

Light sterile neutrino thermalisation in 3+1 and low reheating scenarios

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eV-scale sterile neutrino

3+1 model

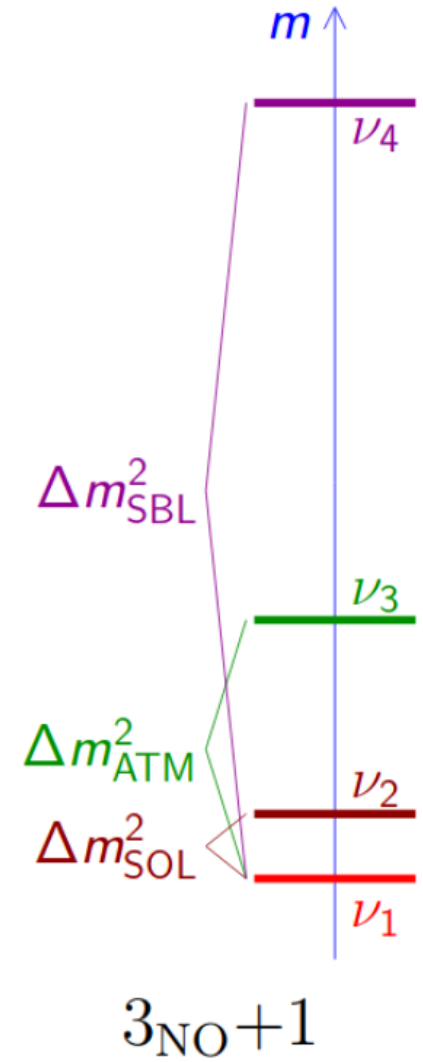
$$\nu_\alpha = \sum_{k=1}^{3+1} U_{\alpha k} \nu_k \quad (\alpha = \{e, \mu, \tau, s\})$$

$$|U_{e4}|^2 = \sin^2 \theta_{14}$$

$$|U_{\mu 4}|^2 = \cos^2 \theta_{14} \sin^2 \theta_{24}$$

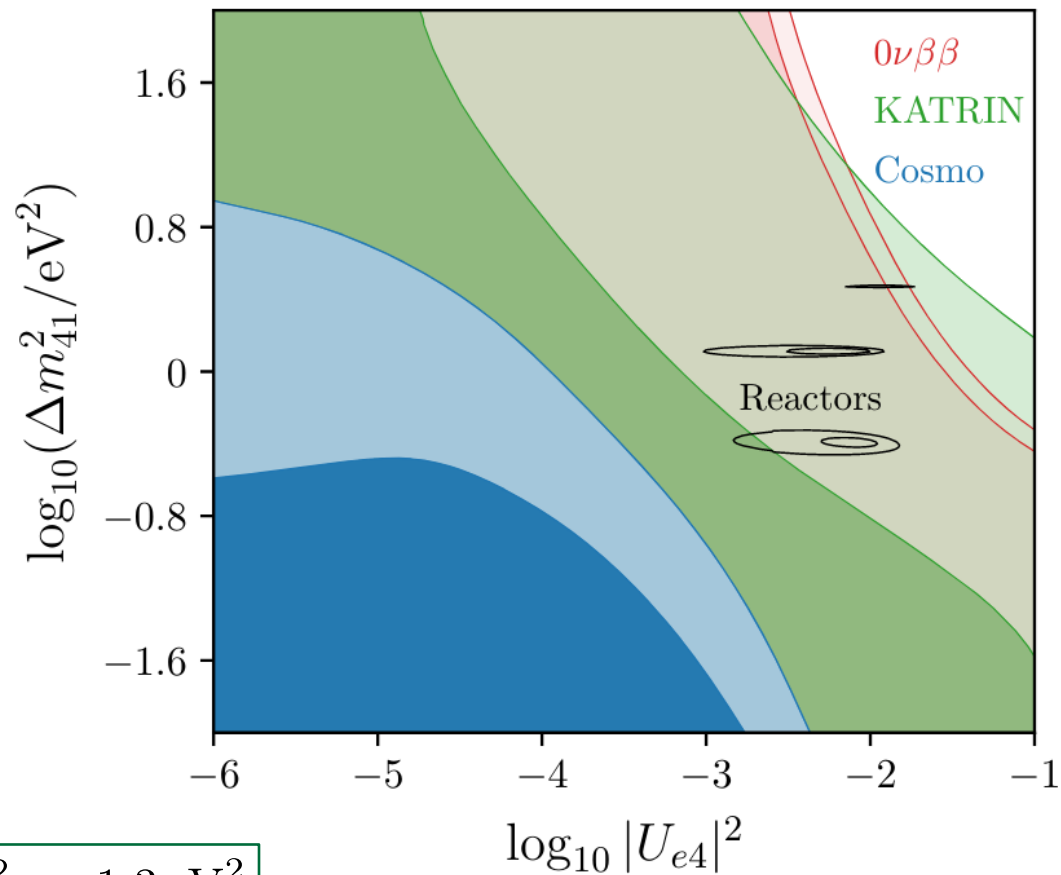
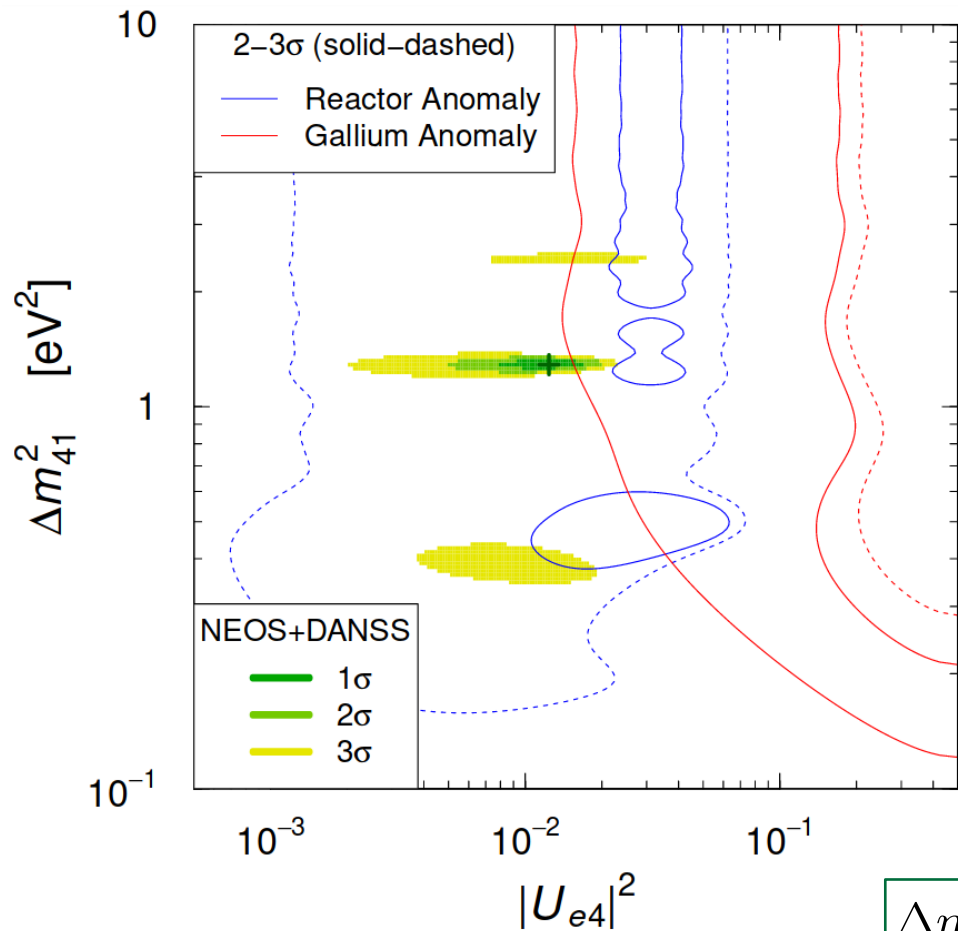
$$|U_{\tau 4}|^2 = \cos^2 \theta_{14} \cos^2 \theta_{24} \sin^2 \theta_{34}$$

$$|U_{s4}|^2 = \cos^2 \theta_{14} \cos^2 \theta_{24} \cos^2 \theta_{34}$$



eV-scale sterile neutrino

3+1 model



$$\Delta m_{41}^2 \simeq 1.3 \text{ eV}^2$$

$$|U_{e4}|^2 \simeq 0.01$$

S. Gariazzo et al., PLB 782 (2018) 13

See also M. Dentler et al., JHEP 08 (2018) 010

S. Hagstotz, PFdS et al. arXiv:2003.02289

eV-scale sterile neutrino

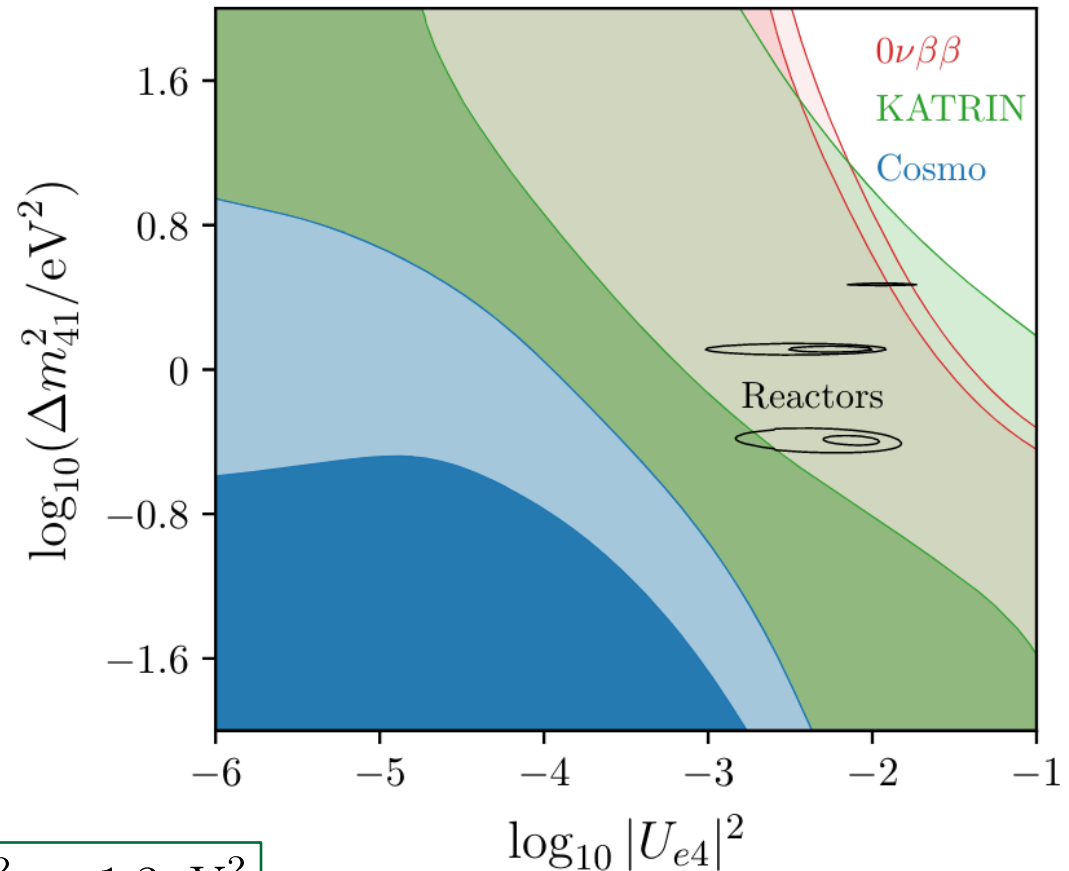
3+1 model

Tension with cosmology:

- sterile neutrino would be **fully thermalised** for the parameter space that could explain SBL anomalies.

Thermalisation of ν_s reduced in **low-reheating scenarios**

G. Gelmini et al., PRL 93 (2004) 081302



$$\Delta m_{41}^2 \simeq 1.3 \text{ eV}^2$$
$$|U_{e4}|^2 \simeq 0.01$$

S. Hagstotz, PFdS et al. arXiv:2003.02289

Effective number of neutrinos

N_{eff} accounts for any contribution to radiation other than photons

$$\rho_r = \rho_\gamma + \rho_\nu + \rho_x$$

$$\rho_r = \left(1 + N_{\text{eff}} \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \right) \rho_\gamma$$

Planck 2018, 95% CL [arXiv:1807.06209]

$N_{\text{eff}} = 3.00^{+0.57}_{-0.53}$	TT + lowE	Standard scenario: only neutrinos $N_{\text{eff}} = 3.045$
$N_{\text{eff}} = 2.92^{+0.36}_{-0.37}$	TT, TE, EE + lowE	[PFdS & S. Pastor, JCAP 07 (2016) 051, arXiv:1606.06986]
$N_{\text{eff}} = 2.89^{+0.36}_{-0.38}$	TT, TE, EE + lowE + lensing	
$N_{\text{eff}} = 2.99^{+0.34}_{-0.33}$	TT, TE, EE + lowE + lensing + BAO	

Standard value of N_{eff}

To appear soon!

Key aspects of the update:

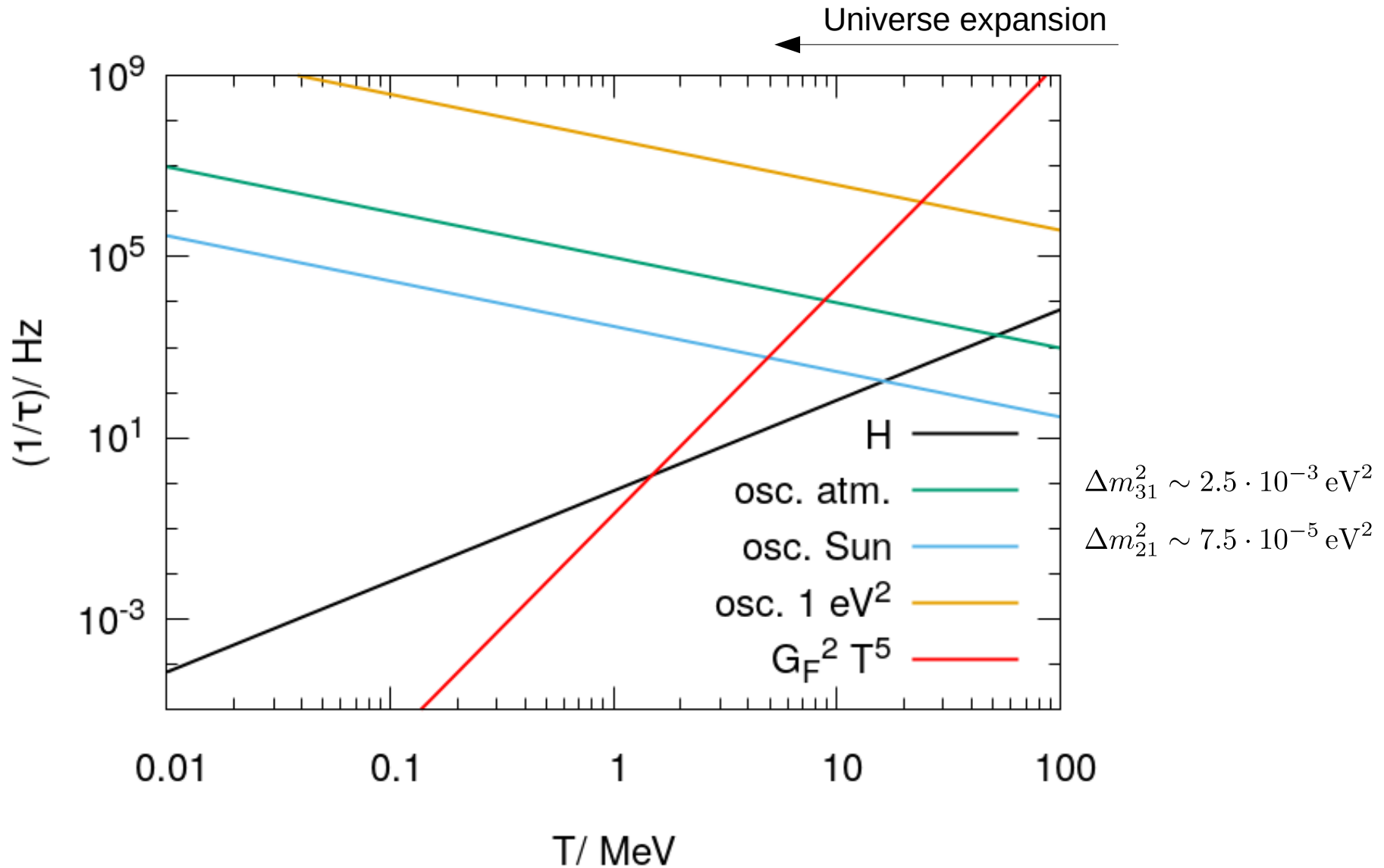
- Subdominant QED finite-temperature corrections $\mathcal{O}(e^3)$
[J.J. Bennett, G. Buldgen, M. Drewes, and Y. Y. Y. Wong, arXiv:1911.04504]
- Complete neutrino-neutrino integrals – including off-diagonal entries!
- Thorough assessment of uncertainties
- **FortEPiaNO** [S. Gariazzo, PFdS, S. Pastor, arXiv1905.11290]

$$N_{\text{eff}} = 3.043\text{X} \pm 0.000\text{E}$$

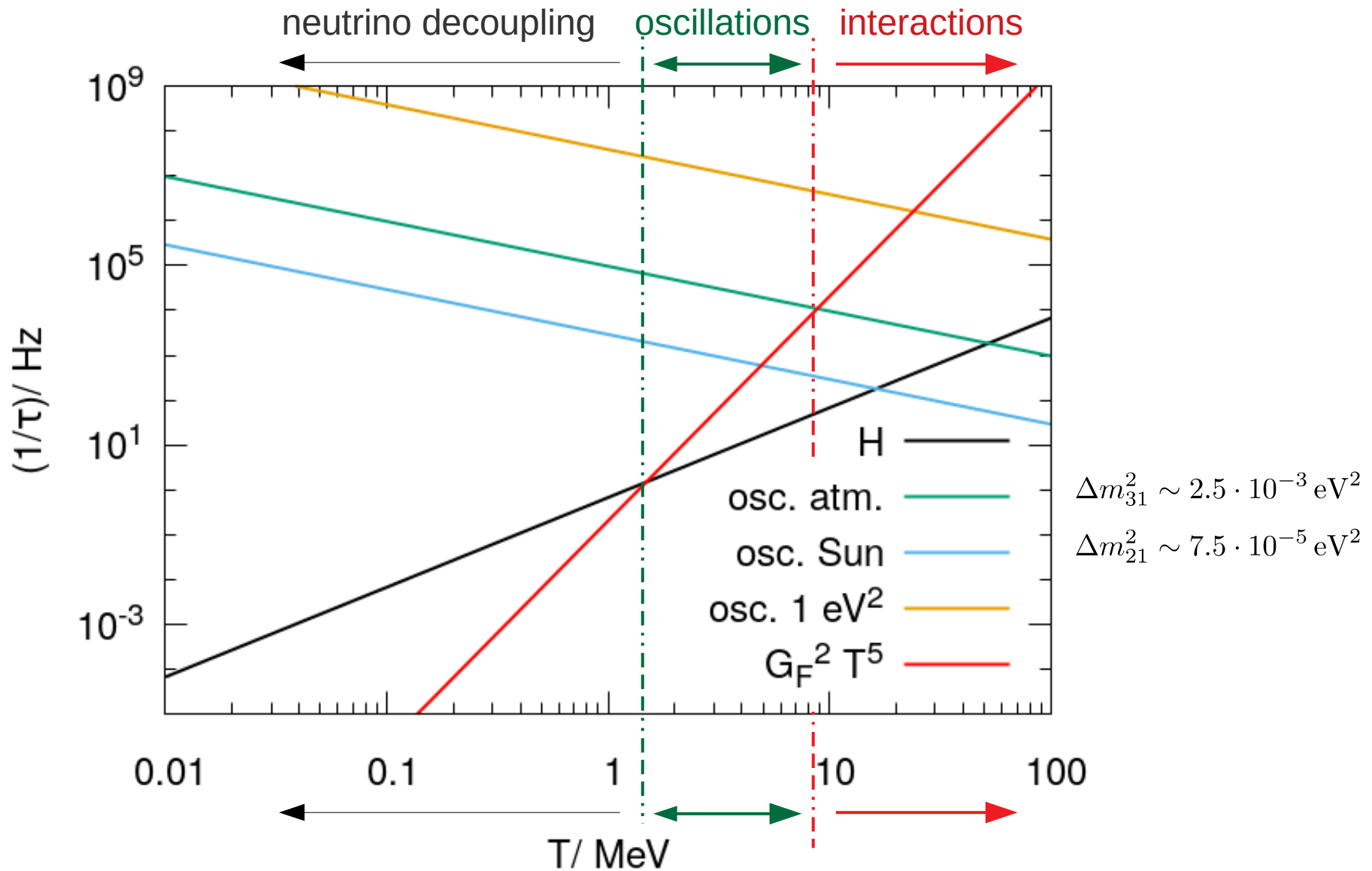
[J.J. Bennett, G. Buldgen, PFdS, M. Drewes, S. Gariazzo, S. Pastor, and Y.Y.Y. Wong]

To appear soon!

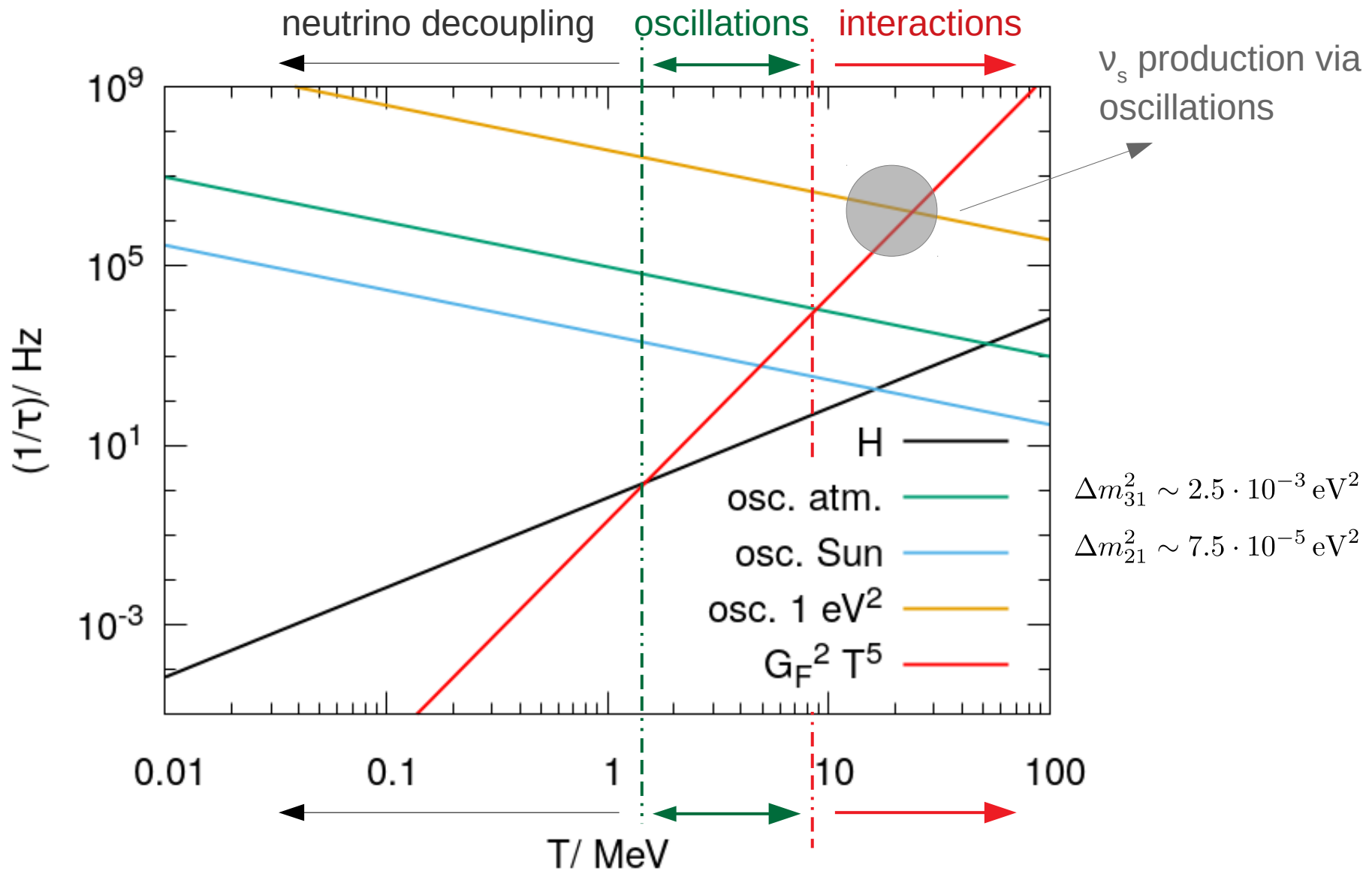
Interactions + oscillations + expanding Universe



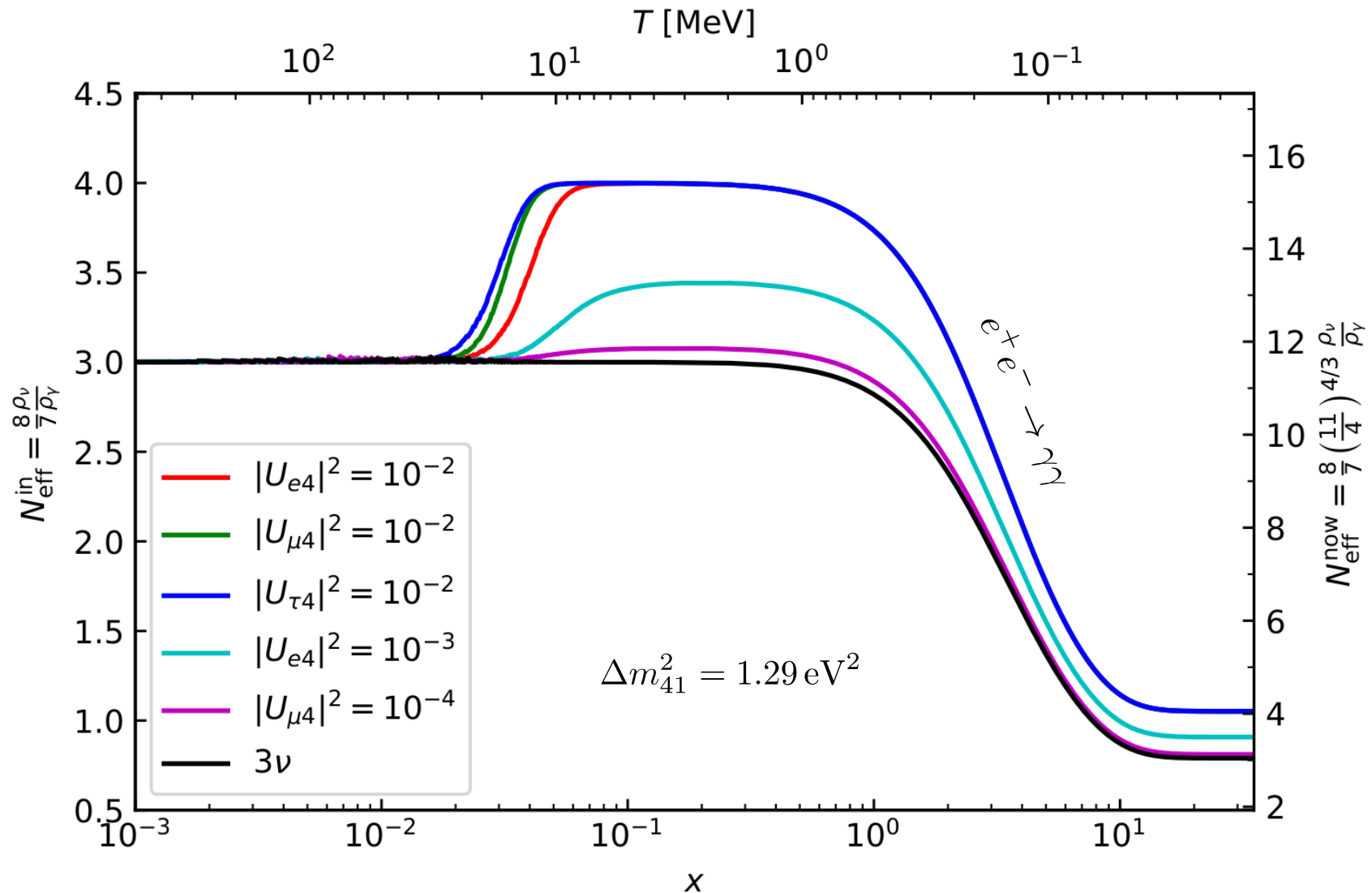
Interactions + oscillations + expanding Universe



Interactions + oscillations + expanding Universe



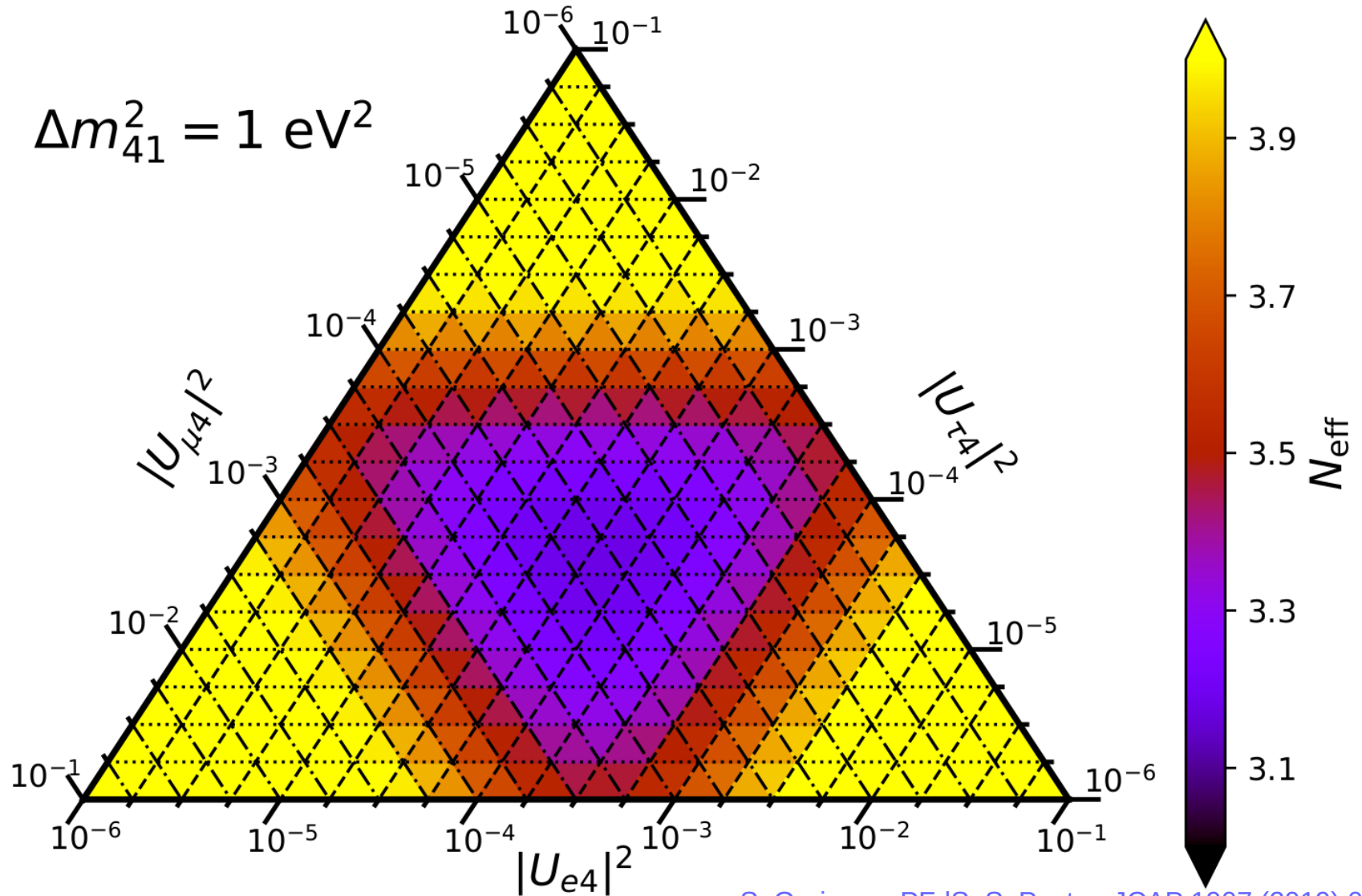
N_{eff} in presence of a sterile



$3 \lesssim N_{\text{eff}} \lesssim 4$ depending on the mixing angle and Δm_{41}^2

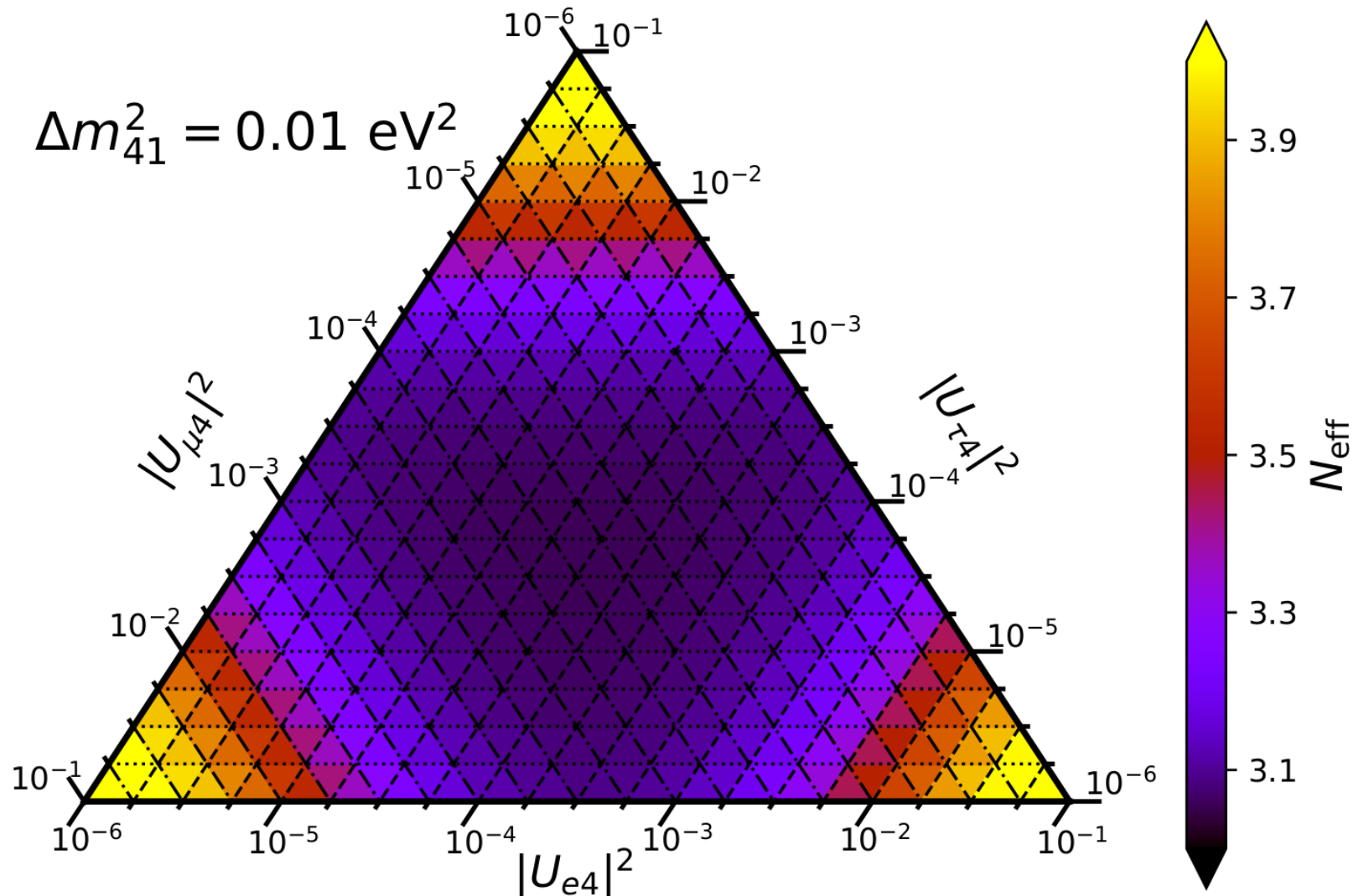
S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

N_{eff} tri-angle planes



S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

N_{eff} tri-angle planes



S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

Low-reheating scenarios

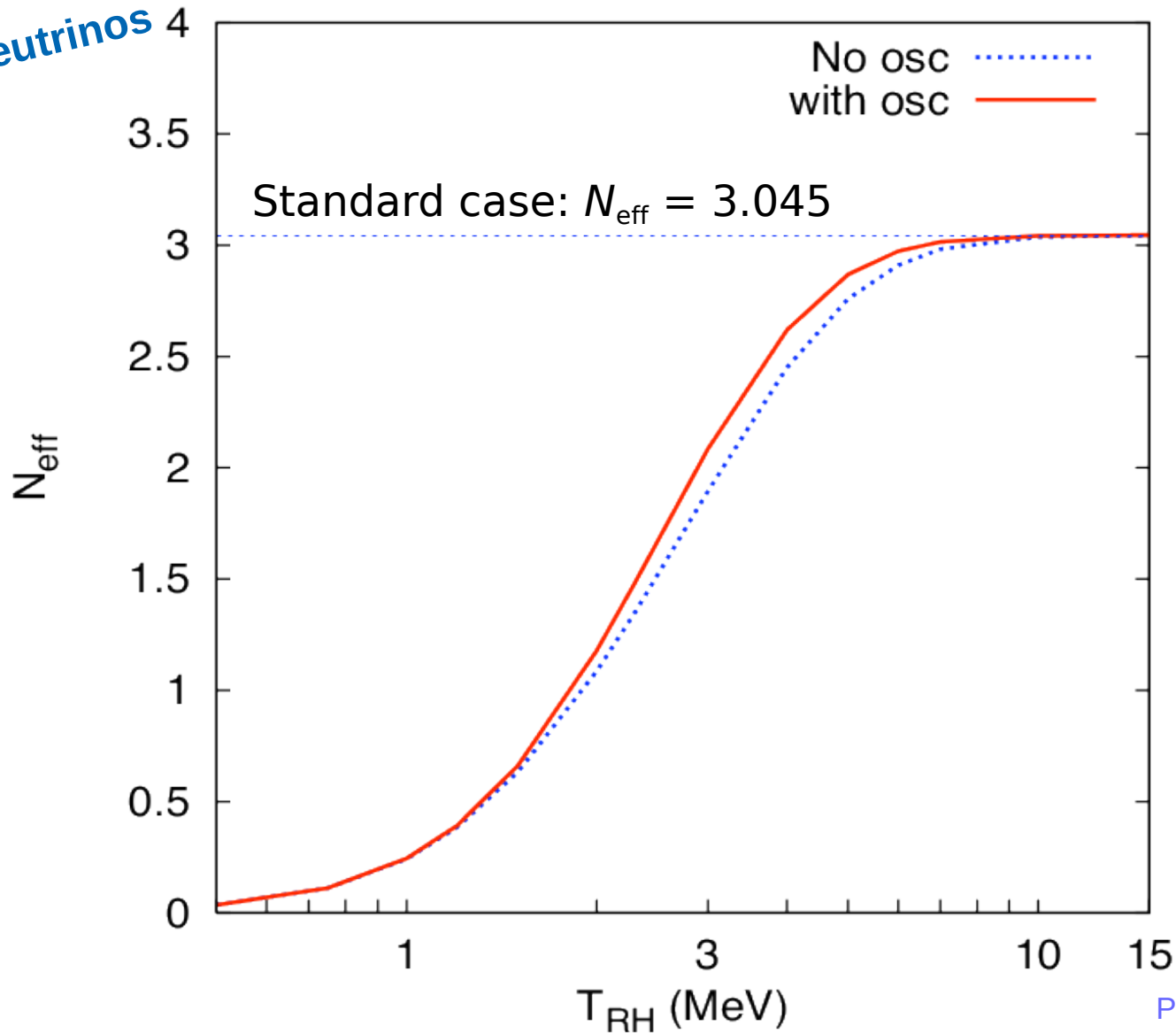
- Last radiation-dominated era arises from the decaying of a massive particle ϕ

$$\Gamma_{\phi} = 3H(T_{\text{RH}})$$

- We assume that **ϕ decays into relativistic particles** other than neutrinos
- Neutrinos will be populated via weak interactions with charged leptons
- For $T_{\text{RH}} \sim \text{MeV}$, neutrinos might not completely thermalise

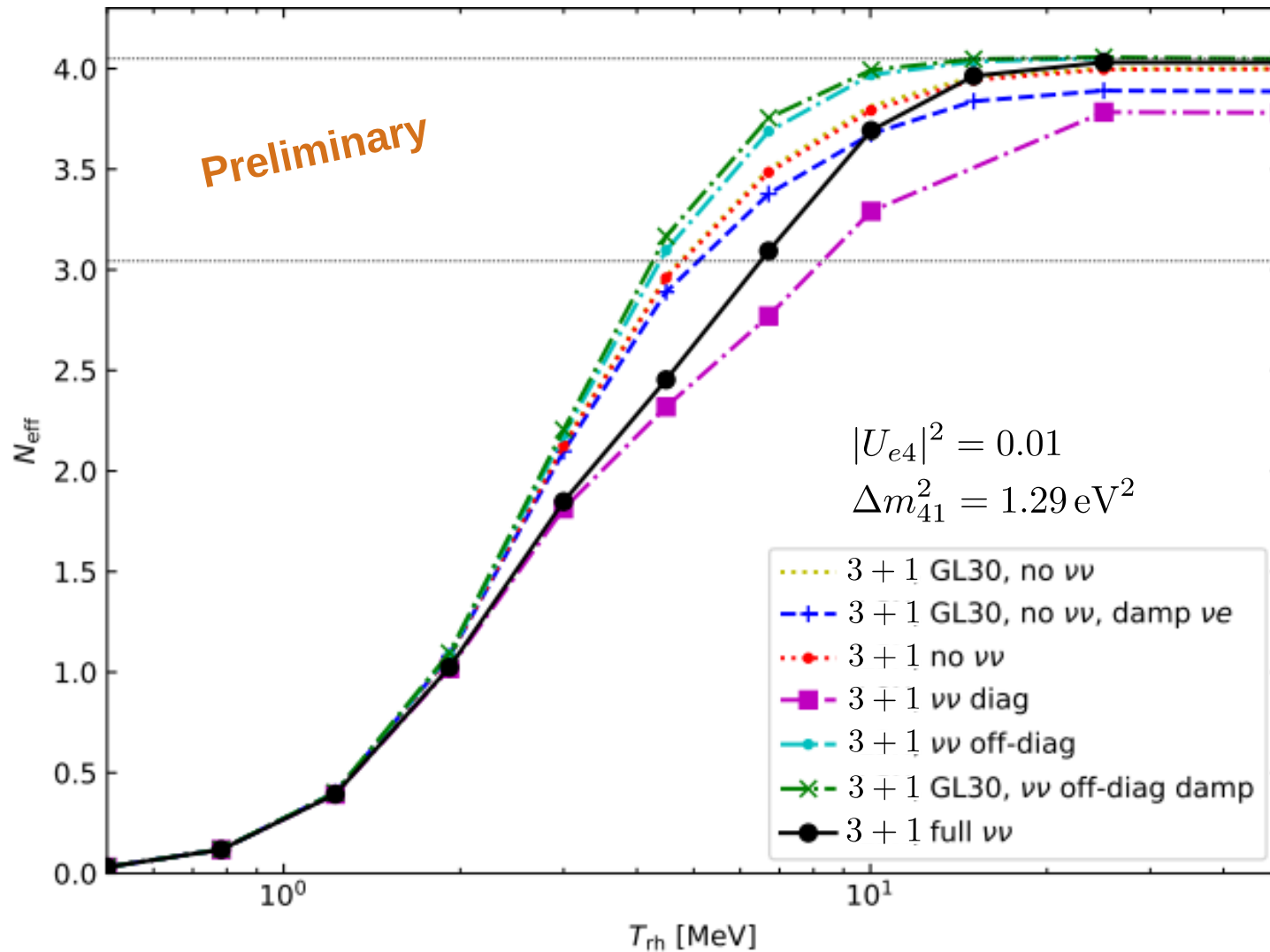
N_{eff} in low-reheating scenarios

Standard neutrinos



PFdS et al, PRD 92 (2015)

N_{eff} in low-reheating scenarios



PFdS, M. Fernández Navarro, S. Gariazzo, M. Lattanzi, S. Pastor, and O. Pisanti, In progress

Conclusions

- A **~ 1 eV sterile neutrino** might be needed to solve (at least some) **oscillation anomalies**
- The presence of a ~ 1 eV sterile neutrino **adds up to $\Delta N_{\text{eff}} \simeq 1$**
- We have solved the **momentum-dependent kinetic equations** of neutrino thermalisation in the **3+1 scenario**
FortEPiaNO
[S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014]
- **For the first time** we include **all neutrino mixing angles** in the 3+1 scheme
- **Low-reheating scenarios** could **alleviate tension with cosmology**

Supplementary slides

Neutrino decoupling and e^+e^- annihilations

Standard neutrinos

Instantaneous decoupling approximation

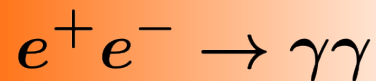
$$T = T_\gamma = T_\nu$$

$$f_\nu = \frac{1}{\exp(p/T) + 1}$$

10 MeV

ν decoupling

1 MeV



$$\frac{T_\gamma}{T_\nu} = \left(\frac{11}{4}\right)^{1/3}$$

$$f_\nu = \frac{1}{\exp(p/T_\nu) + 1}$$

nucleosynthesis

Beyond instantaneous decoupling approximation

Standard neutrinos

ν decoupling depends on momentum (small spectral distortions)

$$T = T_\gamma = T_\nu$$

$$f_\nu = \frac{1}{\exp(p/T) + 1}$$

$$\frac{T_\gamma}{T_\gamma^0} < \left(\frac{11}{4}\right)^{1/3}$$

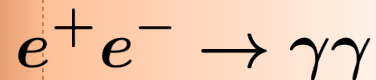
$$f_\nu = f_\nu^{\text{eq}} + \delta f_{\nu\alpha}$$

10 MeV

1 MeV

nucleosynthesis

ν decoupling



Computing neutrino decoupling

Density matrix formalism

$$\rho_p = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} & \rho_{e\tau} & \rho_{es} \\ \rho_{\mu e} & \rho_{\mu\mu} & \rho_{\mu\tau} & \rho_{\mu s} \\ \rho_{\tau e} & \rho_{\tau\mu} & \rho_{\tau\tau} & \rho_{\tau s} \\ \rho_{se} & \rho_{s\mu} & \rho_{s\tau} & \rho_{ss} \end{pmatrix}$$

Diagonal terms: occupation numbers

off-diagonal terms: non-zero when oscillations are present

Fluid equation

$$\frac{d\rho}{dt} = -3H(\rho + P)$$

Solve Boltzmann equations with $I_{\text{coll}} \neq 0$

$$(\partial_t - Hp\partial_p) \rho_p = -i \frac{1}{2p} \left[\left(\overset{\text{Vacuum}}{\mathbb{M}_F} - 2p \frac{8\sqrt{2}G_F p}{3m_W^2} \rho_{e\Xi} \right), \rho_p \right] + I_{\text{coll}}[\rho_p]$$

←
→

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Expanding Universe

Oscillations

Interactions

Computing neutrino decoupling

Collision integrals

- Reduce analytically the integrals from 9 to 2 dimensions
- Solve numerically with a grid on the incoming neutrino momentum
- Code: **FORTran-Evolved Primordial Neutrino Oscillations**

FortEPiANO

[S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014]

Solve Boltzmann equations with $I_{\text{coll}} \neq 0$

$$(\partial_t - Hp\partial_p) \varrho_p = -i \frac{1}{2p} \left[\left(\overset{\text{Vacuum}}{M_F} - 2p \frac{8\sqrt{2}G_F p}{3m_W^2} \rho_e \underline{\underline{\varepsilon}} \right), \varrho_p \right] + I_{\text{coll}}[\varrho_p]$$

Expanding Universe ← Oscillations → Interactions

Comoving variables

$$x = m_e R$$

$$y = pR$$

$$z = T_\gamma R$$

scale factor $R = 1/T_\nu$

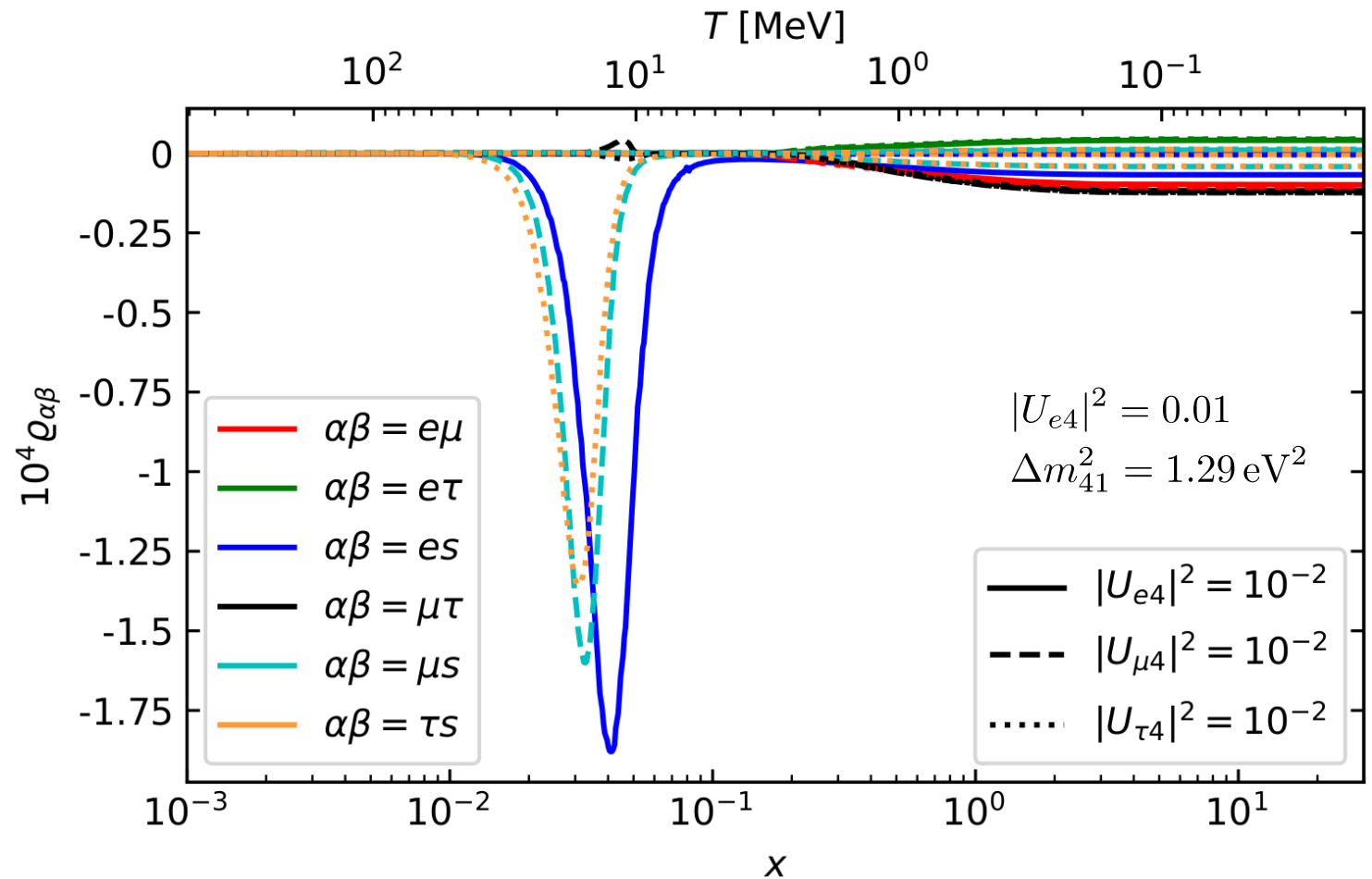
Sterile population depends on mixing angle

Sterile population depends on mixing angle

Reason:
Oscillation is suppressed in presence of large matter effects

Order of relevance

$$\theta_{34} \theta_{24} \theta_{14}$$



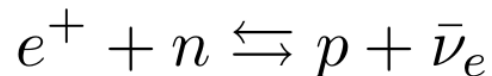
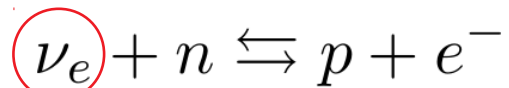
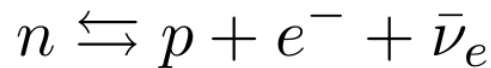
Implications of low-reheating scenarios

Primordial Nucleosynthesis

- A modification in ρ_ν implies a modification in the Hubble expansion rate
 - Slower expansion rate \rightarrow n/p freezes out **later** \rightarrow **less** light nuclei

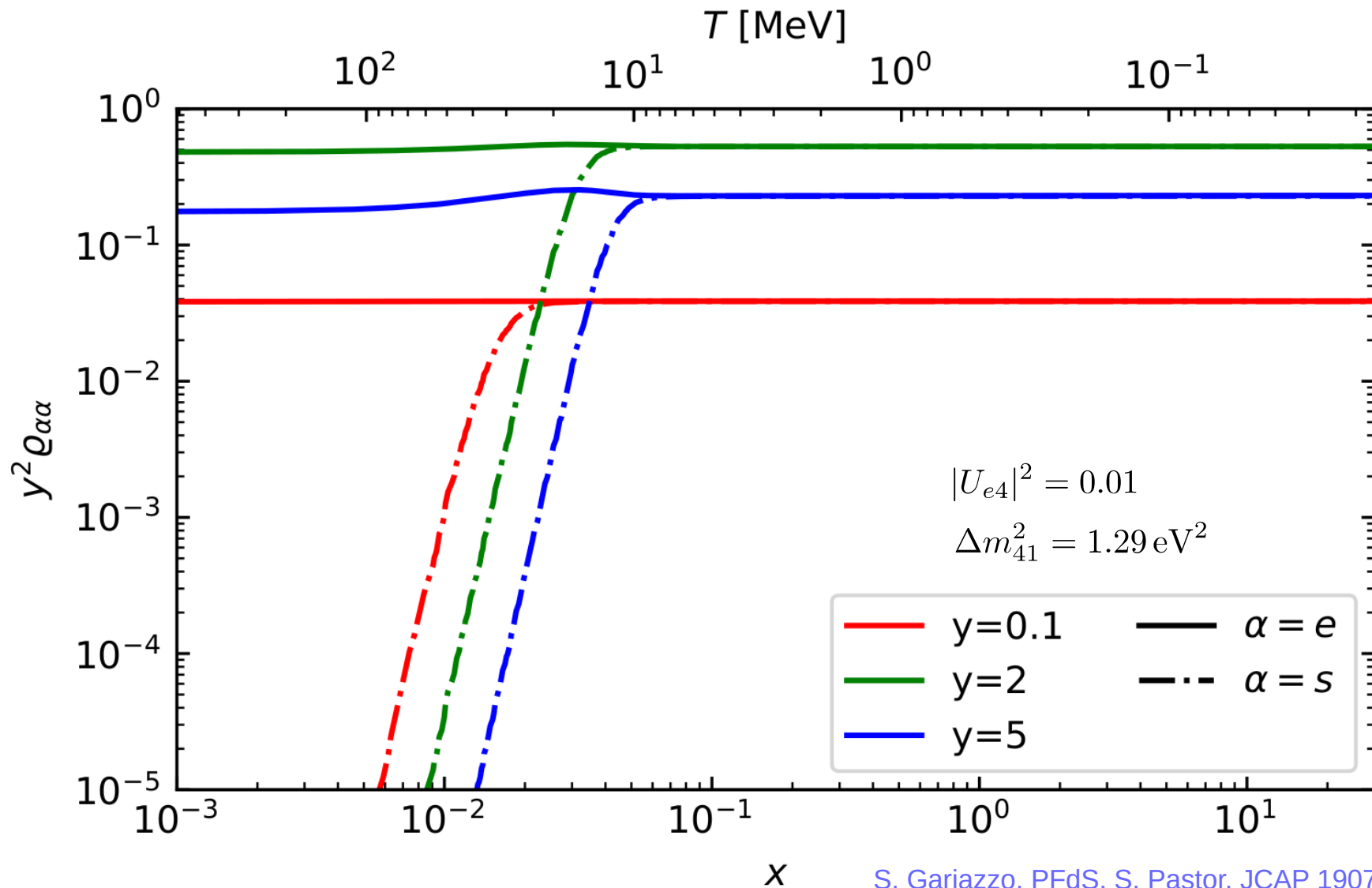
$$\rho_R = \left(1 + N_{\text{eff}} \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} \right) \rho_\gamma \quad \text{No oscillation dependent}$$

- A deviation in f_{ν_e} changes the neutron-proton (n/p) chemical equilibrium
 - Slower $\Gamma_{n \rightarrow p}$ rate \rightarrow n/p freezes out **earlier** \rightarrow **more** light nuclei

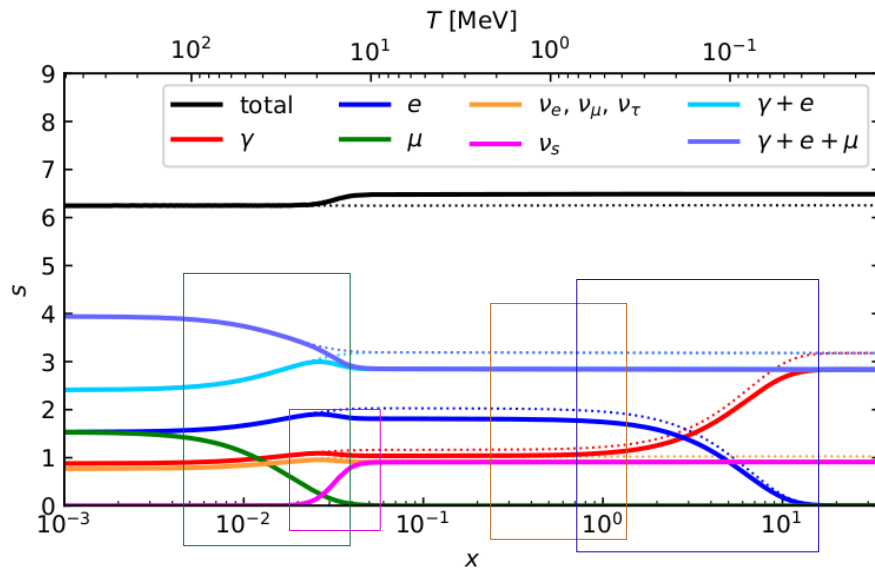
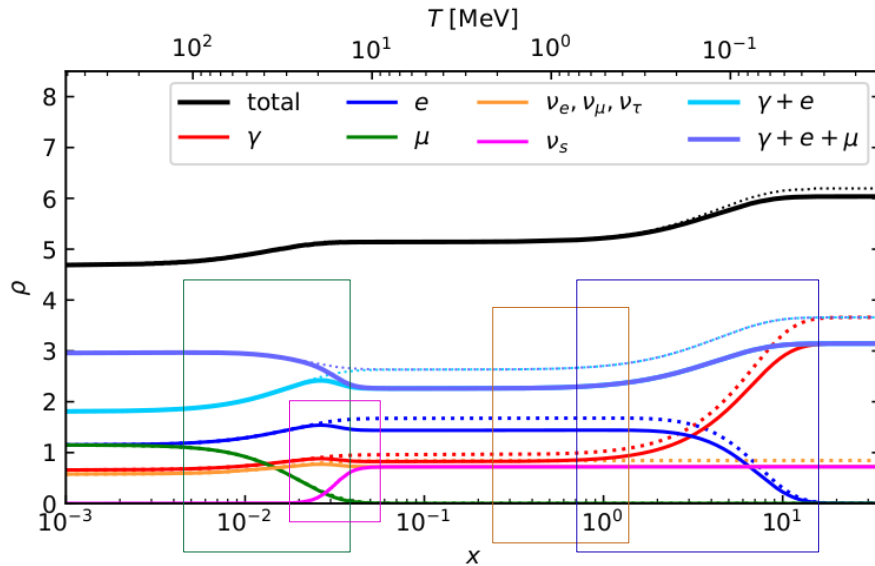


Oscillation dependent

Larger energies are populated later



Comoving energy and entropy density evolution



Chain of processes in neutrino thermalization

(g = relativistic degrees of freedom)

$$\mu^\pm + \nu_{e,\mu,\tau}^{(-)} + e^\pm + \gamma \rightarrow g_1 = \frac{57}{4}$$

μ^\pm annihilation

$$\nu_{e,\mu,\tau}^{(-)} + e^\pm + \gamma \rightarrow g_2 = \frac{43}{4}$$

ν_s populated via oscillations

$$\nu_s^{(-)} + \nu_{e,\mu,\tau}^{(-)} + e^\pm + \gamma \rightarrow g_3 = \frac{50}{4}$$

ν decoupling

$$e^\pm + \gamma \rightarrow g_4 = \frac{22}{4}$$

e^\pm annihilation

$$\gamma \rightarrow g_5 = \frac{8}{4}$$

$$|U_{e4}|^2 = 0.01$$

$$\Delta m_{41}^2 = 1.29 \text{ eV}^2$$

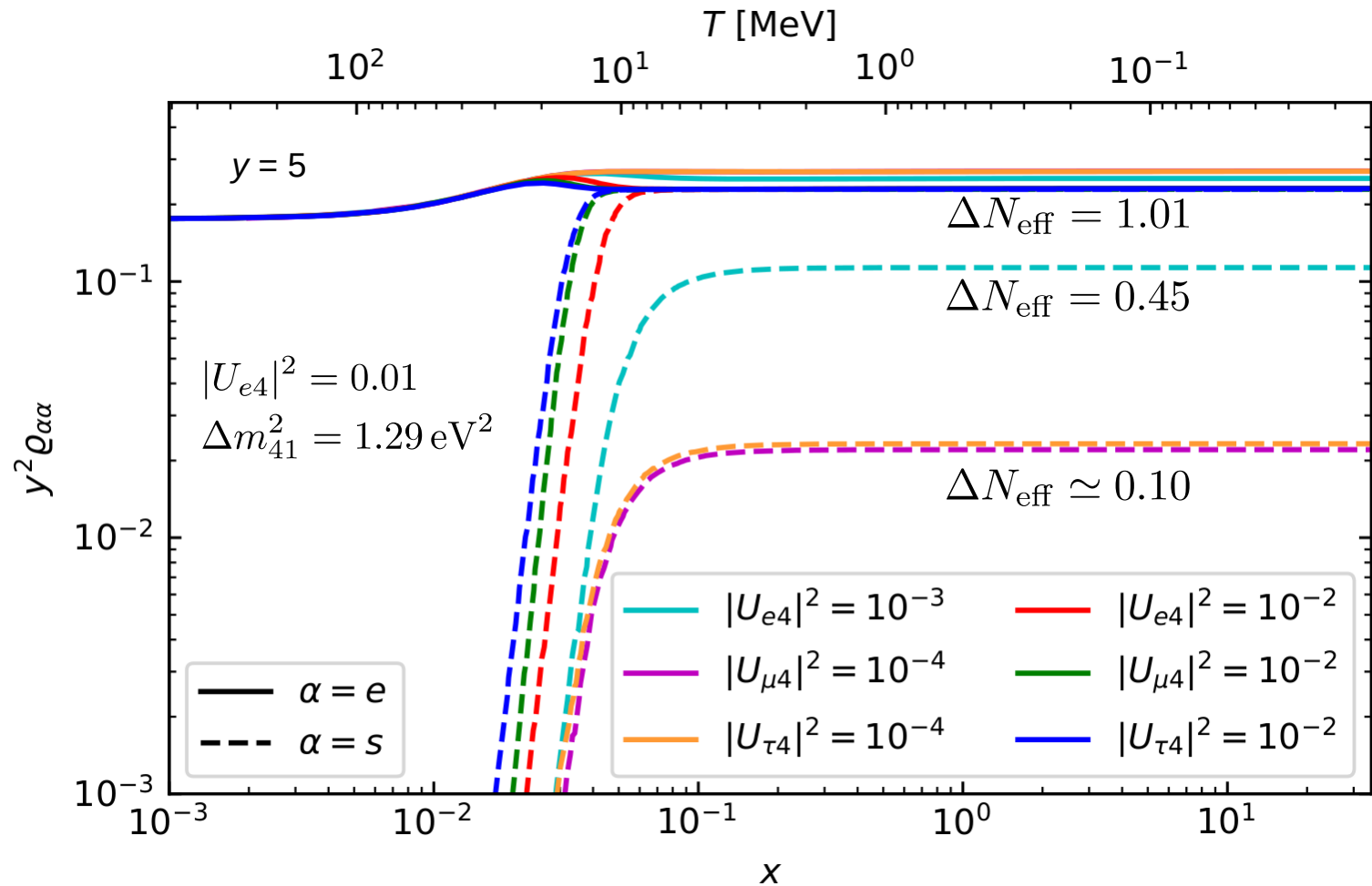
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S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

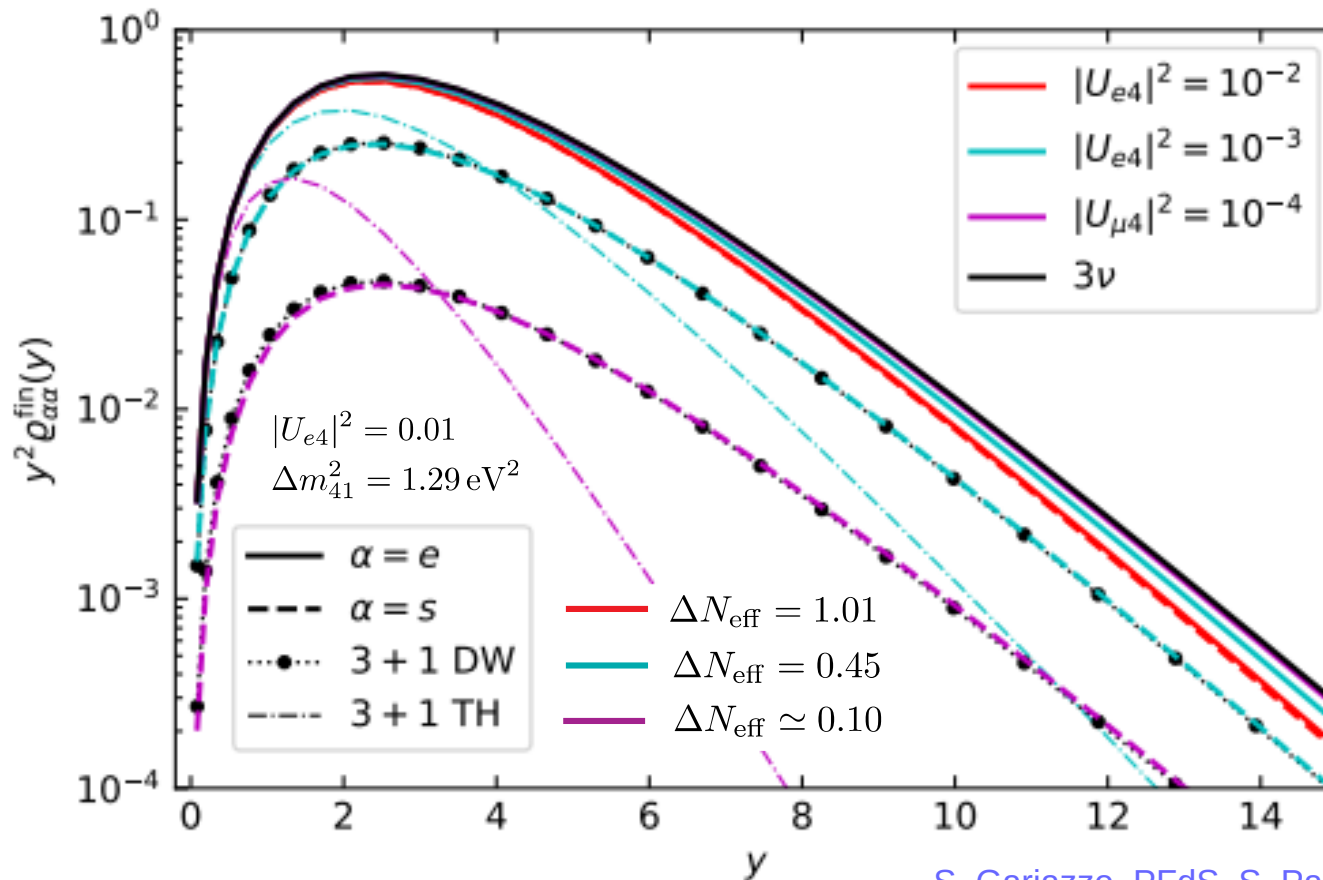
Frozen f_ν distortions

Dodelson-Widrow approximation

$$f(y) = \Delta N_{\text{eff}} [\exp(y/w) + 1]^{-1} \quad [\text{Dodelson \& Widrow, 1993}]$$

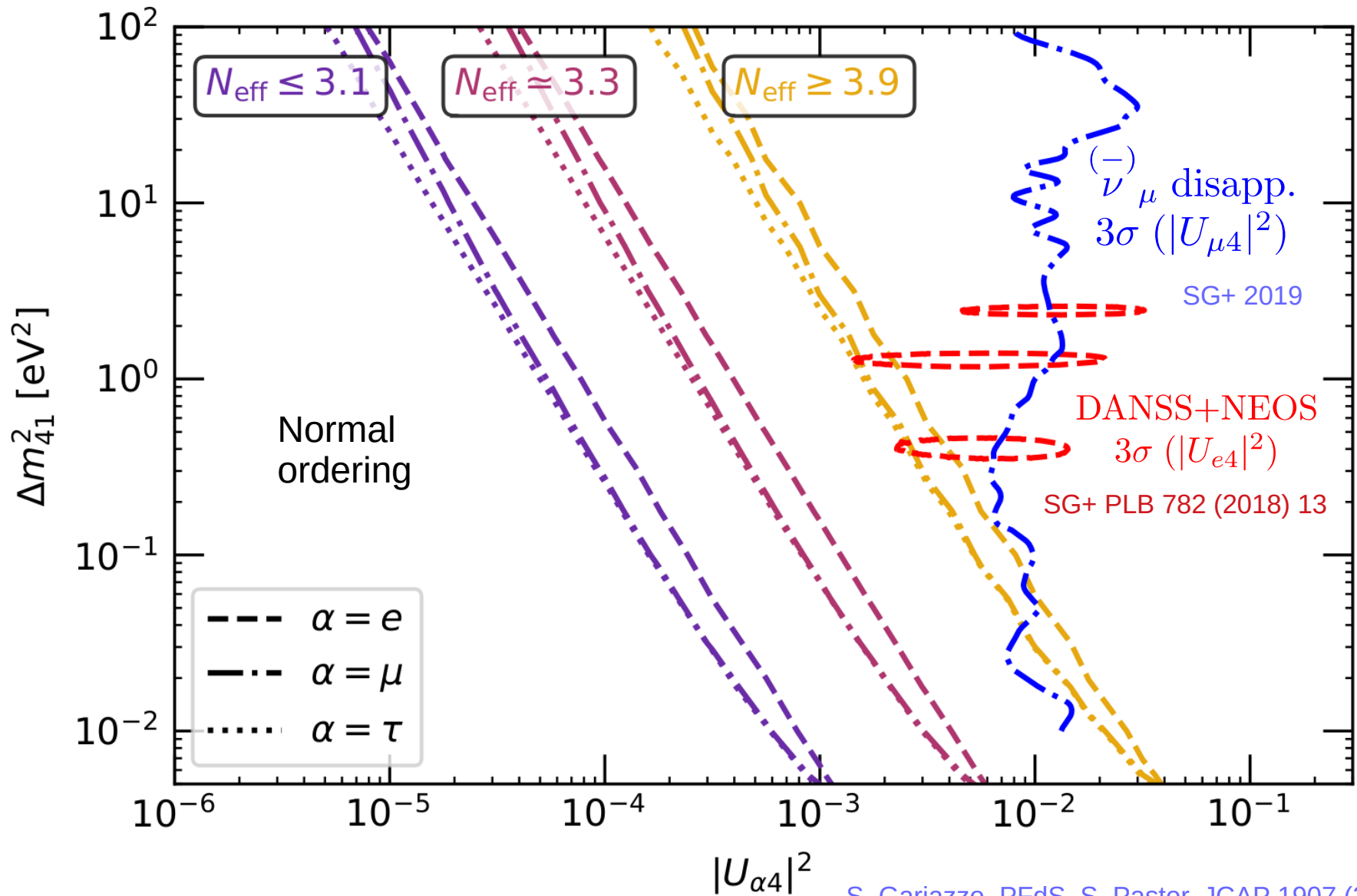
Thermal approximation

$$f(y) = [\exp(y/w_s) + 1]^{-1} \quad w_s \equiv T_s a = \Delta N_{\text{eff}}^{1/4} w$$



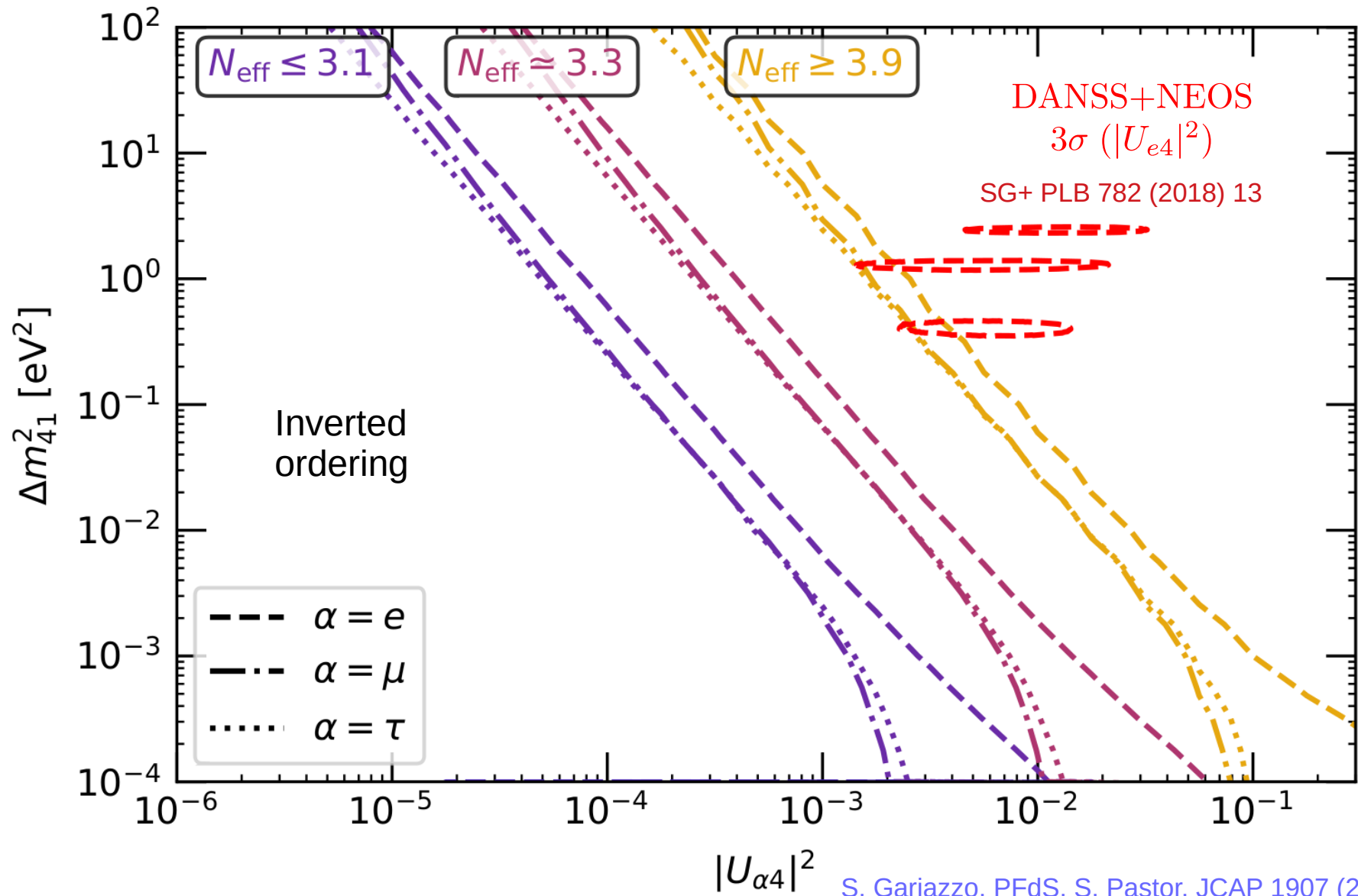
S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

N_{eff} mass-angle planes: $|U_{\alpha 4}|^2$



S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

N_{eff} mass-angle planes: $|U_{\alpha 4}|^2$



S. Gariazzo, PFdS, S. Pastor, JCAP 1907 (2019) 014

Computing neutrino decoupling

Vacuum oscillations

$$\mathbb{M}_F = U \mathbb{M} U^\dagger$$

$$\mathbb{M} = \text{diag} (m_1^2, m_2^2, m_3^2, m_4^2)$$

$$U = R^{34} R^{24} R^{14} R^{23} R^{13} R^{12}$$

$$R^{14} = \begin{pmatrix} \cos \theta_{14} & 0 & 0 & \sin \theta_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\sin \theta_{14} & 0 & 0 & \cos \theta_{14} \end{pmatrix}$$

Solve Boltzmann equations with $I_{\text{coll}} \neq 0$

$$(\partial_t - H p \partial_p) \varrho_p = \underbrace{-i \frac{1}{2p} \left[\left(\mathbb{M}_F - 2p \frac{8\sqrt{2} G_F p}{3m_W^2} \rho_e \underline{\underline{\varepsilon}} \right), \varrho_p \right]}_{\text{Oscillations}} + \underbrace{I_{\text{coll}} [\varrho_p]}_{\text{Interactions}}$$

Expanding Universe

Computing neutrino decoupling

Oscillation matter effects

$$\underline{\underline{\epsilon}} = \mathbb{E}_l + \mathbb{E}_\nu$$

$$\mathbb{E}_l = \text{diag}(\rho_e, \rho_\mu, 0, 0)$$

$$\mathbb{E}_\nu = S_a \left(\int dy y^3 \varrho \right) S_a \quad S_a = \text{diag}(1, 1, 1, 0)$$

Solve Boltzmann equations with $I_{\text{coll}} \neq 0$

$$(\partial_t - Hp\partial_p) \varrho_p = \underbrace{-i \frac{1}{2p} \left[\left(\mathbb{M}_F - 2p \frac{8\sqrt{2}G_F p}{3m_W^2} \rho_e \underline{\underline{\epsilon}} \right), \varrho_p \right]}_{\text{Oscillations}} + \underbrace{I_{\text{coll}}[\varrho_p]}_{\text{Interactions}}$$

Expanding Universe

Equations in comoving variables

Comoving variables

scale factor $R = 1/T_\nu$

$$x = m_e R \quad y = pR \quad z = T_\gamma R$$

Fluid equation

$$\frac{dz}{dx} = \frac{\sum_{l=e,\mu} \left(\frac{r_l^2}{r} J(r_l) \right) + G_1(r) - \frac{1}{2\pi^2 z^3} \int_0^\infty dy y^3 \sum_{\alpha=e}^s \frac{d\rho_{\alpha\alpha}}{dx}}{\sum_{l=e,\mu} (r_l^2 J(r_l) + Y(r_l)) + G_2(r) + \frac{2\pi^2}{15}}$$

$$\begin{aligned} r_l &= r m_l / m_e \\ r &= x/z \end{aligned}$$

Boltzmann equation

$$\frac{d\rho}{dx} = \sqrt{\frac{3m_P^2}{8\pi\rho}} \left\{ -i \frac{x^2}{m_e^3} \left[\frac{M_F}{2y} - \frac{8\sqrt{2}G_F y m_e^6}{3x^6} \left(\frac{E_l}{m_W^2} + \frac{E_\nu}{m_Z^2} \right), \varrho \right] + \frac{m_e^3}{x^4} \mathcal{I}(\varrho) \right\}$$

For more information see [S. Gariazzo, PFdS, S. Pastor, JCAP 1907 \(2019\) 014](#)

Treatment of collision integrals

Example: annihilation process

$$\begin{aligned} \mathcal{I}_{\nu\bar{\nu}\rightarrow e^-e^+} &= \frac{1}{2} \frac{2^5 G_F^2}{2|\vec{p}_1|} \int \frac{d^3\vec{p}_2}{(2\pi)^3 2|\vec{p}_2|} \frac{d^3\vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3\vec{p}_4}{(2\pi)^3 2E_4} (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \\ &\times \left\{ 4(p_1 \cdot p_4)(p_2 \cdot p_3) F_{\text{ann}}^{LL}(\nu^{(1)}, \bar{\nu}^{(2)}, e^{(3)}, \bar{e}^{(4)}) \right. \\ &+ 4(p_1 \cdot p_3)(p_2 \cdot p_4) F_{\text{ann}}^{RR}(\nu^{(1)}, \bar{\nu}^{(2)}, e^{(3)}, \bar{e}^{(4)}) \\ &\left. + 2(p_1 \cdot p_2) m_e^2 \left(F_{\text{ann}}^{RL}(\nu^{(1)}, \bar{\nu}^{(2)}, e^{(3)}, \bar{e}^{(4)}) + F_{\text{ann}}^{LR}(\nu^{(1)}, \bar{\nu}^{(2)}, e^{(3)}, \bar{e}^{(4)}) \right) \right\}, \end{aligned}$$

$$\begin{aligned} F_{\text{ann}}^{ab}(\nu^{(1)}, \bar{\nu}^{(2)}, e^{(3)}, \bar{e}^{(4)}) &= f_3 \bar{f}_4 \left(G^a (1 - \bar{\varrho}_2) G^b (1 - \varrho_1) + (1 - \varrho_1) G^b (1 - \bar{\varrho}_2) G^a \right) \\ &- (1 - f_3)(1 - \bar{f}_4) \left(\varrho_1 G^b \bar{\varrho}_2 G^a + G^a \bar{\varrho}_2 G^b \varrho_1 \right). \end{aligned}$$

$$G^L = \text{diag}(g_L, \tilde{g}_L, \tilde{g}_L),$$

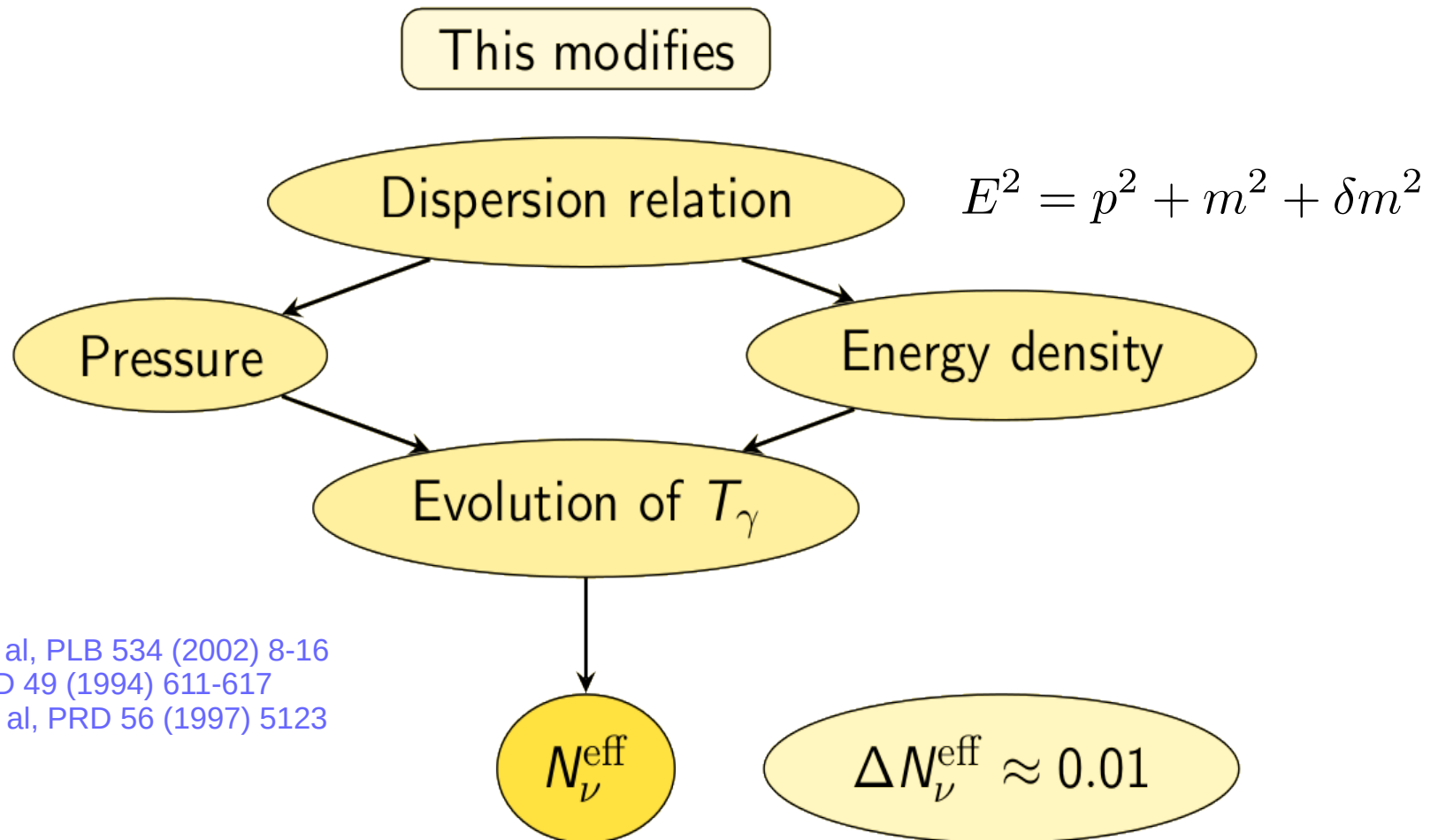
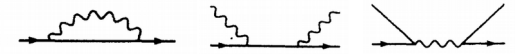
$$G^R = \text{diag}(g_R, g_R, g_R),$$

G. Sigl and G. Raffelt, NPB 406 (1993) 423

PFdS and S. Pastor JCAP 07 (2016) 051

Finite temperature QED corrections

- Particles are in a thermal bath with a temperature T
- Photons and electrons acquire an additional effective mass



G. Mangano et al, PLB 534 (2002) 8-16
 A. Heckler, PRD 49 (1994) 611-617
 N. Fornengo et al, PRD 56 (1997) 5123

Neutrino decoupling not complete when e^\pm annihilate

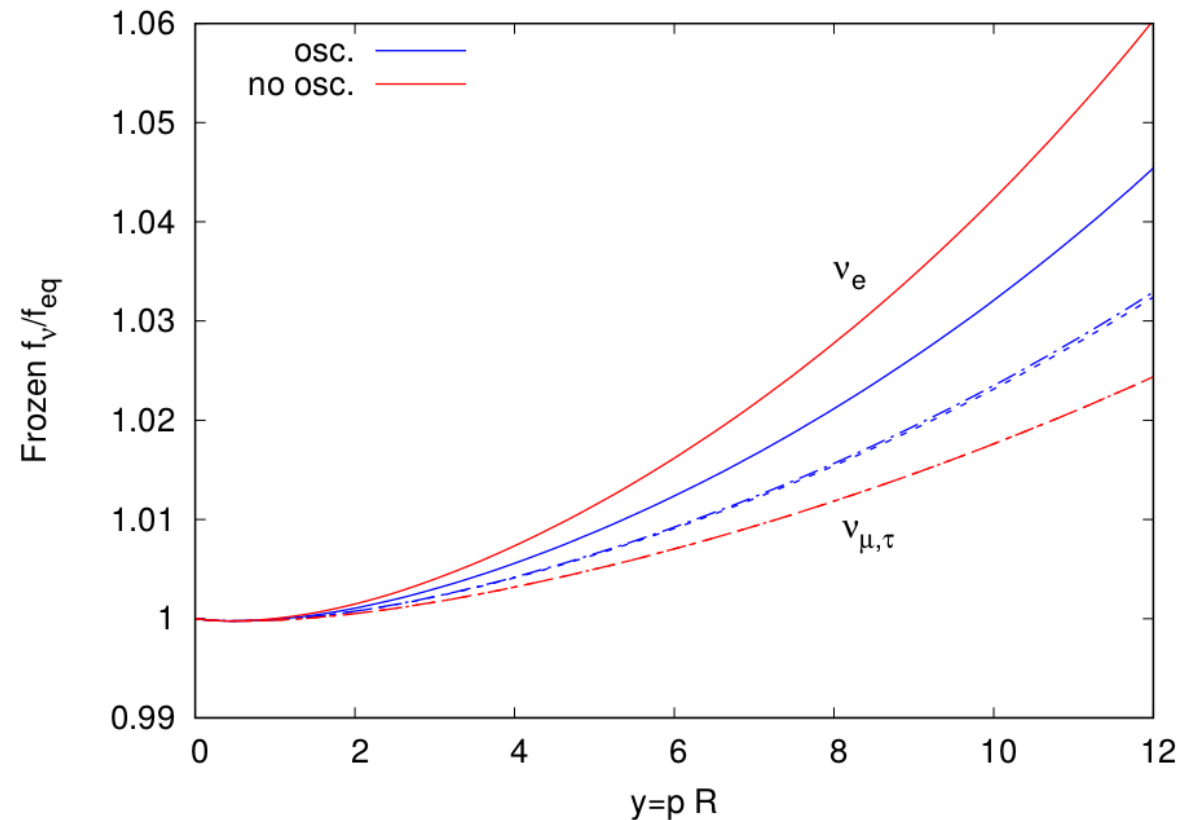
→ Deviation of f_ν from equilibrium

Main source of deviation

- Interactions with e^- and e^+

Also important

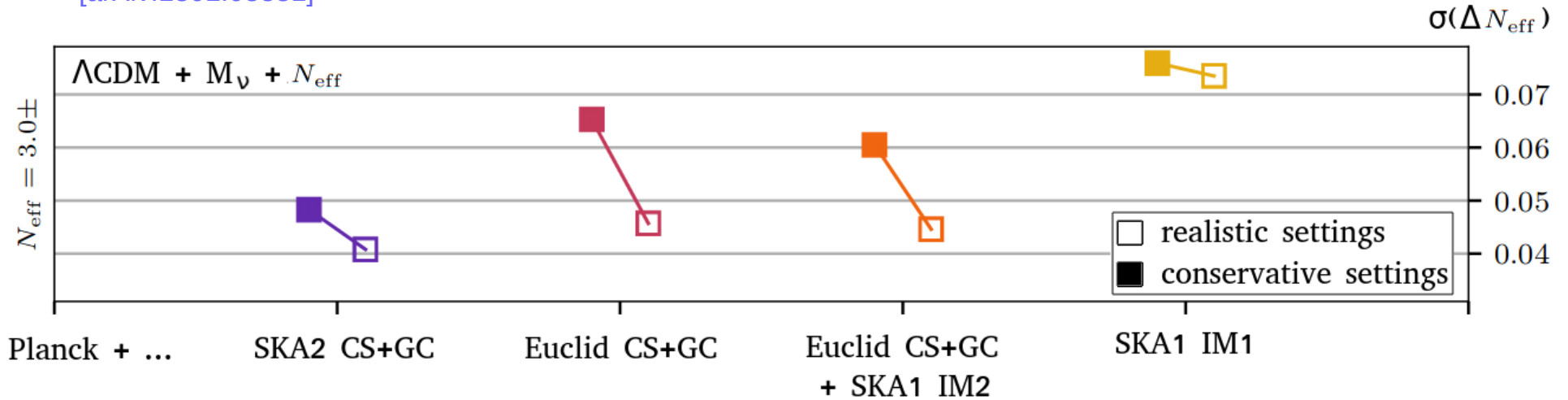
- Neutrino oscillations
- Neutrino self-interactions



PFdS and S. Pastor JCAP 07 (2016) 051

N_{eff} forecasts

[arXiv:1801.08331]



Simons Observatory forecast

[arXiv:1808.07445]

