

High Energy Physics in the LHC era

6th International Workshop – 6-12 January 2016

Universidad Técnica Federico Santa María, Valparaiso, Chile

Tackling the flavour problem via spontaneous breaking of flavour $SU(3)^3$

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[Work done in part with C.S. Fong and J.R. Espinosa]

The SM fermions gauge invariant kinetic term:

$$\sum_{f=Q,\ell,u,d,e} \bar{\Psi}_f \not{D}_f \Psi_f$$

Only five \not{D}_f for 15 fermions.
Formally: $\mathcal{G}_F = U(3)^5$ invariance.
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No multiplet structure in the spectrum: $\Rightarrow SSB$

Restricting to quarks and the broken subgroup $SU(3)^3$

$$\mathcal{G}_F = SU(3)_Q \times SU(3)_u \times SU(3)_d$$

$$Q = (3, 1, 1), \quad u = (1, 3, 1), \quad d = (1, 1, 3)$$

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[3] C.S. Fong and E.Nardi, Phys.Rev. **D89**, 036008 (2014).

Plan of the talk: the vacuum structure of $V(Y_u, Y_d)$

1. Yukawa hierarchy: A single Yukawa field $(\bar{Q}_L Y q_R)$

Flavour symmetry: $\mathcal{G}_{LR} = SU(3)_L \times SU(3)_R$.

See if the the most general \mathcal{G}_{LR} invariant $V(Y)$ admits minima $\langle Y_{aa} \rangle \sim (y_1, y_2, y_3)$ with a hierarchical structure $y_1 \ll y_2 \ll y_3$.

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- **The breaking $\mathcal{G}_{LR} \rightarrow U(1)^2$ must occur already at tree level!**
- This can be achieved adding reducible scalar representations: $Y + (Z_L, Z_R)$.

2.

Quark mixings:

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3. By augmenting $V(Y_u, Y_d) \longrightarrow V(Y_u, Y_d; Z_{Q_1}, Z_{Q_2}, Z_u, Z_d)$

- 😊 The whole set $\{Y_u, Y_d, V_{CKM}, \delta_{\mathcal{CP}}\}$ can be reproduced *without introducing any hierarchical parameter*

$Y_{u,d}$ invariants and the T, A, D parametrization

Singular value decomposition for the non-Abelian multiplet Y :

$$Y = \mathcal{V}^\dagger \chi \mathcal{U}$$

\mathcal{V}, \mathcal{U} unitary field matrices, $\chi = \chi_u = \text{diag}(u_1, u_2, u_3)$; [with vevs $\langle u_i \rangle \geq 0$]

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$SU(N)$ invariants: With $D \leq 4$ only 3 (& non-ren $D > 4$)

$$T = \text{Tr}(Y Y^\dagger) = \sum_i u_i^2;$$

$$A = \text{Tr}[\text{Adj}(Y Y^\dagger)] = \frac{1}{2} \sum_{i \neq j} u_i^2 u_j^2$$

$$\mathcal{D} = \text{Det}(Y) \equiv e^{i\delta} D = e^{i\delta} \prod_i u_i; \quad [\delta = \text{Arg Det}(\mathcal{V}^\dagger \mathcal{U})]$$

[Characteristic eqn.: $\mathcal{P}(\xi) = \det(\xi I - Y Y^\dagger) = \xi^3 - T \xi^2 + A \xi - D^2 = 0$]

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The $\mathcal{G}_{\mathcal{F}}$ invariant potential: $V(Y) = V[T(\chi), A(\chi), \mathcal{D}(\chi)]$

Scalar potential and classification of the vacua

$$V = \frac{1}{\Lambda^4} \hat{V} = \lambda \left[T - \frac{m^2}{2\lambda} \right]^2 + \lambda_A A + \underbrace{\tilde{\mu} \mathcal{D} + \tilde{\mu}^* \mathcal{D}^\dagger}_{2\mu \cos \tilde{\delta} \cdot D}$$

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$$(1): \lambda_A < 0: \Rightarrow A_{\max}, D_{\max}, \langle \tilde{\delta} \rangle = \pi, \langle \chi \rangle_s \quad \underline{SU(3) \times SU(3) \rightarrow SU(3)}$$

$$(2): \lambda_A > 0: \Rightarrow \begin{cases} \frac{\mu^2}{m^2} > \mathcal{F}\left(\frac{\lambda_A}{\lambda}\right) : D_{\max}, \langle \tilde{\delta} \rangle = \pi, \langle \chi \rangle_s \\ \frac{\mu^2}{m^2} < \mathcal{F}\left(\frac{\lambda_A}{\lambda}\right) : \boxed{A=D=0} \quad (\langle \delta \rangle = ?), \langle \chi \rangle_h \end{cases}$$

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V admits hierarchical vacua $\langle \chi \rangle_h = (0, 0, u)!$ $[SU(3) \times SU(3) \rightarrow SU(2) \times SU(2) \times U(1)]$

Can the vanishing entries be lifted $(0, 0, 1) \rightarrow (\epsilon', \epsilon, 1)$?

Ref.[1]: $V \rightarrow V^{eff} = V_0 + V_1$; if $V_1 \supset c_A \cdot A \log A; c_D \cdot D \log D$ then:

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Expand the vev vector

$$v = v_0 + \epsilon v_1 + \dots$$

Stepwise breaking means:

$$\mathcal{T} \cdot v_0 = 0 \quad \& \quad \mathcal{T} \cdot v_1 \neq 0$$

From Goldstone Theorem:

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Examples of theories with non-NGB massless scalars at tree level:

$$V_{CW} = \lambda \phi^4 \quad (\text{all states are massless at tree level})$$

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In Ref.[2] [J.R. Espinosa, C.S. Fong, EN] it was shown that:

– *Stepwise breaking cannot be triggered by perturbations from opts. of higher dimension either* (unless there are additional massless states in the ren. approx.)

– *Non-perturbative effects can at most yield as smallest little group*

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CONCLUSION: $\mathcal{G}_{\mathcal{F}} \rightarrow H_\epsilon$ breaking should occur already at the tree level!
[$V(Y)$ potential is too simple. We need additional scalar reps.]

A hierarchy $\langle X \rangle_\epsilon = (\epsilon', \epsilon, 1)$ and $\mathcal{G}_{\mathcal{F}} \rightarrow \mathcal{H}_\epsilon$ can be obtained by adding scalars in the fundamental of each $SU(3)_Q \times SU(3)_q$ factors: $Z_Q = (\mathbf{3}, \mathbf{1})$, $Z_u = (\mathbf{1}, \mathbf{3})$.

[Michel-Radicati theorem ($\mathcal{G}_{\mathcal{F}} \rightarrow \mathcal{H}_{\text{maximal}}$) only applies to irreducible $SU(3) \times SU(3)$ representations (Y)]

Step 2: quark mixings $\mathcal{G}_{\mathcal{F}} = SU(3)_Q \times SU(3)_u \times SU(3)_d$

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Only one term is relevant when coupling the u and d sectors

$$V \supset \lambda_{ud} T_{ud} \quad \text{with} \quad T_{ud} = \text{Tr}(Y_u Y_u^\dagger Y_d Y_d^\dagger) = \text{Tr} \left(\mathcal{Y}^\dagger \chi_u^2 \mathcal{Y} \chi_d^2 \right)$$

and $\mathcal{Y} = \mathcal{V}_u \mathcal{V}_d^\dagger$ a unitary matrix of fields with vev: $\langle \mathcal{Y} \rangle = V_{CKM}$

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and $\psi = \mathcal{V}_u \mathcal{V}_d^\dagger$ a unitary matrix of fields with vev: $\langle \psi \rangle = V_{CKM}$

With only two “directions” Y_u and Y_d in $SU(3)_Q$ flavour space there is only one relative “angle”. Then, if $\lambda_{ud} < 0$, $V(Y_u, Y_d)$ is minimized for $\chi_{u,d}$ aligned ($\uparrow\uparrow$)

and $V_{CKM} \propto I$ [if ($\lambda_{ud} > 0$) min. occurs for $\chi_{u,d}$ anti-aligned ($\uparrow\downarrow$)].

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This suggests a way towards the construction of a viable scalar potential:

"INTUITIVELY": We need at least four “directions” in $SU(3)_Q$ flavour space to ‘define’ three relative “angles”. [i.e.: add more scalar reps.]

Complete quark hierarchy & mixing pattern from SF SB

Ref [3] [C.S.Fong, EN] program: Search for $V(Y_{u,d}, \{Z\})$ that can break at the tree level $\mathcal{G}_{\mathcal{F}} = SU(3)_Q \times SU(3)_u \times SU(3)_d$ generating hierarchies, mixings, and \mathcal{CP} .

Previous results suggest: $\{Z\} = \{Z_{Q_{1,2}} \sim (\mathbf{3}, \mathbf{1}, \mathbf{1}), Z_u \sim (\mathbf{1}, \mathbf{3}, \mathbf{1}), Z_d \sim (\mathbf{1}, \mathbf{1}, \mathbf{3})\}$

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- **Flavour irrelevant:** carry larger symmetries: $T \sim [SO(18): \langle \chi \rangle_h \rightarrow \langle \chi \rangle_s]$, $|Z|^2 \sim [SO(6)]$
- **Attractive/repulsive:** Hermitian monomials: $\alpha |YZ|^2$: $\alpha < 0 (> 0)$ Y-Z (anti)alignment,
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$$V_A \supset \left(\mu_q \mathcal{D}_q + \nu_{iq} Z_{Q_i}^\dagger Y_q Z_q \right) + \text{H.c.}$$

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CP-violation: V_A contains 4 physical complex phases. At the minimum, one nonvanishing phase $\delta_{\mathcal{CP}}$ remains in $\langle \mathcal{V} \rangle = V_{CKM}$.

Recap and conclusions

Assuming that the SM fermions belong to triplets of a fundamental flavour symmetry $\mathcal{G}_{\mathcal{F}} = SU(3) \times SU(3) \times \dots$ spontaneously broken by “Yukawa fields”:

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4. All hierarchical suppressions are dynamical (as opposite to parametric), and do not require any particularly small number in $V(Y_q, \{Z\})$.

One numerical example

With these inputs:

$$\begin{aligned}\mu_q = \nu_{1q} = \nu_{2q} &= v/10, & m_{12}^2 &= 0.15 v^2, \\ \gamma_{ud} &= 0.81, & \eta_{12} &= 0.1, & \lambda_{12} &= 1.27, \\ \phi_{\gamma_{ud}} &= 0.98\pi, & \phi_{\eta_{12}} &= 0.92\pi, & \phi_{\nu_{2q}} &= 0.95\pi.\end{aligned}$$

and all other parameters set to 1 (or to -1), we obtain:

$$|\hat{Y}_u| = v \text{diag} (0.0003, 0.009, 1.4),$$

$$|\hat{Y}_d| = v \text{diag} (0.0007, 0.02, 1.2),$$

$$K = V_{CKM} = \begin{pmatrix} 0.974 & 0.223 & 0.027 \\ 0.224 & 0.974 & 0.042 \\ 0.017 & 0.046 & 0.999 \end{pmatrix},$$

$$J = \text{Im} (K_{jk} K_{lm} K_{jm}^* K_{kl}^*) = 2.9 \times 10^{-5}.$$