

# On the Anisotropy of the Arrival Directions of Galactic Cosmic Rays

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# Galactic Cosmic Rays

- *Standard paradigm:*  
Galactic CRs accelerated in **supernova remnants**
- ✓ sufficient power:  $\sim 10^{-3} \times M_{\odot}$  with a rate of  $\sim 3$  SNe per century  
[Baade & Zwicky'34]

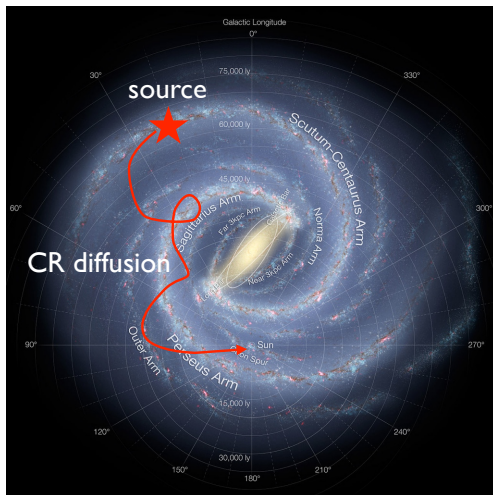
- galactic CRs via diffusive shock acceleration?

$$n_{\text{CR}} \propto E^{-\gamma} \quad (\text{at source})$$

- energy-dependent **diffusion** through Galaxy

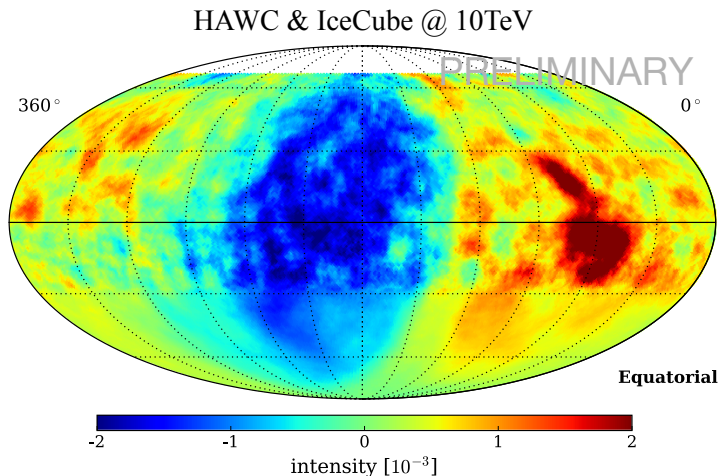
$$n_{\text{CR}} \propto E^{-\gamma-\delta} \quad (\text{observed})$$

- arrival direction **mostly isotropic**



# CR Arrival Directions

Cosmic ray anisotropies up to the level of **one-per-mille** at various energies  
(Super-Kamiokande; Milagro; ARGO-YBJ; EAS-TOP, Tibet AS- $\gamma$ ; IceCube; HAWC)



[→ talk by Dan Fiorino; IceCube & HAWC'17]

# Dipole Anisotropy

- spherical harmonic expansion of **relative CR intensity**:

$$I(\alpha, \delta) \simeq 1 + \underbrace{\delta \cdot \mathbf{n}(\alpha, \delta)}_{\text{dipole anisotropy}} + \mathcal{O}(\{a_{\ell m}\}_{\ell \geq 2})$$

- expected dipole anisotropy:

$$\delta = \underbrace{3\mathbf{K} \cdot \nabla \ln n_{\text{CR}}}_{\text{CR diffusion}} + \underbrace{(2 + \Gamma_{\text{CR}})\beta}_{\text{Compton-Getting}}$$

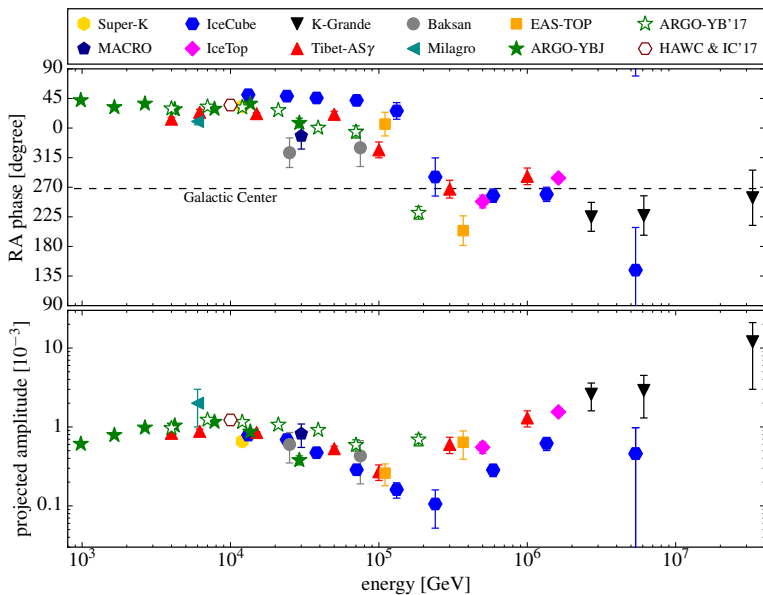
- **Data-driven methods** of anisotropy reconstructions used by ground-based observatories are **only sensitive to dipole along the equatorial plane (EP)** (or, more generally, to all  $m \neq 0$  multipoles). [→ talk by Dan Fiorino]

$$\Delta |\delta_{\text{EP}}| \sim \frac{f_{\text{sky}}}{\sqrt{N_{\text{tot}}}}$$

- **Monte-Carlo-based methods** are sensitive to the full dipole, but are **limited by systematic uncertainties**.



# TeV-PeV CR Dipole Anisotropy



# Local Magnetic Field

- reconstructed diffuse dipole:

$$\delta^* = \delta - \underbrace{(2 + \Gamma_{\text{CR}})}_{\text{Compton-Getting}} \beta = 3\mathbf{K} \cdot \nabla \ln n^*$$

- projection onto equatorial plane:  $\rightarrow$

$$\delta_{\text{EP}}^* = (\delta_{0h}^*, \delta_{6h}^*)$$

- strong ordered magnetic fields** in the local environment

- $\rightarrow$  diffusion tensor reduces to **projector**:

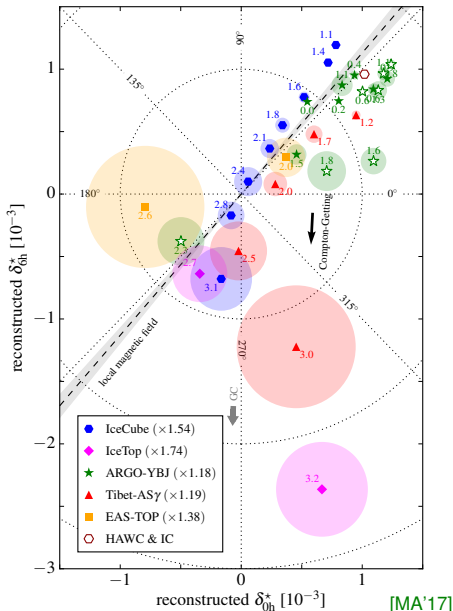
[e.g. Mertsch & Funk'14; Schwadron *et al.*'14]

$$K_{ij} \rightarrow \kappa_{\parallel} \widehat{B}_i \widehat{B}_j$$

- TeV–PeV dipole data consistent with magnetic field direction inferred by IBEX data

[McComas *et al.*'09]

$\rightarrow$  talk by Eric Zirnstein



# Known Local Supernova Remnants

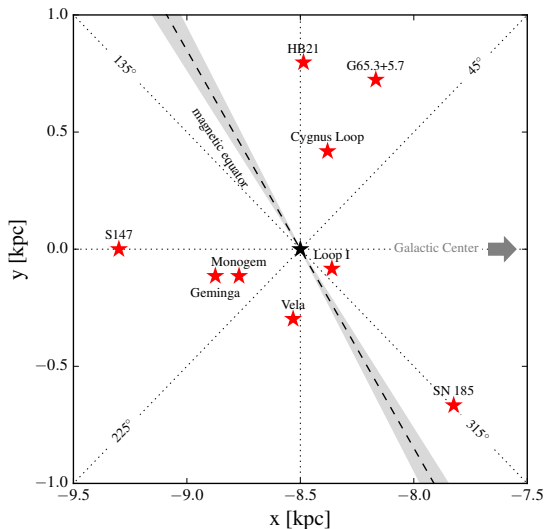
- projection maps source gradient onto  $\hat{\mathbf{B}}$  or  $-\hat{\mathbf{B}}$

→ **dipole phase**  $\alpha_1$  depends on orientation of magnetic hemispheres

- intersection of magnetic equator with Galactic plane defines two source groups:

$$120^\circ \lesssim l \lesssim 300^\circ \rightarrow \alpha_1 \simeq 49^\circ$$

$$-60^\circ \lesssim l \lesssim 120^\circ \rightarrow \alpha_1 \simeq 229^\circ$$



# Local Magnetic Field

- 1–100 TeV phase indicates dominance of a local source within longitudes:

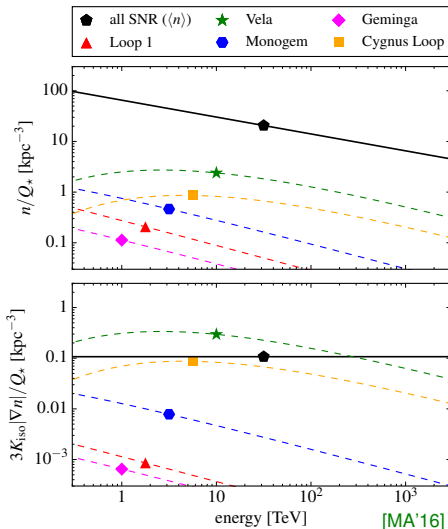
$$120^\circ \lesssim l \lesssim 300^\circ$$

- plausible scenario: Vela SNR** [MA'16]

- age* :  $\simeq 11,000$  yrs
- distance* :  $\simeq 1,000$  lyrs
- SNR rate* :  $\mathcal{R}_{\text{SNR}} = 1/30 \text{ yr}^{-1}$
- (effective) isotropic diffusion*:

$$K_{\text{iso}} \simeq 4 \times 10^{28} (E/3\text{GeV})^{1/3} \text{ cm}^2/\text{s}$$

- Galactic half height* :  $H \simeq 3$  kpc
- instantaneous CR emission* ( $Q_\star$ )



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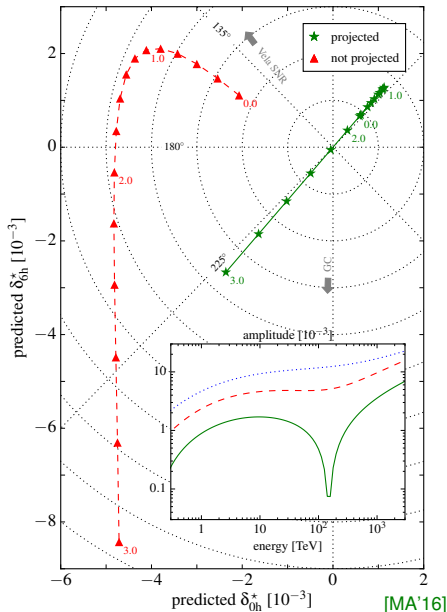
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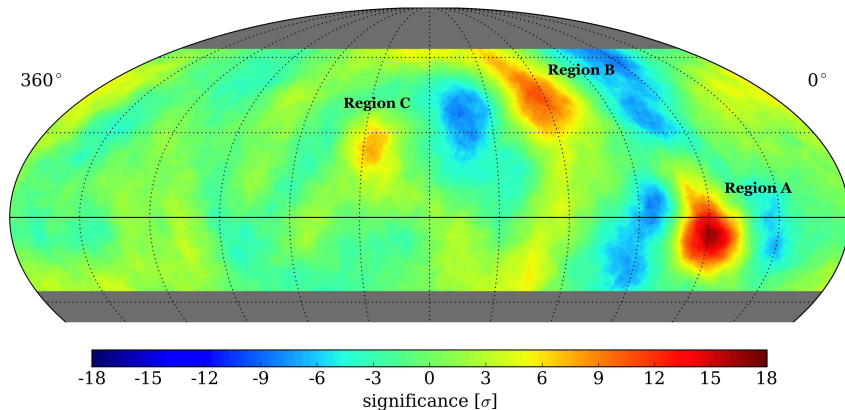
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# Small-Scale Anisotropy

Significant TeV small-scale anisotropies down to angular scales of  $\mathcal{O}(10)$  degrees.



$$E_{\text{CR}} \simeq 1 \text{ TeV}, N_{\text{CR}} \sim 4.9 \times 10^{10} \text{ [HAWC'14 (HAWC-111)]}$$

# Suggested Origin of Small-Scale Anisotropy

- magnetic reconnections in the heliotail [Lazarian & Desiati'10]
  - non-isotropic particle transport in the heliosheath [Desiati & Lazarian'11]
  - heliospheric electric field structure [Drury'13]
  - non-uniform pitch-angle diffusion [Malkov, Diamond, Drury & Sagdeev'10; Giacinti & Kirk'17]  
[→ talk by Gwenael Giacinti]
  - non-diffusive CR transport [Salvati & Sacco'08; Drury & Aharonian'08]  
[Battaner, Castellano & Masip'14; Harding, Fryer & Mendel'16]
  - magnetized outflow from old SNRs [Biermann, Becker, Seo & Mandelartz'12]  
[→ talk by Julia Tjus]
  - strangelet production in molecular clouds or neutron stars [Kotera, Perez-Garcia & Silk '13]
- small-scale anisotropies from local magnetic field mapping of a global dipole  
[Giacinti & Sigl'12; MA'14; MA & Mertsch'15]  
[Pohl & Rettig'16; López-Barquero, Farber, Xu, Desiati & Lazarian'16]

# Angular Power Spectrum

- smooth function  $g(\theta, \phi)$  on a sphere can be decomposed in terms of spherical harmonics  $Y_m^\ell(\theta, \phi)$ :

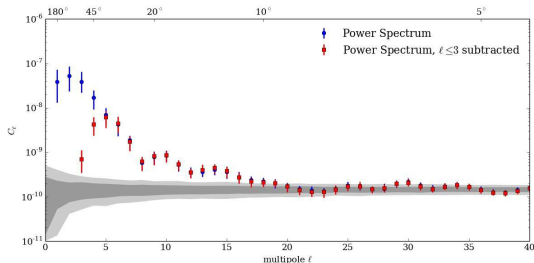
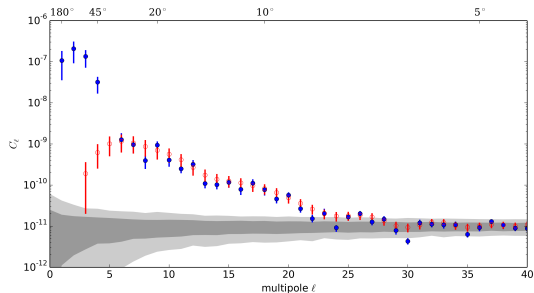
$$g(\theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell}^m(\theta, \phi)$$

- angular power spectrum:**

$$C_{\ell} = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} |a_{\ell m}|^2$$

- approximate relation between angular scale and multipole  $\ell$

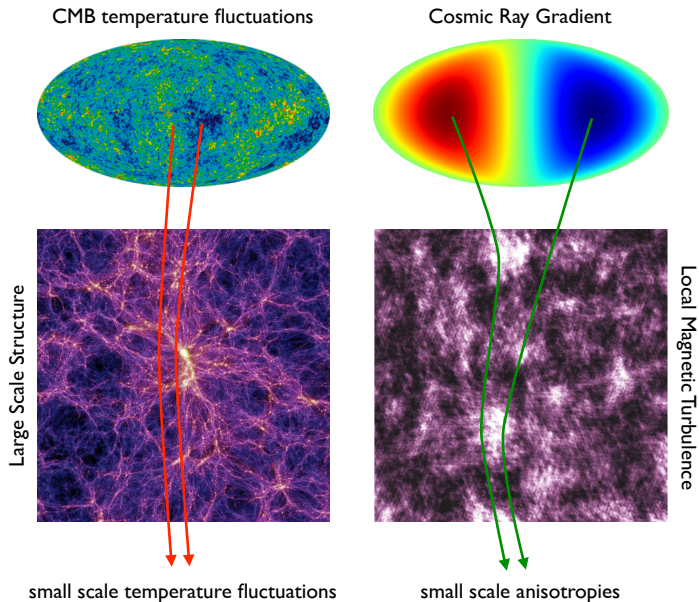
$$\Delta\alpha \simeq \frac{180^\circ}{\ell}$$



[IceCube'16 (top) & HAWC'14 (bottom)]



# Analogy to Gravitational Lensing



# Simulation via CR Backtracking

- (quasi)-stationary solution of the **diffusion approximation**:

$$4\pi \langle f \rangle \simeq n + \underbrace{\mathbf{r} \nabla n - 3 \hat{\mathbf{p}} \mathbf{K} \nabla n}_{\text{1st order correction}}$$

- Liouville's theorem:

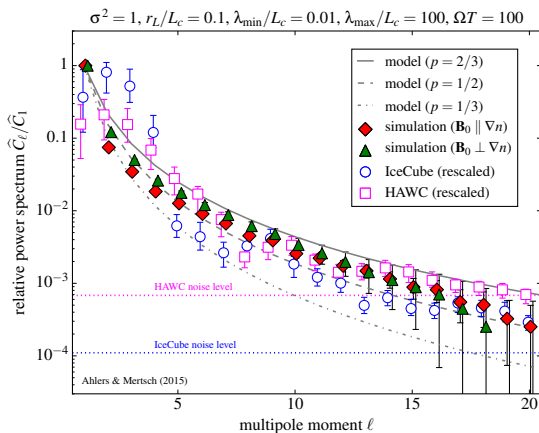
$$f(t, \mathbf{r}(t), \mathbf{p}(t)) = f(t', \mathbf{r}(t'), \mathbf{p}(t'))$$

- CR backtracking ( $T \gg \tau_{\text{diff}}$ ):

$$f(0) \simeq \delta f(-T) + \langle f \rangle(-T)$$

- ensemble-averaged power spectrum ( $\ell \geq 1$ ):

$$\frac{\langle C_\ell \rangle}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \lim_{T \rightarrow \infty} \underbrace{\langle \mathbf{r}_{1i}(-T) \mathbf{r}_{2j}(-T) \rangle}_{\text{relative diffusion}} \frac{\partial_i n \partial_j n}{n^2}$$



[MA & Mertsch'15]

# Summary

- Observation of CR anisotropies at the level of **one-per-mille** is challenging.
- Reconstruction methods introduce **bias**.
- **Dipole anisotropy** can be understood in the context of standard diffusion theory:
  - TeV-PeV dipole phase aligns with local ordered magnetic field.
  - **New method** of measuring local magnetic fields
    - Amplitude variations as a result of local sources
    - Plausible & natural candidate: **the Vela supernova remnant**
- Observed CR data shows evidence of **small-scale anisotropy**.
  - Effect of heliosphere? [e.g. review by MA & Mertsch'16]
  - Result of local magnetic turbulence? [Giacinti & Sigl'12; MA'14; MA & Mertsch'15]
  - ✗ Induces cross-talk with dipole anisotropy in limited field of view.

# Appendix

# Angular Power Spectrum

- Every smooth function  $g(\theta, \phi)$  on a sphere can be decomposed in terms of spherical harmonics  $Y_m^\ell$ :

$$g(\theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell}^m(\theta, \phi) \quad \leftrightarrow \quad a_{\ell m} = \int d\Omega (Y_{\ell}^m)^*(\theta, \phi) g(\theta, \phi)$$

- **angular power spectrum:**

$$C_{\ell} = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} |a_{\ell m}|^2$$

- related to the **two-point auto-correlation function**: ( $\mathbf{n}_{1/2}$  : unit vectors,  $\mathbf{n}_1 \cdot \mathbf{n}_2 = \cos \eta$ )

$$\xi(\eta) = \frac{1}{8\pi^2} \int d\mathbf{n}_1 \int d\mathbf{n}_2 \delta(\mathbf{n}_1 \mathbf{n}_2 - \cos \eta) g(\mathbf{n}_1) g(\mathbf{n}_2) = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell} P_{\ell}(\cos \eta)$$

- Note that individual  $C_{\ell}$ 's are **independent** of coordinate system (assuming full sky coverage).

# Multipole Cross-Talk

- relative CR intensity (including small-scale structure):

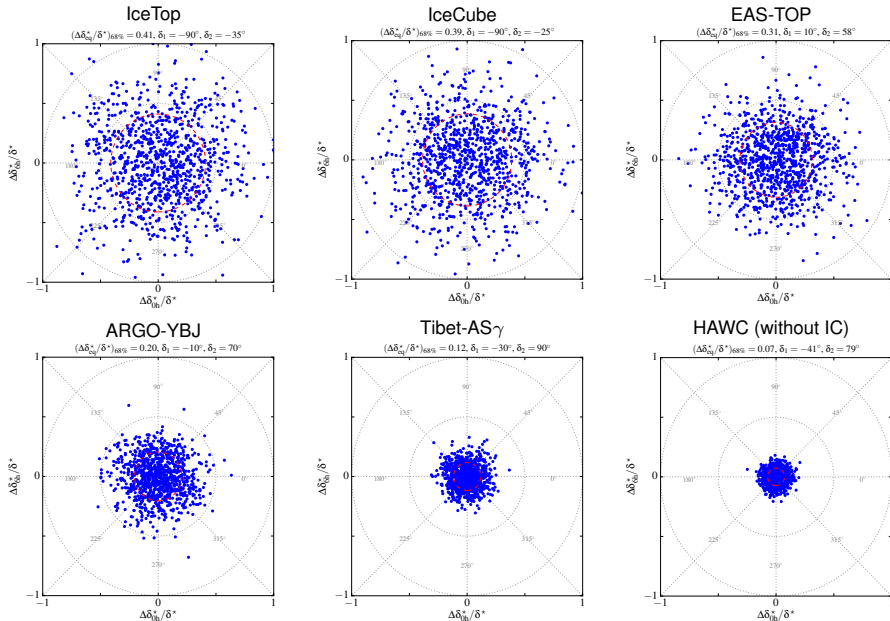
$$I(\alpha, \delta) = 1 + \sum_{\ell \geq 1} \sum_{m \neq 0} a_{\ell m} Y_{\ell m}(\alpha, \pi/2 - \delta)$$

- dipole:  $a_{1-1} = (\delta_{0h} + i\delta_{6h})\sqrt{2\pi/3}$  and  $a_{11} = -a_{1-1}^*$
- **traditional dipole analyses** extract amplitude “ $A_1$ ” and phase “ $\alpha_1$ ” from data projected into right ascension ( $s_{1/2} \equiv \sin \delta_{1/2}$ )

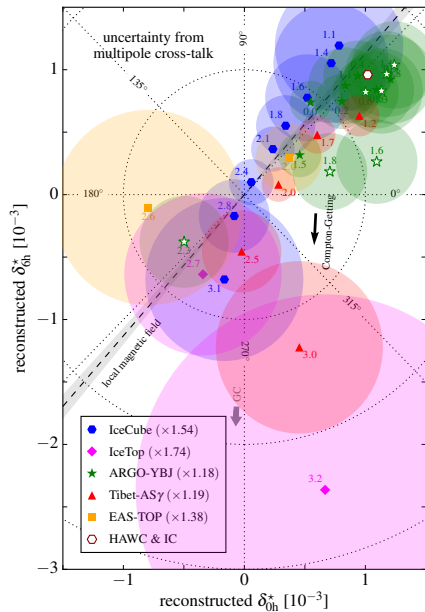
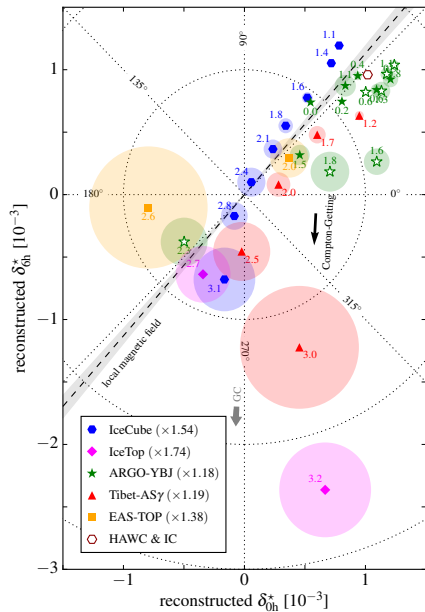
$$A_1 e^{i\alpha_1} = \frac{1}{\pi} \int_0^{2\pi} d\alpha e^{i\alpha} \underbrace{\frac{1}{s_2 - s_1} \int_{s_1}^{s_2} d \sin \delta I(\alpha, \delta)}_{\text{projection}}$$

- the presence of high- $\ell$  multipole moments introduces **cross-talk**
- Can now estimate the **systematic uncertainties** of dipole measures from dipole-induced small-scale power spectrum.

# Systematic Uncertainty of CR Dipole



# Systematic Uncertainty of CR Dipole





# Gedankenexperiment

- **Idea:** local realization of magnetic turbulence introduces small-scale structure  
[Giacinti & Sigl'11]
- Particle transport in (static) magnetic fields is governed by Liouville's equation of the CR's phase-space distribution  $f$ :

$$\frac{d}{dt}f(t, \mathbf{r}, \mathbf{p}) = 0$$

- “trivial” solution:

$$f(0, \mathbf{0}, \mathbf{p}) = f(-T, \mathbf{r}(-T), \mathbf{p}(-T))$$

- *Gedankenexperiment:*

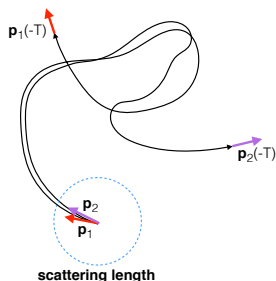
Assume that at look-back time  $-T$  initial condition is **homogenous, but not isotropic**:

$$f(0, \mathbf{0}, \mathbf{p}) = \tilde{f}(\mathbf{p}(-T))$$

# Gedankenexperiment

- Initial configuration has power spectrum  $\tilde{C}_\ell$ .
- For small correlation angles  $\eta$  flow remains correlated even beyond scattering sphere.
- Correlation function for  $\eta = 0$ :

$$\xi(0) = \frac{1}{4\pi} \int d\hat{\mathbf{p}}_1 \tilde{f}^2(\mathbf{p}_1(-T))$$



- On **average**, the rotation in an *isotropic* random rotation in the turbulent magnetic field leaves an isotropic distribution on a sphere **invariant**:

$$\langle \xi(0) \rangle = \frac{1}{4\pi} \int d\hat{\mathbf{p}}_1 \tilde{f}^2(\mathbf{p}_1)$$

→ The weighted sum of  $\langle C_\ell \rangle$ 's remains constant:

$$\frac{1}{4\pi} \sum_{\ell \geq 0} (2\ell + 1) \tilde{C}_\ell = \frac{1}{4\pi} \sum_{\ell \geq 0} (2\ell + 1) \langle C_\ell(T) \rangle$$

# Evolution Model

- Diffusion theory motivates that each  $\langle C_\ell \rangle$  decays exponentially with an effective **relaxation rate** [Yosida'49]

$$\nu_\ell \propto \mathbf{L}^2 \propto \ell(\ell + 1)$$

- A **linear**  $\langle C_\ell \rangle$  evolution equation with generation rates  $\nu_{\ell \rightarrow \ell'}$  requires:

$$\partial_t \langle C_\ell \rangle = -\nu_\ell \langle C_\ell \rangle + \sum_{\ell' \geq 0} \nu_{\ell' \rightarrow \ell} \frac{2\ell' + 1}{2\ell + 1} \langle C_{\ell'} \rangle \quad \text{with} \quad \nu_\ell = \sum_{\ell' \geq 0} \nu_{\ell \rightarrow \ell'}$$

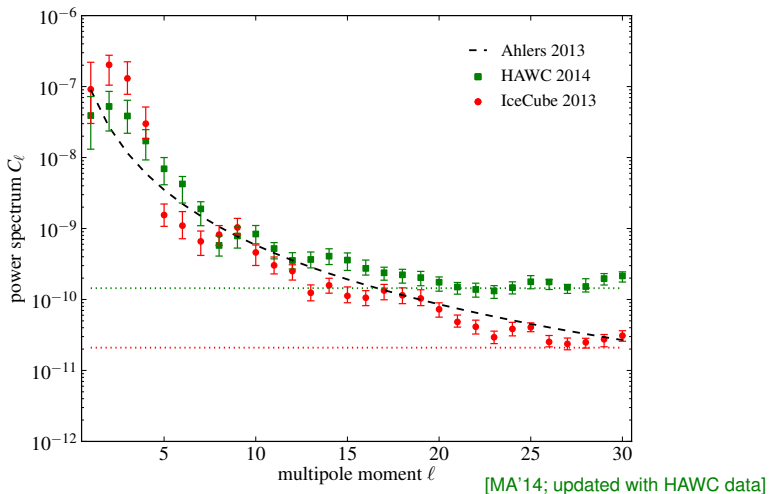
- For  $\nu_\ell \simeq \nu_{\ell \rightarrow \ell+1}$  and  $\tilde{C}_\ell = 0$  for  $l \geq 2$  this has the analytic solution:

$$\langle C_\ell \rangle(T) \simeq \frac{3\tilde{C}_1}{2\ell + 1} \prod_{m=1}^{\ell-1} \nu_m \sum_n \prod_{p=1(\neq n)}^{\ell} \frac{e^{-T\nu_n}}{\nu_p - \nu_n}$$

- For  $\nu_\ell \simeq \ell(\ell + 1)\nu$  we arrive at a **finite asymptotic ratio**:

$$\lim_{T \rightarrow \infty} \frac{\langle C_\ell \rangle(T)}{\langle C_1 \rangle(T)} \simeq \frac{18}{(2\ell + 1)(\ell + 2)(\ell + 1)}$$

# Comparison with CR Data



$$\lim_{T \rightarrow \infty} \frac{\langle C_\ell \rangle(T)}{\langle C_1 \rangle(T)} \simeq \frac{18}{(2\ell + 1)(\ell + 2)(\ell + 1)}$$

# Local Description: Relative Scattering

- evolution of  $C_\ell$ 's:

[MA & Mertsch'15]

$$\partial_t \langle C_\ell \rangle = -\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (\mathbf{p}_1 \nabla f_1 + i\omega \mathbf{L} f_1) f_2 \rangle$$

- large-scale dipole anisotropy gives an effective “source term”:

$$-\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (\mathbf{p}_1 \nabla f_1) f_2 \rangle \rightarrow Q_1 \delta_{\ell 1}$$

- BGK-like Ansatz for scattering term ( $\langle i\omega \mathbf{L} f \rangle \rightarrow -\frac{\nu}{2} \mathbf{L}^2 \langle f \rangle$ ) [Bhatnagar, Gross & Krook'54]

$$-\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (i\omega \mathbf{L} f_1) f_2 \rangle \rightarrow \frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \tilde{\nu}(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \mathbf{L}^2 \langle f_1 f_2 \rangle$$

- Note that  $\tilde{\nu}(1) = 0$  for vanishing regular magnetic field.

$$\tilde{\nu}(x) \simeq \nu_0 (1-x)^p$$

# Cosmic Ray Dipole Anisotropy

- cosmic-ray (CR) arrival directions described by **phase-space distribution**

$$f(t, \mathbf{r}, \mathbf{p}) = \underbrace{\phi(t, \mathbf{r}, p)/(4\pi)}_{\text{monopole}} + 3\hat{\mathbf{p}} \underbrace{\Phi(t, \mathbf{r}, p)/(4\pi)}_{\text{dipole}} + \dots$$

- local CR spectral density [ $\text{GeV}^{-1}\text{cm}^{-3}$ ]

$$n(p) = p^2 \underbrace{\phi(t, \mathbf{r}_{\oplus}, p)}_{\propto p^{-(\Gamma_{\text{CR}}+2)}} \propto p^{-\Gamma_{\text{CR}}}$$

- in the absence of sources, follows Liouville's equation ( $\dot{f} = 0$ )
- quasi-stationary dipole ( $\partial_t \Phi \simeq 0$ ):

$$\underbrace{\partial_t \phi \simeq \nabla_{\mathbf{r}}(\mathbf{K} \nabla_{\mathbf{r}} \phi)}_{\text{diffusion equation}} \quad \text{and} \quad \underbrace{\Phi \simeq -\mathbf{K} \nabla_{\mathbf{r}} \phi}_{\text{Fick's law}}$$

- diffusion tensor  $\mathbf{K}$ :

$$K_{ij} = \kappa_{\parallel} \hat{B}_i \hat{B}_j + \kappa_{\perp} (\delta_{ij} - \hat{B}_i \hat{B}_j) + \kappa_A \epsilon_{ijk} \hat{B}_k$$

- **dipole anisotropy:**  $\delta = 3\mathbf{K} \cdot \nabla_{\mathbf{r}} \ln n$

# Compton-Getting Effect

- phase-space distribution is **Lorentz-invariant**

$$f^*(\mathbf{p}^*) = f(\mathbf{p})$$

- consider **relative motion of observer** ( $\beta = \mathbf{v}/c$ ) in plasma rest frame ( $\star$ ):

$$\mathbf{p}^* = \mathbf{p} + p\boldsymbol{\beta} + \mathcal{O}(\beta^2)$$

- Taylor expansion:

$$f(\mathbf{p}) \simeq f^*(\mathbf{p}) + (\mathbf{p}^* - \mathbf{p})\nabla_{\mathbf{p}^*}f^*(\mathbf{p}) + \mathcal{O}(\beta^2) \simeq f^*(\mathbf{p}) + p\boldsymbol{\beta}\nabla_{\mathbf{p}^*}f^*(\mathbf{p}) + \mathcal{O}(\beta^2)$$

- splitting in  $\phi$  and  $\Phi$  is **not invariant**:

$$\phi = \phi^* \quad \text{and} \quad \Phi = \Phi^* + \frac{1}{3}\boldsymbol{\beta}\frac{\partial\phi^*}{\partial\ln p}$$

- remember:  $\phi \sim p^{-2}n_{\text{CR}} \propto p^{-2-\Gamma_{\text{CR}}}$

$$\delta = \delta^* + \underbrace{(2 + \Gamma_{\text{CR}})\boldsymbol{\beta}}_{\text{Compton-Getting effect}}$$