

Rare and new top quark interactions in CMS

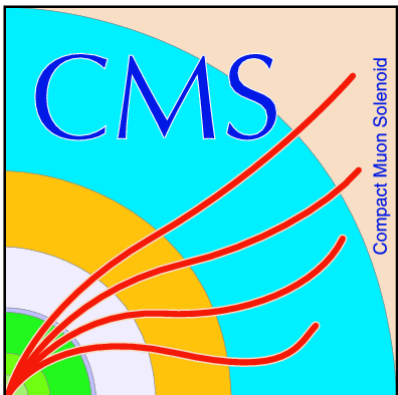
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Universidad de Oviedo

Clara Ramón Álvarez
On behalf of the CMS Collaboration

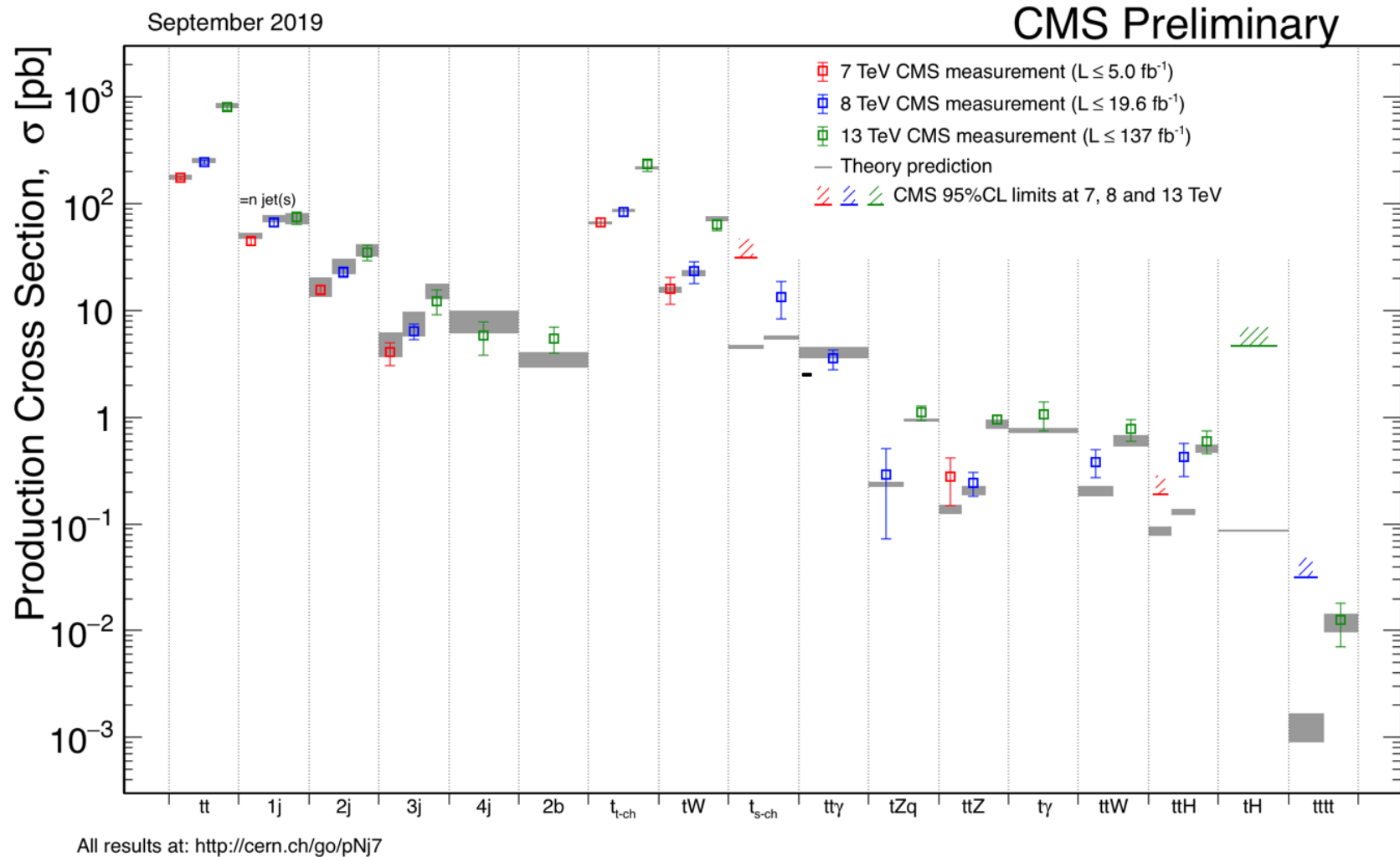
clara.ramon.alvarez@cern.ch



Introduction

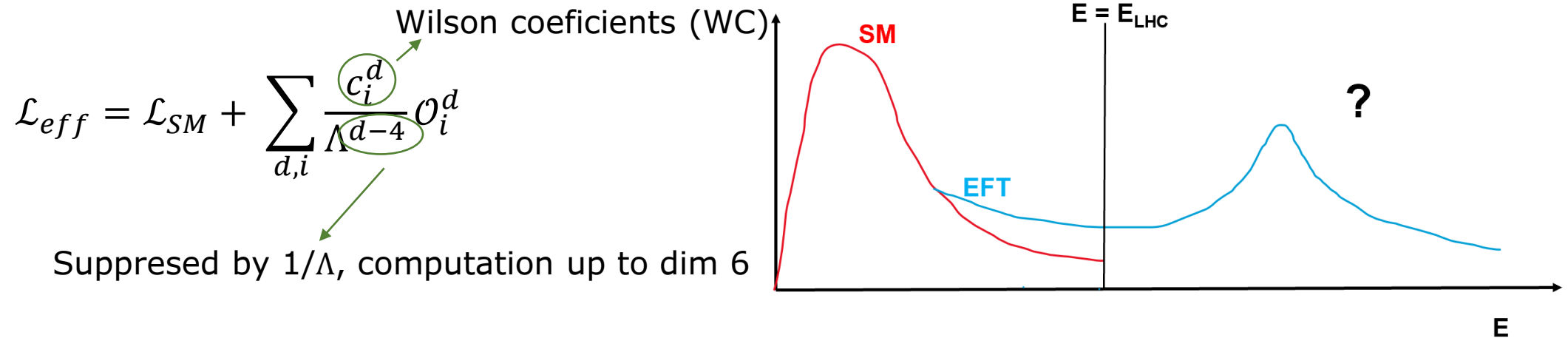
Top-quark production can be used to look for **New Physics**:

- Effective Field Theory frameworks (EFT)
- Searches for Flavour Changing Neutral currents
- CP-violation
- ...



Introduction: EFT

- No clear sign of New Physics @LHC from direct searches
- Allows to search for BSM effects in a model independent way, using precision measurements
- SM Effective Field Theory (SMEFT) parametrizes the effect of physics up to an energy scale (Λ).
- The Lagrangian can be expressed as an expansion in higher dimensional (d) operators (\mathcal{O}) consisting of SM fields



Introduction: EFT

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \sum_{d,i} \frac{C_i^d}{\Lambda^{d-4}} \mathcal{O}_i^d$$

Wilson coefficients

Suppressed by $1/\Lambda$, computation up to dim 6

- 59 non-redundant dim-6 operators
- Depending on CP/flavour assumptions

CERN-LPCC-2018-01

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
		$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating			
Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s^k q_t^i)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{qqqu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^\alpha)^T C q_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{qqqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jn} \varepsilon_{km} [(q_p^\alpha)^T C q_r^\beta] [(q_s^m)^T C l_t^n]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				

Table 3: Four-fermion operators.

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
Q_W	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

Table 2: Dimension-six operators other than the four-fermion ones.

ttZ

2016 and 2017 data

Baseline Selection (Inclusive Measurement):

- 3 or 4 leptons
- $N_{\text{Jet}} > 0$

N_{Jet} and $N_{\text{B-tag}}$ Classification



EFT interpretation:

- SMEFT in the Warsaw basis is used
- 4 relevant coefficients used for the parametrization:

$$C_{tZ}, C_{tZ}^{[I]}, C_{\phi t}, \bar{C}_{\phi Q}$$

- Expressed using Warsaw basis:

$$\begin{cases} \text{EW dipole momentum} \\ \text{Anomalous neutral current interactions} \end{cases} \begin{cases} c_{tZ} = \text{Re} \left(-\sin \theta_W C_{uB}^{(33)} + \cos \theta_W C_{uW}^{(33)} \right) \\ c_{tZ}^{[I]} = \text{Im} \left(-\sin \theta_W C_{uB}^{(33)} + \cos \theta_W C_{uW}^{(33)} \right) \\ c_{\phi t} = C_{\phi t} = C_{\phi u}^{(33)} \\ c_{\phi Q}^- = C_{\phi Q} = C_{\phi q}^{1(33)} - C_{\phi q}^{3(33)} \end{cases}$$

θ_W : weak mixing angle

To assume Wtb vertex to be the SM one \rightarrow = 0

$$O_{uB}^{(ij)} = (\bar{q}_i \sigma^{\mu\nu} u_j) \tilde{\varphi} B_{\mu\nu}$$

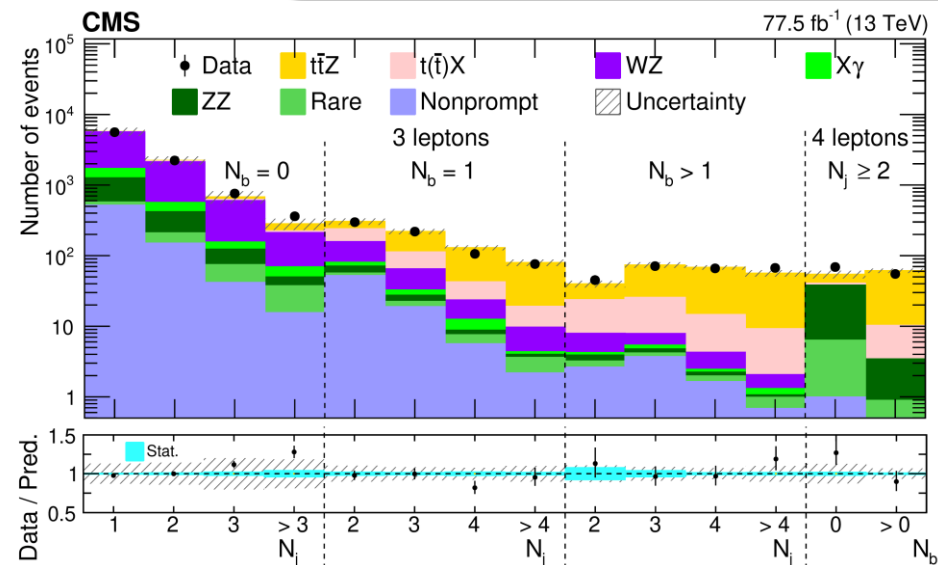
$$O_{uW}^{(ij)} = (\bar{q}_i \sigma^{\mu\nu} \tau^I u_j) \tilde{\varphi} W_{\mu\nu}^I$$

$$O_{\varphi u}^{(ij)} = (\varphi^\dagger \overleftrightarrow{D}_\mu \varphi) (\bar{u}_i \gamma^\mu u_j)$$

$$O_{\varphi q}^{1(ij)} = (\varphi^\dagger \overleftrightarrow{D}_\mu \varphi) (\bar{q}_i \gamma^\mu q_j)$$

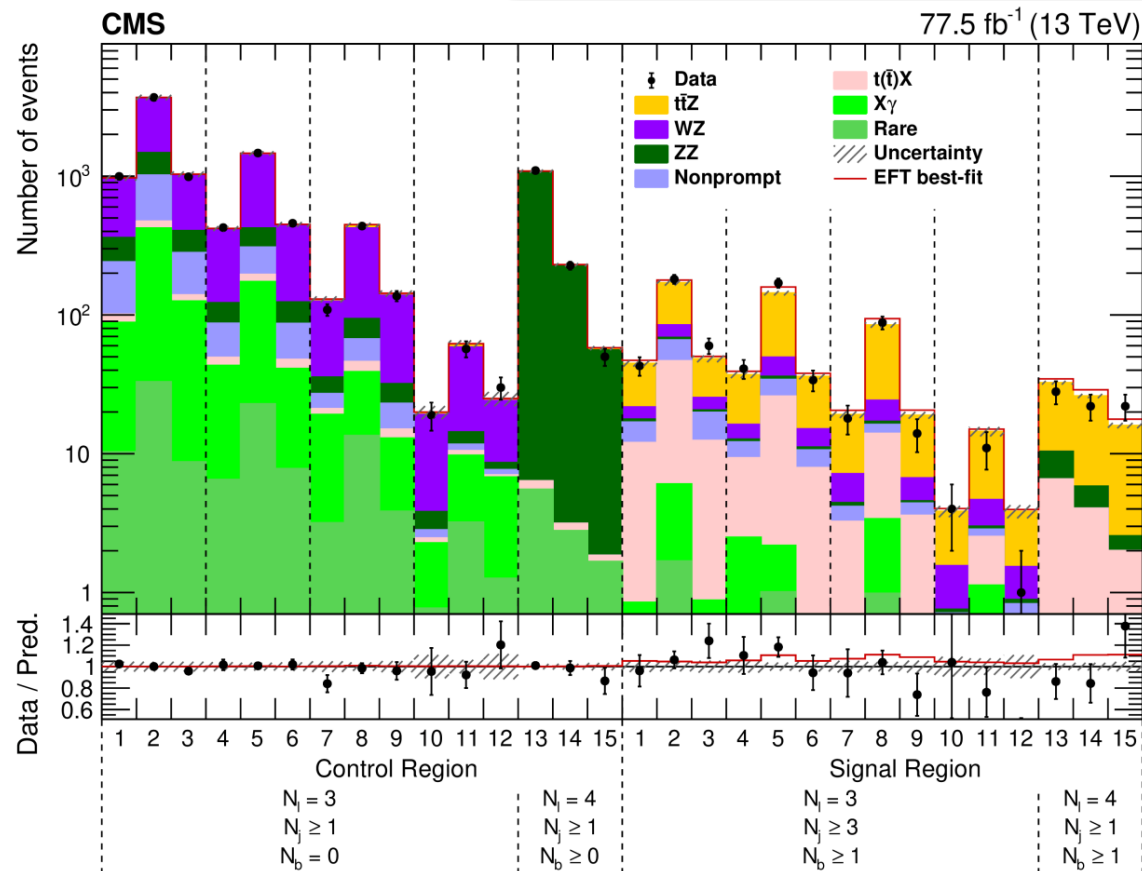
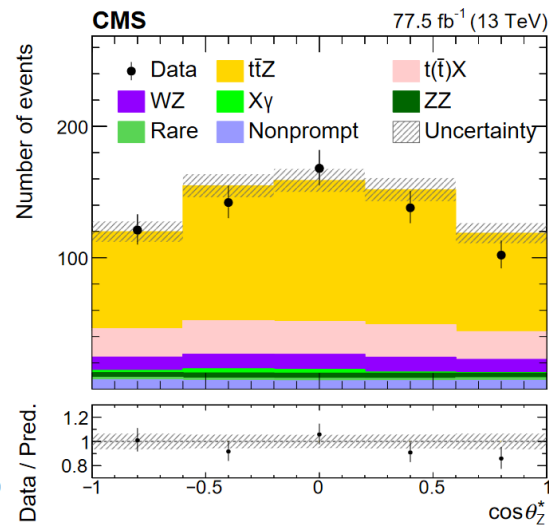
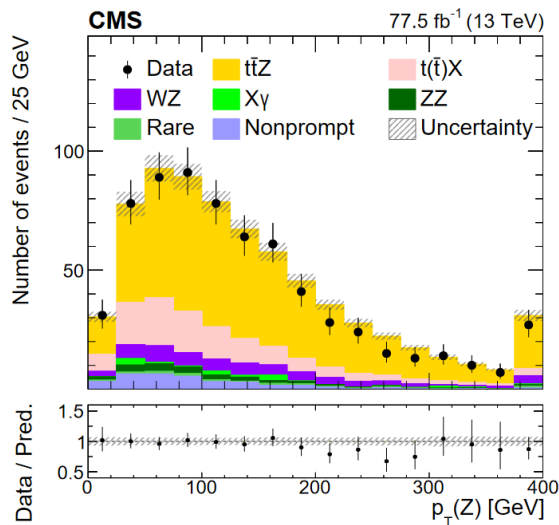
$$O_{\varphi q}^{3(ij)} = (\varphi^\dagger \overleftrightarrow{D}_\mu^I \varphi) (\bar{q}_i \gamma^\mu \tau^I q_j)$$

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Classification to enhance sensitivity:

Events are further classified in bins of $p_T(\mathbf{Z})$ and bins of $\cos(\theta_Z^*)$ (cosine of the angle between the negative charged lepton and the Z candidate in the Z rest frame.)



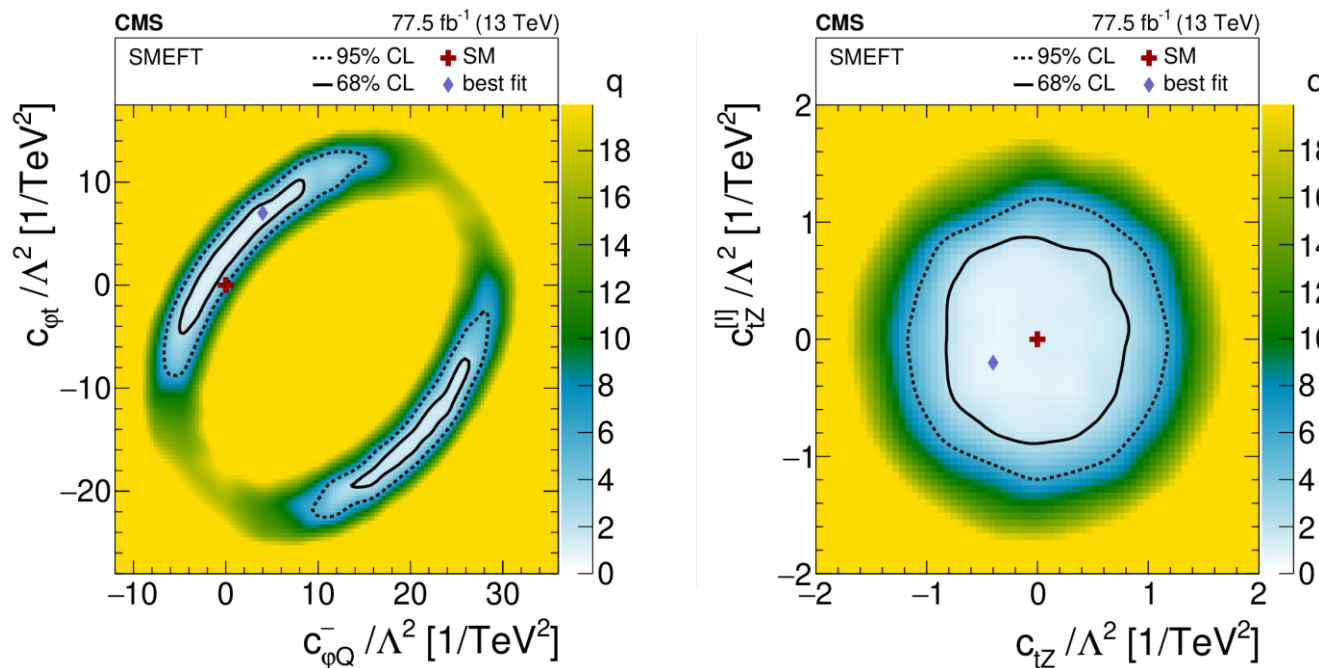
Procedure:

- gen-level samples for SM and SMEFT (LO) produced with grid in the parameter space
- Weight SMEFT/SM applied to detector-level SM sample
- Simultaneous fit using all N_{Lep} categories split in N_{Jet} , $N_{\text{B-tag}}$, $p_T(\mathbf{Z})$, $\cos(\theta_Z^*)$

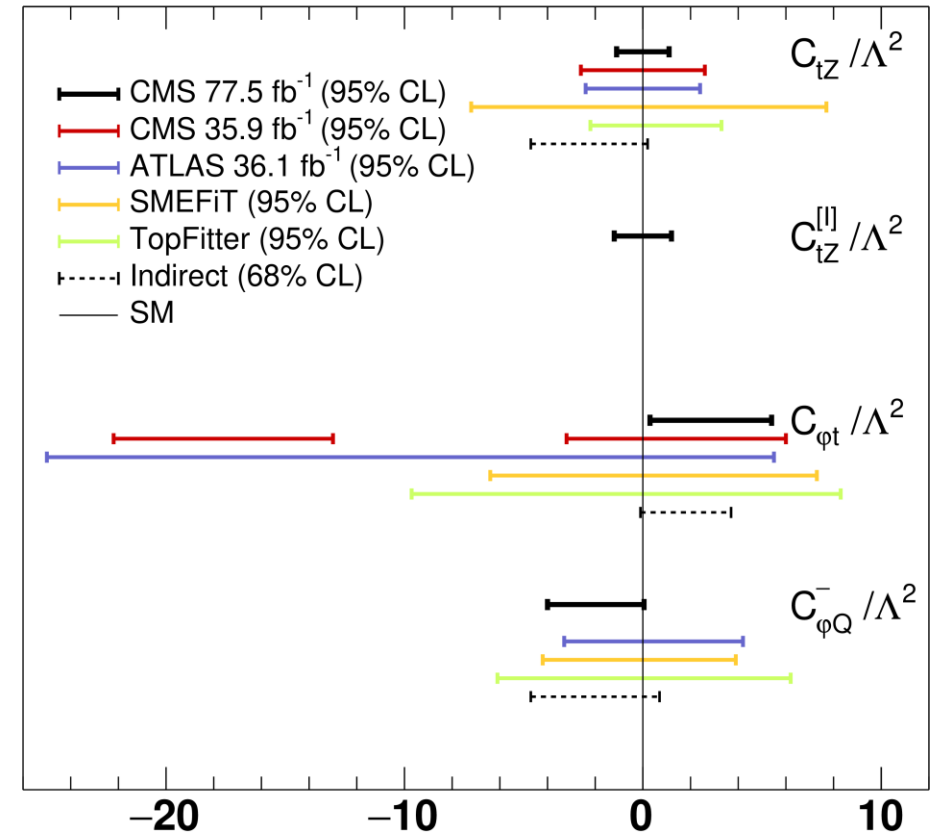


Results:

- Most stringent direct constrains on top quark EW dipole moments and top-Z vector couplings
- Good agreement with SM



CMS



$t\bar{t}t\bar{t}$

Very low cross section process $\sigma(\text{NLO}) \sim 9 \text{ fb}$
(not yet observed)

2016 data

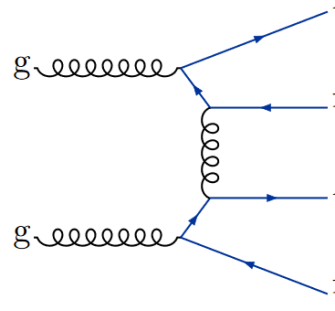
Baseline Selection: $2\ell\text{OS}$ and single lepton final states

Strategy:

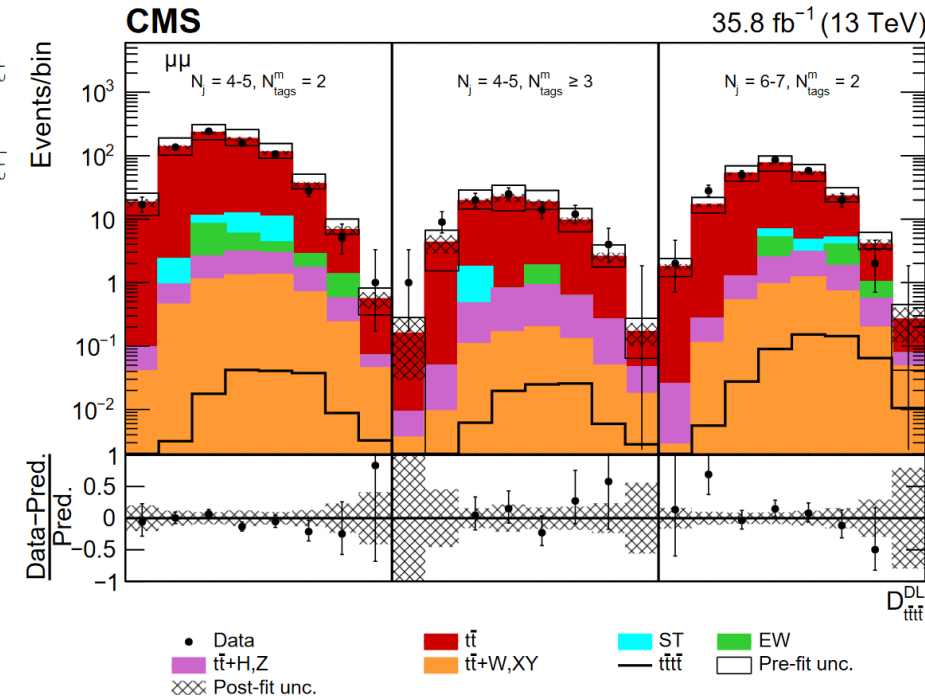
BDT used to identify hadronic top decays. A second **BDT** is used to discriminate $t\bar{t}t\bar{t}$ from $t\bar{t}$. This takes as input the first BDT, event topology, event activity, $N_{\text{B-tag}}$

EFT Interpretation:

- Sensitive to 4-fermion interactions
- SMEFT in the Warsaw basis is used
- Four operators relevant at LO production



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$$\begin{aligned} \mathcal{O}_{t\bar{t}}^1 &= (\bar{t}_R \gamma^\mu t_R) (\bar{t}_R \gamma_\mu t_R), \\ \mathcal{O}_{Q\bar{Q}}^1 &= (\bar{Q}_L \gamma^\mu Q_L) (\bar{Q}_L \gamma_\mu Q_L), \\ \mathcal{O}_{Q\bar{t}}^1 &= (\bar{Q}_L \gamma^\mu Q_L) (\bar{t}_R \gamma_\mu t_R), \\ \mathcal{O}_{Q\bar{t}}^8 &= (\bar{Q}_L \gamma^\mu T^A Q_L) (\bar{t}_R \gamma_\mu T^A t_R), \end{aligned}$$

t $\bar{t}\bar{t}\bar{t}$

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Procedure:

- Cross section is parametrized at LO in terms of WC

$$\sigma_{t\bar{t}\bar{t}\bar{t}} = \sigma_{t\bar{t}\bar{t}\bar{t}}^{\text{SM}} + \frac{1}{\Lambda^2} \sum_k C_k \sigma_k^{(1)} + \frac{1}{\Lambda^4} \sum_{j \leq k} C_j C_k \sigma_{j,k}^{(2)}$$



- Simulation of EFT effects implemented using FeynRules and MadGraph_aMC@NLO

- In the limit setting kinematics of t $\bar{t}\bar{t}\bar{t}$ assumed to be the SM one.

Operator	$\sigma_k^{(1)}$	$\mathcal{O}_{t\bar{t}}^1$	$\mathcal{O}_{Q\bar{Q}}^1$	$\sigma_{j,k}^{(2)}$ $\mathcal{O}_{Q\bar{t}}^1$	$\mathcal{O}_{Q\bar{t}}^8$
$\mathcal{O}_{t\bar{t}}^1$	0.39	5.59	0.36	-0.39	0.3
$\mathcal{O}_{Q\bar{Q}}^1$	0.47		5.49	-0.45	0.13
$\mathcal{O}_{Q\bar{t}}^1$	0.03			1.9	-0.08
$\mathcal{O}_{Q\bar{t}}^8$	0.28				0.45

Results:

- Limits are set on C_k/Λ using the rate from the combination with [EPJC 78 \(2018\) 140](#)
- Marginalized constraints are set at 95% CL
- Improved constraints with respect to previous measurement [Chin. Phys. C42 \(2018\) 023104](#)

Operator	Expected C_k/Λ^2 (TeV $^{-2}$)	Observed (TeV $^{-2}$)
$\mathcal{O}_{t\bar{t}}^1$	[-2.0, 1.9]	[-2.2, 2.1]
$\mathcal{O}_{Q\bar{Q}}^1$	[-2.0, 1.9]	[-2.2, 2.0]
$\mathcal{O}_{Q\bar{t}}^1$	[-3.4, 3.3]	[-3.7, 3.5]
$\mathcal{O}_{Q\bar{t}}^8$	[-7.4, 6.3]	[-8.0, 6.8]

Operator	Chin. Phys. C42 (2018) 023104
$\mathcal{O}_{t\bar{t}}^1$	[-2.92, 2.80]
$\mathcal{O}_{Q\bar{Q}}^1$	
$\mathcal{O}_{Q\bar{t}}^1$	[-4.97, 4.90]
$\mathcal{O}_{Q\bar{t}}^8$	[-10.3, 9.33]

$t(\bar{t}) + \text{leptons}$

2017 data

Targeting $t\bar{t}H$, tH , $t\bar{t}ll$, $t\bar{t}lv$, $t\bar{t}lq$

Baseline Selection:

- MVA to select prompt leptons
- 2 same sign, 3 and 4 leptons categories
- Jet and b-tag multiplicity, charge OSSF mass used to categorize
- **35 signal regions**

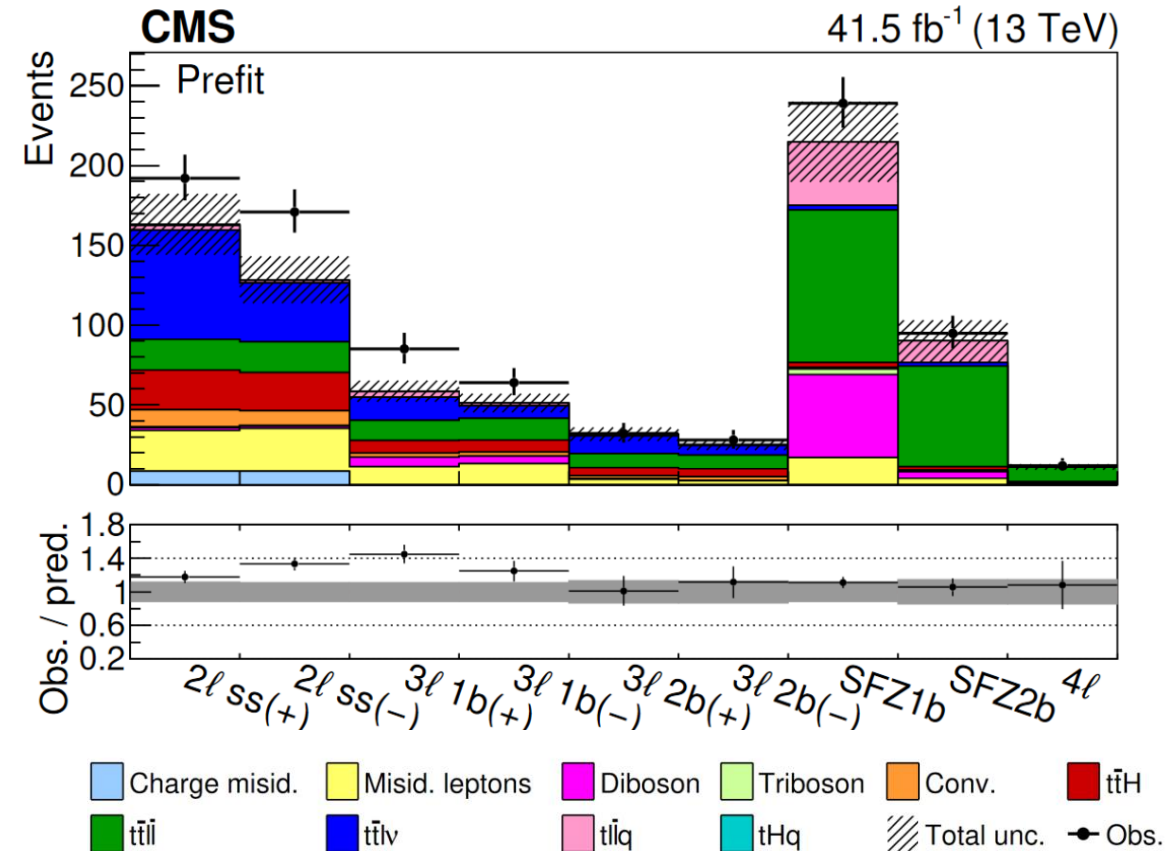
Strategy:

Signal samples modelled at LO including EFT

Using detector-level observables

Yields are parametrized as function of WC →
event weights parametrized as function of WC

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t(\bar{t}) + leptons

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Operators:

- Dim-6 in the Warsaw basis
- Operators involved in interaction with at least one top
- 16 Operators used:

Operators involving two quarks and one or more bosons

Operator	Definition	WC	Lead processes affected
$\ddagger O_{u\varphi}^{(ij)}$	$\bar{q}_i u_j \tilde{\varphi} (\varphi^\dagger \varphi)$	$c_{t\varphi} + i c_{t\varphi}^I$	$t\bar{t}H, tHq$
$O_{\varphi q}^{1(ij)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi) (\bar{q}_i \gamma^\mu q_j)$	$c_{\varphi Q}^- + c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$O_{\varphi q}^{3(ij)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi) (\bar{q}_i \gamma^\mu \tau^I q_j)$	$c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$O_{\varphi u}^{(ij)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi) (\bar{u}_i \gamma^\mu u_j)$	$c_{\varphi t}$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$\ddagger O_{\varphi ud}^{(ij)}$	$(\tilde{\varphi}^\dagger i D_\mu \varphi) (\bar{u}_i \gamma^\mu d_j)$	$c_{\varphi tb} + i c_{\varphi tb}^I$	$t\bar{t}H, t\bar{l}q, tHq$
$\ddagger O_{uW}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} \tau^I u_j) \tilde{\varphi} W_{\mu\nu}^I$	$c_{tW} + i c_{tW}^I$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\ddagger O_{dW}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} \tau^I d_j) \varphi W_{\mu\nu}^I$	$c_{bW} + i c_{bW}^I$	$t\bar{t}H, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\ddagger O_{uB}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} u_j) \tilde{\varphi} B_{\mu\nu}$	$(c_W c_{tW} - c_{tZ})/s_W + i(c_W c_{tW}^I - c_{tZ}^I)/s_W$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$
$\ddagger O_{uG}^{(ij)}$	$(\bar{q}_i \sigma^{\mu\nu} T^A u_j) \tilde{\varphi} G_{\mu\nu}^A$	$g_s (c_{tG} + i c_{tG}^I)$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, t\bar{l}q$

Operators involving two quarks and two leptons

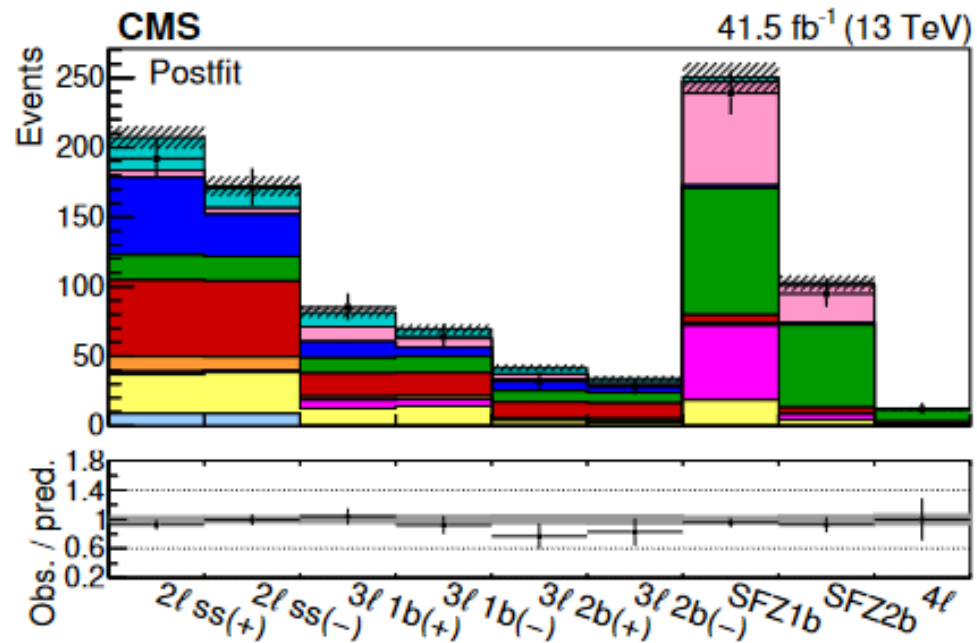
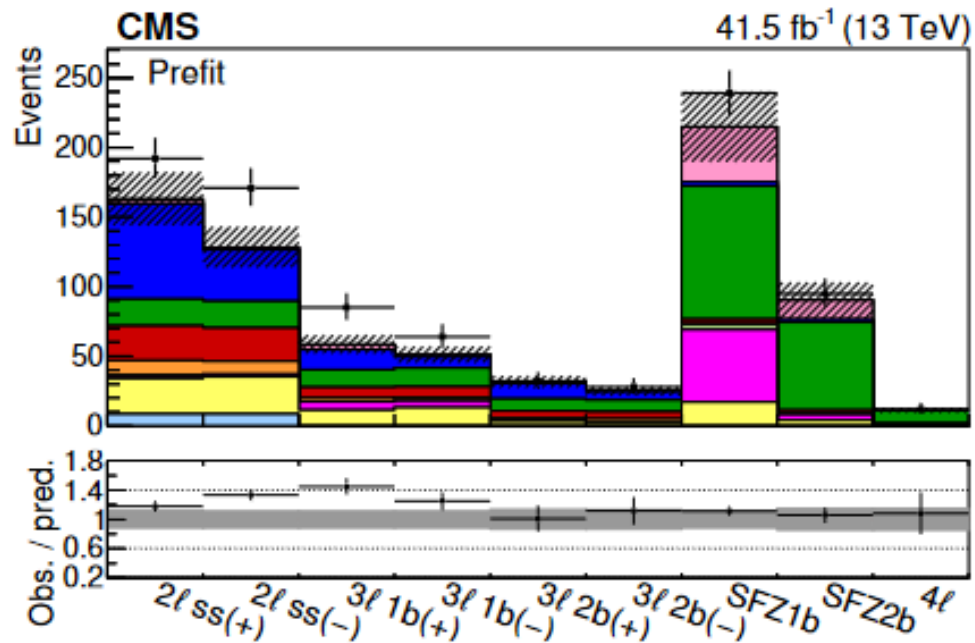
Operator	Definition	WC	Lead processes affected
$O_{\ell q}^{1(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \ell_j) (\bar{q}_k \gamma^\mu q_\ell)$	$c_{Q\ell}^{-(\ell)} + c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$O_{\ell q}^{3(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \tau^I \ell_j) (\bar{q}_k \gamma^\mu \tau^I q_\ell)$	$c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$
$O_{\ell u}^{(ijkl)}$	$(\bar{\ell}_i \gamma^\mu \ell_j) (\bar{u}_k \gamma^\mu u_\ell)$	$c_{t\ell}^{(\ell)}$	$t\bar{t}l\bar{l}$
$O_{e\bar{q}}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j) (\bar{q}_k \gamma^\mu q_\ell)$	$c_{Qe}^{(\ell)}$	$t\bar{t}l\bar{l}, t\bar{l}q$
$O_{eu}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j) (\bar{u}_k \gamma^\mu u_\ell)$	$c_{te}^{(\ell)}$	$t\bar{t}l\bar{l}$
$\ddagger O_{\ell equ}^{1(ijkl)}$	$(\bar{\ell}_i c_j) \varepsilon (\bar{q}_k u_\ell)$	$c_t^{S(\ell)} + i c_t^{SI(\ell)}$	$t\bar{t}l\bar{l}, t\bar{l}q$
$\ddagger O_{\ell equ}^{3(ijkl)}$	$(\bar{\ell}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_\ell)$	$c_t^{T(\ell)} + i c_t^{TI(\ell)}$	$t\bar{t}l\nu, t\bar{t}l\bar{l}, t\bar{l}q$

$t(\bar{t}) + \text{leptons}$

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Results:

- Simultaneous fit to the 16 WC and nuisance parameters using all 35 SR
- Post fit plot: increase in tHq is due to the low sensitivity to this process added to the fact that it receives large enhancements from the EFT operators considered

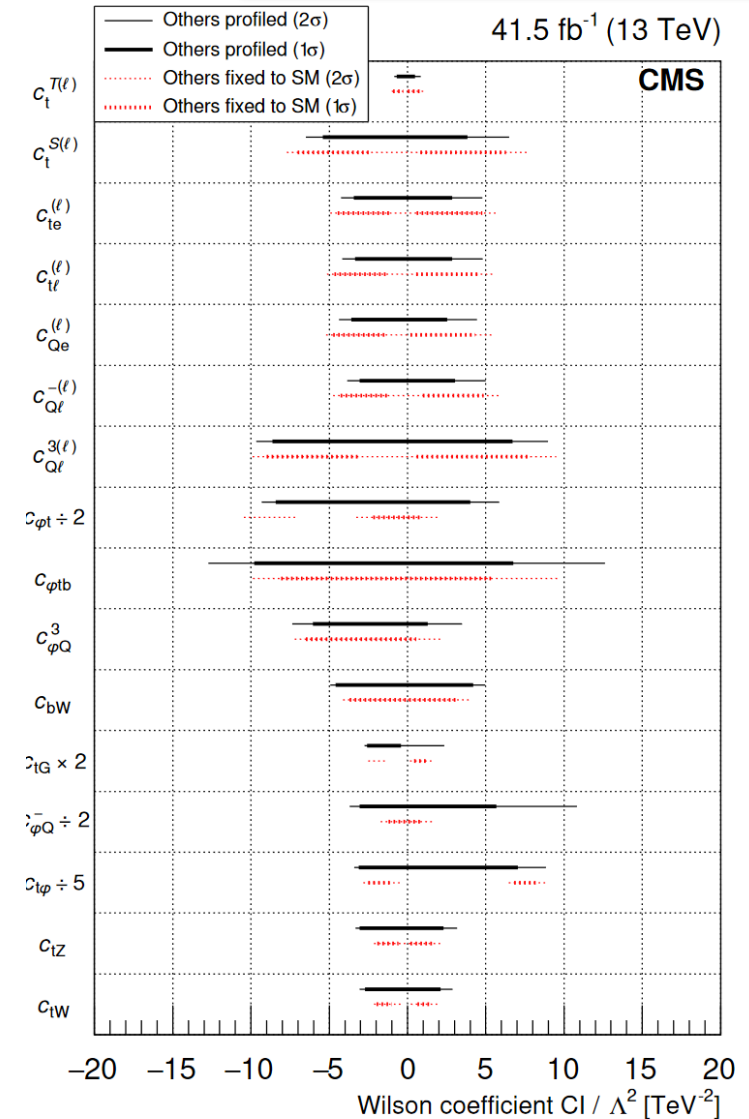
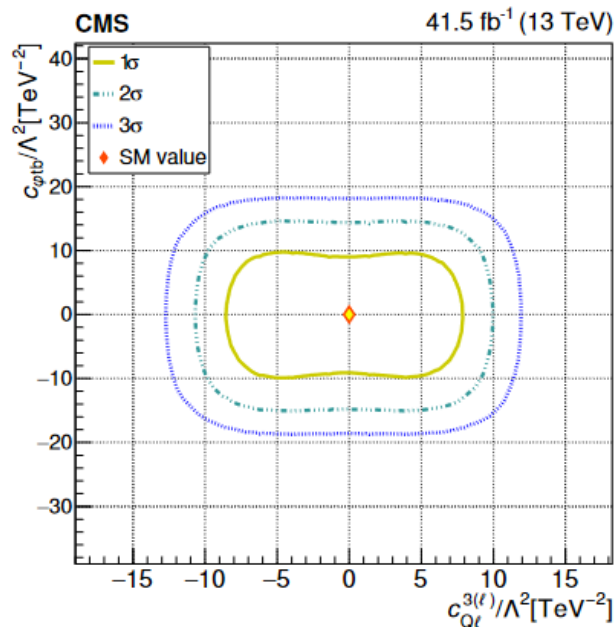
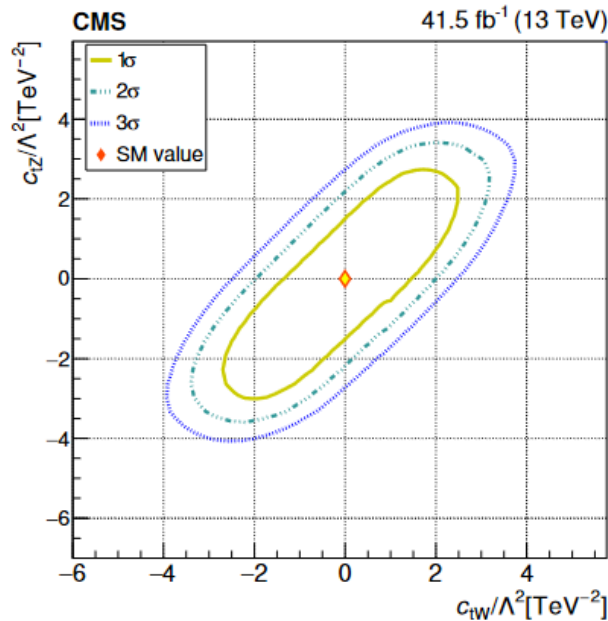


$t(\bar{t}) + \text{leptons}$

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Results:

- Simultaneous fit to the 16 WC and nuisance parameters using all 35 SR
- One dim CL for all considered WC
- 2-d CL with other WC treated as unconstrained
- Results in agreement with SM



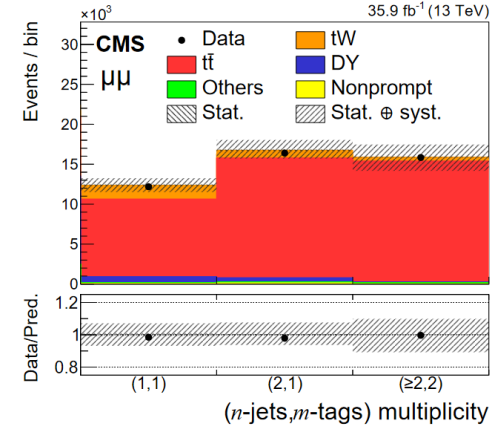
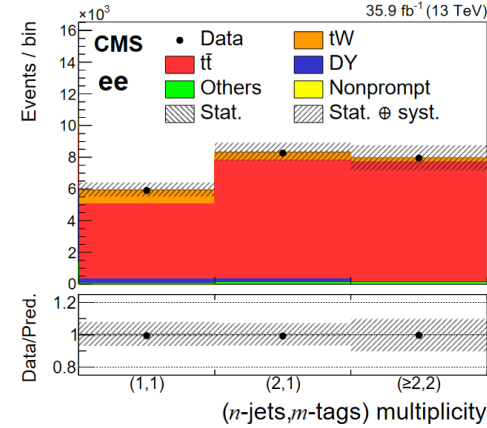
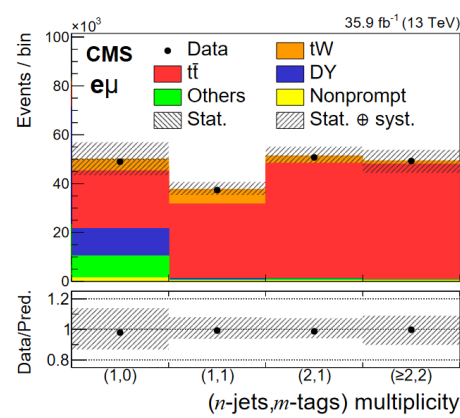
$t\bar{t} + tW$ to dilepton

2016 data

Baseline Selection:

- Two leptons OS
- At least 1 jet
- Categorization in flavour, jet and b-tag multiplicity

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Operators Involved:

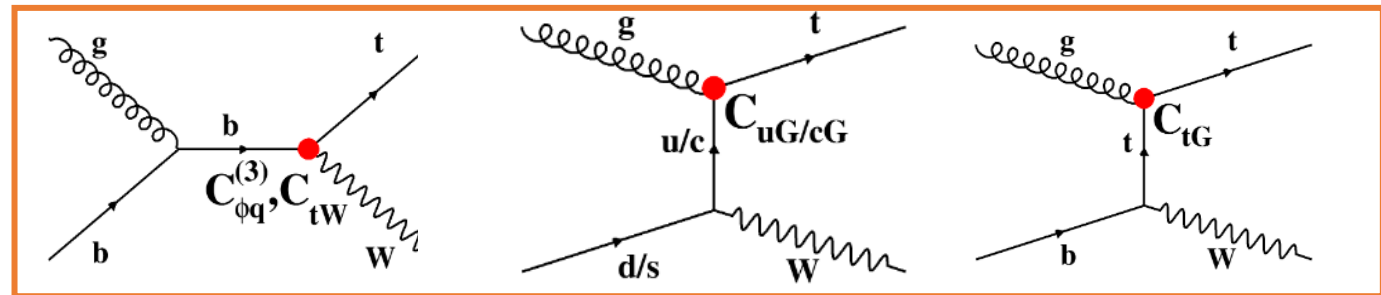
$$O_{\phi q}^{(3)} = (\phi^+ \tau^i D_\mu \phi) (\bar{q} \gamma^\mu \tau^i q)$$

$$O_{tW} = (\bar{q} \sigma^{\mu\nu} \tau^i t) \tilde{\phi} W_{\mu\nu}^i$$

$$O_{tG} = (\bar{q} \sigma^{\mu\nu} \lambda^a t) \tilde{\phi} G_{\mu\nu}^a$$

$$O_G = f_{abc} G_\mu^{av} G_\nu^{bp} G_\rho^{c\mu}$$

$$O_{u(c)G} = (\bar{q} \sigma^{\mu\nu} \lambda^a t) \tilde{\phi} G_{\mu\nu}^a$$



$t\bar{t} + tW$ to dilepton

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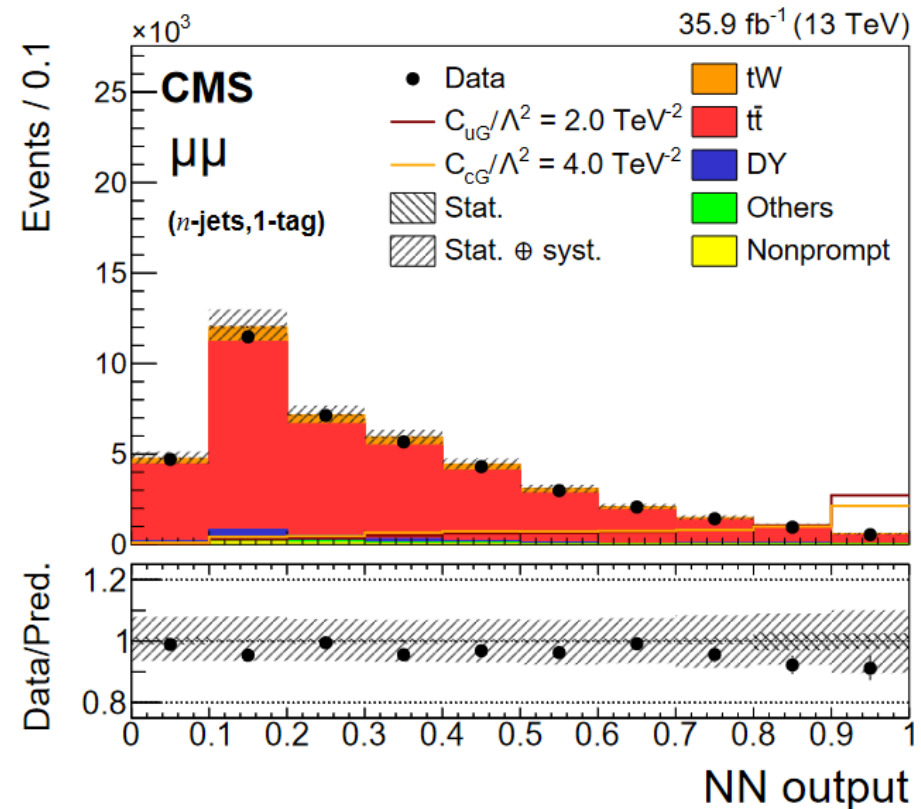
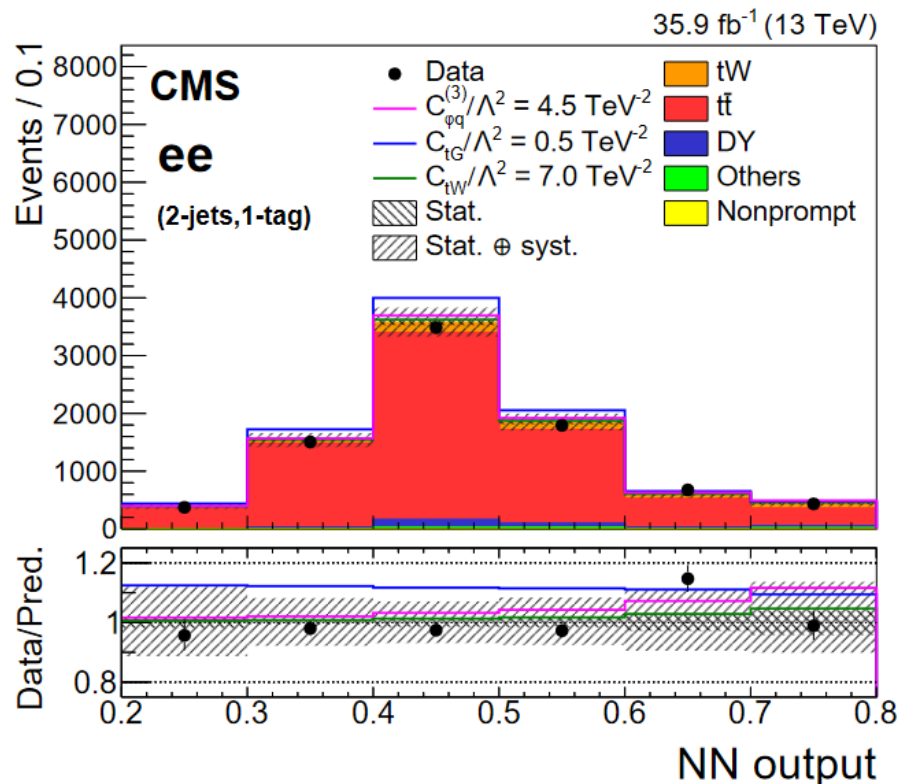
Discriminant variable

Total Yield to constrain C_G

Dedicated Neural Networks to

a) Separate tW vs. $t\bar{t}$ → used in tW sensitive categories

b) Spilt FCNC from SM bkg → used to constrain C_{uG}, C_{cG} in 2 jets, 1 tag categories

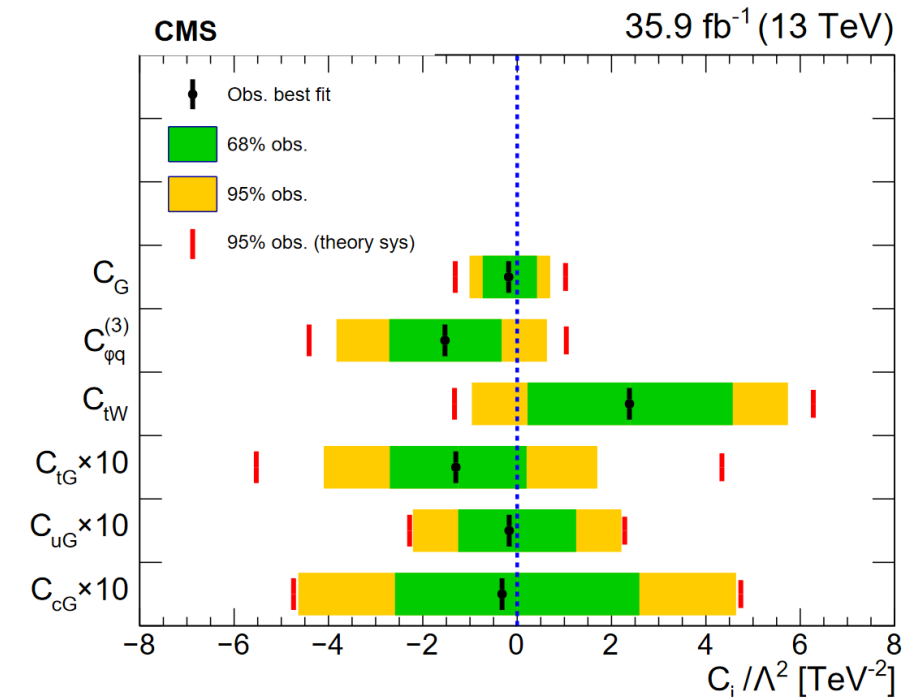
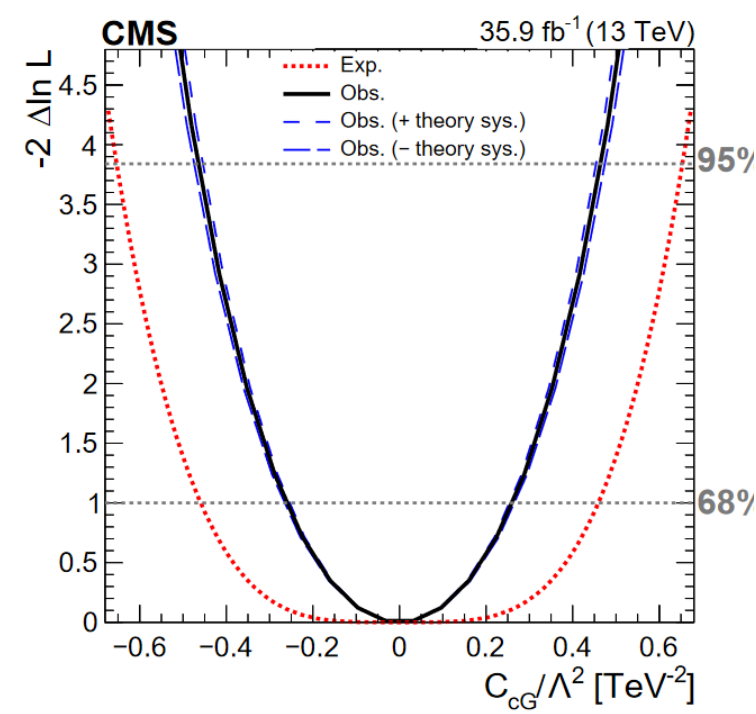
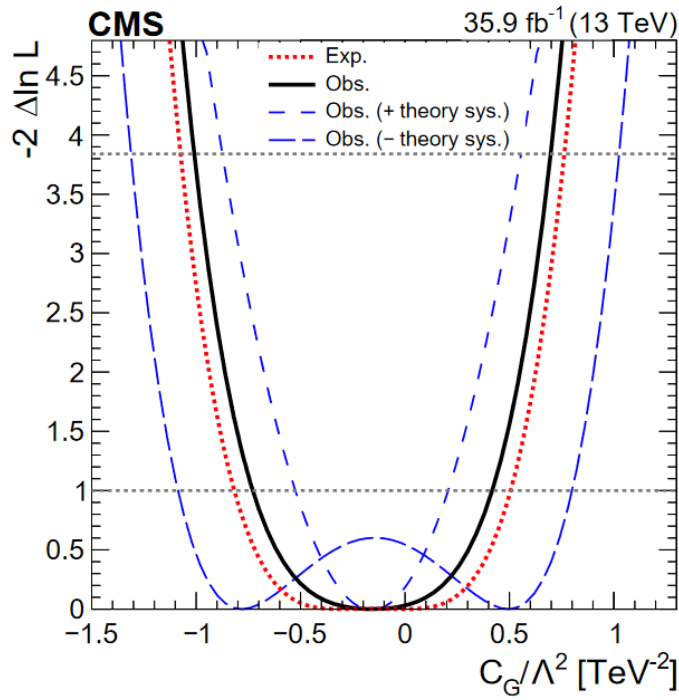


$t\bar{t} + tW$ to dilepton

Results

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NN outputs in each category or Yields (if no NN needed) used to perform a Likelihood fit
Each parameter is fit at a time



First time $t\bar{t}$ and tW used in this kind of search

Top polarization and $t\bar{t}$ spin correlations

BSM predictions modify top chromomagnetic and chromoelectric dipole moment (CEDM and CMDM)

2016 data

Baseline Selection:

- Two leptons OS
- At least 2 jets (at least 1 b-tag)

Strategy:

Kinematic reconstruction of $t\bar{t}$ system

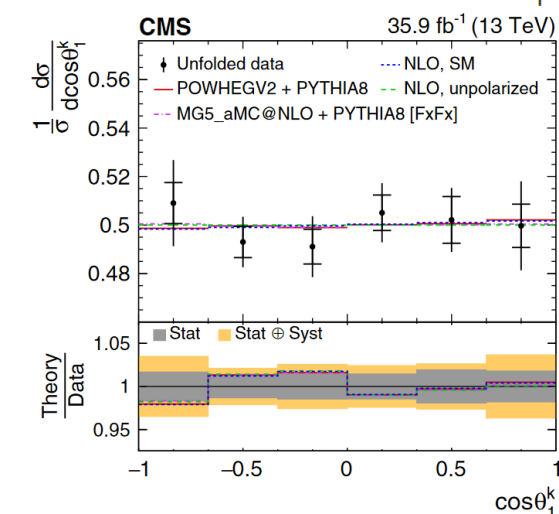
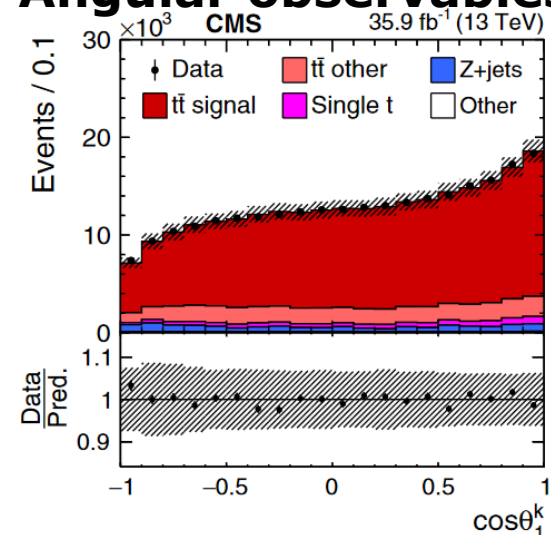
- All combinations of lep. and jets
- Constrains: m_W , MET from neutrinos, m_{top}
- Four momentum reconstructed

Allows to use reconstruct angular observables

Unfolding to parton level to measure diff. cross-section using 22 observables

Phys. Rev. D 100, 072002

Angular observables:



Top polarization and $t\bar{t}$ spin correlations

This measurement of the spin correlations is sensitive to 11 dim-6 operators.

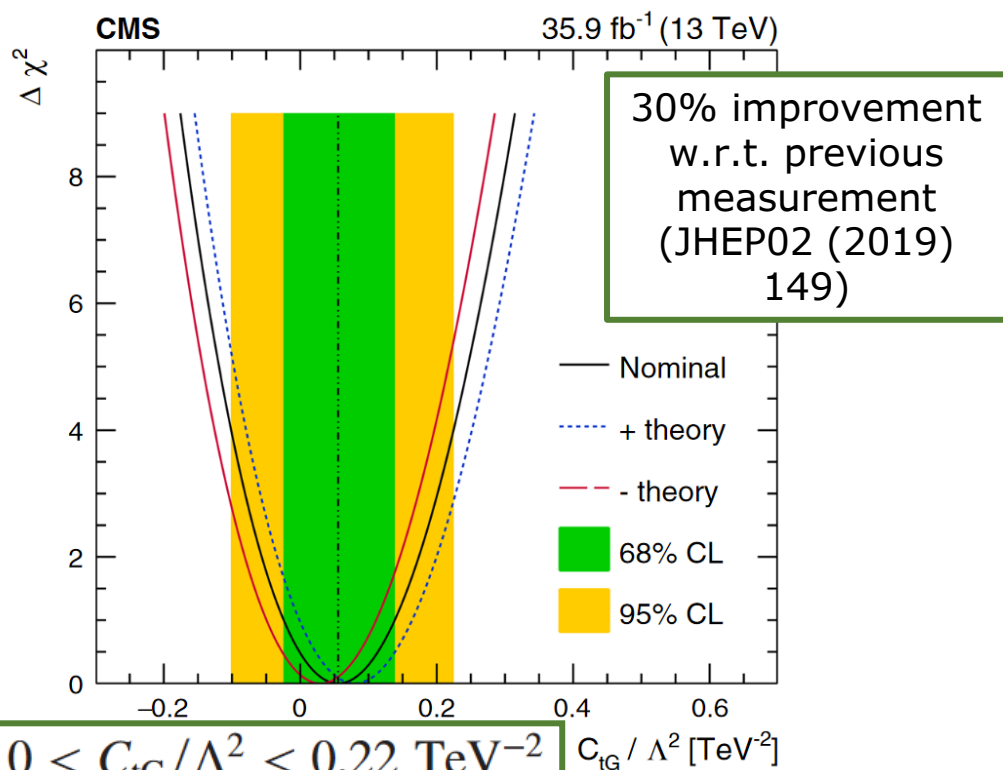
Phys. Rev. D 100, 072002

Limits are set on this operators by using simultaneous fits to measured norm. diff. x-sections.

Constrain CMDM

The operator responsible for anomalous CMDM is:

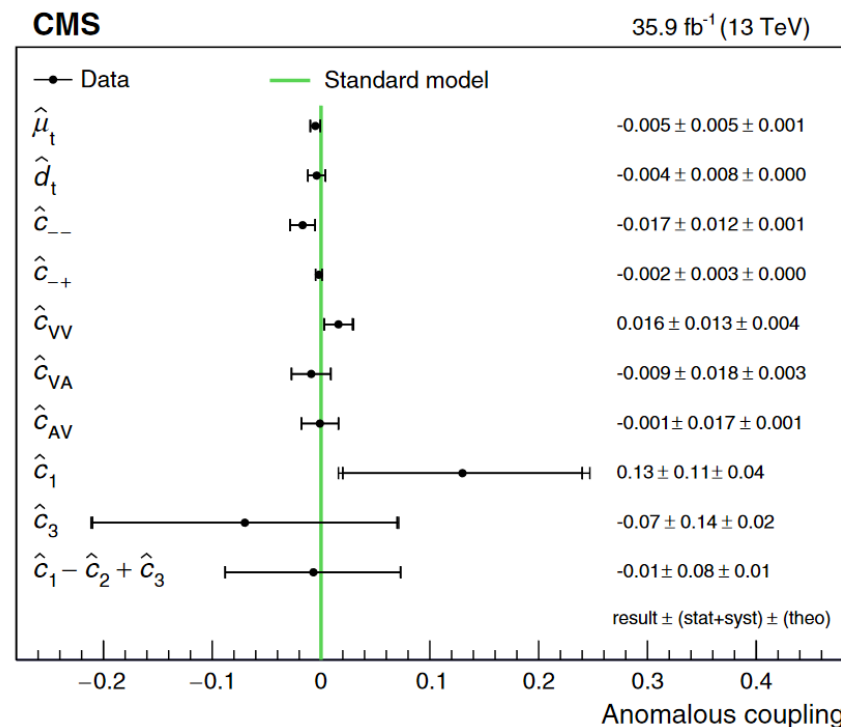
$$O_{tG} = y_t g_S (\bar{Q} \sigma^{\mu\nu} T^a t) \tilde{\phi} G_{\mu\nu}^a$$



Constraints on Anomalous couplings

Limits on each coupling setting the others to 0:

CEDM: $-0.33 < C_{tG}^I/\Lambda^2 < 0.20 \text{ TeV}^{-2}$



CP violating top coupling

Top interaction with chromo electric dipole moment (CEDM) is a potential source of CP violation

$$\mathcal{L} = \frac{g_S}{2} \bar{t} T^a \sigma^{\mu\nu} (a_t^g + i\gamma_5 d_t^g) t G^{\mu\nu}$$

Cromo magnetic dipole momentum

CP-odd CEDM

2016 data

Baseline Selection:

- Two leptons OS
- At least 2 Jets
- At least 1 b-tag

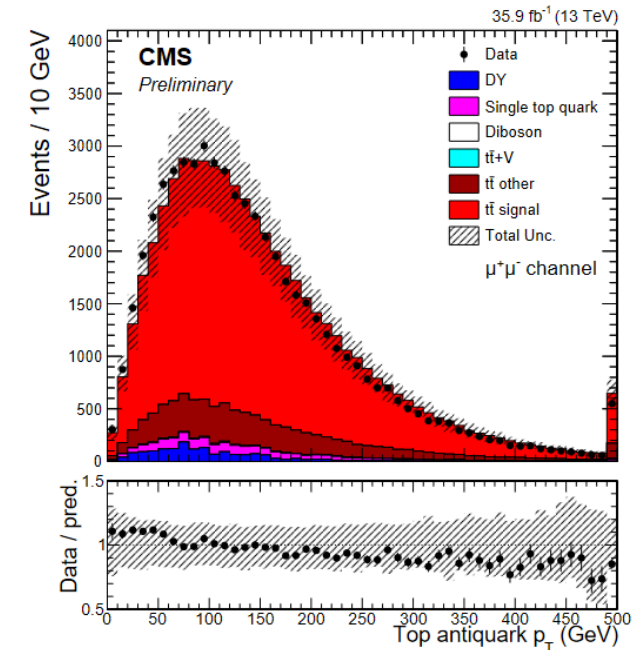
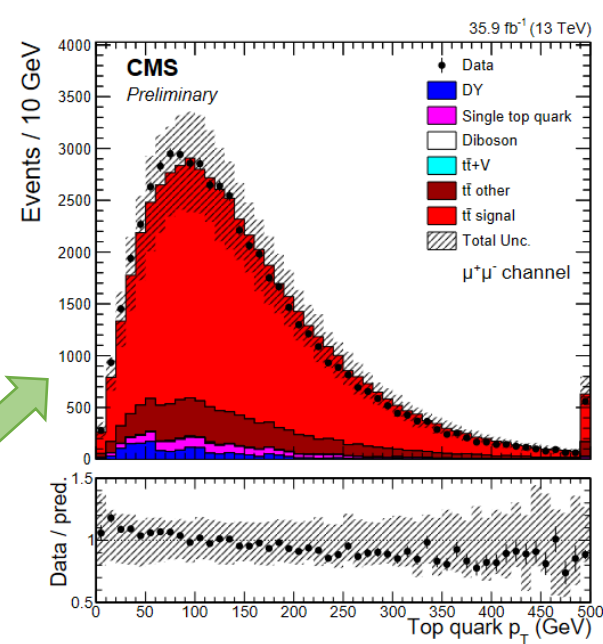
Strategy:

Kinematic reconstruction of $t\bar{t}$ system

- All combinations of lep. and Jets
- Constrains: m_W , MET from neutrinos, m_{top}
- Four momentum reconstructed

Observables: Levi-civita of leptons, reconstructed (anti-)top (θ_1) and (anti-)b jets (θ_3)

CMS-PAS-TOP-18-007



CP violating top coupling

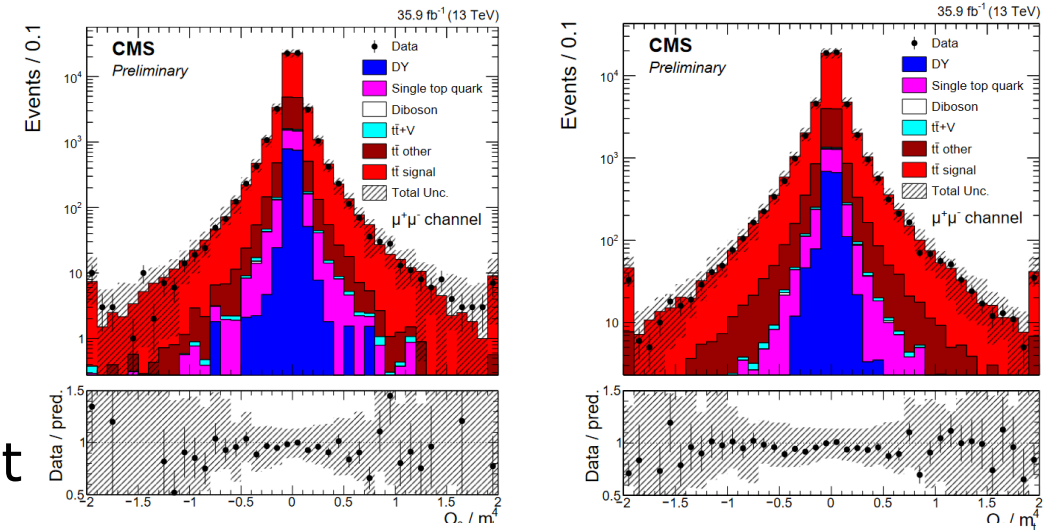
CMS-PAS-TOP-18-007

\mathcal{O}_i are odd under CP transformations:

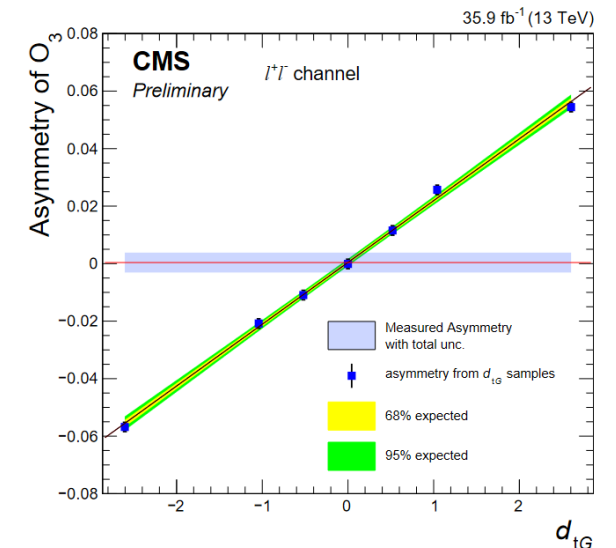
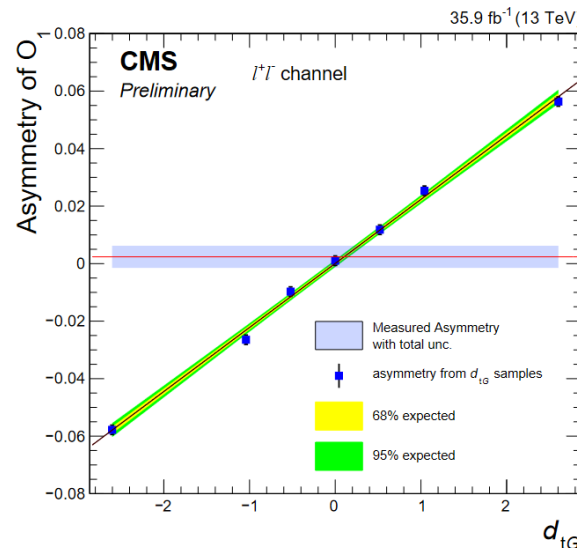
$$A_i = \frac{N(\mathcal{O}_i > 0) - N(\mathcal{O}_i < 0)}{N(\mathcal{O}_i > 0) + N(\mathcal{O}_i < 0)}.$$

The asymmetry (A) and the cross-section are simultaneously extracted from the fit

This allows to measure the CEDM, in agreement with SM



Physics observable	d_{tG}	CEDM ($10^{-18} g_s \cdot \text{cm}$)
\mathcal{O}_1	$0.10 \pm 0.12(\text{stat}) \pm 0.12(\text{syst})$	$0.58 \pm 0.69(\text{stat}) \pm 0.70(\text{syst})$
\mathcal{O}_3	$0.00 \pm 0.13(\text{stat}) \pm 0.10(\text{syst})$	$-0.01 \pm 0.72(\text{stat}) \pm 0.58(\text{syst})$

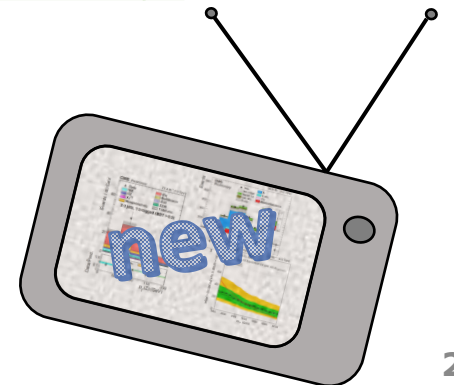


Summary

- LHC is now capable of measuring **rare SM** processes **with top quarks**.
- EFT measurements are key in the search for new physics @LHC.
- Precision measurements are needed for these BSM searches.
- Most of the analyses are not using the full Run 2 data yet.
- **Many new analysis coming, so stay tuned!**

<http://cms-results.web.cern.ch/cms-results/public-results/preliminary-results/TOP/index.html>

Thank You!



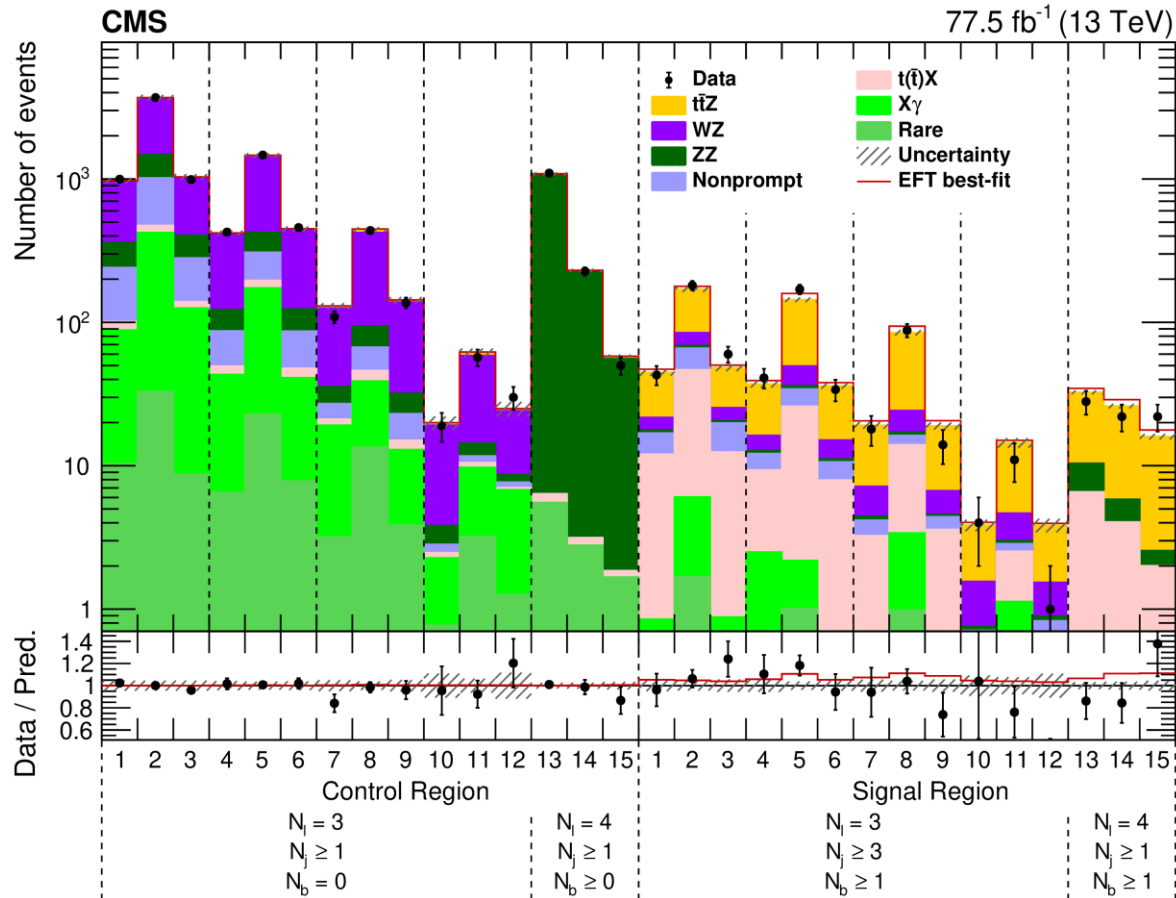
Back up

-

$t\bar{t}Z$ - EFT Interpretation

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- Regions defined using $p_T(Z)$ and $\cos(\theta_Z^*)$



N_ℓ	N_b	N_j	N_Z	$p_T(Z)$ (GeV)	$-1 \leq \cos\theta_Z^* < -0.6$	$-0.6 \leq \cos\theta_Z^* < 0.6$	$0.6 \leq \cos\theta_Z^*$
				0-100	SR1	SR2	SR3
3	≥ 1	≥ 3	1	100-200	SR4	SR5	SR6
				200-400	SR7	SR8	SR9
				≥ 400	SR10	SR11	SR12
				0-100		SR13	
4	≥ 1	≥ 1	1	100-200		SR14	
				≥ 200		SR15	
				0-100	CR1	CR2	CR3
3	0	≥ 1	1	100-200	CR4	CR5	CR6
				200-400	CR7	CR8	CR9
				≥ 400	CR10	CR11	CR12
				0-100		CR13	
4	≥ 0	≥ 1	2	100-200		CR14	
				≥ 200		CR15	

Coefficient	Expected		Observed		Previous CMS constraints		Indirect constraints 68% CL
	68% CL	95% CL	68% CL	95% CL	Exp. 95% CL	Obs. 95% CL	
c_{tZ}/Λ^2	[-0.7, 0.7]	[-1.1, 1.1]	[-0.8, 0.5]	[-1.1, 1.1]	[-2.0, 2.0]	[-2.6, 2.6]	[-4.7, 0.2]
$c_{tZ}^{[1]}/\Lambda^2$	[-0.7, 0.7]	[-1.1, 1.1]	[-0.8, 1.0]	[-1.2, 1.2]	—	—	—
$c_{\phi t}/\Lambda^2$	[-1.6, 1.4]	[-3.4, 2.8]	[1.7, 4.2]	[0.3, 5.4]	[-20.2, 4.0]	[-22.2, -13.0] [-3.2, 6.0]	[-0.1, 3.7]
$c_{\phi Q}^-/\Lambda^2$	[-1.1, 1.1]	[-2.1, 2.2]	[-3.0, -1.0]	[-4.0, 0.0]	—	—	[-4.7, 0.7]

2016 data

Baseline Selection:

2 ℓ OS and single lepton final states

	ee	$\mu\mu$	$e\mu$	e	μ
$m_{\ell\ell}$	>20 GeV + Z veto		> 20 GeV	-	
N_{jet}	≥ 4		≥ 8	≥ 7	
$N_{\text{B-tag}}$	≥ 2		≥ 2		

Strategy:

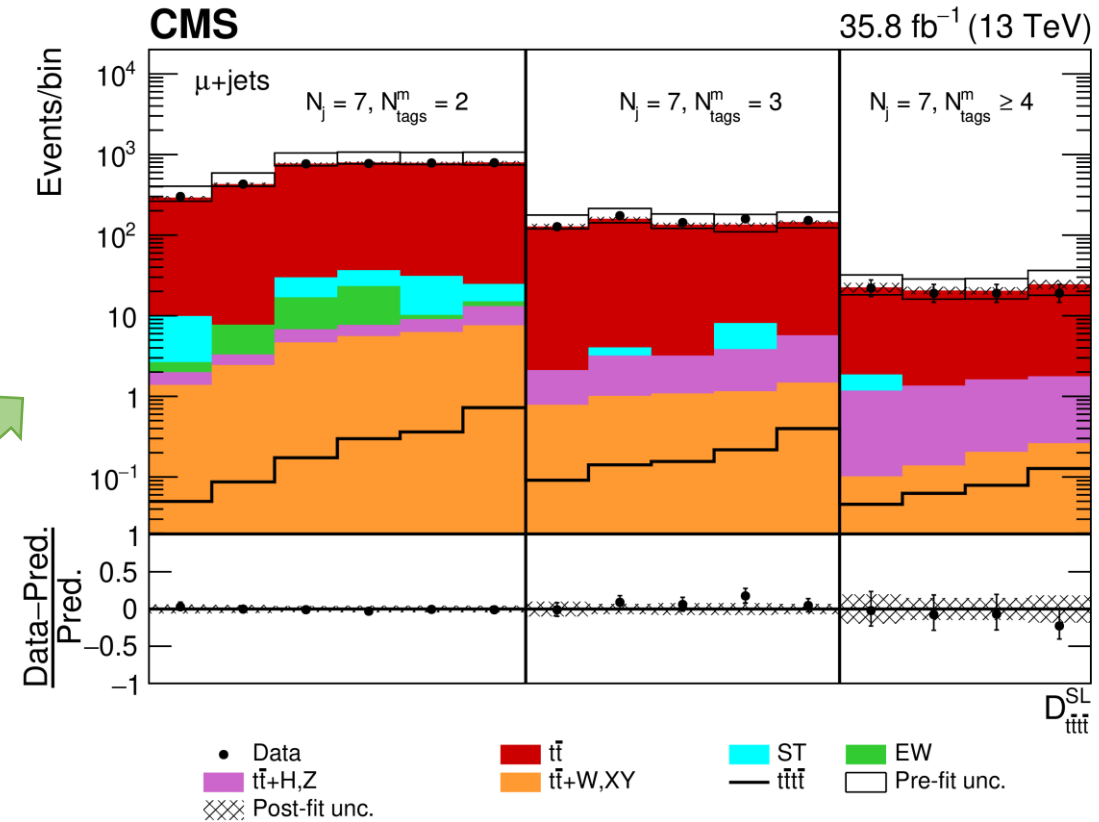
BDT used to identify hadronic top decays. A second **BDT** is used to discriminate $t\bar{t}t\bar{t}$ from $t\bar{t}$. This takes as input the first BDT, event topology, event activity, $N_{\text{B-tag}}$

Combination with [EPJC 78 \(2018\) 140](#) (2016 multilepton):

$$\sigma = 13_{-9}^{+11} \text{ fb}$$

Observed (expected) significance = 1.4 (1.1)

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$t(\bar{t}) + \text{leptons}$

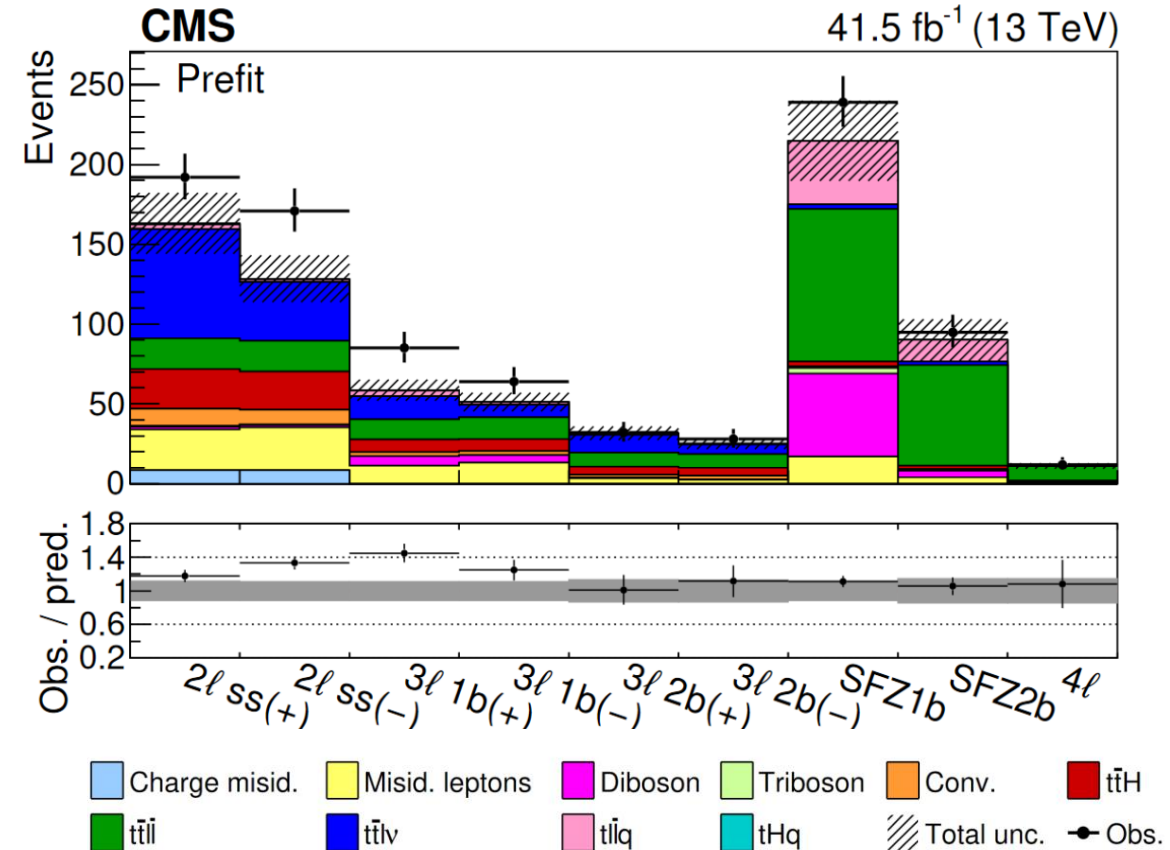
2017 data

Baseline Selection:

- 2 same sign, 3 and 4 leptons categories
- Jet and b-tag multiplicity used to categorize
- 35 signal regions

Selection	$2l_{ss}$	$3l$	$\geq 4l$
Leptons	Exactly 2 leptons	Exactly 3 leptons	≥ 4 leptons
Charge requirements	$\sum_{\ell} q < 0, \sum_{\ell} q > 0$	$\sum_{\ell} q < 0, \sum_{\ell} q > 0$	—
Jet multiplicity	4, 5, 6, ≥ 7 jets	2, 3, 4, ≥ 5 jets	2, 3, ≥ 4 jets
Number of b jets	≥ 2 b jets	1, ≥ 2 b jets	≥ 2 b jets
Dilepton mass	—	$ m_{\ell\ell} - m_Z > 10 \text{ GeV}$	$ m_{\ell\ell} - m_Z \leq 10 \text{ GeV}$

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$t\bar{t} + tW$ to dilepton

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Discriminant variable

Total Yield to constrain C_G

Dedicated Neural Networks to

a) Separate tW vs. $t\bar{t}$ → used in tW sensitive categories

b) Spilt FCNC from SM bkg → used to constrain C_{uG}, C_{cG} in 2 jets, 1 tag categories

Table 3 Summary of the observables used to probe the effective couplings in various (n -jets, m -tags) categories in the ee , $e\mu$, and $\mu\mu$ channels

Eff. coupling	Channel	Categories				
		1-jet, 0-tag	1-jet, 1-tag	2-jets, 1-tag	>2-jets, 1-tag	≥ 2 -jets, 2-tags
C_G	ee	–	Yield	Yield	–	Yield
	$e\mu$	Yield	Yield	Yield	–	Yield
	$\mu\mu$	–	Yield	Yield	–	Yield
$C_{\phi q}^{(3)}, C_{tW}, C_{tG}$	ee	–	NN ₁₁	NN ₂₁	–	Yield
	$e\mu$	NN ₁₀	NN ₁₁	NN ₂₁	–	Yield
	$\mu\mu$	–	NN ₁₁	NN ₂₁	–	Yield
C_{uG}, C_{cG}	ee	–	NN _{FCNC}	–	–	–
	$e\mu$	–	NN _{FCNC}	–	–	–
	$\mu\mu$	–	NN _{FCNC}	–	–	–

$t\bar{t} + tW$ to dilepton

Eur Phys J. C. 2019 886

Discriminant variable

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Dedicated Neural Networks to

a) Separate tW vs. $t\bar{t}$ → used in tW sensitive categories

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Uncertainty	C_G (%)	$C_{\phi q}^{(3)}$ (%)	C_{tW} (%)	C_{tG} (%)	C_{uG} (%)	C_{cG} (%)
Trigger	10.2	2.3	7.0	2.9	1.7	2.5
Lepton ident./isolation	7.4	1.1	1.2	23.0	<1	<1
Jet energy scale	<1	25.0	17.8	4.9	<1	<1
tW DS/DR	<1	24.2	4.4	3.0	7.6	7.8
ME/PS matching	<1	4.9	9.9	1.2	<1	<1
ISR scale	<1	5.0	5.6	<1	<1	<1
FSR scale	5.8	4.4	4.0	10.2	<1	<1
DY background	<1	7.5	5.5	21.5	<1	<1
Nonprompt background	<1	1.4	5.8	<1	<1	<1
Integrated luminosity	13.1	<1	1.1	18.8	<1	<1
Statistical	5.8	2.3	23.7	<1	72.6	73.6
MC statistical	<1	12.1	3.7	5.2	2.9	2.5

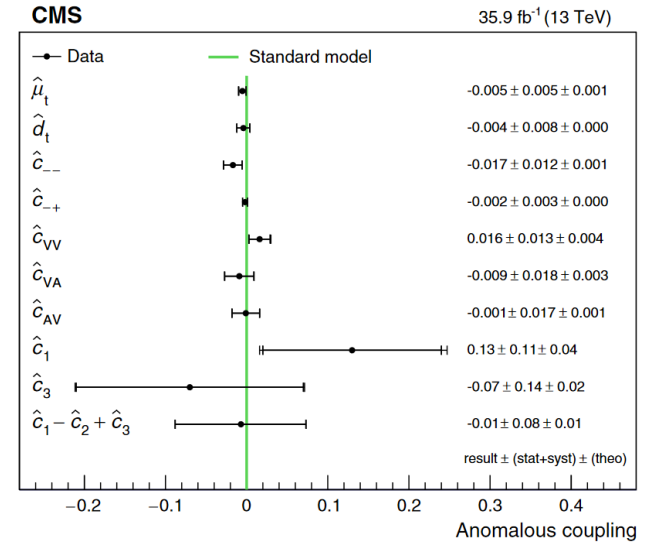
Top polarization and tt spin correlations

Constrain on Anomalous couplings

Limits on each coupling setting the others to 0:

Coupling	Operator type	Symmetry properties
$\hat{\mu}_t$	2 quarks plus gluon(s)	P -even, CP -even
\hat{d}_t	2 quarks plus gluon(s)	P -odd, CP -odd
\hat{c}_{--}	2 quarks plus gluon(s)	P -odd, CP -odd
\hat{c}_{-+}	2 quarks plus gluon(s)	P -even, CP -odd
\hat{c}_{VV}	4 quarks (weak isospin 0)	P -even, CP -even
\hat{c}_{VA}	4 quarks (weak isospin 0)	P -odd, CP -even
\hat{c}_{AV}	4 quarks (weak isospin 0)	P -odd, CP -even
\hat{c}_{AA}	4 quarks (weak isospin 0)	P -even, CP -even
\hat{c}_1	4 quarks (weak isospin 1)	CP -even
\hat{c}_2	4 quarks (weak isospin 1)	CP -even
\hat{c}_3	4 quarks (weak isospin 1)	CP -even

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	95% C.L.	Theoretical unc.	χ^2	Coefficients
$\hat{\mu}_t$	$-0.014 < \hat{\mu}_t < 0.004$	± 0.001	7	$C_{kk}, C_{nn}, C_{rk} + C_{kr}, D$
\hat{d}_t	$-0.020 < \hat{d}_t < 0.012$...	9	$B_2^r, B_1^n, C_{nr} - C_{rn}, C_{nk} - C_{kn}$
\hat{c}_{--}	$-0.040 < \hat{c}_{--} < 0.006$	± 0.001	7	$B_2^r, B_1^n, C_{nr} - C_{rn}, C_{nk} - C_{kn}$
\hat{c}_{-+}	$-0.009 < \hat{c}_{-+} < 0.005$...	11	$B_1^n, B_2^r, B_1^{r*}, C_{nk} + C_{kn}$
\hat{c}_{VV}	$-0.011 < \hat{c}_{VV} < 0.042$	± 0.004	7	$C_{kk}, C_{nn}, C_{rk} + C_{kr}, D$
\hat{c}_{VA}	$-0.044 < \hat{c}_{VA} < 0.027$	± 0.003	9	$B_2^k, B_2^r, C_{kk}, C_{nr} + C_{rn}$
\hat{c}_{AV}	$-0.035 < \hat{c}_{AV} < 0.032$	± 0.001	6	$B_1^{k*}, B_2^{k*}, B_1^{r*}, B_2^{r*}$
\hat{c}_1	$-0.09 < \hat{c}_1 < 0.34$	± 0.04	7	$C_{kk}, C_{nn}, C_{rk} + C_{kr}, D$
\hat{c}_3	$-0.35 < \hat{c}_3 < 0.21$	± 0.02	9	$B_2^k, B_2^r, C_{kk}, C_{nr} + C_{rn}$
$\hat{c}_1 - \hat{c}_2 + \hat{c}_3$	$-0.17 < \hat{c}_1 - \hat{c}_2 + \hat{c}_3 < 0.15$	± 0.01	6	$B_1^{k*}, B_2^{k*}, B_1^{r*}, B_2^{r*}$