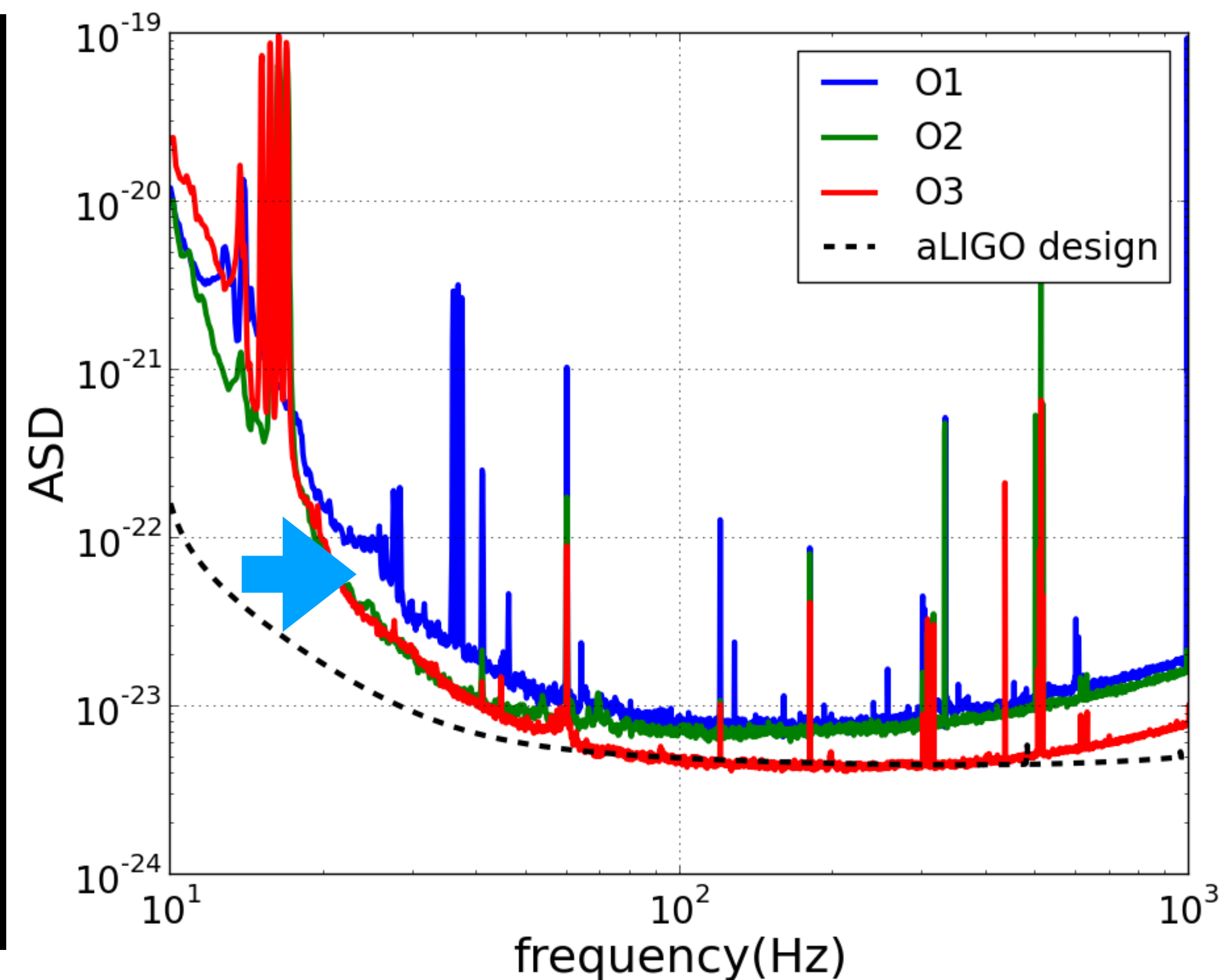
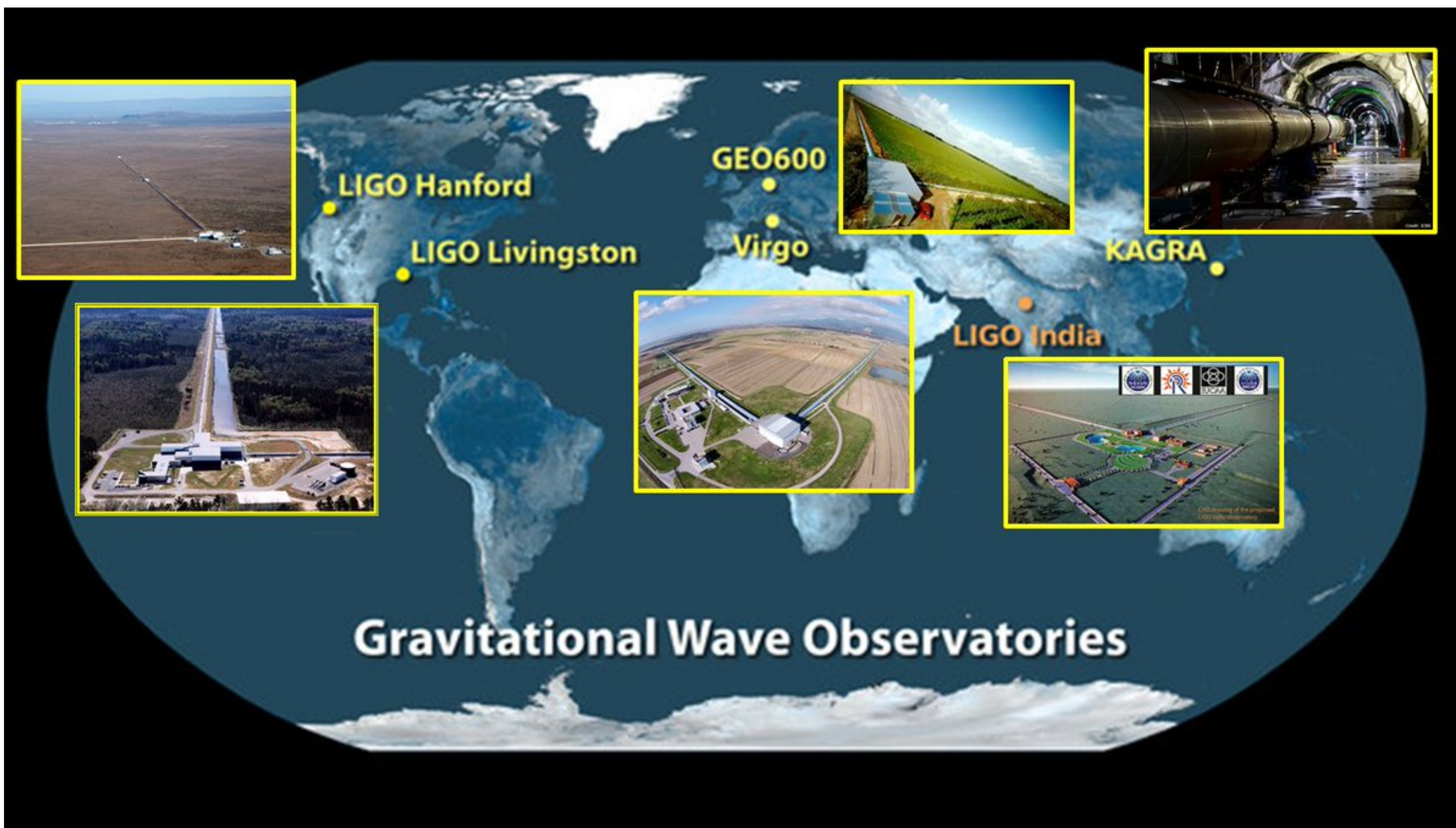


Intermediate mass black holes in the IGWN

Archana Pai, IIT Bombay





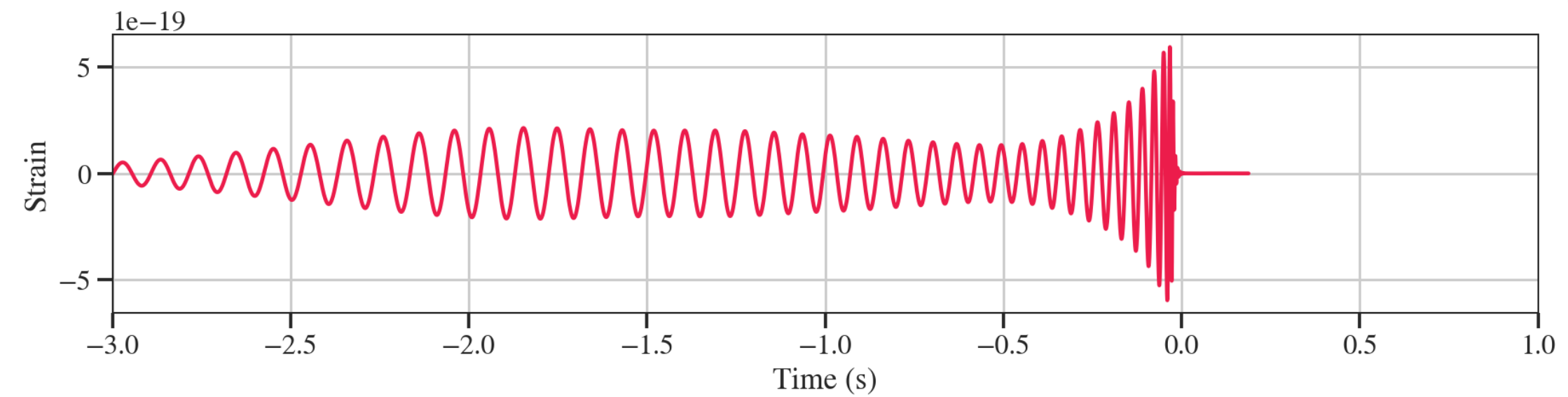
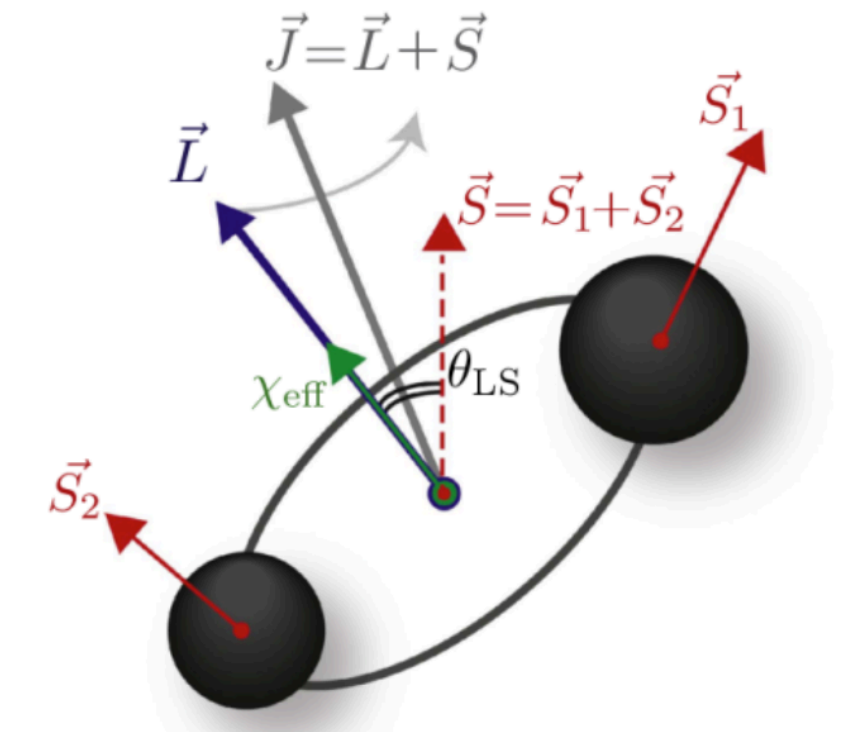
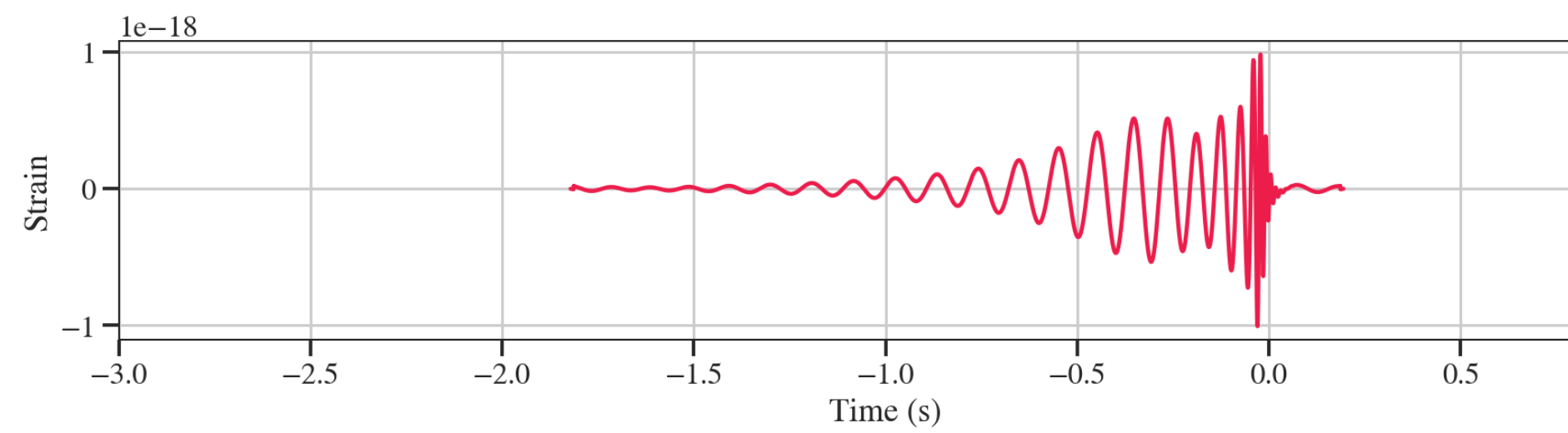
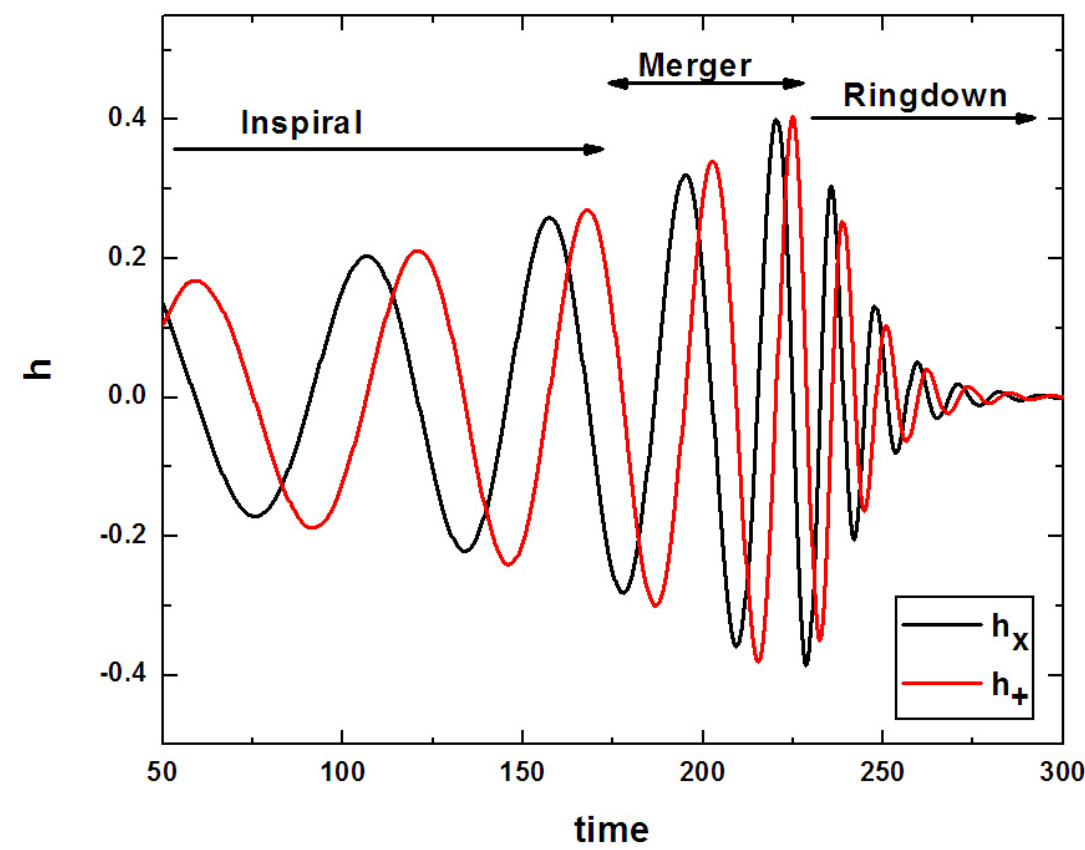
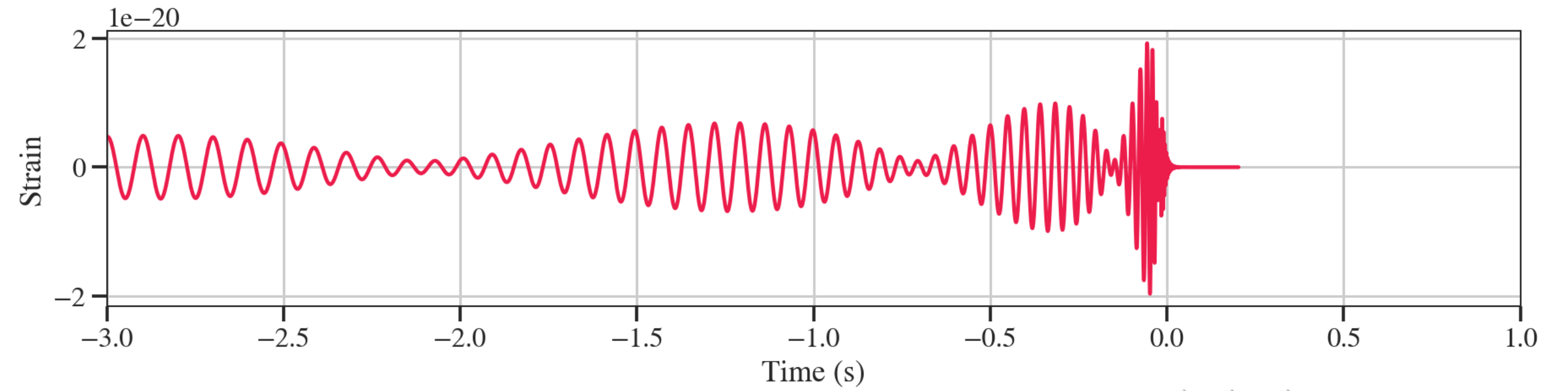
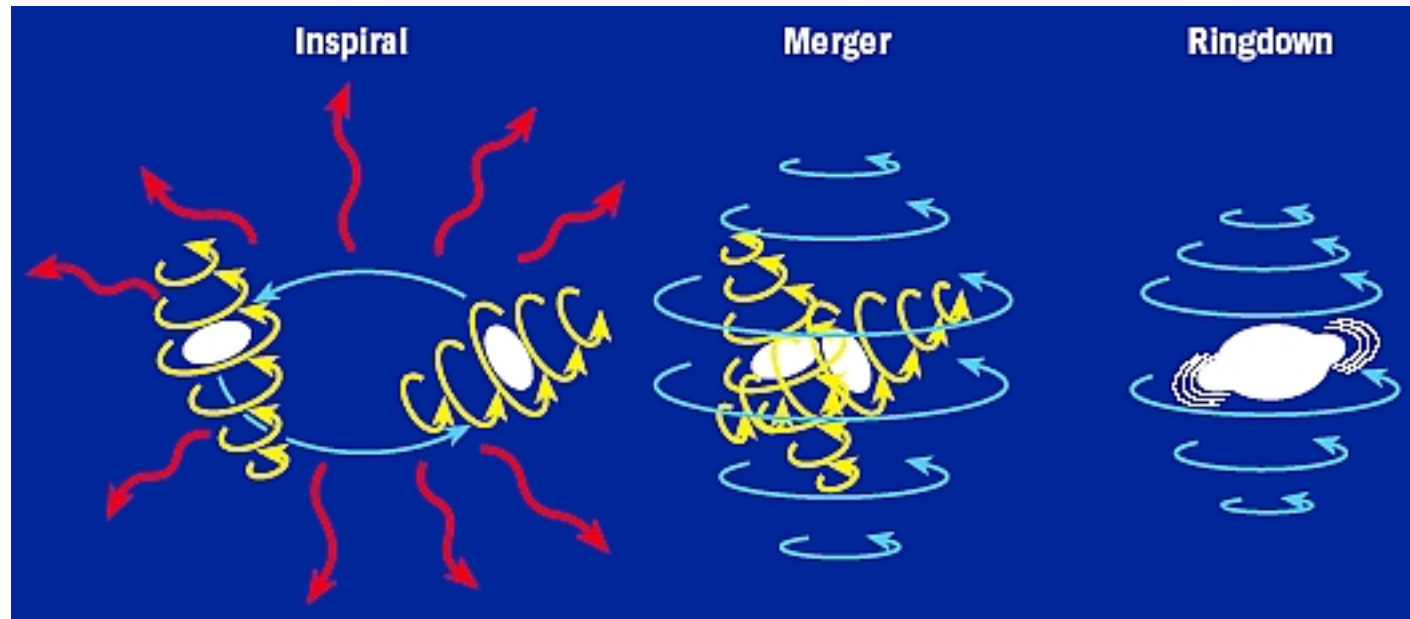
Observational runs of LIGO-Virgo detectors (IGWN)

O1: First observational run (Sept 2015 – January 2016) – Two Advanced LIGOs

O2: Second observational run (November 2016 – August 2017) – Advanced LIGO + Virgo

O3: Third observational run (April 2019 – March 2020) – Advanced LIGO + Virgo

Stellar mass coalescing compact binaries in IGWN

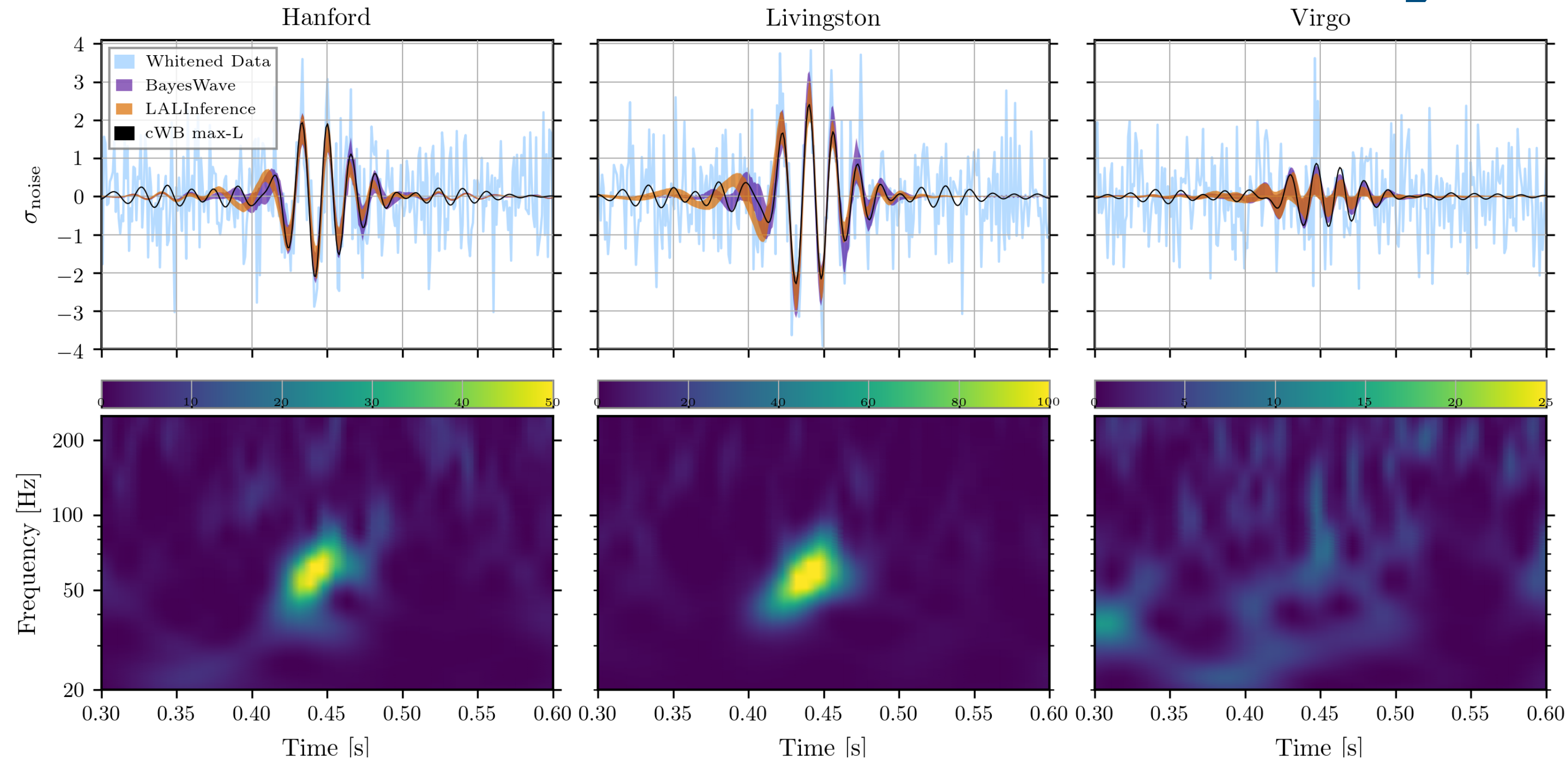


**GW signal from compact binary signal:
15 dimensional signal manifold
Distance(1), Masses(2), Spins(6), Binary
orientation(2), Source location(2),
Initial time and phase (2)**

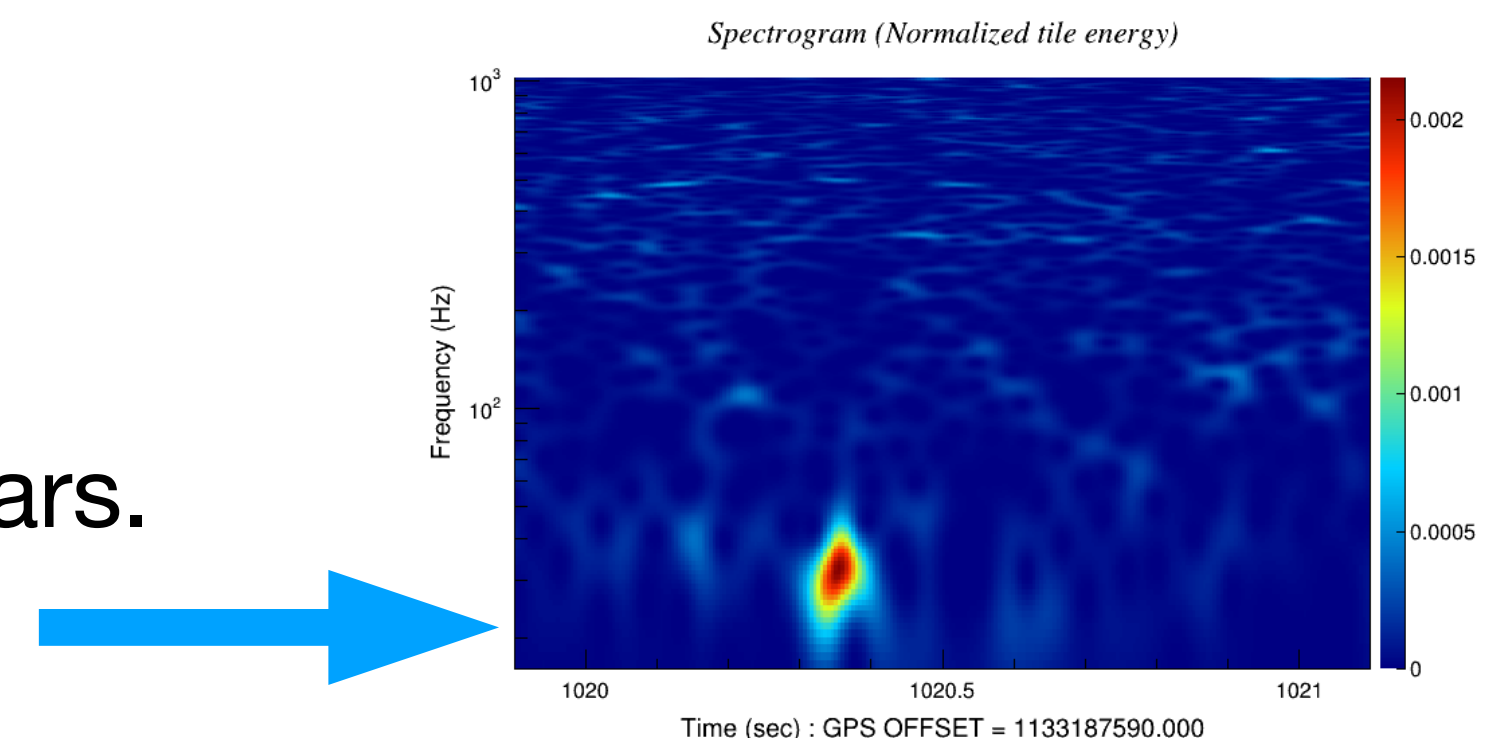
**Spins mis-aligned with the orbital angular
momentum gives modulation in the signal
driven by spin-orbit precession**

Discovery of GW190521

Coincident transient between two LIGO detectors on May 21, 2019



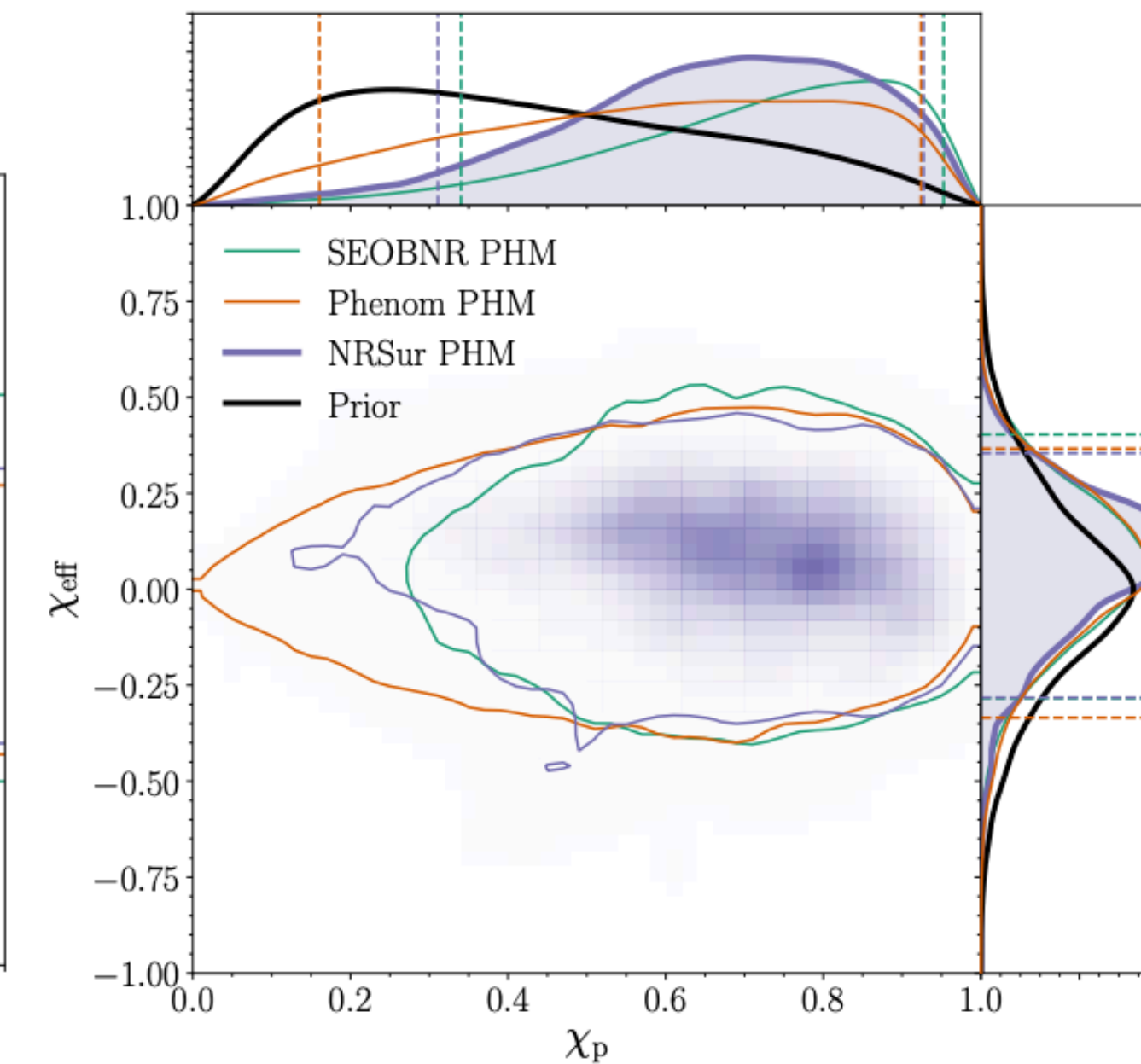
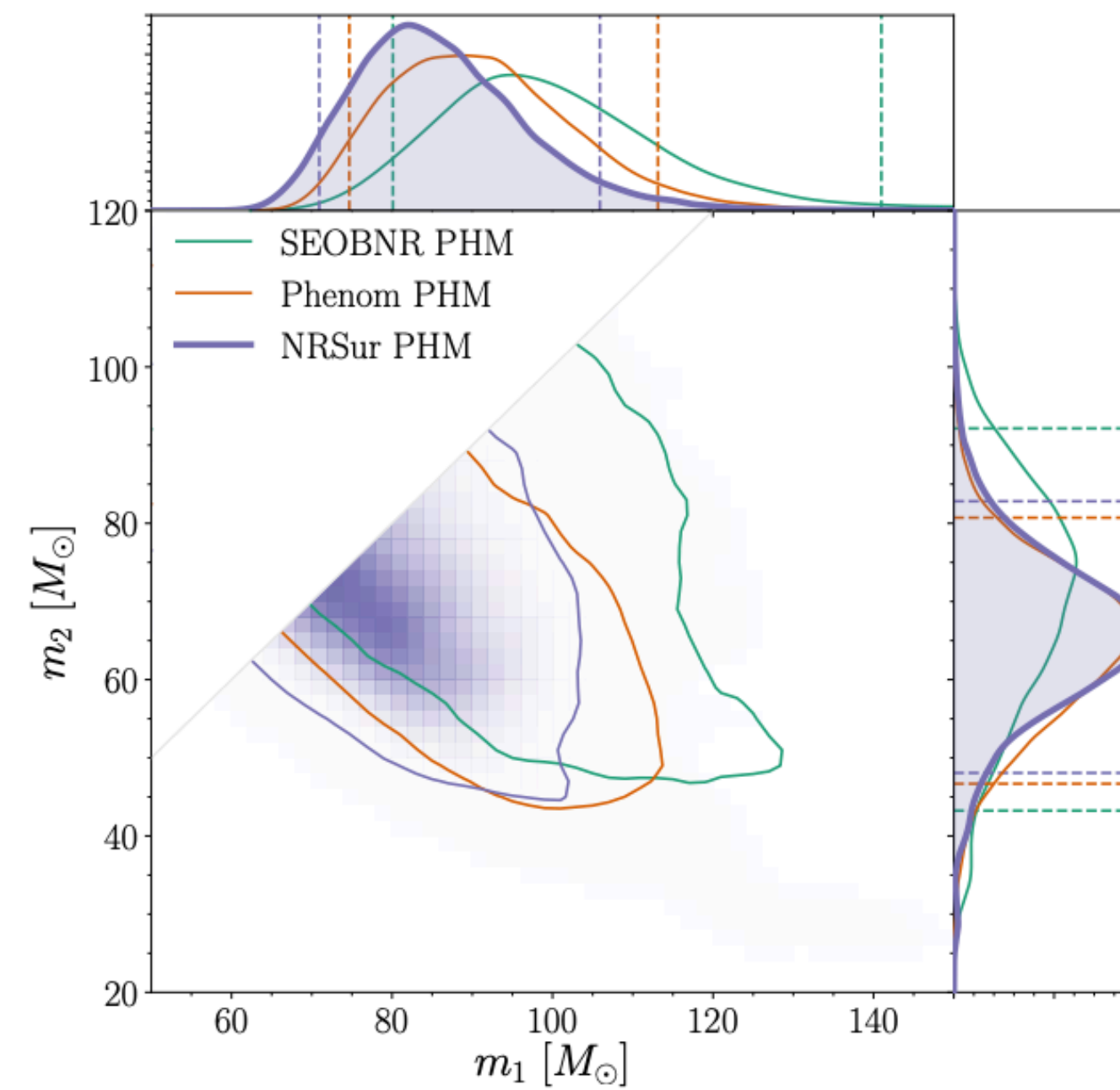
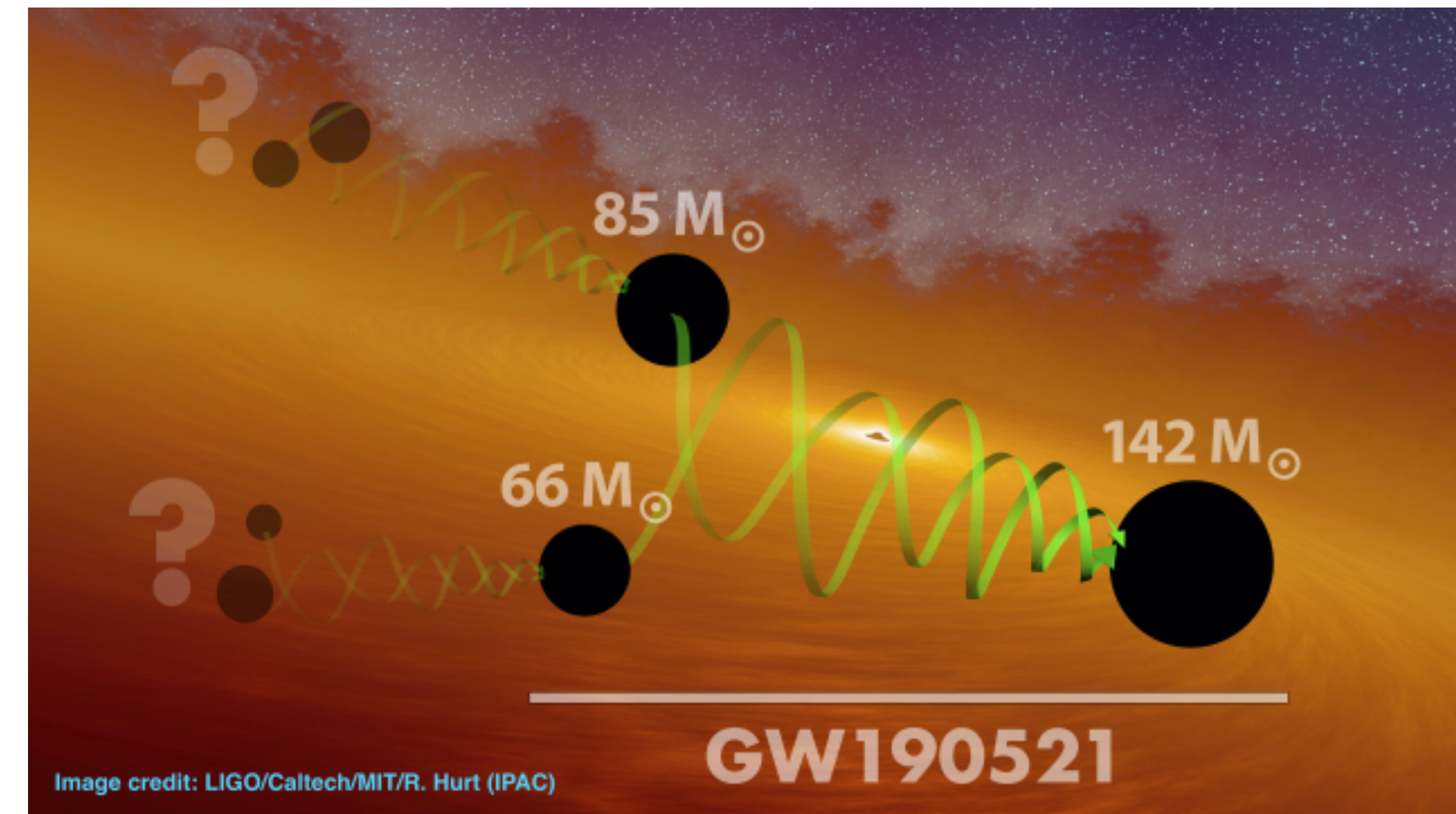
- Short transient signal with **0.1sec duration** in the frequency band of 30-80Hz with peak frequency at 60Hz.
- Network SNR of **14.7**. Corresponding False alarm rate of 1 in 5000 years.
- GW detectors exhibit frequent noisy glitches at $\sim 50\text{Hz}$!!



[LVC, PRL 125, 101102\(2020\)](#)

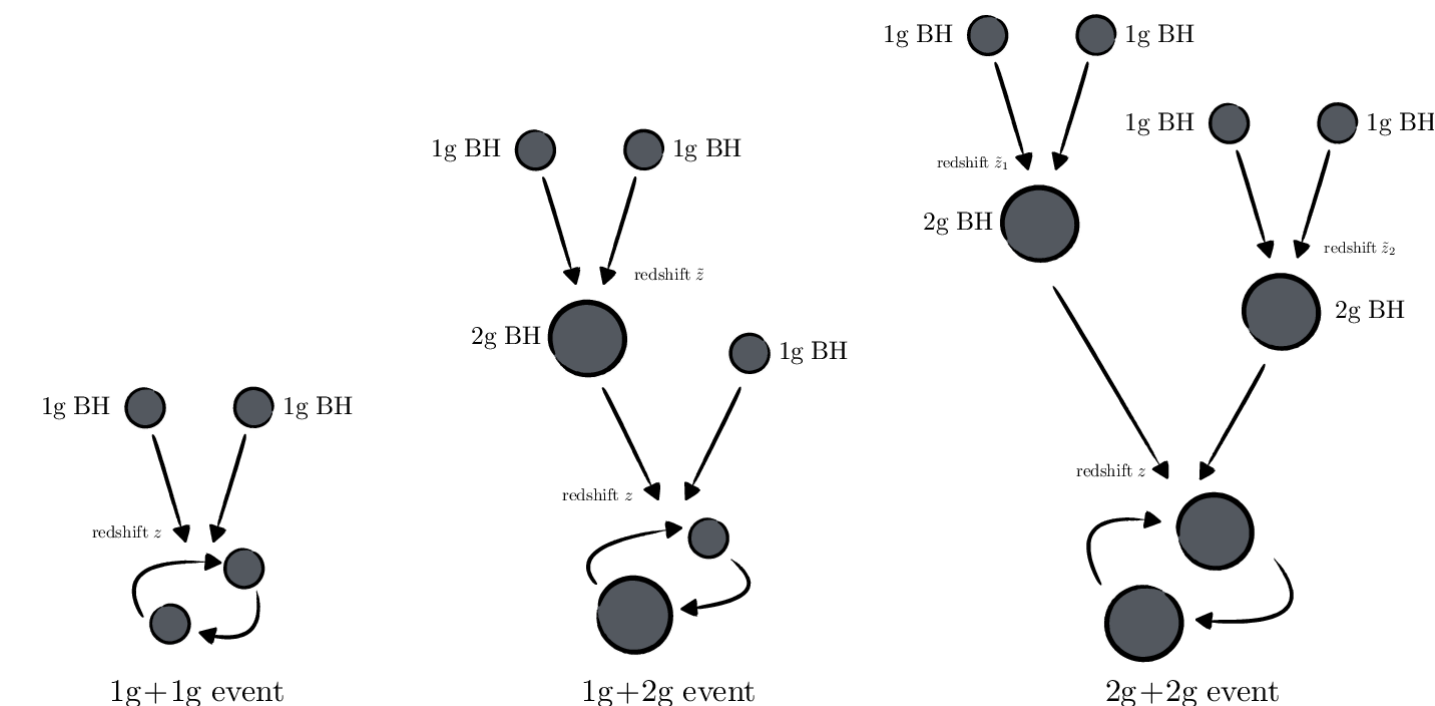
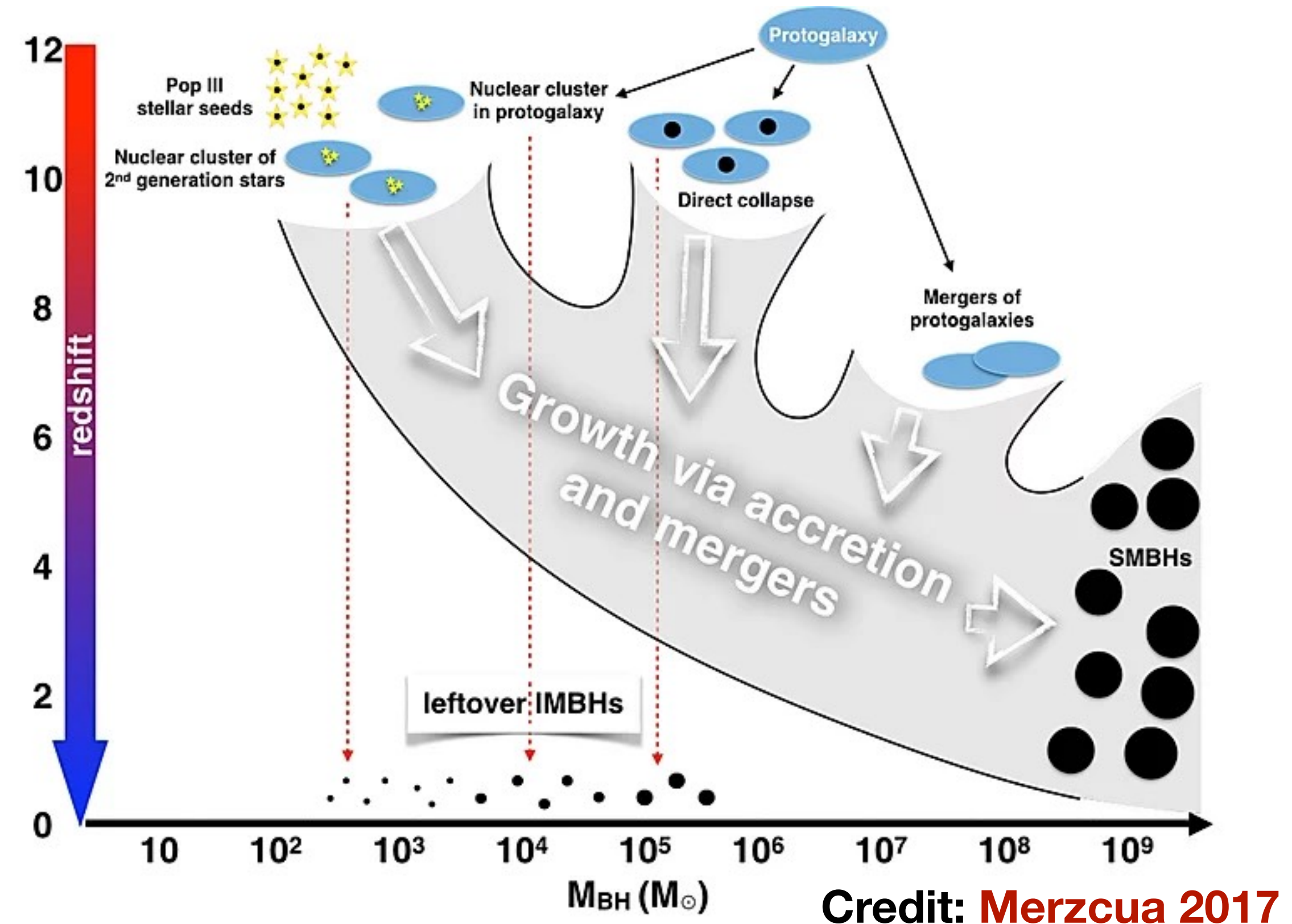
GW190521: First confident IMBH event

- Most massive binary BH system observed with remnant mass as an IMBH (**142 Msun**).
- Component masses (**85-66 Msun**)
- Distance: **5Gpc**.
- Power radiated in GW is equivalent to **~8Msun**.
- First direct evidence of existence of IMBH with mass < 1000 Msun.
- Short signal with mostly merger and post-merger.
- Showed evidence with mild precession.



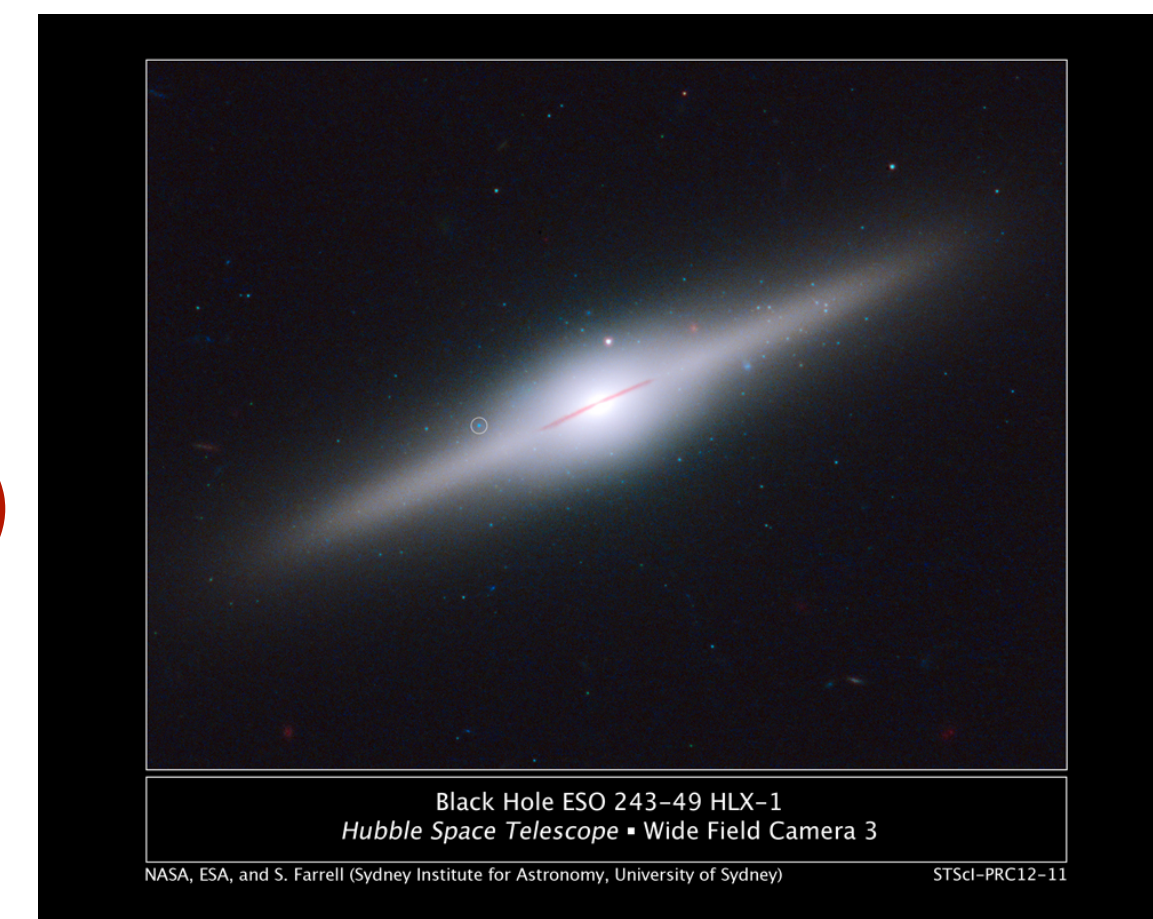
Intermediate mass black holes

- Intermediate mass range (100-100,000 Msun).
- Formation possibilities: [Merzcua 2017]
 - Direct collapse of Pop III stars
 - Collapse in metal-free protogalaxy
 - Mergers of stellar mass black holes in the dense environments in a runaway collision process
 - globular clusters
 - galactic centres
 - Multiple collisions of stars in young clusters
- **Different channels leave signatures in properties of the merger.**



IMBH in electromagnetic band

- **Several IMBH candidates with indirect/deduced evidence on their masses**
 - Mass estimation due to the kinematics in dense cluster or galaxies [Kizilten, Baumgardt, Loeb, Book, Seth+] e.g. NGC 104
 - The mass extrapolation using the scaling relation between mass and velocity dispersion to the dense clusters [Bosch, Van den Ven, Gebhardt, Noyola +] e.g. M15, G1 in M31, Omega Centauri.
 - Presence of ULX that are brighter than the accreting X-ray sources with stellar mass BHs [Kaaret, Feng, Roberts, Farrell, Webb, Godet+] e.g. M74
 - Recent evidence of IMBH in the Andromeda galaxy — 100,000 Msun hidden in B023-G078 [Pachetti et al, ApJ 2022]
- **HLX1: Most promising IMBH candidate**
 - Power radiated 10^{42} ergs/sec (million times that of our Sun)
 - Estimated mass $\sim 100,000$ Msun



Peculiarity of GW190521

- **Upper stellar mass gap ~ 50-120 Msun?** [Woolsey, Mappeli, Farmer, Belczynski, Spera, Costa +]

- Stellar models prediction: Mass of the He core decides the fate.

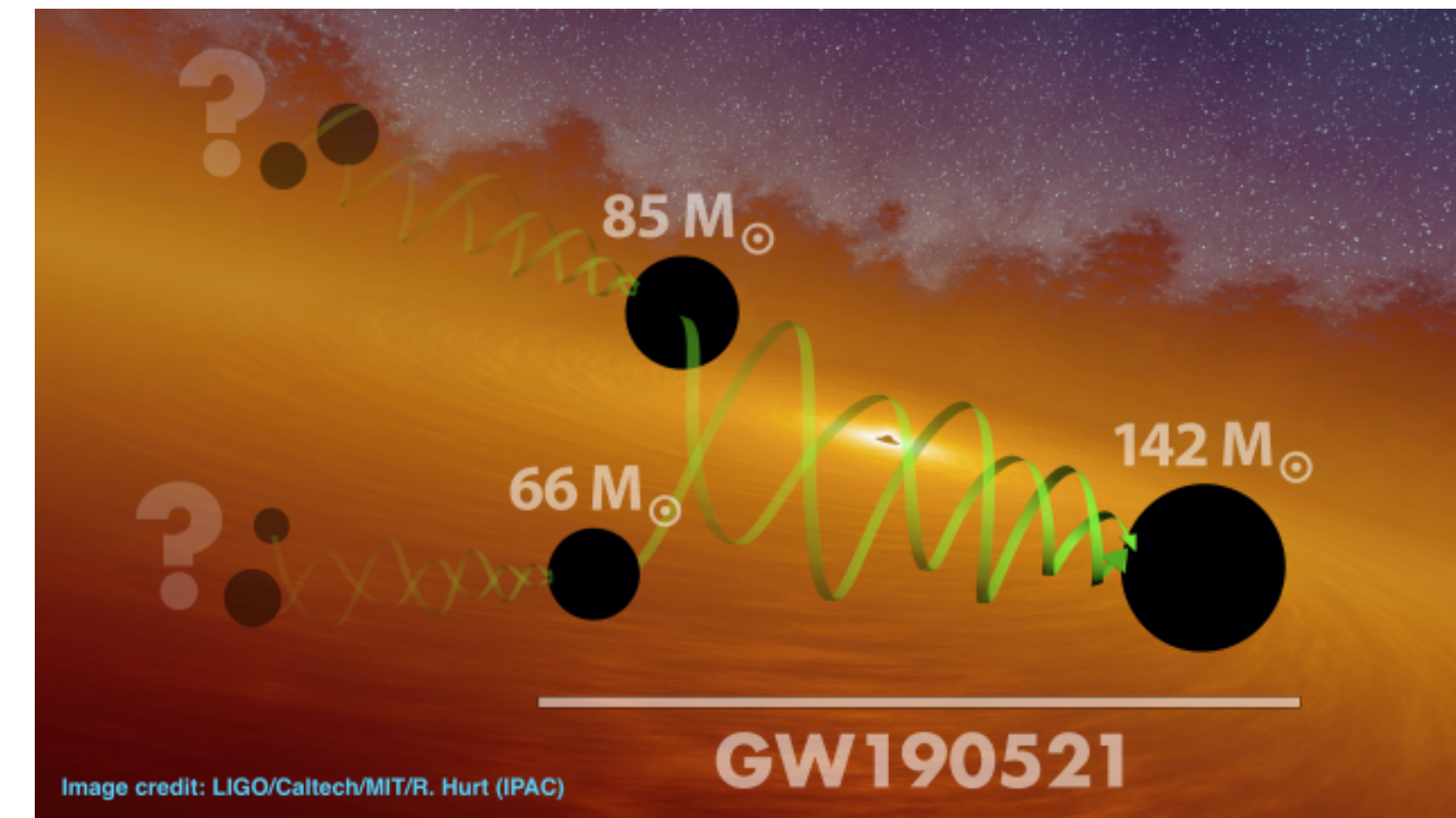
- He core mass: 32-64 Msun — — BH below < 50 Msun
- He core mass: 64-135 Msun, SN explosion leaves no remnant
- He core mass > 135 Msun — — BH > 120 Msun

- Uncertainty in the gap due to nuclear reaction rate, metallicity, convection etc

- Component masses of GW190521: **(85-66 Msun)**

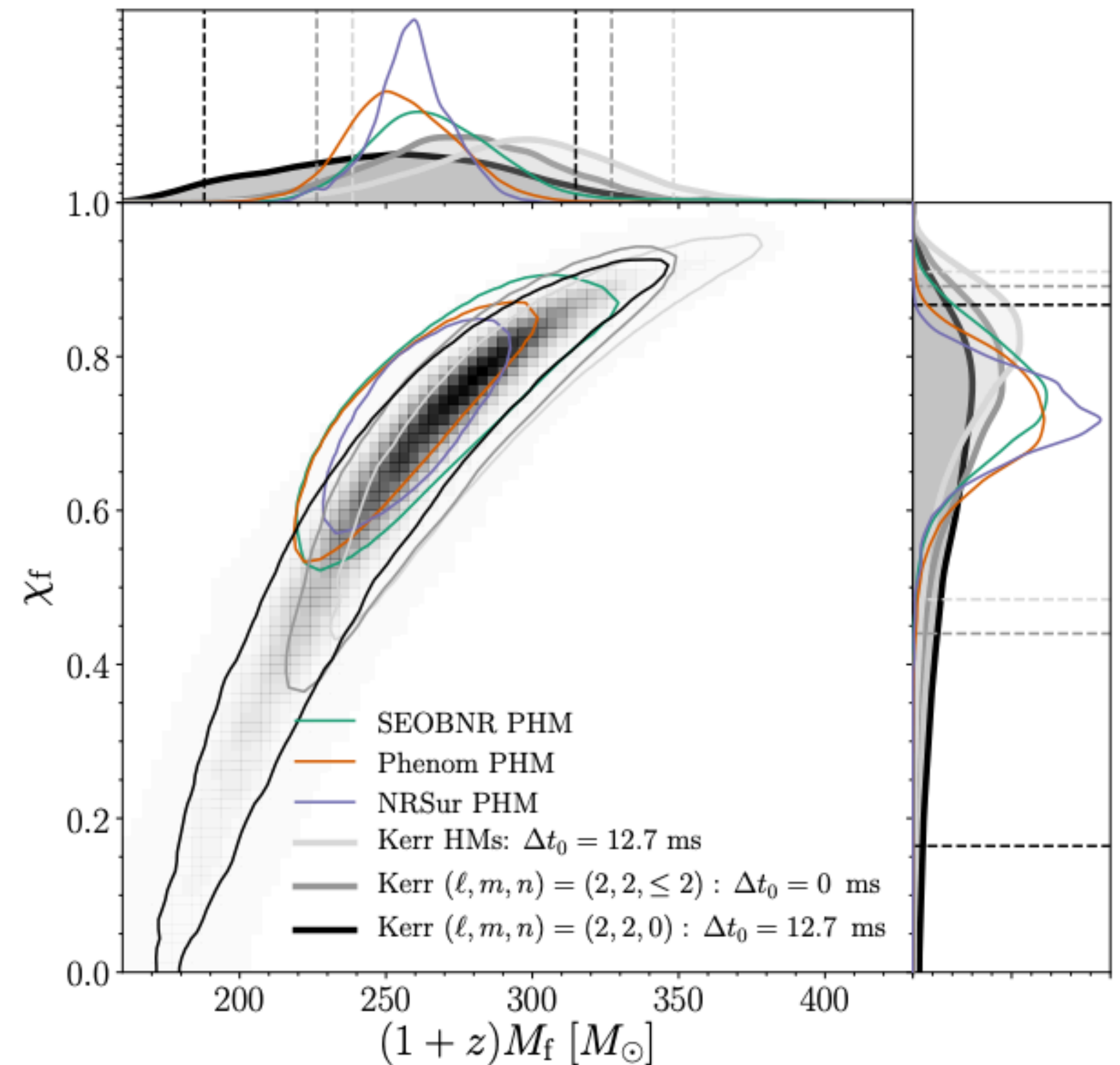
Significant overlap with the mass gap.

- Are they first generation BHs or remnant of earlier merger event? Need **more IMBH detections**. Need **better detection algorithms!**
- Indication of high mass BH population through merger/hierarchical merger channel?



Observational implication of IMBH events

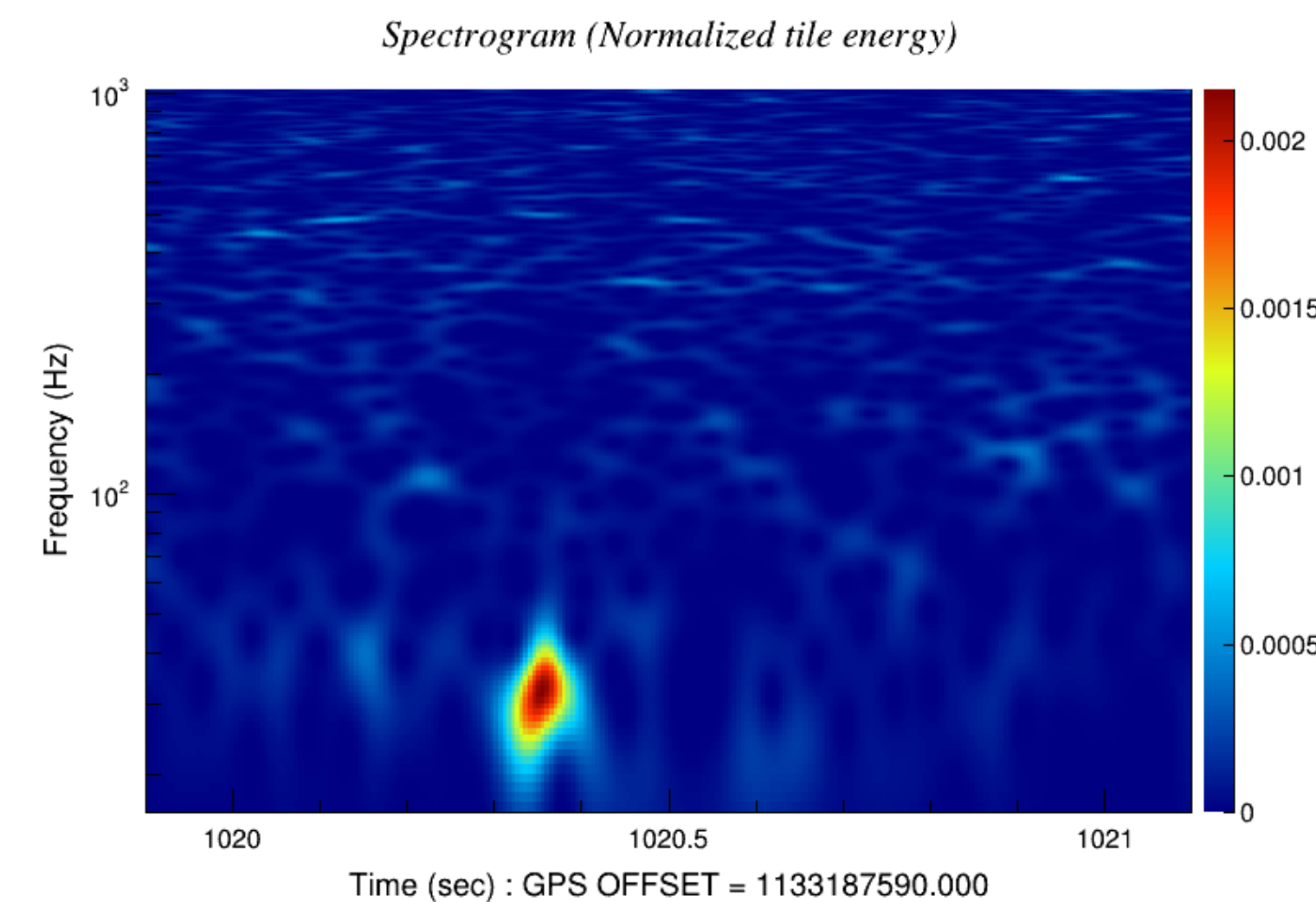
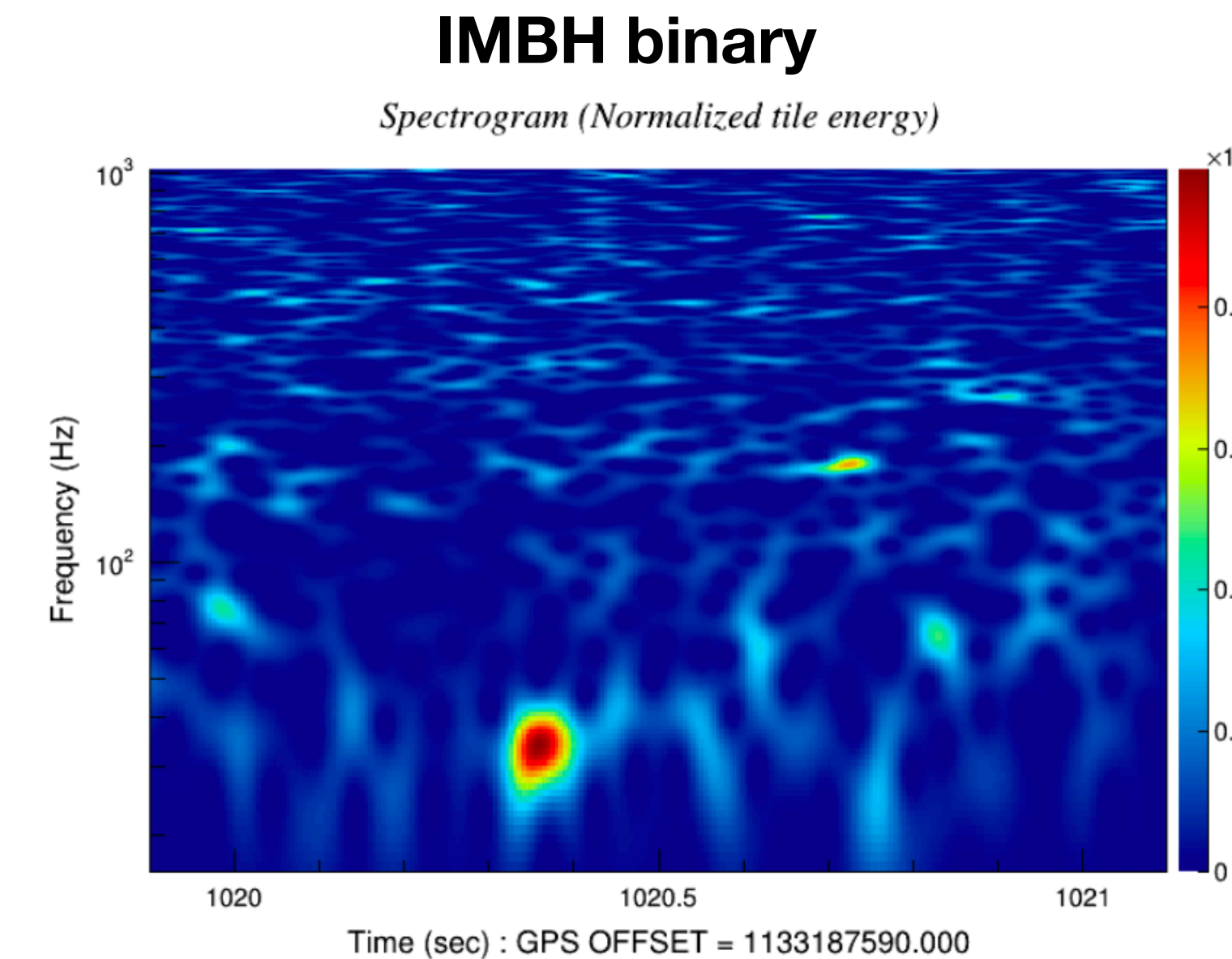
- **Implication on stellar evolution**
 - Constraints on the stellar evolution models
 - Understand the upper BH mass gap better.
 - Understand the BH population distribution
- **Testing GR with IMBH systems**
 - Signals with merger and post-merger content in IGWN frequency window
 - Probe the BH ring-down modes and hence QNM structure of the perturbed BH [Vishweshwara Nature 1970]



Detection algorithms of IMBH binaries

Challenges involved in the IMBH binary detection/ parameter estimation

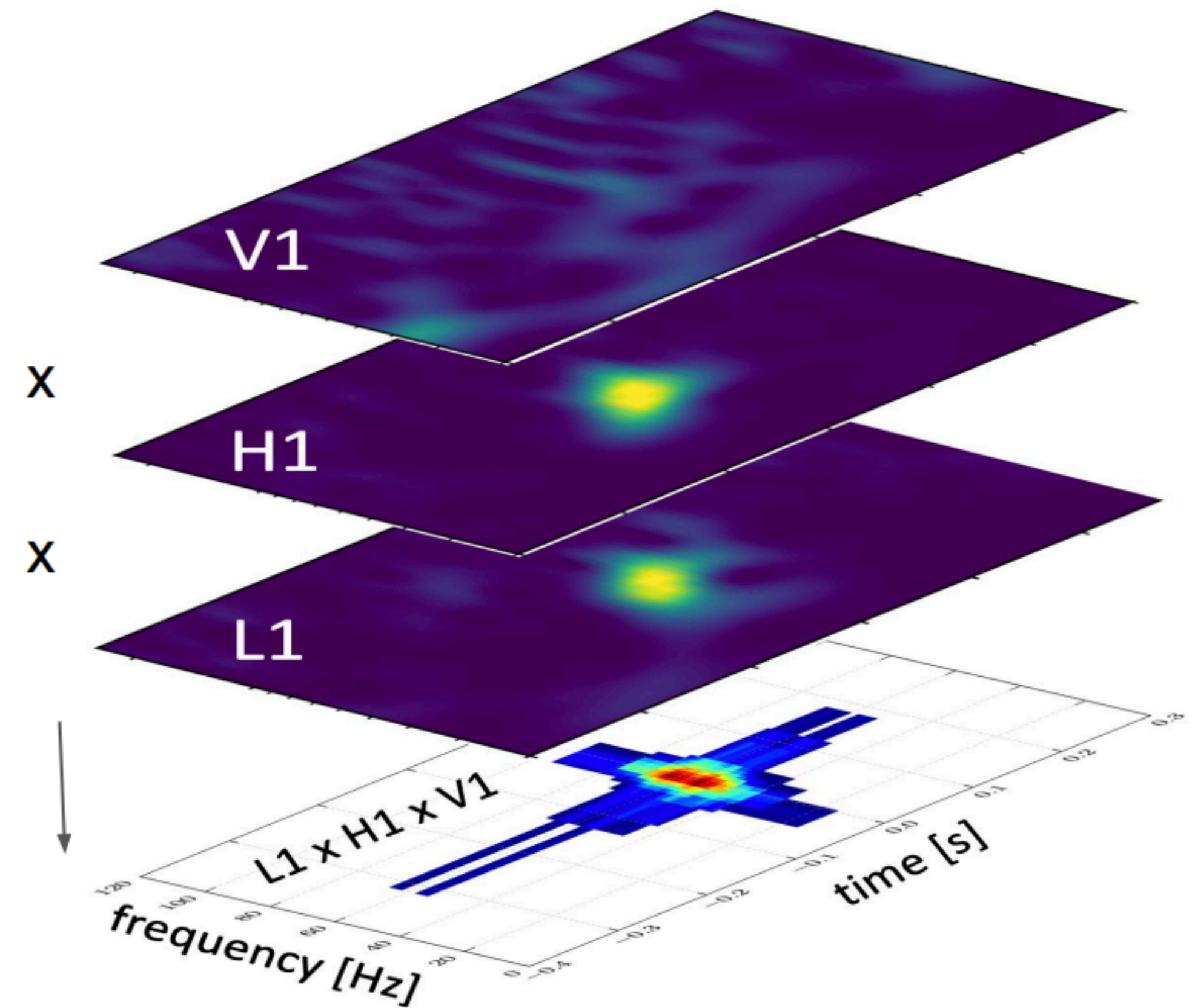
- Short signal with mostly merger and post-merger phase. No information of the chirp mass.
- Short duration noisy transients appear like an IMBH signal i.e. short duration blips, tomtes glitches
- Need to take special care **not to mis-classify** the noisy transient as an astrophysical event.
 - O3 IMBH paper — S200114f [LVK, O3 IMBH A&A 2022]
- Need to devise special noise vetos so that we do not miss the actual IMBH binary merger — **Detection challenges**
- Opens up alternative interpretations on the component masses [Nitz, capano 2021, Gayathri et al 2021]— **Parameter estimation challenges**



Noisy transient

cWB: Wavelet based **model agnostic search** = Quite natural for massive black holes

- Coherent Wave-Burst (cWB): Project the data on the wavelet domain characterised by (time, freq, scale).
- Combine the time-frequency energy from detectors (incorporating possible delays) and obtain energetic pixels for each scale.
- Clustering scheme combines the TF pixels from different scales which constructs clusters.
- Maximum likelihood ratio statistic is used for each cluster to obtain multi-detector statistic.
- A large variety of time-frequency morphology based vetos are applied. [LVC O1-O2 IMBH PRD 2019]



[Klimenko etal PRD 2016]

Model based GW transient searches = Matched filtering



Fetching weak signal in the noisy data
 $d(t)=h(t)+n(t)$

Matched filtering is a phase matching technique
Coherent addition of Signal and Incoherent addition of Noise

- Model based Gravitational wave search = A needle in a haystack problem [Dhurandhar, Sathyaprakash, Owen+]
- A large variety of GW signal for a binary system as predicted using Einstein's gravity) are used as templates
- If the output (likelihood statistic) is **loud enough**, the trigger is selected and scrutinised.

$$\rho(t; \vec{\zeta}) = \sqrt{\langle d | \hat{h}_s \rangle^2 + \langle d | \hat{h}_c \rangle^2},$$

- Simple model **with quasi-circular aligned spin dominant mode waveforms**

Lessons learnt from GW190521 detection story...

- **GW190521 was detected**
 - With ~ 5000 years of associated False Alarm Rate with cWB
(O1-O2 cWB setting won!)
 - With ~ 1 per year FAR with matched filtering PyCBC search!! **Needed to improve**
- **Why matched-filter based PyCBC investigation was less significant?**
 - Do we want to fold-in more physics in waveforms like precession, Higher Order modes?
No. GW190521 was nearly equal mass system.
 - PyCBC bank (BNS-BBH-IMBHB) was increasing the false alarms — **Look elsewhere effect!**

Optimised PyCBC-IMBH bank

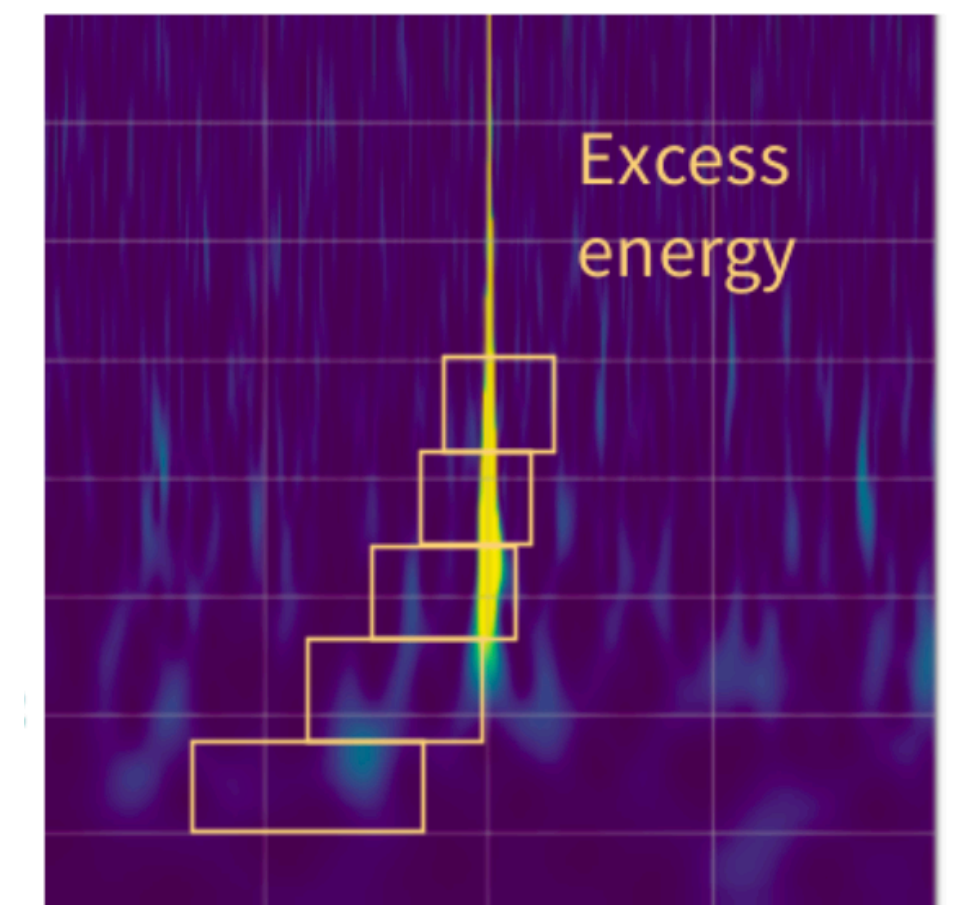
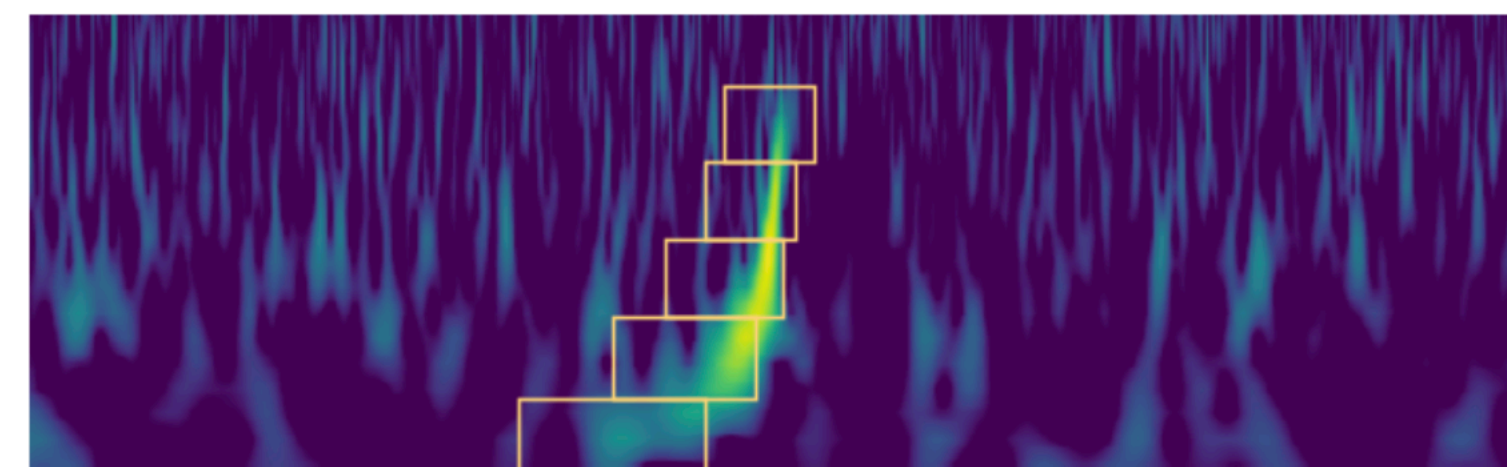
- [Chandra et al, PRD 2021]

- **Optimised PyCBC IMBH bank** — denser bank focussed in the IMBH space
- Optimally tuned noise vetos by extensive simulation
 - Chi-square veto: Compare the consistency between the spectral content between data and template
 - Sine-Gaussian veto: Probe if the data content has spectral leakage similar to the noisy glitch.

Parameter	
$M_T(1+z)$	(100 M_\odot , 600 M_\odot)
$q = m_1/m_2$	(1, 10)
m_i	(40 M_\odot , 540 M_\odot)
$\chi_{i,z}$	(-0.998, 0.998)
f_{low}	15 Hz
Minimum Match	0.99
Minimum Template Duration	70 ms
Waveform Model	SEOBNRv4_ROM
Number of templates	630

TABLE I. Summary of the Template Bank used for the search.

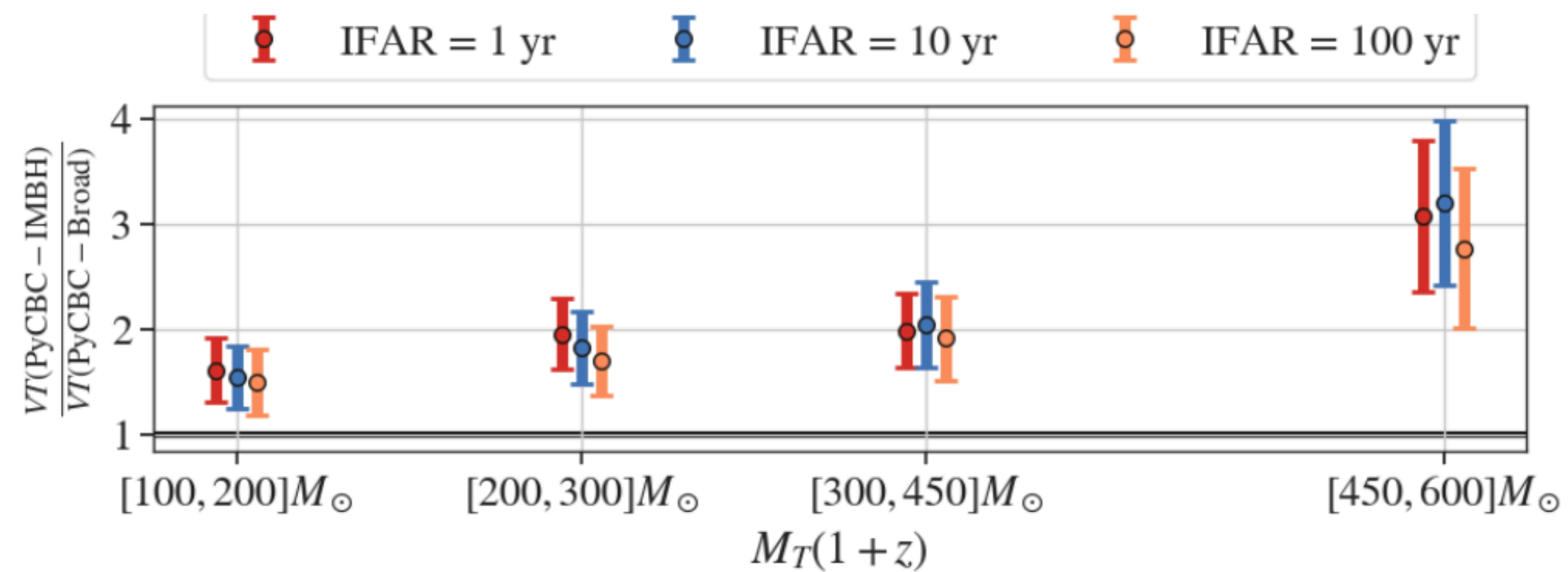
GW190521



Credit: Chandra

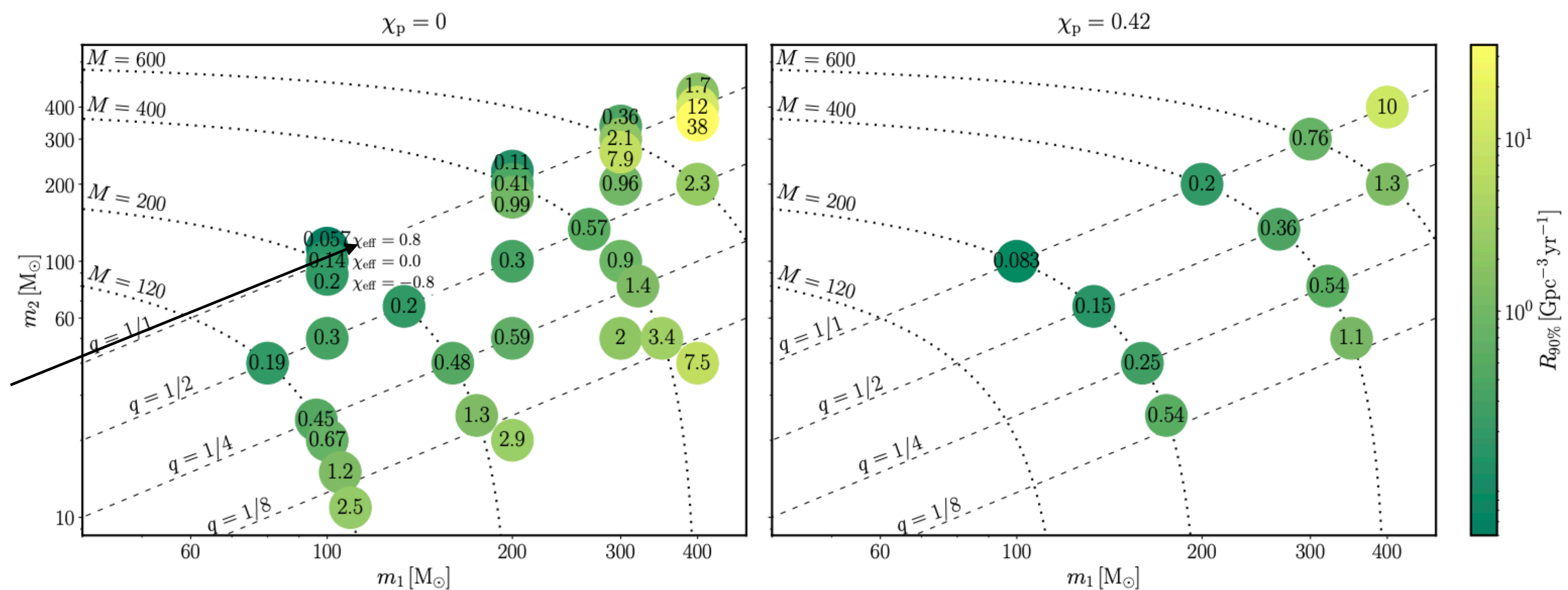
Sensitivity of O3 optimised PyCBC-IMBH bank

- Revised significance of GW190521: Associated false alarm rate of **1 per 730 years**.
- Optimized PyCBC bank showed improvement by a factor up to 4 in volume sensitivity.
- Used in All-sky O3 IMBH search [LVK, O3 IMBH A&A 2022]
- No new significant IMBH binary was detected except GW190521.



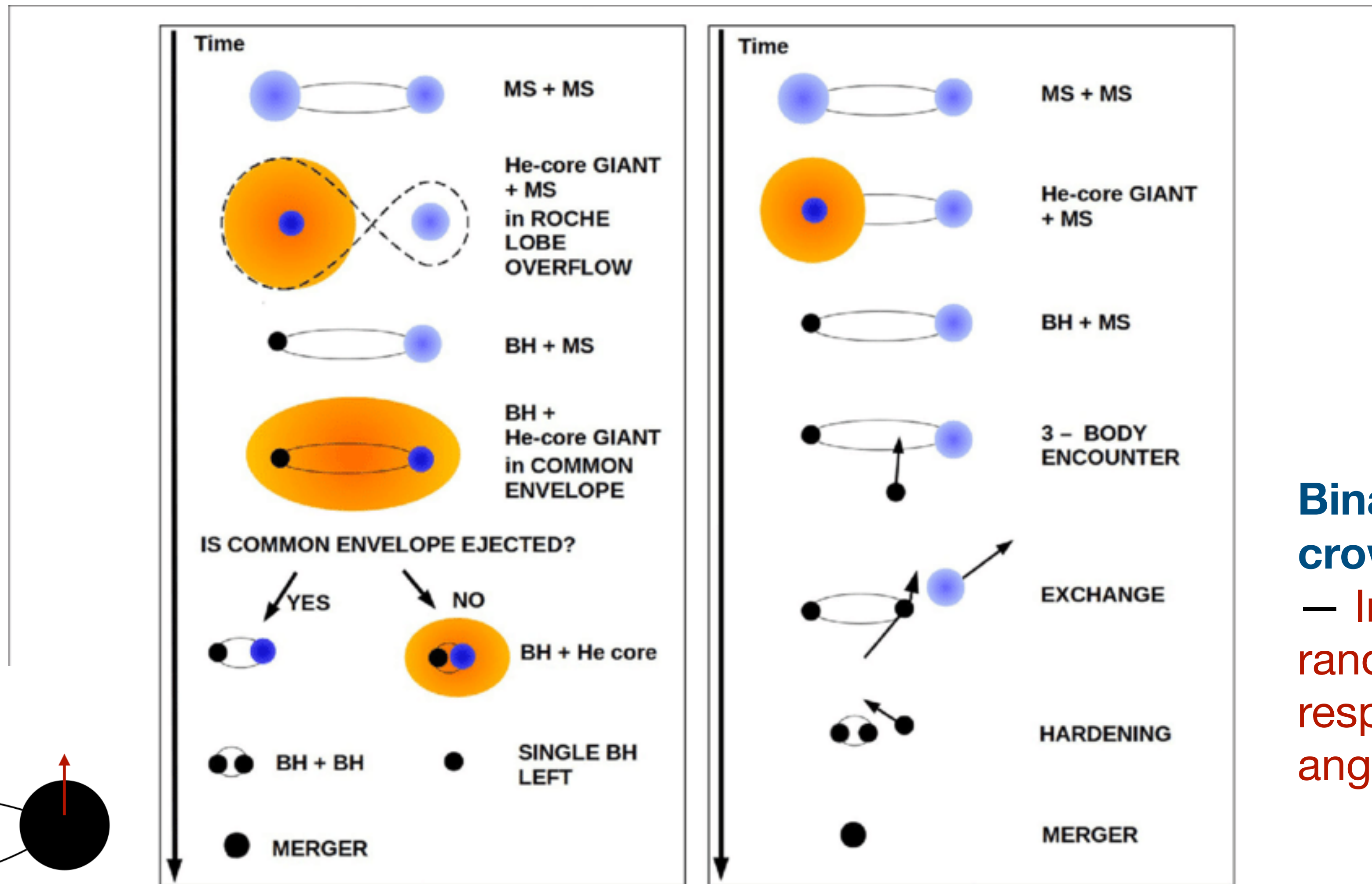
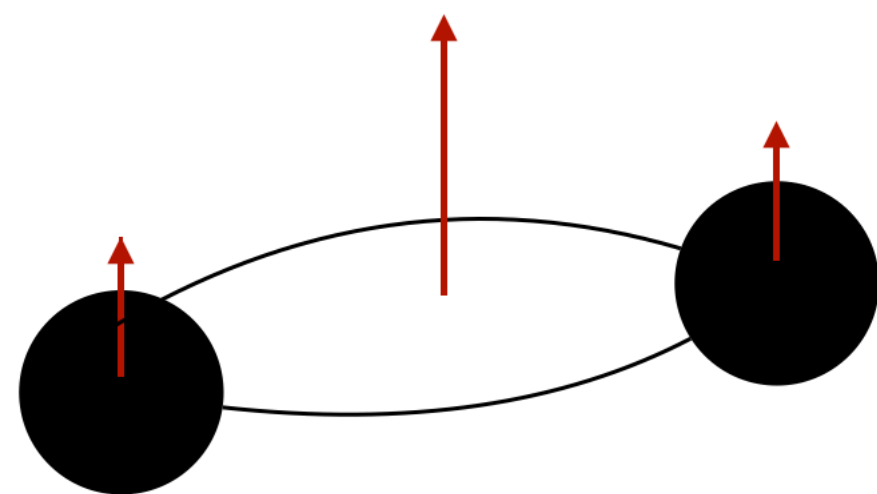
- [Chandra et al, PRD 2021]

- Optimised PyCBC search performs comparable to cWB in most of the IMBH mass bins – 2 sensitive and independent algorithms**
- O3 IMBH search – The upper limit on the merger rate density for 100-100 Msun is 0.056 per Gpc^3 per year. ~ One merger event per Globular Cluster in its lifetime. [LVK, O3 IMBH A&A 2022]**

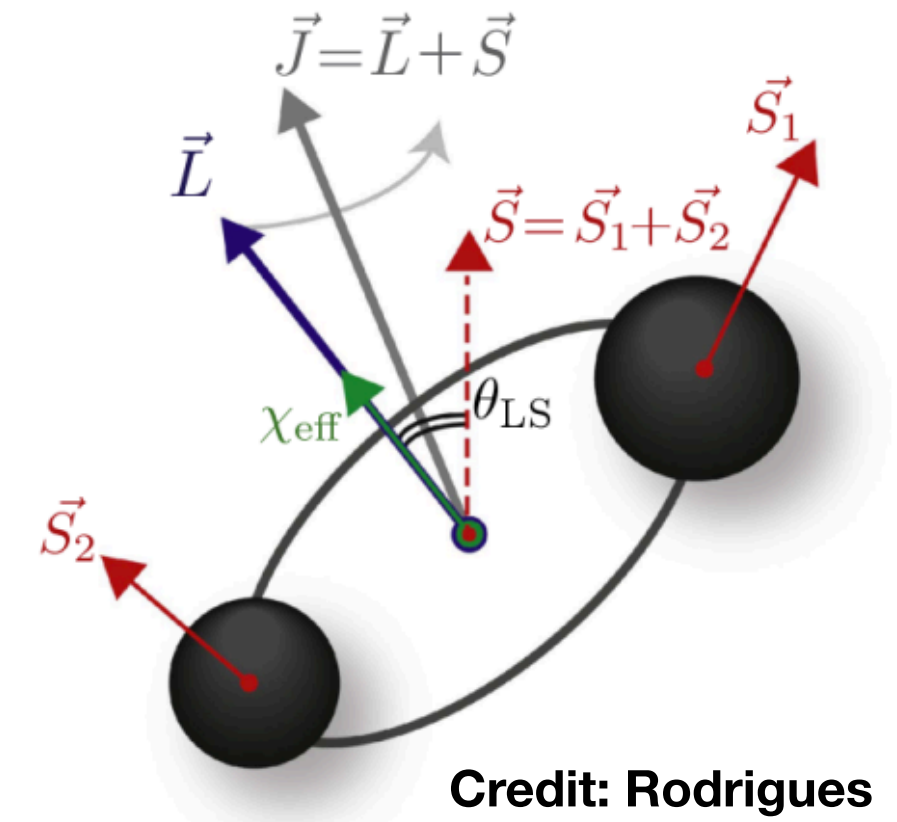


Broad class of black-hole binary formation

Isolated black-hole binaries — Expect to shed the asymmetry. Spins aligned with the orbital angular momentum.



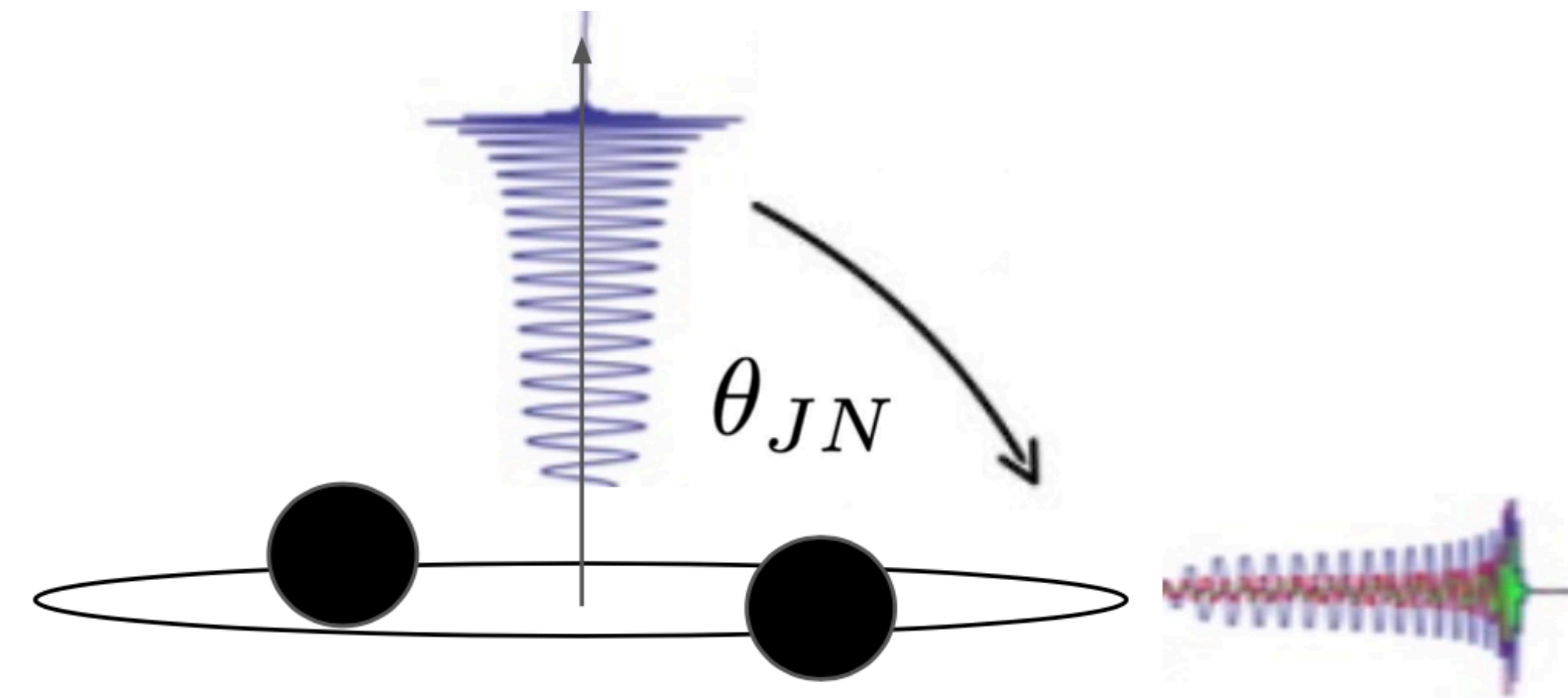
Credit: Michela



Binaries formed in the crowded environment — Individual spins are randomly oriented with respect to the orbital angular momentum.

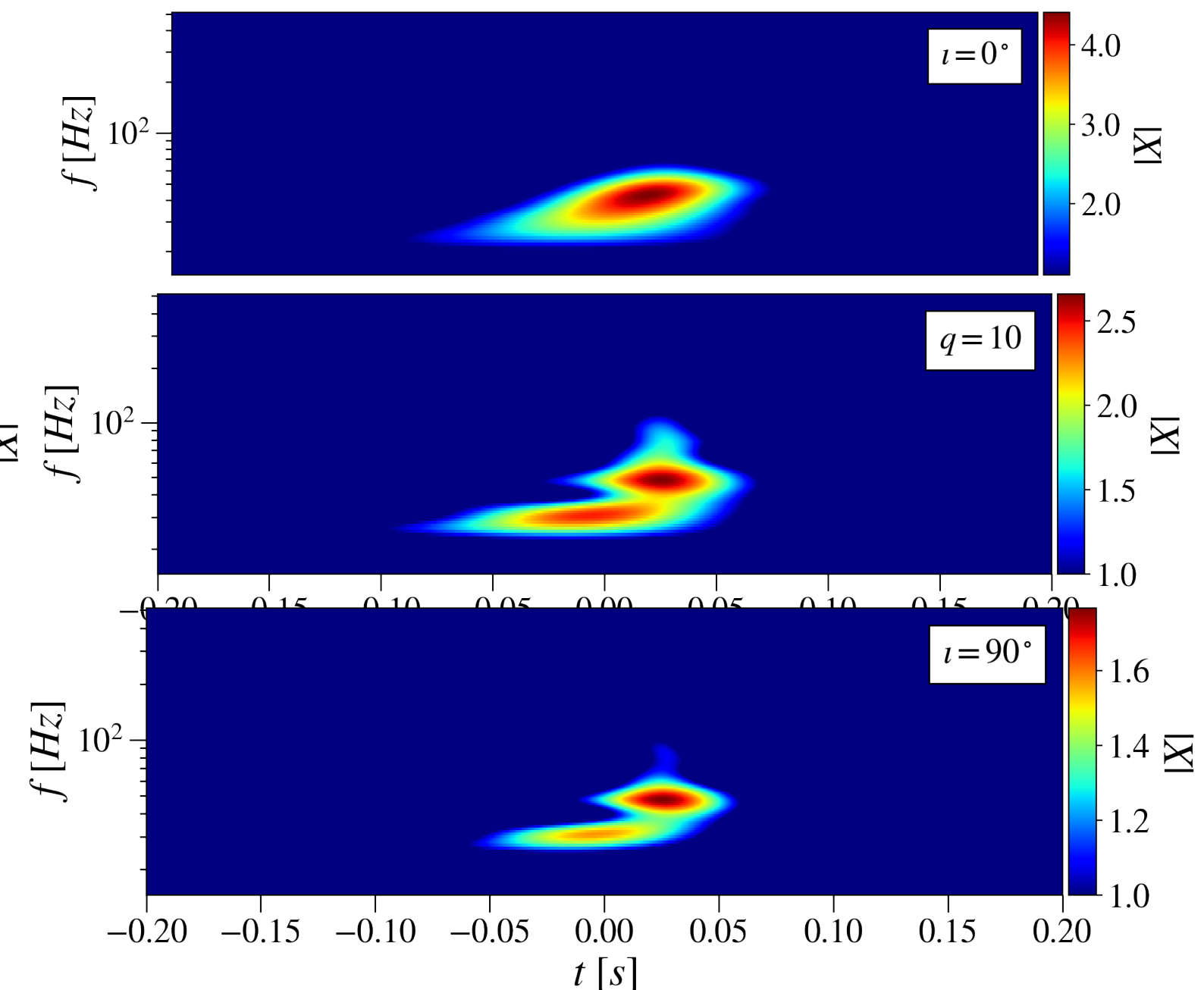
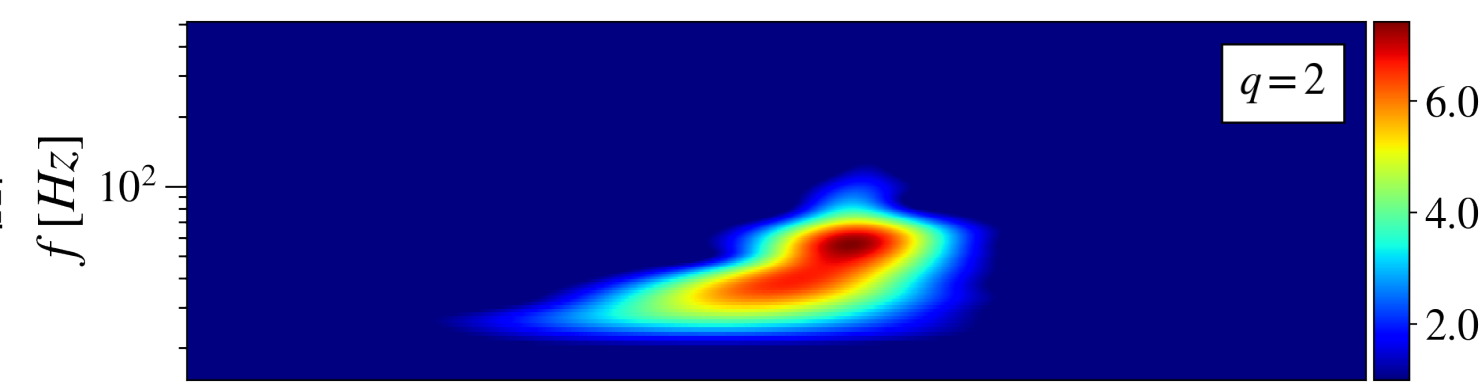
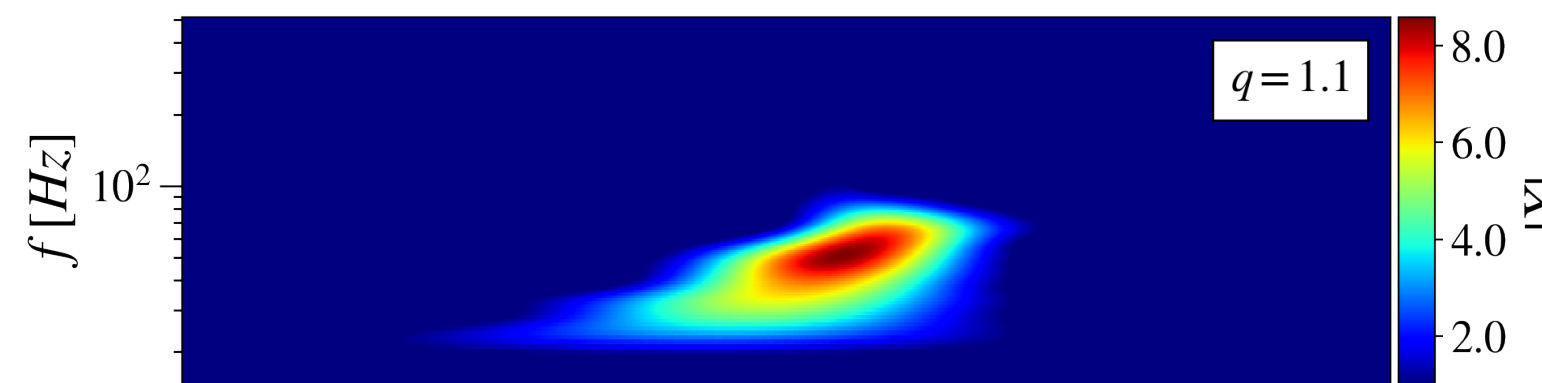
IMBH formed in the crowded dynamical environment : Signatures

- In crowded environment like galactic centres, globular cluster, no time for system to shed away the symmetry
- **Asymmetric masses (mass ratio $q > 1$):**
 - Higher order modes, higher than (2,2) in the signal. Weak but complex.
 - Increases the complexity of the signal with higher inclination



Effect of high inclination

Effect of high q



IMBH formed in the crowded dynamical environment : Signatures

- In crowded environment like galactic centres, globular cluster, no time for system to shed the symmetry
- **Asymmetric masses (mass ratio $q > 1$):**
 - Higher order modes, higher than (2,2) in the signal. Increased complexity of the signal with higher mass ratio and high inclination
- **Waveforms are available : Two pronged approach**
 - **Matched filter based** IMBH search with higher modes: PyCBC-HM [Chandra et al PRD 2022]
 - **Convolutional neural network based** IMBH search with higher modes: THAMES [Sharma, Chandra, AP arXiv:2208.02545]
 - THAMES leverages on the ability of the machine learning algorithms to capture the time frequency based features **to classify between the noisy glitches and the IMBH-HM signals**

Salient features of PyCBC-HM

Chandra et al PRD 2022

- Targeted to **nearly edge-on quasi-circular IMBH binary** systems (systems with high higher order mode impa

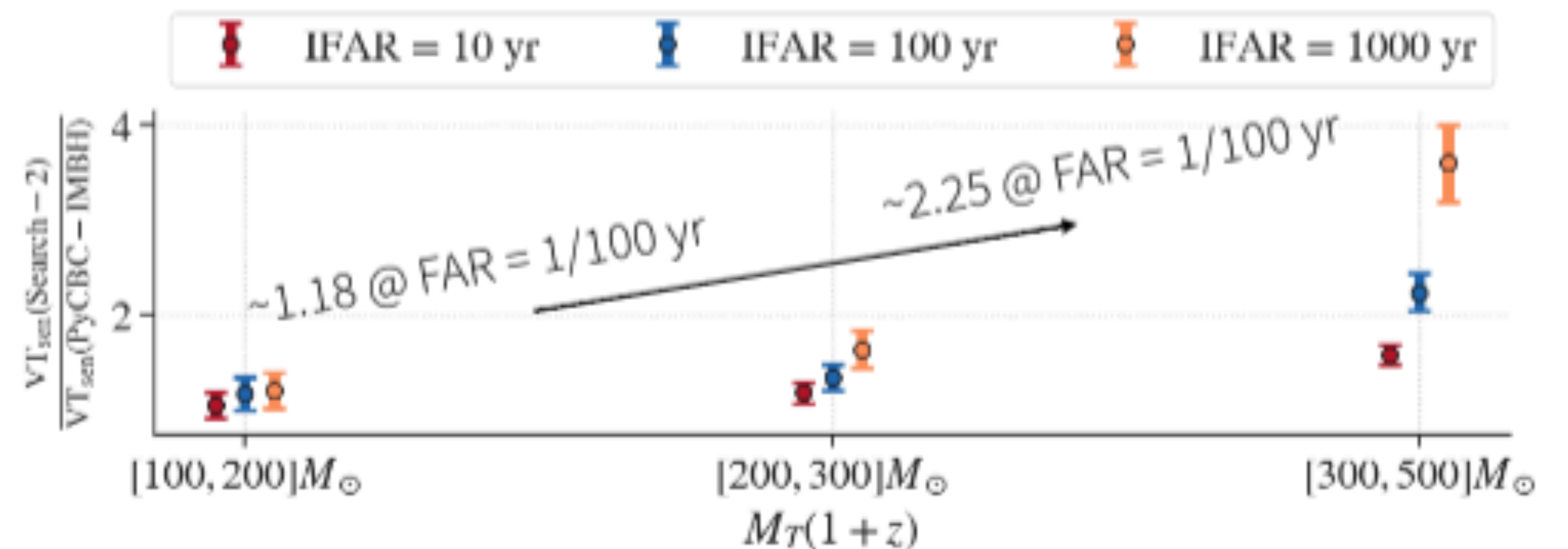
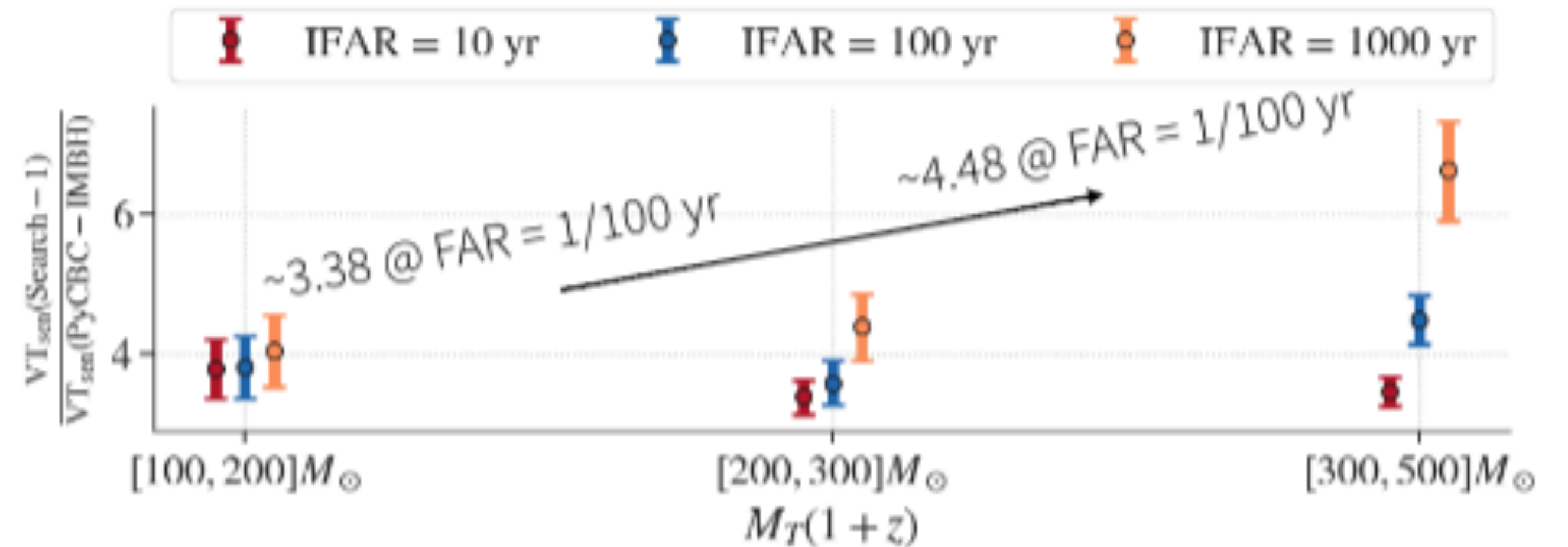
- Improved detection statistic

$$\frac{(d|\hat{h}_+)^2 + (d|\hat{h}_\times)^2 - 2(d|\hat{h}_+)(d|\hat{h}_\times)(\hat{h}_+|\hat{h}_\times)}{1 - (\hat{h}_+|\hat{h}_\times)^2}$$

- Appropriate minor tuning of vetos

Target Space: $\left\{ \begin{array}{l} M_T(1+z) \in [100, 500] M_\odot \\ 1 \leq q \leq 10 \\ |\chi_{\text{eff}}| \leq 0.99 \\ 75^\circ \leq \iota \leq 105^\circ \\ 0 \leq \phi \leq 360 \end{array} \right.$

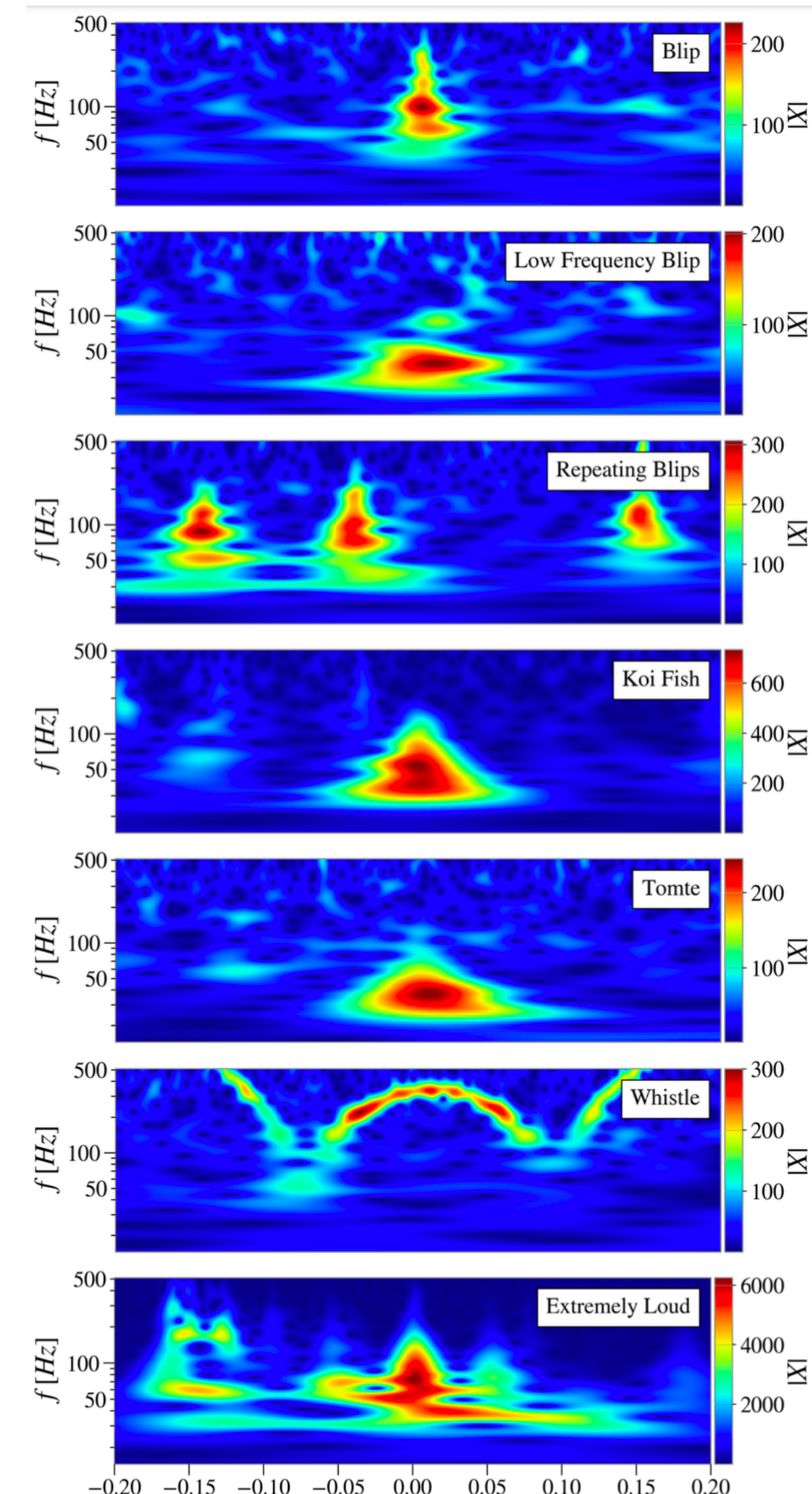
OtherDetails = $\left\{ \begin{array}{l} \text{Approximant} = \text{SEOBNRv4HM_ROM} \\ f_{\text{low}} = 15\text{Hz} \\ \text{Minimal Match} = 0.97 \end{array} \right.$



Salient features of THAMES

• [Sharma, Chandra, AP arXiv:2208.02545]

- **THAMES: Transfer learning for Higher order modes from Asymmetric Massive Edge-on Systems**
- Based on Convolutional Neural Network with Transfer Learning
- **Time-frequency morphology used for training**
 - Signals: IMBH with nearly edge-on systems
 - Noisy transients: A large variety of noisy glitches affecting massive BH binary searches
- Two detector network with **newly designed statistic.**
- **Newly designed noise vetos** based on the glitch morphology in time-frequency map
- **Performs comparable to the PyCBC-HM bank [Chandra et al PRD 2022] for GW190521 like systems**



IMBH formed in the crowded dynamical environment : Signatures

- In crowded environment like galactic centres, globular cluster, no time for system to shed the symmetry
 - **Asymmetric masses** — Higher order modes, higher than (2,2) modes in the signal
 - **Waveforms are available** : Two pronged approach taken
 - **Matched filter based** IMBH search with HM: **PyCBC-HM** — [Chandra et al PRD 2022]
 - **Convolutional neural network based** IMBH search with higher modes: **THAMES** — [Sharma, Chandra, AP arXiv:2208.025]
 - **Spin-precession** — Spin-orbit coupling introduces signal modulation which increases complexity
 - **Non-circular binaries** — Eccentricity brings new features
- While all the three effects are expected to be together! REAL WORLD IS ALWAYS COMPLEX
 - Currently **no reliable waveform is available with HM, high mass ratios, precession and eccentricity**

Alternative interpretation of GW190521

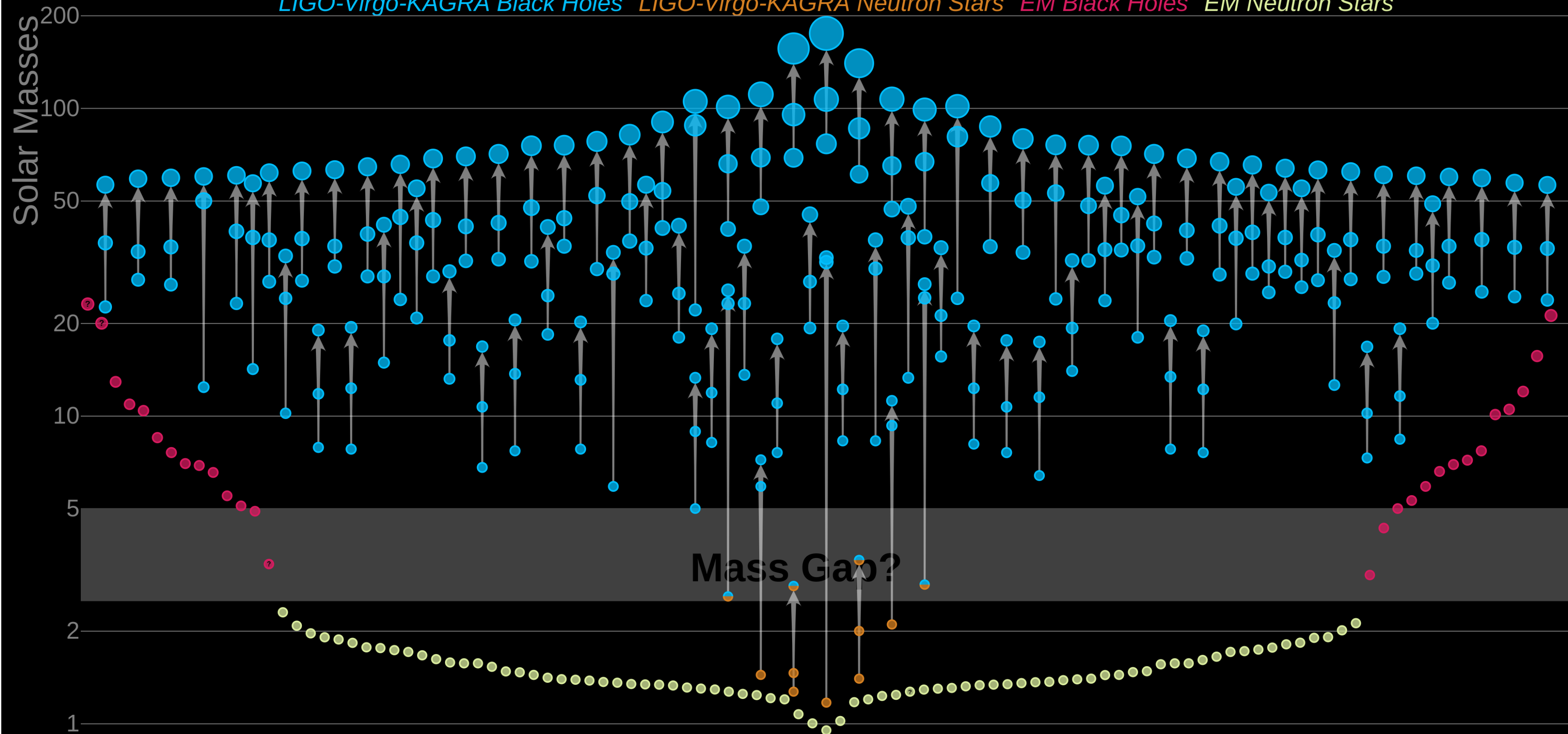
- GW190521 is a short duration signal with close to NO inspiral content. Probing chirp mass OR component masses is a challenging endeavour. Alternative interpretation of the signal due to degeneracy!
- GW190521 is a **asymmetric system** [Nitz+Capano, ApJ Lett. 2021]
 - Masses 171-16 Msun — both the components outside the mass-gap.
 - High mass ratio, claim to have detected higher mode
 - Sensitive to waveform choice: IMRPhenomXPHM Vs waveform with no HM support of higher q support
- GW190521 is **an eccentric system** [Gayathri et al Nat. Astronomy 2021]
 - Both masses in the upper mass-gap
 - Equal mass system, eccentricity ~ 0.7 , Precession ~ 0.7 (Like LVC result)
 - Using NR simulations

Future prospects

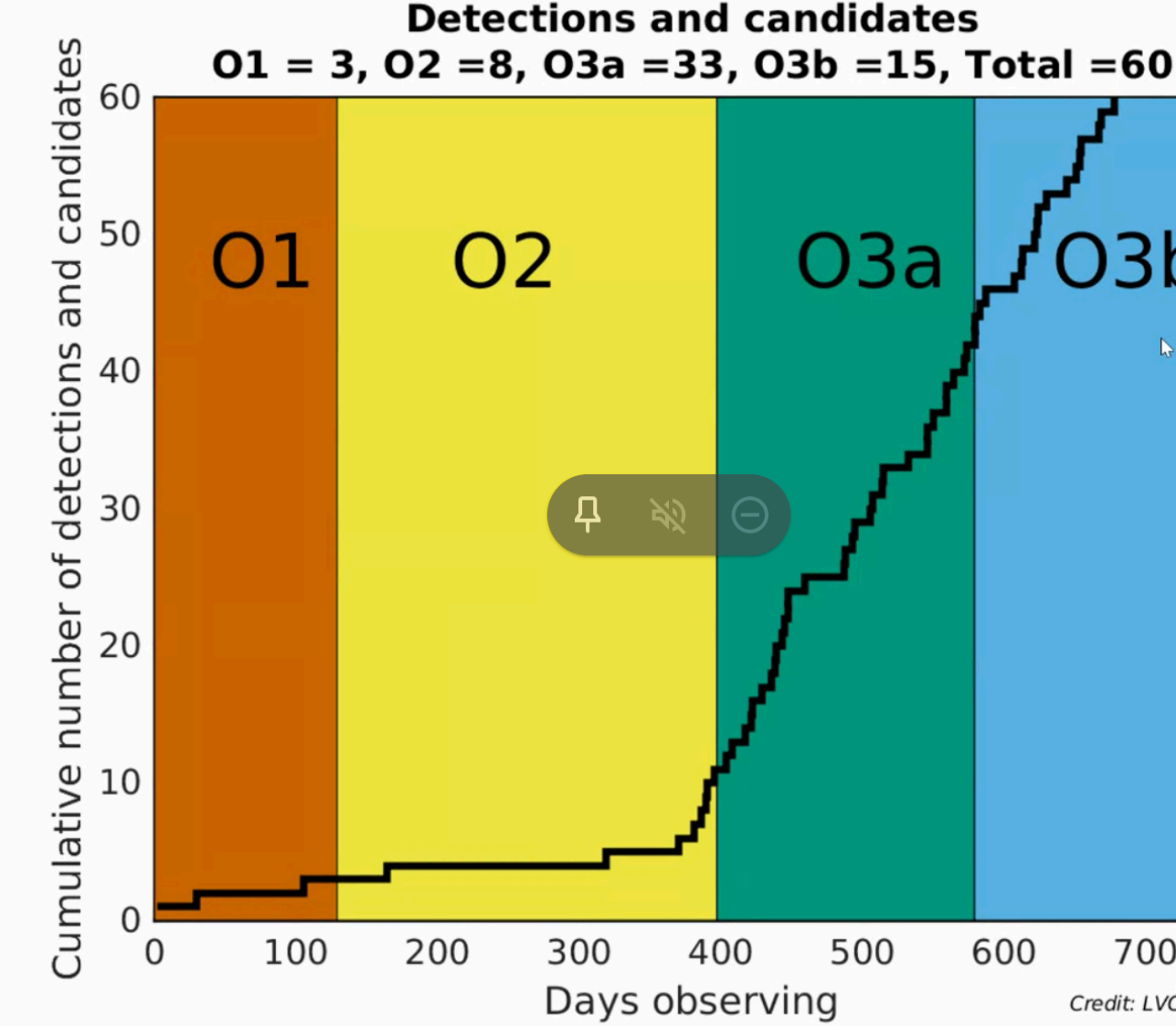
Compact binaries from IGWN

Masses in the Stellar Graveyard

LIGO-Virgo-KAGRA Black Holes LIGO-Virgo-KAGRA Neutron Stars EM Black Holes EM Neutron Stars



LIGO-Virgo-KAGRA | Aaron Geller | Northwestern

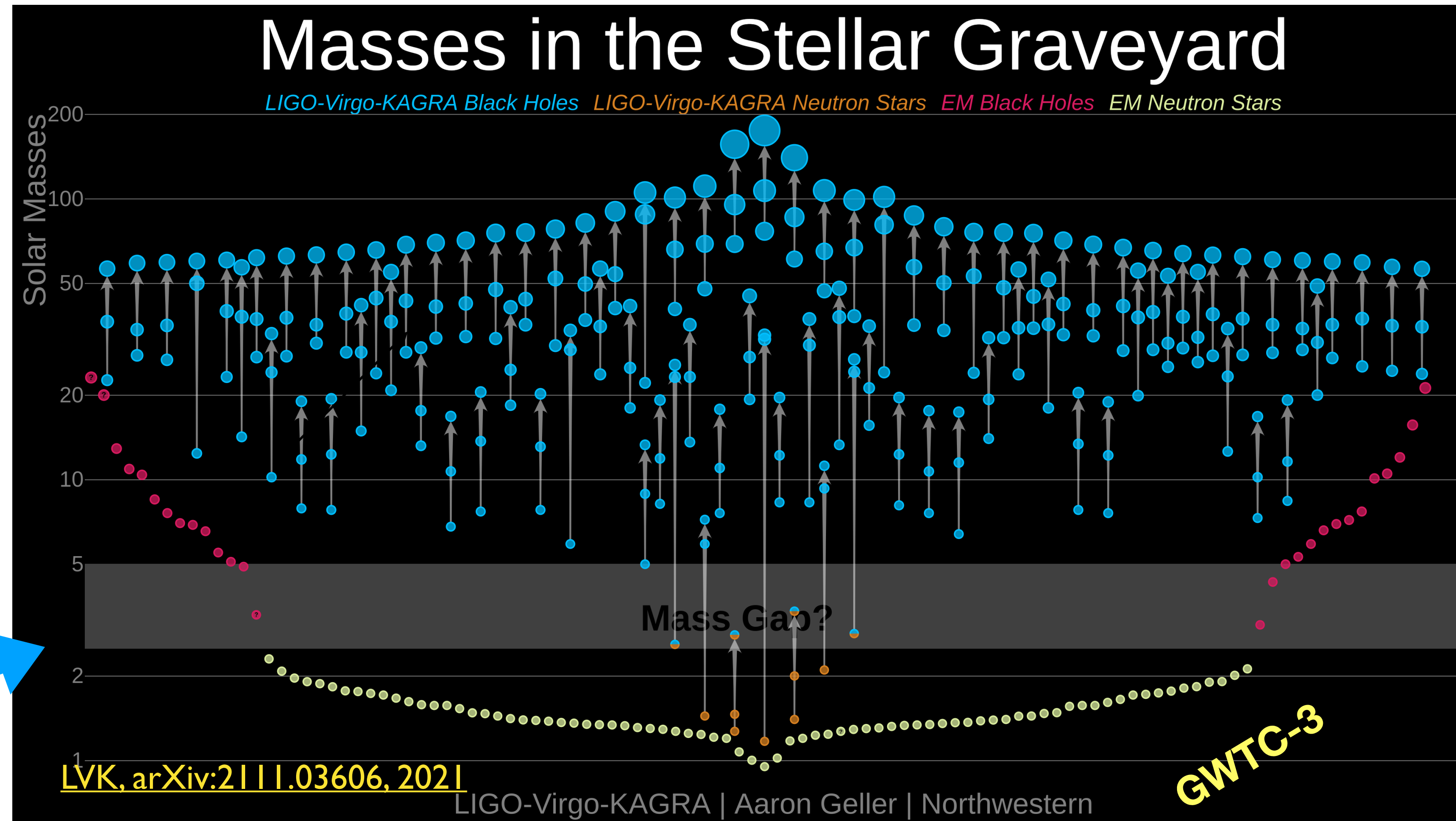


76 compact binary merger Events are with associated false alarm rate < 1per year which includes

- 2 BNS mergers**
- 2 NSBH mergers**
- 1 IMBH binary merger (GW190521)**

Masses of the compact binary mergers

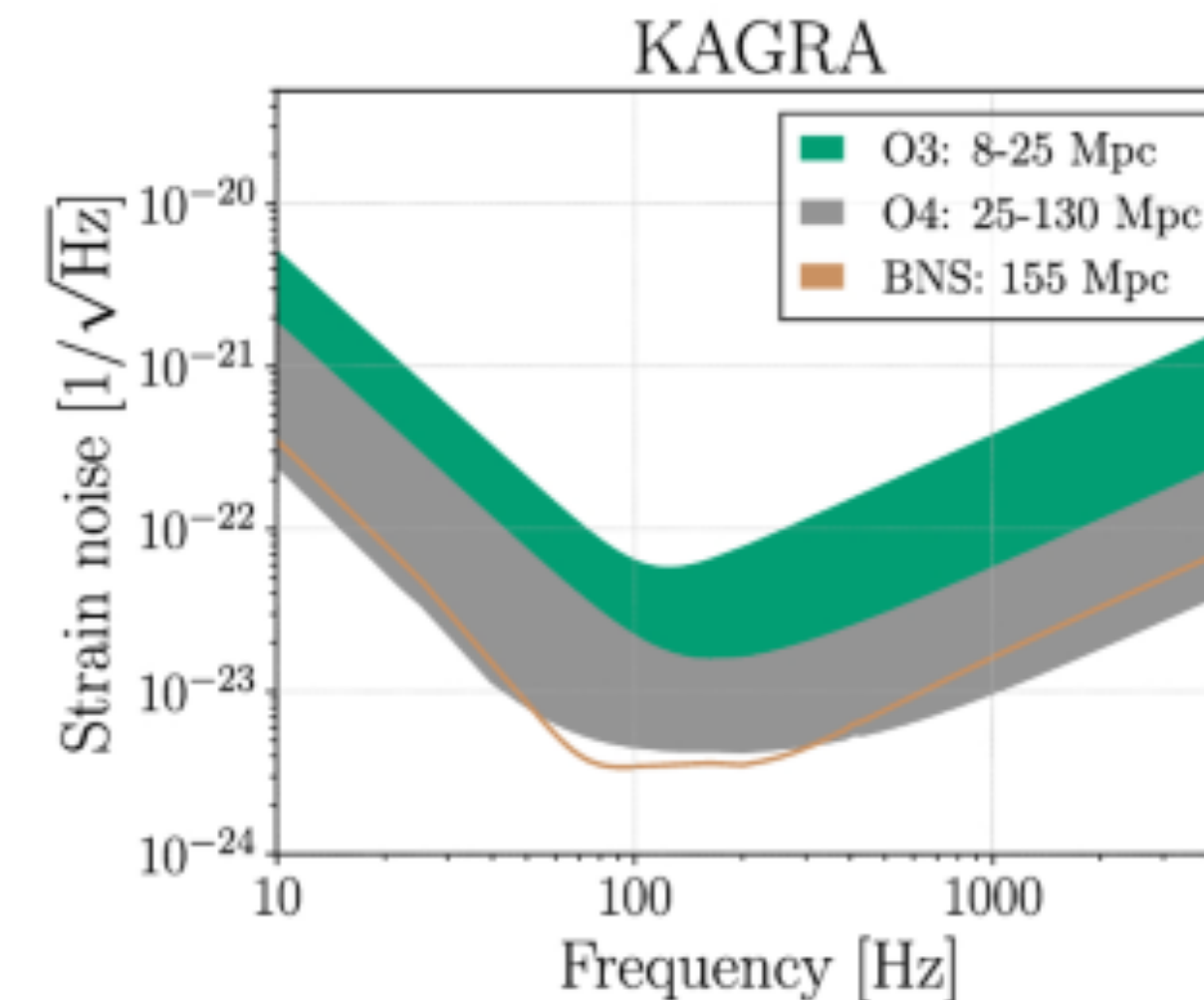
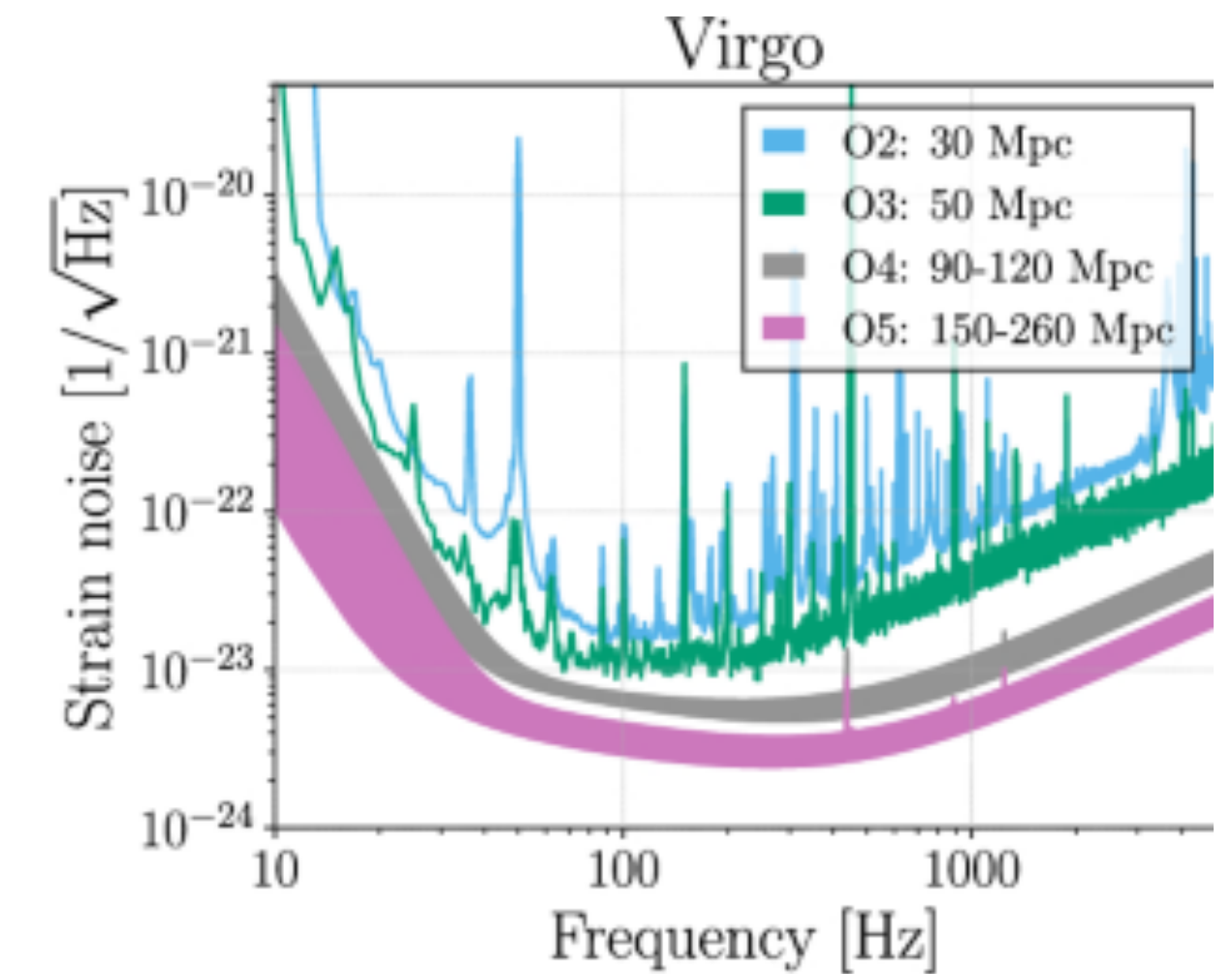
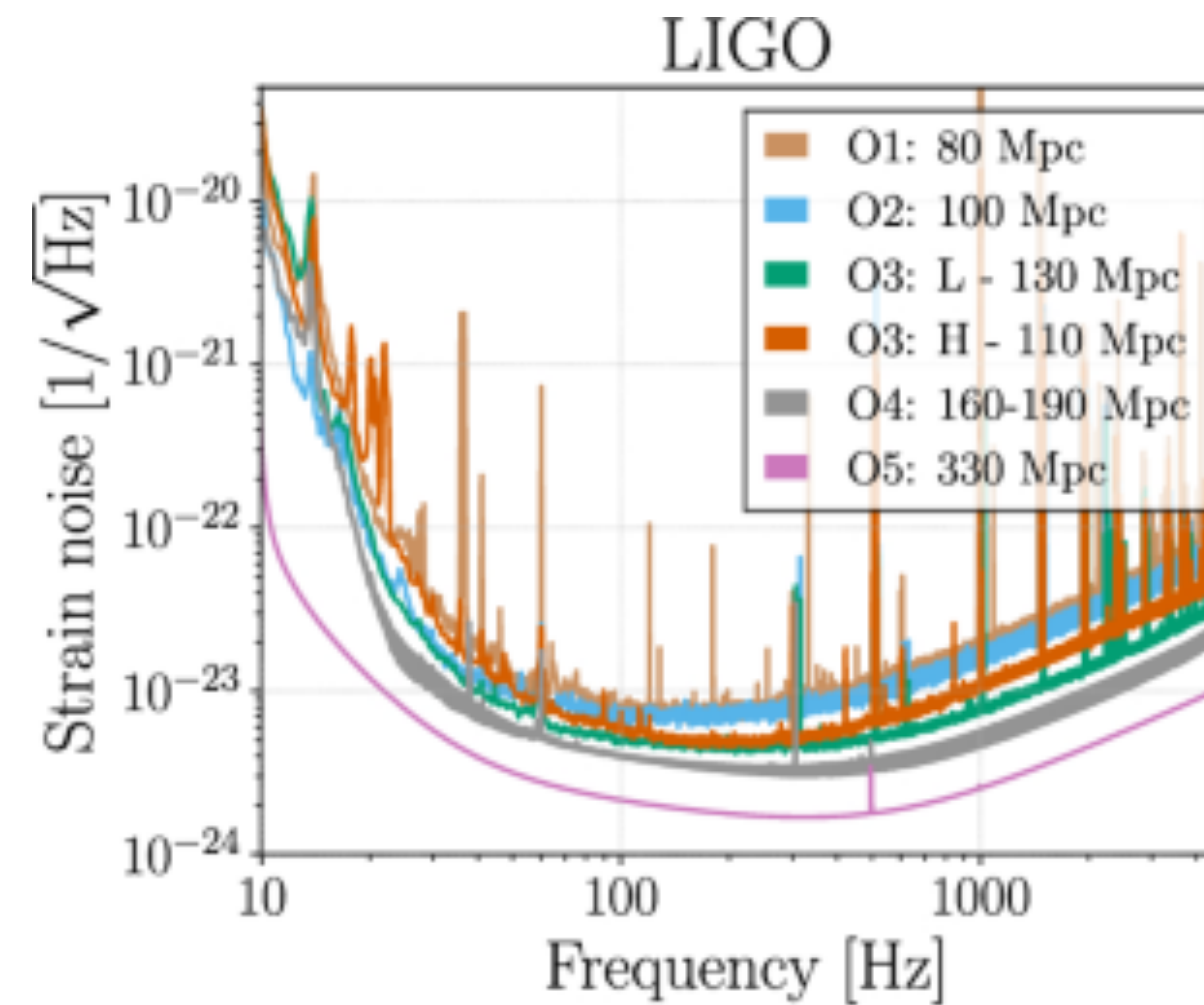
- Majority compact binary mergers are binary black hole mergers and **nearly equal mass**.
- A large fraction of the BH masses inferred in GW window extend **well above the BH masses observed in the X-ray binaries**.
- X-ray observations are drawn from binaries with near solar metallicity. Higher is the metallicity, more is the mass loss due to winds and hence less massive remnants — **Possible observational bias**
- Studying the component masses of BHs gives direct input on the **formation and progenitors**.
- Compact objects **lower mass gap** (2-5 Msun) predicted from the X-ray observations. Does this exist?
- Compact objects in the lower mass-gap makes the nature of the object inconclusive.



LVK, GWTC3

Observing prospects from future runs

- Next run (O4) is scheduled to start in few months
- O5 run will be in Aplus configuration with LIGO-India
- Improved distance reach for binary NS
 - 1.5 times (upto 180 Mpc with AdL) in O4
 - 3 times (upto 300 Mpc with AdL) in O5
- Improved distance reach for NSBH
 - 1.5 times (upto 300 Mpc with AdL) in O4
 - 3 times (upto 600 Mpc with AdL) in O5
- Improved distance reach for binary BHs
 - 1.5 times (upto 1.5 Gpc with AdL) in O4
 - 2.5 times (upto 2.5 Gpc with AdL) in O5



Thank you 🙏

Acknowledgement: SERB, DST India

Astrophysical merger rate density estimates

- Binary NS merger rate estimates (10-1700) $\text{Gpc}^{-3} \text{yr}^{-1}$
- NS-BH merger rate density estimates (7.8-140) $\text{Gpc}^{-3} \text{yr}^{-1}$
- Binary BH merger rate density estimate (16 - 61) $\text{Gpc}^{-3} \text{yr}^{-1}$
- IMBH binary merger rate density for 100-100 system is $0.056 \text{ Gpc}^{-3} \text{yr}^{-1}$.
- Merger rate density of GW190521 like sources is $0.08 \text{ Gpc}^{-3} \text{yr}^{-1}$

Take home message

- BH population: Result of various formation channels (**multi-modality in the distribution**)
- BH Properties: Direct signature of formation channels
- Is low mass gap real or some observational selection bias?
- High mass gap — 50-120 M_{sun} ?
 - Predicted by stellar evolution of massive stars as a result of pair instability
 - Depends on various factors nuclear reaction rate, metallicity, rotation etc
- More number of observations will give better constraints on the rates, BBH channels and on population models
- Need more complete waveform models with all the complexities.
- Need algorithm to disentangle massive BBH signals from noisy glitches [\[See Sayantan's Poster\]](#)



LIGO Hanford



GEO600



KAGRA

LIGO Livingston

Virgo

LIGO India

Goal is to join observations end of 2019



Gravitational Wave Observatories

A third LIGO detector in India (2025/2026)

		O1	O2	O3	O4	O5
BNS Range (Mpc)	aLIGO	80	100	110–130	160–190	330
	AdV	-	30	50	90–120	150–260
	KAGRA	-	-	8–25	25–130	130+
BBH Range (Mpc)	aLIGO	740	910	990–1200	1400–1600	2500
	AdV	-	270	500	860–1100	1300–2100
	KAGRA	-	-	80–260	260–1200	1200+
NSBH Range (Mpc)	aLIGO	140	180	190–240	300–330	590
	AdV	-	50	90	170–220	270–480
	KAGRA	-	-	15–45	45–290	290+
Burst Range (Mpc) $ E_{\text{GW}} = 10^{-2} M_{\odot} c^2 $	aLIGO	50	60	80–90	110–120	210
	AdV	-	25	35	65–80	100–155
	KAGRA	-	-	5–25	25–95	95+
Burst Range (kpc) $ E_{\text{GW}} = 10^{-9} M_{\odot} c^2 $	aLIGO	15	20	25–30	35–40	70
	AdV	-	10	10	20–25	35–50
	KAGRA	-	-	0–10	10–30	30+

Abbott+ arXiv 1304.0670

Future projections

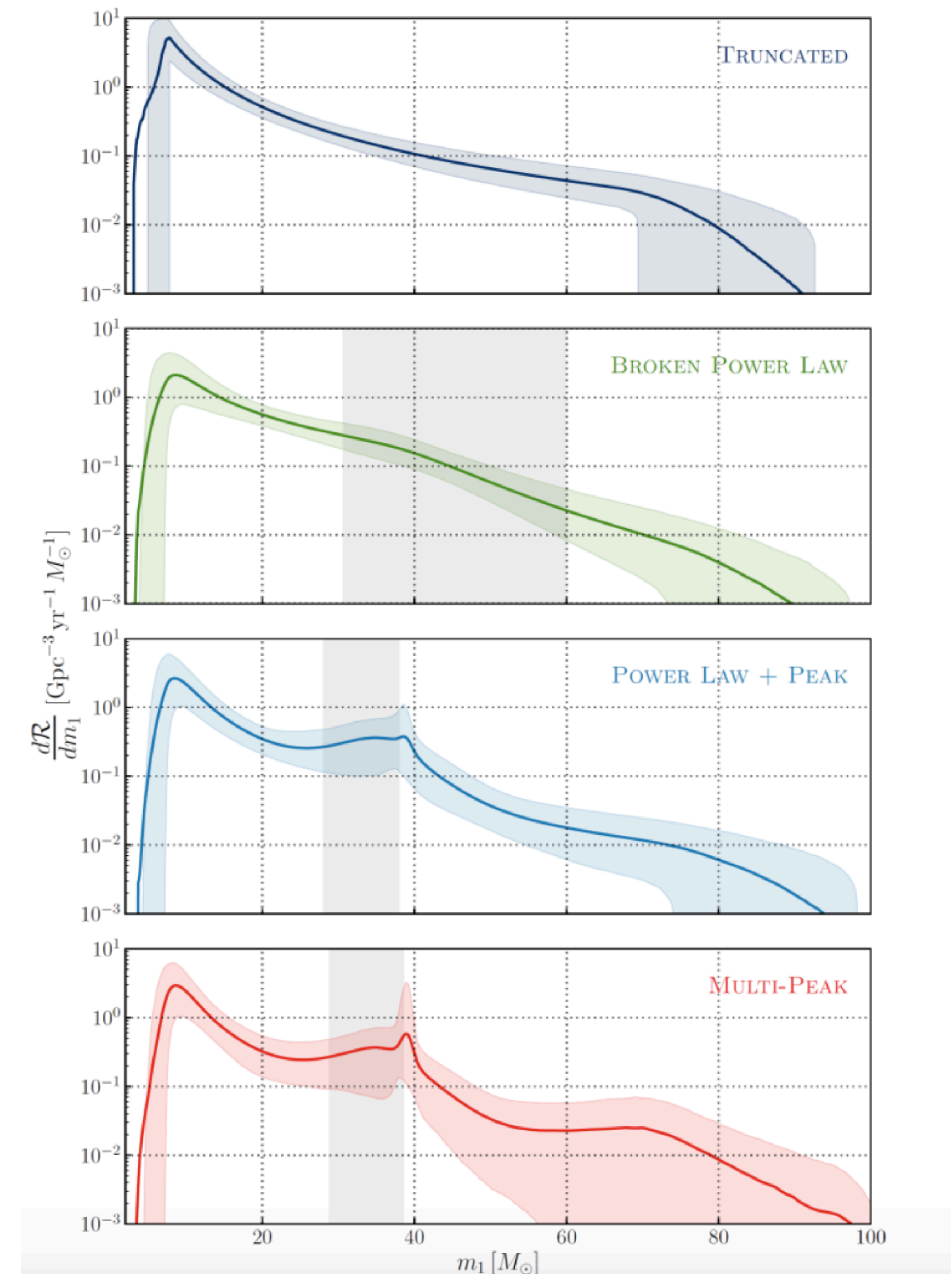
LIGO — O4: 1.5 X, O5: > 2.5X

Events — O4 > 3X, O5: > 15X

Astrophysical merger rate estimates

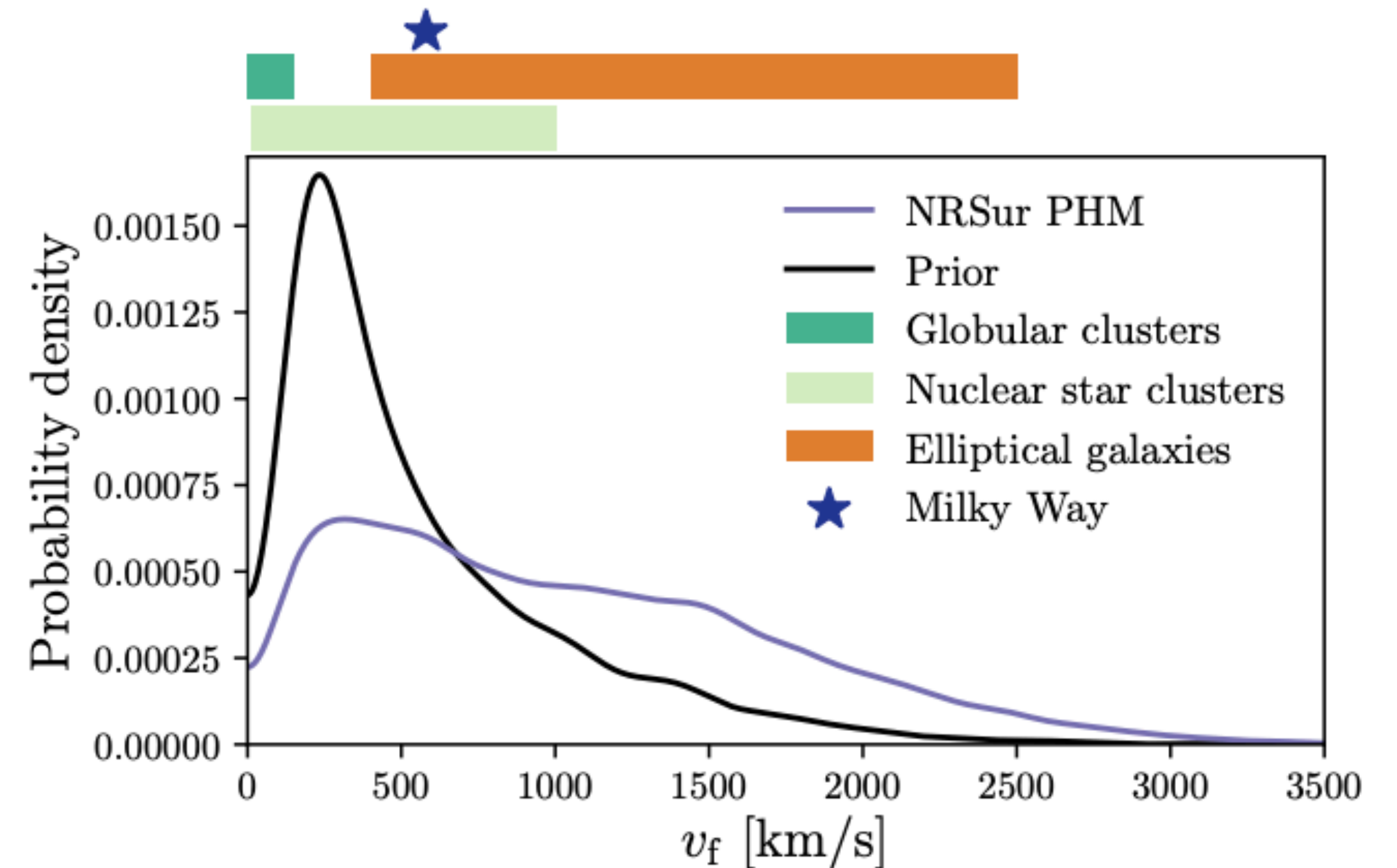
- Binary NS merger rate estimates $R_{\text{BNS}} = 320_{-240}^{+490} \text{ Gpc}^{-3} \text{ yr}^{-1}$
- Consistent with the lower black hole mass gap of 2.6 M_{sun} - 6 M_{sun}
- The detections show evidence of distribution not following a simple power law — Need to account for massive black holes
- Models with peak in the distribution by incorporating Gaussian profile in addition to the power law is preferred by the data.
- Binary BH merger rate estimates

$$R_{\text{BBH}} = 23.9_{-8.6}^{+14.9} \text{ Gpc}^{-3} \text{ yr}^{-1}$$



Study of the kick velocity from GW190521

- GW emission should impart kick to the remnant BH.
- For precessing systems, we expect high values of the kicks [Favata, Lousto+]
- Study of the posterior implies support for high values.
- Kick velocity is higher than the escape velocities of the GC.



How to make GWave interferometer sensitive?

$$\delta L = hL \sim 3 \times 10^{-18} \text{m}$$

$$\begin{array}{c} \nearrow \quad \nwarrow \\ 10^{-21} \quad 3 \text{ km} \end{array}$$

How to measure 10^{-18}m with 1 micron laser?

Fabry-Perot cavity with $\mathcal{F} = 100$

The phase shift acquired at the photodiode:

$$\Delta\phi_{GW} = \frac{2\pi}{\lambda} \times 2 \times 100 \times \delta L = 10^{-9}$$

Shot noise at photodiode: $\Delta\phi \sim 1/\sqrt{N}$

$$\Delta\phi < \Delta\phi_{GW} \Rightarrow P = 100 \text{ W}$$

With Power recycling technique $P = 10 \text{ W}$

Fabry-Perot Cavity + High Power Laser $\rightarrow \delta L \sim 10^{-18} \text{ m}$

▶ Back