



UNIVERSITY OF HYDERABAD
हेदराबाद विश्वविद्यालय

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Probing Neutrino Mass Ordering with Supernova Neutrinos in $NO_{\nu A}$ with Active-Active vs. Active-Sterile Scenarios

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Papia Panda

University of Hyderabad

Motivation of the work

- To show mass ordering sensitivity of the supernova neutrinos by detailed statistical analysis in currently running neutrino experiment; $\text{NO}\nu\text{A}$.
- To provide a study of **active-active** and **active-sterile** mixing frameworks → **supernova neutrinos can be used to realize the existence of sterile neutrinos.**
- Interactions among active neutrinos → **active-active** framework
interactions involving sterile neutrinos → **active-sterile** framework.
- To see the effect of different types of systematics on mass ordering sensitivity.
- To see the effect of energy smearing on mass ordering sensitivity.

What is supernova neutrino?

- The core of a massive star with a mass greater than $8M_{\odot}$, where M_{\odot} is the mass of the sun, collapses with a tremendous amount of energy and light at the end of its life, producing a “core-collapse supernova”.
- Approximately 99% of this energy is carried away by neutrinos of various types, and their weakly interacting nature provides valuable insights into the supernova explosion mechanism.



Why supernova neutrinos?

- Neutrinos produced in the supernova reach earth before the optical photons: neutrinos from SN1987A come out nearly 2.5 hours prior to photons.
- Help to know about supernova evolution, black hole and neutron star formation.
- Improve the understanding of neutrino physics.

Supernova neutrinos to understand sterile neutrino existence

- In this study, we assume that sterile neutrinos are produced during neutrino oscillations occurring in the region between the core and the surface of the supernova.
- In our calculations, we have considered only the Mikheyev-Smirnov-Wolfenstein (MSW) effect.

Garching parametrization (ECSN model)

- We have taken Garching electron-capture supernova model (ECSN).
- Flavor dependent primary neutrino spectra can be parametrized by,

$$\Phi_\nu(E_\nu) = \mathcal{N} \left(\frac{E_\nu}{\langle E_\nu \rangle} \right)^\alpha e^{-(\alpha+1) \frac{E_\nu}{\langle E_\nu \rangle}}, \quad (1)$$

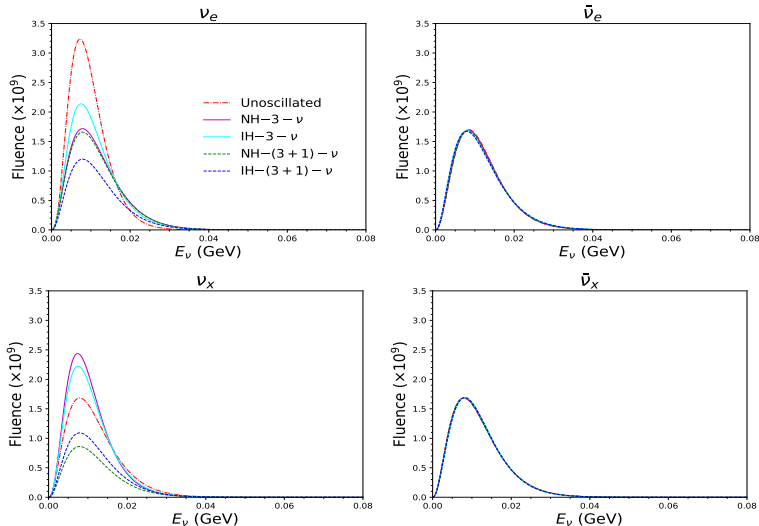
- \mathcal{N} is the normalisation constant with the expression,

$$\mathcal{N} = \frac{(\alpha + 1)^{\alpha+1}}{\langle E_\nu \rangle \Gamma(\alpha + 1)}. \quad (2)$$

- The neutrino flux (F_ν^0) at neutrinosphere,

$$F_\nu^0 = \frac{L_\nu}{\langle E \rangle_\nu} \Phi_\nu(E_\nu). \quad (3)$$

Fluences



Fluence (integrated flux over time) as a function of neutrino energy (E_ν) in GeV. Left (right) of upper row is for ν_e ($\bar{\nu}_e$) while left (right) of lower panel is for ν_X ($\bar{\nu}_X$) flavour. In each panel, color code is given in the legend.

- Flux expressions in active-active scenario,

$$\begin{aligned}
 F_{\nu_e} &= \rho F_{\nu_e}^0 + (1 - \rho) F_{\nu_x}^0 \\
 F_{\bar{\nu}_e} &= \bar{\rho} F_{\bar{\nu}_e}^0 + (1 - \bar{\rho}) F_{\bar{\nu}_x}^0 \\
 2F_{\nu_x} &= (1 - \rho) F_{\nu_e}^0 + (1 + \rho) F_{\nu_x}^0 \\
 2F_{\bar{\nu}_x} &= (1 - \bar{\rho}) F_{\bar{\nu}_e}^0 + (1 + \bar{\rho}) F_{\bar{\nu}_x}^0,
 \end{aligned} \tag{4}$$

- Flux expressions in active-sterile framework,

$$\begin{aligned}
 F_{\nu_e} &= a_{ee} F_{\nu_e}^0 + a_{ex} F_{\nu_x}^0 + a_{es} F_{\nu_s}^0 \\
 F_{\bar{\nu}_e} &= b_{ee} F_{\bar{\nu}_e}^0 + b_{ex} F_{\bar{\nu}_x}^0 + b_{es} F_{\bar{\nu}_s}^0 \\
 2F_{\nu_x} &= (a_{\mu e} + a_{\tau e}) F_{\nu_e}^0 + (a_{\mu x} + a_{\tau x}) F_{\nu_x}^0 + (a_{\mu s} + a_{\tau s}) F_{\nu_s}^0 \\
 2F_{\bar{\nu}_x} &= (b_{\mu e} + b_{\tau e}) F_{\bar{\nu}_e}^0 + (b_{\mu x} + b_{\tau x}) F_{\bar{\nu}_x}^0 + (b_{\mu s} + b_{\tau s}) F_{\bar{\nu}_s}^0 \\
 F_{\nu_s} &= a_{se} F_{\nu_e}^0 + a_{sx} F_{\nu_x}^0 + a_{ss} F_{\nu_s}^0 \\
 F_{\bar{\nu}_s} &= b_{se} F_{\bar{\nu}_e}^0 + b_{sx} F_{\bar{\nu}_x}^0 + b_{ss} F_{\bar{\nu}_s}^0,
 \end{aligned} \tag{5}$$

Hierarchy	p	\bar{p}
Normal	$\sin^2 \theta_{13}$	$\cos^2 \theta_{12} \cos^2 \theta_{13}$
Inverted	$\sin^2 \theta_{12} \cos^2 \theta_{13}$	$\sin^2 \theta_{13}$

In active-active neutrino framework, survival probability expressions of neutrino (p) and antineutrino (\bar{p}) fluxes for two cases: normal hierarchy (NH) and inverted hierarchy (IH).

Hierarchy	$a_{\alpha e}$	$a_{\alpha X}$	$b_{\alpha e}$	$b_{\alpha X}$
Normal	$ U_{\alpha 4} ^2$	$ U_{\alpha 1} ^2 + U_{\alpha 2} ^2$	$ U_{\alpha 1} ^2$	$ U_{\alpha 2} ^2 + U_{\alpha 3} ^2$
Inverted	$ U_{\alpha 4} ^2$	$ U_{\alpha 1} ^2 + U_{\alpha 3} ^2$	$ U_{\alpha 3} ^2$	$ U_{\alpha 1} ^2 + U_{\alpha 2} ^2$

In the active-sterile neutrino framework, the expressions for the couplings of neutrinos ($a_{\alpha e}$, $a_{\alpha X}$) and anti-neutrino ($b_{\alpha e}$, $b_{\alpha X}$) are provided for two scenarios: normal hierarchy (NH) and inverted hierarchy (IH).

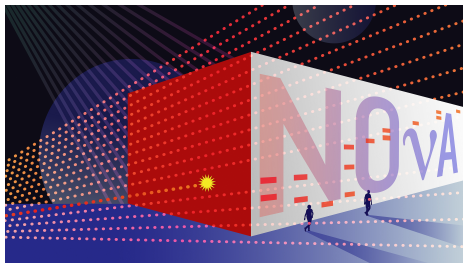
- **Different values of oscillation probabilities in normal and inverted hierarchy scenario → results of non-zero mass hierarchy sensitivity.**

Experimental setup

- NO ν A (NuMI Off-axis Appearance) experiment is a currently ongoing long-baseline accelerator neutrino experiment.
- Material of far detector; mainly ^{12}C , fiducial volume \rightarrow 14 kilotons.

Parameters	Values
θ_{12}	33.41°
θ_{13}	8.58°
θ_{23}	42.20°
θ_{14}	5°
Δm_{21}^2	$7.410 \times 10^{-5} \text{ eV}^2$
Δm_{31}^2	$\pm 2.507 \times 10^{-3} \text{ eV}^2$
Δm_{41}^2	1 eV^2

Neutrino oscillation parameter values used in the study.



Simulation details

- For our simulations, we use the Supernova Neutrino Observatories with GLOBES (SNOWGLOBES) software.
- This tool is specifically designed to study supernova neutrinos. SNOWGLOBES calculates event rates by utilizing input parameters such as neutrino fluxes, cross sections, and detector configurations.
- For calculating mass hierarchy sensitivity, Poisson log-likelihood statistical formula is,

$$\chi_{\text{stat}}^2 = 2 \sum_{i=1}^n \left[N_i^{\text{test}} - N_i^{\text{true}} - N_i^{\text{true}} \log \left(\frac{N_i^{\text{test}}}{N_i^{\text{true}}} \right) \right], \quad (6)$$

Main channels

Channel	Framework: $3\nu/(3+1)\nu$	Hierarchy	Event Number
Channel (i) (IBD)		NH	129665
		IH	133128
	$(3+1)\nu$	NH	128679
		IH	132117
Channel (ii) ($\bar{\nu}_e - C^{12}$)	3ν	NH	3856.54
		IH	4411.67
	$(3+1)\nu$	NH	3827.24
		IH	4378.15
Channel (iii) ($\nu_e - C^{12}$)	3ν	NH	3809.95
		IH	2996.25
	$(3+1)\nu$	NH	3767.84
		IH	2713.18
Channel (iv) ($\nu_e - e$)	3ν	NH	1123.14
		IH	1178.39
	$(3+1)\nu$	NH	1095.48
		IH	791.09

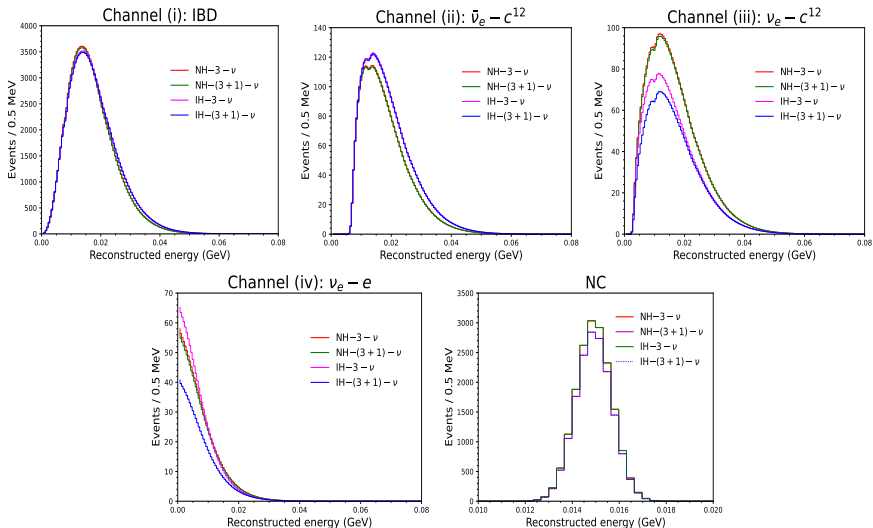
Event numbers for different channels (Channel (i), Channel (ii), Channel (iii), and Channel (iv)) at a supernova distance of 1 kpc. NH (normal hierarchy) and IH (inverted hierarchy) represent the mass hierarchy, while 3ν [$(3+1)\nu$] represents the active-active [active-sterile] neutrino framework.

NC channels

Scenario	NH/IH	$\nu_e -^{12} C$	$\bar{\nu}_e -^{12} C$	$\nu_\mu -^{12} C$	$\bar{\nu}_\mu -^{12} C$	$\nu_\tau -^{12} C$	$\bar{\nu}_\tau -^{12} C$	Total NC
3ν	NH	2274.80	2019.66	1533.19	2242.18	1533.19	2242.18	11845.21
	IH	1838.70	2300.71	1751.24	2101.66	1751.24	2101.66	11845.21
$(3 + 1)\nu$	NH	2247.06	2004.31	1180.84	2242.18	1180.84	2242.18	11097.41
	IH	1618.02	2283.22	1497.76	2101.66	1497.76	2101.66	11100.08

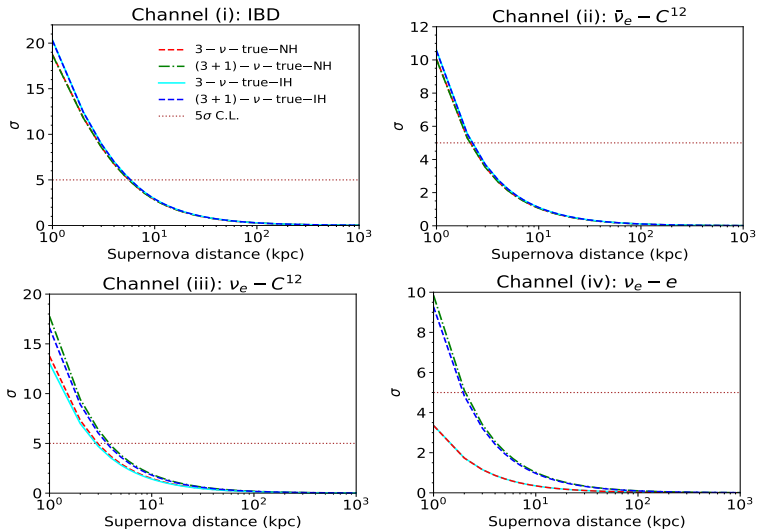
The event rates for six types of neutral current channels at a supernova distance of 1 kpc for different mass hierarchy cases: NH and IH. 3ν [$(3 + 1)\nu$] represents the active-active [active-sterile] neutrino framework.

Event rates



Event rate in active-active and active-sterile frameworks for five different channels for supernova at a distance of 1 kpc. Color codes are given in the legend. NH (IH) represents the normal (inverted) hierarchy.

Mass hierarchy sensitivity



Mass ordering sensitivity as a function of supernova distance (in kpc). Color code are given in the legends.

Conclusion I

- The primary channel of $\text{NO}\nu\text{A}$ can distinguish normal mass hierarchy from inverted mass hierarchy at 5σ confidence level for a supernova explosion occurring at a distance of 5 kpc.
- Observation of the NC channel alone can differentiate between the presence and absence of sterile neutrinos.
- Mass hierarchy sensitivity is more in active-sterile framework than active-active scenario.

Effect of systematics

- The test event rate including normalisation and energy calibration error is expressed as

$$N_i^{\text{test}} \rightarrow N_i^{\text{test}} [(1 + a) + b(E'_i - \bar{E}') / (E'_{\text{max}} - E'_{\text{min}})], \quad (7)$$

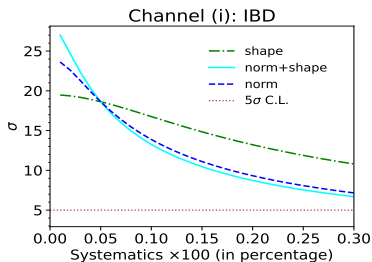
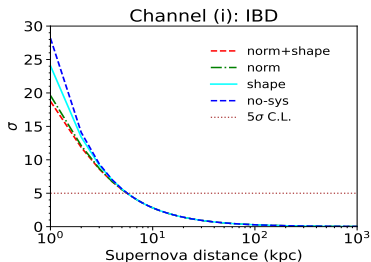
- $a, b \rightarrow$ the nuisance parameters corresponding to the normalization and energy calibration errors.
- For a 5% systematic error in both types, the nuisance parameters a and b can be expressed in terms of the pull variables p_1 and p_2 as

$$a = 0.05 p_1, \quad b = 0.05 p_2. \quad (8)$$

Finally, in presence of systematics errors, the final expression of sensitivity is,

$$\chi_{\text{stat+sys}}^2 = \chi_{\text{stat}}^2 + p_1^2 + p_2^2. \quad (9)$$

Effect of systematics



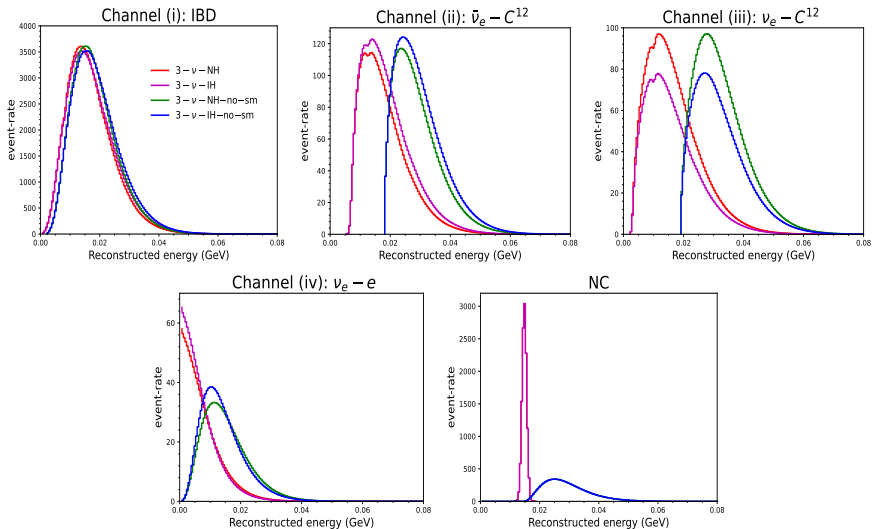
Left : Mass hierarchy sensitivity as a function of supernova distance (in kpc) for main channel in different systematics uncertainty conditions; "norm" ("shape") stands for normalization (energy calibration) error. Right: mass hierarchy sensitivity with respect to systematic error.

Conclusion II

- Presence of systematic error decreases the mass hierarchy sensitivity.
- Among normalisation error and energy calibration error, the deterioration of the sensitivity is mostly dominated by the normalisation error.
- As systematic uncertainty increases from 0% to 30%, the sensitivity decreases from 25σ to 12σ for the primary IBD channel.

Effect of smearing

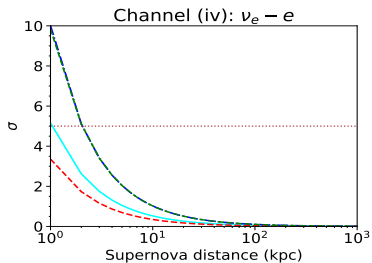
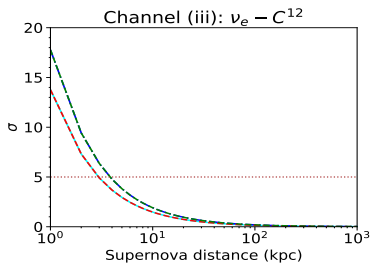
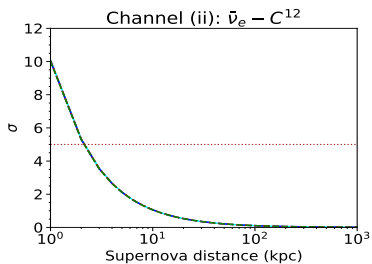
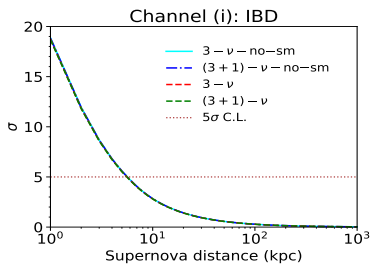
- Energy of the neutrinos will be reconstructed by measuring the energy and momentum of the outgoing leptons.
- In our analysis, we incorporate this effect by the inclusion of energy resolution.
- Because of this energy resolution, the neutrino events will be smeared around its true energy causing a loss of information.



Event rate for active-active framework as a function of neutrino energy (GeV) for all the main channels and NC. Similar nature has been shown for active-sterile scenario also. Here sm [no-sm] refers to the terms with [without] smearing matrix. Color codes are given in the legend of each panel.

Conclusion III

- For channels (i), (ii), and (iii), the event rate spectra remain similar in shape but shift leftward due to energy smearing, as the smearing reduces the reconstructed energy of events.
- For channel (iv), energy smearing modifies the shape of the event rate spectrum.
- For the NC channel, the spectrum becomes more compact with energy smearing, whereas, without smearing, the spectrum is more widely spread.



Mass hierarchy sensitivity as a function of supernova distance (in kpc) with [without] smearing matrix condition for all the channels. Here sm [no-sm] refers to the terms with [without] smearing matrix. Color codes are given in the legend of each panel.

Conclusion

- Work presents a detailed analysis of mass hierarchy sensitivity using supernova neutrinos within the active-active and active-sterile frameworks, focusing on the context of the $\text{NO}\nu\text{A}$ experiment.
- The study incorporates the impact of sterile neutrinos on mass hierarchy sensitivity.
- The study shows mass hierarchy sensitivity as a function of supernova distance for both active-active and active-sterile scenarios. Primary channel of $\text{NO}\nu\text{A}$ can distinguish normal mass hierarchy from inverted mass hierarchy at 5σ confidence level for a supernova explosion occurring at a distance of 5 kpc.
- Considering NC channel, although active-active scenario is blind on hierarchy conditions, there is a non-zero mass hierarchy sensitivity in active-sterile framework.
- In the presence of energy smearing, the sensitivity expected to become worse as compared to the sensitivity without energy smearing.

Backup Slides

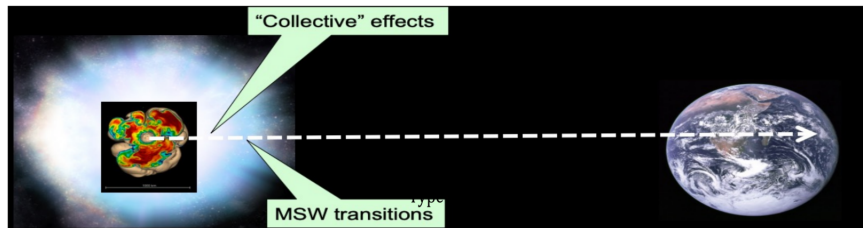
What is Garching model

- $8.8M_{\odot}$ electron-capture supernova is simulated in spherical symmetry framework.
- The spherical symmetry framework has been used throughout the supernova evolution to complete deleptonization of the forming neutron star.

Possible backgrounds for supernova neutrinos

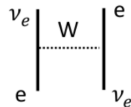
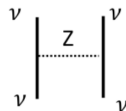
- For Galactic supernova burst, the rate of backgrounds in current and future experiments are very low.
- Background for supernova neutrinos can come from radioactivity, cosmic ray, reactor $\bar{\nu}_e$, solar ν_e etc.
- Even some of the backgrounds can come from low energy atmospheric neutrinos and antineutrinos.
- Fortunately, most of these can be suppressed by taking the detector underground.

Collective effect



$$H = H_V + H_{coll} + H_{MSW}$$

- Collective effects: $\nu \rightarrow \nu$ NC forward scattering



- MSW Transitions: $\nu - e$ CC forward exchange scattering

Why we don't take collective effect

- Collective effects is an active area of research and their effect on neutrino flavour conversions are yet to be understood fully.
- A full multi-angle study of neutrino self-interactions showed that the energy dependent modifications of the spectrum would get smeared out when considering the post-bounce time integrated spectrum and corrections are expected to be small.