

# Astrophobic Axions

**Based on: “Astrophobic Axions”**

**Phys.Rev.Lett. 120 (2018) no.26, 261803 [arXiv:1712.04940]**

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Some remarks from

**“U(1) flavour symmetries as PQ symmetries” [arXiv:1811.09637]**

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# The strong CP problem

- QCD is defined in terms of two dimensionless parameters which are not predicted by the theory. Measurements yield:

$$\alpha_s \sim O(0.1-1) \quad \text{and} \quad \bar{\theta} < 10^{-10} \quad [\cancel{P} \ \& \ \cancel{T}]$$

$$\mathcal{L}_{\text{QCD}} = \bar{q} (i\not{D} - m_q e^{i\theta_q}) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \theta \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

- The difference  $\bar{\theta} = \theta - \theta_q$  gives the amount CP viol. in QCD

$$q \rightarrow e^{i\gamma_5 \alpha} q \quad \longrightarrow \quad \theta_q \rightarrow \theta_q + 2\alpha \quad \text{and} \quad \theta \rightarrow \theta + 2\alpha$$

- Change in  $\theta$  is given by the change of the path integral measure:

$$\mathcal{D}q \mathcal{D}\bar{q} \rightarrow \exp \left( -i\alpha \int d^4x \frac{\alpha_s}{4\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a \right) \mathcal{D}q \mathcal{D}\bar{q} \quad [\text{Fujikawa (1979)}]$$

# A small value problem

- $\bar{\theta} \neq 0$  implies a non-zero neutron EDM [Baluni (1979), Crewther et al. (1979)]

$$d_n \approx \frac{e |\bar{\theta}| m_\pi^2}{m_n^3} \approx 10^{-16} |\bar{\theta}| e \text{ cm}$$

- However,  $d_n \lesssim 3 \cdot 10^{-26} e \text{ cm}$  implying:  $\bar{\theta} \lesssim 10^{-10}$

- This is qualitatively different from other small values problems:

- In the SM  $\bar{\theta}$  receives the first finite **Log** corrections at  $O(\alpha^2)$  [Ellis, Gaillard (1979)]

Unlike  $m_H^2$  that is quadratically sensitive to  $\Lambda_{UV}^2$

- Unlike  $y_{e,u,d} \sim 10^{-6} \div 10^{-5}$  it evades explanations based on environmental selection

[Ubaldi, 0811.1599]

[Kaloper & Terning, 1710.01740]

[Dine, Stephenson Haskins, Ubaldi, & Di Xu 1801.03466]

# Unexplained issues within the SM

Phenomenological issues

Type 1 Seesaw  
(via Leptogenesis)

Neutrino masses

SUSY (with R-parity)

Dark Matter

Cosmological matter/antimatter asymmetry

Conceptual embarrassments (small number problems)

Cosmological constant

Electroweak breaking scale (Naturalness)

$\Theta$ -vacuum and strong CP problem

PQ &  
Axions

Dark Energy (?)

# Three types of solutions

- **A massless quark. One exact chiral symmetry:**  $\bar{\theta} \rightarrow 0$ 
  - From lattice:  $m_u \neq 0$  by more than  $20 \sigma$  [Aoki (2013)]  
[Manhoar & Sachrajda, PDG(2014)]
- **CP symmetry + Spontaneous CP violation** [Barr (1984), Nelson (1984)]
  - Set  $\bar{\theta} = 0$  by imposing CP. Need to break spont. for CKM (+BAU)
  - High degree of fine tuning, or elaborated constructions to keep  $\bar{\theta} < 10^{-10}$  at all orders. No unambiguous exp. signatures.
- **Peccei-Quinn solution** [Peccei, Quinn (1977), Weinberg (1978), Wilczek (1978)]
  - Assume a global  $U(1)_{PQ}$ : (i) Spontaneously broken; (ii) QCD anomalous
  - Implies a PGB of  $U(1)_{PQ}$ : **the Axion**. Shift symmetry:  $a(x) \rightarrow a(x) + \delta\alpha f_a$

$$\mathcal{L}_{\text{eff}} = \left( \underbrace{\bar{\theta} + \frac{a}{f_a}}_{\theta_{\text{eff}}(x)} \right) \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a - \frac{1}{2} \partial^\mu a \partial_\mu a + \mathcal{L}(\partial_\mu a, \psi)$$

# Axion models

- **PQWW axion:**

Axion identified with the phase of the Higgs in a 2HDM  
( $f_a \sim V_{EW}$  was quickly ruled out long ago)

[Peccei, Quinn (1977),  
Weinberg (1978), Wilczek (1978)]

**We need to require  $f_a \gg V_{EW}$ : “invisible axion”**

- **DSFZ Axion:** SM quarks and Higgses, charged under PQ.  
Requires 2HDM + 1 scalar singlet. SM leptons are also PQ charged.

[Dine, Fischler, Srednicki (1981), Zhitnitsky (1980)]

- **KSVZ Axion** (or hadronic axion):

All SM fields are neutral under PQ. QCD anomaly is induced by new quarks, vectorlike under the SM, chiral under PQ.

[Kim (1979), Shifman, Vainshtein, Zakharov (1980)]

# Model independent features

- Axion mass:  $\sim 1/f_a$
- All axion couplings:  $\sim 1/f_a$

The lighter is the axion, the weaker are its interactions:

$$m_a^2 = \frac{m_u m_d}{(m_u + m_d)^2} \frac{m_\pi^2 f_\pi^2}{f_a^2}.$$

$$m_a \simeq m_\pi \frac{f_\pi}{f_a} \simeq 6 \text{ meV} \frac{10^9 \text{ GeV}}{f_a}$$

$$g_{a\gamma\gamma} = \frac{\alpha_{em}}{2\pi f_a} \left[ \frac{E}{N} - \frac{2}{3} \frac{4m_d + m_u}{m_d + m_u} \right]$$

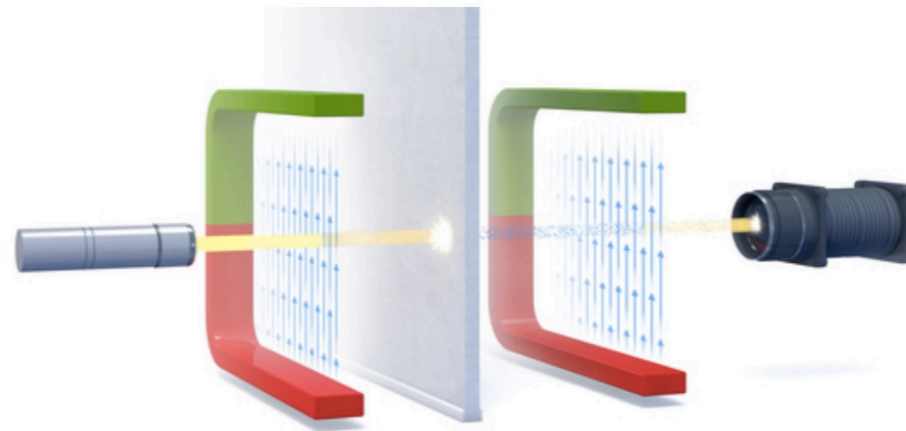
# Search strategies and current limits

- Laboratory search techniques are sensitive to *g<sub>γγ</sub>*

- Light Shining trough Walls

[see e.g. Redondo, Ringwald hep-ph/1011.3741]

Photon conversion into Axions,  
Axions reconverted back into  
photons after passing a wall



ALPS 2  
DESY

- Haloscopes

[Sikivie 1983]

Search for Axion Halo Dark Matter with microwave resonant  
cavities (ADMX, U. Washington; HAYSTAC, Yale U.)



- Helioscopes

Search for Axions  
produced in the Sun



CAST  
CERN

ADMX  
U. Washington



# Astrophysical Bounds (anomalous Eng. losses)

Yukawa-like axion-fermion couplings:  $g_{af} = (m_f/f_a) C_f$   
Axion-Photon coupling [ $\text{GeV}^{-1}$ ]:  $g_{a\gamma} = \alpha_{em}/(2\pi f_a) C_\gamma$

HB stars evolution in globular clusters:  $g_{a\gamma} < 6.6 \cdot 10^{-11} \text{ GeV}^{-1}$

[Ayala et al., arXiv: 1406.6053 (PRL)]

WD luminosity function (cooling):  $g_{ae} < 2.7 \cdot 10^{-13}$  [Miller Bertolami et al., arXiv: 1406.7712 (JCAP)]

RG evolution in globular clusters:  $g_{ae} < 4.3 \cdot 10^{-13}$  [Viaux et al., arXiv: 1311.1699 (PRL)]

Burst duration of SN1987A  $\nu$  signal:  $g_{ap}^2 + g_{an}^2 < (6 \cdot 10^{-10})^2$

[Giannotti et al., arXiv: 1708.02111 (PRD)]

Astro-limits used to constrain  $f_a$  and in turn  $m_a \sim m_\pi f_\pi / f_a$

# We need to know where to search

## Preferred window versus constraints

### Theoretical central value

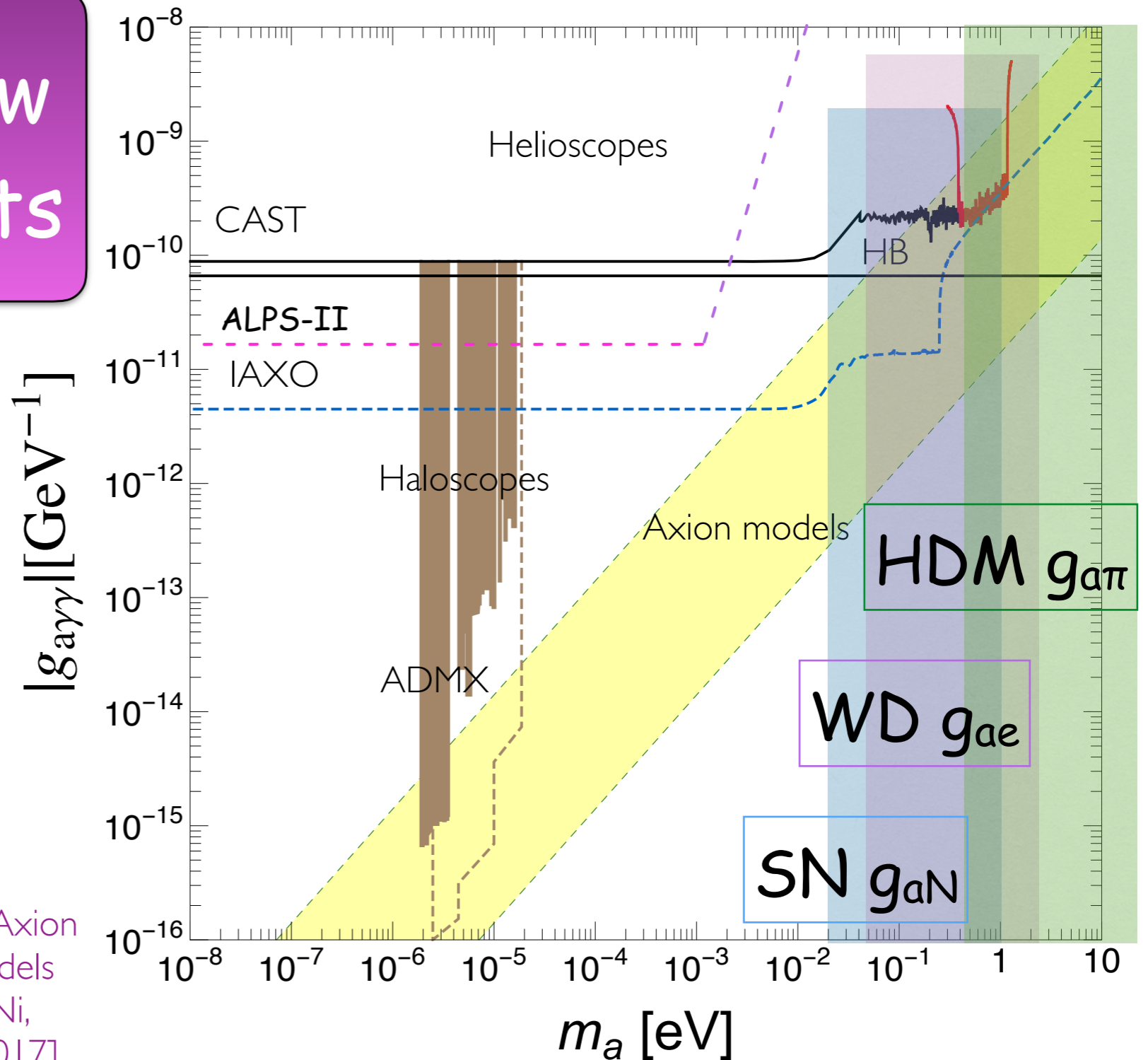
$$g_{a\gamma\gamma} \sim \frac{\alpha}{2\pi} \frac{m_a}{f_\pi m_\pi} \sim \frac{10^{-10}}{\text{GeV}} \left( \frac{m_a}{\text{eV}} \right)$$

### Model dependence:

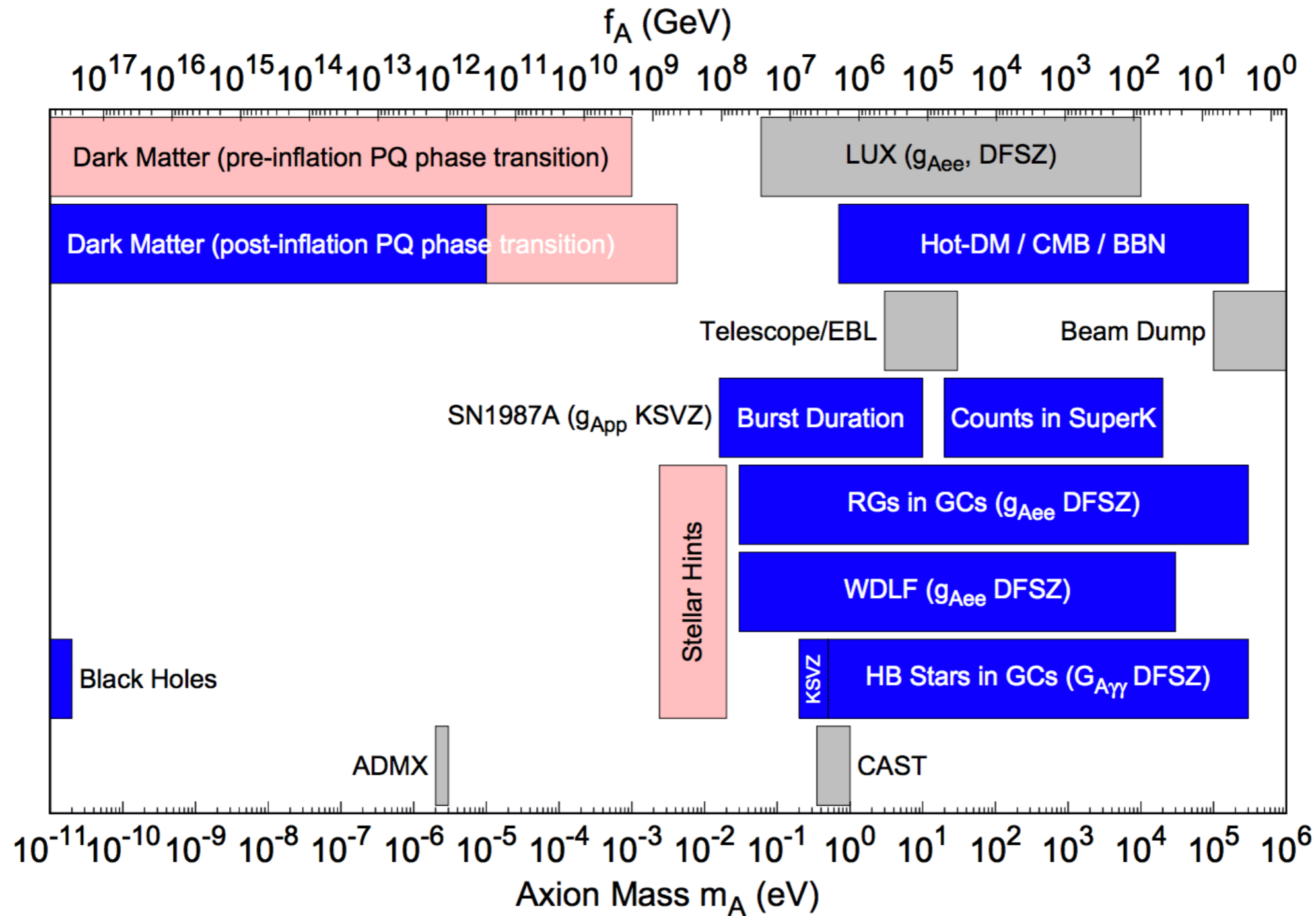
$$g_{a\gamma\gamma} = \frac{m_a}{\text{eV}} \frac{2.0}{10^{10} \text{ GeV}} \left( \frac{E}{N} - 1.92 \right)$$

$$\left| \frac{E}{N} - 1.92 \right| \in [0.07, 7]$$

[Particle Data Group (since the end of 90's): Axion window chosen to include representative models from: Kaplan, NPB 260 (1985); Cheng, Geng, Ni, PRD 52 (1995); Kim, PRD 58 (1998). New 2017]

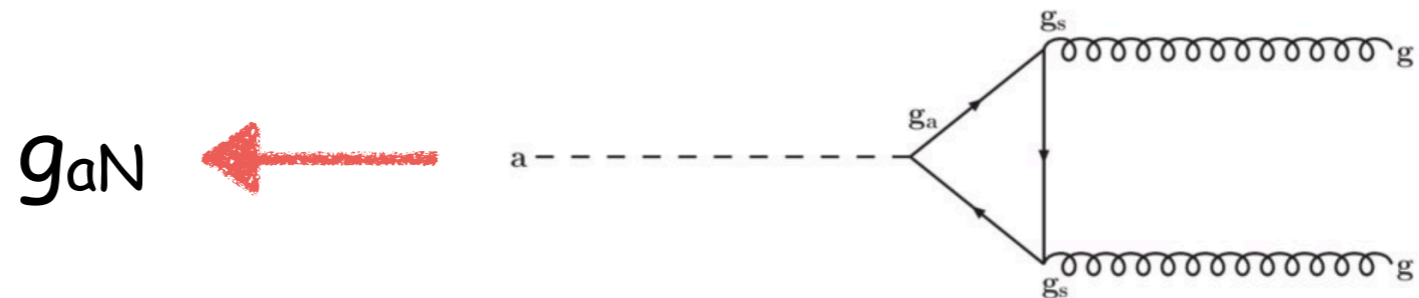


# Exclusion Limits: $f_a \rightarrow m_a$ (PDG 2017)



# Can we have "Astrophobic Axions" ? decoupled from Nucleons and from Electrons

Model independent  
contribution to  $g_{aN}$ :



KSVZ axions are **decoupled** from the **leptons** ( $g_{ae} \approx 0$ )  
Coupling to **nucleons** are **model independent** ( $g_{aN} \neq 0$ )

DFSZ axions **couple** to the **leptons** ( $g_{ae} \neq 0$ )  
Coupling to **nucleons** nonzero but **model dependent** ( $g_{aN} \neq 0$ )

Generalized DFSZ axions can **(approximately) decouple**  
from **nucleons** ( $g_{aN} \approx 0$ ) and from **electrons** ( $g_{ae} \approx 0$ )

# The two conditions for "Nucleophobia"

From the UV theory we have:

$$\mathcal{L}_q = \frac{\partial_\mu a}{2f_a} c_q \bar{q} \gamma^\mu \gamma_5 q$$

We want:

$$\mathcal{L}_N = \frac{\partial_\mu a}{2f_a} C_N \bar{N} \gamma^\mu \gamma_5 N$$

$C_N$  in terms of  $c_q$  and of matrix elements  $s^\mu \Delta_q = \langle N | \bar{q} \gamma^\mu \gamma_5 q | N \rangle$  by matching the matrix elements of  $\mathcal{L}_q$  and  $\mathcal{L}_N$ . One obtains:

$$C_p + C_n = 0.50(5) (C_u^0 + C_d^0 - 1) - 2\delta_s, \quad |\delta_s| \lesssim 0.04$$

$$C_p - C_n = 1.273(2) \left( C_u^0 - C_d^0 - \frac{1}{3} \right),$$

We want to see if it is possible to set

$$C_u + C_d - 1 \approx 0$$

$$C_u - C_d - 1/3 \approx 0$$

# First Condition: $C_u + C_d - 1 = 0$

$$\mathcal{L}_a \supset \frac{a \alpha_s}{f_a 8\pi} G\tilde{G} + \frac{a \alpha}{f_a 8\pi N} F\tilde{F} + \frac{\partial_\mu a}{v_a} [X_u \bar{u}\gamma^\mu\gamma_5 u + X_d \bar{d}\gamma^\mu\gamma_5 d]$$

$(f_a = \frac{v_a}{2N})$

$$\frac{\partial_\mu a}{2f_a} \left[ \frac{X_u}{N} \bar{u}\gamma^\mu\gamma_5 u + \frac{X_d}{N} \bar{d}\gamma^\mu\gamma_5 d \right]$$

model independent contributions

$$\frac{E}{N} \rightarrow \frac{E}{N} - 1.92(4); C_u = \frac{X_u}{N} \rightarrow \frac{X_u}{N} - \frac{m_d}{m_u + m_d}; C_d = \frac{X_d}{N} \rightarrow \frac{X_d}{N} - \frac{m_u}{m_u + m_d}$$

**Therefore:**  $C_p + C_n \sim \frac{X_u + X_d}{N} - 1 = \frac{N_\ell}{N} - 1$

$\xrightarrow{\text{universality}}$	$\frac{1}{n_g} - 1 \neq 0$
$N = n_g(X_u + X_d)$	$n_g$

**Nucleophobia** unavoidably requires DFSZ-type of models with generation dependent PQ charges, s.t.

$$N = N_{(1^{\text{st}} \text{ gen})}$$

(and it is not possible for KSVZ-type of models)

Second Condition:  $C_u - C_d - 1/3 \approx 0$

$$C_p - C_n \sim X_u - X_d - 1/3 \approx 0$$

where:

$$\frac{1}{3} \approx \frac{m_d - m_u}{m_d + m_u}$$

Scalar content of DFSZ-like models:  $H_1, H_2, \Phi_a$  with VEVs  $v_1, v_2, (v_1^2 + v_2^2 = v^2), v_a$ , the PQ charges are  $X_1, X_2, X_a$

Goldstone of Hypercharge:  $\varphi_Y = (v_2 \varphi_{H_2} - v_1 \varphi_{H_1})/v$

To avoid  $a$ - $\varphi_Y$  mixing redefine the charges so that:

$$X_1 v_1^2 + X_2 v_2^2 = 0 \quad \longrightarrow \quad X_1/X_2 = -v_2^2/v_1^2$$

The choice:  $v_2^2/v_1^2 = 2 \longrightarrow X_u - X_d = X_2 - X_1 \approx 1/3$   
allows for axion-Nucleons decoupling

# WD+RG bounds: Electrophobia $C_e \approx 0$

In DFSZ models leptons carry PQ charges and couple to  $a(x)$

$$\mathcal{L}_a \supset \frac{\partial_\mu a}{2f_a} \left\{ \bar{\ell}_L^0 X_{\ell_L} \gamma^\mu \ell_L^0 + \bar{\ell}_R^0 X_{\ell_R} \gamma^\mu \ell_R^0 \right\}$$

Introduce a Higgs doublet for the Leptons  $H_3$

The **axion-NGB(hypercharge)** orthogonality condition becomes:

$$X_1 v_1^2 + X_2 v_2^2 + X_3 v_3^2 = 0$$

The previous choice  $X_1/X_2 = -v_2^2/v_1^2$  here implies  $X_3 v_3^2 = 0$ :  
 $X_3 = 0 \rightarrow$  leptons decouple from the PQ symmetry:  $C_e \approx 0$

Electrophobia needs  $H_1, H_2, H_3$  but no additional conditions



# Nucleophobia $\rightarrow$ Flavour Violation

Nucleophobia



Generation dependent  
PQ quark charges



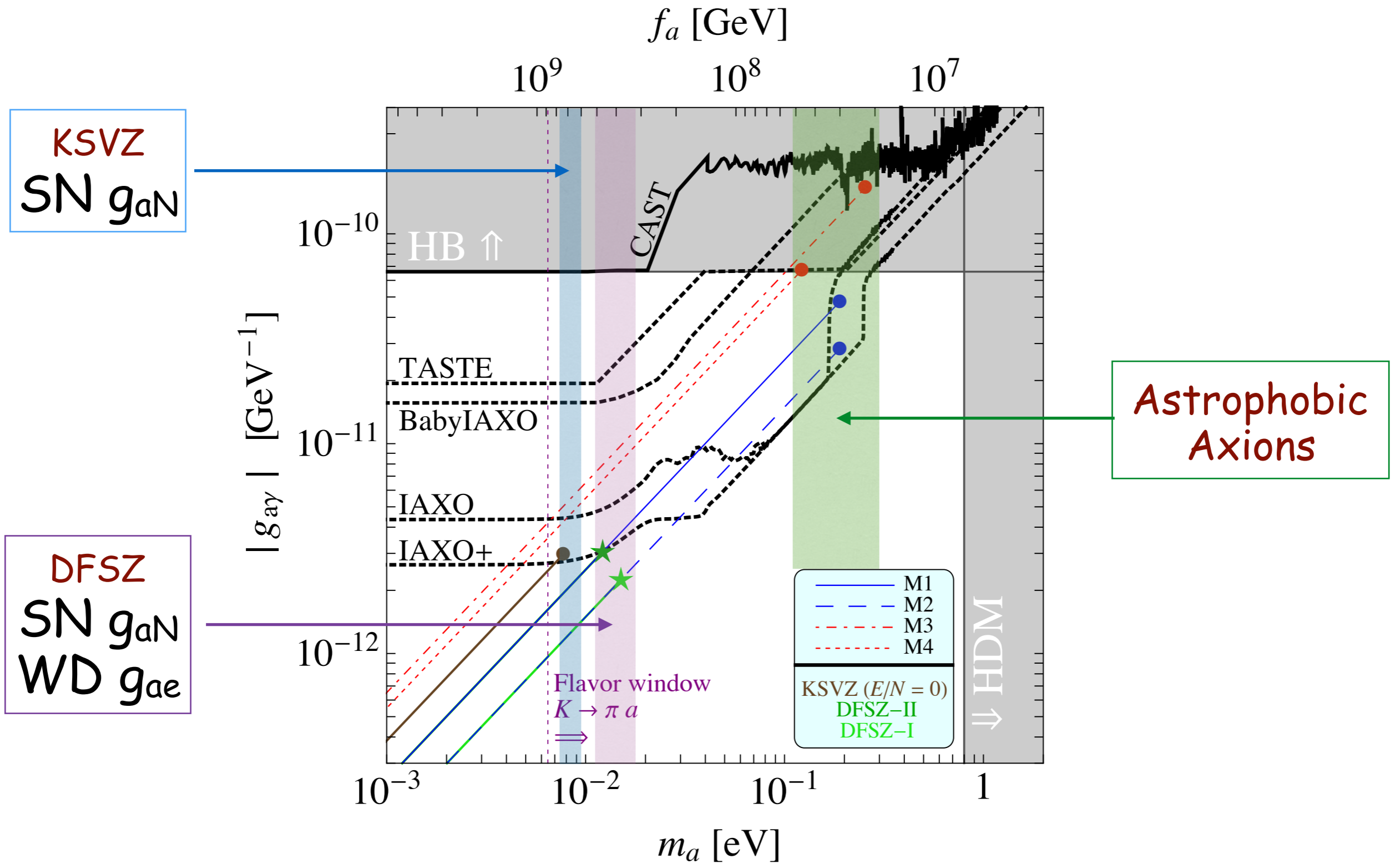
Flavour Violating  
Axion interactions

Nucleophobia predicts  
 $K^+ \rightarrow \pi^+ a$ ,  $B^+ \rightarrow K^+ a$ , etc.

Observable signals for:  $f_a \approx 2 \cdot 10^9 \text{ GeV}$  ( $m_a \approx 6 \cdot 10^{-3} \text{ eV}$ )

Precision flavour experiments can provide powerful  
and complementary probes for astrophobic axions

# SUMMARY PLOT



## Conclusions

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- Theoretical developments are still ongoing
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  - solves the strong CP problem
  - provides an excellent DM candidate (2 problems at once)
  - it is unambiguously testable by detecting the axion
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  - keep controlled theoretical uncertainties due to “model building”
  - $g_{a\gamma} \approx 0$ ;  $g_{ae} \approx 0$ ;  $g_{aN} \approx 0$  are unexpected phenomenological possibilities
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- Theoretical developments are still ongoing
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  - $g_{a\gamma} \approx 0$ ;  $g_{ae} \approx 0$ ;  $g_{aN} \approx 0$  are indeed phenomenological possibilities
- Healthy and lively experimental program
  - experiments are entering now the preferred window for the QCD axion
  - astrophobic axions: flavour violation experiments can play a crucial role
  - complementarity of different experimental approaches is a must !

Thanks for your attention !