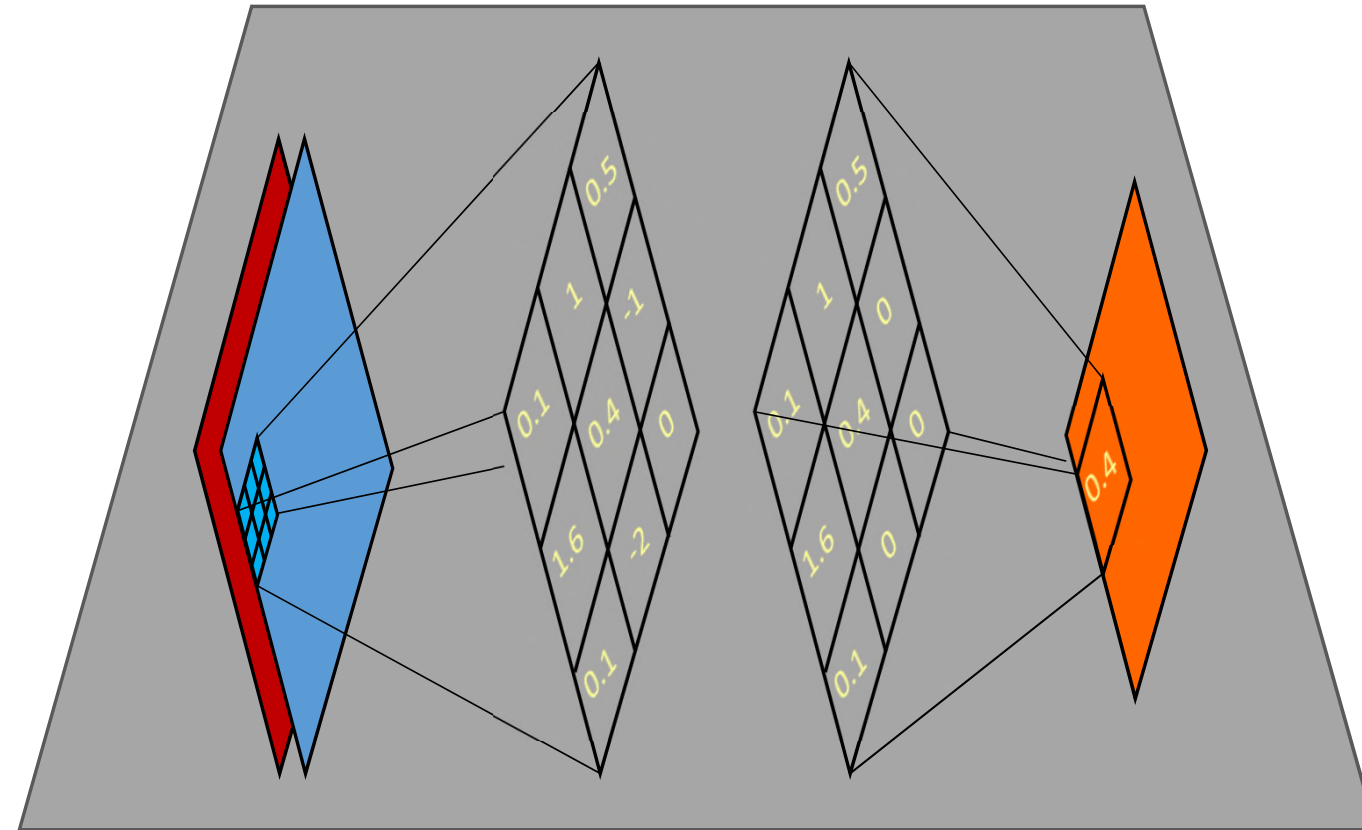


# Deep Learning meets Physics



Prof. Dr. Martin Erdmann, RWTH Aachen University, 24-Nov-2020

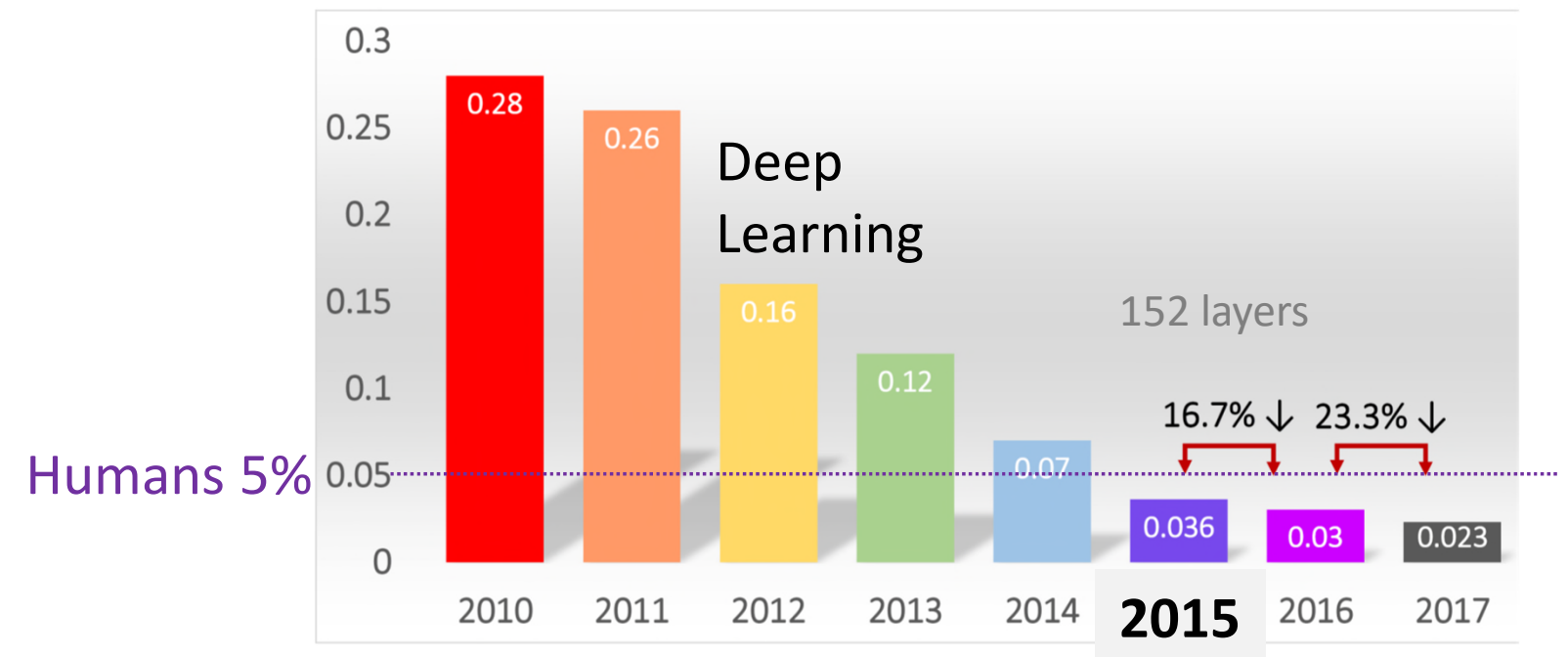
# Deep Learning spectacular success

## Image recognition challenge



ImageNet: 1.2 million images in 1000 categories

## Classification error rate



***Deep learning errors < human errors***

# Generative Modeling



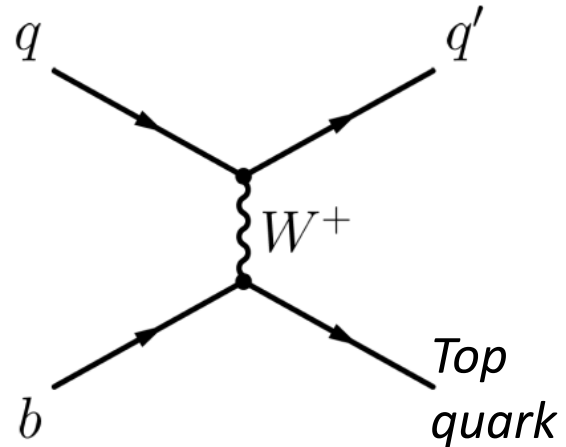
<https://thispersondoesnotexist.com>

# Plan for today

- Machine learning accelerates physics research
- What deep learning is precisely: neural networks
- Examples of deep learning algorithms in (astro)particle physics

# Electroweak Top Quark Production with CMS

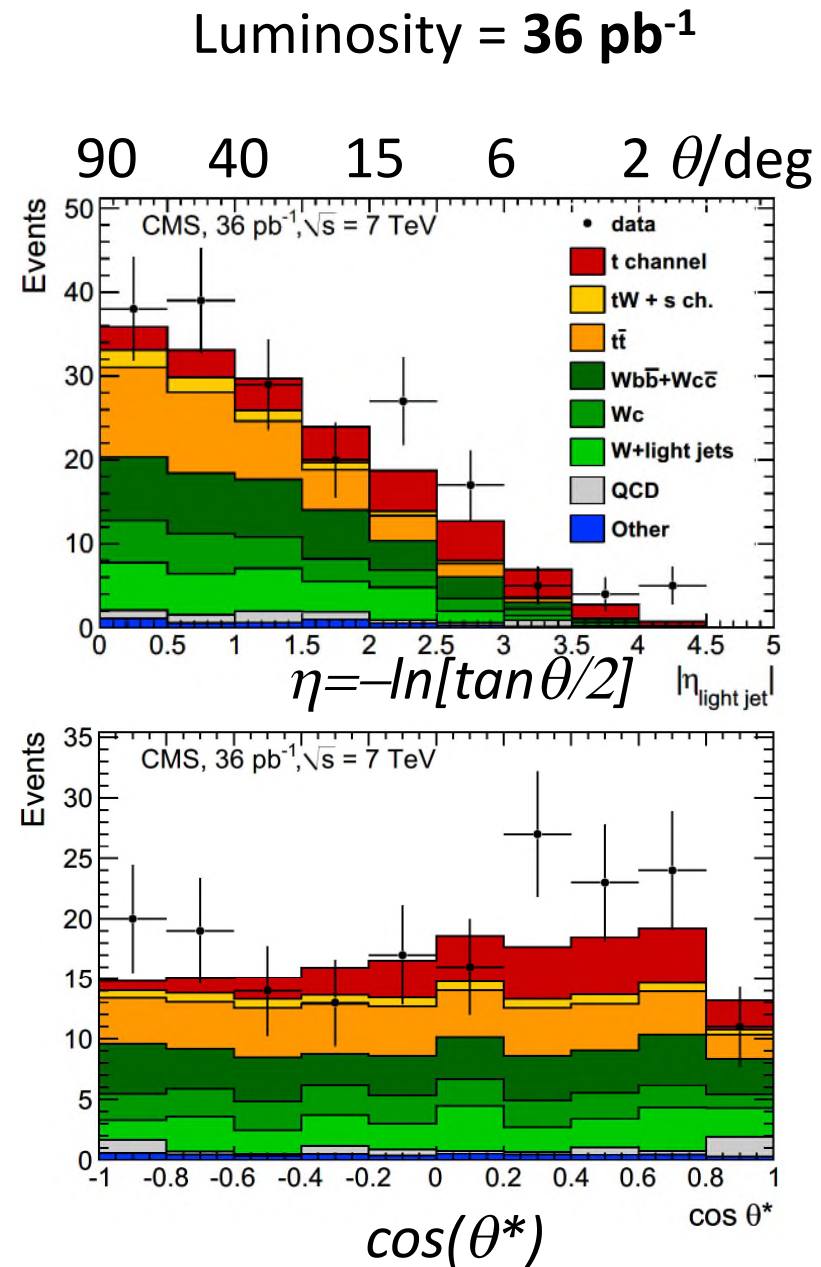
CMS, Phys. Rev. Lett. 107, 091802 –25 Aug. 2011



1) Rutherford-type  
small angle  $\theta$  scattering

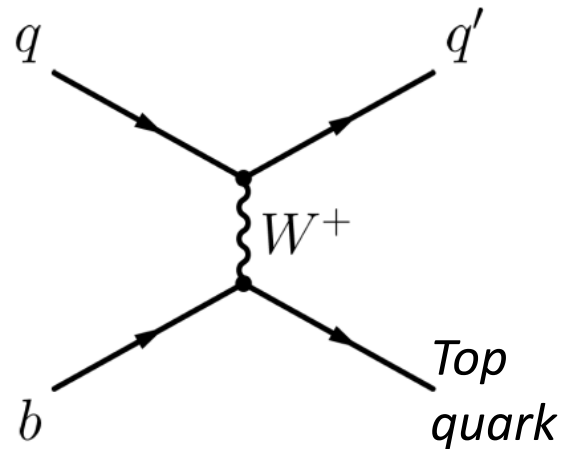
2) Top quark decays  
 $t \rightarrow W b \rightarrow e \nu b$   
 $W$  spin=1  $\rightarrow$  particular  
lepton decay angular  
distribution  $\cos(\theta^*)$

Expected at LHC  
Luminosity =  $1 \text{ fb}^{-1}$



# Electroweak Top Quark Production with CMS

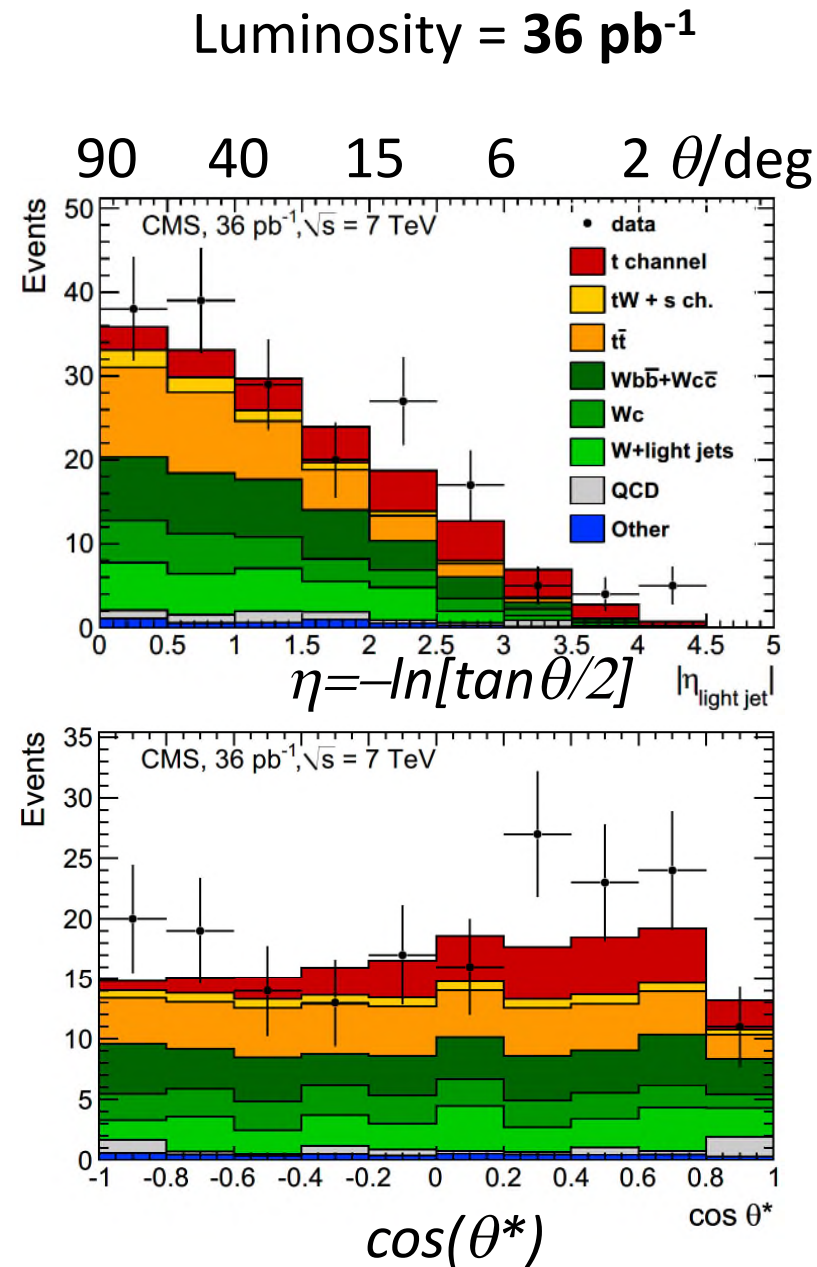
CMS, Phys. Rev. Lett. 107, 091802 –25 Aug. 2011



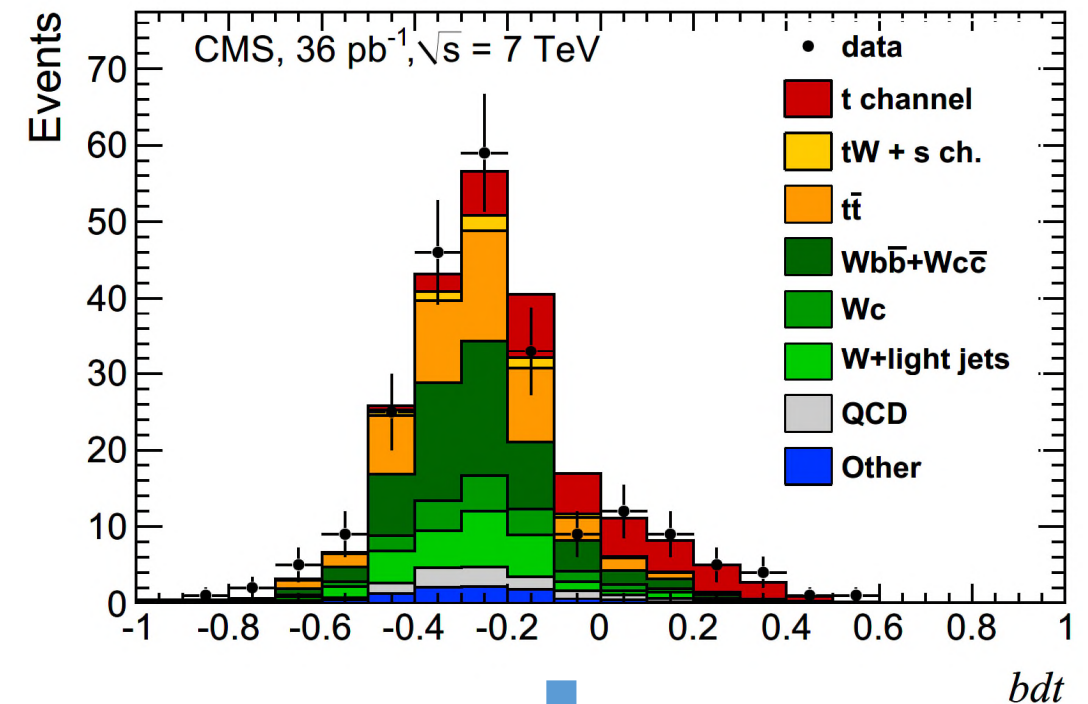
1) Rutherford-type small angle  $\theta$  scattering

2) Top quark decays  $t \rightarrow W b \rightarrow e \nu b$   
 $W$  spin=1  $\rightarrow$  particular lepton decay angular distribution  $\cos(\theta^*)$

Expected at LHC  
 Luminosity =  $1 \text{ fb}^{-1}$



Machine Learning (37 variables):  
**Boosted Decision Tree**



Classic

$$124.2 \pm 33.8^{+30.0}_{-33.9} \text{ pb}$$

Combined cross section

Machine Learning

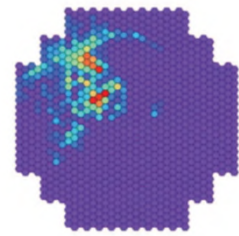
$$78.7 \pm 25.4^{+13.2}_{-14.6} \text{ pb}$$

$$\sigma = 83.6 \pm 30.0 \text{ pb}$$

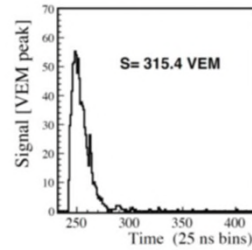
\* Theorist: "I almost fell out of my seat when I saw this."

Outlook and Perspectives After a Year of LHC - John Campbell DIS 2011

# Data Analysis: towards deep learning



(a)

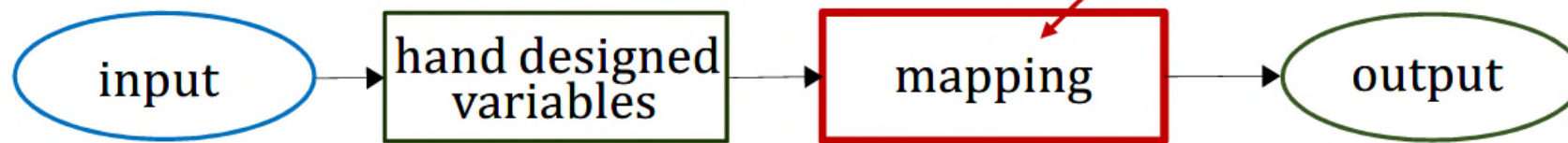


(c)

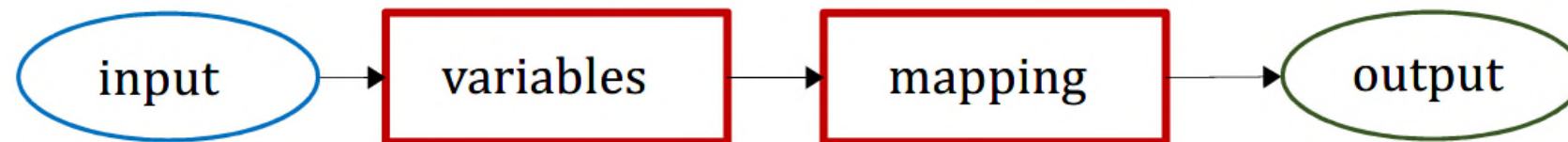
## Rule based system



## Classic machine learning



## Representation learning

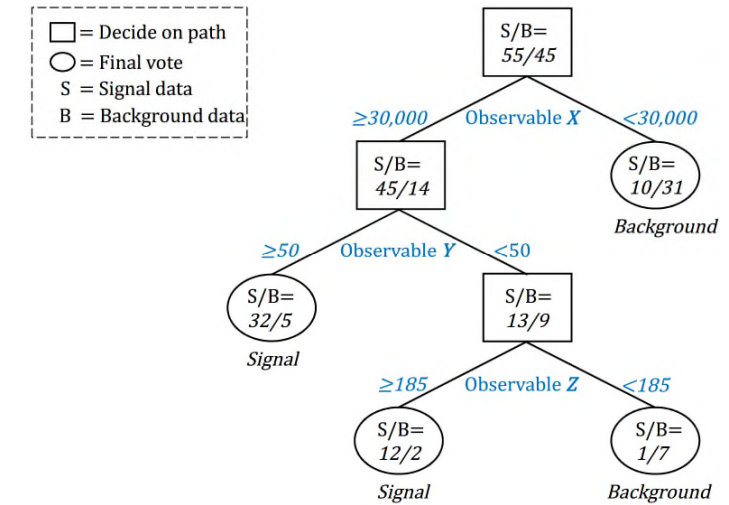


## Deep learning



*Realized by Neural Networks with 'many' layers*

## Boosted Decision Tree

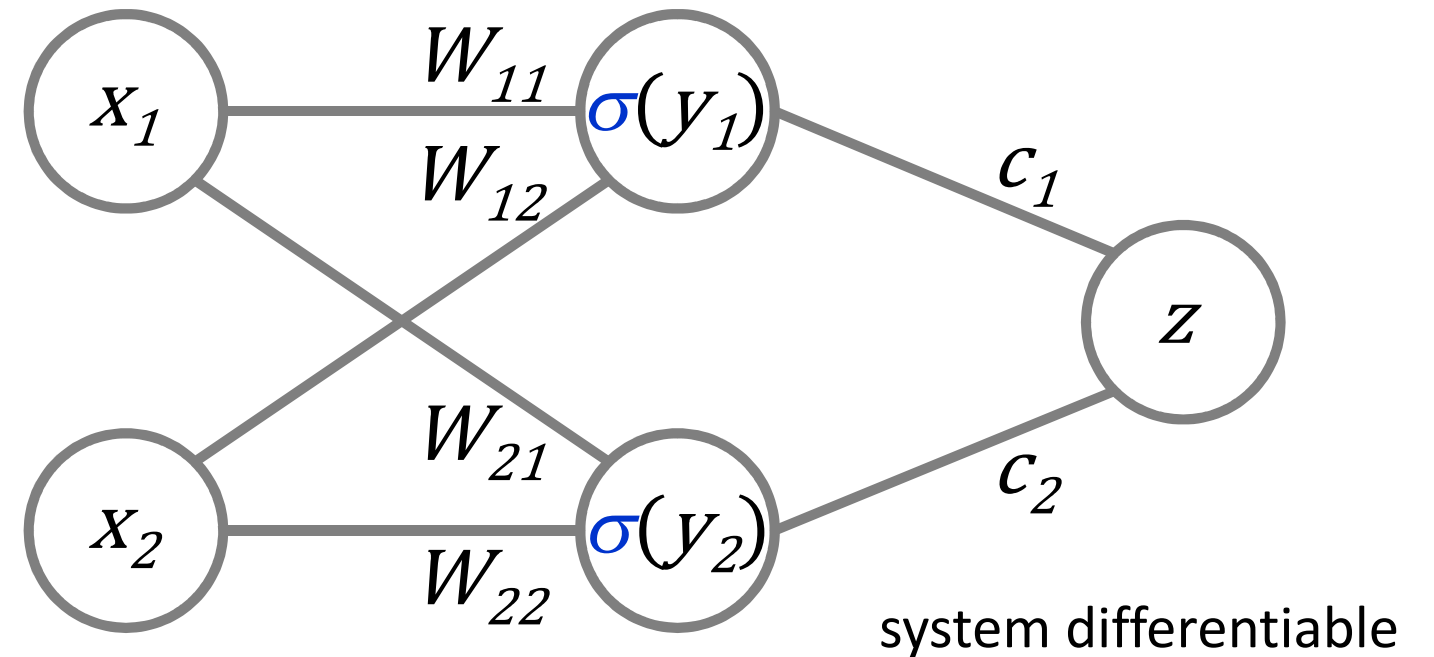


variable = *feature*

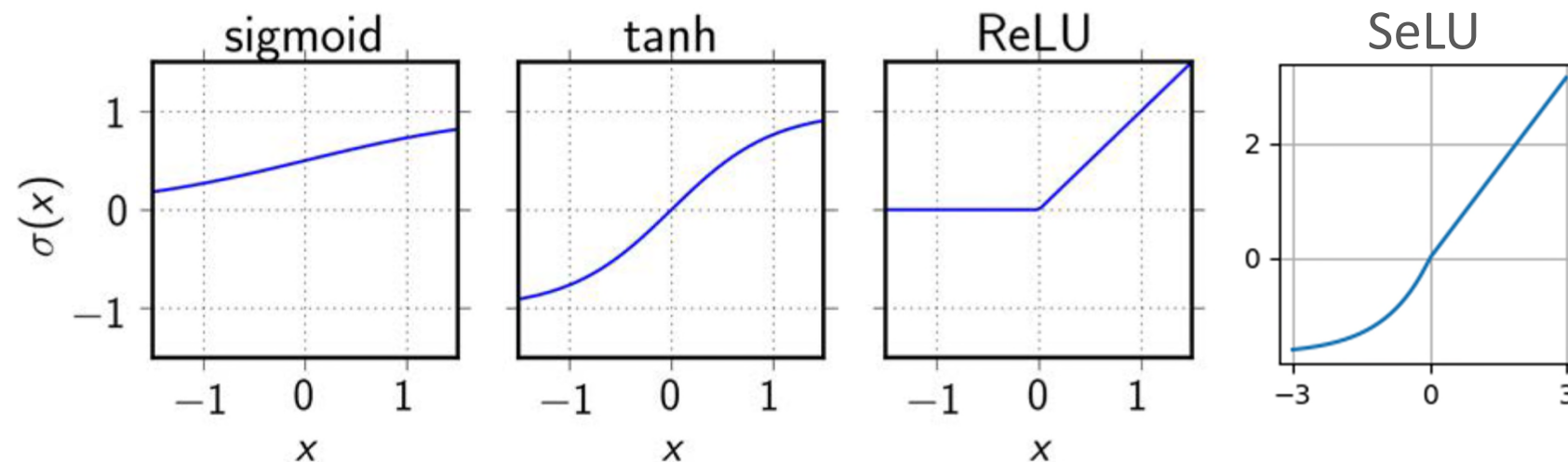
# Neural Network Operations

$x$  multi-dimensional input data  
 $W, b$  to be trained  
successively apply 2 operations:

$$y = Wx + b$$
$$h = \sigma(y)$$



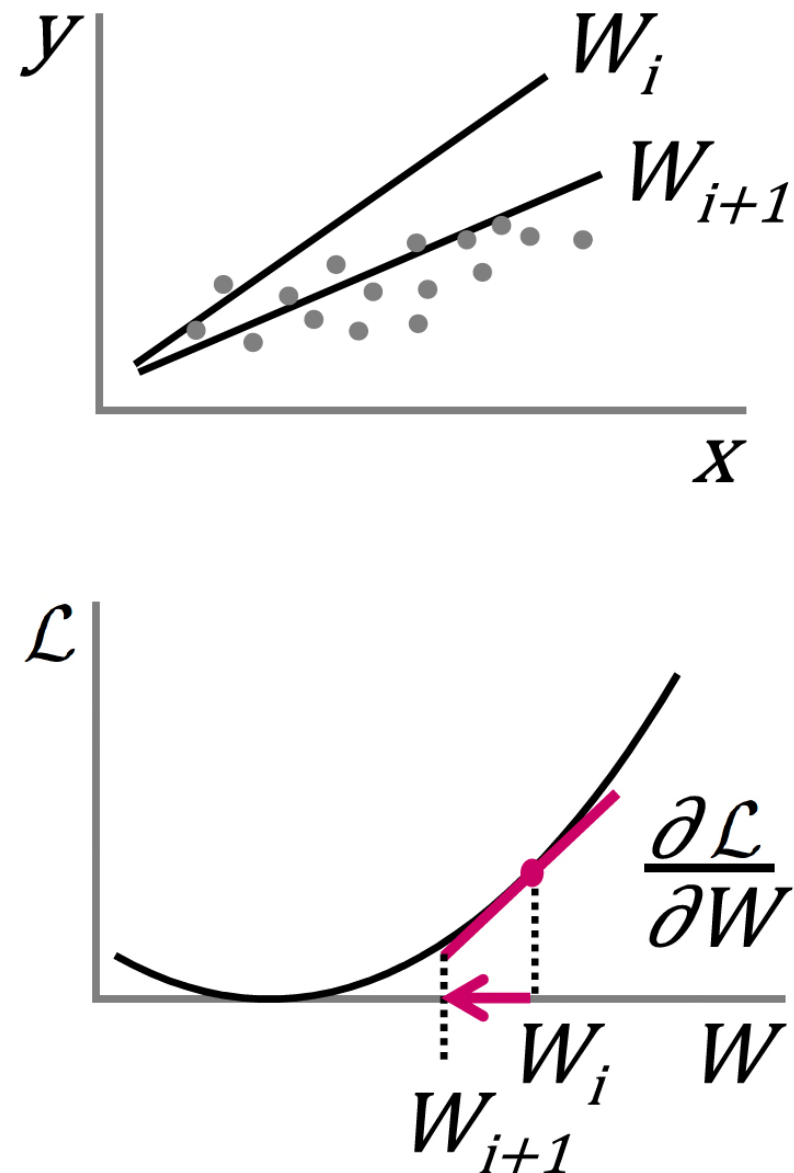
activation function: departure from linear system





# Neural Network Training

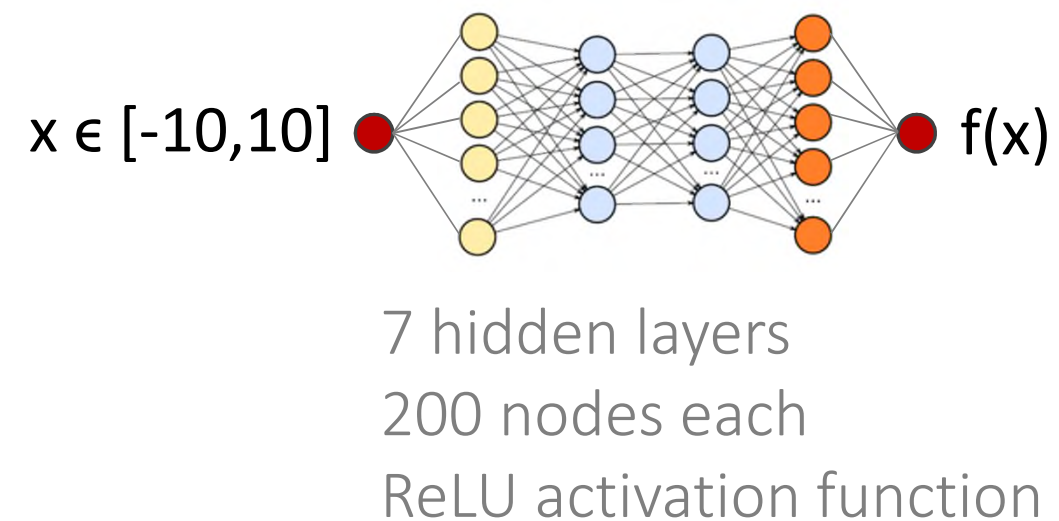
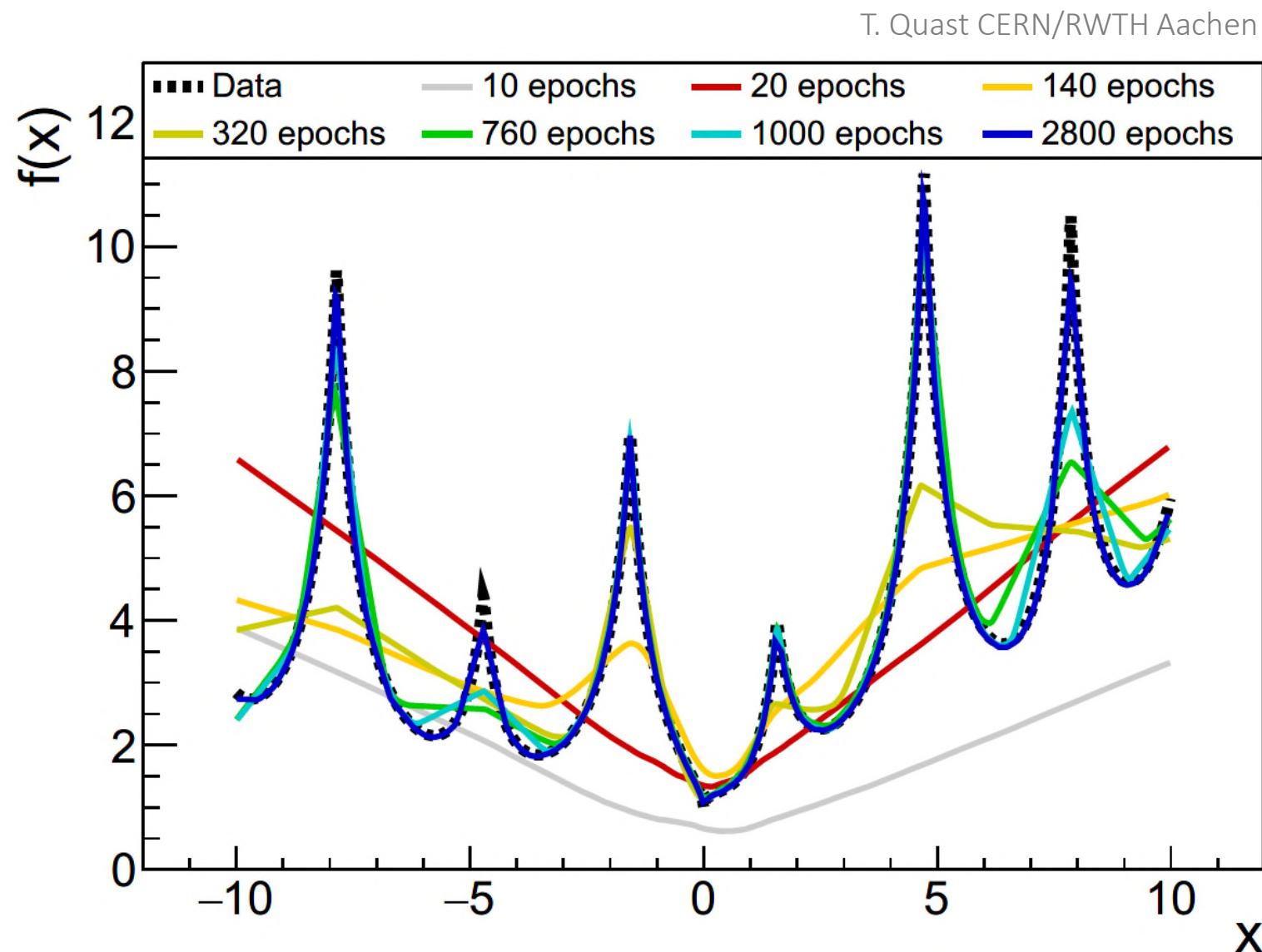
(‘supervised’)



- **Data** set  
 $\{x_i, y_i\} \quad i = 1, \dots, N$
- Define **model**  
 $y_m(x) = Wx + b$
- Define **objective** function (=loss, cost)  
$$\mathcal{L}(W, b) = \frac{1}{N} \sum_{i=1}^N [y_m(x_i) - y_i]^2$$
- **Train** model by optimizing the parameters  
 $(\hat{W}, \hat{b}) = \arg \min \mathcal{L}(W, b)$



# Automated parameterization of arbitrary function



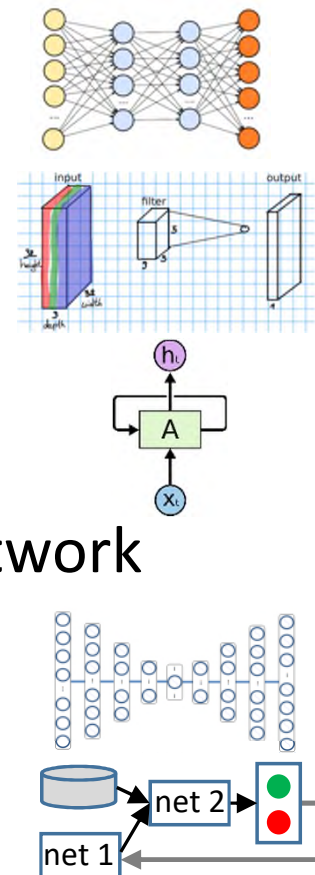
original function (black symbols):  
fair description after 2800 training steps (purple)

- *Reality: function working in multi-dimensions*  
 $\vec{x} \in \mathbb{R}^n \rightarrow \vec{z} \in \mathbb{R}^m$
- *Function: training is million-parameter fit*

# Deep Learning Progress

## Concepts

- Fully connected
- Convolutional
- Graph
- Recurrent
- Lorentz Boost Network
- Autoencoder
- Adversarial
- Reinforcement
- Invertible



## Improved set of tools

Train millions of parameters by:

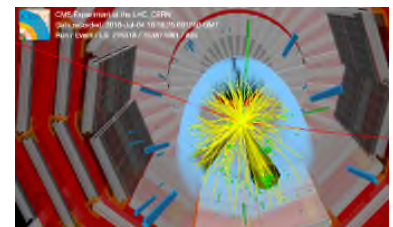
- Data preprocessing
- Normalization
- Regularization
- Short cuts ...

## Computing

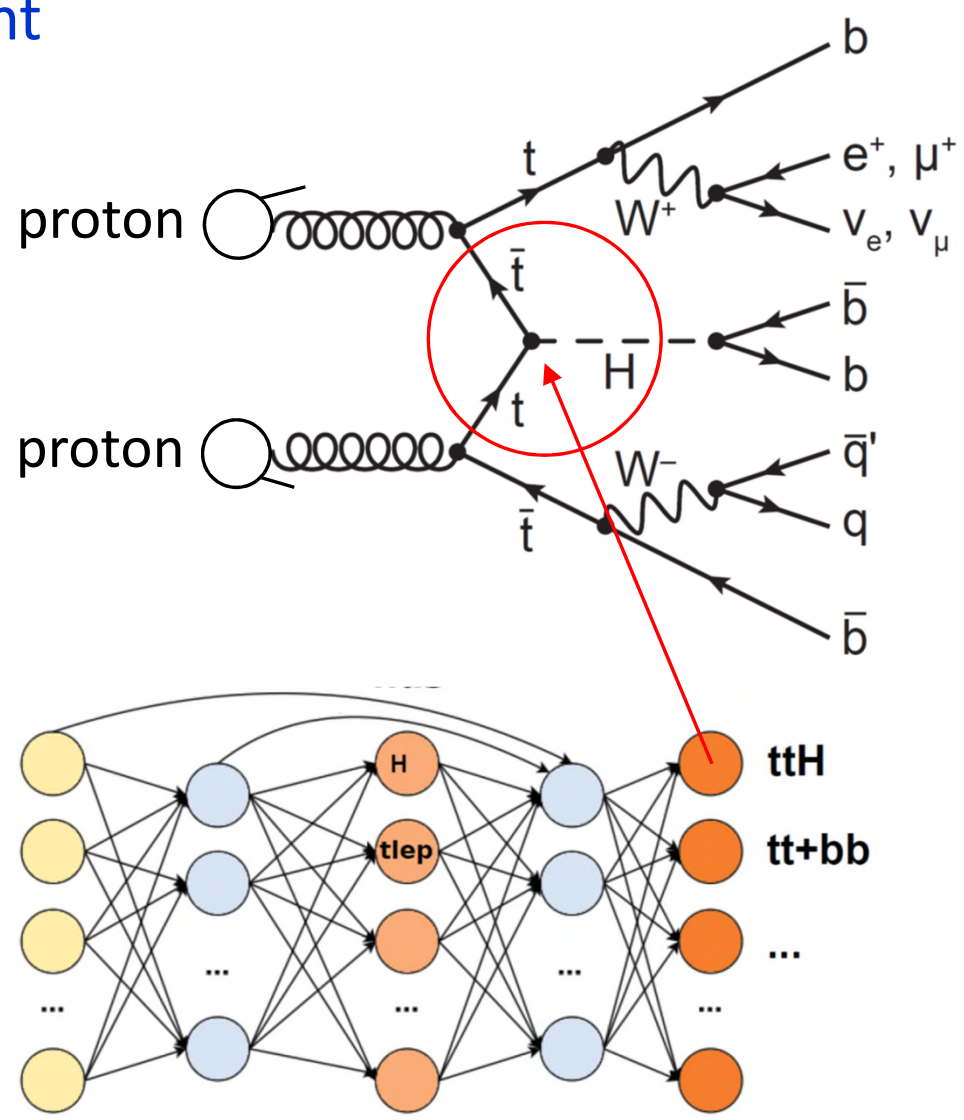
- Graphics Processing Unit (GPU)
- Software Libraries
  - TensorFlow
  - keras...

# 1. Fully connected networks

## LHC: Coupling Top-Quark – Higgs Boson

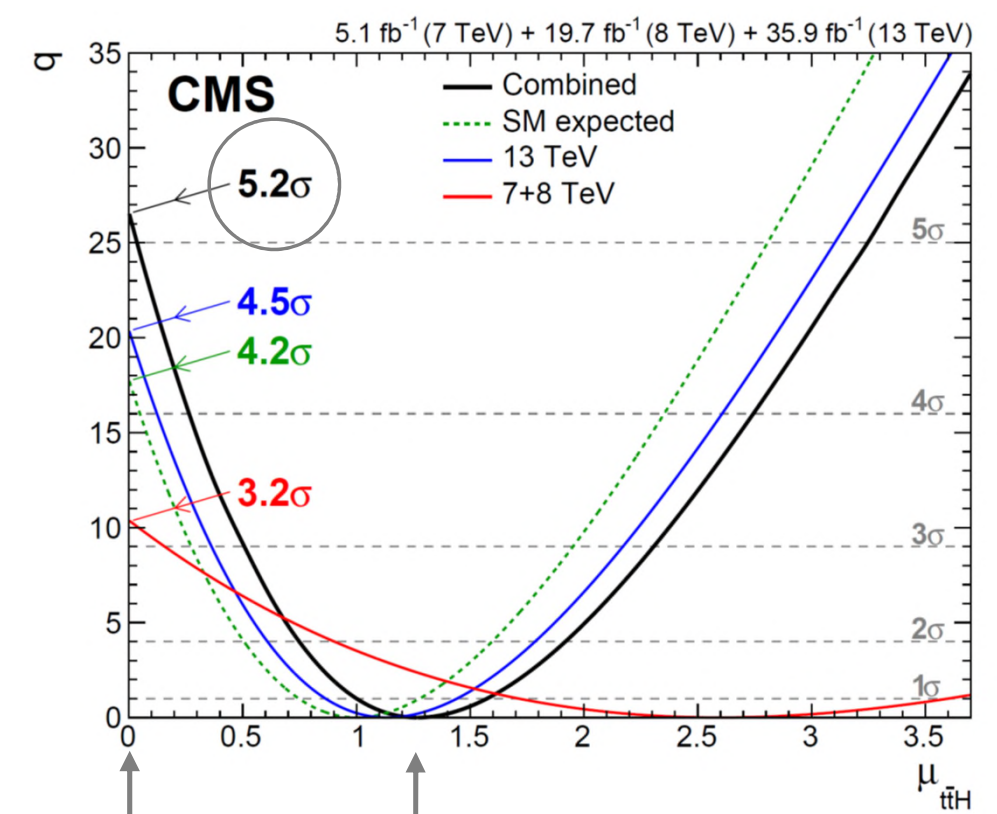


Deep Learning predicts physics process for each event



Observation of ttH production

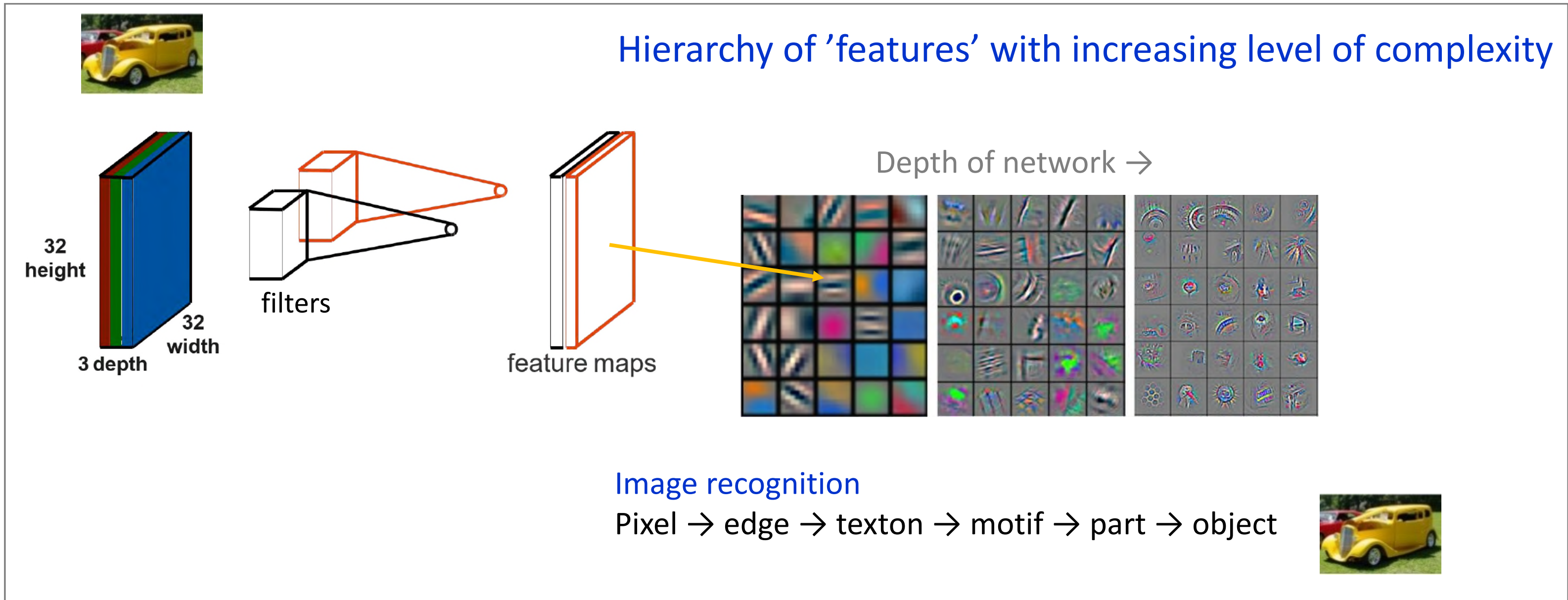
- H → bb
- H → WW
- H → ZZ
- H → γγ
- H → ττ



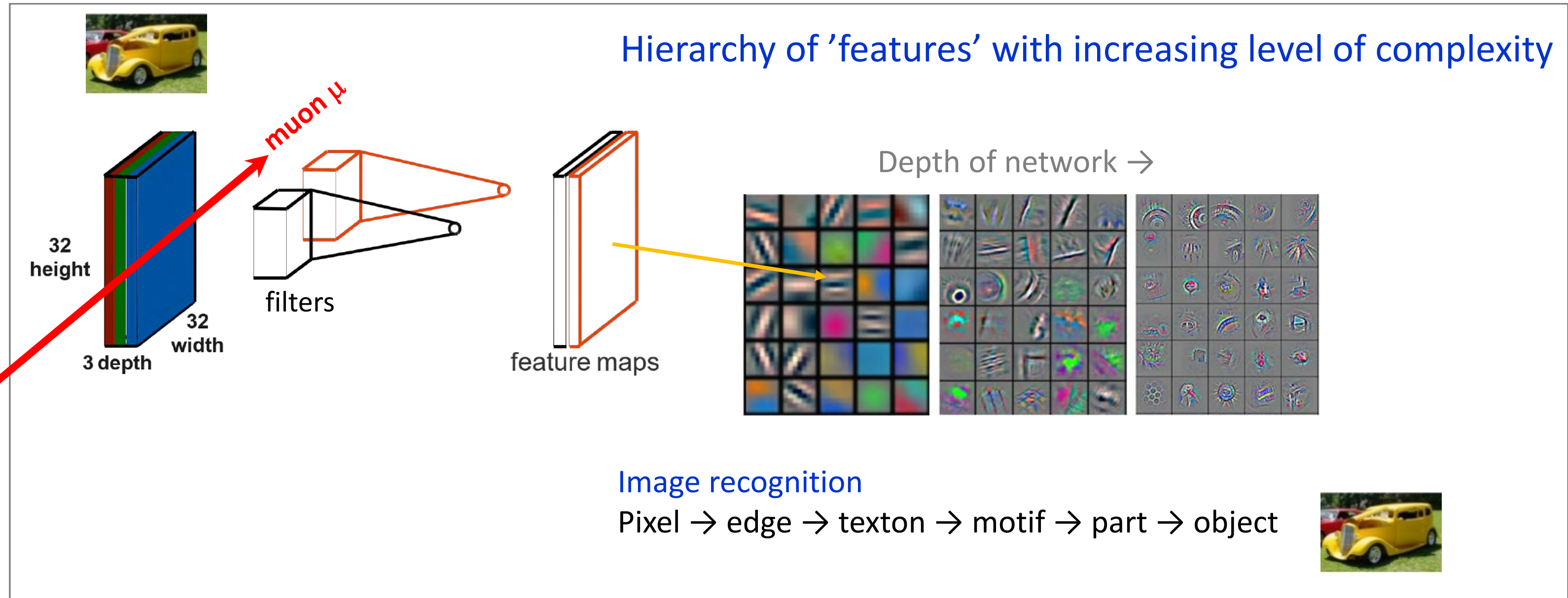
excluded:  
no coupling

Measured signal  
close to Standard Model  
of particle physics ( $\mu=1$ )

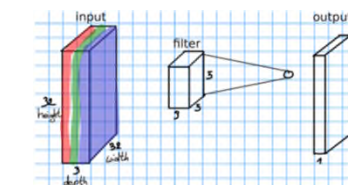
## 2. Convolutional networks to analyse image-like data



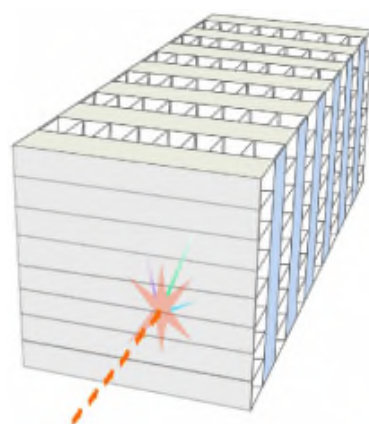
## 2. Convolutional networks to analyse image-like data



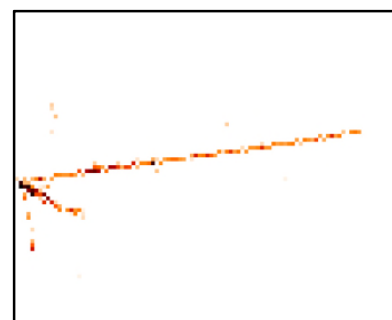
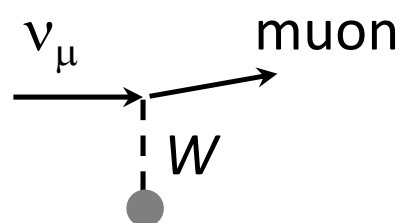
# Electron neutrino identification



Fermilab (Chicago)  
→NOvA experiment 810km

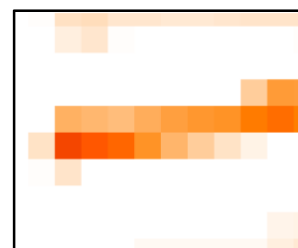


Neural network neutrino event classifier



Feature maps

track

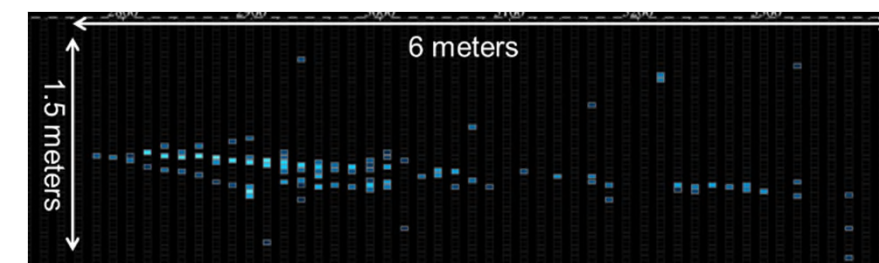
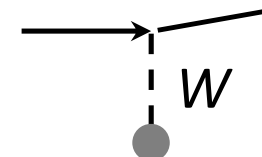


hadronic



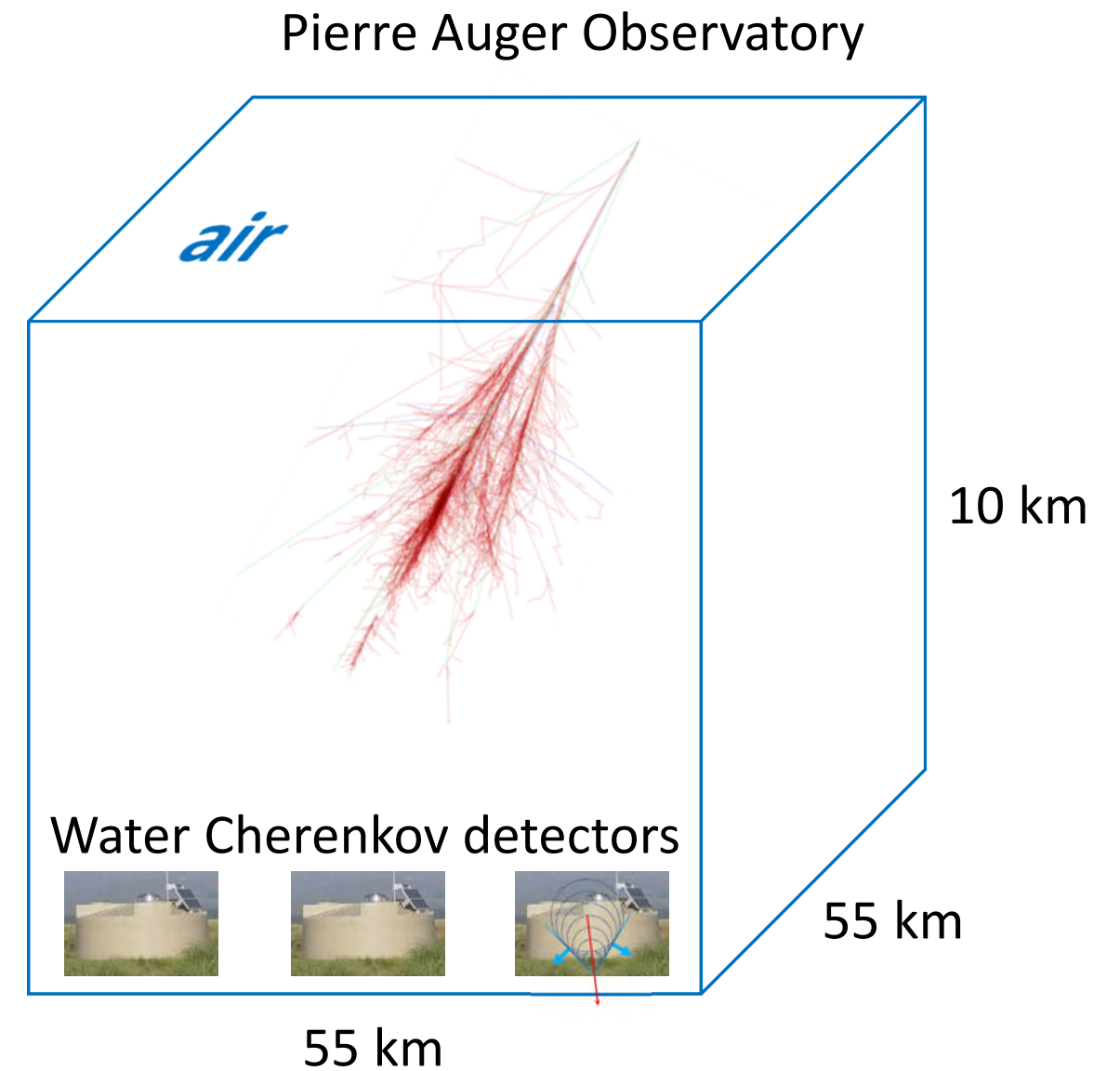
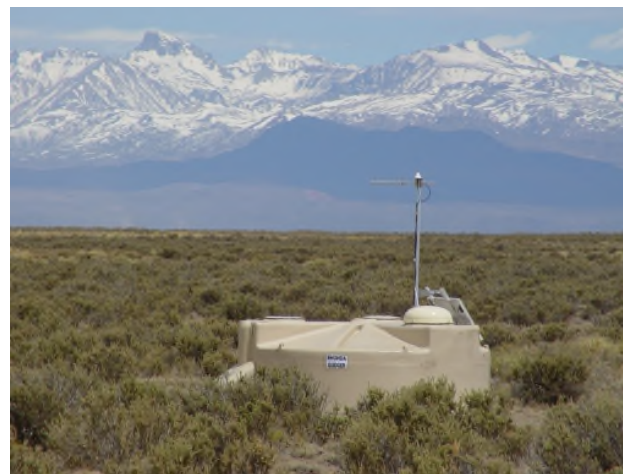
Challenge: **electron-neutrinos**

**ν<sub>e</sub>** → **electron**



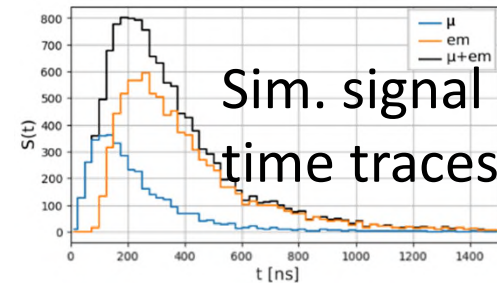
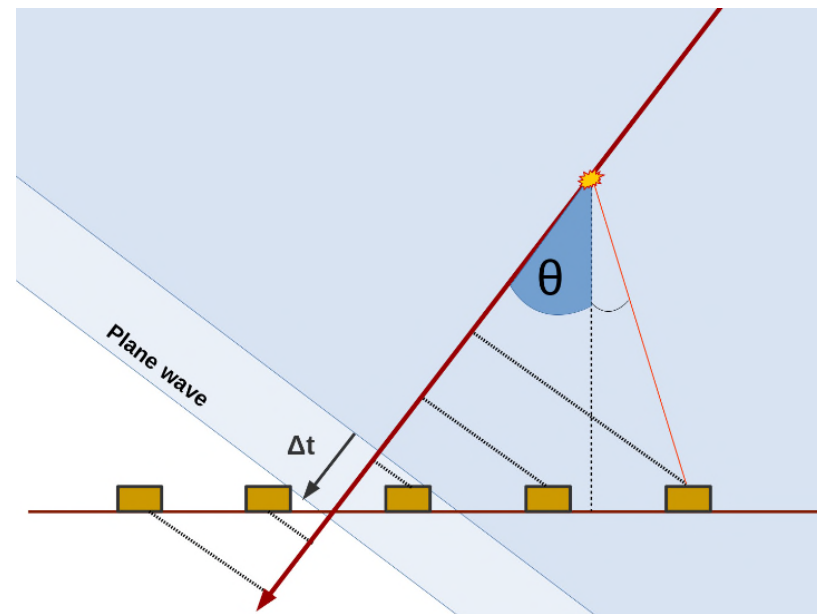
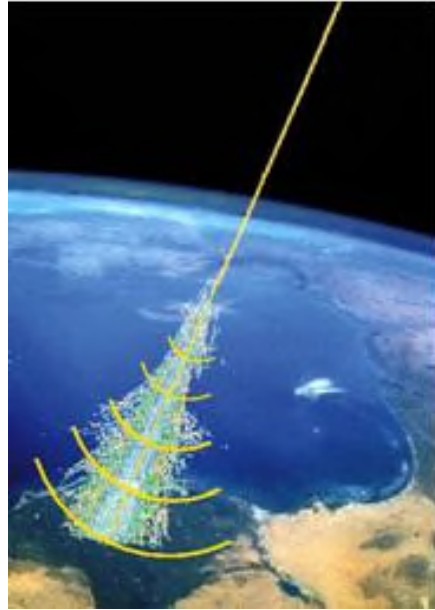
Method	<b>ν<sub>e</sub> efficiency</b> (same purity)
Physicists algorithm	<b>35%</b>
Deep learning neural network	<b>49%</b>

# World's largest Calorimeter for Cosmic Rays





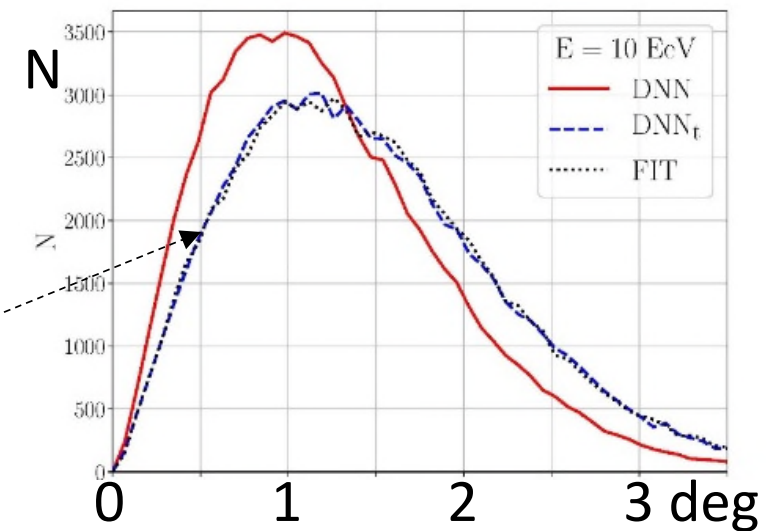
# Calorimeter: cosmic ray induced air showers



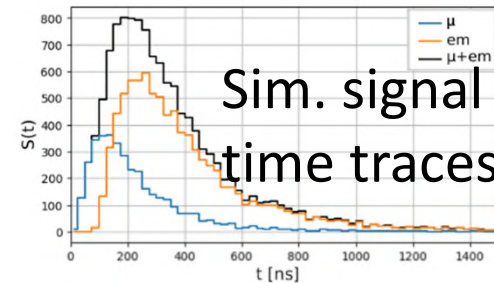
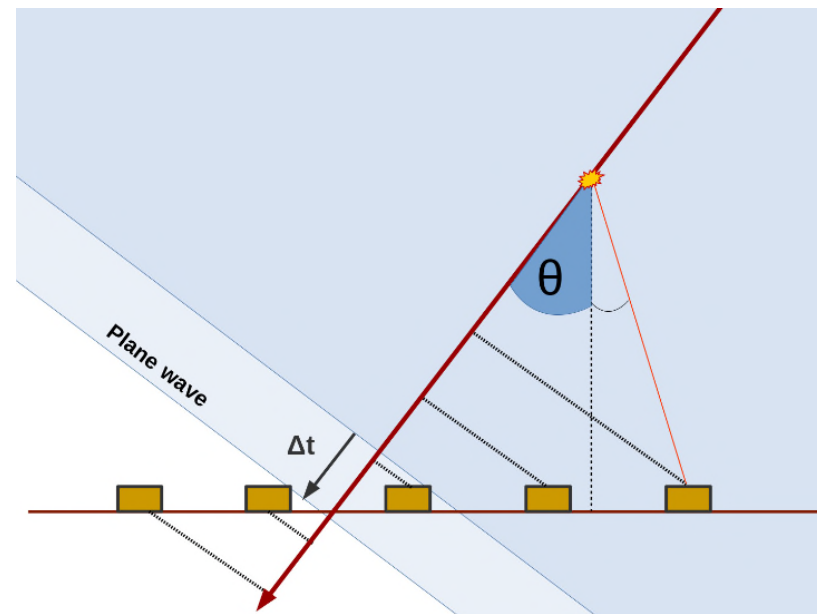
Educated physicist:

- Time offset between detectors
- Particle velocity
- Detector distance
- Plane fit of time residuals

shower direction angular resolution

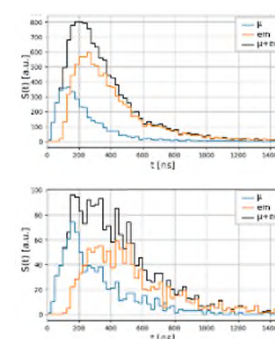


# Calorimeter: cosmic ray induced air showers



- Educated physicist:
- Time offset between detectors
  - Particle velocity
  - Detector distance
  - Plane fit of time residuals

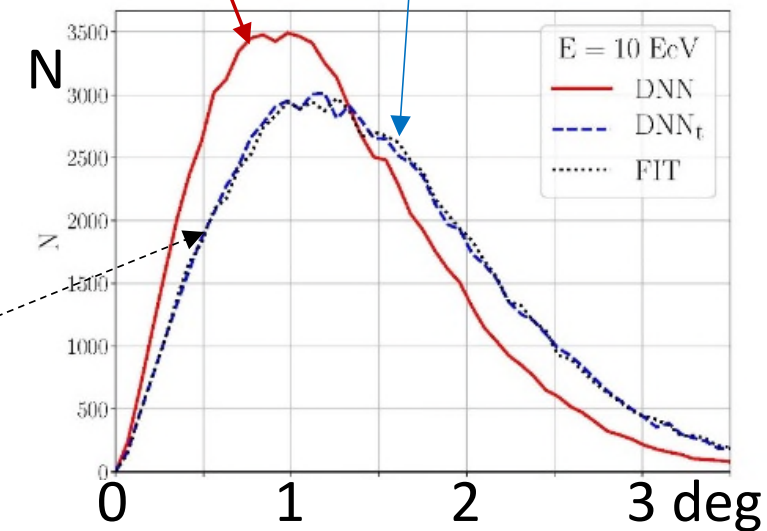
RAW input data:



Deep Neural Network

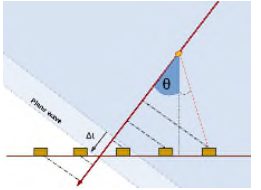
- Time offset only
- Black signal traces added

shower direction angular resolution

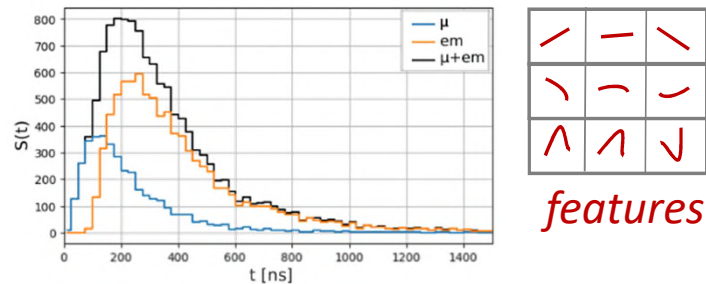


*Deep Neural Network learns physics from data within 3h*

# Calorimeter: cosmic ray induced air showers



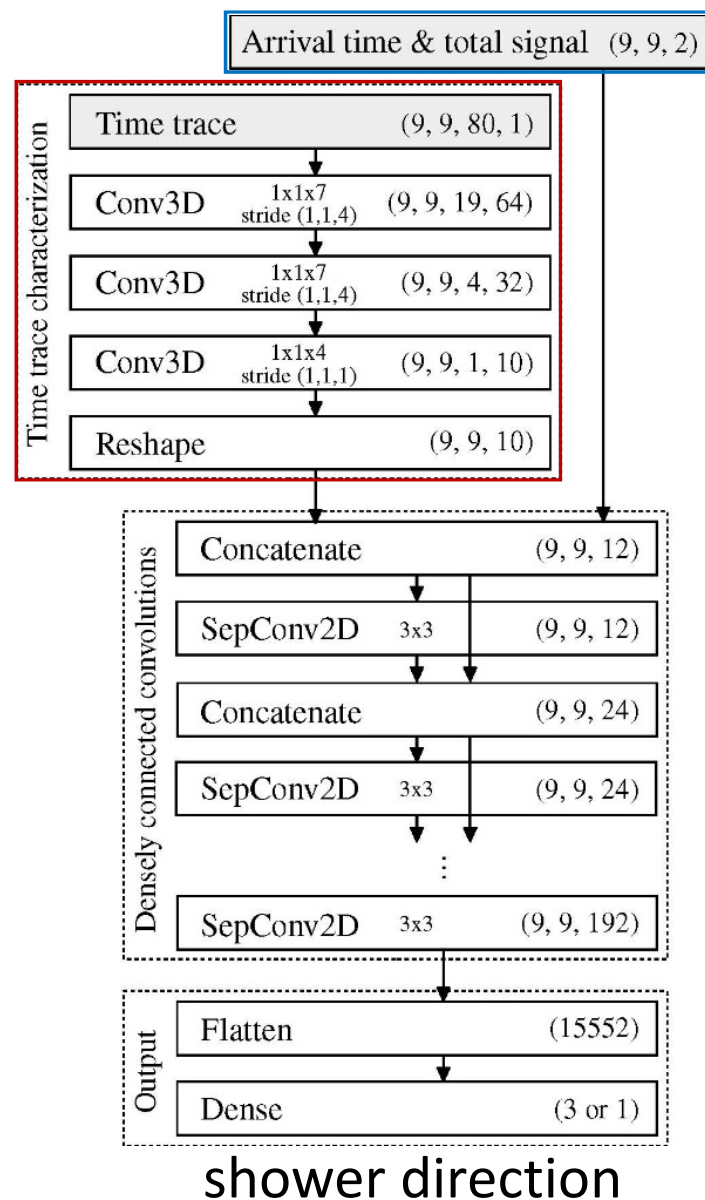
## Characterization of signal traces



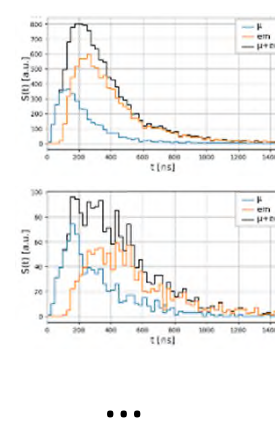
Network extracts from training data *optimized intermediate variables* suited for shower direction

120k parameters

## Time offset & total signal



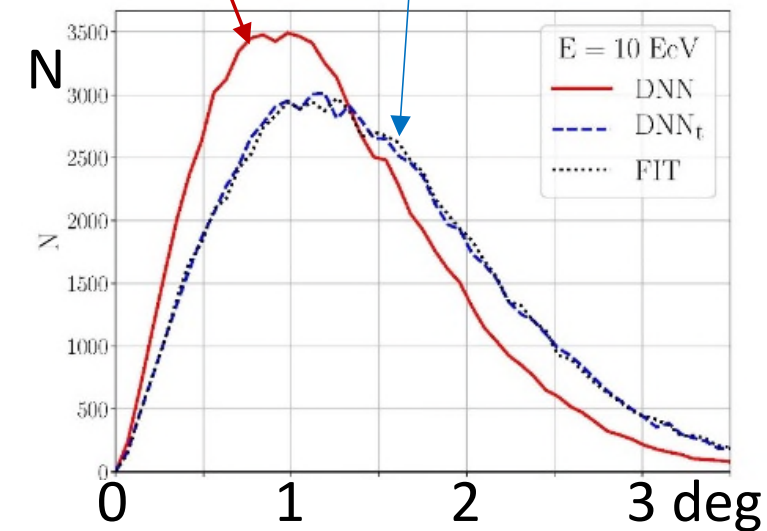
## RAW input data:



Deep Neural Network

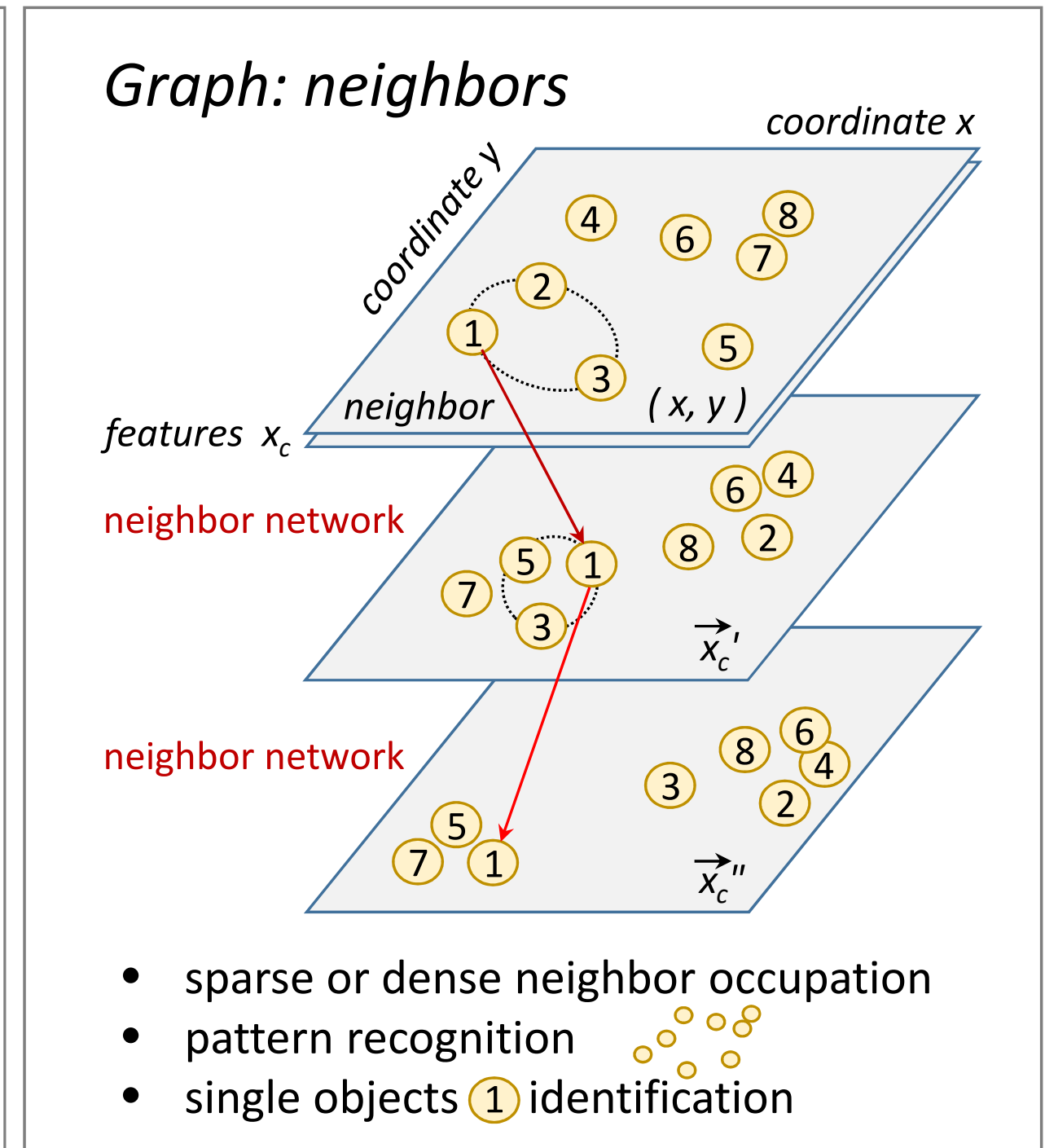
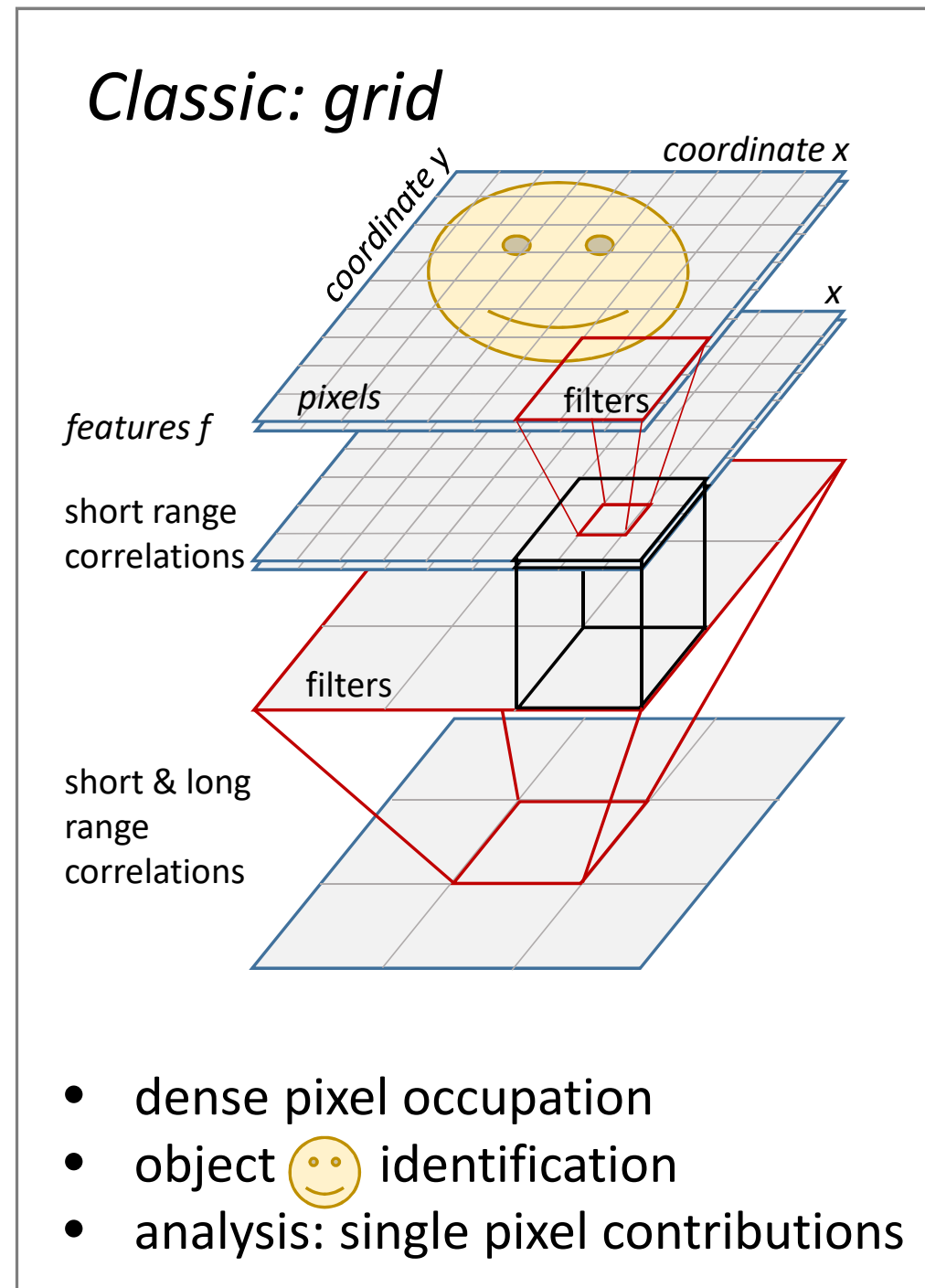
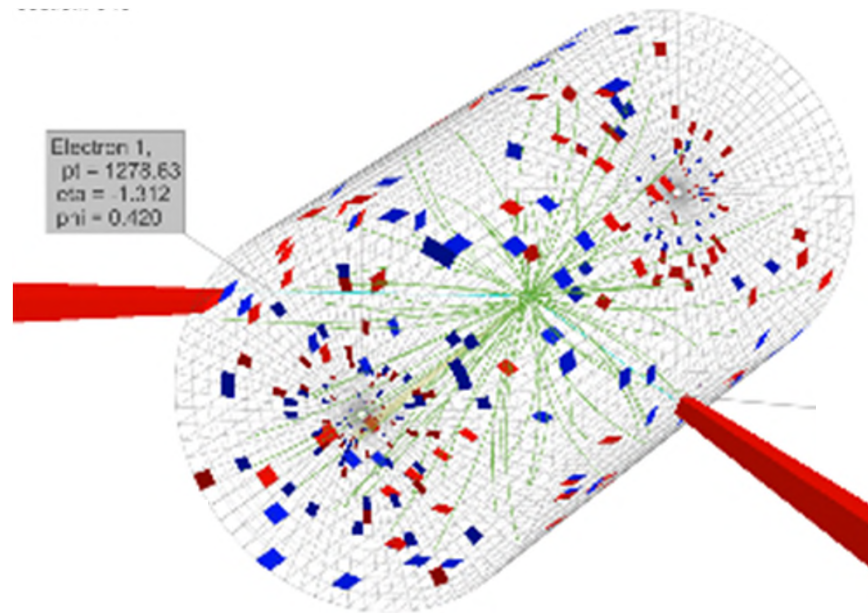
- Time offset only
- Black signal traces added

shower direction angular resolution



*Deep Neural Network learns physics from data within 3h*

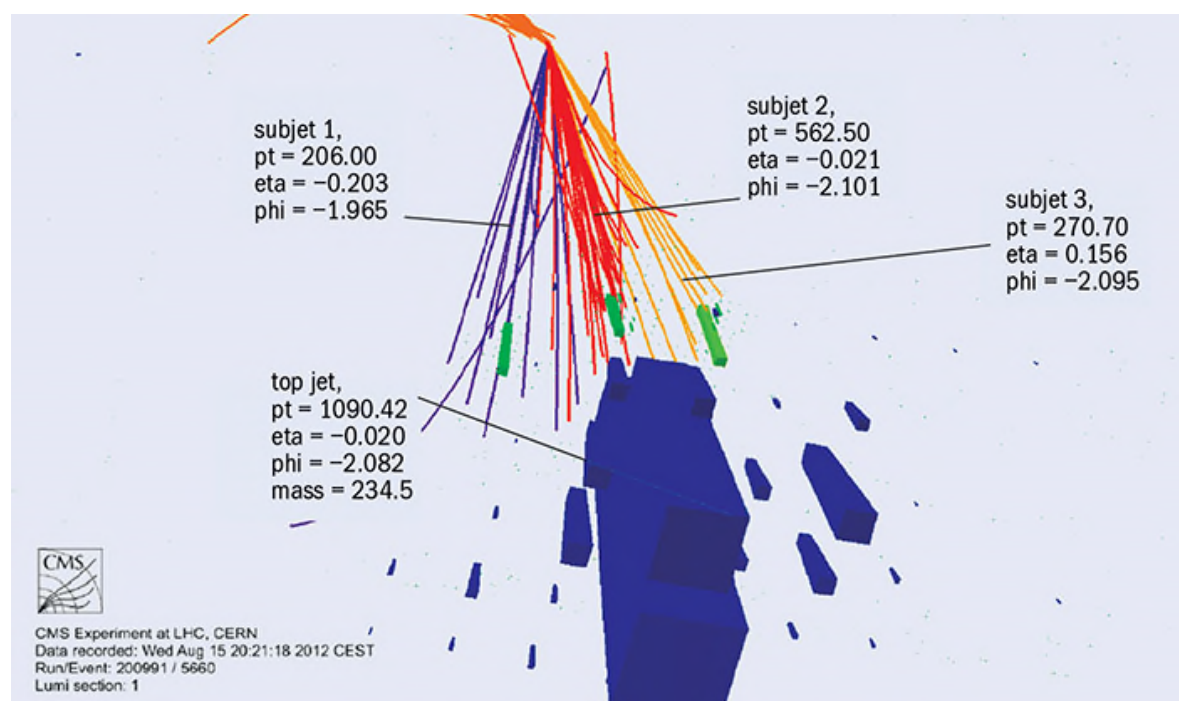
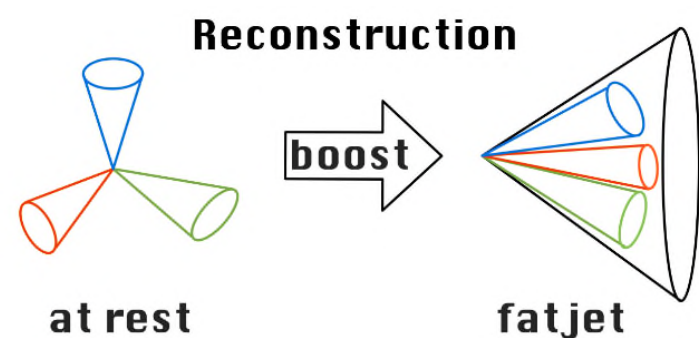
# 3. Convolution: *Classic* versus *Graph* network



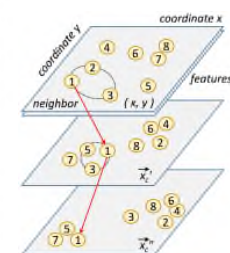
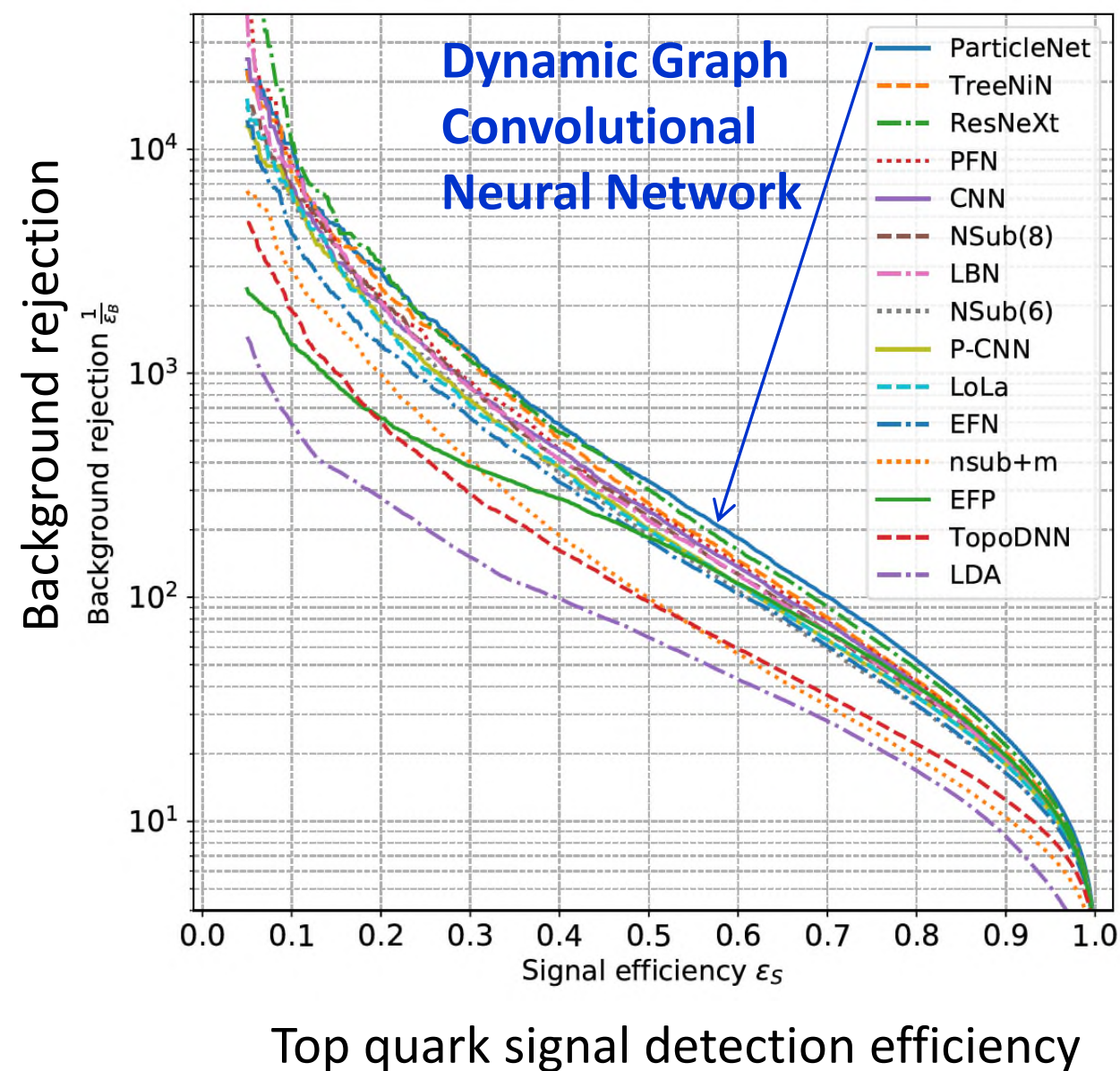
# The 2019 Top Quark Recognition Challenge

G. Kasieczka, T. Plehn et al, SciPost Phys. 7, 014 (2019)    Huilin Qu, L. Gouskos, arXiv:1902.08570

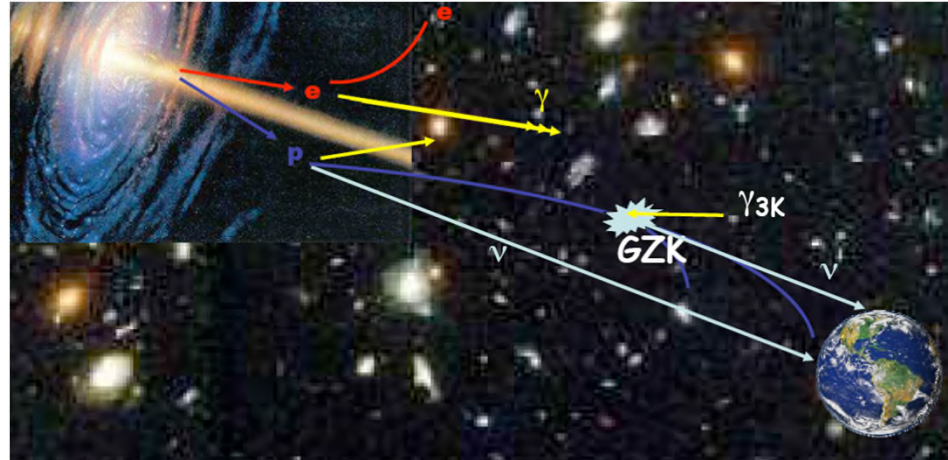
Challenge: identify top quark decay



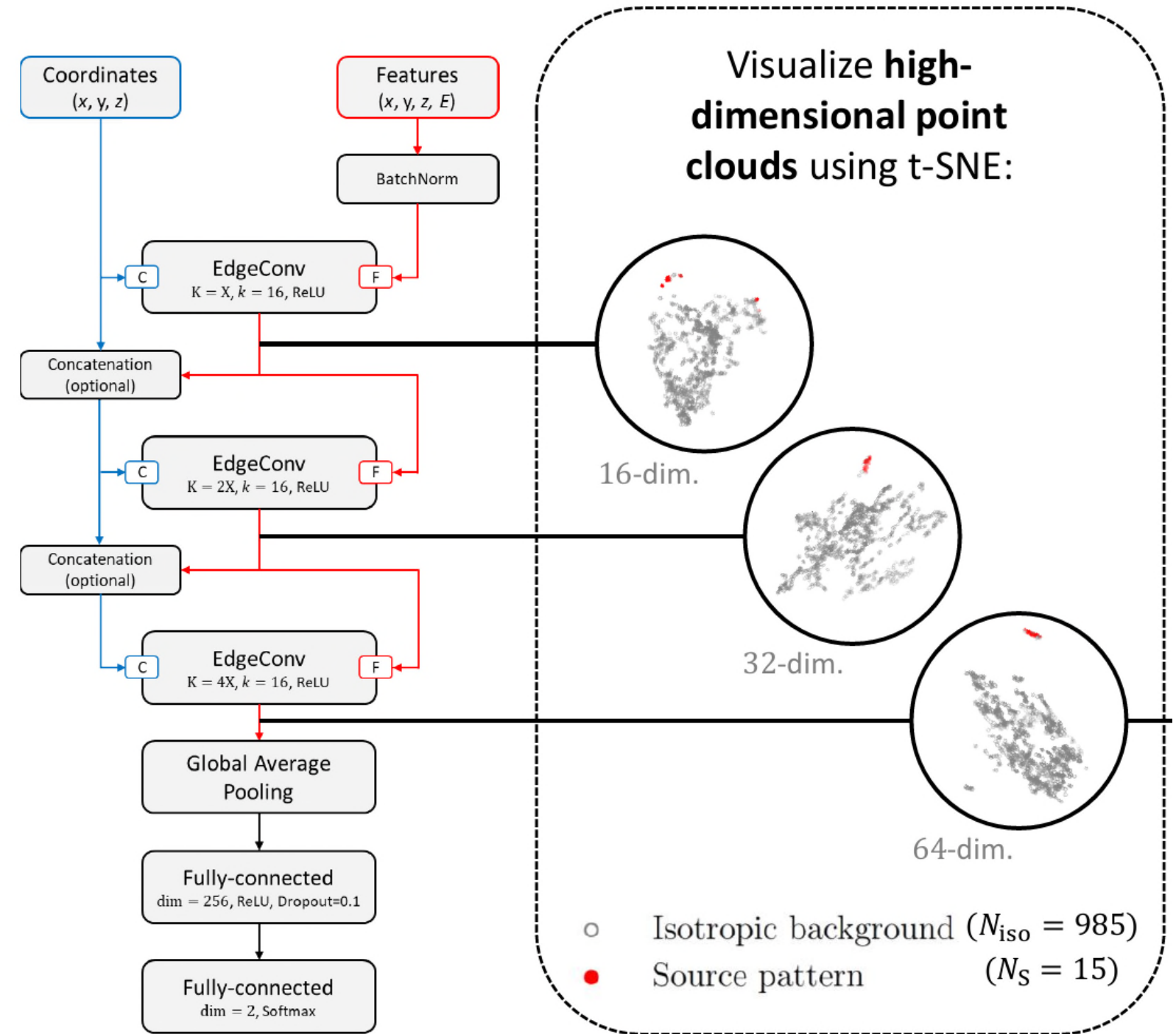
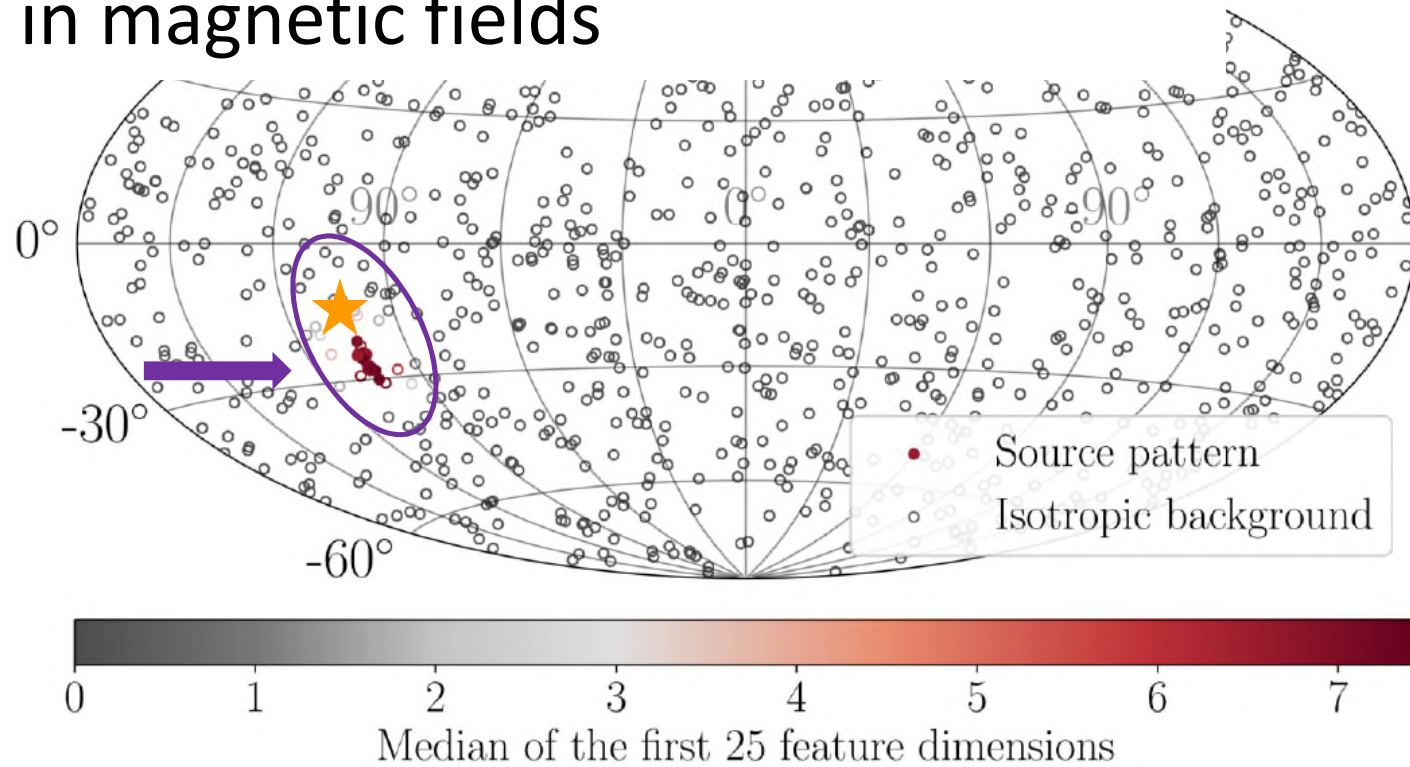
Evaluation of top quark finders



# Deflections of cosmic rays in cosmic magnetic fields

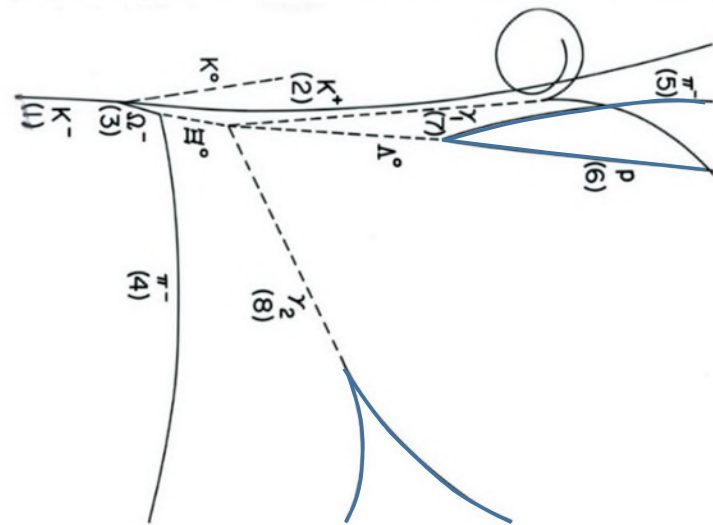
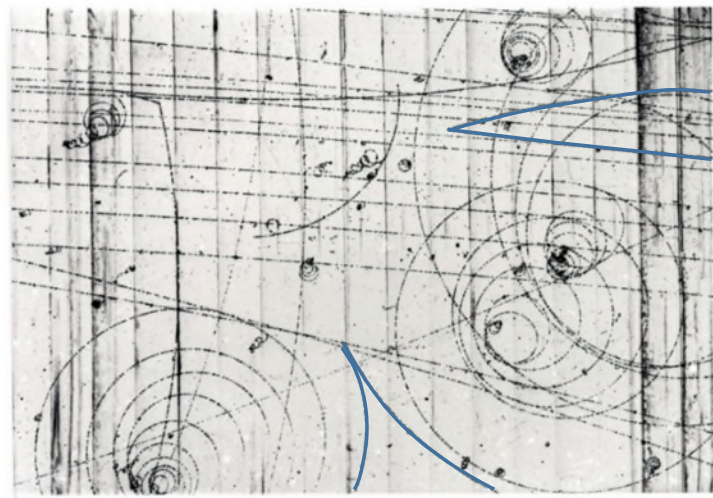


pattern: deflections of cosmic rays in magnetic fields



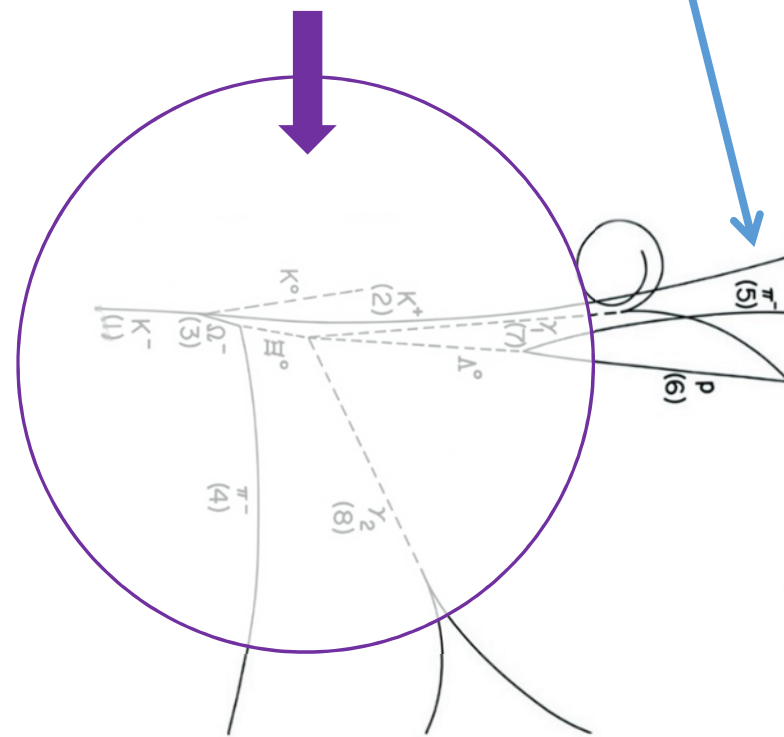
# 4. Recover interaction: Lorentz Boost Network

In classic bubble chamber experiments most of the **interaction** was visible

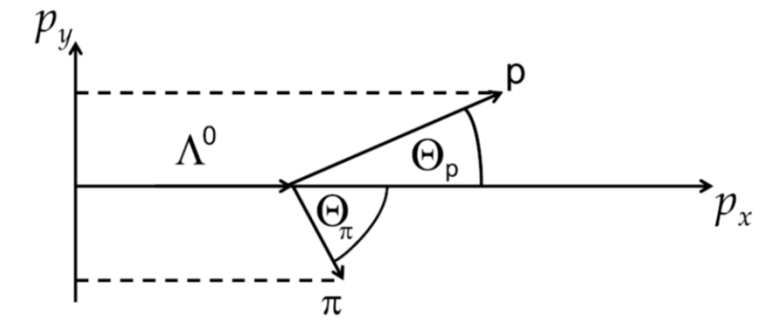


Today's experiments: reconstruct interaction from **visible particles**

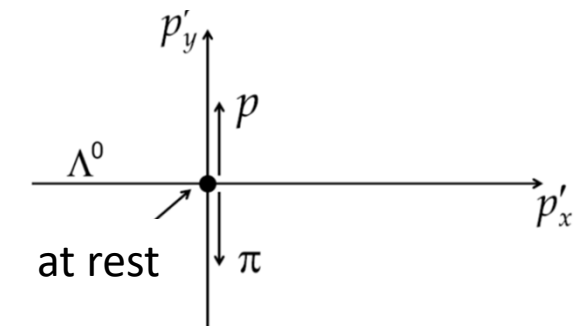
interaction at very small scales  $< 10^{-15}$  m remain invisible



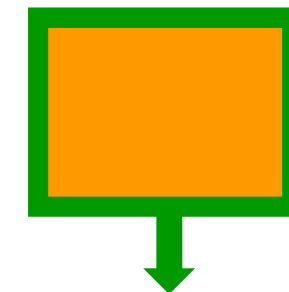
boosted particles



undo boost by Lorentz Transformation



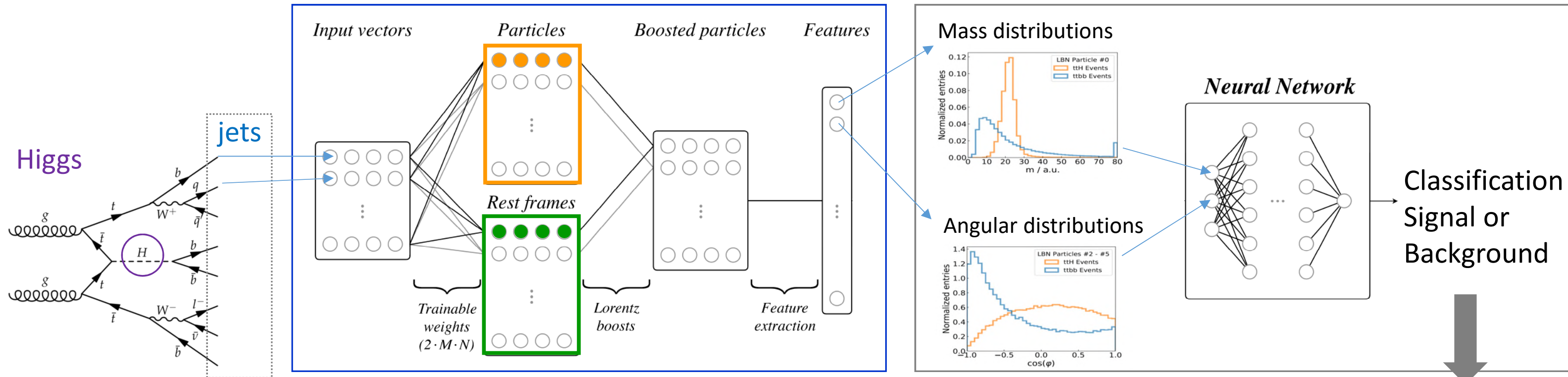
Particle physics deep network architecture



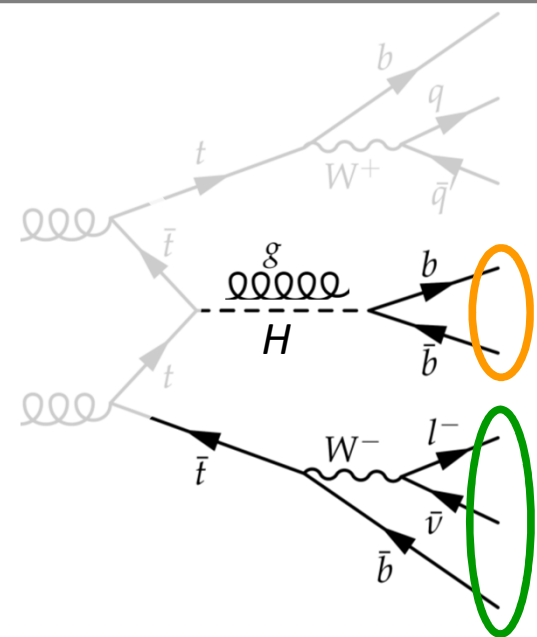
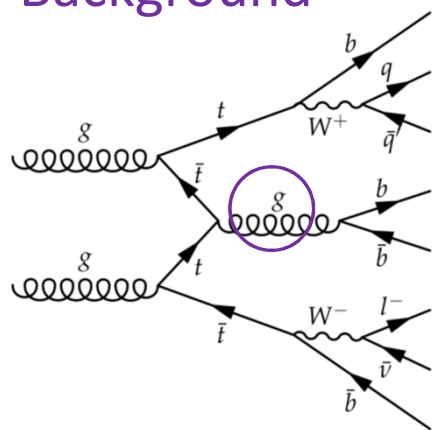
*output: 'high-level data' more smart data*

# Autonomous engineering of discriminating variables

## Lorentz Boost Network



## Background



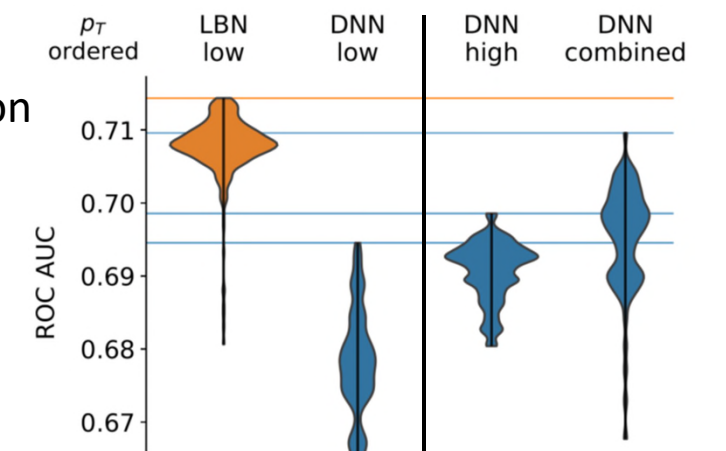
after training on simulated data:

network builds Higgs/gluon and

boosts it into rest frame of top quark

**For data analysis: autonomous variables surpass human-made variables**

Classification efficiency & purity

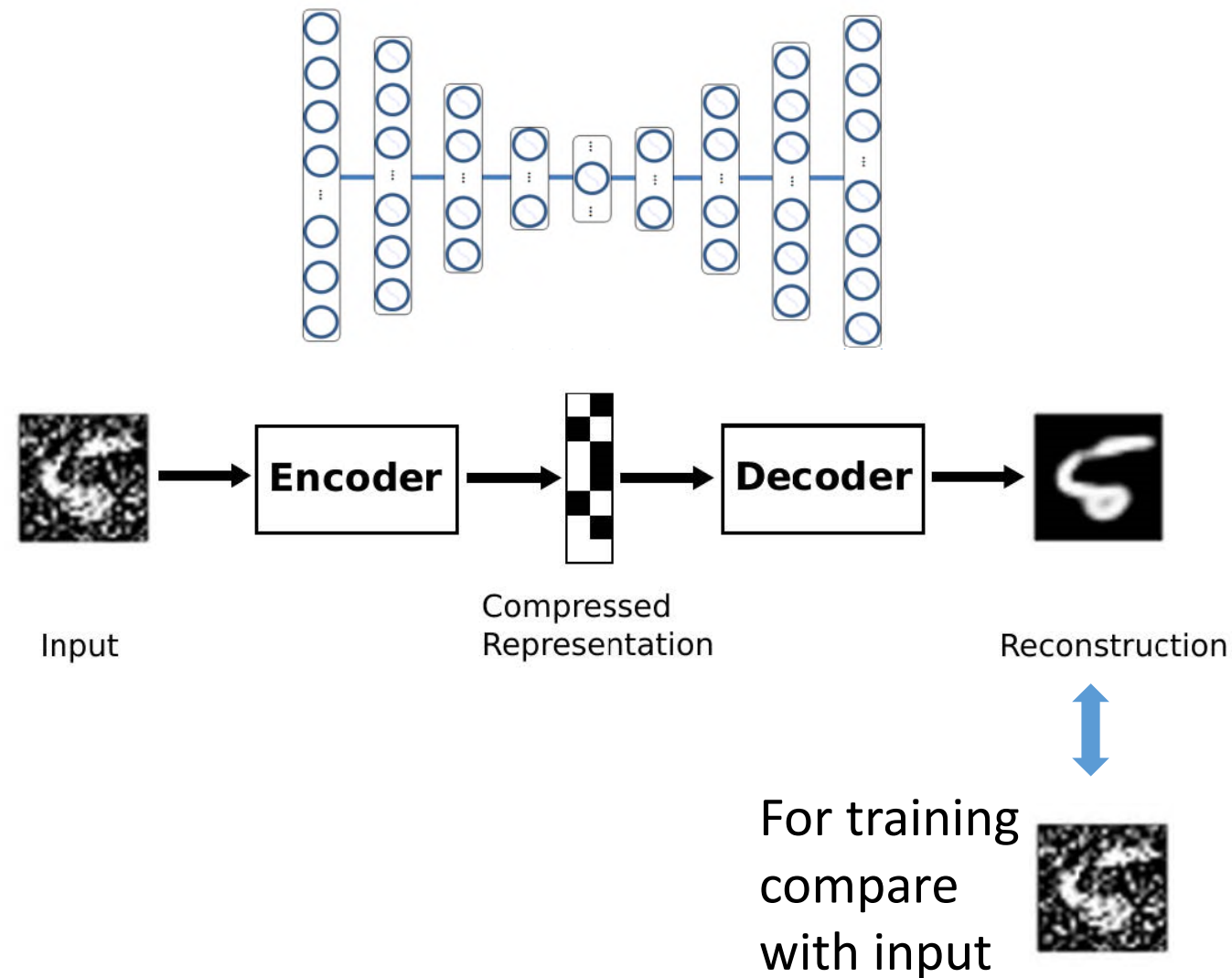




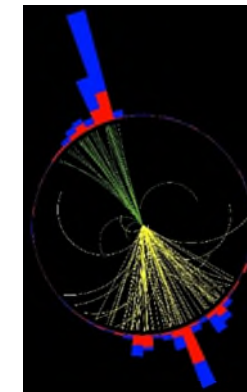
# 5. Autoencoder networks: inflate compressed signal

T. Heimel, G. Kasieczka, T. Plehn, J.M. Thompson, SciPost Phys. 6, 030 (2019)

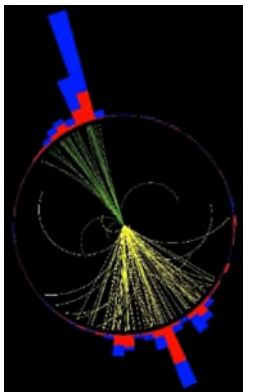
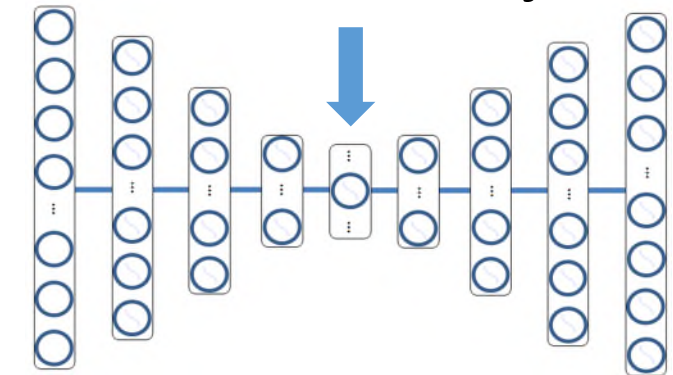
## Denoising



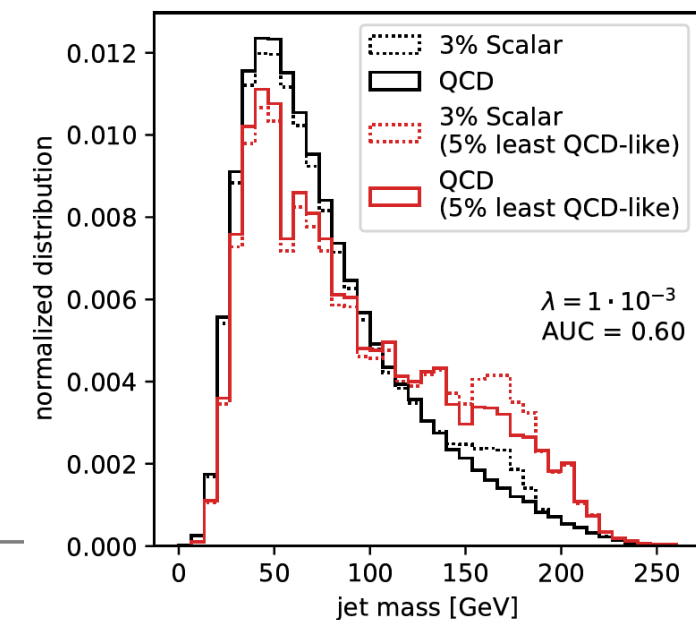
## Search for new physics



Train compressed representation:  
**Standard Model jets**

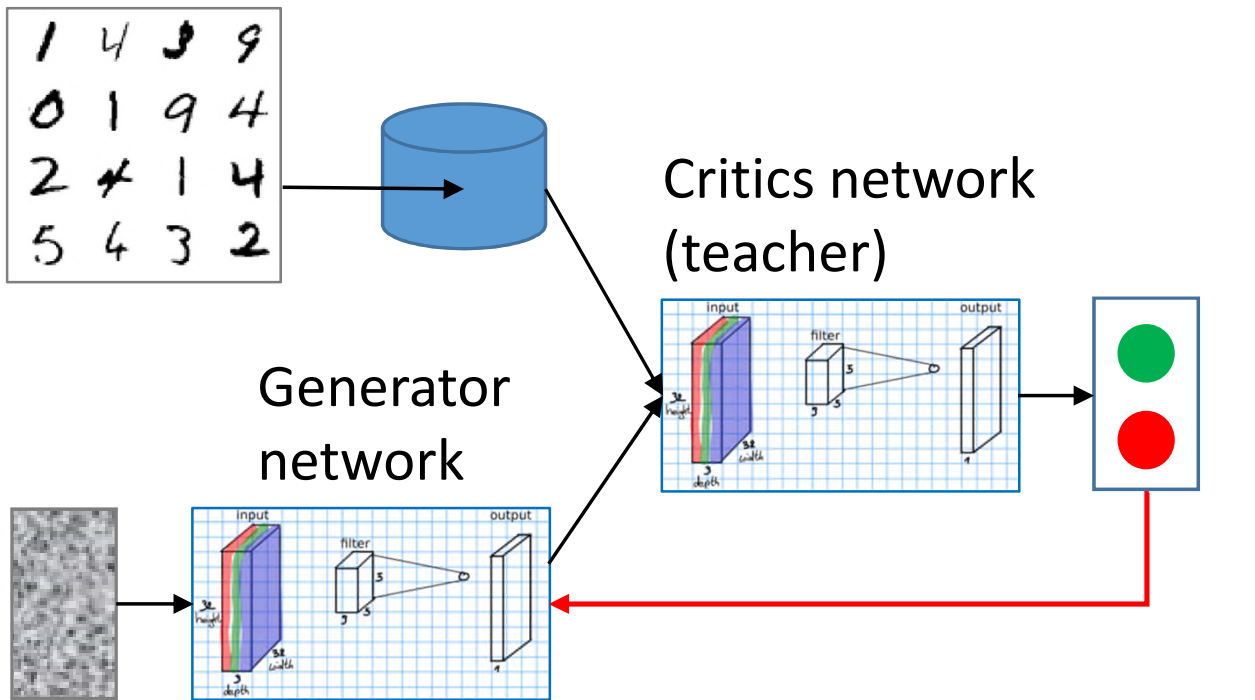


Evaluation of jets:  
**Disagreement with input jet indicates new physics**

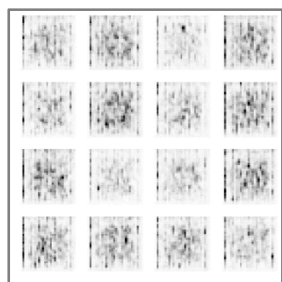


# 6. Simulations: Generative Modeling

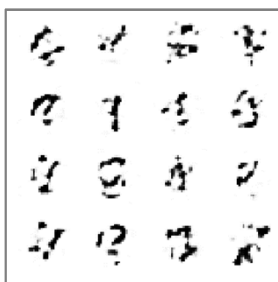
Real world images ('unsupervised')



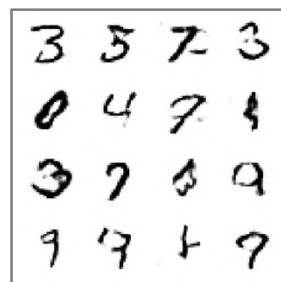
1st try



2nd try

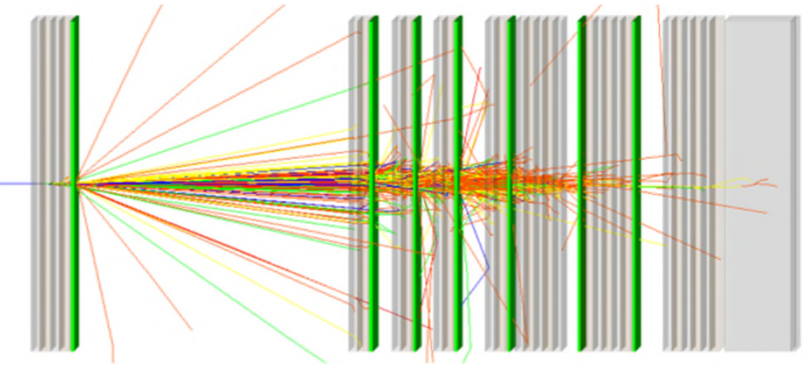


4th try

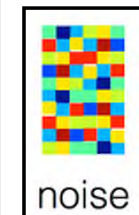


Electron calorimeter in CERN test beam

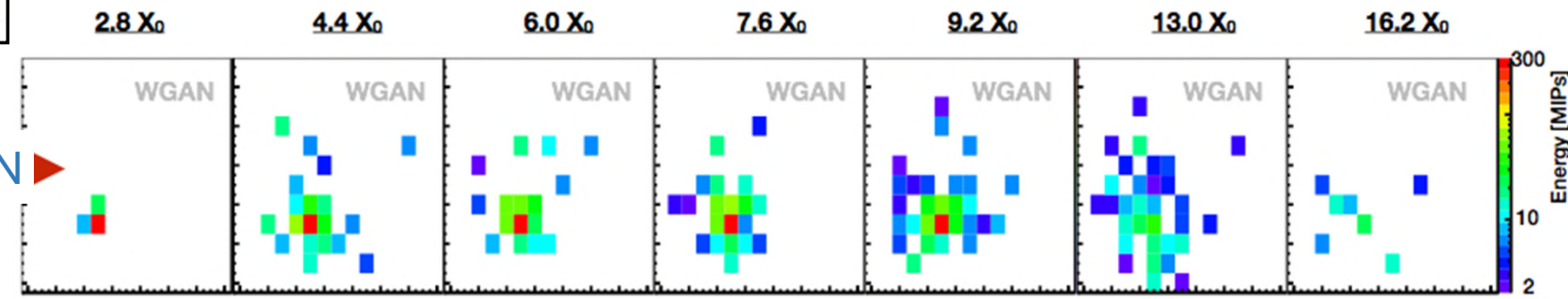
CMS HGCal EE, September 2017 TB  
90 GeV e<sup>-</sup>



Wasserstein-based Generative Adversarial Network



WGAN

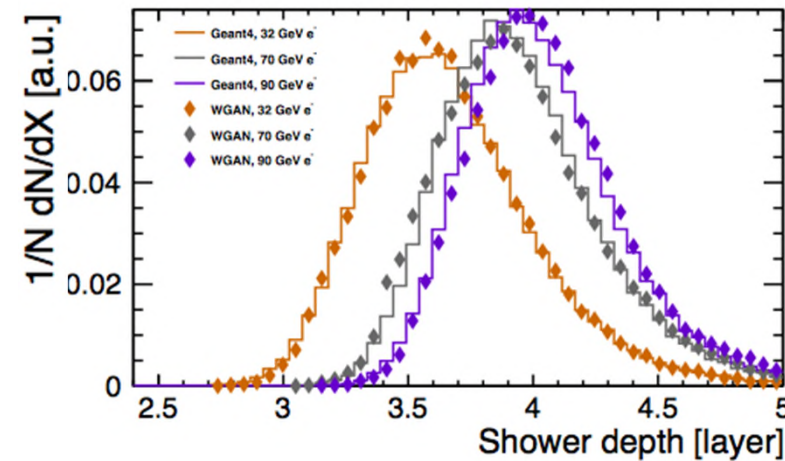


Generation Method	Hardware	milliseconds/shower
GEANT4	CPU	2000
WGAN	CPU	52
	GPU	0.3

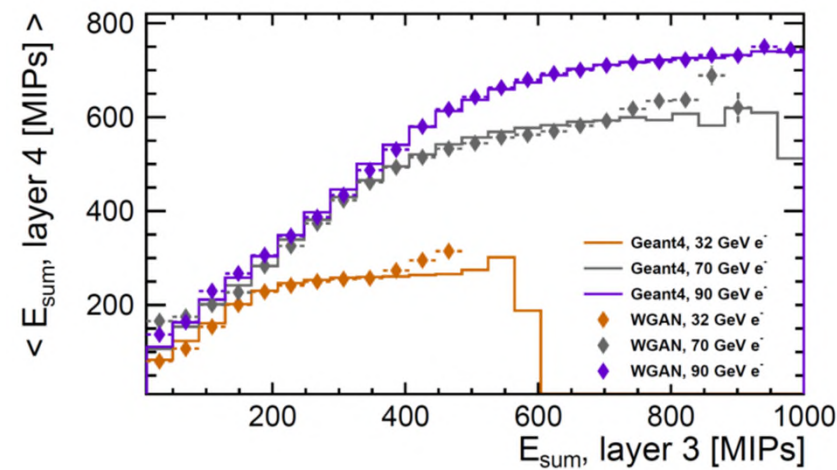
*R&D: Ultrafast detector simulations of high quality. Huge effort ahead for production versions*

# 5. Simulations: Generative Modeling

## Shower depth



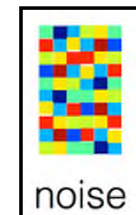
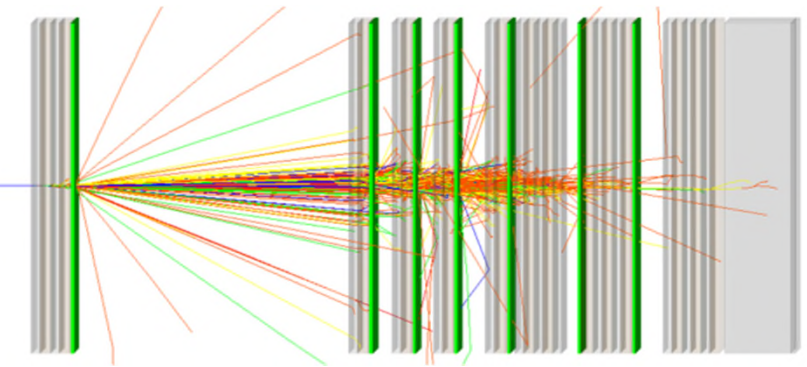
## Correlations between layers



Deficit of low-energy depositions to be solved

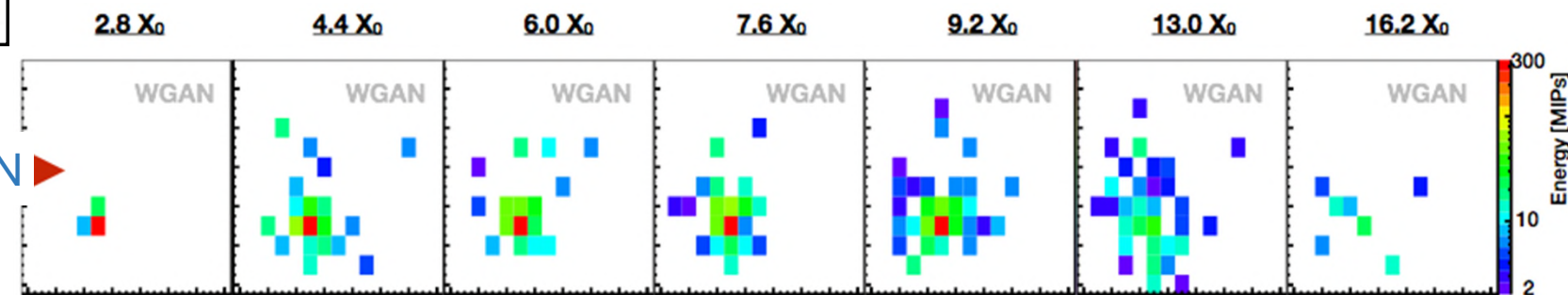
Electron calorimeter  
in CERN test beam

CMS HGCAL EE, September 2017 TB  
90 GeV e<sup>-</sup>



Wasserstein-based Generative Adversarial Network

WGAN



Generation Method	Hardware	milliseconds/shower
GEANT4	CPU	<b>2000</b>
WGAN	CPU	52
	GPU	<b>0.3</b>

*R&D: Ultrafast detector simulations of high quality. Huge effort ahead for production versions*

# Messages Machine Learning

- **Using machines physicists exploit physics contained in data deeper than before**
- **Construct neural networks to**
  - **Autonomously find optimal solutions & variables, reduce uncertainties**
  - **Transport probability distributions for ultra-fast detector simulations**
  - **Search for new physics phenomena in data**
- **Continuous quality challenge**
  - **Causality, stability, uncertainty of predictions**
  - **Experiment operation reality**

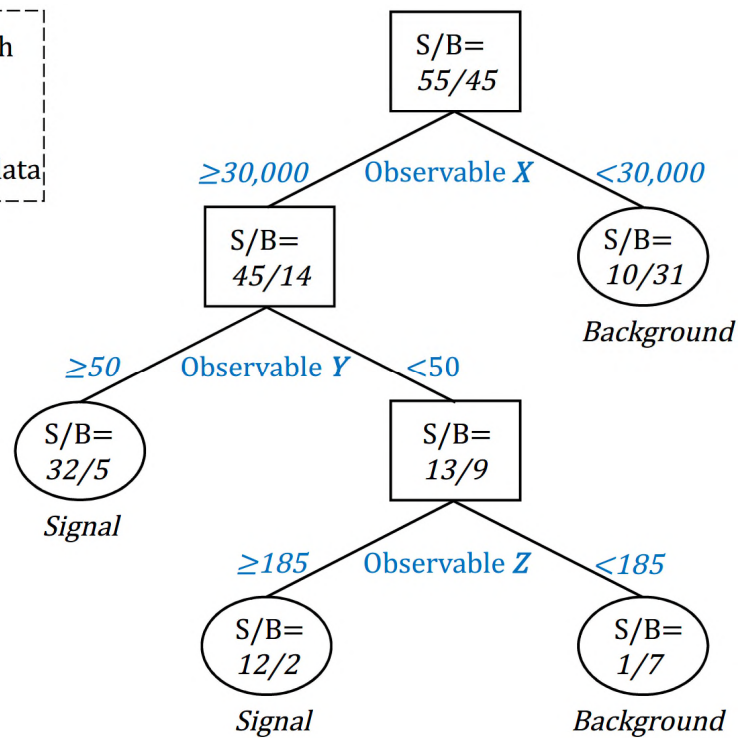
**We ought to prepare for fundamental change  
to include machines in our daily work**

backup

# Machine Learning: Boosted Decision Trees

## Decisions at each node of tree

- = Decide on path
- = Final vote
- S = Signal data
- B = Background data



## Train by minimizing *Gini* criterion

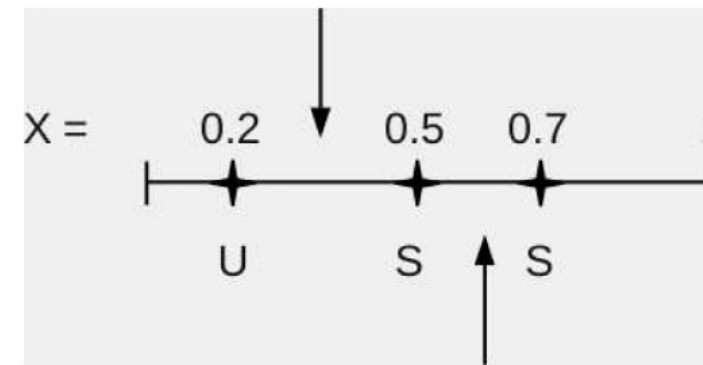
$$G \equiv (N_S + N_U) p (1 - p)$$

Signal purity  $p \equiv \frac{N_S}{N_S + N_U}$

$$G_{\text{Go LEFT}} = (0 + 1) \frac{0}{1} \left(1 - \frac{0}{1}\right) = 0 \quad \text{YES}$$

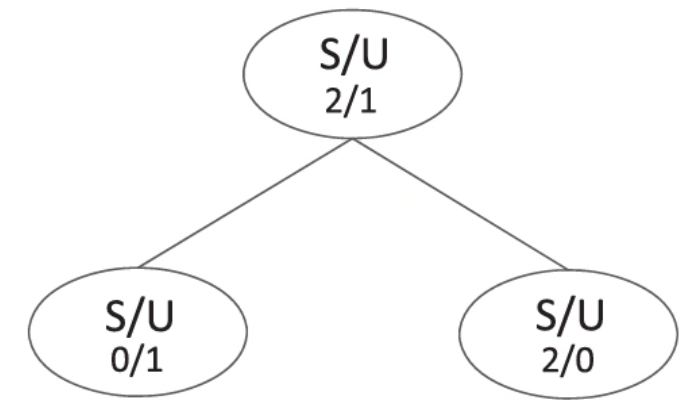
$$G_{\text{Go RIGHT}} = (2 + 0) \frac{2}{2} \left(1 - \frac{2}{2}\right) = 0$$

optimally cut here ?



or cut here ?

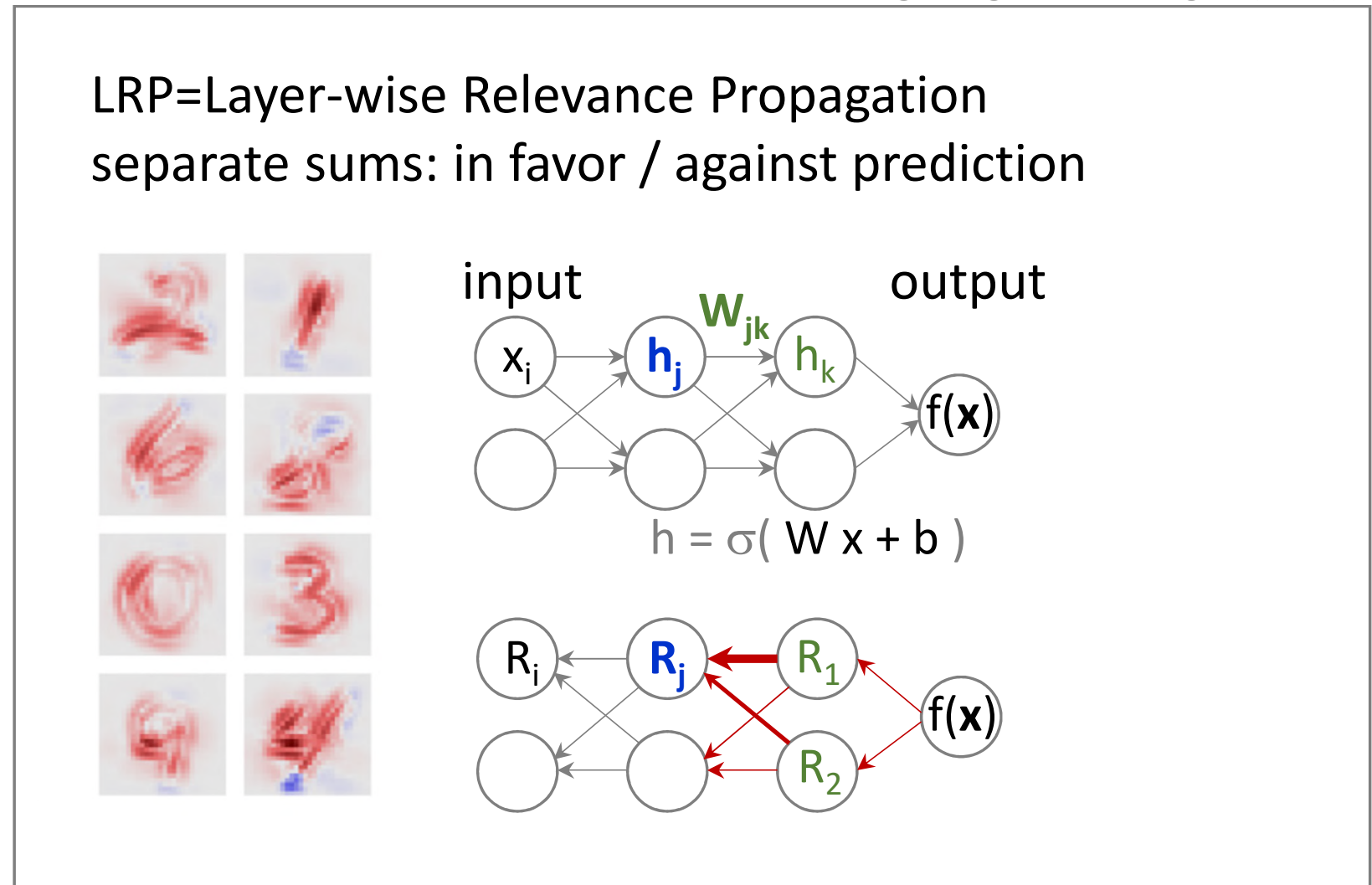
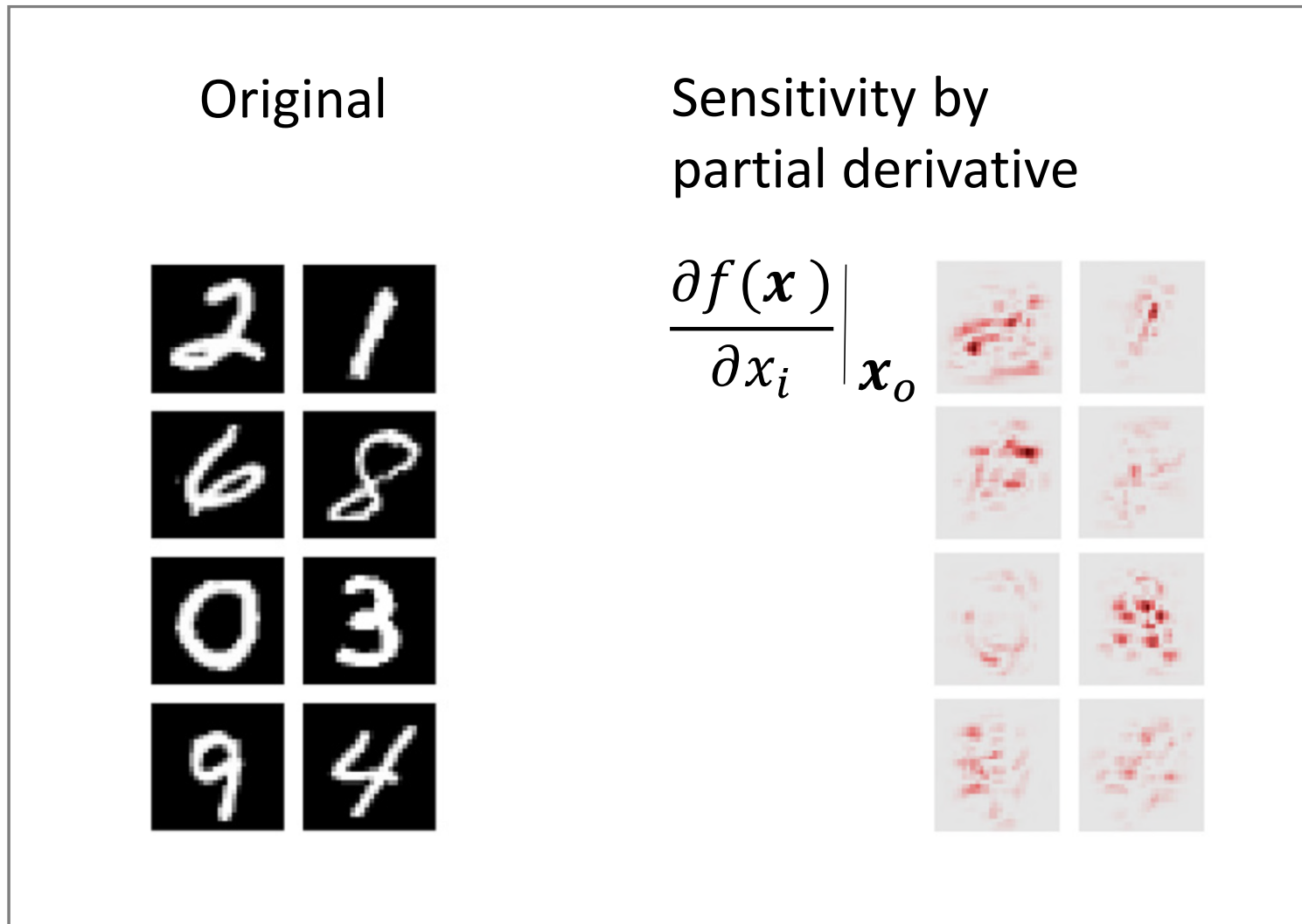
$$G_{\text{Go LEFT}} = (1 + 1) \frac{1}{2} \left(1 - \frac{1}{2}\right) = \frac{1}{2} \quad \text{NO}$$



# Causality: analysis of network predictions

**Measure impact: input  $x_i$   $\rightarrow$  overall prediction**

G. Montavon, W. Samek, K.-R. Müller, Digital Signal Processing 73 (2018) 1

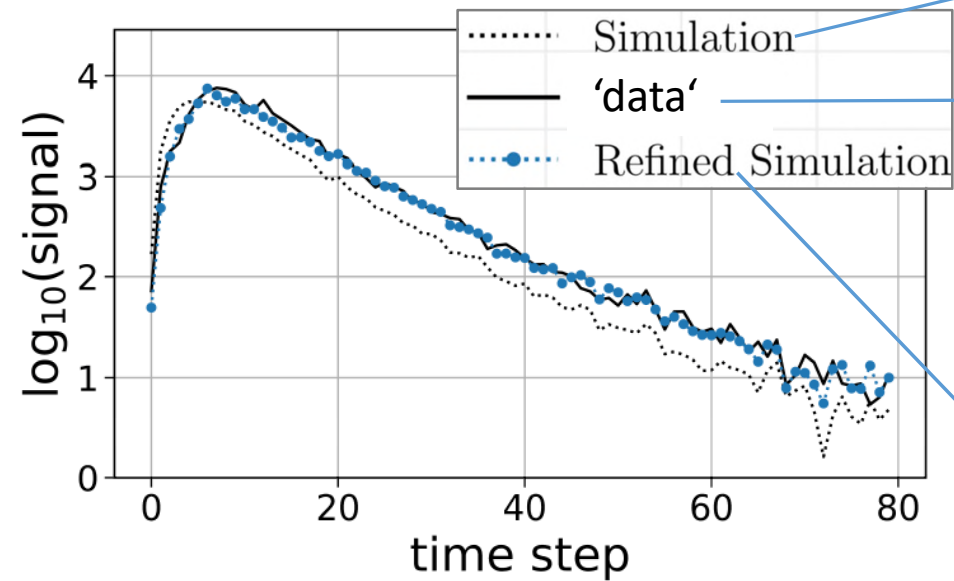
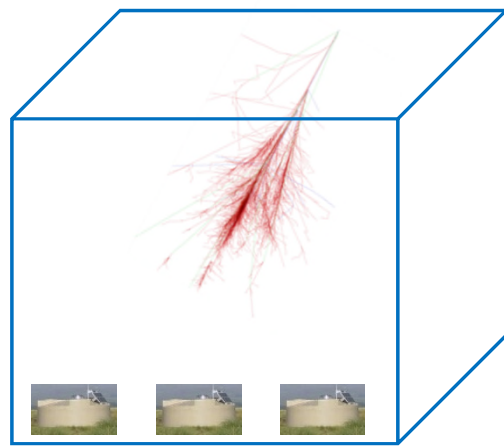


$$a_k = \sigma(\sum_j a_j w_{jk} + b_k)$$

$$R_j = \sum_k \left( \alpha \frac{a_j w_{jk}^+}{\sum_j a_j w_{jk}^+} - \beta \frac{a_j w_{jk}^-}{\sum_j a_j w_{jk}^-} \right) R_k$$

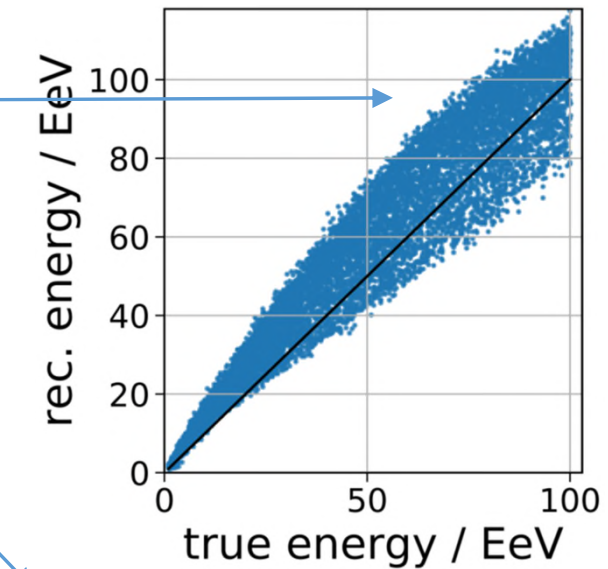
# For network training: adapt simulation to data

Long-standing problem → Refine simulated traces  
data ≠ simulation



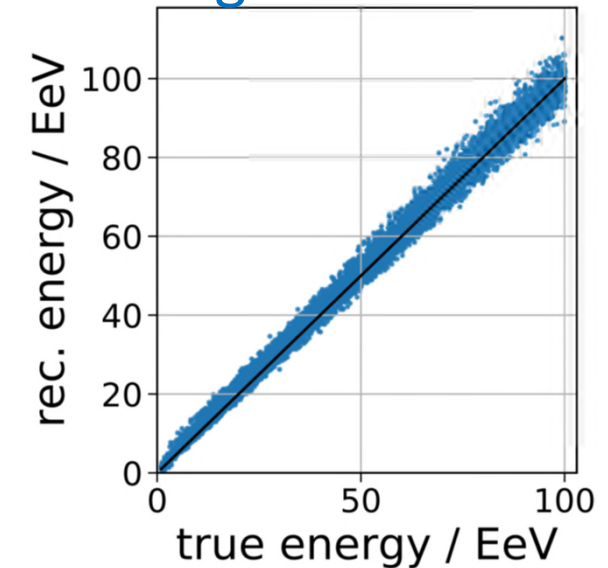
## Energy reconstruction

Training: badly simulated traces



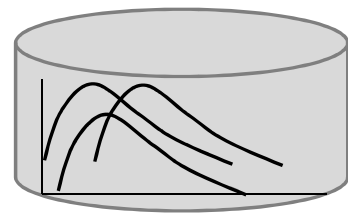
Deep Network  
net-3: cosmic  
ray energy from  
'data' traces

Training: with refined simulation



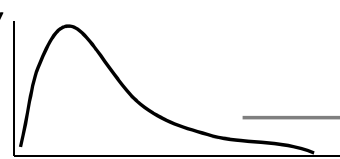
*improved  
energy  
resolution*

'data' traces  
(simulated)



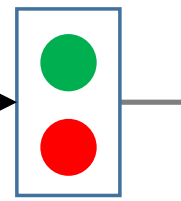
Adversarial training  
Wasserstein distance

'badly simulated'  
trace



net-1

net-2





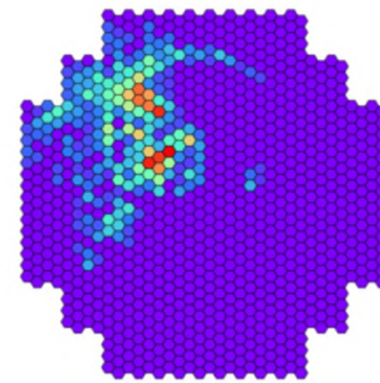
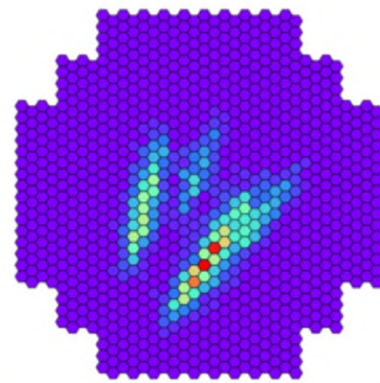
# Imaging Atmospheric Cherenkov Telescopes



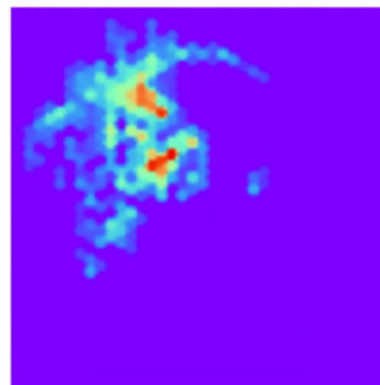
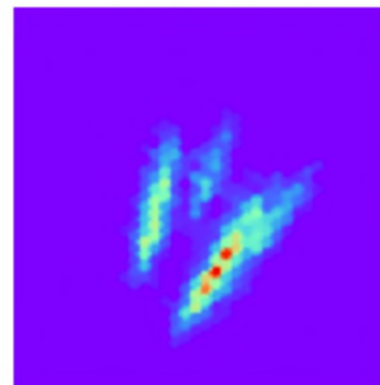
Photon

Hadron

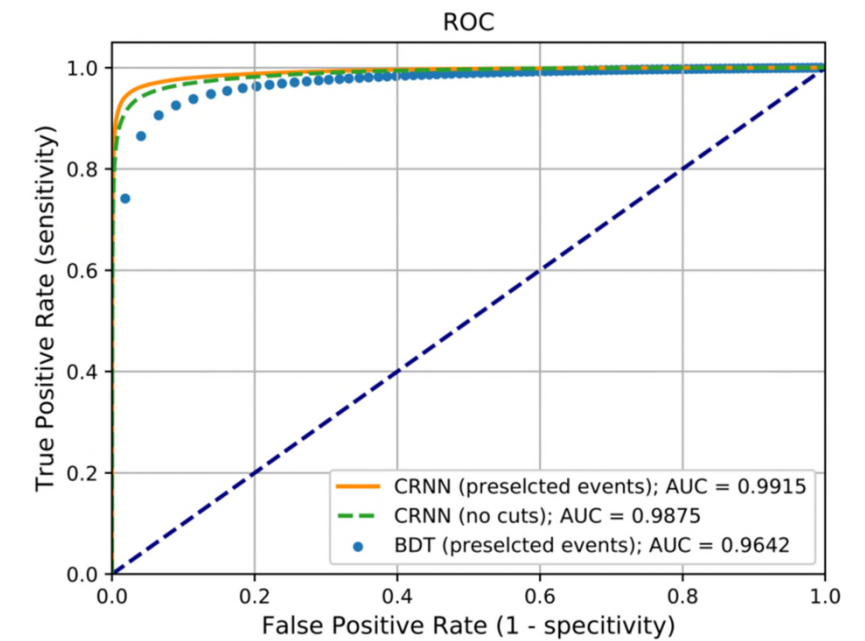
Telescope image  
(hexagonal structure)



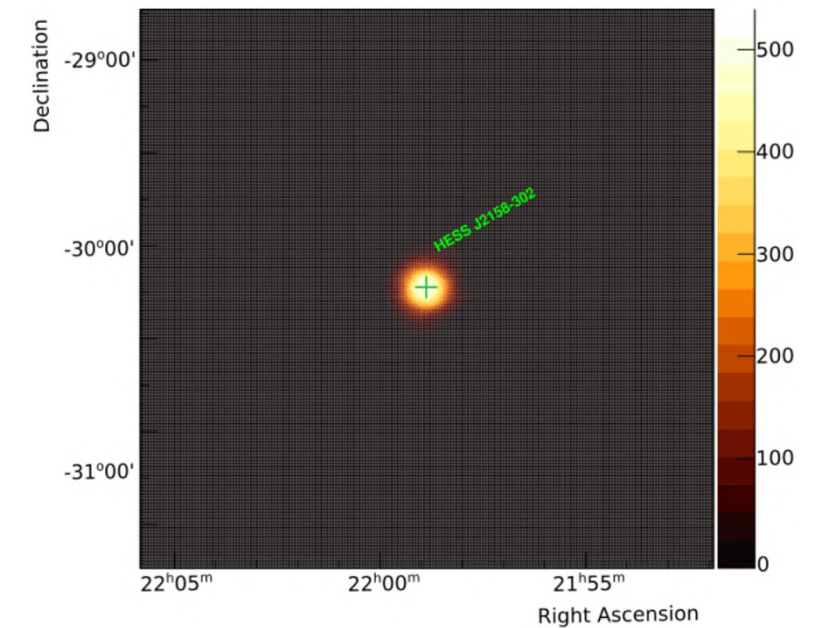
Preprocessing  
example for  
convolutional  
network  
(rebinning)



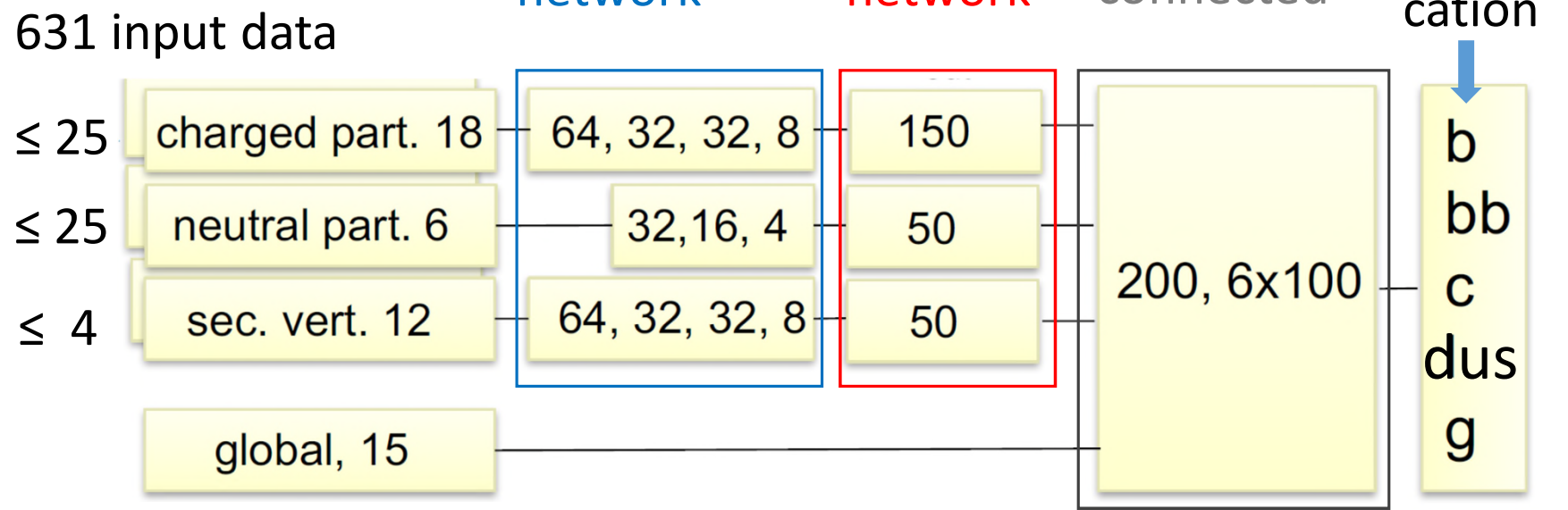
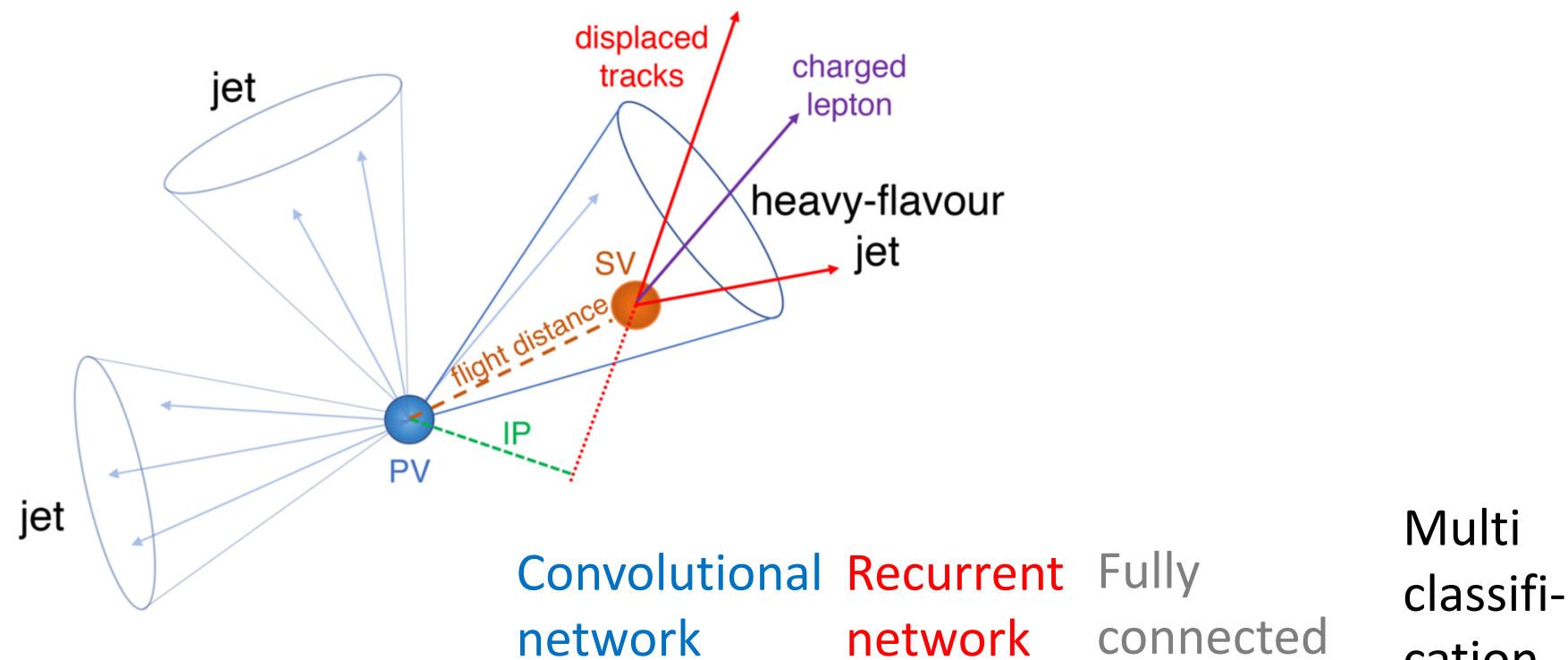
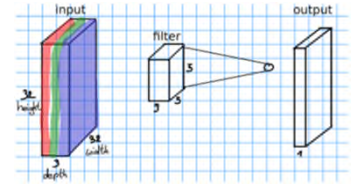
Simulation:  
deep network better  
to reject background  
compared to Boosted  
Decision Tree



Data:  
excess events observed in  
direction of PKS 2155-304  
for one flare observation

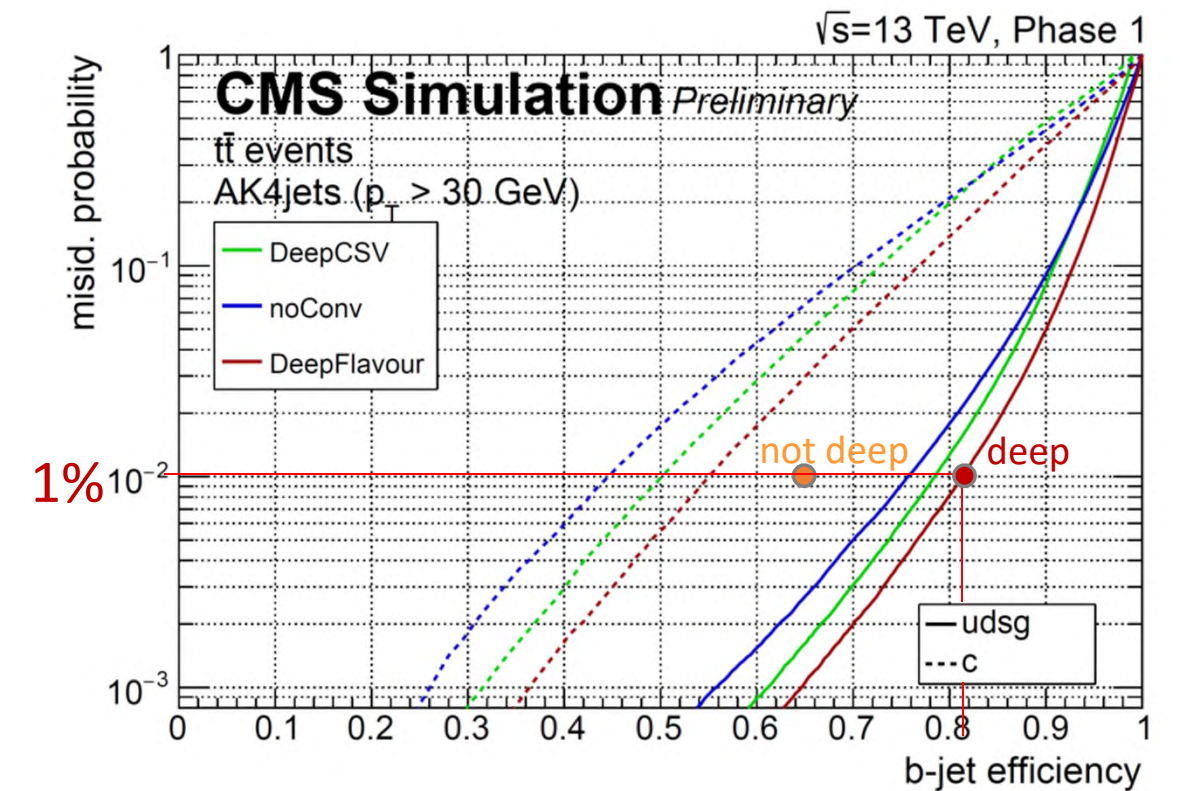


# CMS jet flavor tagging



The up to 25 tracks have 18 properties px,py,pz,eta,quality,... the 1-dim Convolution adds up all properties of a track. In the RNN the summed properties of the tracks are merged.

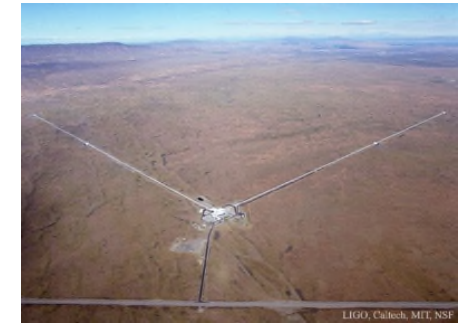
Martin Erdmann, RWTH Aachen University



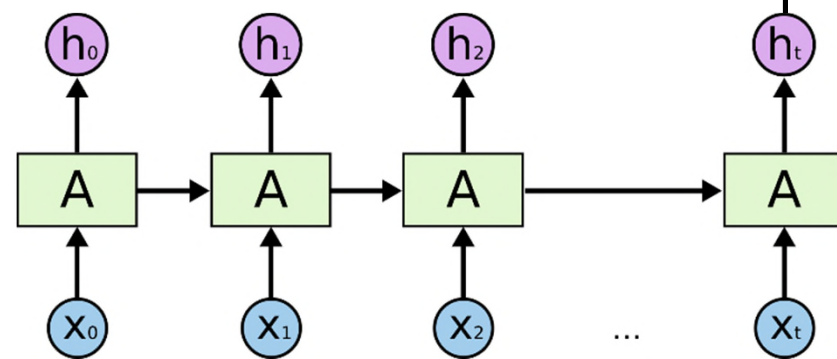
Brilliant improvement with high impact on data analyses

2 Higgs  $\rightarrow$  4 bottom quarks:  
 $\epsilon_{bottom}^4: 0.18 \rightarrow 0.45$

# Denoising Gravitational Waves with Recurrent Denoising Autoencoder

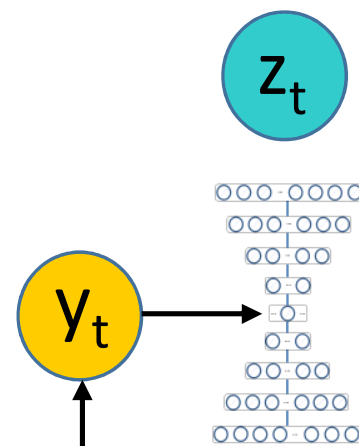


## Recurrent Network

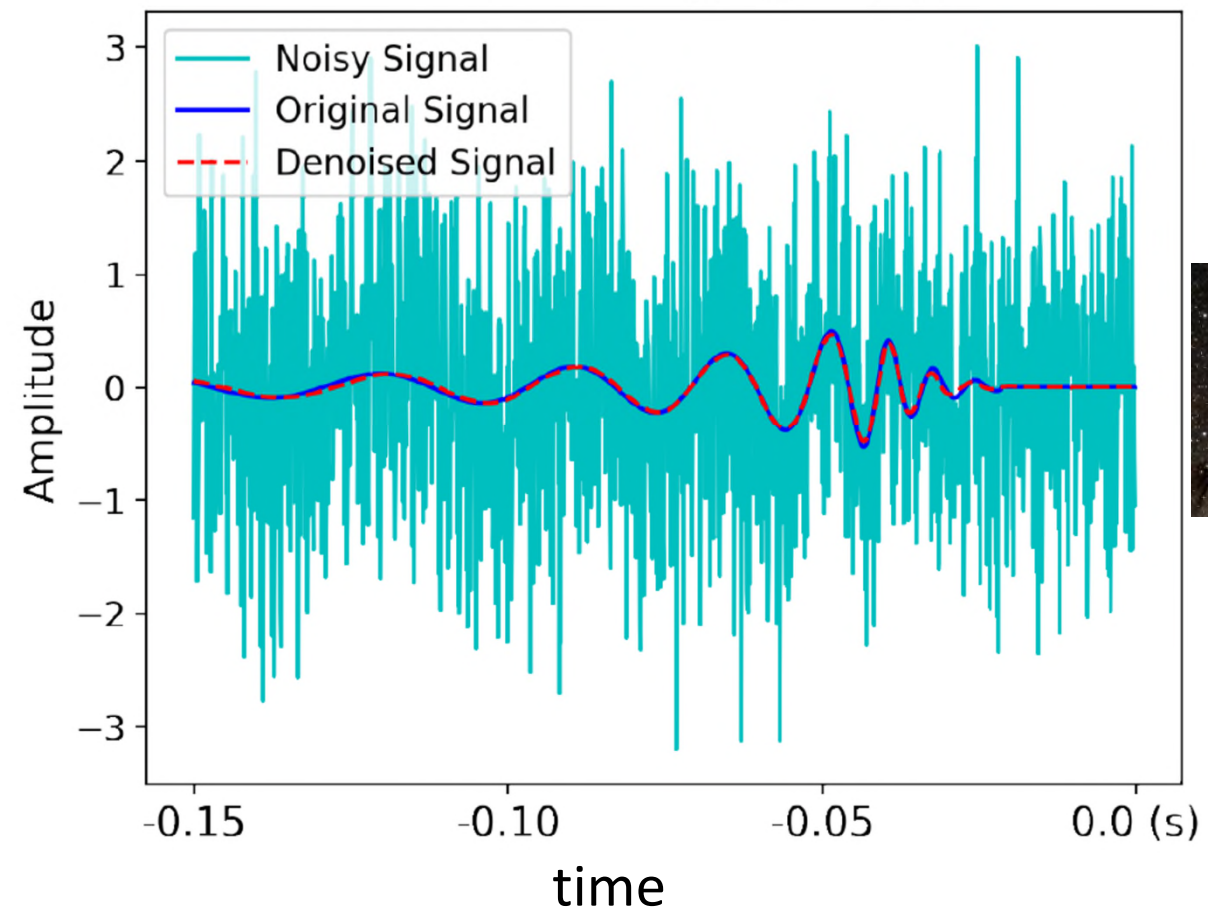


many-to-one network

## Autoencoder Network



## Gravitational wave (simulated)



Excellent recovery of original signal



binary black hole merger