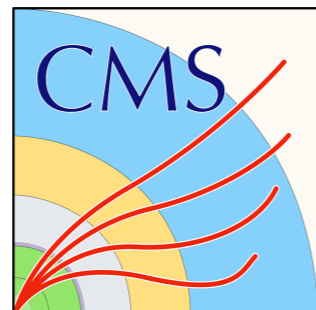


GEFÖRDERT VOM



Bundesministerium
für Bildung
und Forschung



III. Physikalisches
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RWTHAACHEN
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TRIGGERING ON ELECTRONS AND PHOTONS IN CMS IN PHASE-2 AND ITS PHYSICS IMPLICATIONS

Swagata Mukherjee, *on behalf of the CMS collaboration*

III. Physikalisches Institut A, RWTH Aachen University, Germany

Phenomenology 2021 Symposium

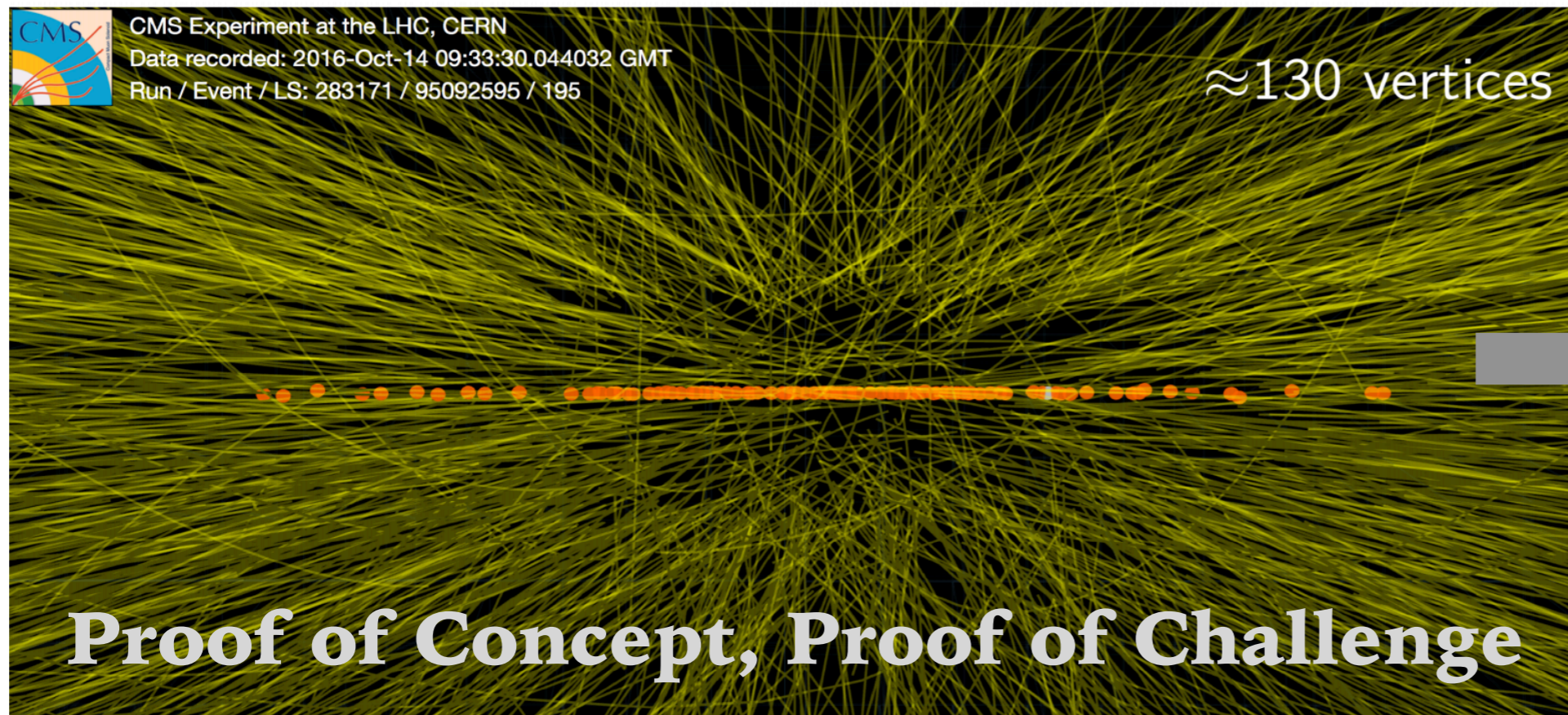
24-26 May 2021

CHALLENGES IN HIGH LUMINOSITY LHC

HL-LHC (aka Phase-2) ~2027 onwards

Much higher pile-up (140-200 in Phase-2 vs ~40 in 2018)

More radiation damage, and increased event complexity



Real-life event with HL-LHC-like pileup from special run in 2016 with individual high intensity bunches

Despite this, trigger thresholds should stay ~similar to Run-2, so that physics is unaffected.

Moreover, maintaining thresholds is **NOT** the only motivation for trigger upgrade.

HL-LHC research program opens a door to the unknown, and the goal is to extend the physics reach by **increasing the available phase space.**

Huge challenge for Trigger and Data acquisition system.

CMS TRIGGER SYSTEM PHASE-2 UPGRADE

CMS keeps the 2-stage triggering approach

First stage: Level-1 (L1) trigger, hardware-based

How many events can L1 process per second

Time available to take L1 decision

Highly granular readout

	Run-2	HL-LHC
Bandwidth	~100 kHz	~750 kHz
Latency	~3.8 us	~12.5 us

tracking@L1, particle-flow@L1

Second stage: High level trigger (HLT), software-based

Keep 100:1 rejection factor w.r.t L1

How many events can HLT process per second

How much data can HLT write to disk per second

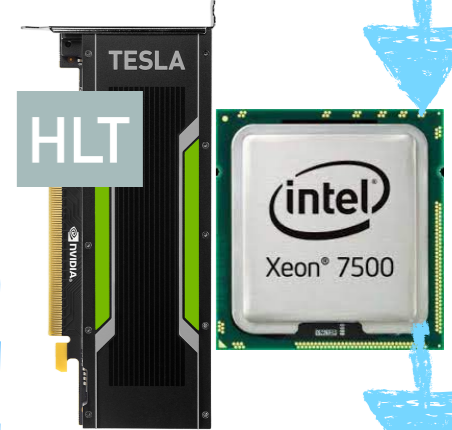
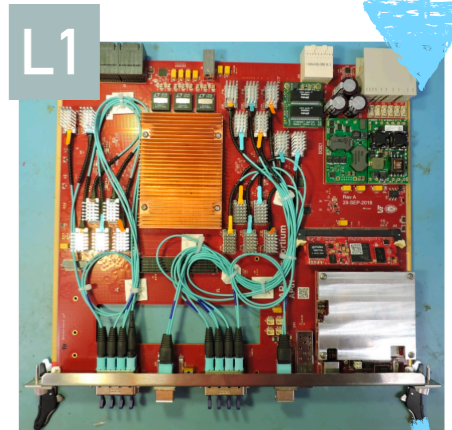
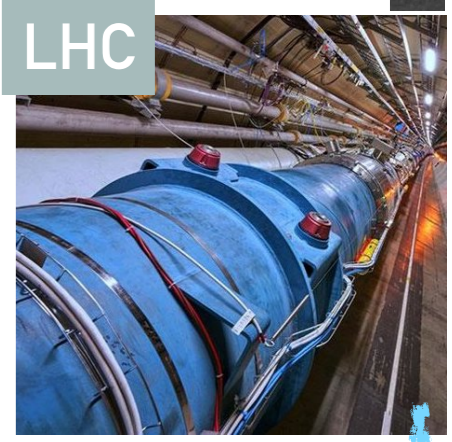
Readout detectors at full granularity.

Exploit multi-threading

	Run-2 (2018)	HL-LHC
Bandwidth	~1 kHz	~7.5 kHz
Throughput	~2.5 GB/s	61 GB/s

~300 ms average time to take HLT decision

Heterogeneous (CPU+GPU) HLT farm

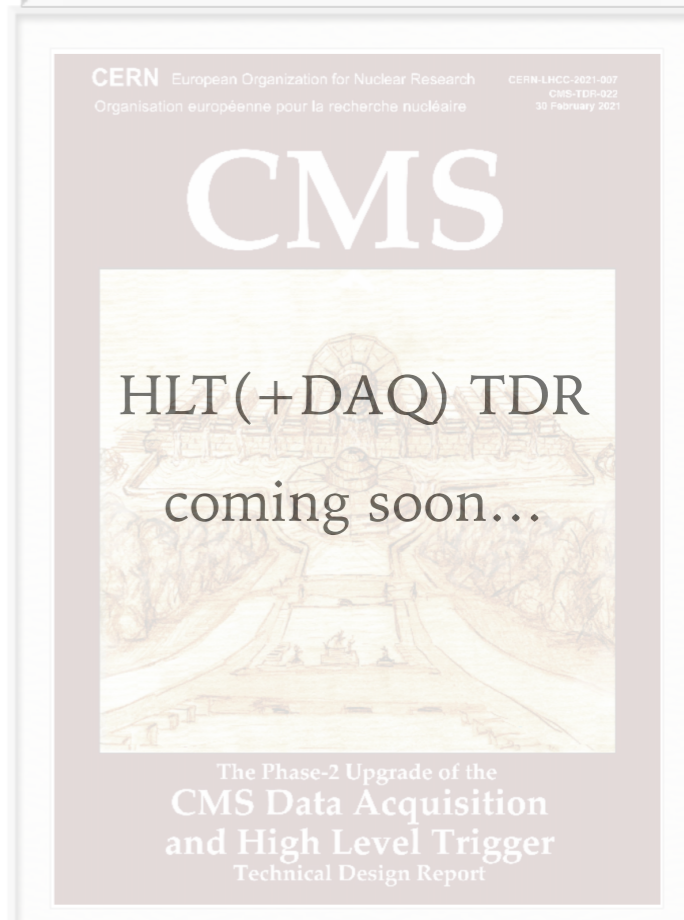
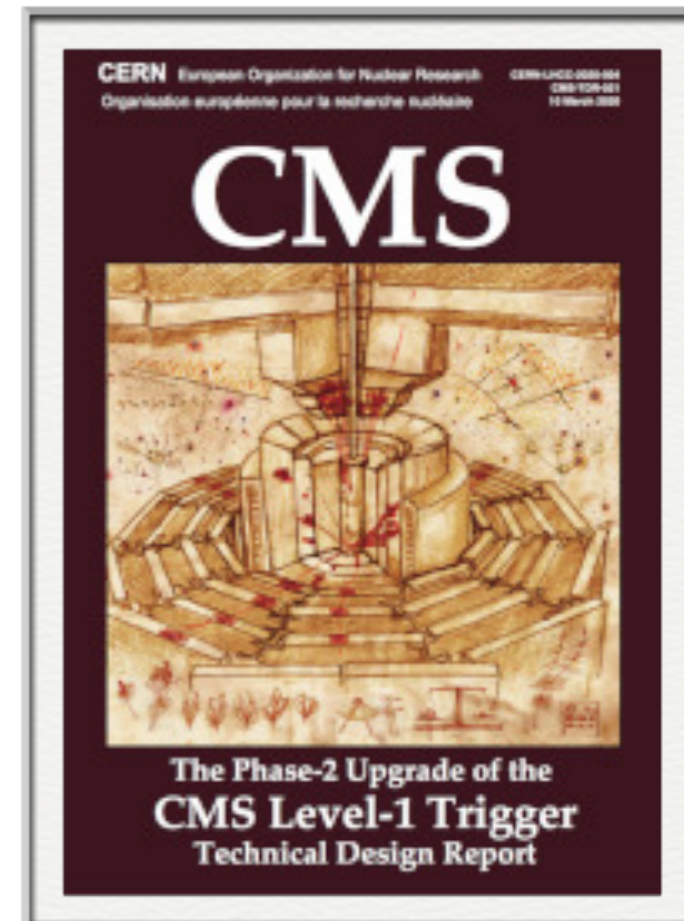


IT'S TDR TIME !

- Phase-2 triggering & reconstruction is very much a work in progress.
 - Expect many more improvements in coming years.
 - This talk is a snapshot of where we are now.
- CMS L1 trigger technical design report (L1 TDR) was written last year. [Link to L1 TDR](#).
 - Improvements in electron and photon L1 triggers in phase-2 will be discussed in this talk.
- CMS collaboration is now writing the **HLT TDR**.
 - Expected to be public ~September this year.
 - A preview of electron & photon phase-2 HLT will be shown in this talk. [Link to CMS DP-2021/009](#).

Huge amount of work being done in trigger side (L1+HLT) to ensure Phase-2 physics goals are met.

L1 TDR



CMS DETECTOR UPGRADE ASPECTS RELEVANT TO e/γ TRIGGERS

CMS detector upgrade in HL-LHC is a critical necessity, ensuring:

Radiation hardness

Mitigation of physics impact of high pileup

New Tracker

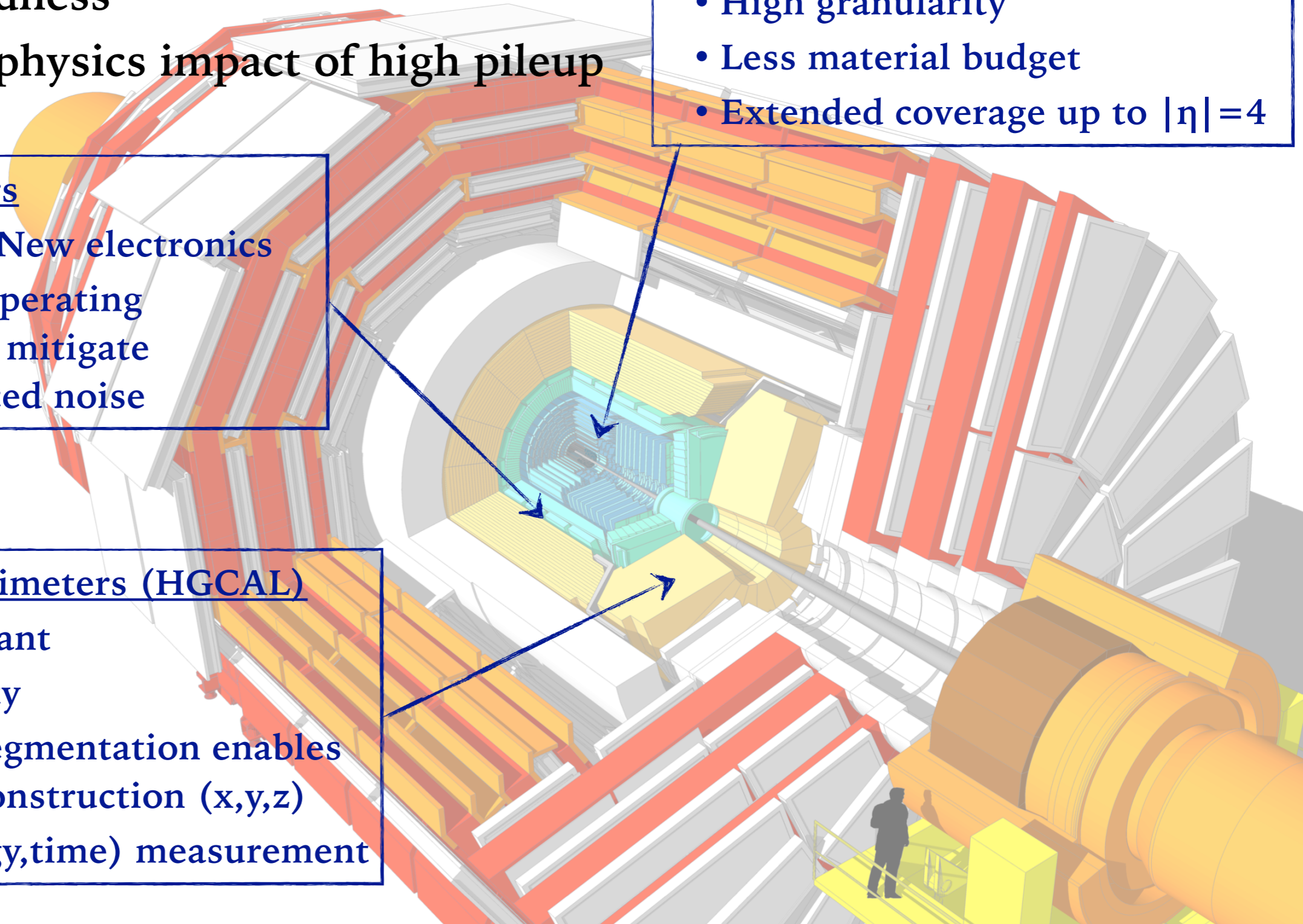
- Radiation tolerant
- High granularity
- Less material budget
- Extended coverage up to $|\eta|=4$

Barrel Calorimeters

- ECAL+HCAL: New electronics
- ECAL: Lower operating temperature to mitigate radiation-induced noise

New Endcap Calorimeters (HGICAL)

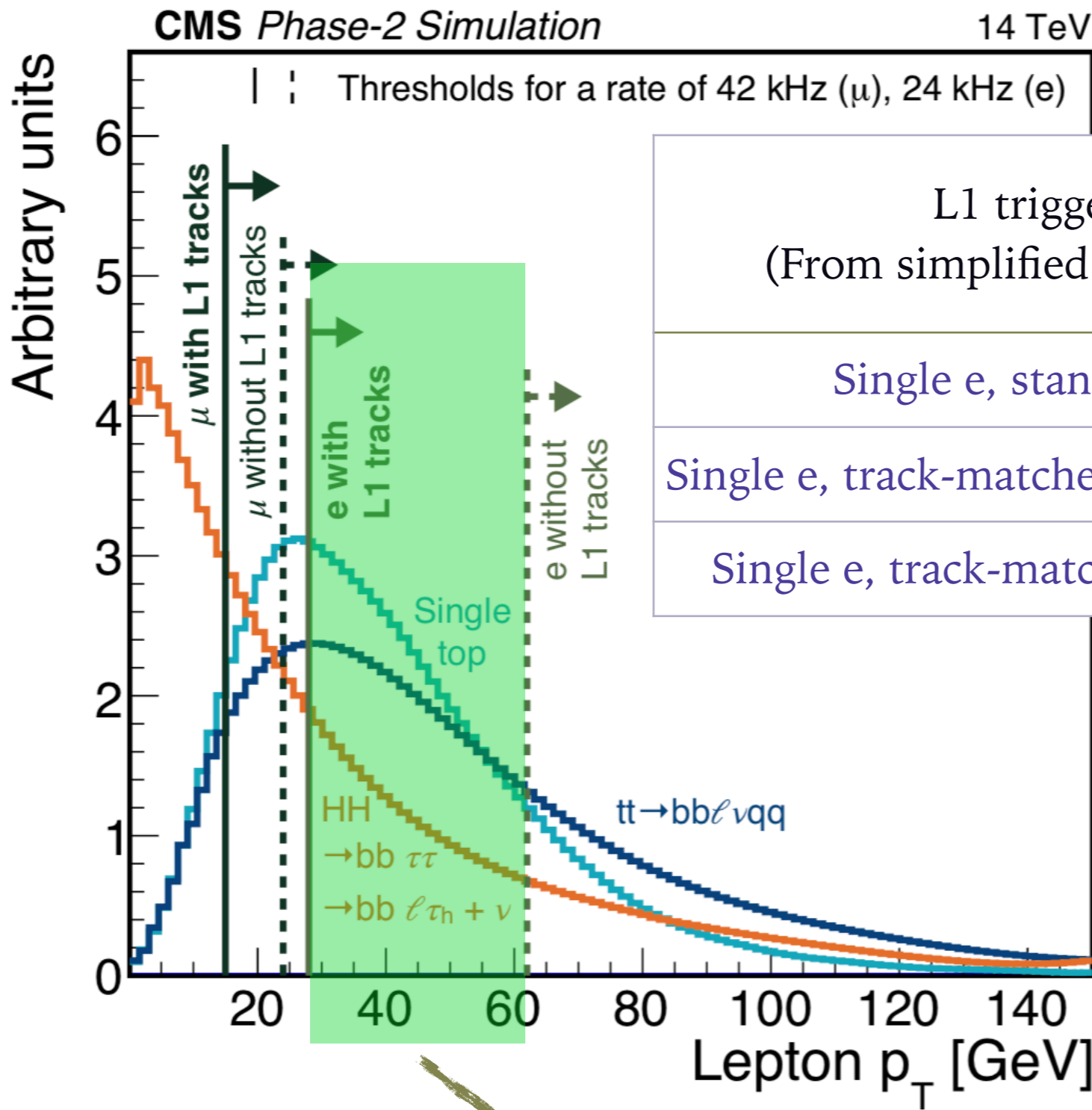
- Radiation tolerant
- High granularity
- Longitudinal segmentation enables 3D shower reconstruction (x,y,z)
- 5D (x,y,z,Energy,time) measurement



L1 TRIGGER ELECTRON & PHOTON

- e/ γ performance @L1 in barrel will benefit from **crystal-level granularity**.
- e/ γ identification @L1 is cut-based in barrel, BDT-based in HGCAL.
- **Tracking@L1** is a powerful handle against pile-up.
- Exploit complementarity of different object flavour
 - **Standalone e/ γ objects:** robust L1 triggers based on independent sub-detectors (ECAL/HGCAL)
 - **Track-matched electrons:** Standalone objects with at least one matched track are promoted to track-matched electron candidates.
 - **Significantly reduces trigger rate, enabling lower trigger threshold.**
- L1 tracks also used to define **track isolation @L1** for e/ γ objects.

ELECTRON L1 TRIGGER IMPROVEMENTS PHYSICS IMPACT



[CMS-TDR-021](#)

L1 trigger (From simplified L1 menu)	Threshold [GeV]	Efficiency at plateau	Rate in kHz
Single e, standalone	51	99%	25
Single e, track-matched, non-isolated	36	93%	24
Single e, track-matched, isolated	28	93%	28

Lower threshold achievable at a cost of efficiency

At HLT, we use **multiple L1 triggers in "OR"** to ensure best possible acceptance and efficiency

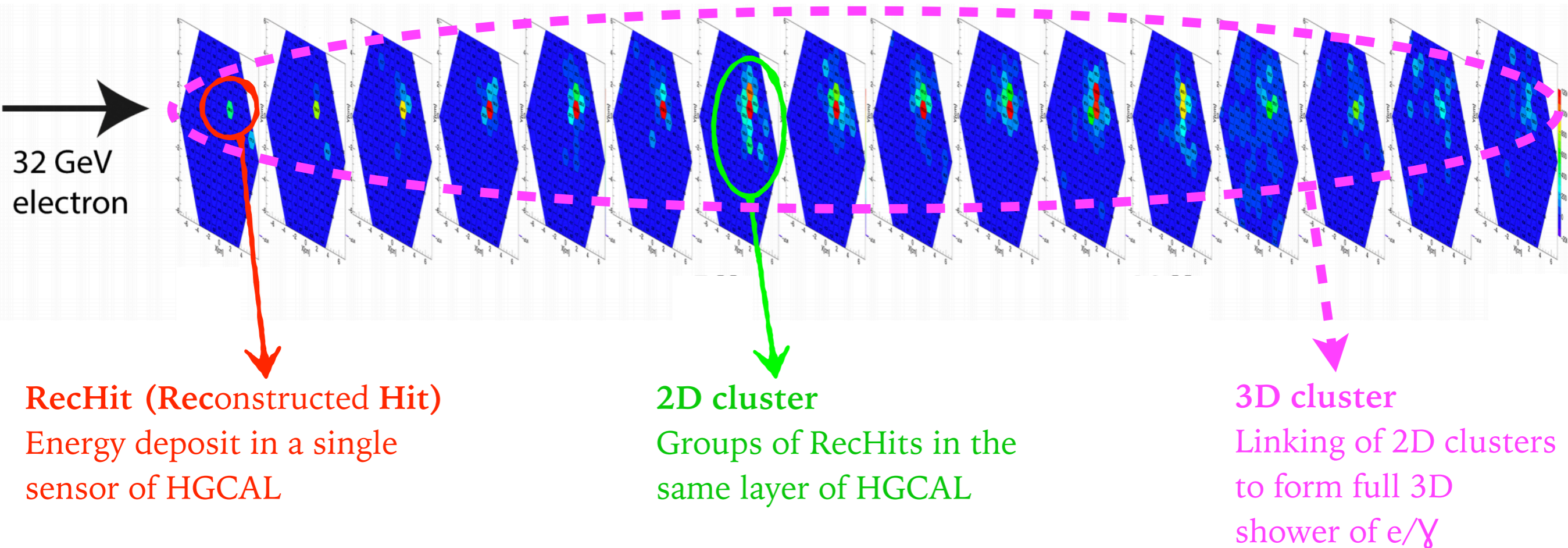
Acceptance gain due to tracking@L1

Similar for photon L1 triggers (in back-up)

e/γ RECONSTRUCTION @HLT NOVEL CLUSTERING ALGORITHMS IN HGICAL

- ▶ Reconstruction/identification in barrel similar to Run-2.
 - ▶ Retuning/optimisations made to cope with pile-up.
- ▶ New endcap calorimeter (HGICAL) → New reconstruction algorithms, new identification variables.

CMS-TDR-019



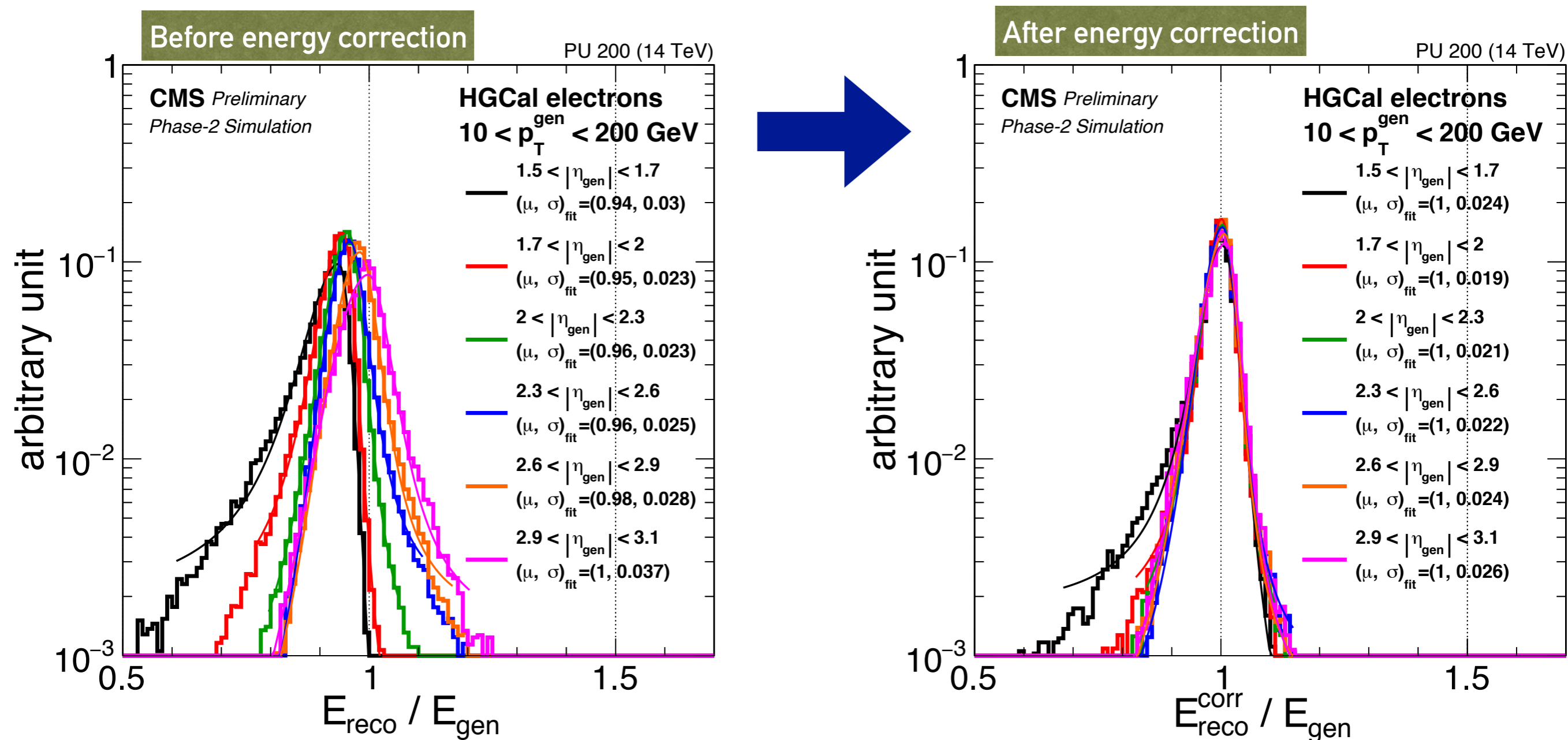
After that

Clustering of 3D clusters: superclustering
Electron track reconstruction

ELECTRON ENERGY RESPONSE AND RESOLUTION IN HGICAL

Multivariate energy regression, trained using variables related to energy and shape of electron energy deposit in HGICAL, and variables related to pile-up.

[CMS DP-2021/009](#)

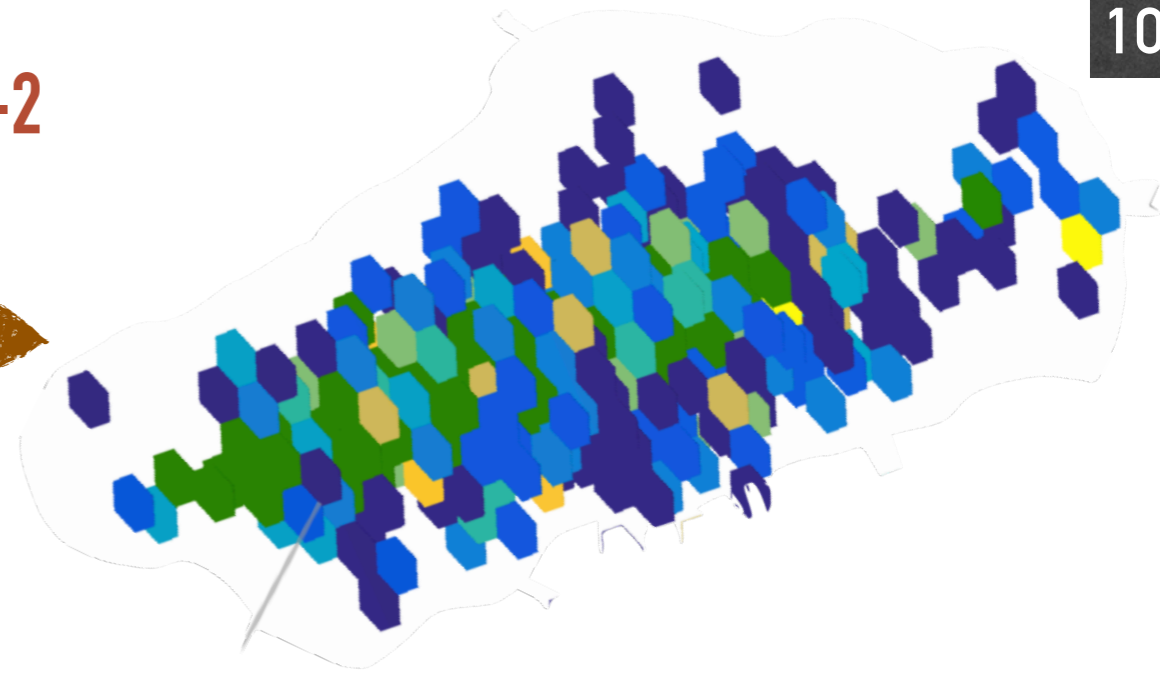


Satisfactory energy response and resolution after regression-based energy correction

Response & resolution stable across the whole $|\eta|$ range of HGICAL.

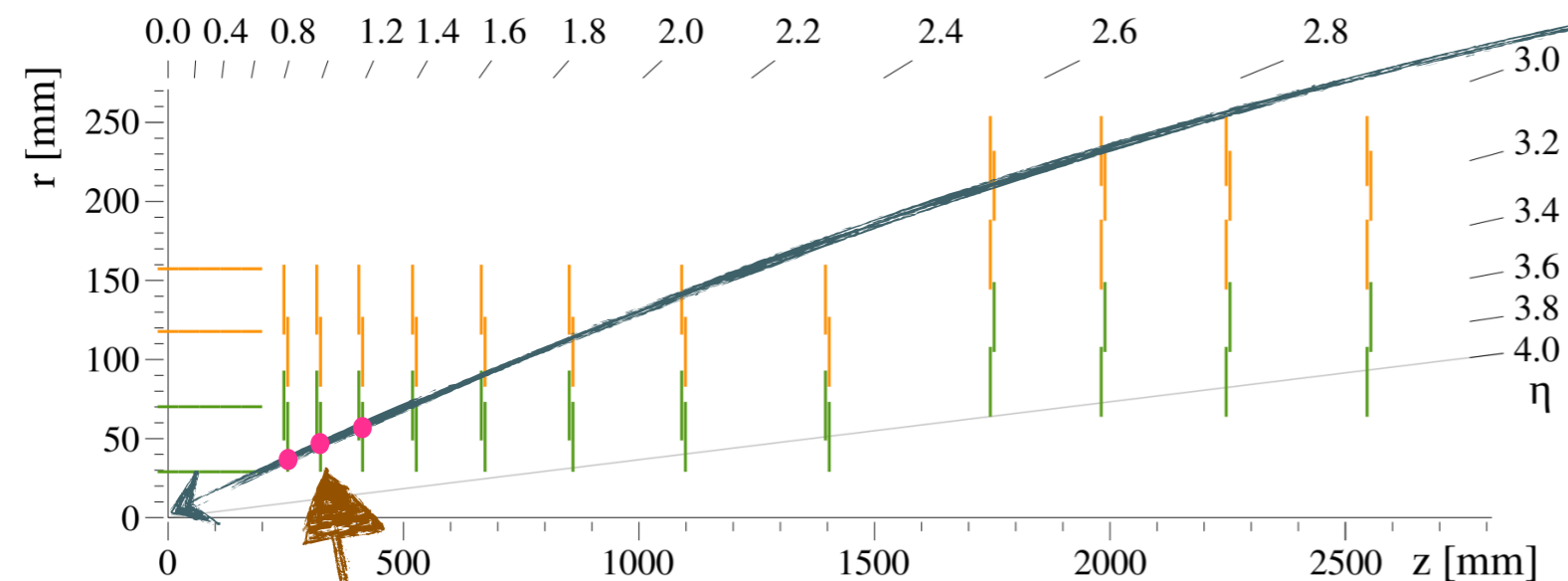
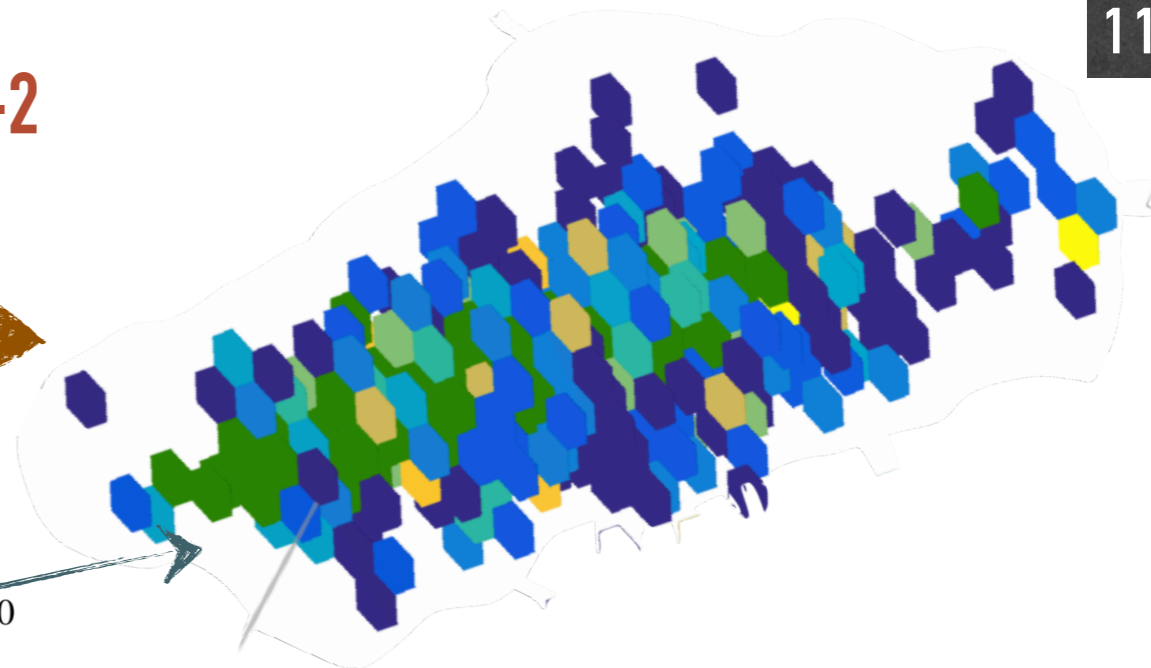
RECONSTRUCTION IMPROVEMENTS IN PHASE-2

Once we have reconstructed energy deposit in HGICAL



RECONSTRUCTION IMPROVEMENTS IN PHASE-2

Once we have reconstructed energy deposit in HGCAL



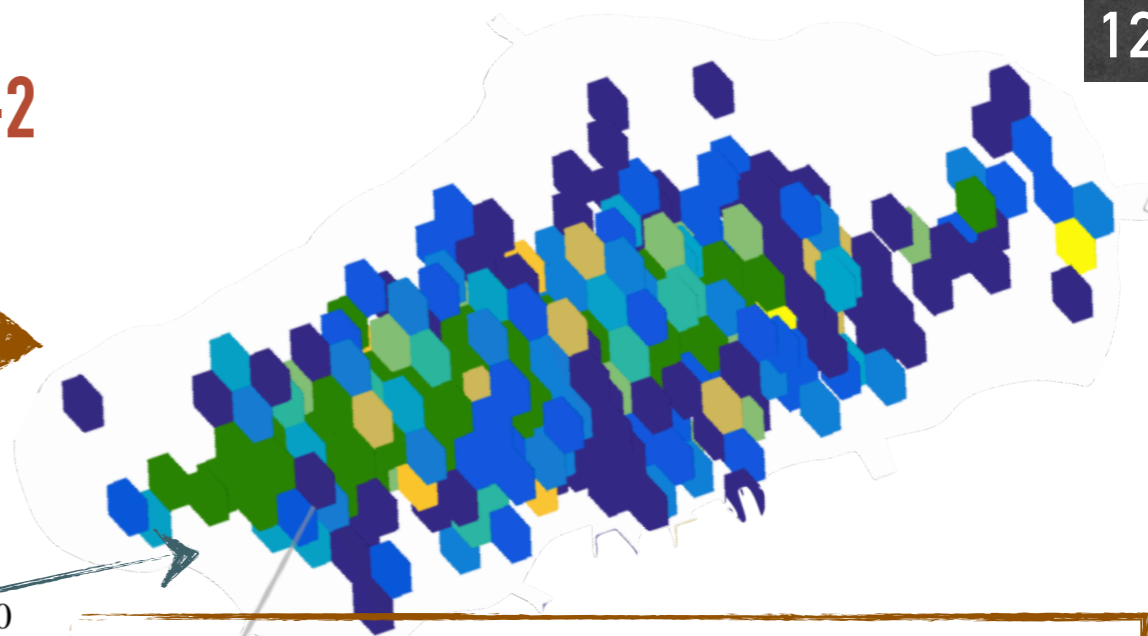
Next step is to find compatible hits in the inner tracker

Very important step for electron HLT

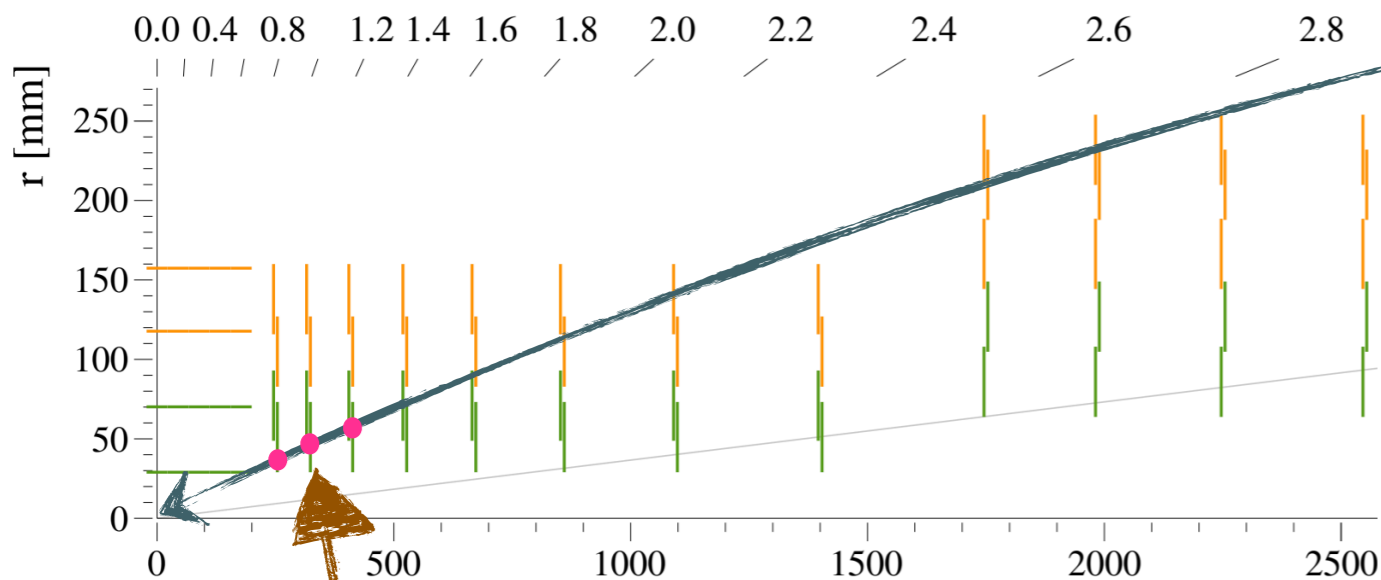
Known as 'pixel matching'.

RECONSTRUCTION IMPROVEMENTS IN PHASE-2

Once we have reconstructed energy deposit in HGCAL



Retuning led to sizeable improvement in performance

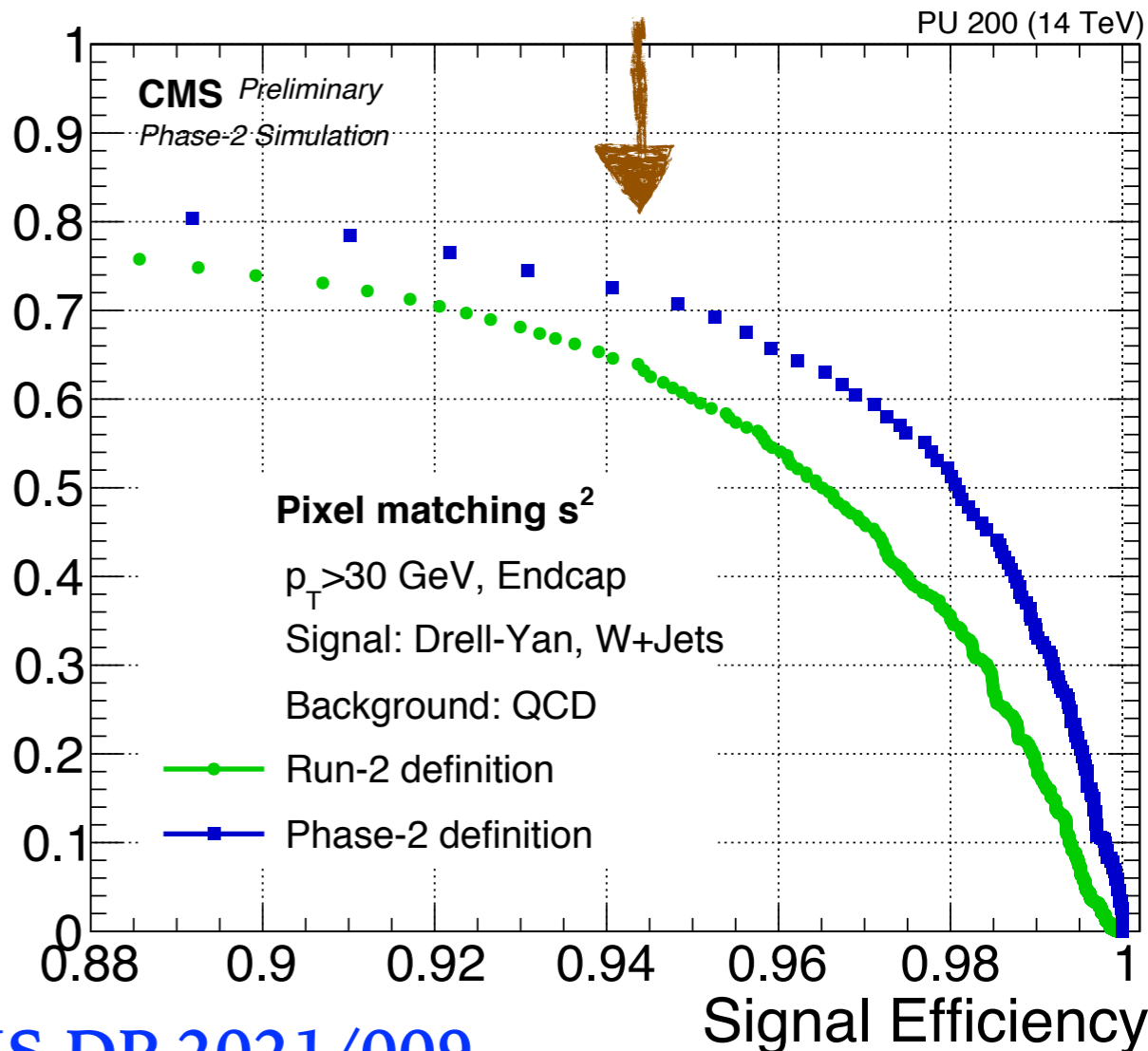


Next step is to find compatible hits in the inner tracker

Very important step for electron HLT

Known as 'pixel matching'.

Background Rejection



ELECTRON & PHOTON HLT PATHS

A simplified HLT menu is made for the HLT TDR.

Just a demonstrator. We can and will have a lot more for actual Phase-2 HLT menu.

Achieved phase-2 HLT thresholds similar to Run-2

Single isolated electron HLT

Threshold=32 GeV.

Rate ~1 kHz at PU=200

Efficiency ~80% at PU=200

Generic HLT, serves many analyses, eg: **W γ** cross-section measurement, Measurements of the W boson rapidity, helicity, double-differential cross sections, charge asymmetry.

Double isolated photon HLT

Designed to efficiently capture photon and electron both. No electron veto in photon HLT.

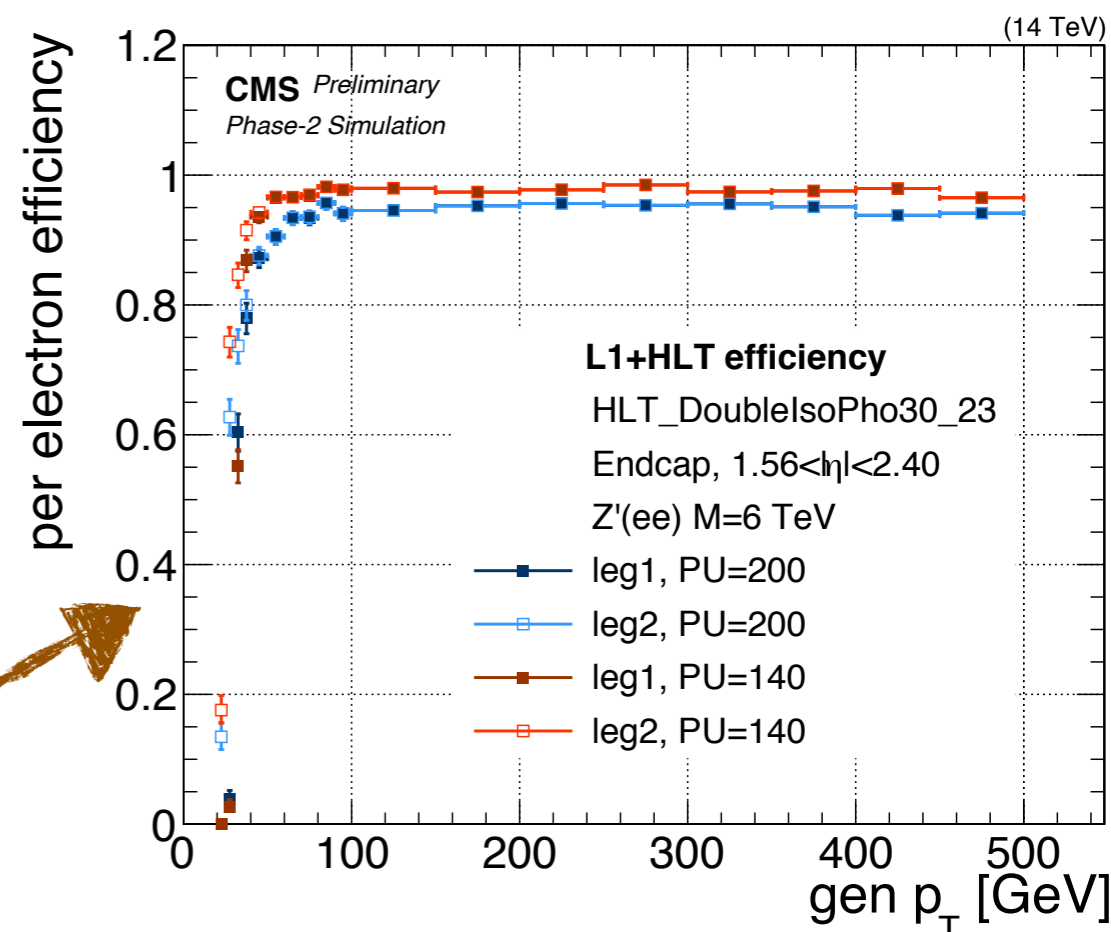
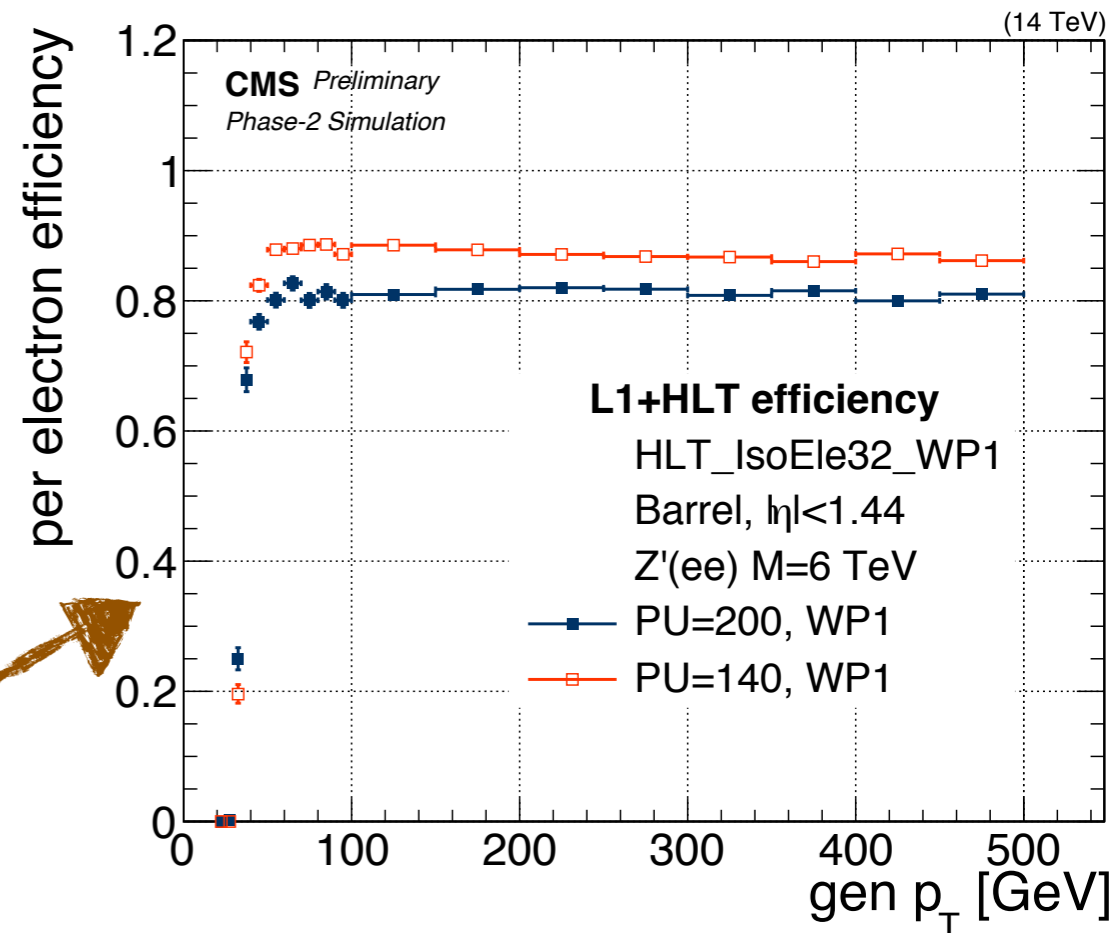
Threshold=30/23 GeV.

Rate ~180 Hz at PU=200.

Object-level efficiency ~95% at PU=200.

Event-level efficiency ~85% at PU=200 for HH \rightarrow bb $\gamma\gamma$.

HLT found to be well-suited for HH \rightarrow bb $\gamma\gamma$.



HETEROGENEOUS HLT FARM

CPU evolution is not able to cope with the increasing demand of performance.

Example: HGICAL has unprecedented number of read-out channels.

Reconstruction in HGICAL is heavy on computing resources.

Alternative approach in computing needed.

CPU & GPU based heterogeneous HLT farm.

CMS would like to exercise a Heterogeneous HLT farm well before HL-LHC

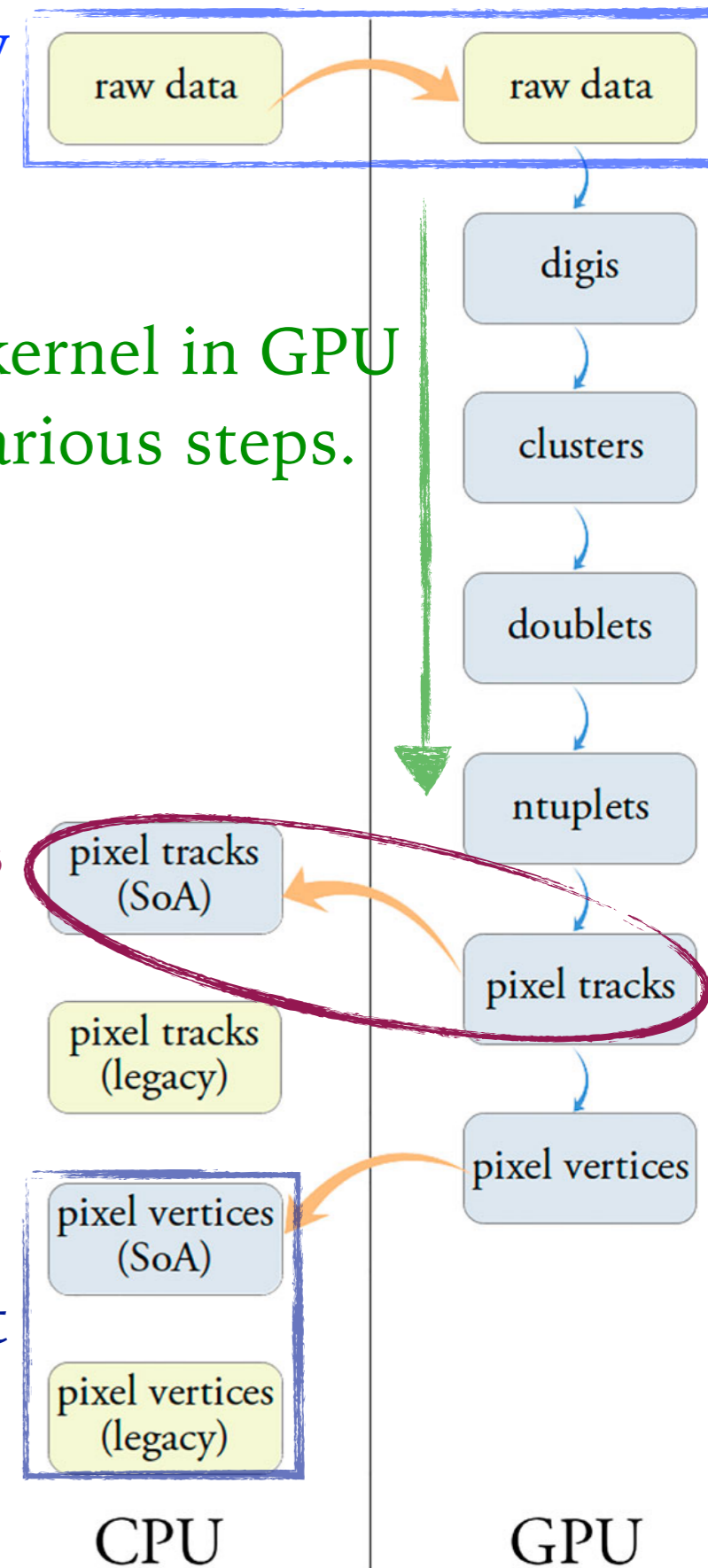
For Run-3, run some steps of reconstruction in GPU. Example: pixel tracks and pixel vertices

Copy the raw data to GPU

Run multiple kernel in GPU to perform various steps.

Copy final results back to CPU

Convert to “legacy” format if needed



STAY TUNED !

Triggering on electrons and photons in HL-LHC looks promising already, despite the challenges.

Presented a snapshot.

Expect more improvements @L1 and HLT in coming years.

CMS

**Stay tuned for the
HLT TDR
& beyond...**

The Phase-2 Upgrade of the
CMS Data Acquisition
and High Level Trigger
Technical Design Report

EXTRA SLIDES

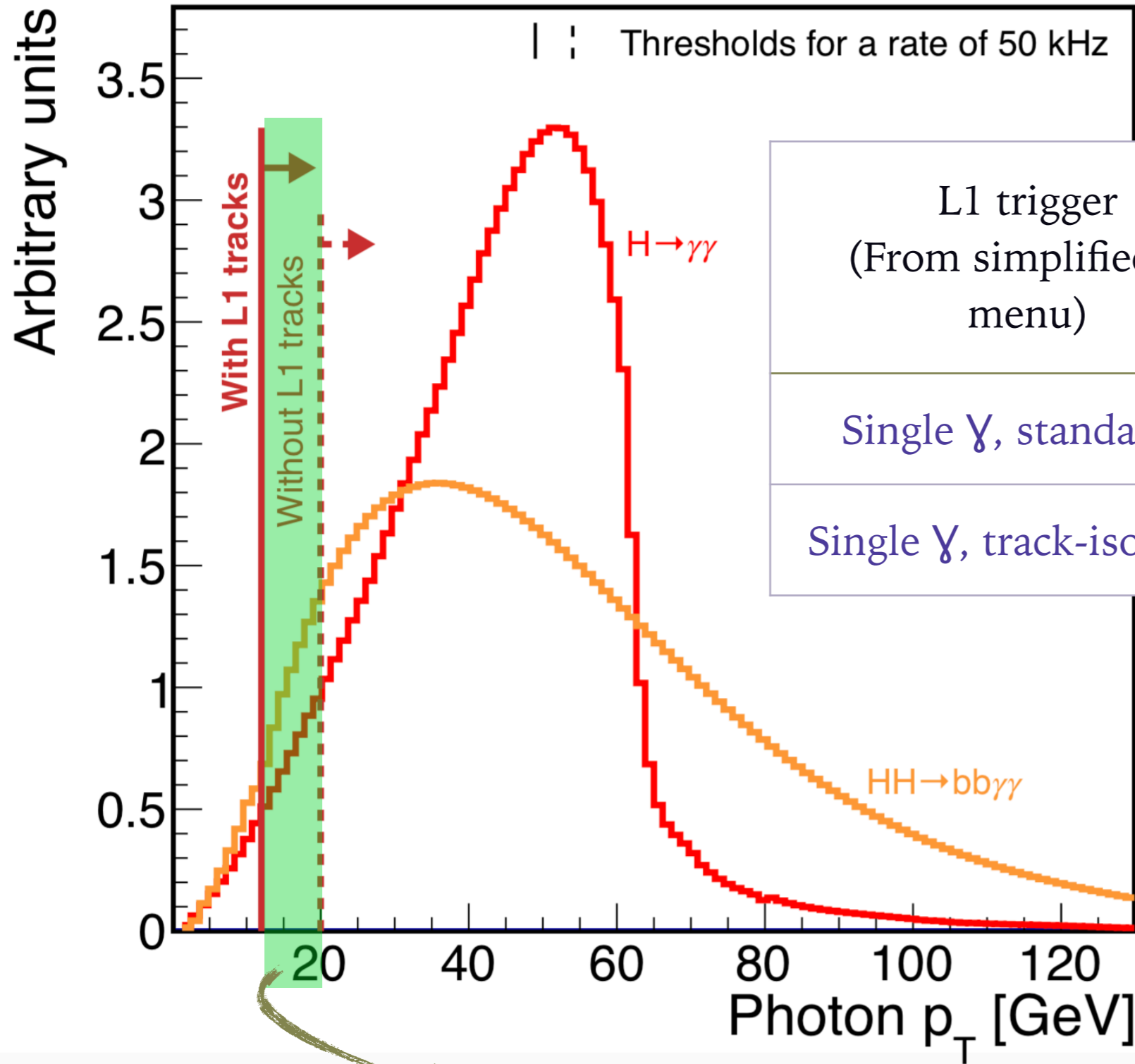
PHOTON L1 TRIGGER IMPROVEMENTS PHYSICS IMPACT

CMS Phase-2 Simulation

14 TeV

[CMS-TDR-021](#)

| : Thresholds for a rate of 50 kHz



L1 trigger (From simplified L1 menu)	Threshold [GeV]	Efficiency at plateau	Rate in kHz
Single γ , standalone	51	99%	25
Single γ , track-isolation	36	97%	43

Lower threshold at a cost of efficiency

Use “OR” of multiple L1 triggers at HLT to ensure best possible acceptance and efficiency

Acceptance gain due to tracking@L1