

# Could Reggeon Field theory serve as effective theory for QCD at high energies?

(Project and first results)

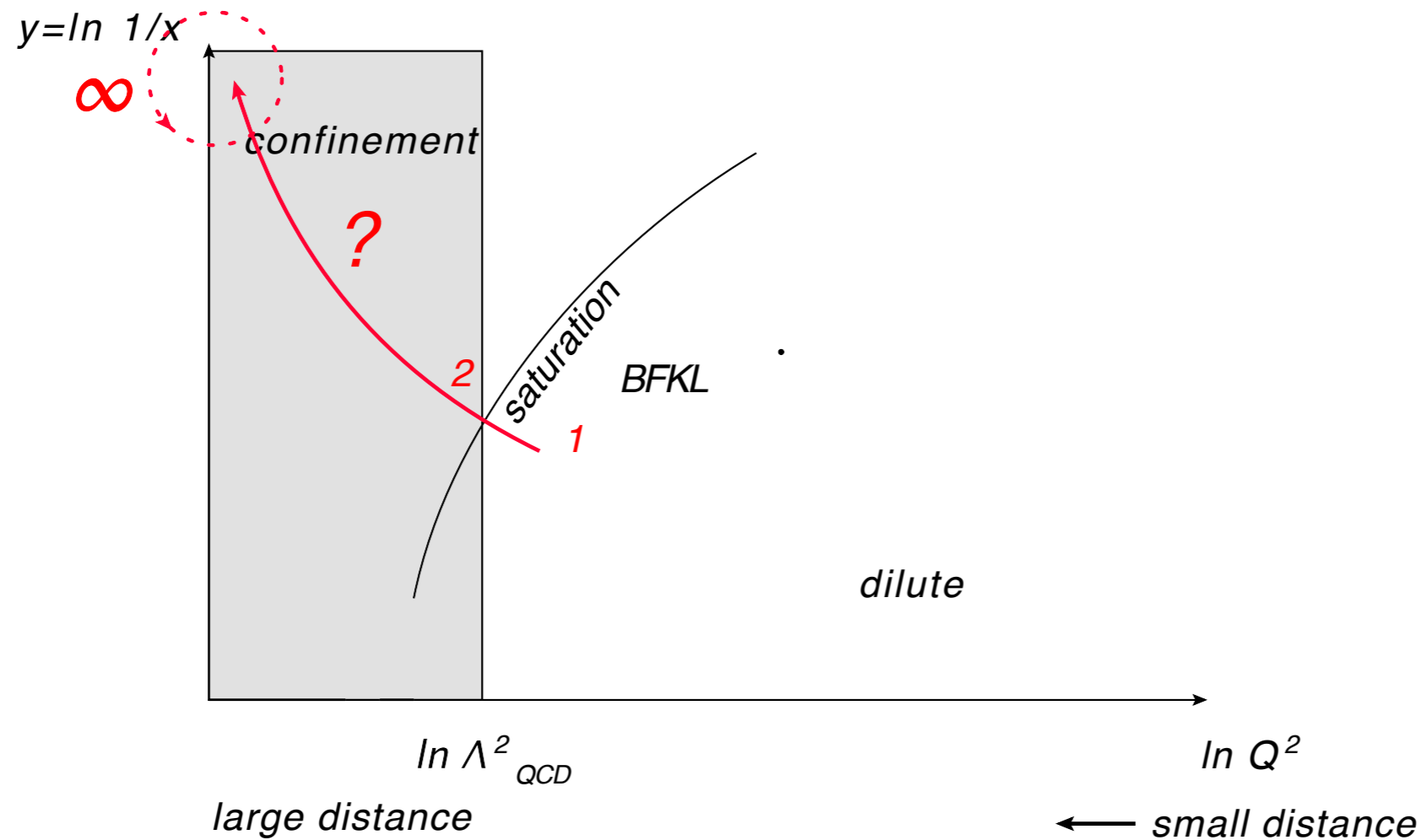
Collaboration with C.Contreras and G.P.Vacca

hep-th/1512.07182  
hep-th/1411.6670

- Introduction
- Flow equations, search for fixed points
- First results of fixed point analysis
- First glimpse at physics
- Conclusions

# Introduction

Question: how to continue small-x physics from pQCD to the nonperturbative region?



Regge description: 2+1-dimensional field theory

- (1) short distance region: BFKL, reggeon field theory of reggeized gluons
- (2) saturation: nonlinear BK-equation (fan diagrams)
- ( $\infty$ ) soft region (large distances): Regge poles (DL, Kaidalov, Tel Aviv, Durham)

Attractive idea:

use reggeon field theory and renormalization group,  
construct a flow from UV scale to IR scale

$$S = \int dy d^2x \mathcal{L}(\psi, \psi^\dagger)$$

e.g. local approximation:  $\mathcal{L} = (\frac{1}{2}\psi^\dagger \overleftrightarrow{\partial}_y \psi - \alpha' \psi^\dagger \nabla^2 \psi) + V(\psi, \psi^\dagger)$

$$V(\psi, \psi^\dagger) = -\mu \psi^\dagger \psi + i\lambda \psi^\dagger (\psi^\dagger + \psi) \psi \\ + g(\psi^\dagger \psi)^2 + g' \psi^\dagger (\psi^{\dagger 2} + \psi^2) \psi + \dots$$

Study the flow as function of IR cutoff  $k$  in transverse momentum,  
all fields and parameters become  $k$ -dependent,  
IR limit: infinite transverse momenta, infinite energies

# The formalism: functional renormalization, flow equations

Reminder: **Wilson approach**

The standard Wilsonian action is defined by an iterative change in the **UV-cutoff** induced by a partial integration of quantum fluctuations:

$$\Lambda \rightarrow \Lambda' < \Lambda$$
$$\int [d\varphi]^\Lambda e^{-S^\Lambda[\varphi]} = \int [d\varphi]^{\Lambda'} e^{-S^{\Lambda'}[\varphi]} \quad k < \Lambda$$

Alternatively: **FRG-approach (Wetterich), sequence of theories, IR cutoff**

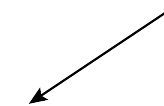
(successful use in statistical mechanics and in gravity)

define a bare theory at scale  $\Lambda$ .

The integration of the modes in the interval  $[k, \Lambda]$  defines a  $k$ -dependent average functional.

Letting  $k$  flowing down to 0 defines a flow for the functional which leads to full theory.  $k$ -dependent effective action:

$$e^{-\Gamma_k[\phi]} = \int [d\varphi] \mu_k e^{-S[\varphi] + \int_x (\varphi - \phi)_x \frac{\delta \Gamma_k[\phi]}{\delta \phi_x} - \Delta S_k[\varphi - \phi]}$$

*regulator* 

Taking a derivative with respect the RG time  $t = \log(k/k_0)$   
one obtains **flow equation**:

$$\partial_t \Gamma_k = \frac{1}{2} \text{Tr} \left[ \left( \Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} \partial_t \mathcal{R}_k \right] - \frac{\dot{\mu}_k}{\mu_k}$$

$\mathcal{R} =$  regulator operator

which is UV and IR finite

From this derive coupled differential equations for Green's and vertex functions (see below)

A comment on the role of transverse distances and cutoff in transverse momentum:

- 1) pp scattering at present energies: transverse extension grows with  $s$
  - 2) growth of total cross section varies with transverse size of projectiles
- BFKL in  $\gamma^* \gamma^*$ ,  $\gamma^* p$  in DIS, pp

**Trend: transverse size grows with energy,  
intercept decreases with size**

**→ IR cutoff in transverse momentum is not unphysical**

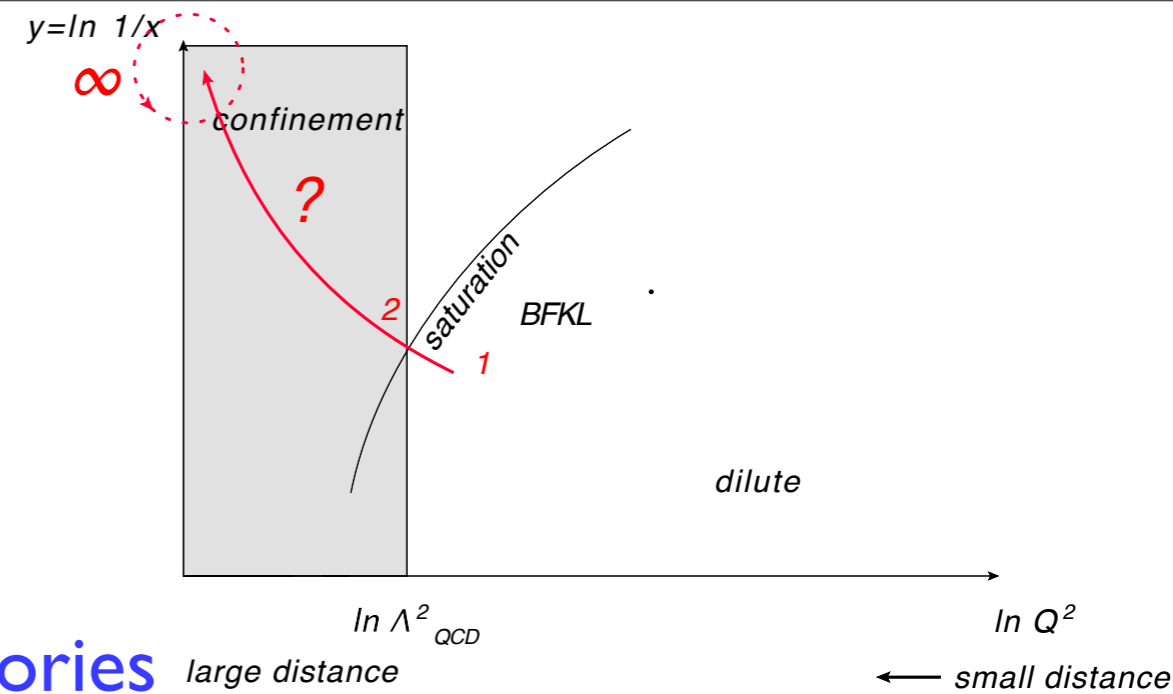
This talk:  
only the first steps

1) Existence of a theory in the IR limit:  
fixed point in the space of reggeon field theories  
Properties of the fixed point theory

2) How to approach the fixed point

Serious approximation: local approximation

in the UV, BFKL -Pomeron is **composite** field, nonlocal kernels



# Solve flow equations, search for fixed points

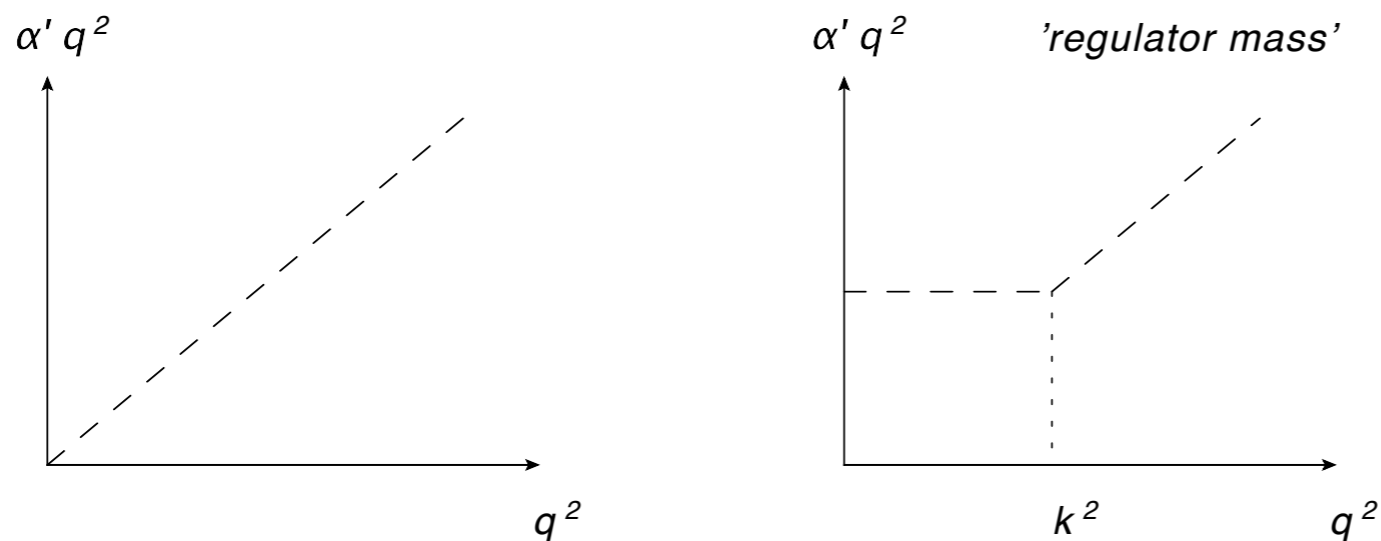
$$\Gamma[\psi^\dagger, \psi] = \int d^2x d\tau \left( Z \left( \frac{1}{2} \psi^\dagger \partial_\tau^{\leftrightarrow} \psi - \alpha' \psi^\dagger \nabla^2 \psi \right) + V[\psi^\dagger, \psi] \right), \quad \mu = \alpha(0) - 1$$

$$V[\psi^\dagger, \psi] = -\mu \psi^\dagger \psi + i\lambda \psi^\dagger (\psi^\dagger + \psi) \psi + g(\psi^\dagger \psi)^2 + g' \psi^\dagger (\psi^{\dagger 2} + \psi^2) \psi \\ + i\lambda_5 \psi^{\dagger 2} (\psi^\dagger + \psi) \psi^2 + i\lambda'_5 \psi^\dagger (\psi^{\dagger 3} + \psi^3) \psi + \dots$$

After introducing a regulator: all parameters become k-dependent

$$\Gamma_k[\psi^\dagger, \psi] = \int d^2x d\tau \left( Z_k \left( \frac{1}{2} \psi^\dagger \partial_\tau^{\leftrightarrow} \psi - \alpha'_k \psi^\dagger \nabla^2 \psi \right) + \psi^\dagger R_k \psi + V_k[\psi, \psi^\dagger] \right)$$

There is freedom in choosing a regulator, for example:



Concretely: partial differential equation for potential  $V(\psi, \psi^\dagger)$  :

$$\dot{\tilde{V}}_k[\tilde{\psi}^\dagger, \tilde{\psi}] = (-(D+2) + \zeta_k)\tilde{V}_k[\tilde{\psi}^\dagger, \tilde{\psi}] + (D/2 + \eta_k/2)(\tilde{\psi} \frac{\partial \tilde{V}_k}{\partial \tilde{\psi}}|_t + \tilde{\psi}^\dagger \frac{\partial \tilde{V}_k}{\partial \tilde{\psi}^\dagger}|_t) + \frac{\dot{V}_k}{\alpha' k^{D+2}}.$$

$$\dot{V}_k = N_D A_D(\eta_k, \zeta_k) \alpha'_k k^{2+D} \frac{1 + \tilde{V}_{k\tilde{\psi}\tilde{\psi}^\dagger}}{\sqrt{1 + 2\tilde{V}_{k\tilde{\psi}\tilde{\psi}^\dagger} + \tilde{V}_{k\tilde{\psi}\tilde{\psi}^\dagger}^2 - \tilde{V}_{k\tilde{\psi}\tilde{\psi}}\tilde{V}_{k\tilde{\psi}^\dagger\tilde{\psi}^\dagger}}}.$$

Fixed point: put rhs =0

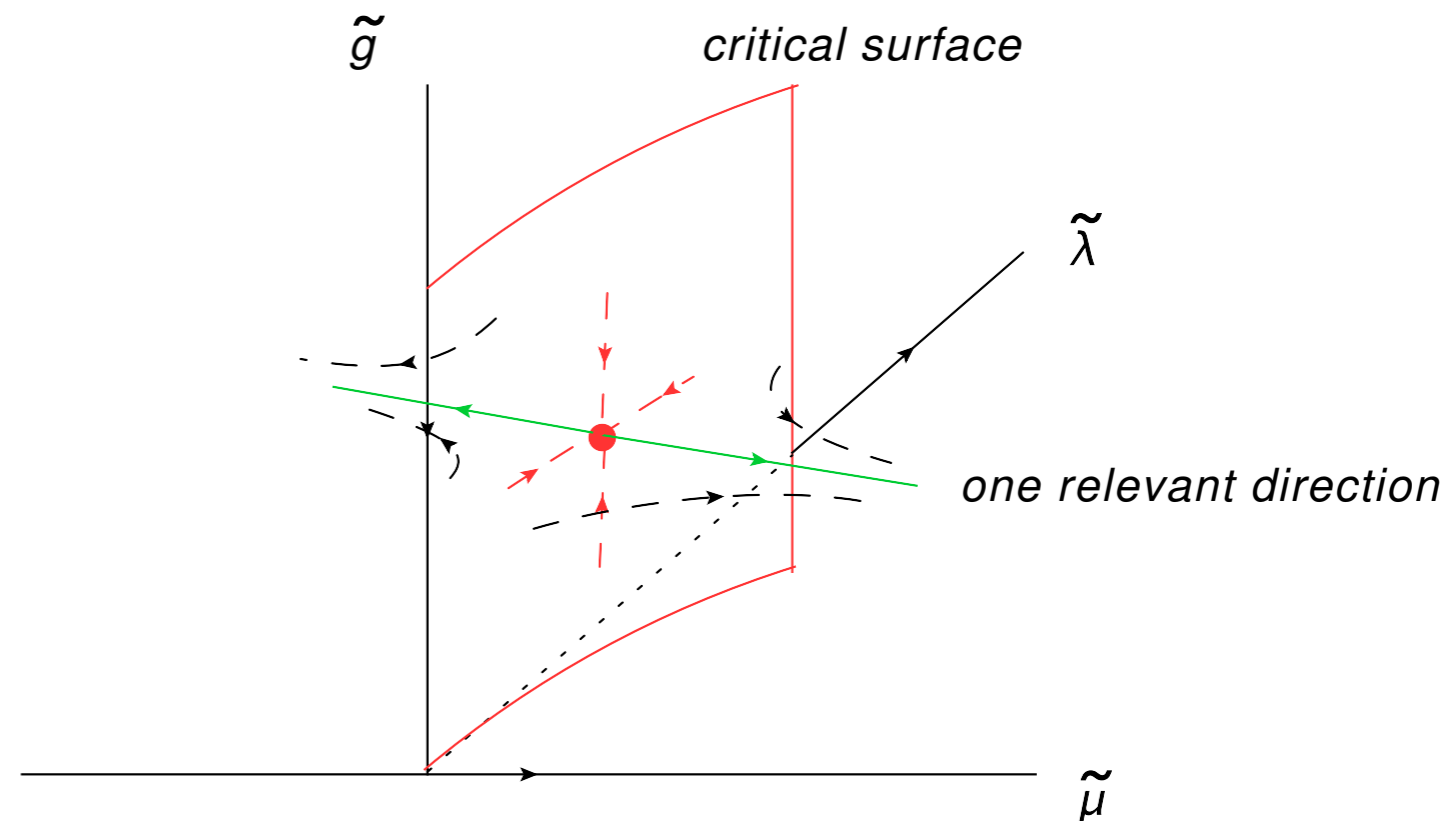
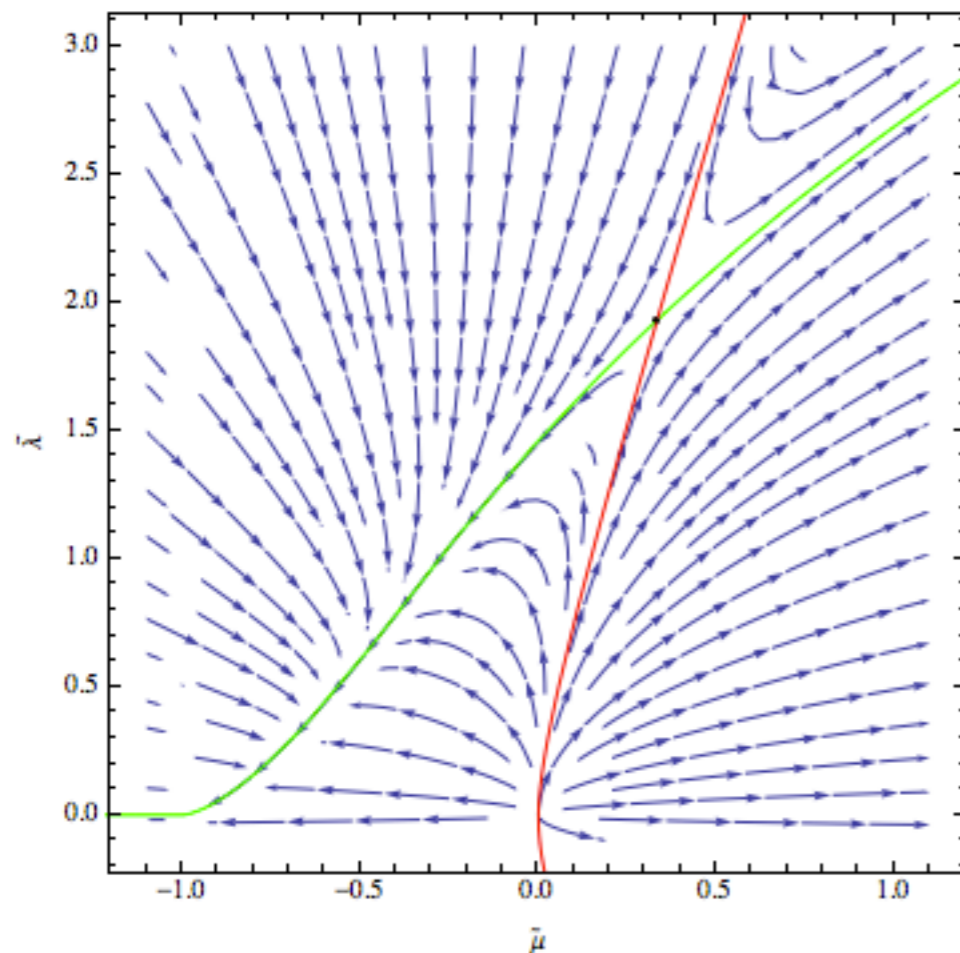
Possible ways to solve (for constant fields, approximately):

- polynomial expansion in fields around zero (beta-functions)
- polynomial expansion in fields around stationary point
- solve differential equations in the region of large fields



# Results of fixed point analysis

## I) Existence of a fixed point with one relevant direction



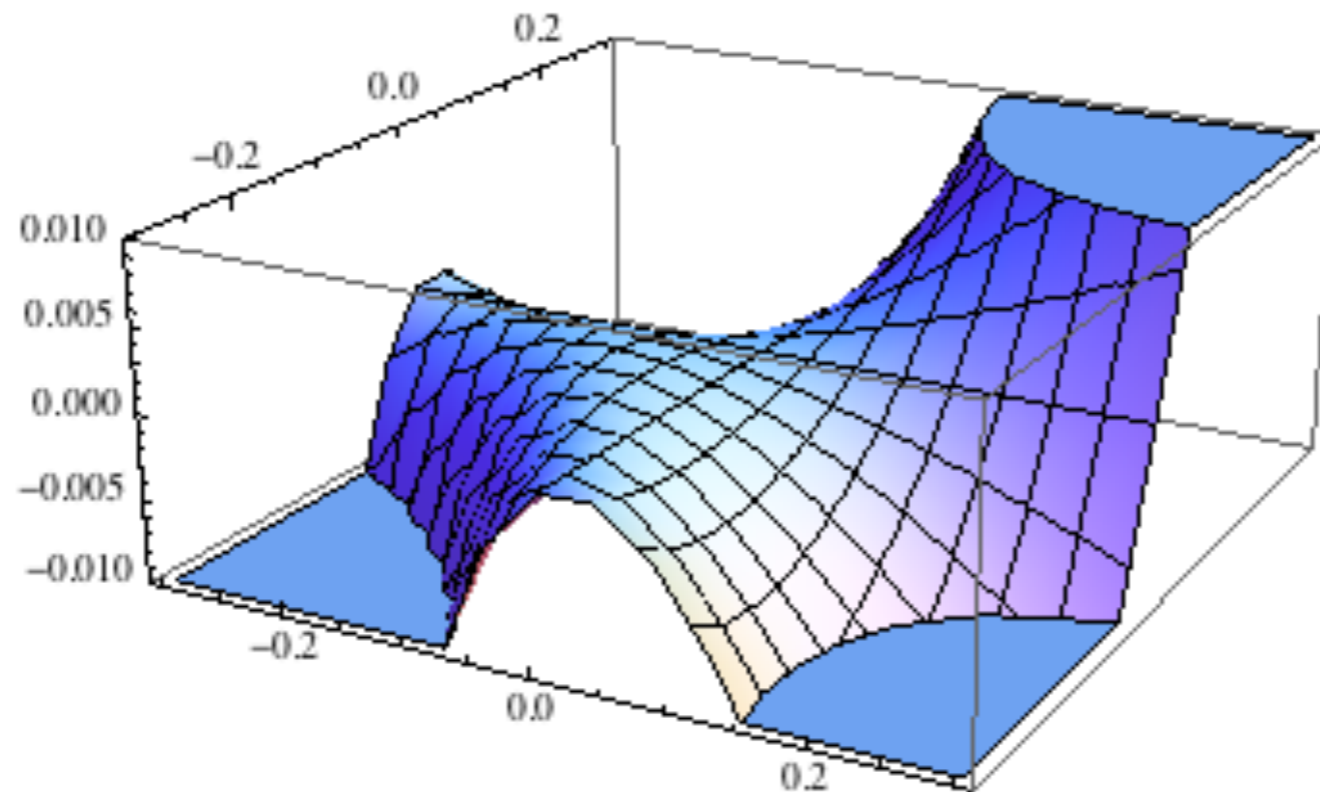
Flow in the space of parameters of the potential (couplings) :  
reggeon mass (intercept)  $\tilde{\mu} = \alpha(0) - 1$  , triple coupling  $\tilde{\lambda}$   
fixed point IR **attractive inside critical surface** (red),  
**repulsive along relevant direction** (green)

Convergence for higher truncations (expansion around nonzero stationary point) :

truncation	3	4	5	6	7	8
exponent $\nu$	0.74	0.75	0.73	0.73	0.73	0.73
mass $\tilde{\mu}_{eff}$	0.33	0.362	0.384	0.383	0.397	0.397
$i\psi_{0,diag}$	0.058	0.072	0.074	0.074	0.074	0.074
$i\mathcal{U}_0$	0.173	0.213	0.218	0.218	0.218	0.218

Compare with Monte Carlo result for Directed Percolation  
(same universality class):  $\nu = 0.73$

Shape of the effective potential (in the subspace of imaginary fields):



Extrema, location at lowest truncation:

$$(\tilde{\psi}_0, \tilde{\psi}_0^\dagger) = (0, 0), \quad \left(\frac{\tilde{\mu}}{i\tilde{\lambda}}, 0\right), \quad \left(0, \frac{\tilde{\mu}}{i\tilde{\lambda}}\right), \quad \left(\frac{\tilde{\mu}}{3i\tilde{\lambda}}, \frac{\tilde{\mu}}{3i\tilde{\lambda}}\right).$$

No further structure for larger fields

# Main result of this part:

- found a candidate for fixed point (IR stable except for one relevant direction)
- robust when changing truncations
- know the effective potential

# First glimpse at physics

Need to find out: on which trajectory is real physics?

Look at flow of physical physical observable: Pomeron intercept  $\mu = \alpha(0) - 1$  :

So far: fixed point analysis was done in terms of dimensionless variables: reggeon energy and momentum have different dimensions

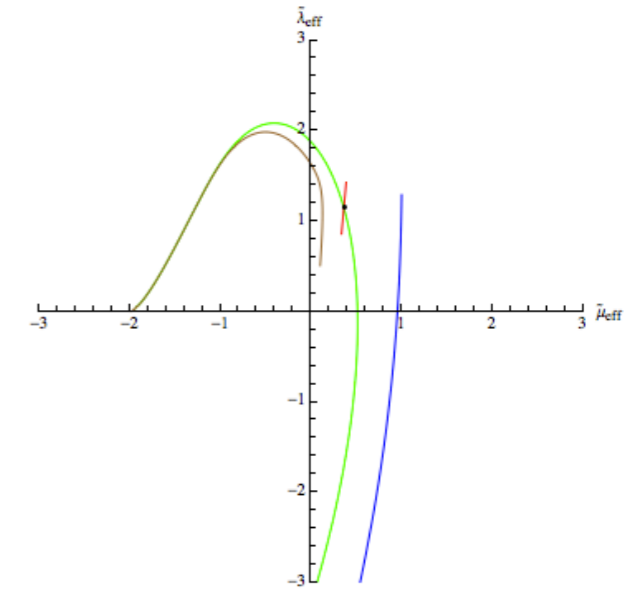
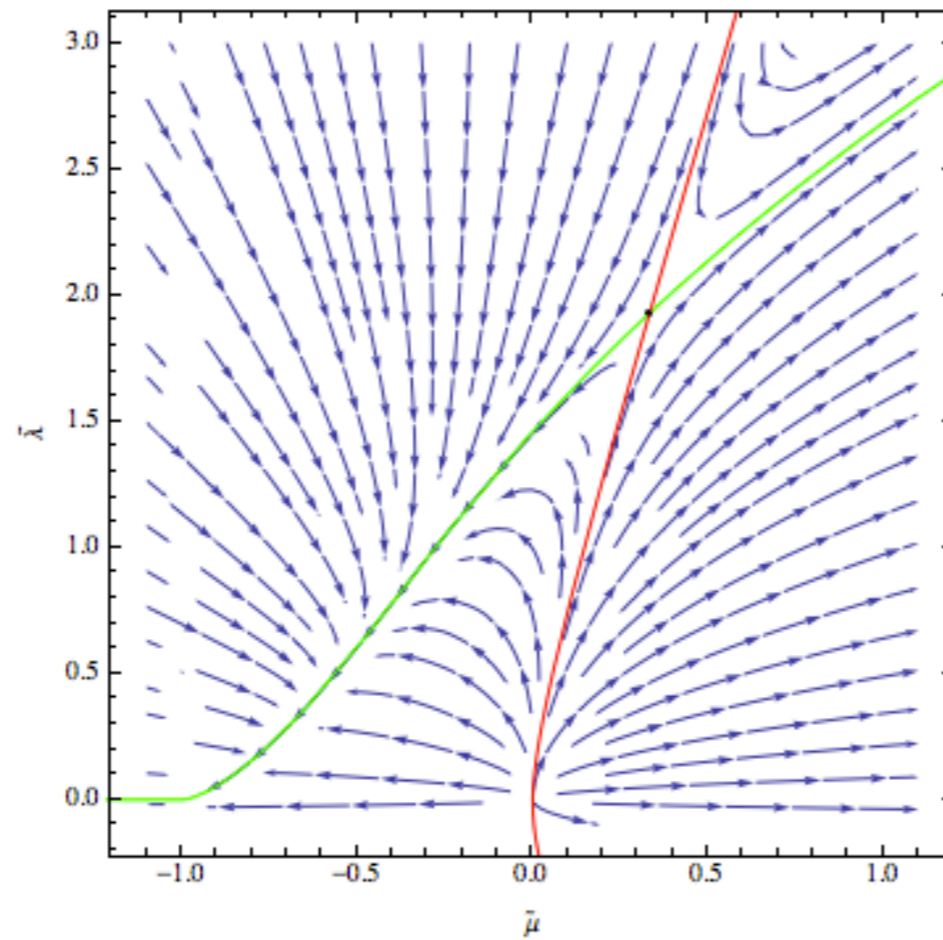
$$S = \int d^2x d\tau \left( Z \left( \frac{1}{2} \psi^\dagger \partial_\tau^{\leftrightarrow} \psi - \alpha' \psi^\dagger \nabla^2 \psi \right) + V[\psi^\dagger, \psi] \right), \quad [\psi] = [\psi^\dagger] = k^{D/2}, \quad [\alpha'] = Ek^{-2}.$$

$$\tilde{\mu}_k = \frac{\mu_k}{Z_k \alpha'_k k^2}$$

$$\tilde{\lambda}_k = \frac{\lambda_k}{Z_k^{\frac{3}{2}} \alpha'_k k^2} k^{D/2}$$

Evolution of physical (=dimensionful) parameters  $\mu_k, \lambda_k, \dots$  looks quite different from dimensionless ones  $\tilde{\mu}_k, \tilde{\lambda}_k, \dots$

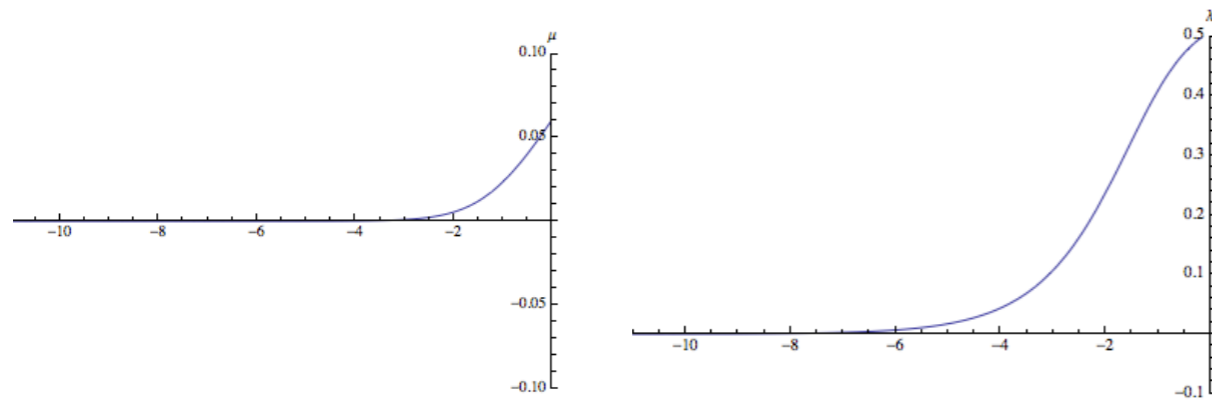
dimensionless  
parameters



physical parameters :

Critical subspace (**red**):

Near critical subspace (**blue**)



$$\alpha(0) \rightarrow 1$$

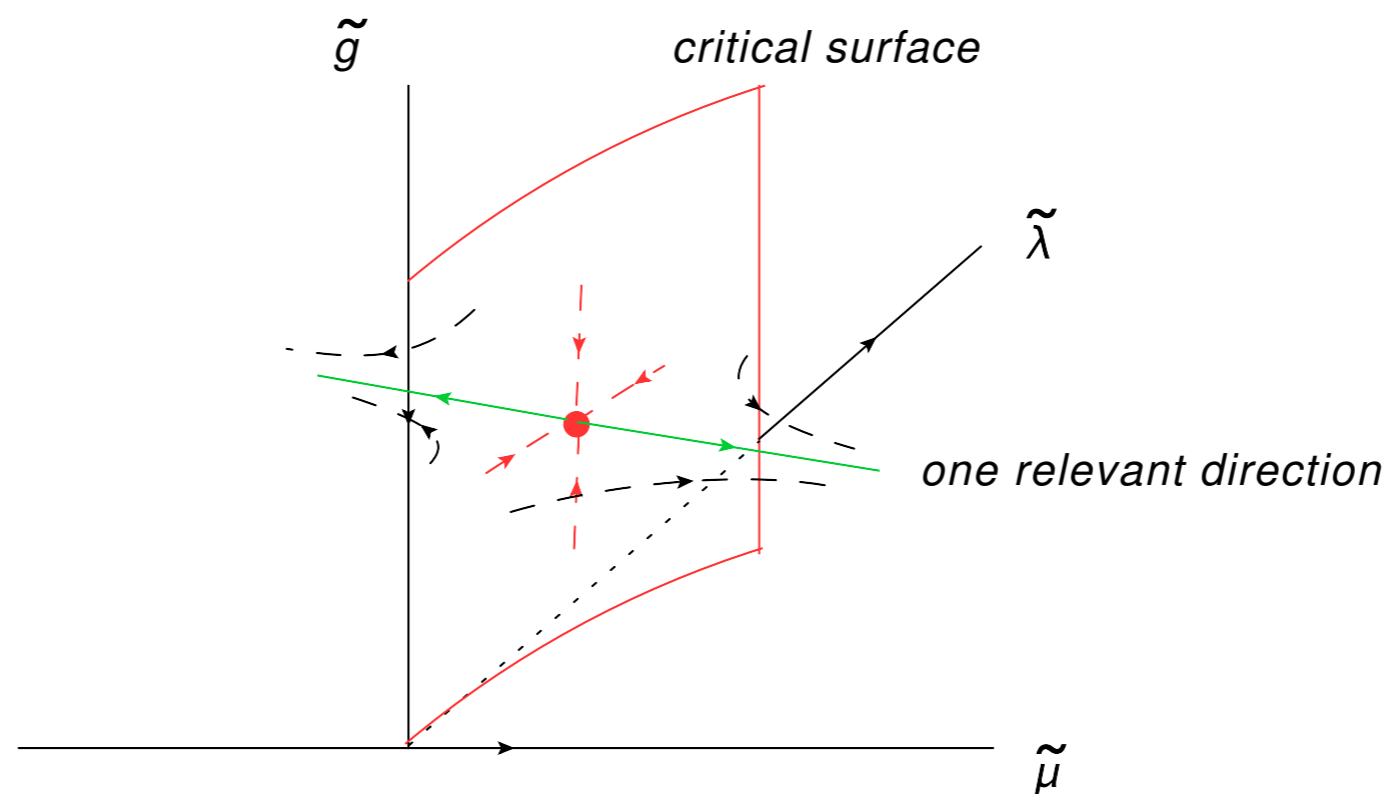
$$\lambda_{triple} \rightarrow 0$$

$$\alpha_k(0) \rightarrow \alpha_{k=0} < 1$$

But: theory not free!

Tentative interpretation: different phases:

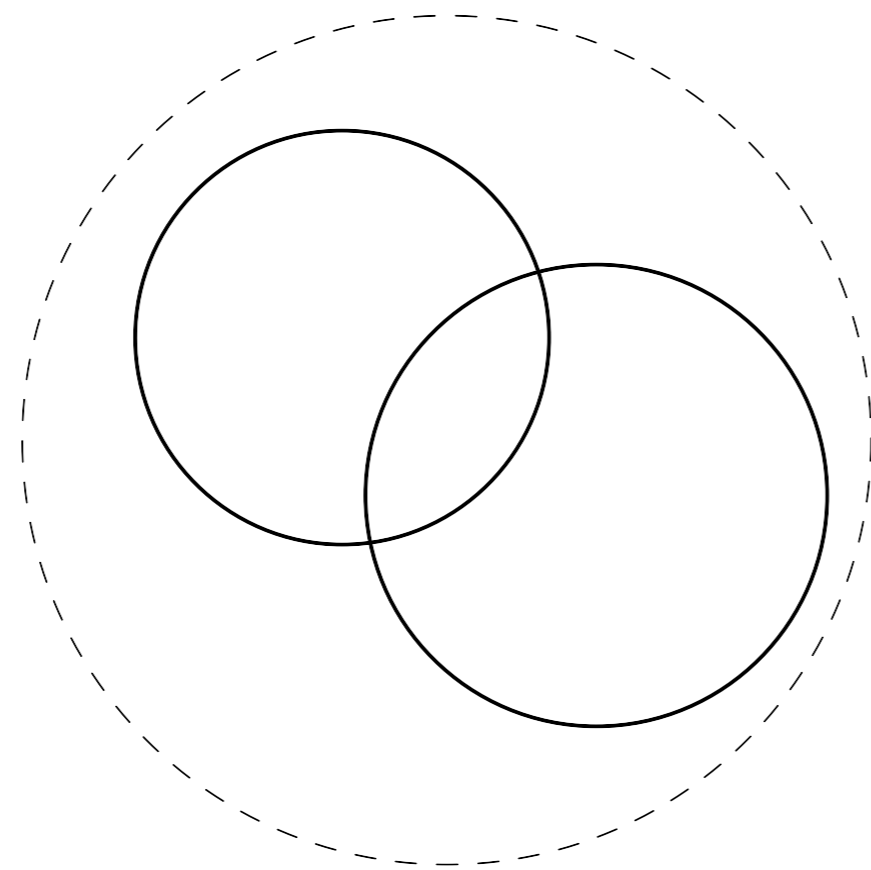
n-1 dim. **critical** subspace: massless  
divides the n-dimensional space into  
two (**subcritical, supercritical**) half spaces



Which phase: depends upon starting point at  $k=0$  (UV)

# Possible interpretation of IR cutoff, evolution time $\tau = \ln k/k_0$ :

**IR-cutoff:**  $k^2 \sim 1/\text{transverse distance}^2 \sim 1/\ln s$

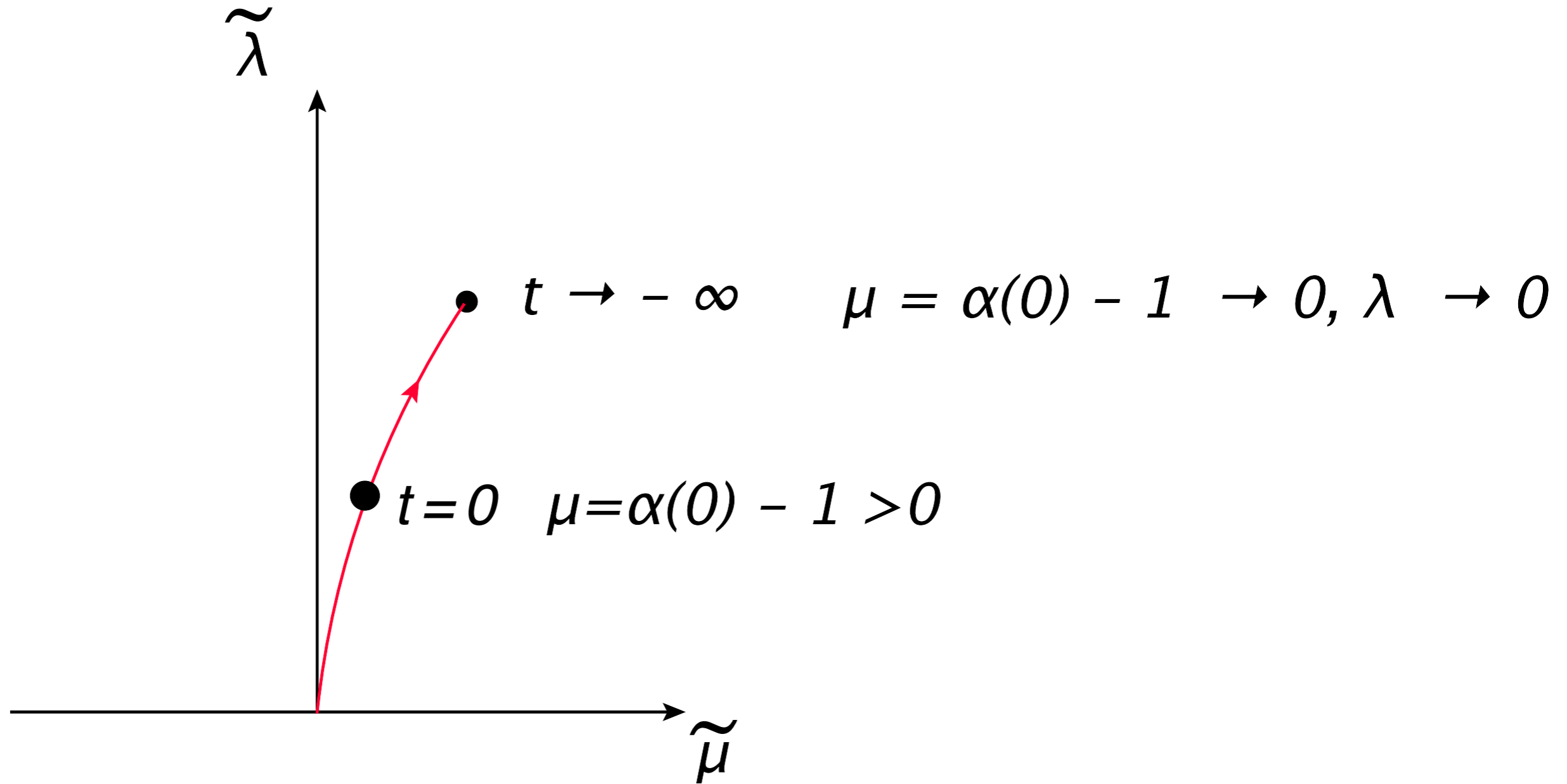


transverse plane

$$\leftarrow R^2 = R_a^2 + R_b^2 + \alpha' \ln s \rightarrow$$



# Possible physical scenario:



# Conclusions

Achieved so far:

Investigated the IR-limit of a possible connection: IR - UV

- found a fixed point in the space of (local) reggeon field theories
- subspace of critical (massless) theories, but also subcritical and supercritical theories are possible
- possible scenario:  
IR - (infinite energies and transverse distances): critical theory with intercept 1  
UV - BFKL etc.

Next steps:

- Phenomenology of fixed point theory: does it work?
- Connection with 'old' critical RFT
- extend to nonlocal theory (composite fields)
- construct sequence: BFKL  $\rightarrow$  IR-limit

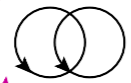


# I. Motivation and project

Energy dependence of total cross sections varies with transverse size:

HERA forward jets

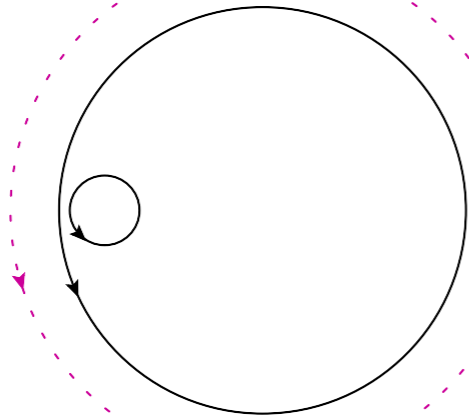
LEP



$$\gamma^* \gamma^* \quad \sigma_{tot} \approx S^{\omega_{BFKL}}$$

calculable in pQCD

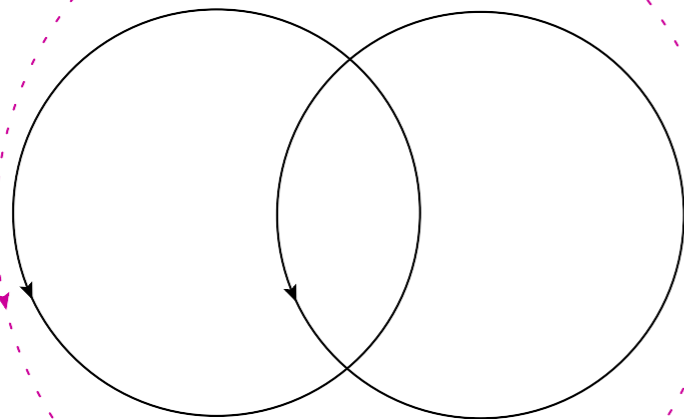
HERA



$$\gamma^* p \quad \sigma_{tot} \approx (W^2)^\lambda$$

Partly calculable in pQD

LHC



$$p p \quad \sigma_{tot} \approx S^{0.08}$$

nonperturbative

Small: strong rise

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large: slow rise

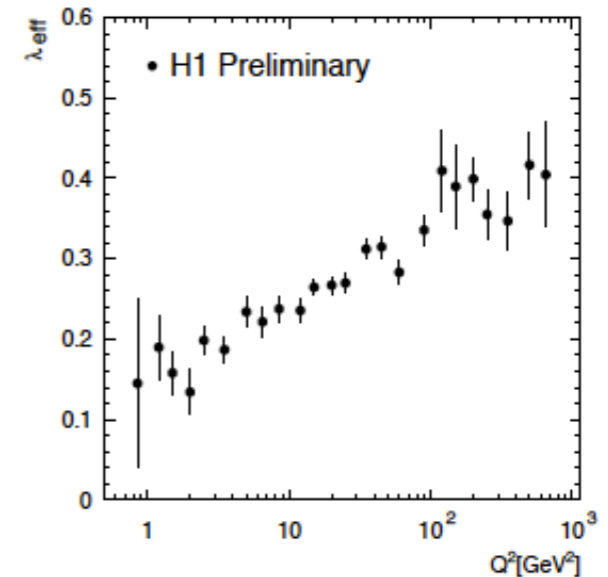
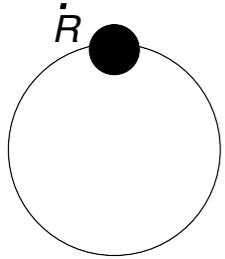
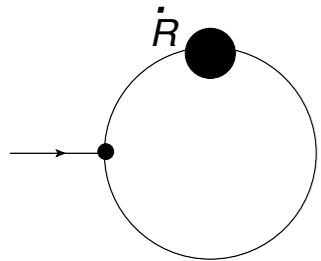


Figure 6: The slope  $\lambda_{\text{eff}}$  of  $F_2$  as a function of  $Q^2$ .

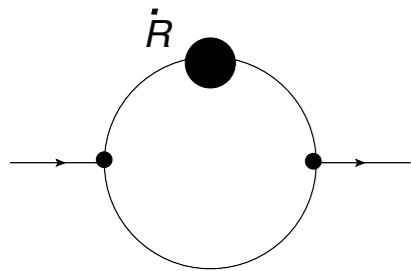
Vertex functions, Green's functions, physical observables:  
take functional derivatives w.r.t. the fields:



$$\partial_t \Gamma_k = \frac{1}{2} G_{k;AB} \partial_t \mathcal{R}_{k;BA}$$



$$\partial_t \Gamma_{k;A_1}^{(1)} = -\frac{1}{2} G_{k;AB} \Gamma_{k;A_1 BC}^{(3)} G_{k;CD} \partial_t \mathcal{R}_{k;DA}$$



$$\begin{aligned} \partial_t \Gamma_{k;A_1 A_2}^{(2)} = & \frac{1}{2} G_{k;AB} \Gamma_{k;A_1 BC}^{(3)} G_{k;CD} \Gamma_{k;A_2 DE}^{(3)} G_{k;EF} \partial_t \mathcal{R}_{k;FA} \\ & + \frac{1}{2} G_{k;AB} \Gamma_{k;A_2 BC}^{(3)} G_{k;AB} \Gamma_{k;A_1 BC}^{(3)} G_{k;CD} \partial_t \mathcal{R}_{k;DA} \\ & - \frac{1}{2} G_{k;AB} \Gamma_{k;A_1 A_2 BC}^{(4)} G_{k;CD} \partial_t \mathcal{R}_{k;DA} \end{aligned}$$

coupled partial differential equations

First step:

Expand the potential in powers of fields, derive beta-functions for parameters of the potential (coupling constants):

$$\dot{\tilde{\mu}} = \tilde{\mu}(-2 + \zeta + \eta) + 2N_D A_D(\eta_k, \zeta_k) \frac{\tilde{\lambda}^2}{(1 - \tilde{\mu})^2},$$

$$\dot{\tilde{\lambda}} = \tilde{\lambda} \left( (-2 + \zeta + \frac{D}{2} + \frac{3\eta}{2}) + 2N_D A_D(\eta_k, \zeta_k) \left( \frac{4\tilde{\lambda}^2}{(1 - \tilde{\mu})^3} + \frac{(\tilde{g} + 3\tilde{g}')}{(1 - \tilde{\mu})^2} \right) \right),$$

$$\dot{\tilde{g}} = \tilde{g}(-2 + D + \zeta + 2\eta) + 2N_D A_D(\eta_k, \zeta_k) \left( \frac{27\tilde{\lambda}^4}{(1 - \tilde{\mu})^4} + \frac{(16\tilde{g} + 24\tilde{g}')\tilde{\lambda}^2}{(1 - \tilde{\mu})^3} + \frac{(\tilde{g}^2 + 9\tilde{g}'^2)}{(1 - \tilde{\mu})^2} \right)$$

$$\dot{\tilde{g}'} = \tilde{g}'(-2 + D + \zeta + 2\eta) + 2N_D A_D(\eta_k, \zeta_k) \left( \frac{12\tilde{\lambda}^4}{(1 - \tilde{\mu})^4} + \frac{(4\tilde{g} + 18\tilde{g}')\tilde{\lambda}^2}{(1 - \tilde{\mu})^3} + \frac{3\tilde{g}\tilde{g}'}{(1 - \tilde{\mu})^2} \right)$$

Fixed points: zeroes of the beta-functions

# First results: fixed points

Local reggeon field theory:

$$\mu = \alpha(0) - 1$$

$$\mathcal{L} = \left( \frac{1}{2} \psi^\dagger \overleftrightarrow{\partial}_y \psi - \alpha' \psi^\dagger \nabla^2 \psi \right) + V(\psi, \psi^\dagger)$$

$$V(\psi, \psi^\dagger) = -\mu \psi^\dagger \psi + i\lambda \psi^\dagger (\psi^\dagger + \psi) \psi \\ + g(\psi^\dagger \psi)^2 + g' \psi^\dagger (\psi^{\dagger 2} + \psi^2) \psi + \dots$$

some universal  
symmetry properties

Some history:

Gribov, Migdal; Abarbanel, Bronzan;  
Migdal, Polyakov, Ter-Martirosyan

In early seventies : first studies of RFT with triple couplings,  
expansion near  $D=4$  ( $\epsilon$ - expansion). IR-fixed point.

In 1980: J. Cardy and R. Sugar noticed that the RFT is in the same universality  
class of a Markov process known as Directed Percolation (DP).

Critical exponents can then be accessed also with numerical montecarlo  
computations.

This attempt:

search in the full space of theories, no restriction to  $D=4$

Effective action with local potential:

$$\Gamma_k = \int dy d^D x \left[ Z_k \left( \frac{1}{2} \psi^{dagger} \overleftrightarrow{\partial}_y \psi - \alpha'_k \psi^{dagger} \nabla^2 \psi \right) + V_k(\psi, \psi^\dagger) \right]$$

Propagator of flow equations:

$$\Gamma_k^{(2)} + \mathbb{R} = \begin{pmatrix} V_{k\psi\psi} & -iZ_k\omega + Z_k\alpha'_k q^2 + R_k + V_{k\psi\psi^{dagger}} \\ iZ_k\omega + Z_k\alpha'_k q^2 + R_k + V_{k\psi^{dagger}\psi} & V_{k\psi^{dagger}\psi^{dagger}} \end{pmatrix}$$

Flow equation for potential:

$$\dot{V}_k(\psi, \psi^\dagger) = \frac{1}{2} \text{tr} \left\{ \int \frac{d\omega d^D q}{(2\pi)^{D+1}} \left[ \left( \Gamma_k^{(2)} + \mathbb{R} \right)^{-1} \dot{\mathcal{R}}_k \right] \right\}$$

Coarse graining in momentum space:

$$R_k(q) = Z_k \alpha'_k (k^2 - q^2) \Theta(k^2 - q^2)$$

