

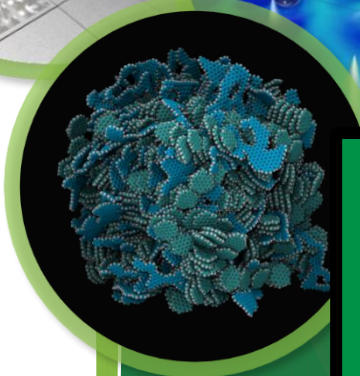
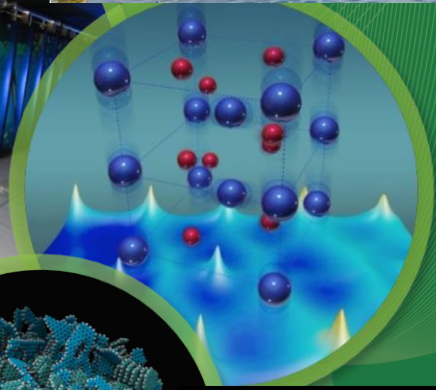
RF (Light) Negative Ion Sources for Non-Fusion Applications

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Spallation Neutron Source, ORNL

*8th International Symposium
on Negative Ions, Beams
and Sources*

Orto Botanico di Padova, Bella Italia
October 3, 2022

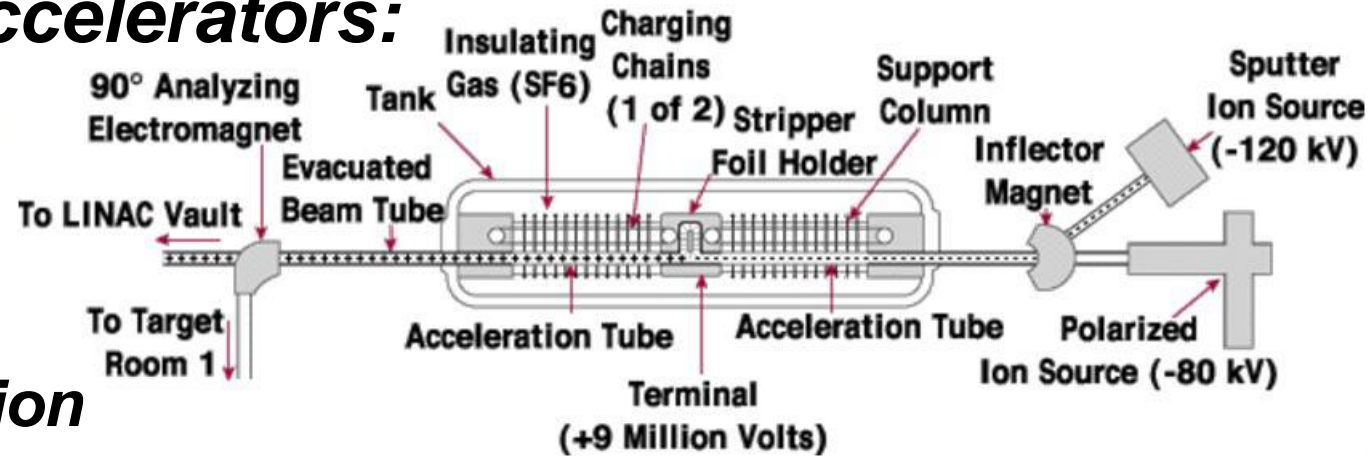


Negative Ions are very unique:

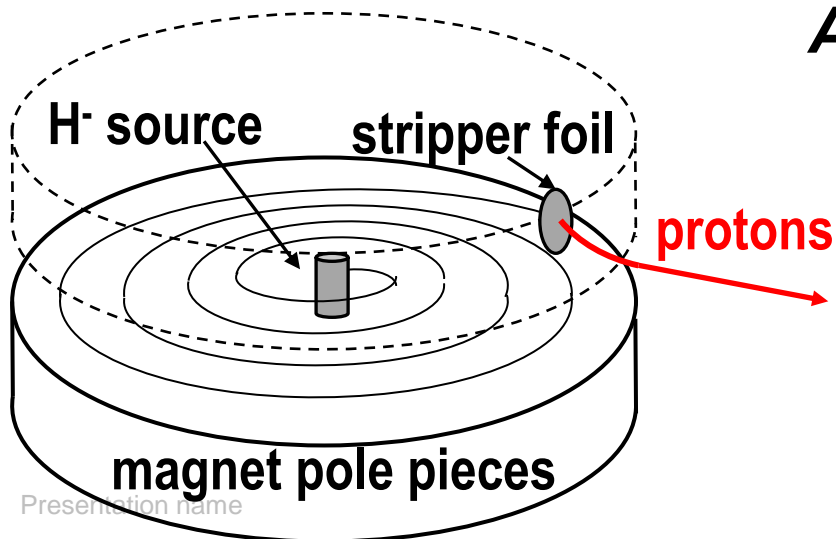
Negative ions can be made positive.

This radical change has very unique applications:

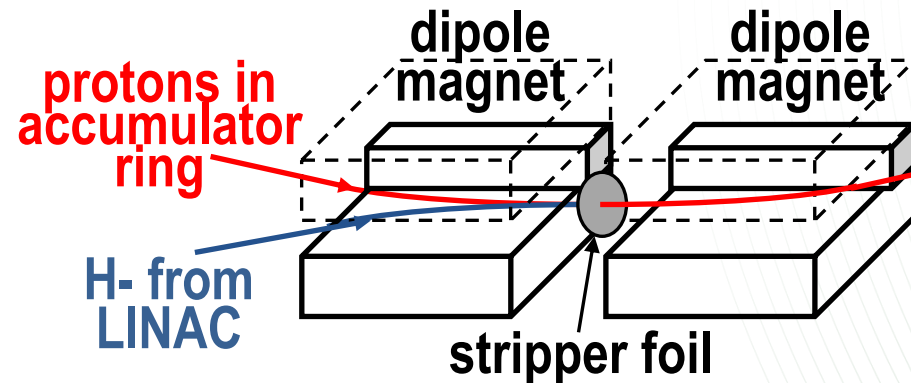
Tandem Accelerators:



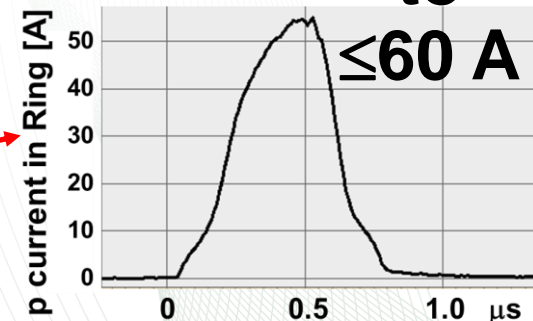
Efficient Extraction from Cyclotrons:



Accumulating Ions in a limited Phase Space:



50 mA
to
≤ 60 A



e.g. the Spallation Neutron Source

Introduction: The 2002 Status of High Current H- Sources

Source type	Laboratory-Facility	Beam current [mA]	Rep rate [Hz]	Beam pulse length [ms]	Beam duty factor [%]	Duty factor Upsale factor	Service cycle		Lifetime@ 50 mA & 6% d. f.
							weeks	A·h	days
Magnetron	BNL-AGS	95	7.5	0.63	0.47	13	26	2.0	27.1
	FNAL	75	15	0.07	0.1	60	16	0.20	2.8
	DESY-HERA	60	5	0.1	0.05	120	52	0.26	3.6
	ANL-IPNS	48	30	0.07	0.21	29	16	0.27	3.8
Penning	RAL-ISIS	35	50	0.2	1.0	6	4	0.24	3.3
	INR-MMF	50	100	0.2	2.0	3	Intermittent use		
Multicusp Converter	LANL-LANCE	<20	120	0.815	9.8	0.6	4	1.3	18.3
	KEK-KENS	18	20	0.2	0.4	15	14	0.17	2.4
Multicusp RF	SSC	60	10	0.1	0.1	60	1	0.01	0.14
	DESY-test HERA	40	8	0.15	0.12	50	150	1.2	16.8
Required	ORNL-SNS	50	60	1.0	6.0	1	3	1.5	21

- Only the BNL sources matches the beam-current and the lifetime, but the duty-factor needs to be upscaled by 13!
- Only the LANL source is close to the duty-factor and lifetime, but its current needs to be increased by 3!
- ISIS with 35mA and 1% is the closest, but insufficient!

The unprecedented SNS Requirements required a new H- Source!

Abstract

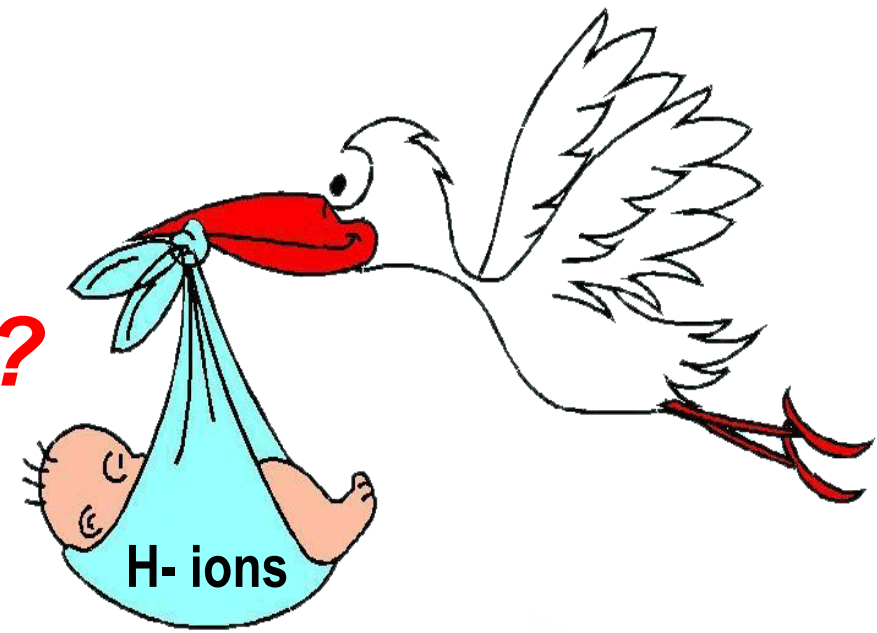
- Twenty years ago, there was no ion source that could provide high-currents (>20 mA) of H^- beams at high duty factors ($\gg 1\%$).
- In addition, the lifetime of H^- sources was limited to 2 Amp-hours.
- Accordingly, high-duty-factor H^- sources had to be replaced every few weeks!
- The H^- ion sources limited the power of the accelerators!
- **The development of RF H^- sources has reversed the situation:**
- **Now accelerators limit the applicable performance of RF H^- sources.**
- **RF H^- sources yield >100 mA of H^- with duty factors up to 10% and lifetimes up to 10 Amp-hours using as little as 5 mg Cs.**



Content

Where are the H- ions coming from?

- The Physics of Discharges
- Lifetime Issues
- The Physics of Negative Ions
- The Development of RF H⁻ Sources
- Conclusions



It is all about producing

more light Negative Ions for longer times!

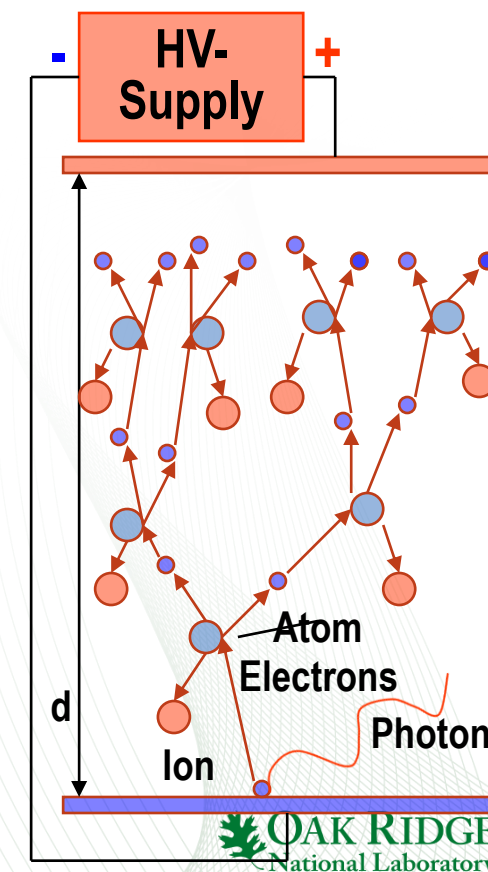
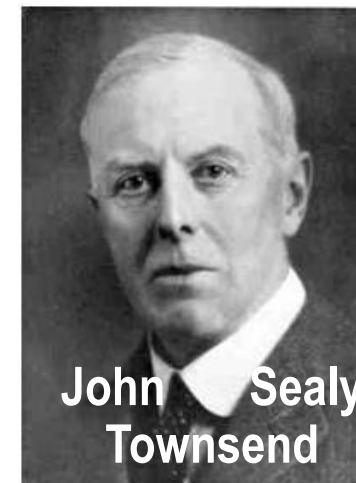
The Townsend Discharge

- Around 1900 John Sealy Townsend studied discharges below the breakdown voltage (dark discharges).
- UV light illuminating the cathode produces photo electrons.
- Applying sufficient voltage, the discharge current I grows exponentially with the distance d between the electrodes:

$$I = I_0 \cdot \exp(\alpha \cdot d)$$

where I_0 is the photoelectric current
and α is the 1st Townsend coefficient

- **Modern-day explanation:** After gaining enough energy, the photo electrons ionize atoms, doubling the number of free electrons.
- Increasing the gap d increases the number of electron multiplications, causing an avalanche, i.e. exponential growth.
- Keeping d constant and increasing the voltage V shortens the distance between ionizing collisions, which increases the discharge current I exponentially.



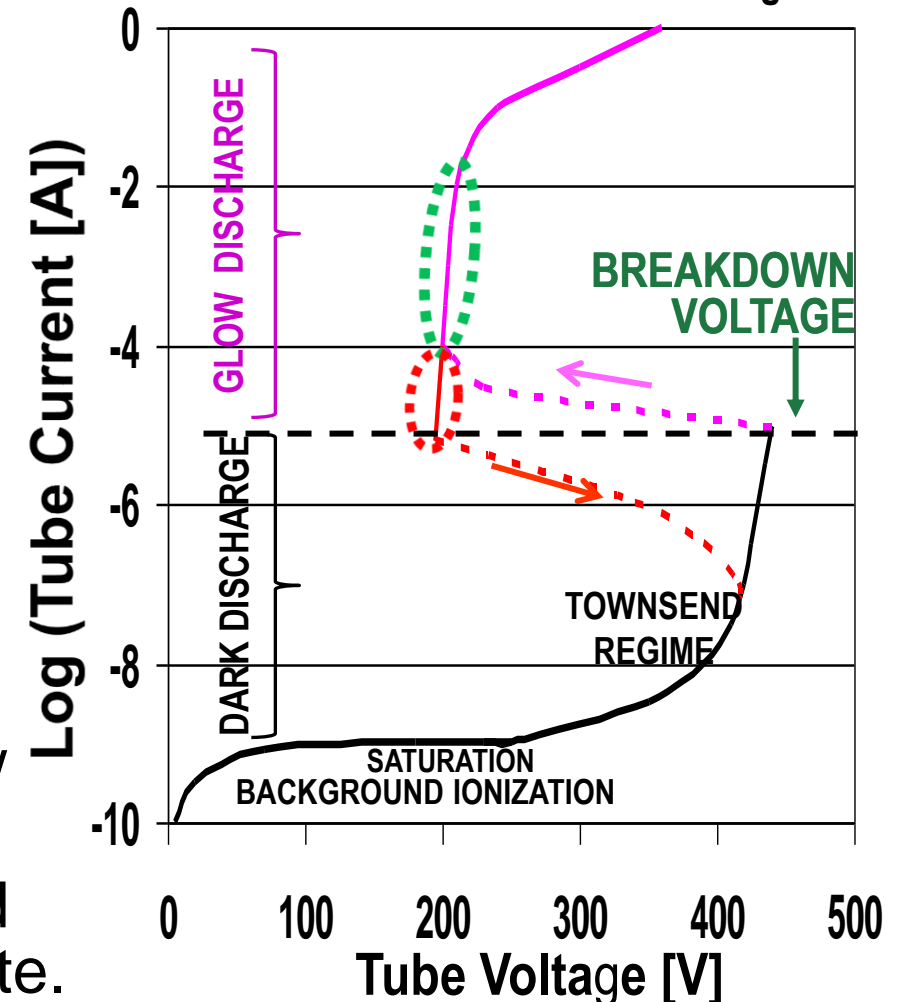
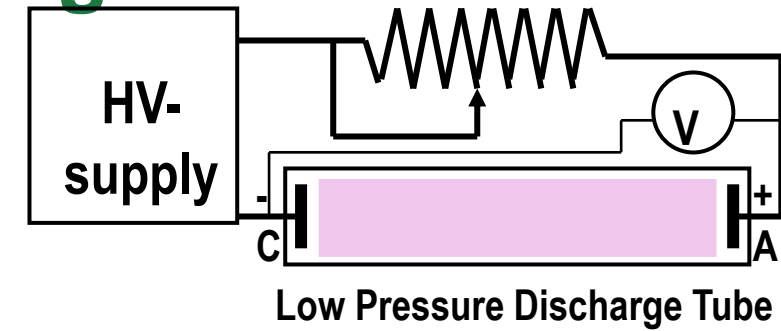
Exponential growth!!

The Evolution of Low Pressure Gas Discharges

- Small voltages yields nA currents by collecting electron-ion pairs produced by background radiation.
- Raising the voltage starts the Townsend multiplication, yielding many μA (corona).
- Increasing the voltage, suddenly the **gas starts to glow** and the current grows up to many mA at a much reduced voltage.

Electron multiplication at anode ions at cathode per initial electron

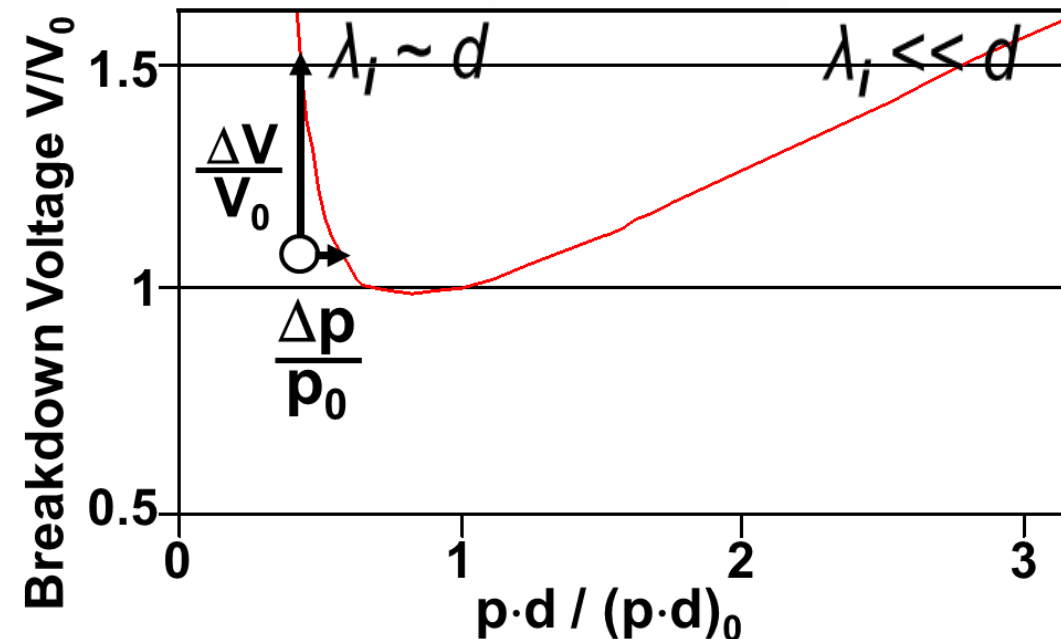
- Discharge current $I = I_0 \cdot e^{\alpha \cdot d} / (1 - \gamma \cdot (e^{\alpha \cdot d} - 1))$ diverges when the ions impacting on the cathode generate enough secondary electrons to replace all seed electrons.
- Increasing the reduced voltage increases the **glowing plasma volume** and the current.
- Discharge ion sources typically operate at the low current end of glow discharges to minimize losses.
 - Dropping below a current/voltage threshold extinguishes the glow, restoring the dark state.



The Breakdown Voltage (Paschen's Law)

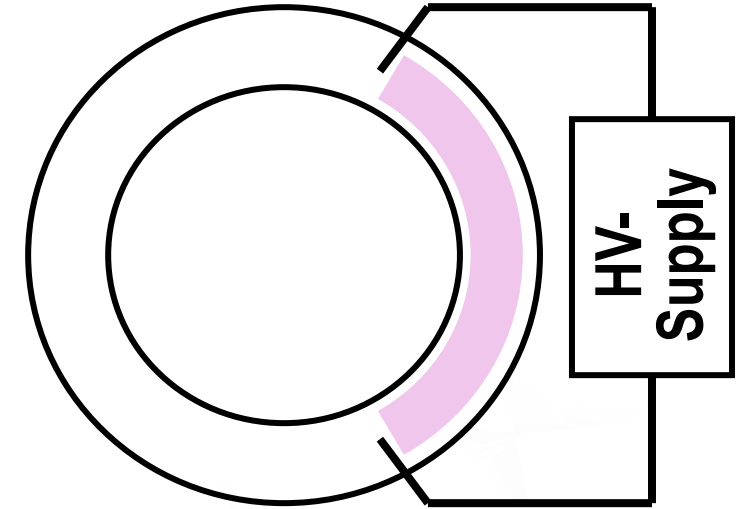
- In 1889, Friedrich Paschen describes the breakdown voltage V : $V = a \cdot p \cdot d / (\ln(p \cdot d) + b)$ with pressure p , electrode gap d , and a & b , which depend on the gas and the electrodes.
- Normalization with the minimum voltage V_{min} and corresponding $(p \cdot d)_{min}$ creates an universal curve.
- Gases are good insulators at very low– and very high pressures.
- Free electrons have to gain enough energy to ionize an atom or molecule in the next collision (λ_i).
- Decreasing the pressure increases the mean path between collisions (λ_i), which can be compensated by proportionally increasing d .
- The minimum represents the minimum energy spent on producing enough ions for one secondary electron from the cathode.
- At high $p \cdot d$, the voltage increases linearly with the gap between the electrodes.
- At low $p \cdot d$, the electrons need more energy to liberate more than one electron.

Gas	Cathode	V_{min} (V)	$(p \cdot d)_{min}$ (Torr·mm)
Air		360	15
H ₂	Pt	295	12.5
He	Fe	150	25



Paschen Experiment

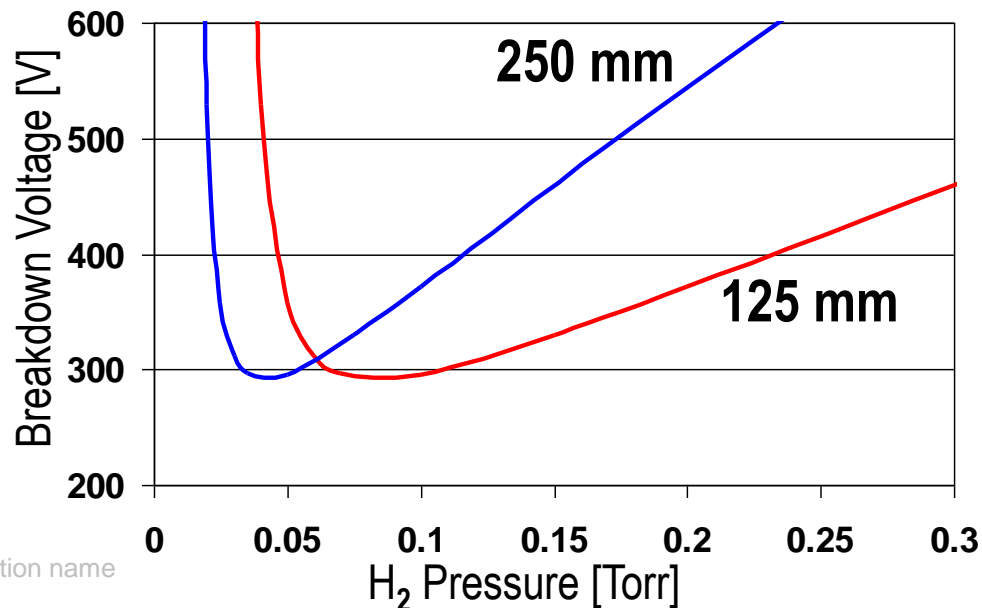
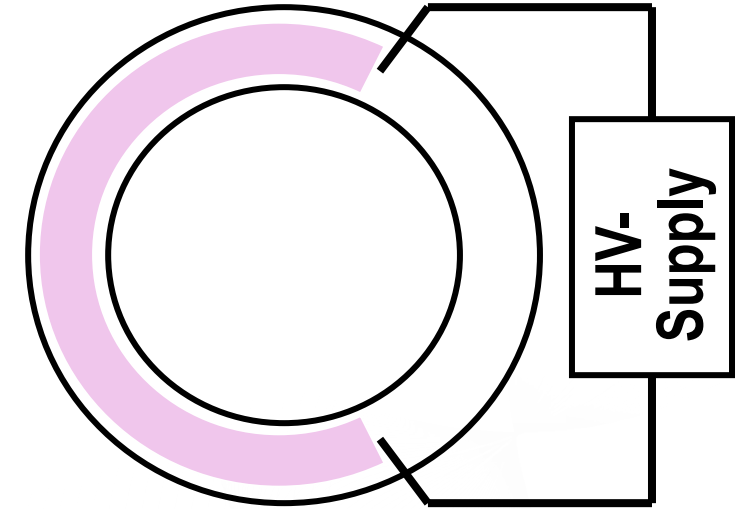
- Take a glass toroid with 2 electrodes forming a 125 mm and a 250 mm gap.
- Fill it with 0.1 Torr Hydrogen
- Raise the voltage 290V, 300V: *short gap lights up*
.....390V, 400V: *long gap lights up*



$$V = a \cdot p \cdot d / (\ln(p \cdot d) + b)$$

Paschen Follies

- Take a glass toroid with 2 electrodes forming a 125 mm and a 250 mm gap.
- Fill it with 0.1 Torr Hydrogen
- Raise the voltage 290V, 300V: *short gap lights up*
.....390V, 400V: *long gap lights up*
- Now reduce pressure to 0.05 Torr.
- Raise the voltage 290V, 300V: *long gap lights up*
.....390V, 400V: *short gap lights up*

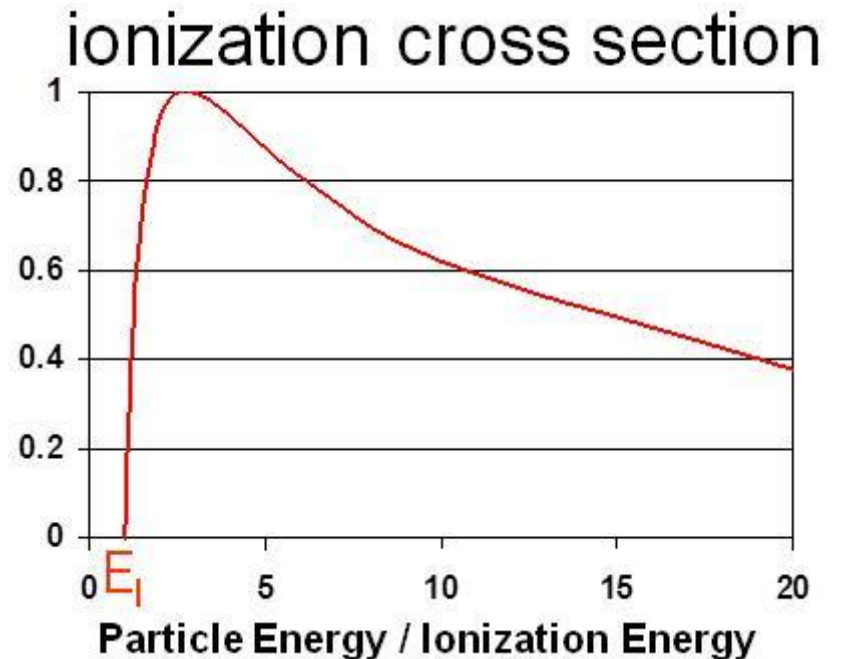
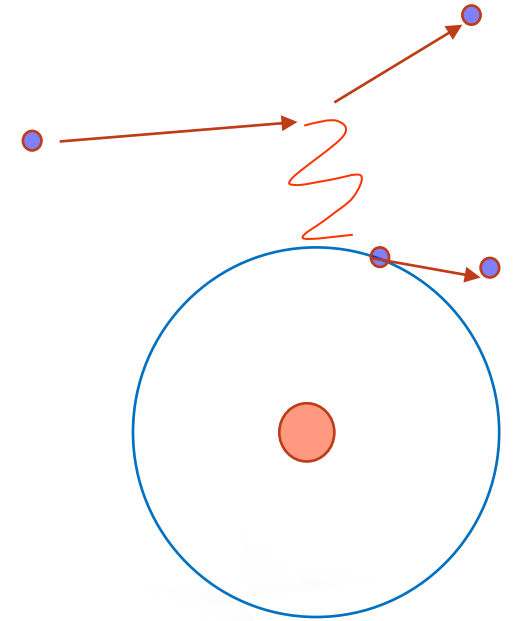


$$V = a \cdot p \cdot d / (\ln(p \cdot d) + b)$$

At pressures below the Paschen minimum discharges between more distant electrodes are more likely because they benefit from a higher electron multiplicity!!!

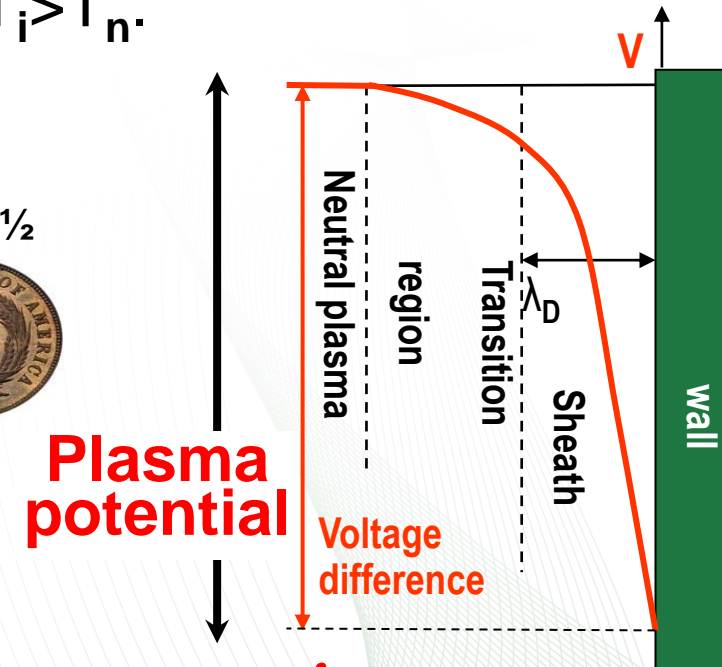
Ionization of Atoms and Molecules in Gases

- The removal of an electron from gaseous atoms or molecules **requires** electric fields in **excess of 10^{10} V/m**, only possible with atomic distances typically **reached in collisions** with charged particles [$F_c = (4\pi\epsilon_0)^{-1} \cdot q_1 \cdot q_2 / r_{12}^2$].
- The conservation of energy and momentum favors **electrons** as the **most efficient ionizing particles**, and therefore most **ion sources use electron impact ionization**.
- The conservation of energy is responsible for an absolute threshold, the **ionization energy E_i** , the **minimum energy which needs to be transferred** for successful ionization.
- Gases have ionization energies between 12 eV for O_2 and 25 eV for He, e.g. 15 eV for H_2 molecules and 14 eV for H atoms.
- The ionization cross section has a **maximum** close to **3 times** the ionization energy E_i and therefore electrons with an energy between 50 and 100 eV ionize all gases efficiently.



My of Plasma Physics for Ion Sources

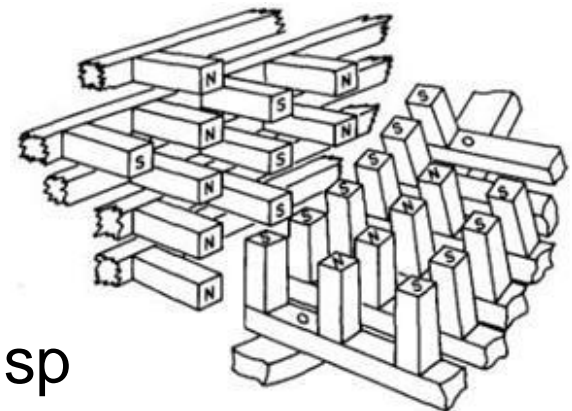
- **Plasma** is partly ionized gas or vapor, containing neutrals, electrons and ions with densities n_n , n_e , and n_i , typically in the range of 10^{10} to 10^{16} particles per cm^3 , roughly 10^{-6} and 0.1 Torr.
- The repulsive nature of equal charges requires that **plasmas are practically neutral** (quasi-neutral): $e \cdot \sum Q_i \cdot n_i = e \cdot n_e$
- Plasma physics dominates if roughly 10% of the gas is ionized.
- The average particle speed is $v_p = (8kT_p / \pi \cdot m_p)^{1/2}$ with $T_e \geq T_i > T_n$.
- Electrons are fast: $v_e \geq 43 \cdot v_i$.
- **Charges interact** only **within** a distance λ_D , **the Debye length**: $\lambda_D^2 = \epsilon_0 k T_e / e^2 n_e$ or $\lambda_D [\text{cm}] = 743 \cdot (T_e [\text{eV}] / n_e [\text{cm}^{-3}])^{1/2}$
- This is a few μm for the SNS ion source.
- Some of the **rapidly moving electrons escape to the wall**. The space charge of the slowly following ion creates an **electric field**, resulting in the plasma potential several Debye lengths away from the wall.



The plasma potential accelerates escaping ions!

The Multicusp or Bucket Ion source

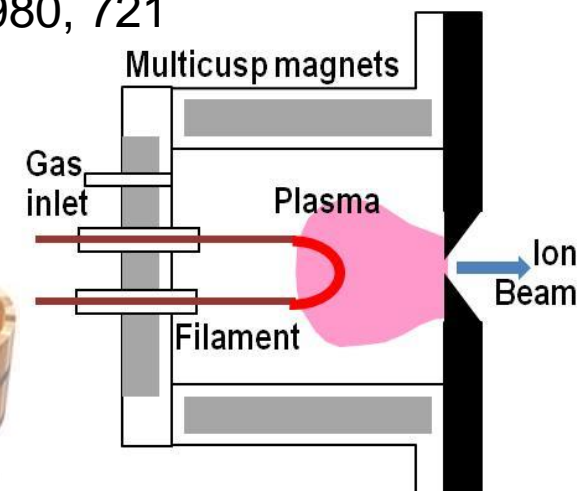
- 1973 Limpaecher & MacKenzie at UCLA build a 86 liter plasma vessel with 1252 alternating Alnico bar magnets lining the walls. Plasma is very quiescent; RSI 44, 1973, 726



- 1979 Ehlers and Leung at LBNL start to develop multicusp ion sources for hydrogen and later for negative ions. RSI 51, 1980, 721

- Strong permanent magnets (Sm-Co, Nd-Fe, or NdFeB) mounted close to the vacuum yield high fields.

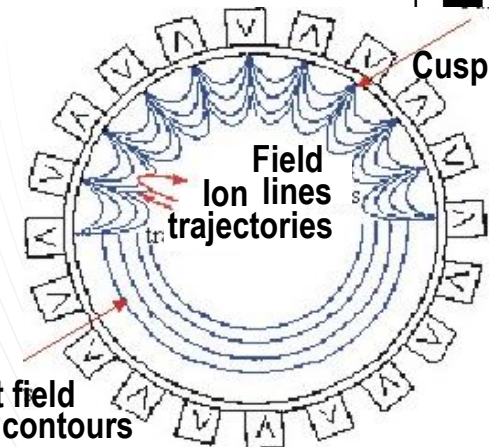
- The magnetic field decreases with the distance from the wall, and is zero on the axis, a minimum field configuration, forming a magnetic bucket.



- The strong magnetic field at the wall acts as a magnetic mirror, returning most ions back to the center.

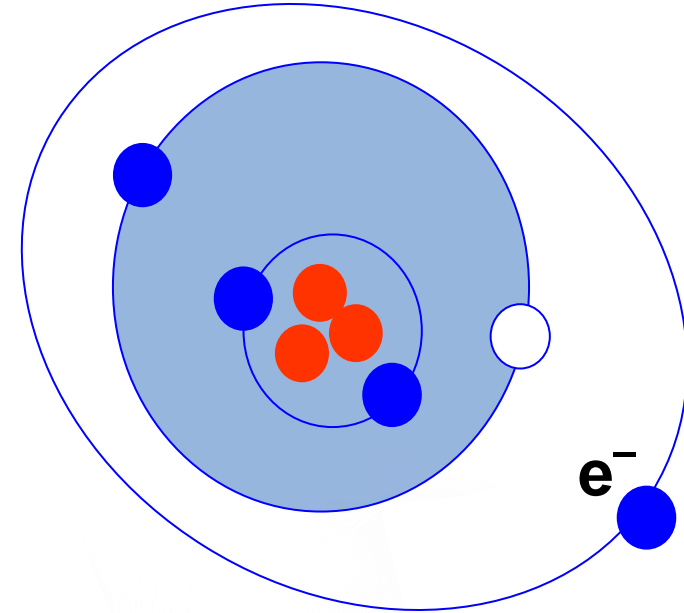
- The discharge is driven by heavy duty filament(s) or a RF antenna with the chamber wall being the anode.

- Filament lifetime limited by **sputtering**, especially for heavy gases and/or at higher pressures.



Negative⁻ Ions – There is one too many!

- Atoms with an open shell can attract an **extra electron** and **form stable ions** with a **net charge of -e**.
- The stability is quantified by the **electron affinity**, the **minimum energy required to remove the extra electron**.
- The electron affinities are substantially **smaller than ionization energies**, covering the range between 0.08 eV for Ti^- and 3.6 eV for Cl^- , e.g. **0.75 eV for H^-**
- For electron energies above 10 eV, the H^- ionization cross section is $\sim 30 \cdot 10^{-16} \text{ cm}^2$, ~ 30 times larger than for a typical neutral atom!!
- For H^+ energies below 1 keV, the recombination cross section is larger than $100 \cdot 10^{-16} \text{ cm}^2$.
- **Charged particle collisions destroy negative ions easily!!**



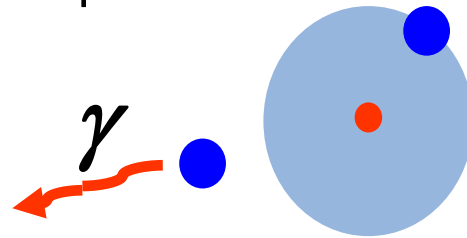
Negative ions are fragile and accordingly rare!!

So how are H⁻ ions produced?

In 1977 **Martha Bacal** found very large signals from negative ions in low-power hydrogen plasmas. She continues to study this production process.



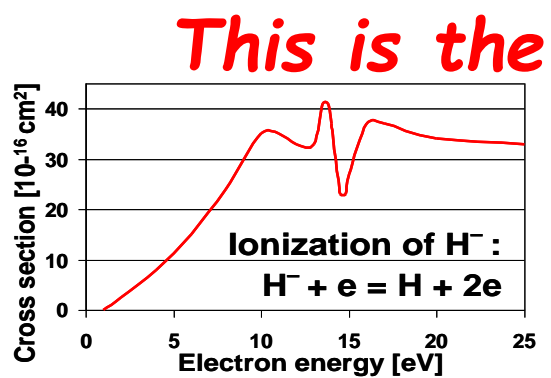
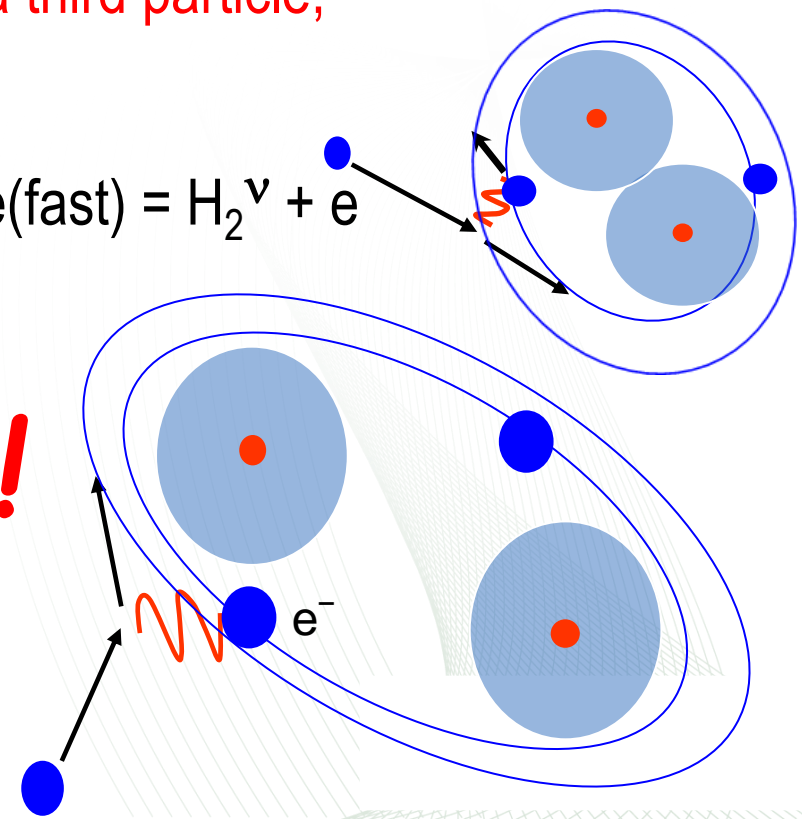
- Conserving energy & momentum when forming a negative ion through **direct electron attachment**, the excess energy has to be dissipated through a photon. $H + e = H^- + \gamma$



But few of the few H⁰ atoms allow for **Radiative Capture** (10^{-18} cm^2 for $E_e \sim 1 \text{ eV}$)

- More likely are processes where **excess energy** can be **transferred to a third particle**, e.g. when dissociating molecules ($>4.5 \text{ eV}$ for H₂): $H_2 + e = H + H + e$ and sometimes: $H_2 + e = H + H^-$ ($\sim 10^{-20} \text{ cm}^2$ for H₂ and $E_e > 7 \text{ eV}$)

- Most likely is the excitation of **molecules** to the edge of breakup: $H_2 + e(\text{fast}) = H_2^v + e$ ($\sim 5 \cdot 10^{-18} \text{ cm}^2$ for $4 \leq v \leq 9$ & $E_e > 20 \text{ eV}$) and then being **dissociated by a slow electron**: $H_2^v + e(\text{slow}) = H + H^-$ ($< 10^{-15} \text{ cm}^2$ for $4 \leq v \leq 9$ & $E_e \sim 1 \text{ eV}$)



Volume production!

- But the **fast electrons** needed to excite the molecules **destroy** ($\sim 3 \cdot 10^{-15} \text{ cm}^2$) the H⁻ ions!

Incompatible conditions!

The Magnetic Filter Field in Volume H⁻ Sources

- The generation of intense H⁻ beams requires powerful plasma where a myriad of energetic electrons ionize and excite molecules, but also rapidly destroy H⁻ ions.

- In 1982 Leung, Ehlers & Bacal invented the Tandem source containing a magnetic dipole filter. RSI 54 (1983)56

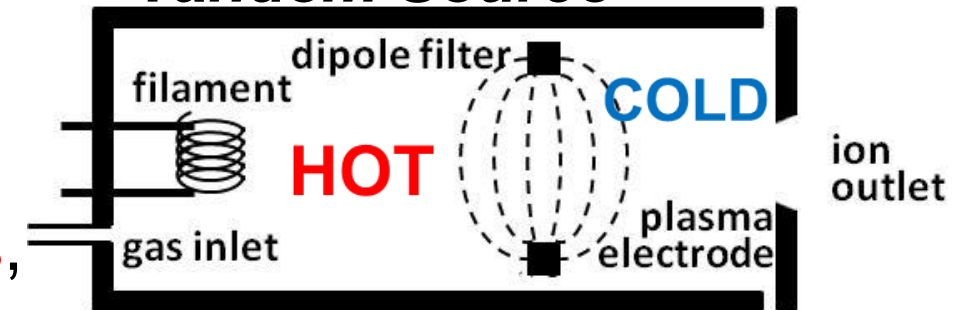
- The magnetic filter **reflects energetic electrons**, e.g. 200 Gauss turn around 35-eV electrons on a 1 mm radius.

- **Cold electrons** and **ions** undergo many collisions with other particles, resulting in a diffusion process that favors **cold** charged particles ($v_{\text{diff}} \sim T^{-1/2}$). **Excited neutral molecules** migrate freely through the filter field & can break up near the outlet.

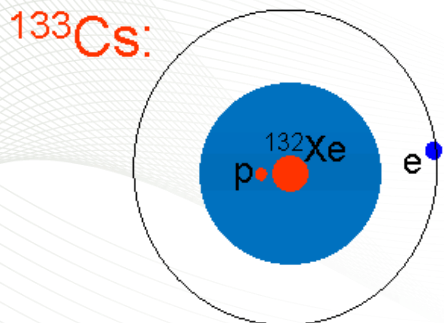


Ka-Ngo Leung

Tandem Source



Cesium on Metal Surfaces



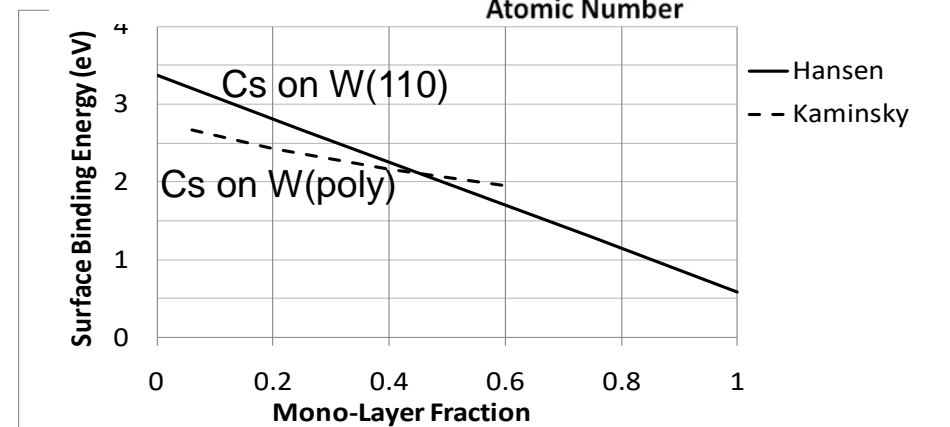
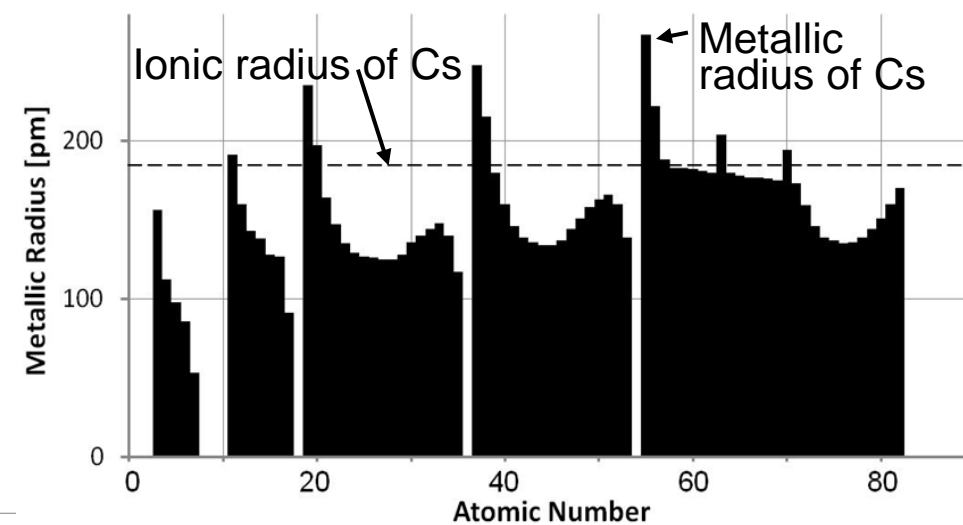
- Cs is ^{132}Xe +1 proton +1 electron.
- Is the largest atom (5.3 \AA \varnothing).
- Has the smallest ionization energy 3.9eV
- Has a small density: 1.9 g/cm^3
- Has a low melting point: 28°C or 83°F



- Cs atoms on clean metal surfaces form metallic bonds as their outer electrons mix with the conduction electrons. Metallic bonds are strong, resisting thermal emission as well as sputtering.
- Additional layers of Cs form covalent bonds with $\sim 0.5 \text{ eV}$, which easily break.

- The Cs diameter being larger than the substrate matrix lattice causes the surface binding energy to decrease with increasing surface coverage.

- **This allows for controlling the surface coverage with the surface temperature.**



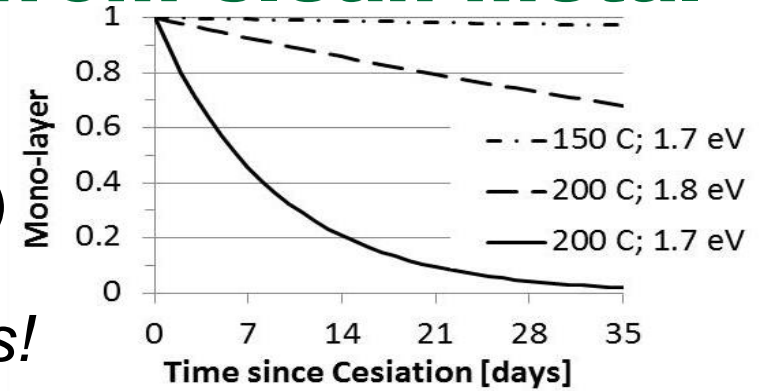
Thermal desorption of Cs from clean metal

The mean dwell time τ characterizes the thermal desorption:

$$\tau = \tau_0 \cdot \exp(E_{Cs}/k \cdot T) = 6 \cdot 10^{-13} \cdot \exp(E_{Cs}/k \cdot T)$$

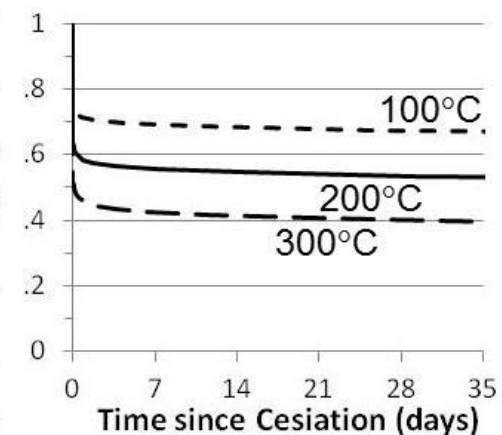
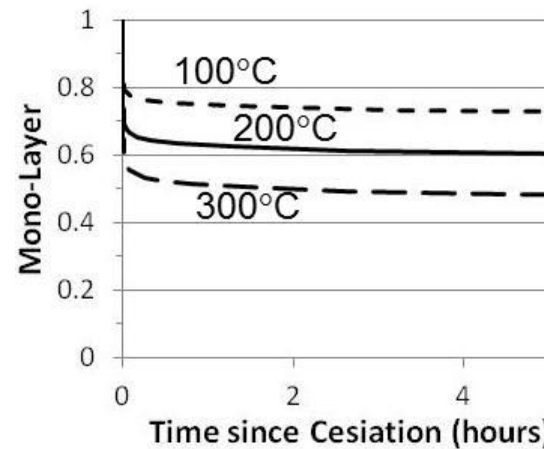
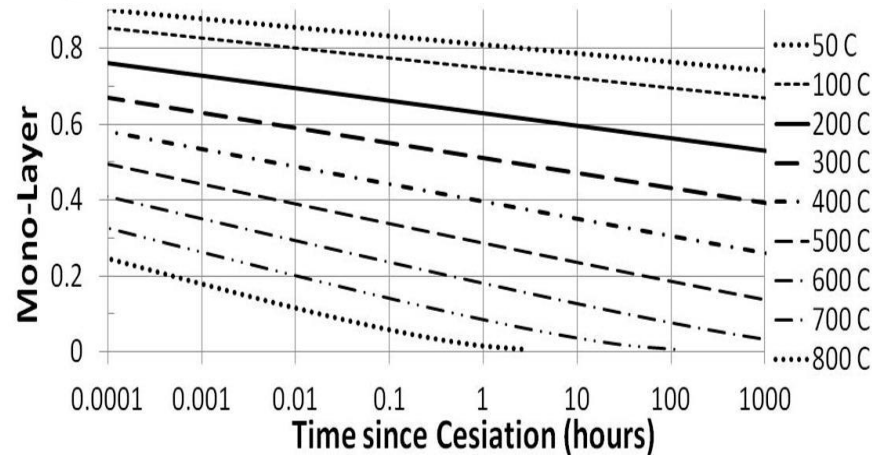
(Cs on clean W: Lee & Sickney, 72)

Minimize losses with low temperatures!



However, E_{Cs} is a function of the coverage θ , which can be derived from the loss = $d\theta/dt = \text{flux} = \theta/\tau$ so: $\theta(t) = \int (d\theta/dt) \cdot dt = \theta_0 - \int (\theta(t)/\tau(T(t), E_{Cs}(\theta))) \cdot dt$

Starting at $\theta_0=0.995$, the times it takes to shed 0.01 mono-layers are added to obtain $t(\theta)$



The increasing binding energy asymptotically eliminates thermal emission.

Surface Production of H⁻ Ions

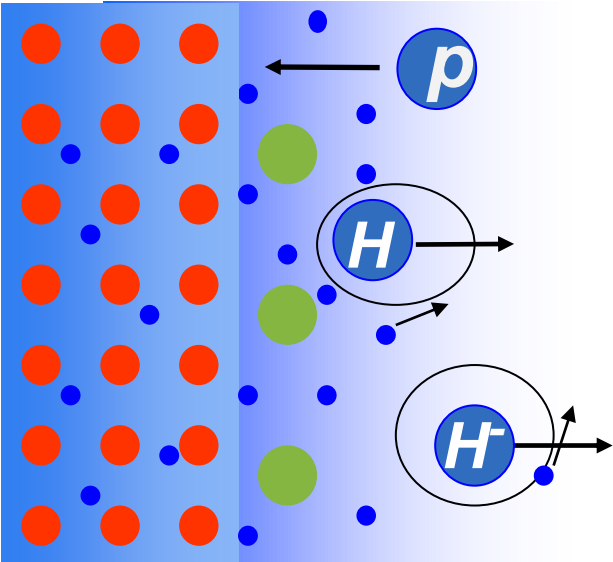
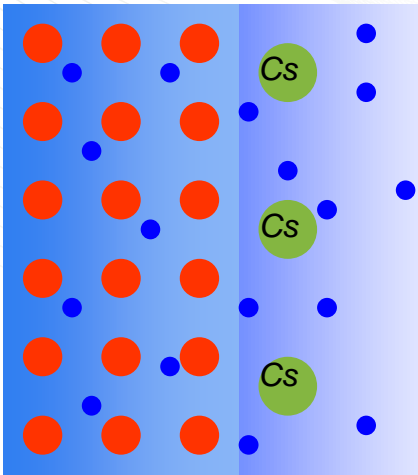
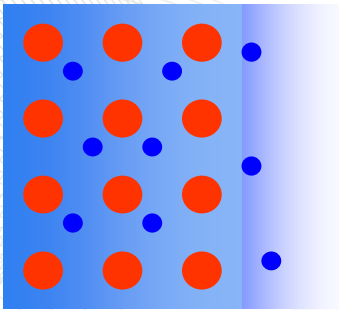
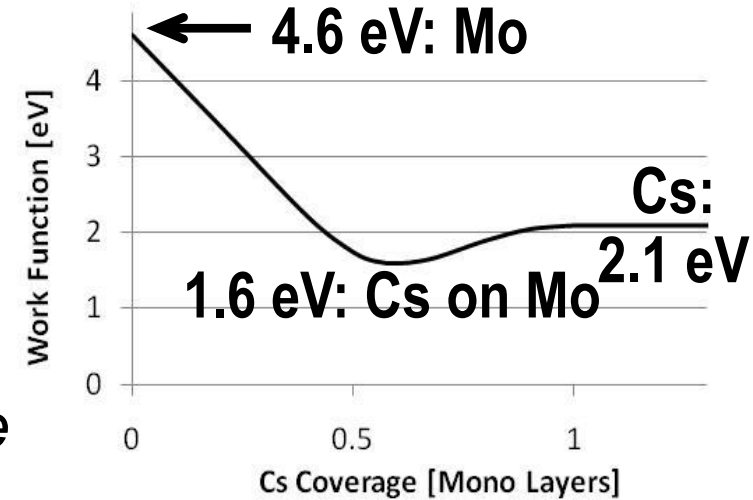
- Metals host an abundance of conduction electrons bound with ~4.5 to 6 eV to the matrix.

- Alkali metals have low work functions (2-3 eV). When adsorbed on a metal surface as a partial monolayer, alkali atoms extend the electron cloud above the surface and so lower the surface work function.

- A partial layer of Cesium on a metal surface lowers the workfunction below the workfunction of bulk Cs!

- Protons capturing an electron when hitting the surface and hyper-thermal atoms can capture a 2nd electron when bouncing back into the plasma.

This is the Surface Production of H⁻!



Kinetic Surface Ionization by Rasser

While at FOM in Amsterdam, U. of Nancy's B. Rasser calculates H⁻ ions produced from H ions and atoms being elastically reflected from W(110), Cs, and cesiated W surfaces. Rasser's He calculates probabilities that are very similar to the probabilities calculated by Bladin and by Norskov.



Bernard Rasser

$$\beta^-(v_z) = P_i(\infty) = \frac{1}{v_z} \int_{z_0}^{\infty} \Delta(z) \cdot n^-(z) \exp\left[-\frac{1}{v_z} \int_z^{\infty} \Delta(z') dz'\right] dz + P_i(0) \exp\left[-\frac{1}{v_z} \int_z^{\infty} \Delta(z') dz'\right]$$

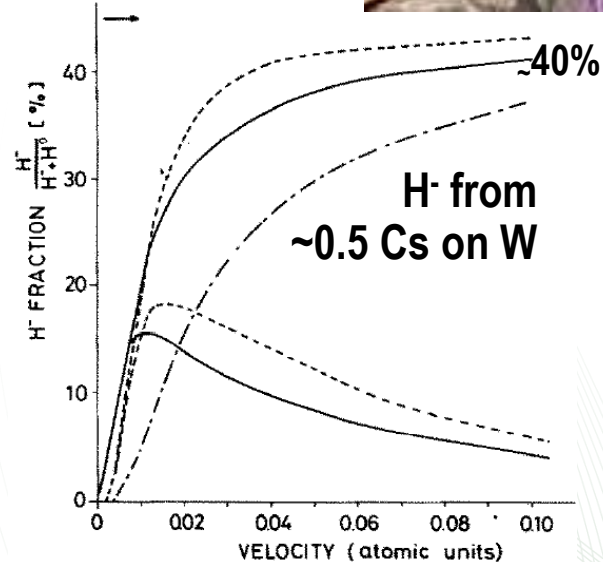
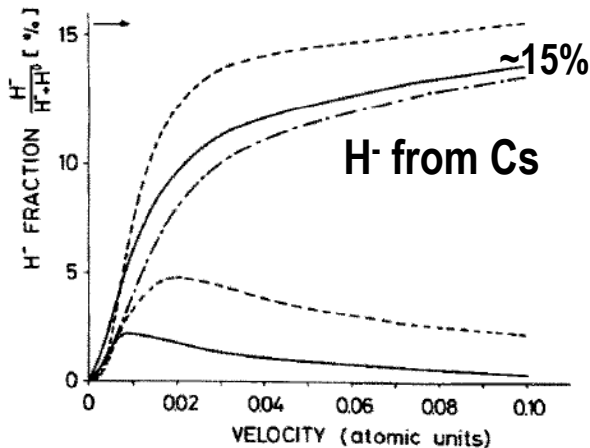
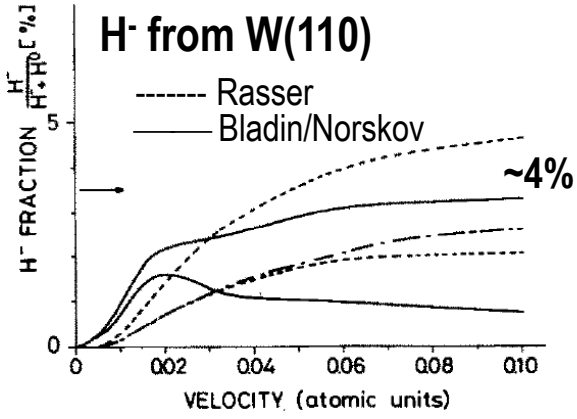
β^- final H⁻ yield

$P_i(z)$ H⁻ probability at position z

Δ width of H⁻ level

n^- instantaneous H⁻ yield

v_z projectile velocity normal to surface



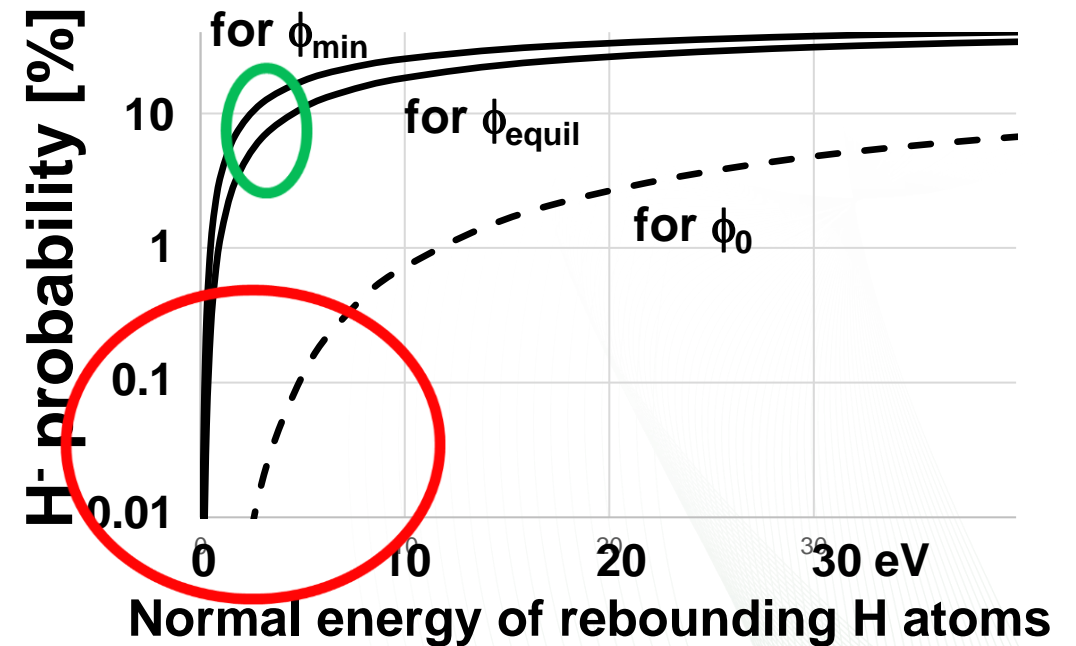
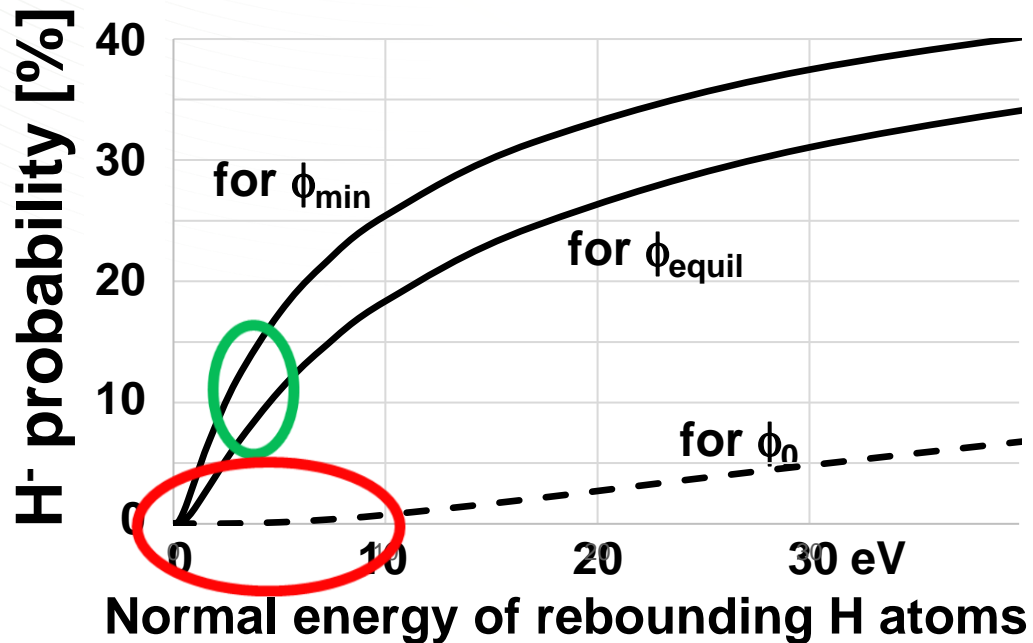
Partially cesiating a surface can increase the H⁻ yield 10 fold and more!

Kinetic Surface Ionization by Rasser

Sur. Sci. 118 (1982) 697

For low velocities ($v_{\perp} < 0.1$ a.u.): $\beta^{-} = 2/\pi \cdot \exp(-\pi (\phi - E_a)/(2 \cdot a \cdot v_{\perp}))$

β^{-} : final H⁻ yield ϕ : Workfunction E_a : Electron affinity v_{\perp} : projectile velocity normal to surface

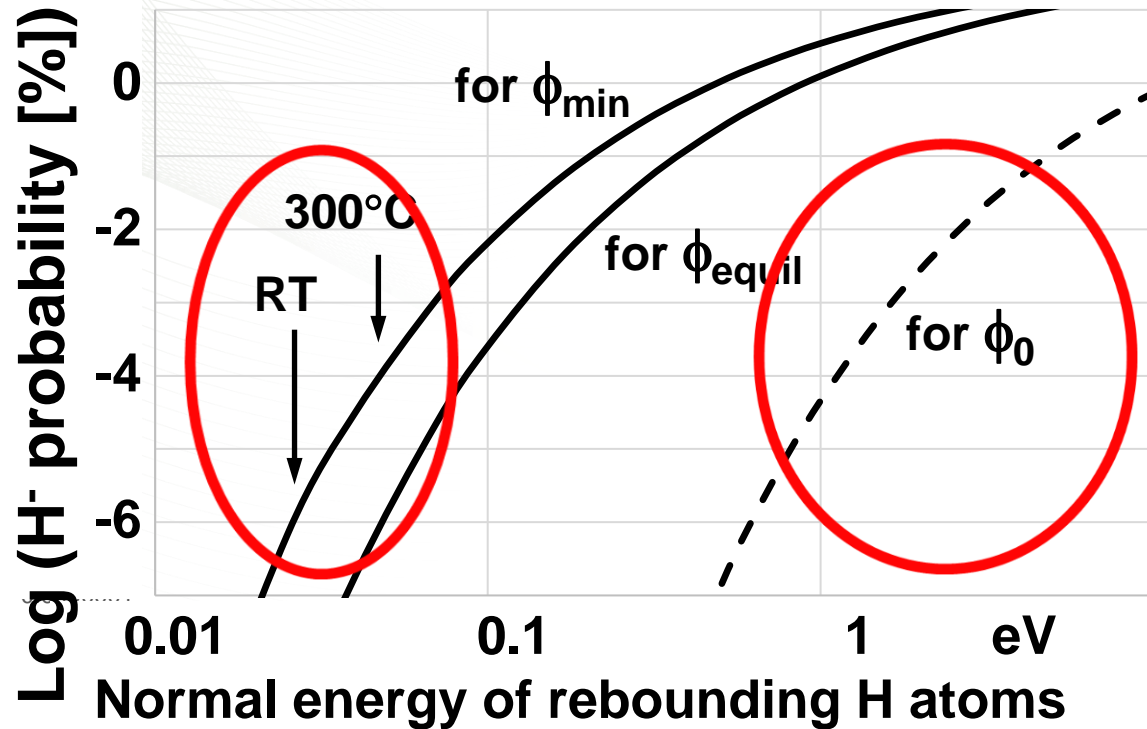


For the few eV plasma potentials the probability is ~10% !

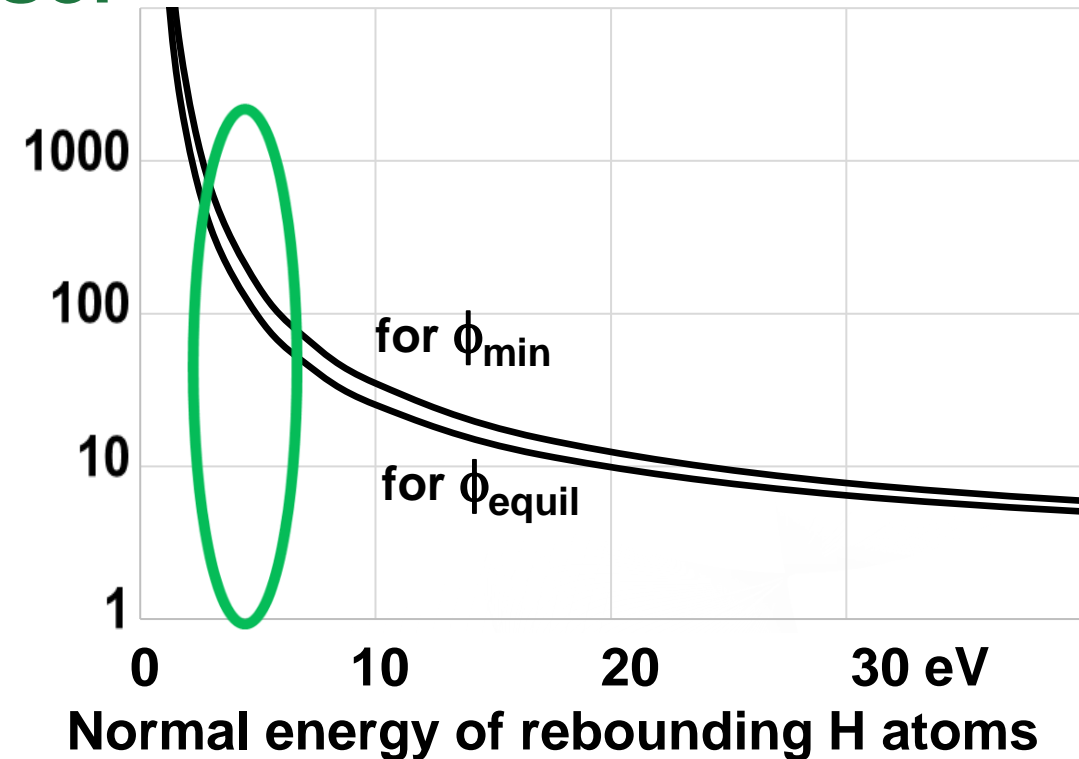
However, without Cs and/or for low energies it is negligible!

Kinetic Surface Ionization by Rasser

Sur. Sci. 118 (1982) 697



H^- probability ratio
with / without Cs



Without Cs the H^- surface production is negligible with or without plasma !

With Cs, but without plasma the H^- surface production is negligible!

Desorption does NOT create negative ions!

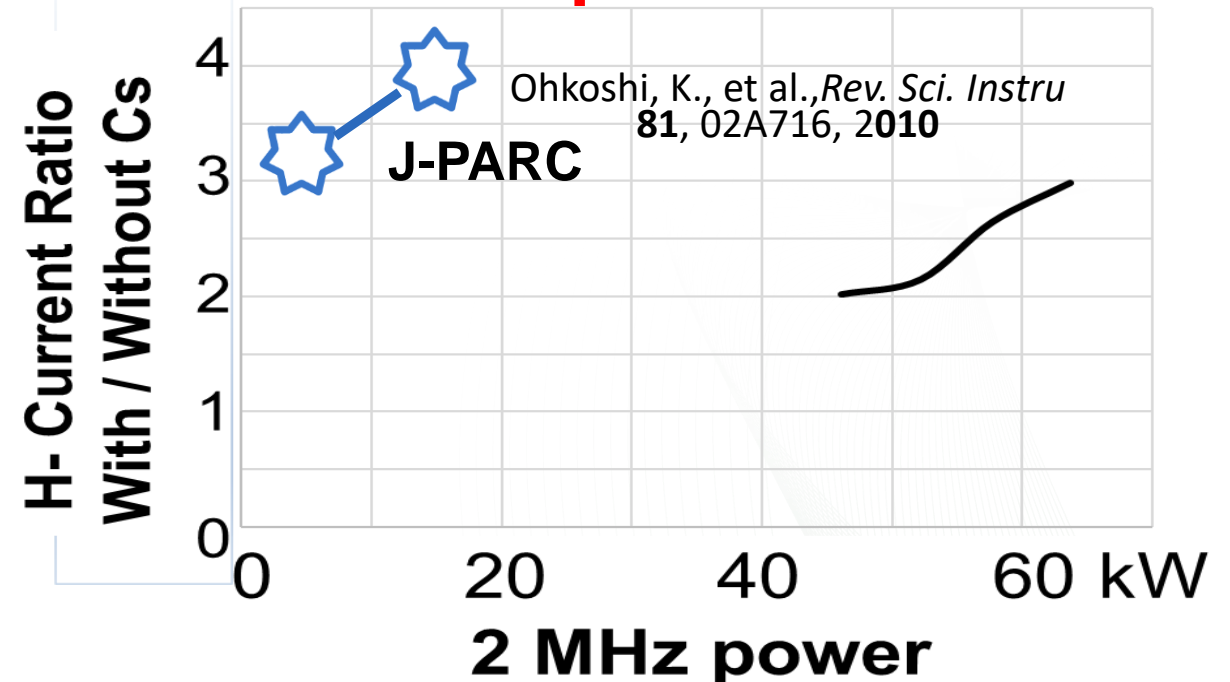
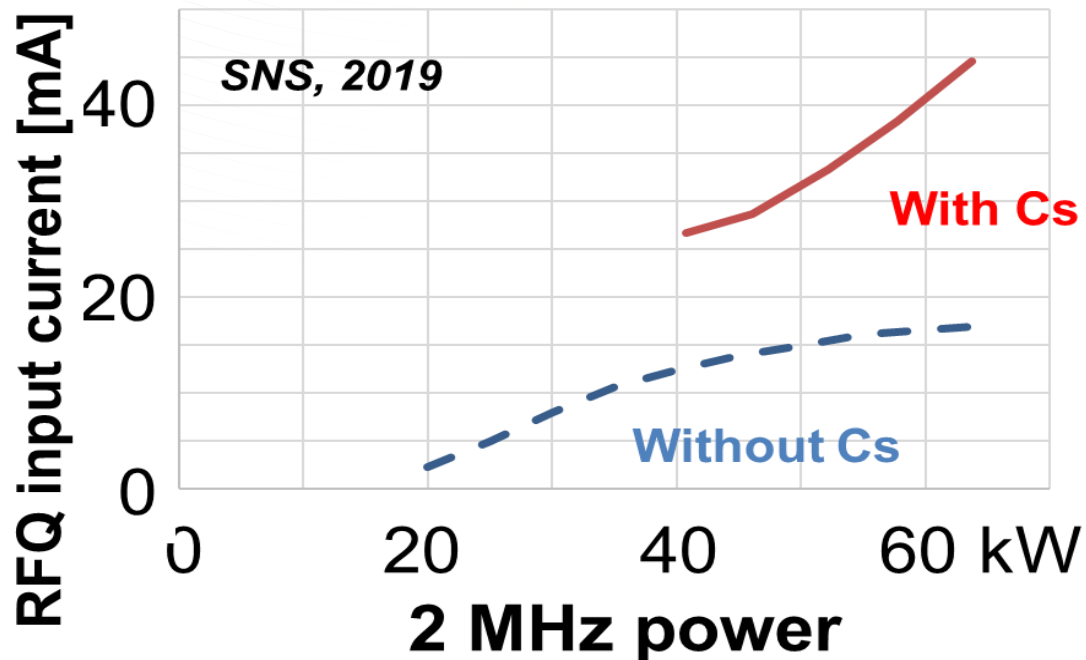
Without Cs the H^- surface production is negligible!

Without Cs the RF sources are pure **Volume sources!**

NOT Surface Plasma Sources!

Determining the Volume and the Surface produced fraction!

- The only way to determine fractions of a beam is by measuring the output H- beam current without and with Cesium!
- Without Cs one obtains the **volume produced** fraction.
- The **current increase** after cesiation is the **surface produced** fraction

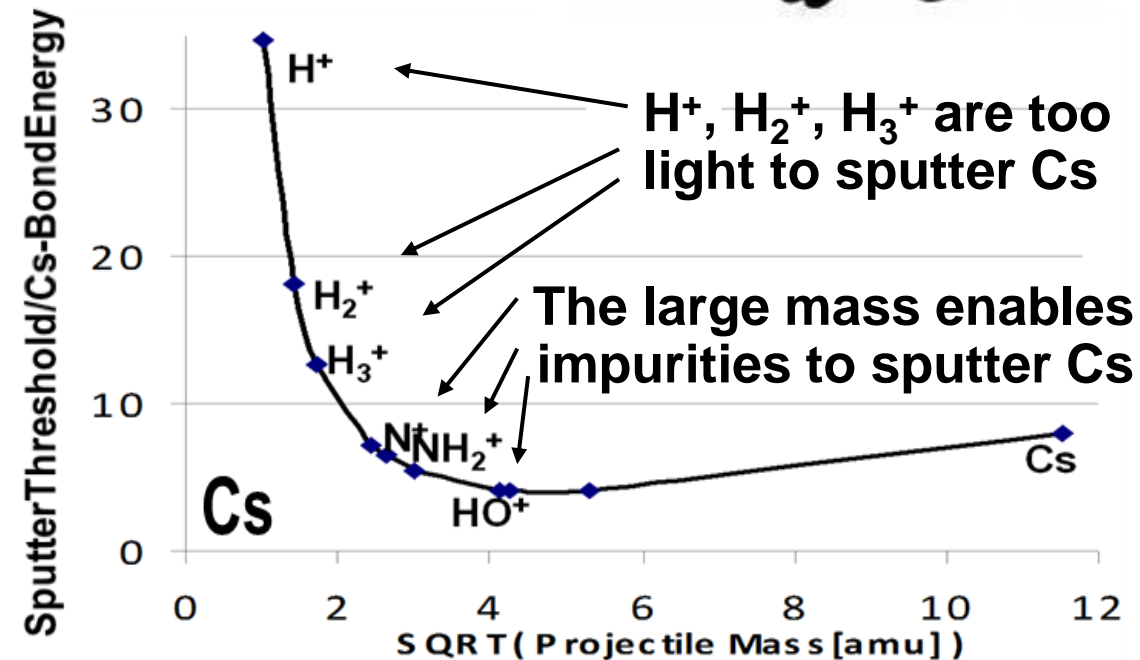


50-66 % are surface produced at SNS & 66-75% at J-PARC!

**The surface produced fraction is NOT proportional the outlet diameter!
because H^- ions travel up to 10 mm before being extracted!**

Sputtering, the silent ion source killer !!

- An electric field is required to accelerate the electrons to an energy sufficient to ionize the neutral particles.
- The electric field, however, also accelerates the ions in the plasma sheath at the cathode. These accelerated ions impact on the electrode and sputter atoms away from the electrode.
- The low sputter rates of heavy, refractory metals yield longer lifetimes, e.g. Mo!
- Sputtered metal atoms coat insulators until they break down.
- Insulator lifetimes can be extended with recessed areas providing partial shadows. This extends the lifetime until the growing metal films flake and peel away from non-shadowed areas and short out an insulator.
- Accelerated plasma ions sputter the Cs from metal substares!

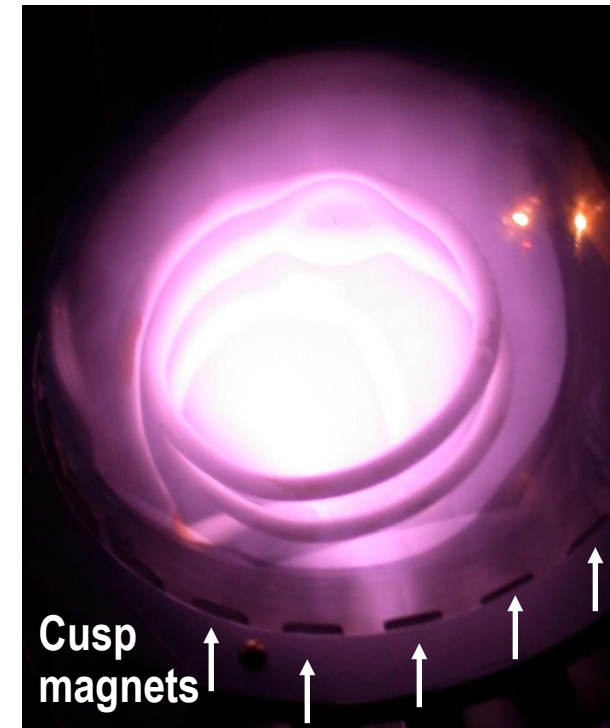
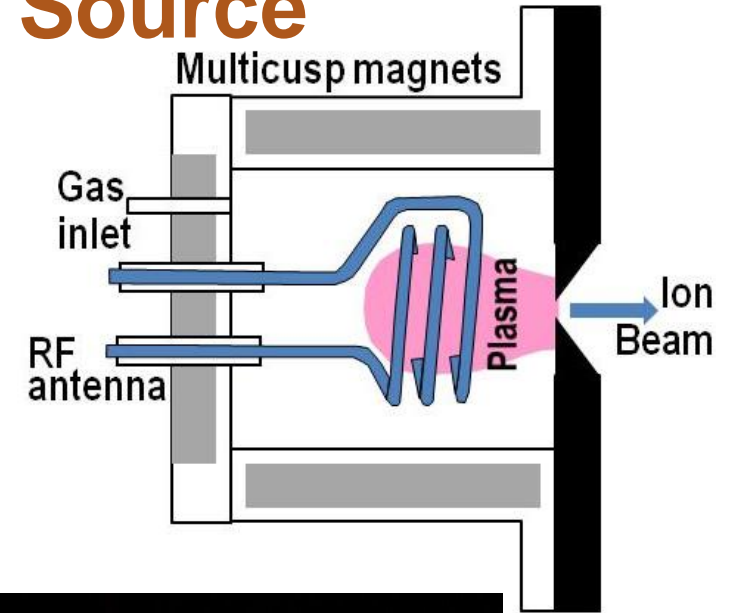


Sputtering limits the lifetime and hence needs to be minimized!!

The Inductive-coupled RF Multicusp Ion Source

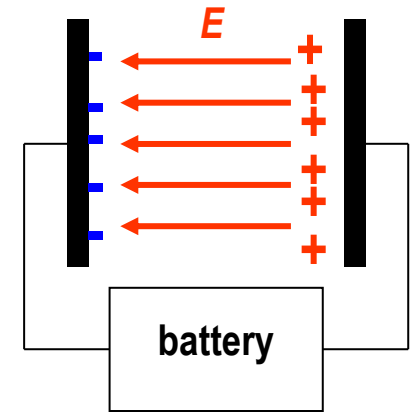
- In 1990 LBNL replaced the filament in their multicusp ion source with a 3-turn antenna, driven by 2 MHz.
- In 1992-1994 this source was tested for SSC yielding up to 100 mA for 0.1 ms at 10 Hz.
- 1999-2001 LBNL developed this source for SNS, hoping to eventually reach the 7% duty factor.
- In 2001 the plasma was visualized by replacing the stainless steel source chamber with a glass dome surrounded by identical cusp magnets.
- The **inductively induced plasma** is bright **inside** the antenna, driven by the induced high-fields near the antenna. The magnetic bucket causes the plasma to drift to the center, compensating for the smaller electric fields.
- Less plasma drifts into the confining cusp fields.

Multicusp confinement is well suited for an inductively driven ion source!



What did Maxwell tell us about Electric Fields?

- The 1st Maxwell Equation: $\nabla \cdot E = \rho / \epsilon_0$ describes electric fields generated by any free net charges and the easy controllable surface charges ρ on electrodes. The negative surface charges attract the positive ions, **causing the sputtering!**



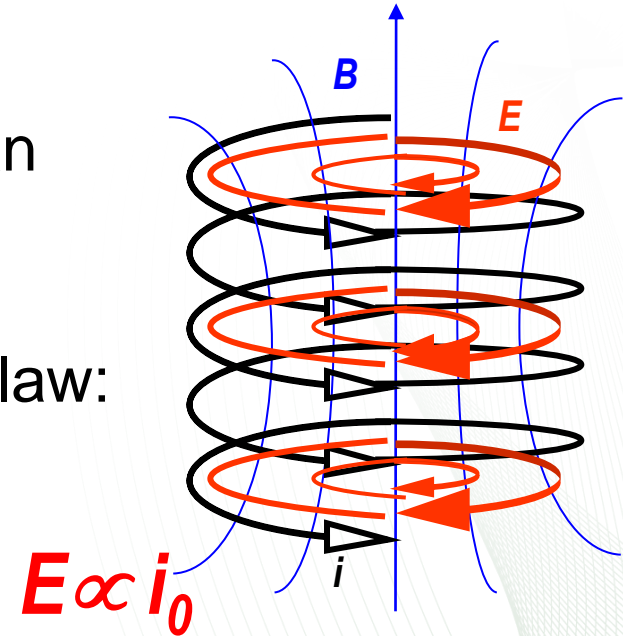
- The 2nd Maxwell Equation, however, $\nabla \times E = - \partial B / \partial t$ describes a curling E field generated by a changing magnetic field in absence of any charges!

A changing magnetic field B can be produced with an alternating current $i = i_0 \cdot \cos(\omega t)$ in N windings with radius r_0 : $B = \frac{1}{2} \cdot \mu_0 \cdot N \cdot i / r_0$ (Biot-Savart).

Now integrate Maxwell's 2nd equation for Faraday's law:

$$\int \mathbf{E} \cdot d\mathbf{s} = -d\Phi_B / dt = -d/dt \int \mathbf{B} \cdot d\mathbf{l}$$

and solve for E : $E(r,t) = \frac{1}{4} \cdot r / r_0 \cdot \mu_0 \omega \cdot N \cdot i_0 \cdot \sin(\omega t)$

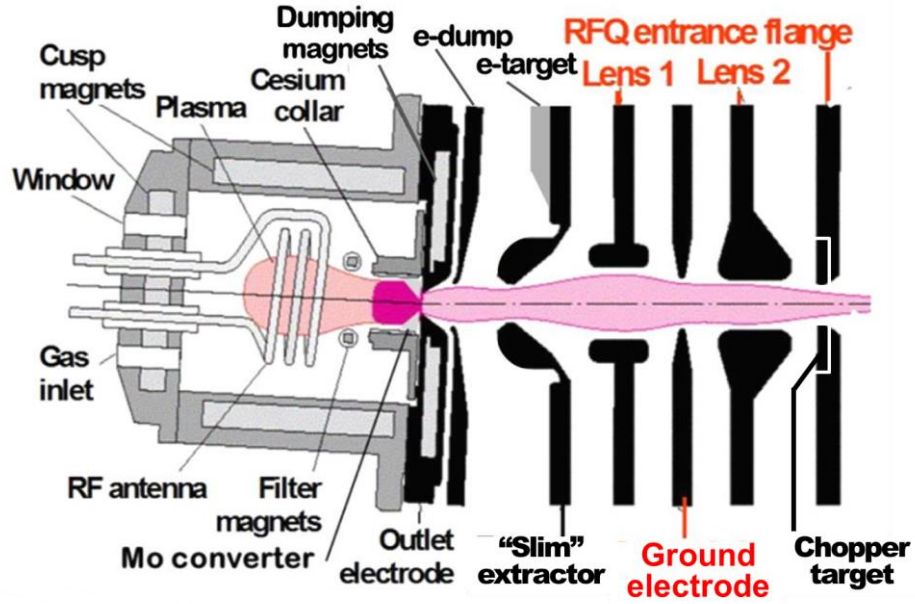


This are circular electric fields that bite their own tails rather than a poor electrode!

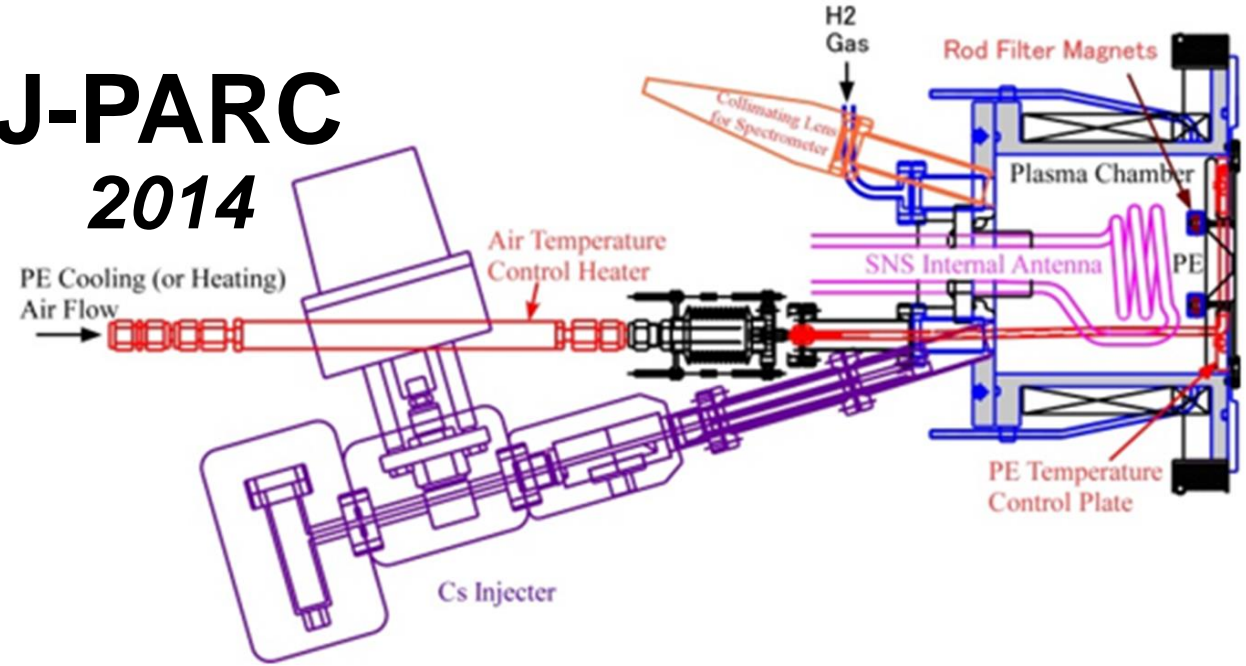
O.K., but does it work??

The four RF H- sources on Advanced Accelerators!

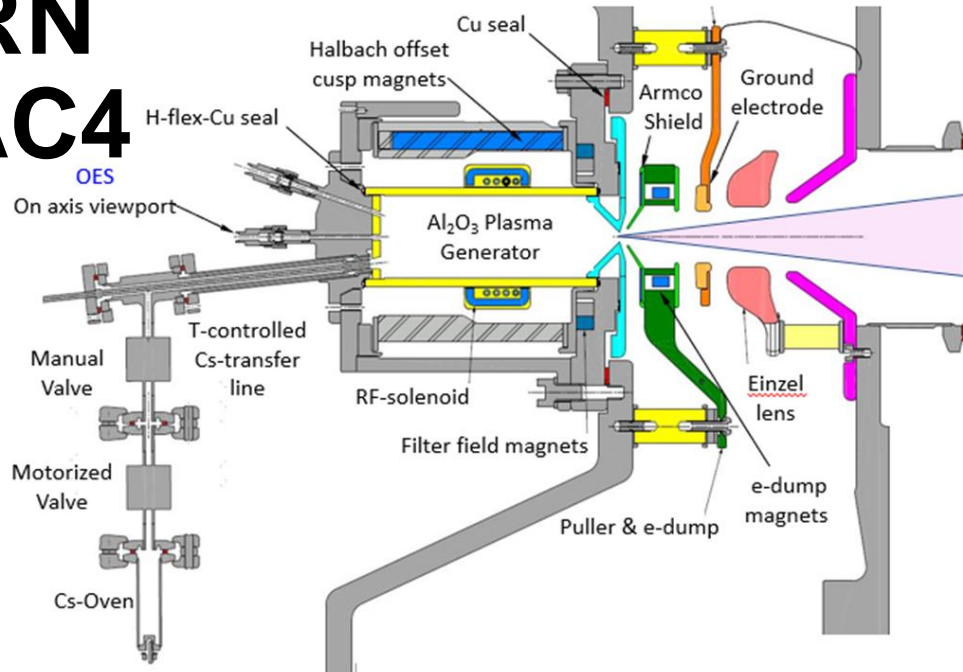
**SNS
2006**



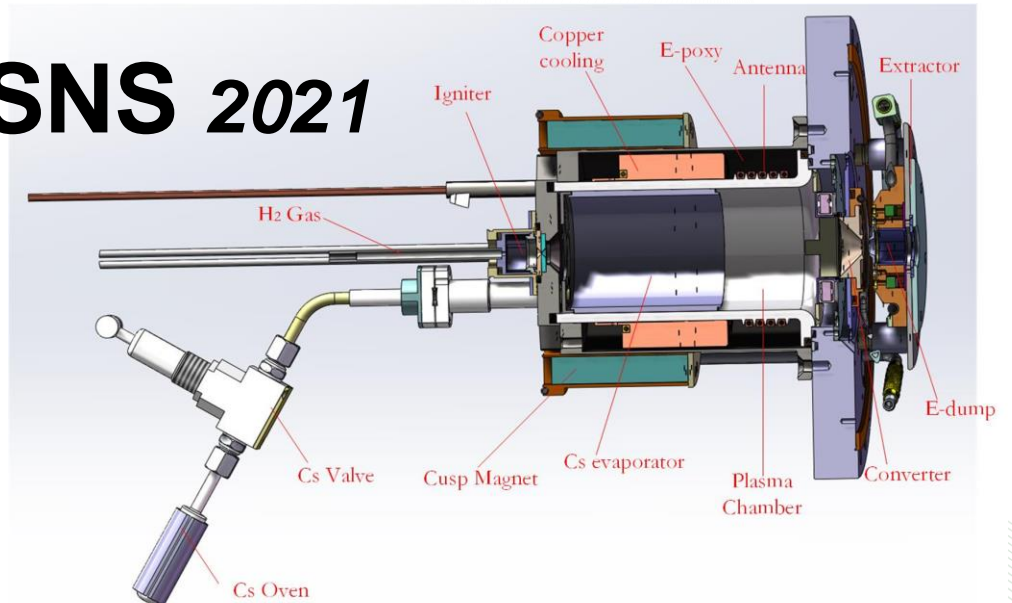
**J-PARC
2014**



**CERN
LINAC4
2020**



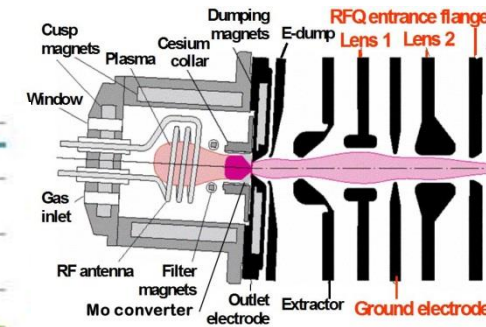
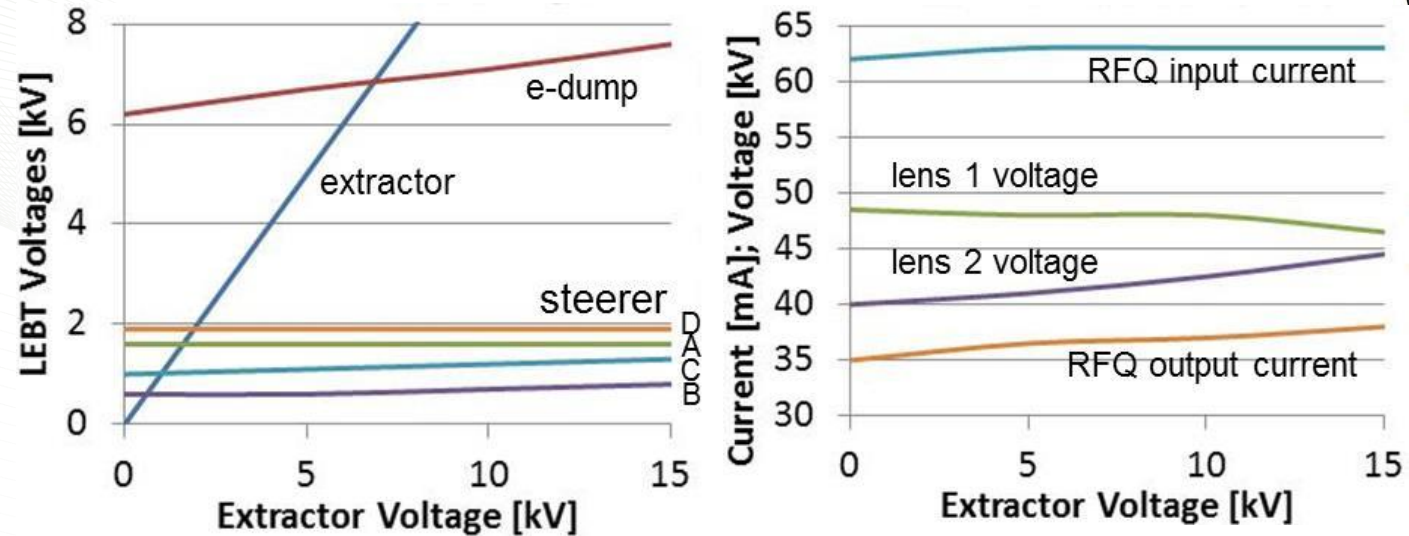
CSNS 2021



The four RF H- sources on Advanced Accelerators!

	UNITS	SNS	J-PARC	CERN	CSNS
Plasma chamber	material	304 SS	SS	Al ₂ O ₃	Si ₃ N ₄
continuous flow	sccm H ₂	~30	~21	pulsed	~20
Antenna	location	internal	internal	external	external
RF frequency	MHz	2	2	2	2
RF Power	kW	~50	~21	~30	~30
RF pulse length	ms	1.0	0.8	0.9	0.7
Repetition Rate	Hz	60	25	0.83	25
Thermal load	kW	3.2	0.5	0.02	0.5
Outlet diameter	mm	7/8	9	7.5	8
Extraction Voltage	kV	65	50	45	50
LEBT output current	mA	50/-70	60	37	37
Longest usage cycle	weeks	19	22	61	45
Cs use per cycle	mg	~5	~90	~100	~150

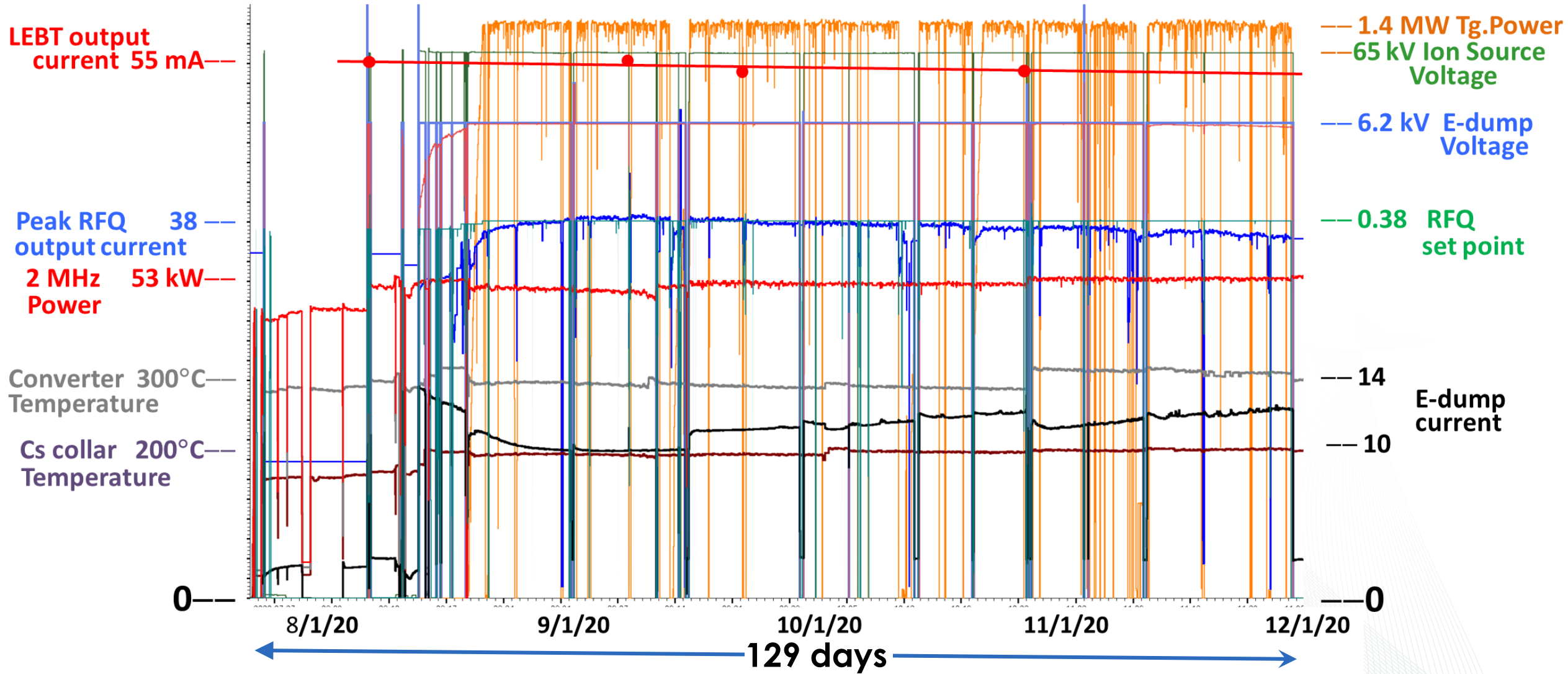
Extraction Studies of 5/3/16



- E-dump was scaled to maintain an uniform extraction field.
- The LEBT chopper target current barely increased, consistent with an ion density limited extraction.
- Optimal RFQ output current required Lens 1 to be lowered and lens 2 to be increased to adjust the stronger lens 1 focus.
- Only steerers B & C needed slight increases.
- The RFQ output increased by ~10%, for another >100 kW.
- Results are consistent with lower LEBT beam emittances.

Lower beam emittances increase the RFQ transmission!

18.4 weeks of almost persistent 54 mA RFQ input Beam



- In the previous run, the ion source (#2) had ~129 days of plasma operation.
- ~54 mA beam was delivered to the RFQ for the run.
- Ion source and LEBT availability was 99.93% for the required periods.

Conclusions

- The RF H- sources have revolutionized the production of H- ions.
- They now deliver routinely up to 60 mA.
- They have increased the H- ion source lifetimes from 1 to 10 Amp-hrs!
- They have increased the duty factors from ~1 to 10%^{anniversary}
- NO antenna failed on the SNS main accelerator since 1-13-2013
- However, it was rediscovered how to make antennas fail on the BTF and ISTS.
- No old age antenna failures have ever been identified!

Thank you for your attention!