

Recent Diffractive Studies at HERA

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on behalf of H1 and ZEUS Collaborations

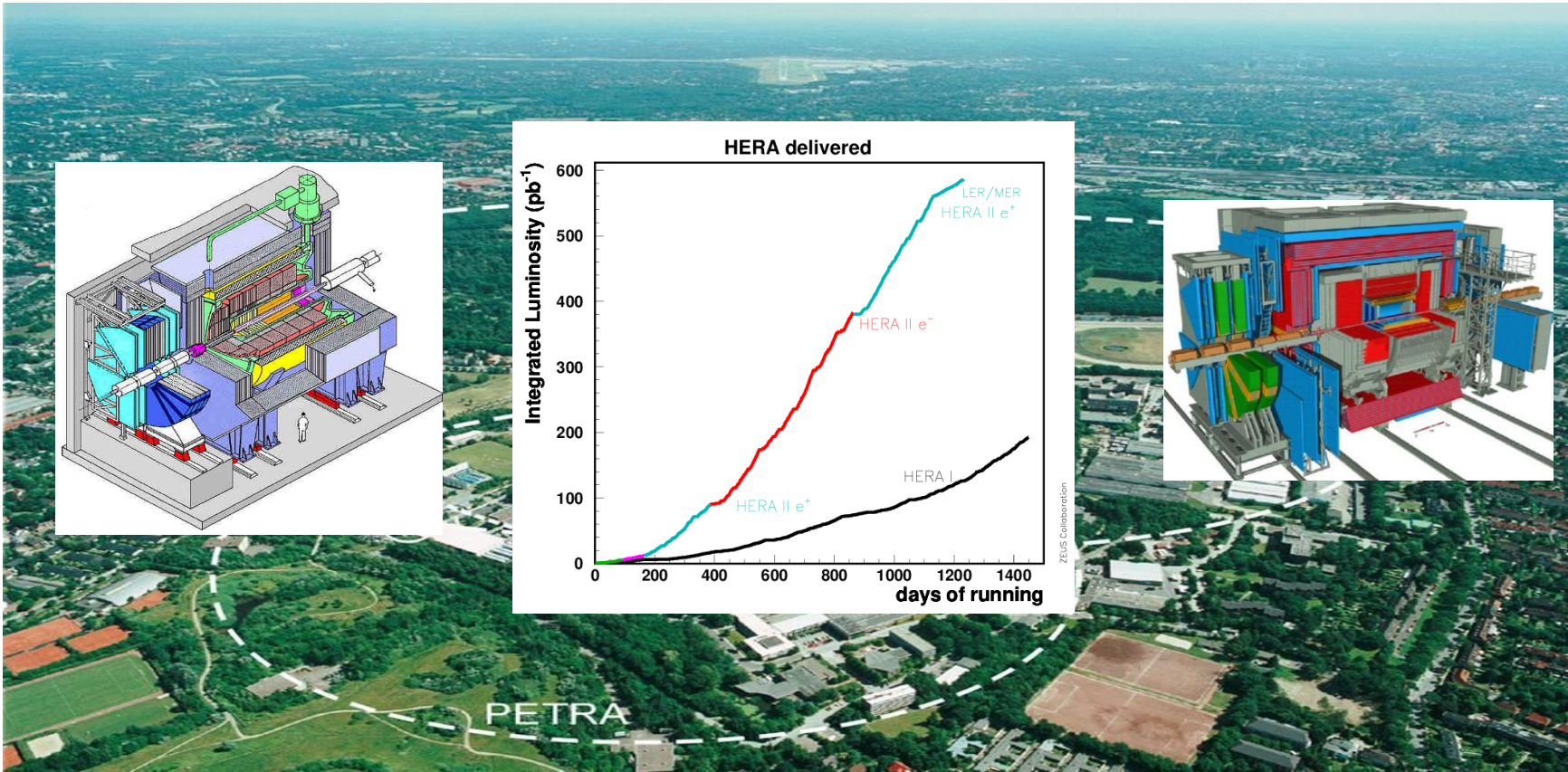
9th International Workshop on Multiple Partonic Interactions at the LHC
11-15 December 2017 , Hotel Peterhoff, Shimla, India

Outline

- Brief overview of HERA
- Short introduction to diffraction in ep scattering at HERA
- Recent results:
 - D^* production in diffractive Deep Inelastic Scattering (DIS) [H1 Collaboration]
 - Ratio of $\sigma_{\psi(2s)}/\sigma_{J/\psi(1S)}$ in diffractive DIS [ZEUS Collaboration]
 - Diffractive photoproduction of Isolated Photons [ZEUS Collaboration]

HERA: ep Collider (1992 – 2007)

- The **world's only electron(positron)-proton** collider at DESY, Hamburg
- Two collider experiments: H1 and ZEUS
- Total luminosity $\sim 0.5 \text{ fb}^{-1}$ per experiment



$E_{\text{COM}}=318 \text{ GeV}$
For the results
presented here

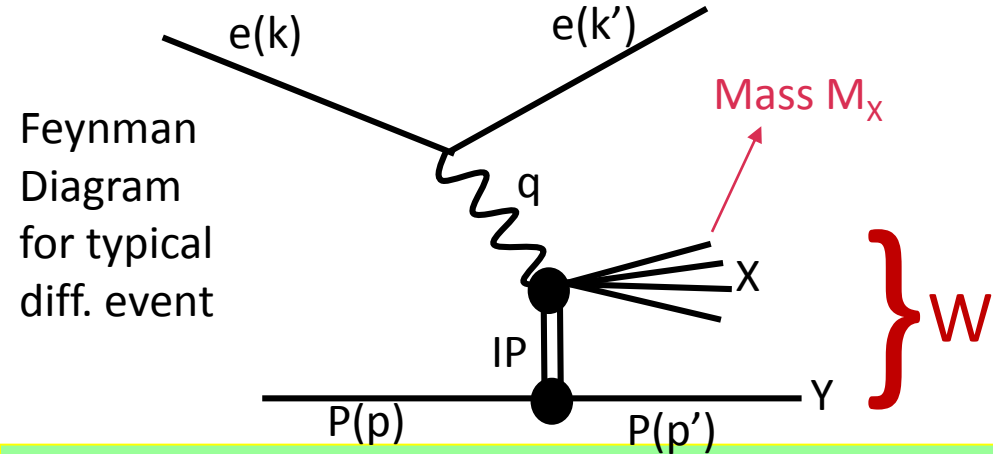
- $E_e = 27.6 \text{ GeV}$, $E_p=820 \text{ GeV}$ HERA-I , $E_p = 920 \text{ GeV}$ HERA-II (460, 575 GeV)

Diffraction at HERA

HERA: $\sim 10\%$ of low- x DIS events are diffractive

DIS Kinematic variables :

- $Q^2 = -q^2 = -(k-k')^2$: virtuality of the photon
- $Q^2 \cong 0 \text{ GeV}^2$ for photoproduction,
- $Q^2 > 0 \text{ GeV}^2$ for DIS
- W = photon-proton Centre of Mass Energy
- $x = \frac{Q^2}{2p \cdot q}$ = Bjorken- x : fraction of proton's momentum carried by struck quark
- $y = \frac{p \cdot q}{p \cdot k}$ = inelasticity



Why to study diffraction at HERA?

- **Fundamental aim:**
 - To understand high energy limit of QCD (gluon-dynamics)
 - To probe partonic structure of diffractive exchange **for the first time**
- **Practical motivations:**
 - To study factorization properties of diffraction – try to transport to **hadron-hadron** scattering (e.g. predict diffractive Higgs production at LHC)
- **Relevance to MPI:** At low Q^2 (photoproduction) there is an **effective hadron-hadron collision** as the photon becomes resolved

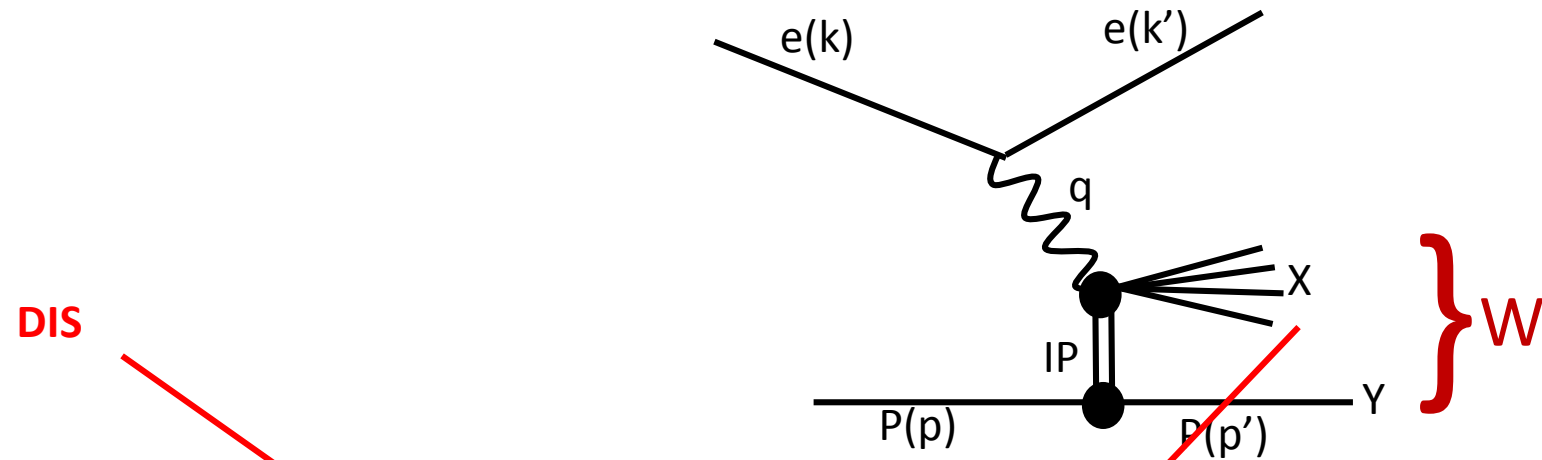
$$x_{IP} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{Q^2 + M_x^2}{Q^2 + W^2}$$

momentum fraction
(of colored or color singlet
exchange) w.r.t. proton

$$t = (p - p')^2$$

4-momentum transfer
Squared at proton vertex

Kinematic Variables for the Presented Studies

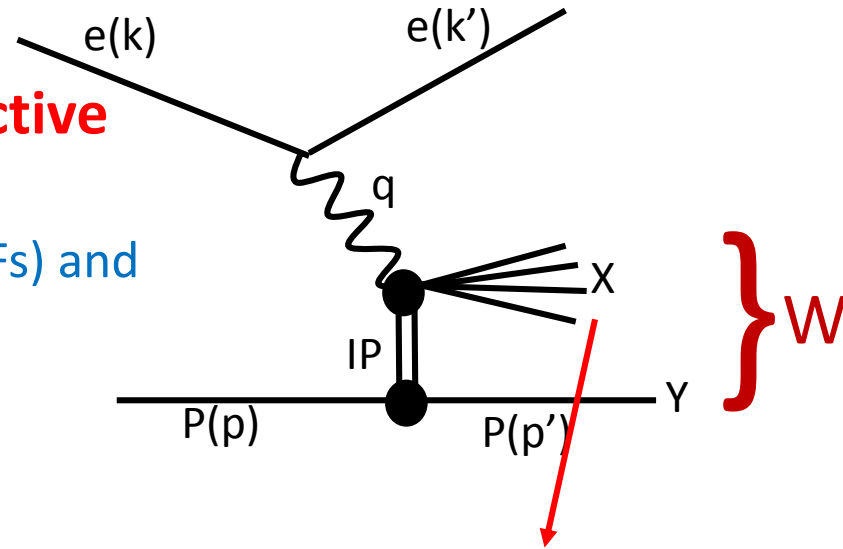


S.N	Publication	Q^2	X	Y
1.	D* production in DDIS [Eur.Phys.J.C77 (2017), 340]	$> 0 \text{ GeV}^2$	D* +anything	P
2.	Diffractive photoproduction of Isolated Photons [Phys. Rev. D 96 (2017) 032006]	$\cong 0 \text{ GeV}^2$	γ +jet+anything	P
3.	$\sigma_{\psi(2s)}/\sigma_{J/\psi(1S)}$ in diffractive DIS [Nucl. Phys. B 909 (2016) 934]	$> 0 \text{ GeV}^2$	$\psi(2s)$ or $J/\psi(1S)$	P

Diffraction Predictions for Results Presented

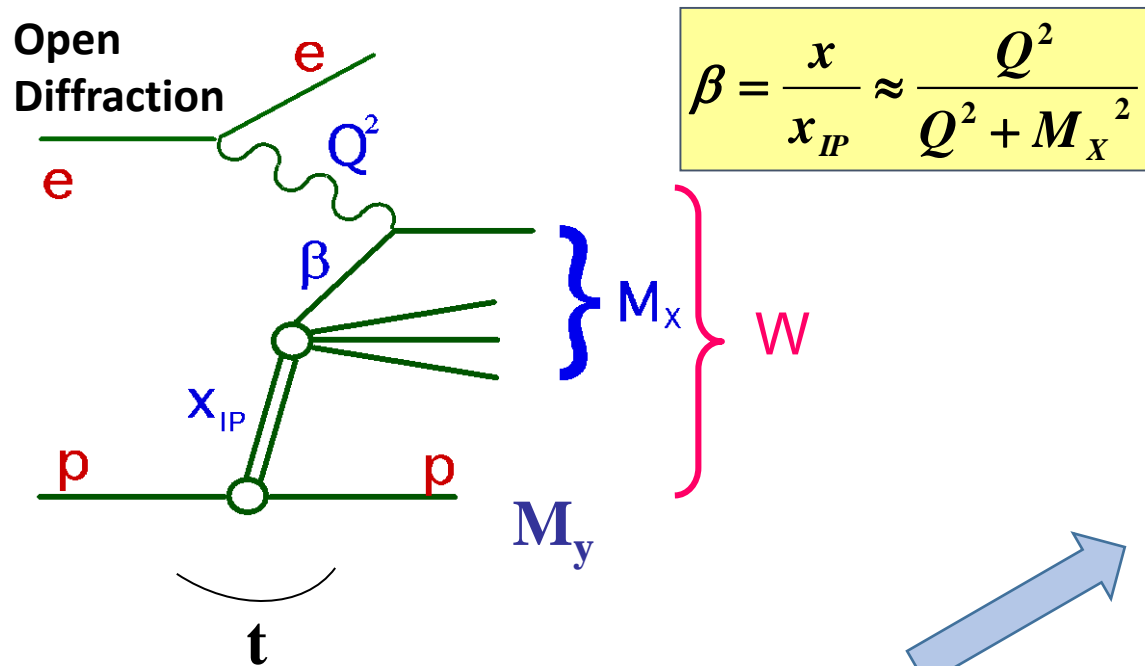
Many methods exist to predict diffractive cross sections:

- Diffractive Parton Density Functions (DPDFs) and hard matrix elements
- Dipole Models
- Regge Phenomenology

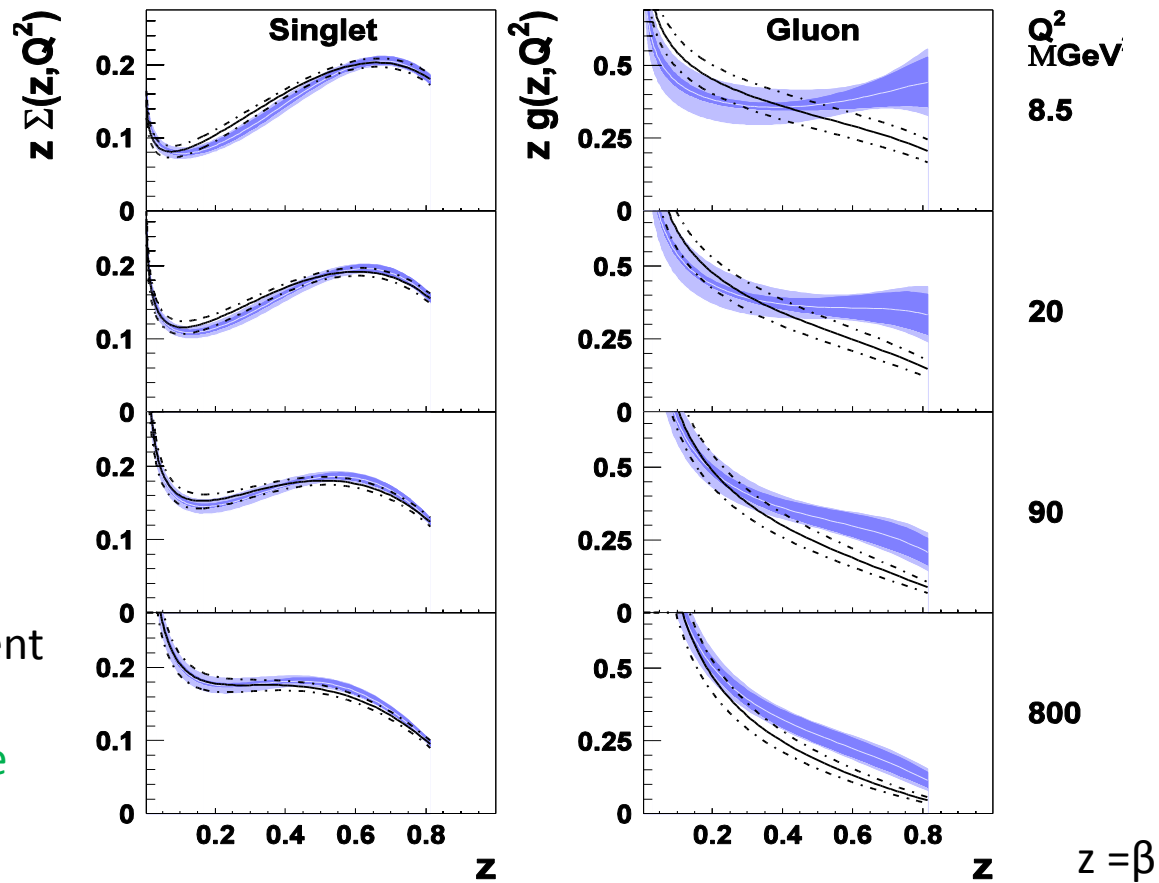


S. No	Publication	Q^2	X	Y	Prediction Model
1.	D* production in DDIS	$> 0 \text{ GeV}^2$	D* +anything	P	DPDF +NLO MC
2.	Diffractive photoproduction of Isolated Photons	$\cong 0 \text{ GeV}^2$	γ +jet+anything	P	DPDF+LO MC
3.	$\sigma_{\psi(2s)}/\sigma_{J/\psi(1S)}$ in diffractive DIS	$> 0 \text{ GeV}^2$	$\psi(2s)$ or $J/\psi(1S)$	P	Dipole Models

Diffractive Parton Densities



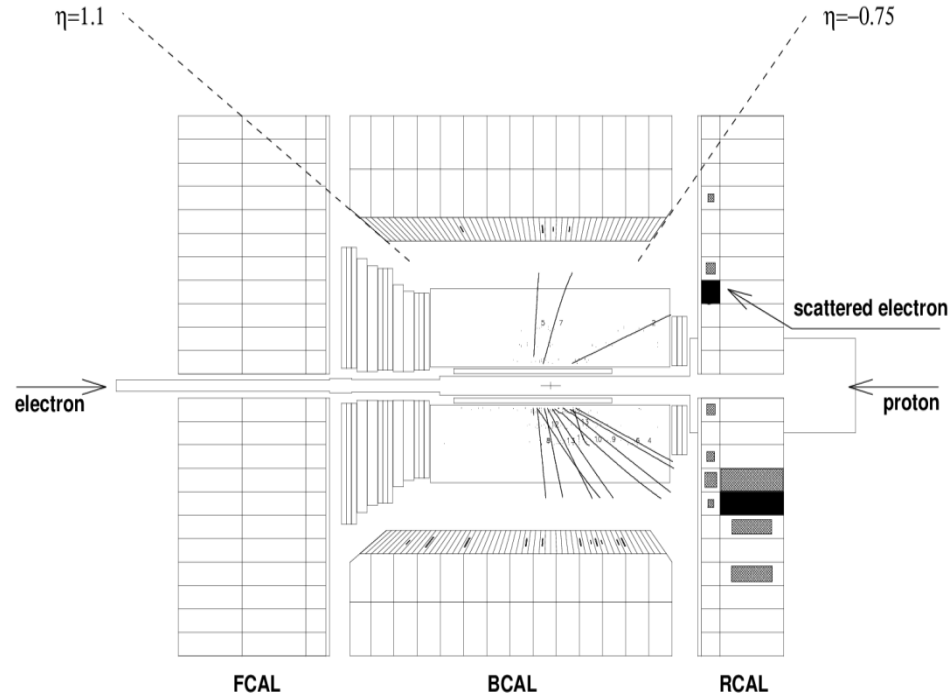
- Diffractive PDFs: extracted by QCD fit through slope of scaling violations
- DPDFs dominated by gluons, but a significant quark content may also be present (singlet part)
- Gluon densities have large uncertainties in shape, and are used in theoretical predictions compared to the results shown later in this talk



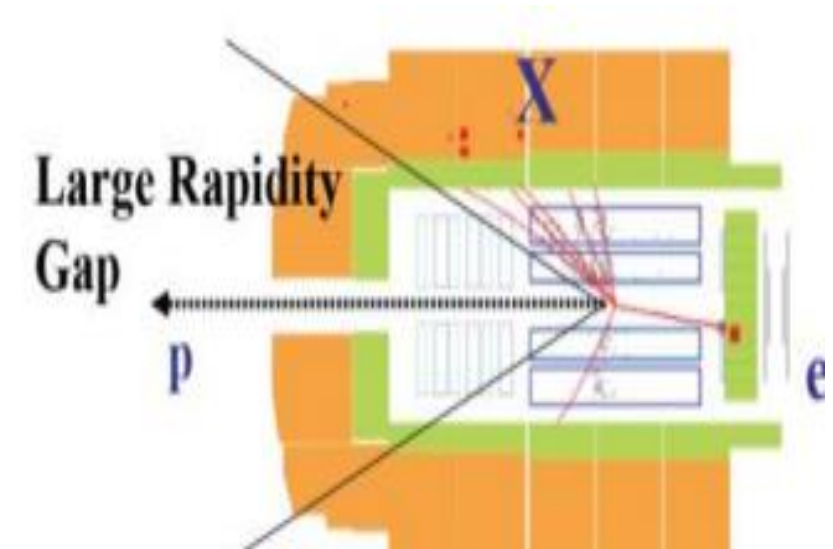
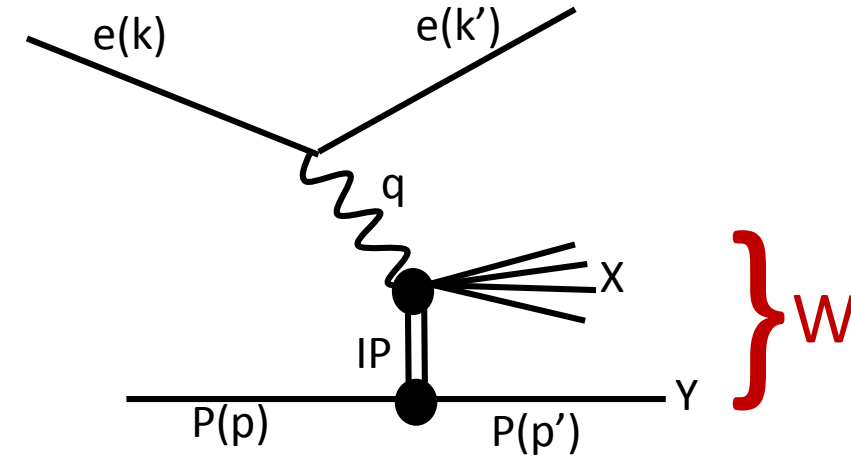
H1 2006 DPDF Fit A
 (exp. error)
 (exp.+theor. error)

H1 2006 DPDF Fit B
 (exp.+theor. error)

Experimental Methods

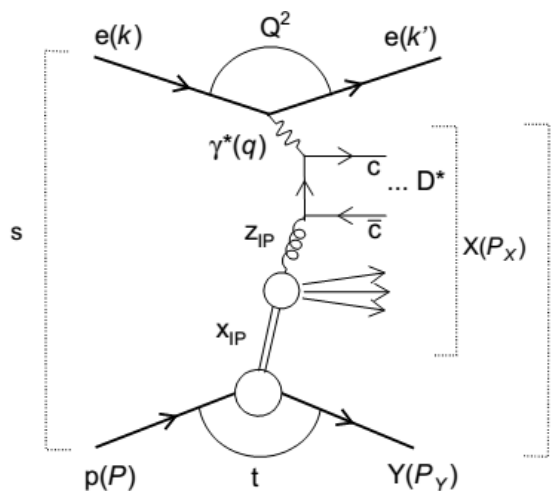


- Diffractive events at HERA are characterised by a **Large Rapidity Gap** in X and Y.
- May contain proton dissociative background
 -(could be estimated by proton spectrometer)
- High statistics

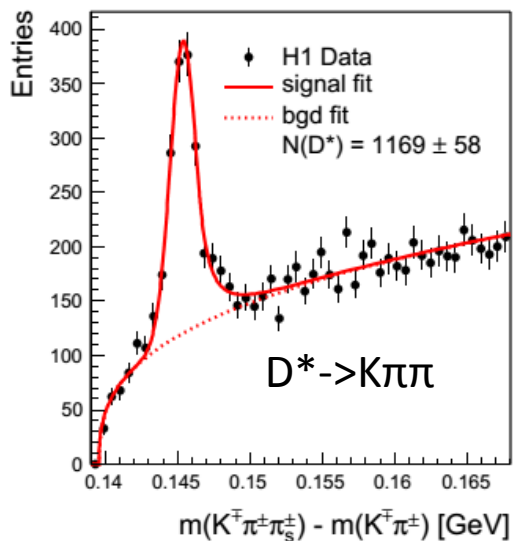


H1 event display

D* Production in Diffractive DIS



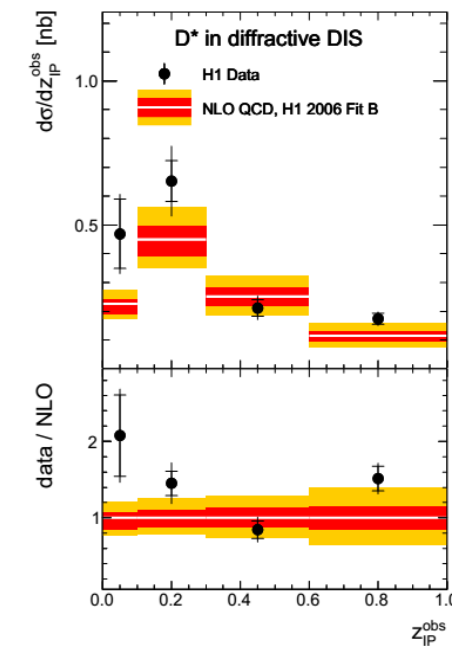
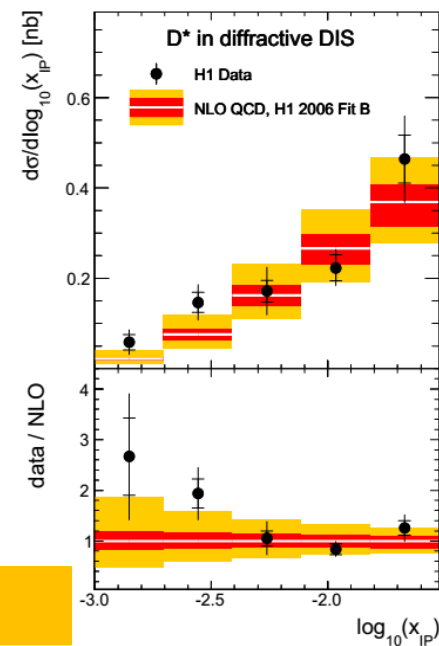
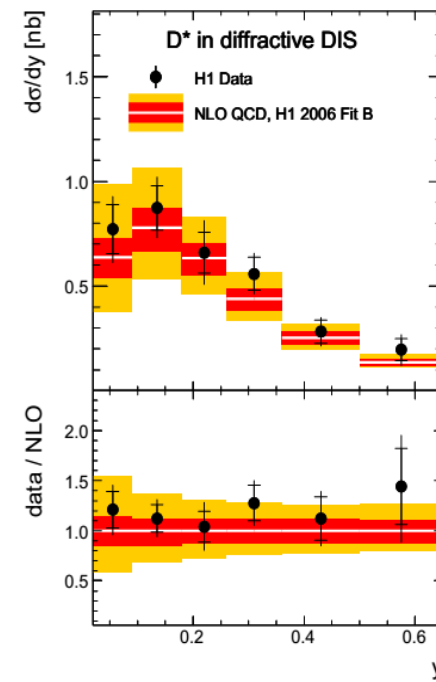
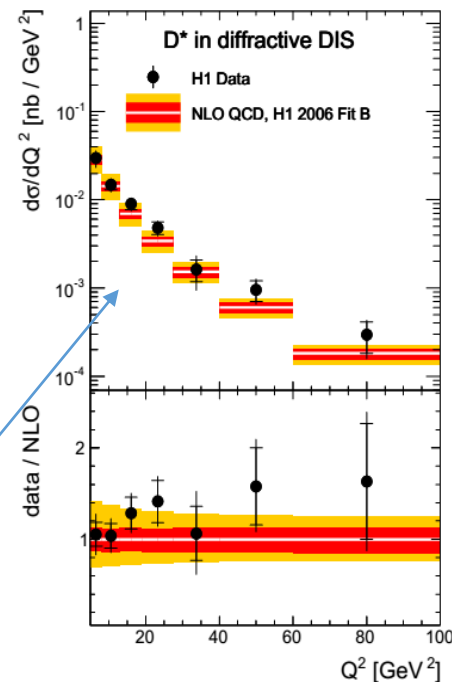
- D*: charm quark fragmentation
- "c" produced via boson-gluon fusion
- process is **sensitive to gluons**



Theory predictions include:
 DPDFs (determined from inclusive diffraction data)
 + massive NLO **matrix elements**
for charm production
 + D* fragmentation function

Fair agreement

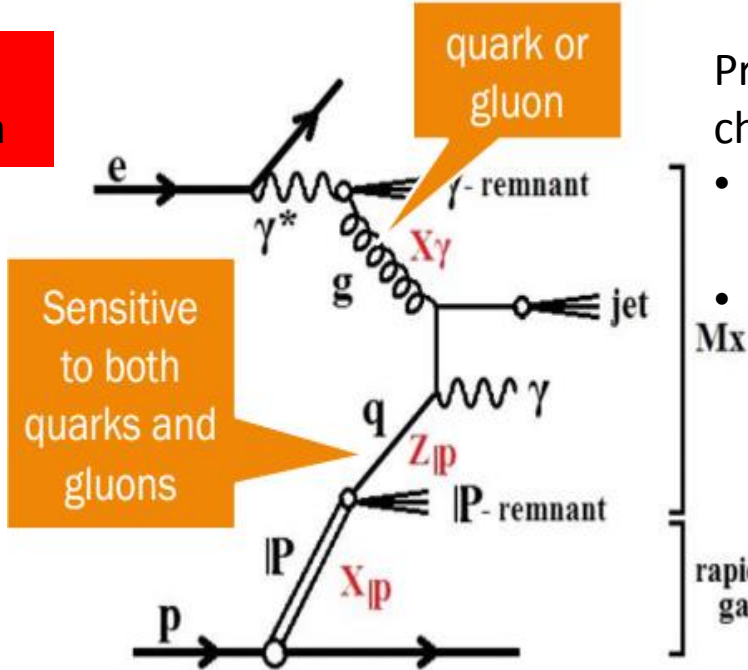
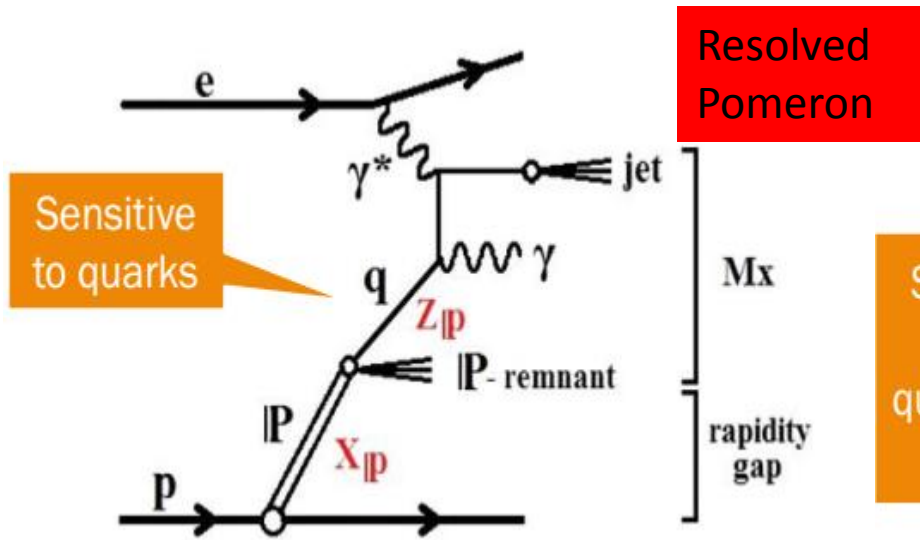
Data consistent with factorisation in diffractive DIS



Isolated Photon in Diffractive Photoproduction

Direct photoproduction

Resolved photoproduction
(absorptive effects may be present)



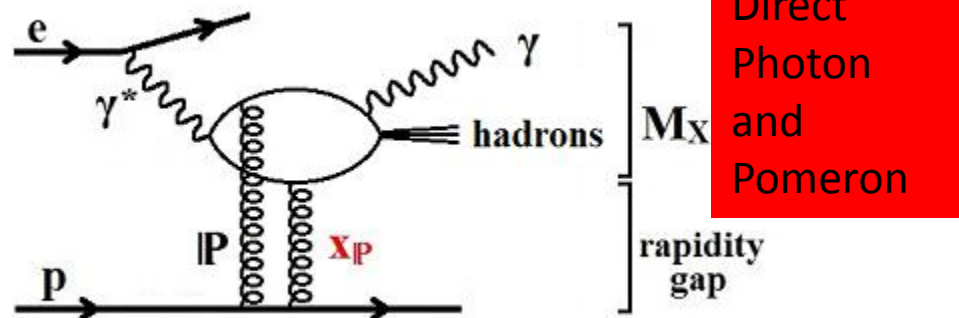
Prompt (hard isolated) photon, from a charged parton emitted =>

- Either quark/anti-quark are present in Pomeron
- Or higher order processes in which both Pomeron and photon couple to quarks.

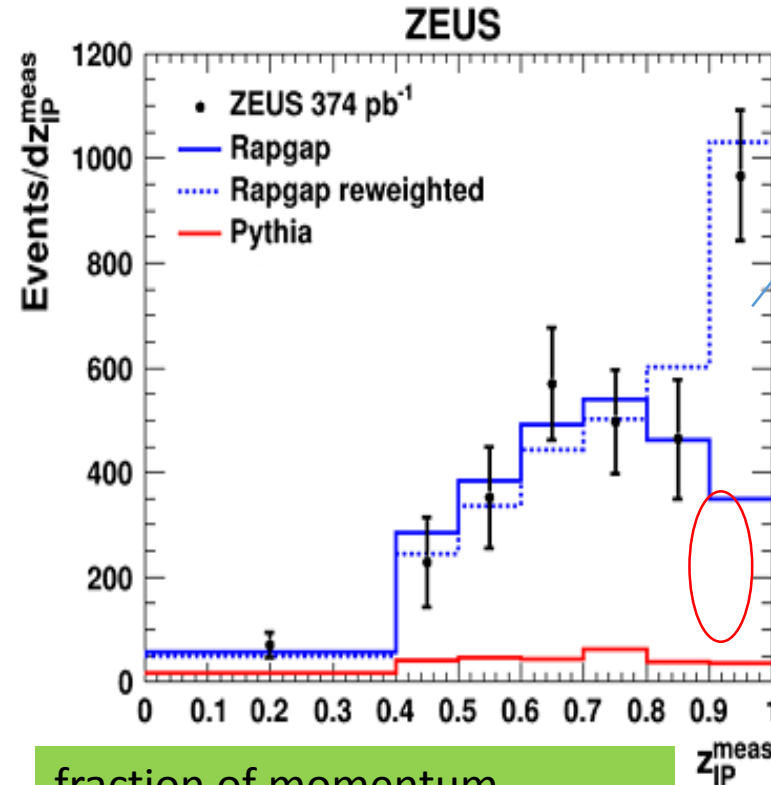
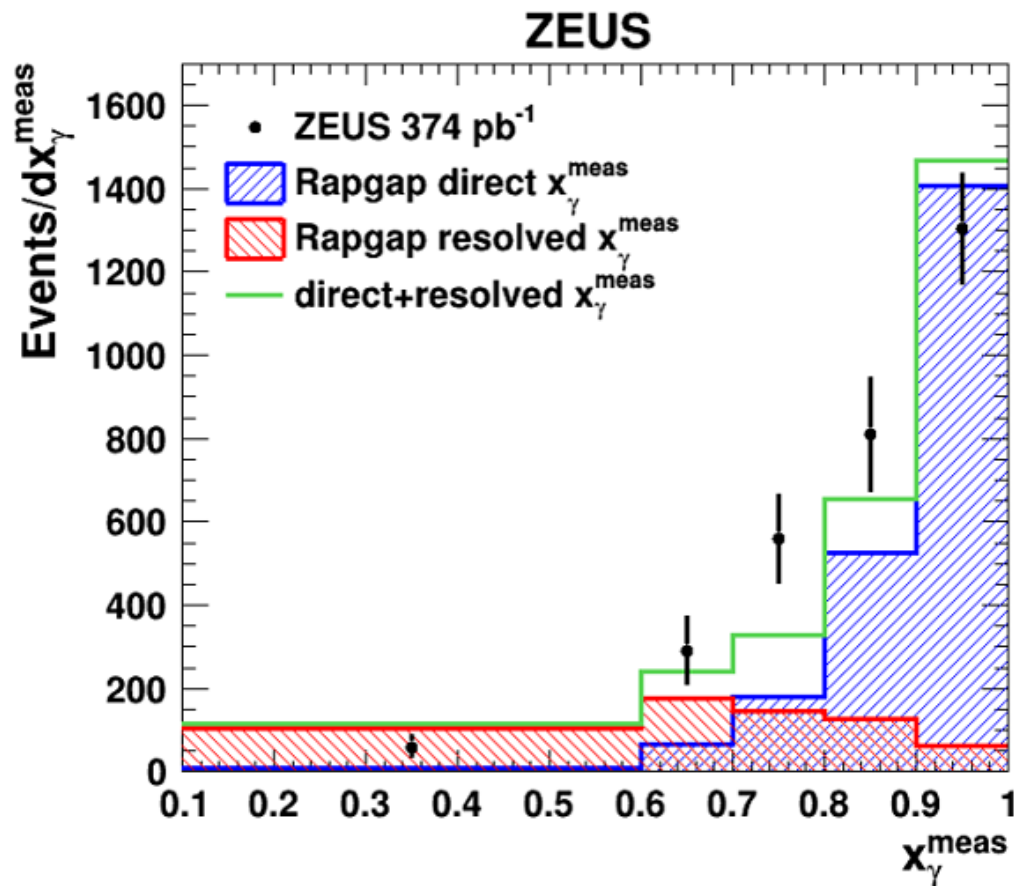
$$x_{\gamma}^{\text{meas}} = \frac{E^{\gamma} + E^{\text{jet}} - p_Z^{\gamma} - p_Z^{\text{jet}}}{E^{\text{all}} - p_Z^{\text{all}}},$$

$$x_P = (E^{\text{all}} + p_Z^{\text{all}})/2E_p,$$

$$z_P^{\text{meas}} = \frac{E^{\gamma} + E^{\text{jet}} + p_Z^{\gamma} + p_Z^{\text{jet}}}{E^{\text{all}} + p_Z^{\text{all}}},$$

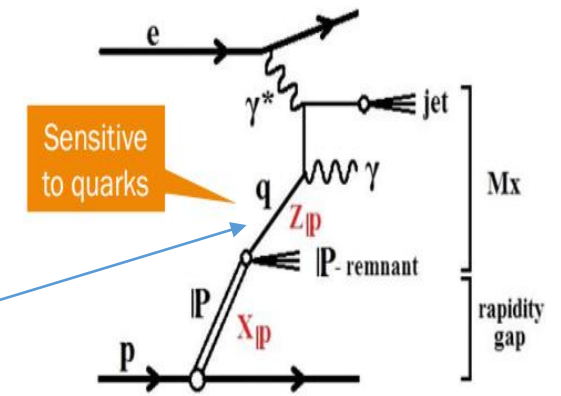


Data Vs MC Predictions: x_γ and z_{IP} spectrum



Data shows a Peak near unity ($z_{IP} > 0.9$),

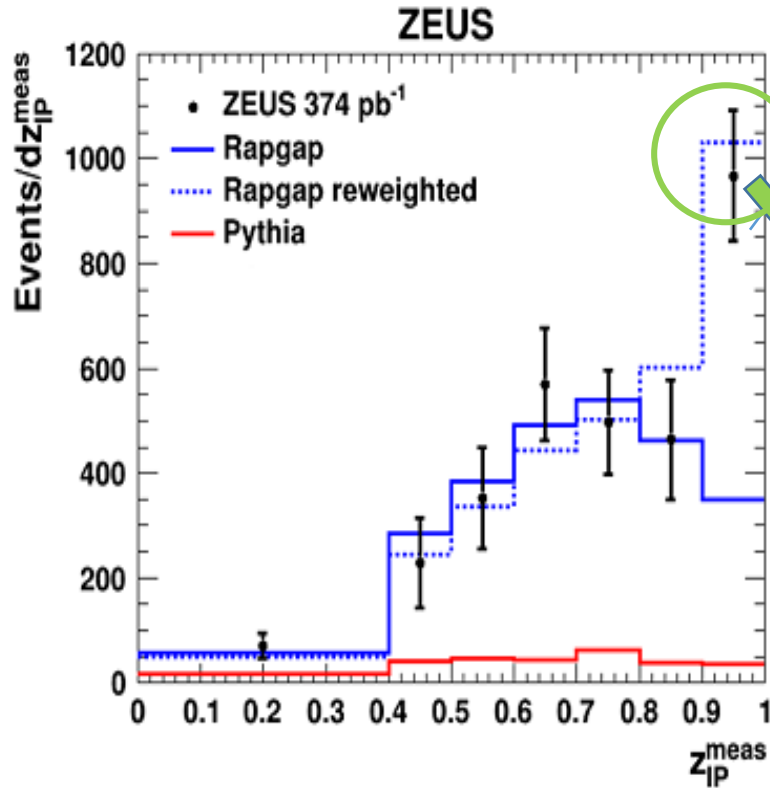
fraction of momentum, carried by the parton "seen" by direct or resolved photon



- **Prediction:** RAPGAP LO MC -with LO photon PDF (SaSG 1D) and **DPDF (H1 2006 Fit B)**
- **Resolved:Direct photon = 30:70** to describe the data (default RAPGAP predicts higher resolved fraction)

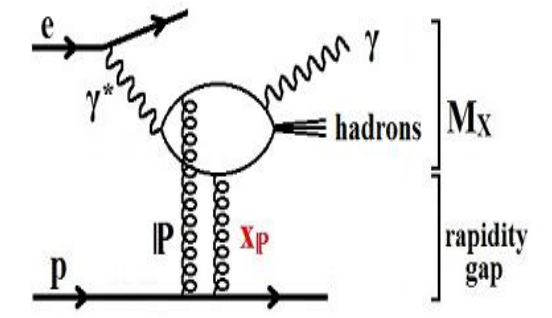
- For $z_{IP} > 0.9$, measurements made **for the first time in inclusive diffraction**
- DPDFs used are **determined only for $z_{IP} < 0.8$**
- For predictions in the region $z_{IP} > 0.8$, DPDFs were **extrapolated in RAPGAP** on basis of resolved pomeron model.

z_{IP} Spectrum: Re-weighting Direct Vs Resolved Component

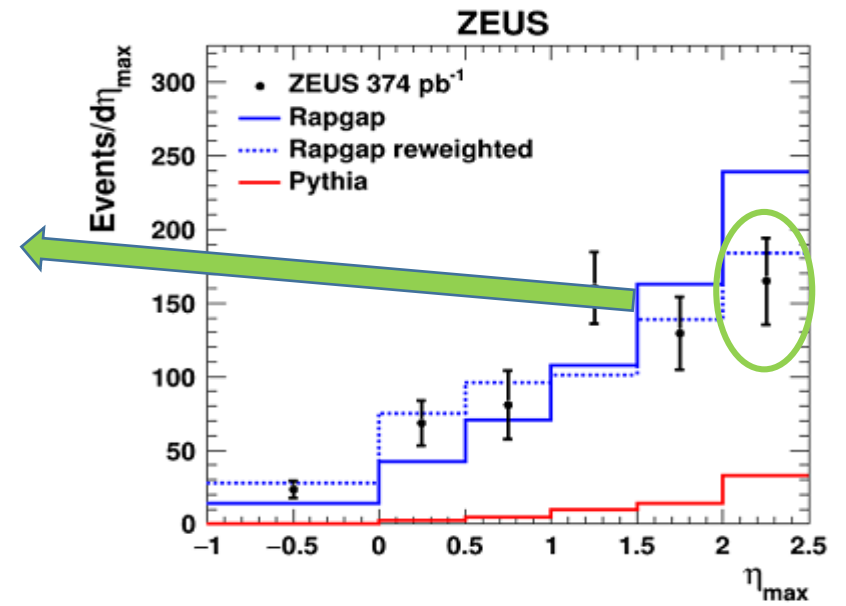


Peak near unity

- no background source identified
- “Super-hard” component in Pomeron?
- RAPGAP was **reweighted for additional (7%) direct component of photon**, which describes the data well, which **supports direct photon and Pomeron interaction**

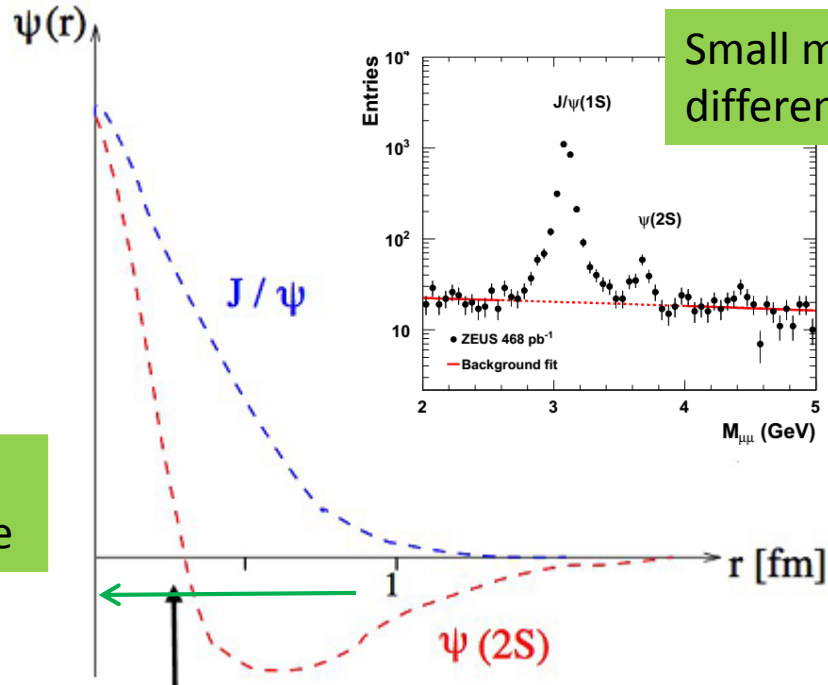


Good agreement between data and predictions after MC additional re-weighting for direct process



Ratio of $\sigma_{\psi(2s)}/\sigma_{J/\psi(1S)}$ in Diffractive DIS

$\psi(2s)$ & $J/\psi(1S)$ have same quark content (c, cbar), but different radial distributions of the wave functions:



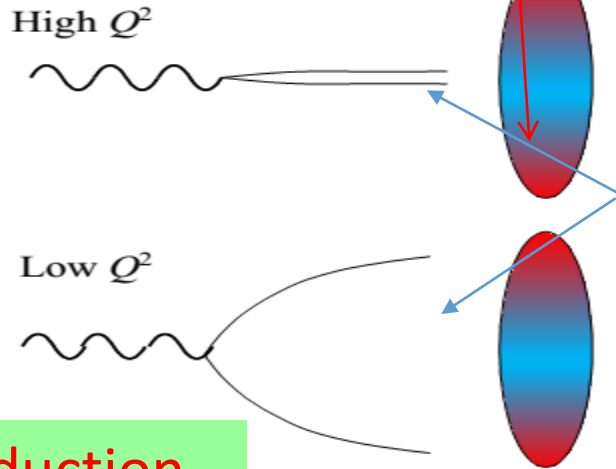
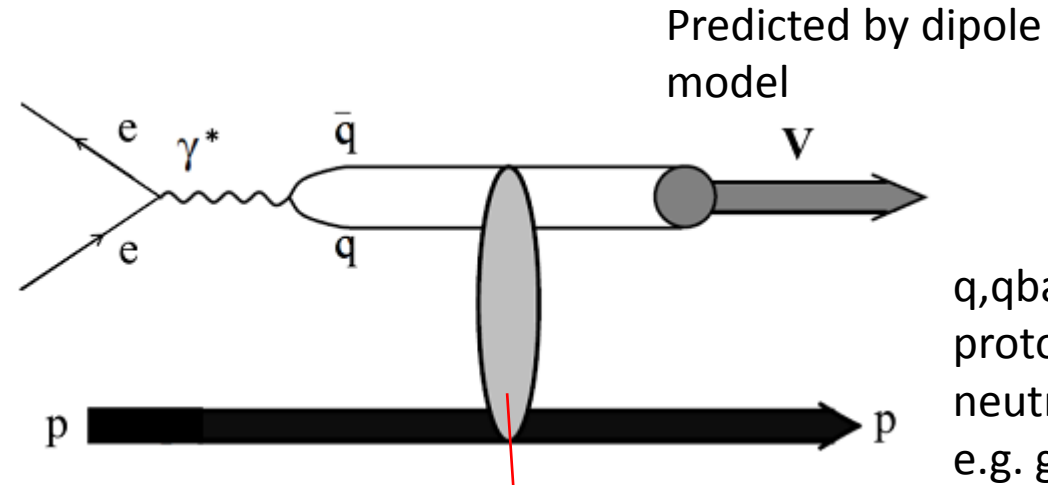
Small mass difference

DIS Regime

Node at $r \sim 0.35$ fm

Prediction: the ratio of ψ to J/ψ production cross section (R) increases with Q^2

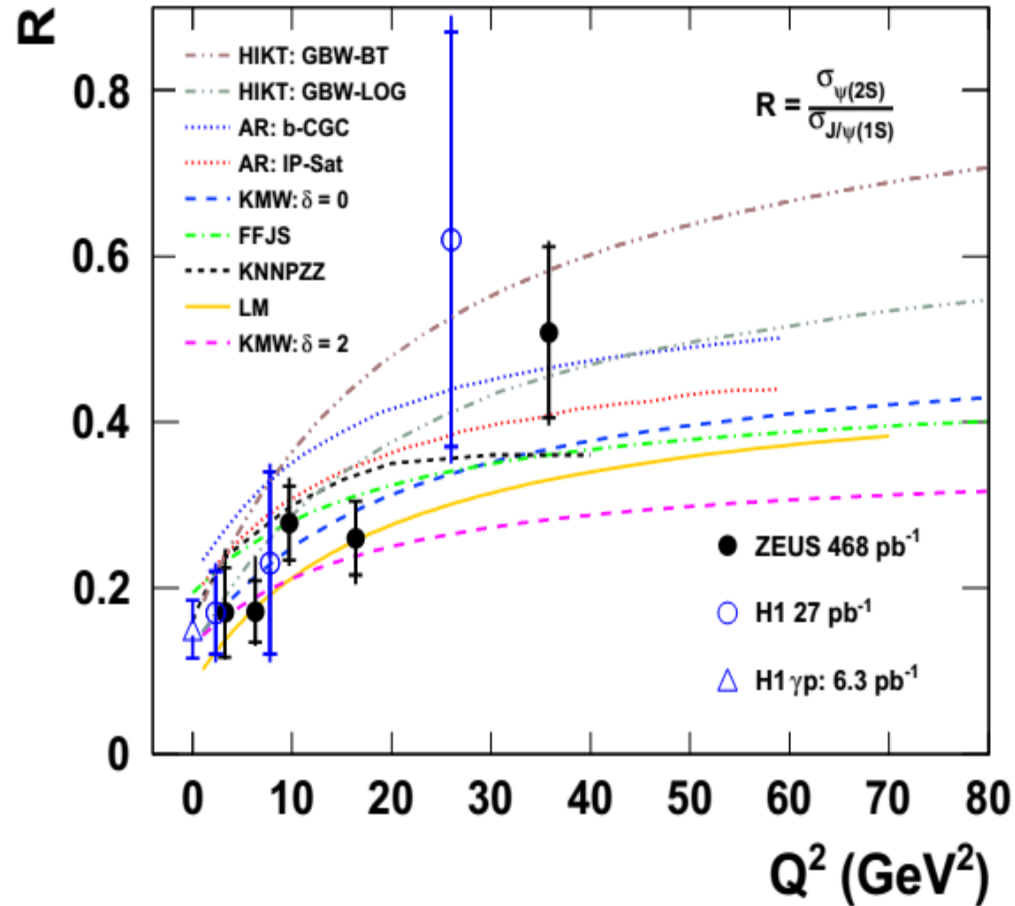
in Diffractive DIS



Dipole Model

Photon (or $q\bar{q}$) “transverse size”
 - Small for high- Q^2 DIS $\ll 1$ fm
 - Large for photoproduction $O(1$ fm)

Mass Distribution and R Vs Q^2



Steep increase in R with Q^2
from photoproduction to
DIS regime

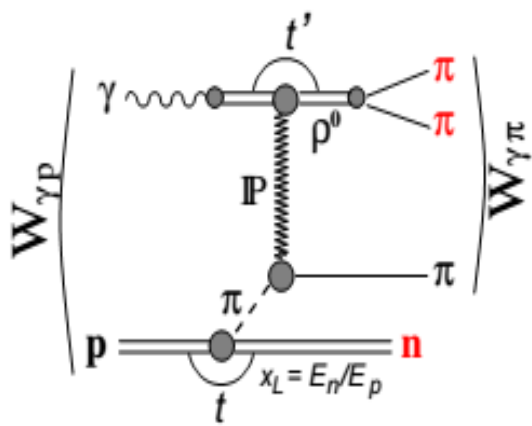
- Measured R is compared to:
 - previous H1 Results: both are in good agreement
 - various theoretical predictions of QCD inspired models of exclusive vector-meson production
- Models with very slow increase in R with Q^2 are not favoured

Summary

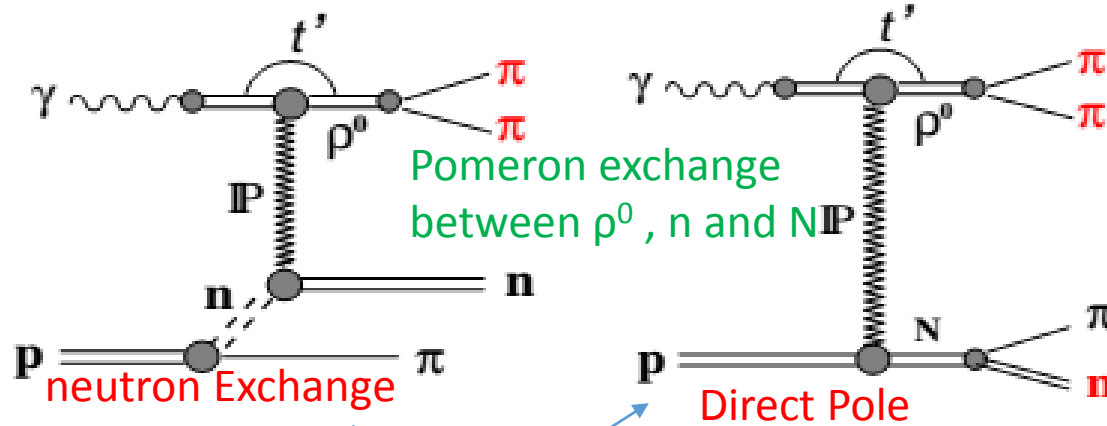
- D^* in DIS:
 - Diffractive factorisation in DIS further supported
- Jet + direct γ in photoproduction:
 - Hard (quark) component in diffraction (?)
- $\psi(2s)$, $J/\psi(1S)$ production:
 - Insight to charmonium production

THANKS !!

ρ^0 Photoproduction with a Leading Neutron



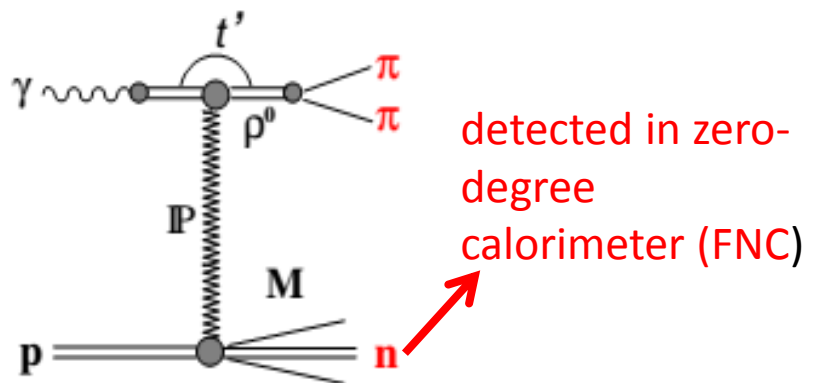
One pion Exchange (OPE) dominant for large x_L



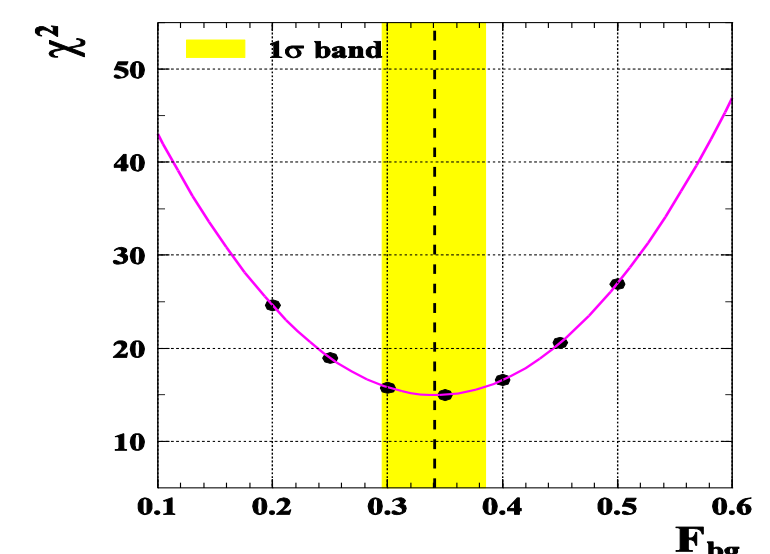
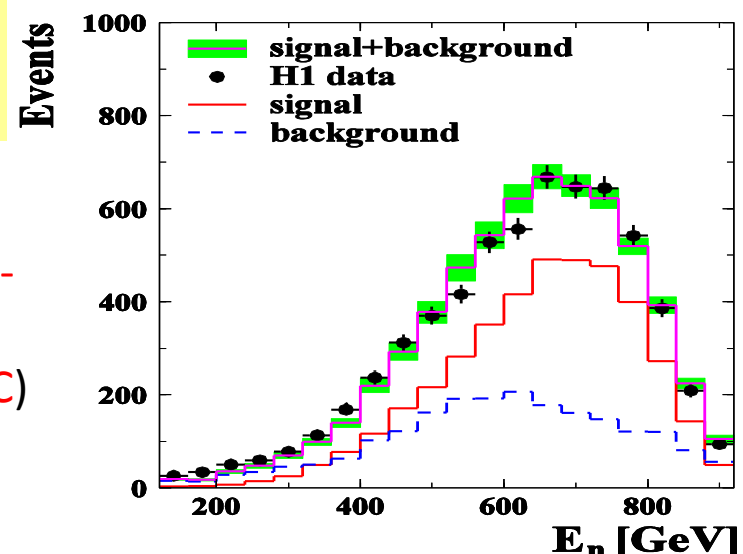
at small t , cancel each other (scattering amplitude same in mag. But opp. In sign)

$\gamma p \rightarrow \rho^0 \pi^+ n$, $\pi^+ \rightarrow$ undetected
 \Rightarrow BG from other type of events with leading neutron, eg. Proton dissociation

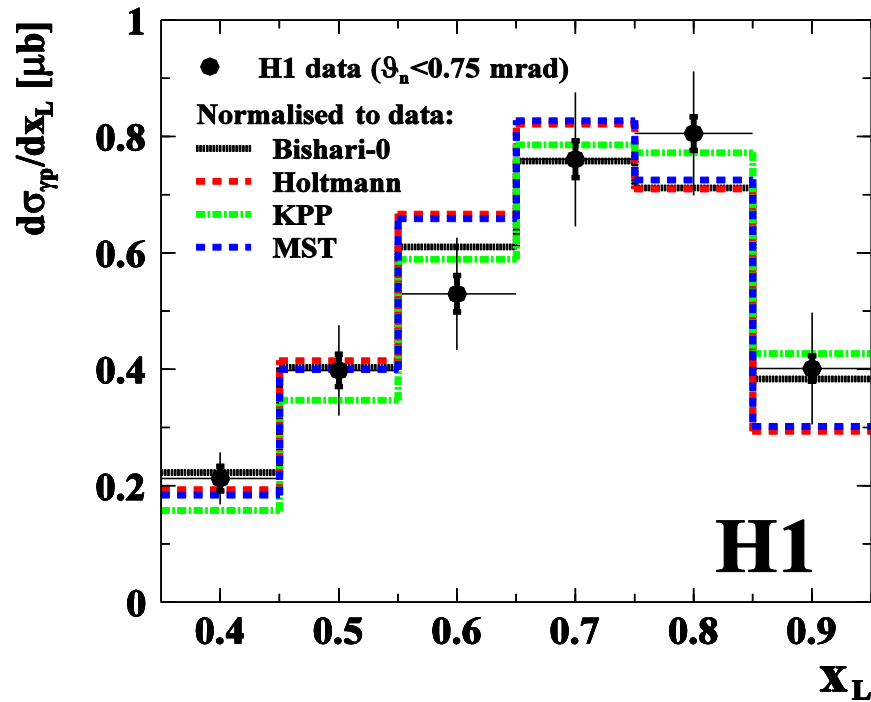
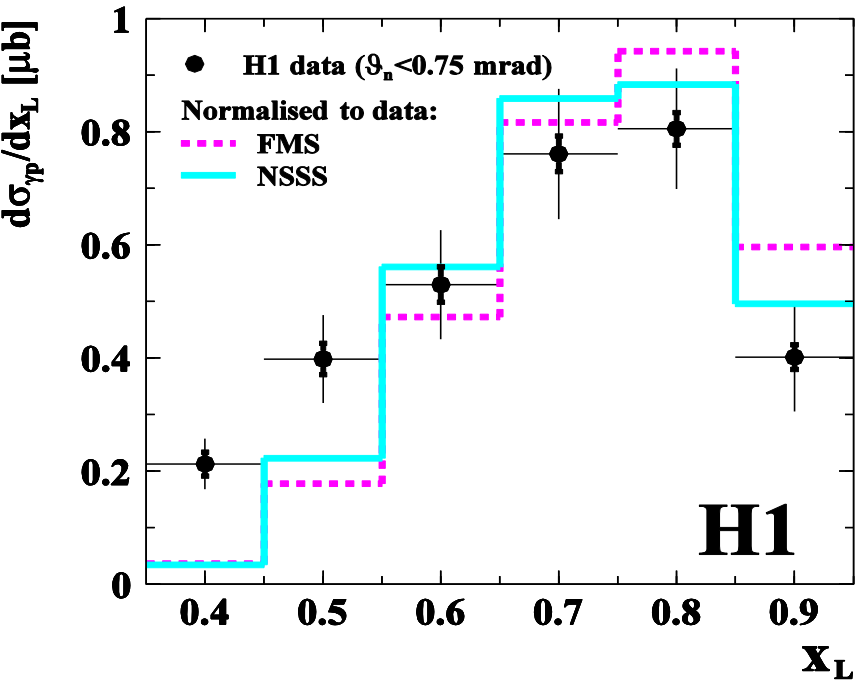
ρ^0 with Forward Neutron



detected in zero-degree calorimeter (FNC)



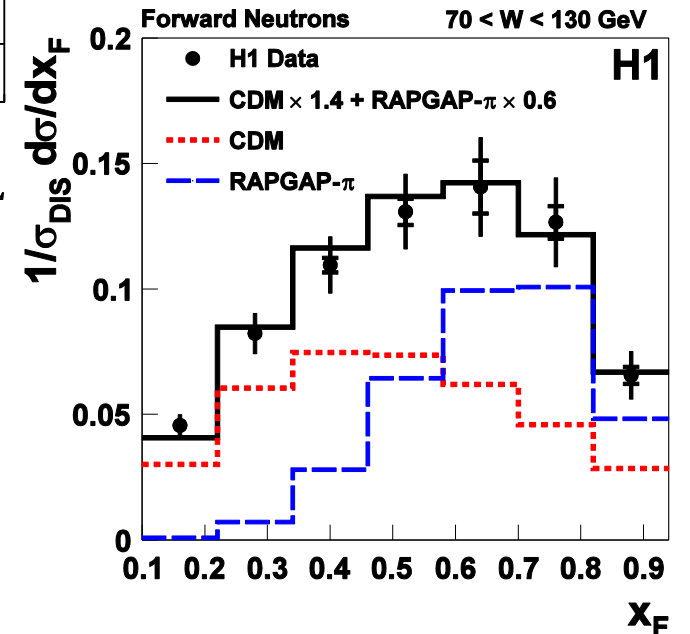
$\rho^0+n: \gamma p$ Cross Sections



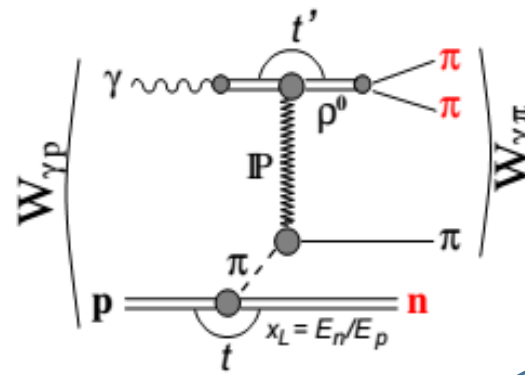
Present analysis
 $0.35 < x_L < 0.95$
 $t' < 1 \text{ GeV}^2$

DESY-14-035

- Similar shape **as the inclusive neutron**
 - **supports factorisation** at proton-neutron vertex
- Well described by many of models
 - except for FMS and NSSS

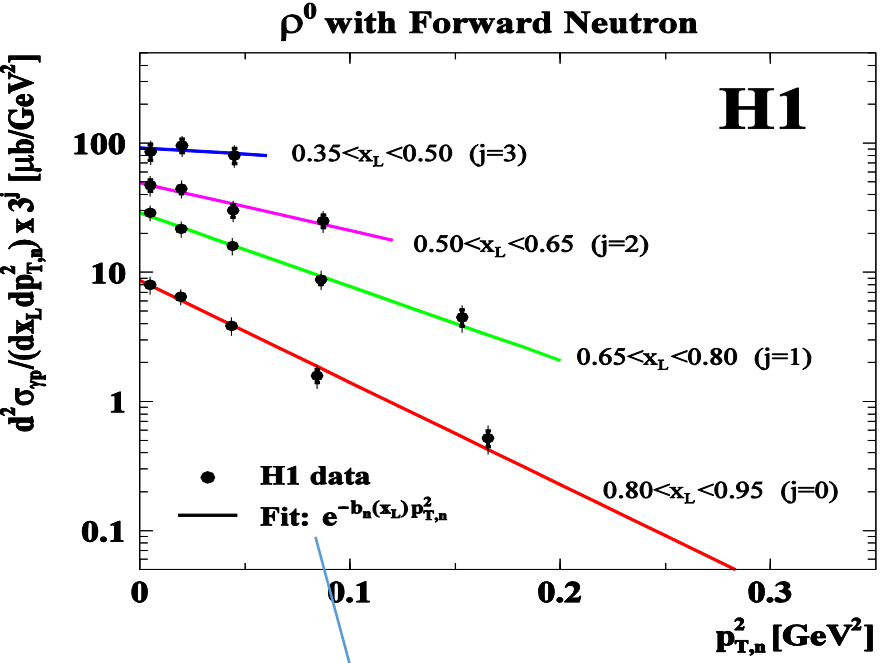


ρ^0+n : t-Slope Measurement



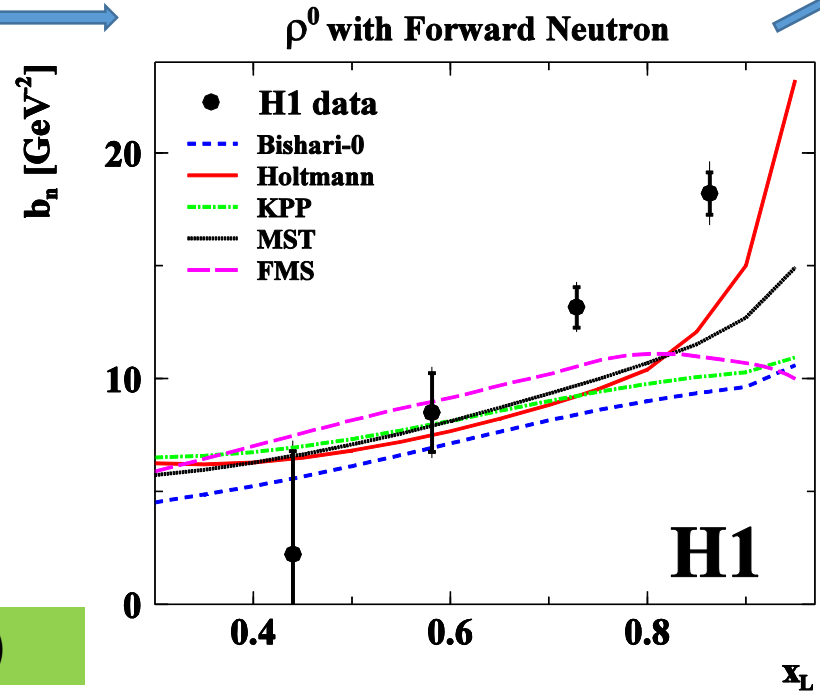
Data has high b parameter at very high x_L

Similar behaviour but less pronounced steepness for inclusive leading neutron production (DESY-02-039)

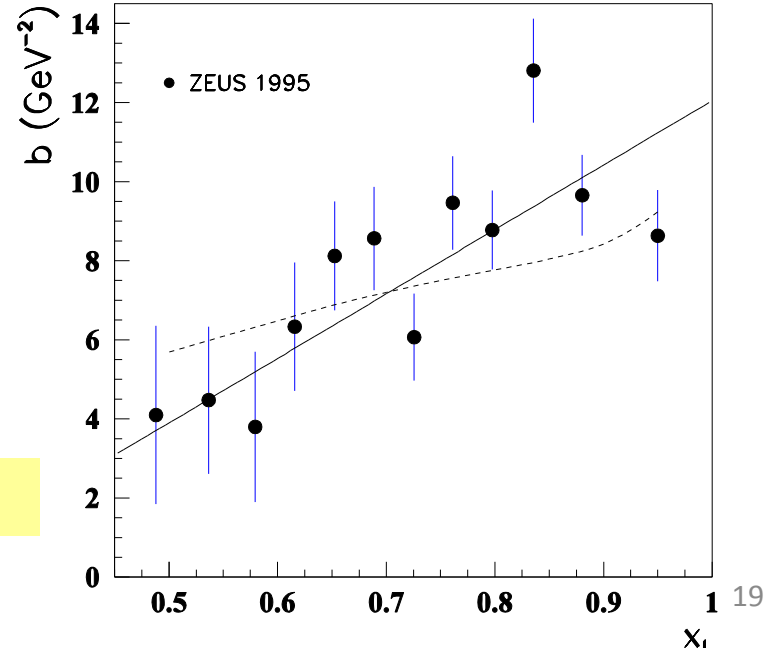


$p_{T,n}^2 = -t$

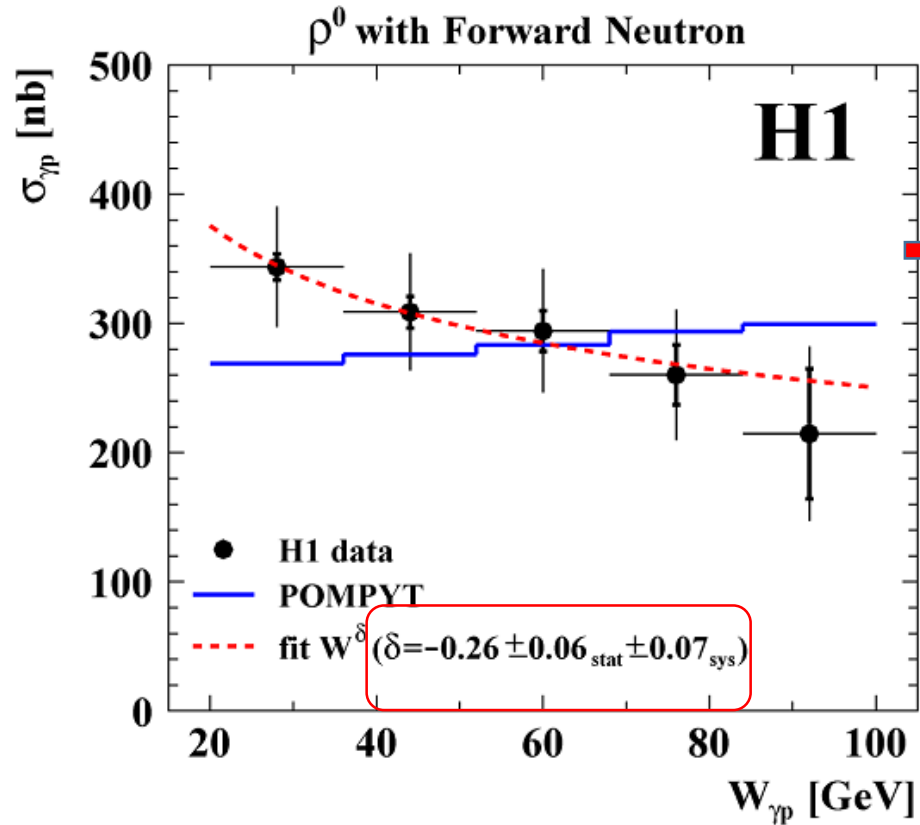
Fit function used for each $x_L = \exp(-b_n \cdot p_{T,n}^2)$



Impact parameter = $2b \cdot (hc/2\pi)^2$

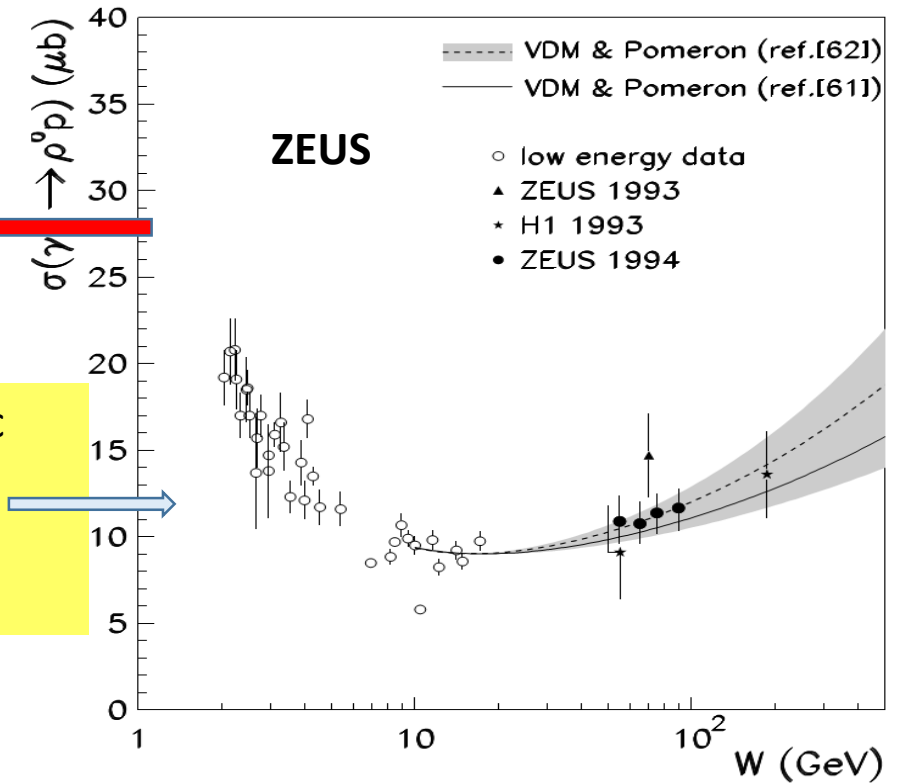


ρ^0+n : Energy_(γp) Dependence of Cross Section



Different behaviour

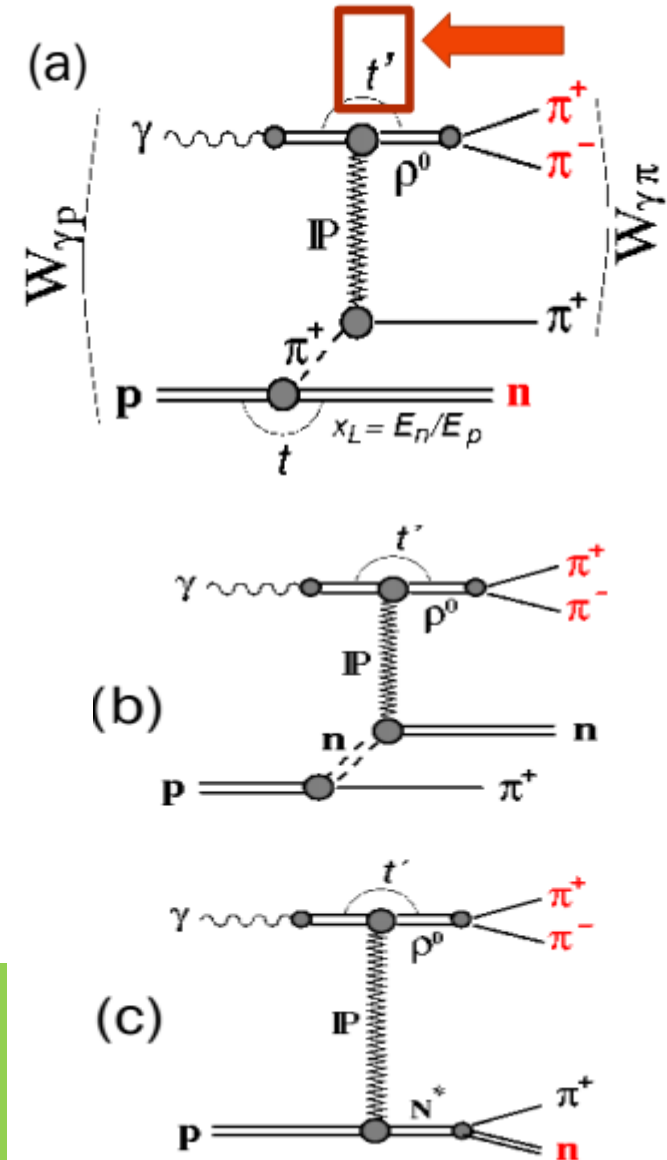
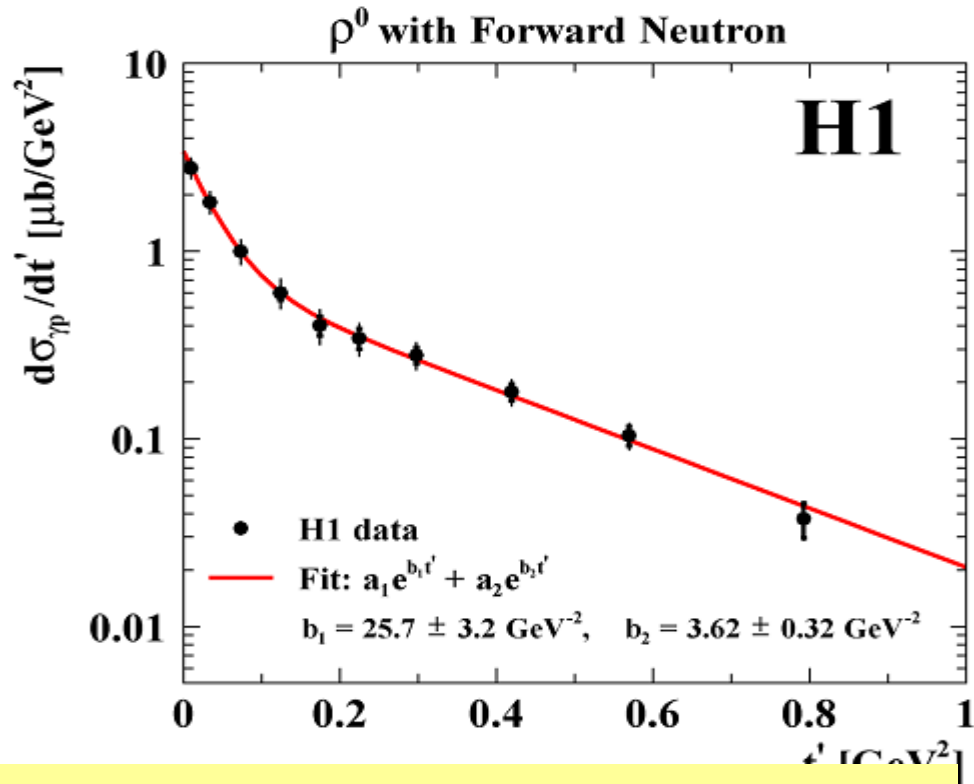
DESY 97-237 (elastic and proton dissociative ρ^0 photoproduction)



- In POMPYT energy dependence is driven by Pomeron exchange only
- Fit - - - is Regge Motivated power law

$r_{\text{el}} = \sigma_{\text{el}}^{\gamma\pi} / \sigma_{\text{el}}^{\gamma p} \approx 0.25$ at $\langle W \rangle = 24$ GeV
 $r_{\text{tot}} = \sigma_{\text{tot}}^{\gamma\pi} / \sigma_{\text{tot}}^{\gamma p} \approx 0.32$ at $\langle W \rangle = 107$ GeV (DESY-02-039)
 – smaller than additive quark model (≈ 0.6)
 – May be attributed to absorption/rescattering

ρ^0+n : t' Dependence of Cross Section



$b_1 \approx 25.7$

➤ impact parameter $\approx (1.6 R_p)^2$

➤ Very peripheral scattering, hinting at:

- change of slope is due to interference between various diagrams (a),(b),(c) according to double-peripheral process (π , IP)
- “Pion dissociation” component?

$\rho^0 + n$: diffractive “ $\gamma \pi$ ” scattering

- Factorisation between γ and proton vertex is supported
- One-pion exchange describes most of the feature of the data
- Hints at absorption effects

Factorisation

- QCD factorisation

$$\sigma^D(\gamma^*p \rightarrow Xp) \sim f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma_{\gamma^*i}(x, Q^2)$$

DPDFs – obey DGLAP,
universal for diff. ep
DIS

hard scattering
cross section

- Proton vertex factorisation - conjecture

$$f_i^D(x, Q^2, x_{IP}, t) \sim f_{IP/p}(x_{IP}, t) f_{i/IP}^D(\beta, Q^2)$$

pomeron flux
factor

pomeron PDF

Outline

- D* production in diffractive Deep Inelastic Scattering (DIS) [H1 Collaboration]
 - (287 pb⁻¹) (5 < Q² < 100 GeV²)
- Ratio of $\sigma_{\psi(2s)}/\sigma_{J/\psi(1s)}$ in diffractive DIS [ZEUS Collaboration] (114 pb⁻¹)
 - (2 < Q² < 80 GeV², 30 < W < 210 GeV) (HERA I and II)
- Diffractive photoproduction of Isolated Photons [ZEUS Collaboration]
 - (456 pb⁻¹)
 - 1.5 < Eta jet < 1.8