

The matrix model of two-color one-flavor QCD: The ultra-strong coupling regime

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- Introduction: Matrix Model
- Why 2-color 1-flavor QCD?
- Overview of the results
- Hamiltonian (H) & its symmetries
- Numerical diagonalization of H in the strong coupling regime
- Results
- Summary & Future Outlook

Introduction: Matrix Model

- Non abelian gauge theories \rightarrow the governing dynamics of subatomic particles. e.g. QCD explains the strong interaction of quarks and gluons.
- QCD in the strong coupling regime is nonperturbative - hence use of computational methods.
- For computations in the strongly coupled regime: most popular candidate is Lattice QCD - quite successful but computationally intense.
- Gauge Matrix Model:
 - 1 This approximation captures (some of) the constraints, nonlinearity, and underlying topology!
 - 2 Existence of axial anomaly has been shown for the $SU(N)$ matrix model. [[Acharyya-Pandey-Vaidya, 2021](#)]
 - 3 Provides a simplified computational platform.
 - 4 Pure $SU(3)$ Yang-Mills matrix model gives a good prediction of light glueball masses. [[Acharyya et. al., 2018](#)]
 - 5 When coupled to the light quarks, it gives a good numerical prediction of the hadron masses. [[Pandey-Vaidya, 2020](#)]

Why 2-color 1-flavor QCD?

- Gauge group is $SU(2) \rightarrow$ simplest non-Abelian gauge theory.
- Computationally less challenging.
- Interesting features:
 - 1 Baryons (diquarks and tetraquarks) are bosonic states.
 - 2 Presence of additional global symmetry (Pauli-Gürsey Symmetry):
Fundamental rep of $SU(2)_{col}$ is pseudo-real $\Rightarrow U(1)_B$ is enhanced to $SU(2)_B$.
- This model is extensively studied on the lattice (as there is no sign problem).

Overview of the results

- Quantum phase transitions (QPT) in the sectors $(B, J) = (0, 0), (1, 1), (0, 1)$ due to level crossing.
- QPT are first order: $\langle Q_0 \rangle = \frac{\partial E}{\partial c}$ is discontinuous.
- On one side of the QPT, the ground state is dominated by glue states with reducible gauge field configurations.
- Interesting division of the total spin between the quark & glue. (Spin Puzzle)
- Signature of the Isgur-Wise symmetry in the heavy quark limit: quark spin is independently conserved.
- Emergence of non-trivial phase structure after adding a Baryon chemical potential \Rightarrow reminiscent of the LOFF phase in 2-col QCD.

$SU(2)$ Matrix Model

- Quantum Mechanical approximation of $SU(2)$ Yang-Mills theory on $\mathbb{R} \times S^3$.
[Narsimhan-Ramdas, 1979]
- Building blocks: 3×3 rectangular real matrices M_{ia} ($i = 1, 2, 3$; $a = 1, 2, 3$) and represent our gauge variables.
- Rotations: $M_{ia} \rightarrow \mathcal{R}_{ij} M_{ja}$, $\mathcal{R} \in SO(3)$
Gauge Transformations: $M_{ia} \rightarrow S(h)_{ab} M_{jb}$, $S(h) \in AdSU(2)$
- The configuration space: $\mathcal{M}_3/AdSU(2)$,
 $\mathcal{M}_3 =$ space of all 3×3 real matrices.
- Field Strength, $F_{ij} = \left(-\frac{1}{R}\epsilon_{ijk} M_{ka} + f_{abc} M_{ib} M_{jc}\right) T_a$, $f_{abc} = \epsilon_{abc}$; $T_a \in su(2)$
- The chromoelectric & chromomagnetic fields are

$$E_i^a \equiv F_{0i}^a = \dot{M}_{ia}, \quad B_i^a \equiv \frac{1}{2}\epsilon_{ijk} F_{jk}^a = -\frac{1}{R} M_{ia} + \frac{1}{2}\epsilon_{ijk} f_{abc} M_{jb} M_{kc}$$

- The matrix model Lagrangian is

$$L_{YM} \equiv -\frac{R^3}{4g^2} F_{\mu\nu}^a F^{a\mu\nu} = \frac{R^3}{2g^2} (E_i^a E_i^a - B_i^a B_i^a)$$

Fundamental Fermions in the Matrix Model

- The Dirac fermion Ψ is made up of a left Weyl fermion b and a right Weyl fermion d^\dagger :

$$\Psi_{\alpha A} = \begin{pmatrix} b_{\alpha A} \\ -i(\sigma_2)_{\alpha\beta} c_{\beta A}^\dagger \end{pmatrix} \equiv \begin{pmatrix} b_{\alpha A} \\ d_{\alpha A}^\dagger \end{pmatrix} \quad (\alpha = 1, 2; A = 1, 2)$$

- Spin: $b_{\alpha A} \rightarrow D^{\frac{1}{2}}(\mathcal{R})_{\alpha\beta} b_{\beta A}$; $d_{\alpha A} \rightarrow \bar{D}^{\frac{1}{2}}(\mathcal{R})_{\alpha\beta} d_{\beta A}$
Color: $b_{\alpha A} \rightarrow h_{AB} b_{\alpha B}$; $d_{f\alpha A} \rightarrow h_{AB}^* d_{f\alpha B}$
where $\mathcal{R} \in SO(3)$ and $h \in SU(2)$.

- Quark creation $\rightarrow b_{\alpha A}^\dagger$; Anti-quark creation $\rightarrow d_{\alpha A}^\dagger$

- The Lagrangian coupled with massive quarks is

$$L = L_{YM} + R^3 \bar{\Psi} \left(i\gamma^\mu \mathcal{D}_\mu - \frac{m}{R} - \frac{\tilde{c}}{R} \gamma^5 \gamma^0 \right) \Psi$$

where $\bar{\Psi} = \Psi^\dagger \gamma^0$.

2-col 1-flv QCD Matrix Model Hamiltonian

$$H = \frac{1}{R} (H_{YM} + \tilde{c} H_c + g H_{int} + m H_m)$$

- where

$$H_{YM} = \frac{1}{2} \Pi_{ia} \Pi_{ia} + \frac{1}{2} M_{ia} M_{ia} - \frac{g}{2} \epsilon_{ijk} f_{abc} M_{ia} M_{jb} M_{kc} + \frac{g^2}{4} f_{abc} f_{ade} M_{ib} M_{jc} M_{id} M_{je}$$

$$H_{int} = M_{ia} (b_{\alpha A}^\dagger \sigma_{\alpha\beta}^i T_{AB}^a b_{\beta B} - d_{\alpha A} \sigma_{\alpha\beta}^i T_{AB}^a d_{\beta B}^\dagger)$$

$$H_c = (b_{\alpha A}^\dagger b_{\alpha A} - d_{\alpha A} d_{\alpha A}^\dagger), \quad H_m = (b_{\alpha A}^\dagger d_{\alpha A}^\dagger + d_{\alpha A} b_{\alpha A})$$

- The Gauss's law constraints

$$G_a = f_{abc} M_{ib} \Pi_{ic} + (b_{\alpha A}^\dagger T_{AB}^a b_{\alpha B} + d_{\alpha A} T_{AB}^a d_{\alpha B}^\dagger) \equiv G_{glue}^a + G_{quark}^a$$

- The angular momenta

$$J_i = \epsilon_{ijk} M_{ja} \Pi_{ka} + \frac{1}{2} (b_{\alpha A}^\dagger \sigma_{\alpha\beta}^i b_{\beta A} + d_{\alpha A} \sigma_{\alpha\beta}^i d_{\beta A}^\dagger) \equiv L_i + S_i$$

Quantization of the Model

- To quantise the system, we impose the canonical (anti)commutation relations

$$[M_{ia}, \Pi_{jb}] = i\delta_{ij}\delta_{ab}$$

$$\{b_{\alpha A}, b_{\beta B}^\dagger\} = \delta_{\alpha\beta}\delta_{AB}$$

$$\{d_{\alpha A}, d_{\beta B}^\dagger\} = \delta_{\alpha\beta}\delta_{AB}$$

and demand that all physical states be annihilated by the Gauss law:

$$G_a |\Psi\rangle_{phys} = 0 \quad (\text{colorless states})$$

The Strong Coupling Regime

- Naively setting $g \rightarrow \infty$

$$H = g^2 H_{quartic} + g (H_{cubic} + H_{int}) \\ + \frac{1}{2} \Pi_{ia} \Pi_{ia} + \frac{1}{2} M_{ia} M_{ia} + \tilde{c} H_c + m H_m$$

$$g^2 H_{quartic} \gg g H_{int}; \quad g^2 H_{quartic} \gg \frac{1}{2} \Pi_{ia} \Pi_{ia}$$

- In terms of the rescaled variables and parameters

$$\Pi_{ia} \rightarrow g^{\frac{1}{3}} \Pi_{ia}, \quad M_{ia} \rightarrow g^{-\frac{1}{3}} M_{ia} \\ c \equiv \tilde{c} g^{-\frac{2}{3}}, \quad M \equiv m g^{-\frac{2}{3}}, \quad e_0 \equiv g^{\frac{2}{3}} R^{-1}, \quad \nu \equiv g^{-\frac{2}{3}}$$

the Hamiltonian is given by

$$H = e_0 \left[\frac{1}{2} \Pi_{ia} \Pi_{ia} + \frac{\nu^2}{2} M_{ia} M_{ia} - \frac{\nu}{2} \epsilon_{ijk} f_{abc} M_{ia} M_{jb} M_{kc} \right. \\ \left. + \frac{1}{4} f_{abc} f_{ade} M_{ib} M_{jc} M_{id} M_{je} + c H_c + M H_m + H_{int} \right]$$

- In the double scaling limit: $g \rightarrow \infty$ ($\nu \rightarrow 0$), $R \rightarrow \infty$,
 e_0 kept finite $\Rightarrow H$ has a well-defined spectrum.

Symmetries of the 2-color 1-flavor QCD Hamiltonian

- Global Symmetries:

- 1 Chiral Symmetry (for $m = 0$) $U(1)_A$

$$\Psi \rightarrow e^{i\theta\gamma^5} \Psi \Rightarrow U(1)_A \Rightarrow \text{Generated by } Q_0 = \frac{1}{2}(b_{\alpha A}^\dagger b_{\alpha A} - d_{\alpha A} d_{\alpha A}^\dagger)$$

→ Anomalously broken to \mathbb{Z}_2

→ Explicitly broken to \mathbb{Z}_2 when $m \neq 0$

- 2 Vector Symmetry $SU(2)_B$

$$\Psi \rightarrow e^{i\theta} \Psi \Rightarrow U(1)_B \rightarrow \text{Generated by } B_3 = \frac{1}{2}(b_{\alpha A}^\dagger b_{\alpha A} - d_{\alpha A}^\dagger d_{\alpha A})$$

→ Further extends to $SU(2)_B$ (Pauli-Gürsey Symmetry)

→ Generated by $\{B_1, B_2, B_3\}$; $[B_i, B_j] = i\epsilon_{ijk} B_k$,

$[B_i, H] = 0$, $[B_j, Q_0] = 0$, $[B_i, J_j] = 0$, $[B_i, G_a] = 0$.

- The residual symmetry is

$$SO(3)_{rot} \otimes \mathbb{Z}_2 \otimes SU(2)_B$$

Numerical diagonalization of the Hamiltonian on the Hilbert Space of the Low-lying Energy States ($J = 0, 1$)

- Symmetries of the Hamiltonian \rightarrow the *physical states* can be organised into representations of the Spin ($SO(3)_{rot}$) and Baryon charge ($SU(2)_B$) Groups.
- The Spin-0 and Spin-1 hadrons can be arranged in 5 non-interacting sectors. Each sector is labelled by B ($SU(2)_B$ charge) and J (Total Spin).

$J = 0$	$B = 0$	$B_3 = 0$
	$B = 1$	$B_3 = 0, \pm 1$
	$B = 2$	$B_3 = 0, \pm 1, \pm 2$
$J = 1$	$B = 0$	$B_3 = 0$
	$B = 1$	$B_3 = 0, \pm 1$

$$\left[\langle B_3 \rangle = \frac{N_{quarks} - N_{anti-quarks}}{2} \right]$$

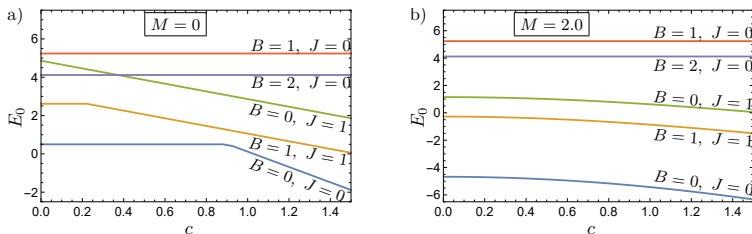
$(B_3 = 0) \rightarrow$ meson
$(B_3 = 1) \rightarrow$ diquark
$(B_3 = -1) \rightarrow$ anti-diquark
$(B_3 = 2) \rightarrow$ tatra-quark
$(B_3 = -2) \rightarrow$ anti-tatraquark

$$(B, J) = (0, 0), (0, 1), (1, 0), (1, 1), (2, 0)$$

- $SU(2)_B$ symmetry \Rightarrow The states in a given $SU(2)_B$ multiplet (same B , different B_3) are degenerate.

Continued...

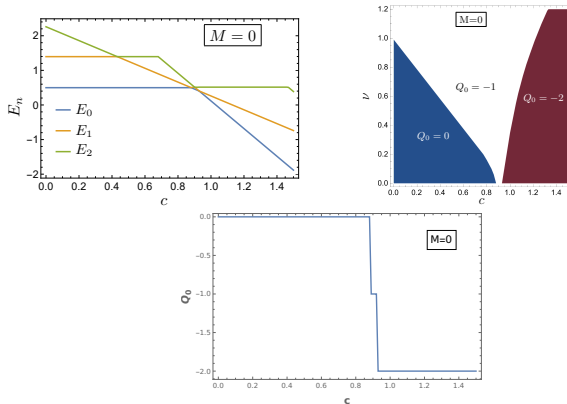
- $\mathcal{H} = \mathcal{H}_{Fermion} \otimes \mathcal{H}_{Boson}$
- \mathcal{H}_{Boson} is infinite dimensional. We truncate it to a given boson number.
- The low-energy spectrum of H is estimated using the Rayleigh-Ritz method.
- At $\nu = 0$ the free parameters are c and M .
- Low-lying energy eigenvalues as a function of c from each sector.



- The ground state is unique and belongs to the the $B = 0, J = 0$ sector.

Results: Quantum Phase Transition

- Level crossing in the $(B, J) = (0, 0)$ is rather special \Rightarrow Triple crossing.
- Plot of $\nu (= g^{-2/3})$ vs c shows three distinct phases. For $g \rightarrow \infty$ or $\nu \rightarrow 0$ two transition lines merge at the triple point.



- Critical point $(c, M) \sim (0.928, 0) \equiv (c_0^*, 0) \Rightarrow Q_0$ is discontinuous.

Dominant Contributions from Reducible Gauge field Configurations

- $SU(2)$ invariants: [Pandey-Vaidya, 2017]

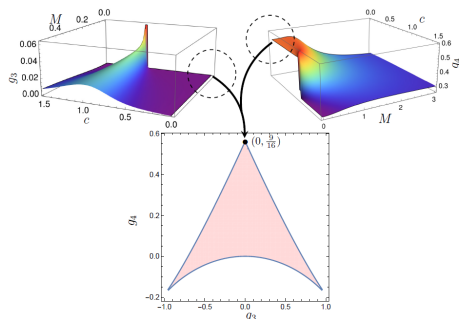
$$I_2 \equiv \text{Tr}(M^T M) = M_{ia} M_{ia}$$

$$I_3 \equiv \text{Det}(M) = \frac{1}{6} \epsilon_{ijk} \epsilon_{abc} M_{ia} M_{jb} M_{kc}$$

$$I_4 \equiv \text{Tr}(M^T M M^T M) = M_{ia} M_{ib} M_{ja} M_{jb}$$

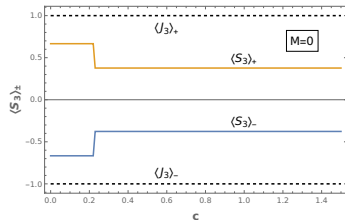
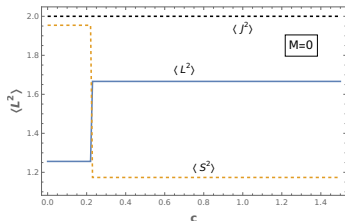
- Binder Cumulants: $g_3 \equiv \frac{3\sqrt{3}\langle I_3 \rangle}{\langle I_2 \rangle^{\frac{3}{2}}}$, $g_4 \equiv \frac{1}{16} \left(\frac{18\langle I_4 \rangle}{\langle I_2 \rangle^2} - 9 \right)$

- For $c < c_0^*$



A possible solution to the Spin Puzzle?

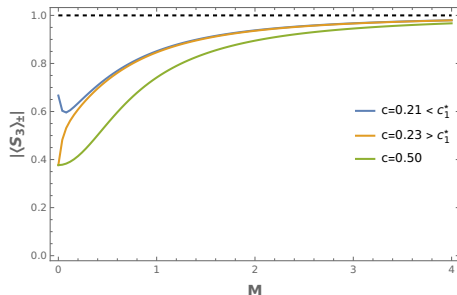
- Quarks carry (4 – 24%) of proton spin: Proton Spin Puzzle [EMC 1988]
- For $(B, J) = (1, 1)$, $J^2 \left| \psi_{0,m_J}^{(B,J)} \right\rangle = 2 \left| \psi_{0,m_J}^{(B,J)} \right\rangle$, $J_3 \left| \psi_{0,m_J}^{(B,J)} \right\rangle = m_J \left| \psi_{0,m_J}^{(B,J)} \right\rangle$
; $m_J = \{-1, 0, 1\}$.
- QPT occurs at $(c, M) \approx (0.22, 0) \equiv (c_1^*, 0)$.
- Glue (L) and Quark (S) spin contribution in the ground state:



- When $c < c_1^*$ quark spin contributes significantly and it is opposite for $c > c_1^*$.
- Distribution of spin is further clarified by $\langle S_3 \rangle_{\pm}$:

$$\text{At } M = 0 : \langle S_3 \rangle_{\pm} = \begin{cases} \pm 0.67 & c < c_1^* \\ \pm 0.33 & c > c_1^* \end{cases}$$

- Isgur-Wise symmetry: quark spin is independently conserved.



At the heavy quark limit ($M \gg 1$), $\langle S_3 \rangle_{\pm} \approx 1$, irrespective of c .

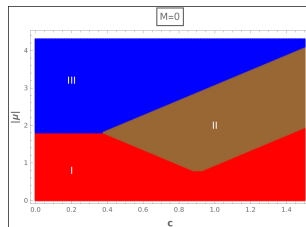
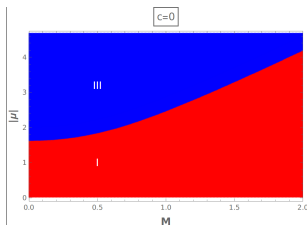
Addition of the Baryon Chemical Potential

- By adding the Baryon chemical potential $g^{-2/3}\mu B_3$, we break the $SU(2)_B \rightarrow U(1)_B$ explicitly.

$$E(\mu) = E(\mu = 0) + \mu B_3$$

The degeneracy between mesons, diquarks and tetraquarks is lifted in a given sector.

- New phases emerge:



Phase-I: *Spin-0 meson*, Phase-II: *Spin-1 diquark*, Phase-III: *Spin-0 tetraquark*

- When in phase-II, the ground state is a spin-1 di-quark $\Rightarrow SO(3)_{rot}$ is spontaneously broken \Rightarrow reminiscent of LOFF phase in 2-col QCD.

Summary & Future Outlook

- $SU(2)$ gauge theory coupled to a fundamental Dirac fermion.
- Enhanced global symmetry (Pauli-Gürsey): $U(1)_B \rightarrow SU(2)_B$
- QPTs (when we tune c) in different (B, J) sectors – Level crossings in the gs.
- QPTs are 1st order: $\langle Q_0 \rangle = \frac{\partial E_0}{\partial c}$, is discontinuous.
- On one side of the QPT, the ground state is dominated by reducible gauge field configurations.
- We studied the distribution of Spin among the quark and the glue & found signatures of the Isgur-Wise symmetry.
- Addition of Baryon chemical potential:
 - $SU(2)_B \xrightarrow{\text{Explicitly broken to}} U(1)_B$
 - With sufficiently large μ spin-1 (anti-)diquark can become the gs $\Rightarrow SO(3)_{rot}$ is spontaneously broken \Rightarrow reminiscent of the LOFF phases in 2-col QCD.

Ongoing Work:

- $SU(2)$ gauge theory plus one (or more) adjoint Weyl fermion $\Rightarrow \mathcal{N} = 1$ SUSY.
- $SU(2)$ gauge theory plus two adjoint Weyl fermions \Rightarrow QPT and the fate of Chiral Symmetry.
- 3-color 3-flavor Matrix Model \Rightarrow Light-quark QCD

Thank You