

# How the friendship between HEP and Cosmology can be made deeper over a cup of ML?

Frontiers of Particle Physics 2024, CHEP

Sanmay Ganguly  
Indian Institute of Technology, Kanpur  
[sanmay@iitk.ac.in](mailto:sanmay@iitk.ac.in)

10/08/2024

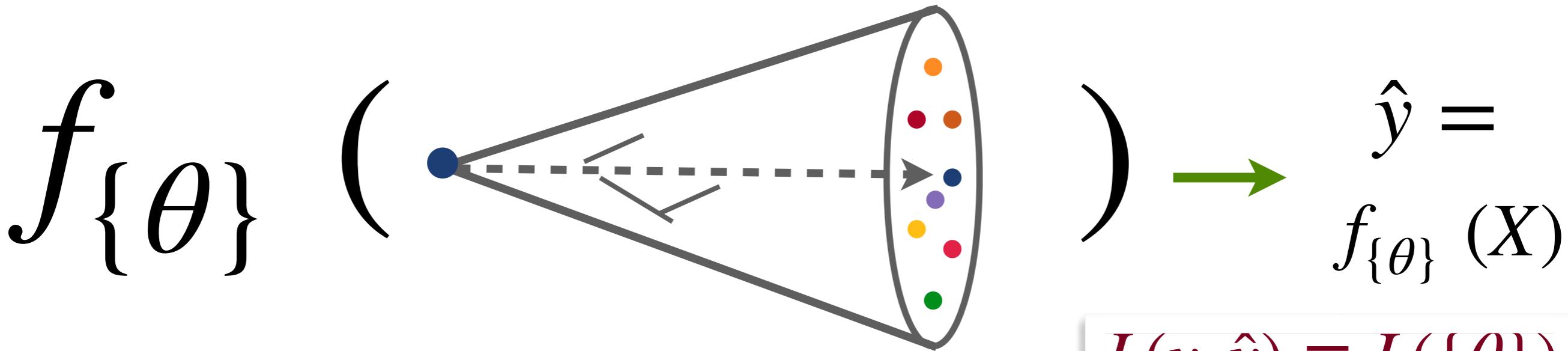


# ML@Natural-Science : what's the broad task?

2. Choose a NN model (CNN/GNN/)

1. Decide the right representation of the data (images/graphs/trees..)

3. With a defined learning task, compute the loss function.



$$L(y, \hat{y}) \equiv L(\{\theta\})$$

Self-supervised

Variation in data

Unsupervised

Semi-supervised

Weakly-supervised

Supervised

No-labels, the task is to figure out  $p(x)$  from which the data is drawn. e.g. VAE

Noisy labels. estimate :  $p(s\text{-enriched})/p(s\text{-depleted})$

Partial labels. e.g. simulating : SM bkg vs many NP signals.

Learning on all the well labeled data.

# Different physics : same ML problems

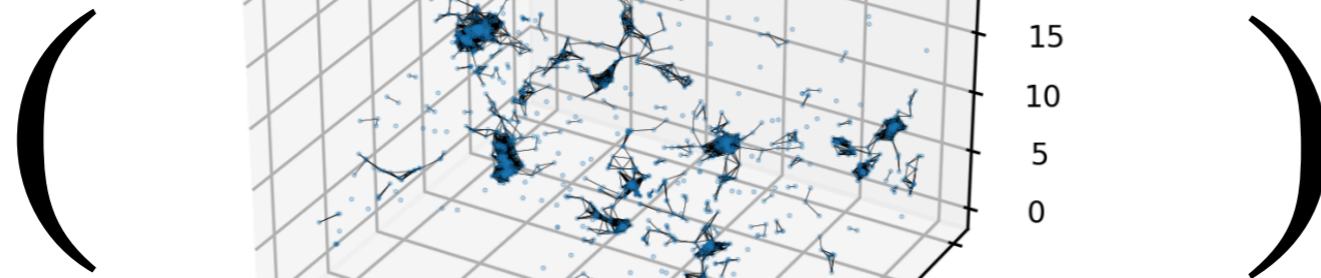
2. Choose a NN model (CNN/GNN/)

1. Decide the right representation of the data (images/graphs/trees..)

3. With a defined learning task, compute the loss function.

arXiv : 2204.13713, Camel's simulation

$f_{\{\theta\}}$



$\hat{y} = f_{\{\theta\}}(X)$

$$L(y, \hat{y}) \equiv L(\{\theta\})$$

Self-supervised

Variation in data

Unsupervised

Semi-supervised

Weakly-supervised

Supervised

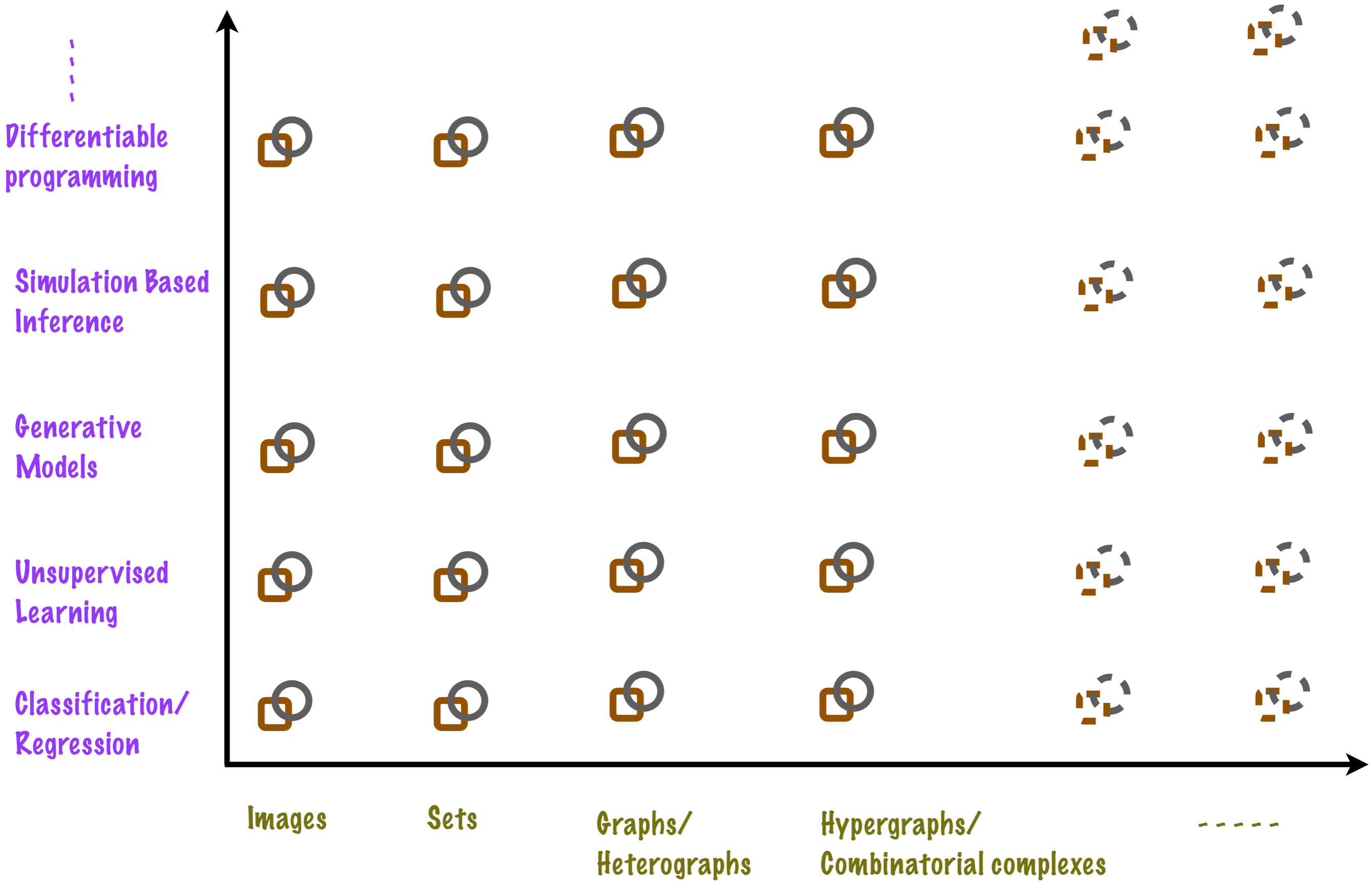
No-labels, the task is to figure out  $p(x)$  from which the data is drawn. e.g. VAE

Noisy labels. estimate :  $p(s\text{-enriched})/p(s\text{-depleted})$

Partial labels. e.g. simulating : SM bkg vs many NP signals.

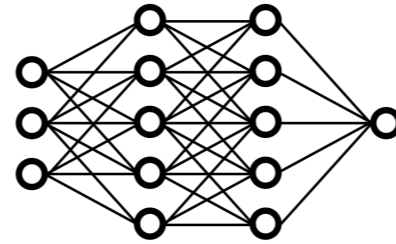
Learning on all the well labeled data.

# This talk is about .....

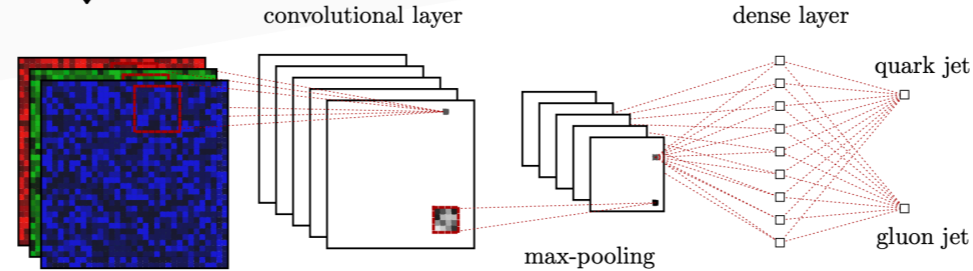
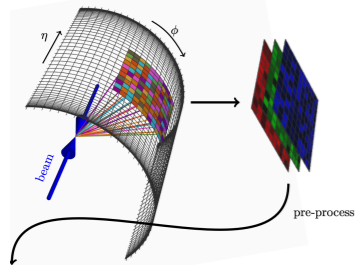


# Data representation $\Leftrightarrow$ NN correspondence

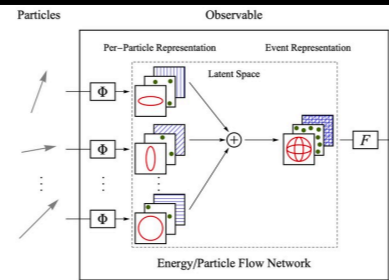
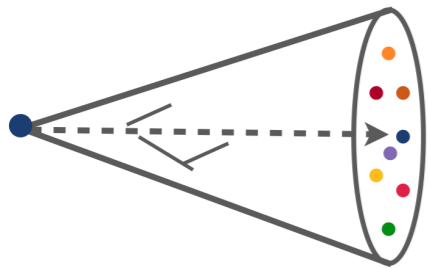
$$J = \{p_1^\mu, p_2^\mu, \dots\}$$



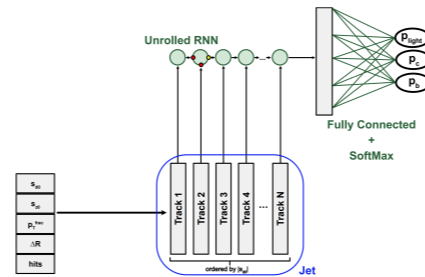
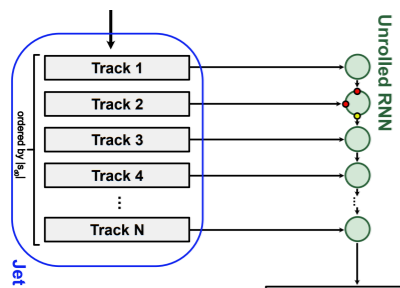
Ordered set  
DNN



Grid  
CNN

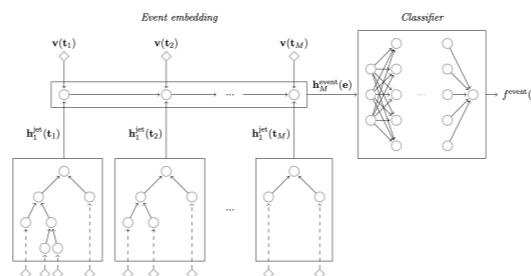
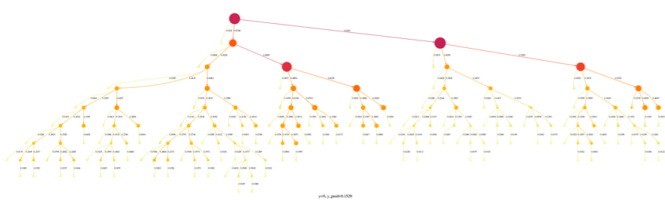


Unordered set  
Deepest

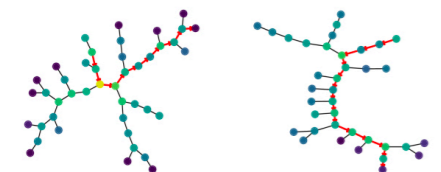


Sequential data  
RNN

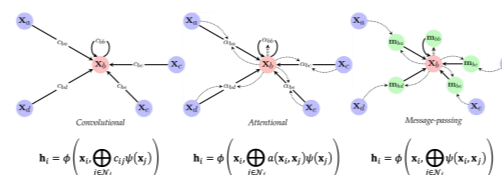
ML4Jets



Tree structure  
Deepset/GNN



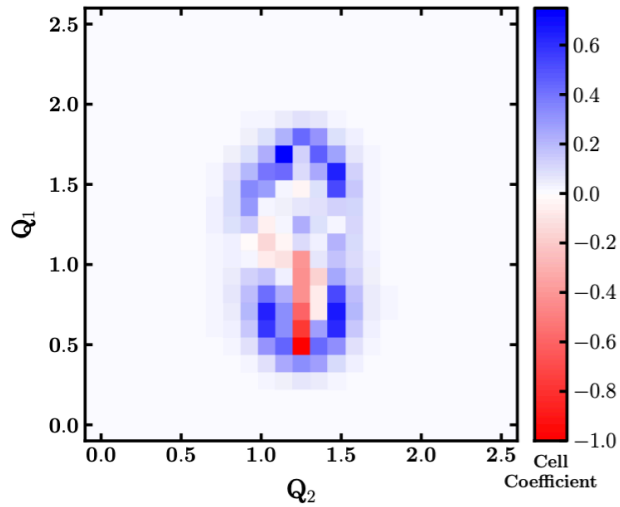
The three "flavours" of GNN layers



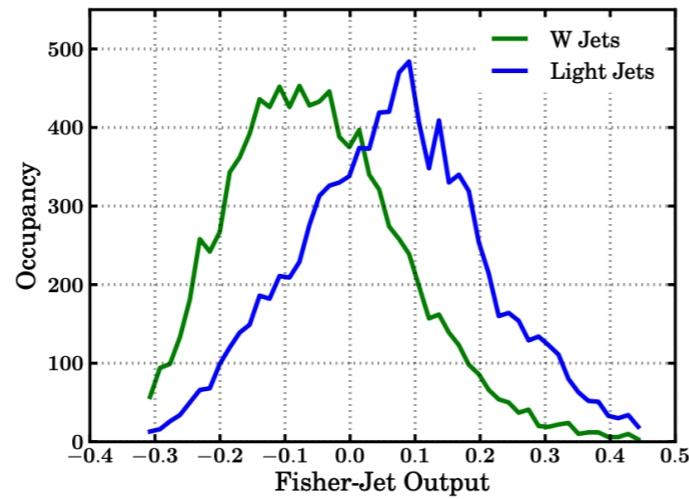
Graph  
GNN

# Early jet tagging

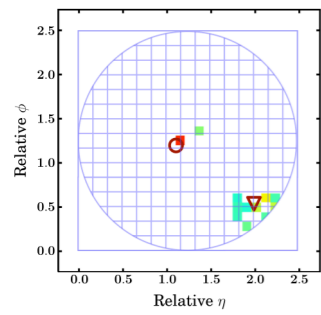
J. Cogan et-al JHEP 02 (2015) 118



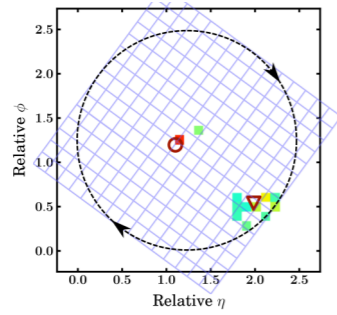
(a) Fisher-Jet



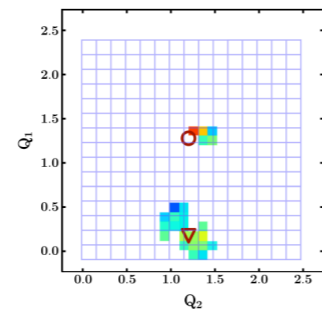
(b) Fisher-Jet Discriminant Output



(a) Jet-image prior to rotation



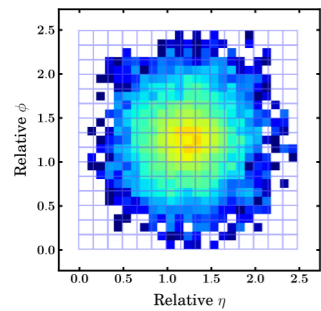
(b) Rotated pixel grid



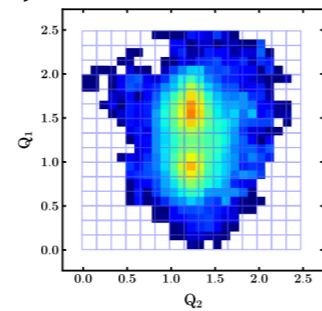
(c) Jet-image after projection onto rotated grid, before translation

The first paper to discuss

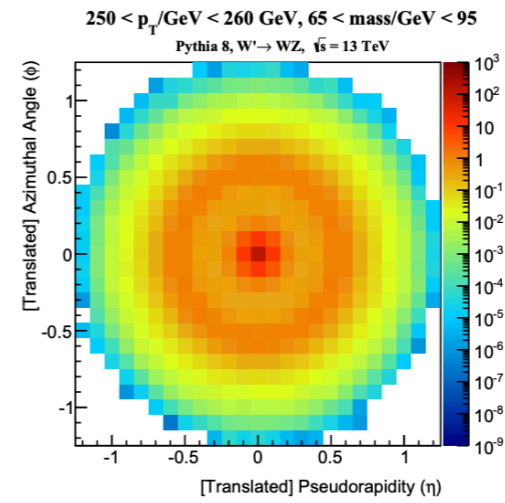
image pre-processing for jet physics



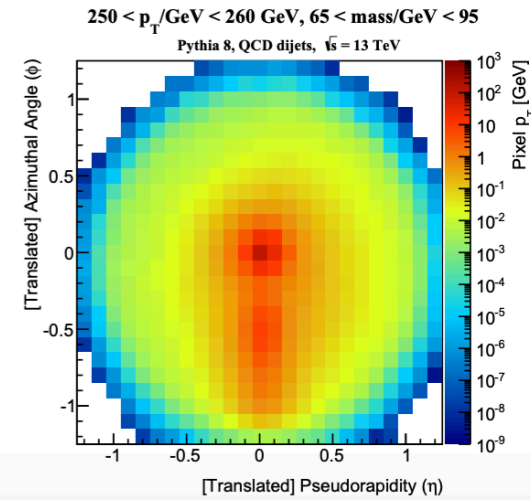
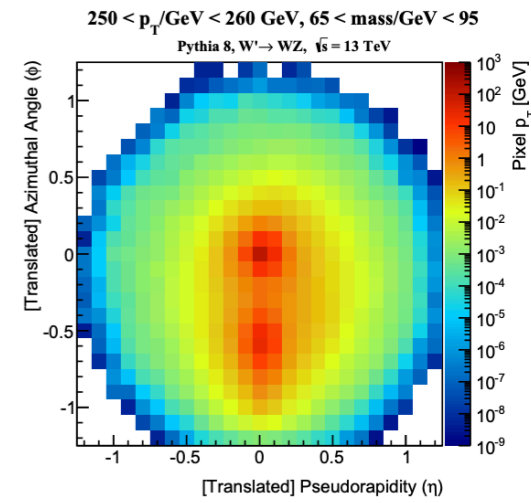
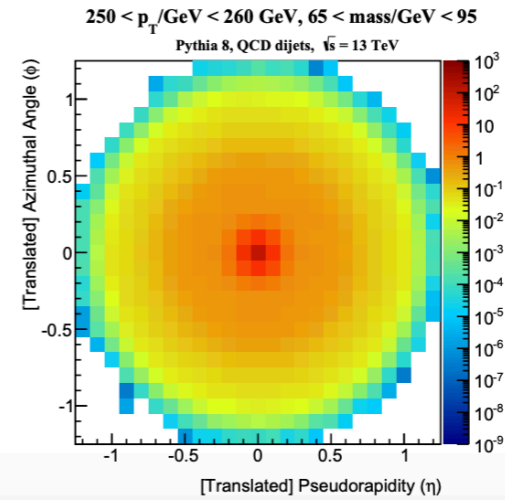
(d) Average jet-image, prior to rotation



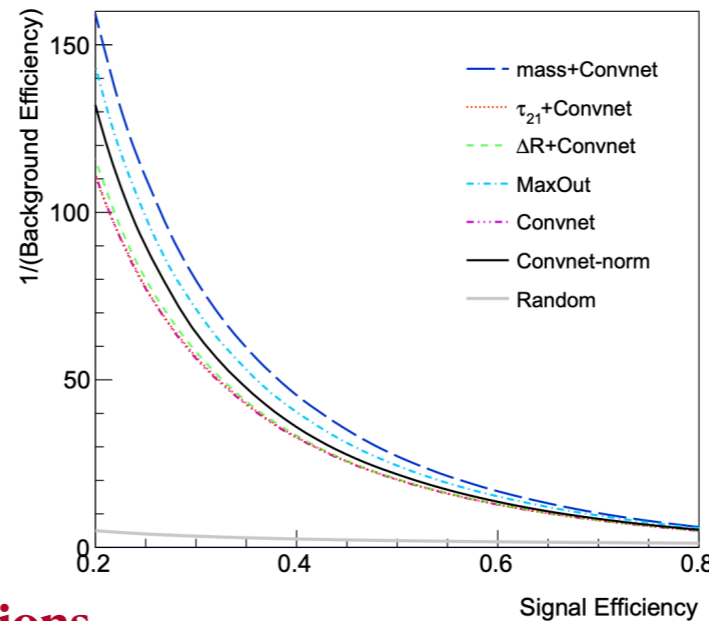
(e) Average jet-image, after pre-processing



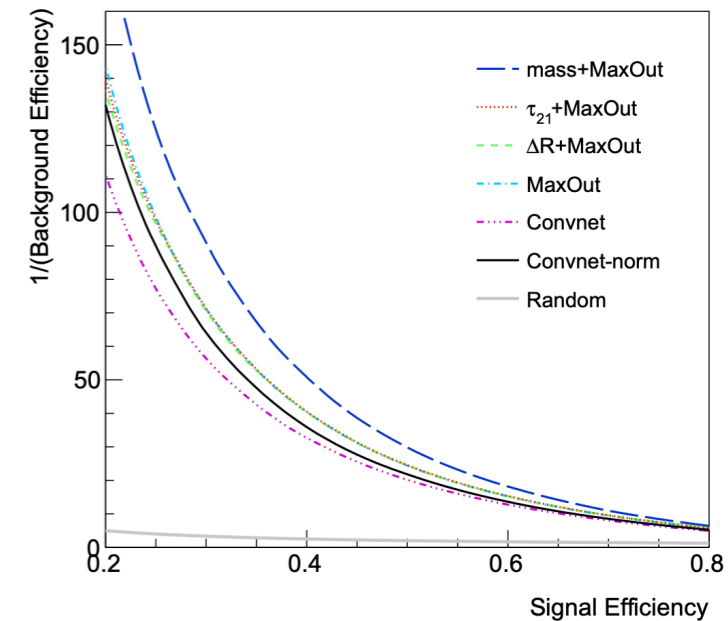
L. De Oliveira et-al JHEP 07 (2016) 069



$250 < p_T/\text{GeV} < 300 \text{ GeV}$ ,  $65 < \text{mass}/\text{GeV} < 95$   
 $\sqrt{s} = 13 \text{ TeV}$ , Pythia 8



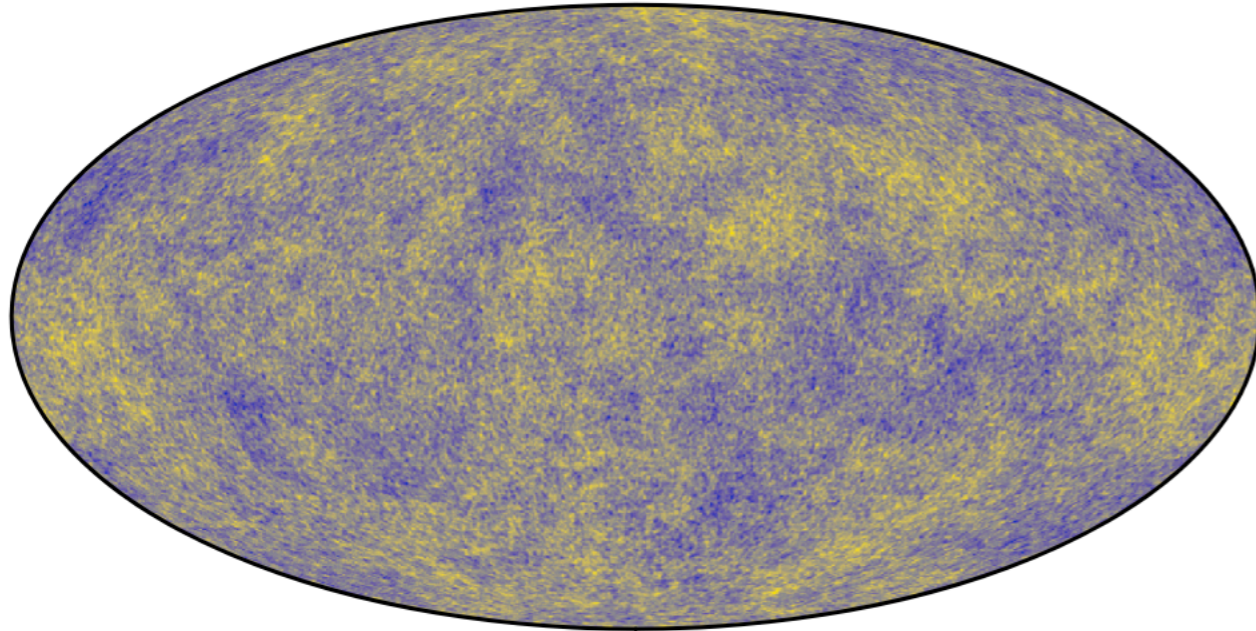
$250 < p_T/\text{GeV} < 300 \text{ GeV}$ ,  $65 < \text{mass}/\text{GeV} < 95$   
 $\sqrt{s} = 13 \text{ TeV}$ , Pythia 8



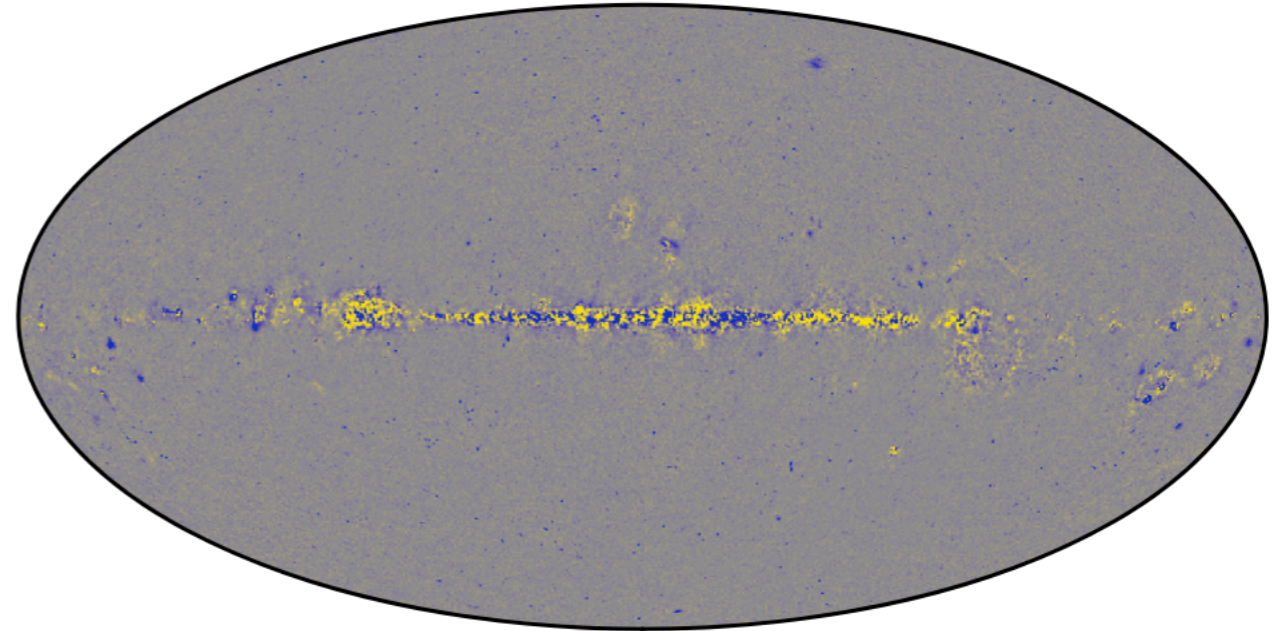
Similar methods were applied for particle identifications

# CMB spectrum cleaning using NN

Reference

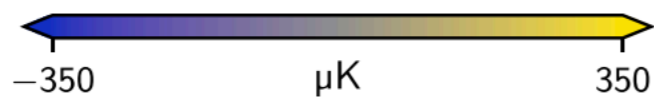
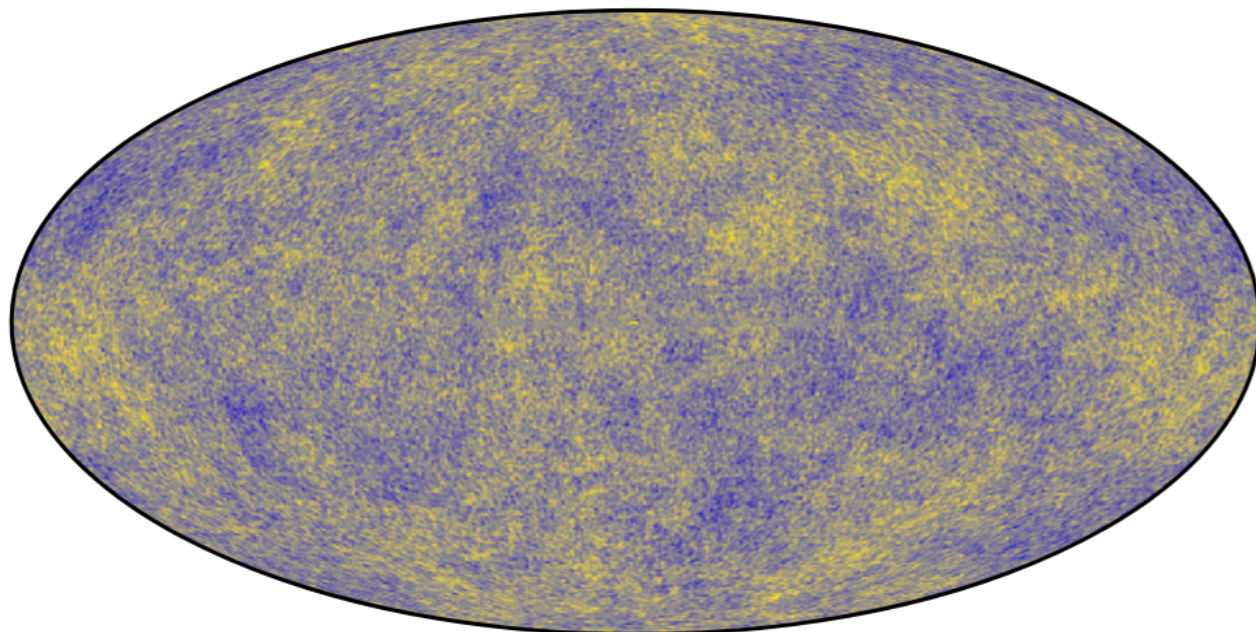


Difference

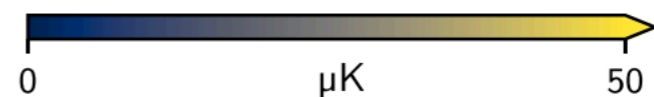
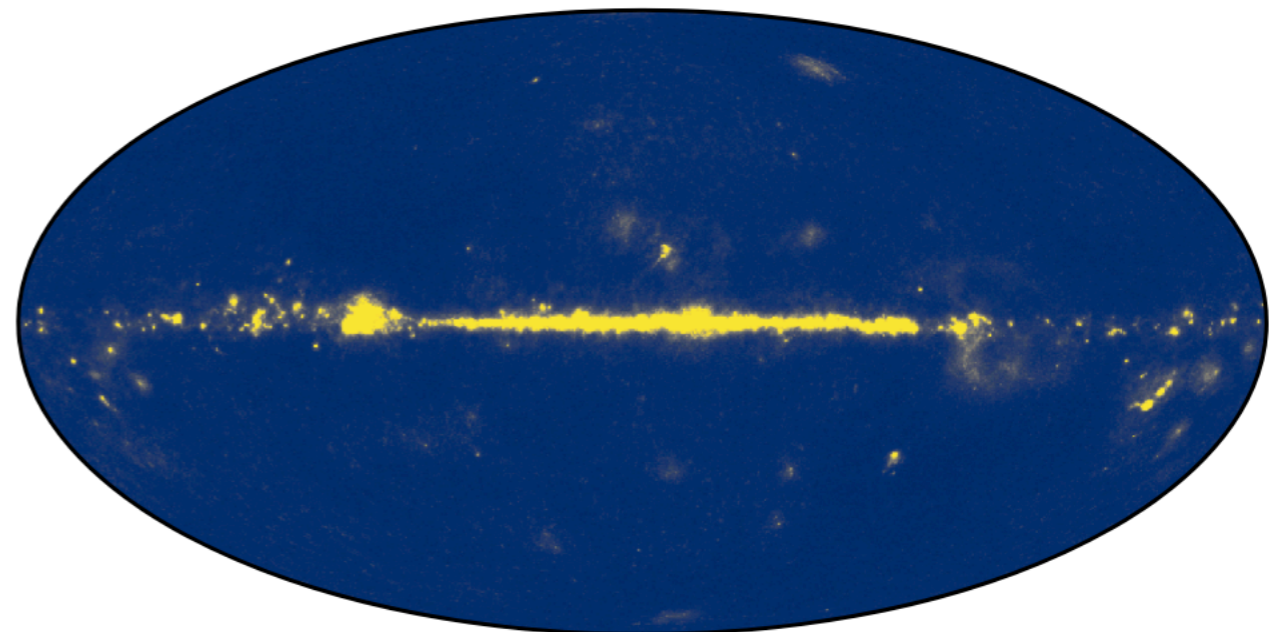


*The Astrophysical Journal*, 903:104 (8pp), 2020 November 10

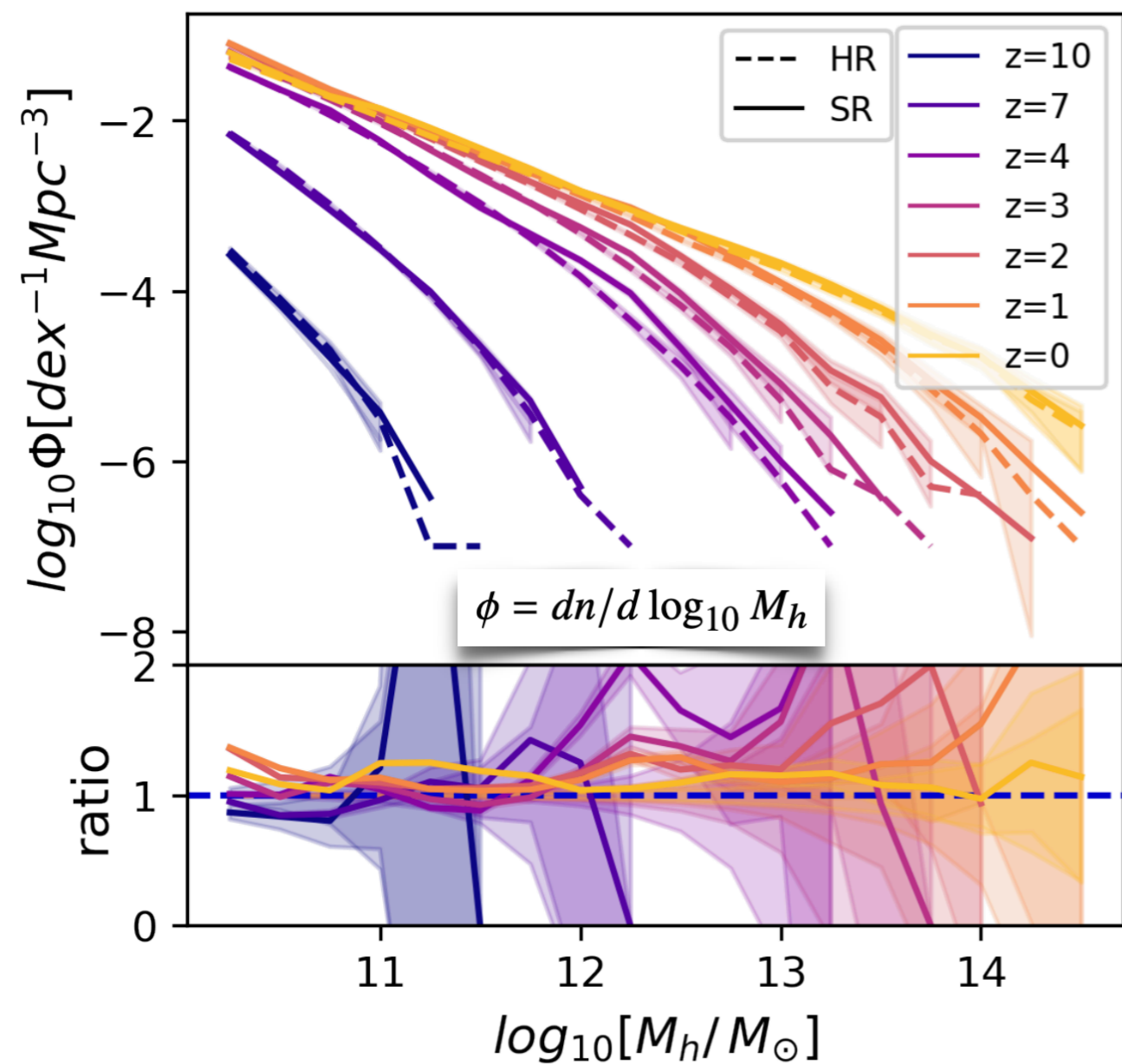
Reconstruction



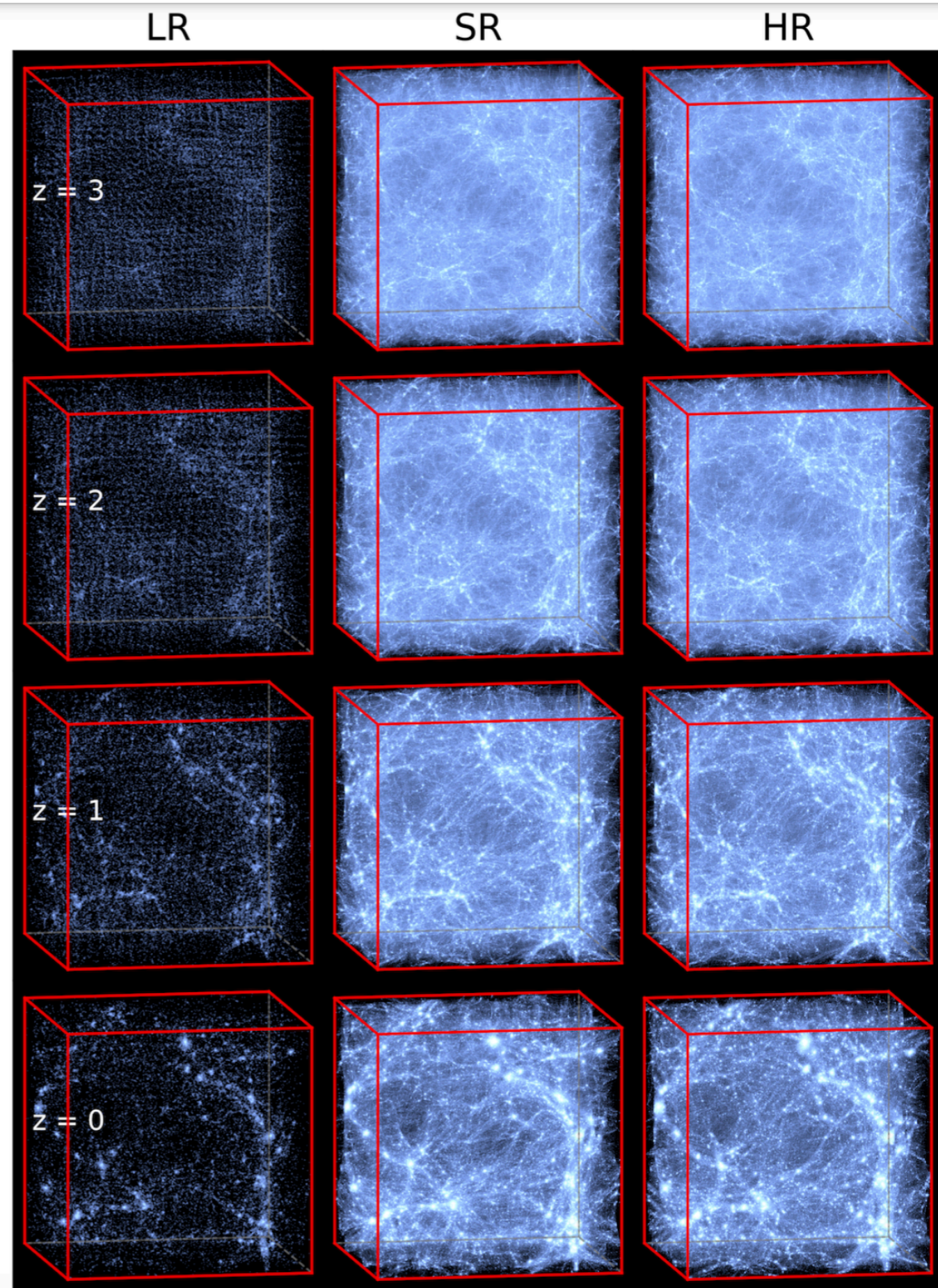
Predicted Error



# Super-resolution



SR model is capable of generating merger histories that are solely dependent on on time-consistent LR input



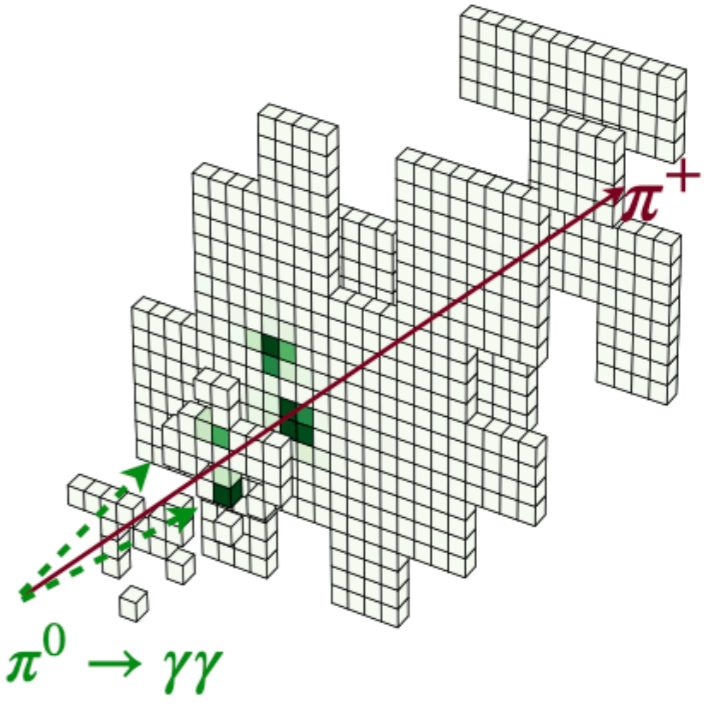
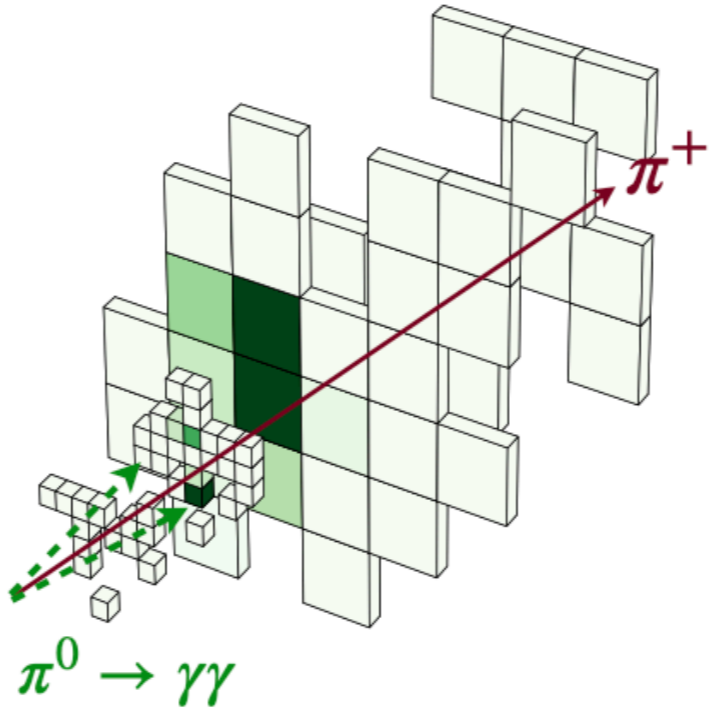
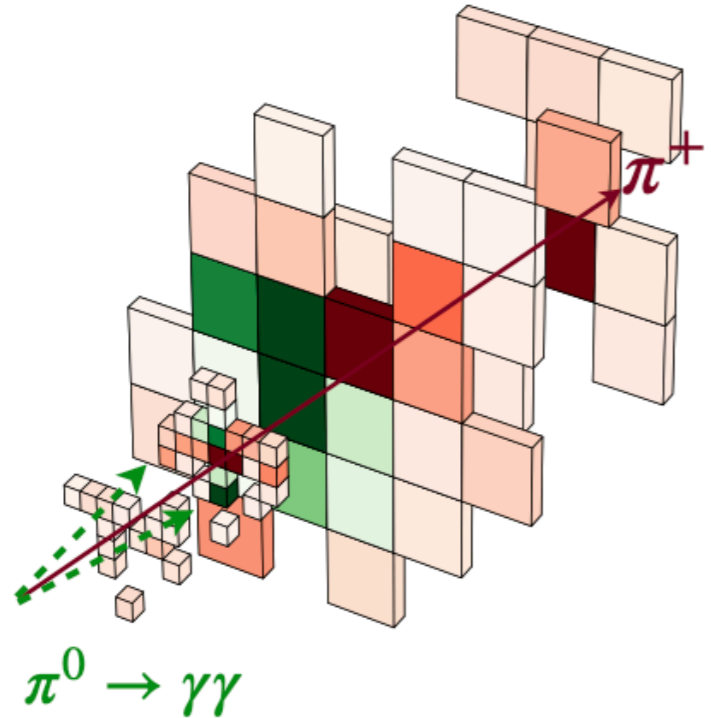
MNRAS 000, 000–000 (2022)



# A super-resolution case for HEP

8 X 8 Low Res detector

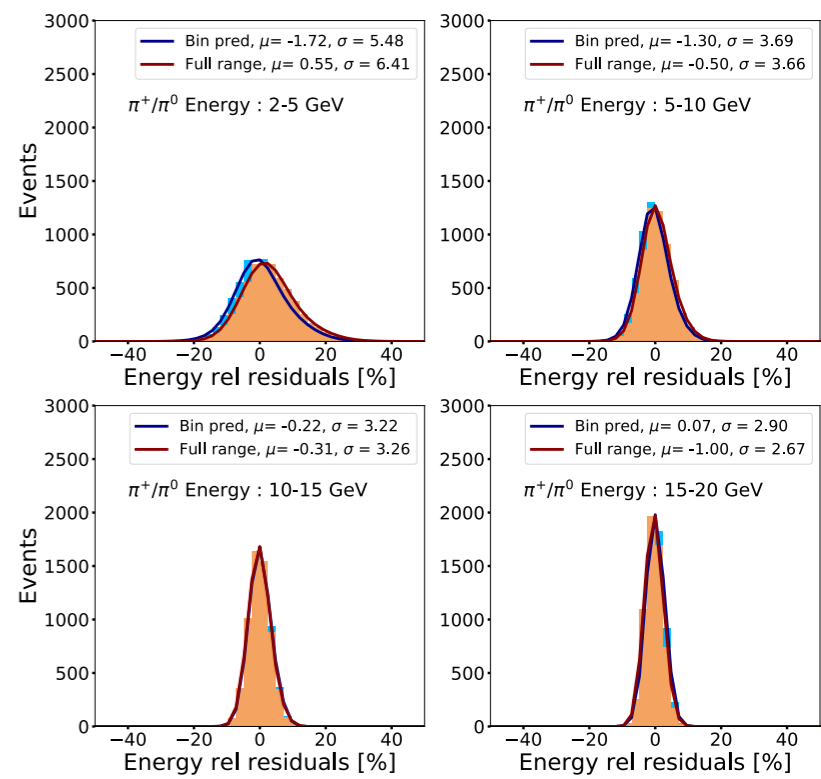
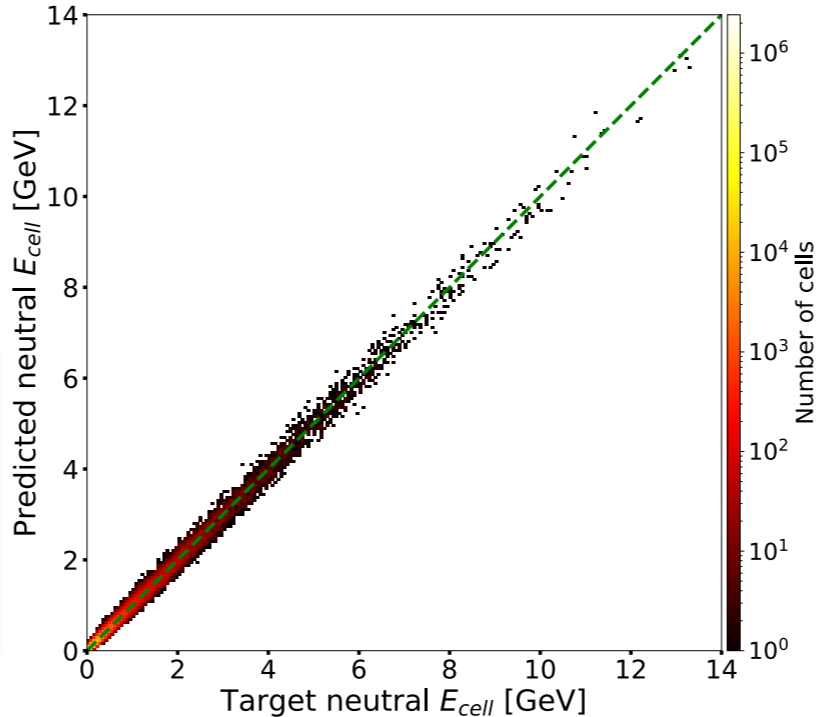
32 X 32 High Res detector



The networks in general have good noise removal abilities.

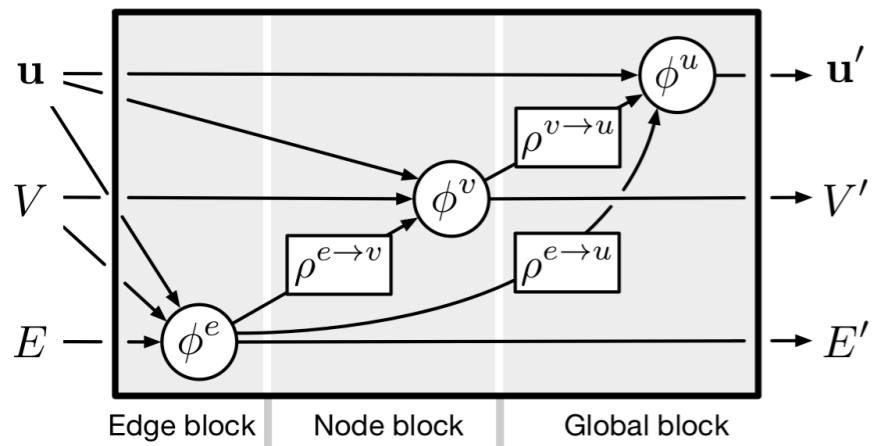
## Towards a computer vision particle flow

Francesco Armando Di Bello, Sanmay Ganguly, Eilam Gross, Marumi Kado, Michael Pitt, Lorenzo Santi & Jonathan Shlomi  
 The European Physical Journal C 81, Article number: 107 (2021) | Cite this article  
 1341 Accesses | 13 Citations | 11 Altmetric | Metrics

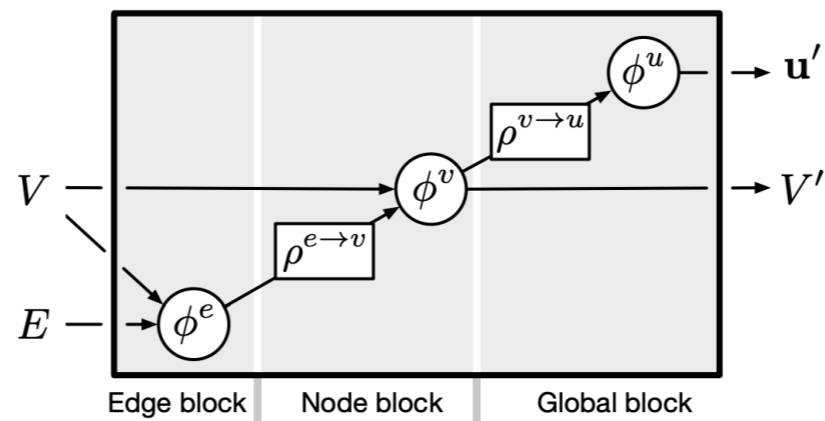


# The general GNN

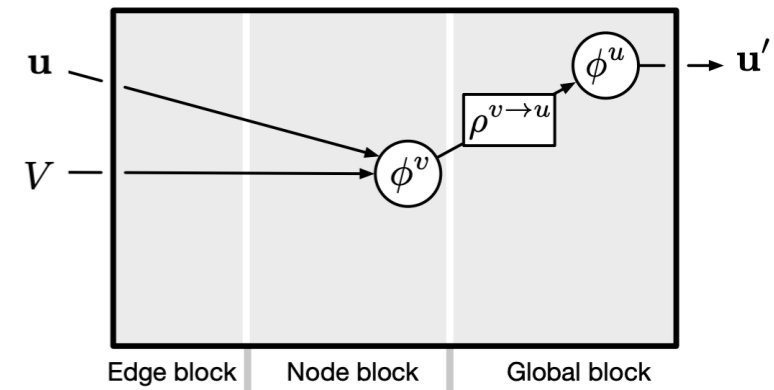
arXiv : 1806.01261



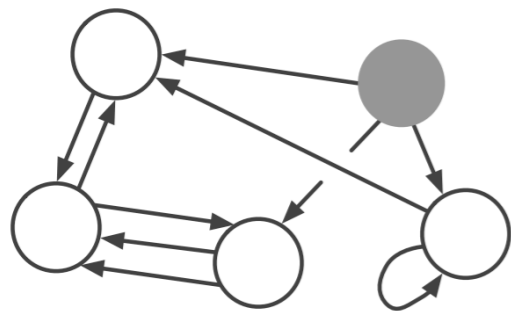
Full GN block



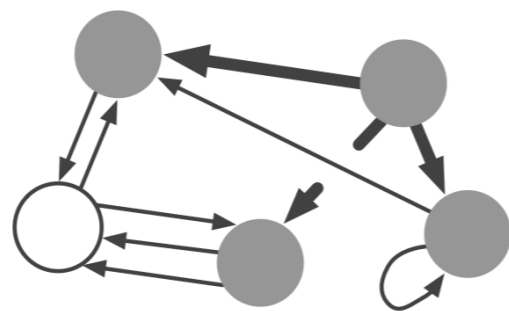
MPNN Layer



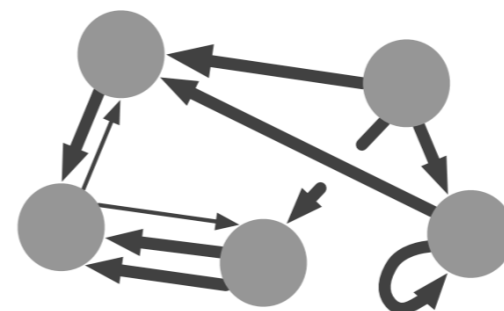
Deep-set layer



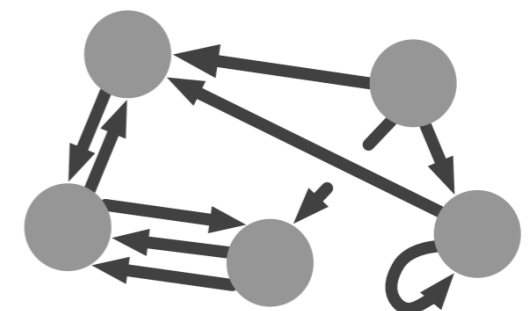
$m = 0$



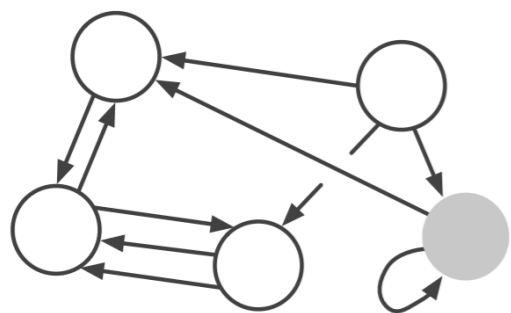
$m = 1$



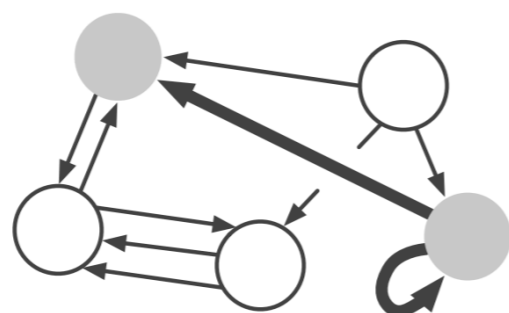
$m = 2$



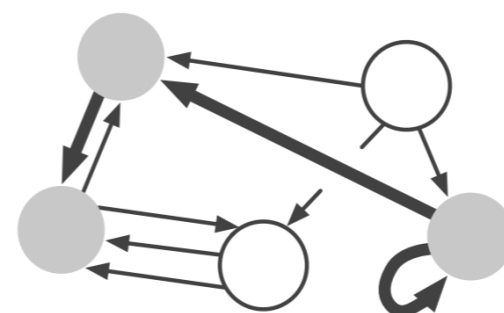
$m = 3$



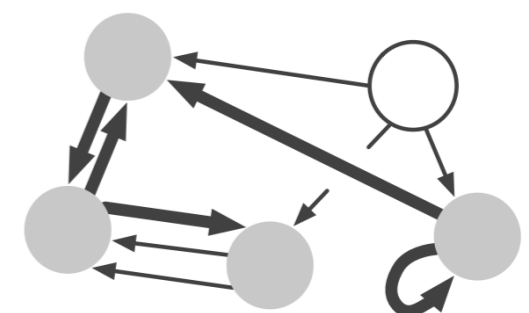
$m = 0$



$m = 1$



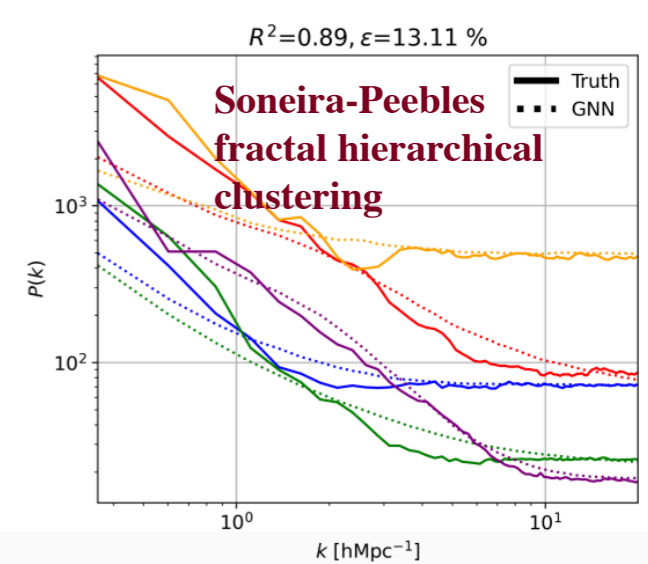
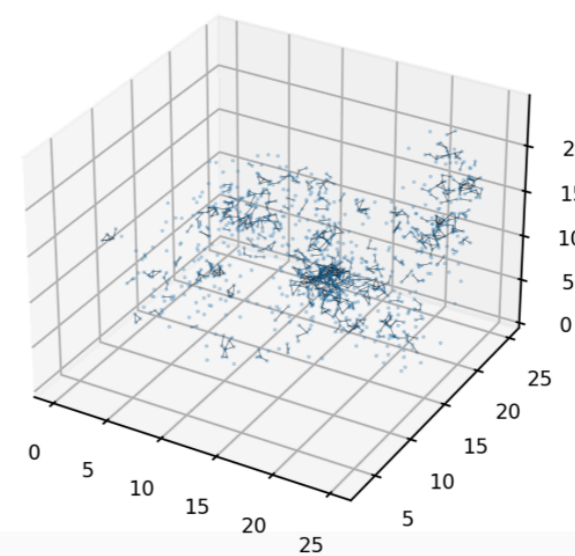
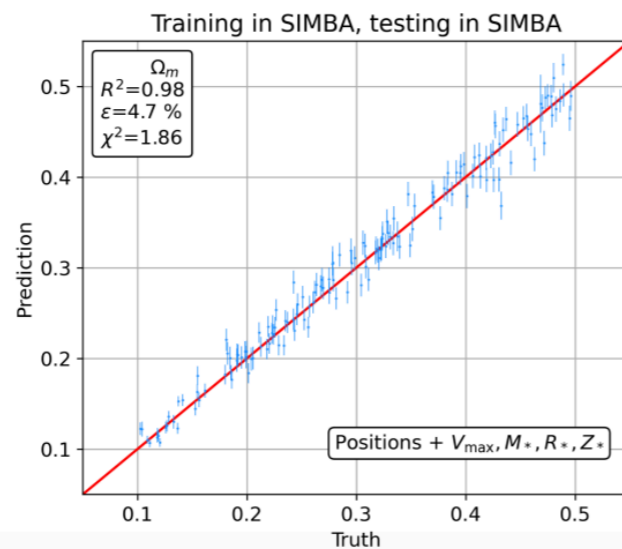
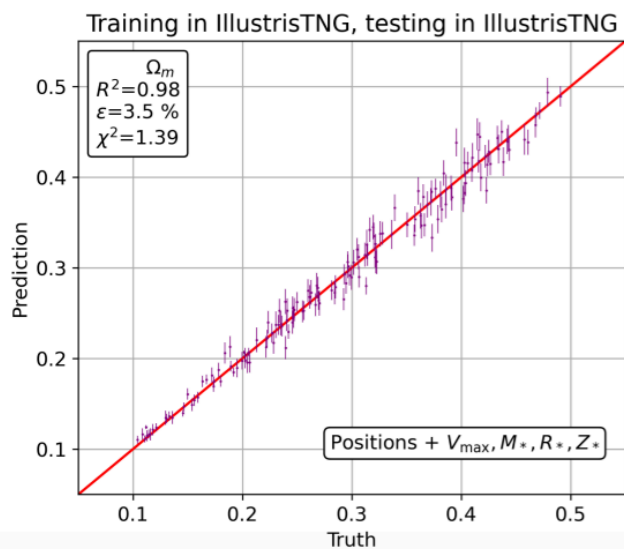
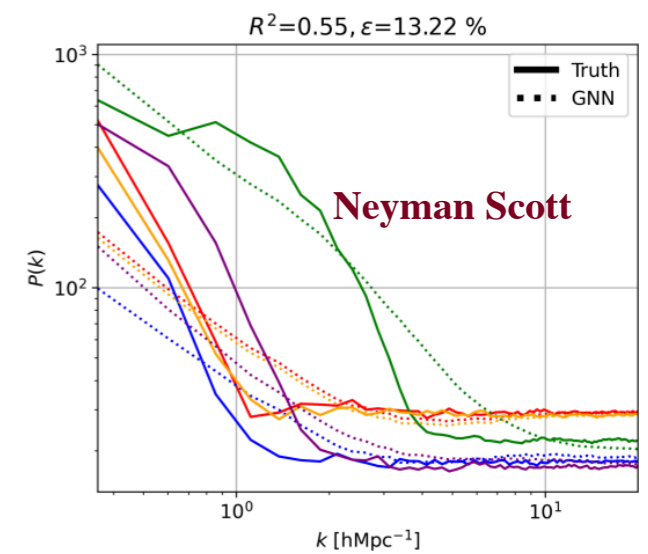
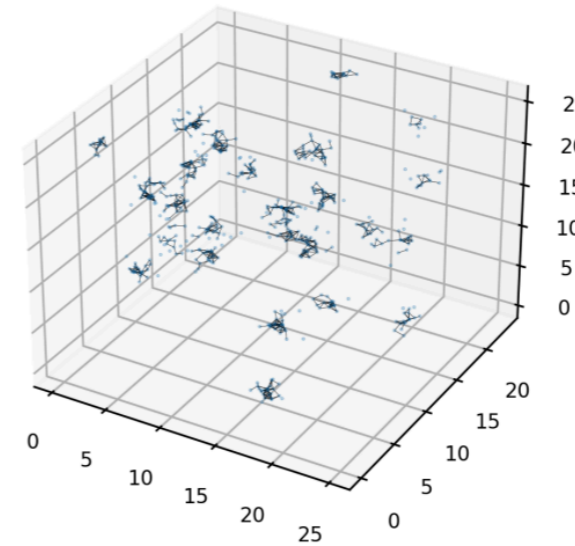
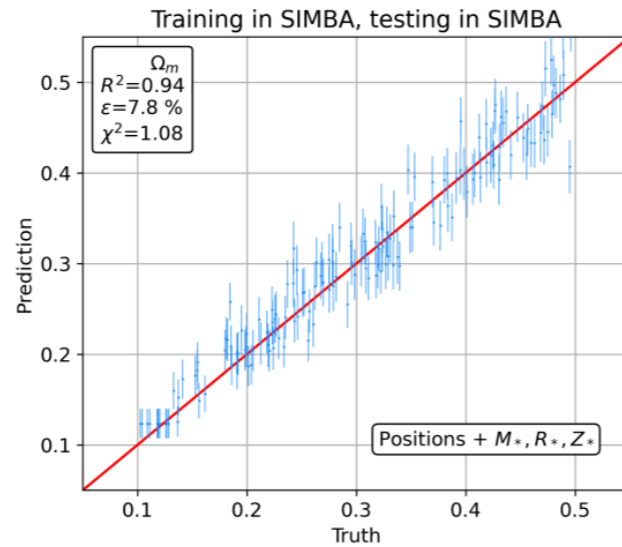
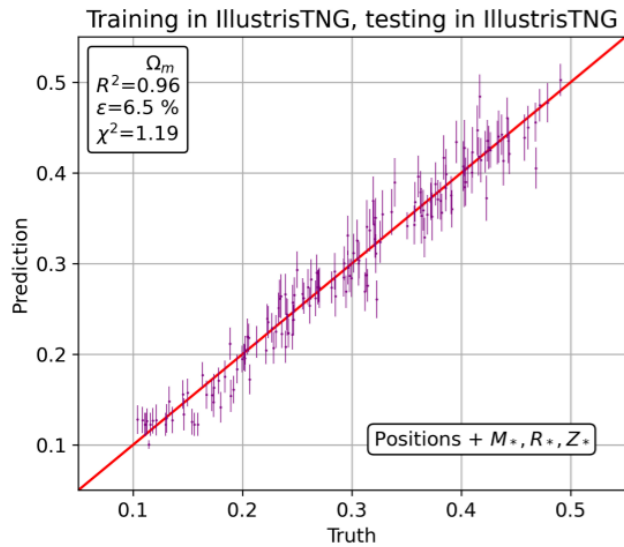
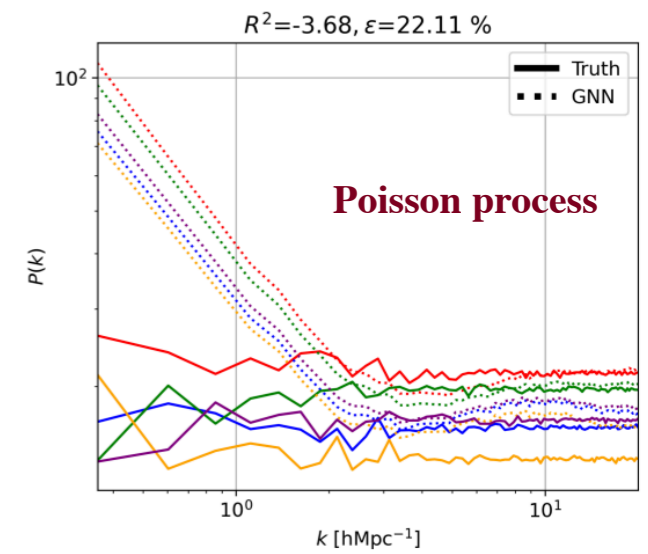
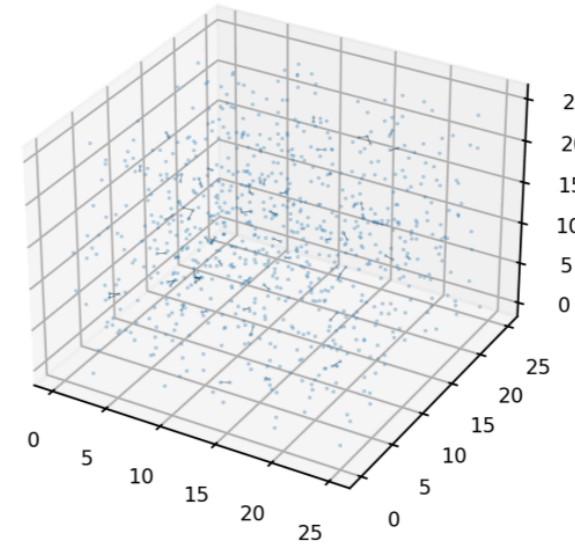
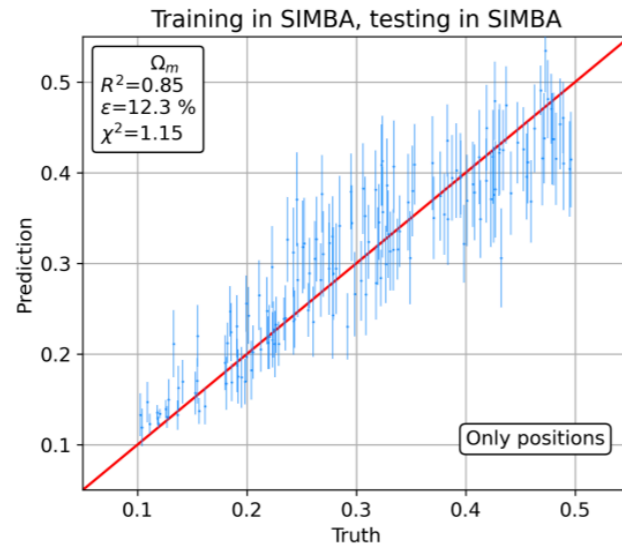
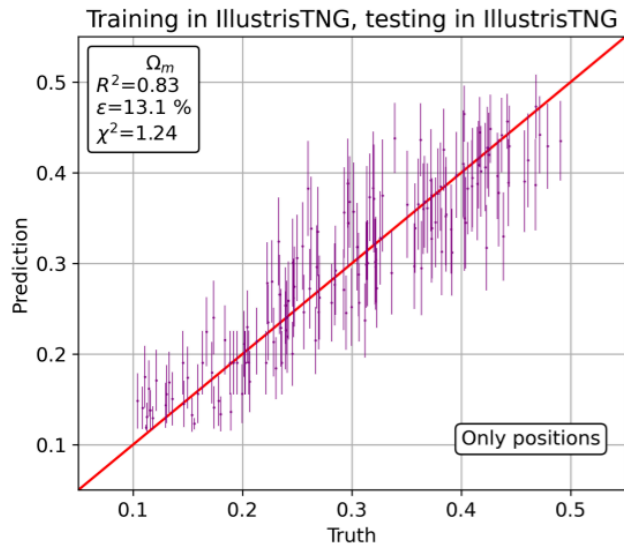
$m = 2$



$m = 3$

# GNN in Cosmology

arXiv : 2204.13713



# GNN in Cosmology : LOS velocity

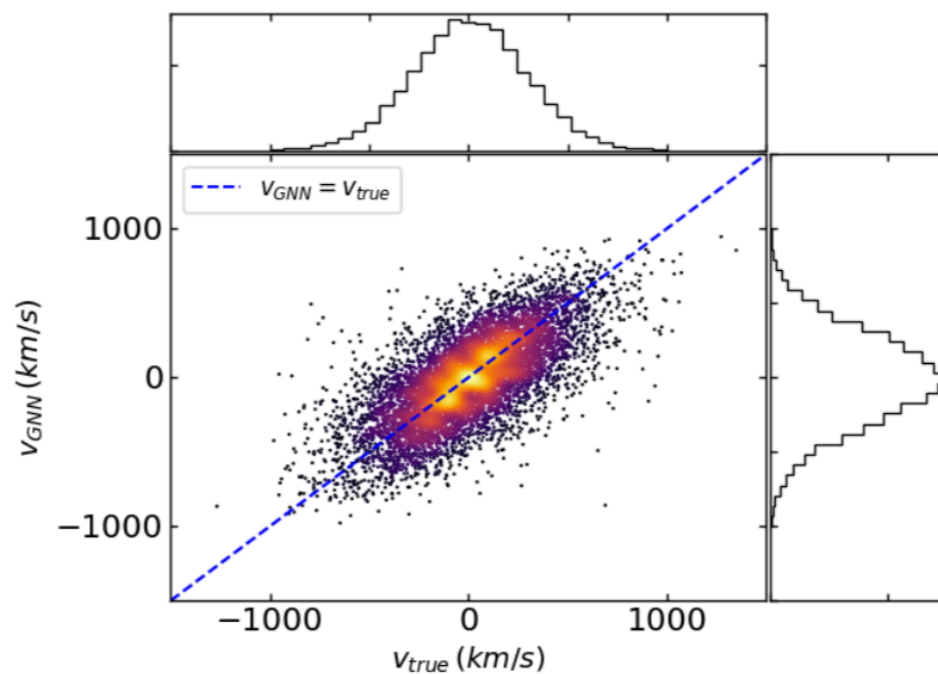
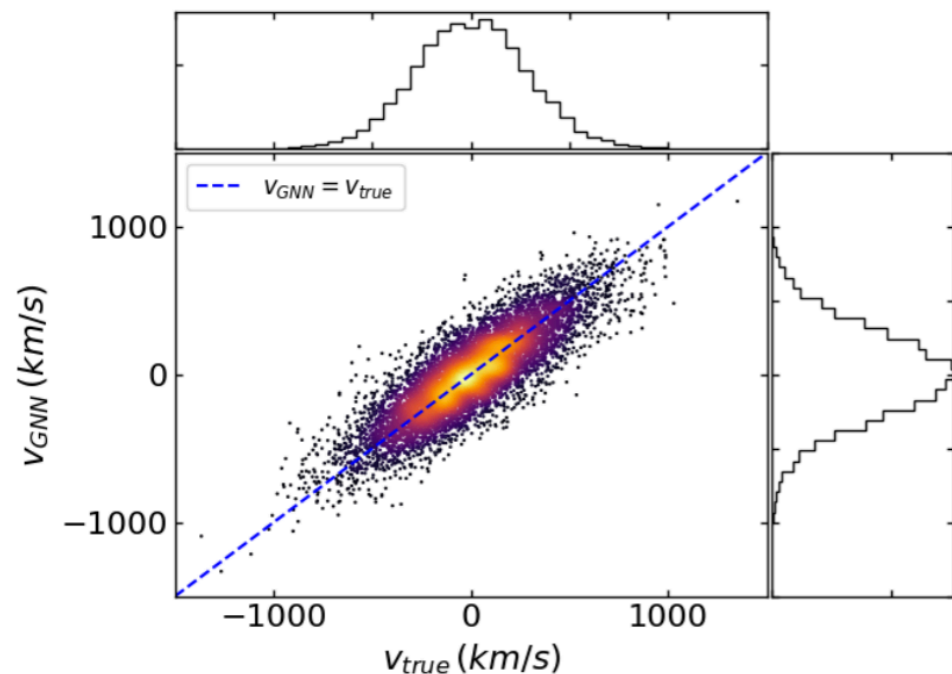
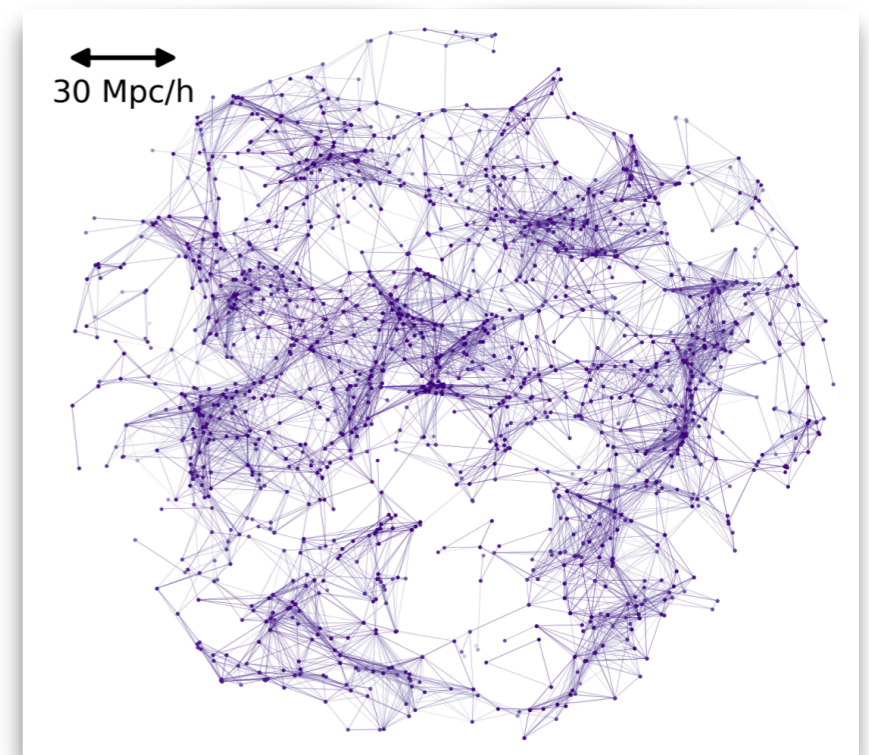
Type	Feature	Symbol
Node	3D position	$p$
Node	Stellar mass	$m_*$
Node	Star formation rate	$m_{SFR}$
Global	Number of galaxies in a graph	$N_g$

$$\frac{\Delta T_{\text{kSZ}}}{T_{\text{CMB}}} = -\sigma_{\text{T}} \int n_e \left( \frac{\mathbf{v} \cdot \hat{\mathbf{n}}}{c} \right) dl \approx -\tau \left( \frac{\mathbf{v} \cdot \hat{\mathbf{n}}}{c} \right)$$

**A 6% improvement was achieved by including galaxy masses.**

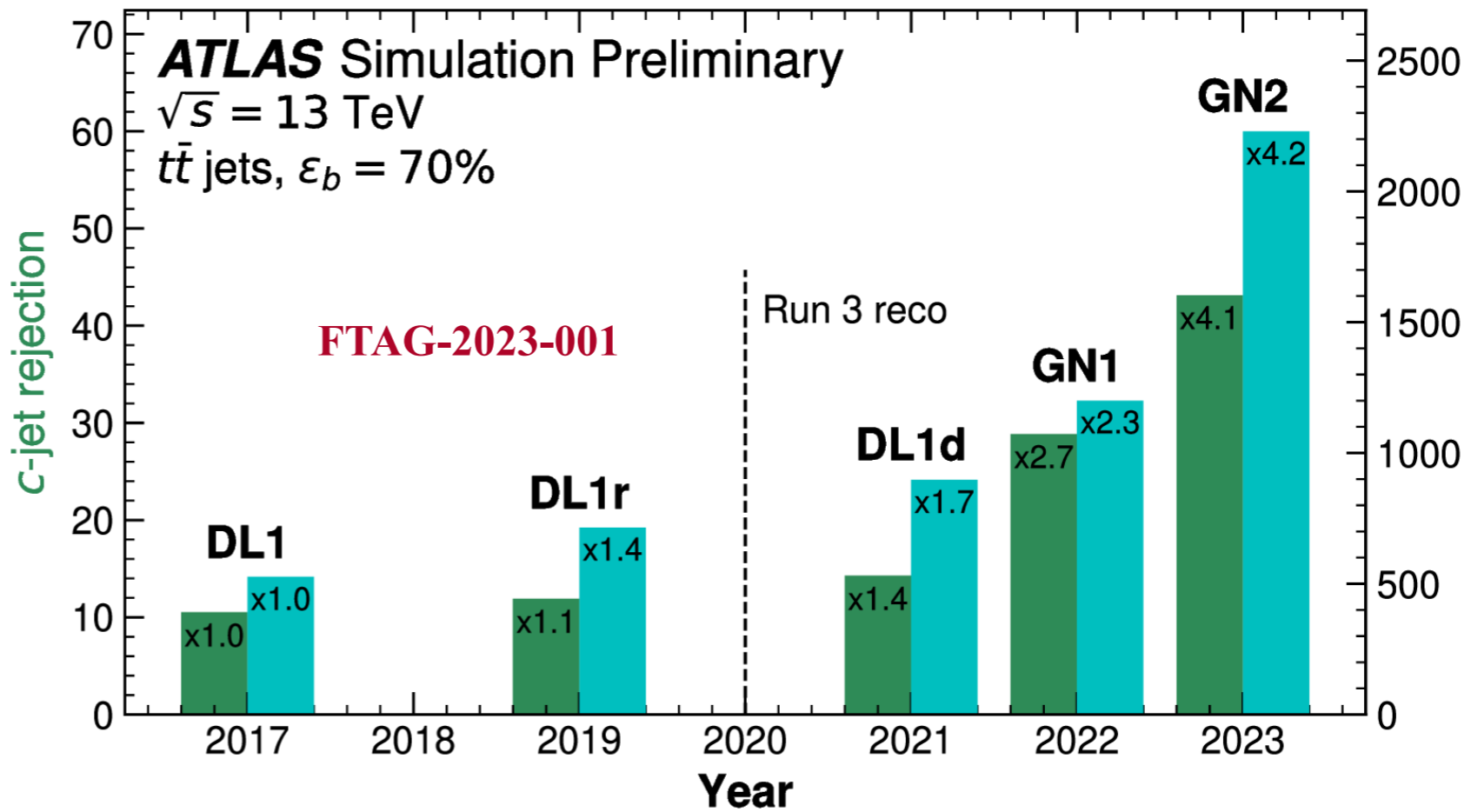
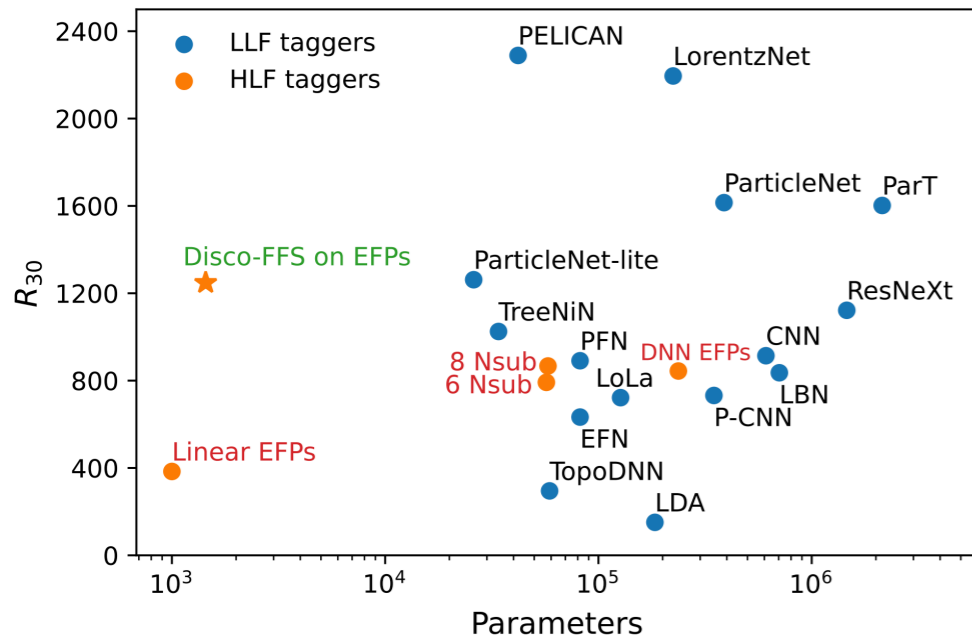
**GNN can overcome the shortcoming of explicit galaxy bias.**

**(Required by perturbation theory based methods)**

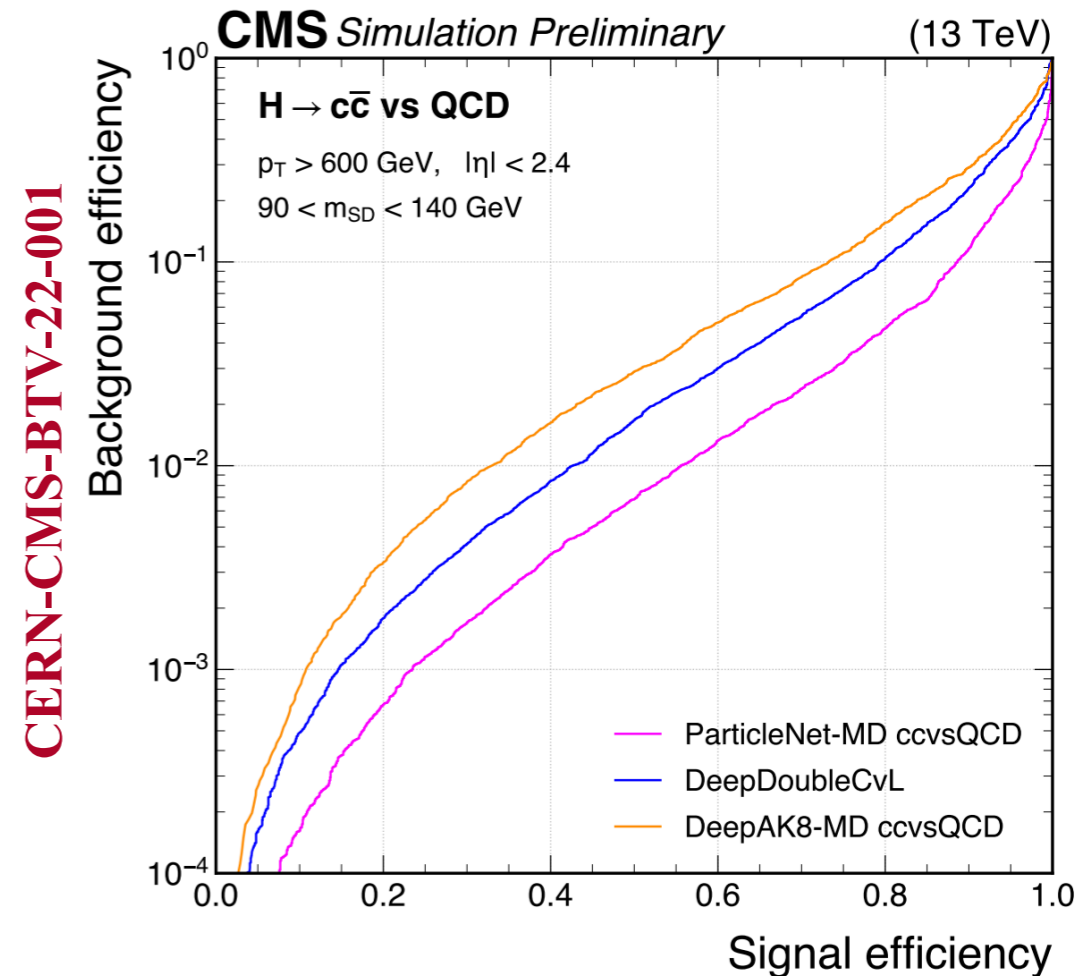
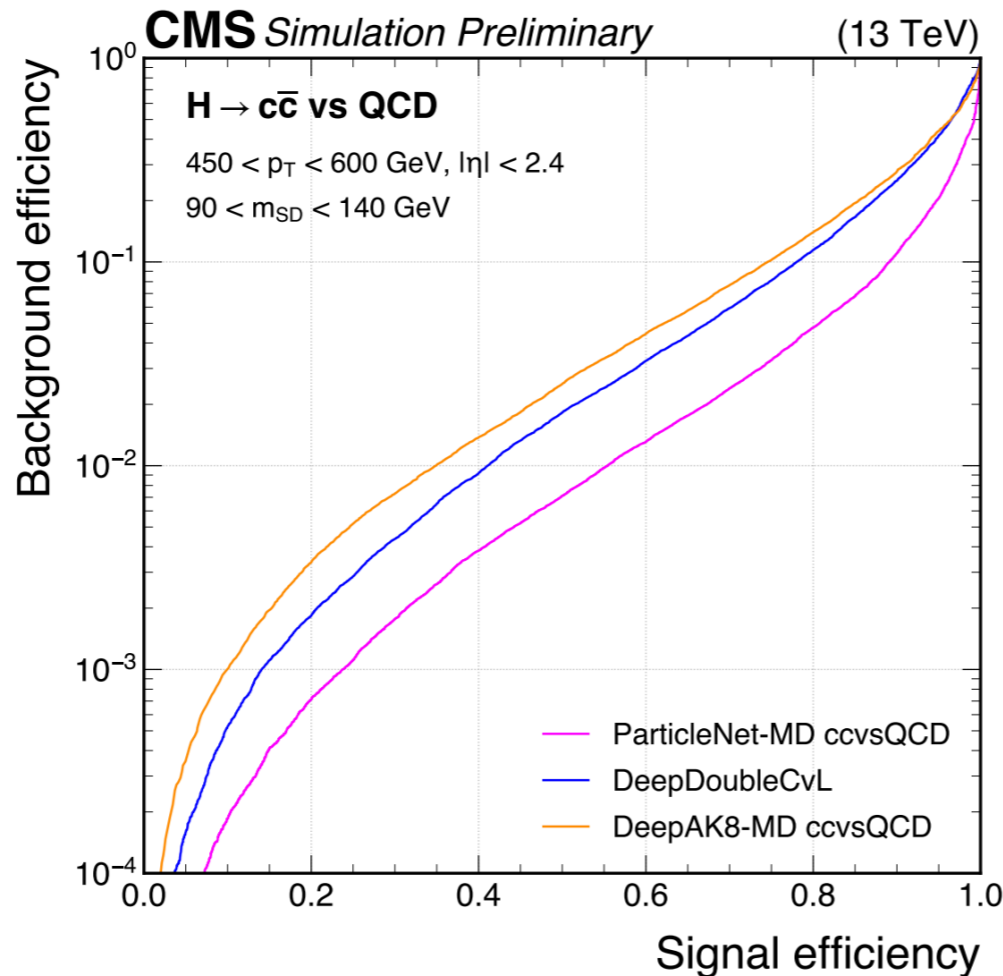


**arXiv : 2402.1239**

# GNN in HEP

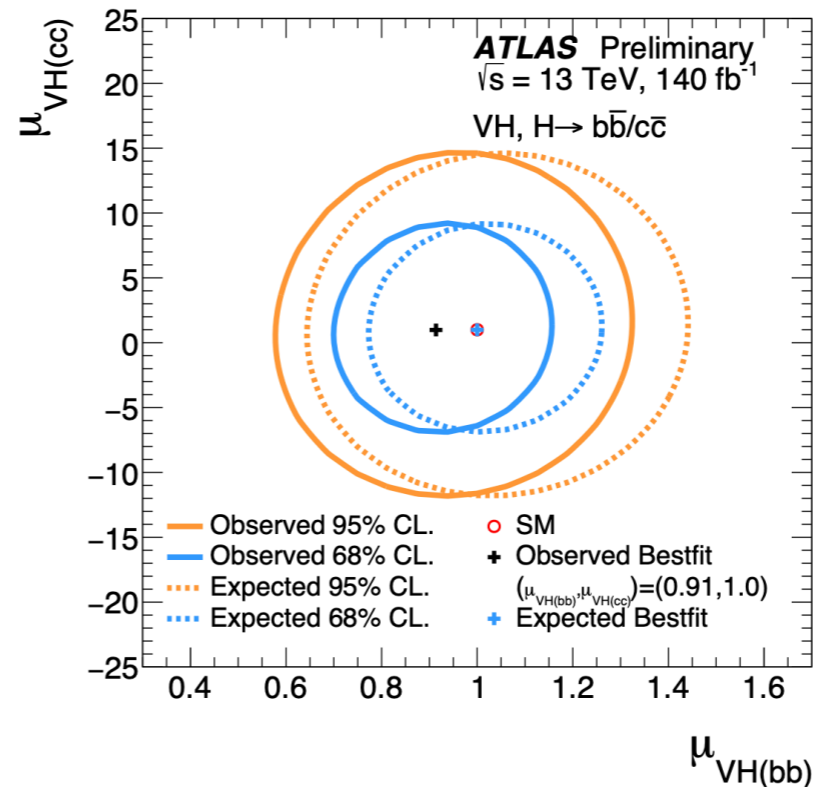
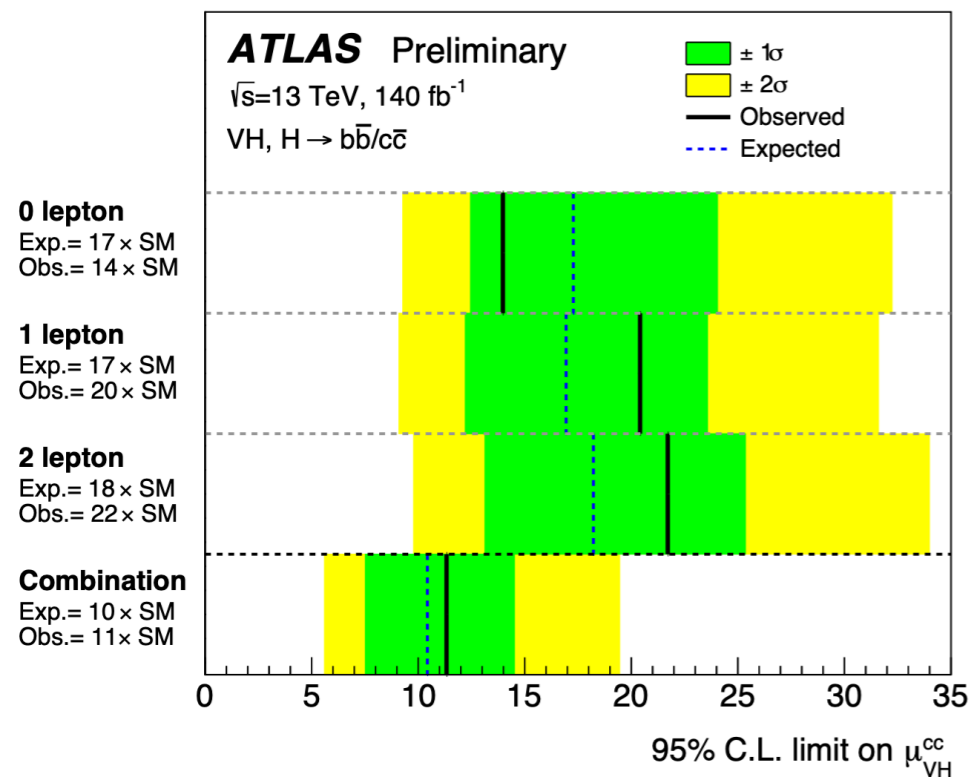


Jet tagging is revolutionized by GNN



CERN-CMS-BTV-22-001

# Direct physics application of the taggers



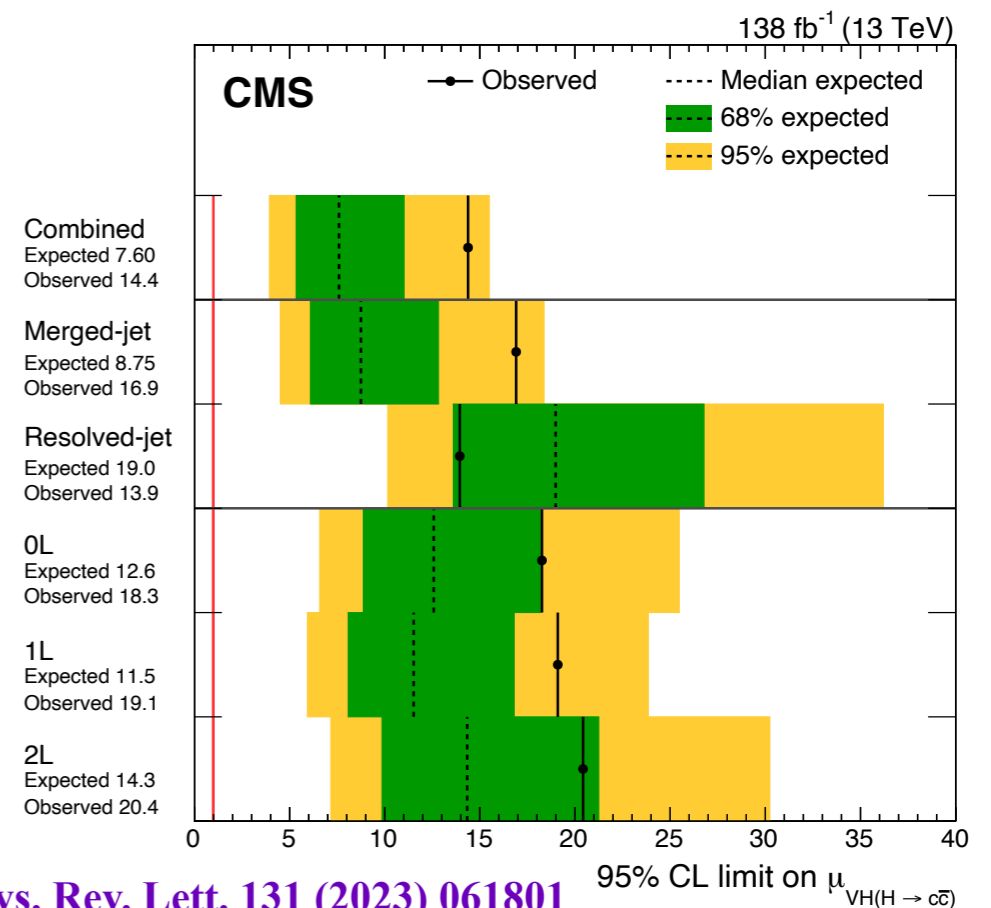
Future iteration will use GN2

**ATLAS bound :  $|\kappa_c| < 4.2$**

**CMS bound :  $1.1 < |\kappa_c| < 5.5$**

## Future direction of tagger improvement:

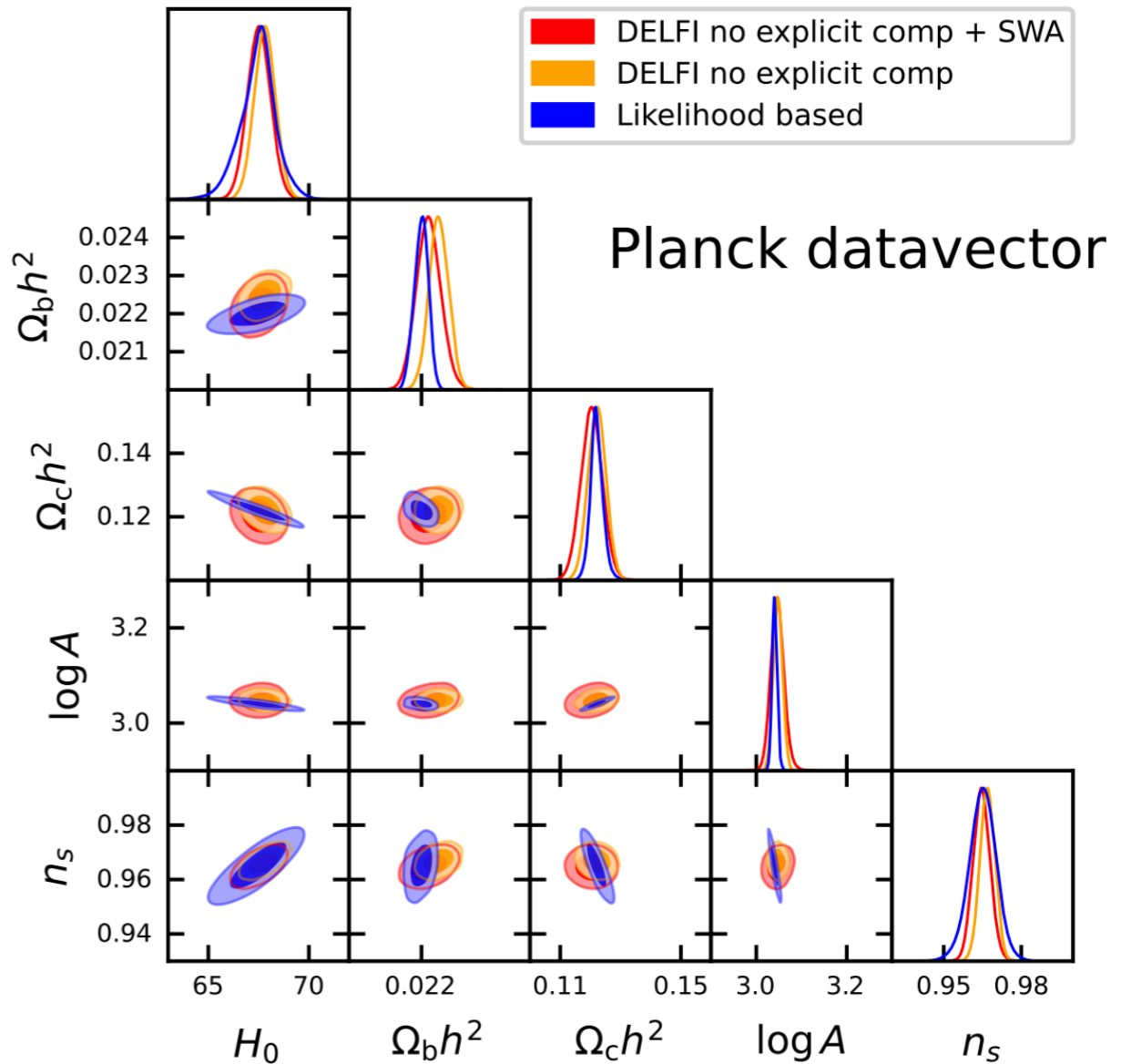
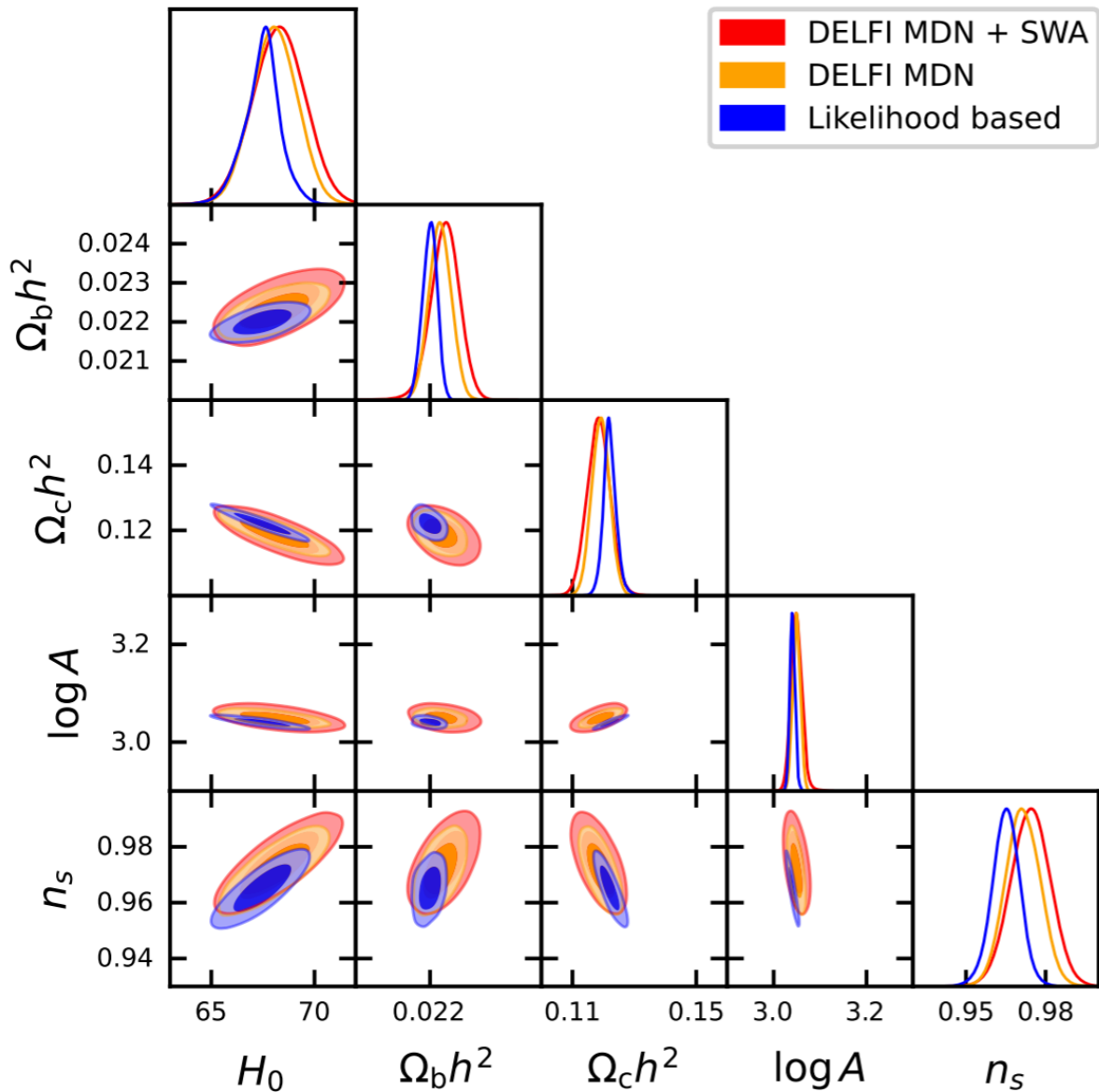
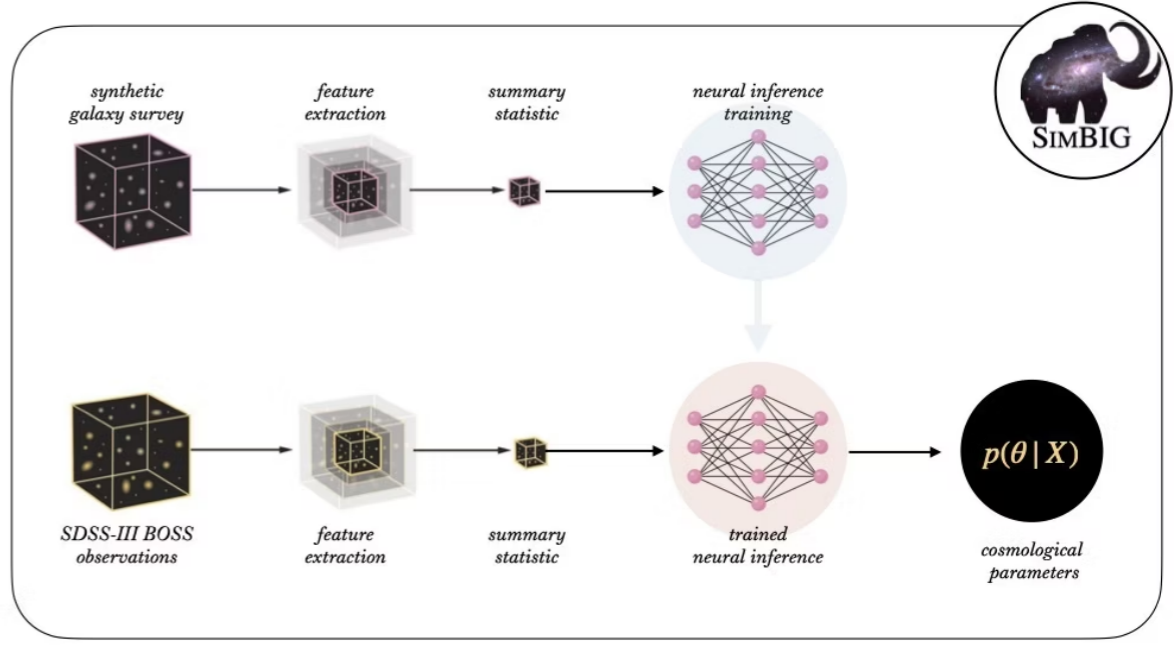
1. Explainable taggers on heterogeneous pc
2. A systematic uncertainty extraction.
3. How much universal taggers can be made across topologies?



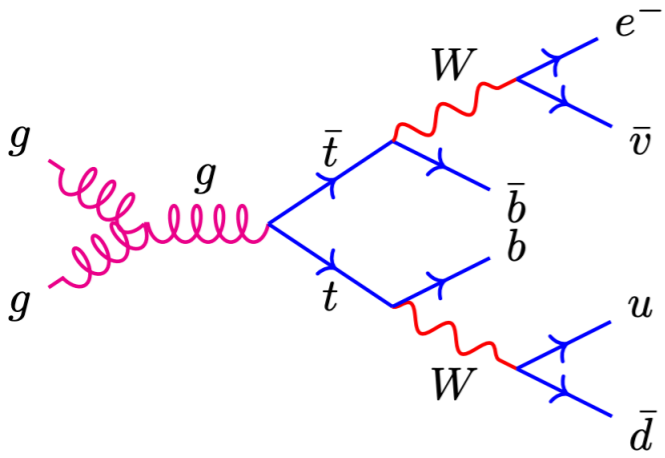
Phys. Rev. Lett. 131 (2023) 061801

# SBI in Cosmology

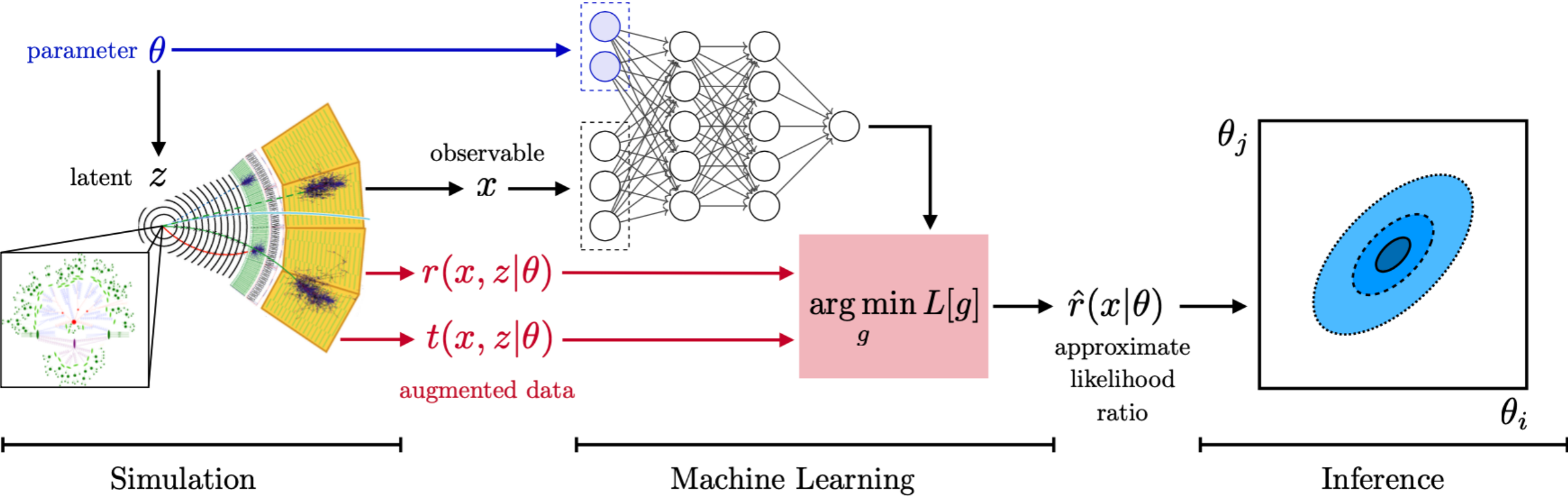
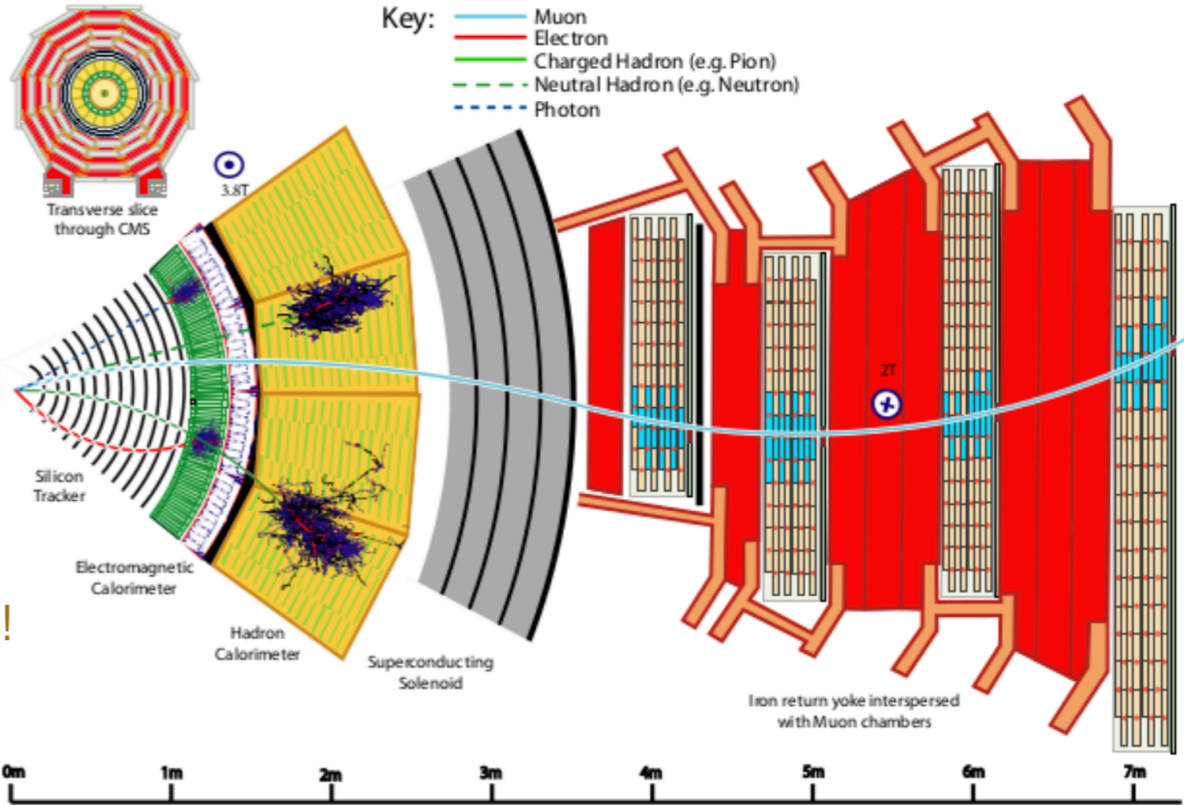
Likelihood free inference is state of the art



# SBI in HEP

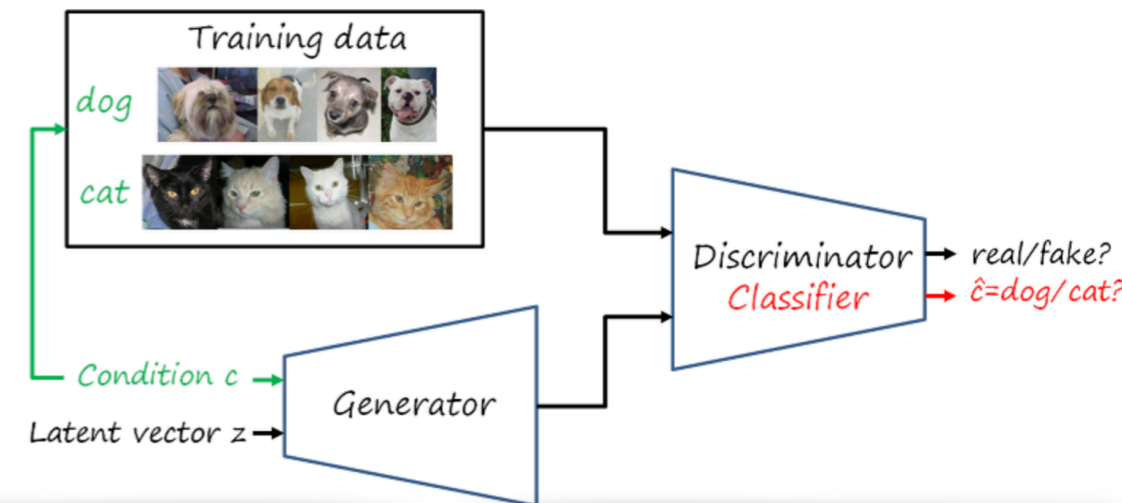
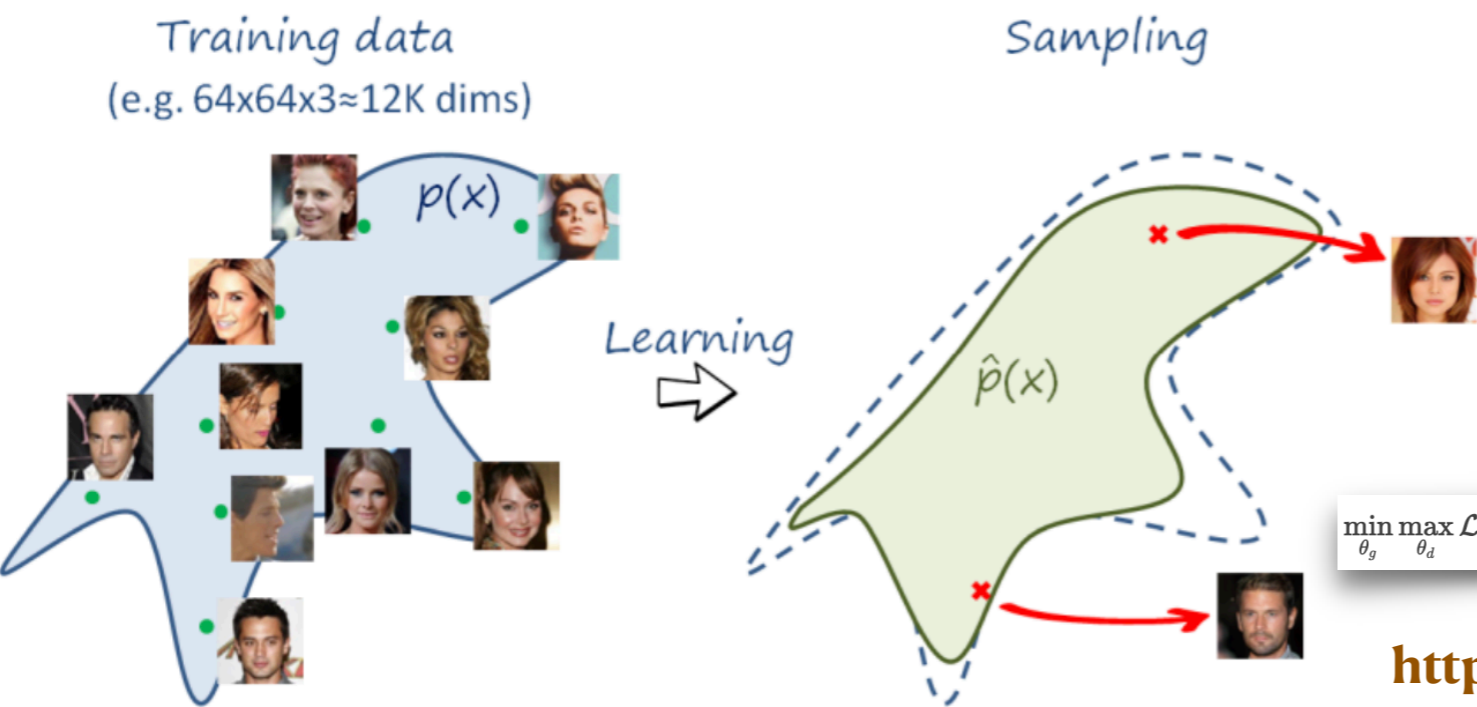


Underconstrained inversion problem !





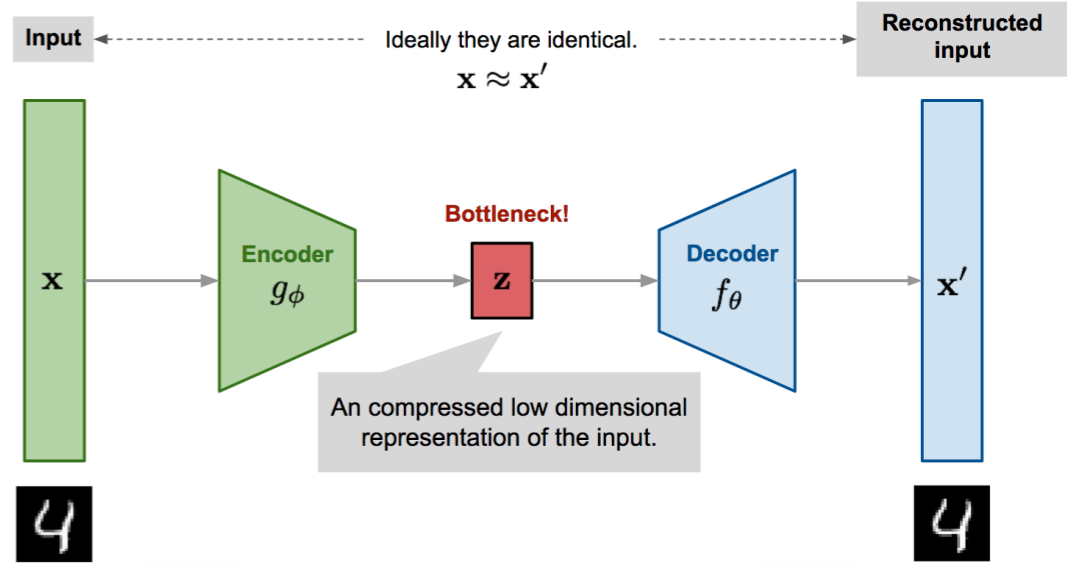
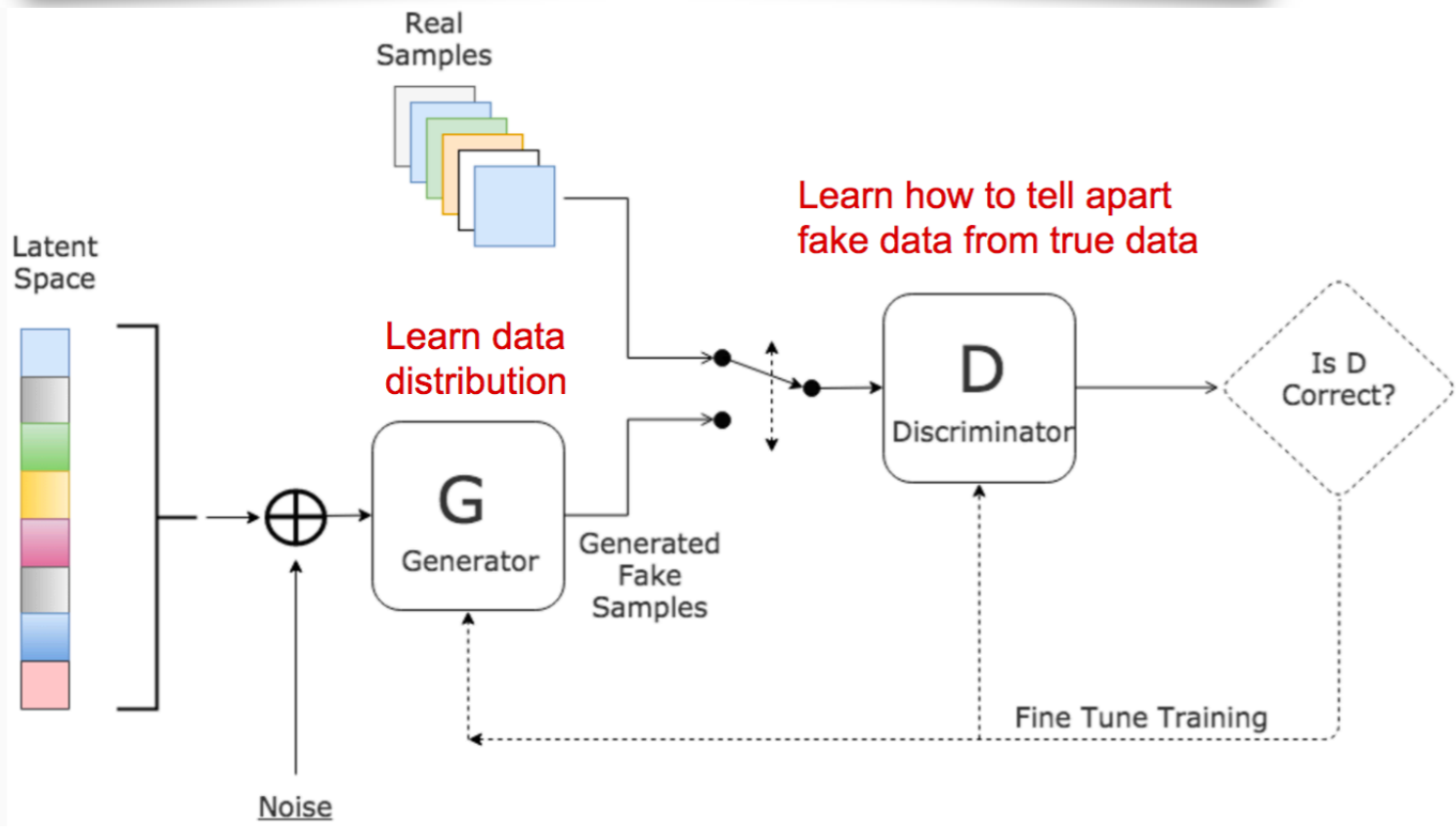
# Generative models : what are they?



$$\min_{\theta_g} \max_{\theta_d} \mathcal{L}_{c\text{GAN}}(\theta_g, \theta_d) = \min_{\theta_g} \max_{\theta_d} [\mathbb{E}_{c, x \sim p_{\text{data}}(c, x)} \log D_{\theta_d}(c, x) + \mathbb{E}_{c \sim p_{\text{data}}(c), z \sim p_z(z)} \log (1 - D_{\theta_d}(c, G_{\theta_g}(c, z)))]$$

<http://www.lherranz.org/2018/08/07/imagetranslation/>

$$\min_{\theta_g} \max_{\theta_d} [\mathbb{E}_{x \sim p_{\text{data}}(x)} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z)))]$$



$$L_{\text{AE}}(\theta, \phi) = \frac{1}{n} \sum_{i=1}^n (\mathbf{x}^{(i)} - f_\theta(g_\phi(\mathbf{x}^{(i)})))^2$$

<https://lilianweng.github.io/posts/>

# Generative models : the popular species

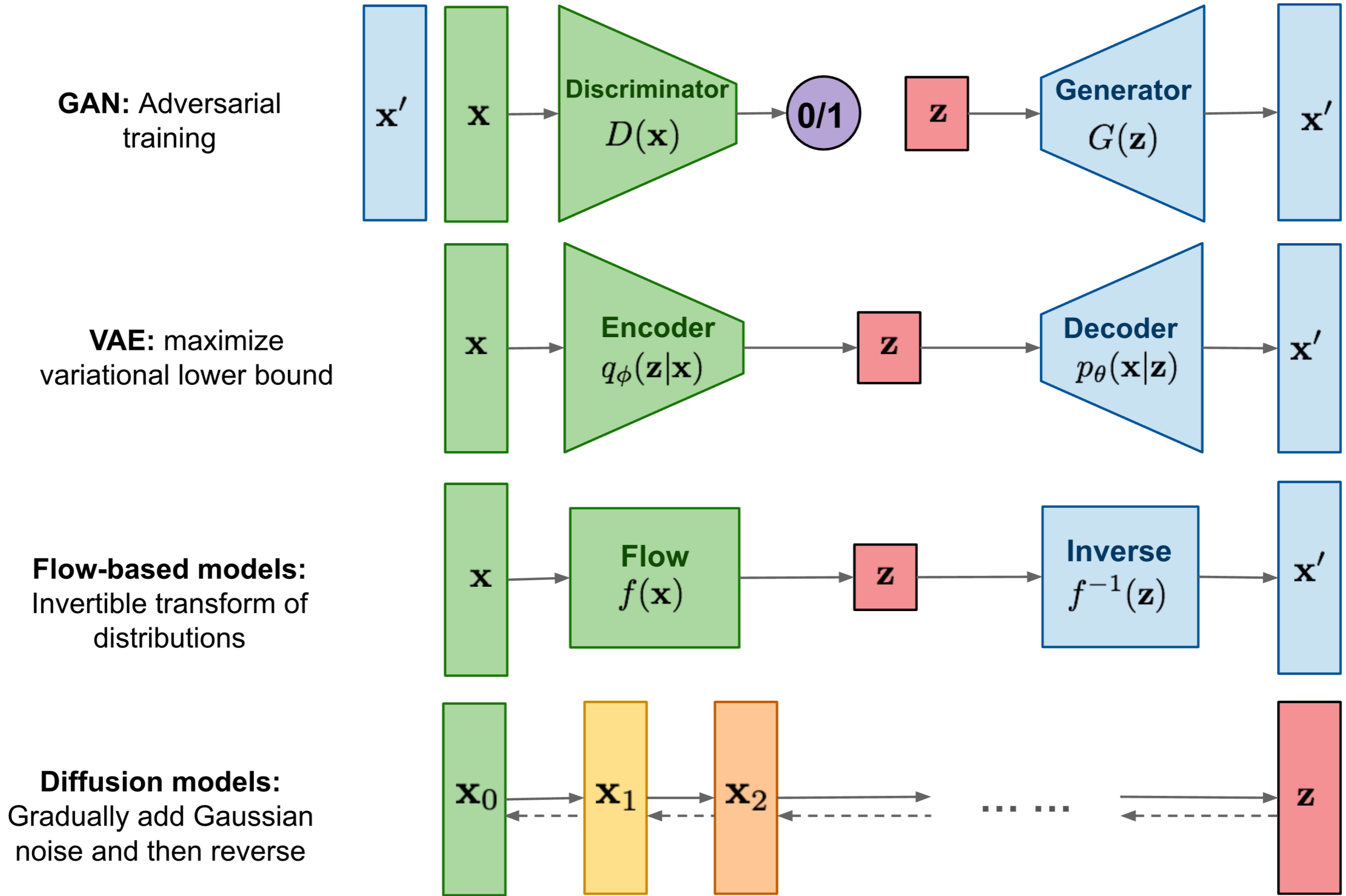


Fig from : <https://lilianweng.github.io/posts/2021-07-11-diffusion-models/>

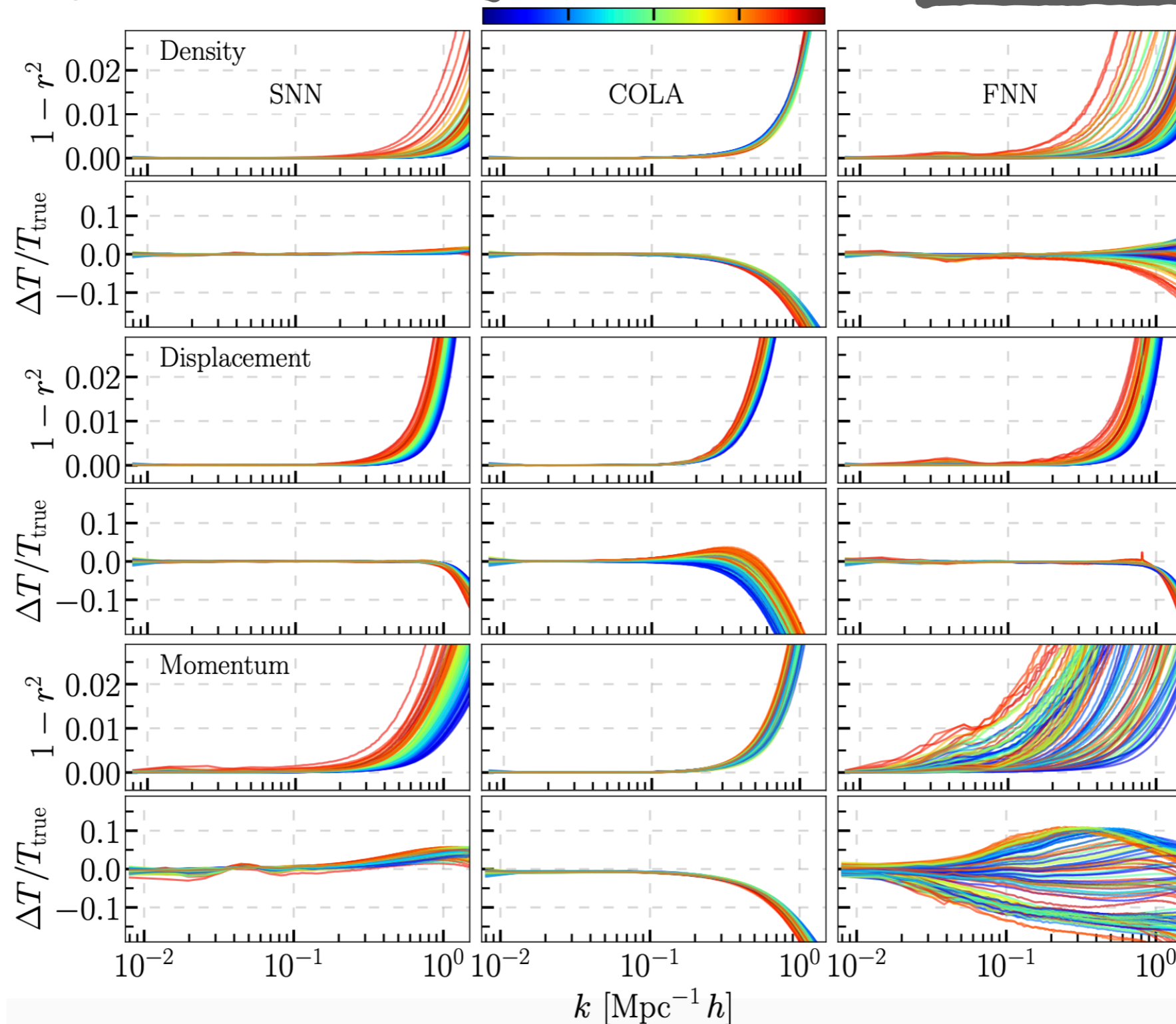
# This universe doesn't exist

arXiv : 2206.04594

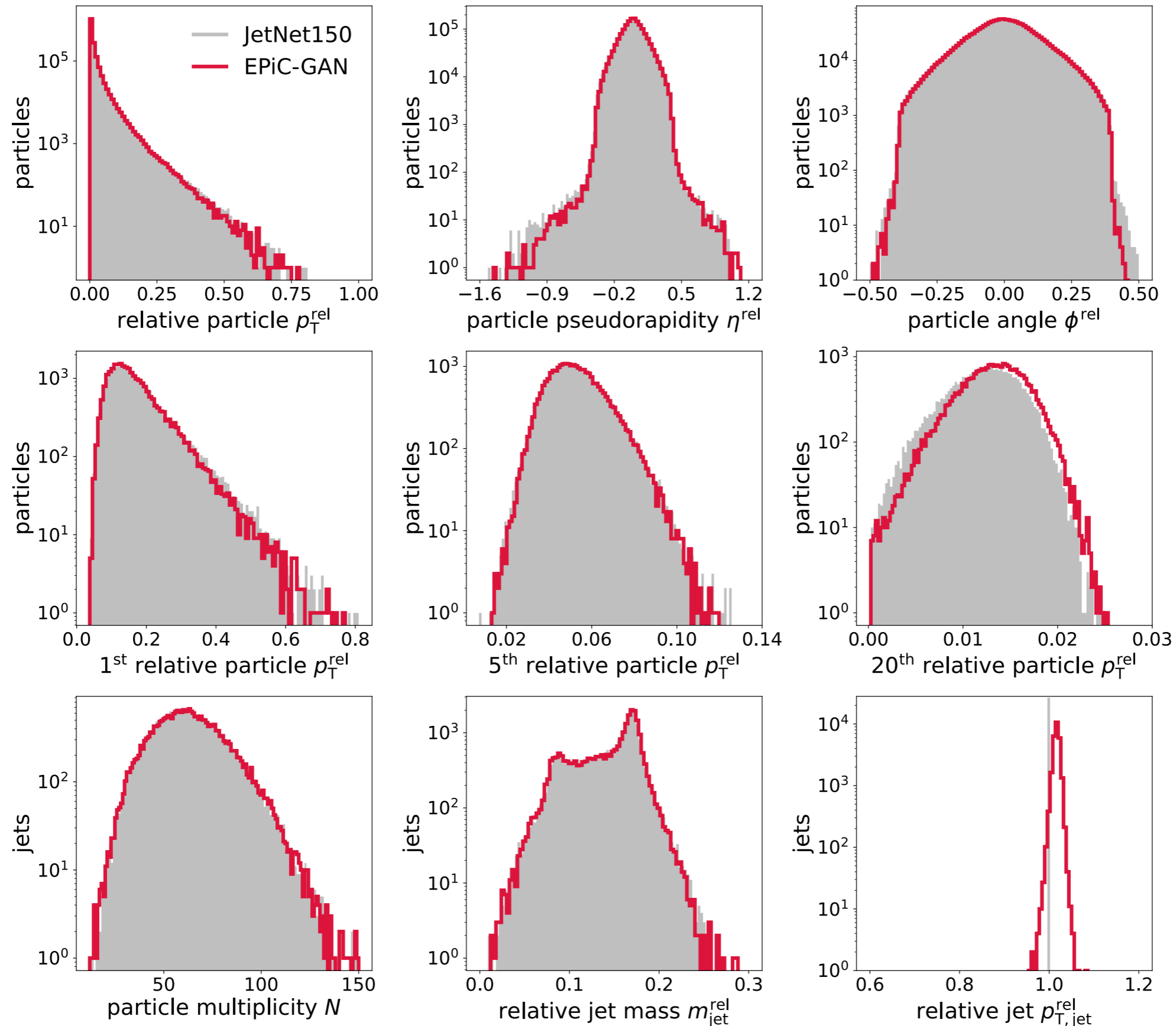
$$r(k) = \frac{\langle \delta_{m,\text{pred}}(\mathbf{k}) \delta_{m,\text{true}}(\mathbf{k}') \rangle}{\sqrt{\langle \delta_{m,\text{pred}}(\mathbf{k}) \delta_{m,\text{pred}}(\mathbf{k}') \rangle \langle \delta_{m,\text{true}}(\mathbf{k}) \delta_{m,\text{true}}(\mathbf{k}') \rangle}}$$

0.7  $\sigma_8$  0.8 0.9

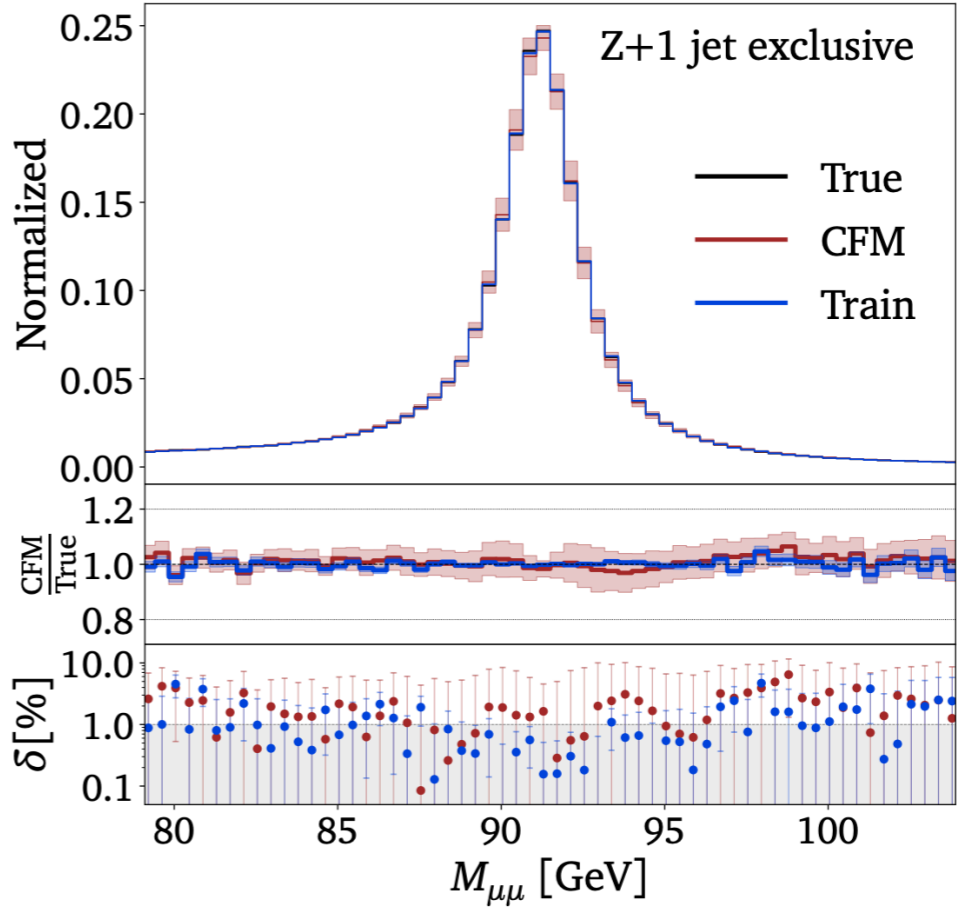
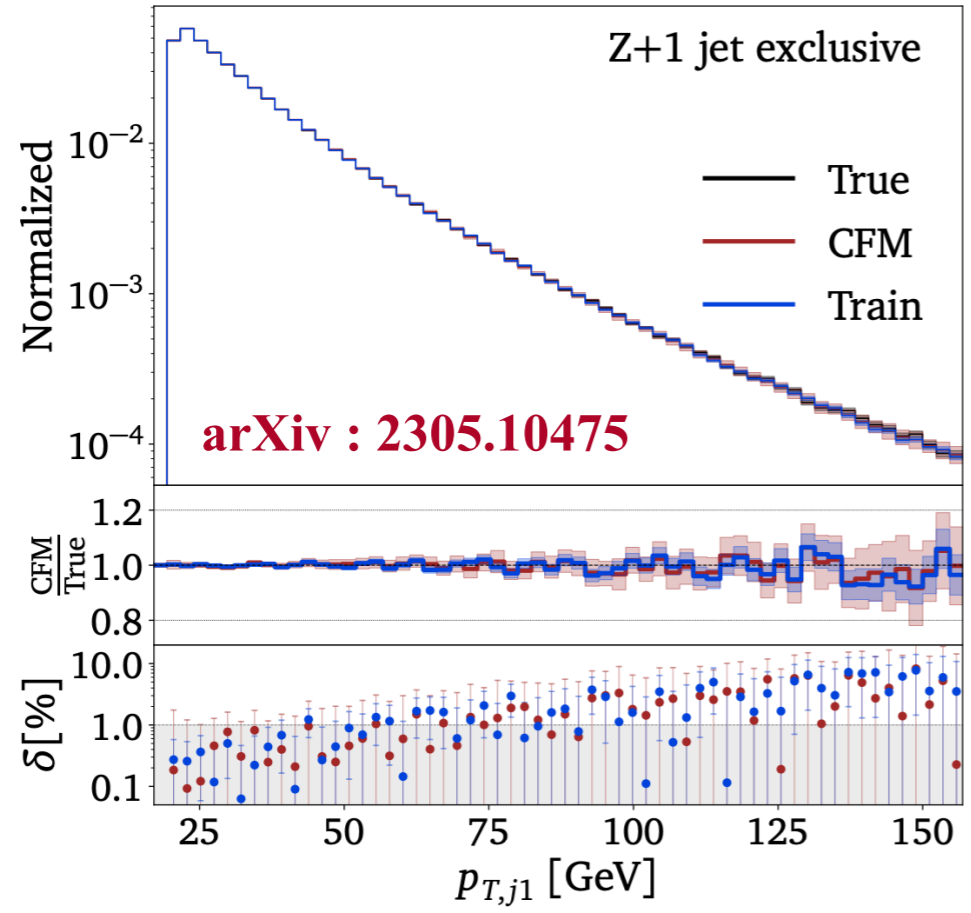
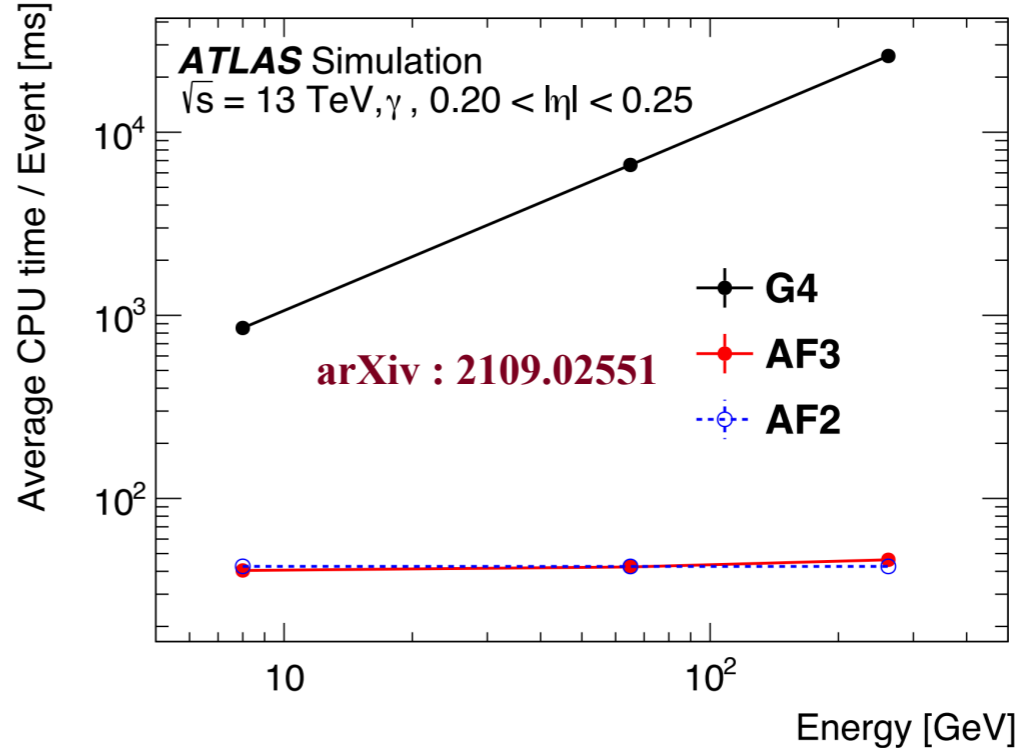
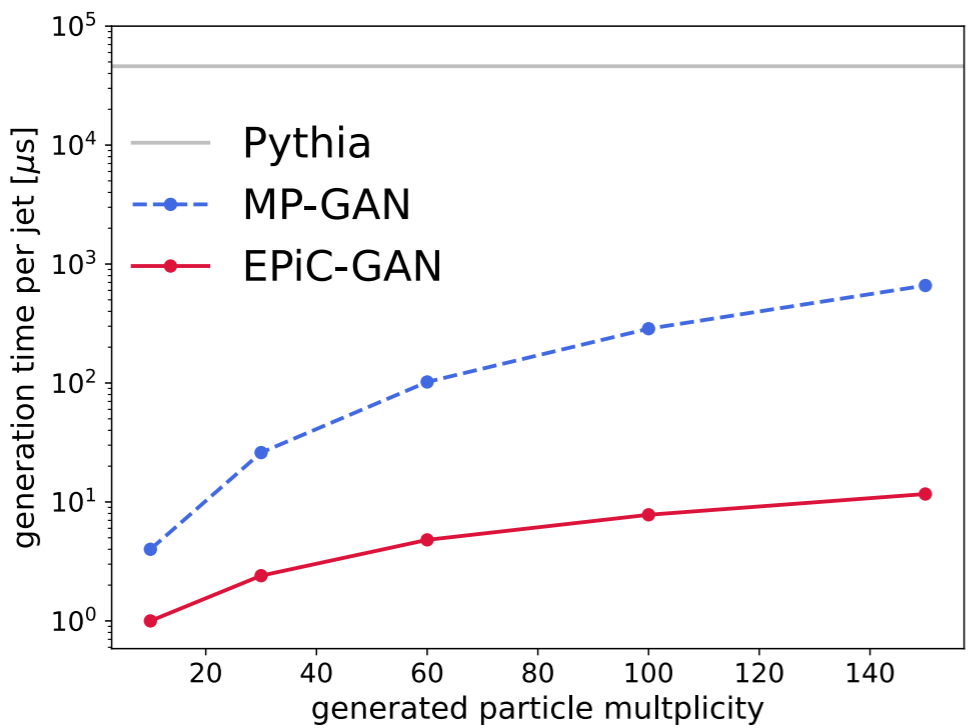
$$\frac{T_{\text{pred}}(k)}{T_{\text{true}}(k)} - 1 = \sqrt{\frac{P_{\text{mm,pred}}(k)}{P_{\text{mm,true}}(k)}} - 1$$



# The performance



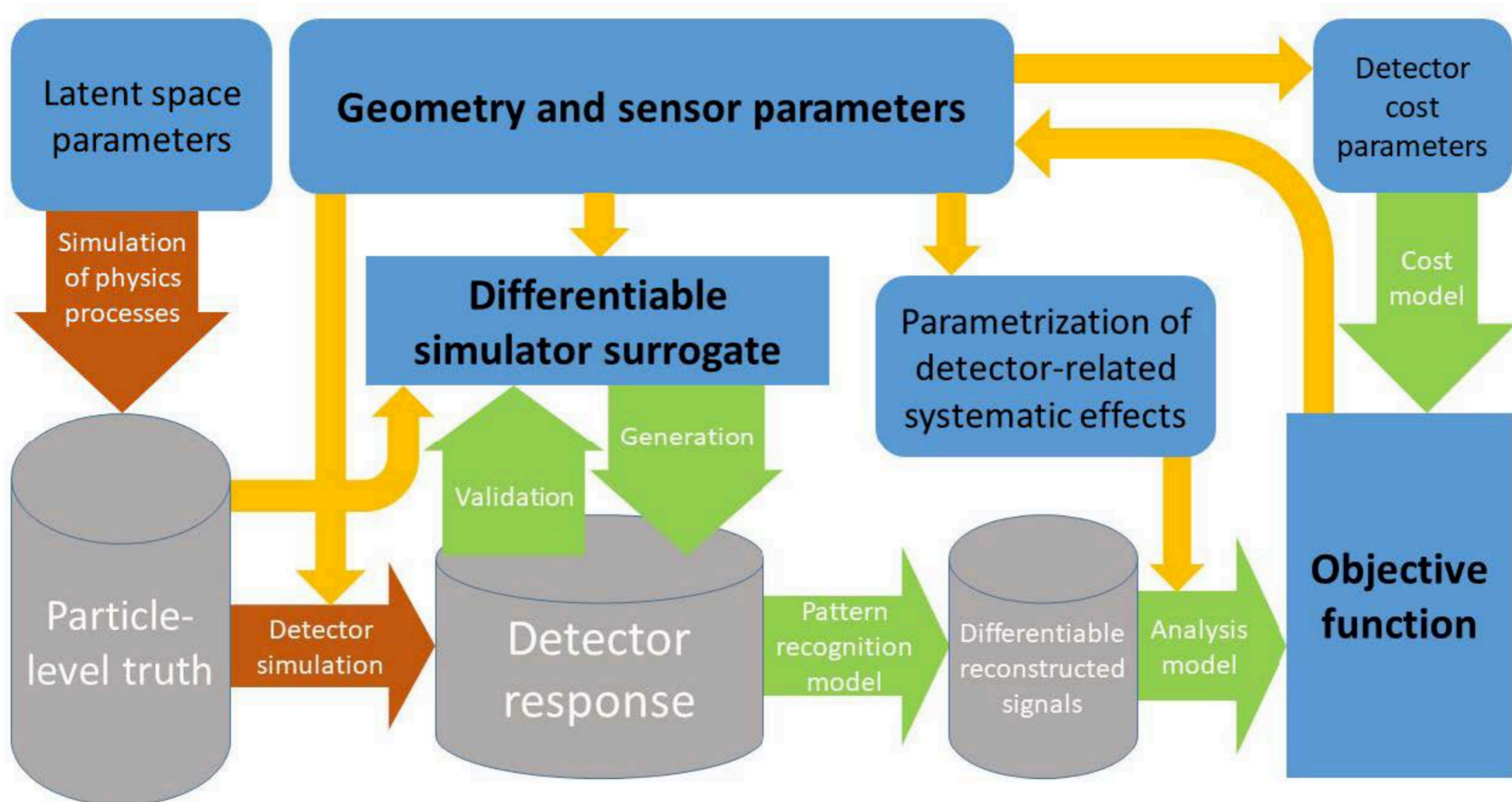
# The major gain



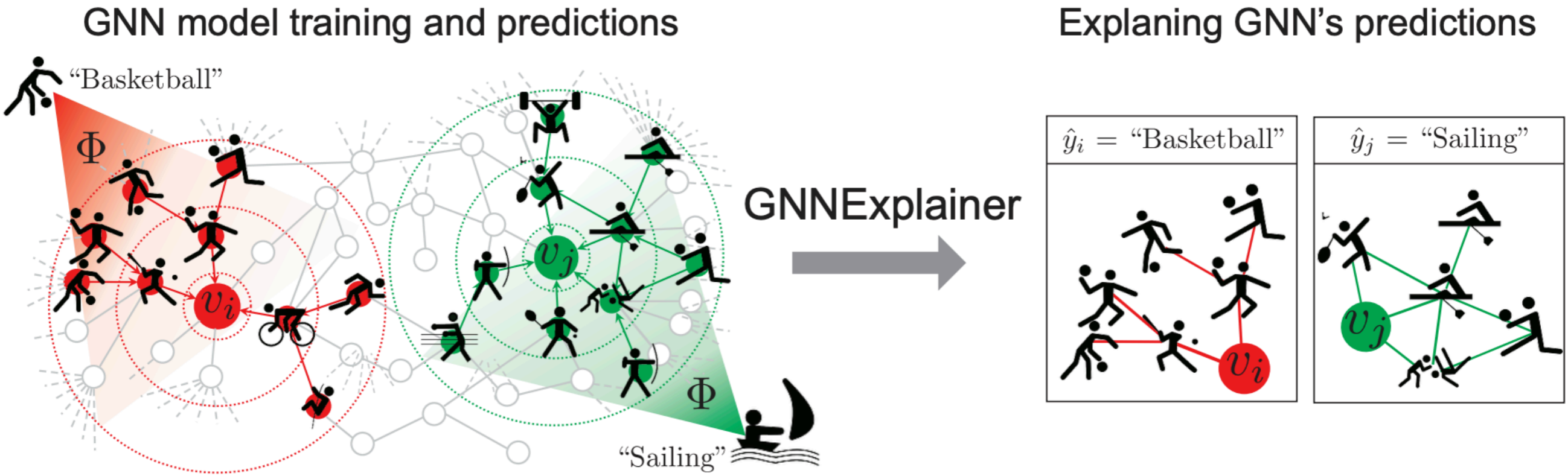
# Differentiable programming

Differentiable programming allows us to configure our analysis optimization in learnable

<https://mode-collaboration.github.io/>



# Major thrust in immediate future : Interpretability



**Interpretability is a key issue and efforts are ongoing to map the NN explainability to first principle physics intuition**

# Interpretability : an example attempt

$$\mathbf{R}_j^{(l)} = \sum_k \frac{x_j A_{jk}}{\sum_m x_m A_{mk}} \mathbf{R}_k^{(l+1)} \quad (3)$$

Neur IPS 2021. F. Mokhtar, R. Kansal et al

where  $\mathbf{R}_j^{(l)}$  represent the  $R$ -scores of the features of node  $j$  at layer  $l$ , while the quantity  $x_j A_{jk}$  models the extent to which node  $j$  at layer  $l$ , with activation  $x_j$ , contributes to the relevance of node  $k$  at layer  $l + 1$ , where  $A$  is the adjacency matrix.

## Explainability for MLPF

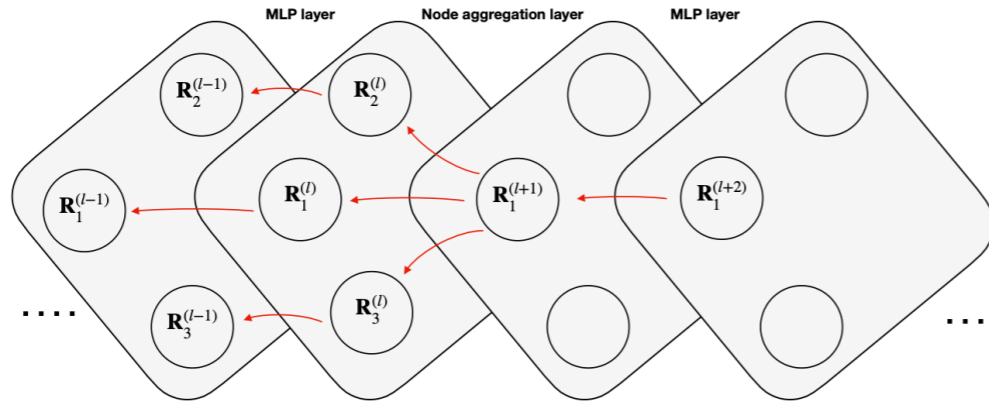
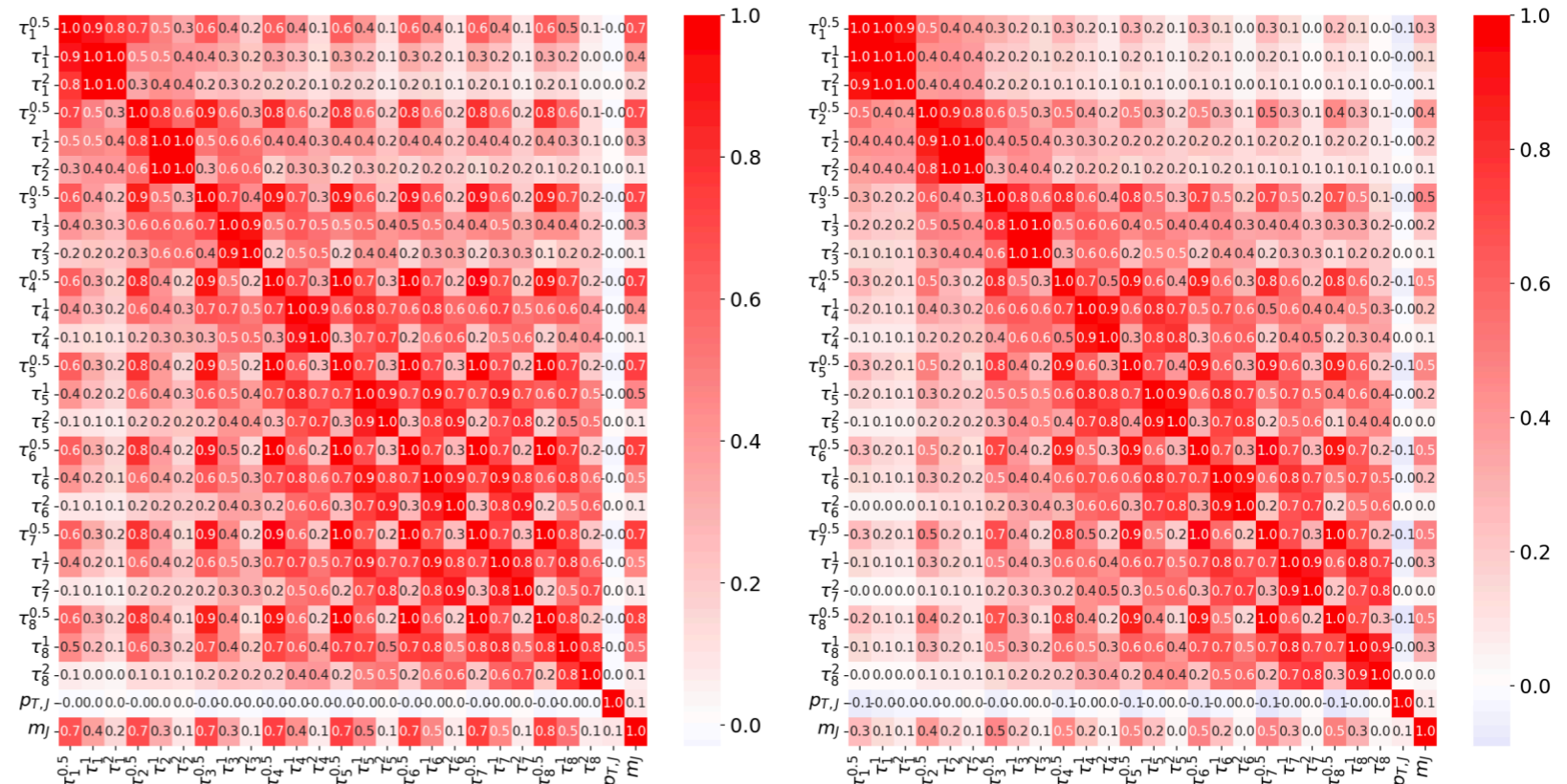


Figure 1: The flow of  $R$ -scores of node 1 across the different layers in MLPF. For MLP layers, the redistribution of  $R$ -scores follows the standard LRP rules [35, 36]. For the aggregation step in the message passing layer, the redistribution follows Equation 3. We only show three nodes for simplicity.

## Feature correlation for top tagging.

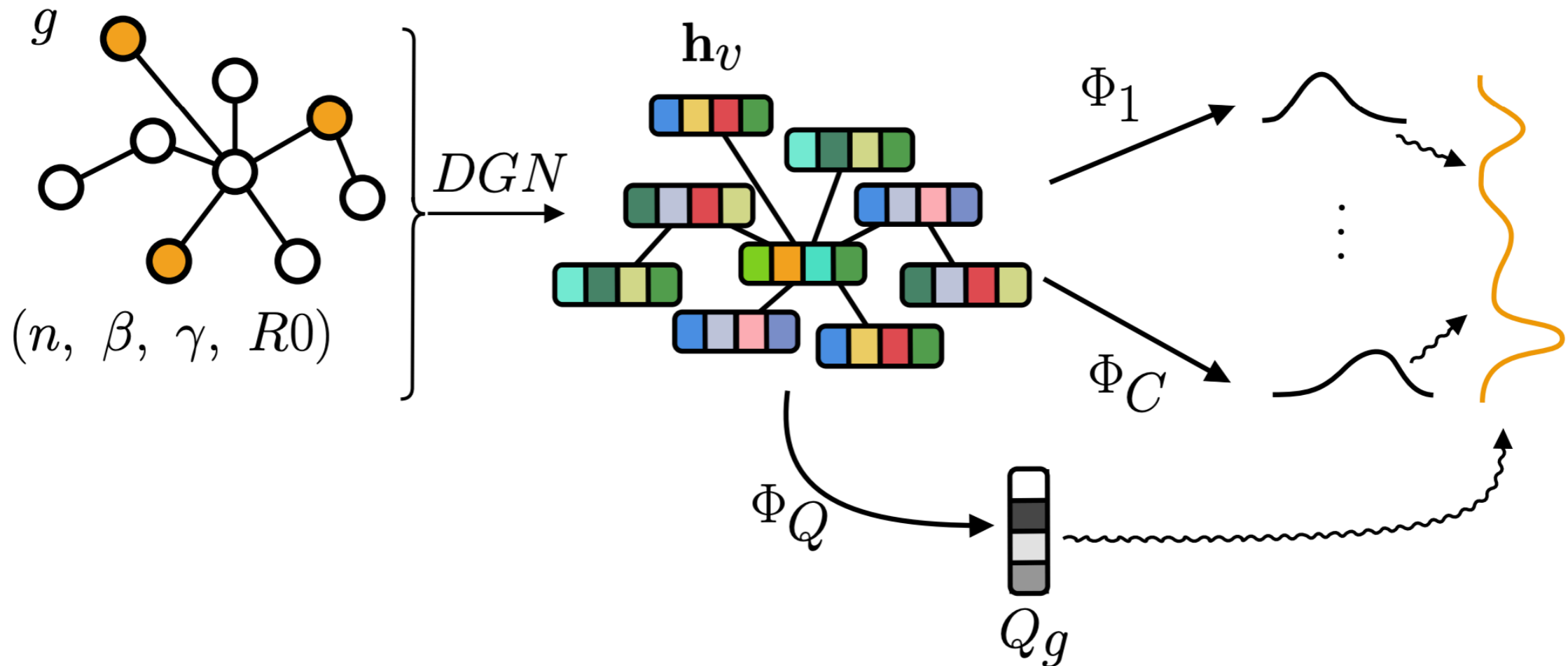
arXiv 2210.04371

Ayush Khot, Mark S. Neubauer, Avik Roy





# Major thrust in immediate future : Uncertainty

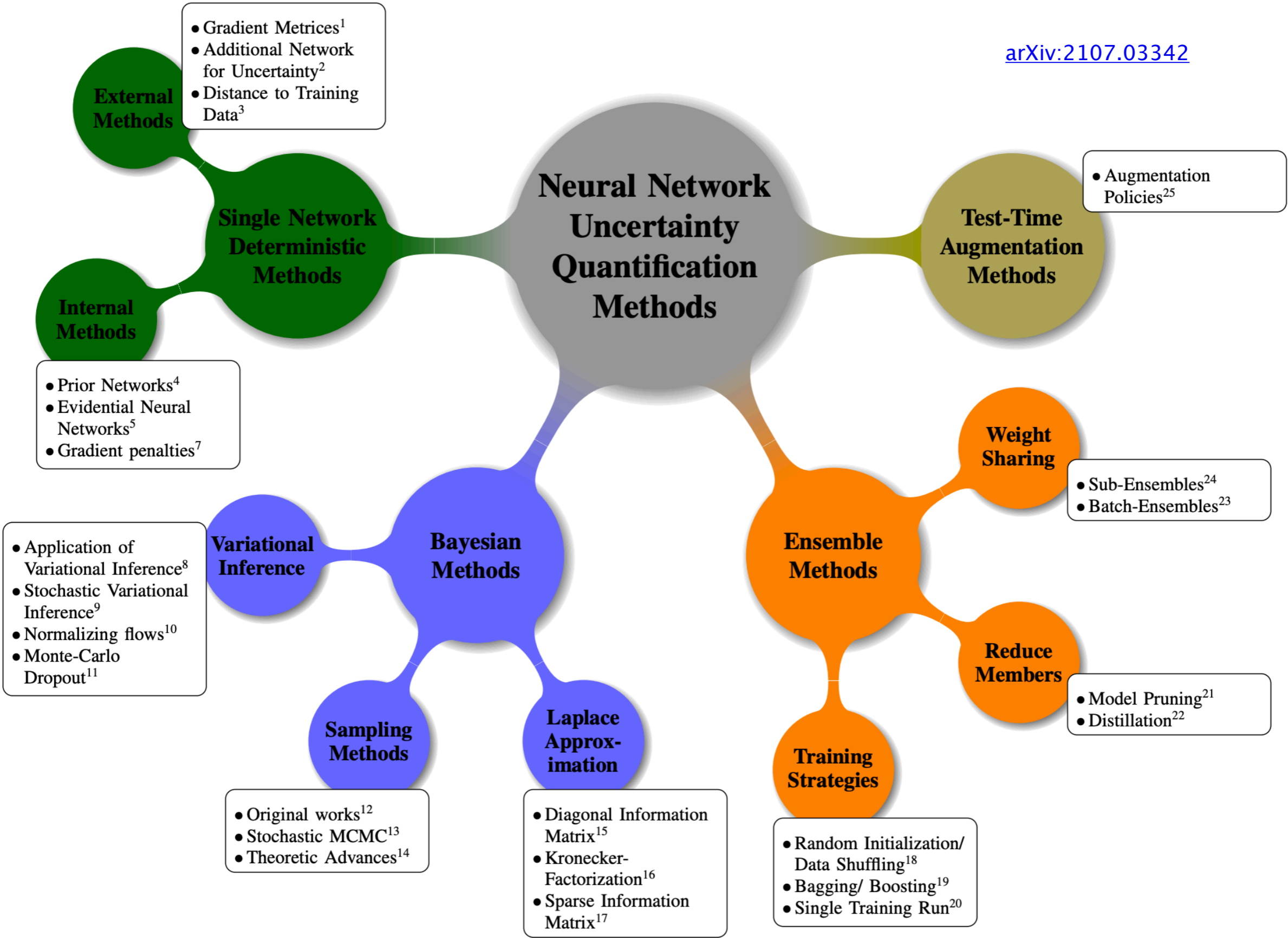


**Reliable uncertainty estimation on ML based predictions are crucial for HEP**  
**Only few Bayesian methods have been tested naively.**

**Can we decompose and correlate the aleatoric and epistemic uncertainties with the underlying physics?**

# Major thrust in immediate future : Uncertainty

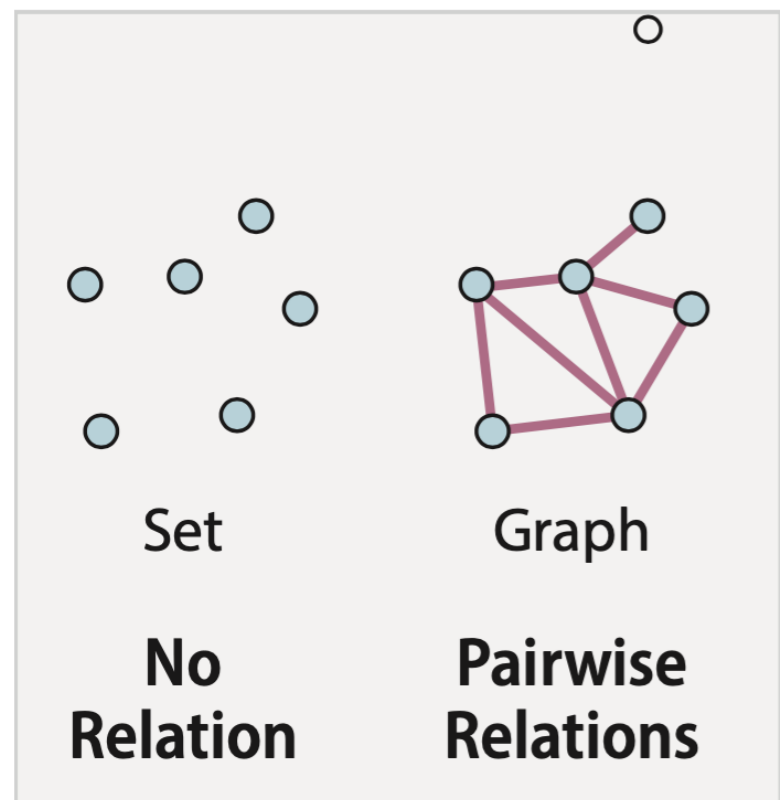
[arXiv:2107.03342](https://arxiv.org/abs/2107.03342)



# An example of next frontiers

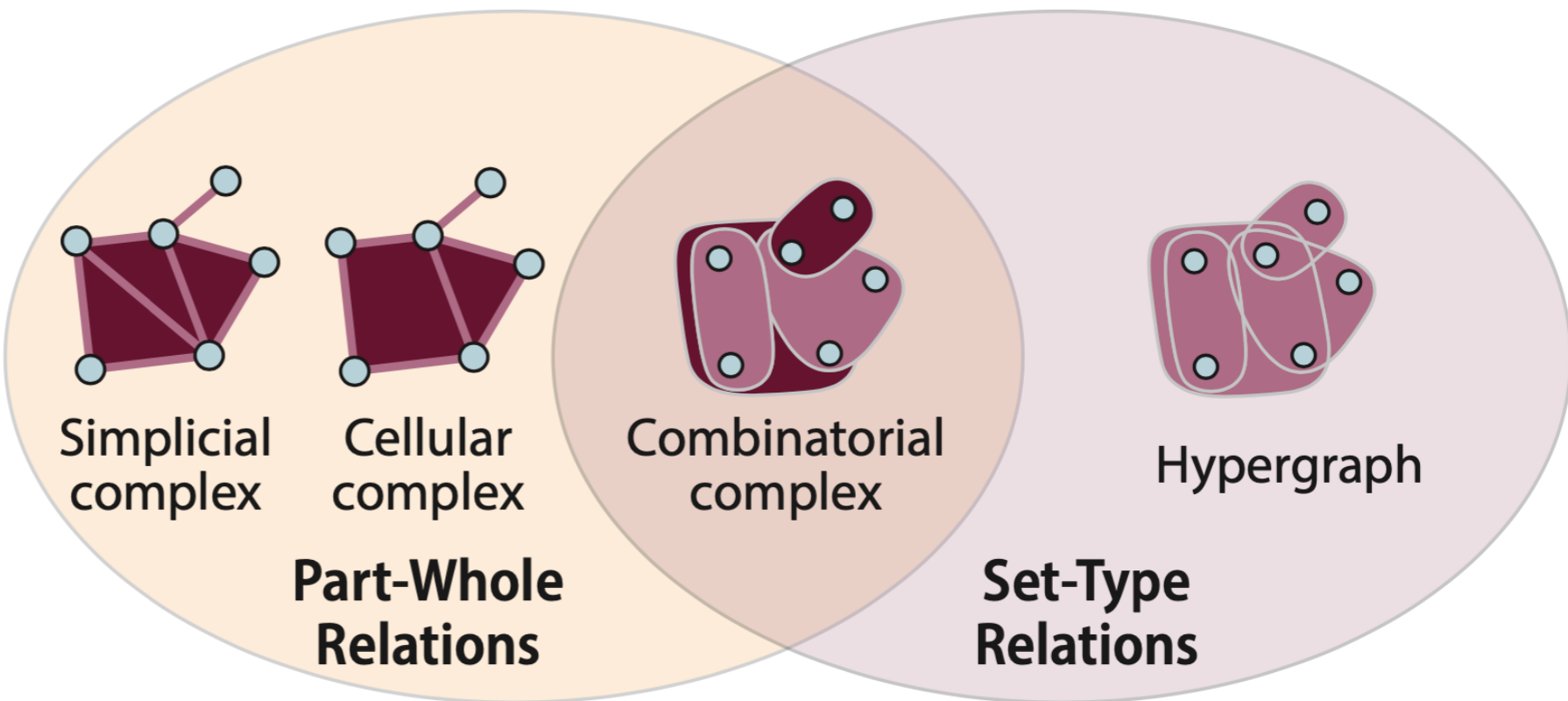
<https://pyt-team.github.io/toponetx/>

## Traditional Discrete Domains



○ : Nodes    ─ : Edges

## General Topological Domains



─ is part of ▼    ◐ not necessarily part of ◑

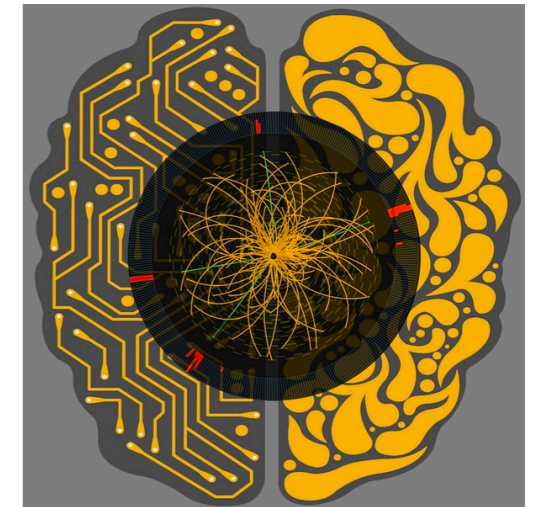
# An example of next frontiers

Model	<b>Multi-Layer Perceptron (MLP)</b>	<b>Kolmogorov-Arnold Network (KAN)</b>
Theorem	<b>Universal Approximation Theorem</b>	<b>Kolmogorov-Arnold Representation Theorem</b>
Formula (Shallow)	$f(\mathbf{x}) \approx \sum_{i=1}^{N(\epsilon)} a_i \sigma(\mathbf{w}_i \cdot \mathbf{x} + b_i)$	$f(\mathbf{x}) = \sum_{q=1}^{2n+1} \Phi_q \left( \sum_{p=1}^n \phi_{q,p}(x_p) \right)$
Model (Shallow)	<p>(a)</p> <p>fixed activation functions on nodes</p> <p>learnable weights on edges</p>	<p>(b)</p> <p>learnable activation functions on edges</p> <p>sum operation on nodes</p>
Formula (Deep)	$\text{MLP}(\mathbf{x}) = (\mathbf{W}_3 \circ \sigma_2 \circ \mathbf{W}_2 \circ \sigma_1 \circ \mathbf{W}_1)(\mathbf{x})$	$\text{KAN}(\mathbf{x}) = (\Phi_3 \circ \Phi_2 \circ \Phi_1)(\mathbf{x})$
Model (Deep)	<p>(c)</p> <p><math>\mathbf{W}_3</math></p> <p><math>\sigma_2</math></p> <p><math>\mathbf{W}_2</math></p> <p><math>\sigma_1</math></p> <p><math>\mathbf{W}_1</math></p> <p><math>\mathbf{x}</math></p> <p>nonlinear, fixed</p> <p>linear, learnable</p>	<p>(d)</p> <p><math>\Phi_3</math></p> <p><math>\Phi_2</math></p> <p><math>\Phi_1</math></p> <p><math>\mathbf{x}</math></p> <p>nonlinear, learnable</p>

Better interpretability through KAN ?

# Let's formulate the questions

Image: FermiLab



- ☑ ML is here to stay with HEP/Cosmology and other branches of natural sciences.
- ☑ When looked through the lens of ML, it's about finding the right inductive bias for a prob. distribution
- ☑ Interpretability and uncertainty estimation is a corner stone which we should emphasize.
- ☑ The HEP community should talk with mathematicians/comp-sc and other branches of natural science who are using the similar methods and exchange ideas.

<https://iml-wg.github.io/HEPML-LivingReview/>  
<https://github.com/georgestein/ml-in-cosmology>

THANK YOU