

Monte Carlo Tutorial

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Shimla, India
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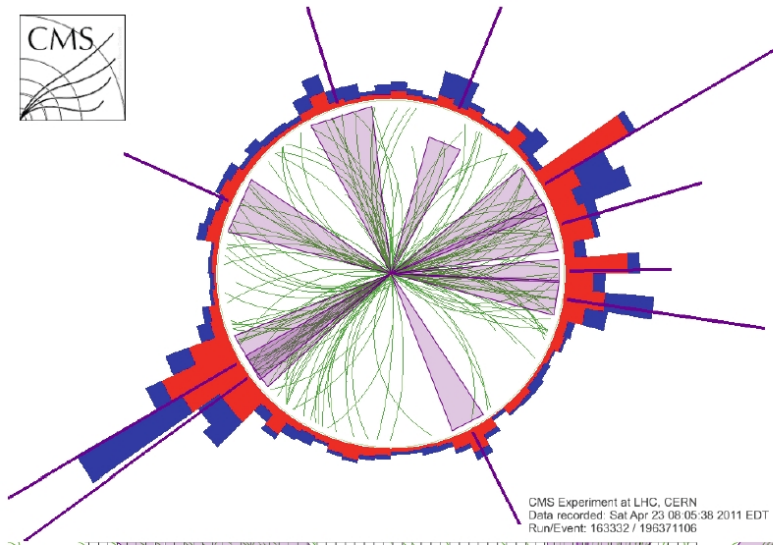


Monte Carlo Tutorials

Welcome to the Monte Carlo tutorial session.

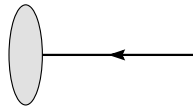
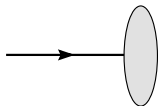
- 1 Lecture on Monte Carlos and MPI (SG) \sim 1 h
- 2 Lecture on Rivet, ideas and coding (Deepak Kar) \sim 1 h
- 3 Hands-on tutorial on Herwig (and Pythia?) \sim 1 h
- 4 Hands-on tutorial on Rivet analyses implementation and runs with Herwig and/or Pythia \sim 1 h

Motivation

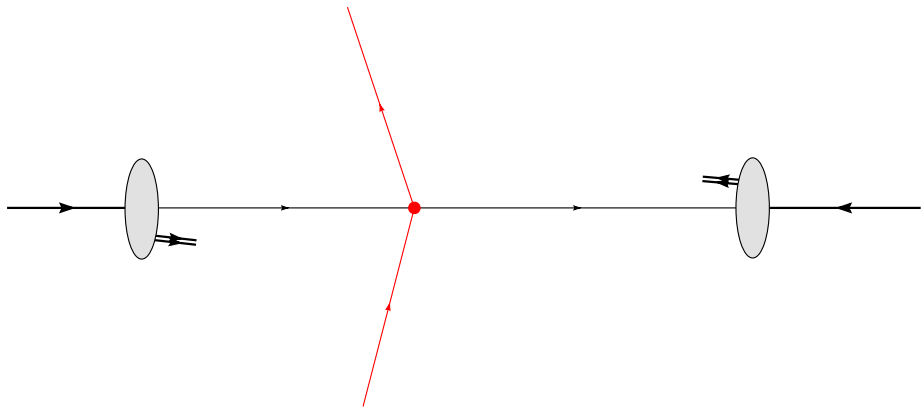


CMS Experiment at LHC, CERN
Data recorded: Sat Apr 23 08:05:38 2011 EDT
Run/Event: 163332 / 196371106

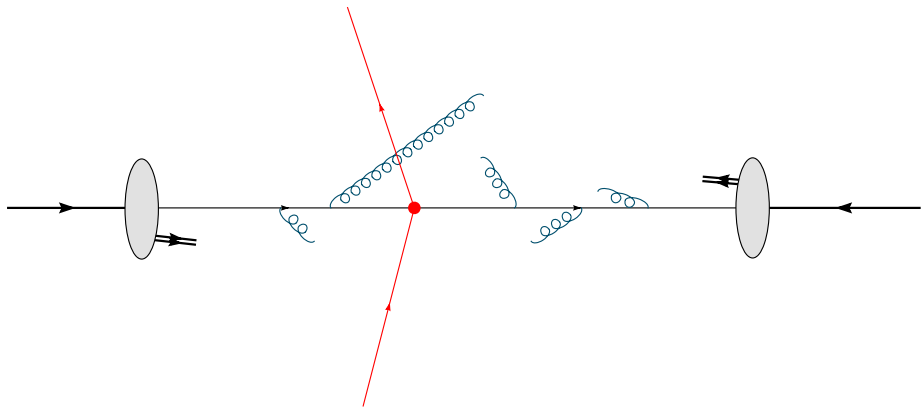
pp Event Generator



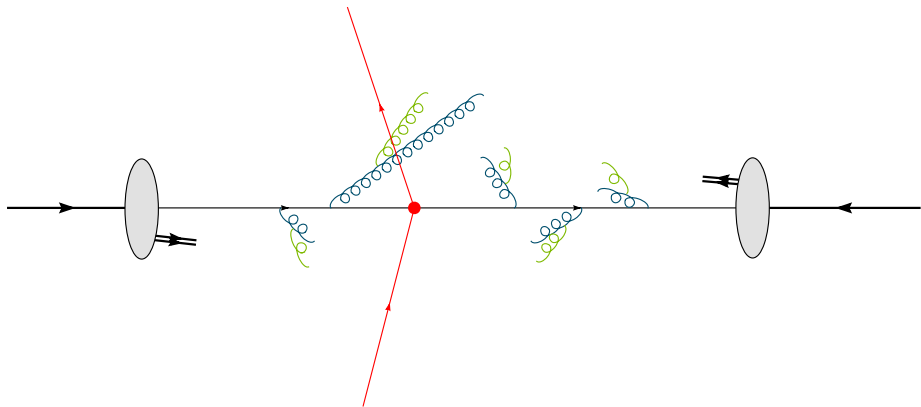
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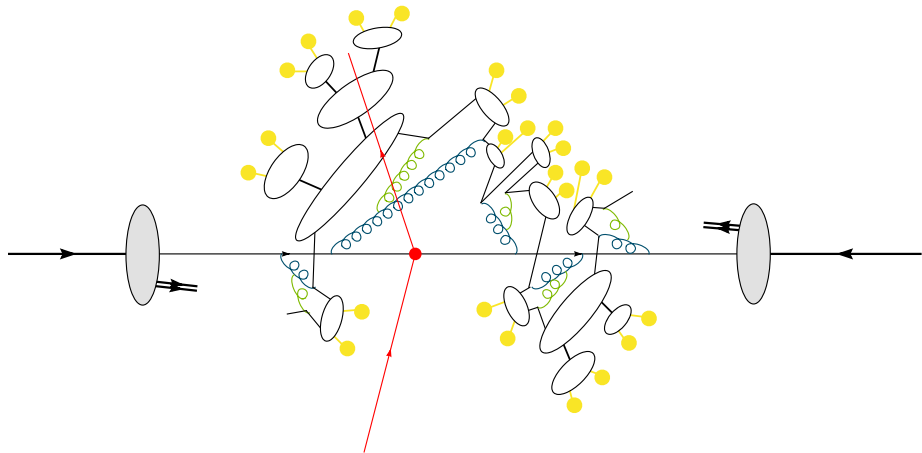
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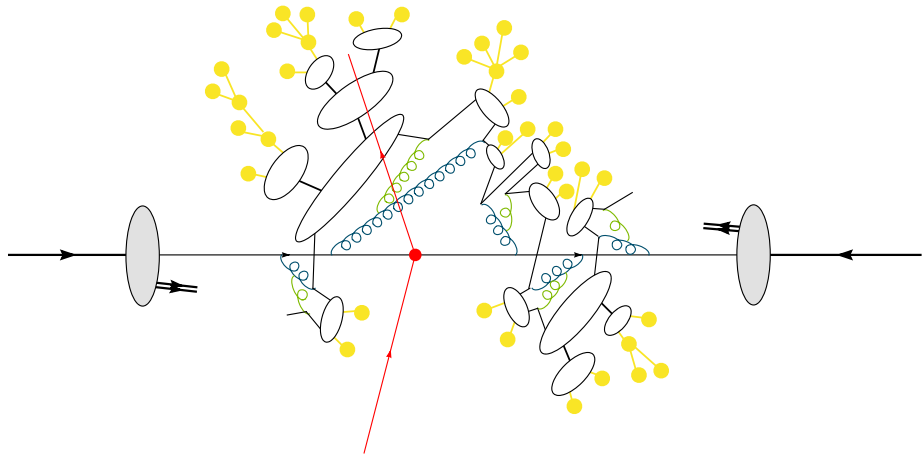
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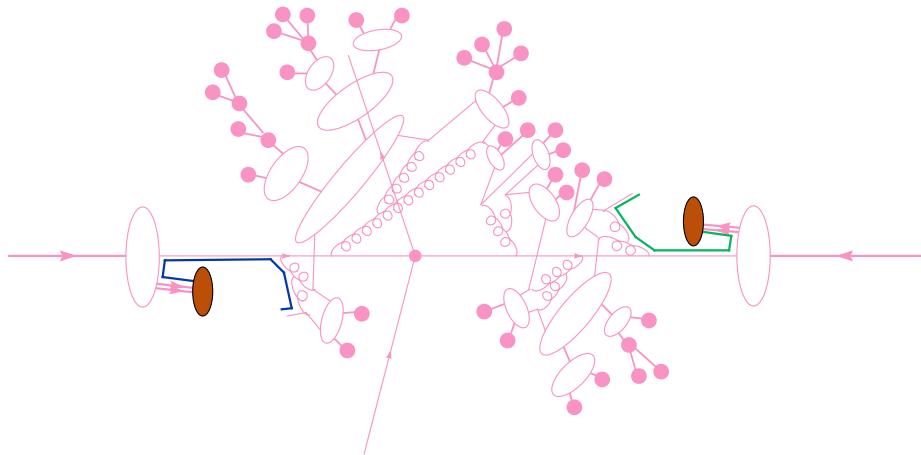
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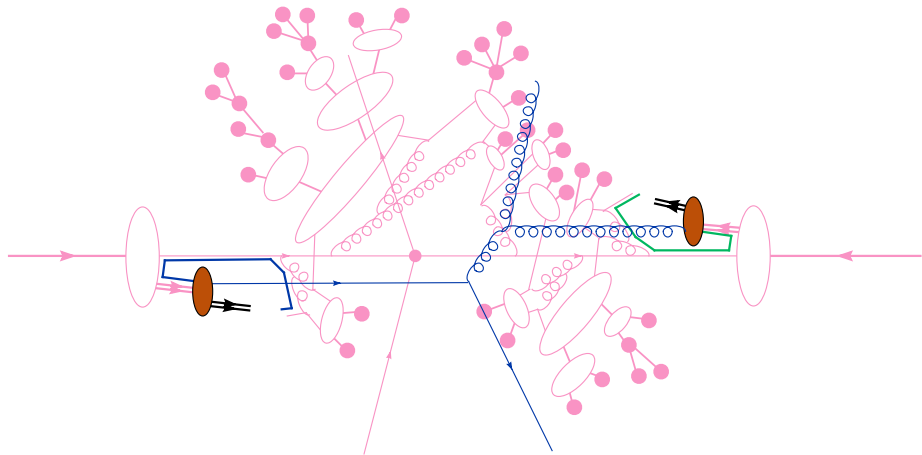
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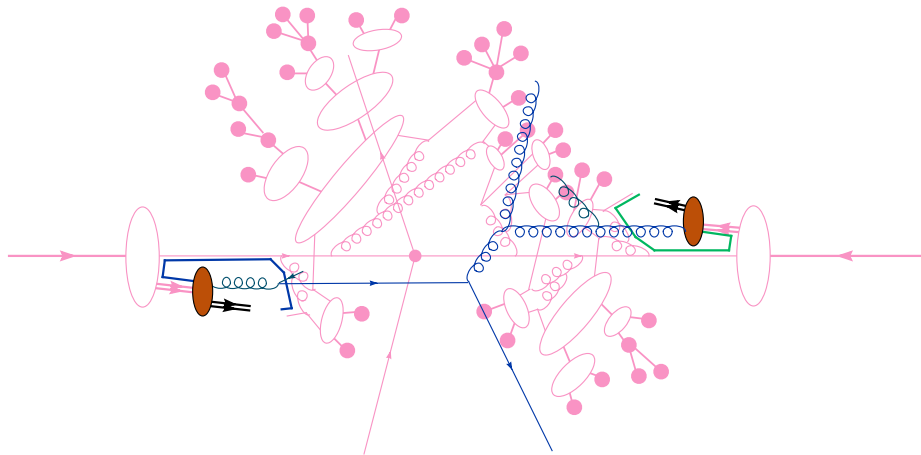
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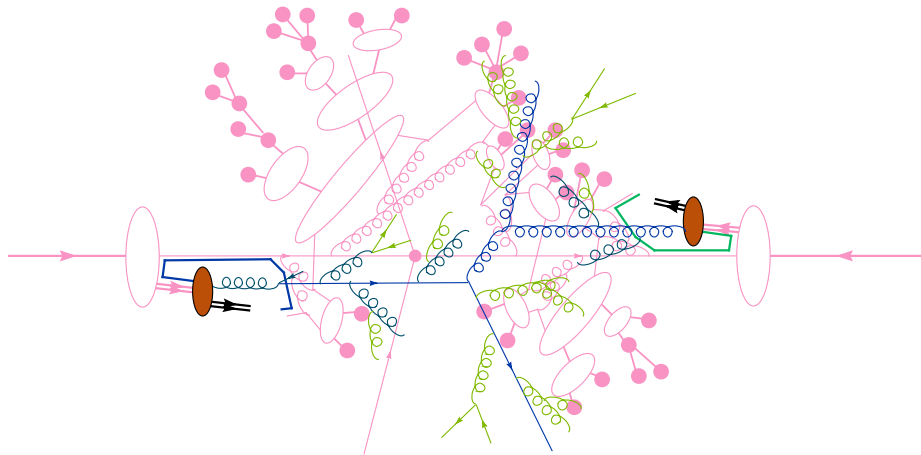
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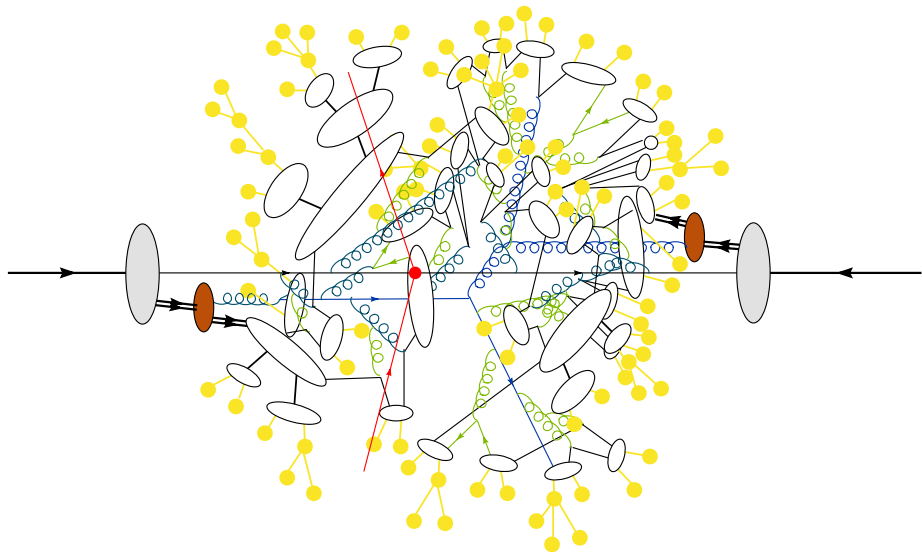
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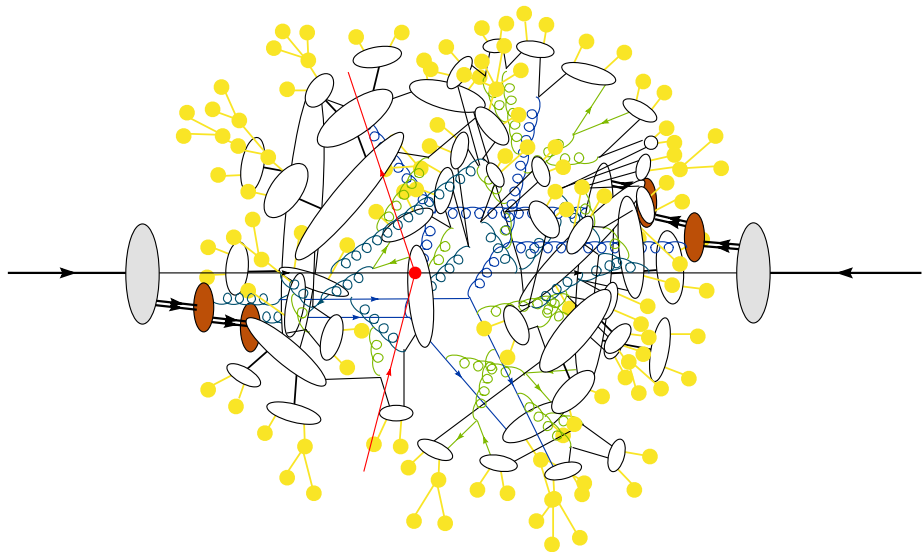
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Divide and conquer

Partonic cross section from Feynman diagrams

$$d\sigma = d\sigma_{\text{hard}} dP(\text{partons} \rightarrow \text{hadrons})$$

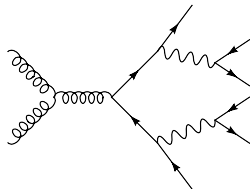
$$\begin{aligned} dP(\text{partons} \rightarrow \text{hadrons}) = & dP(\text{resonance decays}) && [\Gamma > Q_0] \\ & \times dP(\text{parton shower}) && [\text{TeV} \rightarrow Q_0] \\ & \times dP(\text{hadronisation}) && [\sim Q_0] \\ & \times dP(\text{hadronic decays}) && [O(\text{MeV})] \end{aligned}$$

Underlying event from multiple partonic interactions

$$d\sigma \longleftarrow d\sigma(\text{QCD } 2 \rightarrow 2)$$

Matrix elements

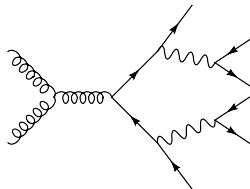
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- OK for very inclusive observables.

Matrix elements

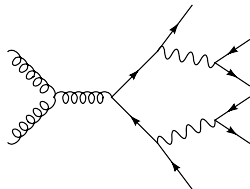
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- Starting point for further simulation.
- Want exclusive final state at the LHC ($O(100)$).

Matrix elements

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- OK for very inclusive observables.
- Starting point for further simulation.
- Want exclusive final state at the LHC ($O(100)$).
- Want arbitrary cuts.
- \rightarrow use Monte Carlo methods.

Matrix elements

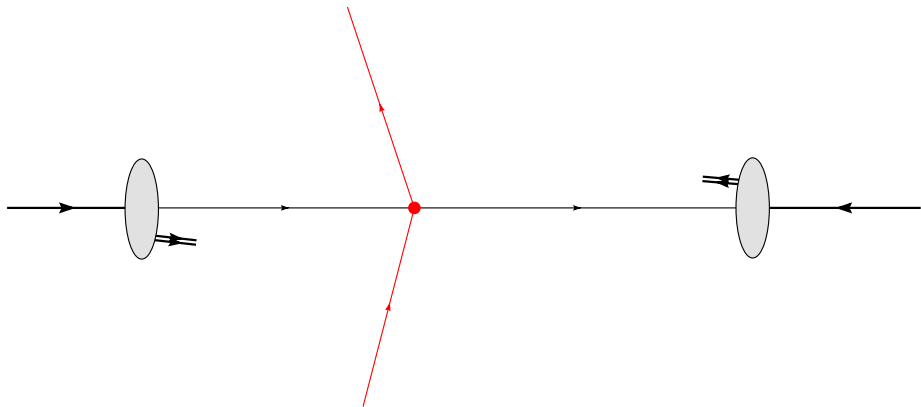
Where do we get (LO) $|M|^2$ from?

- Most/important simple processes (SM) are 'built in'.
- Calculate yourself (≤ 3 particles in final state).
- Matrix element generators:
 - MadGraph/MadEvent
 - Comix/AMEGIC (part of Sherpa)
 - HELAC/PHEGAS
 - Whizard
 - CalcHEP/CompHEP
 - OpenLoops
 - GoSam
 - ...

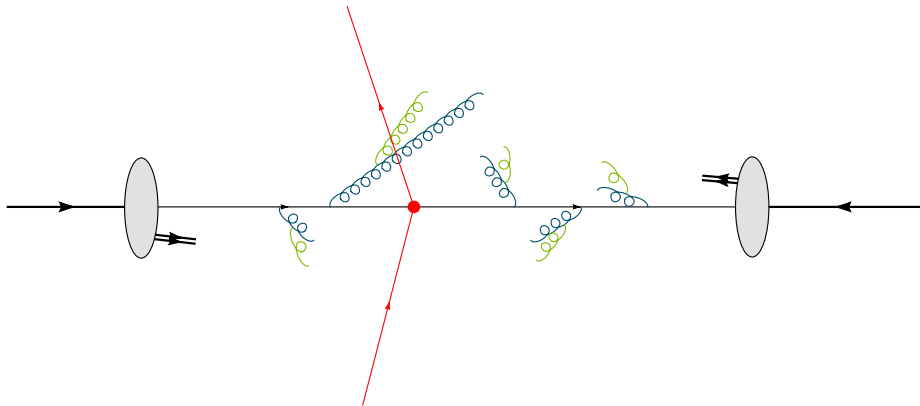
generate code or event files that can be further processed.

- \rightarrow UFO/FeynRules interface to ME generators.

Hard matrix element



Hard matrix element \rightarrow parton showers



Parton showers

Quarks and gluons in final state, pointlike.

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- Know short distance (short time) fluctuations from matrix element/Feynman diagrams: $Q \sim \text{few GeV to } O(\text{TeV})$.

- Measure hadronic final states, long distance effects, $Q_0 \sim 1 \text{ GeV}$.

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Dominated by large logs, terms

$$\alpha_s^n \log^{2n} \frac{Q}{Q_0} \sim 1 .$$

Generated from emissions *ordered* in Q .

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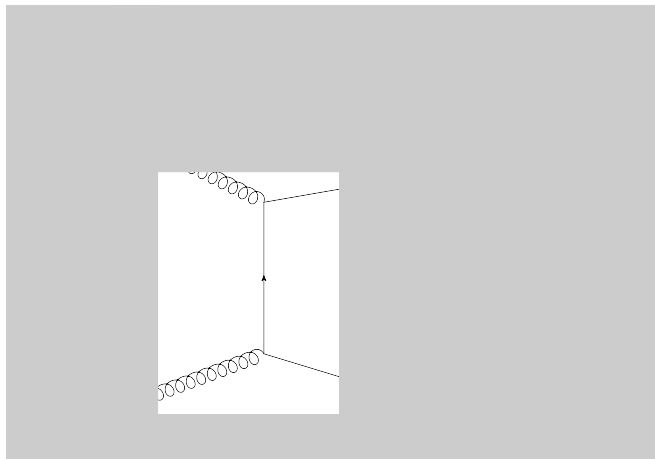
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Generated from emissions *ordered* in Q .

Soft and/or collinear emissions.

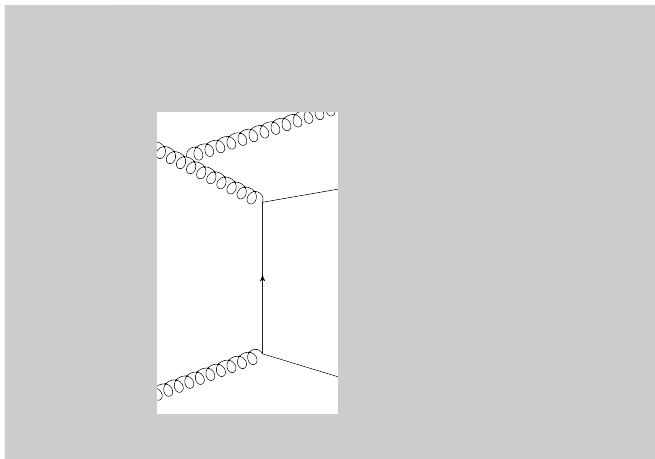
ME approximated by parton cascade

Evolution in scale, typically $Q \sim 1 \text{ TeV}$ down to $Q \sim 1 \text{ GeV}$.



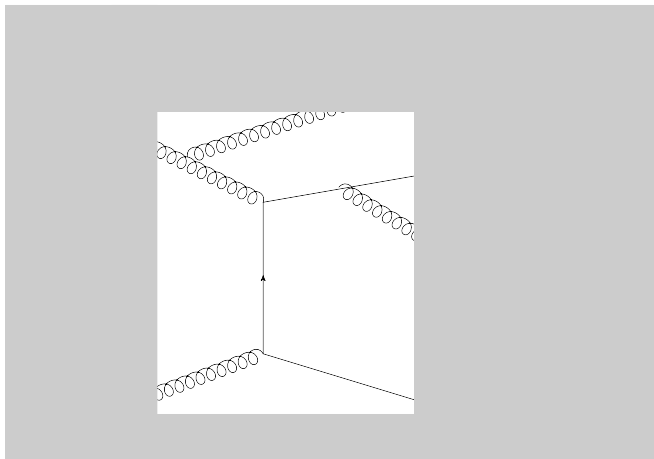
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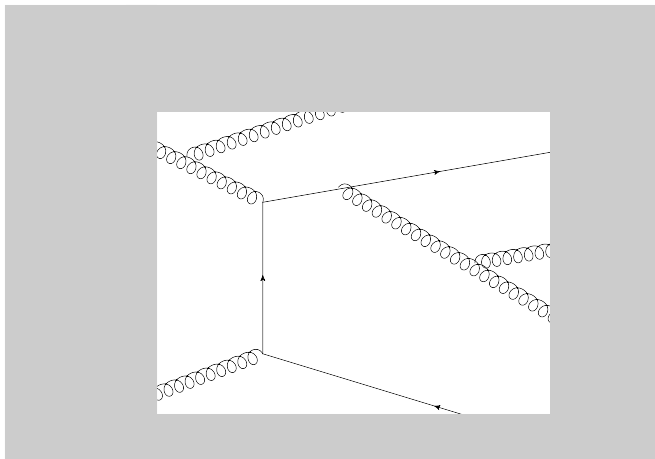
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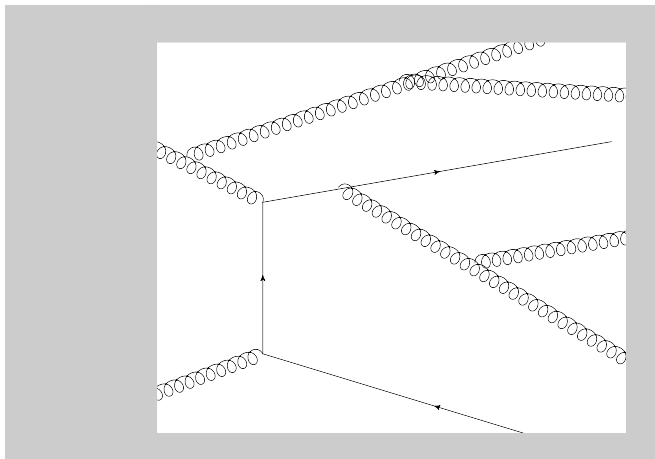
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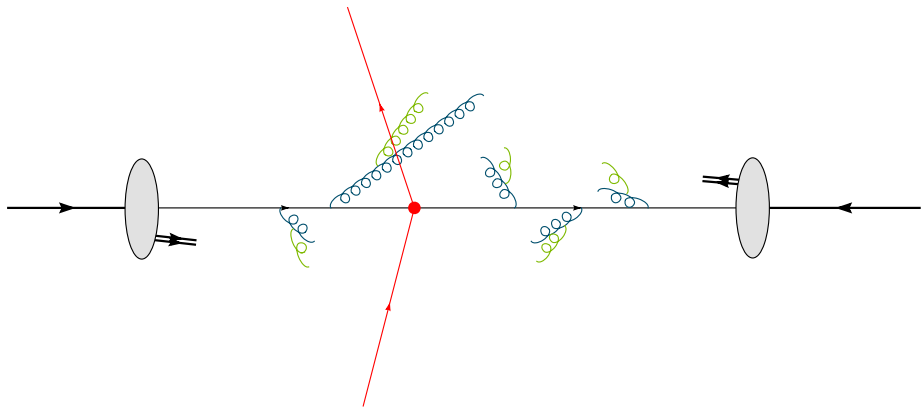


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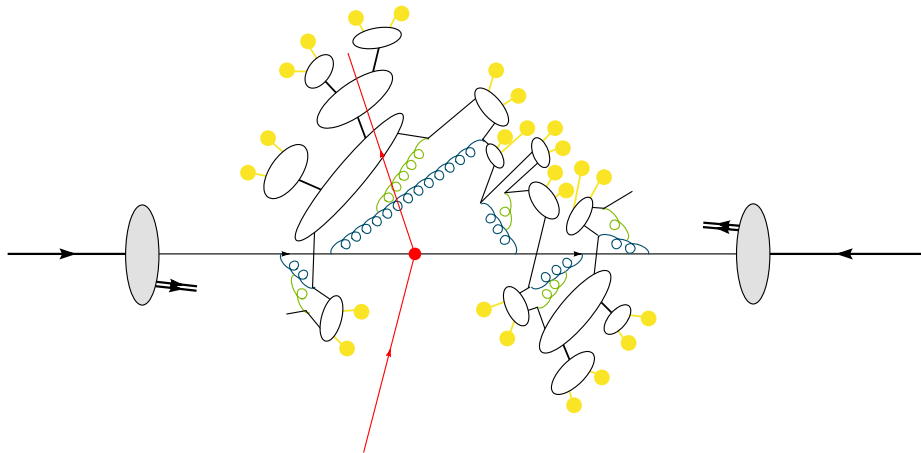
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Parton shower



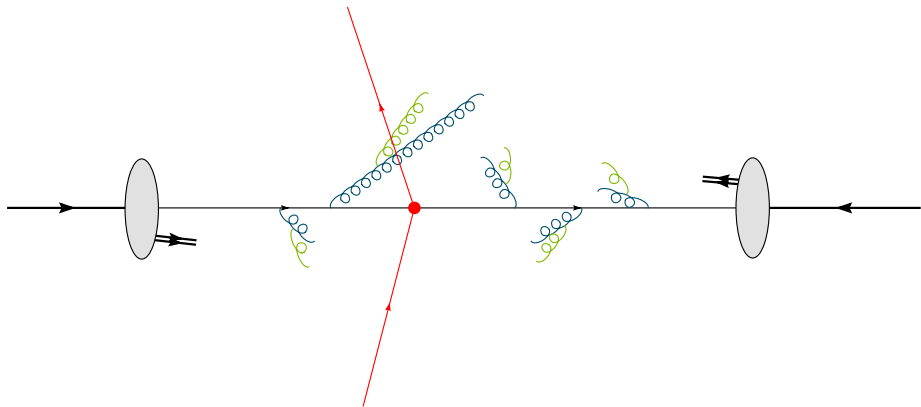
Parton shower \longrightarrow hadrons



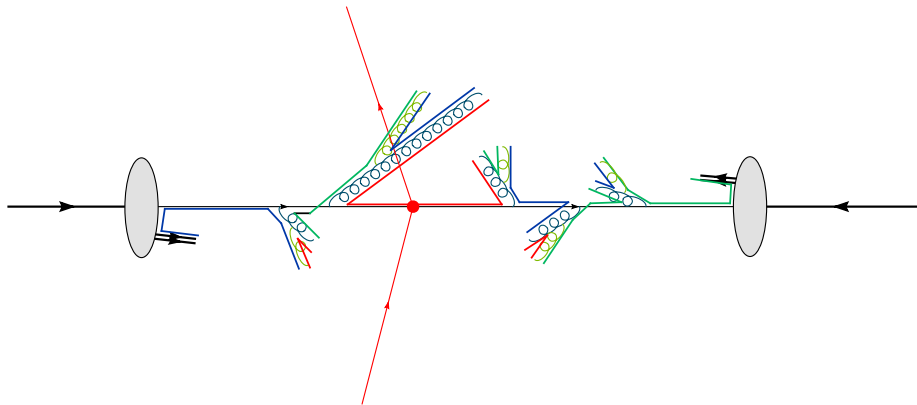
Parton shower \longrightarrow hadrons

- Parton shower terminated at $t_0 =$ lower end of PT.
- Can't measure quarks and gluons.
- Degrees of freedom in the detector are **hadrons**.
- Need a description of **confinement**.

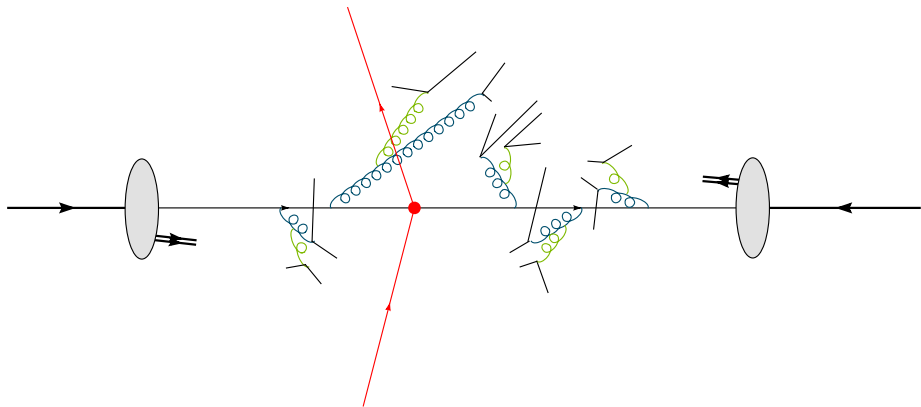
Cluster hadronization



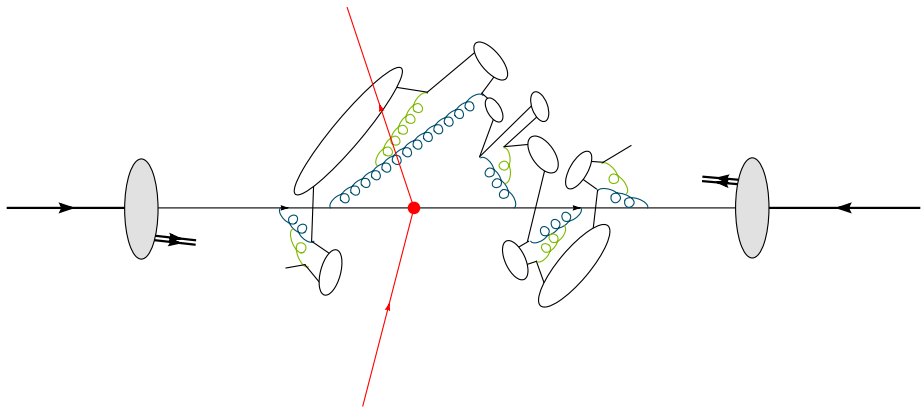
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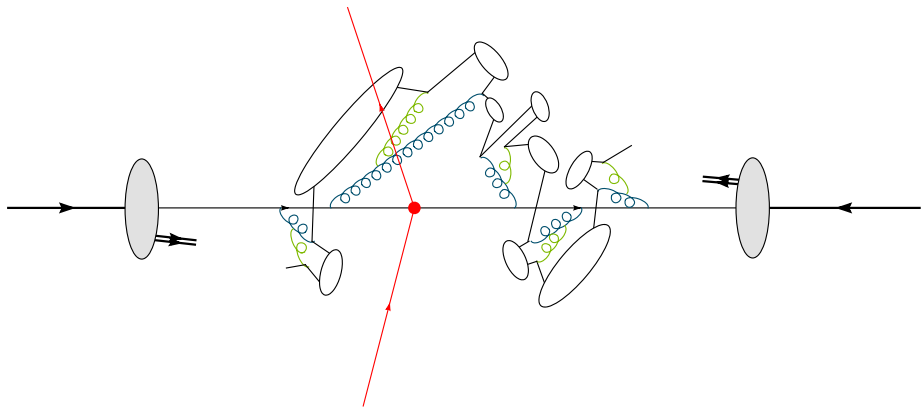
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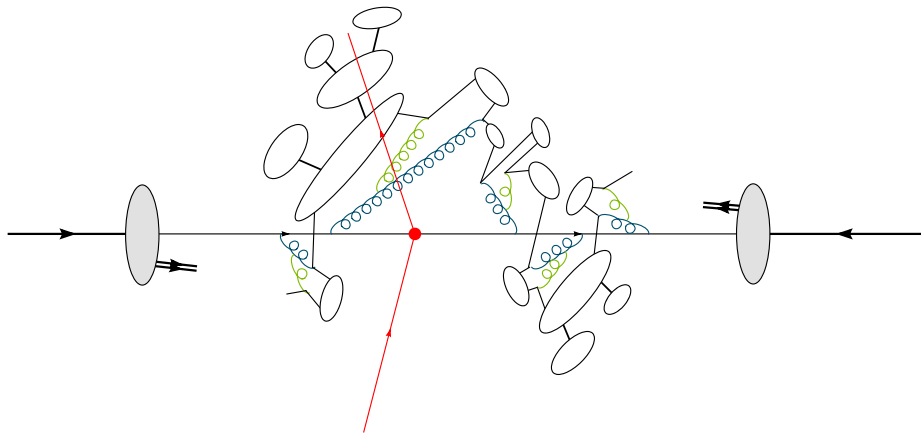
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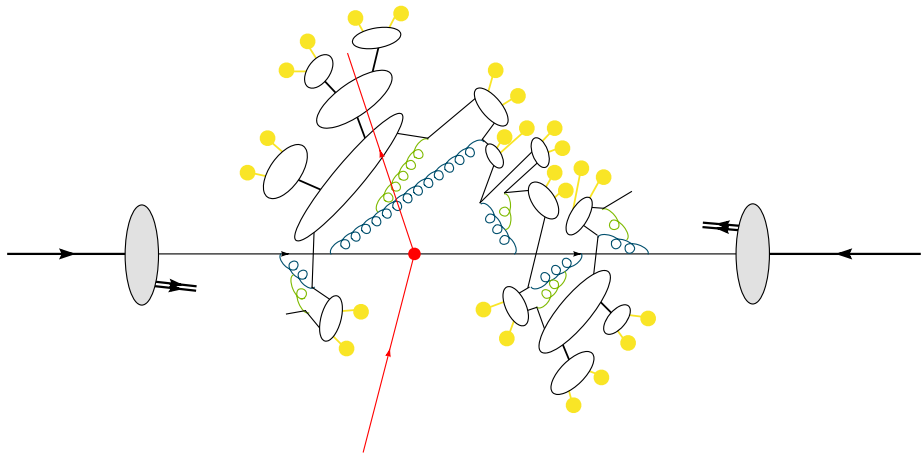
Cluster hadronization



Cluster hadronization



Cluster hadronization



Hadronization

- Only string and cluster models used in recent MC programs.
- Strings developed from non-perturbative perspective, improved by parton shower.
- Cluster model started mostly on perturbative side, improved by string like cluster fission.

Hadronic decays

Many aspects:

$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

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EM decay.

Hadronic decays

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Weak mixing.

Hadronic decays

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Strong decay.

Hadronic decays

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$$\hookrightarrow \pi^+ D^0$$

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Weak decay, ρ^+ mass smeared.

Hadronic decays

Many aspects:

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ρ^+ polarized, angular correlations.

Hadronic decays

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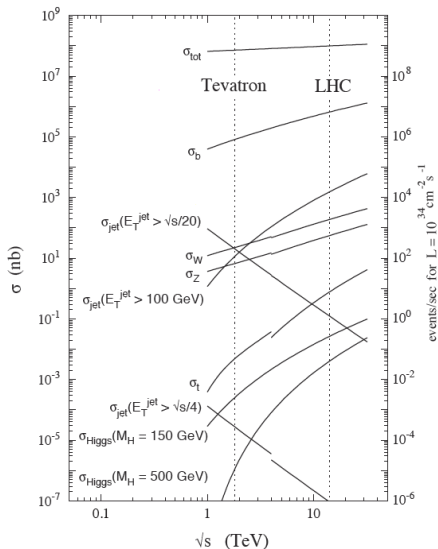
$$\hookrightarrow \pi^+ \pi^0$$

$$\hookrightarrow e^+ e^- \gamma$$

Dalitz decay, m_{ee} peaked.

Min Bias/Underlying event in data

Collider cross sections



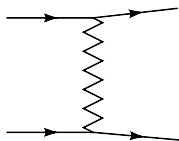
Collider cross sections

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \underbrace{\sigma_{\text{SD}} + \sigma_{\text{DD}}}_{\sigma_{\text{Diff}}} + \underbrace{\sigma_{\text{soft}} + \sigma_{\text{hard}}}_{\sigma_{\text{ND}}} \sigma_{\text{NSD}}$$

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}^{\sigma_{\text{NSD}}}$$

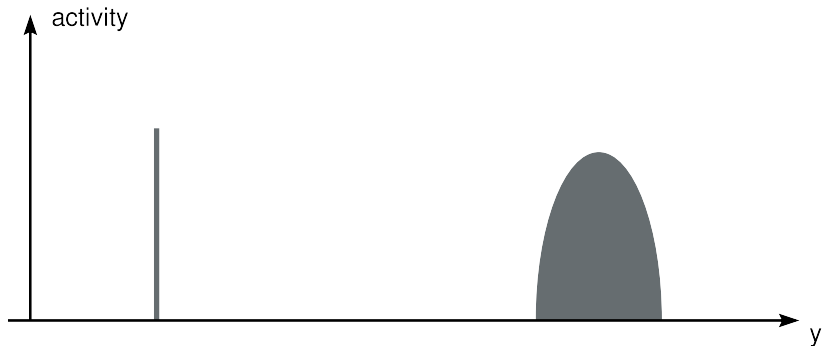
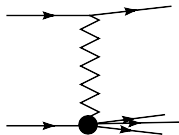
σ_{ND}



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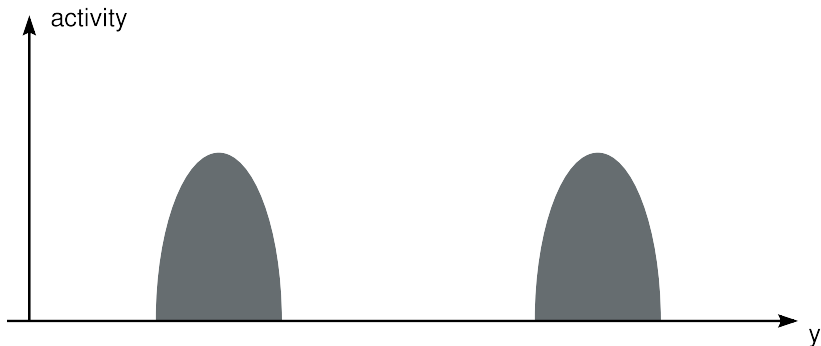
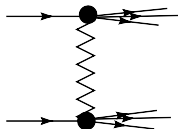
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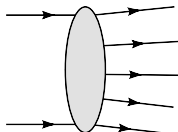
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double diffractive

What is the Underlying event?

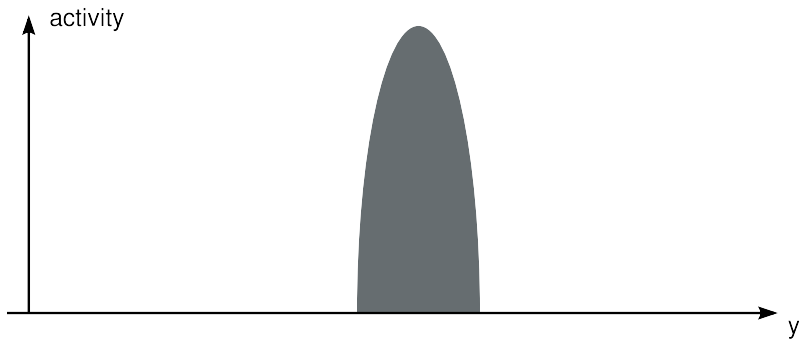
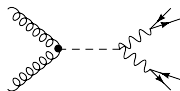
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(multiple/soft) interactions

What is the Underlying event?

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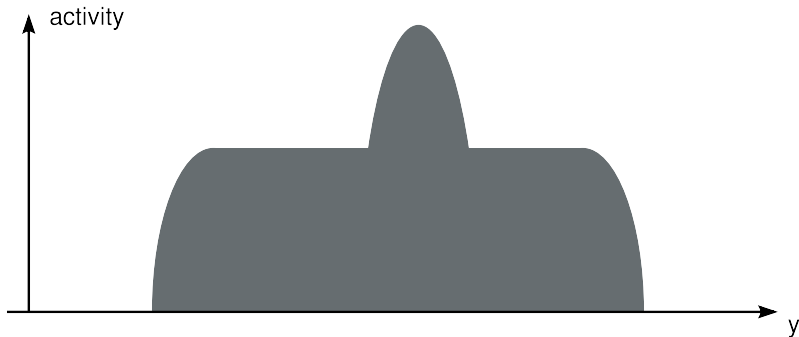


hard scattering

What is the Underlying event?

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σ_{ND}



hard scattering + underlying event

What is the Underlying event?

“Everything except the process of interest.”

- Experimentalist: “includes parton showers etc.”
- MC author: “everything on top of primary hard process.”

The Underlying event (UE) is everywhere in the detector.

- Cannot select UE
- May spoil measurements.
- What characteristics?
- Hard?
- Soft?

Why should I learn about it?

- UE comes with every event.
- Can't trigger/select it away.
- Gives additional tracks and calorimeter hits, in the same cells as your signal.
- Jet energy scale determination.
- Important systematic error.
- Jets where your signal shouldn't give any (VBF).

Triggers

- Zero bias
 - *Every* event in a perfect 4π detector.

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- Minimum bias (MB)
 - Require “some activity”
 - At least have to distinguish from noise/cosmics.
 - small number of tracks of charged tracks (e.g. 1, 2, 6),
 - forward calorimeter hits,
 - \rightarrow with some minimum p_{\perp} .
 - Often want non–single–diffractive

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 - BUT accompanied by soft stuff \rightarrow underlying event.

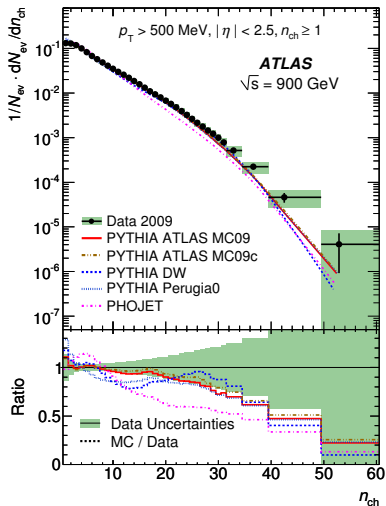
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Physics in MB and UE very similar.

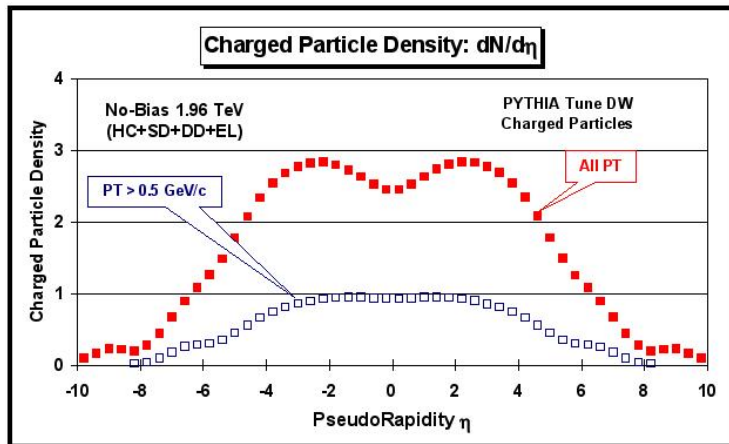
Characteristics of MB events

N_{ch}



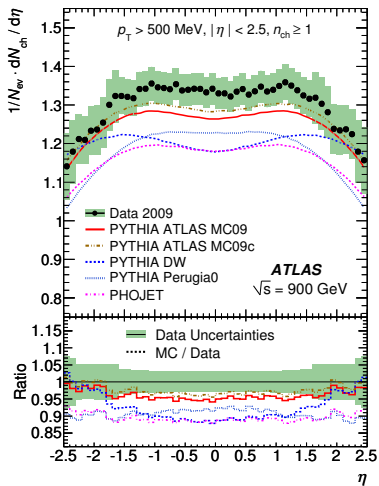
Characteristics of MB events

$dN/d\eta$ Zero bias vs min bias (Tevatron)



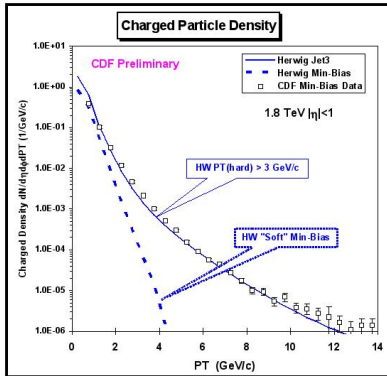
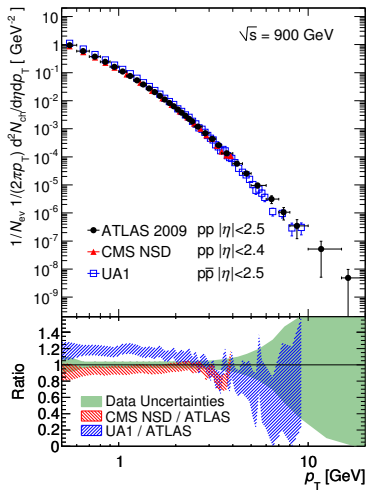
Characteristics of MB events

$dN/d\eta$ ATLAS



Characteristics of MB events

p_{\perp} spectra of all particles



Characteristics of MB events

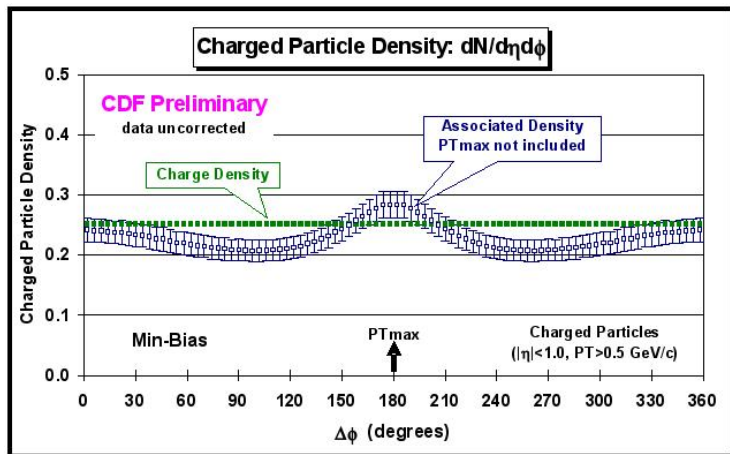
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Characteristics of MB events

- Inclusive quantities have to be correct, of course.
- Already show, that soft component is important in modelling.
- Don't tell much about morphology of event.
- → look at distributions inside detector.
- → leading particles.

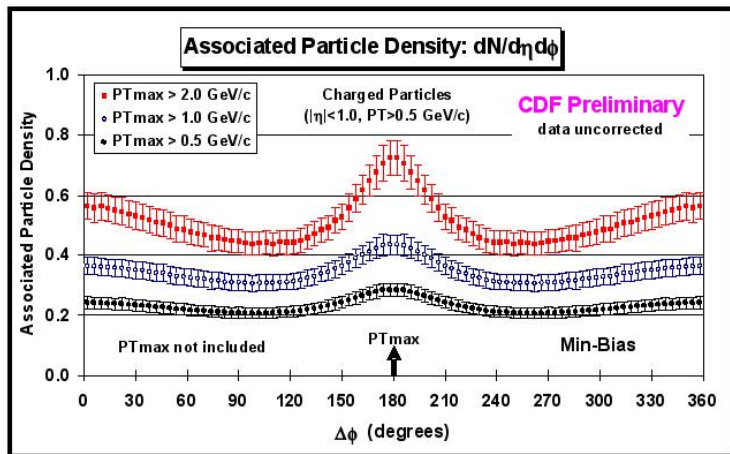
Azimuthal distributions

Measure $\Delta\phi$ relative to leading particle/jet/track.



Azimuthal distributions

Measure $\Delta\phi$ relative to leading particle/jet/track.



Azimuthal distributions

Observation:

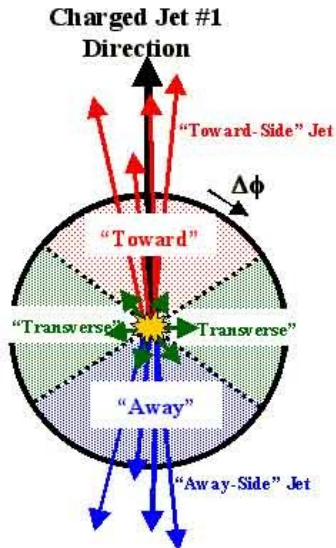
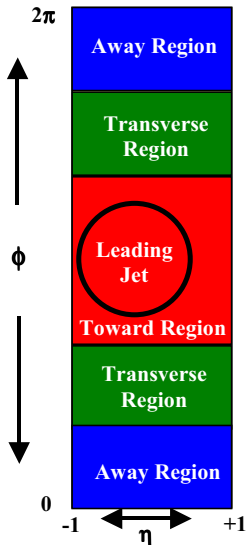
- Events not flat. Have 'leading object'.
- Harder leading object:
 - harder recoil.
 - more activity everywhere, also transverse.

Trigger: The harder leading object, the more jets are inclusively just below this threshold (pedestal effect).

Closer look at transverse region!

“Rick Field analysis”.

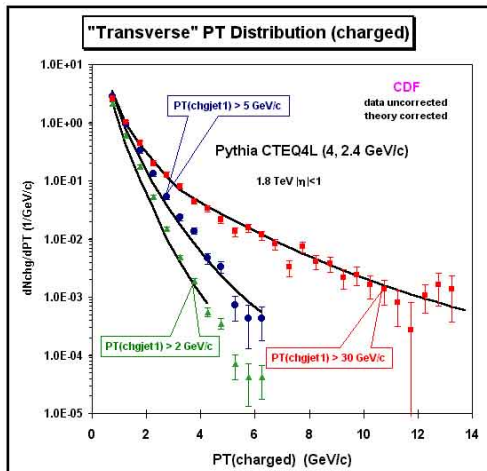
Towards, away, transverse



Measurements of the UE: separate from hard bit of event.

- How big is the 'activity' in the different regions?
- How does it depend on the leading object?
- If UE is really *underlying*, should decouple from leading event.

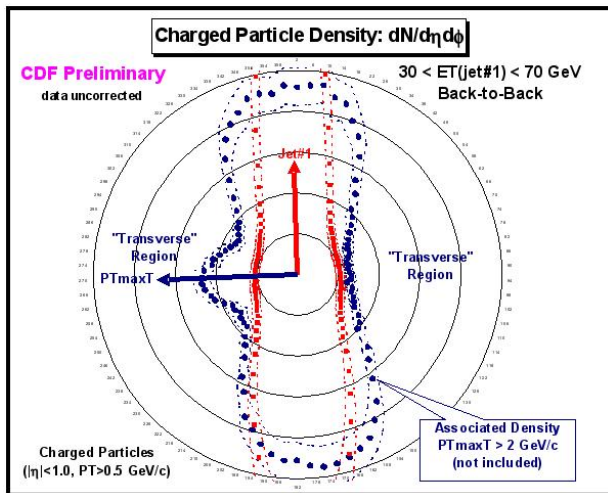
Spectrum in transverse region



Not only average important. The UE has a jetty substructure!

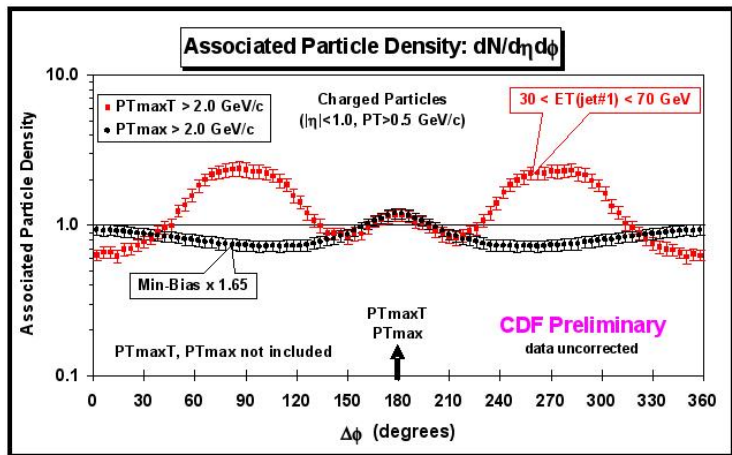
More azimuthal distributions

Now select the hardest of the two transverse regions only (TransMAX): associated distribution:



More azimuthal distributions

Now select the hardest of the two transverse regions only (TransMAX): associated distribution:



Birth of 3rd jet \sim leading jet in MinBias

Towards modelling

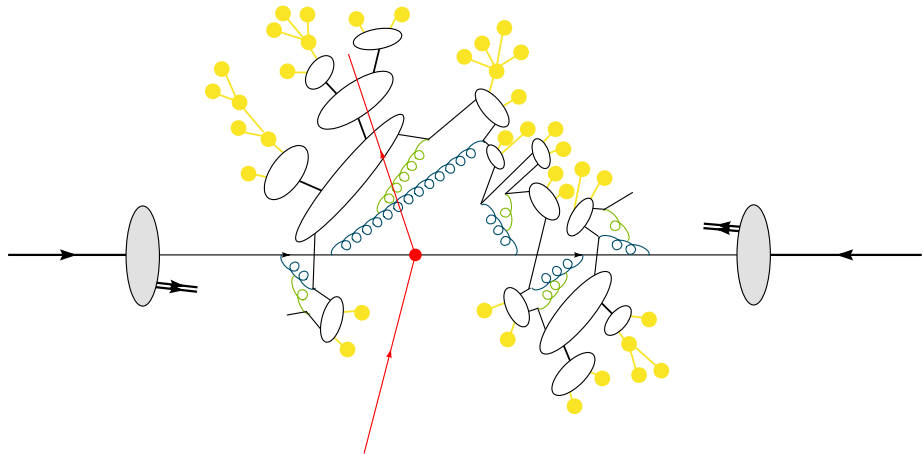
- Leading jet in Minimum bias \sim 3rd jet in back-to-back sample.
- UE and MB really seem to reflect the same physics.
- Hard component important.
- Hard jets not sufficient
(but well described \rightarrow D0 dijet angular decorrelation).

Hard jets in the UE via multiple interactions?

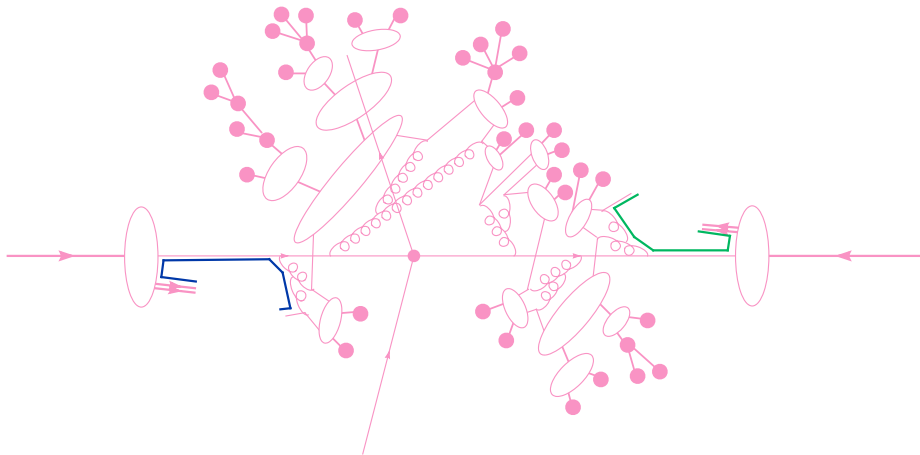
- Additional Partonic $2 \rightarrow 2$ interactions (MPI).
- No correlation with hard event.

Multiple Partonic Interactions in Herwig

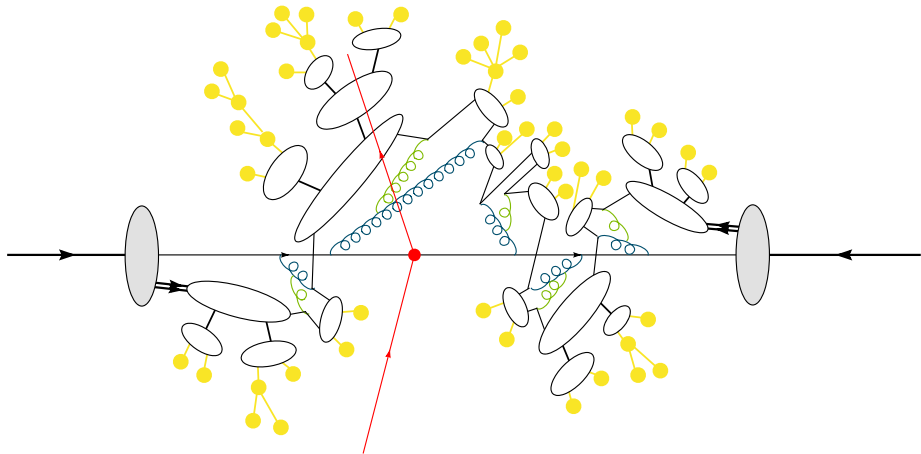
pp Event Generator



pp Event Generator



pp Event Generator

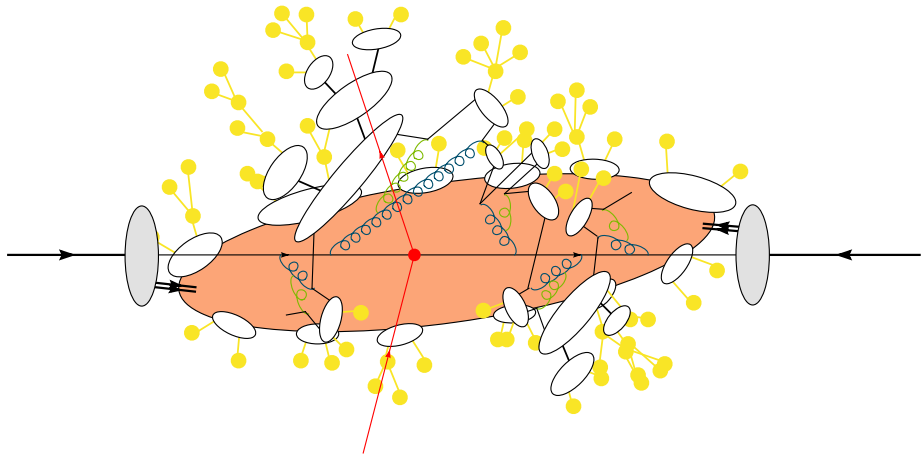


No UE model

Just remnant clusters

- Simplest model?
- Connects loose colour ends and produces some N_{ch} .
- No extra transverse energy.
- Fails.

pp Event Generator



UA5 model

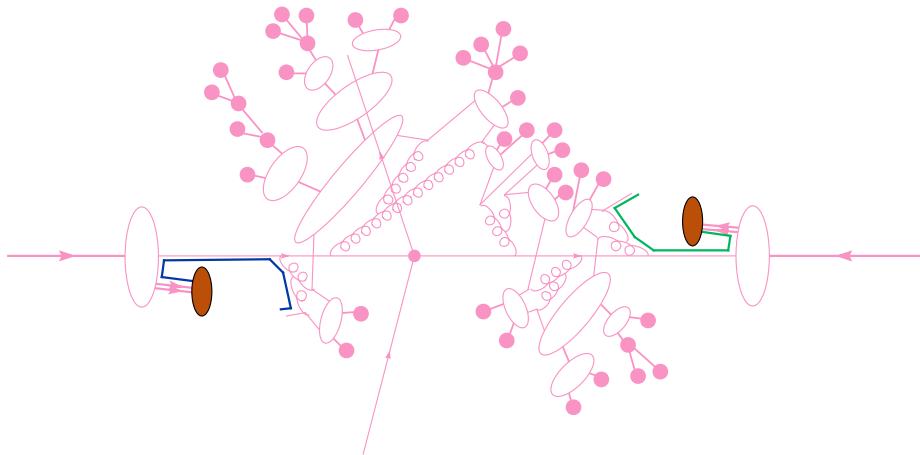
UA5 model

- Produce $\langle n \rangle$ extra clusters, flat in y , with soft p_{\perp} spectrum.
- Included from Herwig++ 2.0. [Herwig++, hep-ph/0609306]
- Little predictive power.
- Only gets averages right, not large (and interesting!) fluctuations \rightarrow mini jets.
- Was default in fHerwig. Superseded by JIMMY.

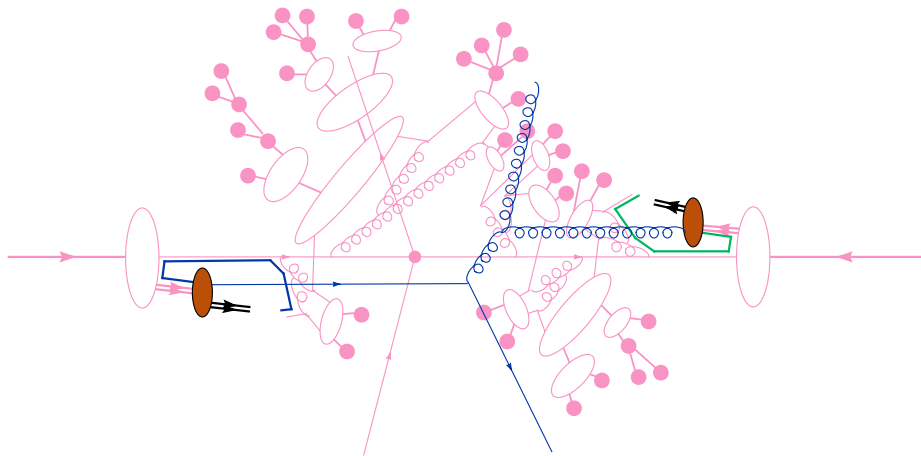
[JM Butterworth, JR Forshaw, MH Seymour, ZP C72 637 (1996)]

Similar to new soft physics model

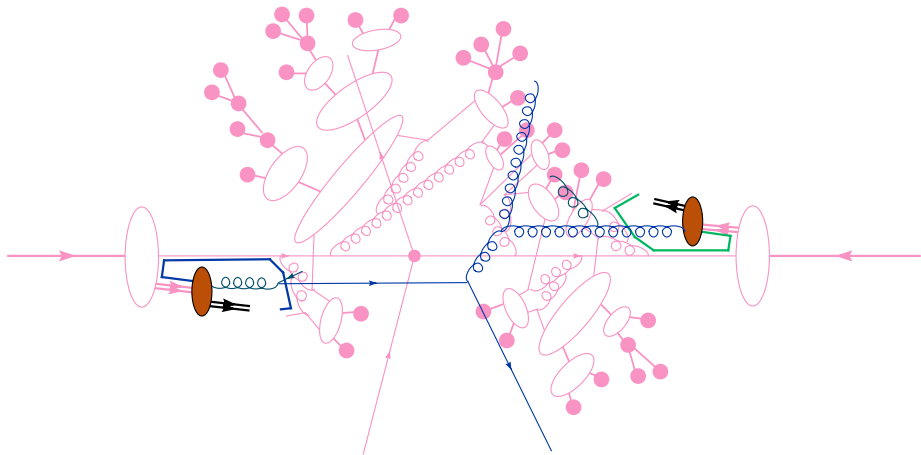
pp Event Generator



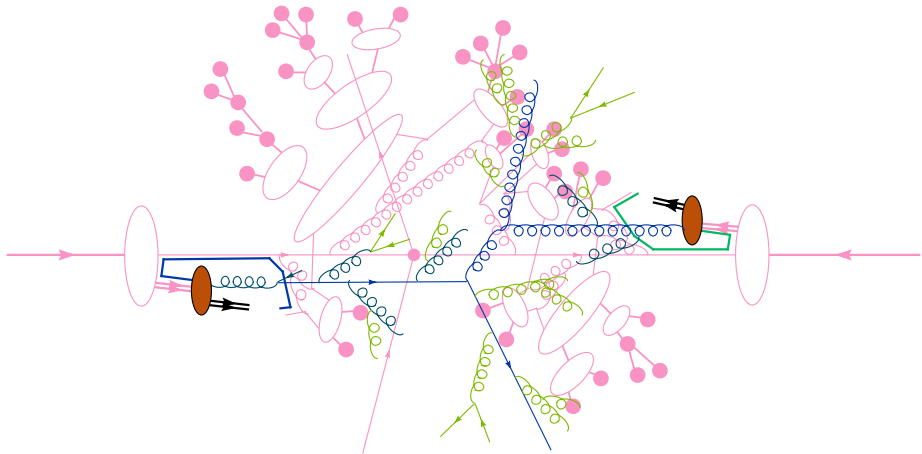
pp Event Generator



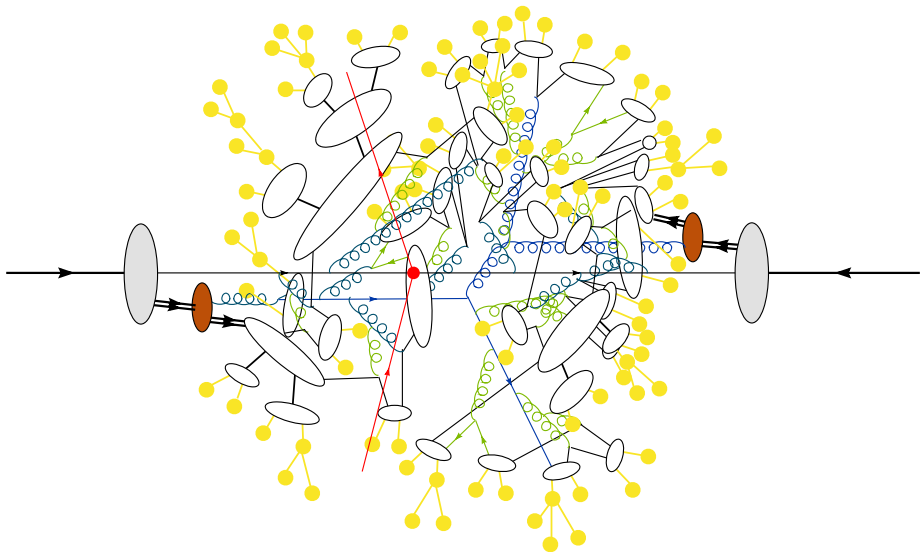
pp Event Generator



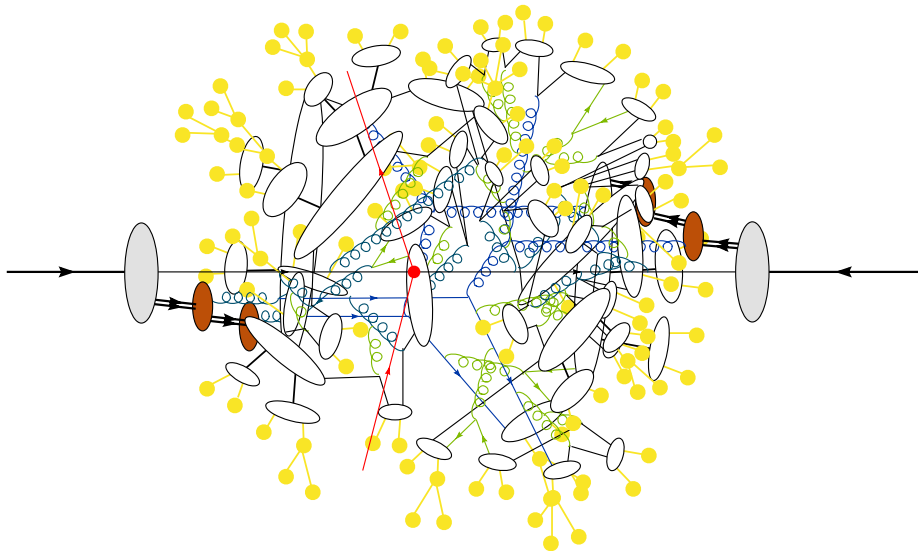
pp Event Generator



pp Event Generator

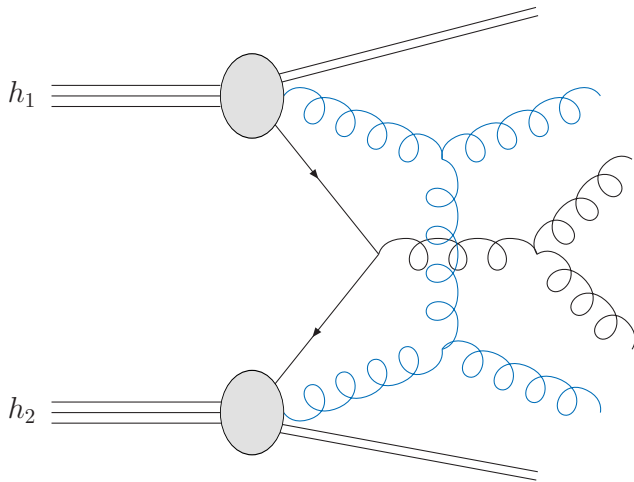


pp Event Generator



Eikonal model basics

Multiple hard interactions (MPI)



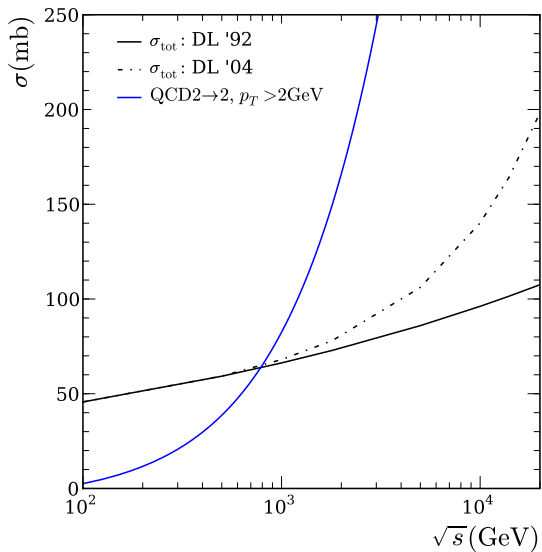
Eikonal model basics

Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}2}} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

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$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Interpretation: σ^{inc} counts *all* partonic scatters that happen during a single pp collision \Rightarrow more than a single interaction.

$$\sigma^{\text{inc}} = \bar{n} \sigma_{\text{inel}}.$$

Eikonal model basics

Use eikonal approximation (= independent scatters). Leads to Poisson distribution of number m of additional scatters,

$$P_m(\vec{b}, s) = \frac{\bar{n}(\vec{b}, s)^m}{m!} e^{-\bar{n}(\vec{b}, s)} .$$

Then we get σ_{inel} :

$$\sigma_{\text{inel}} = \int d^2\vec{b} \sum_{m=1}^{\infty} P_m(\vec{b}, s) = \int d^2\vec{b} \left(1 - e^{-\bar{n}(\vec{b}, s)} \right) .$$

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Cf. σ_{inel} from scattering theory in eikonal approx. with scattering amplitude $a(\vec{b}, s) = \frac{1}{2i} (e^{-\chi(\vec{b}, s)} - 1)$

$$\sigma_{\text{inel}} = \int d^2\vec{b} \left(1 - e^{-2\chi(\vec{b}, s)} \right) \quad \Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) .$$

$\chi(\vec{b}, s)$ is called *eikonal* function.

Eikonal model basics

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|)\end{aligned}$$

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$$\Rightarrow \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) = \frac{1}{2} A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .$$

Overlap function

$$A(b) = \int d^2\vec{b}' G_A(|\vec{b}'|) G_B(|\vec{b} - \vec{b}'|)$$

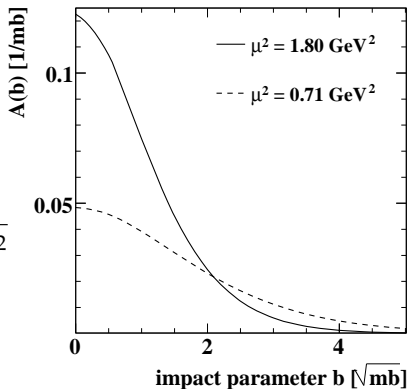
$G(\vec{b})$ from electromagnetic FF:

$$G_p(\vec{b}) = G_{\bar{p}}(\vec{b}) = \int \frac{d^2\vec{k}}{(2\pi)^2} \frac{e^{i\vec{k}\cdot\vec{b}}}{(1 + \vec{k}^2/\mu^2)^2}$$

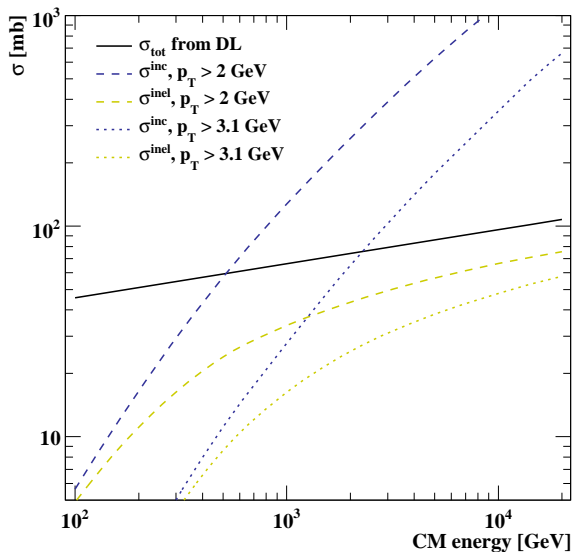
But μ^2 *not fixed* to the
electromagnetic 0.71 GeV^2 .

Free for colour charges.

\Rightarrow Two main parameters: μ^2, p_t^{min} .

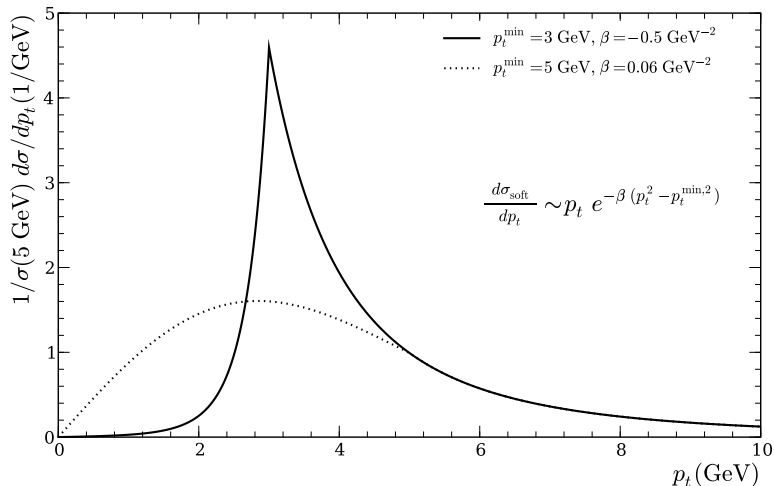


Unitarized cross sections



Extending into the soft region

Continuation of the differential cross section into the soft region $p_t < p_t^{\min}$ (here: p_t integral kept fixed)



Hot Spot model

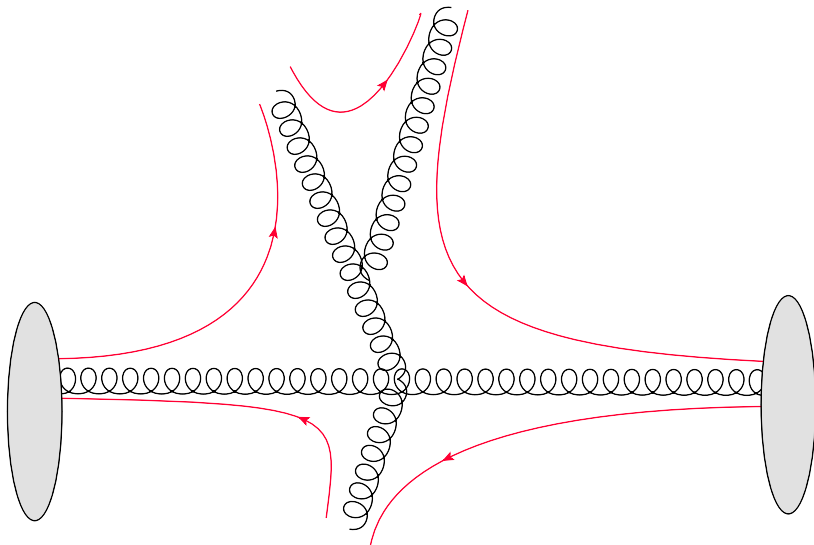
Fix the two parameters μ_{soft} and $\sigma_{\text{soft}}^{\text{inc}}$ in

$$\chi_{\text{tot}}(\vec{b}, s) = \frac{1}{2} \left(A(\vec{b}; \mu) \sigma^{\text{inc}} \text{hard}(s; p_t^{\text{min}}) + A(\vec{b}; \mu_{\text{soft}}) \sigma_{\text{soft}}^{\text{inc}} \right)$$

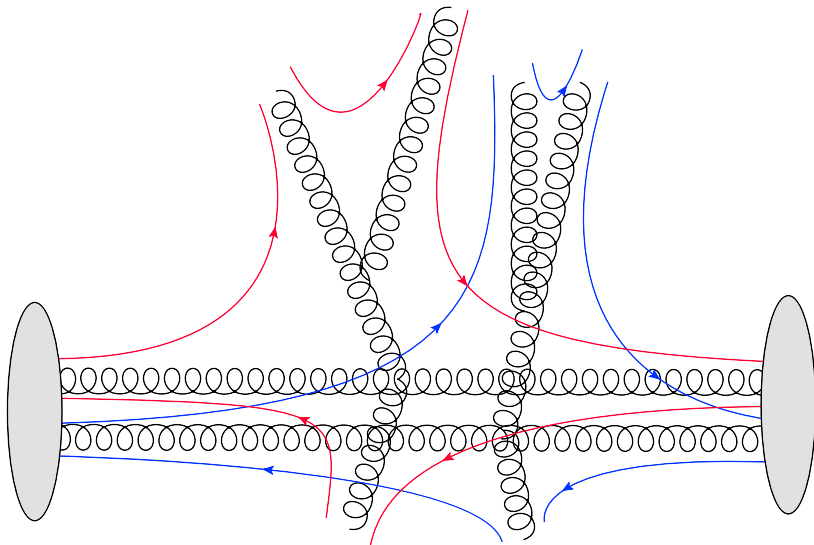
from two constraints. Require simultaneous description of σ_{tot} and b_{el} (measured/well predicted),

$$\begin{aligned} \sigma_{\text{tot}}(s) &\stackrel{!}{=} 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right), \\ b_{\text{el}}(s) &\stackrel{!}{=} \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right). \end{aligned}$$

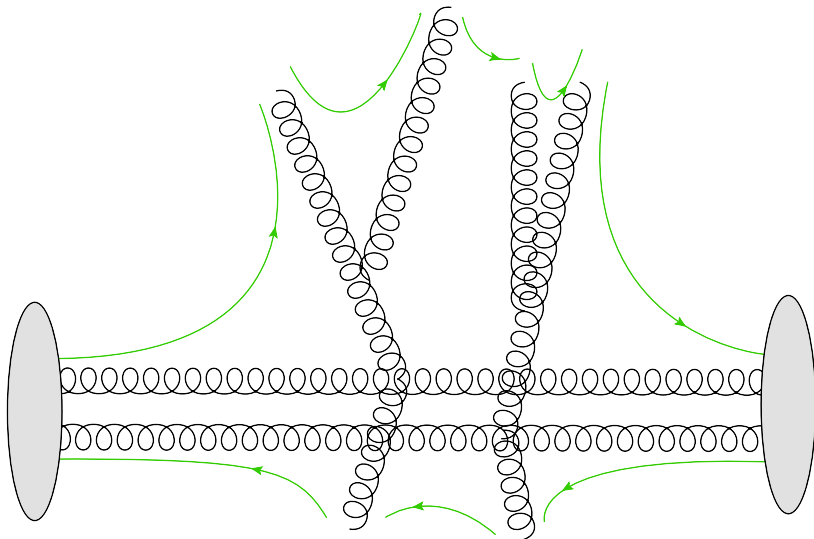
Colour Reconnection — idea



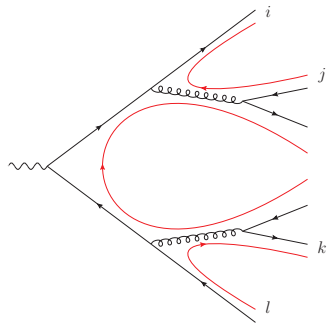
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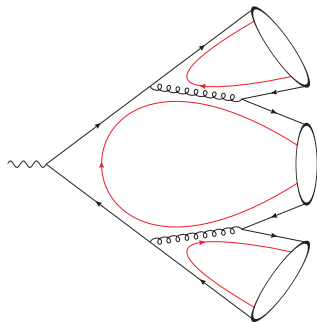
Colour reconnection (CR) in Herwig



Extend cluster hadronization:

- QCD parton showers provide *pre-confinement* \Rightarrow colour-anticolour pairs

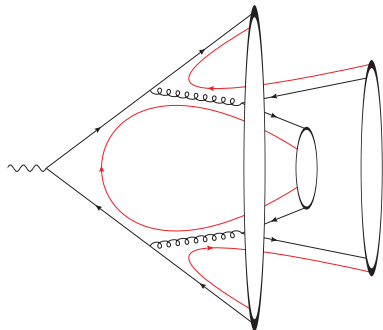
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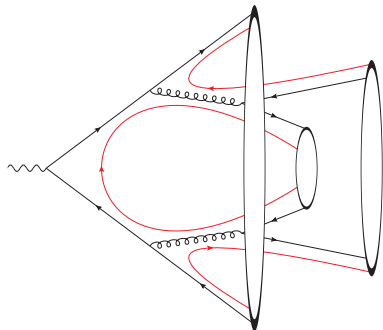
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Colour reconnection (CR) in Herwig



Extend cluster hadronization:

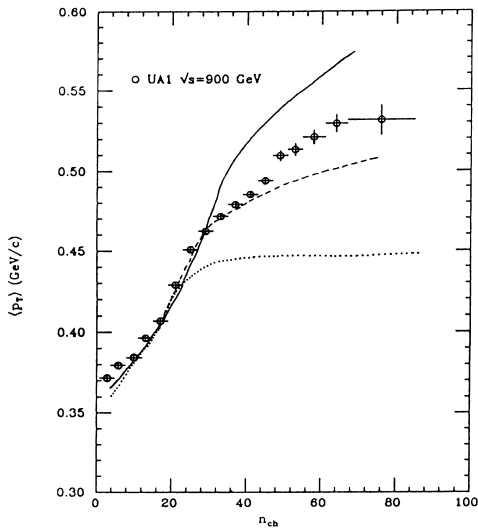
- QCD parton showers provide *pre-confinement* \Rightarrow colour-anticolour pairs
- \rightarrow *clusters*
- CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$

- Allow CR if the cluster mass decreases,

$$M_{il} + M_{kj} < M_{ij} + M_{kl},$$

- Accept alternative clustering with probability p_{reco} (model parameter) \Rightarrow this allows to switch on CR smoothly
- Alternative *Statistical* CR (Metropolis)

Colour reconnections



- Sensitivity to CR already known since UA1.
- (From Sjöstrand/van Zijl)

MPI in Herwig

Semihard MPI

- Default from Herwig++ 2.1. [Herwig++, 0711.3137]
- Multiple hard interactions, $p_t \geq p_t^{\min}$. [Bähr, SG, Seymour, JHEP 0807:076]
- pQCD $2 \rightarrow 2$.
- Similar to JIMMY.
- Good description of harder UE data (“plateau”).

MPI in Herwig

Soft MPI

- Default from Herwig++ 2.3. [Herwig++, 0812.0529]
- Extension to soft interactions $p_t < p_t^{\min}$.
- Theoretical work with simplest possible extension. [Bähr, Butterworth, Seymour, JHEP 0901:065]
- “Hot Spot” model. [Bähr, Butterworth, SG, Seymour, 0905.4671]

Recent developments in Herwig

First implementation of soft scattering

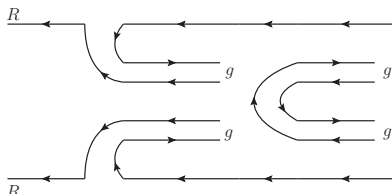
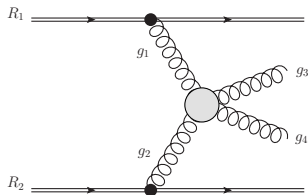
Soft gluon production with soft $p_t < p_t^{\min}$ spectrum.

Colour structure important. Two extreme cases possible.

Sensitivity to parameter

$$\text{colourDisrupt} = P(\text{disrupt colour lines})$$

Long colour lines appear when swapping outgoing gluons.



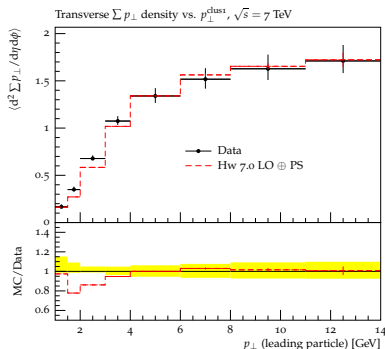
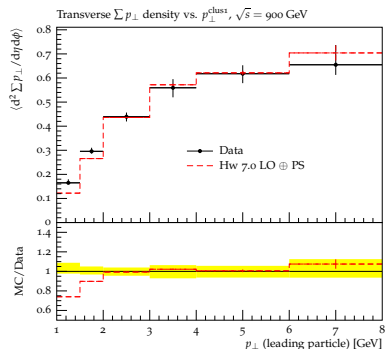
Colour reconnections applied!

So far at the LHC

Soft model is extension of MPI model for Underlying Event and *harder* aspects of Min Bias events.

Herwig 7.0 at 900 GeV and 7 TeV:

[ATLAS, Eur.Phys.J. C71 (2011) 1636]



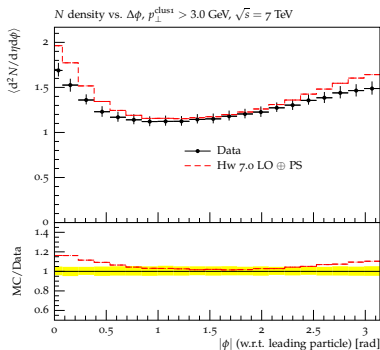
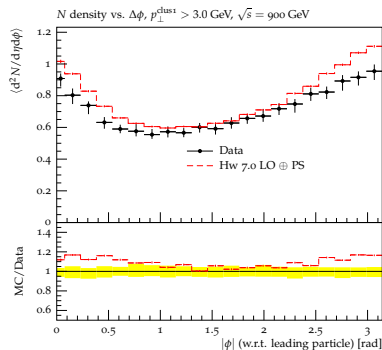
Still reasonably well for moderately soft particles.

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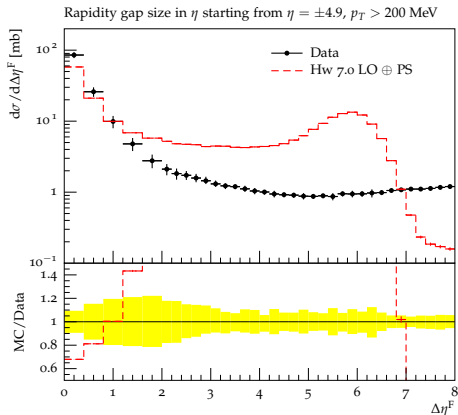


Still reasonably well for moderately soft particles.

The bump

A clear case of abusing a model for the hard UE in forward/diffractive final states...

[ATLAS, Eur.Phys.J. C72 (2012) 1926]



Bump is artefact. No Diffraction. Poor modeling of soft interactions. Colour assignment ad hoc.

Newer developments

Challenge accepted.

- Model for diffractive final states.
- Model for soft particle production.

[SG, F. Loshaj, P. Kirchgaesser, EPJ C77 (2017) 156]

- New baryon production mechanisms.

[SG, P. Kirchgaesser, S. Platzer, arXiv 10/2017]

Diffraction as part of minimum bias simulation

Diffraction final states directly modeled.

Not embedded in MPI approach via cuts through triple pomeron vertices. Therefore change in constraint

$$x\sigma_{\text{tot}}(s) \stackrel{!}{=} 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b},s)} \right) ,$$

where

$$x \approx 1 - \frac{\sigma_{\text{diff}}}{\sigma_{\text{tot}}} \approx 75\% .$$

In min-bias simulation: every event is either

- diffractive, directly modeled from pp initial state.
- non-diffractive, modeled in the MPI picture, parton level.

Diffractive final states

Strictly low mass diffraction only. Allow M^2 large nonetheless.
 M^2 power-like, t exponential (Regge).

$$pp \rightarrow (\text{baryonic cluster}) + p .$$

Hadronic content from cluster fission/decay $C \rightarrow hh\dots$
Cluster may be quite light. If very light, use directly

$$pp \rightarrow \Delta + p .$$

Also double diffraction implemented.

$$pp \rightarrow (\text{cluster}) + (\text{cluster}) \quad pp \rightarrow \Delta + \Delta .$$

Technically: new MEs for diffractive processes set up.

Model for soft particle production in Herwig

Reproduce core properties of soft particle production.

“flat in rapidity”, “narrow in p_t ”.

Main idea: “soft interaction = cut pomeron = particle ladder”.

N_{soft} from MPI model = #ladders.

Clusters produced via colour connected quarks and gluons.

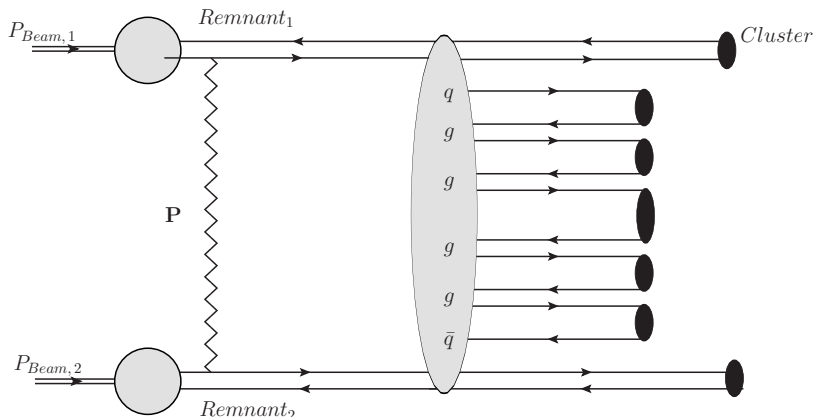
Adopt to soft interactions in Herwig via remnant decays.

Soft particle production model in Herwig

- #ladders = N_{soft} (MPI).
- N particles from Poissonian, width $\langle N \rangle$.
Model parameter $1/\ln C \equiv n_{\text{ladder}} \rightarrow$ tuned.
- x_i smeared around $\langle x \rangle$ (calculated).
- p_{\perp} from Gaussian acc to soft MPI model.
- particles are q, g , see figure.
Symmetrically produced from both remnants.
- Colour connections between neighboured particles.

Soft particle production model in Herwig

Single soft ladder with MinBias initiating process.



Further hard/soft MPI scatters possible.

Parameters and tuning

Diffraction plus MPI incl new soft model.

Diffractive cross sections adjusted to data.

Tuning to Min Bias data: η, p_{\perp} for various $N_{\text{ch}}, \langle p_{\perp} \rangle(N_{\text{ch}})$.

Usual MPI parameters

$$(p_{\perp,0}^{\text{min}}, b) \rightarrow p_{\perp}^{\text{min}}(\sqrt{s}), \quad \mu^2, \quad p_{\text{reco}} \cdot$$

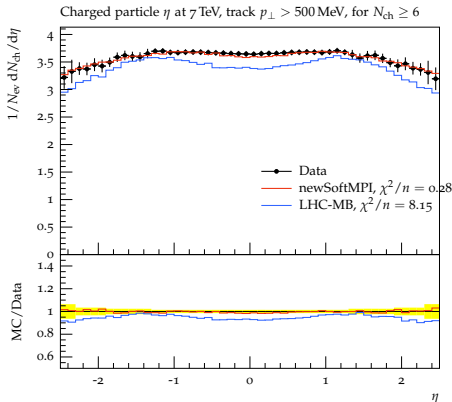
One additional parameter

$$n_{\text{ladder}} \cdot$$

Tuned results

ATLAS Min Bias 7 TeV.

[ATLAS, New.J.Phys. 13 (2011) 053033]

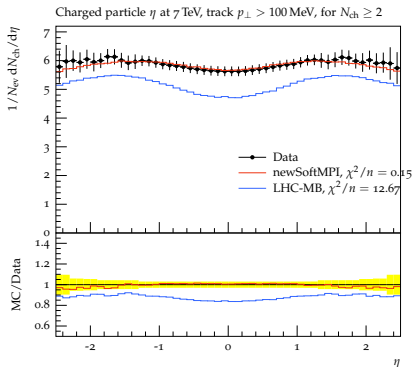
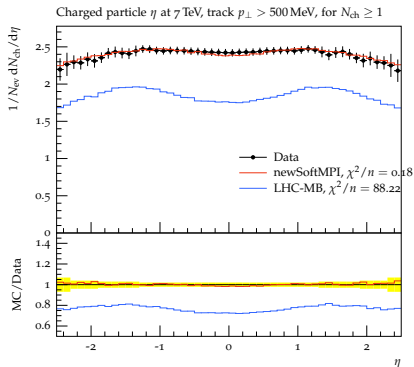


Similar to previous results, “harder part of Min Bias”.

Tuned results

ATLAS Min Bias 7 TeV.

[ATLAS, New.J.Phys. 13 (2011) 053033]

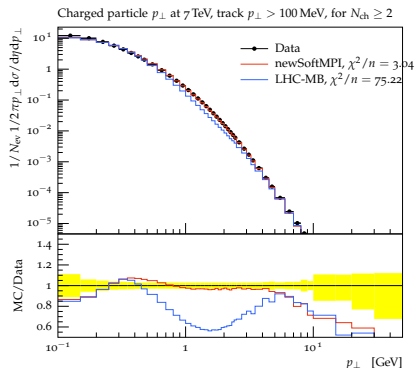
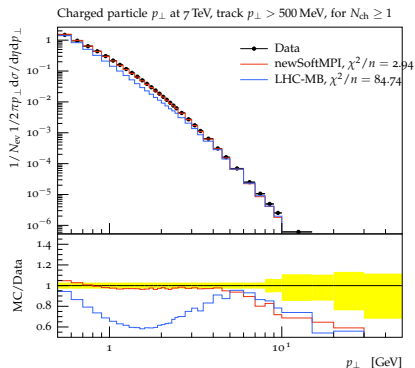


Also soft rates well described.

Tuned results

ATLAS Min Bias 7 TeV.

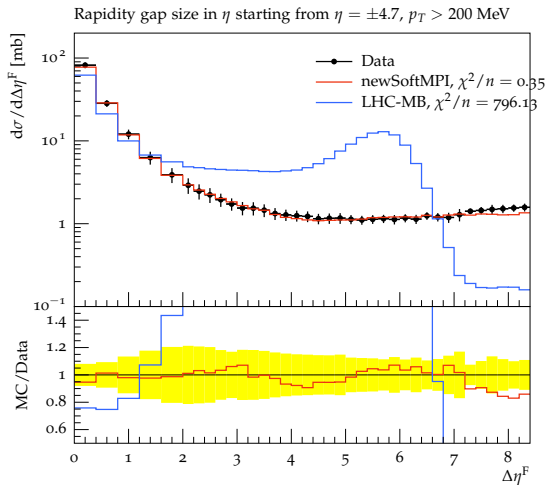
[ATLAS, New.J.Phys. 13 (2011) 053033]



Tails? Still within 1σ .

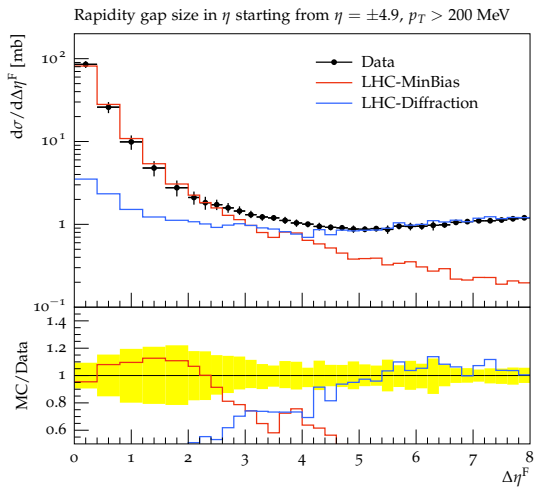
The bump plot, $\Delta\eta_F$

[CMS, PRD 92 (2015) 012003]



Individual contributions to $\Delta\eta_F$

[ATLAS, Eur.Phys.J. C72 (2012) 1926]



Baryons

[SG, P. Kirchgaesser, S. Platzer, 1710.10906]

Modelling of baryon production:

- Thus far, not considered in particular
- Take a lot of energy as mass, at once
- More baryons \rightarrow less charge

Ideas for improvement:

- Colour reconnection
- non-perturbative $g \rightarrow s\bar{s}$ splitting in cluster formation, re-tune gluon mass vs strange mass (constituent masses).

[talk of P. Kirchgaesser, Wednesday 9am]

MPI Summary

- MPI (with colour reconnections) currently model of choice.
- Describes averages *and* fluctuations.
- Not always universal, but all models tunable.
- soft component needed for MB modelling.
- Constraints from inclusive cross sections.
- Different emphasis on hard/soft modelling between generators.
- Many details still only models.

Monte Carlo

training studentships



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