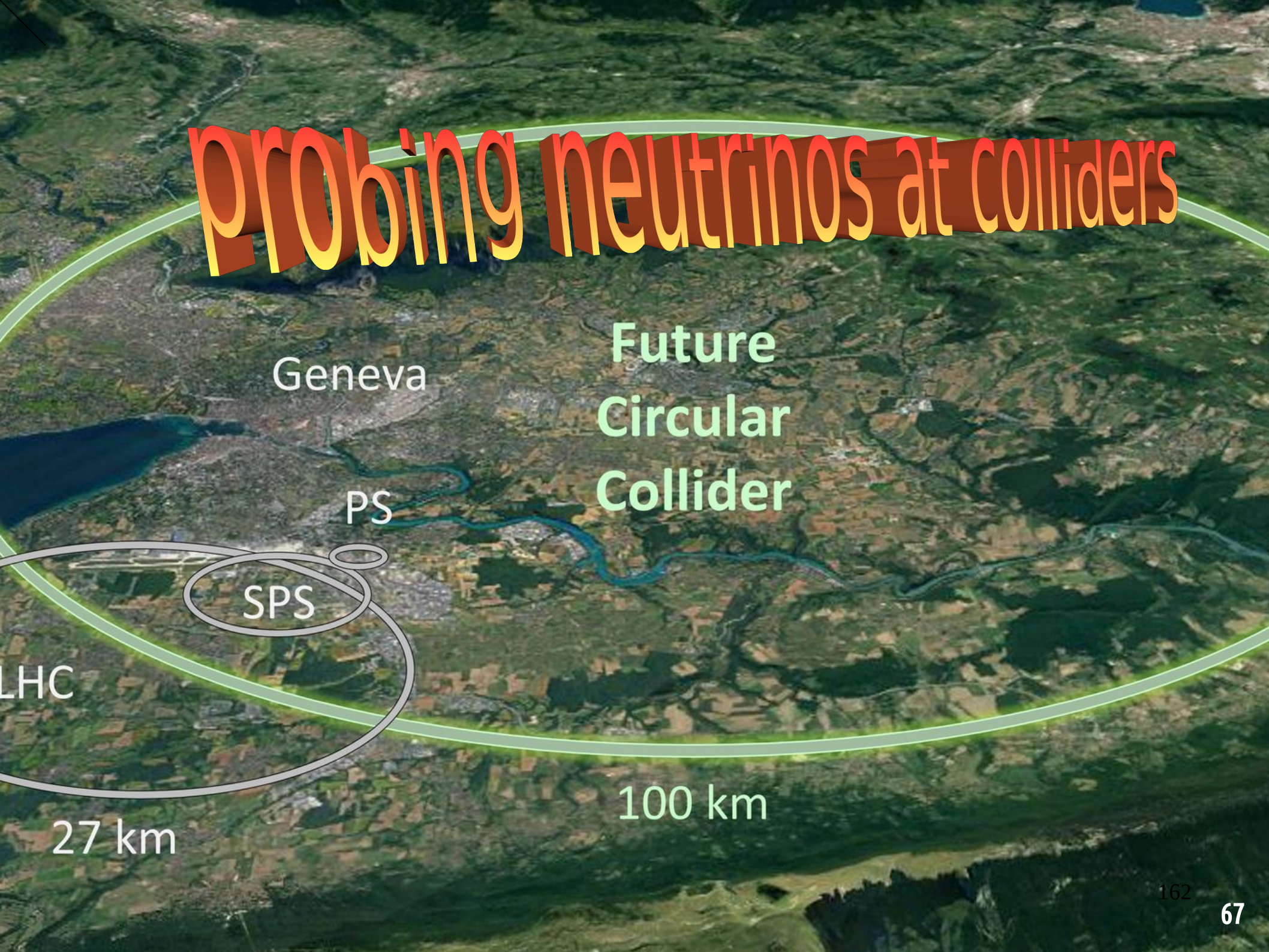
Kuo et al JCAP 1812 (2018) 026



Reig, Yamada, Valle JCAP 09 (2019) 029



# probing neutrinos at colliders



Geneva

Future  
Circular  
Collider

PS

SPS

LHC

27 km

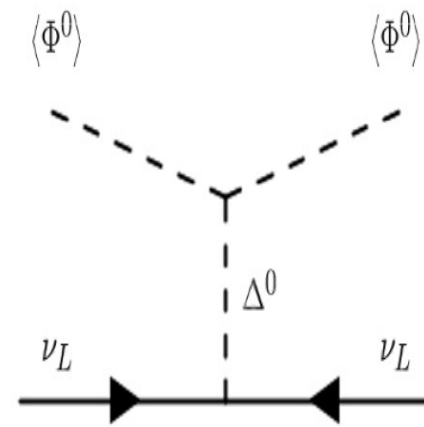
100 km



# simplest seesaw

current oscillation data  
can reconstruct **triplet seesaw**  
so that it can be tested at  
high-energies

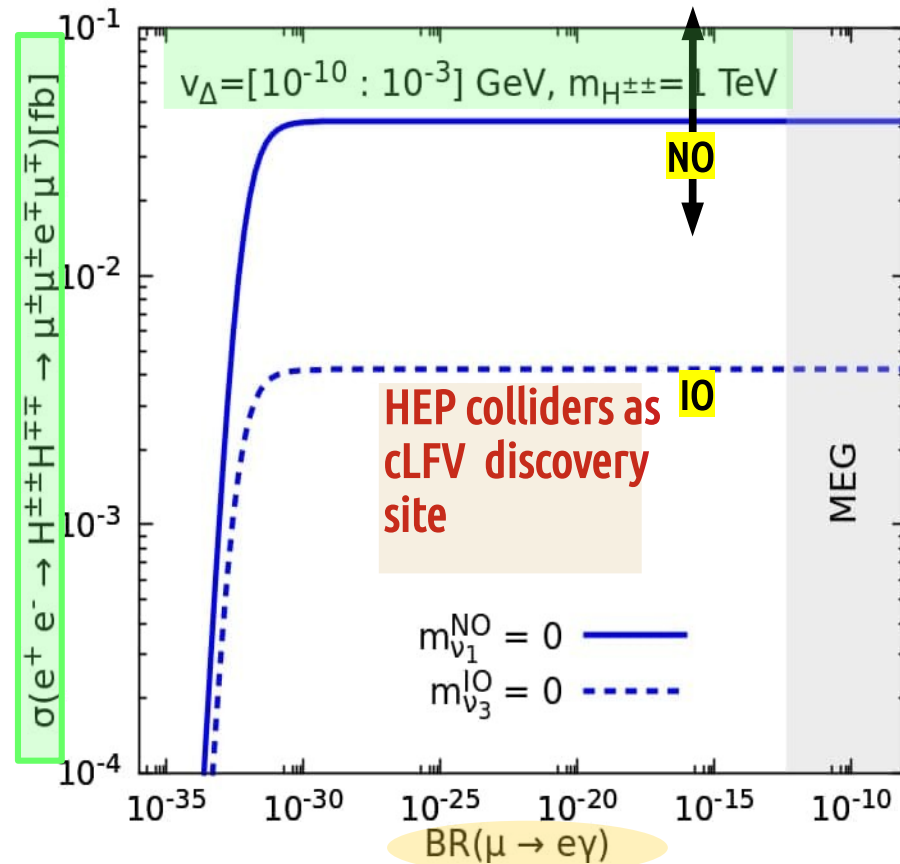
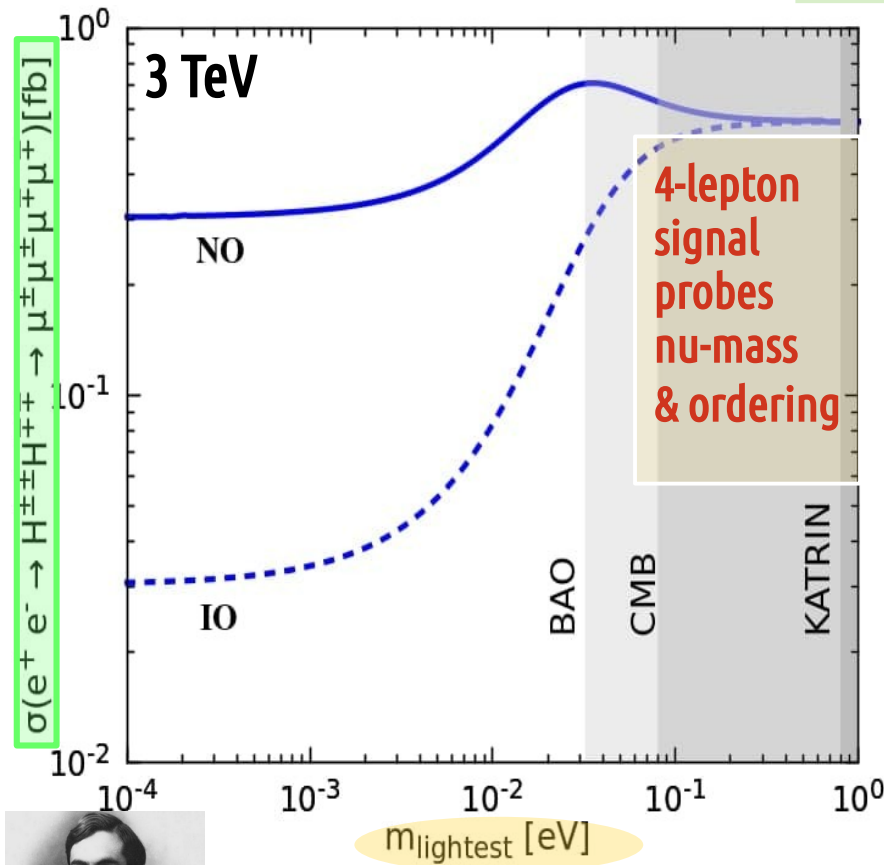
Schechter & JV PRD22 (1980) 2227  
PRD25 (1982) 774



Miranda et al Phys.Rev.D105 (2022) 095020

seesaw mediator produced in  
@ e+e- / pp collisions

Miranda et al PLB 829 (2022) 137110



*the physics responsible for neutrino masses may also induce gauge coupling unification*

## neutrino path to unification

## why 3 families

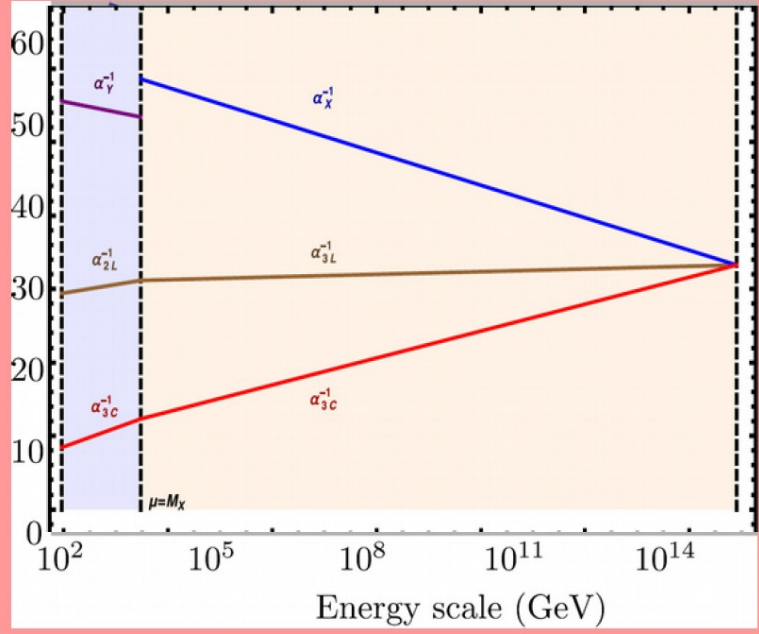
Boucenna et al Phys. Rev. D 91, 031702 (2015)

Deppisch et al Phys.Lett. B762 (2016) 432

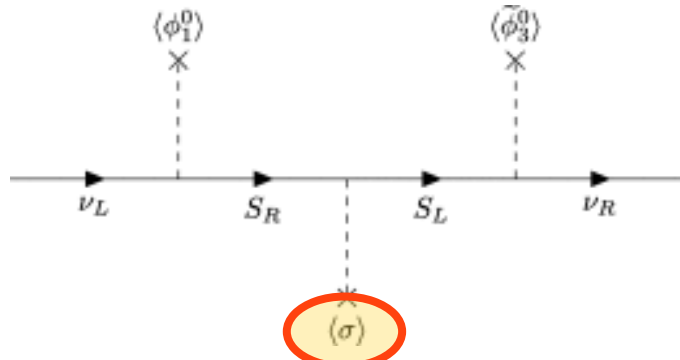
**Old 331 model**

PRD22(1980)738

From *Physics Letters B* 810 (2020) 135829



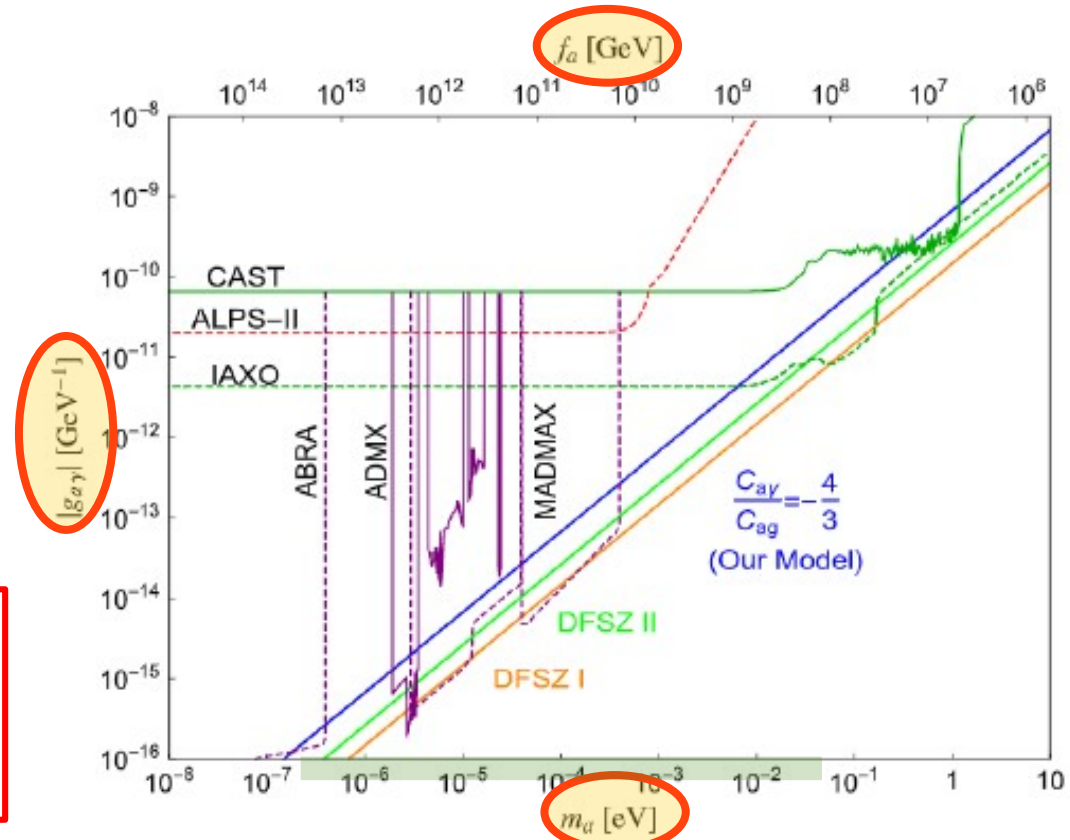
## Diracness from PQ



Peccei-Quinn symmetry

$$m_\nu^D \simeq \frac{y^{\nu_1} (y^S)^{-1} (y^{\nu_2})^T}{\sqrt{2}} \begin{matrix} \nu (W) \leftarrow \text{SU3L} \\ \nu (\sigma) \leftarrow \text{PQ} \end{matrix}$$

**Dirac seesaw neutrino mass**



**tree-level quark FCNC**

# new path to family unification

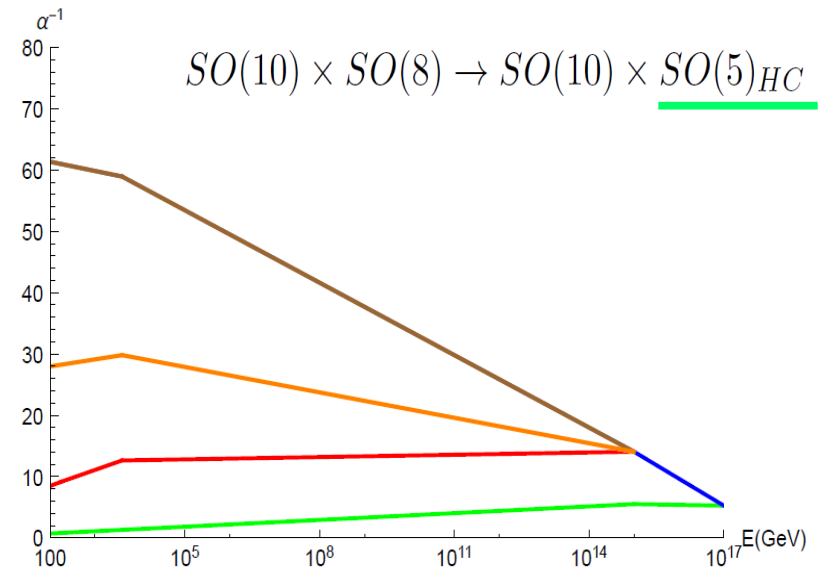
inspired by beauty of neutrinos in SO10

Reig, Valle, Vaquera-Araujo, Wilczek  
Phys.Lett. B774 (2017) 667-670

use orbifold BC to decouple mirrors

unwanted chiral families bound by new hypercolor force above TeV

## new spectroscopy



$$ds^2 = e^{-2ky} \eta_{\mu\nu} dx^\mu dx^\nu - dy^2, \quad S_1/Z_2$$

$$256 = (16, 8)^{++} + (\overline{16}, 8')^{-+}$$

UV  
 $y = 0$

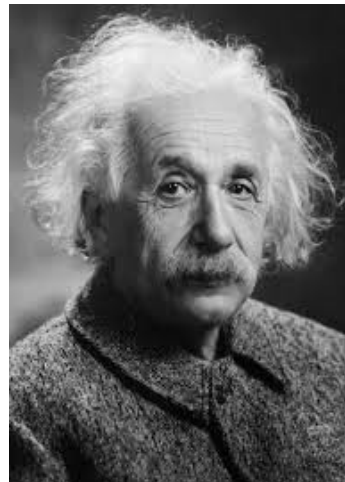
IR  
 $y = L$

SO(18)

SO(10)  $\otimes$  SO(8)

promote M4 to AdS5

Reig, JV, Wilczek  
Phys.Rev. D98 (2018) 095008



- viable SO3 family symmetry
- golden Q-L mass formula
- PQ symmetry & axion

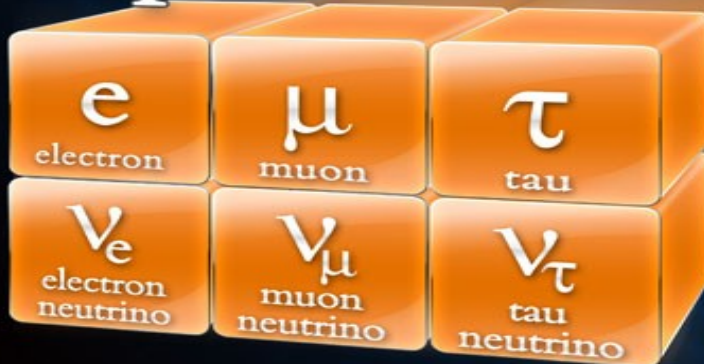


# NEUTRINO COMPLETION of SM NECESSARY

## Quarks



## Leptons



## Force Carriers



H  
Higgs boson

NEUTRINO MASS

DARK MATTER

FLAVOR PROBLEM

UNIFICATION

*Thank You*