

Supersymmetry and Quantum Phase Transition in Matrix Model of $SU(2)$ Gauge Theory

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Ongoing work with:

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- Introduction
- $SU(2)$ gauged matrix model
- Inclusion of a single adjoint Weyl fermion
- Hamiltonian and its symmetries
- $\mathcal{N} = 1$ Supersymmetry
- Results
- Conclusion

Introduction

- It is **difficult to study** strongly interacting QFTs because of non-perturbative effects. An example is the confining/low-energy regime of QCD.
- **Most successful candidate** to do the non-perturbative computation is **Lattice QCD**, which **requires enormous amount of computational power**.
- To this extend a Gauged Matrix Model has been proposed:
 1. Dimensional reduction of $(3 + 1)d$ $SU(N)$ Yang-Mills theory to $(0 + 1)d$.
 2. Captures certain key features (of course, not all!) of a non-Abelian gauge theory.
 3. Being Quantum mechanical, this **provides a simplified computational platform**.
 4. It has been shown to reproduce some of the Lattice QCD results with remarkable accuracy.
- **Previous and ongoing work with Matrix Model:**
 1. $SU(3)$ Glueball masses [Acharyya-Balachandran-Pandey-Sanyal-Vaidya, 2018](#)
 2. Light Hadron masses [Pandey-Vaidya, 2020](#)
 3. Axial Anomaly [Acharyya-Pandey-Vaidya, 2021](#)
 4. 2-Color 1-flavor QCD [Acharyya-Aich-Bandyopadhyay-Vaidya, 2024](#) (See Prasanjit's Talk)
 5. 2-Color 2-flavor Adjoint QCD [Acharyya-Aich-Bhakta-Mudi-Vaidya, ongoing work](#)

Matrix Model of $SU(2)$ Gauge theory

The $SU(2)$ gauged matrix model¹ can be described as follows:

- Quantum mechanical approximation of $SU(2)$ Yang-Mills theory on $\mathbb{R} \times S^3$
- Building blocks : 3×3 real matrices M_{ia} .
- Spatial index $i = 1, 2, 3$ and color index $a = 1, 2, 3$.
- Gauge fields are Hermitian matrices $\mathcal{A}_i(t) = M_{ia} T^a$, where the $T^a =$ generators of $SU(2)$ in the fundamental rep.
- Rotations: $\mathcal{A}_i \rightarrow R_{ij} \mathcal{A}_j$, $R \in SO(3)_{rot}$
- Gauge transformations : $\mathcal{A}_i \rightarrow g \mathcal{A}_i g^\dagger$, $g \in SU(2)$
- Configuration space: $\mathcal{M}_2 / AdSU(2)$, $\mathcal{M}_2 =$ space of all 3×3 real matrices.
- Field Strength, $\mathcal{F}_{ij} = -\epsilon_{ijk} \mathcal{A}_k - ig [\mathcal{A}_i, \mathcal{A}_j]$.
- Chromoelectric field: $E_i = \partial_t \mathcal{A}_i$, Chromomagnetic field: $B_i = \frac{1}{2} \epsilon_{ijk} \mathcal{F}_{jk}$
- Pure YM Hamiltonian : $H_{YM} = \text{Tr} [E_i E_i + B_i B_i]$
- Potential: $\text{Tr}(B_i B_i) = \text{Tr} \left(\mathcal{A}_i \mathcal{A}_i + ig \epsilon_{ijk} [\mathcal{A}_i, \mathcal{A}_j] \mathcal{A}_k - \frac{g^2}{2} [\mathcal{A}_i, \mathcal{A}_j] [\mathcal{A}_i, \mathcal{A}_j] \right)$

¹(Narsimhan-Ramdas 1979, Singer 1978 and Balachandran et.al, 2014)

Adjoint Fermions in $SU(2)$ Matrix Model

- Fermions are Grassmann valued matrix which only depend on time, $\lambda(t)$ transforming as:

$$\text{Adjoint rep. of Gauge group: } \lambda_{\alpha a} \rightarrow u_{ab}(h)\lambda_{\alpha b}, \quad h \in \text{Ad}SU(2)$$

$$\text{spin-}\frac{1}{2} \text{ rep. of rotations: } \lambda_{\alpha a} \rightarrow D_{\alpha\beta}^{1/2}(R)\lambda_{\beta a}, \quad R \in SO(3)_{\text{rot}}$$

- Left-Weyl Fermion (Gluino): $\lambda = \begin{pmatrix} b_{\alpha a} \\ 0 \end{pmatrix}$ spin-index: $\alpha = 1, 2$

$$\{b_{\alpha a}, b_{\alpha' a'}^\dagger\} = \delta_{\alpha\alpha'}\delta_{aa'}, \quad \text{color-index: } a = 1, 2, 3$$

- Total Hamiltonian [Diez-Pandey-Vaidya \(2020\)](#):

$$H = H_{YM} + H_f = H_{YM} + \underbrace{2g\epsilon_{abc}b_{\alpha a}^\dagger\sigma_{\alpha\beta}^i b_{\beta b} \text{Tr}(\mathcal{A}_i T^c)}_{\text{fermion-gluon interaction}} + \underbrace{b_{\alpha a}^\dagger b_{\alpha a}}_{\text{fermion curvature term}}$$

Rotational and Gauge symmetries

	Under Spatial Rotation $SO(3)$	Under color $SU(2)$
\mathcal{A}_i	spin-1 rep Generated by $L_i = -4\epsilon_{ijk} \text{Tr}(E_j T^a) \text{Tr}(\mathcal{A}_k T^a)$ $[L_i, L_j] = i\epsilon_{ijk} L_k$	adjoint rep Generated by $G_g^a = -4\epsilon_{abc} \text{Tr}(E_i T^b) \text{Tr}(\mathcal{A}_i T^c)$ $[G_g^a, G_g^b] = i\epsilon_{abc} G_g^c$
λ	spin-1/2 rep Generated by $S_i = \frac{1}{2} b_{\alpha a}^\dagger \sigma_{\alpha\beta}^i b_{\beta b}$ $[S_i, S_j] = i\epsilon_{ijk} S_k$	adjoint rep Generated by $G_f^a = -i\epsilon_{abc} b_{\alpha b}^\dagger b_{\alpha c}$ $[G_f^a, G_f^b] = i\epsilon_{abc} G_f^c$

- $J_i = L_i + S_i$, $[J_i, J_j] = i\epsilon_{ijk} J_k$, $G^a = G_g^a + G_f^a$, $[G^a, G^b] = i\epsilon_{abc} G^c$
- H commutes with J_i and G^a : $[H, G^a] = 0$, $[H, J_i] = 0$
- Physical states are annihilated by G^a : $G^a |phys\rangle = 0$
- We can define $\mathcal{R} = b_{\alpha a}^\dagger b_{\alpha a} - 3 = N_f - 3$, commutes with the Hamiltonian H , i.e., $[\mathcal{R}, H] = 0$, Classically we have $U(1)_{\mathcal{R}}$ symmetry \Rightarrow **anomalously broken in the quantum theory** Acharyya-Pandey-Vaidya, 2021

$\mathcal{N} = 1$ Supersymmetry

- Supercharges E. Witten, 1982: $Q_\alpha = b_{\beta a}^\dagger \sigma_{\beta\alpha}^i (E_{ia} + iB_{ia}), \quad \alpha = 1, 2.$
- $[H, Q_\alpha] = -igb_{\alpha a}^\dagger G_a \Leftarrow$ Commutes with H
- $\{Q_\alpha, Q_\beta^\dagger\} = \delta_{\alpha\beta} (2H + N_f - 3) - 2\sigma_{\beta\alpha}^i (J_i + 2\text{Tr}(\mathcal{A}_i T^a) G^a)$
- For any energy eigenstate $|\Psi_n^J\rangle$ satisfying $H|\Psi_n^J\rangle = E_n^J |\Psi_n^J\rangle$:

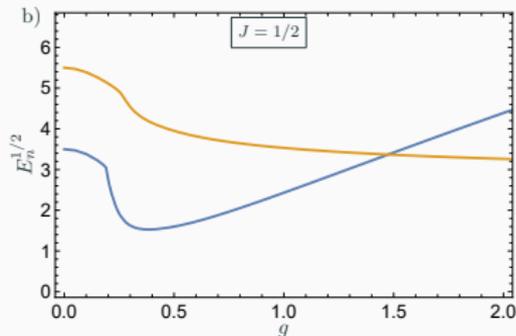
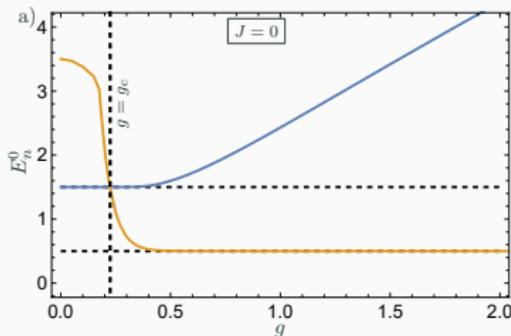
$$\langle \Psi_n^J | \{Q_\alpha, Q_\alpha^\dagger\} | \Psi_n^J \rangle \geq 0 \implies \underbrace{E_n^J \geq -\frac{1}{2}(\langle N_f \rangle - 3) + J_3}_{\text{Bound saturated for any SUSY-Singlet}}$$

- We have obtained the spectrum of spin-0 and spin-1/2 sector using numerical methods.

Numerical Strategy:

1. Hilbert space, $\mathcal{H} = \mathcal{H}_{Fermion} \otimes \mathcal{H}_{Boson}$
2. \mathcal{H}_{Boson} is infinite dimensional.
3. Use Rayleigh-Ritz (truncate \mathcal{H}_{Boson} to a given boson number, say N_{max}).

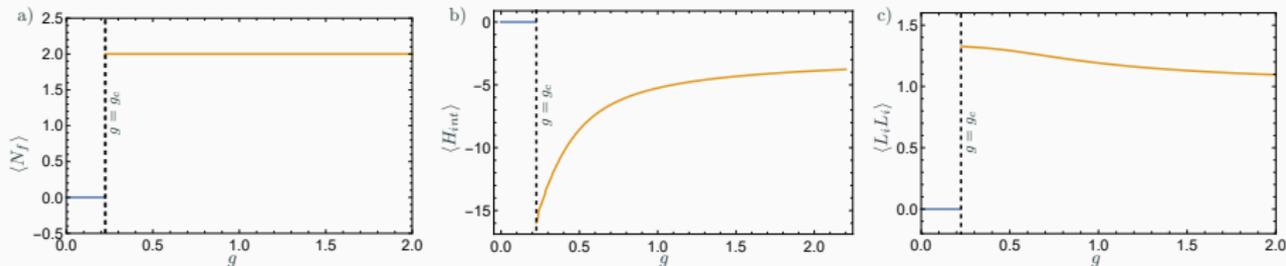
Weak and Intermediate Coupling regime $0 \leq g \leq 2$



- We obtained the low-lying energy eigenstates for $J = 0$ and $J = 1/2$.
- The ground state:
 - has spin-0 and is unique
 - undergoes level crossing at $g = g_c \iff$ Quantum Phase Transition
- Numerical estimate of $g_c \approx 0.225$.
- There is no other level crossing in the ground state even in the strong coupling regime

Two phases: $0 \leq g \leq 2$

- The properties of the phases and QPT captured by ground state expectation of observables:

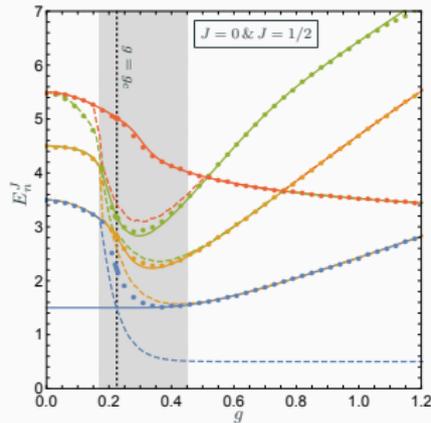
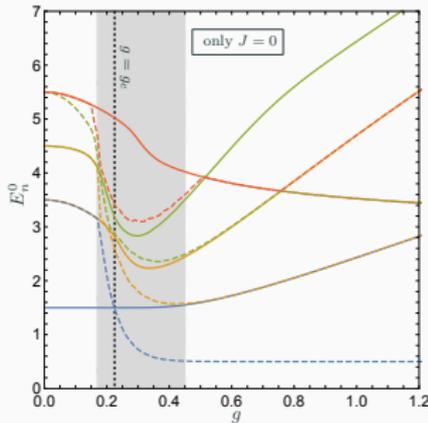


$g < g_c$

$g > g_c$

- | | |
|---|--|
| <ul style="list-style-type: none">$\langle N_f \rangle = 0$“Non-interacting”: $\langle H_{int} \rangle = 0$Only spin-0 glue: $\langle L_i L_i \rangle = 0$ | <ul style="list-style-type: none">$\langle N_f \rangle = 2$Interacting: $\langle H_{int} \rangle \neq 0$Glue with non-zero spin: $\langle L_i L_i \rangle \neq 0$ |
|---|--|

$\mathcal{N} = 1$ Supermultiplets



- Each excited state is 4 fold degenerate: **two spin-0 states + one spin-1/2 state**
- $E_{gs} = -\frac{1}{2} (\langle N_f \rangle - 3) \Leftarrow$ SUSY-singlet

Near the QPT:

- The levels get rearranged.
- The degeneracy of the multiplets gets lifted in the neighbourhood of g_c

Witten index, $W = \lim_{\beta \rightarrow \infty} (-1)^F \exp\{-\beta H\} = 1 \Leftarrow$ gs is unique bosonic state

Strongly Coupled Regime

To study the strong coupling (large g) regime:

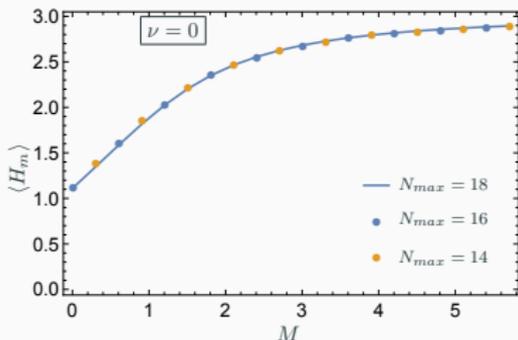
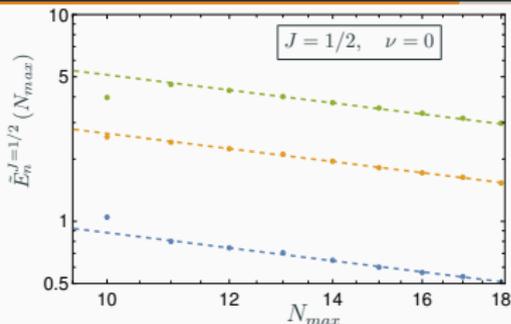
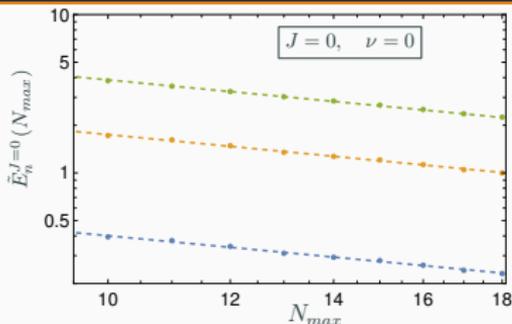
- $\mathcal{A}_i \rightarrow g^{-1/3} \mathcal{A}_i$ and $E_i \rightarrow g^{1/3} E_i$, Define: $\nu = g^{-2/3}$
- The Hamiltonian

$$H = e_0 \left[\text{Tr} \left(E_i E_i + \nu^2 \mathcal{A}_i \mathcal{A}_i + i\nu \epsilon_{ijk} [\mathcal{A}_i, \mathcal{A}_j] \mathcal{A}_k - \frac{1}{2} [\mathcal{A}_i, \mathcal{A}_j] [\mathcal{A}_i, \mathcal{A}_j] \right) + 2\epsilon_{abc} b_{\alpha a}^\dagger \sigma_{\alpha\beta}^i b_{\beta b} \text{Tr}(\mathcal{A}_i T^c) + \nu b_{\alpha a}^\dagger b_{\alpha a} \right] = e_0 \tilde{H}$$

- We can now find the eigenvalues of \tilde{H}
- For large g (or small ν) $\mathcal{A}_i \mathcal{A}_i$ term is suppressed \implies **Finite cutoff error**
- **Most severe at $\nu = 0$**
- At $\nu = 0$ (or $g \rightarrow \infty$), $V(\mathcal{A})$ has flat directions \implies **Continuous spectra of \tilde{H}**

[Camprostrini-Wosiek \(2004\)](#), [Anous-Cogburn \(2019\)](#), [Han-Hartnoll \(2020\)](#)...

Ultra Strong Coupling Regime, $\nu = 0$



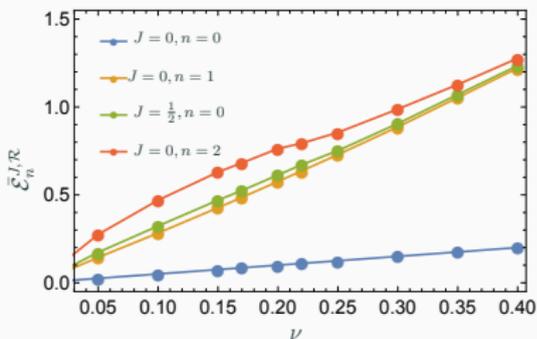
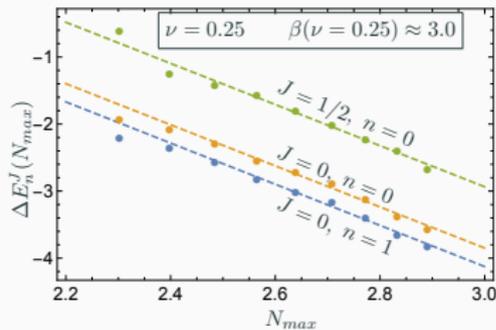
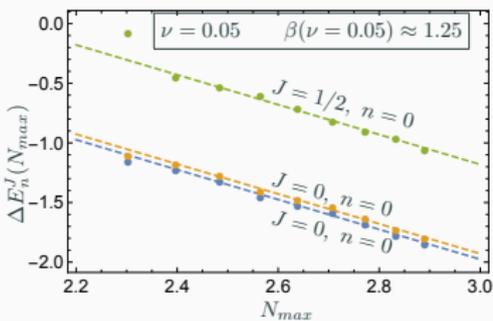
- All low-lying eigenvalues of \tilde{H} have same power-law dependence on the boson number cut-off (N_{max})

$$\tilde{E}_n \sim \frac{C_n}{(N_{max})^\alpha}, \quad \alpha \approx 0.93$$

- $N_{max} \rightarrow \infty$ gs is four fold degenerate
 \Rightarrow 2 spin-0 states + 1 spin-1/2 doublet

- Lightest spin-0 states are 2-fold degenerate at $\nu = 0$
- Glino condensate: $\lim_{M \rightarrow 0} \langle \bar{\lambda} \lambda \rangle \neq 0 \Rightarrow U(1)_{\mathcal{R}} \xrightarrow{\text{SSB}} \mathbb{Z}_2$

Strong coupling regime with $\nu > 0$



- Finite-cutoff error:

$$\Delta E_n(\nu) \equiv \tilde{E}_n(\nu, N_{max}) - \mathcal{E}_n^J(\nu)$$
- $$\mathcal{E}_n^J(\nu) = \lim_{N_{max} \rightarrow \infty} \tilde{E}_n(\nu, N_{max})$$
- $$\Delta E_n(\nu) \sim \frac{D_n(\nu)}{(N_{max})^{\beta(\nu)}}$$
- crossover to a non-SUSY phase
- SUSY restored only at strong coupling ($\nu = 0$)

Summary and Discussion

- Weak and intermediate coupling:
 - QPT at $g_c \approx 0.225$
 - Observables are discontinuous at g_c
 - Away from the critical coupling, both phases are supersymmetric
 - In vicinity of g_c : SUSY breaks due rearrangement of levels
- At $\nu = 0$:
 - Power-law dependence of the energy eigenvalues
 - Non-zero Gluino Condensate
 - **Continuous spectrum of H ? Witten Index?**
- Strong coupling regime: $\nu > 0$:
 - Spectrum is discrete
 - Lightest supermultiplet breaks: cross-over to a non-supersymmetric phase
 - **Why it happens: Quantum anomalies?** [Smilga \(1987\)](#), [Casahorran-Esteve \(1992\)](#) . . .

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Thank You