



UNIVERSITY OF  
CAMBRIDGE

PBSP 



European Research Council  
Established by the European Commission



# THE LHC RUN II TOP QUARK DATA LEGACY ON GLOBAL PDF AND SMEFT ANALYSES

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MANUEL MORALES ALVARADO



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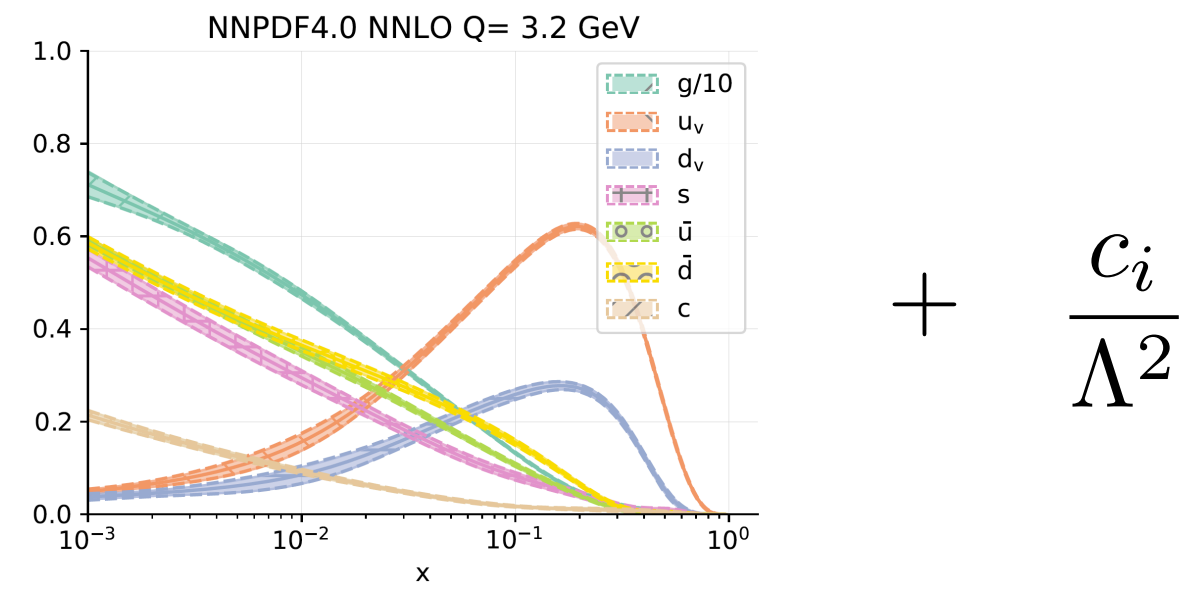


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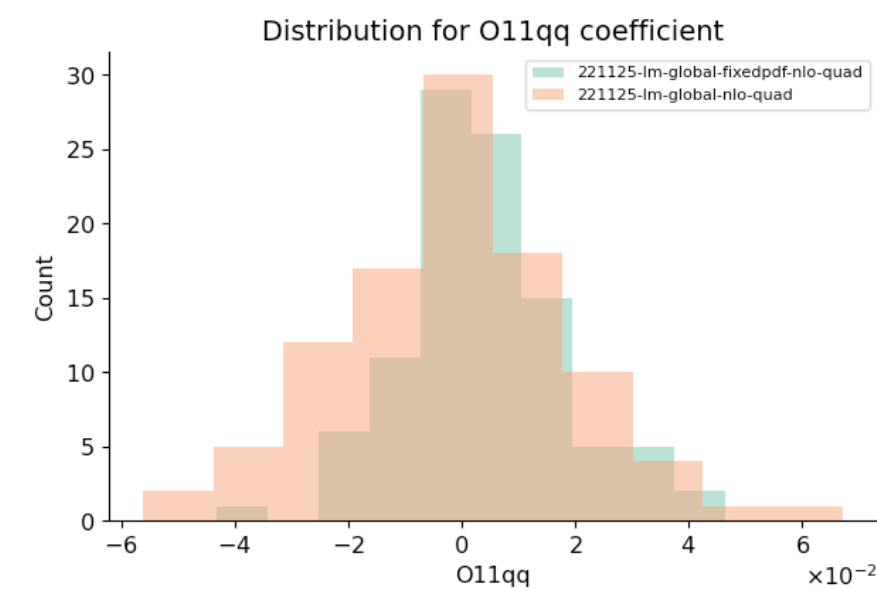
MANUEL MORALES ALVARADO

Thanks to J. Moore and M.  
Madigan for some of the slides!

# OUTLINE



Background: PDFs and SMEFT

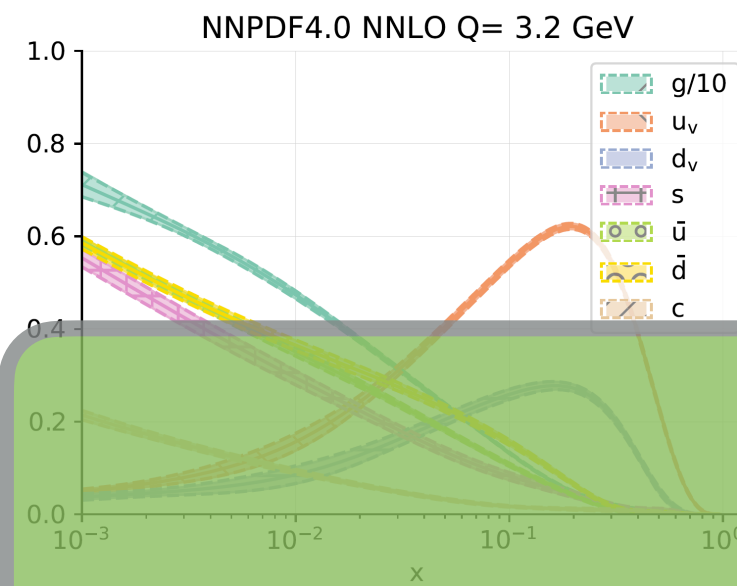


PDF-SMEFT interplay - DY & Top



Conclusions and outlook

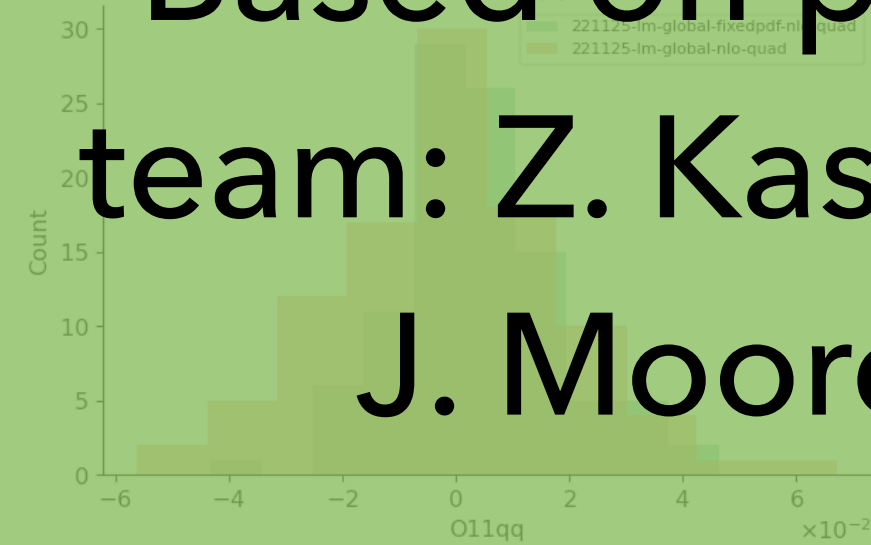
# OUTLINE



$$+ \frac{C_i}{\Lambda^2}$$

Background: PDFs and SMEFT

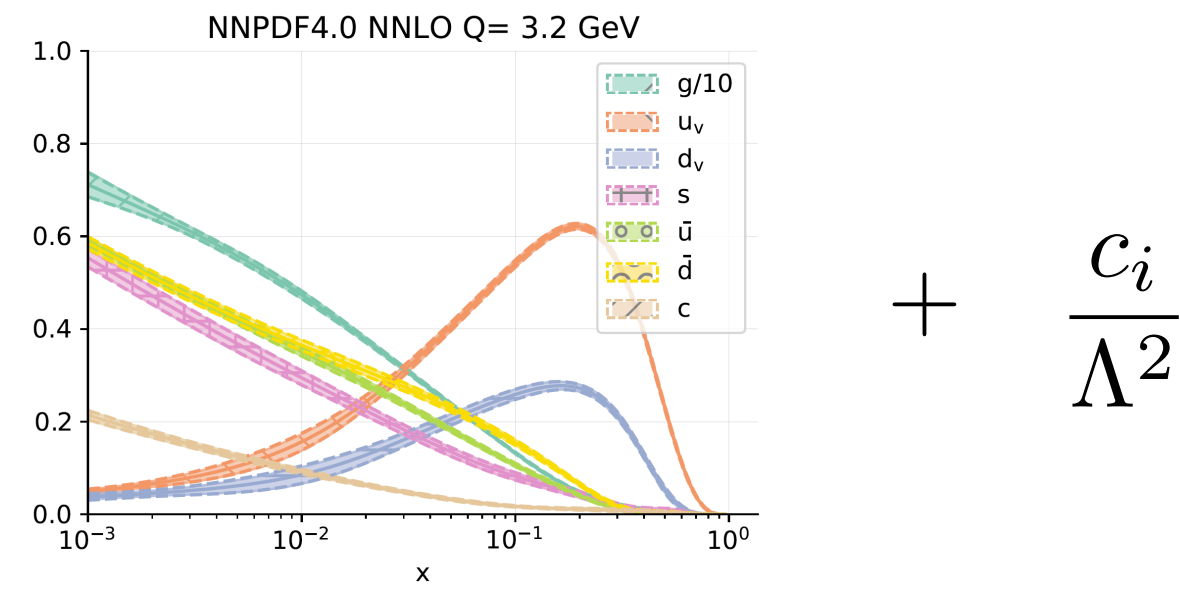
Based on preliminary work with the PBSP team: Z. Kassabov, M. Madigan, L. Mantani, J. Moore, MMA, M. Ubiali & J. Rojo



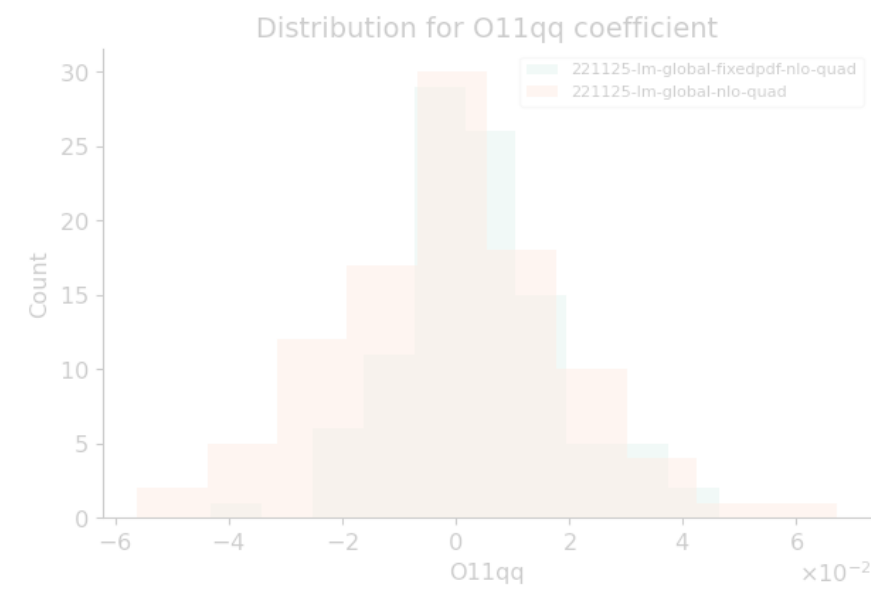
Conclusions and outlook



# OUTLINE



Background: PDFs and SMEFT



PDF-SMEFT interplay - DY & Top



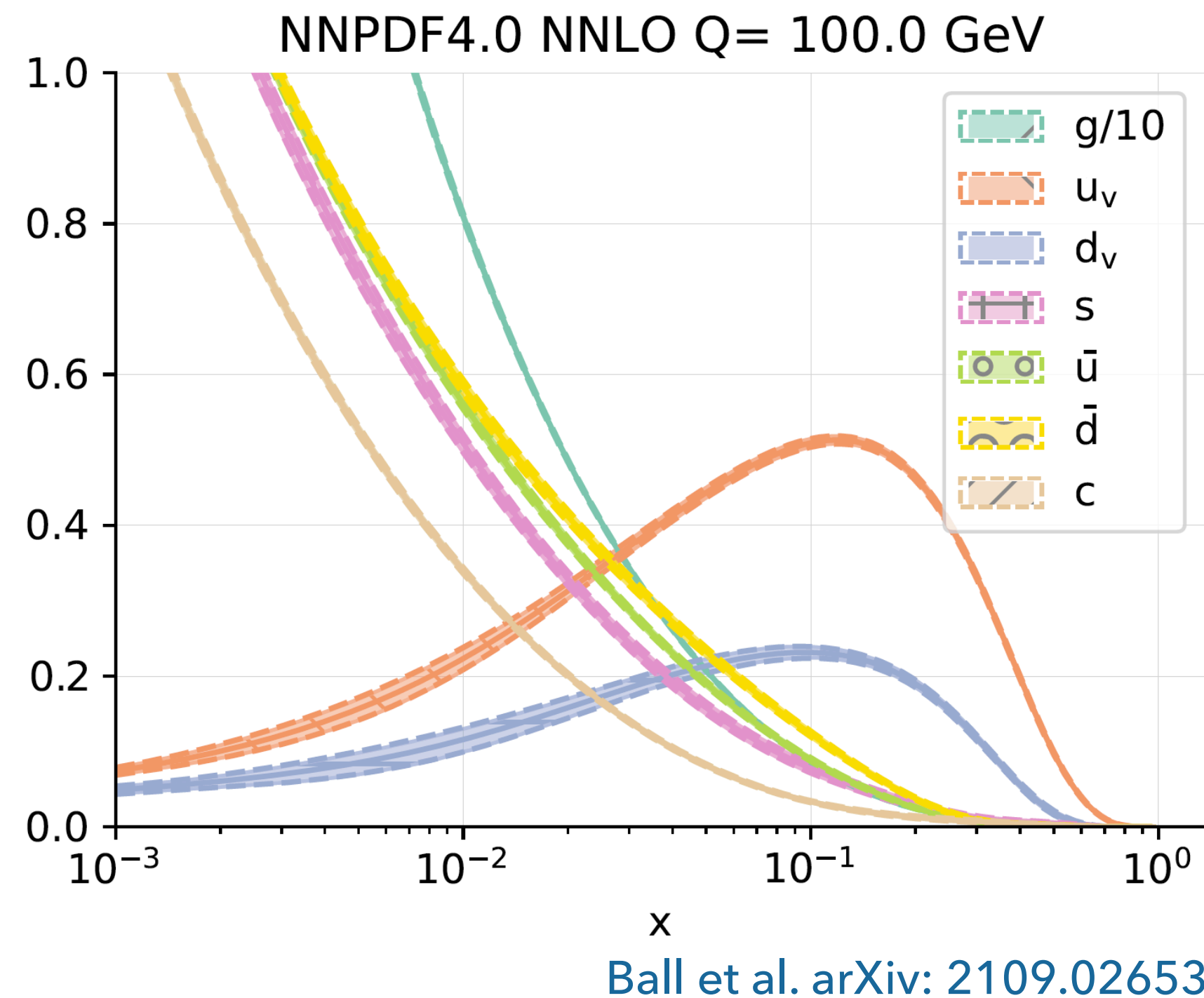
Conclusions and outlook

# PARTON DISTRIBUTION FUNCTIONS

Parton distribution functions (PDFs) are important ingredients in LHC phenomenology

$$f(x, Q^2)$$

$$\sigma = \hat{\sigma} \otimes f$$



Recent global PDF fits include:

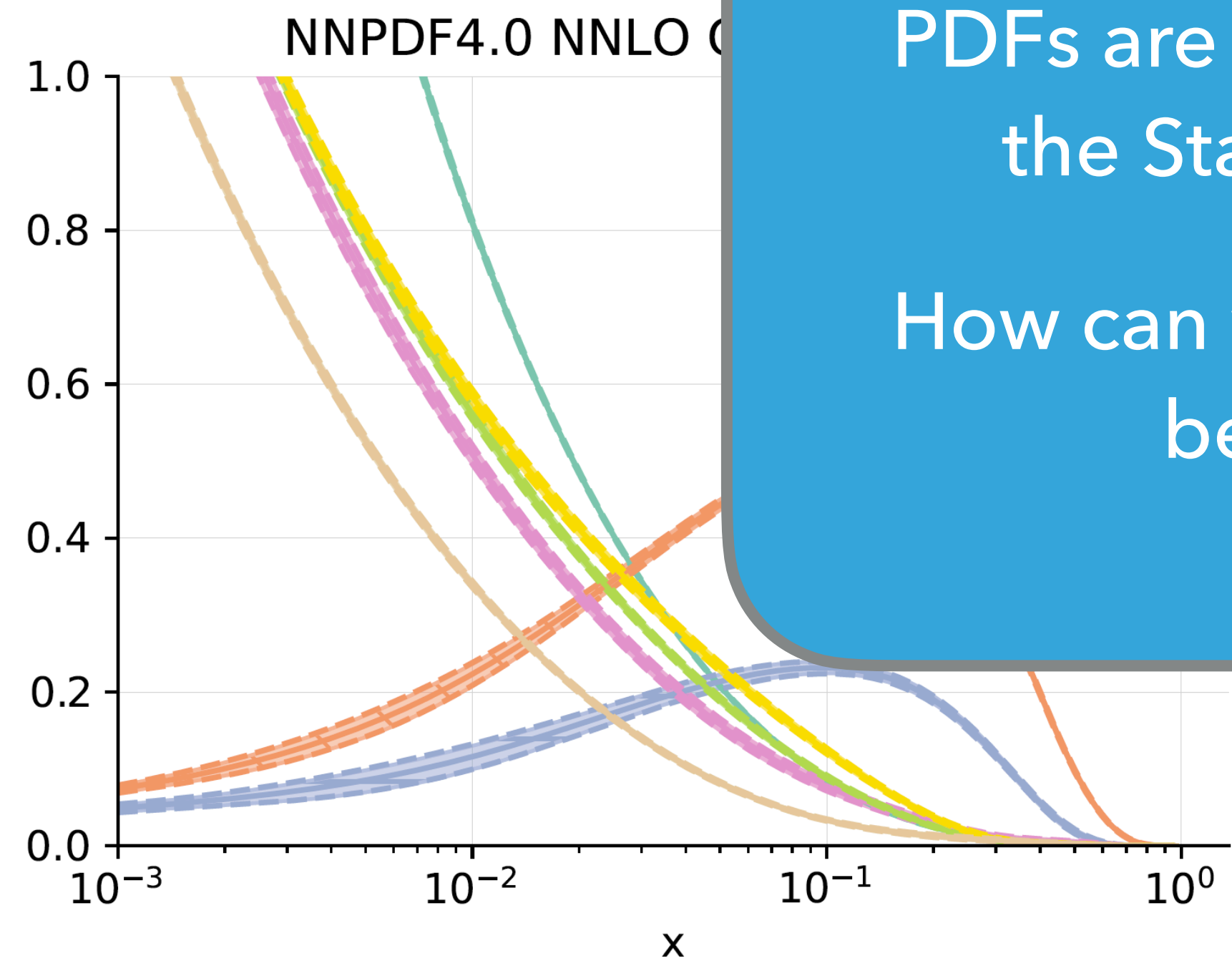
- NNPDF 4.0: Ball et al., 2109.02653
- CT18: Hou et al., 1912.10053
- MSHT20: Bailey et al., 2012.04684

# PARTON DISTRIBUTION FUNCTIONS

Parton distribution functions (PDFs) are important ingredients in LHC phenomenology

$$f(x, Q^2)$$

$$\sigma = \hat{\sigma} \otimes f$$



Ball et al. arXiv: 2109.02653

PDFs are usually determined in the Standard Model (SM)  
How can we account for effects beyond the SM?

include:

2109.02653

10053

- MSHT 20: Bailey et al., 2012.04684

# STANDARD MODEL EFFECTIVE FIELD THEORY (SMEFT)

In the SMEFT we supplement the SM Lagrangian with towers of higher dimensional operators

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} Q_i^{(6)} + \dots$$

With

$c_i$	Wilson coefficient (WC)
$\{Q_i^{(6)}\}$	Dimension 6 operators
$\Lambda$	High energy scale

In the SMEFT:

- There is a clear separation of scales  $\Lambda$
- The only light degrees of freedom are the SM ones
- The gauge group still is  $SU(3)_c \times SU(2)_L \times U(1)_Y$



# STANDARD MODEL EFFECTIVE FIELD THEORY (SMEFT)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{\Lambda^2} Q_i^{(6)} + \dots$$

B. Grzadkowski et al., 1008.4884  
W. Buchmuller, D. Wyler, Nucl. Phys. B268  
(1986) 621-653

We will parametrise the SMEFT using the Warsaw basis (59 operators without generation indices)

$X^3$		$\varphi^6$ and $\varphi^4 D^2$		$\psi^2 \varphi^3$		$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
$Q_G$	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_\varphi$	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$	$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{\bar{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$	$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_W$	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$	$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$		$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$	$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{\varphi \bar{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$	$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$		
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$						
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$						
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$						
$Q_{\varphi \bar{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$						
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$						
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$						
						$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B-violating			
						$Q_{ledq}$	$(\bar{l}_p e_r)(\bar{d}_s q_t^j)$	$Q_{duq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^{\gamma j})^T C l_t^k]$		
						$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	$Q_{qqu}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
						$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{qqq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jkn} \varepsilon_{km} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^{\gamma m})^T C l_t^n]$		
						$Q_{lequ}^{(1)}$	$(\bar{l}_p e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	$Q_{duu}$	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
						$Q_{lequ}^{(3)}$	$(\bar{l}_p \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				

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$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{\Lambda^2} Q_i^{(6)} + \dots$$

B. Grzadkowski et al., 1008.4884  
 W. Buchmuller, D. Wyler, Nucl. Phys. B268 (1986) 621-653

We will parametrise the SMEFT using

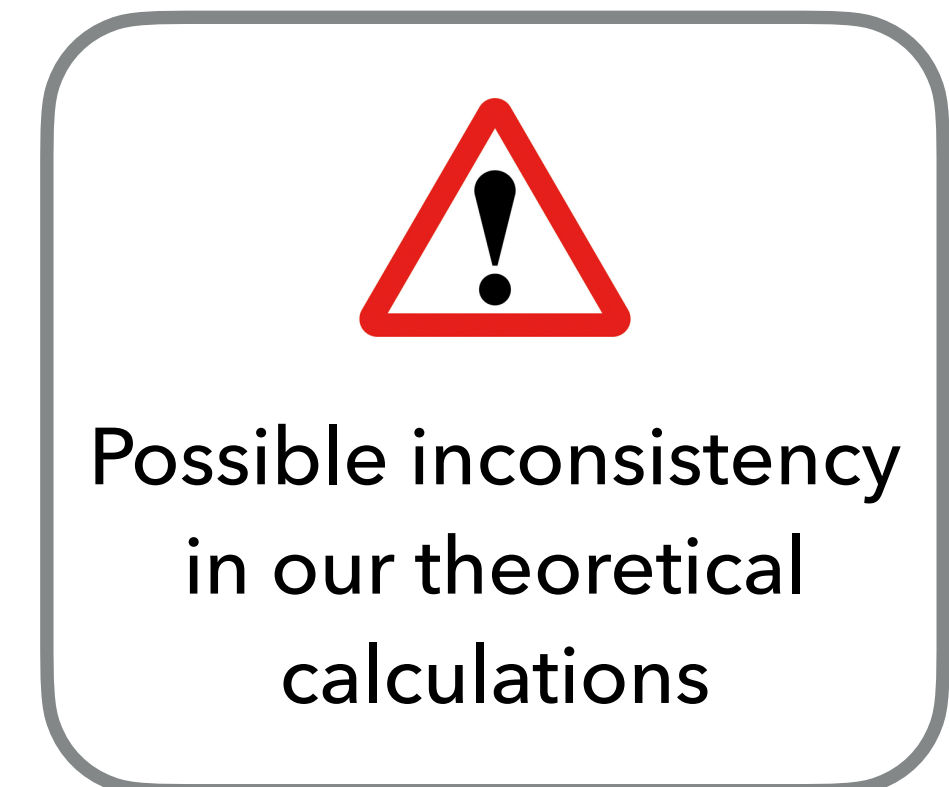
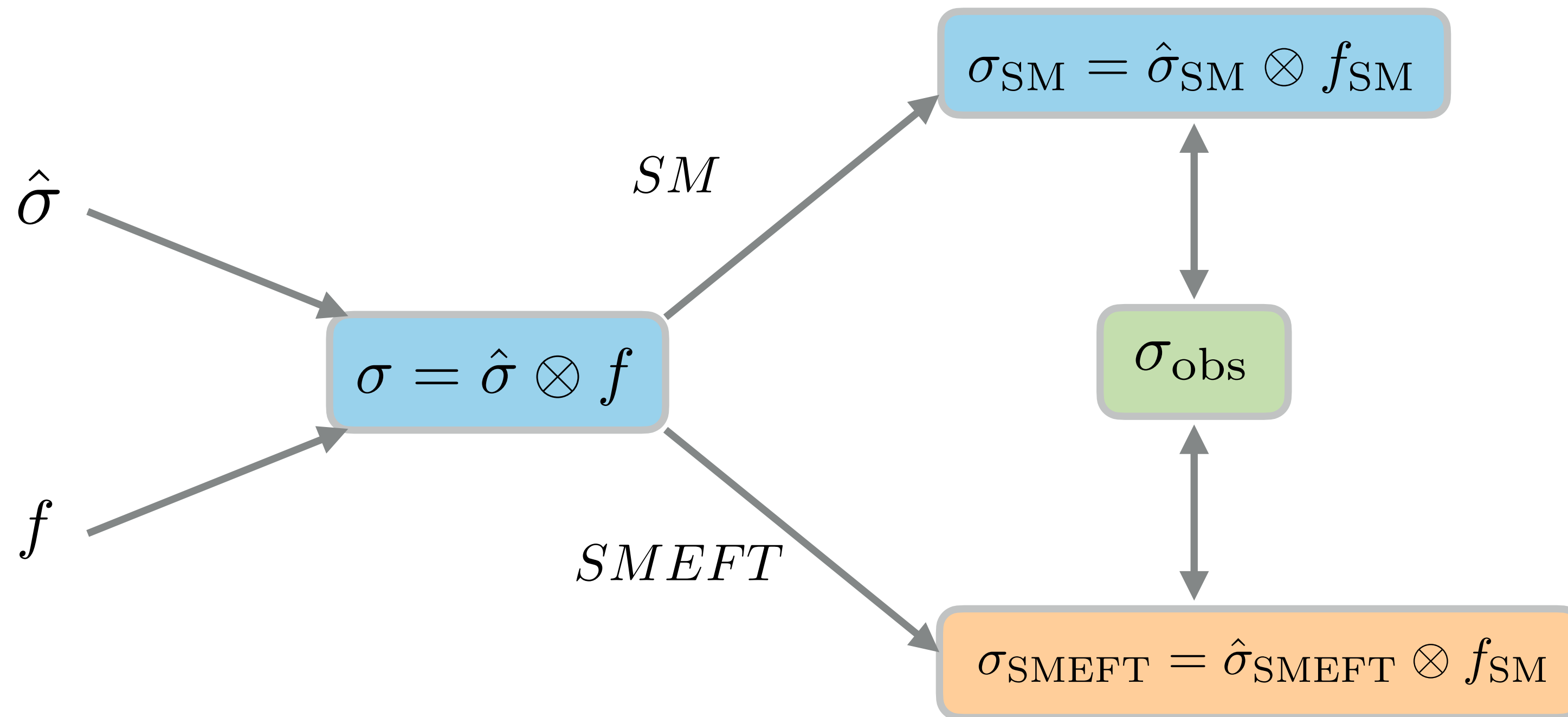
(SMEFT operators)

How can PDFs be related to the SMEFT?

$X^3$		$\varphi^6$ and		$(\bar{L}L)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
$Q_G$	$f^{ABC} G_{\mu}^{A\nu} G_{\nu}^{B\rho} G_{\rho}^{C\mu}$	$Q_{\varphi}$	$(\varphi^\dagger \varphi)^3$	$Q_{le}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{e}_s \gamma^{\mu} e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{e}_s \gamma^{\mu} e_t)$
$Q_{\bar{G}}$	$f^{ABC} \tilde{G}_{\mu}^{A\nu} G_{\nu}^{B\rho} G_{\rho}^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \Box \varphi)$	$Q_{lu}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{u}_s \gamma^{\mu} u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{u}_s \gamma^{\mu} u_t)$
$Q_W$	$\epsilon^{IJK} W_{\mu}^{I\nu} W_{\nu}^{J\rho} W_{\rho}^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D_{\mu} \varphi)^2$	$Q_{ld}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{d}_s \gamma^{\mu} d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_{\mu} l_r)(\bar{d}_s \gamma^{\mu} d_t)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_{\mu}^{I\nu} W_{\nu}^{J\rho} W_{\rho}^{K\mu}$			$Q_{qe}$	$(\bar{q}_p \gamma_{\mu} q_r)(\bar{e}_s \gamma^{\mu} e_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_{\mu} q_r)(\bar{e}_s \gamma^{\mu} e_t)$
$X^2 \varphi^2$		$\psi^2$		$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		$B$ -violating	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{leq}$	$(\bar{l}_p e_r) \epsilon_{jk} (\bar{q}_s^k d_t)$	$Q_{duq}$	$\epsilon^{\alpha\beta\gamma} \epsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^{\gamma j})^T C l_t^k]$
$Q_{\varphi \bar{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_{\mu}^I \varphi) (\bar{l}_p \tau^I \gamma^{\mu} l_r)$	$Q_{quq}$	$\epsilon^{\alpha\beta\gamma} \epsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^{\gamma})^T C e_t]$
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$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\varphi^\dagger \overleftrightarrow{D}_{\mu} \varphi) (\bar{u}_p \gamma^{\mu} d_r)$		

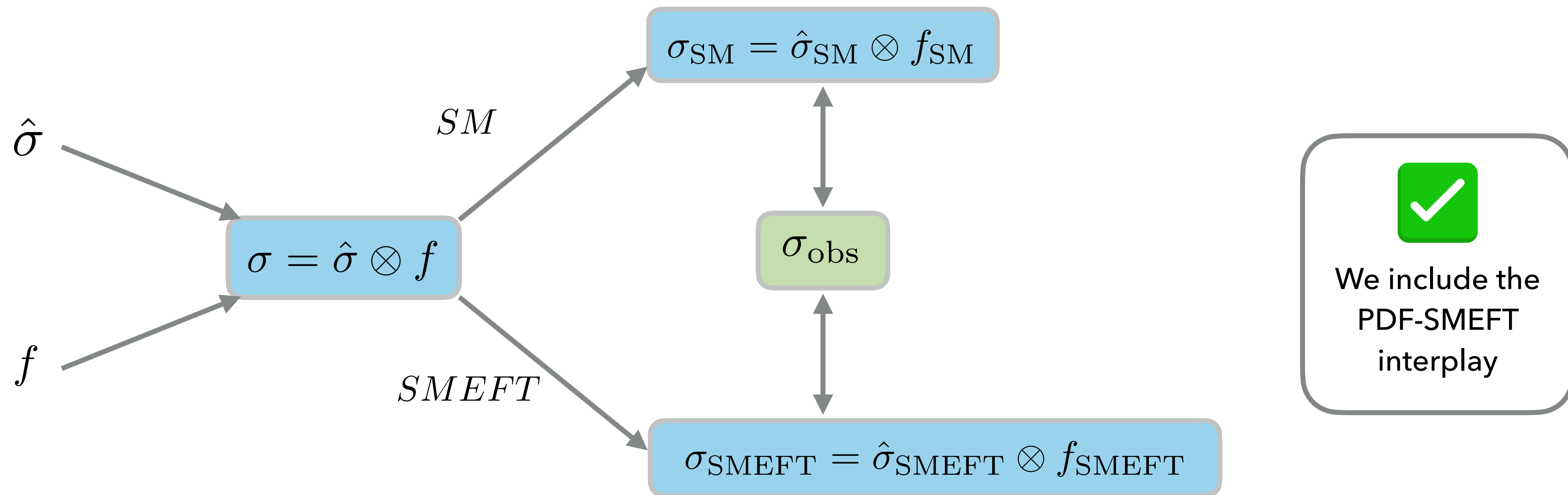
# PDFS AND SMEFT

From partonic cross sections and PDFs to observables:

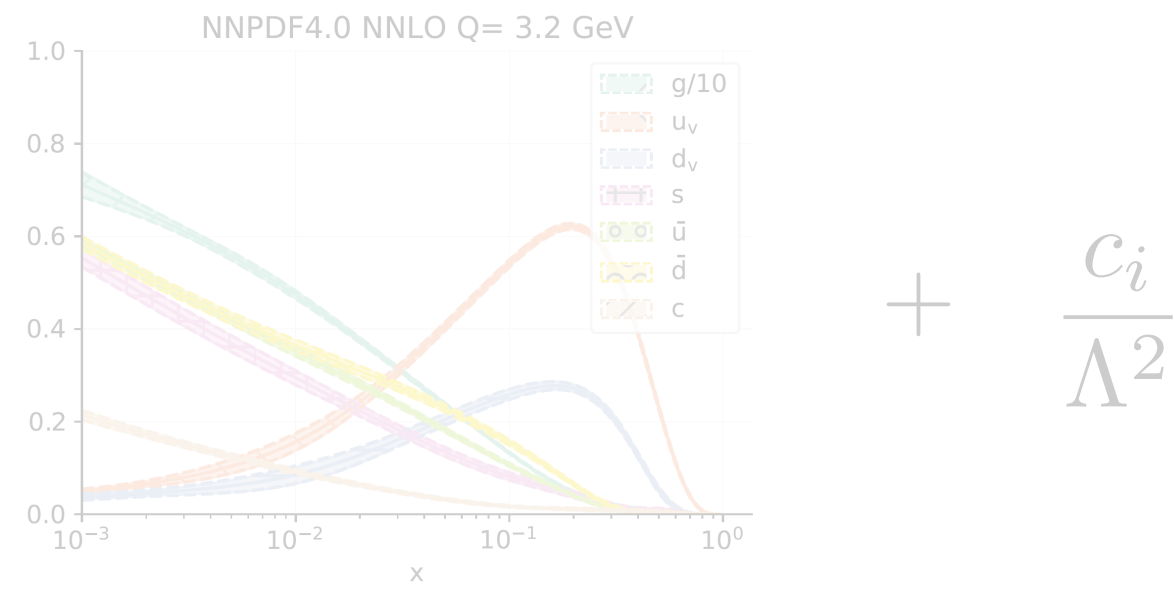


# PDFS AND SMEFT

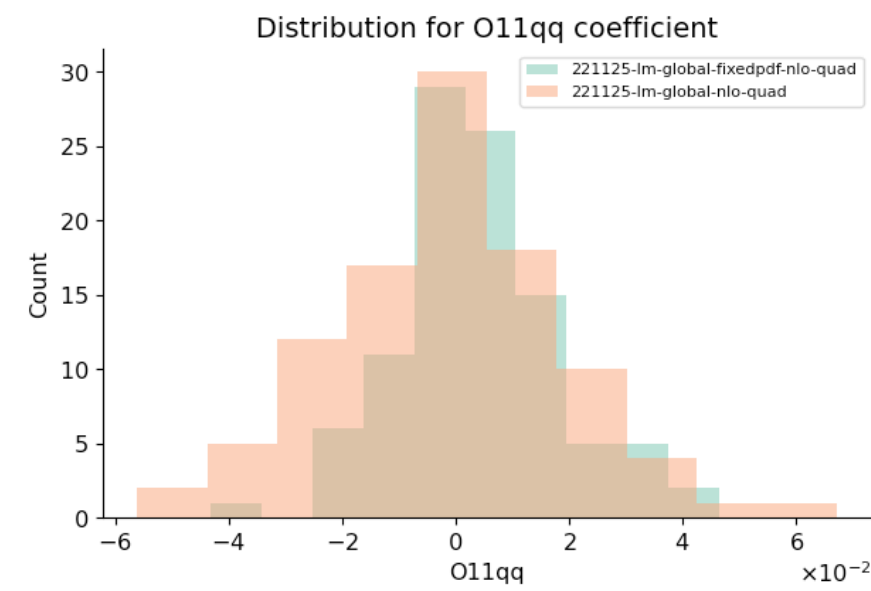
From partonic cross sections and PDFs to observables:



# OUTLINE



Background: PDFs and SMEFT



PDF-SMEFT interplay - DY & Top



Conclusions and outlook

# EXTRACTION OF PHYSICAL PARAMETERS FROM DATA

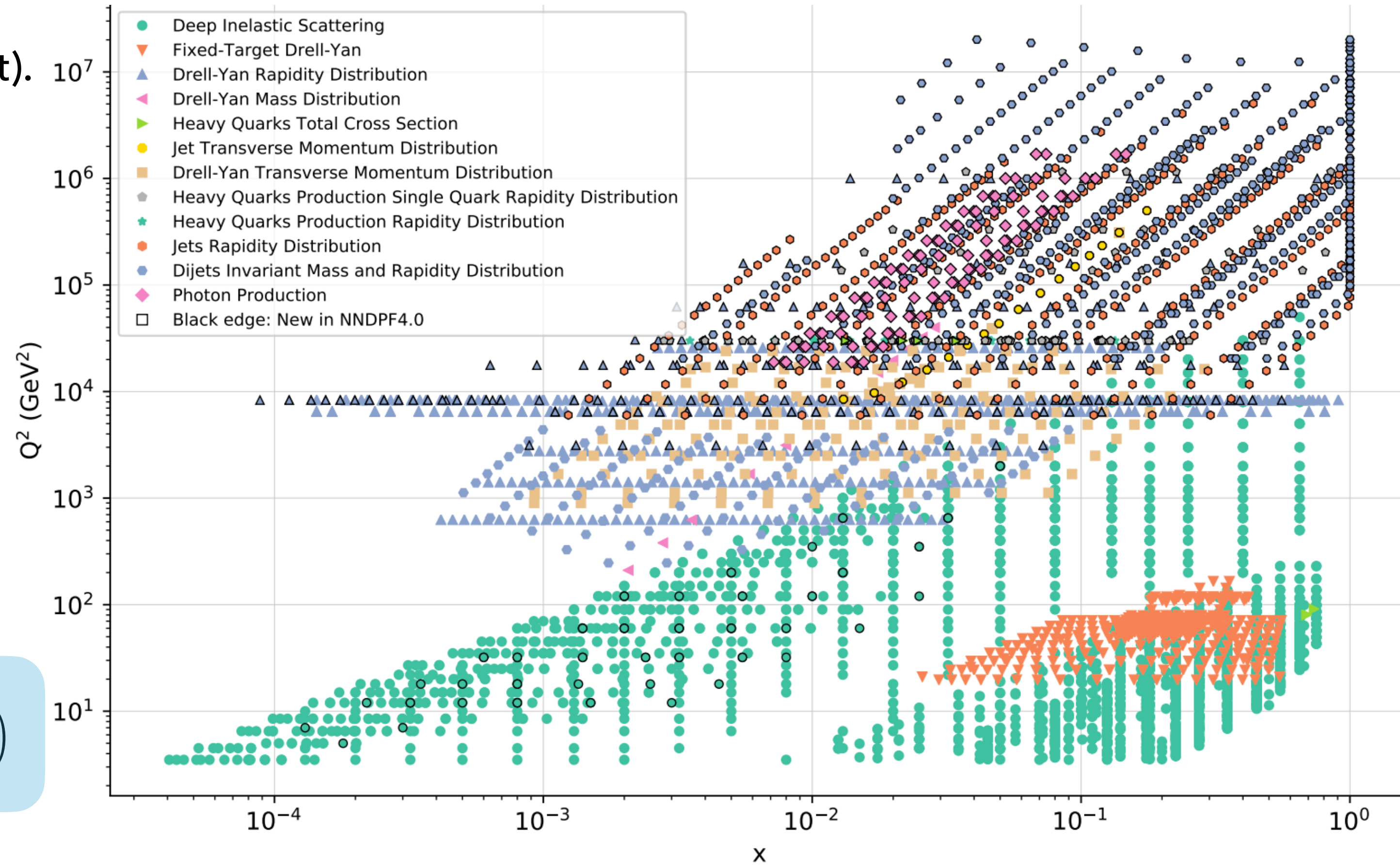
PDFs cannot be calculated from first principles (yet).  
They have to be extracted from data.

$$f \rightarrow f(\{\theta\})$$

SMEFT predictions depend on  $\{c\}$ .

We extract the parameters  $\{\theta\}$  and  $\{c\}$   
that minimise

$$\chi^2 = \frac{1}{N_{dat}} \sum_i^{N_{dat}} \left( T_i(\{\theta\}, \{c\}) - D_i \right) \text{cov}_{ij}^{-1} \left( T_j(\{\theta\}, \{c\}) - D_j \right)$$



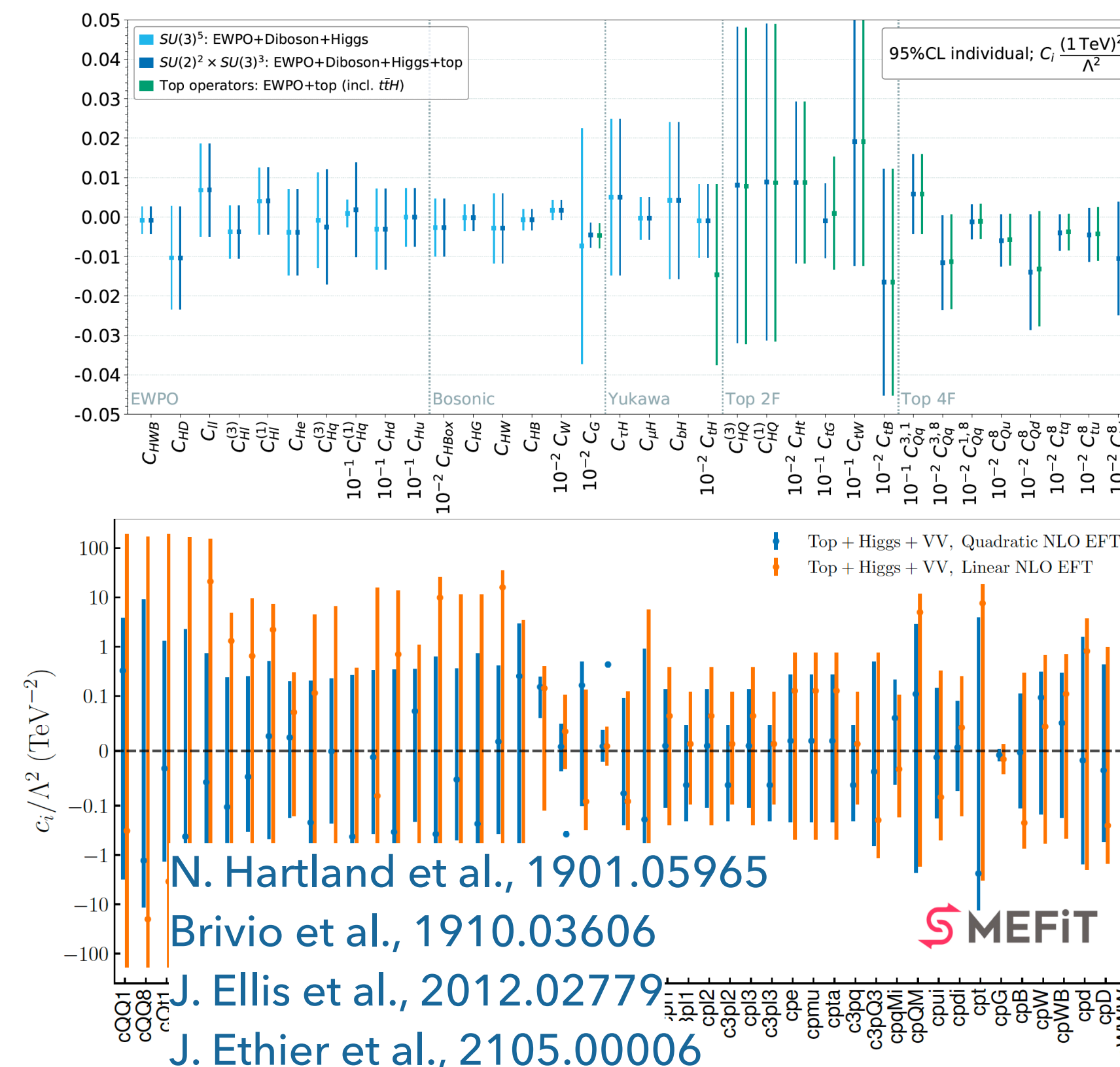
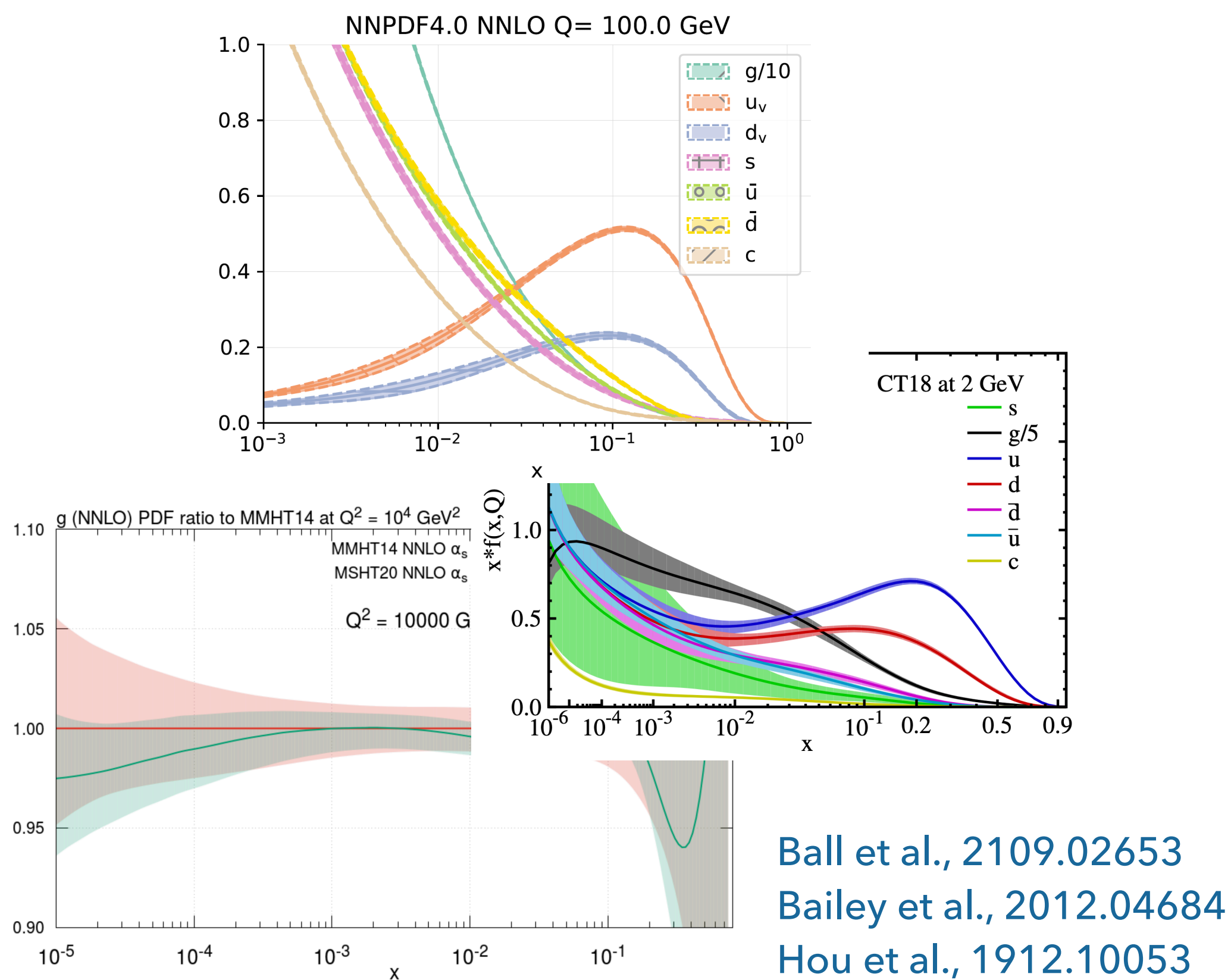
Ball et al. arXiv: 2109.02653

# EXTRACTION OF PHYSICAL PARAMETERS FROM DATA

In this way we obtain

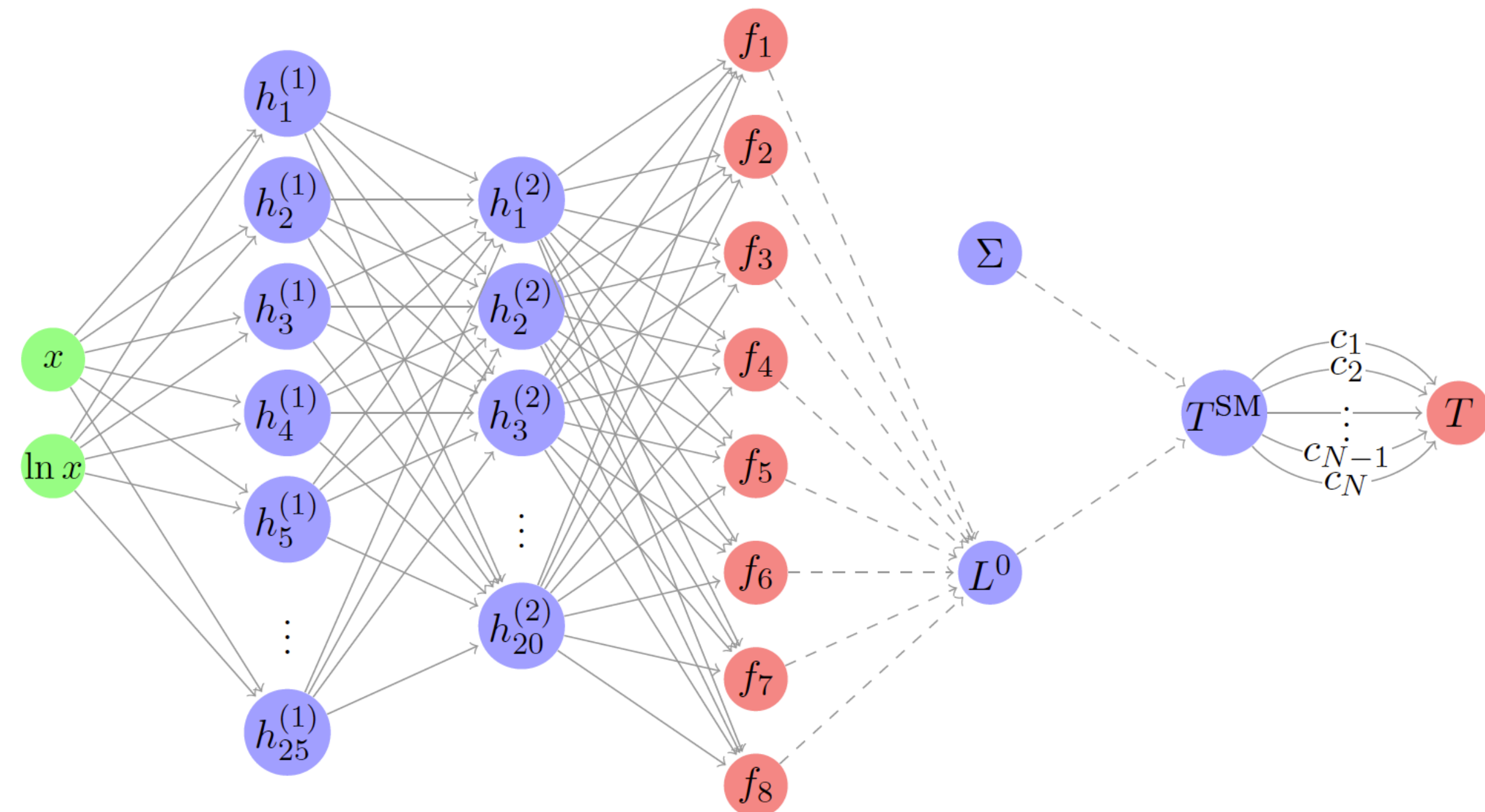
PDFs

SMEFT Wilson coefficients



# SIMULTANEOUS PDF-SMEFT FITS: SIMUNET

- Methodology based on NNPDF4.0. It uses neural networks to perform SM PDF fits by optimising  $\theta$
- It takes the SM prediction  $T^{SM}$  and maps into  $T$  by calling on the  $\{c_i\}$  trainable parameters (Wilson coefficients)
- The parameters  $\{c_i\}$  and the PDF parameters  $\theta$  can be optimised simultaneously



Iranipour, Ubiali, 2201.07240



# PDF-SMEFT FITS IN DRELL-YAN DATA

The PDF-SMEFT interplay is not negligible.

Greljo et al, 2104.02723

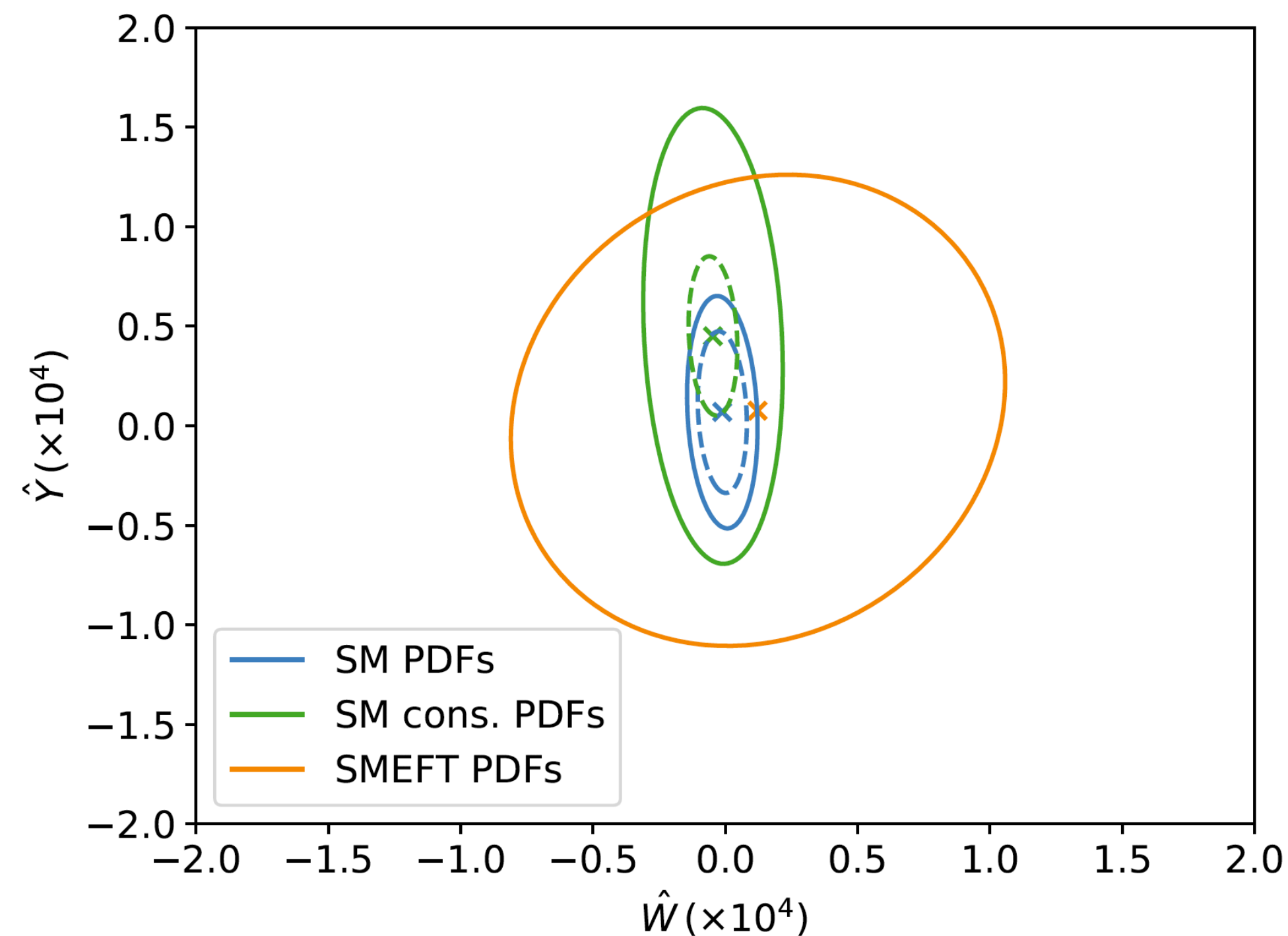
Carrazza et al, 1905.05215

Greljo et al, 2104.02723

Liu et al, 2201.06586

CMS 2111.10431

$$\mathcal{L}_{\text{SMEFT}} \supset \mathcal{L}_{\text{SM}} - \frac{W}{4m_W^2} (D_\rho W_{\mu\nu}^a)^2 - \frac{Y}{4m_W^2} (\partial_\rho B_{\mu\nu})^2$$



- Failing to consider the PDF-SMEFT interplay can lead to an overestimation of the constraints on the Wilson coefficients

# PDF-SMEFT FITS IN TOP DATA

N. Hartland et al., 1901.05965  
 Brivio et al., 1910.03606  
 J. Ellis et al., 2012.02779  
 J. Ethier et al., 2105.00006

- The top sector has received a lot of attention over the last couple of years
- We study the PDF-SMEFT interplay in the top sector
- Considerable effort has been made to include the broadest set of top data points from Runs I and II:

~200 data points from  
 ATLAS and CMS!

- We study the effect of 25 SMEFT operators (linear and quadratic contributions)

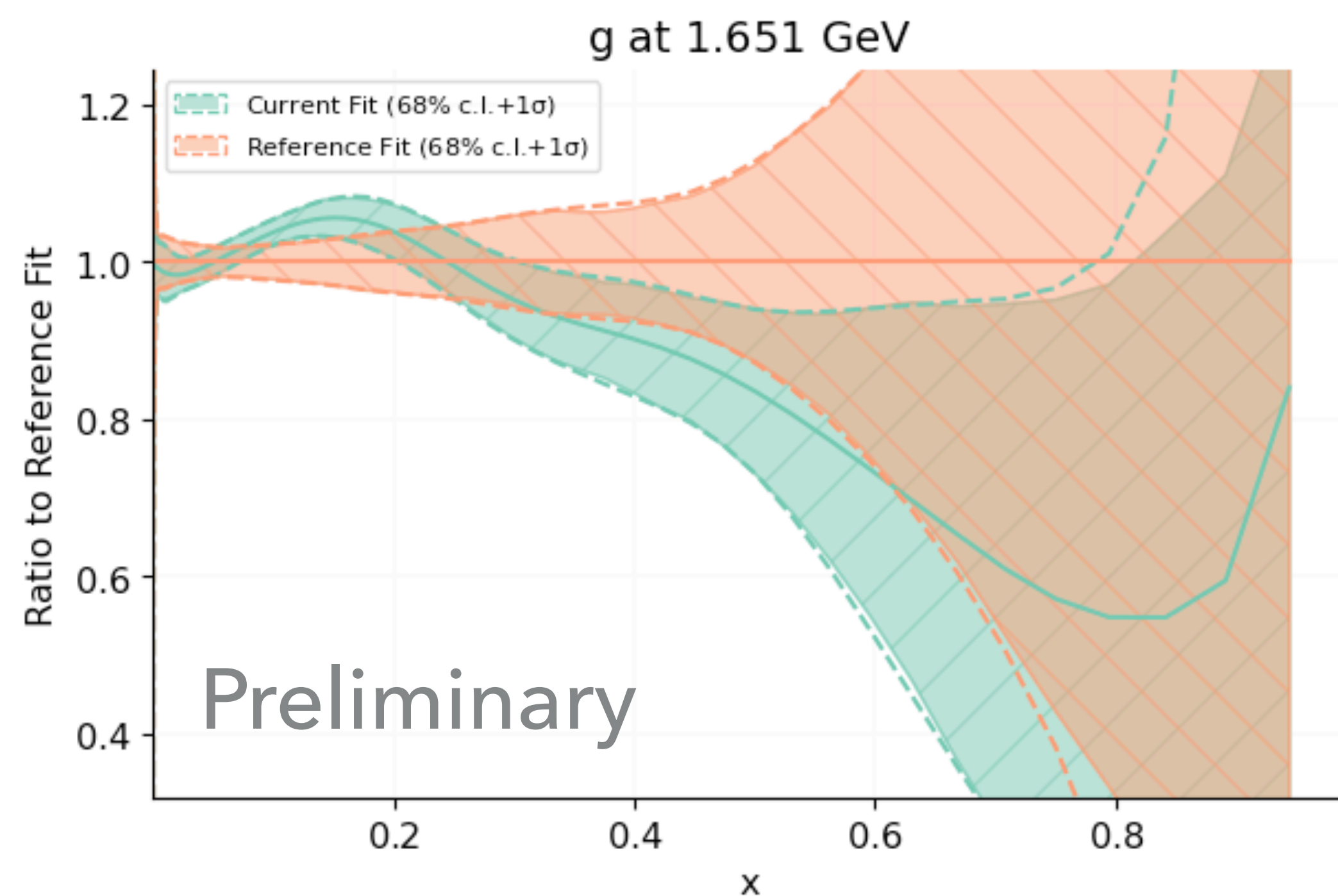
$$\sigma = \sigma^{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} \sigma_i^{\text{SMEFT}} + \sum_{i,j} \frac{c_i}{\Lambda^2} \frac{c_j}{\Lambda^2} \sigma_{ij}^{\text{SMEFT}}$$

# PDF-SM FITS IN TOP DATA

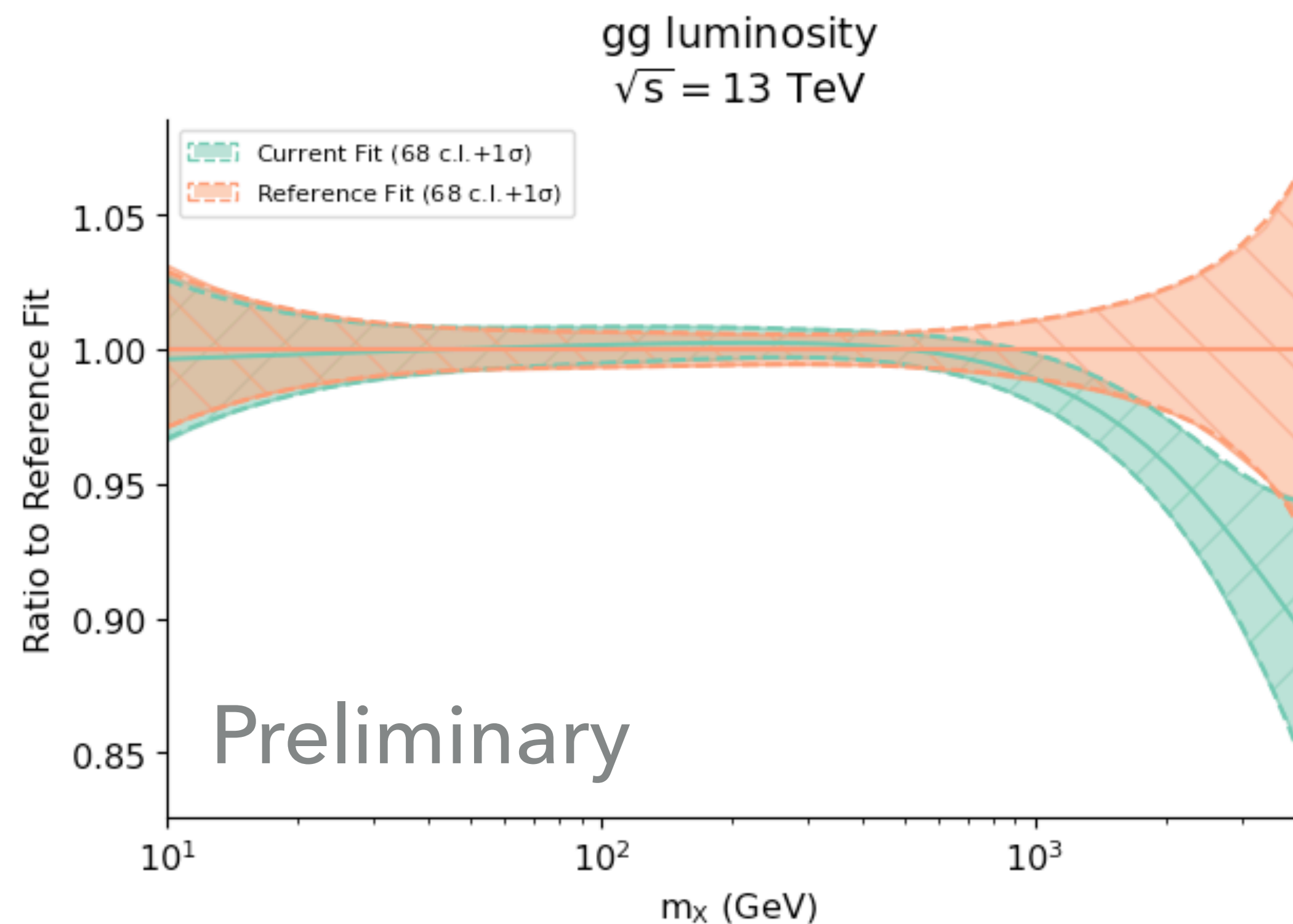
- With the new data, the SM PDFs change.

Orange: no-top data

Green: including top data



$$\mathcal{L}_{ij}(\tau, M_X^2) = \int_{\tau}^1 \frac{dx}{x} f_i(x, M_X^2) f_j(\tau/x, M_X^2)$$



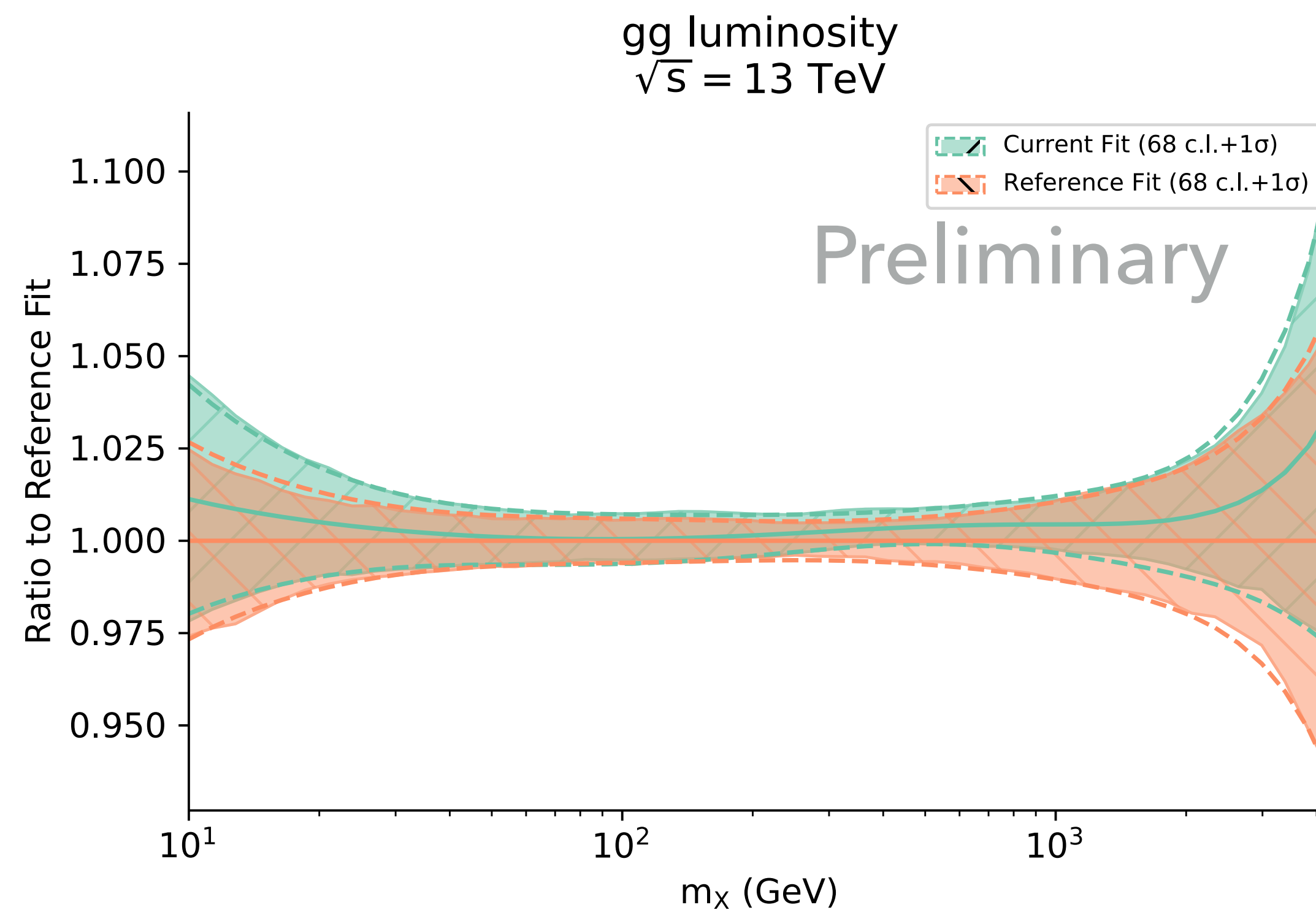
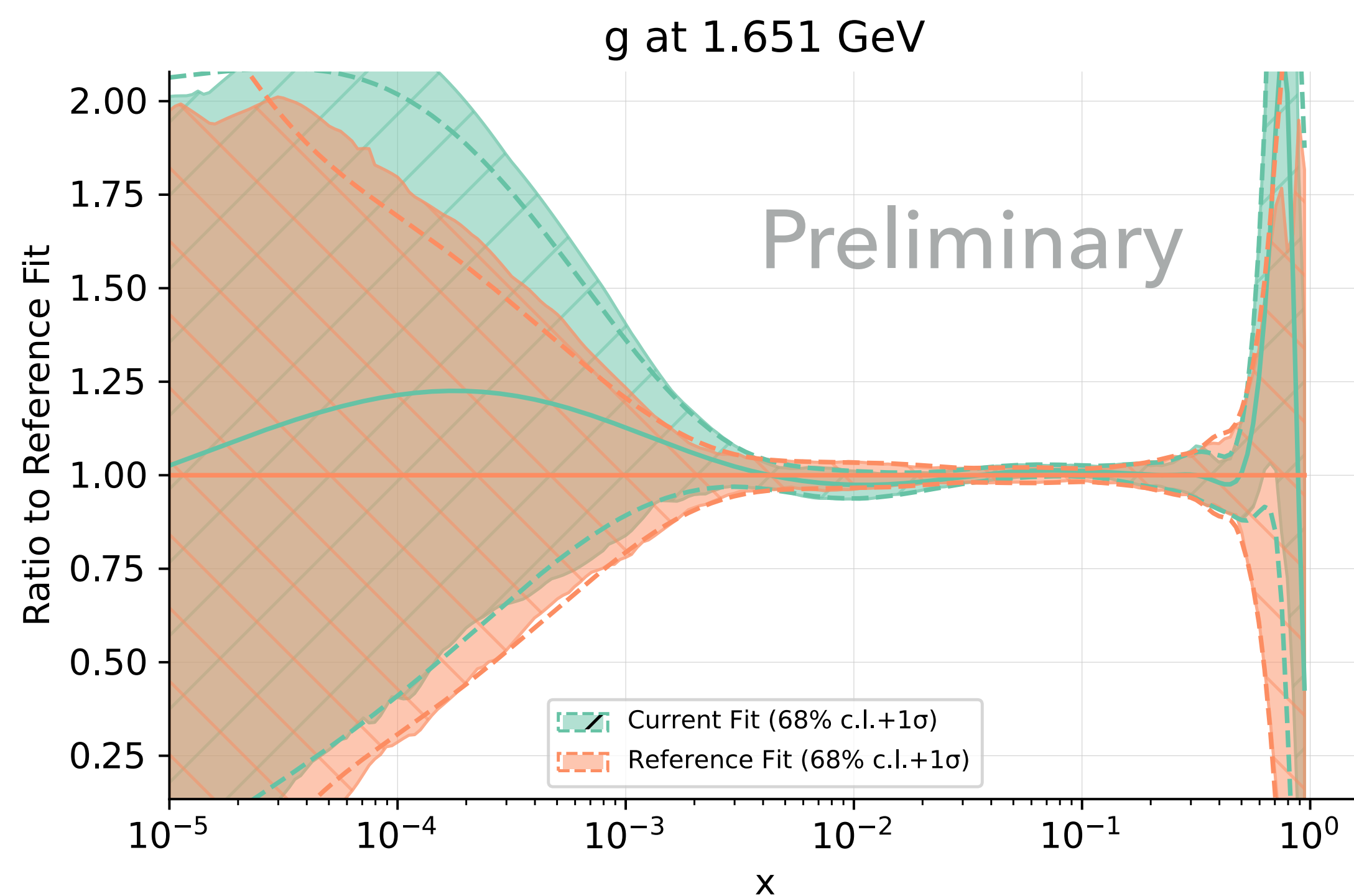
# PDF-SMEFT FITS IN TOP DATA

- The PDFs change when we perform a simultaneous fit with Wilson coefficients

Green: PDF-only fit

Orange: PDF-SMEFT simultaneous fit

(With global top dataset)

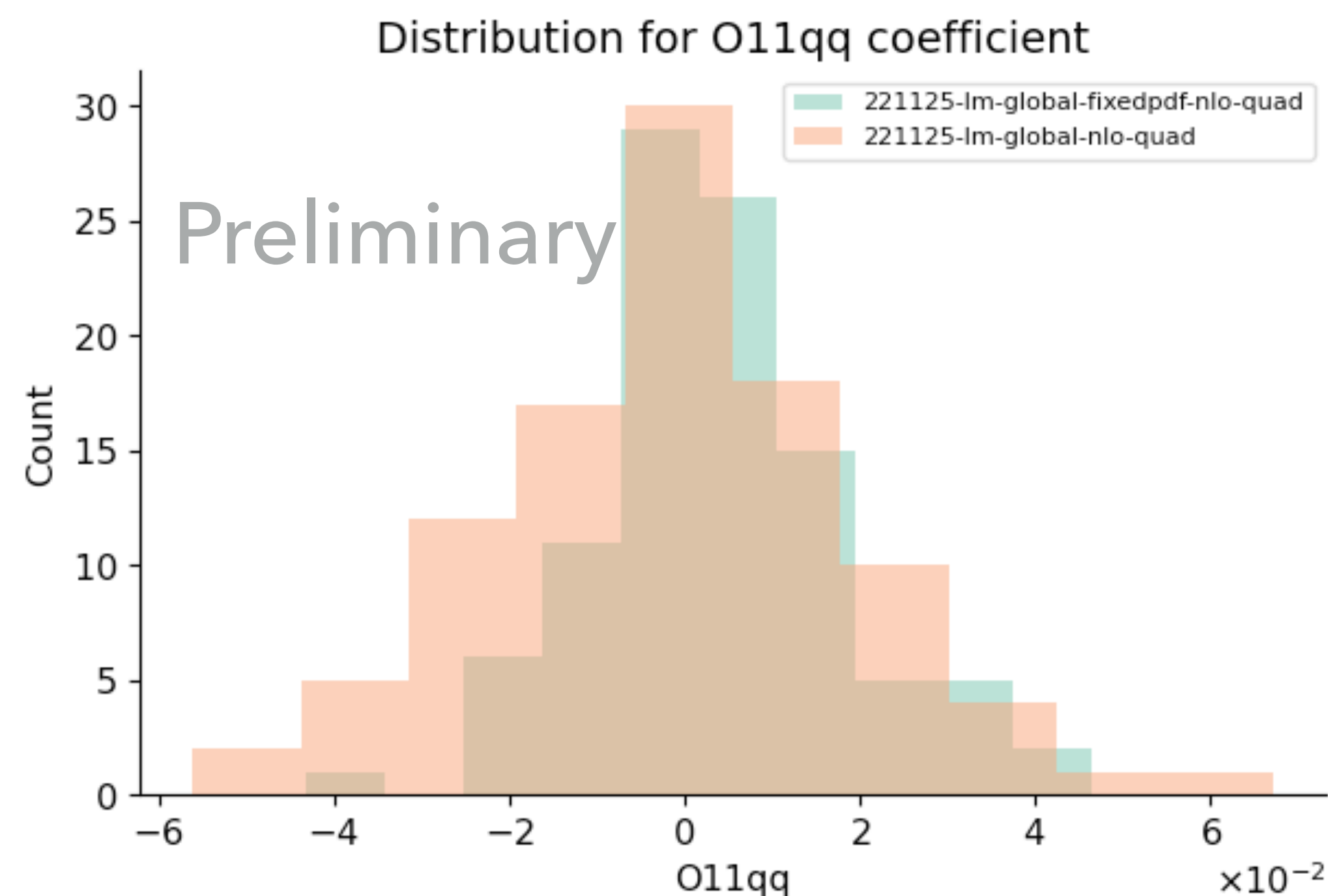
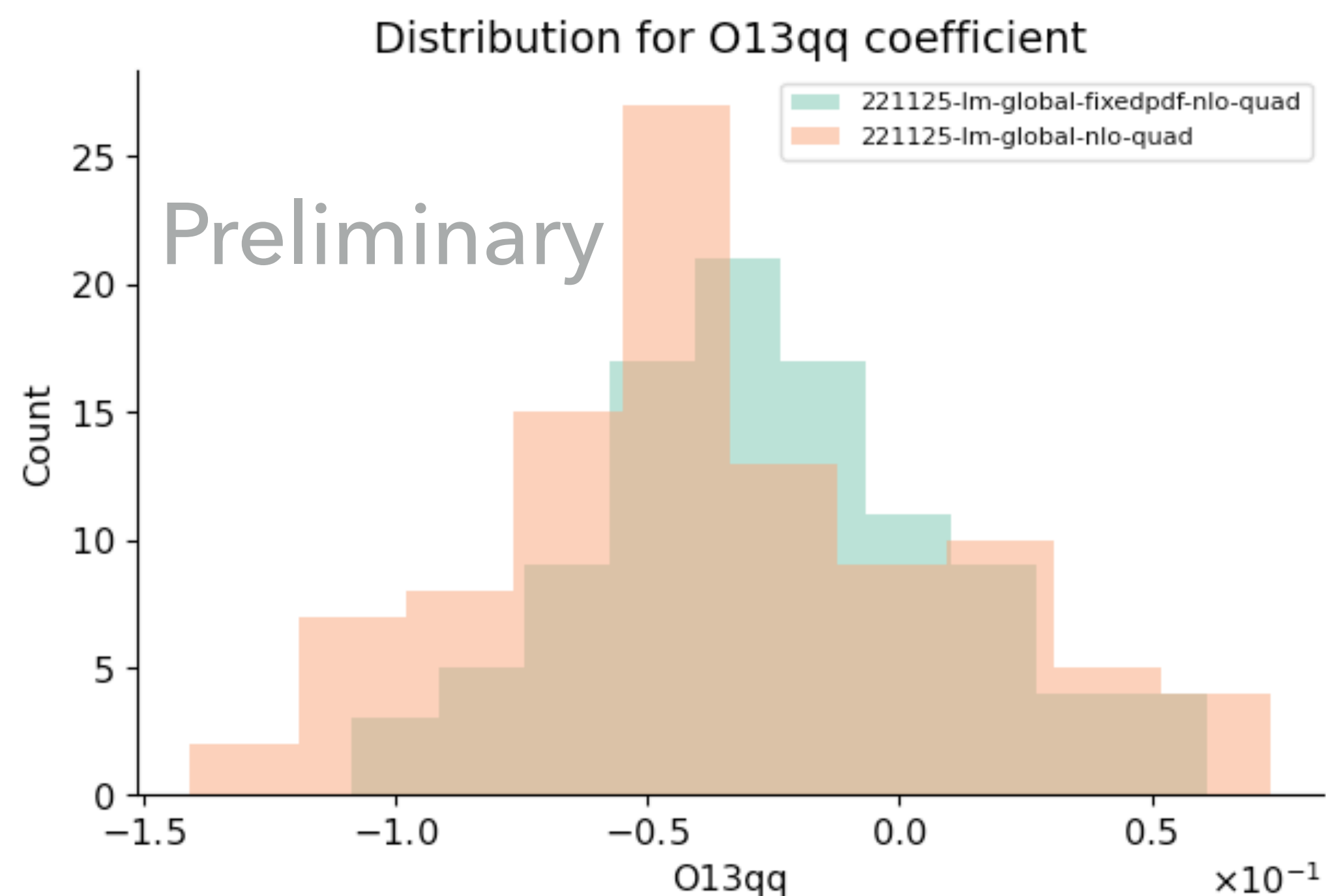


# PDF-SMEFT FITS IN TOP DATA

- In a simultaneous PDF-SMEFT fit the constraints on the Wilson are slightly relaxed ( $\sim 20\%$  broadening)

Green: fixed PDF fit

Orange: simultaneous PDF-SMEFT fit

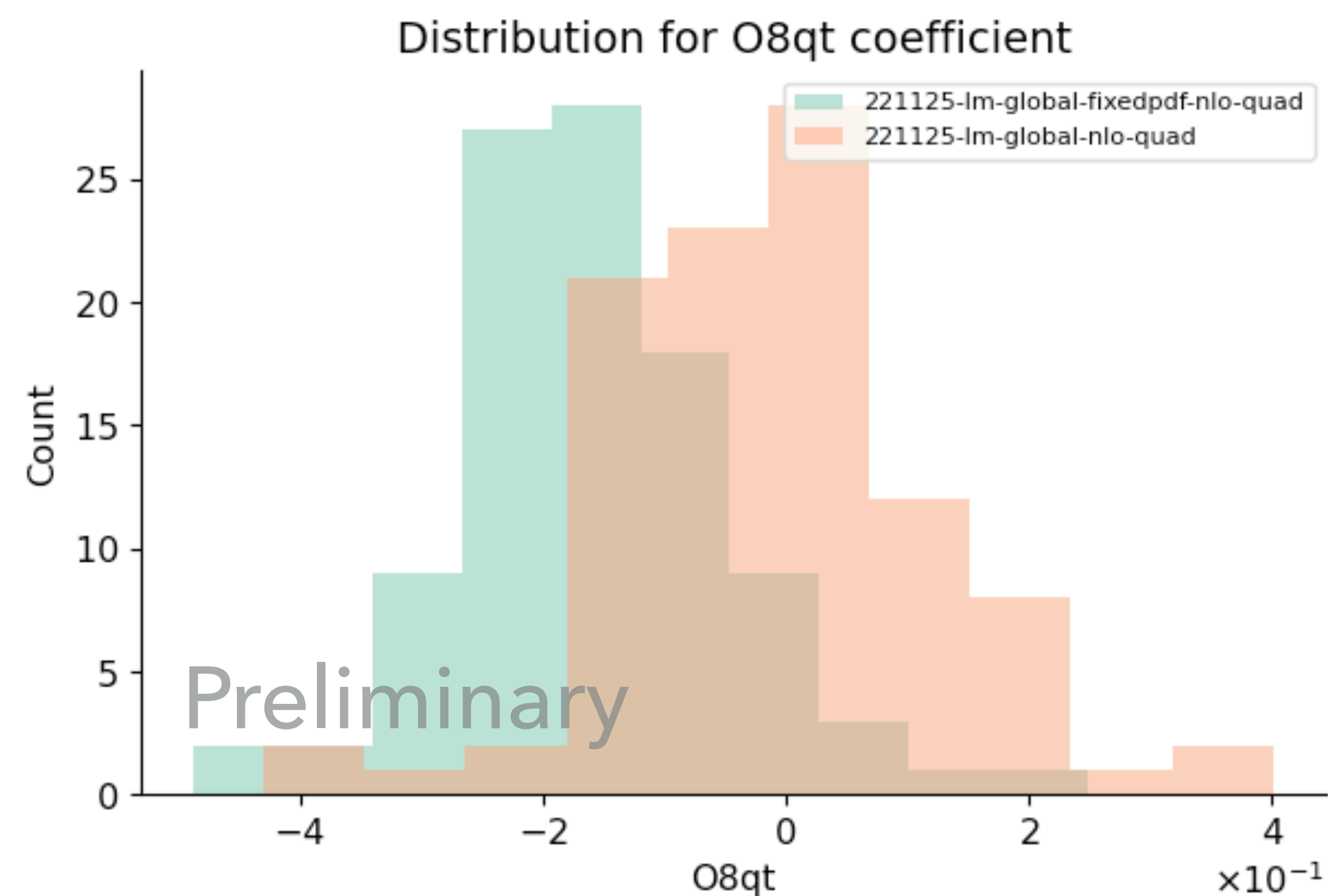
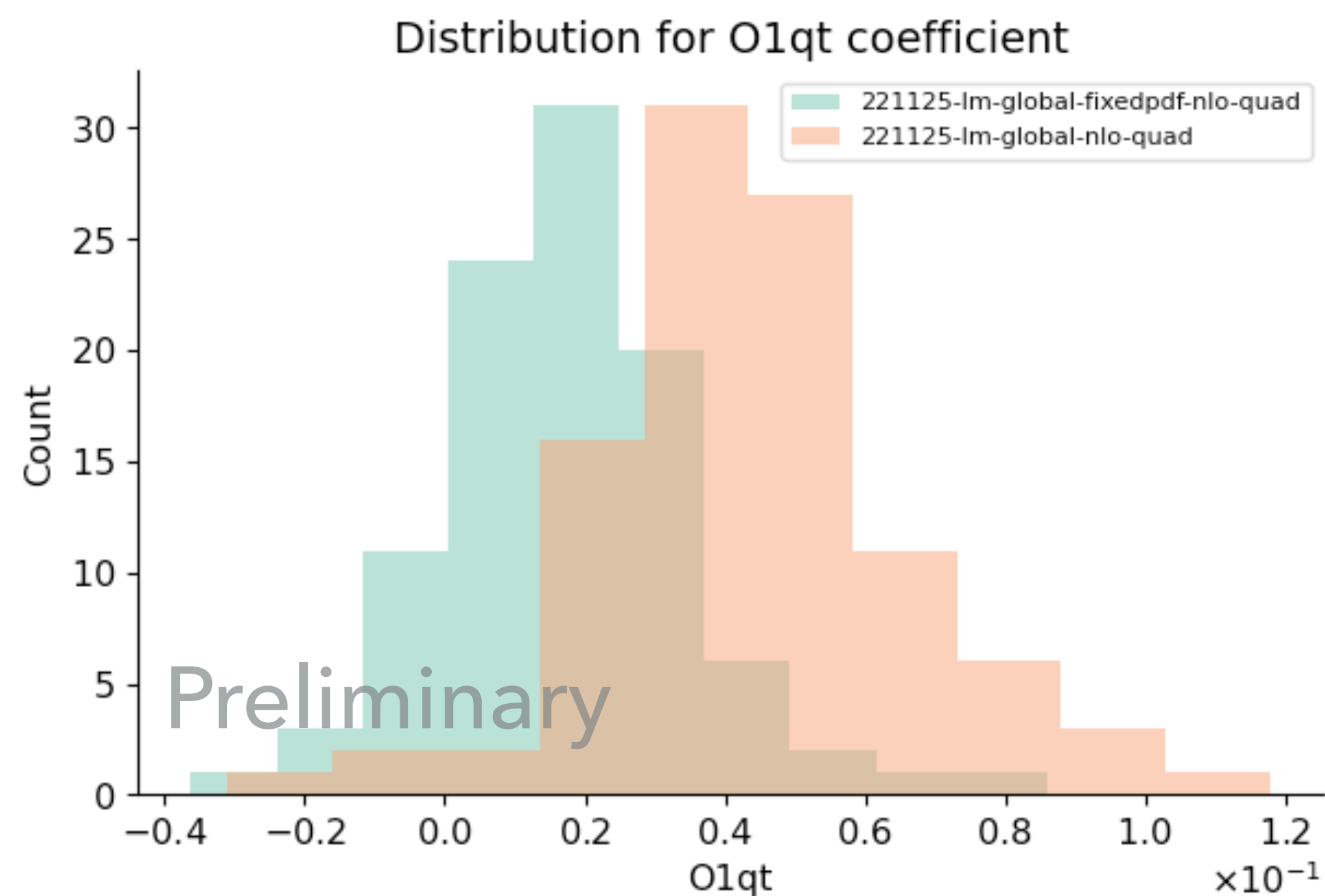


# PDF-SMEFT FITS IN TOP DATA

- In a simultaneous PDF-SMEFT fit the central values of the Wilson coefficients can shift

Green: fixed PDF fit

Orange: simultaneous PDF-SMEFT fit

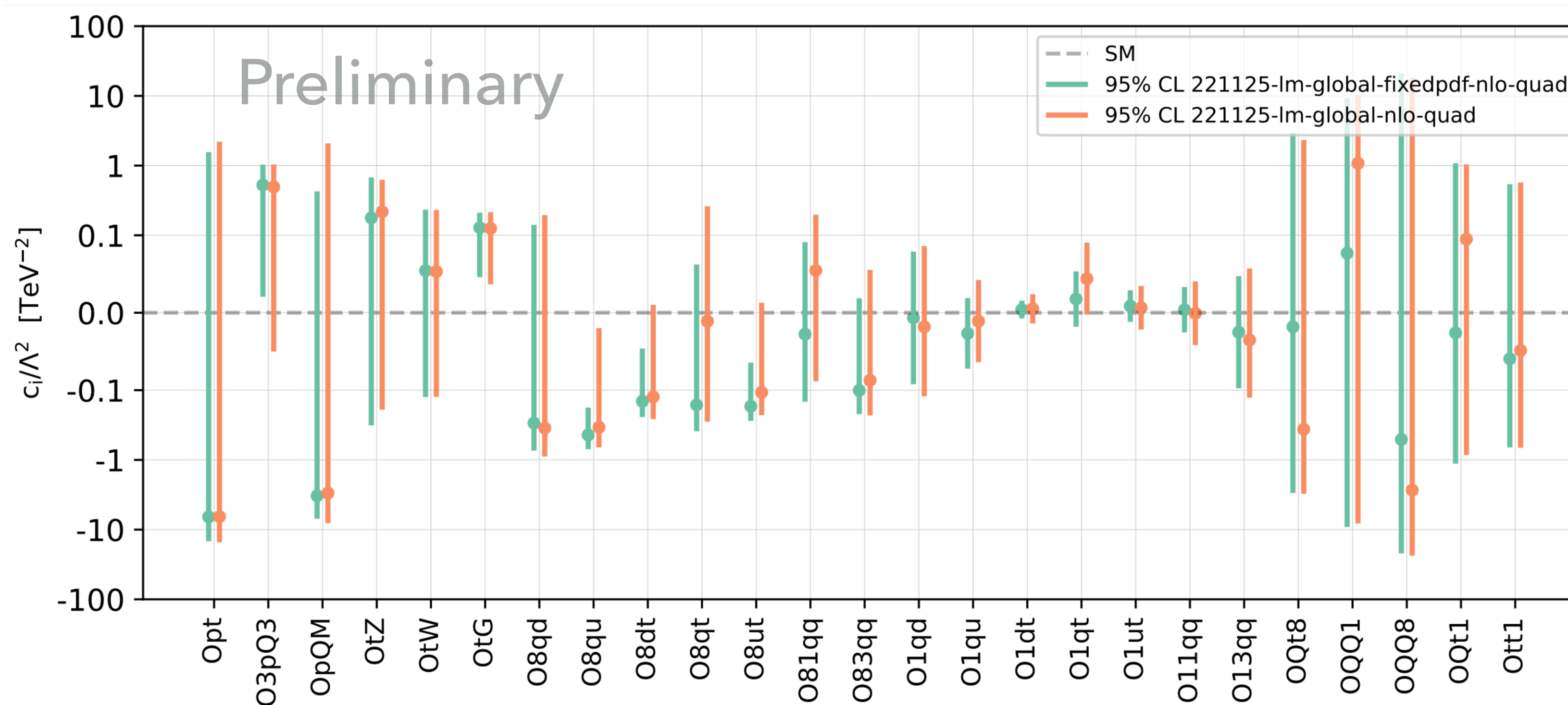


# PDF-SMEFT FITS IN TOP DATA

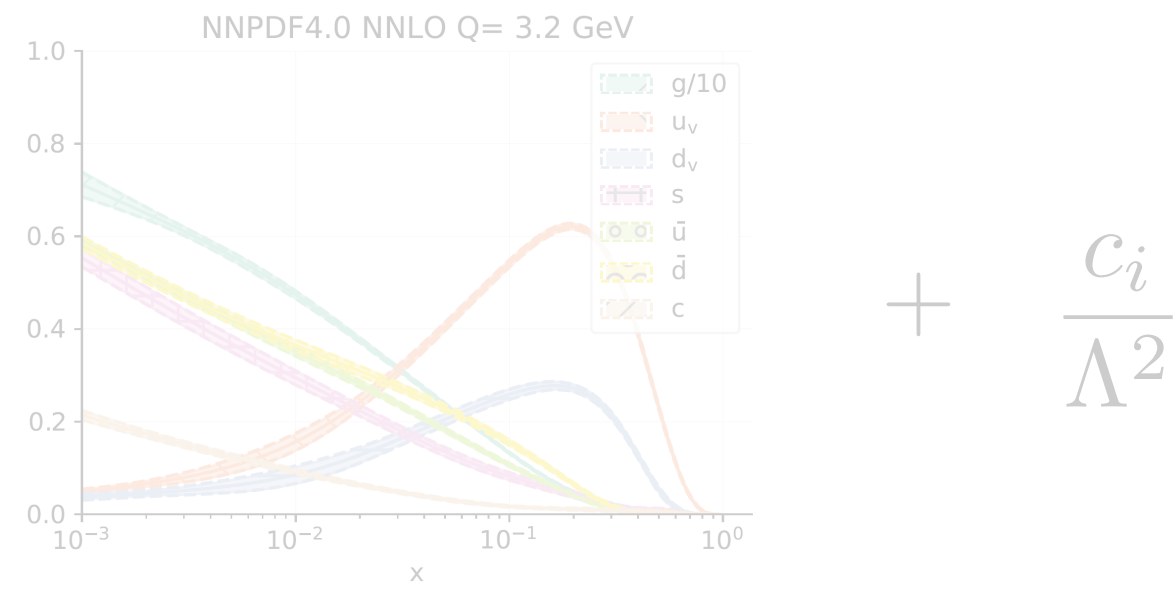
- We constrain 25 SMEFT operators

Green: fixed PDF fit

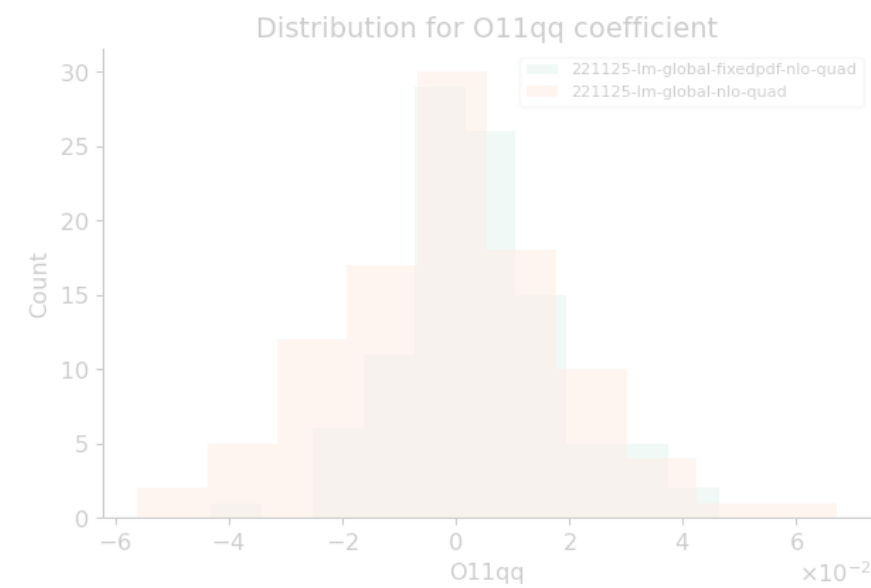
Orange: simultaneous PDF-SMEFT fit



# OUTLINE



Background: PDFs and SMEFT



PDF-SMEFT interplay - DY & Top



Conclusions and outlook



# CONCLUSIONS AND OUTLOOK

- We discussed the PDF-SMEFT interplay:

- We have developed SIMUnet: a methodology to perform simultaneous PDF-SMEFT fits and fixed-PDF SMEFT fits
- The PDF-SMEFT interplay has to be addressed in BSM searches
- We are currently working in the top sector: fitting 25 operators with the broadest dataset up-to-date

- In the future:

- Assess other sectors (EW, top, Higgs, ...)
- Include RGE effects
- Map constraints to UV theories
- ...

Thank you for your attention