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Understanding fermion masses with a gauged Froggatt-Nielsen model

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Based on work together with Johan Rathsman



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Discovery of Higgs boson \Rightarrow particle content of Standard Model complete

Still SM not complete description of nature:

Problems within SM

- no explanation of neutrino masses (no ν_R)
- many free parameters with huge hierarchies ($m_e/m_t \sim 10^{-6}$)
- fine-tuning of Higgs mass compared to Planck mass
- absence of CP-violation in strong interactions ($\theta_s < 10^{-11}$)
- Higgs too heavy for electroweak baryogenesis

Problems outside SM

- no dark matter in SM
- quantum theory of gravity

Need physics beyond the SM



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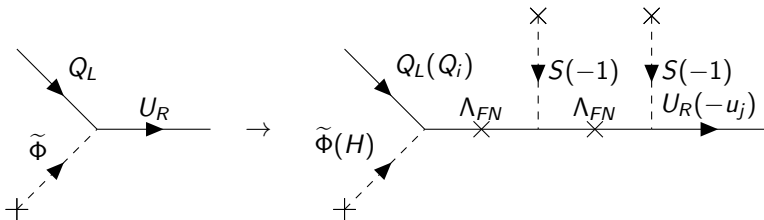
Need physics beyond the SM



Froggatt-Nielsen model

New **flavon** symmetry (charge) to understand hierarchies of fermion masses and mixings

Yukawa interaction only an effective vertex



S scalar charged (-1) under new symmetry

vector-like Froggatt-Nielsen fermion: mass Λ_{FN} , same SM charges as corresponding SM fermions

each insertion gives a suppression $\frac{\langle S \rangle}{\Lambda_{FN}}$

flavon charge conservation \Rightarrow Yukawa coupling

$$(Y^U)_{ij} \sim (g^U)_{ij} \left(\frac{\langle S \rangle}{\Lambda_{FN}} \right)^{|Q_i + u_j + H|}, \text{ (FN) assume } (g^U)_{ij} = \mathcal{O}(1)$$



SM Yukawa Lagrangian with neutrino masses:

$$-\mathcal{L}_Y = \bar{Q}_L \tilde{\Phi} Y^U U_R + \bar{Q}_L \Phi Y^D D_R + \bar{L}_L \Phi Y^L E_R + \bar{L}_L \tilde{\Phi} Y^N N_R + \text{H.c.}$$

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Mass matrices:

$$M_{ij}^F = \langle \Phi \rangle_0 Y_{ij}^F = \langle \Phi \rangle_0 g_{ij}^F \left(\frac{\langle S \rangle}{\Lambda_{FN}} \right)^{|n_{ij}^F|}, \quad F = U, D, L, N$$

Left-handed fermion fields: $Q_L^i, (U_R^i)^c, (D_R^i)^c, L_L^i, (E_R^i)^c, (N_R^i)^c$
Higgs fields: Φ

Denote flavon charges by $Q_i, u_i, d_i, L_i, e_i, \nu_i$ and H

$$n_{ij}^U = Q_i + u_j + H, \quad n_{ij}^N = L_i + \nu_j + H$$

$$n_{ij}^D = Q_i + d_j - H, \quad n_{ij}^L = L_i + e_j - H$$

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Bi-unitary transformations gives diagonal mass-matrices, D^U , D^D

$$Y^U = (V_L^U)^\dagger D^U V_R^U$$

$$Y^D = (V_L^D)^\dagger D^D V_R^D$$

Froggatt-Nielsen procedure requires ordering of exponents

$$|Q_i + u_j + H| \geq |Q_{i+1} + u_j + H| \geq |Q_{i+2} + u_j + H|,$$

$$|Q_i + d_j - H| \geq |Q_{i+1} + d_j - H| \geq |Q_{i+2} + d_j - H|.$$

then

$$(V_L^U)_{ij} \sim \epsilon^{|Q_i - Q_j|}, \quad (V_R^U)_{ij} \sim \epsilon^{|u_i - u_j|}$$

$$(V_L^D)_{ij} \sim \epsilon^{|Q_i - Q_j|}, \quad (V_R^D)_{ij} \sim \epsilon^{|d_i - d_j|}$$

diagonal elements of mass matrices same as diagonal entries of Y

$$(D^U)_{ii} \sim \epsilon^{|Q_i + u_i + H|} \quad (D^D)_{ii} \sim \epsilon^{|Q_i + d_i - H|}$$

Finally CKM-matrix given by

$$(V_{CKM})_{ij} = (V_L^U)_{ik} (V_L^D)^\dagger_{kj} \sim \epsilon^{|Q_i - Q_j|}$$

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Breaks symmetries of classical Lagrangian at quantum level

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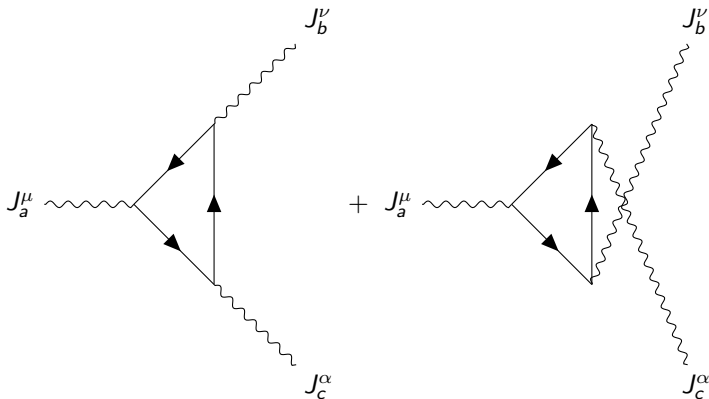
Anomalies

Sum rules relate mass
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Anomaly constraints $\mathcal{A}_{XYZ} = \frac{1}{2} \text{tr}[T_X \{T_Y, T_Z\}]$

T_X generators of gauge group X in fundamental representation

hypercharge normalization $Y = 2(Q - T_3)$



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$SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)'$ plus gravity

non-trivial anomaly constraints involving the $U(1)'$ -charges

$$\mathcal{A}_{11'1'} = 2 \sum_{j=1}^3 (Q_j^2 - 2u_j^2 + d_j^2 - L_j^2 + e_j^2) = 0$$

$$\mathcal{A}_{111'} = \frac{2}{3} \sum_{j=1}^3 (Q_j + 8u_j + 2d_j + 3L_j + 6e_j) = 0$$

$$\mathcal{A}_{331'} = \frac{1}{2} \sum_{j=1}^3 (2Q_j + u_j + d_j) = 0$$

$$\mathcal{A}_{221'} = \frac{1}{2} \sum_{j=1}^3 (3Q_j + L_j) = 0$$

$$\mathcal{A}_{1'1'1'} = \sum_{j=1}^3 (6Q_j^3 + 3u_j^3 + 3d_j^3 + 2L_j^3 + e_j^3 + \nu_j^3) = 0$$

$$\mathcal{A}_{gg1'} = \sum_{j=1}^3 (6Q_j + 3u_j + 3d_j + 2L_j + e_j + \nu_j) = 0$$



Sum rules relate mass constraints and anomalies

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$$\begin{aligned}n_{11}^D + n_{22}^D + n_{33}^D - n_{11}^L - n_{22}^L - n_{33}^L &= \sum_{j=1}^3 (Q_j + d_j - L_j - e_j) = \\ &= \frac{8}{3} \mathcal{A}_{331'} - \frac{1}{4} \mathcal{A}_{111'} - \mathcal{A}_{221'} = 0\end{aligned}$$

$$\begin{aligned}n_{11}^U + n_{22}^U + n_{33}^U + n_{11}^L + n_{22}^L + n_{33}^L &= \sum_{j=1}^3 (Q_j + u_j + L_j + e_j) = \\ &= -\frac{2}{3} \mathcal{A}_{331'} + \frac{1}{4} \mathcal{A}_{111'} + \mathcal{A}_{221'} = 0\end{aligned}$$

$$\begin{aligned}n_{11}^U + n_{22}^U + n_{33}^U + n_{11}^D + n_{22}^D + n_{33}^D &= \sum_{j=1}^3 (2Q_j + u_j + d_j) = \\ &= 2\mathcal{A}_{331'} = 0\end{aligned}$$



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$$\sum_{i=1}^3 (n_{ii}^U + n_{ii}^D) = 2\mathcal{A}_{33F} = 0$$

$$\sum_{i=1}^3 (n_{ii}^D - n_{ii}^L) = \frac{8}{3}\mathcal{A}_{33F} - \frac{1}{4}\mathcal{A}_{11F} - \mathcal{A}_{22F} = 0$$

$$\sum_{i=1}^3 (n_{ii}^L + n_{ii}^N) = \mathcal{A}_{ggF} - 6\mathcal{A}_{33F} = 0$$

SM at 100 TeV

$$\begin{array}{lll} |n_{11}^U| = 8, & |n_{22}^U| = 4, & |n_{33}^U| = 0, \\ |n_{11}^D| = 7, & |n_{22}^D| = 5, & |n_{33}^D| = 3, \\ |n_{11}^L| = 8, & |n_{22}^L| = 5, & |n_{33}^L| = 3 \end{array}$$

The sum rules are NOT satisfied!

One way to satisfy the sum rules is to choose $|n_{22}^L| = 4$, thus $g_{22}^L \approx 0.378$ and $|n_{11}^U| = 19$. However, it is still impossible to find rational flavon charges, this can be proved by triangularization.



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2HDM, exact type-II \mathbb{Z}_2 symmetry, ALL fermions (also neutrinos) have Dirac masses

2HDM at 100 TeV:

$$Y^U \sim \begin{pmatrix} \epsilon^8 & \epsilon^5 & \epsilon^3 \\ \epsilon^7 & \epsilon^4 & \epsilon^2 \\ \epsilon^5 & \epsilon^2 & 1 \end{pmatrix}, \quad Y^D \sim \begin{pmatrix} \epsilon^7 & \epsilon^6 & \epsilon^5 \\ \epsilon^6 & \epsilon^5 & \epsilon^4 \\ \epsilon^4 & \epsilon^3 & \epsilon^2 \end{pmatrix}, \quad Y^L \sim \begin{pmatrix} \epsilon^8 & \epsilon^4 & \epsilon^2 \\ \epsilon^8 & \epsilon^4 & \epsilon^2 \\ \epsilon^8 & \epsilon^4 & \epsilon^2 \end{pmatrix}$$

$$Y^N \sim \begin{pmatrix} \epsilon^a & \epsilon^{19} & \epsilon^{18} \\ \epsilon^a & \epsilon^{19} & \epsilon^{18} \\ \epsilon^a & \epsilon^{19} & \epsilon^{18} \end{pmatrix}$$

$$V_{CKM} \sim \begin{pmatrix} 1 & \epsilon & \epsilon^3 \\ \epsilon & 1 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix}, \quad U_{PMNS} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

Assume normal neutrino hierarchy with the lightest neutrino mass left undetermined

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$$\begin{aligned}(Q_1 - Q_2)^2 &= 1, & (Q_2 - Q_3)^2 &= 4, & (Q_1 - Q_3)^2 &= 9, \\(Q_1 + u_1 + H_2)^2 &= 8^2, & (Q_2 + u_2 + H_2)^2 &= 4^2, & Q_3 + u_3 + H_2 &= 0, \\(Q_1 + d_1 - H_1)^2 &= 7^2, & (Q_2 + d_2 - H_1)^2 &= 5^2, & (Q_3 + d_3 - H_1)^2 &= 2^2, \\(L_1 + e_1 - H_1)^2 &= 8^2, & (L_2 + e_2 - H_1)^2 &= 4^2, & (L_3 + e_3 - H_1)^2 &= 2^2, \\(L_1 + \nu_1 + H_2)^2 &= a^2, & (L_2 + \nu_2 + H_2)^2 &= 19^2, & (L_3 + \nu_3 + H_2)^2 &= 18^2, \\L_2 - L_3 &= 0, & L_1 - L_2 &= 0, \\A_{1FF} &= 0, & A_{11F} &= 0, & A_{33F} &= 0, & A_{22F} &= 0, & A_{FFF} &= 0, & A_{ggF} &= 0, \\(Q_1 + u_3 + H_2)^2 &\geq (Q_2 + u_3 + H_2)^2, & (Q_2 + u_3 + H_2)^2 &\geq (Q_3 + u_3 + H_2)^2, \\(Q_1 + u_2 + H_2)^2 &\geq (Q_2 + u_2 + H_2)^2, & (Q_2 + u_2 + H_2)^2 &\geq (Q_3 + u_2 + H_2)^2, \\(Q_1 + d_3 - H_1)^2 &\geq (Q_2 + d_3 - H_1)^2, & (Q_2 + d_3 - H_1)^2 &\geq (Q_3 + d_3 - H_1)^2, \\(Q_1 + d_2 - H_1)^2 &\geq (Q_2 + d_2 - H_1)^2, & (Q_2 + d_2 - H_1)^2 &\geq (Q_3 + d_2 - H_1)^2, \\(L_1 + e_2 - H_1)^2 &\geq (L_2 + e_2 - H_1)^2, & (L_1 + e_3 - H_1)^2 &\geq (L_2 + e_3 - H_1)^2\end{aligned}$$

This semi-algebraic system can be solved with real triangularization.



Two values of $|a|$: 25 and 49, i.e.

$$m_{\nu_1} \sim \epsilon^{25} \cdot 174 \text{ GeV} \approx 5.8 \cdot 10^{-7} \text{ eV}$$

$$m_{\nu_1} \sim \epsilon^{49} \cdot 174 \text{ GeV} \approx 9.8 \cdot 10^{-24} \text{ eV}.$$

For $|a| = 25$:

$$Q_1 = \frac{82111}{51651} + \frac{1}{3}H_2, \quad Q_2 = \frac{30460}{51651} + \frac{1}{3}H_2, \quad Q_3 = -\frac{72842}{51651} + \frac{1}{3}H_2,$$

$$u_1 = \frac{331097}{51651} - \frac{4}{3}H_2, \quad u_2 = \frac{176144}{51651} - \frac{4}{3}H_2, \quad u_3 = \frac{72842}{51651} - \frac{4}{3}H_2,$$

$$d_1 = -\frac{168196}{51651} + \frac{2}{3}H_2, \quad d_2 = -\frac{219847}{51651} + \frac{2}{3}H_2, \quad d_3 = -\frac{271498}{51651} + \frac{2}{3}H_2$$

$$L_1 = L_3, \quad L_2 = L_3, \quad L_3 = -\frac{13243}{17217} - H_2,$$

$$e_1 = \frac{1765}{17217} + 2H_2, \quad e_2 = -\frac{67103}{17217} + 2H_2, \quad e_3 = -\frac{101537}{17217} + 2H_2,$$

$$\nu_1 = -\frac{417182}{17217}, \quad \nu_2 = \frac{340366}{17217}, \quad \nu_3 = \frac{323149}{17217},$$

$$H_1 = H_2 - \frac{26}{3}, \quad H_2 \in \mathbb{Q}$$

H_2 free parameter



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We may fix H_2 by e.g. removing mixing between $U(1)_Y$ and $U(1)'$

$$\sum_{j=1}^3 (2Q_j - 4u_j + 2d_j - 2L_j + 2e_j) = 0$$

This gives the final solution

$Q_1 = 196891/86085,$	$Q_2 = 110806/86085,$	$Q_3 = \frac{-61364}{86085},$
$u_1 = 311671/86085,$	$u_2 = 53416/86085,$	$u_3 = \frac{-118754}{86085},$
$d_1 = -53416/28695,$	$d_2 = -82111/28695,$	$d_3 = \frac{-110806}{28695},$
$L_1 = -82111/28695,$	$L_2 = -82111/28695,$	$L_3 = \frac{-82111}{28695},$
$e_1 = 369061/86085,$	$e_2 = 24721/86085,$	$e_3 = \frac{-147449}{86085},$
$\nu_1 = -417182/17217,$	$\nu_2 = 340366/17217,$	$\nu_3 = \frac{323149}{17217},$
$H_1 = -565952/86085,$	$H_2 = 180118/86085.$	

$$H_2 - H_1 = \frac{26}{3}$$

the \mathbb{Z}_2 symmetry is a residual effect from the $U(1)'$ symmetry

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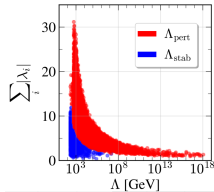
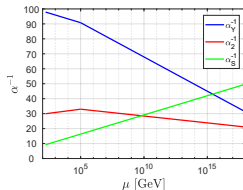
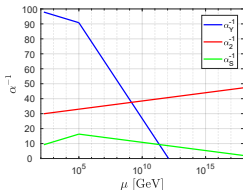
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Left: vector-like fermions produces a Landau pole

Midle: scalar completion from Bijnens and Wetterich (click me)

Right: Summed magnitudes of quartic couplings and break-down scales for 2HDM (click me)



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- Fermions masses and mixings can be understood in gauged Froggatt-Nielsen model
- Flavon charges constrained by masses and mixings as well as anomalies
- Real triangularization powerful way to find the charges
- All masses and mixings are explained in a 2HDM with Dirac neutrinos
- UV may still be difficult, even with scalar completion, more work is needed