CP Violation in neutrino oscillations and non-standard interactions

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DUNE DEEP UNDERGROUND NEUTRINO EXPERIMENT

INO Collaboration

Frontiers in Electroweak interactions of leptons and hadrons, AMU, 06 Nov 2016

Plan of the talk

- Deep Underground Neutrino Experiment (DUNE) : what is it best suited for ?
- CP violation signal at DUNE assuming standard interactions (SI)
- OP violation in Neutrino oscillations
 - CP asymmetries in appearance channel : SI and NSI
- Impact of nonstandard interactions (NSI) on the CP violation signal at DUNE
 - event rates, sensitivity studies, baseline optimisation, exposure optimisation etc
- Other ongoing work on long baselines

CP violation sensitivity @ DUNE



Mega-watt class beam, wide band beam 0.5 – 10 GeV Baseline is 1300 km Ideal for mass ordering and CP violation



Probability at 1300 km and flux

To exploit the full three flavour effects in neutrino oscillations

- onstrain the known parameters and measure the unknown parameters
- DUNE has a broad program of neutrino oscillation physics
 - Beam covers first (2.5 GeV) and second (0.8 GeV) oscillation maxima
 - will run in both neutrino and antineutrino mode for ~6-10 years

 $\frac{L(\mathrm{km})}{E_{\nu}(\mathrm{GeV})} = (2n-1)\frac{\pi}{2}\frac{1}{1.27 \times \Delta m_{31}^{2}(\mathrm{eV}^{2})}$ $\approx (2n-1) \times 510 \,\mathrm{km/GeV}$







Why 1300 km ?



Precision on standard mixing angles, phase



Red bands : Reference – optimised beam design

Delta CP

precision better for vanishing CP phase (CPC) and worse for maximal CPV value (90 degrees)

Range of delta CP resolution : 6–10 degrees for 10 year run

CDR, Vol 2, DUNE Collaboration, <u>1512.06148</u> [physics.ins-det]

CP Violation sensitivity



<u>1512.06148</u> [physics.ins-det]

Mass ordering sensitivity



CDR, Vol 2, DUNE Collaboration, <u>1512.06148</u> [physics.ins-det]

Standard neutrino oscillations and beyond that...

Two flavor case

• Flavor states are connected to mass states by :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Each mass eigenstate propagates as

$$e^{ipz}$$
 with $p = \sqrt{E^2 - m^2} \simeq E - m^2/2E$

- Oscillation arises due to phase difference between mass eigenstates $\frac{\delta m^2}{2E}z$
- Oscillation probability

$$P_{e\mu}(L/E) = \sin^2 2\theta \sin^2(\frac{\delta m^2 L}{4E})$$

$$\delta m^2 = m_2^2 - m_1^2$$





Visualizing oscillations

Schrodinger equation in terms of flavour spinor (in the UR limit)

$$i\partial_t \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \mathbb{H} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \frac{\delta m^2}{2E} \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Neutrino flavor density matrix and commutator form

$$\rho = \begin{pmatrix} \langle \nu_e | \nu_e \rangle & \langle \nu_e | \nu_\mu \rangle \\ \langle \nu_\mu | \nu_e \rangle & \langle \nu_\mu | \nu_\mu \rangle \end{pmatrix} \qquad i\partial_t \rho = [\mathbb{H}, \rho] \\ |\vartheta, -\rangle = ($$

Expand 2 by 2 Hermitian matrices in terms of Pauli matrices

$$\mathbb{H} = \frac{\delta m^2}{2E} B \cdot \sigma$$

$$B = (\sin 2\theta, 0, \cos 2\theta)$$

Analogous to spin precession in a magnetic field

 $\rho = \frac{1}{2} [Tr(\rho) + P \cdot \sigma]$

$$\dot{P} = \omega B \times P$$

Ref: Mehta, PRD79 (2009); see also Kim, Sze and Nussinov, PRD35 (1987); Kim, Kim and Sze, PRD37 (1988).

$$|\vartheta, +\rangle = \begin{pmatrix} \cos(\vartheta/2) \\ \sin(\vartheta/2) \end{pmatrix}$$

JR limit)
$$|\nu_{\alpha}\rangle$$

$$axis$$

$$axis$$

$$|\vartheta, +\rangle$$

$$\vartheta$$

$$|\vartheta, +\rangle$$

$$\psi$$

$$|\vartheta, -\rangle$$

$$y$$

$$|\nu_{\beta}\rangle$$
Flavour
$$axis$$

L. Wolfenstein

Carnegie-Mellon University, Pittsburgh, Pennsylvania 15213 (Received 6 October 1977; revised manuscript received 5 December 1977)

The effect of coherent forward scattering must be taken into account when considering the oscillations of neutrinos traveling through matter. In particular, for the case of massless neutrinos for which vacuum oscillations cannot occur, oscillations can occur in matter if the neutral current has an off-diagonal piece connecting different neutrino types. Applications discussed are solar neutrinos and a proposed experiment involving transmission of neutrinos through 1000 km of rock.



in matter

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account when considering the oscillations of ase of massless neutrinos for which vacuum the neutral current has an off-diagonal piece

plications discussed are solar neutrinos and a proposed experiment nos Malfenstein km of rock.

Earlier work : S. Nussinov, Phys.Lett.B63 (1976) 201

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t when considering the oscillations of assless neutrinos for which vacuum ral current has an off-diagonal piece neutrinos and a proposed experiment

Neutrinos in a medium suffer flavor-dependent refraction



$$V_{\text{weak}} = \sqrt{2}G_{\text{F}} \times \begin{cases} N_{\text{e}} - N_{\text{n}}/2 & \text{for } \nu_{\text{e}} \\ -N_{\text{n}}/2 & \text{for } \nu_{\mu} \end{cases}$$

Typical density of Earth: 5 g/cm³

$$\Delta V_{\text{weak}} \approx 2 \times 10^{-13} \text{ eV} = 0.2 \text{ peV}$$

- Elastic forward scattering dominates at low E (real part)
- Incoherent scattering cross section is usually very small

The potential changes sign for anti

neutrinos

The MSW effect

In electrically neutral matter, UR limit

$$\mathbb{I}_{\nu} = \left(p + \frac{m_1^2 + m_2^2}{4p} + \frac{\mathbf{V}_{\mathbf{C}}}{2} + \mathbf{V}_{\mathbf{N}}\right) \mathbb{I} + \frac{1}{2} \begin{pmatrix} \mathbf{V}_{\mathbf{C}} - \omega \cos 2\theta & \omega \sin 2\theta \\ \omega \sin 2\theta & -(\mathbf{V}_{\mathbf{C}} - \omega \cos 2\theta) \end{pmatrix}$$

 $V_C = \sqrt{2}G_F n_e$ and $V_N = -\sqrt{2}G_F n_n/2$



 m^2 Ref: Bethe (1986) Mixing becomes maximal when the diagonal elements vanish, i.e. v_{2m} $\frac{V_C}{\omega} = \cos 2\theta$ v_2 $V_C = \sqrt{2}G_F n_e$ ν_{μ} ν_{μ} $\omega = \frac{\delta m^2}{2E}$ Complete conversion in the adiabatic limit !

Neutrino oscillations and present status

Oscillation Parameter	Best-fit value	3σ range	Precision $(\%)$	
$\sin^2 \theta_{12} / 10^{-1}$	3.23	2.78 - 3.75	14.85	
$\sin^2 \theta_{23} / 10^{-1} \text{ (NH)}$	$5.67 \ (4.67)^a$	3.92 - 6.43	24.25	
$\sin^2 \theta_{23} / 10^{-1} \text{ (IH)}$	5.73	4.03 - 6.40	22.72	
$\sin^2 \theta_{13} / 10^{-2} \text{ (NH)}$	2.34	1.77 - 2.94	24.84	
$\sin^2 \theta_{13} / 10^{-2} $ (IH)	2.40	1.83 - 2.97	23.75	
$\delta m_{21}^2 \left[10^{-5} \ {\rm eV}^2 \right]$	7.60	7.11 - 8.18	7.00	
$ \delta m_{31}^2 \left[10^{-3} \text{ eV}^2 \right] (\text{NH})$. 2.48	2.30 - 2.65	7.07	
$ \delta m_{31}^2 \left[10^{-3} \text{ eV}^2\right] (\text{IH})$	2.38	2.30 - 2.54	5.00	
δ/π (NH)	1.34	0.0 - 2.0	-	1014
$\delta/\pi~(\mathrm{IH})$	1.48	0.0 - 2.0	-	
^{<i>i</i>} This is a local minimum in to the global minimum.	the first octant of	θ_{23} with $\Delta \chi^2$ =	= 0.28 with respect	 Presently unknown
). Forero, M. Tortola, and J. Valle (20	 mass hierarchy 			
				• CP phase

• octant of theta23

Neutrino oscillations require - physics beyond the SM

Standard Model ingredients :



- 1. No right-handed neutrinos
- 2. Only Higgs doublet of SU(2)
- 3. Only renormalizable terms

- Neutrinos are massless in the SM with the three neutrino flavours distinguished by separate Lepton numbers
- Total lepton number distinguishes the neutrinos and antineutrinos
- Need to relax the above conditions 1 and/or 2 and/or 3 to generate neutrino mass
- Staying within SM is not an option !

Beyond the SM

- Simplest extension of SM -
 - introduce new right-handed sterile fermions into the SM so we have new fields with weird properties
- New parameters needed -
 - Image 3 masses, 3 mixing angles and 1 phase (more if Majorana)
- Very small masses suggest
 - new mass mechanisms seesaw mechanism or else fine tuning needed
- Quark-lepton unification quark and lepton mixing angles are very different

CP Violation in vacuum and matter

C, P, T in neutrino oscillations

$$A_{\alpha\beta}^{CP} = \frac{P_{\alpha\beta} - \bar{P}_{\alpha\beta}}{P_{\alpha\beta} + \bar{P}_{\alpha\beta}} , \quad A_{\alpha\beta}^{T} = \frac{P_{\alpha\beta} - P_{\beta\alpha}}{P_{\alpha\beta} + P_{\beta\alpha}} , \quad A_{\alpha\beta}^{CPT} = \frac{P_{\alpha\beta} - \bar{P}_{\beta\alpha}}{P_{\alpha\beta} + \bar{P}_{\beta\alpha}}$$

• Assume CPT Invariance -

$$A_{\alpha\beta}^{CP} = -A_{\beta\alpha}^{CP}$$
$$A_{\alpha\alpha}^{CP} = 0$$

No CP asymmetry in survival probability

• Assume Unitarity - $\sum_{\beta} P_{\alpha\beta} = 1 = \sum_{\beta} \overline{P}_{\alpha\beta}$

For three flavours, there can be only three independent CP asymmetries

$$A_{e\mu}^{CP} = A_{\mu\tau}^{CP} = A_{\tau e}^{CP} \propto \Delta P$$

$$A_{e\mu}^{T} = A_{\mu\tau}^{T} = A_{\tau e}^{T} \propto \Delta P$$

$$J = s_{12}c_{12}s_{23}c_{23}s_{13}c_{13}^{2}\sin\delta.$$

Jarlskog's factor

CP violation in the appearance channel

$$\begin{split} P_{\mu e} &= P_{atm} + P_{int}(\delta) + P_{sol} \\ \theta_{13}^2 & \theta_{13} & \theta_{13} - \mathrm{indep} \\ P_{\mu e}(\delta) &\simeq 4s_{13}^2 s_{23}^2 \left[\frac{\sin^2(1 - r_A)\lambda L/2}{(1 - r_A)^2} \right] \\ &+ 8r_\lambda \mathcal{J}_r \cos \delta \left[\cos \frac{\lambda L}{2} \frac{\sin r_A \lambda L/2}{r_A} \frac{\sin(1 - r_A)\lambda L/2}{(1 - r_A)} \right] \\ &- 8r_\lambda \mathcal{J}_r \sin \delta \left[\sin \frac{\lambda L}{2} \sin \frac{r_A \lambda L/2}{r_A} \frac{\sin(1 - r_A)\lambda L/2}{(1 - r_A)} \right] \\ &+ r_\lambda^2 c_{23}^2 s_{2 \times 12}^2 \frac{\sin^2(r_A \lambda L/2)}{r_A^2} , \\ \mathcal{J} = c_{12} c_{23} c_{13}^2 s_{12} s_{23} s_{13} \sin \delta \\ \lambda = \frac{\delta m_{31}^2}{2E} \quad : \quad r_\lambda = \frac{\delta m_{31}^2}{\delta m_{31}^4} \quad : \quad r_A = \frac{A(x)}{\delta m_{31}^4} . \end{split}$$

Genuine and fake CP effects



Non-standard Interactions

Ref: Review by T. Ohlsson (2012)

$$\mathcal{L}_{NSI} = -2\sqrt{2}G_F \epsilon^{fC}_{\alpha\beta} \left[\bar{\nu}_{\alpha} \gamma^{\mu} P_L \nu_{\beta} \right] \left[\bar{f} \gamma_{\mu} P_C f \right] \,,$$

Ref: Wolfenstein (1978), Grossman (1995), Berezhiani, Rossi (2002), Davidson et al. (2003) $P_C = (1\pm\gamma_5)/2.$

- Oscillation parameters such as the mixing angles and mass-squared splittings have been measured with great precision
- New physics interactions were initially proposed to provide an alternative to the oscillation formalism. However, this is now ruled out and we can study new physics effects as sub-leading effects in the discussion of oscillation formalism
- The new physics effects can impact determination of standard oscillation parameters and lead to more complicated parameter degeneracies



Direct bounds on matter NSI

$$\mathcal{L}_{NSI} = -2\sqrt{2}G_F \epsilon^{fC}_{\alpha\beta} \left[\bar{\nu}_{\alpha} \gamma^{\mu} P_L \nu_{\beta} \right] \left[\bar{f} \gamma_{\mu} P_C f \right] \,,$$

Ref: Wolfenstein (1978), Grossman (1995), Berezhiani, Rossi (2002), Davidson et al. (2003)

Conservative (use most stringent constraint in individual NSI terms)

$$|\epsilon_{\alpha\beta}| < \begin{pmatrix} 0.06 & 0.05 & 0.27 \\ 0.05 & 0.003 & 0.05 \\ 0.27 & 0.05 & 0.16 \end{pmatrix}$$

Ref: Davidson et al (2003)

 $\epsilon^{f}_{\alpha\beta} = \epsilon^{fL}_{\alpha\beta} + \epsilon^{fR}_{\alpha\beta}$

 Model-independent, assume uncorrelated errors on NSI terms (neutral Earth matter)

$$\epsilon_{\alpha\beta} \lesssim \left\{ \sum_{C=L,R} \left[(\epsilon_{\alpha\beta}^{eC})^2 + (3\epsilon_{\alpha\beta}^{uC})^2 + (3\epsilon_{\alpha\beta}^{dC})^2 \right] \right\}^{1/2} ,$$

for neutral Earth matter, leads to

 $\sim 0.0| - | 0$

$$|\epsilon_{\alpha\beta}| < \begin{pmatrix} 4.2 & 0.33 & 3.0 \\ 0.33 & 0.068 & 0.33 \\ 3.0 & 0.33 & 21 \end{pmatrix}$$

Ref: Biggio, Blennow, Fernandez-Martinez, arXiv:0907.0097

Neutrino data constraining NSI (SK + MINOS)

 $|\epsilon_{\mu\tau}| < 0.033, |\epsilon_{\tau\tau} - \epsilon_{\mu\mu}| < 0.147 \qquad \text{SK}$

 $-0.20 < \epsilon_{\mu\tau} < 0.07 \text{ (at 90\% CL) from MINOS}$

$$|\epsilon_{\alpha\beta}| < \begin{pmatrix} 4.2 & 0.3 & 0.5 \\ 0.3 & 0.068 & 0.04 \\ 0.5 & 0.04 & 0.15 \end{pmatrix}$$

more restrictive

Mitsuka et al. (Super-Kamiokande Collaboration), arXiv:1109.1889, MINOS Collaaboration

What are the consequences of these subdominant NSI terms for CP-violation studies at long baselines ?

M. Masud, A. Chatterjee, P. Mehta, J. Phys. G (2016) [1510.08261];
 M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Impact of single NSI : probability level



M. Masud, A. Chatterjee, P. Mehta, J. Phys. G (2016) [1510.08261] ; see also M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Impact of collective NSI : CP asymmetry

Large value implies



cyan : SI

M. Masud, A. Chatterjee, P. Mehta, J. Phys. G (2016) [1510.08261] ; see also M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Event rates (collective NSI)

Falling flux kills the large

asymmetry at large E





M. Masud, A. Chatterjee, P. Mehta, J. Phys. G (2016) [1510.08261] ; see also M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Probability as a function of delta CP



M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Sensitivity to CP violation

$$\chi^{2}_{lot} \propto \min_{0,\pi} \left\{ \begin{bmatrix} b_{\mu e} \sin \delta_{true} + c_{\mu e} \cos \delta_{true} - c_{\mu e} \cos \delta_{|0,\pi|}^{2} \\ + \begin{bmatrix} -\bar{b}_{\mu e} \sin \delta_{true} + \bar{c}_{\mu e} \cos \delta_{true} - \bar{c}_{\mu e} \cos \delta_{|0,\pi|}^{2} \end{bmatrix} \right\} \\ \left\{ \begin{bmatrix} c_{\mu \mu} \cos \delta_{true} - c_{\mu \mu} \cos \delta_{|0,\pi|} \end{bmatrix} + \begin{bmatrix} \bar{c}_{\mu \mu} \cos \delta_{true} - \bar{c}_{\mu \mu} \cos \delta_{|0,\pi|}^{2} \end{bmatrix} \right\} \\ \left\{ \begin{matrix} \nu_{\mu} \rightarrow \nu_{e} & \nu_{\mu} \rightarrow \nu_{\mu} \\ \hline \nu_{\mu} \rightarrow \nu_{e} & \nu_{\mu} \rightarrow \nu_{\mu} \end{matrix} \\ \downarrow \begin{matrix} \nu_{\mu} \rightarrow \nu_{e} + \nu_{\mu} \rightarrow \nu_{\mu} \\ \hline \nu_{\mu} \rightarrow \nu_{e} & \sum_{NSI (true \, \varphi_{e_{\mu}} = 0)} \\ NSI (true \, \varphi_{e_{\mu}} \in [-\pi;\pi]) \\ \downarrow \end{matrix} \\ \left\{ \begin{matrix} \varepsilon_{e_{\pi}} \right\} = 0.01, app \end{matrix} \\ \left\{ \begin{matrix} \varepsilon_{e_{\pi}} \right\} = 0.01, dis \end{matrix} \\ \left\{ \begin{matrix} \varepsilon_{e_{\pi}} \right\} = 0.01, com \\ \hline 0 & 0.5 & 1-1 & -0.5 & 0 \\ \hline 0 & 0.5 & 1-1 & -0.5 & 0 \\ \hline 0 & 0.5 & 1-1 & -0.5 & 0 \\ \hline 0 & 0.5 & 1-1 & 0.5 & 1 \\ \hline 0 & 0.5 & 1-1 & 0.5 & 0 \\ \hline 0 & 0 & 0.5 & 1-1 & 0.5 & 0 \\ \hline 0 & 0 & 0.5 & 1-1 & 0.5 & 0 \\ \hline 0 & 0 & 0 & 0.5 & 1-1 & 0.5 & 0 \\ \hline 0 & 0 & 0 & 0 & 0.5 & 1-1 & 0.5 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0$$

M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

CPV sensitivity – T2K, NoVA



CPV sensitivity - DUNE, T2HK



M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

CPV sensitivity - DUNE+NoVA+T2K, T2HK



M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Dependence on true values of parameters

 θ_{23}



Ref : M. Masud and P. Mehta, 1603.01389

CP fraction : SI and NSI

Off-diagonal NSI

Above 3 sigma, CPV can be resolved for a broad range of values 0.25-1 (non-diagonal NSI terms) due to additional phases • SI

Above 3 sigma, CPV can be resolved for ~0.55 of delta values Diagonal NSI

Above 3 sigma, CPV can be resolved for a range of values, but not exceeding 0.55



Role of systematics



NSI term	Nominal systema	atics (green)	Optimal systematics (magenta)	
	NSI	SI	NSI	SI
	$f(\sigma > 3) L_{opt}$	$f(\sigma > 3) L_{opt}$	$f(\sigma > 3) L_{opt}$	$f(\sigma > 3) L_{opt}$
	km	km	km	km
$ \varepsilon_{e\mu} = 0.04$	0.85 (1800 - 2500)	0.52(1300)	0.97 (1500 - 3000)	0.71(1300)
	0.49 (800 - 1300)		0.59 (800 - 1300)	
$ \varepsilon_{e\tau} = 0.04$	0.65 (2000 - 3000)	0.52(1300)	0.77 (1300 - 1500)	0.71 (1300)
	0.37 (1800 - 2000)		0.40 (1800 - 2000)	
$\varepsilon_{ee} = 0.04$	0.43 (1900 - 2100)	0.52(1300)	0.52 (1900 - 2100)	0.71(1300)

M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

Reconstruction of phases

 Smaller enclosed region better ability to measure the given pair of phases

- DUNE + T2HK
- combination helps



M. Masud and P. Mehta, Phys. Rev. D (2016) [1603.01389]

What are the consequences of these subdominant NSI terms for mass ordering studies at long baselines ?

M. Masud and P. Mehta, Phys. Rev. D (2016); 1606.05662

Mass ordering sensitivity at long baselines



Ref : M. Masud and P. Mehta, Phys Rev D (2016); 1606.05662

Shape of the sensitivity curve



Ref : M. Masud and P. Mehta, Phys Rev D (2016); 1606.05662

Mass ordering sensitivity at long baselines



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Conclusions

- Neutrino oscillations have been confirmed beyond doubt. Goals have changed from "measuring the angles and mass-squared differences and establishing neutrino oscillation" —> precision era.
- Effects at sub-leading level such as NSI in propagation can confuse the inferences about some of the unknowns especially those that heavily rely on the matter effects e.g. CP violation or neutrino mass ordering at long baseline experiments such as DUNE
- The primary science goal of DUNE is to determine CP violation and the ancillary science program is to study sub-dominant effects such as NSI. The two are intimately related and feedback the inferences in either sector.
- It could be that different new physics scenarios could give rise to similar distortion in shape of asymmetry curves, so it calls for the need to isolate fine differences between them where the role of a precise near detector may be crucial.