H-Mode Confinement in Tokamaks with Fully Metallic Walls: New Energy Confinement Scaling and Implications of the Reduced Size Dependence

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GHENT UNIVERSITY

*inf*usion

EUROfusion

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Overview

1. Update of the global H-mode confinement database and scaling

2. Regression analysis for scaling laws
   - Conventional techniques
   - Geodesic least squares regression (GLS)

3. The ITPA20 confinement scaling law

4. Conclusions

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Global confinement database update

- Motivation and use of scaling laws:
  - Based purely on experimental data (or almost)
  - Benchmark for experimental performance
  - Experimental design and modeling
- Multi-machine ***Global H-mode Confinement Database*** ($^*1989 \rightarrow$ ITPA 2001)
- IPB98(y,2) ELMy H-mode global energy confinement scaling (1998)
- ITPA TC-28: Revision of ITER confinement database (2015–2020):
  - Add data closer to ITER conditions and expand parameter ranges
  - Add data from devices with fully metallic walls
  - Reconcile with single-machine scans ($\bar{n}_e$, $P_{l,th}$, β, ...)
  - Explore new predictor variables (e.g. δ, $n_{e,sep}$, torque, ...)
  - Robust regression analysis

Issues with IPB98

Density scaling

Power degradation

No δ scaling

$$\tau_{\mathrm{E,th}} = 0.0562\, I_{\mathrm{p}}^{0.93}\, B_{\mathrm{t}}^{0.15}\, \bar{n}_{\mathrm{e}}^{0.41}\, P_{\mathrm{l,th}}^{-0.69}\, R_{\mathrm{geo}}^{1.97}\, (1+\delta)^{\alpha_\delta}\, \kappa_{\mathrm{a}}^{0.78}\, \epsilon^{0.58}\, M_{\mathrm{eff}}^{0.19}$$

$$\Omega_{\mathrm{i}}\tau_{\mathrm{E,th}} = 4.24\times 10^{-7}\, \rho_*^{-2.69}\, \beta_{\mathrm{t}}^{-0.90}\, \nu_*^{-0.0081}\, q_{\mathrm{cyl}}^{-2.99}\, (1+\delta)^{\alpha_\delta}\, \kappa_{\mathrm{a}}^{3.29}\, \epsilon^{0.71}\, M_{\mathrm{eff}}^{0.96}$$

β degradation

No collisionality scaling

No δ scaling

Updates since DB4

- DB2.8 (IPB98) → DB3v13F → DB4.5 → ***DB5.2.3***
- Enhanced data validation and W_{fast} in ASDEX Upgrade (AUG)
- New data:

Metallic wall ***High-Z***

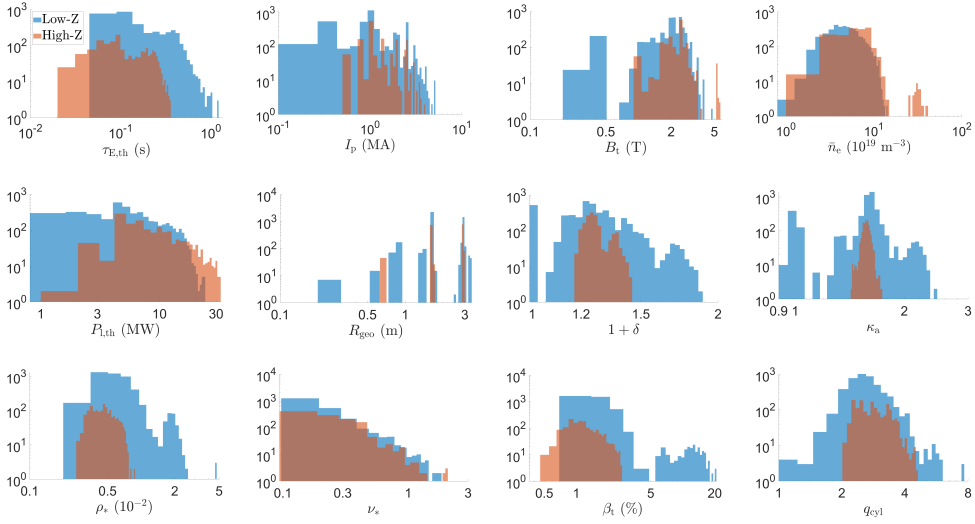
- AUG full W (AUG-W): 825 new points [1]
- JET ITER-like wall (ILW): 866 new points [2]

Carbon-based wall ***Low-Z***

- AUG + JET: new data with high gas injection

[1] F. Ryter *et al.*, Nucl. Fusion, **61**, 046030, 2021
[2] M. Maslov *et al.*, Nucl. Fusion, **60**, 036007, 2020

Low-Z vs. High-Z data



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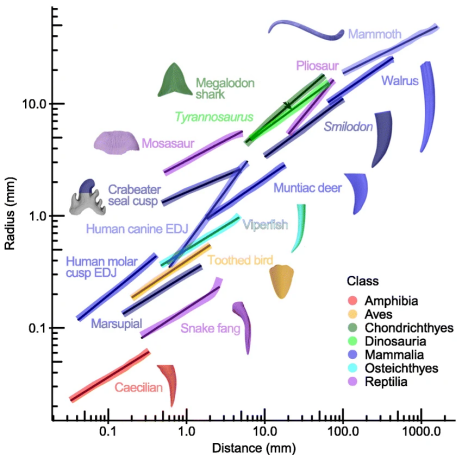
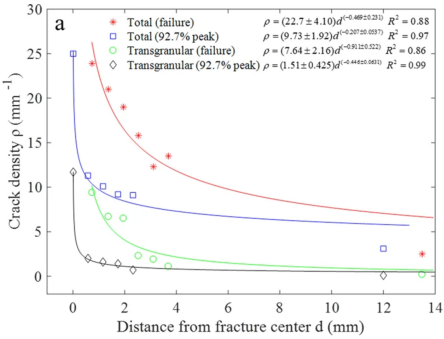
Ubiquity of power law models



A.R. Evans *et al.*, BMC Biology, **19**, 58, 2021



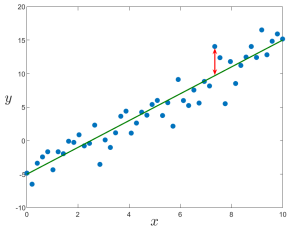
F. Meng *et al.*, Scientific Reports, **9**, 10705, 2019

Least squares and maximum a posteriori estimation

- Multilinear regression model on logarithmic scale:

$$y = \alpha_0 + \alpha_1 x_1 + \alpha_2 x_2 + \ldots + \alpha_p x_p + \epsilon$$
$$\epsilon \sim \mathcal{N}(0, \sigma^2),\ \sigma \text{ known}$$

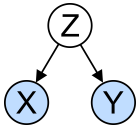
- Parameter estimation $\rightarrow$ distance minimization: expected $\leftrightarrow$ measured
- Workhorse: ordinary least squares (OLS)
- Maximum likelihood (ML) / maximum *a posteriori* (MAP)

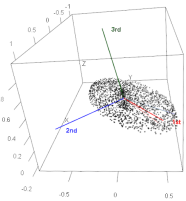


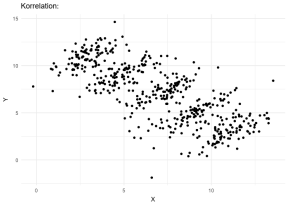
$$\frac{1}{\sqrt{2\pi}\sigma} \exp\left\{ -\frac{1}{2} \frac{\left[y - f(x, \theta) \right]^2}{\sigma^2} \right\}$$

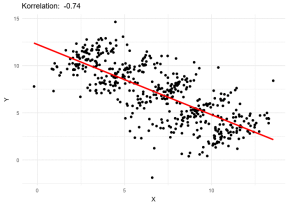
Uncertainties in regression analysis

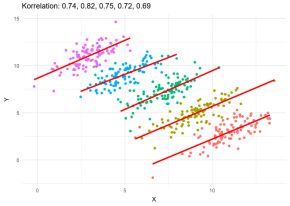
- Measurement uncertainty
- Model uncertainty:
  - Linear, power law, . . . ?
  - Missing variables
  - Confounding variables
- Multicollinearity: e.g. $I_\mathrm{p} \propto B_\mathrm{t}$
- Heterogeneity: multi-machine database
- Simpson's paradox:











Robust Bayesian regression

- Motivation:
  - Outliers
  - Measurement uncertainty $<$ total uncertainty
  - Errors in all variables

$$\eta = \alpha_0 + \sum_{j=1}^{p} \alpha_j \xi_j$$

$$y = \eta + \epsilon_y, \quad x_1 = \xi_1 + \epsilon_{x_1}, \quad \ldots$$

$$\epsilon_y \sim \mathcal{N}\left(0, \sigma_y^2\right), \quad \epsilon_{x_1} \sim \mathcal{N}\left(0, \sigma_{x_1}^2\right), \quad \ldots$$

$$\sigma_{\text{mod}}^2 = \sigma_y^2 + \sum_{j=1}^{p} \alpha_j^2 \sigma_{x_j}^2$$

- Robust likelihood:

$$p(\{y_{i_k,k}\}, \{x_{i_k,j,k}\} | \{\alpha_0, \alpha_j\}, \{\gamma_k\})$$

$$= \prod_k \prod_{i_k} \frac{1}{\sqrt{2\pi \gamma_k^2 \sigma_{\text{mod},i_k,k}^2}} \exp\left[-\frac{1}{2} \frac{\left(y_{i_k,k} - \eta_{i_k,k}\right)^2}{\gamma_k^2 \sigma_{\text{mod},i_k,k}^2}\right]$$

1 for each device

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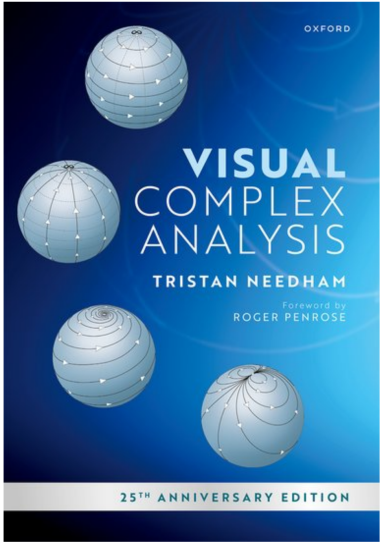
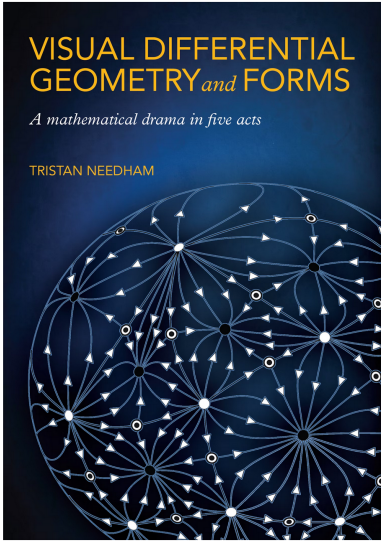
The power of simplicity

- Adoption in data-intensive communities
- Minimal parameter tuning
- Solid foundations

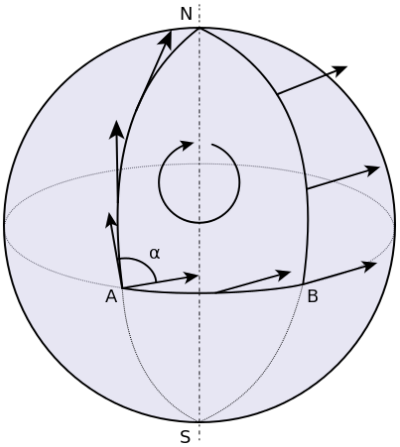
vs.

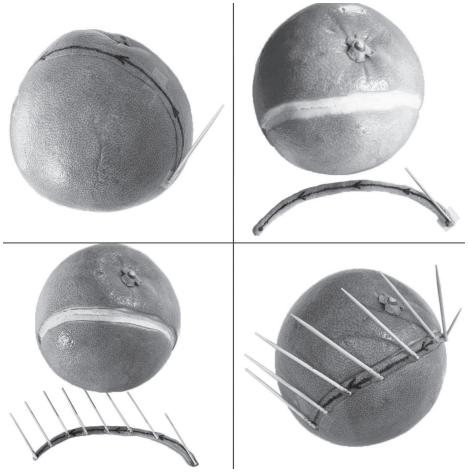
The power of visualization





Geodesics and parallel transport





T. Needham, 2021

The minimum distance approach

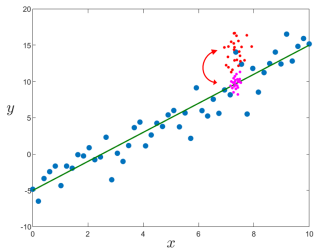
- ***Minimum distance estimation*** (Wolfowitz, 1952):

  Which distribution does the model predict?

  vs.

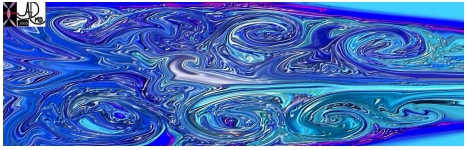
  Which distribution do you observe?

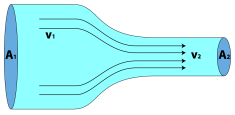
- Gaussian case: different means *and* standard deviations
- Kullback-Leibler divergence, Hellinger divergence (Beran, 1977), ...
- Observed distribution: kernel density estimate

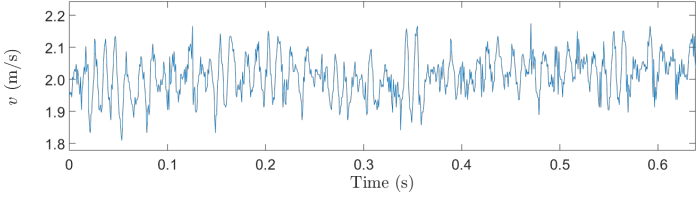


Number → distribution

- Model observations by distributions
- More flexibility, more information







Information geometry

- Family of probability distributions $\rightarrow$ differentiable manifold
- Parameters = coordinates
- Metric tensor: Fisher information matrix

$$\text{Parametric probability model: } p\left(\boldsymbol{x}|\boldsymbol{\theta}\right) \Longrightarrow$$

$$g_{\mu\nu}\left(\boldsymbol{\theta}\right) = -\mathbb{E}\left[\frac{\partial^2}{\partial\theta^\mu \partial\theta^\nu} \ln p\left(\boldsymbol{x}|\boldsymbol{\theta}\right)\right], \quad \mu, \nu = 1, \ldots, m$$

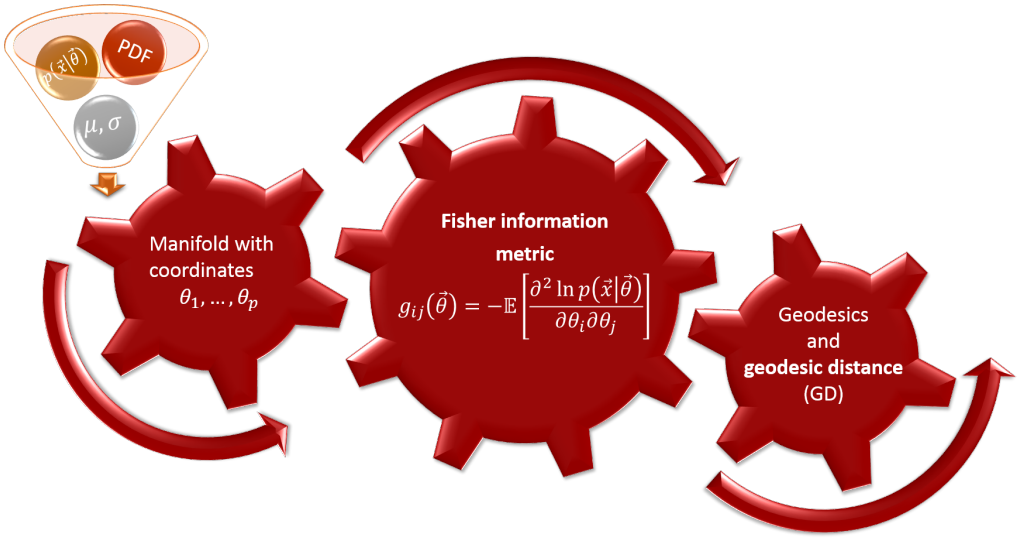
$\boldsymbol{\theta}$ = m-dimensional parameter vector

- Line element:

$$\mathrm{d}s^2 = g_{\mu\nu}\mathrm{d}\theta^\mu \mathrm{d}\theta^\nu$$

- Minimum-length curve: ***geodesic***
- ***Rao geodesic distance*** (GD)

Information geometry scheme



The Gaussian manifold

- Probability density function (PDF):

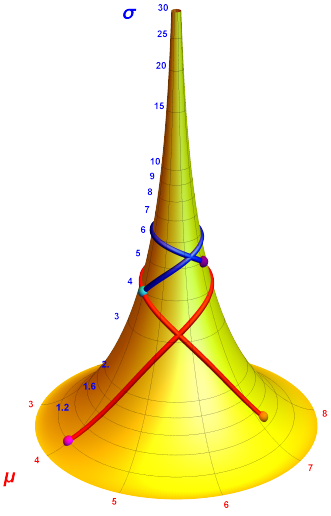
$$p(x|\mu,\sigma) = \frac{1}{\sqrt{2\pi}\sigma}\exp\left[-\frac{(x-\mu)^2}{2\sigma^2}\right]$$

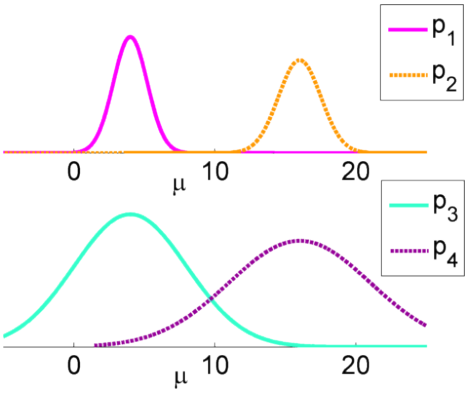
- Line element:

$$\mathrm{d}s^2 = \frac{\mathrm{d}\mu^2}{\sigma^2} + 2\frac{\mathrm{d}\sigma^2}{\sigma^2}$$

- Hyperbolic geometry: Poincaré half-plane model, pseudosphere, ...
- Analytic geodesic distance

Geodesic intuition: the pseudosphere





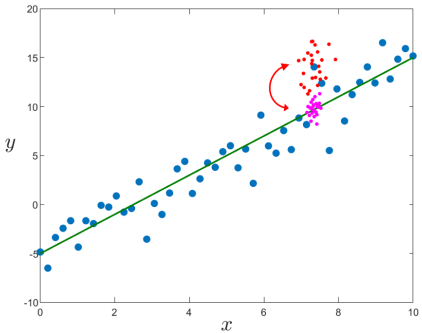
Geodesic least squares regression (GLS)

- Geodesic least squares: ***GLS***

$$\prod_k \prod_{i_k} \frac{1}{\sqrt{2\pi\sigma^2_{\text{tot},i_k,k}}} \exp\left[-\frac{1}{2}\frac{\left(y_{i_k,k}-\eta_{i_k,k}\right)^2}{\sigma^2_{\text{mod},i_k,k}}\right]$$

Rao geodesic distance (GD)

$$\frac{1}{\sqrt{2\pi}\,\sigma_{\text{obs}}} \exp\left[-\frac{1}{2}\frac{(y-y_i)^2}{\sigma_{\text{obs}}{}^2}\right]$$



G. Verdoolaege *et al.*, Nucl. Fusion, **55**, 113019, 2015
G. Verdoolaege *et al.*, Entropy, **17**, 4602–4626, 2015

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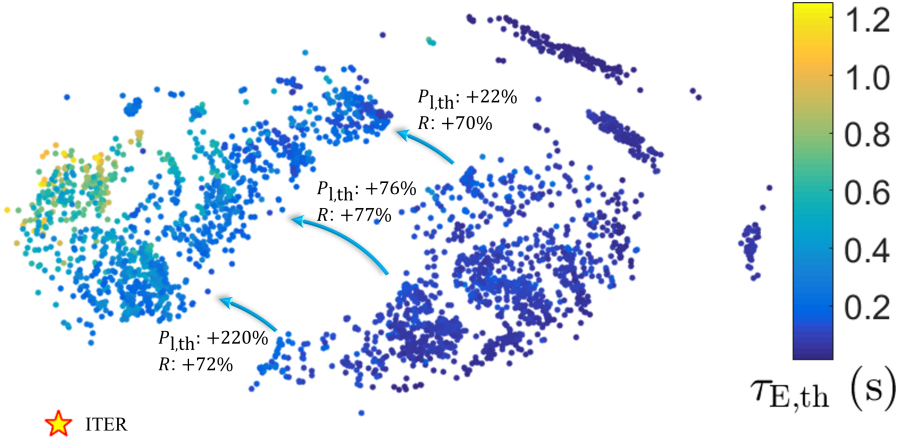
Database visualization by projection

- Multidimensional scaling
- Distance measure: Rao GD between Gaussian PDFs

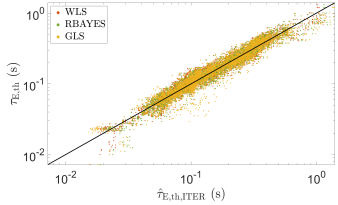


Multi-machine engineering scaling

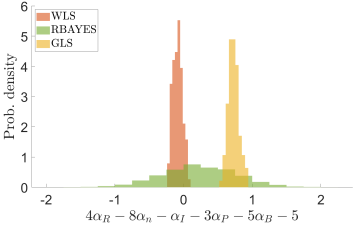
STD5-IL ELMy H-mode (error bars from Bayesian analysis)

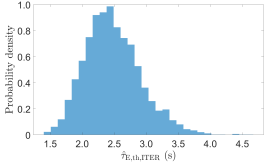
Engineering scaling **ITPA20-IL**

$$\tau_{E,th} = (0.067 \pm 0.060)\, I_p^{1.29\pm0.17}\, B_t^{-0.13\pm0.17}\, \bar{n}_e^{0.15\pm0.10}\, P_{l,th}^{-0.644\pm0.060}\, R_{geo}^{1.19\pm0.29} \times (1+\delta)^{0.56\pm0.35}\, \kappa_a^{0.67\pm0.65}\, M_{eff}^{0.30\pm0.17}$$



RMSE $= 0.17$
$R^2 = 0.95$





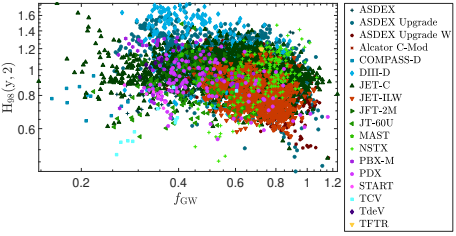
$\hat{\tau}_{E,th,ITER} = 2.90 \pm 0.46$ s

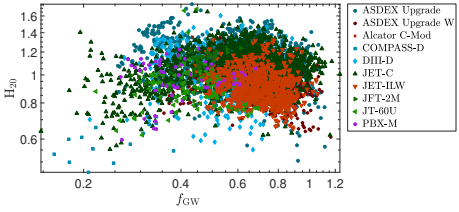
Quasi-neutral high-β Fokker-Planck with Landau collision operator + Ampére

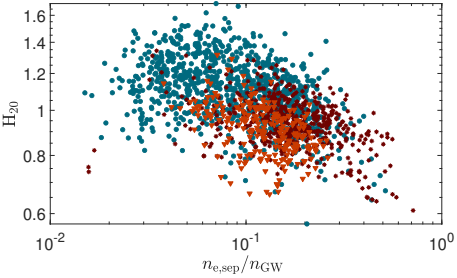
G. Verdoolaege *et al.*, Nucl. Fusion, **61**, 076006, 2021

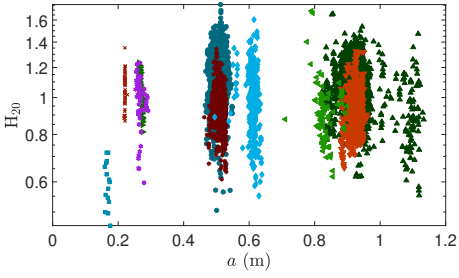
Residuals

$H_{20} \equiv \tau_{E,th} / \hat{\tau}_{E,th}$









Multi-machine dimensionless scaling

- Transformation introduces large errors:

$$\boldsymbol{\alpha}_{\mathrm{D}} = A^{-1}\left(\frac{\boldsymbol{\alpha}}{1+\alpha_P} - \boldsymbol{a}\right)$$

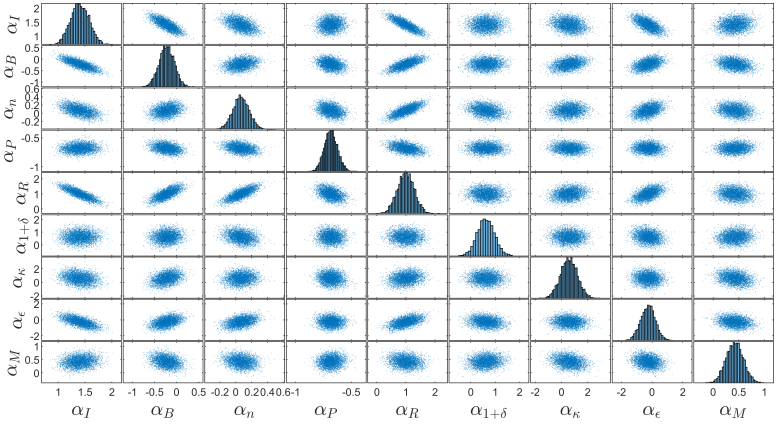
- Regression in dimensionless space

Dimensionless scaling ITPA20-IL-dim

$$\Omega_{\mathrm{i}}\tau_{\mathrm{E,th}} = (2.0 \pm 6.2 \times 10^{-6})\,\rho_*^{-1.97\pm0.33}\,\beta_{\mathrm{t}}^{0.12\pm0.21}\,\nu_*^{-0.425\pm0.062}\,q_{\mathrm{cyl}}^{-1.03\pm0.42} \times (1+\delta)^{0.14\pm0.63}\,\kappa_{\mathrm{a}}^{1.90\pm0.81}\epsilon^{-0.26\pm0.62}\,M_{\mathrm{eff}}^{0.61\pm0.37}$$

Error analysis

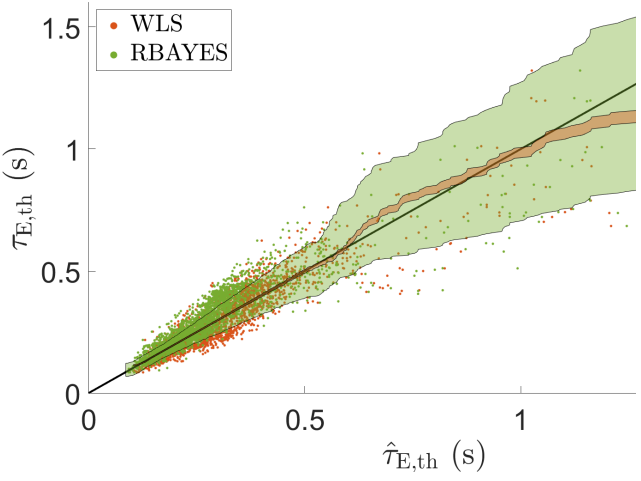
- Sensitivity analysis under multicollinearity: $\sim 10\times$ larger error bars
- Observed uncertainty $\gg$ modeled uncertainty



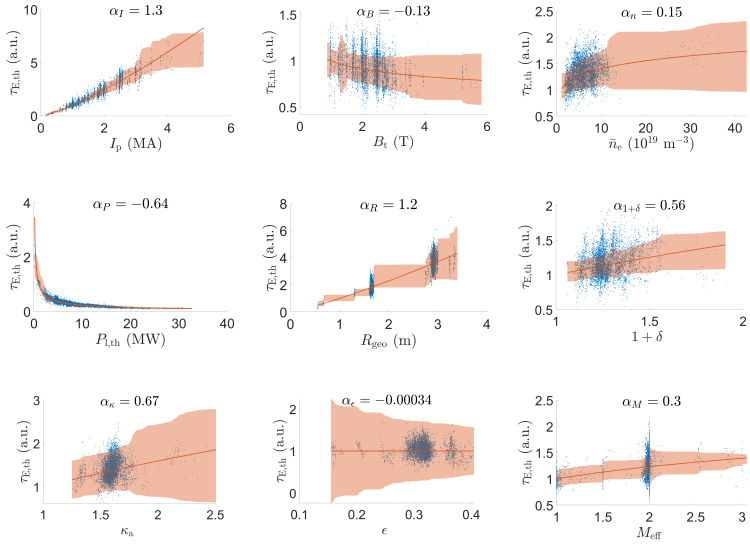
MCMC sampler with 4 chains

Uncertainty calibration

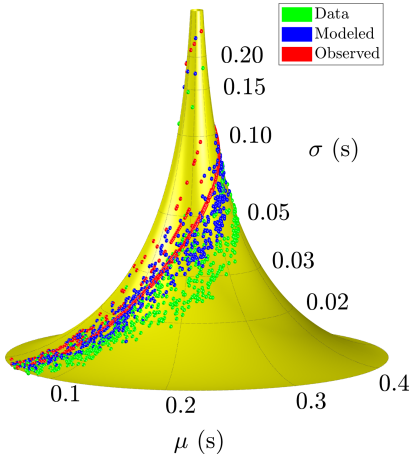
- Predictions for JET
- Confidence bands (smoothed):

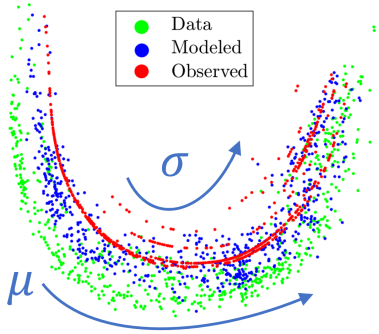


Confinement trends



Visualization on pseudosphere and projection

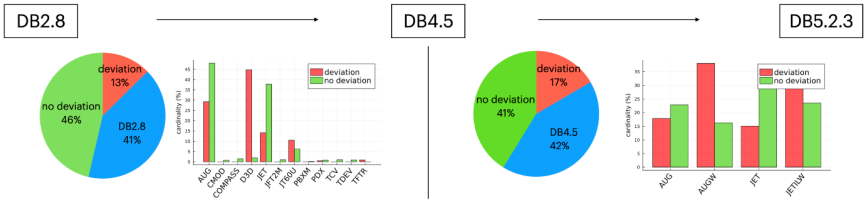




	AUG	AUG-W	Alcator C-Mod	COMPASS-D	DIII-D	JET-C	JET-ILW	JFT-2M	JT-60U	PBX-M
γ_k	0.96	0.79	0.51	3.8	0.85	1.0	0.89	0.69	1.1	0.85
$\sigma_{\mathrm{obs},k}/\sigma_{\mathrm{mod},k}$	1.2	1.1	1.2	2.0	1.2	1.2	1.2	1.1	1.2	2.0

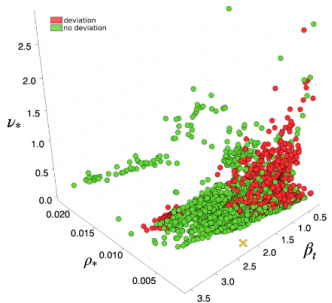
Origin of reduced size scaling

- Clustering ‘deviation’ vs. ‘no-deviation’ set:



- Scaling for ‘no deviation’ set:

$$\tau_{\mathrm{E,th}} = 0.07\, I_{\mathrm{p}}^{0.87}\, B_{\mathrm{t}}^{0.20}\, \bar{n}_{\mathrm{e}}^{0.38}\, P_{\mathrm{l,th}}^{-0.74}\, R_{\mathrm{geo}}^{2.0} \times \kappa_{\mathrm{a}}^{0.41}\, \epsilon^{0.59}\, M_{\mathrm{eff}}^{0.24}$$



Overview

1. Update of the global H-mode confinement database and scaling

2. Regression analysis for scaling laws
   - Conventional techniques
   - Geodesic least squares regression (GLS)

3. The ITPA20 confinement scaling law

4. Conclusions

Conclusions

- Revision of global confinement scaling with realistic error estimates
- Significant uncertainty on parameter estimates, exacerbated by multicollinearity
- ITER predictions ca. $20 - 25\%$ lower
- Size dependence lowered by relatively small subset at high ν_*
- Advantages of geodesic least squares regression:
  - Simple, fast and robust
  - Visual interpretation
- Wider applicability to parameter estimation, model validation, etc.

Number of database entries

	DB2.8	DB5.2.3			
		STD5		STD5 ITER-like	
Device	ELMy H	All H	ELMy H	ELMy H	ELM-free H
ASDEX	431	575	431	0	0
AUG	102	1 385	1 377	1 370	8
AUG-W	0	767	767	767	0
Alcator C-Mod	37	82	45	45	37
COMPASS-D	0	21	16	16	5
DIII-D	270	502	388	383	114
JET-C	246	2 211	1 762	1 606	426
JET-ILW	0	866	866	855	0
JFT-2M	59	348	69	59	197
JT-60U	9	100	100	100	0
MAST	0	43	43	0	0
NSTX	0	230	185	0	0
PBX-M	59	214	59	59	155
PDX	97	119	97	0	0
START	0	8	8	0	0
T-10	0	4	0	0	0
TCV	0	17	11	0	0
TdeV	0	7	7	0	0
TEXTOR	0	0	0	0	0
TFTR	0	2	2	0	0
TUMAN-3M	0	36	0	0	0
Total	**1 310**	**7 537**	**6 233**	**5 260**	**942**

Data selection

- 14 153 points from 19 tokamaks
- 'Standard set' ***STD5*** $\rightarrow$ 7 537 points from 18 devices:
  - Quasi steady-state H-modes, no pellets, no ITBs
  - Limited P_{rad}, W_{fast}, l_{i}; minimum q_{95}
  - Limited $T_{\text{e}} \neq T_{\text{i}}$
- 'ITER-like' ***STD5-IL*** $\rightarrow$ 6 202 points from 8 devices:
  - $q_{95} > 2.8$
  - $1.3 < \kappa < 2.2$
  - $\epsilon = a/R_{\text{geo}} < 0.5$
  - $Z_{\text{eff}} < 5$
- High-Z: Alcator C-Mod, AUG-W, JET-ILW

D. McDonald *et al.*, Nucl. Fusion, **47**, 147–174, 2007