

The proton spin puzzle / “crisis”

1988 European Muon Collaboration:
measured polarization of quarks adds to only $\sim 1/3$ of proton spin $1/2$

Unpolarised:

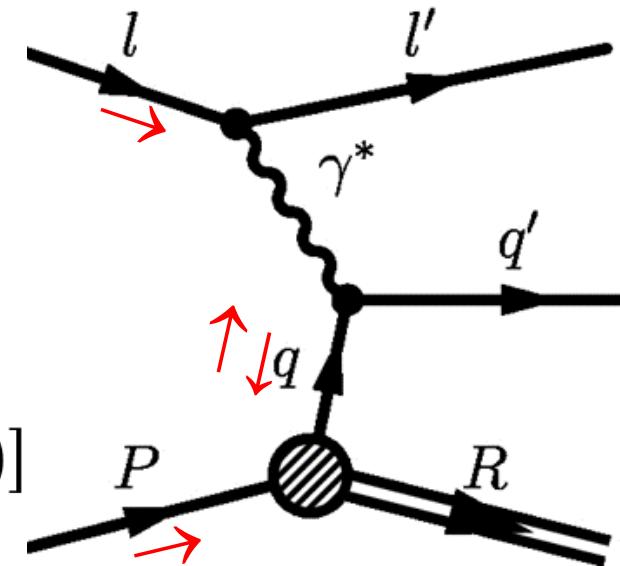
$$\frac{d^2\sigma}{dxdQ^2} \sim F_2(x, Q^2) = \sum_f e_f^2 x [q_f(x, Q^2) + \bar{q}_f(x, Q^2)]$$

$$q(x) \equiv q^\uparrow(x) + q^\downarrow(x)$$

Polarised:

$$\frac{d^2\Delta\sigma}{dxdQ^2} \sim g_1(x, Q^2) = \sum_f e_f^2 [\Delta q_f(x, Q^2) + \Delta \bar{q}_f(x, Q^2)]$$

$$\Delta q(x) \equiv q^\uparrow(x) - q^\downarrow(x)$$



$$\rightarrow \Delta\Sigma = \int_0^1 dx \sum_f \Delta q_f(x) \approx = 0.3 !?$$

$$\text{proton spin } \frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta g + L_q + L_g$$

Towards solving the proton spin puzzle

arXiv:1808.06631 – A. Ekstedt, H. Ghaderi, G. Ingelman, S. Leupold

$$|p\rangle = \alpha_0 |p_0\rangle + \alpha_p \pi |p\pi^0\rangle + \alpha_n \pi |n\pi^+\rangle + \dots$$

p-wave $\rightarrow L=1$ not observed in DIS

hadronic fluctuations

partonic fluctuations $|uud\ q\bar{q}\rangle$

ChPT

phenomenological
form factor + starting PDFs

pQCD

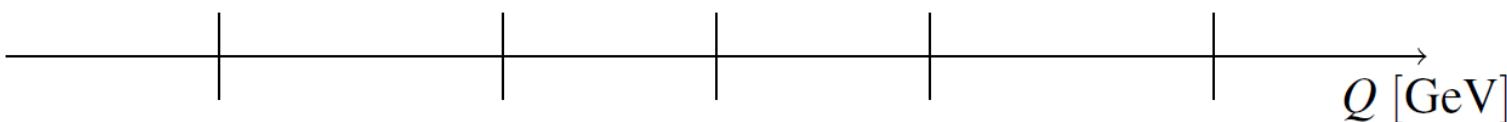
$Q \ll 1$ GeV

Λ_H

$Q \sim 1$ GeV

Q_0

$Q \gtrsim 1$ GeV



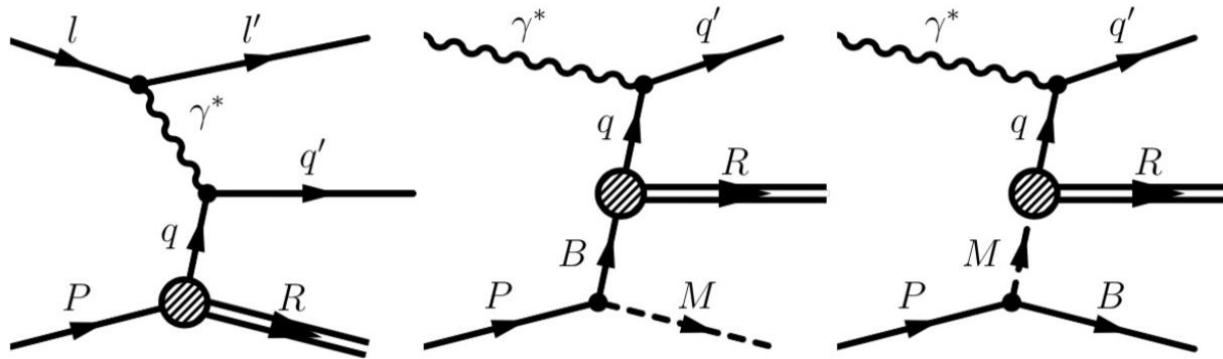
Goldstone bosons

+ non-relativistic baryons

partons

Fock expansion of proton state $|P\rangle = \sqrt{Z} |P\rangle_{\text{bare}} + \alpha_{n\pi^+} |n\pi^+\rangle + \alpha_{P\pi^0} |P\pi^0\rangle$

$$+ \alpha_{\Delta^{++}\pi^-} |\Delta^{++}\pi^-\rangle + \alpha_{\Delta^+\pi^0} |\Delta^+\pi^0\rangle + \alpha_{\Delta^0\pi^+} |\Delta^0\pi^+\rangle \\ + \alpha_{\Lambda K^+} |\Lambda K^+\rangle + \dots$$



$$f_{i/P}(x) = f_{i/P}^{\text{bare}}(x) + \sum_{\lambda, H \in \{B, M\}} \int dy dz \delta(x - yz) f_{i/H}^{\text{bare}}(z) f_{H/P}^\lambda(y)$$

- LO ChPT Lagrangian $\sim f_{H/P}^\lambda(y) = \frac{|g_{BM}|^2}{(2\pi)^3 2y(1-y)} \int d^2 k_\perp \left| \phi \frac{s^\lambda(y, \mathbf{k}_\perp)}{m_P^2 - m^2(y, k_\perp^2)} \right|^2$
 $y = p_B^+ / p_P^+$ $\phi(y, k_\perp^2, \Lambda_H^2) = \exp[-(\mathbf{p}_M^2 + \mathbf{p}_B^2)/(2\Lambda_H^2)]$

- $f_{i/H}^{\text{bare}}(x) = \int \frac{d^4 k}{(2\pi)^4} \delta(k^+ / p_H^+ - x) \exp \left[-\frac{(k_0 - m_i)^2 + k_x^2 + k_y^2 + k_z^2}{2\sigma_i^2} \right]$ at $Q = Q_0$
DGLAP $Q > Q_0$

'Free' parameters: $\sigma_1, \sigma_2, \sigma_g$ and Λ_H, Q_0

A priori expected value: $\sigma_i \sim 1/D_H \sim 0.1$ GeV and $\Lambda_H \sim Q_0 \sim 0.5$ GeV

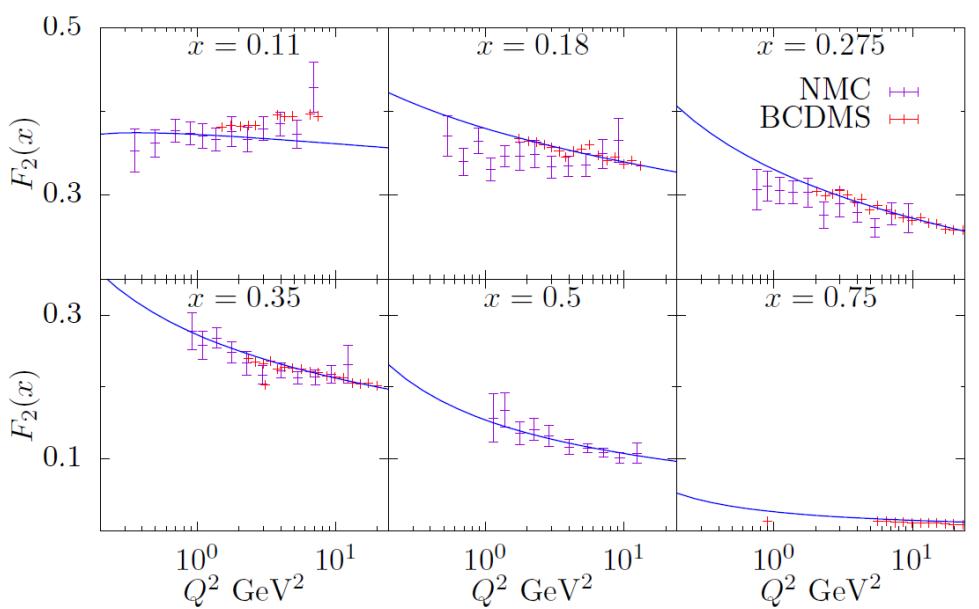
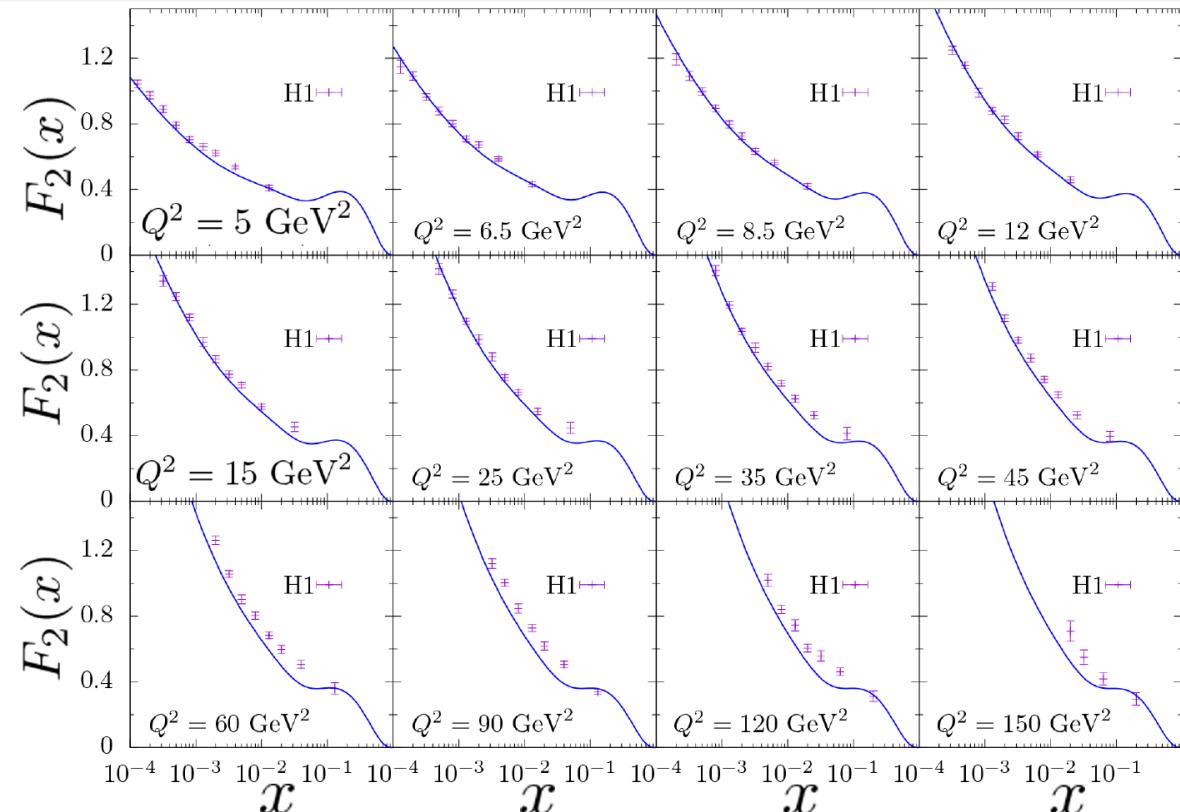
Unpolarised
structure functions
↓
parameter values

F_2 at small x
 $\rightarrow \sigma_g, Q_0$

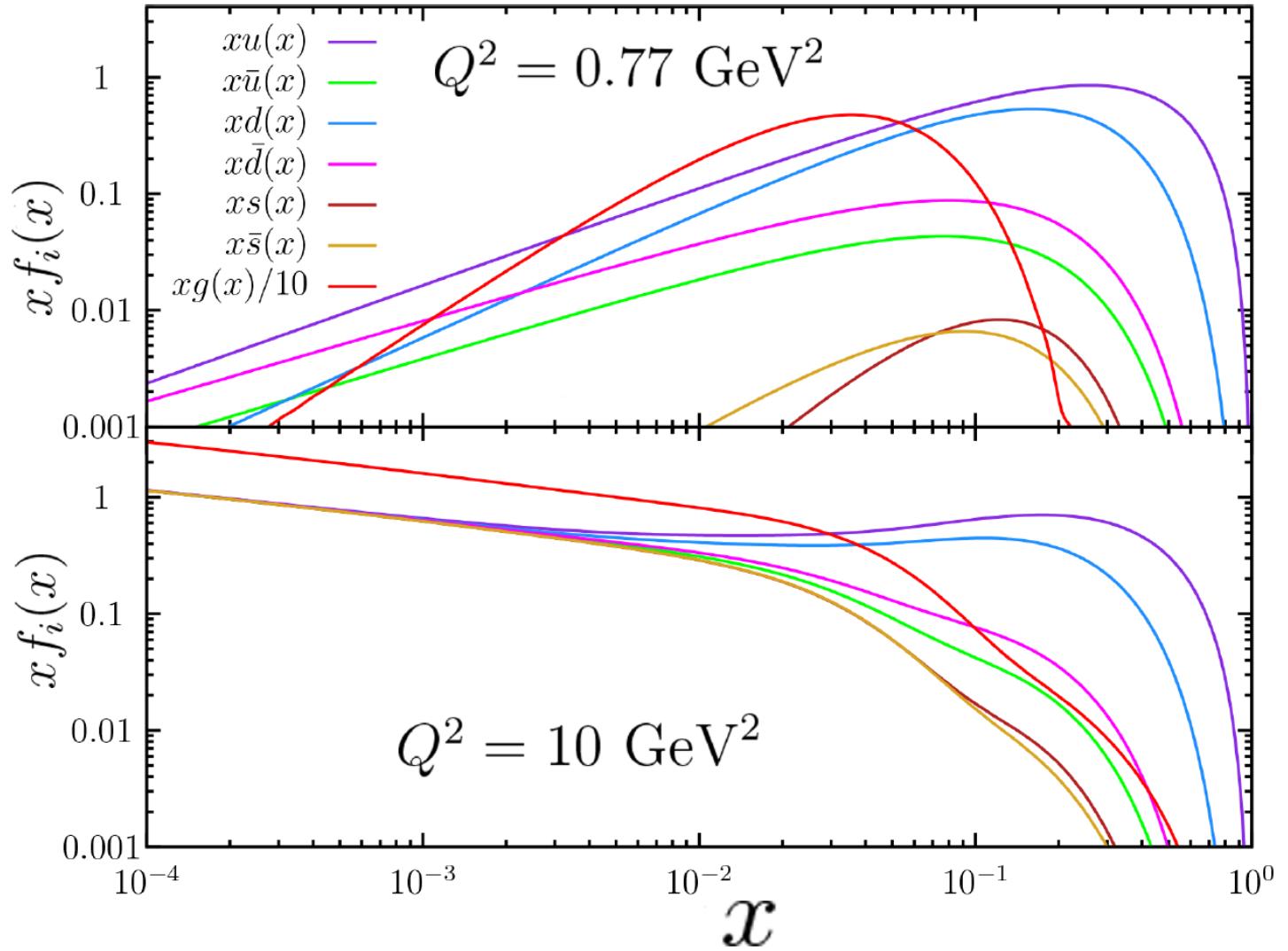
F_2, F_3 at larger x
 $\rightarrow \sigma_1, \sigma_2, \Lambda_H$

$\sigma_g = 0.028 \text{ GeV},$
 $\sigma_1 = 0.11 \text{ GeV},$
 $\sigma_2 = 0.22 \text{ GeV},$

$\Lambda_H = 0.87 \text{ GeV},$
 $Q_0 = 0.88 \text{ GeV}$

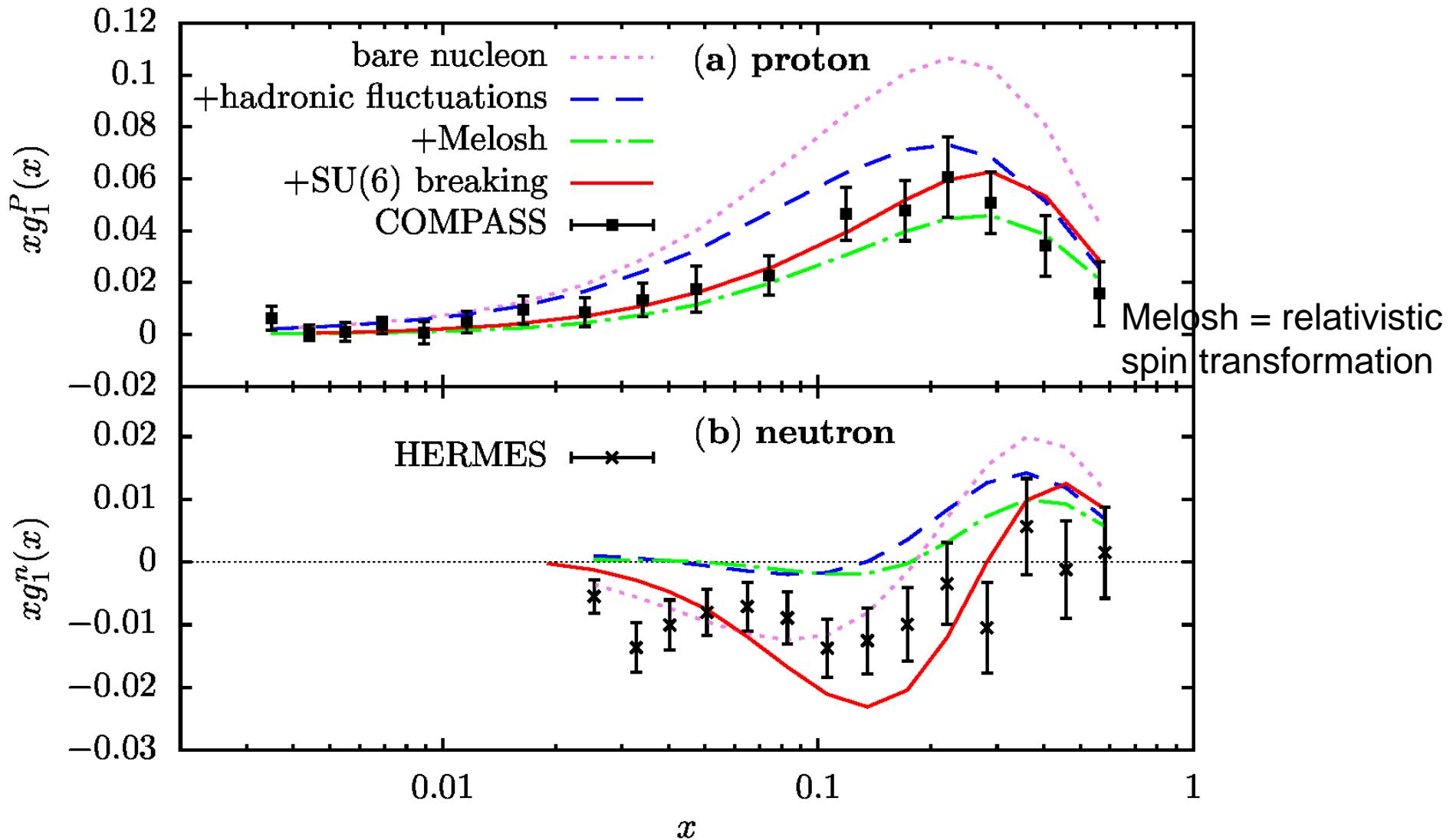


Resulting unpolarised PDFs – based on 5 physical parameters



cf. conventional PDF parametrisations with ~ 30 parameters

Polarised PDFs: $\Delta f_{q/H}^{\text{bare}}(x) = \Delta f_{q/H}^{\text{SU}(6)} f_{q/H}^{\text{bare}}(x)$



→ p spin OK ! But not n spin ?

More info in spin sum rules: $\Gamma^{P\pm n}(x_{\min}) = \int_{x_{\min}}^1 dx \left(g_1^P(x) \pm g_1^n(x) \right)$

$$\Gamma_{Bj}^{p-n}(x_{\min} = 0) = 0.187$$

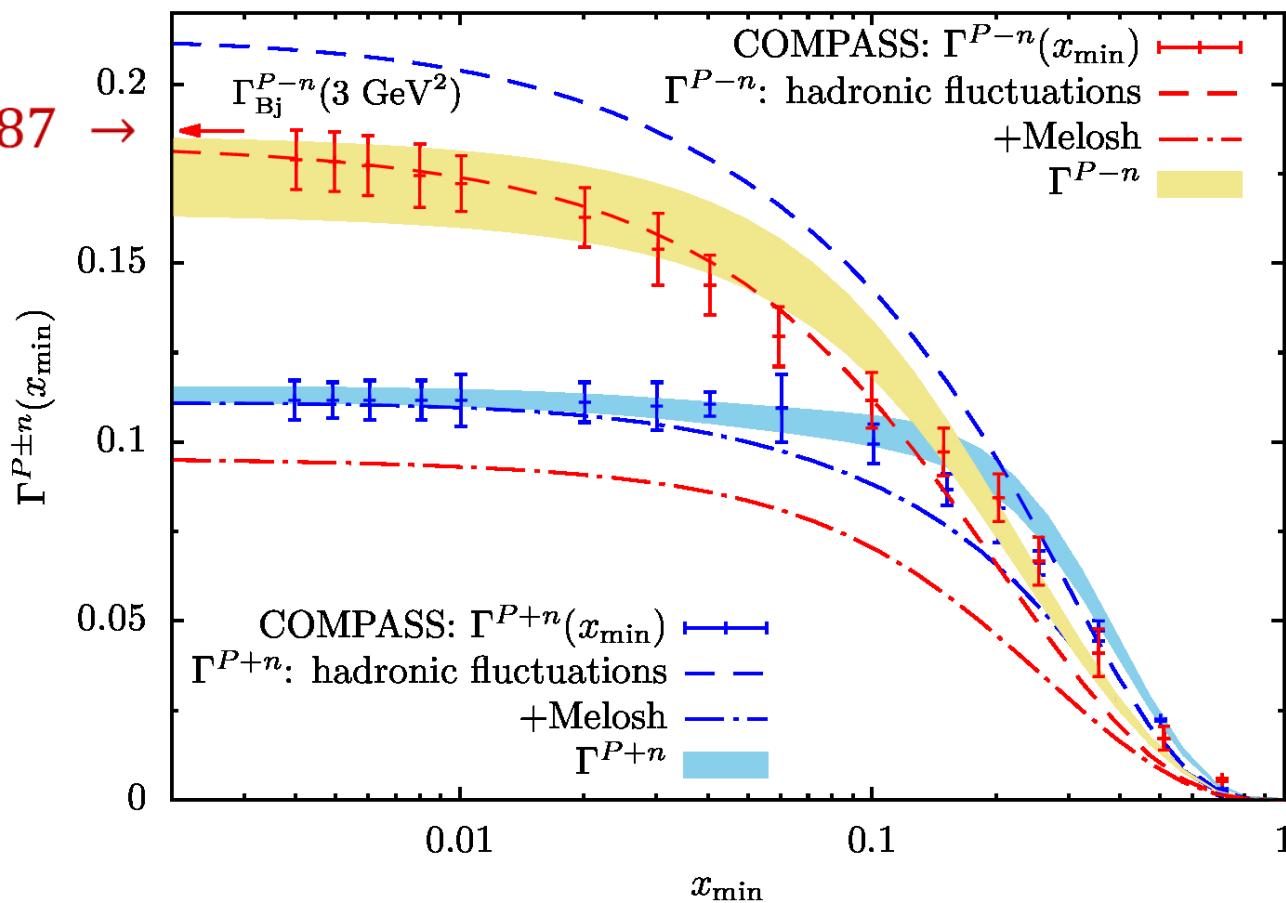
i.e. Bjorken sum rule

& $g_1^n(x)$ forces

SU(6) breaking,
available range:

$$-2 \leq \Delta f_{u/p} \leq 2$$

$$-1 \leq \Delta f_{d/p} \leq 1$$



Data → $\Gamma^{P-n}(0) \Rightarrow \Delta f_{u/P} = 2, \Delta f_{d/P} = -1$

→ $|p\rangle \approx |u^\uparrow u^\uparrow d^\downarrow\rangle$ in contrast to non-relativistic quark model

Conclusion

Hadron fluctuations (ChPT) + non-pQCD parton fluctuations
+ pQCD DGLAP evolution + SU(6) quark flavor-spin symmetry
→ reproduce data on

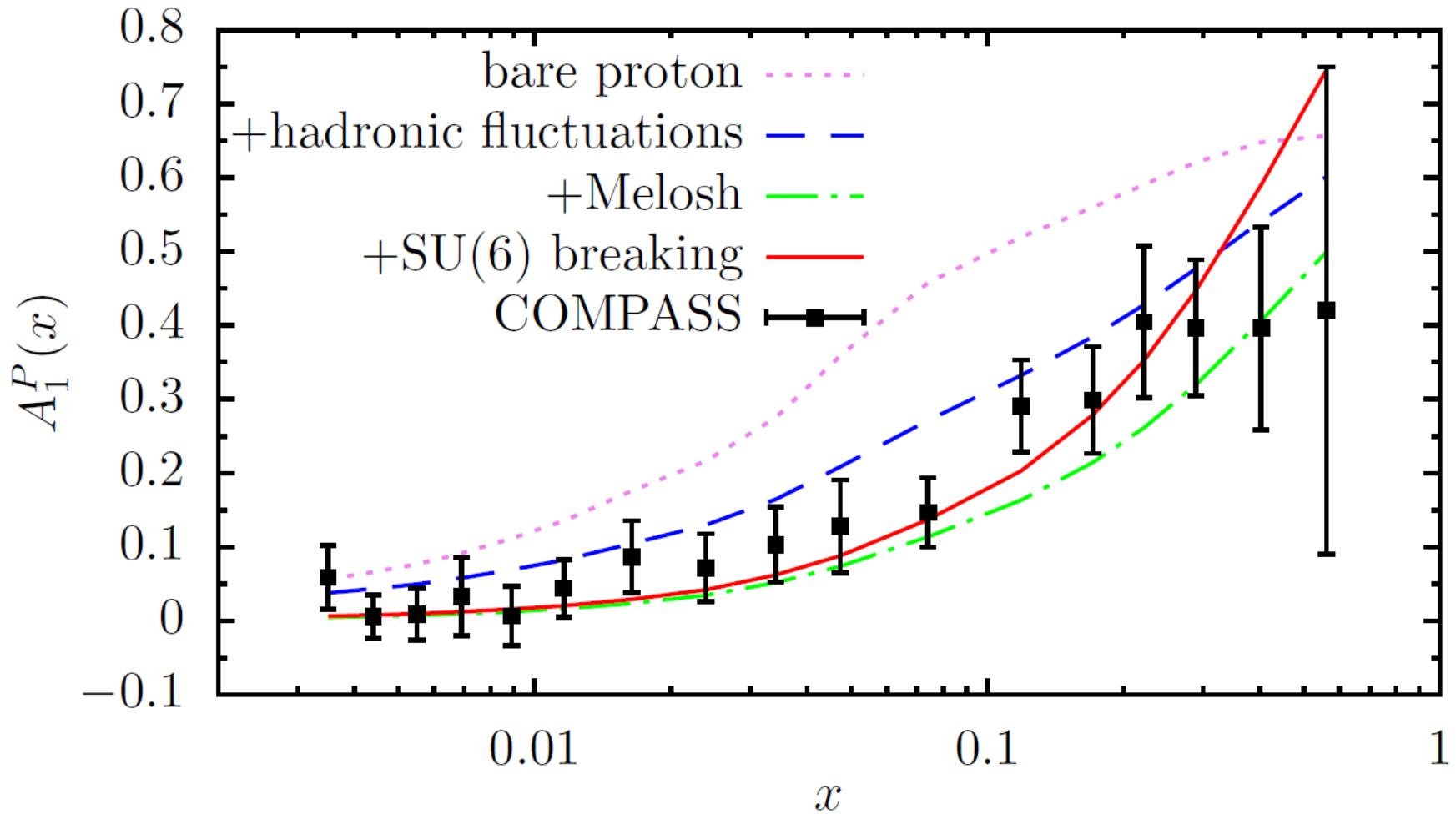
- proton spin structure function
- Ellis-Jaffe sum rule
- proton quark spin sum $\Delta\Sigma$

	bare	hadronic fluct.	Melosh	SU(6) break.	$\Delta\Sigma^{\text{exp}}$
$\Delta\Sigma$	0.95	0.75	0.39	0.39	0.26–0.36

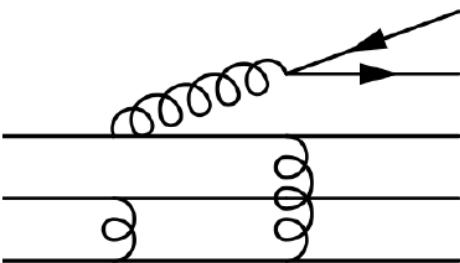
+ SU(6) breaking → reproduce data on

- neutron spin structure function
- Bjorken sum rule

- Also in agreement with virtual-photon–absorption asymmetry $A_1 \simeq g_1/F_1$



Sea quark asymmetry in proton



- From pQCD point of view: since $m_u, m_d \ll \Lambda_{\text{QCD}}$, $Q_0 \sim$ the momentum distribution of the \bar{d} and \bar{u} sea in the proton should be similar
- $\sim p\text{QCD} \Rightarrow x\bar{d} - x\bar{u} = 0$
- Hadronic fluctuations: (cheapest) $|n\pi^+\rangle$
- $\pi^+ \sim u\bar{d} \Rightarrow |n\pi^+\rangle \sim$ asymmetry
- Including $|\Delta\pi\rangle \sim$ even better agreement

