

# Atmospheric neutrino fluxes and prompt neutrinos from heavy flavor

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Work with A. Bhattacharya, R. Enberg, A. Stasto, I. Sarcevic, Y. S. Jeong, C. S. Kim

TeV Particle Astrophysics, August 8, 2017

JHEP 1611 (2016) 167

JHEP 1506 (2015) 110

# Neutrinos produced in the atmosphere by cosmic ray interactions

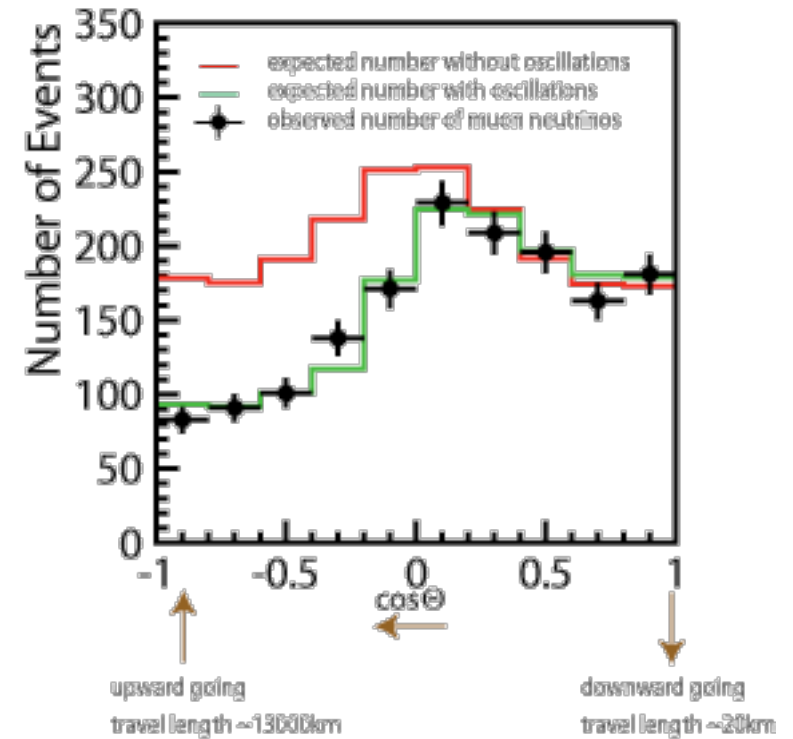
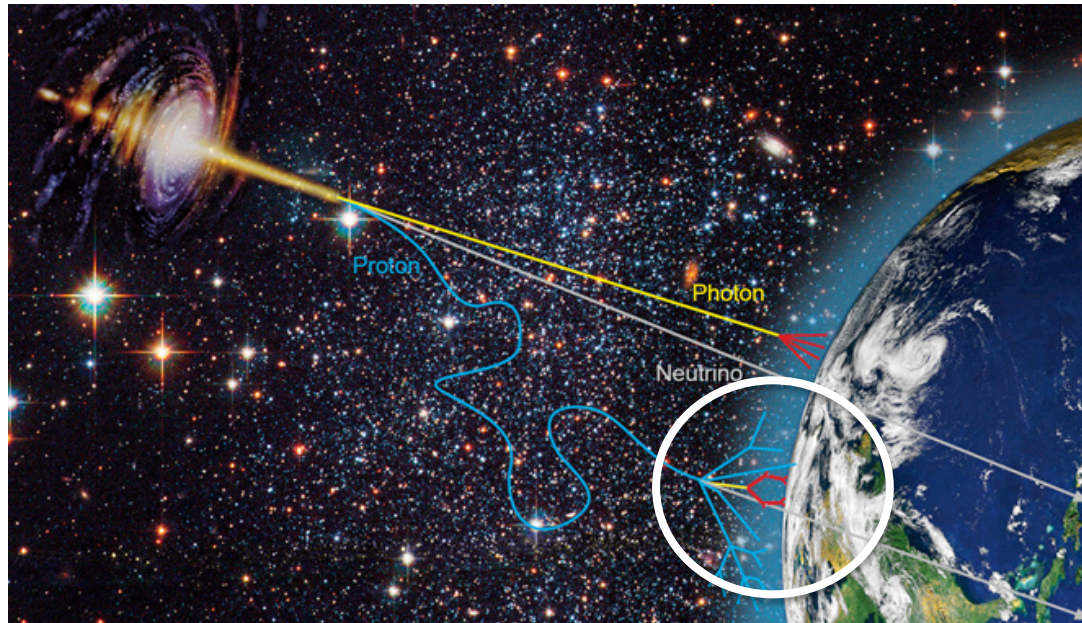


Figure from <https://astro.desy.de/>

Atmospheric flux intrinsically interesting, not just a background to the astrophysical flux!

1998 SuperKamiokande discovery of neutrino oscillations

# Neutrinos produced in the atmosphere by cosmic ray interactions

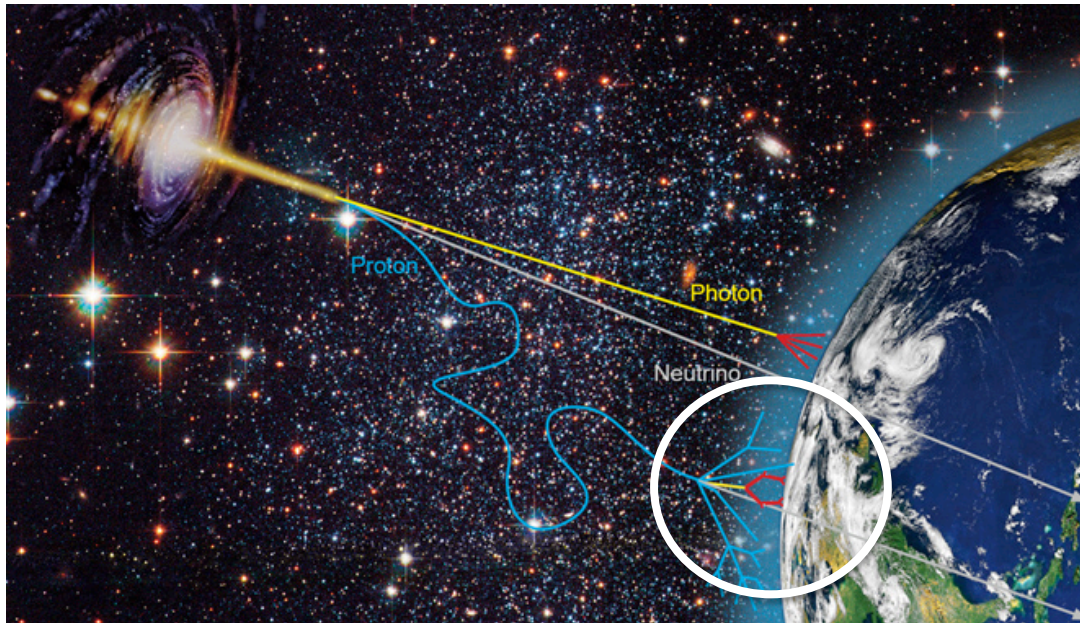


Figure from <https://astro.desy.de/>

Inputs include:

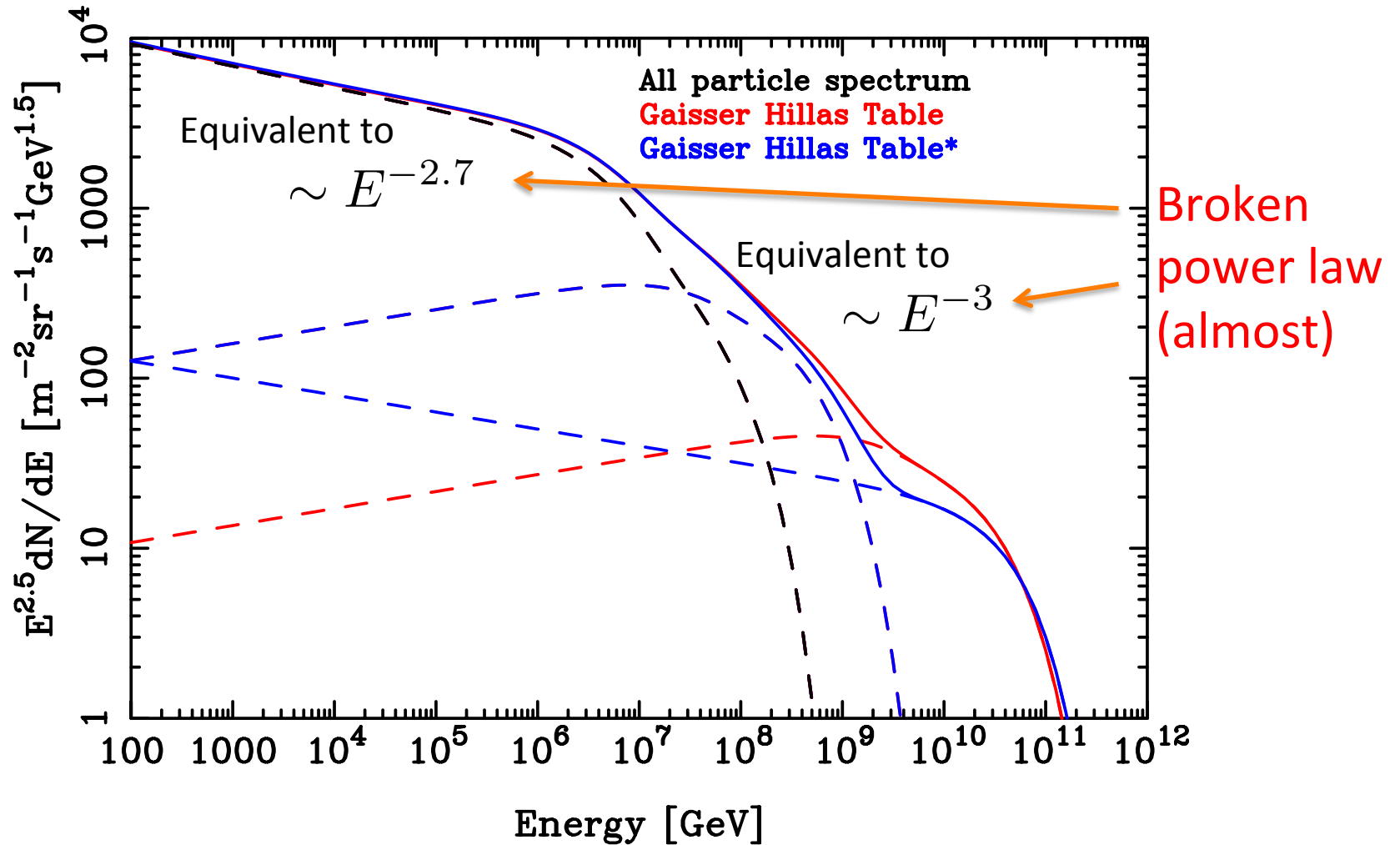
- cosmic ray (CR) flux and composition
- **Particle physics inputs:** CR interactions with air nuclei to produce mesons/baryons that decay

# Plan

- Review the energy dependence of the atmospheric neutrino flux.
- Review some features of the “conventional flux” of neutrinos from pion and kaon decays. Compare and contrast with the flux from heavy flavor decays (“prompt flux”).
- Discuss some of the details of the flux at high energies – neutrinos from heavy flavor decays (predominantly charmed particles) where theoretical uncertainties are the largest.

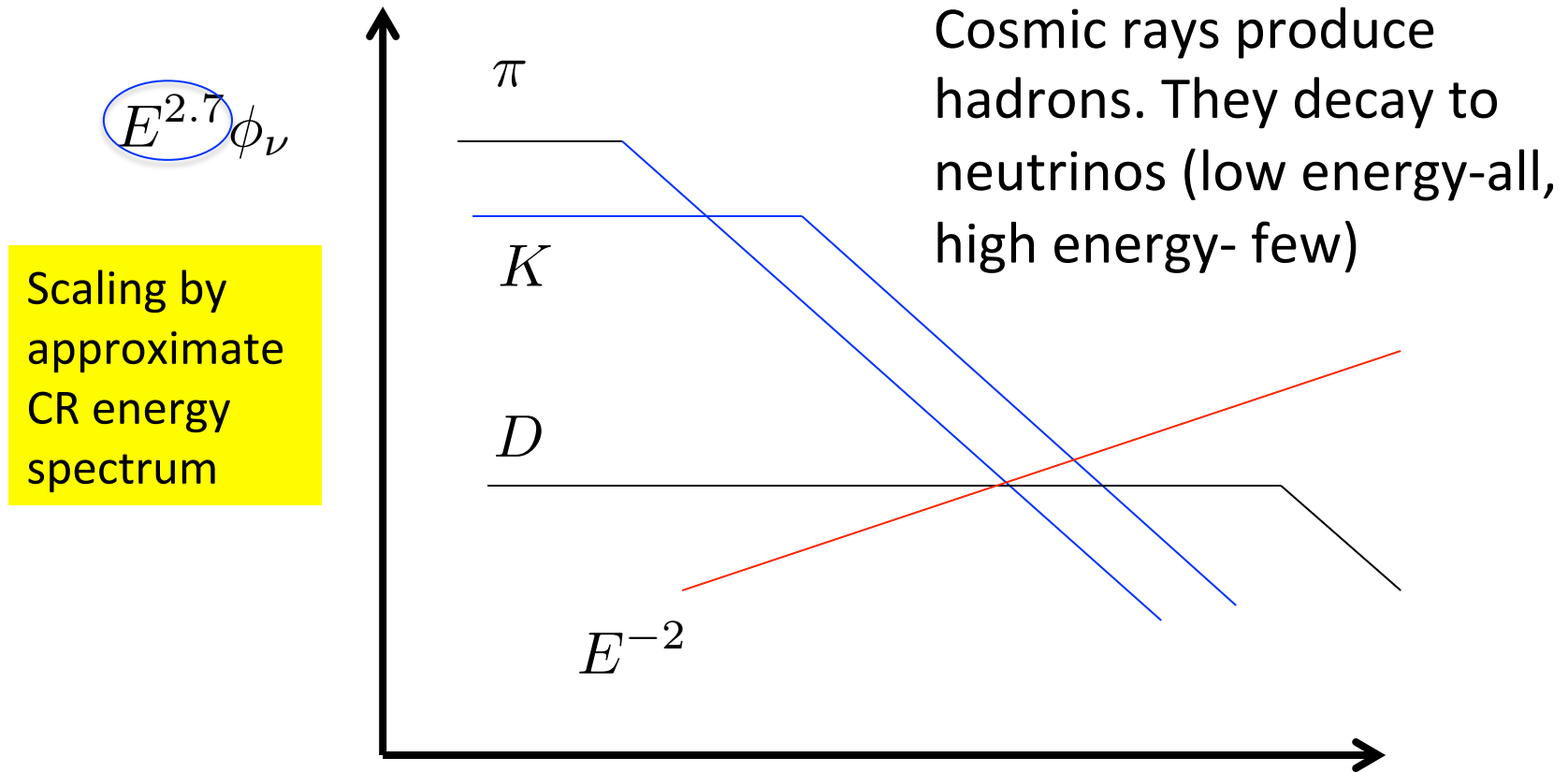
# Energy dependence of the CR all particle spectrum

traditional rescaling in other figures, by power of 2.7 or 3



From Table 1, Gaisser, Astropart. Phys. 35 (2012) 801

# Energy dependence, schematically, neglecting break in power law of cosmic rays

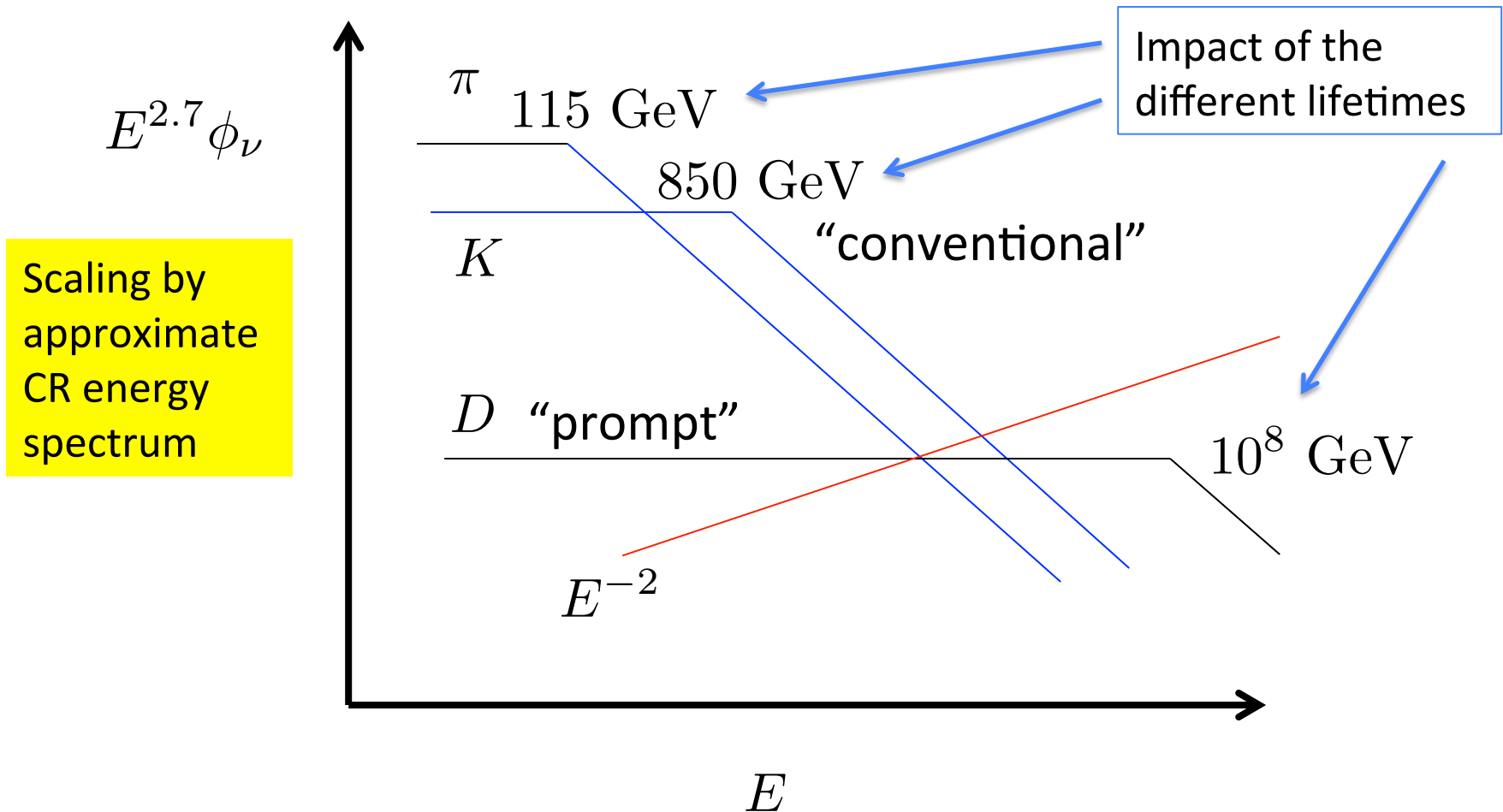


$$P_{decay}(E) = 1 - \exp(-D/\gamma c\tau) E$$

$$\simeq D/\gamma c\tau = E_c/E$$

$$\phi \sim \frac{1.7}{E_{\text{GeV}}^{2.7}} \frac{1}{\text{cm}^2 \text{s sr GeV}}$$

# Energy dependence, schematically, similar (with different critical energies)



Electron neutrino flux from K-short, Gaisser & Klein,  
 Astropart. Phys. 64 (2015)  $1.2 \times 10^5$  GeV



# Features-conventional flux

- Angular dependence
- Flavor ratios

- Honda et al., Phys. Rev. D 83 (2011) and earlier work.
- Bartol: Barr et al., Phys. Rev. D 70 (2004) and earlier work.
- FLUKA: Battistoni et al., Astropart. Phys. 19 (2003).
- MCEQ: e.g., Fedynitch et al., PoS (ICRC2017) 1019.

Conventional flux (charged mesons):

$$\pi \rightarrow \mu\nu_\mu \quad (100\%)$$

$$K \rightarrow \mu\nu_\mu \quad (64\%)$$

$$K \rightarrow \pi^0 \ell\nu_\ell \quad (3 - 5\%)$$

Neutral kaons:

$$K_L \rightarrow \pi^\pm \ell\nu_\ell \quad (27 - 41\%)$$

$$K_S \rightarrow \pi^\pm e\nu_e \quad (0.07\%)$$

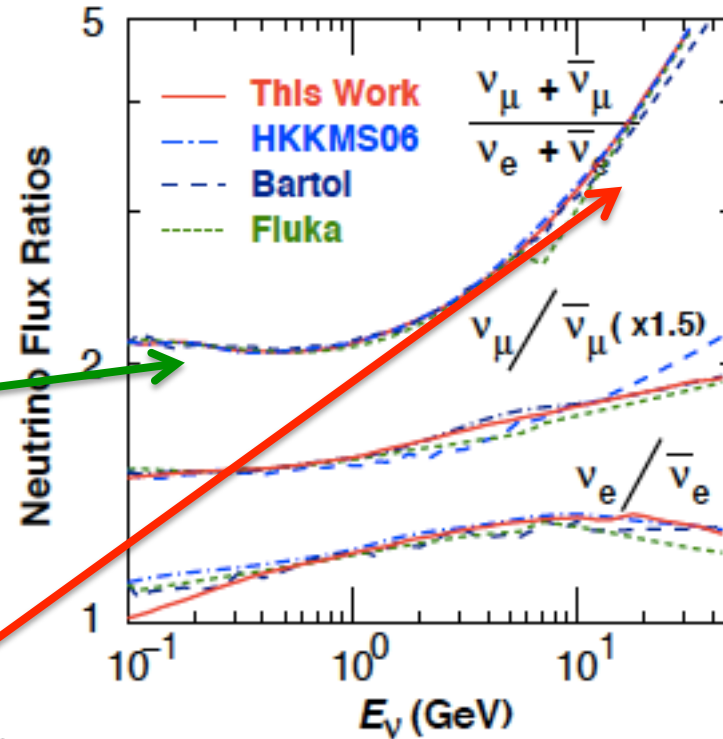


# Features-conventional flux

- Angular dependence
- **Flavor ratios**

Muons decay

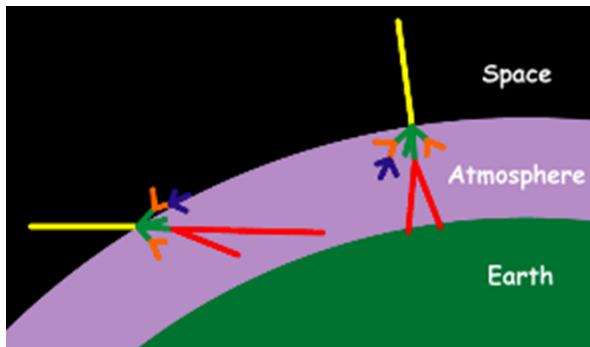
Muons don't  
decay



- Honda et al., Phys. Rev. D 83 (2011).

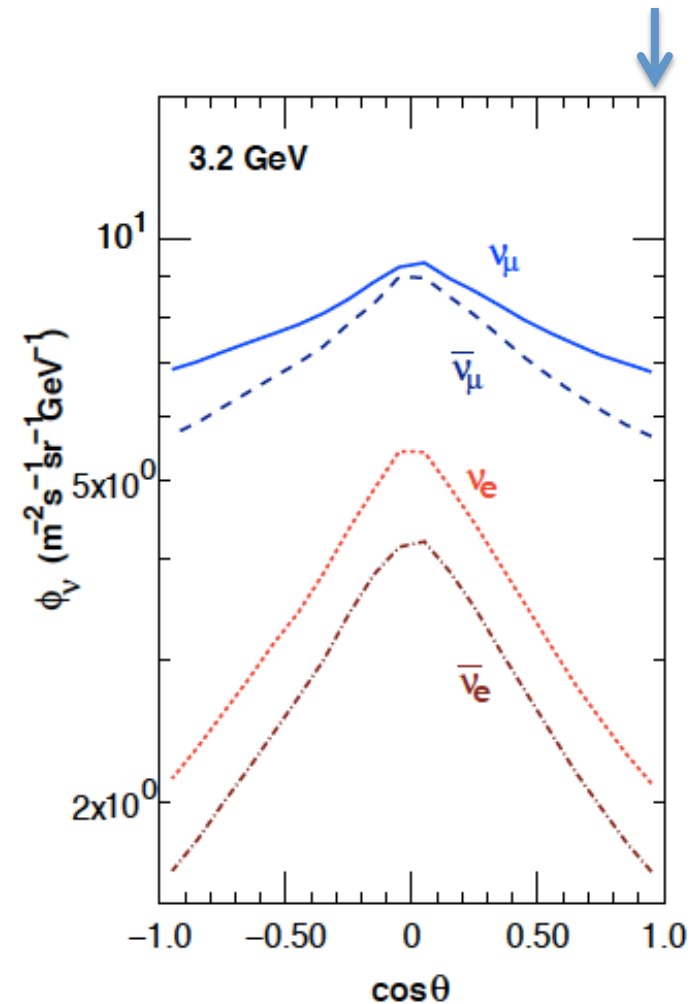
# Features-conventional flux<sub>downward</sub>

- Angular dependence
- Flavor ratios



[www2.slac.stanford.edu/vvc/cosmic\\_rays.html](http://www2.slac.stanford.edu/vvc/cosmic_rays.html)

- Honda et al., Phys. Rev. D 83 (2011).



At Kamioka, averaged over azimuth, including geomagnetic effects.

# Features-prompt flux

- Angular dependence
- Flavor ratios

- ERS: Enberg, Reno, Sarcevic , Phys. Rev. D 78 (2008)
- BERSS: Bhattacharya et al., JHEP 06 (2015) 110
- Bhattacharya et al., JHEP 1611 (2016)
- GMS: Garzelli, Moch and Sigl, JHEP 10 (2015) 115
- GRRST: Gauld et al, JHEP 02 (2016) 130
- Benzke et al., 1705.10386

Prompt flux:

$$D^+ \rightarrow \ell^+ \nu_\ell X \quad (16 - 17\%)$$

$$D^0 \rightarrow \ell^+ \nu_\ell X \quad (6 - 7\%)$$

$$D_s \rightarrow e \nu_e X \quad (6.5\%)$$

$$D_s \rightarrow \tau \nu_\tau \quad (5.5\%)$$

Assume flavor equality for electron neutrinos and muon neutrinos. Tau neutrinos are special!

# Features-prompt flux

- Angular dependence
- Flavor ratios

$$\nu_e : \nu_\mu : \mu = 1 : 1 : 1$$

Isotropic up to high energies, since all of the D's have “prompt” decays.

Prompt flux:

$$D^+ \rightarrow \ell^+ \nu_\ell X \quad (16 - 17\%)$$

$$D^0 \rightarrow \ell^+ \nu_\ell X \quad (6 - 7\%)$$

$$D_s \rightarrow e \nu_e X \quad (6.5\%)$$

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# Cascade Equations

$$\frac{d\phi_j}{dX} = -\frac{\phi_j}{\lambda_j} - \frac{\phi_j}{\lambda_j^{\text{dec}}} + \sum S(k \rightarrow j)$$

High enough energies that muons are “stable”.

$$S(k \rightarrow j) = \int_E^\infty dE' \frac{\phi_k(E')}{\lambda_k(E')} \frac{dn(k \rightarrow j; E', E)}{dE}$$

$j = N, \pi, K, D, \nu_i, \mu$

e.g.,  $pA \rightarrow DX$

$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\sigma_{kA}(E_k)} \frac{d\sigma(kA \rightarrow jY; E_k, E_j)}{dE_j}$$

Production

e.g.,  $D \rightarrow \nu_\mu X$

$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\Gamma_K} \frac{d\Gamma(k \rightarrow jY; E_k, E_j)}{dE_j}$$

Decay

Need cosmic ray flux ( $j=N$ ) and energy distribution of the final state particle.

# We use Z-moments: spectrum weighted moments

$$S(k \rightarrow j) = \int_E^\infty dE' \frac{\phi_k(E', X)}{\lambda_k(E')} \frac{dn(k \rightarrow j; E', E)}{dE}$$

$$S(k \rightarrow j) = Z_{kj}(E) \frac{\phi_k(E, X)}{\lambda_k(E)}$$

$$Z_{kj}(E) = \int_E^\infty dE' \frac{\phi_k(E', X)}{\phi_k(E, X)} \frac{\lambda_k(E)}{\lambda_k(E')} \frac{dn(k \rightarrow j; E', E)}{dE}$$

Approximate relation – flux factorizes so Z only depends on E.

Calculate the differential cross section or decay distribution, convolute with the flux, integrate to get Z.

Spectrum weights favor forward production of charm – want the largest E (charmed meson) given E' (cosmic ray nucleon).

# Approximate formulae

$$\phi_\ell^{low} = \frac{Z_{NM} Z_{M\ell}}{1 - Z_{NN}} \phi_N$$

Cosmic ray –  
nucleon flux

$$\epsilon_c^\pi = 115 \text{ GeV}$$

$$\epsilon_c^K = 850 \text{ GeV}$$

$$\epsilon_c^D \sim 10^8 \text{ GeV}$$

$$\phi_\ell^{high} = \frac{Z_{NM} Z_{M\ell}}{1 - Z_{NN}} \frac{\ln(\Lambda_M / \Lambda_N)}{1 - \Lambda_N / \Lambda_M} \frac{\epsilon_c^M}{E} \phi_N$$

$$\Lambda_M = \lambda_M / (1 - Z_{MM})$$

Exponential atmosphere, 1D, approximate factorization of depth dependence.

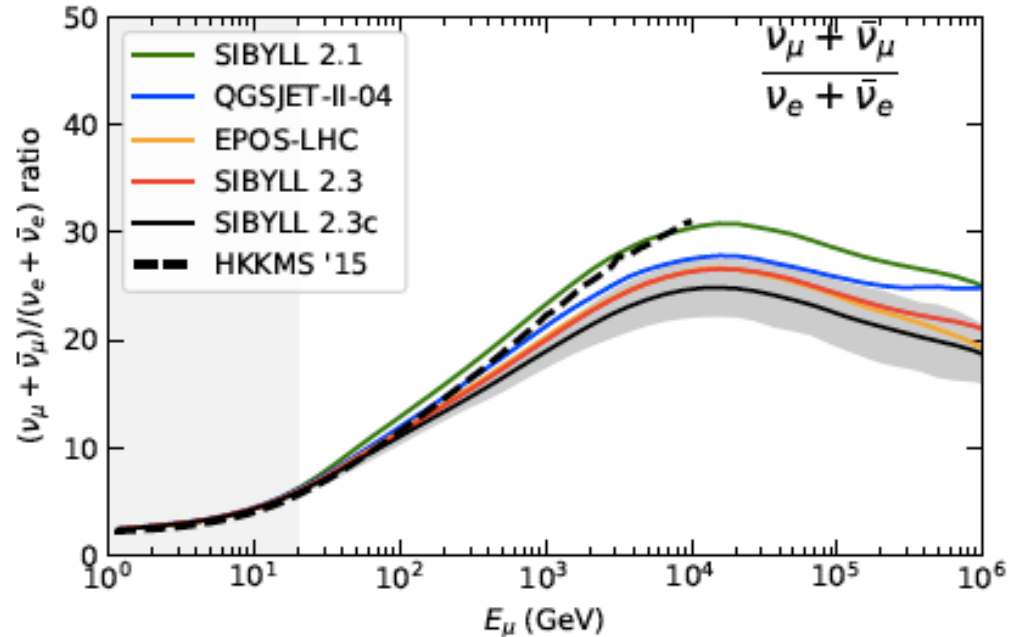
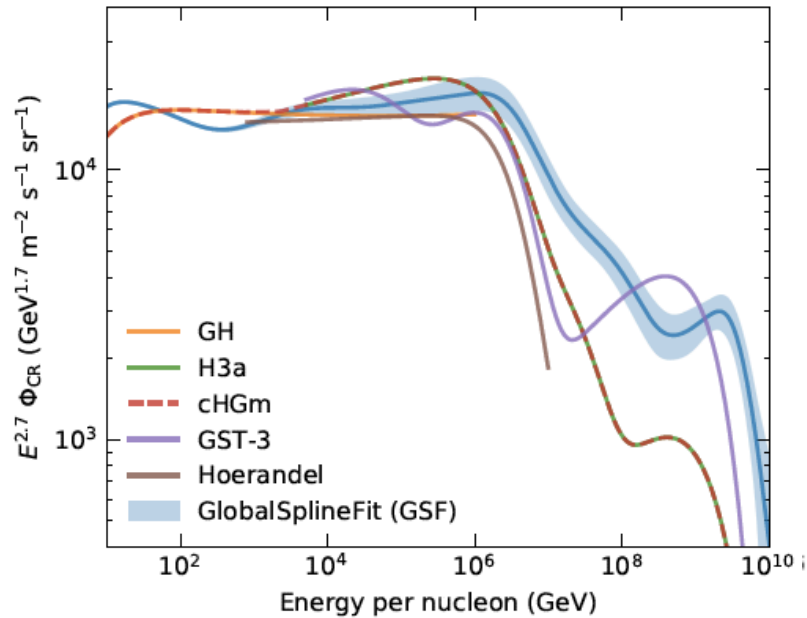
$$Z_{ND}, Z_{D\ell}, \Lambda_D \quad c \rightarrow s\mu^+ \nu_\mu \quad c \rightarrow se^+ \nu_e$$

Cosmic Rays and Particle Physics, T. Gaisser, Cambridge U Press; L. V. Volkova, Sov. J. Nucl. Phys. 31 (1980); P. Lipari, Astropart. Phys. 1 (1993)



# A numerical tool: MCEq

<sup>1</sup><https://github.com/afedynitch/MCEq>



Dembinski et al., and Fedynitch et al., PoS (ICRC2017) 1019.

MCEq: numerical solution to cascade equations, Fedynitch et al., arXiv: 1503.0054

Error band around black curve shows SIBYLL error band.

See also, e.g., Barr et al., Phys. Rev. D 74 (2006).

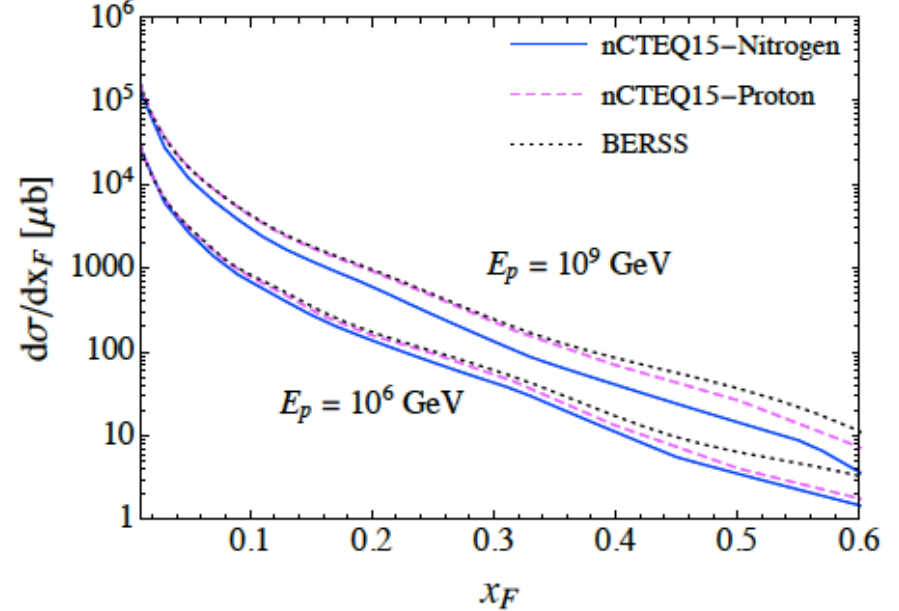
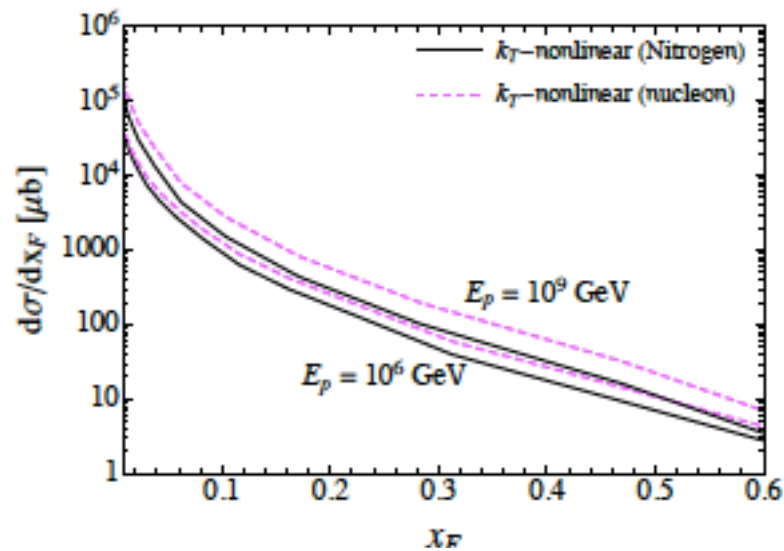
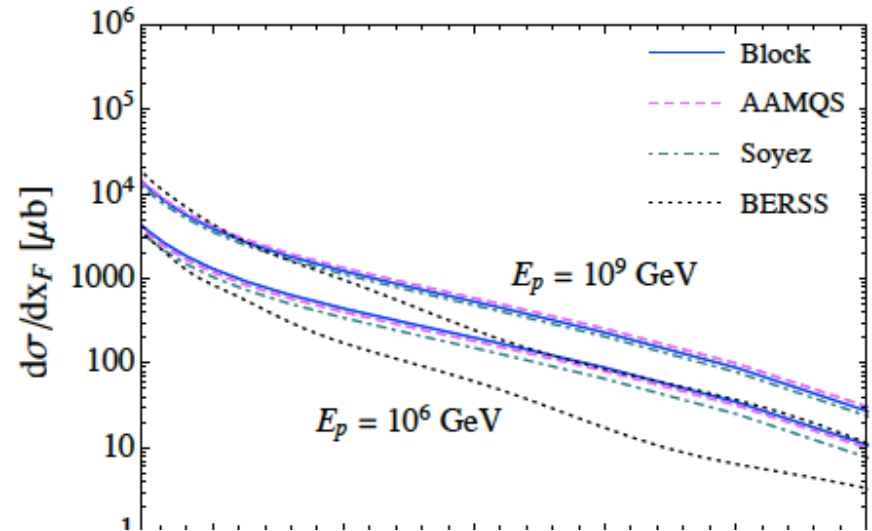
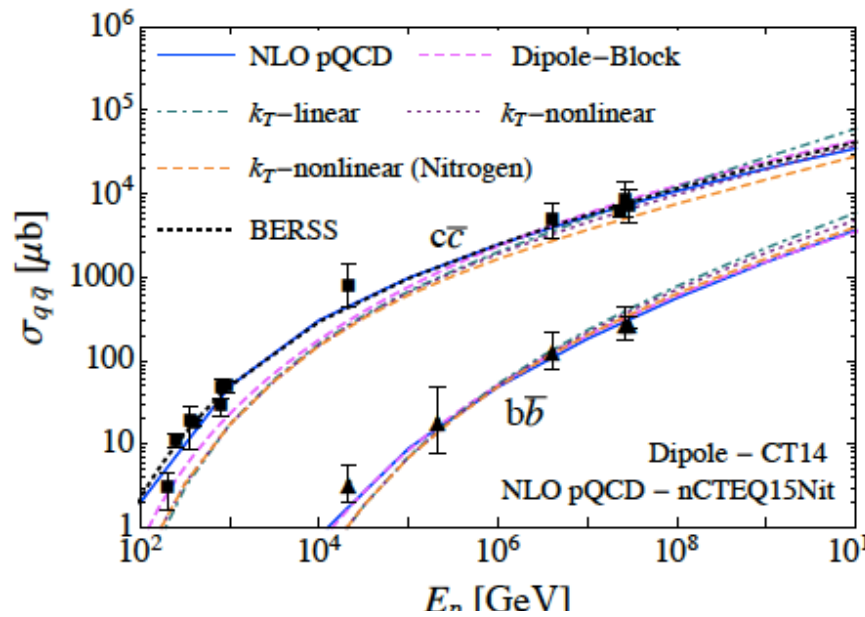
Geomagnetic and 3D effects not included (shaded region below 20 GeV).

# What is new in our prompt charm evaluation using the Z-moment method?

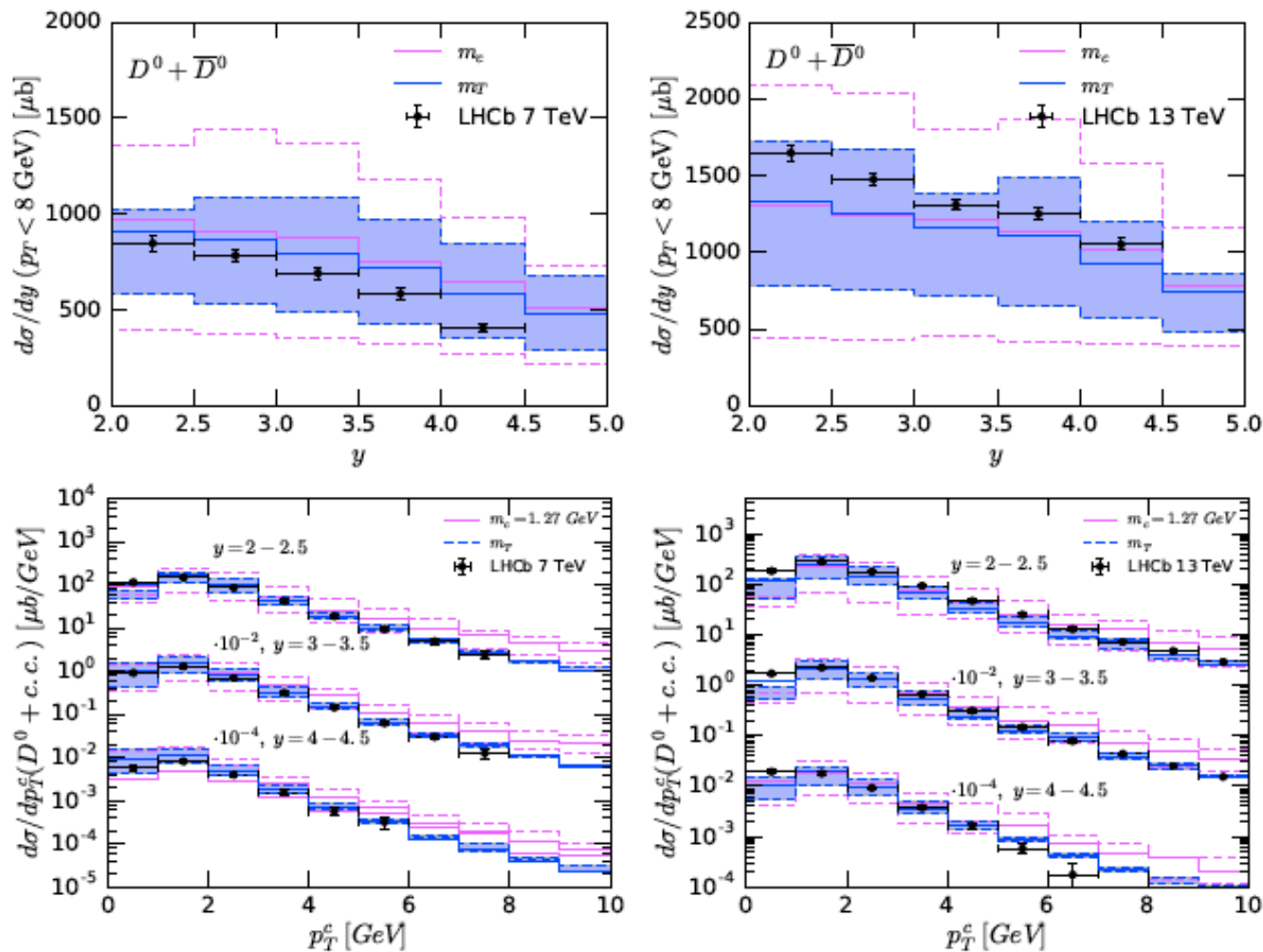
- **NLO QCD** evaluation of charm pair cross section and energy distribution **with nuclear corrections**. Cacciari, Greco, Nason, JHEP 9805 (1998); Cacciari, Frixion, Nason, JHEP 0103(2001); Mangano, Nason, Ridolfi, NP B273 (1992); Nason, Dawson, Ellis, NP B303 (1988), NP B373 (1992); Lai et al, PRD 82 (2010)
- **Dipole Model**: Soyez, Block et al. approximation, AAMQS (Soyez in ERS). Multiple ways to include nuclear corrections. Soyez, Phys. Lett. 655B (2007) 32, Block, Durand, Ha, Phys. Rev. D 89 (2014) 094027, Albacete et al. Phys. Rev. D 80 (2009) 034031. Enberg, MHR & Sarcevic, PRD 78 (2008).
- **kT factorization**, low x off-shell gluon. Catani, Ciafaloni and Hautmann, Nucl. Phys. B 366 (1991) 135; Collins and Ellis, Nucl. Phys. B360 (1991) 3, Kutak and Sapeta, Phys. Rev. D 86 (2012) 094043.

Forward production means small-x in parton distribution function or dipole cross section.

# Cross section for charm, b quarks

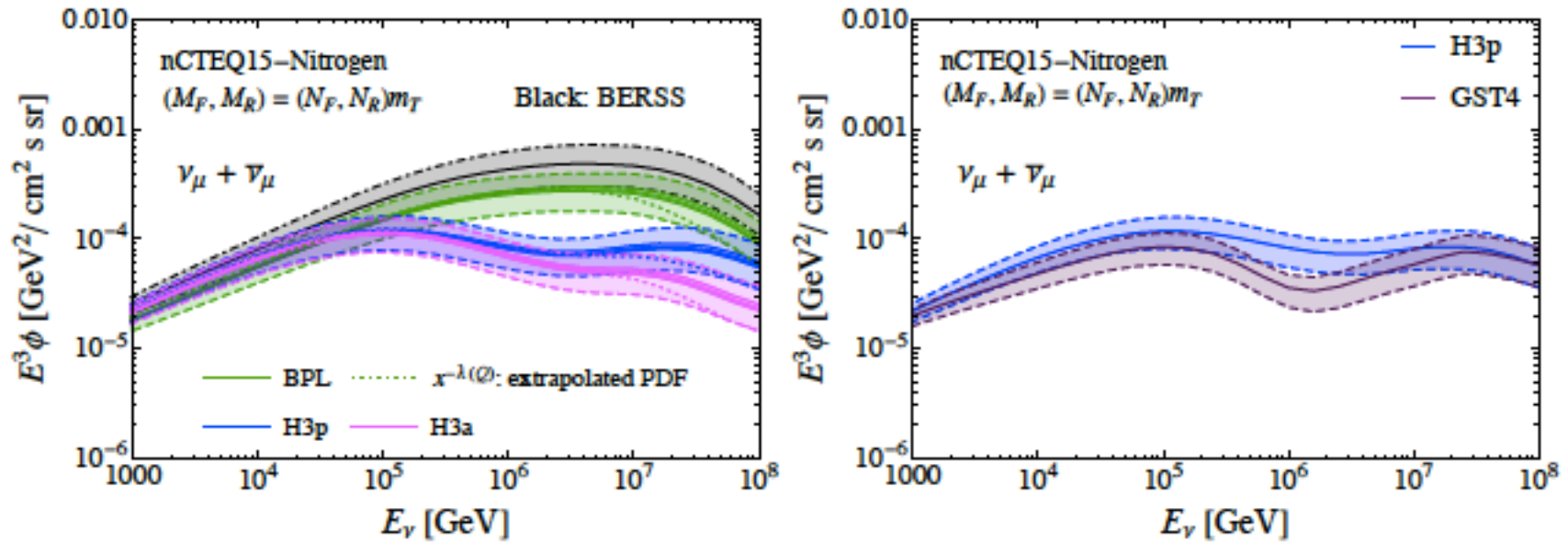


# Compare with LHC data for charm



NLO  
perturbative  
for example.  
For the  
prompt flux  
from charm,  
need even  
larger  
rapidities.

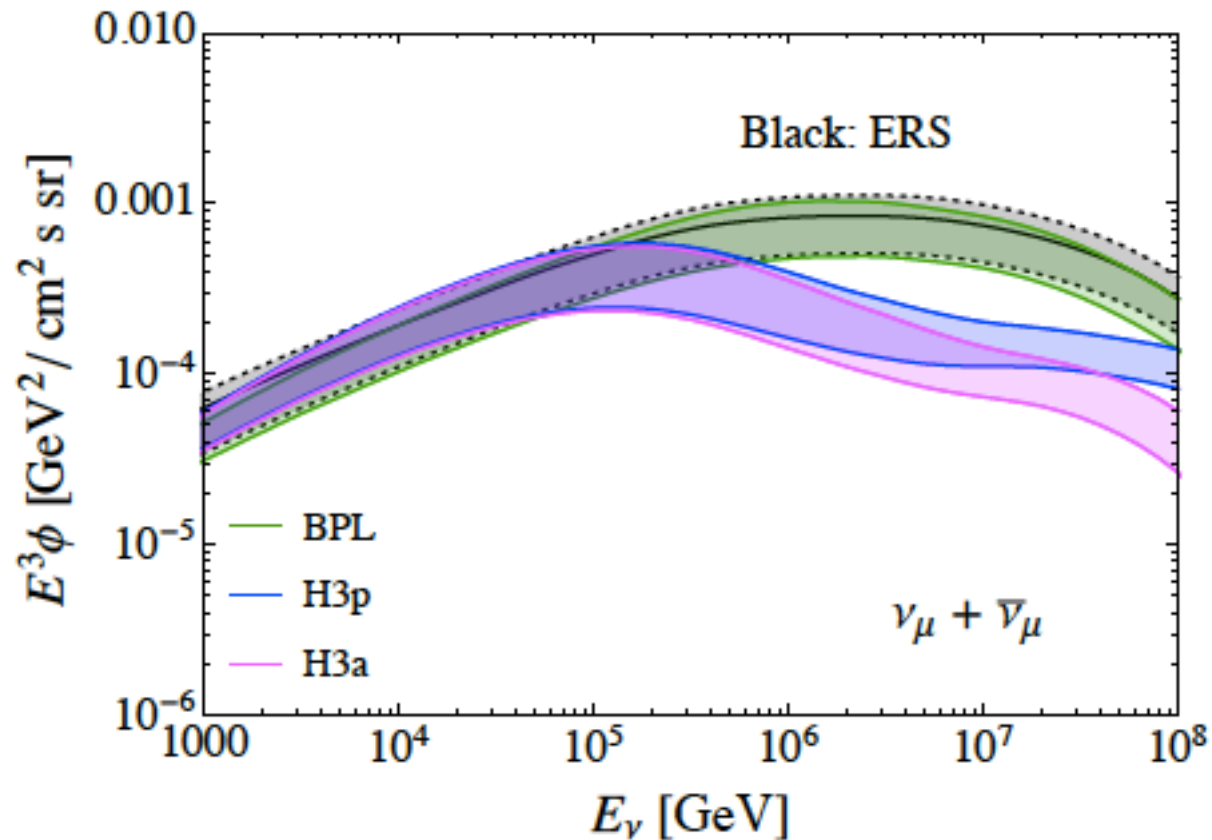
# NLO QCD result for flux



BERSS: Bhattacharya et al., JHEP 06 (2015) 110 uses CT10 PDFs with no nuclear corrections.

Nuclear corrections via nCTEQ15 parton distribution functions are significant.

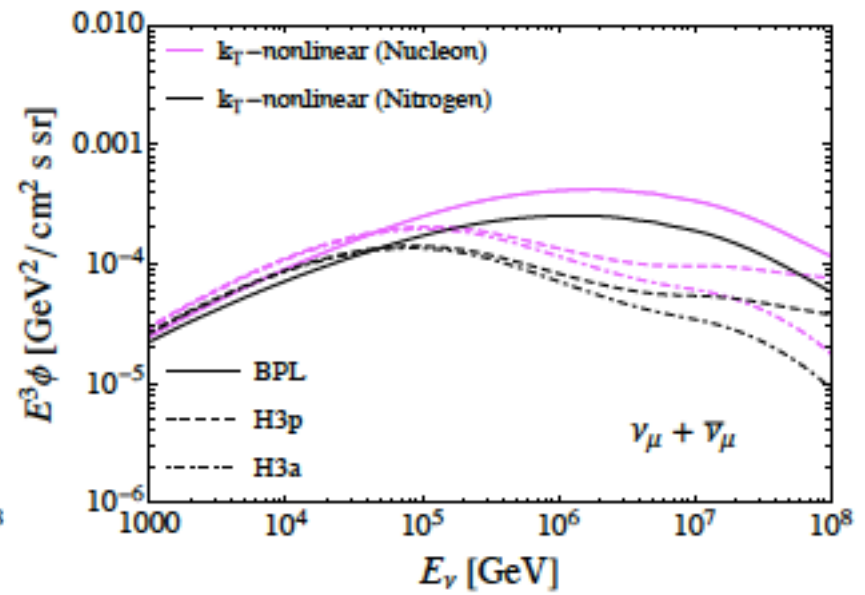
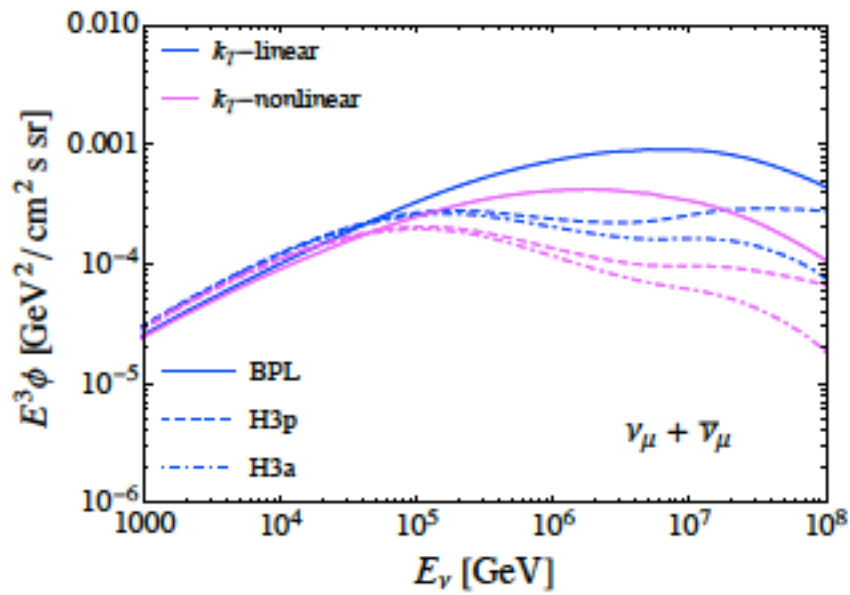
# Dipole model



ERS: Enberg, Reno, Sarcevic, PRD 78 (2008) 043005

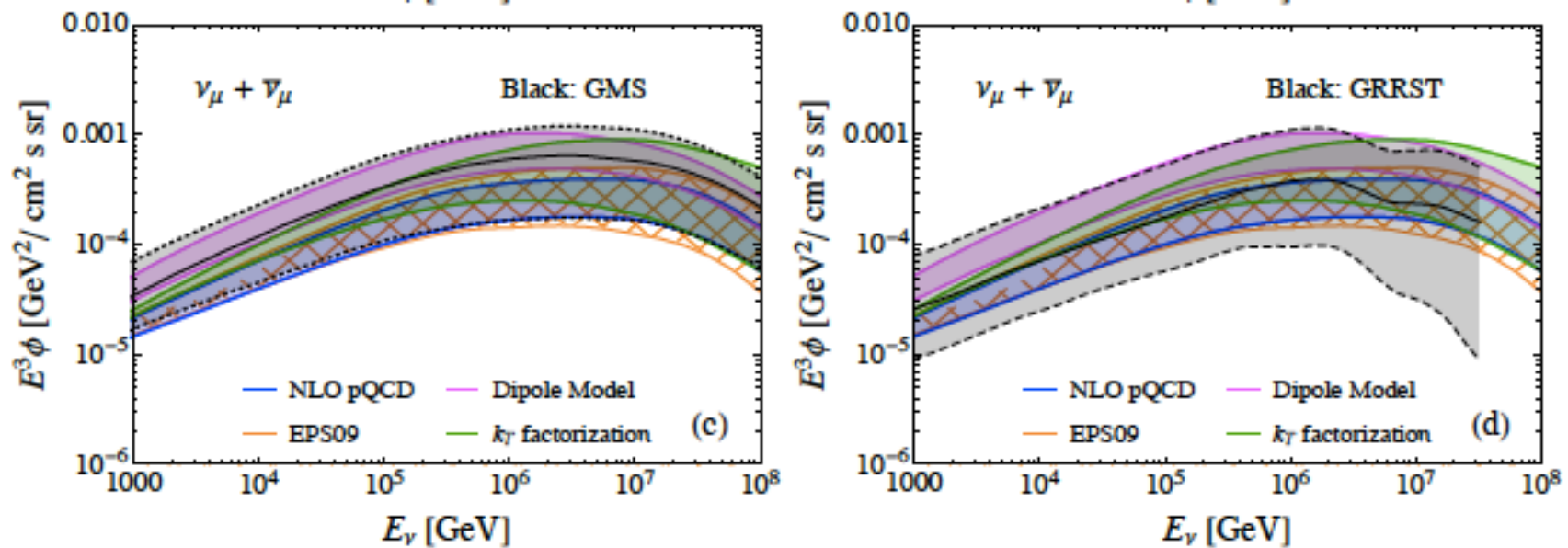
Nuclear corrections in dipole model are 10-20% reduction. Here, updated Z-moments, gluon PDF, more dipole models for uncertainty band.

# KT factorization





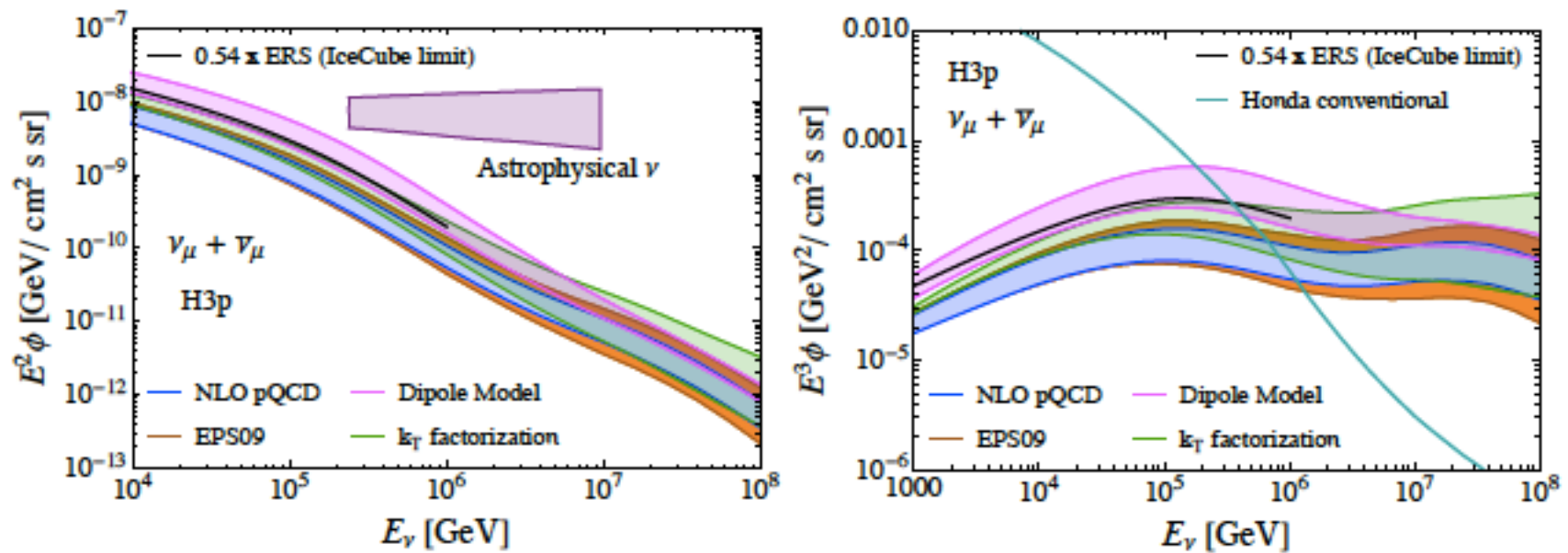
# Comparison with other recent results



Use the broken power law for comparison with recent results from other groups

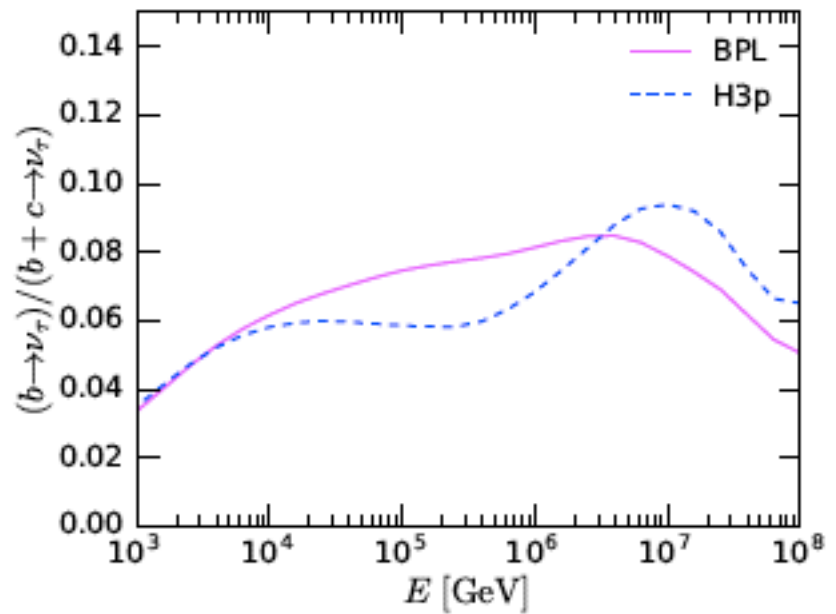
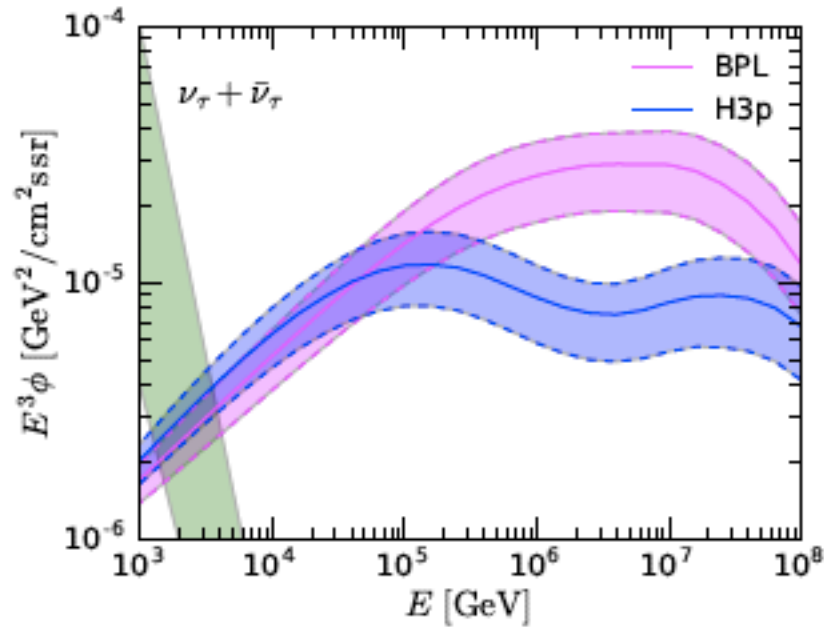
GMS: Garzelli, Moch and Sigl, JHEP 10 (2015) 115 using POWHEG BOX and Pythia; GRRST: Gauld et al, JHEP 02 (2016) 130 with different assessment of PDF uncertainties.

# Prompt fluxes with different scaling



Suggested upper limit on prompt flux: 0.54 ERS from Radef and Schoenen for IceCube, ICRC 2015 (2015) 1079.

# Tau neutrinos plus antineutrinos

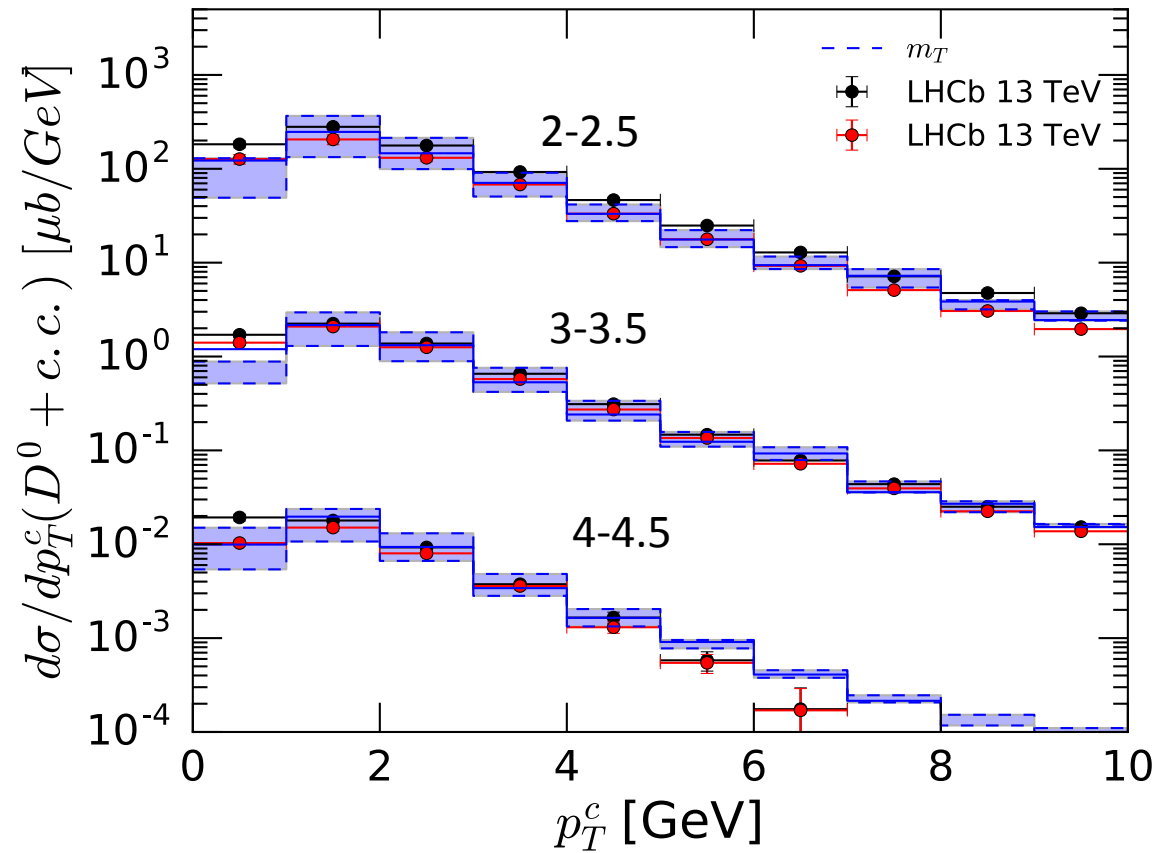


$$D_s \rightarrow \tau \nu_\tau \quad \tau \rightarrow \nu_\tau X$$

# Summary

- If we had a completely reliable calculational method for charm production, we wouldn't need three different approaches.
- Our new NLO pQCD results are lower than BERSS, because of nCTEQ15 PDFs for nitrogen, which have small-x suppression. There are still nuclear uncertainties.
- A limit of  $0.54 * ERS$  cuts into dipole model range of flux predictions, and kT factorization without nuclear corrections.
- Have not talked about intrinsic/spectator charm, see, e.g., Halzen and Wille, Phys. Rev. D94 (2016) 014014; Laha and Brodsky, 1607.08240.

# LHCb update



LHCb, red updated with errata published in JHEP05 (2017) 074.