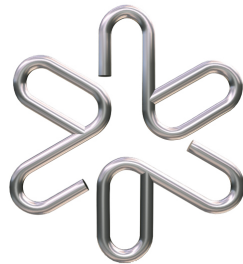


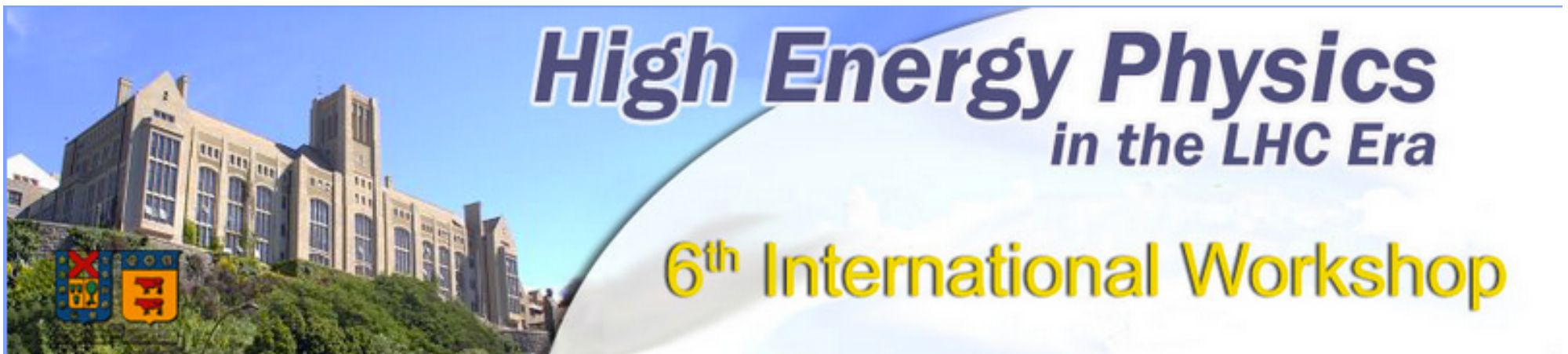
Open heavy-flavour production in pp, p-Pb and Pb-Pb collisions with ALICE at the LHC

Cristiane Jahnke for the ALICE Collaboration

Universidade de São Paulo, Brazil



ALICE





Content



- **ALICE overview**
- **Motivation**
 - ✓ **Why to study open heavy flavours?**
 - ✓ **Why to study pp, p-Pb and Pb-Pb collisions?**
- **Collision systems studied with ALICE**
- **How to study open heavy flavours?**
- **Selected open heavy-flavour studies with ALICE**
 - ✓ **pp results**
 - ✓ **p-Pb results**
 - ✓ **Pb-Pb results**
- **Conclusions**



ALICE

A Large Ion Collider Experiment

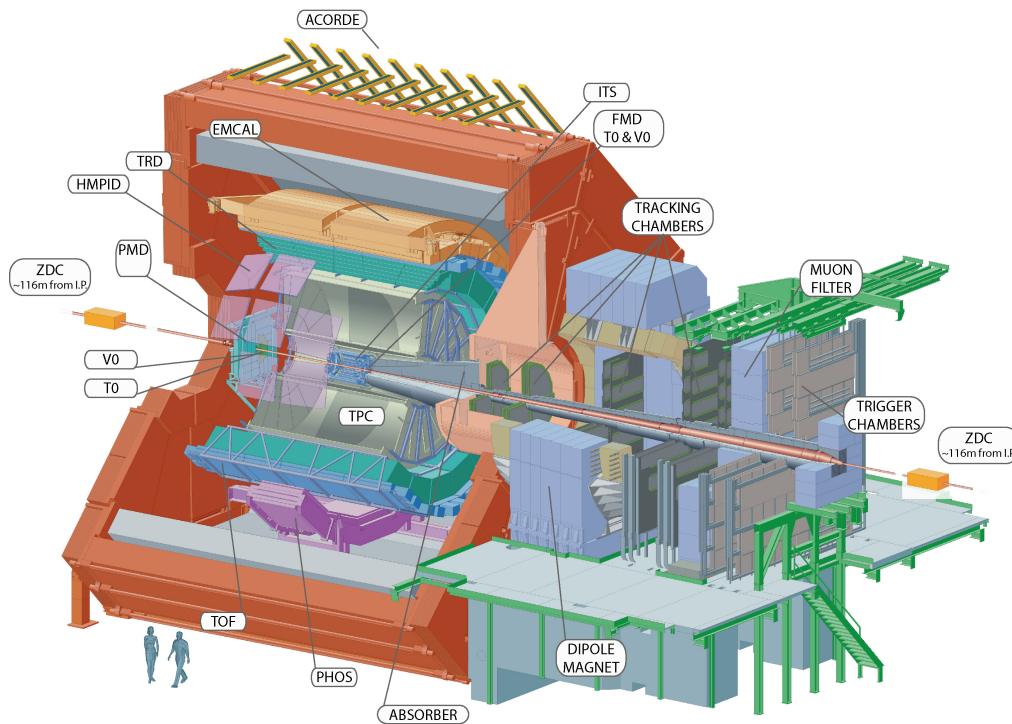


Dedicated experiment to study heavy-ion collisions and the QGP.

✓ The Quark Gluon Plasma (QGP) is a high energy-density state of **strongly-interacting matter** in which partons are deconfined.

✓ This state of matter can be studied experimentally only via heavy-ion (A-A) collisions at high energy where the necessary **energy density** for the phase transition to the QGP can be attained.

✓ ALICE can perform various measurements to study the QGP: **heavy flavours**, light flavours, photons, jets, quarkonia, etc.



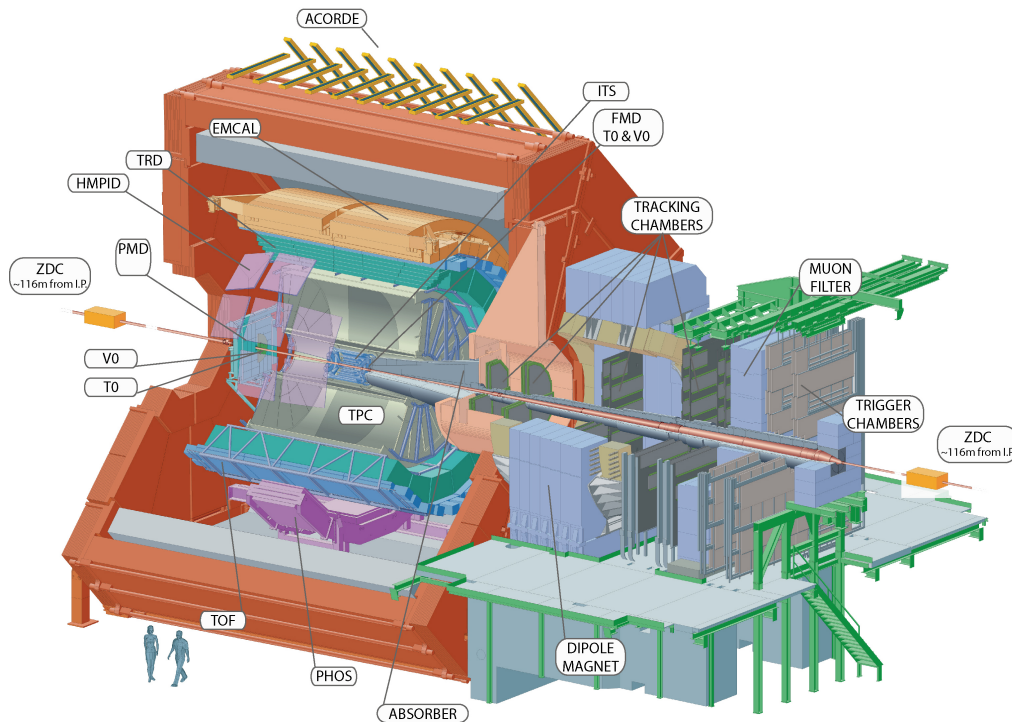


ALICE

A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.



And more:

HMPID (High-Momentum Particle Identification Detector), PHOS (PHOton Spectrometer), ACORDE (Alice Cosmic Ray DETector), ZDC (Zero Degree Calorimeter), PMD (Photon Multiplicity Detector), FMD (Forward Multiplicity Detector) and TO.

ITS (Inner Tracking System):

- Tracking
- Vertexing
- Particle identification (PID)

TPC (Time Projection Chamber):

- Tracking
- PID

TRD (Transition Radiation Detector):

- PID
- Trigger

TOF (Time Of Flight):

- PID

EMCal (Electromagnetic Calorimeter):

- PID
- Trigger

V0 detector:

- Centrality
- Trigger

Muon spectrometer:

- Muon ID
- Trigger
- Tracking



Motivation: why to study open heavy flavours?



- Heavy-flavour particles contain charm or beauty quarks:
 - ✓ B meson, D meson, Λ_c and Λ_b
- They are produced (in hard scatterings) in the early stages of the collision:
 - ✓ Large mass ($m_{c,b} \gg \Lambda_{\text{QCD}}$)
 - > short formation time
 - > hard probes, even at low p_T

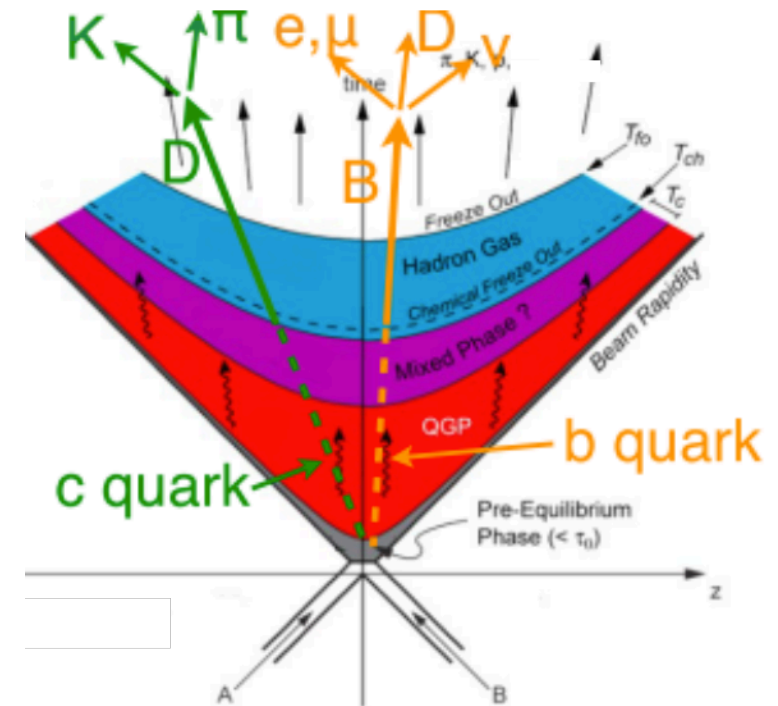


Figure from Ralf Averbeck presentation at Quark Matter 2015

- They can experience the full evolution of the system:
 - ✓ They live much longer (around 10^{-11} sec) than the duration of the QGP (around 10^{-23} sec) PRD 74(2006)054010

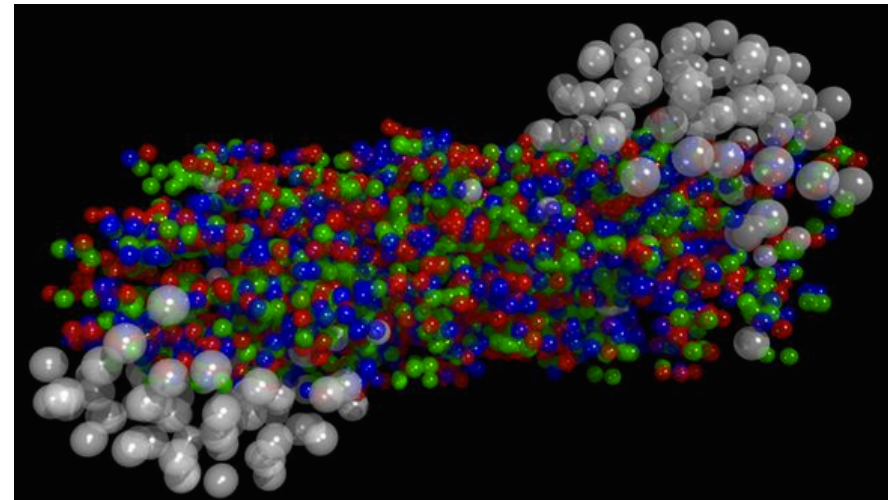
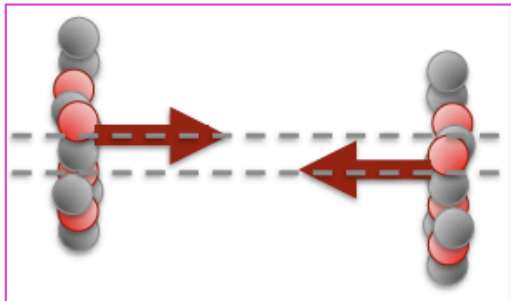


Motivation: why to study Pb-Pb, p-Pb and pp collisions?



- **Pb-Pb collisions:**

- ✓ Formation of a Quark-Gluon Plasma (QGP) is expected.
- ✓ Study the properties of QGP.
- ✓ Parton energy loss via radiative and elastic processes.
- ✓ Use pp collisions as reference.





Motivation: why to study Pb-Pb, p-Pb and pp collisions?



- **p-Pb collisions:**

- ✓ Intermediate state between pp collisions and Pb-Pb collisions.
- ✓ Control experiment for Pb-Pb measurements.
- ✓ Cold nuclear matter effects can be studied:
 - Nuclear modification of Parton Distribution Function (shadowing/saturation/CGC)
 - k_T broadening
 - Energy loss

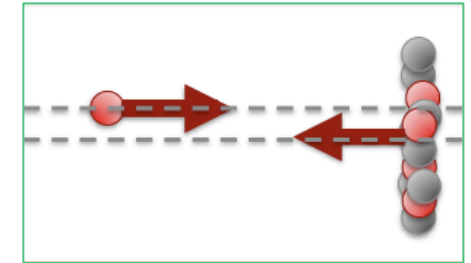
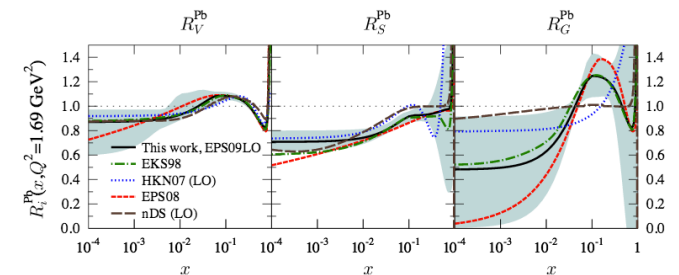
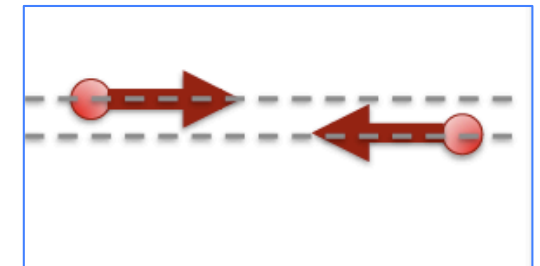


Figure from JHEP 0904 (2009) 065



- **pp collisions:**

- ✓ Reference for studies with **p-Pb collisions** and **Pb-Pb collisions**.
- ✓ Test for perturbative QCD calculations.



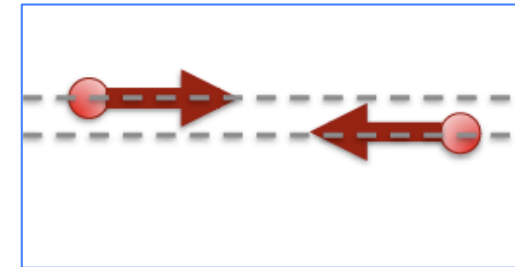


Collision systems studied with ALICE



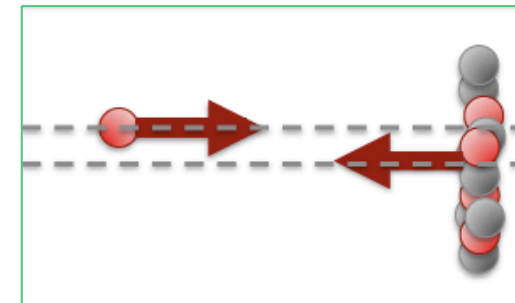
- **pp collisions:**

- ✓ $\sqrt{s} = 0.9, 2.76, 7$ and 8 TeV



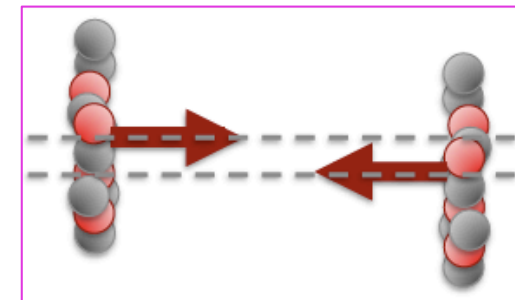
- **p-Pb collisions:**

- ✓ $\sqrt{s_{NN}} = 5.02$ TeV



- **Pb-Pb collisions:**

- ✓ $\sqrt{s_{NN}} = 2.76$ TeV





How to study open heavy flavours?



Nuclear modification factor:

$$R_{AA} = \frac{1}{N_{\text{coll}}} \frac{dN_{AA}/dp_T}{dN_{pp}/dp_T}$$

- ✓ Defined as the ratio of the p_T -differential yield measured in A-A collisions and the corresponding yield in pp collisions multiplied by the number of binary collisions;
- ✓ It is used to quantify medium effects and helps to understand the energy loss in the QGP:
 - If $R_{AA} = 1$ (at high p_T) -> **no medium effects and no nuclear effects.**
 - If $R_{AA} < 1$ (at high p_T) -> **energy loss** of the partons shifts the momentum spectra of the heavy-flavour particles.
- ✓ Expected mass dependence of energy loss due to colour-charge and dead cone effect:

$$\Delta E(g) > \Delta E(u,d,s) > \Delta E(c) > \Delta E(b) \quad \longrightarrow \quad R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B) ?$$

PLB 519(2001)199

- ✓ The nuclear modification factor is also studied in a control experiment, in p-A collisions, to quantify **cold nuclear matter effects.**

$$R_{pPb} = \frac{1}{N_{\text{coll}}} \frac{dN_{pPb}/dp_T}{dN_{pp}/dp_T} = \frac{1}{A} \frac{d\sigma_{pPb}/dp_T}{d\sigma_{pp}/dp_T}$$



How to study open heavy flavours?



Anisotropic flow:

$$E \frac{d^3 N}{d^3 p} = \frac{1}{2\pi} \frac{d^2 N}{p_T dp_T dy} \left(1 + 2 \sum_{n=1}^{\infty} v_n \cos[n(\varphi - \Psi_{RP})] \right)$$
$$v_n(p_T, y) = \left\langle \cos[n(\varphi - \Psi_{RP})] \right\rangle$$

- ✓ The second Fourier coefficient is called elliptic flow (v_2).
- ✓ Anisotropic flow is caused by the initial asymmetries in the geometry of the system produced in a non-central collision.
- ✓ Initial spatial anisotropy of the created particles is converted in momentum anisotropy due to the pressure gradients.
- ✓ Thermalized particles participate in the collective motion;

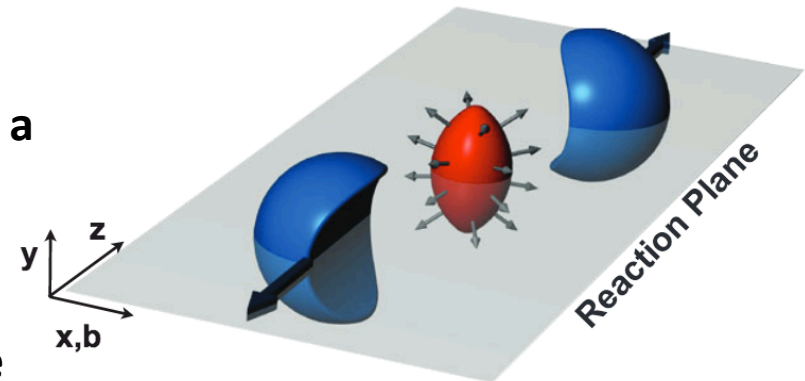


Fig. from arXiv:1102.3010v2



Open heavy flavours in ALICE



Open heavy-flavour studies with ALICE are done via the following channels:

✓ **Hadronic decays:**

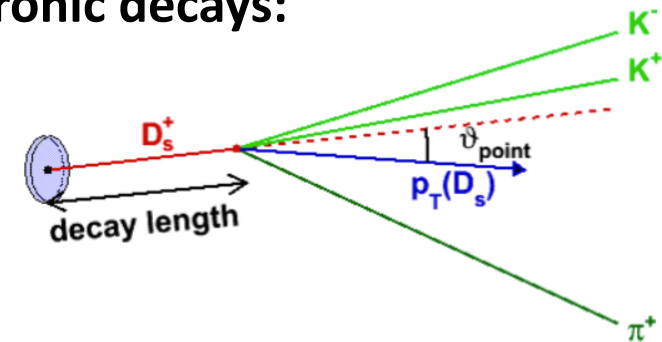
Reconstruction of D^+ , D^0 , D^{*+} and D_s^+ via their hadronic decays:

- $D^+ \rightarrow K^- \pi^+ \pi^+$ (BR=9.13%)
- $D^0 \rightarrow K^- \pi^+$ (BR=3.88%)
- $D^{*+} \rightarrow D^0 \pi^+$ (BR=67.7%)
- $D_s^+ \rightarrow \phi \pi^+ \rightarrow K^+ K^- \pi^+$ (BR=2.28%)

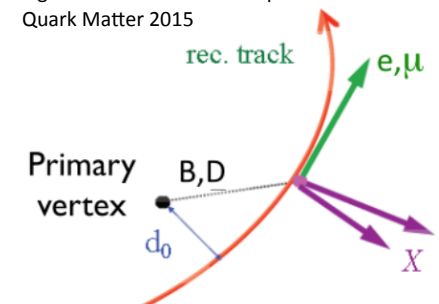
✓ **Semileptonic decays (electrons and muons)**

- Semi-leptonic decay channels have a branching ratio of the order of 10%:
- $B, D \rightarrow l + X$

- Separation of electrons from beauty-hadron decays using the impact parameter (long life time of beauty hadrons).

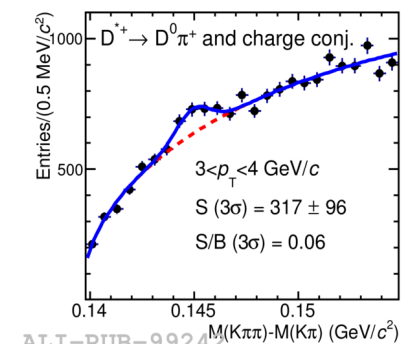
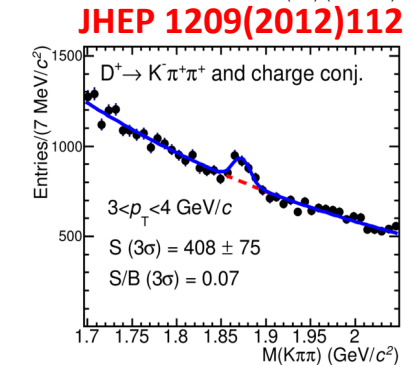
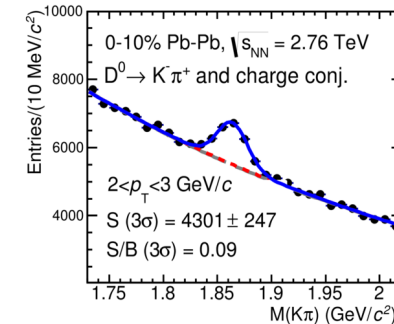
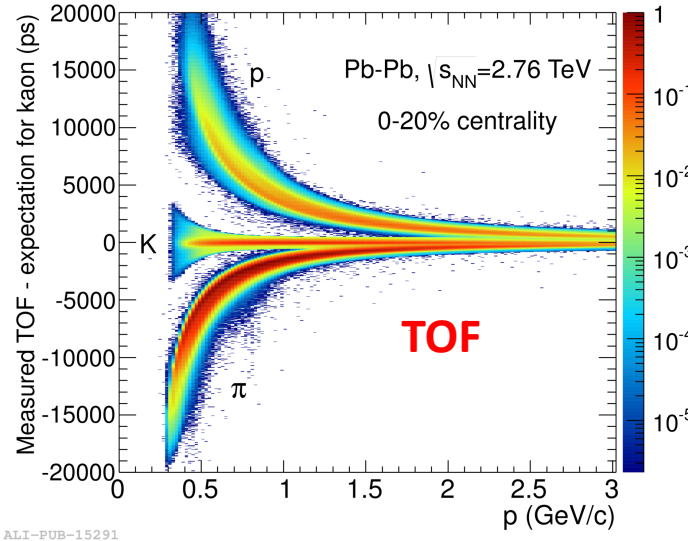
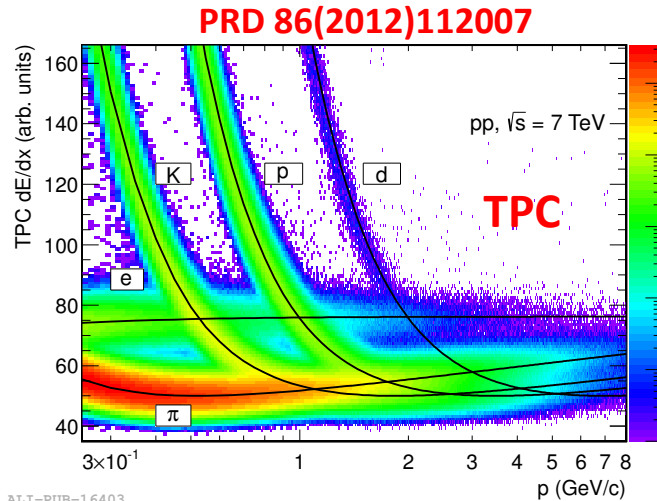


Figures from Ralf Averbeck presentation at Quark Matter 2015





Particle Identification with ALICE: D mesons



✓ TPC signal: specific energy deposit dE/dx in the TPC expressed in terms of the deviation from the expected hadron dE/dx (measured in units of standard deviations σ);

✓ D-meson ID via the reconstruction of their hadronic decays: invariant mass

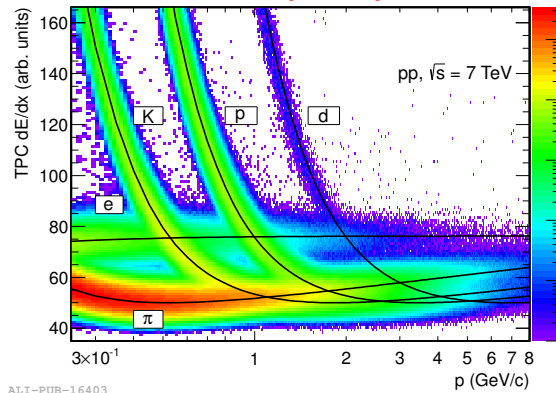
- $D^+ \rightarrow K^- \pi^+ \pi^+$
- $D^0 \rightarrow K^- \pi^+$
- $D^{*+} \rightarrow D^0 \pi^+$
- $D_s^+ \rightarrow \phi \pi^+ \rightarrow K^+ K^- \pi^+$



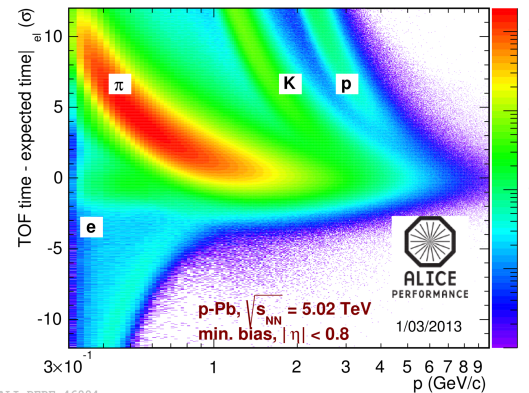
Particle Identification with ALICE: electrons



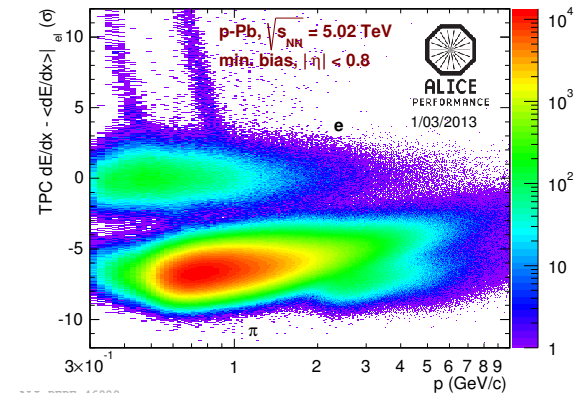
Ref: PRD 86(2012)112007



TPC signal



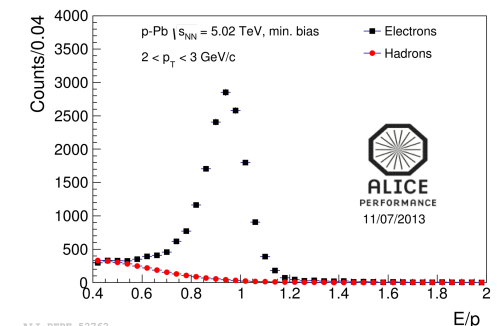
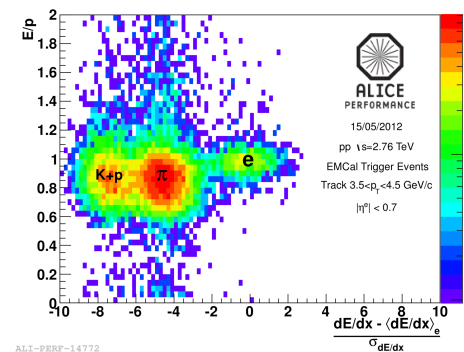
TOF signal



TPC signal after TOF cut

✓ TPC signal combined with TOF signal leads to more pure electron sample at low p_T than TPC-only or TOF-only.

✓ Electron ID based on E/p , where p is the momentum measured by TPC and E the energy measured by EMCal.



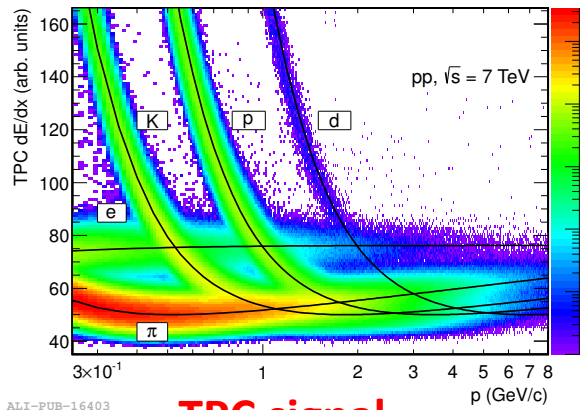
✓ Non-HFE background (photon conversions, η and π^0 Dalitz decays, mainly) removed using cocktail or invariant mass method.



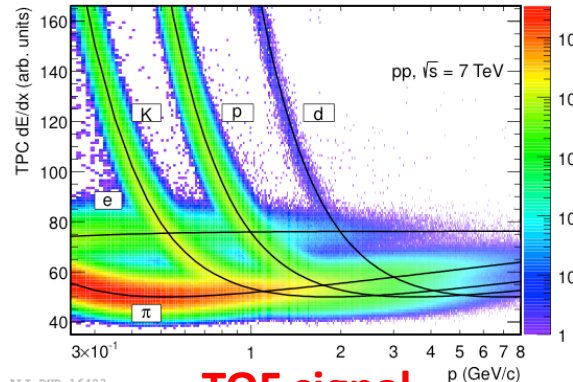
Particle Identification with ALICE: electrons from beauty-hadron decays



PRD 86(2012)112007

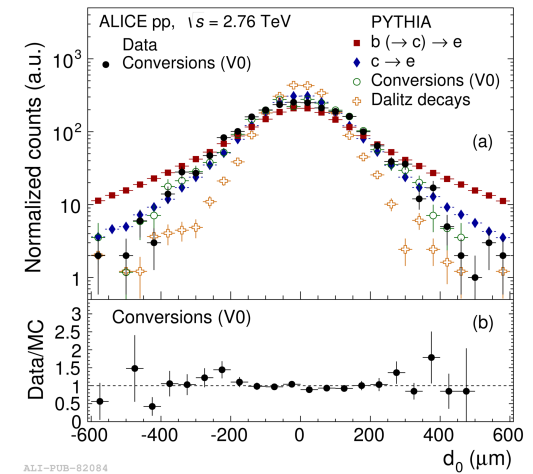


TPC signal



TOF signal

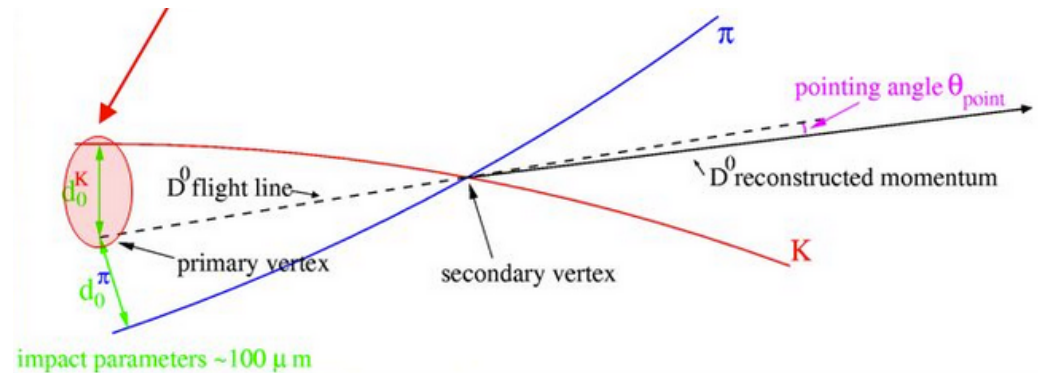
PLB 738 (2014) 97



Impact parameter distribution

✓ Separation of electrons from beauty-hadron decays using the impact parameter (the distance of closest approach of the track to the interaction vertex);

✓ Longer life time of beauty hadrons implies a broader distribution of impact parameter.





Particle Identification with ALICE: muons



Muons reconstructed in the forward muon spectrometer

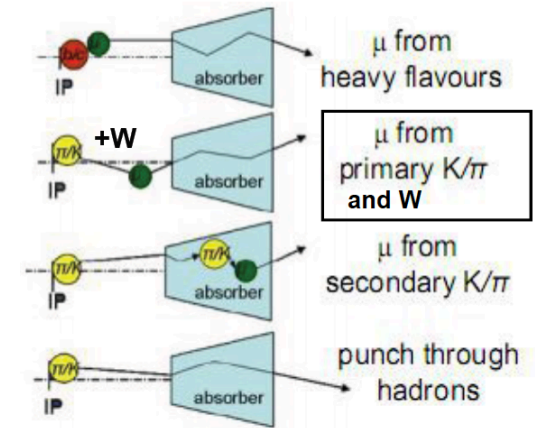
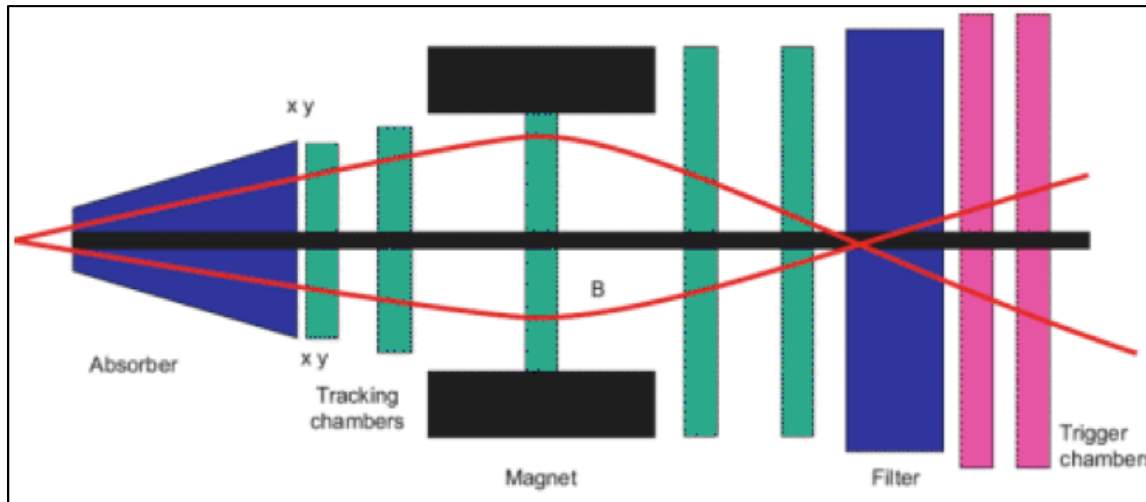


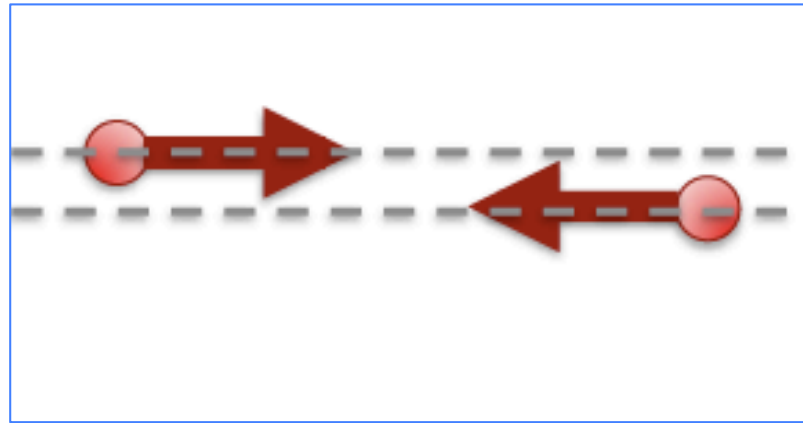
Figure from Zuman Zhang poster presented in QM2016

Figures from http://aliceinfo.cern.ch/Public/en/Chapter2/Chap2_dim_spec.html

- ✓ **Absorber:** to absorb hadrons and photons from the interaction vertex;
- ✓ **Tracking chambers:** 10 detection planes, which gives two-dimensional hit information;
- ✓ **Filter:** passive muon-filter wall to protect the trigger chambers;
- ✓ **Trigger chambers:** requires at least one single muon tracks, or at least two unlike-sign muon tracks, or at least two like-sign muon tracks (above a p_T cut).
- ✓ Geometrical cuts, tracking-trigger matching and pointing angle to vertex are used;
- ✓ Impact parameter cut to reject part of beam-gas interactions and decays;
- ✓ Remaining background subtracted with MC (pp) and data-tuned MC cocktail (p-Pb, Pb-Pb).
- ✓ Low p_T cut to reject muons from secondary pions and kaons decays.



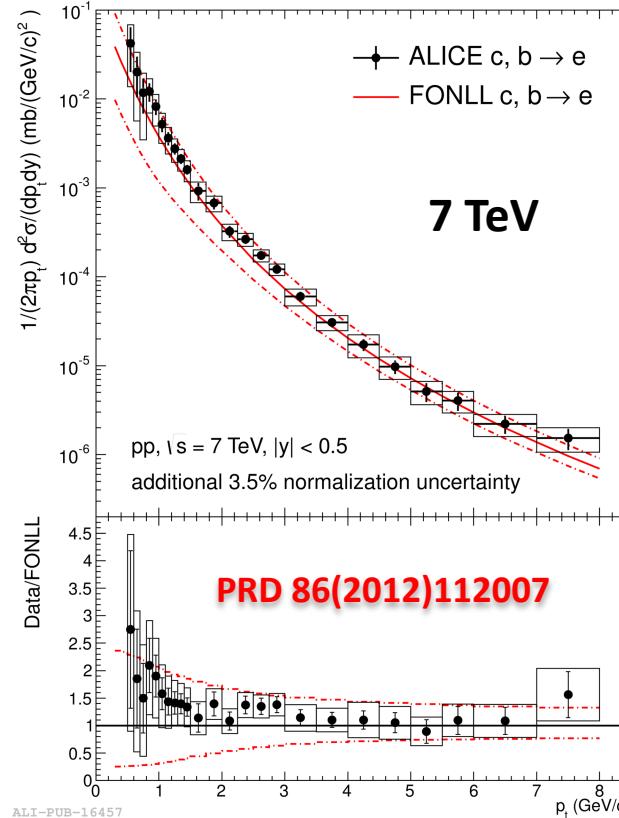
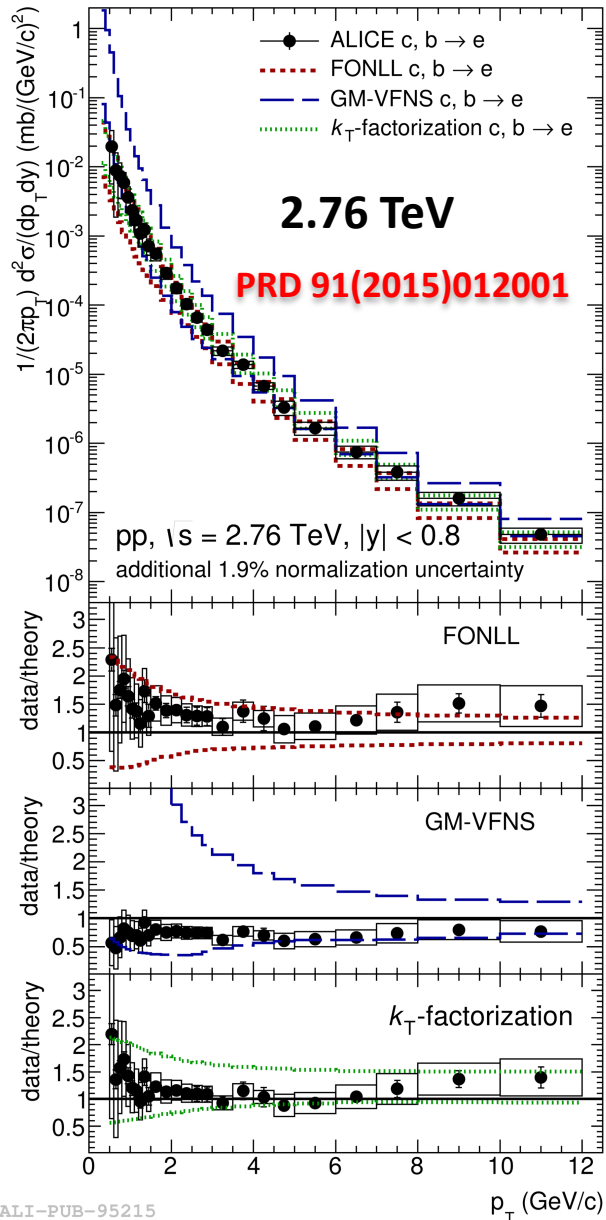
Results in pp collisions



pp



pp results: semi-electronic decay channel

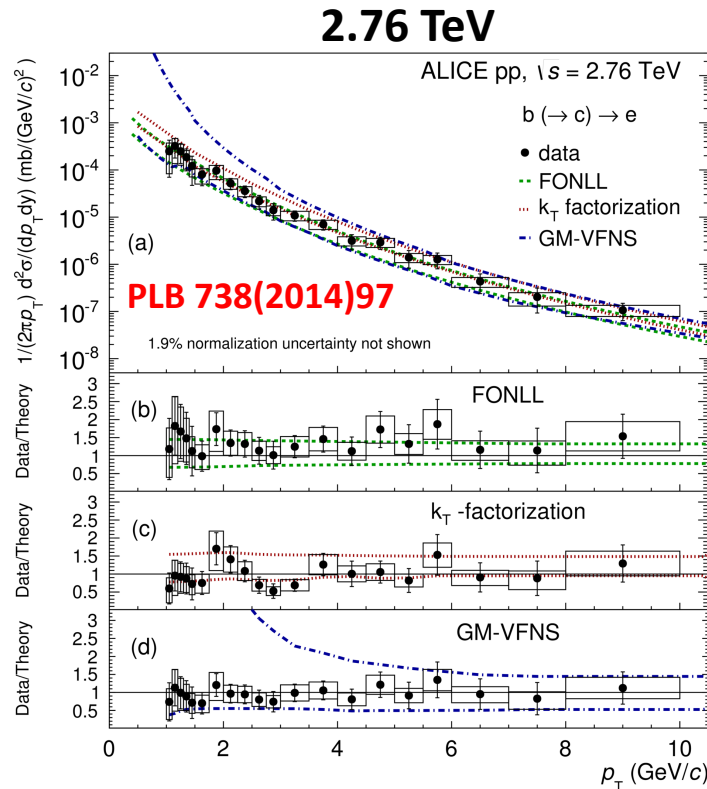


- pQCD calculations:
- FONLL: JHEP 1210(2012)37
 - GM-VFNS: EPJ C72(2012)2082
 - k_T factorization: PRD 87(2013)094022

- e^\pm from HF decays at mid-rapidity
- pQCD calculations in reasonable agreement with data within uncertainties



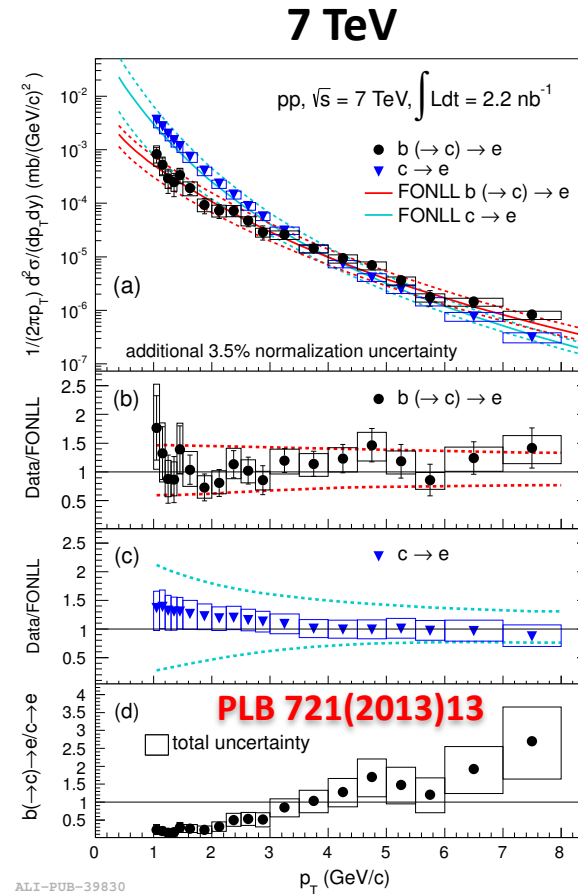
pp results: electrons from beauty-hadron decays



ALI-PUB-82148

pQCD calculations:

- FONLL: JHEP 1210(2012)37
- GM-VFNS: EPJ C72(2012)2082
- k_T factorization: PRD 87(2013)094022



ALI-PUB-39830

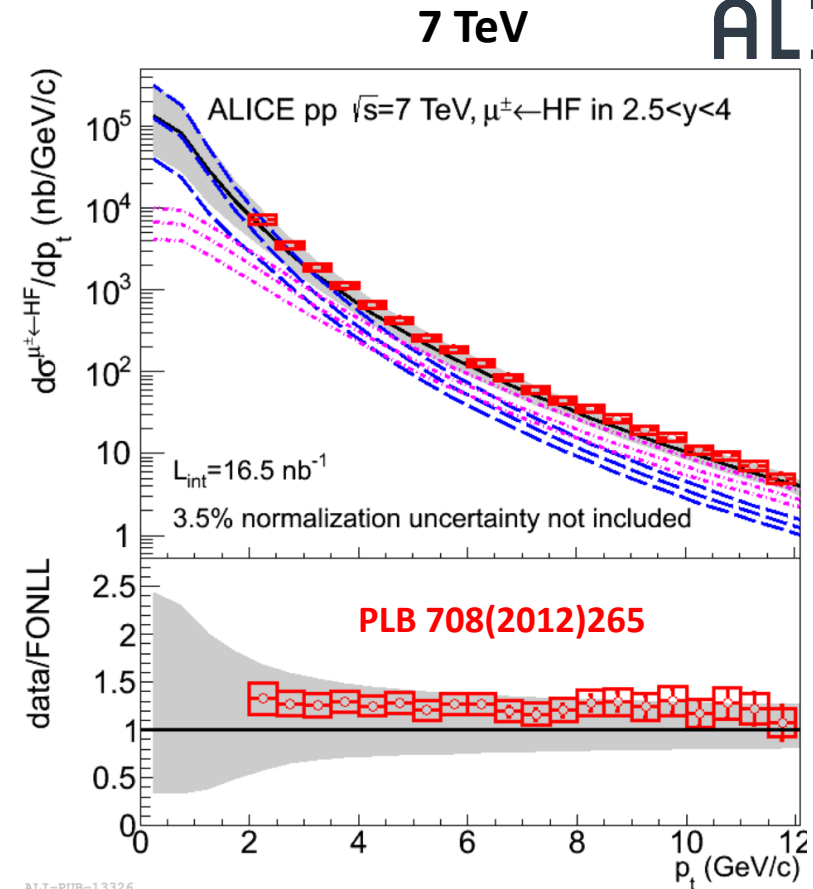
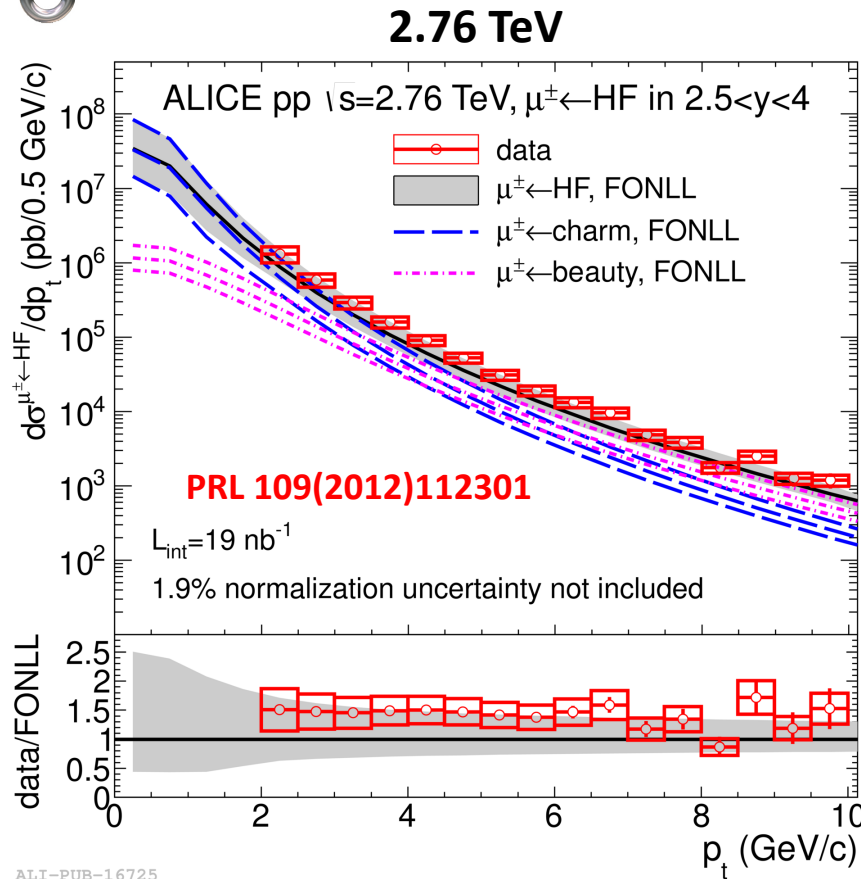
- e^\pm from beauty decays at mid-rapidity
- pQCD calculations in reasonable agreement with data within uncertainties



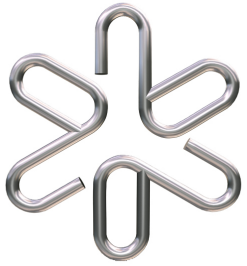
pp results: semi-muonic decay channel



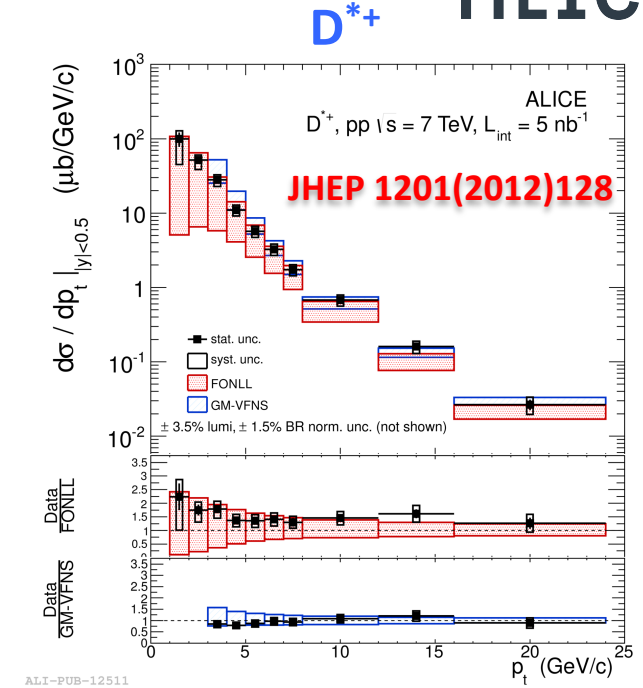
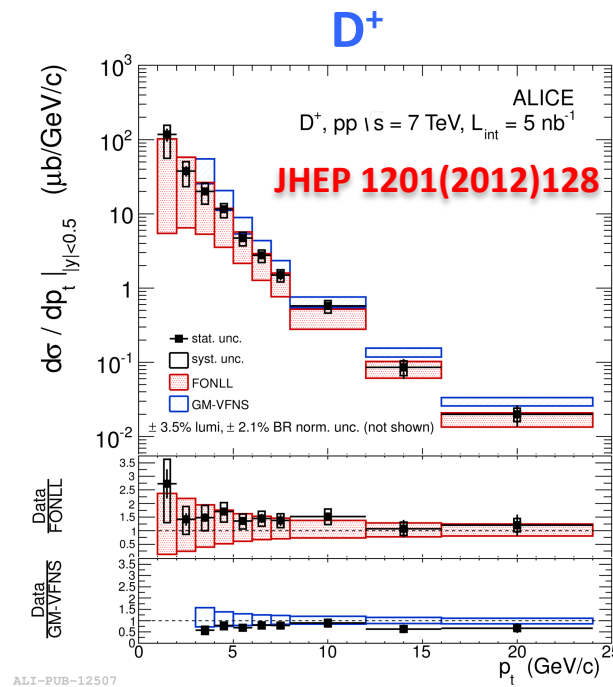
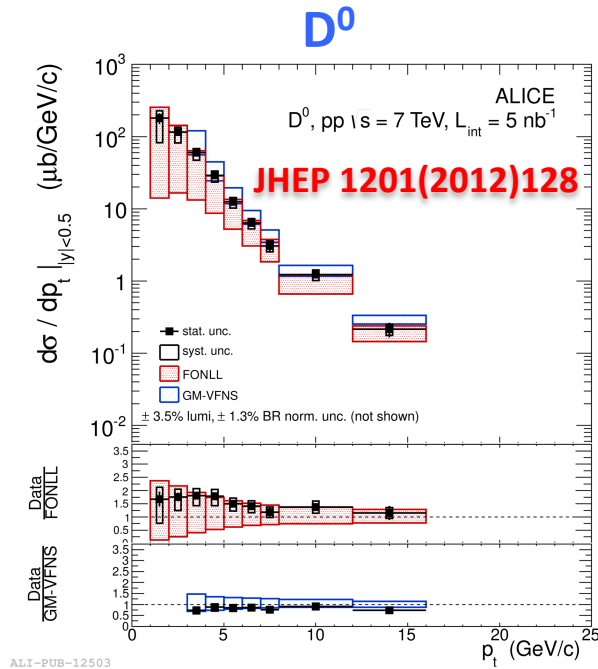
ALICE



- μ^\pm from HF decays at forward rapidity
- pQCD calculations in reasonable agreement with data within uncertainties



pp results: hadronic decay channels



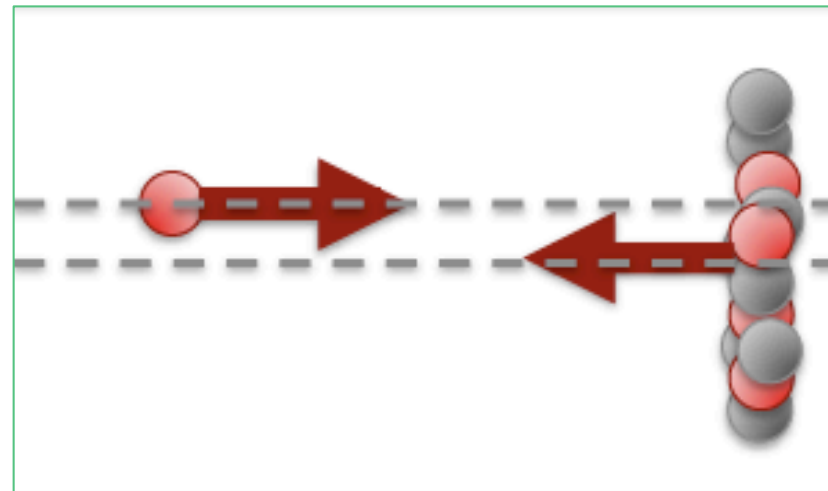
- pQCD calculations in reasonable agreement with data within uncertainties for all D-meson species.

pQCD calculations:

- FONLL: JHEP 1210(2012)37
- GM-VFNS: EPJ C72(2012)2082



Results in p-Pb collisions



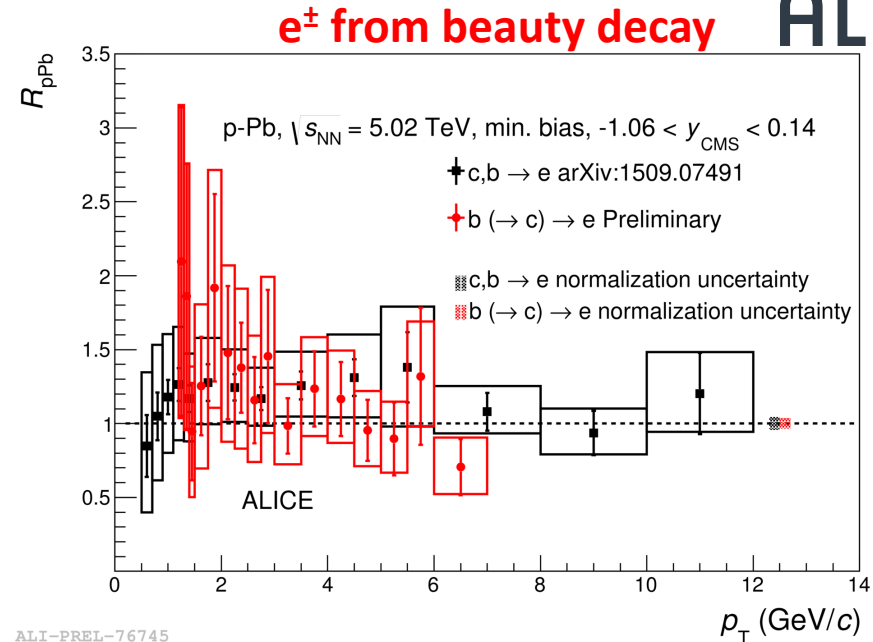
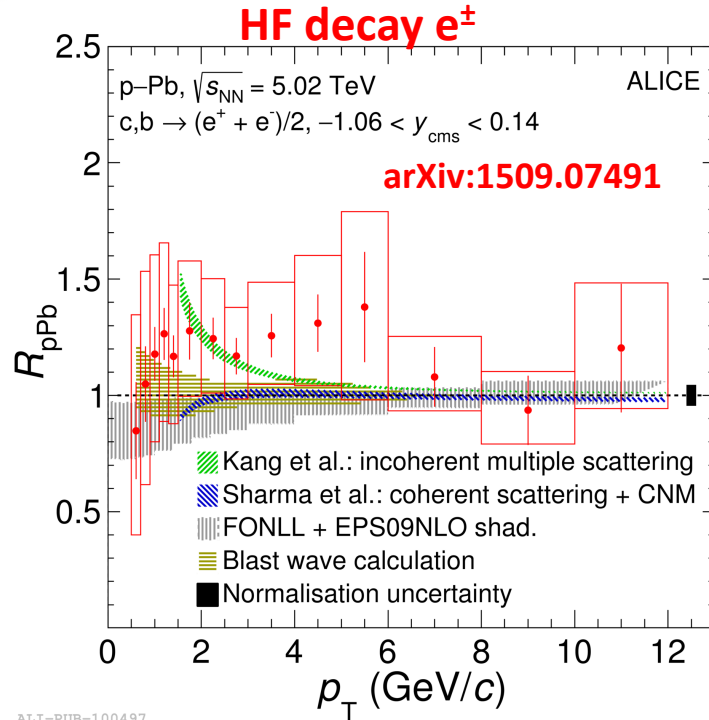
p-Pb



p-Pb results: semi-electronic decay channel

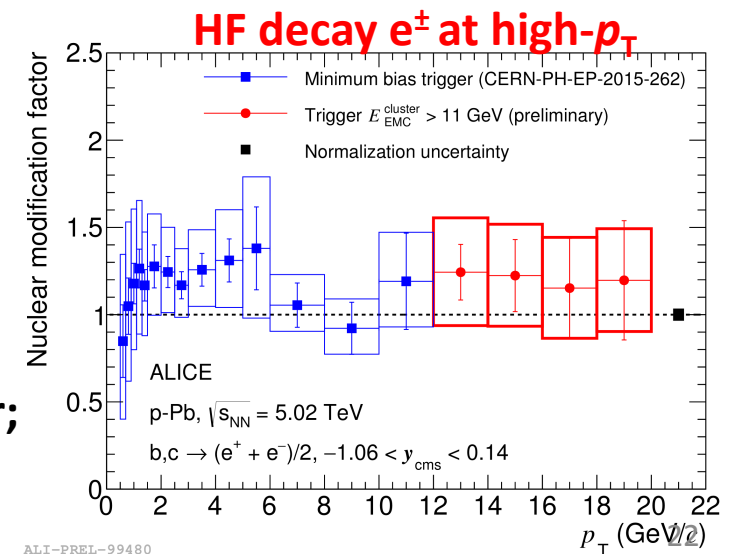


ALICE



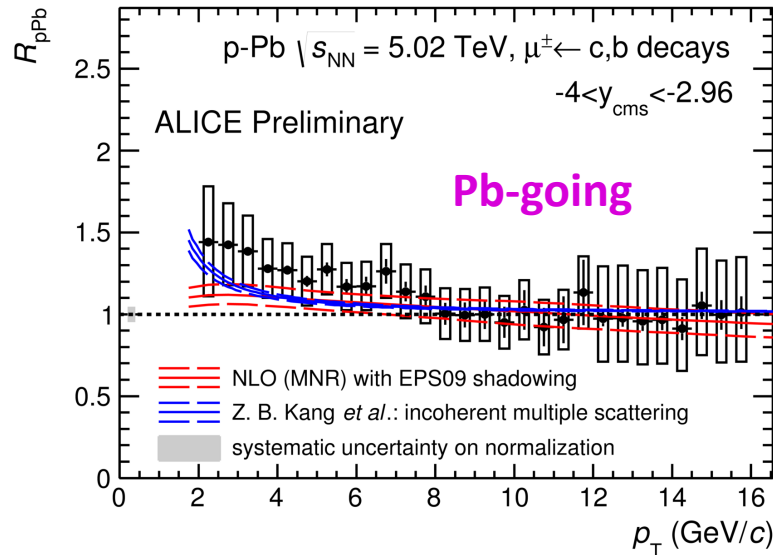
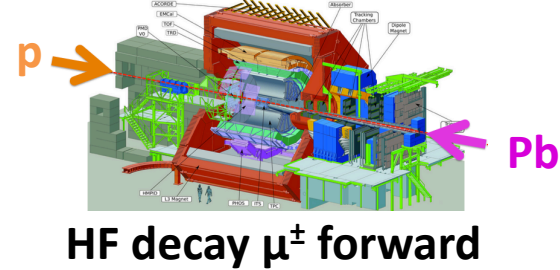
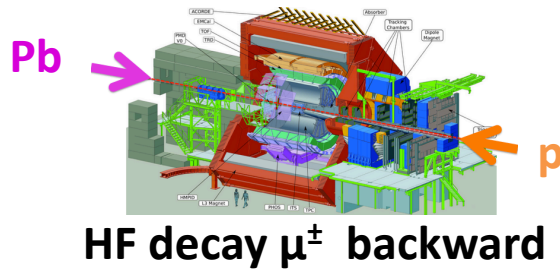
Electrons:

- R_{pPb} of HF-decay e^\pm consistent with unity and described by models including initial-state effects or radial flow within uncertainties;
- R_{pPb} of beauty-hadron decay electrons consistent with HF-decay electron R_{pPb} and with unity;
- Extension of HF-decay e^\pm in high- p_T using the EMCAL trigger;
- No indication for suppression at intermediate/high p_T

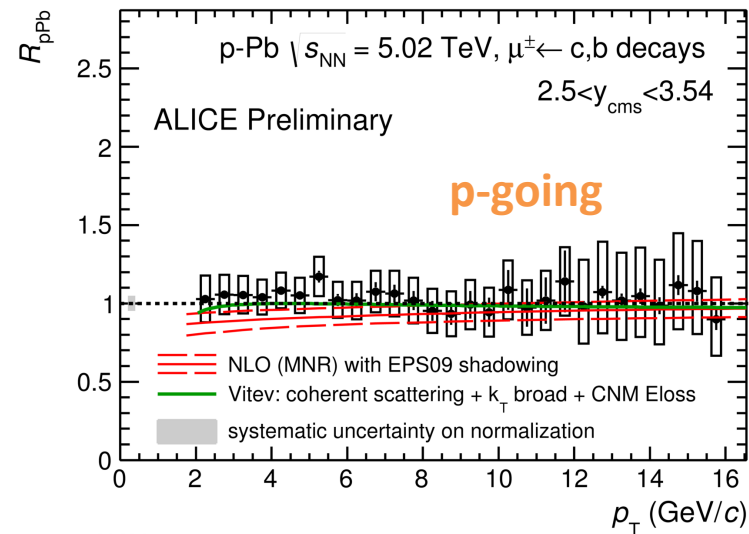




p-Pb results: semi-muonic decay channel



ALI-PREL-90691



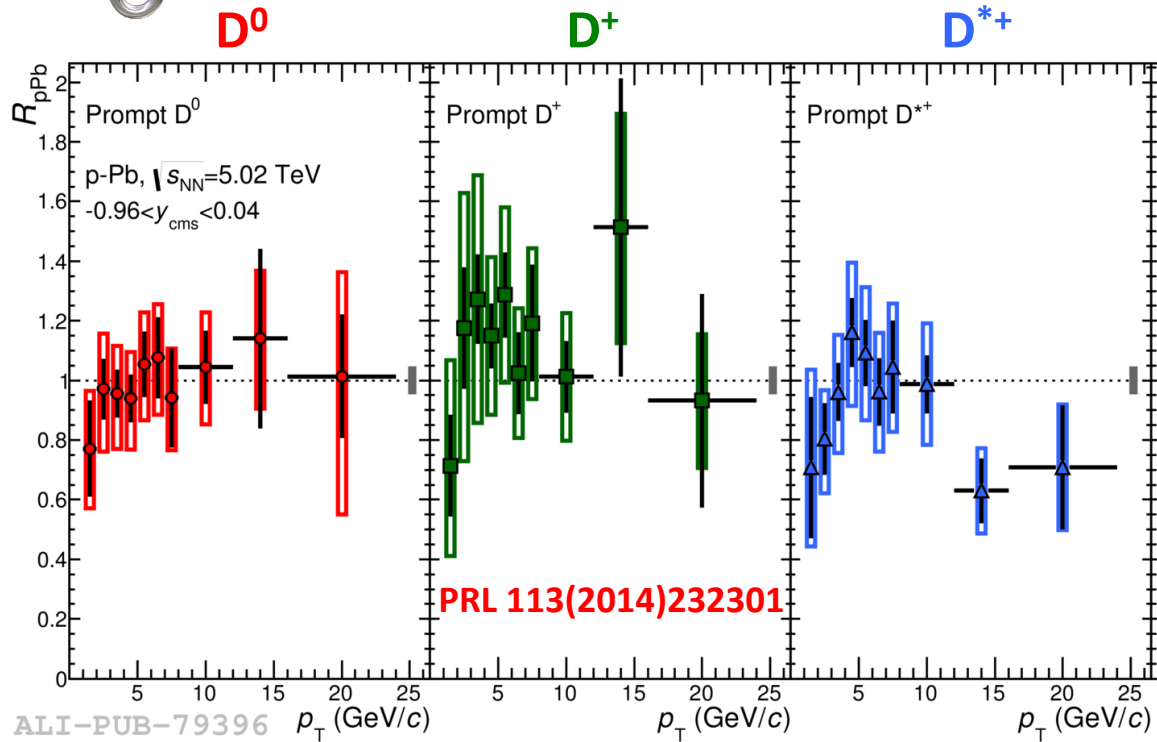
ALI-PREL-90686

Muons:

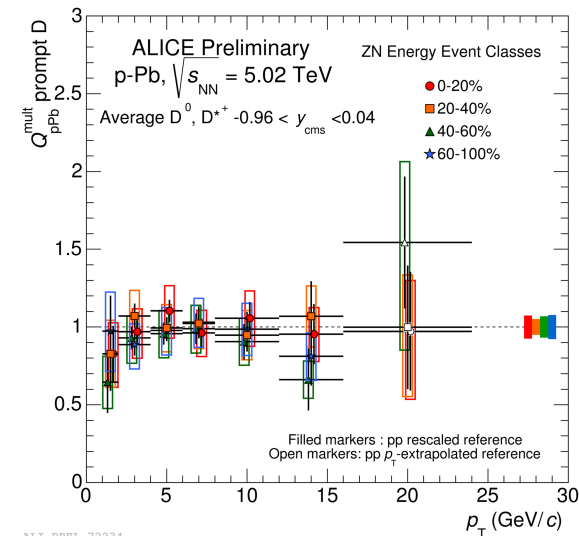
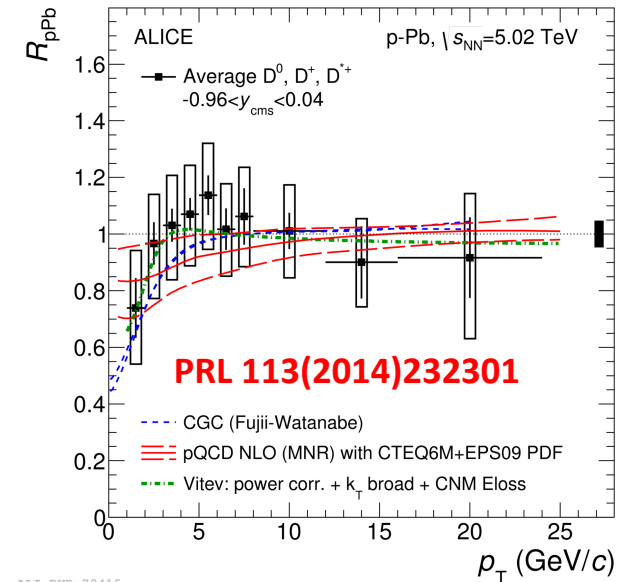
- Different rapidity ranges allows the study of different x regimes of heavy-flavour production.
- R_{pPb} of HF decay muons is consistent with unity at forward rapidity and slightly larger than unity at backward rapidity for $2 < p_T < 4$ GeV/c.
- Described within uncertainties by models including cold nuclear matter effects.



p-Pb results: hadronic decay channels

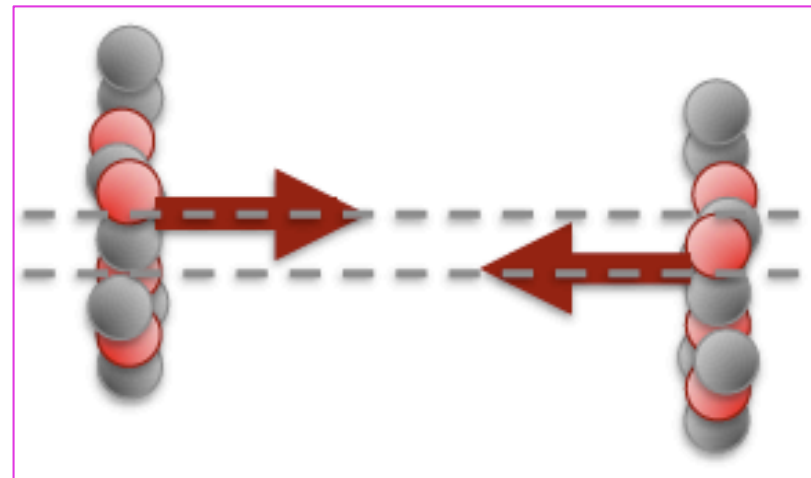


- R_{pPb} consistent with unity for all D-meson species
- Described within uncertainties by models including initial-state effects
- No indication for suppression at intermediate/high p_T
- No multiplicity dependence





Results in Pb-Pb collisions



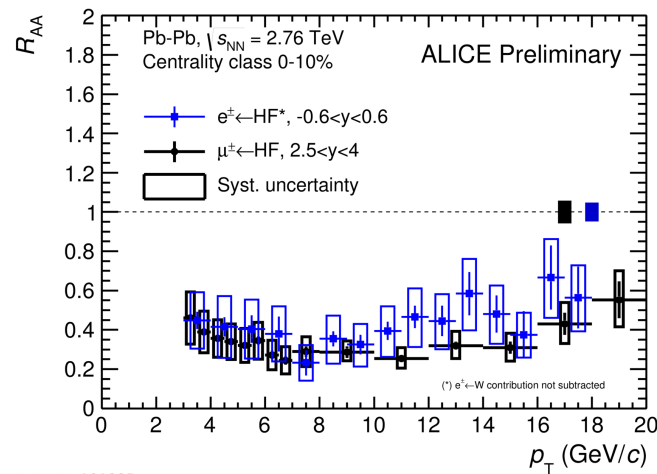
Pb-Pb



Pb-Pb results: semi-leptonic decay channels

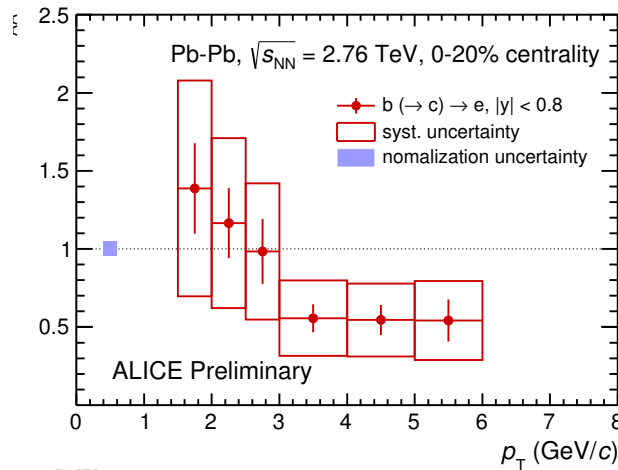


HF decay e^\pm and μ^\pm



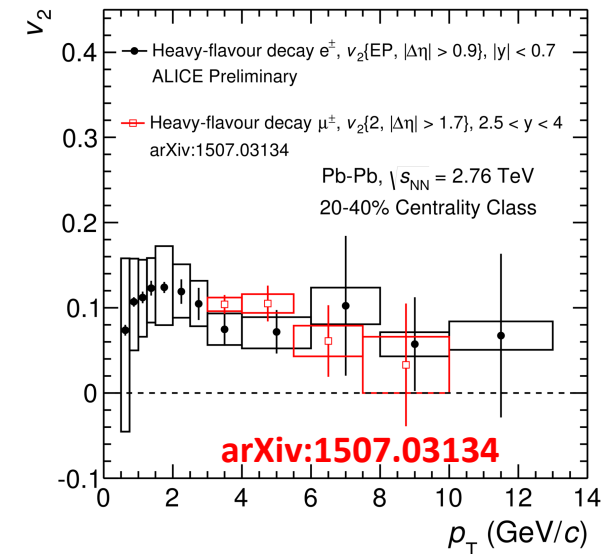
ALI-PREL-101085

e^\pm from beauty decay



REL-74678

v_2



ALI-PREL-77628

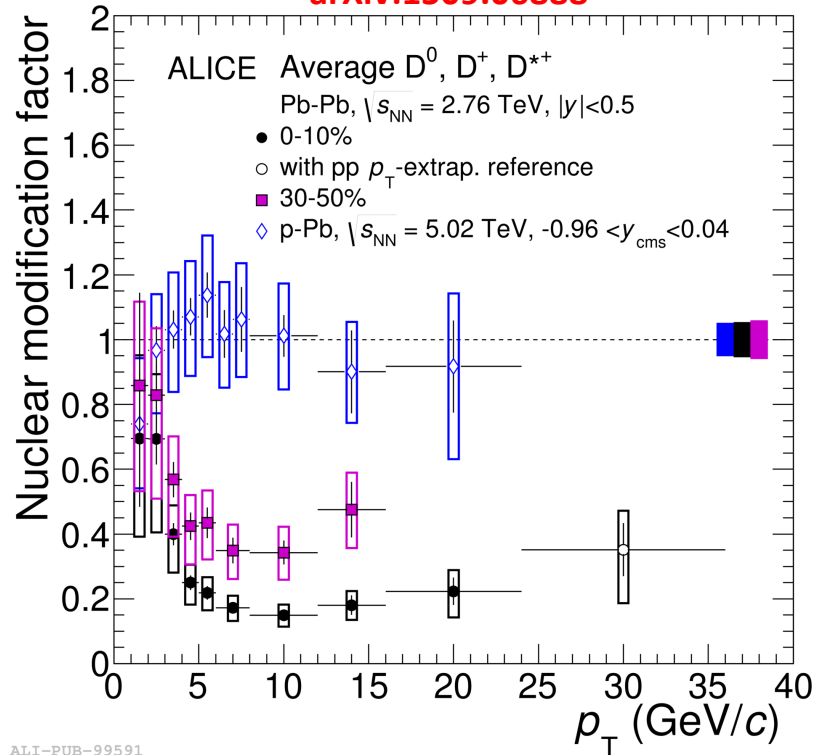
- Similar suppression for HF decay electrons ($|y| < 0.6$) and muons ($2.5 < y < 4$) in high p_T
- Electrons from beauty decays suppressed above 3 GeV/c
- **Strong suppression** of the yields of HF decay electrons and muons in intermediate/high p_T indicates a **strong modification of the spectra** in central Pb-Pb collisions **due to the energy loss in the parton level**.
- Similar elliptic flow for HF decay electrons and muons in high p_T
- $v_2 > 0$ confirms the strong interaction of heavy quarks with the medium
- Indication that heavy quarks participate in the collective expansion of the QGP



Pb-Pb results: hadronic decay channels



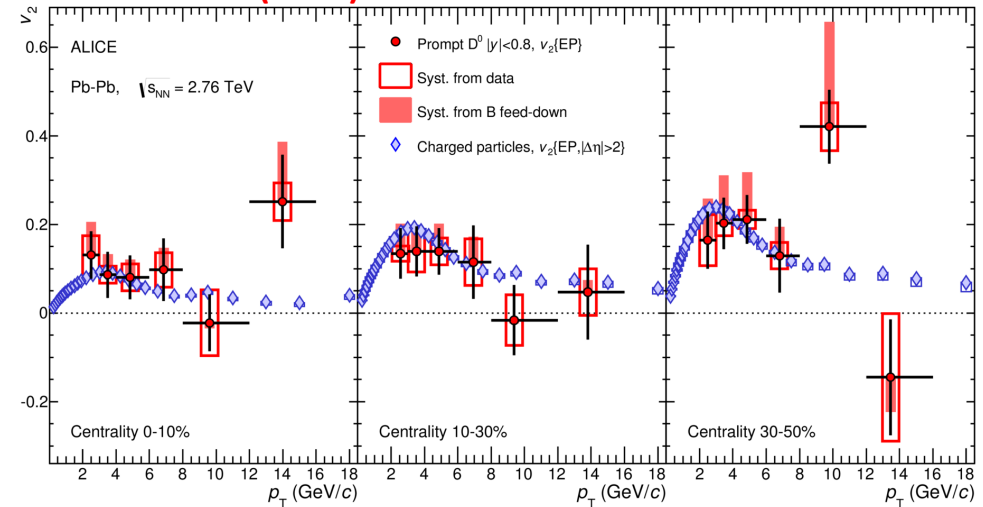
arXiv:1509.06888



ALI-PUB-99591

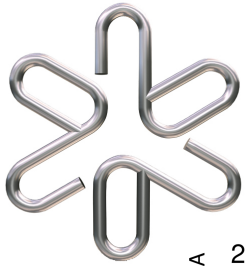
- No suppression in p-Pb
- Observed suppression at intermediate/high p_T ($p_T > 2$ GeV/c) in central Pb-Pb collisions at the LHC is due to the strong interaction of charm quarks with the QGP

PRC90(2014)034904

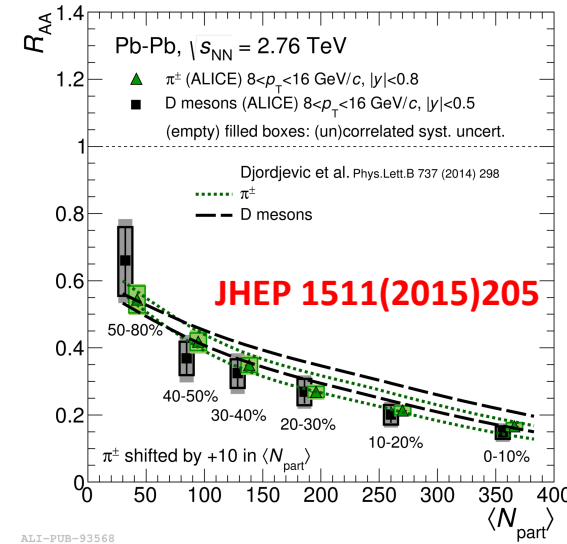
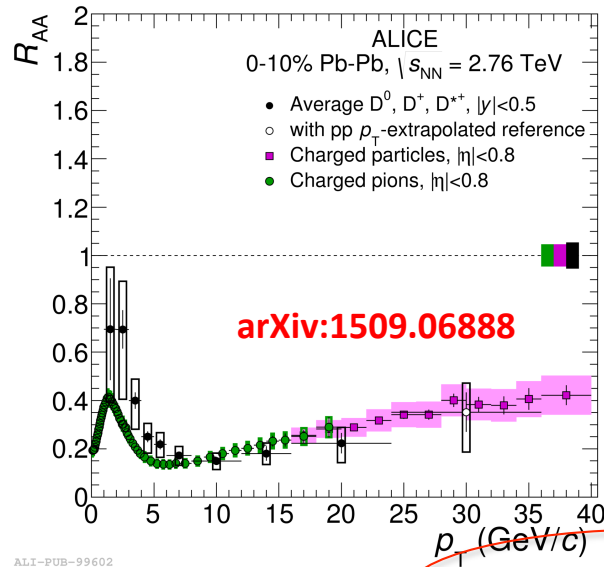


ALI-PUB-70100

- D-meson $v_2 > 0$ and similar to charged-particle v_2
- Increasing v_2 with decreasing centrality
- Indication of collective motion of low- p_T charm quarks in the medium



Pb-Pb results: D mesons vs. light particles

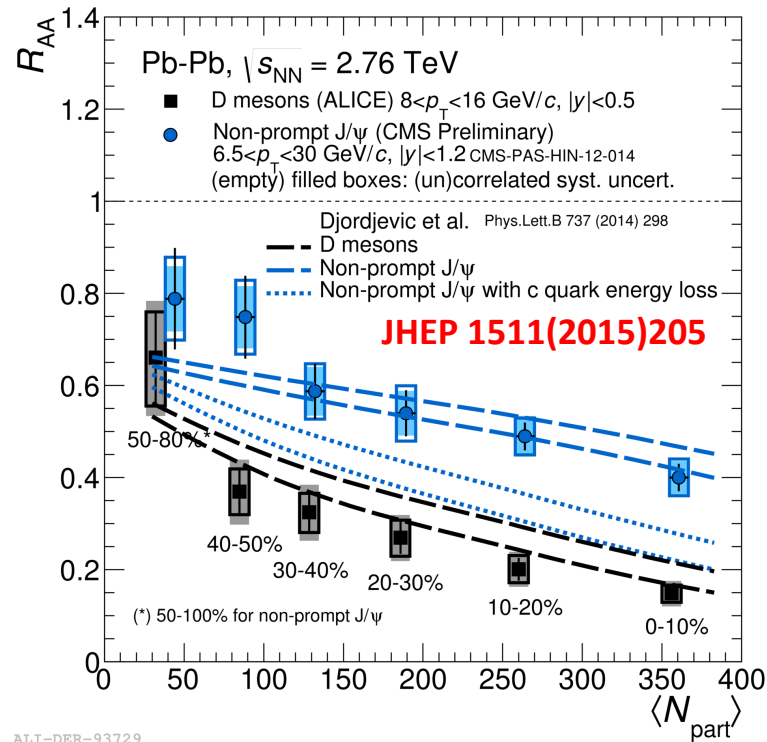


$$R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B)$$

- D-meson R_{AA} is compatible with pion R_{AA} within the uncertainties:
 - ✓ Colour-charge energy loss dependence;
 - ✓ Softer fragmentation of gluons (light-flavour originates mainly from gluon fragmentation at LHC energy) ;
 - ✓ Different shapes of the partons p_T distributions;
 - Effects counterbalance the energy loss for light hadrons.
- Models including mass dependence of energy loss, different shape of parton p_T distributions and different fragmentation functions can explain: $R_{AA}(\pi) \approx R_{AA}(D)$ [PRL 1124\(2014\)042302](#)



Pb-Pb results: D mesons vs. non-prompt J/ψ



$$R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B)$$

Non-prompt J/ψ: B → J/ψ

Measured by CMS: CMS-PAS-HIN-12-014

- Different suppression observed for D mesons and non-prompt J/ψ:
 - ✓ Dead-cone effect reduces radiative energy loss (E/m);
 - ✓ Collisional energy loss expected to be reduced for heavier quarks;
- Difference predicted by models including **mass dependence** of the energy loss

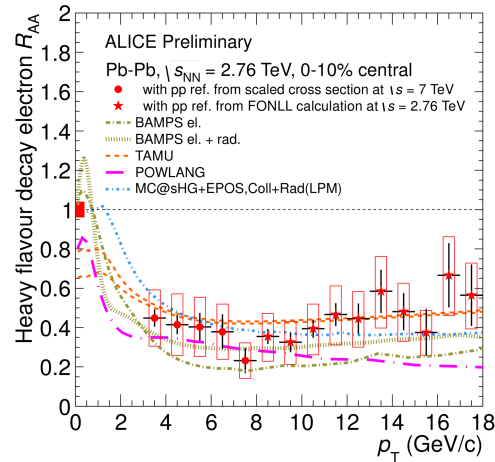
PRL 112(2014)042302



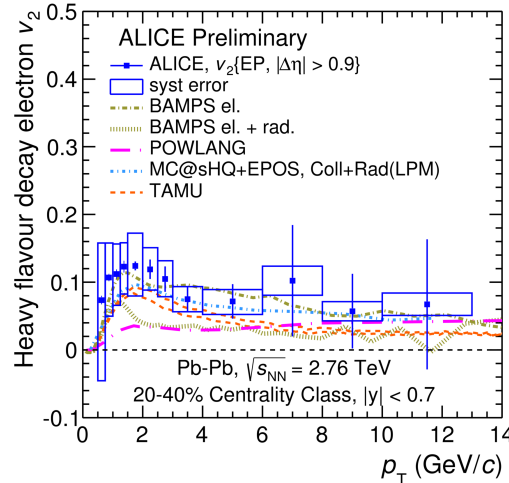
Pb-Pb results: comparison with models



ALICE



ALI-PREL-77686



ALI-PREL-77576

BAMPS: heavy-quark transport using Boltzmann equation with collisional energy loss in an expanding QGP. [JPG38 \(2011\) 124152](#)

BAMPS el. + rad.: uses LPM (Landau-Pomeranchuk-Migdal) to include radiative energy loss.

[JPG\(2015\)11,115106](#)

TAMU: heavy-quark transport using resonant scatterings and recombination for the hadronization. [PRC 86 \(2012\) 014903](#)

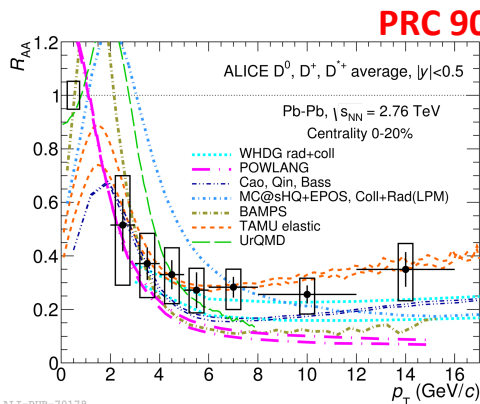
POWLANG: heavy-quark transport using Langevin equation with collisional energy loss. [EJC71 \(2011\) 1666](#)

MC@HQ+EPOS Coll+Rad(LPM): includes collisional and radiative energy loss in an expanding medium, based on EPOS model. [PRC79\(2009\)044906](#)

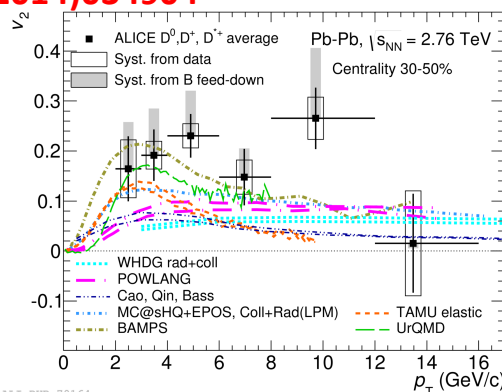
WHDG: pQCD calculations including radiative and collisional energy loss. [JG38 \(2011\) 124114](#)

Cao, Qin, Bass: uses Langevin with a radiative term and includes recombination. [PRC 92\(2015\)2,024907](#)

UrQMD: uses Langevin approach implemented within the UrQMD model. [arXiv:1211.6912](#)



ALI-PUB-70179



ALI-PUB-70164

- R_{AA} and v_2 provides constraints to model;
- Simultaneous description of R_{AA} and v_2 still challenging;



Conclusions



pp collisions

- Heavy-flavour cross sections are described by pQCD calculations within uncertainties.

p-Pb collisions

- Cold nuclear matter effects are small.
- Some models including collectivity in small systems can describe the data.

Pb-Pb collisions

- Strong interaction of heavy quarks with the QGP.
- Suppression of yields at high p_T consistent with partonic energy loss models.
- The strong suppression at high p_T is due to the hot and dense medium, since R_{pPb} is consistent with unity.
- Indication for charm participating in the collective expansion of the QGP.



Thank you for your attention!



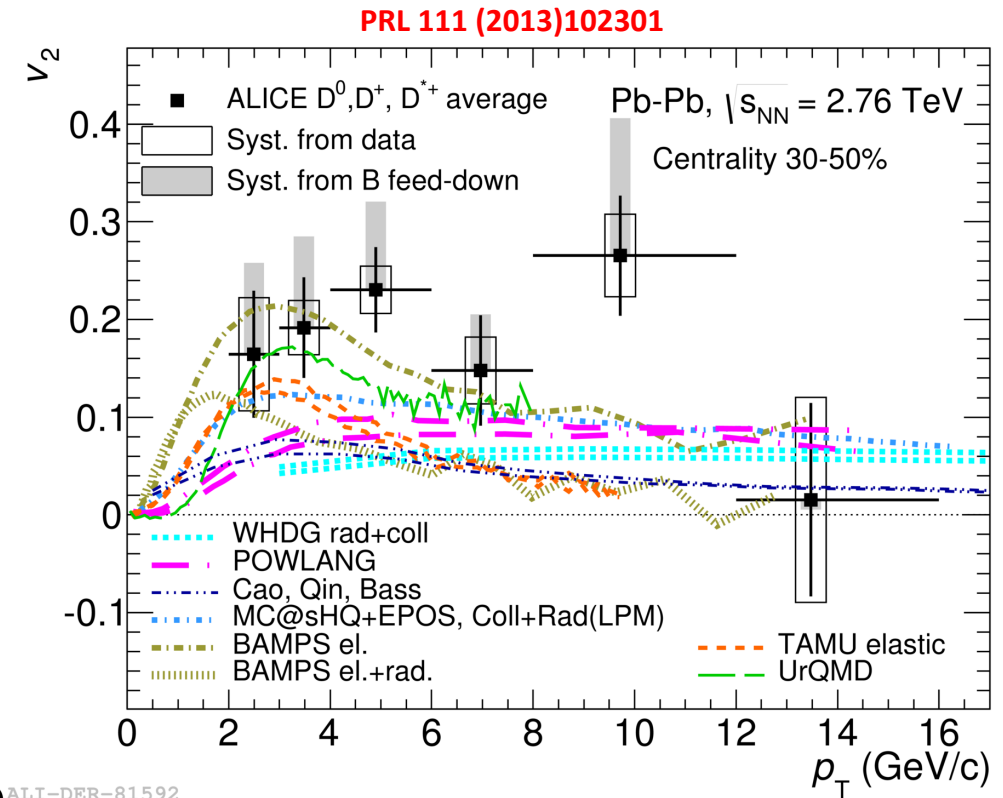
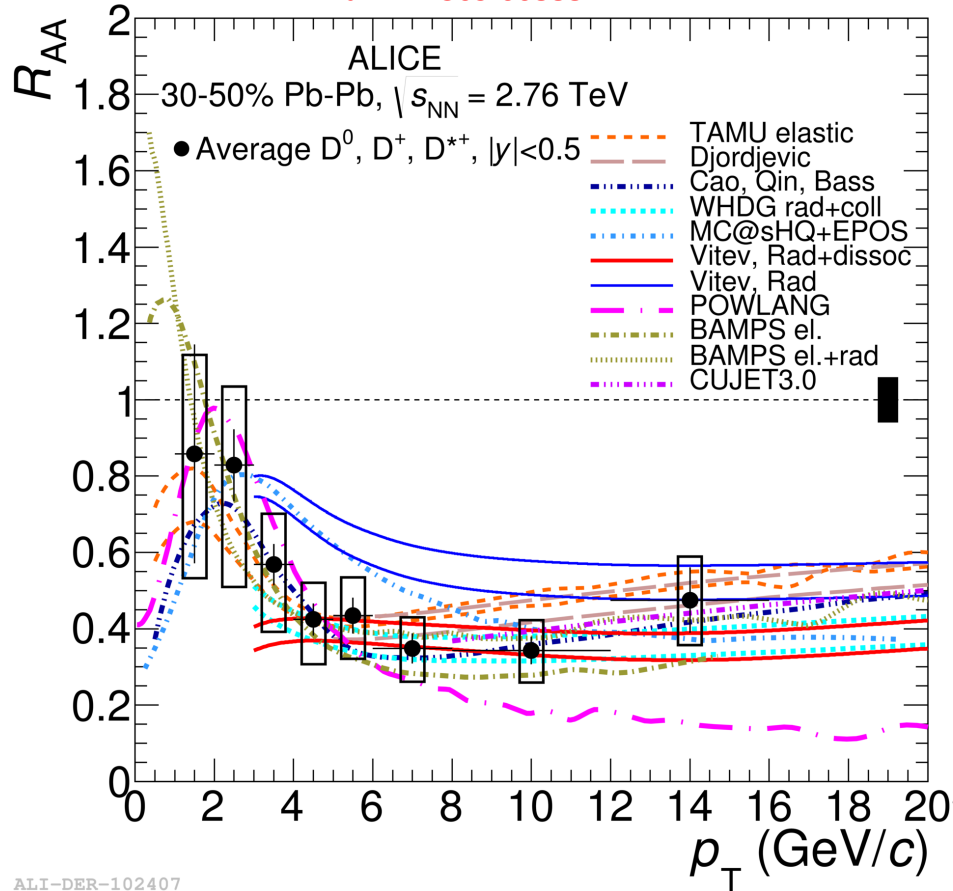
Extra slides



D-meson R_{AA} : 30-50%

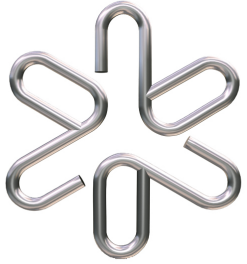


arXiv:1509.06888



ALI-DER-102407

ALI-DER-81592



ALICE



- ✓ **Main observables measured by ALICE:**
 - **Heavy flavour production and jet fragmentation: to probe energy loss in the plasma phase and parton kinematics;**
 - **Elliptic flow: sensitive to QGP properties (shear viscosity/ equation of state);**
 - **Prompt photons: to study the thermal radiation from the early phase;**
 - **Quarkonia production: probes deconfinement and parton recombination;**
 - **Particles ratios and p_T distributions: can reveal thermodynamical properties and hydrodynamical evolution of the medium.**



ALICE

A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.

ITS (Inner Tracking System):

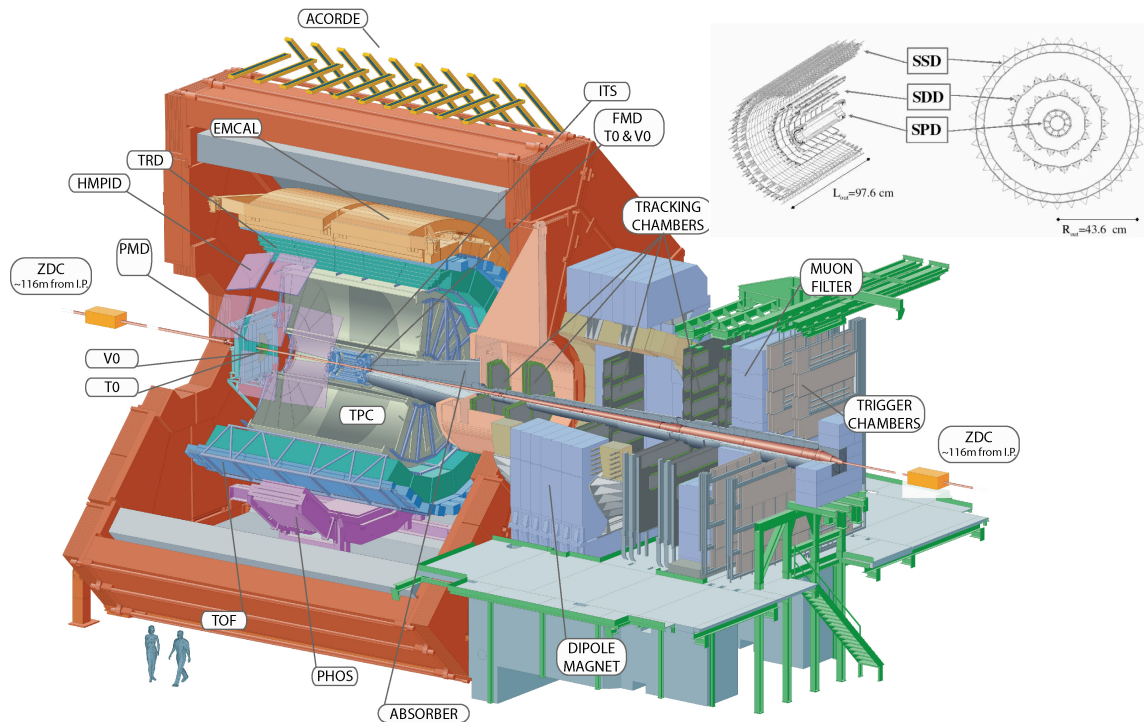
✓ The ITS is a silicon detector.

✓ It is used to identify trajectories and determine the primary and secondary vertices.

✓ It is used for particle identification through the measurement of specific energy loss.

✓ It consists of six layers with three different technologies:

- SPD - Silicon Pixel Detector
- SDD - Silicon Drift Detector
- SSD - Silicon Strip Detector





ALICE

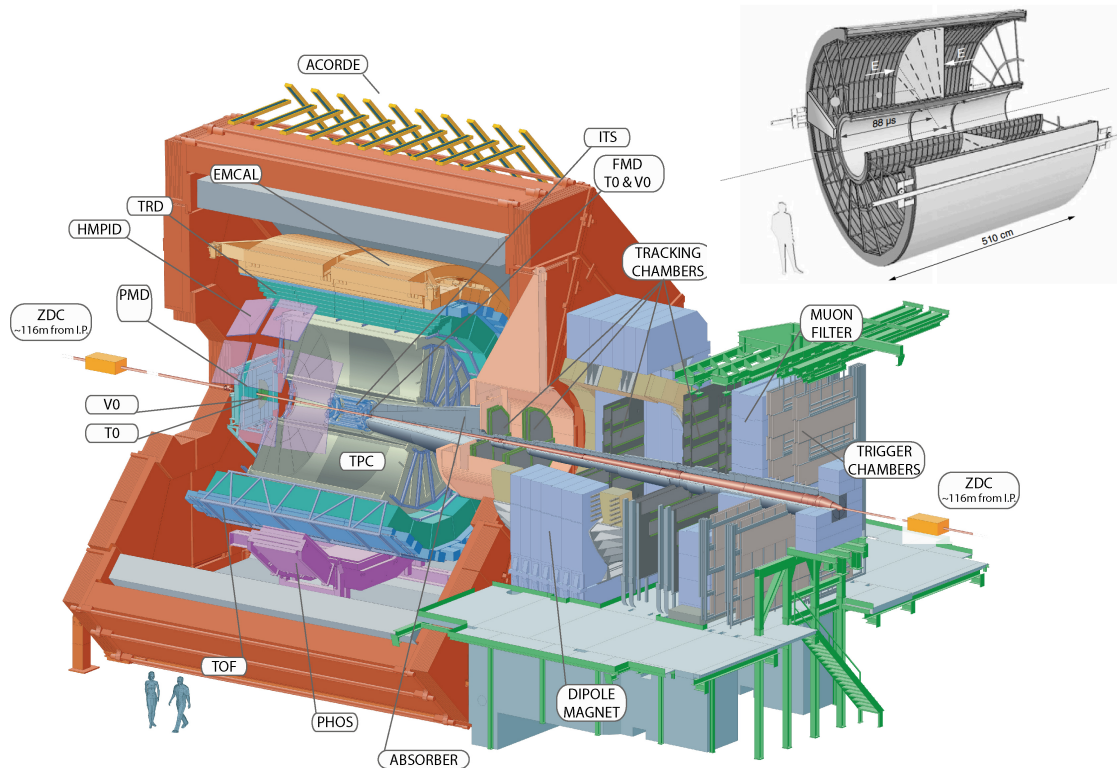
A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.

TPC (Time Projection Chamber):

- ✓ The TPC is a gaseous detector.
- ✓ It is the main tracking detector of the ALICE.
- ✓ It is used for particle identification through the measurements of specific energy loss in the gas.
- ✓ The detector consists of a big cylinder with internal radius of 85 cm and external radius of 250 cm. Its length in the beam direction is 500 cm.





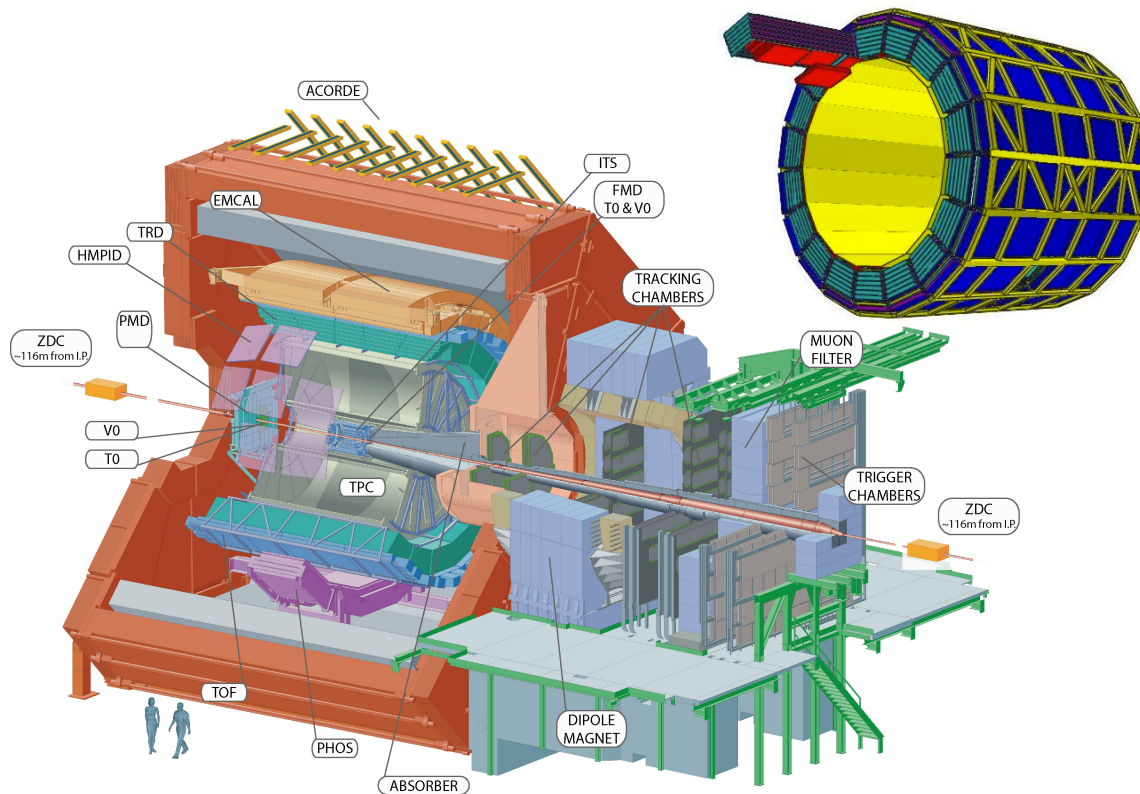
ALICE

A Large Ion Collider Experiment



ALICE

Dedicated experiment to study heavy-ion collisions and the QGP.



TRD (Transition Radiation Detector):

- ✓ It consists of a radiator, followed by a drift section and a multi-wire proportional chamber;
- ✓ The main purpose of the TRD is to provide electron identification;
- ✓ Specific energy loss in the gas (X_e/CO_2) is used to separate electrons and hadrons;
- ✓ It covers 360° in the azimuthal direction and in pseudo-rapidity the acceptance is $-0.84 \leq \eta \leq 0.84$.

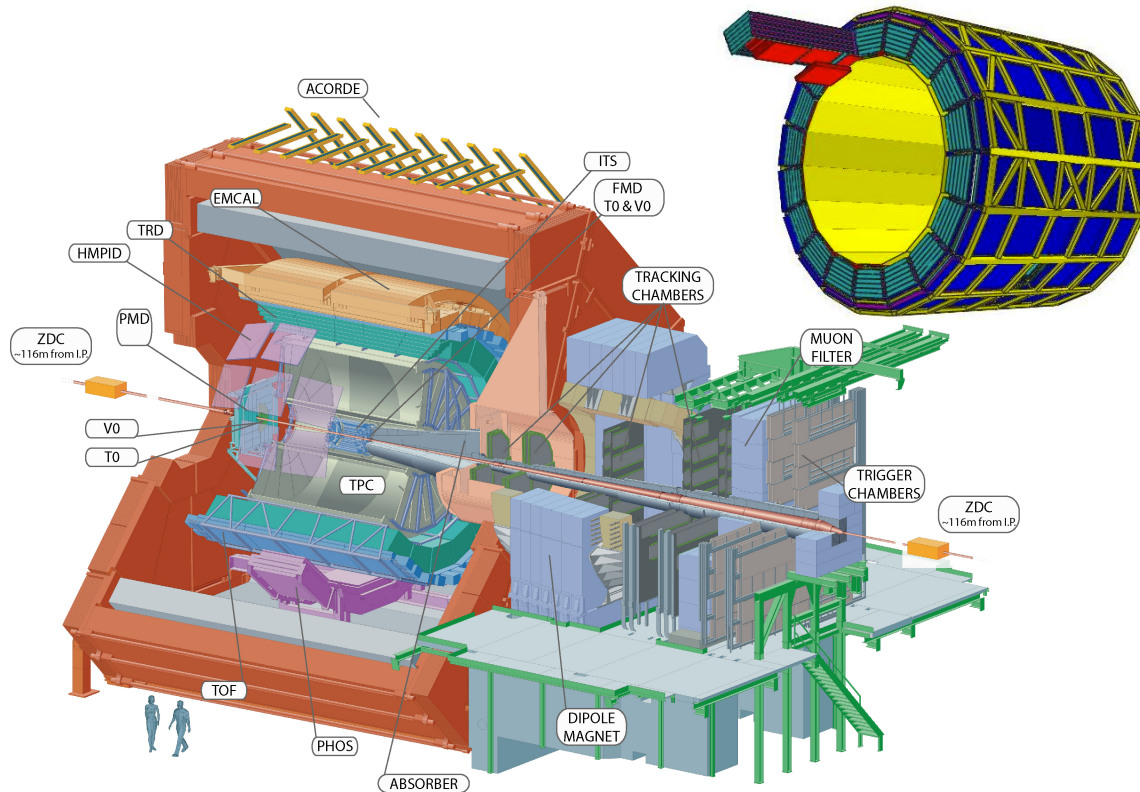


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A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.



TOF (Time-Of-Flight):

- ✓ TOF is a detector used to identify particles in the range 0.2 to 2.5 GeV/c.
- ✓ The particle identification is based on the time-of-flight of the particles.
- ✓ The detector consists of a Multi-gap Resistive-Plate Chamber (MRPC), filled with gas.
- ✓ It consists of a large area array in the pseudo-rapidity region of $-0.9 \leq \eta \leq 0.9$. The azimuthal coverage is 360 degrees.



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A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.

EMCal (ElectroMagnetic Calorimeter):

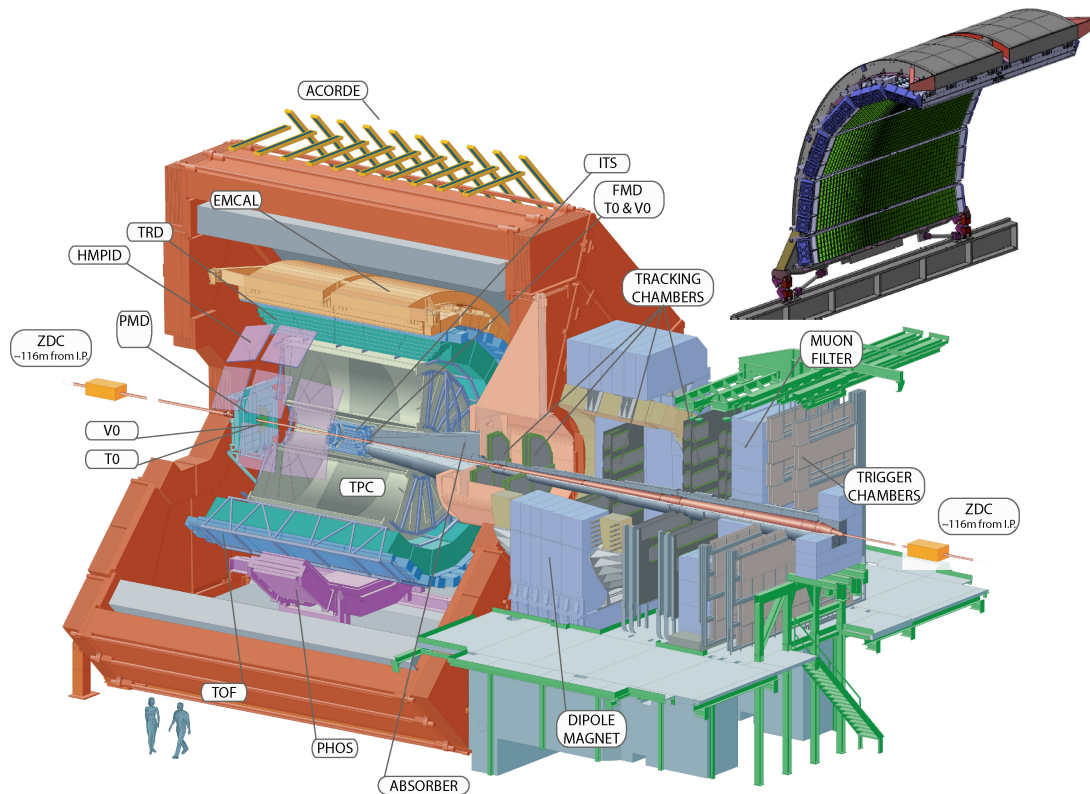
✓ It measures energy of electrons and photons: the signal produced by the particle is proportional to the energy deposited.

✓ It is composed of alternating layers of Pb and scintillator (polystyrene).

✓ It covers 107 degrees in the azimuthal direction and in pseudo-rapidity the acceptance is $-0.7 \leq \eta \leq 0.7$.

✓ It is used as trigger;

✓ Run 2: DCAL with 60 degrees in the azimuthal.





ALICE

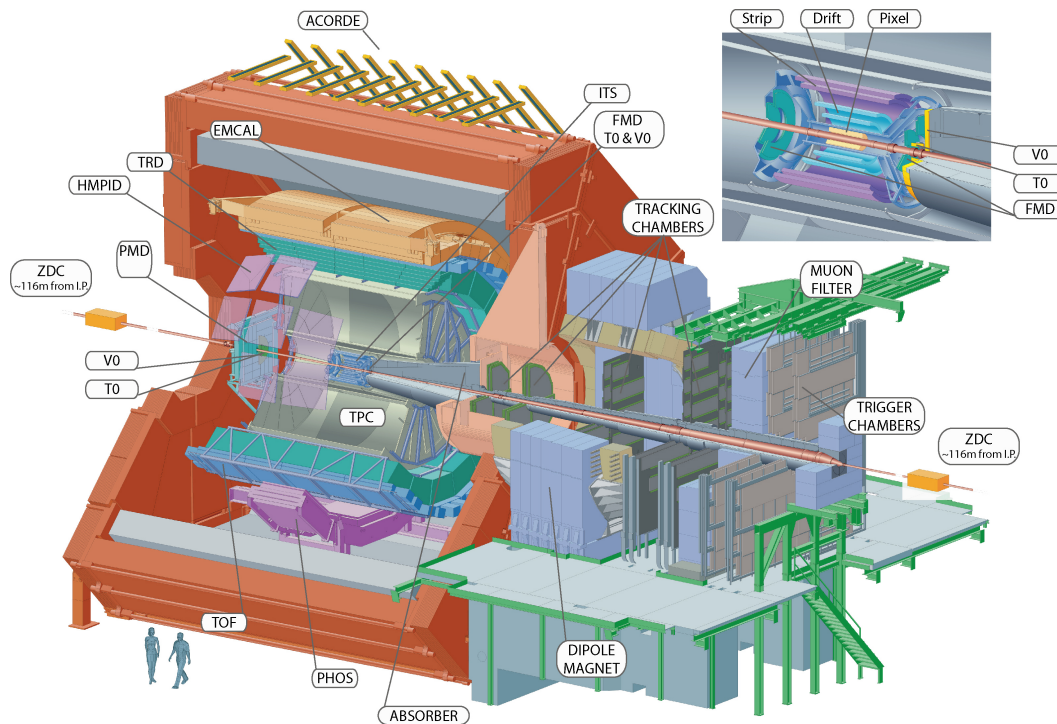
A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.

V0:

- ✓ The V0 is a scintillator detector used as trigger for minimum bias events.
- ✓ It is also used to measure the centrality of the collisions.
- ✓ The V0 is composed of two sections: V0A and V0C, located in each side of the interaction point.
- ✓ The V0A is located 340 cm from the vertex and the V0C is located 90 cm from the vertex.





ALICE

A Large Ion Collider Experiment



Dedicated experiment to study heavy-ion collisions and the QGP.

Muon spectrometer:

✓ Designed to detect muons at forward rapidity $-4.0 \leq \eta \leq -2.5$.

✓ The spectrometer consists of:

- A passive front absorber to absorb hadrons and photons;
- A high-granularity tracking system planes;
- A large dipole magnet;
- A passive muon filter wall;
- Four planes of trigger chambers;

✓ Optimized to study heavy quark resonances.

