



High Energy Astrophysical Neutrino Flux Measurement

Using Neutrino-induced Cascades
Observed in 4 Years of IceCube Data



South Pole

Hans Niederhausen

Stony Brook University

Yiqian Xu

Stony Brook University

for the IceCube Collaboration

Outline

Introduction

Event Selection

Analysis Method

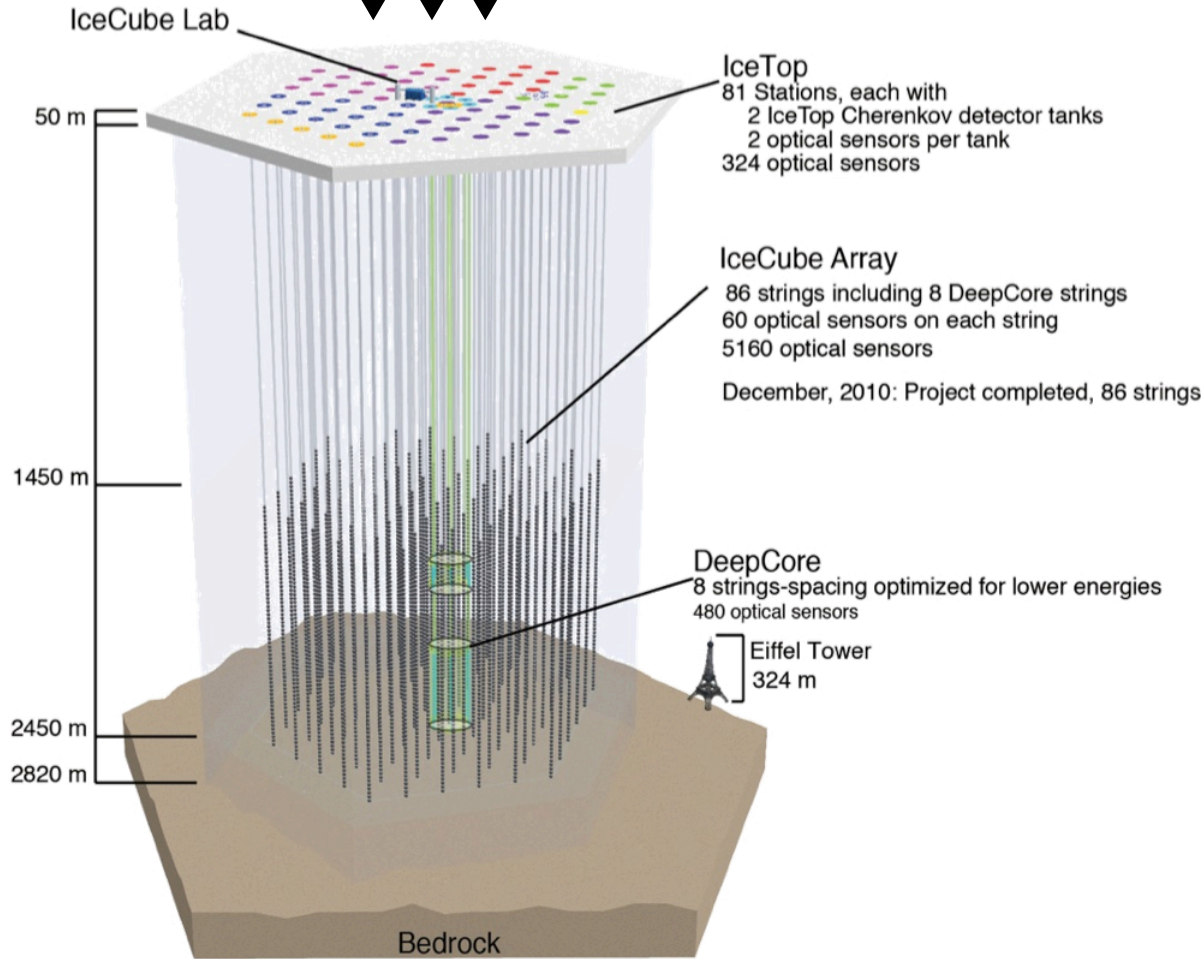
Cascade Results

Summary

Introduction

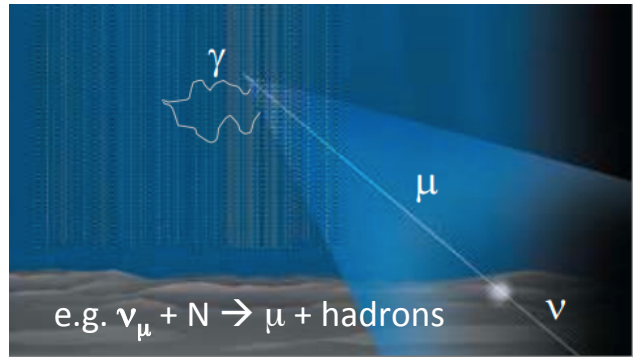


from Southern Sky



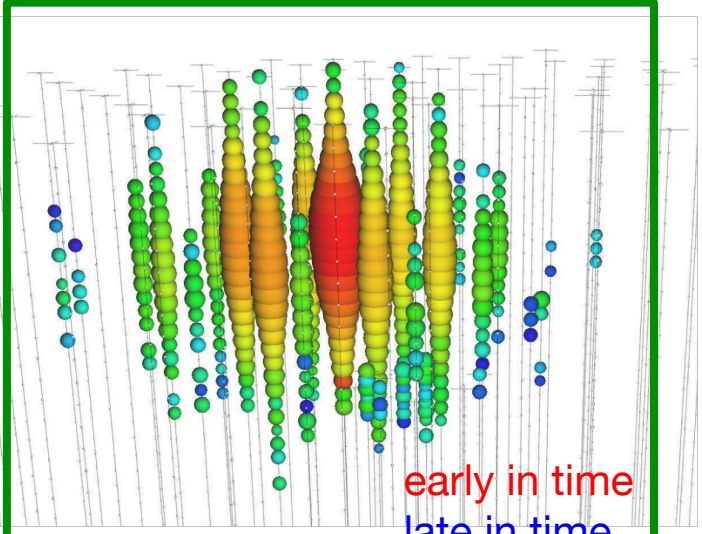
from Northern Sky

Designed to detect
Cherenkov Light (e, μ, τ)



Infer neutrino properties from **total number of photons** and their **arrival times** and in each optical module (DOM)

Introduction



early in time
late in time

Cascades

CC: $\nu_e(\nu_\tau) + N$
 $\rightarrow e(\tau) + \text{hadrons}$

NC: $\nu_e(\nu_\mu, \nu_\tau) + N$
 $\rightarrow \nu_e(\nu_\mu, \nu_\tau) + \text{hadrons}$

point-like light emission

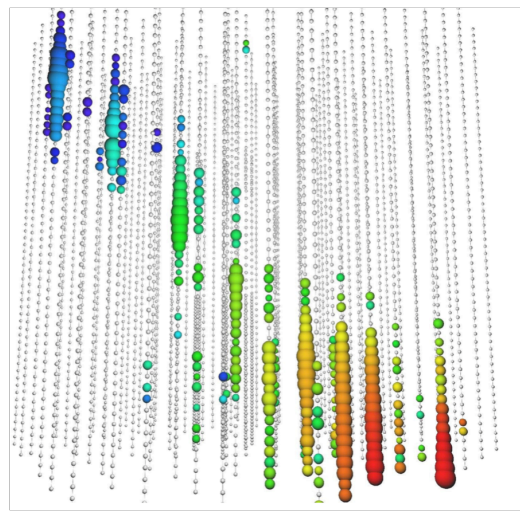
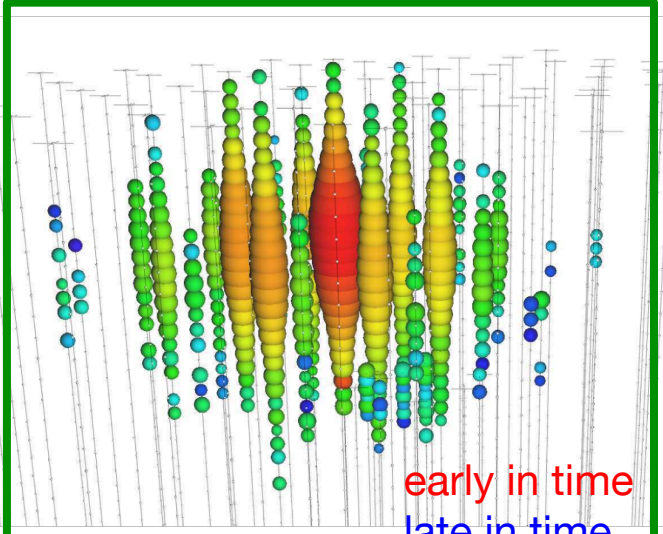
angular resolution $>10^\circ$

good energy resolution

~15% if contained

($E > 100\text{TeV}$)

Introduction



Cascades

- CC:** $\nu_e(\nu_\tau) + N$
 $\rightarrow e(\tau) + \text{hadrons}$
- NC:** $\nu_e(\nu_\mu, \nu_\tau) + N$
 $\rightarrow \nu_e(\nu_\mu, \nu_\tau) + \text{hadrons}$

point-like light emission
 angular resolution $>10^\circ$
good energy resolution
~15% if contained

($E > 100 \text{ TeV}$)

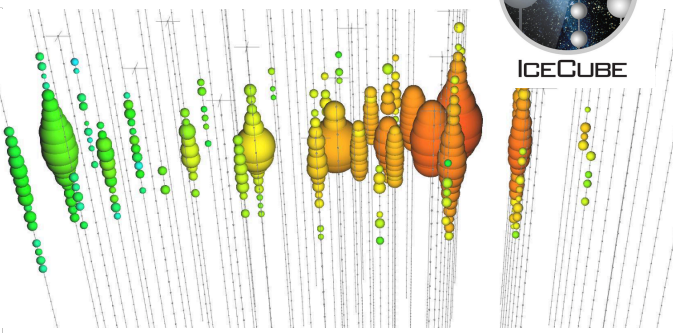
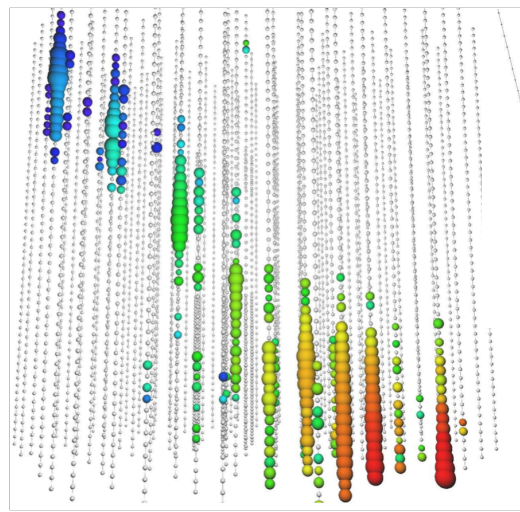
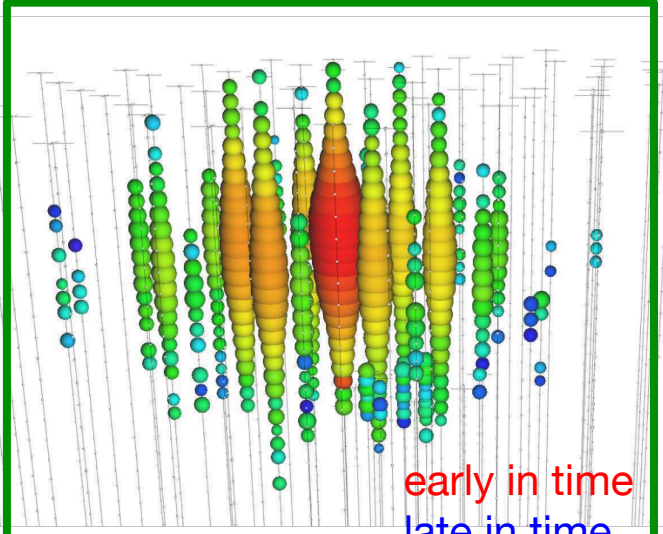
(through-going) Tracks

- CC:** $\nu_\mu + N$
 $\rightarrow \mu + \text{hadrons}$

pointing resolution $<1^\circ$

μ energy resolution
 ~ factor of 2
 limited to Northern Sky

Introduction



Cascades

CC: $\nu_e(\nu_\tau) + N$
 $\rightarrow e(\tau) + \text{hadrons}$

NC: $\nu_e(\nu_\mu, \nu_\tau) + N$
 $\rightarrow \nu_e(\nu_\mu, \nu_\tau) + \text{hadrons}$

point-like light emission

angular resolution $>10^\circ$

good energy resolution

~15% if contained

($E > 100 \text{ TeV}$)

(through-going) Tracks

CC: $\nu_\mu + N$
 $\rightarrow \mu + \text{hadrons}$

pointing resolution $<1^\circ$

μ energy resolution

~ factor of 2

limited to Northern Sky

Hybrid Events

ν_τ double bang
 (and other signatures)
 not yet observed/identified

CC: $\nu_\tau + N$
 $\rightarrow \tau + \text{hadrons}$
 $\rightarrow \text{hadrons}$

**starting tracks
(cascade + track)**

CC: $\nu_\mu + N$
 $\rightarrow \mu + \text{hadrons}$

Introduction



this work: require cascade event topology

mostly sensitive to $\nu_e + \nu_\tau$

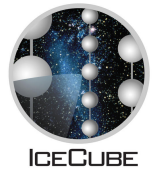
less background than (starting) track channel!

background stems from atmosphere only!

muons: produced in cosmic ray air showers

neutrinos: produced in the same showers

Introduction



this work: require cascade event topology

mostly sensitive to $\nu_e + \nu_\tau$

less background than (starting) track channel!

background stems from atmosphere only!

muons: produced in cosmic ray air showers

neutrinos: produced in the same showers

conventional ν : decay of kaons, pions

ν_μ dominated (at relevant energies)

[M. Honda et al., PRD75, 043006, 2007]

prompt ν : decay of heavier mesons

(charm), produces all flavors equally

[A. Bhattacharya et al., JHEP06, 110, 2015]

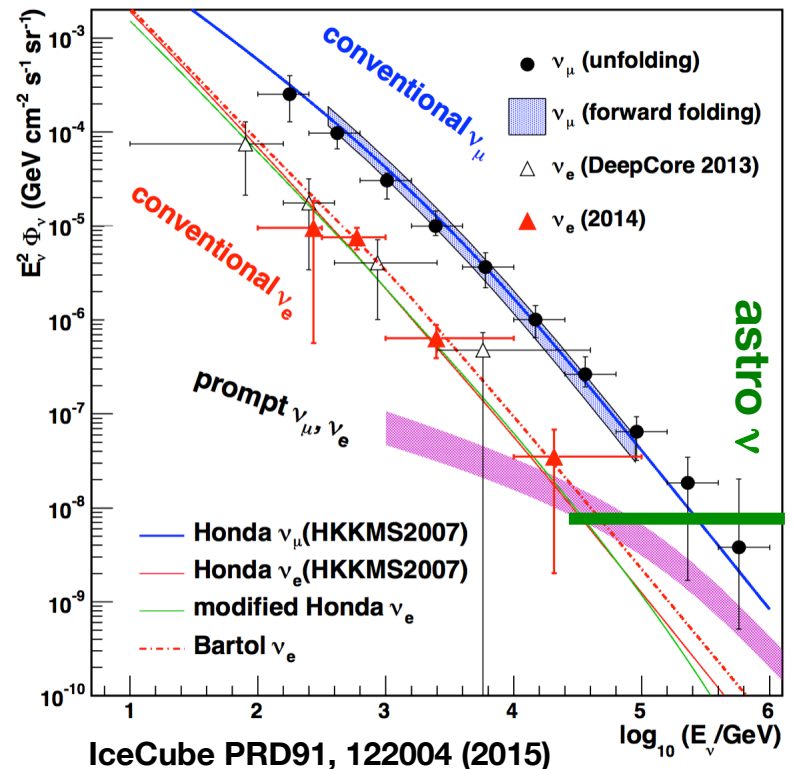
similar studies of astro ν using cascades

IceCube IC22 PRD84 072001 (2011)

IceCube IC40 PRD89 102001 (2014)

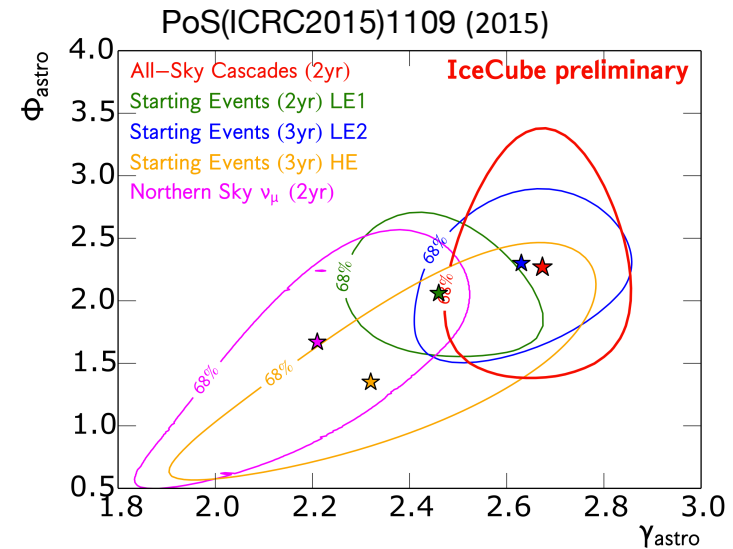
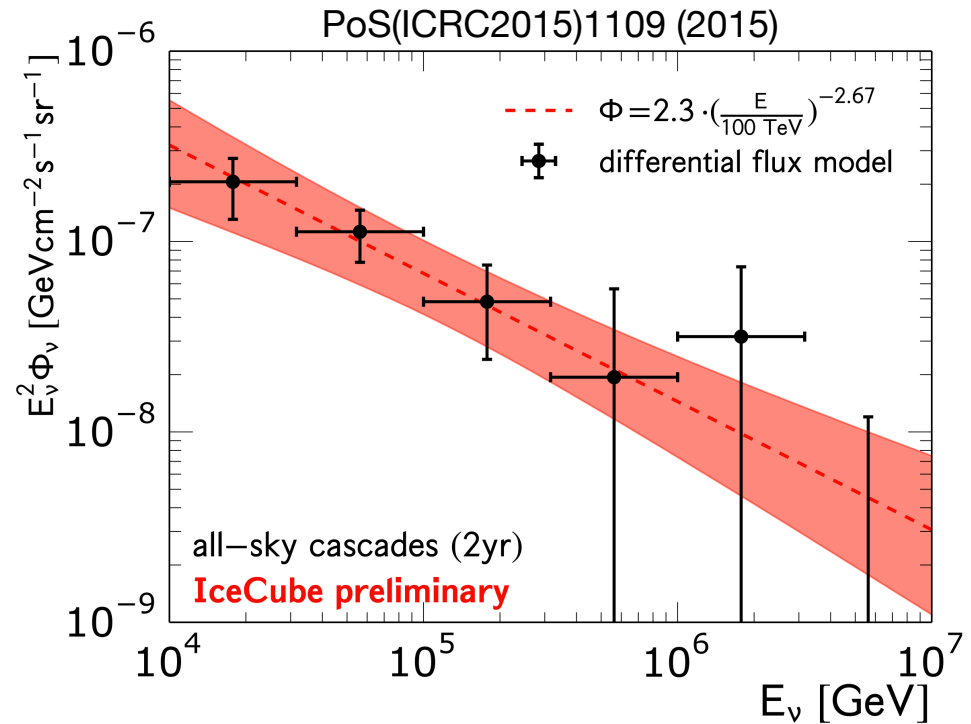
IceCube IC59 Proc. of 33rd ICRC, arXiv:1309.7003 (2013)

IceCube IC79+IC86-11 PoS(ICRC2015)1109 (2015)



most recent cascade results
 “2-yr cascades”

IceCube IC79+IC86 (2010/11)
 presented at ICRC 2015 in The Hague

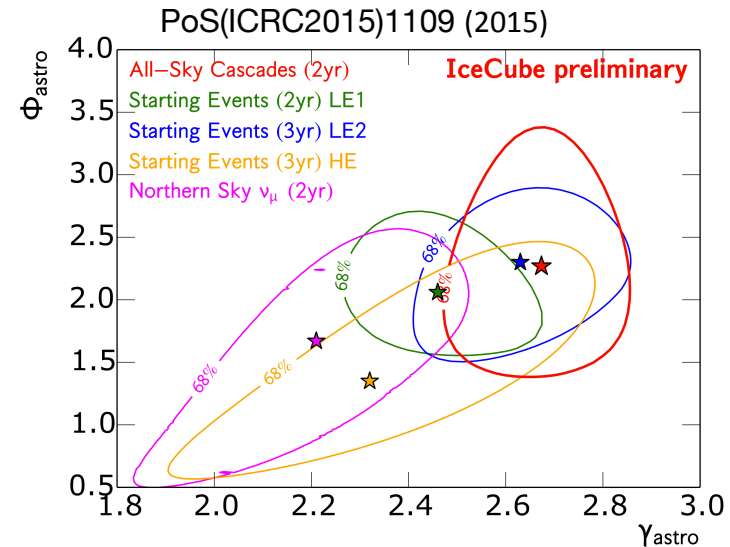
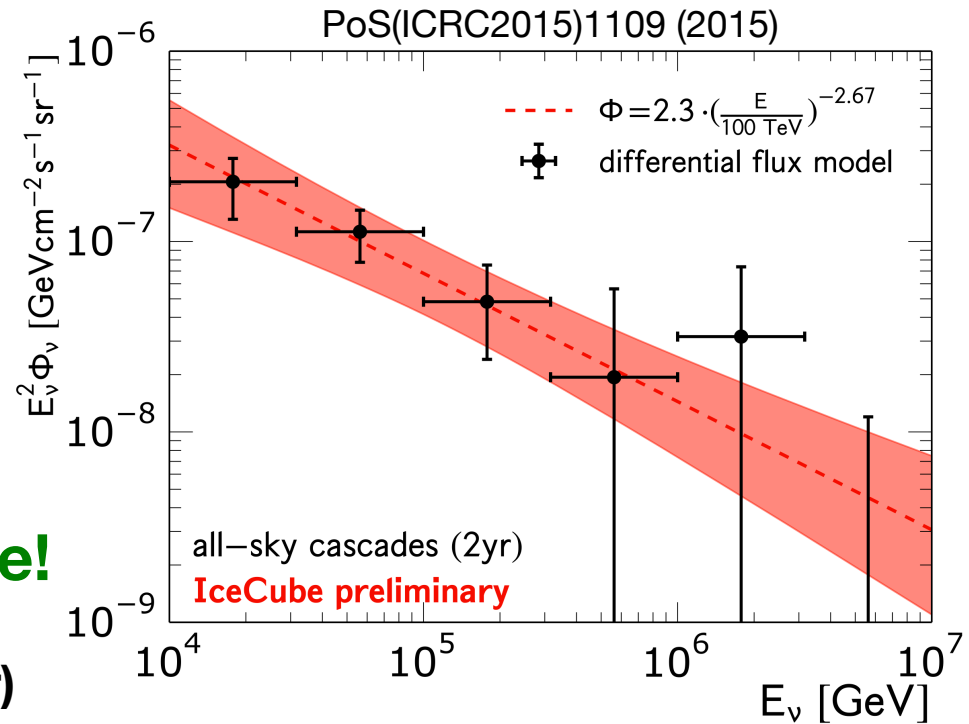


most recent cascade results
“2-yr cascades”

IceCube IC79+IC86 (2010/11)
presented at ICRC 2015 in The Hague

goal of this analysis: improve!

- ✓ increase statistics (2-yr → 4-yr)
- ✓ increase signal efficiency at all energies
- ✓ lower energy threshold 10TeV → O(1TeV)
- ✓ reduce systematic uncertainties



Event Selection

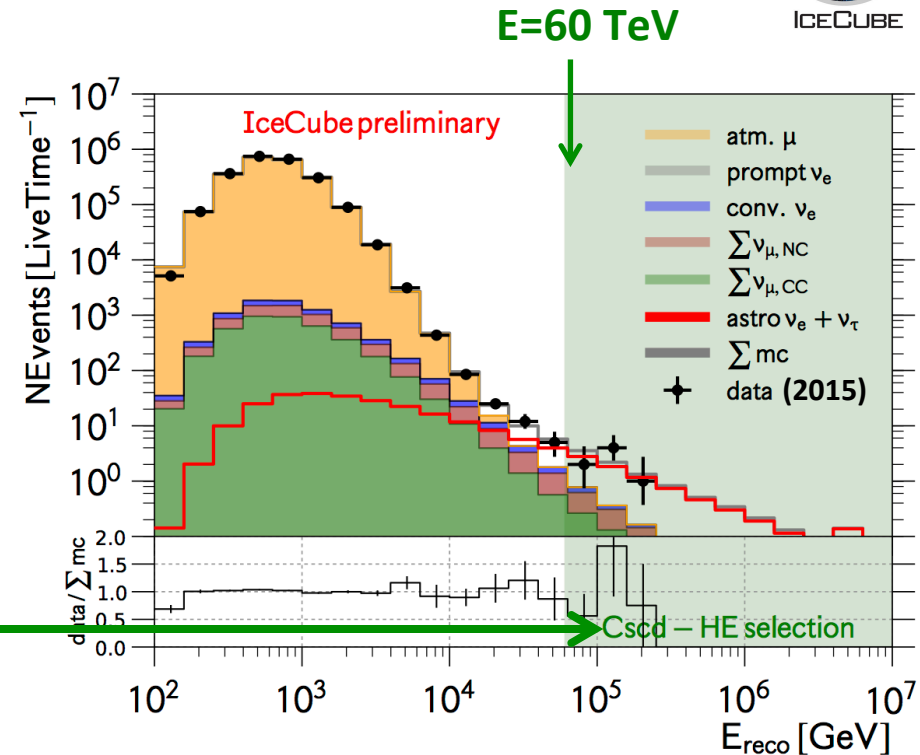


analyzed 4 additional years of IceCube data
cascades in 2012-2015

combined two cascade event selections

contained high energy cascades (>60 TeV)

- uses straight cuts
- variables inspired by 2-yr cascades [1]
- **free of atm. muon background**



✓ excellent data/mc agreement

Event Selection



analyzed 4 additional years of IceCube data
cascades in 2012-2015

combined two cascade event selections

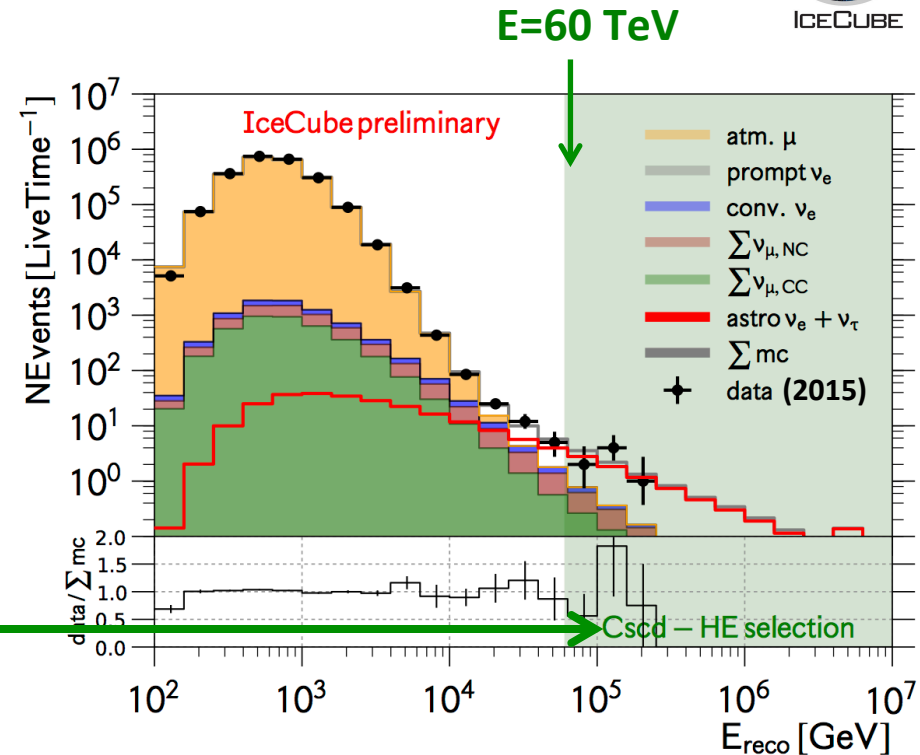
contained high energy cascades (>60 TeV)

- uses straight cuts
- variables inspired by 2-yr cascades [1]
- **free of atm. muon background**

contained low energy cascades (<60 TeV)

- uses machine learning

gradient boosted multi-class decision trees [2]



✓ excellent data/mc agreement

[1] Pos(ICRC2015)1109

[2] arXiv:1603.02754

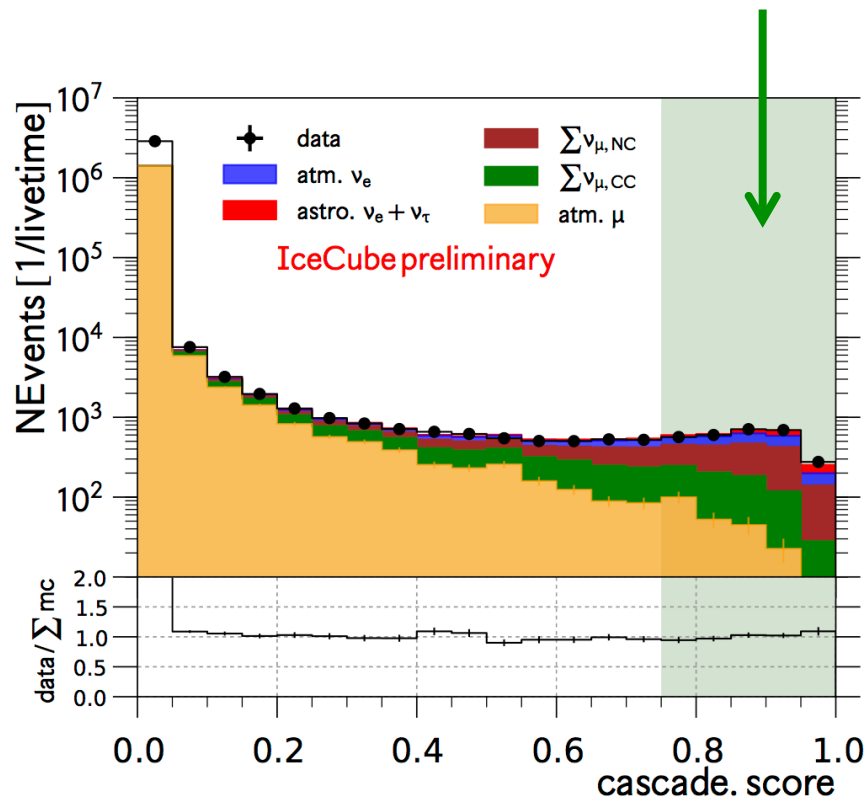
Event Selection



contained low energy cascades (<60 TeV)

- calculate **three scores** for every single event (sum scores = 1)
- quantifies **compatibility** of event with IceCube's main signatures
- 13 variables (event topology, location, direction, brightness, reco-quality etc.)

cascade.score → defines cascade signal sample (merge with >60 TeV cascades)



Event Selection



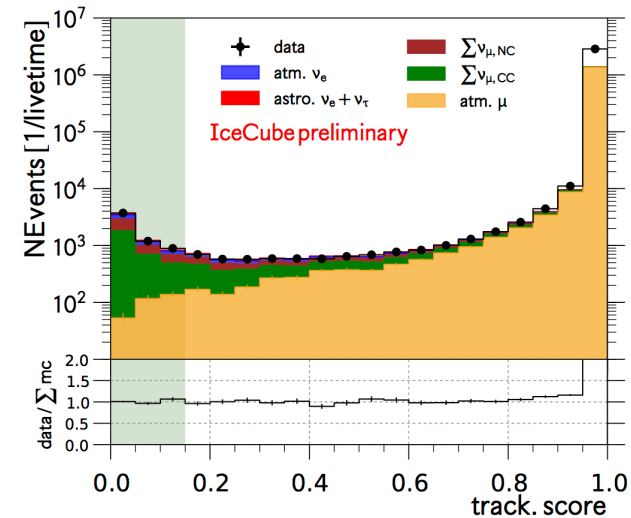
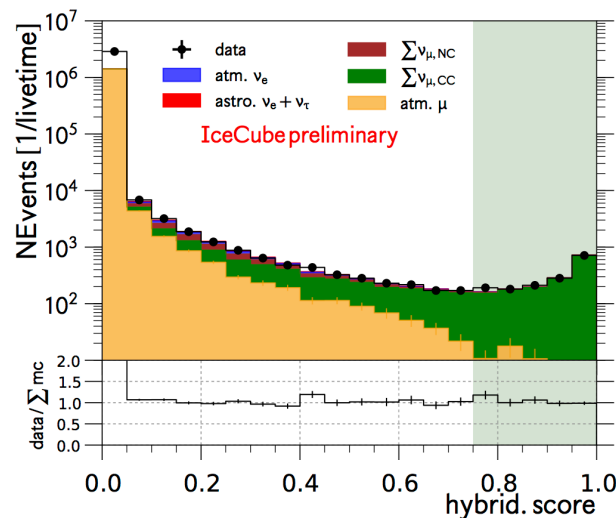
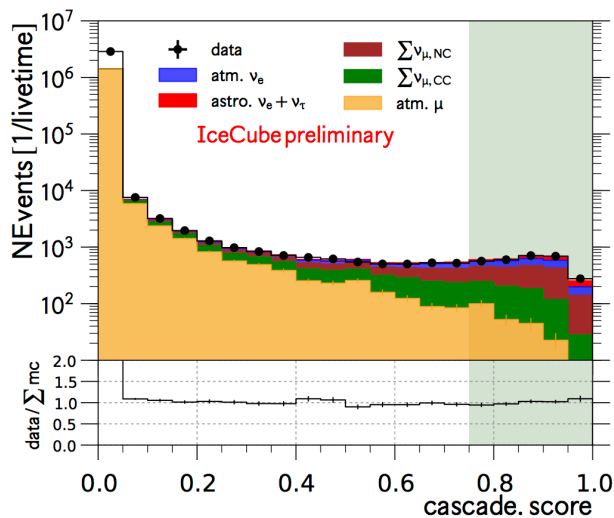
contained low energy cascades (<60 TeV)

- calculate **three scores for every single event** (sum scores = 1)
- quantifies **compatibility** of event **with IceCube's main signatures**
- 13 variables (event topology, location, direction, brightness, reco-quality etc.)

cascade.score → defines **cascade signal sample** (merge with >60 TeV cascades)

hybrid.score → **ν_{μ} -CC control sample** (starting tracks, atm. ν background)

track.score → **reject atmospheric μ** (through-going tracks from Southern Sky)



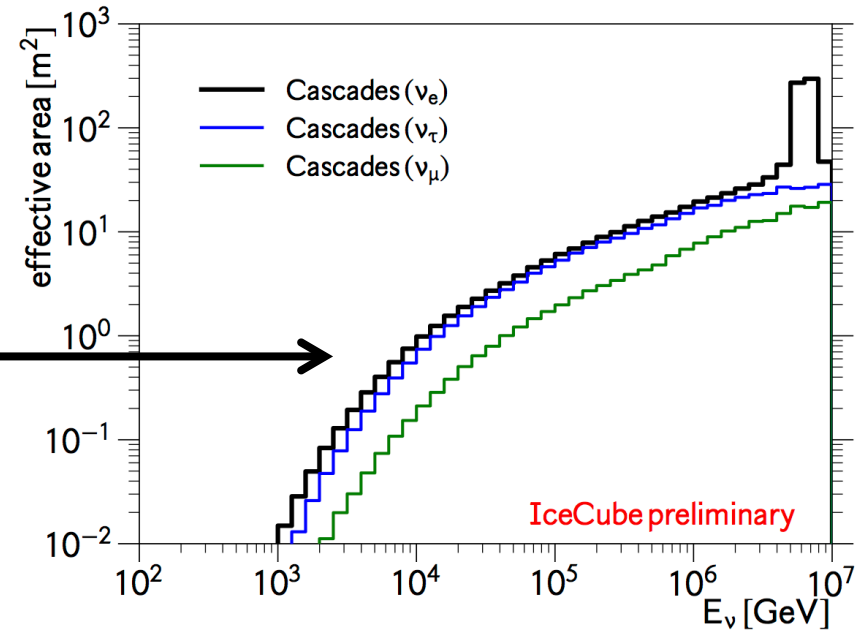
Event Selection

obtained IceCube's largest electron neutrino effective area! (contained vertices)

(>20% enhancement over previous analysis, $E > 60$ TeV)

> 90% neutrino purity

analysis mostly sensitive to astro. $\nu_e + \nu_\tau$

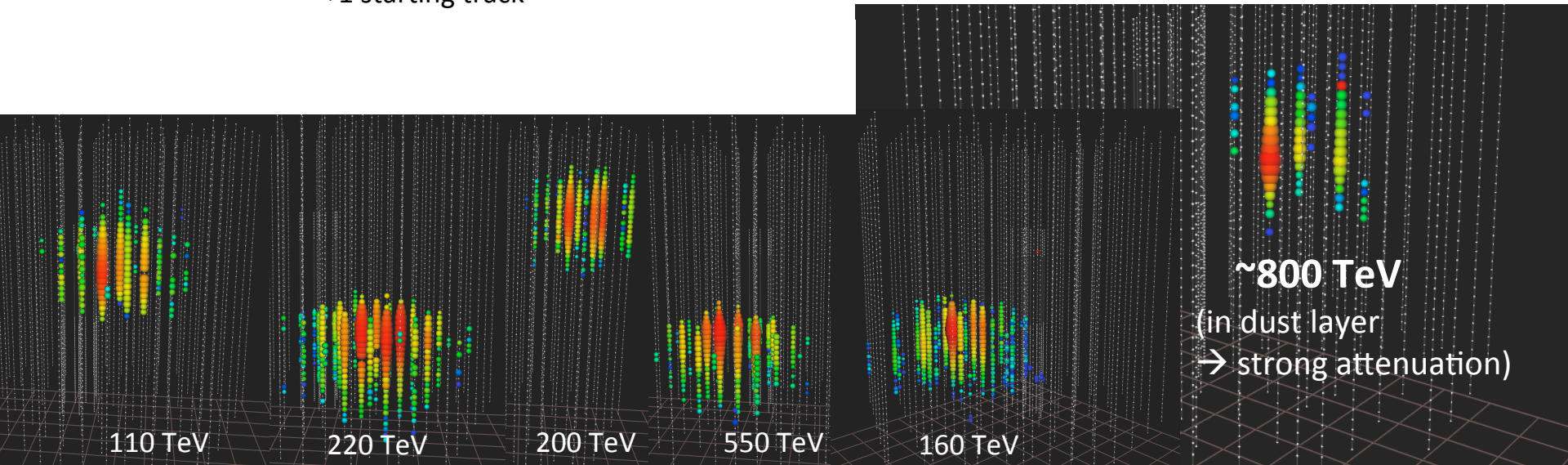
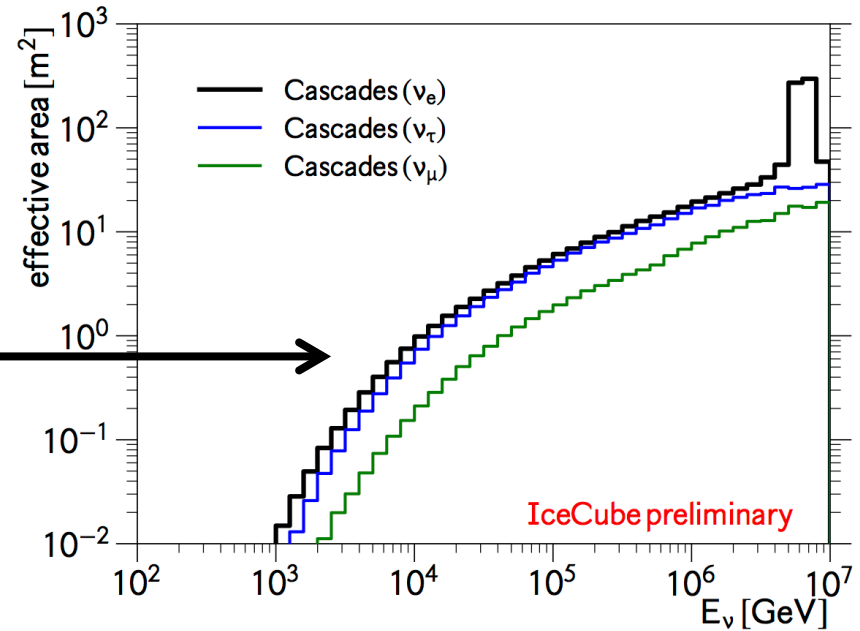


Event Selection

obtained IceCube's largest electron neutrino effective area! (contained vertices)
 (>20% enhancement over previous analysis, $E > 60$ TeV)

> 90% neutrino purity
 analysis mostly sensitive to astro. $\nu_e + \nu_\tau$

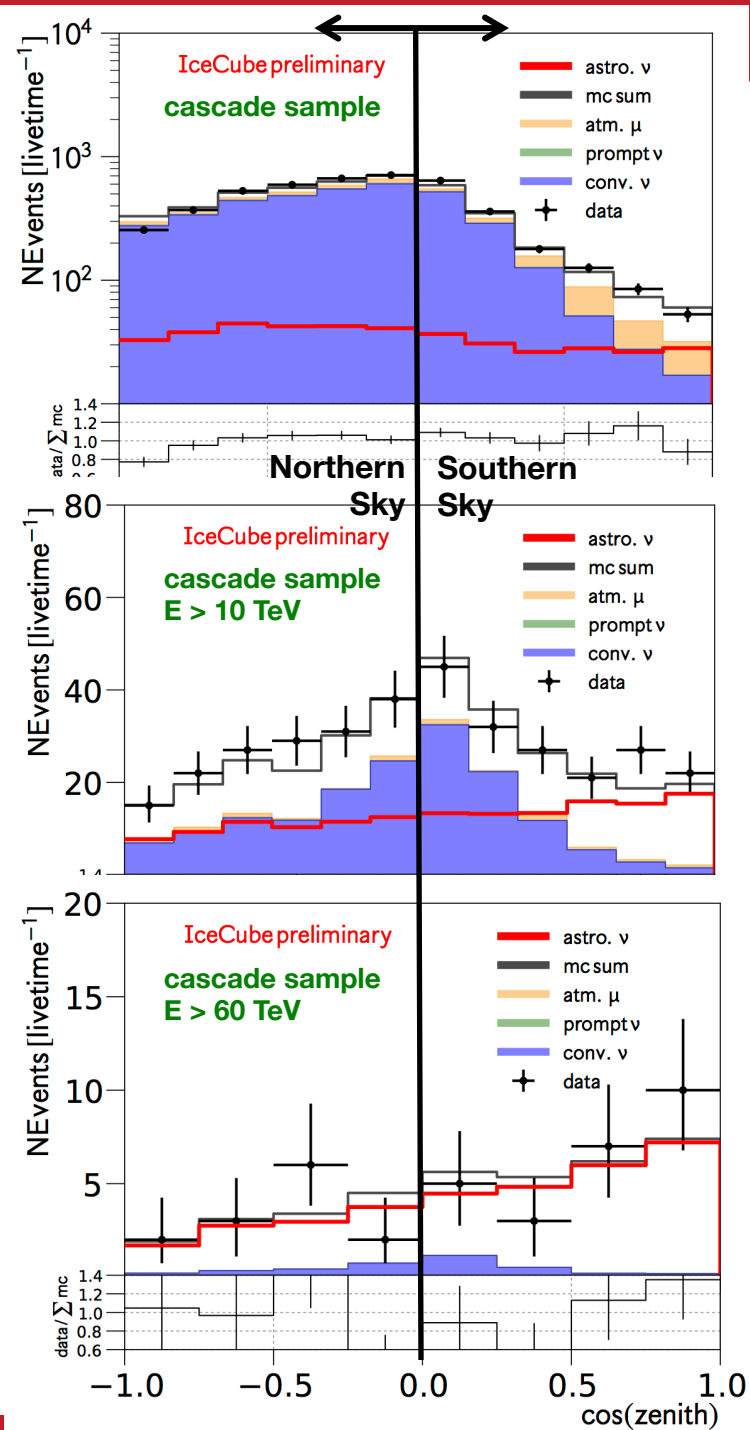
6320 events retained
4740 events in cascade sample
19 events (18 cascades) w. $E > 100$ TeV
 +1 starting track



perform joint fit to three samples

range: 400 GeV to 10 PeV

- 1) contained cascades from Northern Sky
- 2) contained cascades from Southern Sky



perform joint fit to three samples

range: 400 GeV to 10 PeV

- 1) contained cascades from Northern Sky
- 2) contained cascades from Southern Sky
- 3) starting tracks (control sample)
 $E < 60$ TeV, from entire sky
 constrains conventional background

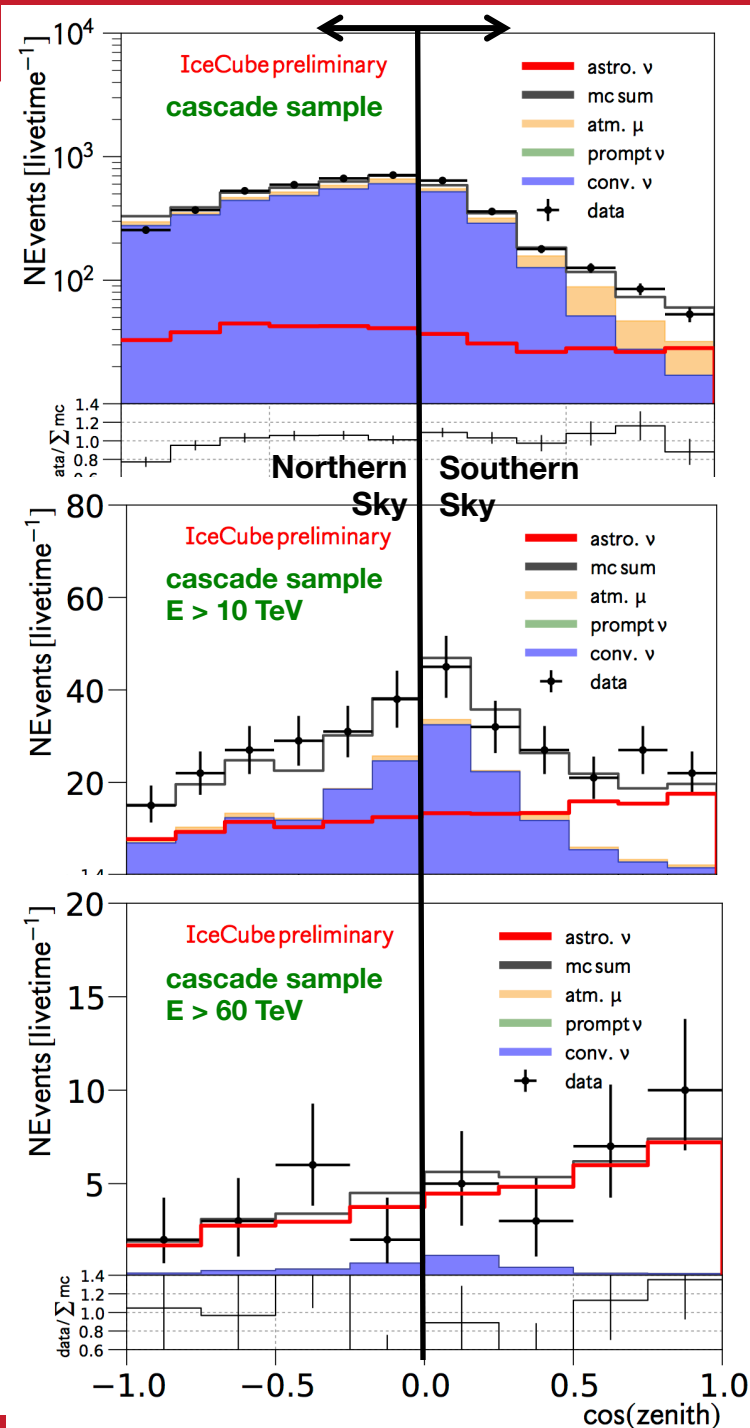
Analysis Method

maximum likelihood based template fit

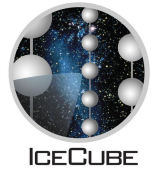
(“standard” in IceCube)

match observed deposited energy distribution
 (data) to prediction (simulation)

parameter constraints from profile-likelihood
 (highest posterior density if prior information available)



Analysis Method



model assumptions

astrophysical neutrinos

- single power-law

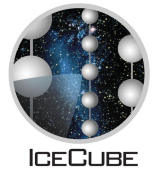
(with $\nu_e : \nu_\mu : \nu_\tau = 1:1:1$ and $\nu : \bar{\nu} = 1:1$ at earth)

$$\Phi_\nu = \phi \times (E_\nu / 100 \text{ TeV})^{-\gamma}$$

per-flavor normalization
(at 100 TeV)

spectral index

Analysis Method



model assumptions

astrophysical neutrinos

(with $\nu_e : \nu_\mu : \nu_\tau = 1:1:1$ and $\nu : \bar{\nu} = 1:1$ at earth)

- single power-law

$$\Phi_\nu = \phi \times (E_\nu / 100 \text{ TeV})^{-\gamma}$$

per-flavor normalization
(at 100 TeV)

spectral index

- 2-component power-law

$$\Phi(E_\nu) = \Phi_0 \times 10^{-18} \left\{ (1 - \alpha) \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}}} + \alpha \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}} + \Delta\gamma} \right\}$$

total per-flavor normalization
(at 100 TeV)

mixing fraction

spectral index (soft)

spectral hardening

$0 < \alpha < 1, \Delta\gamma \geq 0$
 $\phi_{\text{soft}} = (1 - \alpha)\phi$
 $\gamma_{\text{hard}} = \gamma_{\text{soft}} - \Delta\gamma, \phi_{\text{hard}} = \alpha \cdot \phi$

Total Flux = soft component + hard component

Analysis Method



model assumptions

astrophysical neutrinos

(with $\nu_e : \nu_\mu : \nu_\tau = 1:1:1$ and $\nu : \bar{\nu} = 1:1$ at earth)

- single power-law

$$\Phi_\nu = \phi \times (E_\nu / 100 \text{ TeV})^{-\gamma}$$

per-flavor normalization
(at 100 TeV)

spectral index

- 2-component power-law

$$\Phi(E_\nu) = \Phi_0 \times 10^{-18} \left\{ (1 - \alpha) \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}}} + \alpha \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}} + \Delta\gamma} \right\}$$

total per-flavor normalization (at 100 TeV) mixing fraction spectral index (soft) spectral hardening

$0 < \alpha < 1, \Delta\gamma \geq 0$
 $\phi_{\text{soft}} = (1 - \alpha)\phi$
 $\gamma_{\text{hard}} = \gamma_{\text{soft}} - \Delta\gamma, \phi_{\text{hard}} = \alpha \cdot \phi$

Total Flux = soft component + hard component

background fluxes

conventional ν : HKKMS06

30% uncertainty conventional ν
 [A. Fedynitch et al., PRD86 114024, 2012]

prompt ν : BERSS15

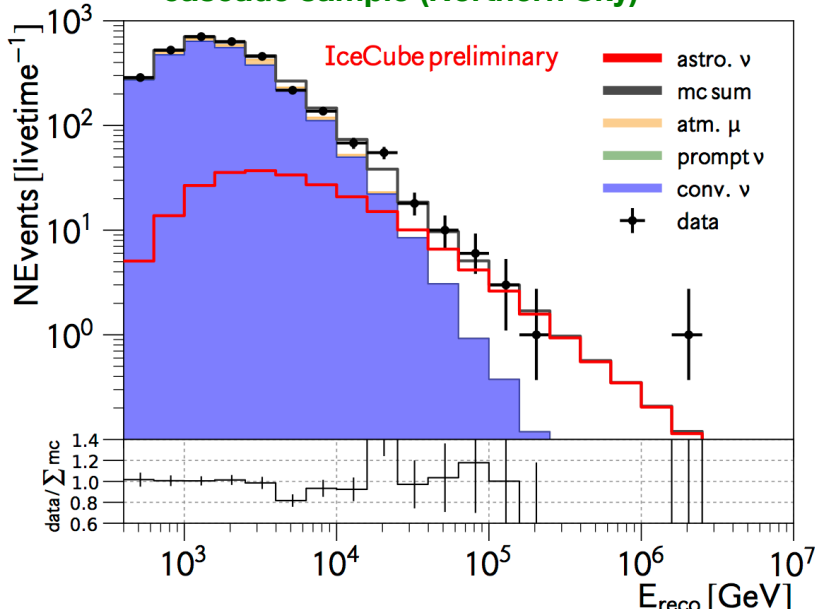
uncertainty prompt ν from IceCube upper-limit
 [IceCube, ApJ 833, No 1, 2016]

atm. μ : Gaisser12

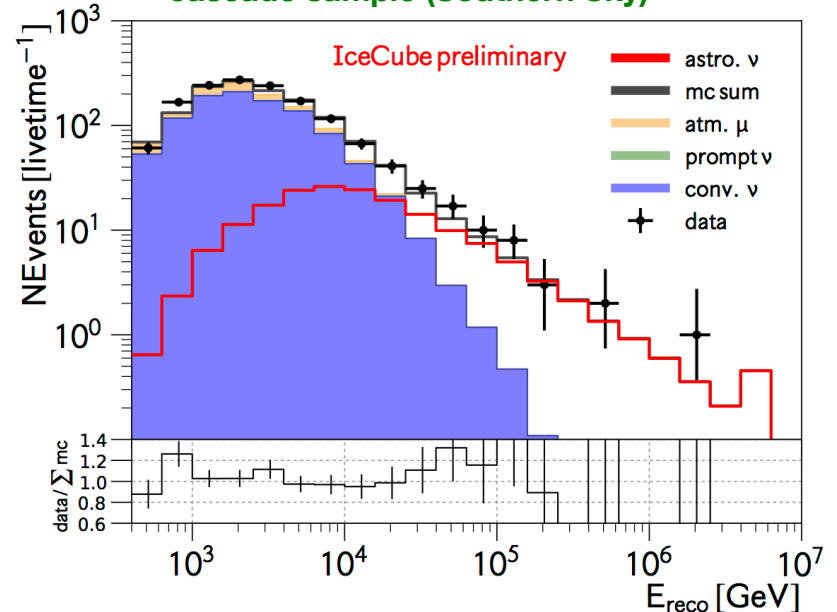
Results

The Single Power-Law

cascade sample (Northern Sky)



cascade sample (Southern Sky)



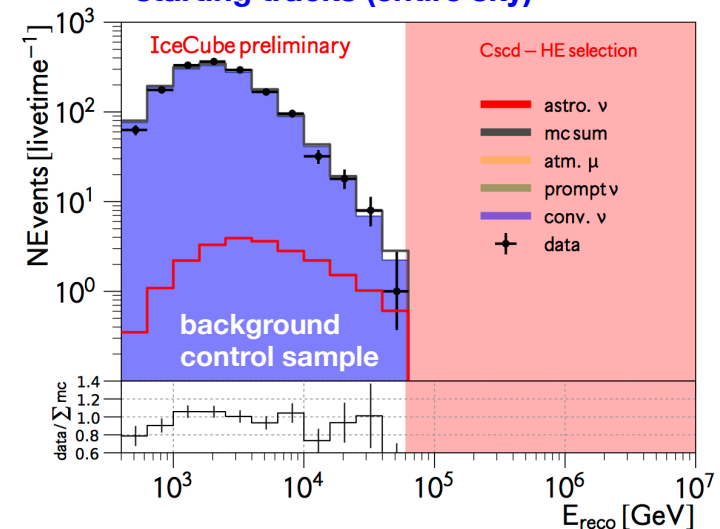
Parameter		Prior	Result
spectral index	γ	-	2.48 ± 0.08
norm astro	ϕ	-	$(1.57^{+0.23}_{-0.22})$ c.u.
norm conv	ϕ_{conv}	1.00 ± 0.30	$(1.12 \pm 0.10) \cdot \Phi_{HKKMS06}$
norm prompt	ϕ_{prompt}	$0.0^{+1.8}_{-0.0} \cdot \Phi_{BERSS}$	$< X \cdot \Phi_{BERSS}(**)$
norm muon	ϕ_{muon}	-	1.40 ± 0.04
scattering scale	ϵ_{scat}	1.00 ± 0.10	1.07 ± 0.02
absorption scale	ϵ_{abs}	1.00 ± 0.10	0.99 ± 0.03
dom efficiency	ϵ_{eff}	0.99 ± 0.10	1.00 ± 0.06

(1 c.u. $\equiv 10^{-18} \text{ GeV}^{-1} \text{ s}^{-1} \text{ sr}^{-1} \text{ cm}^{-2}$)

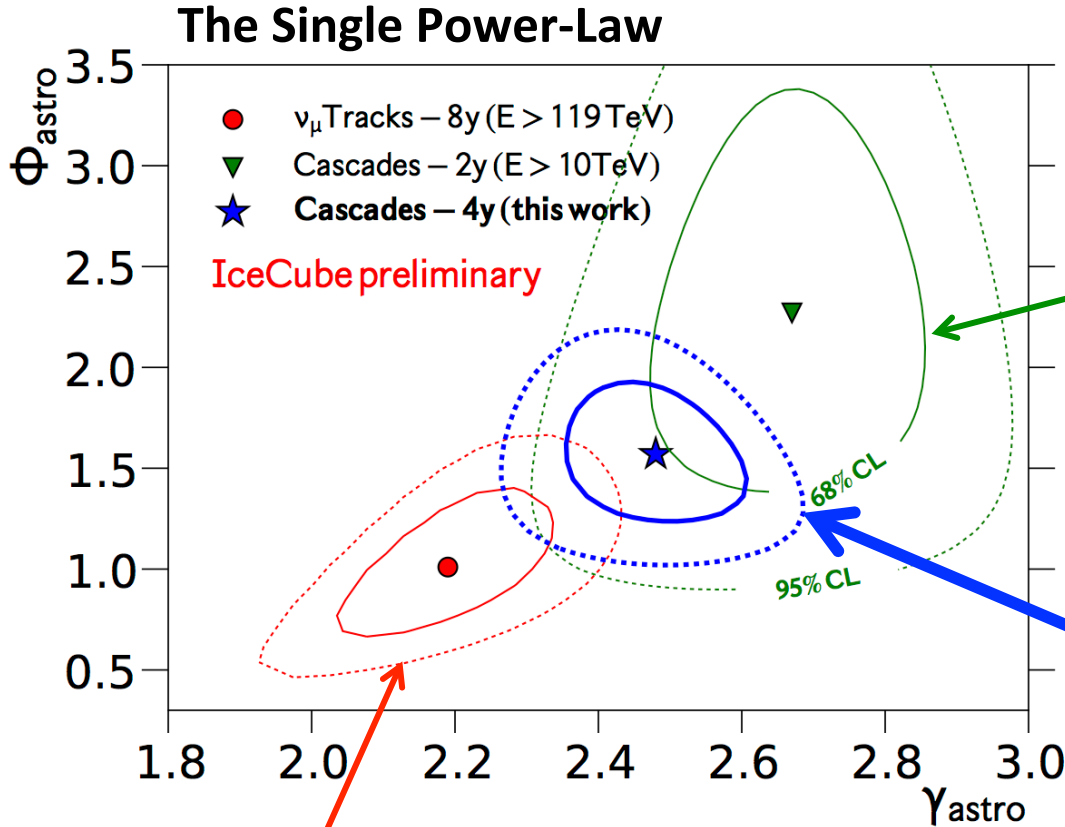
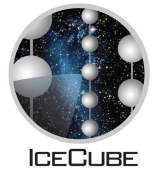
(**) still being studied

significant energy range: 12 TeV – 2.1 PeV

starting tracks (entire sky)



Results



PoS(ICRC2017)1005
 ν_μ Northern Sky

significant energy range
 119 TeV – 4.8 PeV
poster NU022

PoS(ICRC2015)1109
 $\nu_e + \nu_\tau$
 $E_{rec} > 10$ TeV

this work
 PoS(ICRC2017)968
 $\nu_e + \nu_\tau$

significant energy range
12 TeV – 2.1 PeV

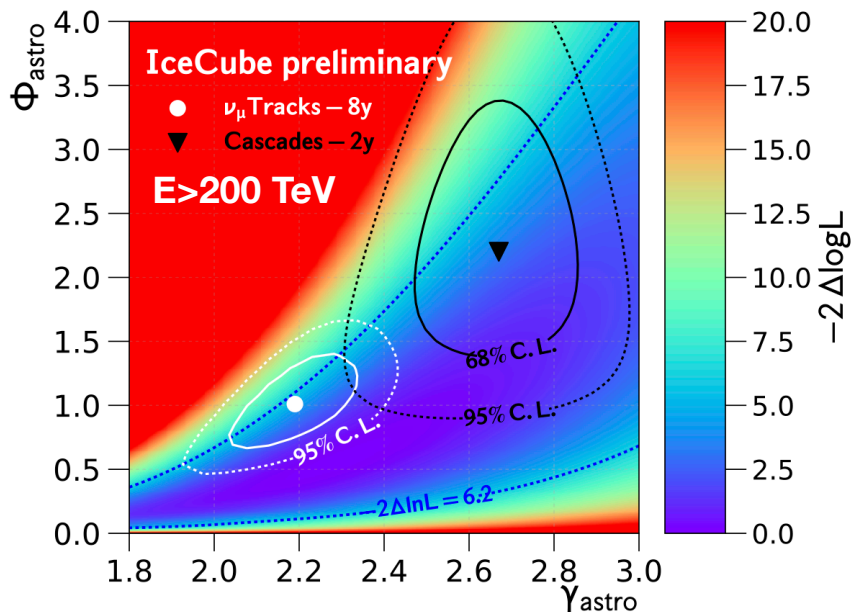
- ✓ consistent with previous cascade analysis
- ✓ also still consistent with diffuse ν_μ ($p=0.04$)

Results



The “High Energy $E > 200$ TeV” Tail

- (essentially) no atm. background expected
- **only 6 cascades satisfy $E_{\text{rec}} > 200$ TeV**
- LLH space of restricted fit does not meaningfully constrain spectral shape!
- **slight preference for lower normalization** than previous results
 $P(N \leq 6 \mid x) = 0.14$ (0.05) for $x = 2$ -yr cascades (8-yr tracks)

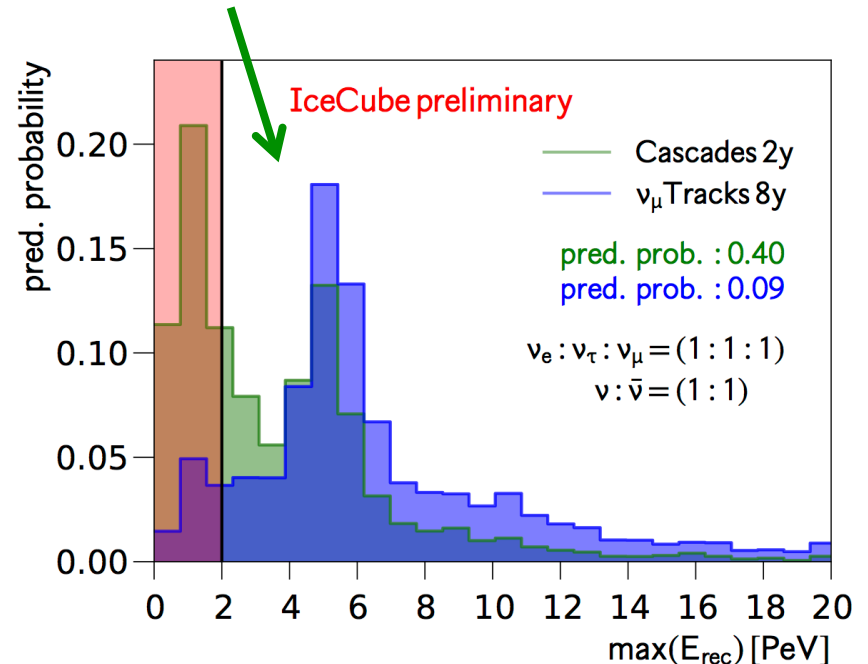
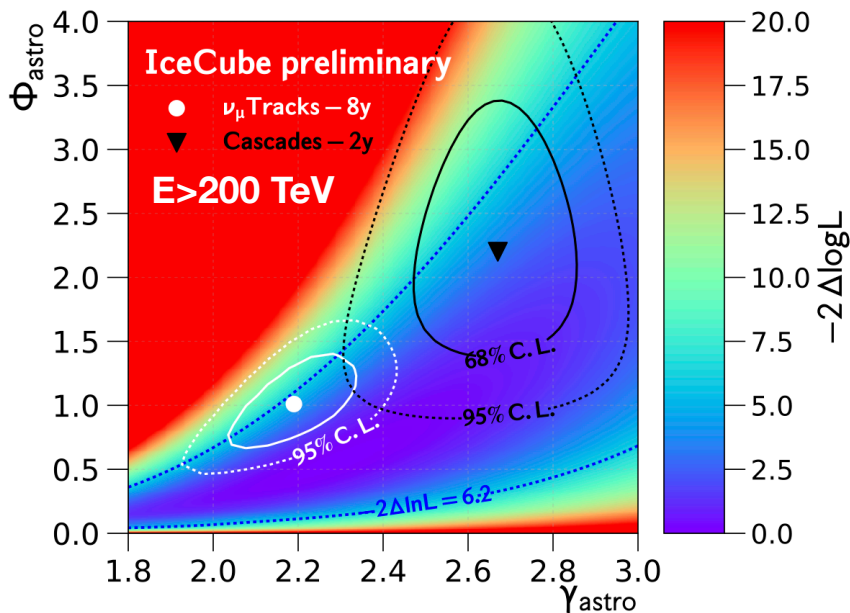


Results



The “High Energy $E > 200$ TeV” Tail

- (essentially) no atm. background expected
- **only 6 cascades satisfy $E_{\text{rec}} > 200$ TeV**
- LLH space of restricted fit does not meaningfully constrain spectral shape!
- **slight preference for lower normalization** than previous results
 $P(N \leq 6 \mid x) = 0.14$ (0.05) for $x = 2$ -yr cascades (8-yr tracks)
- **highest energy cascade at 2 PeV: plausible for both previous results**

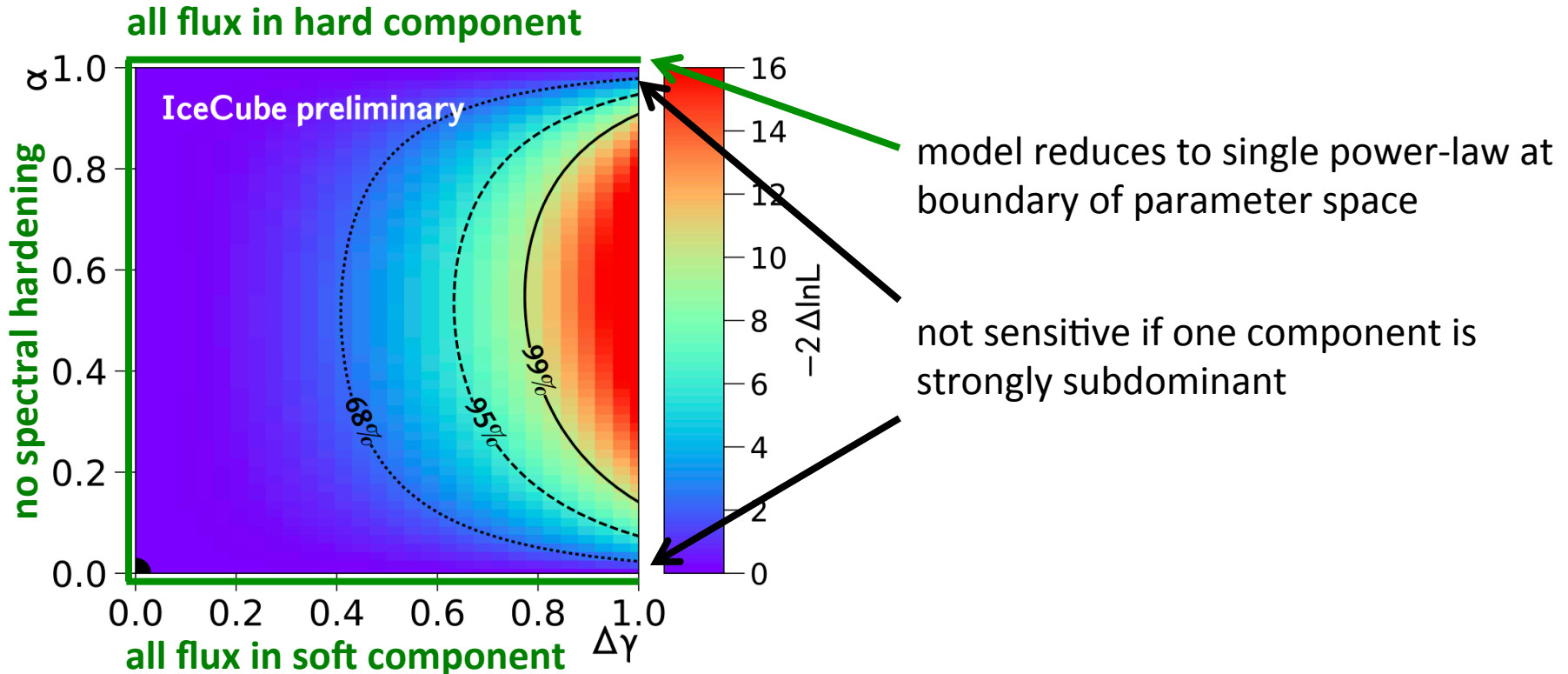


Results



The 2-Component Power-Law

$$\Phi(E_\nu) = \Phi_0 \times 10^{-18} \left\{ (1 - \alpha) \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}}} + \alpha \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}} + \Delta\gamma} \right\}$$

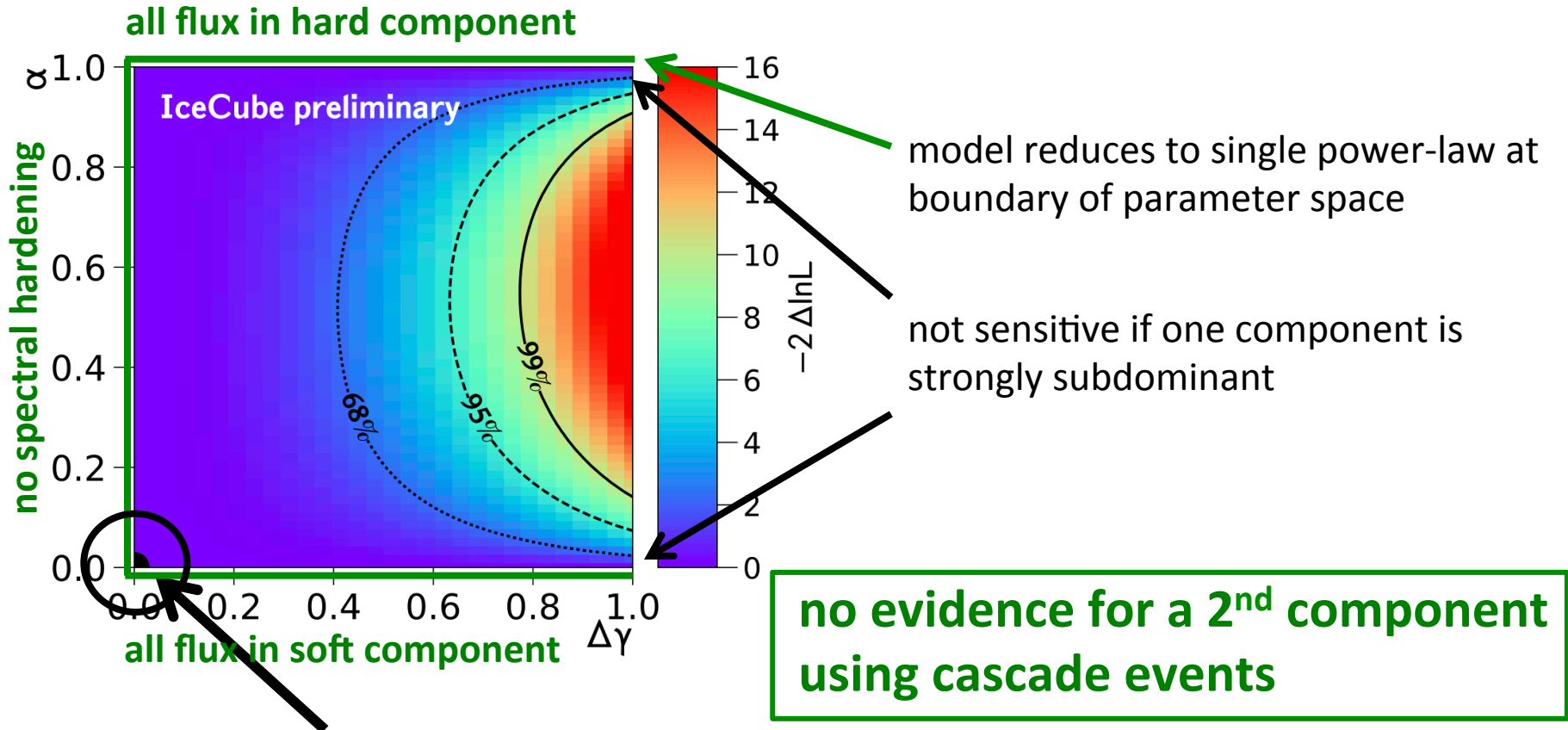


Results



The 2-Component Power-Law

$$\Phi(E_\nu) = \Phi_0 \times 10^{-18} \left\{ (1 - \alpha) \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}}} + \alpha \left[\frac{E_\nu}{10^5 \text{ GeV}} \right]^{-\gamma_{\text{soft}} + \Delta\gamma} \right\}$$

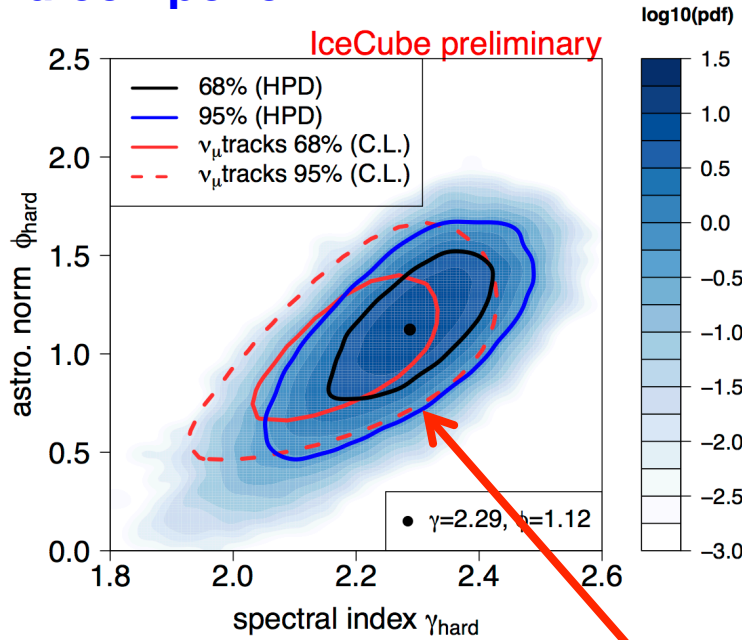


best fit: no spectral hardening, no 2nd component
 (identical to single-powerlaw)

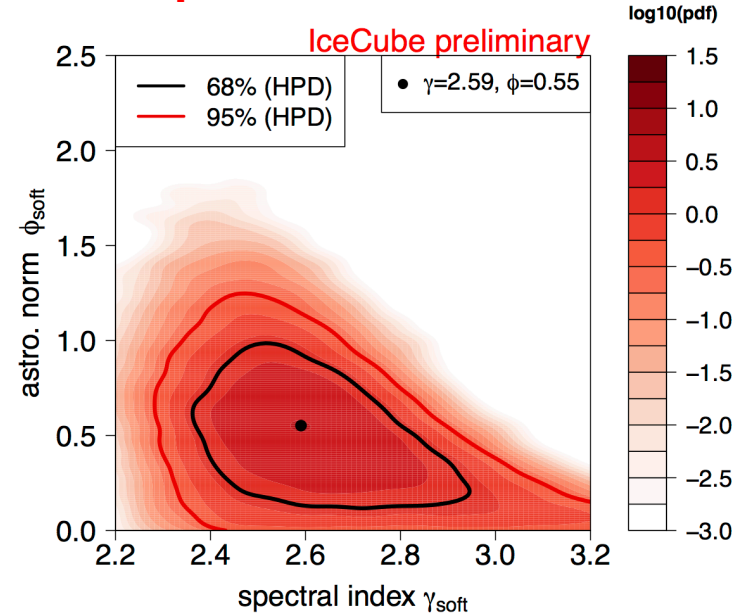
Results



hard component

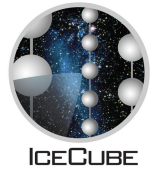


soft component



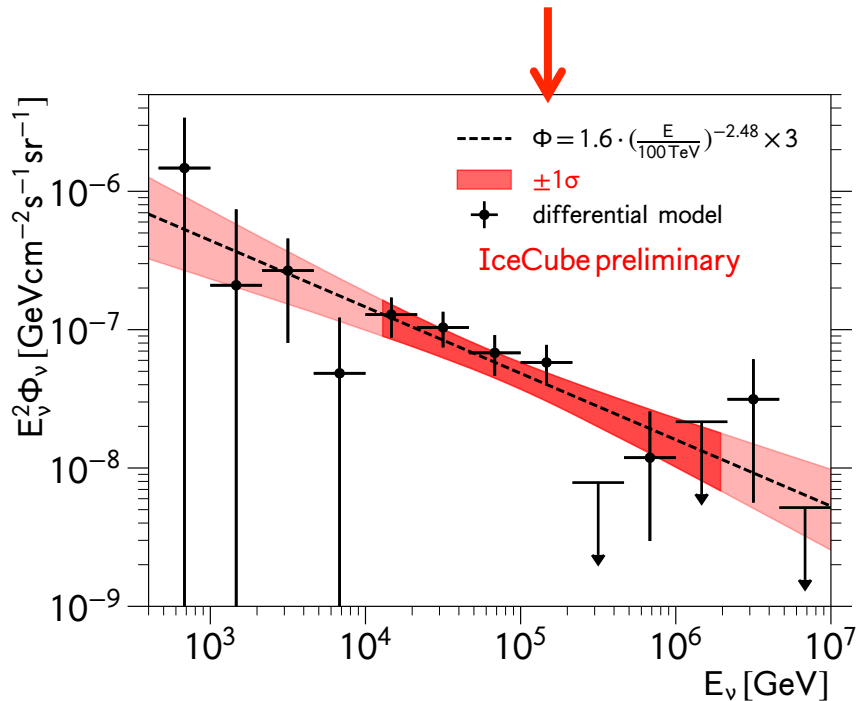
- can include **prior information**: **8yr-tracks** result constrains **hard component**
- **posterior distribution**: **soft component** sub-dominant (in this scenario)
- no tension between prior and posterior distributions for **hard component**

Reminder: **no evidence** for this model in this analysis (c.f. previous slide)!



Differential Flux Measurement

- **relax power-law assumption**
by fitting separate flux normalizations in bins of E_ν (E^{-2} distribution within each bin)
- large uncertainties at low (<10 TeV) and high (>200 TeV) energies
(\rightarrow dominated by conventional background) (\rightarrow only 6 events)
- consistent with **single power-law**

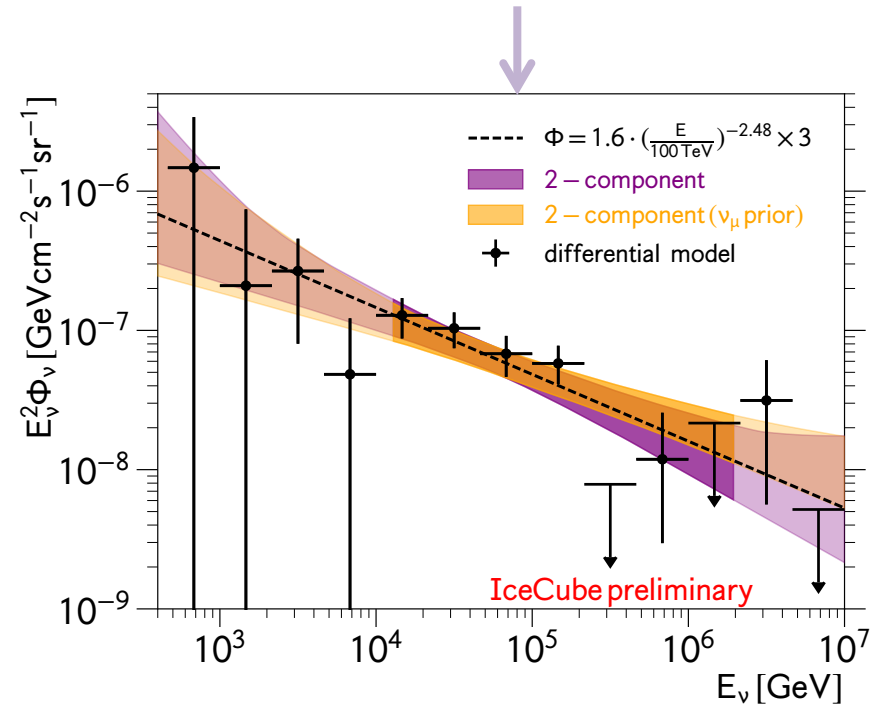
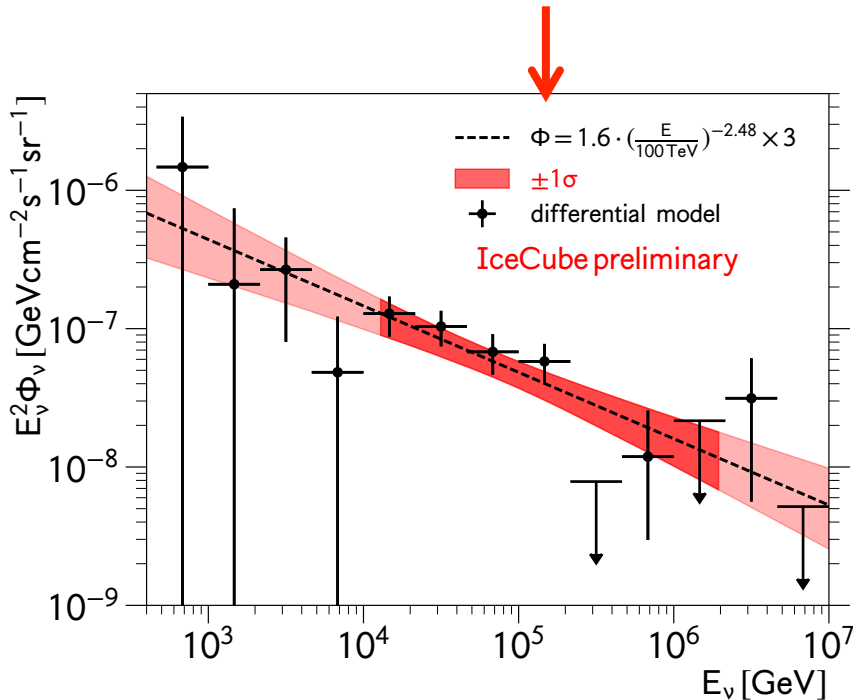


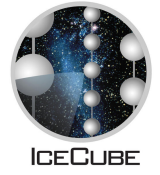
Results



Differential Flux Measurement

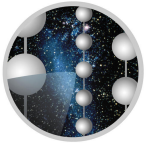
- **relax power-law assumption**
by fitting separate flux normalizations in bins of E_ν (E^{-2} distribution within each bin)
- large uncertainties at low (<10 TeV) and high (>200 TeV) energies
(\rightarrow dominated by conventional background) (\rightarrow only 6 events)
- consistent with **single power-law** (and hypothetical 2-component) scenario



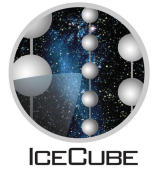


- developed improved cascade event selection
 - increased efficiency >20% for $E > 60$ TeV
 - lower energy threshold 10 TeV \rightarrow O(1 TeV)
 - reduced systematic uncertainties
- analyzed 4 years of IceCube data (2012-2015)
- cascades remain well described by single power-law
 - per-flavor normalization = $1.57^{+0.23}_{-0.22} 10^{-18} \text{ GeV}^{-1} \text{ s}^{-1} \text{ sr}^{-1} \text{ cm}^{-2}$ (at 100 TeV)
 - spectral index = 2.48 ± 0.08
- no evidence for 2-components in power-law spectrum
- soon to be combined with previous cascade analysis (2010/11)

Stay tuned!



BACKUP



maximum likelihood based template method (“standard” in IceCube)

match observed deposited energy distribution (data) to prediction (simulation)

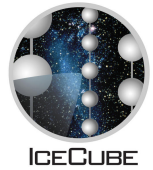
$$L(\boldsymbol{\theta}_r | \underline{n}) = \underset{\boldsymbol{\theta}_s}{\operatorname{argmax}} L(\underbrace{\boldsymbol{\theta}_r}_{\text{astrophysics}} \underbrace{\boldsymbol{\theta}_s}_{\text{nuisance}} \underbrace{\underline{n}}_{\text{data}}) = \underset{\boldsymbol{\theta}_s}{\operatorname{argmax}} \prod_{i=1}^3 \prod_{j=1}^N \frac{\mu_{ij}(\boldsymbol{\theta}_r, \boldsymbol{\theta}_s)^{n_{ij}}}{n_{ij}!} e^{-\mu_{ij}(\boldsymbol{\theta}_r, \boldsymbol{\theta}_s)}$$

$$\mu_{ij}(\boldsymbol{\theta}_r, \boldsymbol{\theta}_s) = \mu_{ij}^{atm. \mu} + \underbrace{\mu_{ij}^{atm. \nu}}_{\text{nuisance}} + \underbrace{\mu_{ij}^{astro. \nu}}_{\text{astrophysics}}$$

+ quadratic penalty terms for **nuisance parameters**:

nuisance parameters (in this work):

atm. ν flux normalizations
detector energy scale ε



100(1- α)% highest posterior density (HPD) region

$$C = \{\theta \in \Theta : \pi(\theta|x) \geq k(\alpha)\} \quad (1)$$

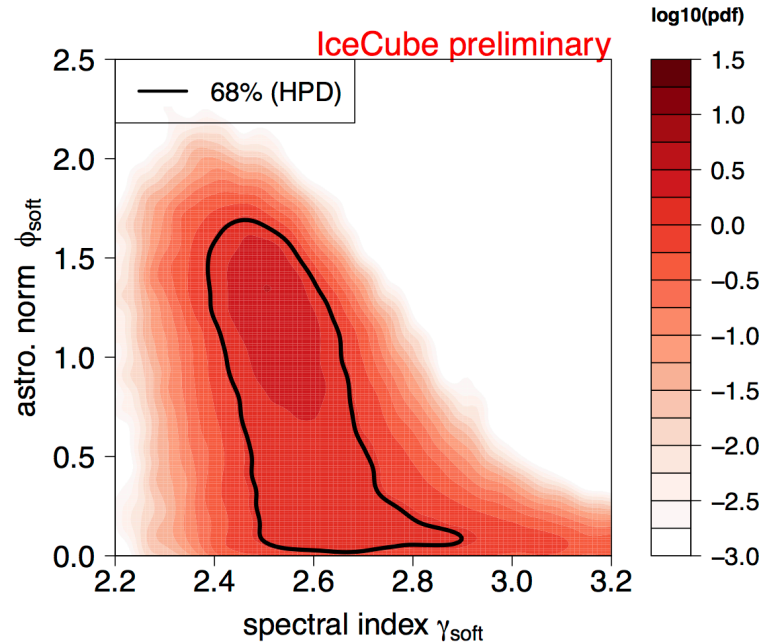
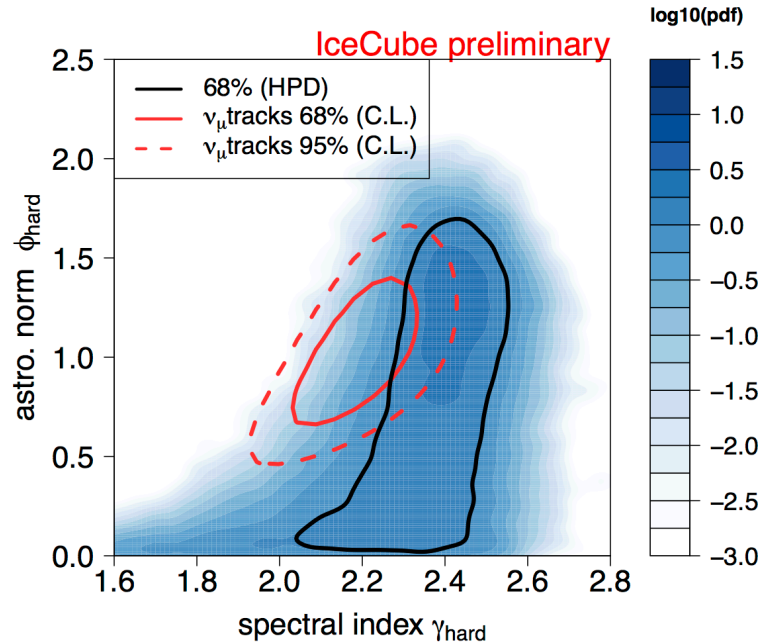
$$1 - \alpha \leq P(C|x) = \int_C \pi(\theta|x) d\theta = \int_C f(x|\theta) \cdot \pi(\theta) d\theta \quad (2)$$

where $k(\alpha)$ is the largest constant satisfying Eq. (2). $\pi(\theta)$, $\pi(\theta|x)$ and $f(x|\theta) \propto L(\theta|x)$ are prior distribution, posterior distribution and likelihood function respectively.



2-Component Powerlaw

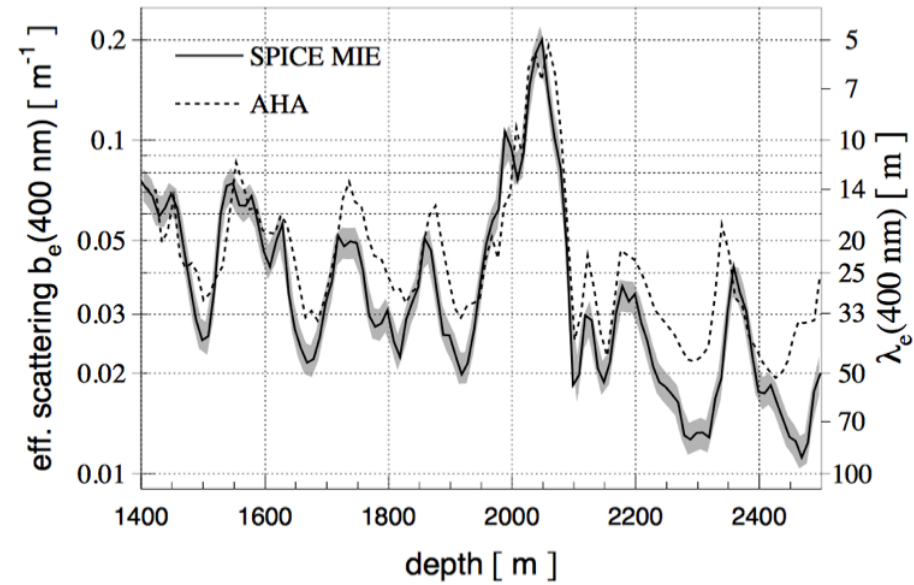
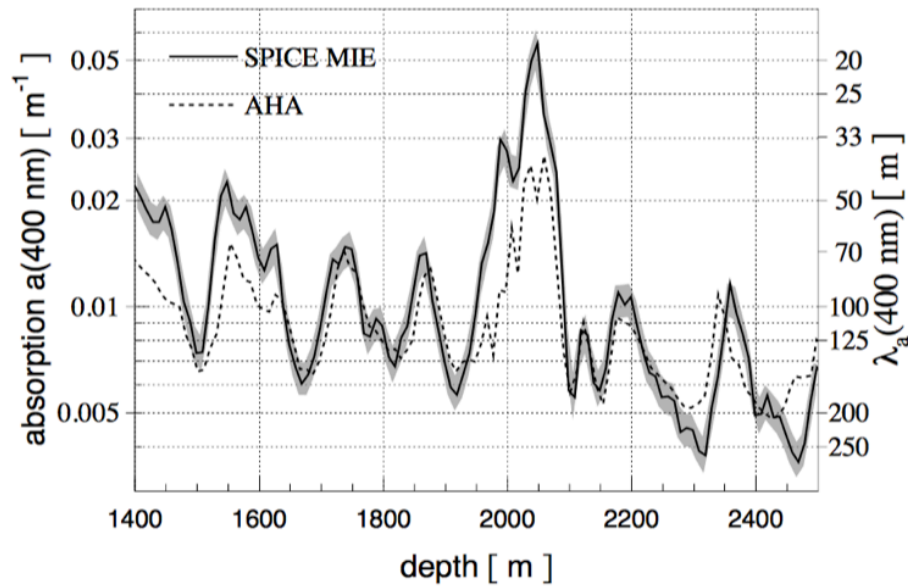
uniform prior on spectral hardening $\Delta\gamma$ and mixture fraction α



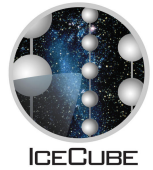
South Pole Ice: Optical Properties



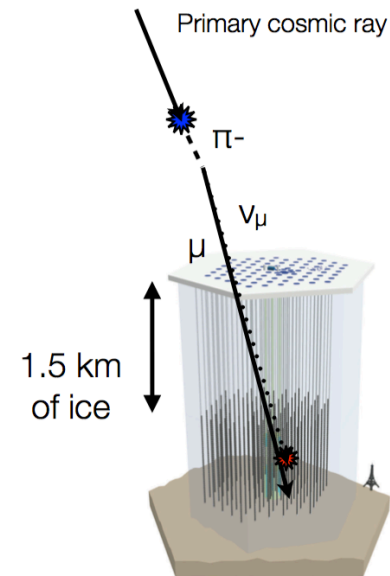
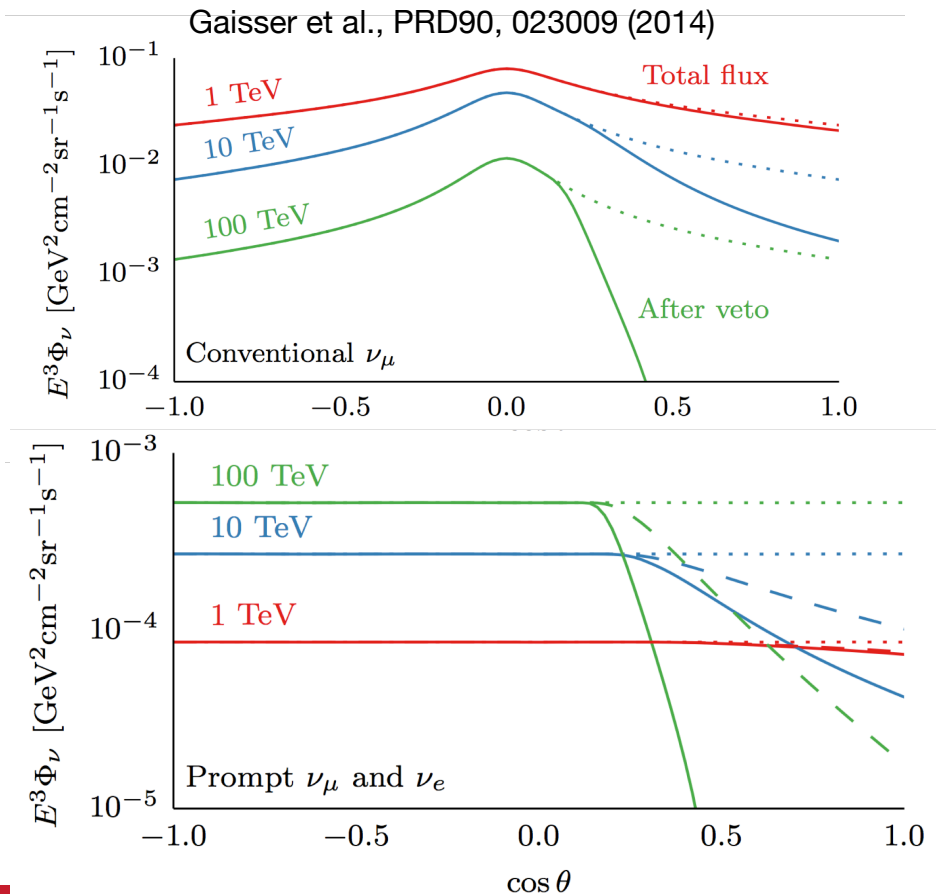
IceCube Collaboration, NIM A711 73 (2013)



Atm. Self Veto Effect



- atmospheric ν are produced together with atmospheric μ (same cosmic ray induced air showers)
 - **southern sky:** atmospheric ν are likely to be accompanied by atmospheric μ
- atmospheric μ rejection also suppresses atmospheric ν events



Schönert, Resconi, Schulz, Phys. Rev. D, 79:043009 (2009)

Gaisser, Jero, Karle, van Santen, Phys. Rev. D, 90:023009 (2014)