

Institute of High Energy Physics

Positivity in Multi-Field EFTs

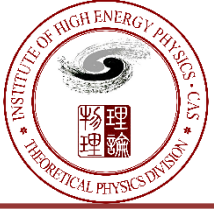
Xu Li

Institute of High Energy Physics

May. 26 Phenomenology Symposium - PHENO 2021

base on 2101.01191 with C. Yang, H. Xu, C. Zhang, and S.-Y. Zhou

Motivation: Positivity Bounds



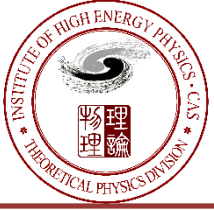
All possible
Ultraviolet(UV) physics



EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i \frac{C_i^{(6)} O_i^{(6)}}{\Lambda^2} + \sum_i \frac{C_i^{(8)} O_i^{(8)}}{\Lambda^4} + \dots$$

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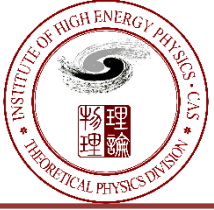


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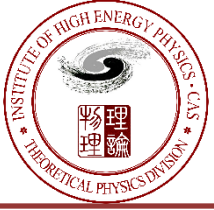


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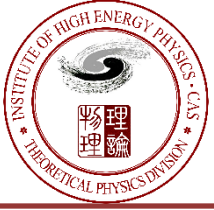
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If UV physics satisfied causality, unitarity,
Lorentz symmetry, crossing symmetry...

$$\begin{cases} \sum_i a_i C_i \geq 0 \\ \vdots \end{cases}$$

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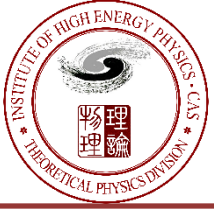
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Positivity bounds are a set of **inequalities** that
constrain Wilson Coefficients

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Positivity bounds are a set of **inequalities** that
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Positivity Bounds

2-to-2 forward amplitude (spin-0): $\mathcal{M}(s, 0) = c_0 + c_2 s^2 + c_4 s^4 + \dots$

To extract dim-8 effect, we consider:

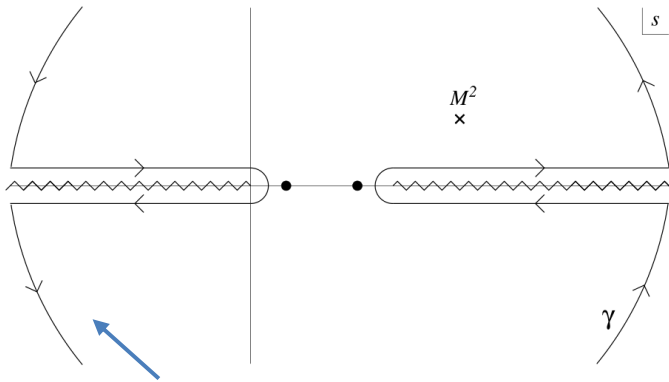
Dim-8 have leading energy dependence only, s^2 .

$$c_2 = \frac{d^2}{ds^2} \mathcal{M}(s, 0)$$

For elastic scattering $ij \rightarrow ij$

[A. Adams et al., JHEP 06]

Analyticity in s
(causality)



Froissart bound
(unitarity)

$$I = \oint_{\gamma} \frac{ds}{2\pi i} \frac{\mathcal{M}(s, 0)}{(s - M^2)^3} \quad \rightarrow$$

$$\begin{aligned} \frac{d^2}{ds^2} \mathcal{M}(s, 0) &= \frac{4}{\pi} \int ds \frac{s\sigma(s)}{s^3} + \mathcal{O}\left(\frac{M^2, m^2}{\Lambda^2}\right) \\ &= \text{positive up to power suppressed corrections} \end{aligned}$$

$$\rightarrow c_2 > 0$$

Elastic Positivity Bounds

Superposition elastic scattering:



with $|u\rangle = u^i|i\rangle, |v\rangle = v^j|j\rangle$

General elastic bounds

$$\frac{d^2}{ds^2} \mathcal{M}_{uv \rightarrow uv}(s, 0) = \boxed{u^i v^j u^{k*} v^{l*} M^{ijkl} \geq 0}$$

define $M^{ijkl} \equiv \frac{d^2}{ds^2} \mathcal{M}_{ij \rightarrow kl}(s, 0)$

Arbitrary vectors

EFTs can involve more than one particles

However, the elastic bounds are not the optimal !

When numbers of the fields n larger than 2

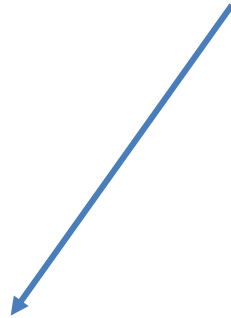
Relevant developments



General 2-to-2 scattering of massless scalars:

$$\hat{\mathcal{M}}(\hat{s}, t) = \sum_{n+m>0} c_{n,m} \hat{s}^n t^m$$

- $t = 0$
- $c_n, n \geq 2$
- One-field



Higher-dimension coef.

[N. Arkani-Hamed, et al. 2012.15849],
[B. Bellazzini, et al. 2011.00037]
[L-Y Chiang, et al, 2105.02862]

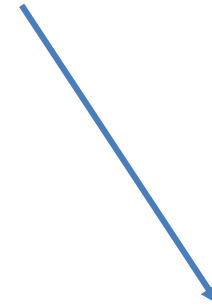
- $t \neq 0$



Beyond the forward limit

[A. Tolley et al., 2011.02400],
[S. C-Huot, et al. 2011.02957]

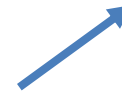
- $t = 0$
- c_2
- Multi-field



Bounds for multi-field

[T. Trott, 2011.10058], [CZ and S.-Y. Zhou PRL 125, 201601] [X. Li, et al, 2101.01191]

This talk will focus on this direction

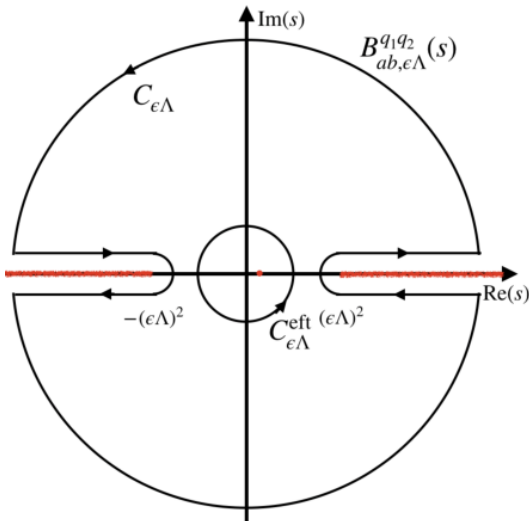


Master formula

[more details see 1702.06134, 1902.08977, 2011.10058]



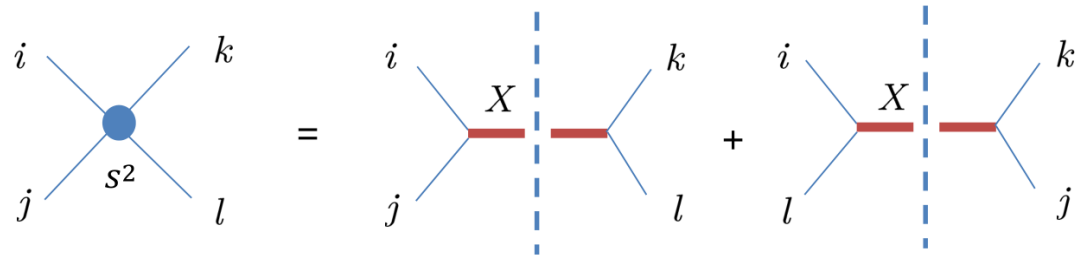
2-to-2 forward scattering ($t \approx 0$) for $ij \rightarrow kl$:
$$f = \frac{1}{2\pi i} \oint ds \frac{\mathcal{M}(s, 0)}{(s - M^2)^3}$$



$$\begin{aligned} M^{ijkl} &\equiv \frac{d^2}{ds^2} \mathcal{M}_{ij \rightarrow kl}(s, 0) \\ &= \frac{1}{2\pi i} \int_{(\epsilon\Lambda)^2}^{\infty} ds' \left(\frac{\text{Disc} \mathcal{M}_{ij \rightarrow kl}(s')}{(s' - M^2/2)^3} - \frac{\text{Disc} \mathcal{M}_{ij \rightarrow kl}(s')}{(s' - M^2/2)^3} \right) \end{aligned}$$

+ residues at poles

Optical theorem:
$$\text{Disc} \mathcal{M}^{ijkl}(s) = \sum_X \mathcal{M}^{ij \rightarrow X}(s) \left(\mathcal{M}^{kl \rightarrow X}(s) \right)^*$$



$$M^{ijkl} = \sum_X \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu}{\pi} \frac{m_X^{ij} m_X^{kl}}{(\mu - M^2/2)^3} + (j \leftrightarrow l)$$

$$m_X^{ij} \equiv \mathcal{M}^{ij \rightarrow X}$$

Convex cone nature

[CZ and S.-Y. Zhou PRL 125, 201601]



$$M^{ijkl} = \sum_X \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu}{\pi} \frac{m_X^{ij} m_X^{kl}}{(\mu - M^2/2)^3} + (j \leftrightarrow l)$$



M^{ijkl} is **positive** linear combination of $m_X^{ij} m_X^{kl} + m_X^{il} m_X^{kj}$

➔ 1. M^{ijkl} is a convex cone

We defined the cone as \mathbb{C}^{n^4}

Positivity bounds arise as boundary of cone!

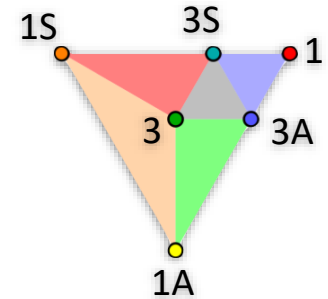
4-Higgs operators

$$\begin{aligned} O_{S,0} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi], \\ O_{S,1} &= [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi], \\ O_{S,2} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\nu \Phi)^\dagger D^\mu \Phi]. \end{aligned}$$



$$\begin{aligned} F_{S,0} &\geq 0, \\ F_{S,0} + F_{S,2} &\geq 0, \\ F_{S,0} + F_{S,1} + F_{S,2} &\geq 0. \end{aligned}$$

Triangular cone



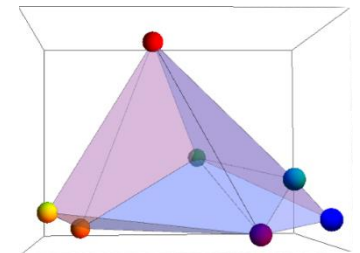
4-W operators

$$\begin{aligned} O_{T,0} &= \text{Tr}[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta}] \\ O_{T,2} &= \text{Tr}[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha}] \\ O_{T,1} &= \text{Tr}[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu}] \\ O_{T,10} &= \text{Tr}[\hat{W}_{\mu\nu} \tilde{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \tilde{W}^{\alpha\beta}] \end{aligned}$$



$$\begin{aligned} F_{T,2} &\geq 0, \\ 4F_{T,1} + F_{T,2} &\geq 0, \\ F_{T,2} + 8F_{T,10} &\geq 0, \\ 8F_{T,0} + 4F_{T,1} + 3F_{T,2} &\geq 0, \\ 12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10} &\geq 0, \\ 4F_{T,0} + 4F_{T,1} + 3F_{T,2} + 12F_{T,10} &\geq 0. \end{aligned}$$

6-facet 4D cone



EFT without symmetry?

Solution : use the dual property of cone

[X. Li, et al, 2101.01191]

Dual cone is defined as

$$\mathbf{C}^{n^4*} = \{ Q | Q \cdot M \geq 0, \forall M \in \mathbf{C}^{n^4} \}$$

1

\mathbf{C}^* is a cone.

2

\mathbf{C}^* is a set that contain all possible linear bounds

3

Hyperplane separation theorem $\rightarrow (\mathbf{C}^*)^* = \mathbf{C}$
——it is enough to carve out exactly the \mathbf{C}

These properties make sure our bounds are complete

Dual cone

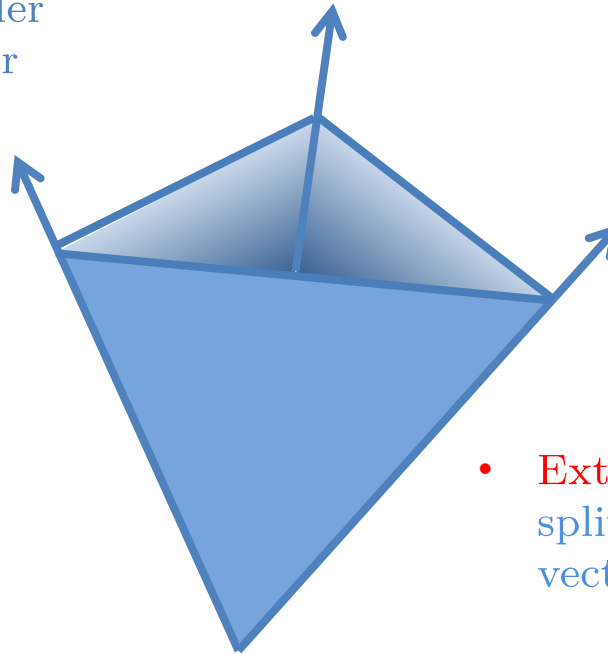
posi. bounds
= vectors in dual cone



Independent possible
bounds

Extremal Rays
of cone C^*

- A convex cone is closed under additions and positive scalar multiplications



- Extremal Ray (ER) : \vec{n}_i^{ex} cannot be split into other vectors (like an edge vector in polyhedral cone)

dual cone: C^*

Dual cone

How to find the ERs in dual cone? ...

Index symmetries of M^{ijkl}

$i \leftrightarrow k$ or $j \leftrightarrow l$ \longleftrightarrow Crossing symmetry: $s \leftrightarrow u$

$i \leftrightarrow j + k \leftrightarrow l$ \longleftrightarrow Rotation symmetry (Pi around y-axis)

Defined a subspace of M : $\mathcal{M} \in \vec{\mathbf{S}}^{n^4}$ ($\mathcal{M}^{ijkl} = \mathcal{M}^{jilk} = \mathcal{M}^{klij} = \mathcal{M}^{ilkj}$)

Dual cone: $Q \in \vec{\mathbf{S}}^{n^4}$ cross-antisymmetric
one will vanish

$$Q \cdot \mathcal{M} \geq 0$$

$$\Rightarrow Q^{ijkl} \sum_{\alpha} (m_{\alpha}^{ij} m_{\alpha}^{kl} + m_{\alpha}^{il} m_{\alpha}^{kj}) = 2 \sum_{\alpha} m_{\alpha}^{ij} Q^{ijkl} m_{\alpha}^{kl}$$

$$\Rightarrow Q^{(ij),(kl)} \succcurlyeq 0 \Rightarrow Q \in \mathbf{S}_{+}^{n^2 \times n^2}$$

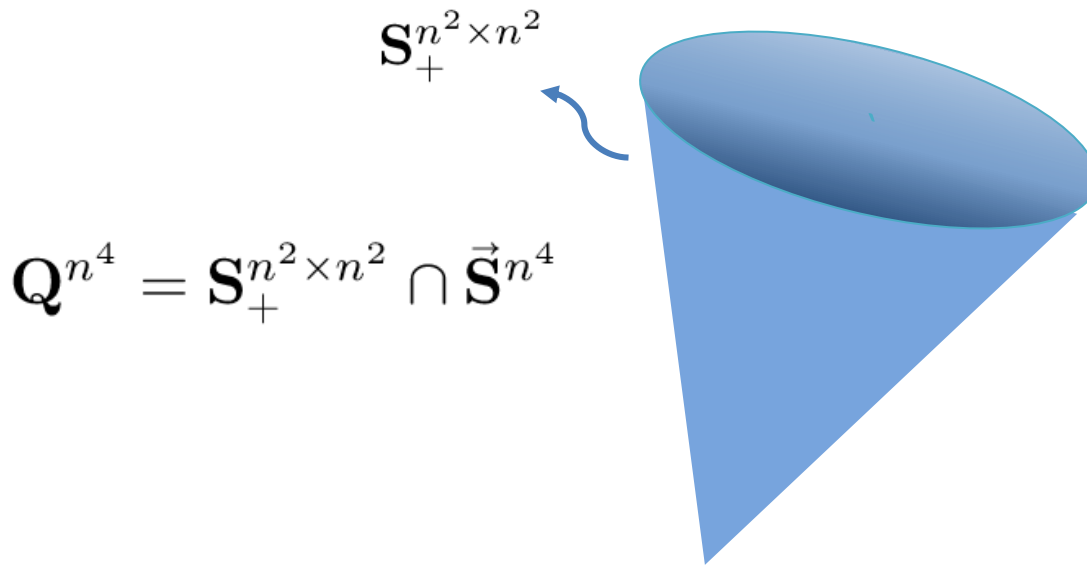


$$\mathbf{Q}^{n^4} = \mathbf{S}_{+}^{n^2 \times n^2} \cap \vec{\mathbf{S}}^{n^4}$$

Semi-definite matrices

Dual cone---Spectrahedron

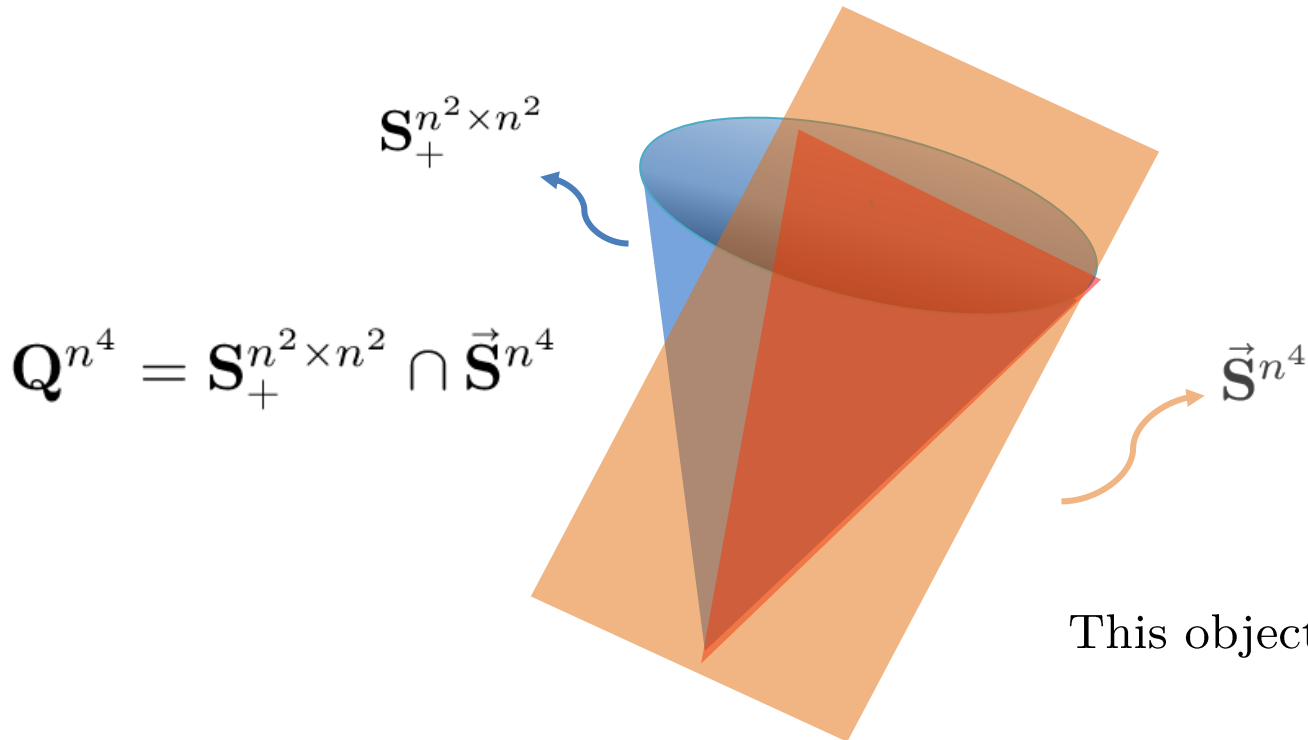
$\mathbf{S}_+^{n^2 \times n^2}$: the set of $n \times n$ **positive semi-definite matrices** forms a **convex cone**



Spectrahedron: the intersection of a cone with a **linear (affine) subspace**
 is well-defined in math

Dual cone---Spectrahedron

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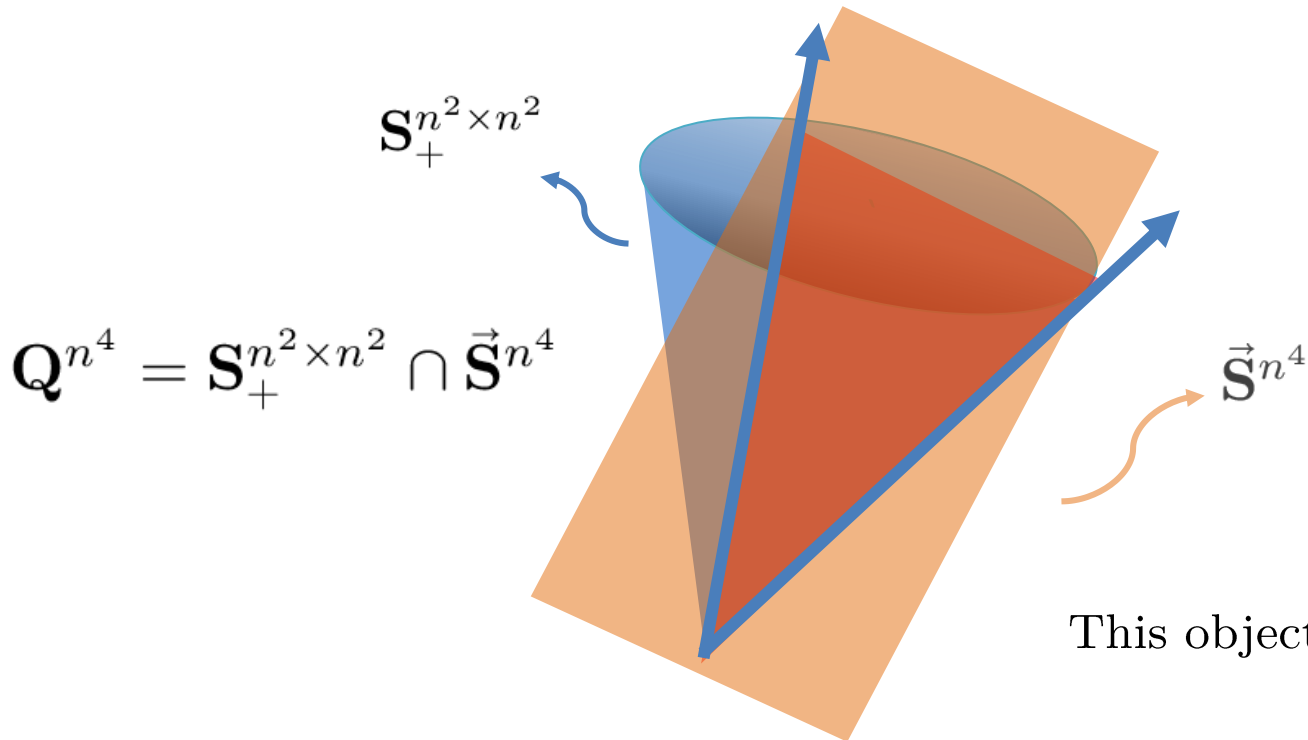


This object is a spectrahedron !

Spectrahedron: the intersection of a cone with a linear (affine) subspace
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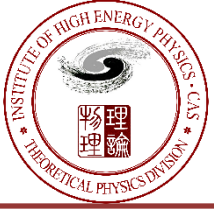


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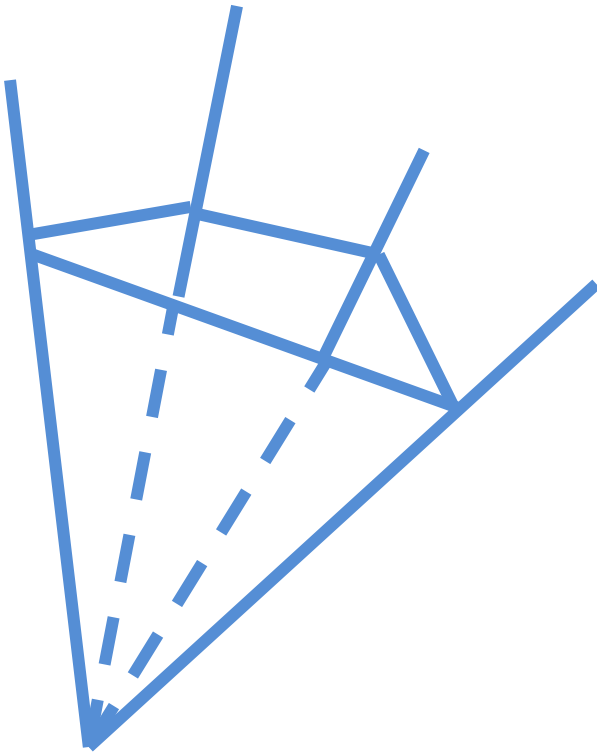
Spectrahedron: the intersection of a cone with a linear (affine) subspace
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Ultimate goal : **finding ERs of Spectrahedron!**

The “MC” approach



Randomly search ERs

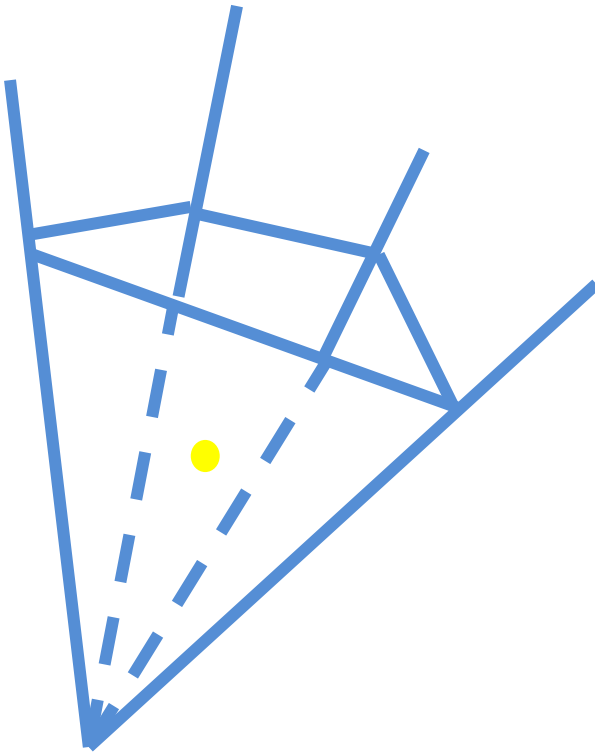


The “MC” approach

Randomly search ERs



Start with a **random point x**



The “MC” approach

Randomly search ERs

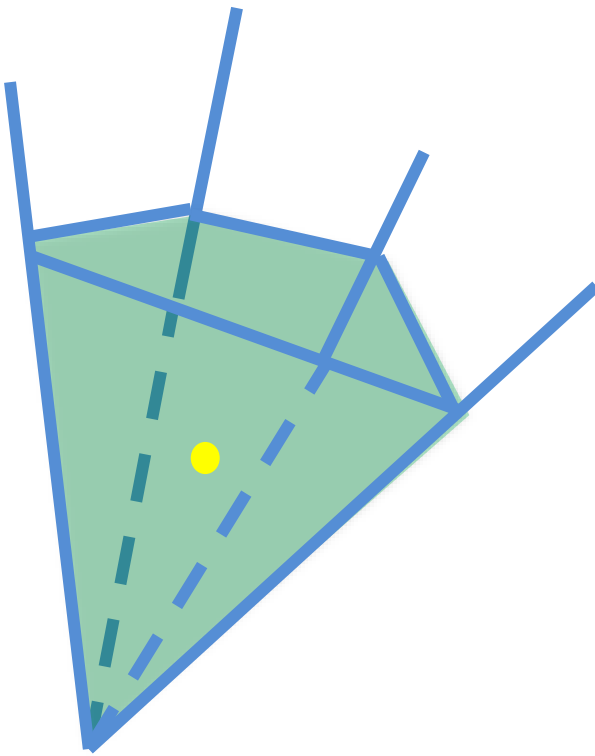


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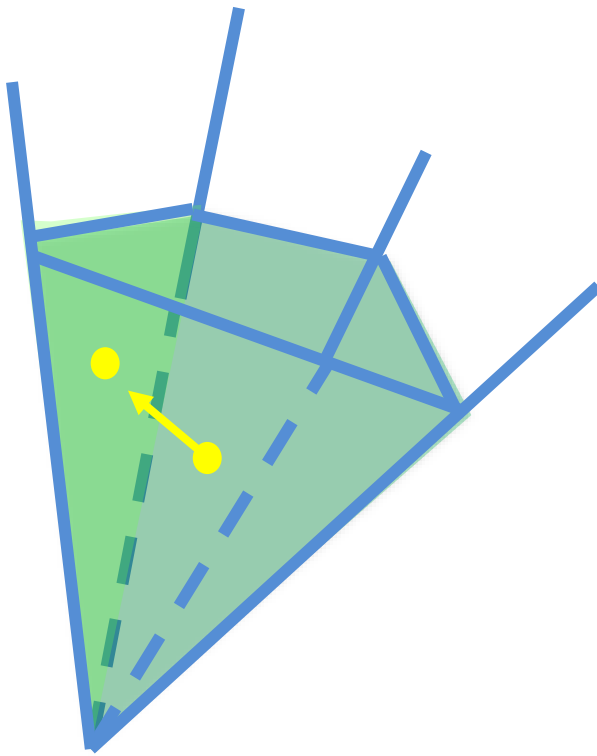
Find the (k-)face $F(x)$

See [Ramana & Goldman 1995]



The “MC” approach

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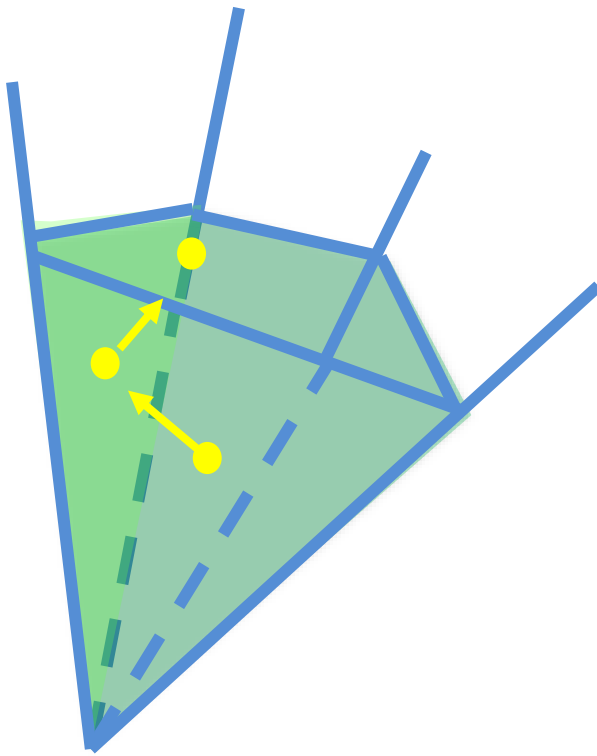


Take a random straight-line in $F(x)$ that crosses x . Find its **intersection** with the boundary of the cone (this is a SDP).

The “MC” approach



Randomly search ERs



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Find the (k-)face $F(x)$

See [Ramana & Goldman 1995]



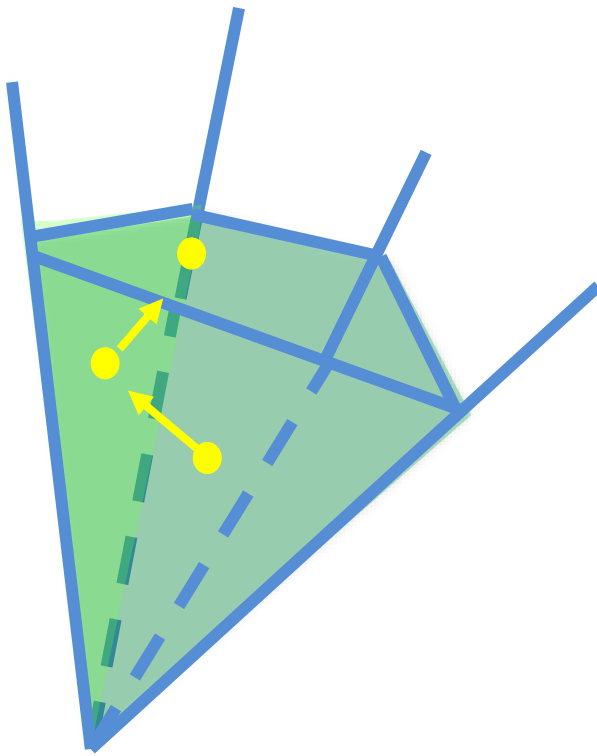
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Take x to be the intersection point and **iterate**, if $F(x)$ is not **dimension 1**

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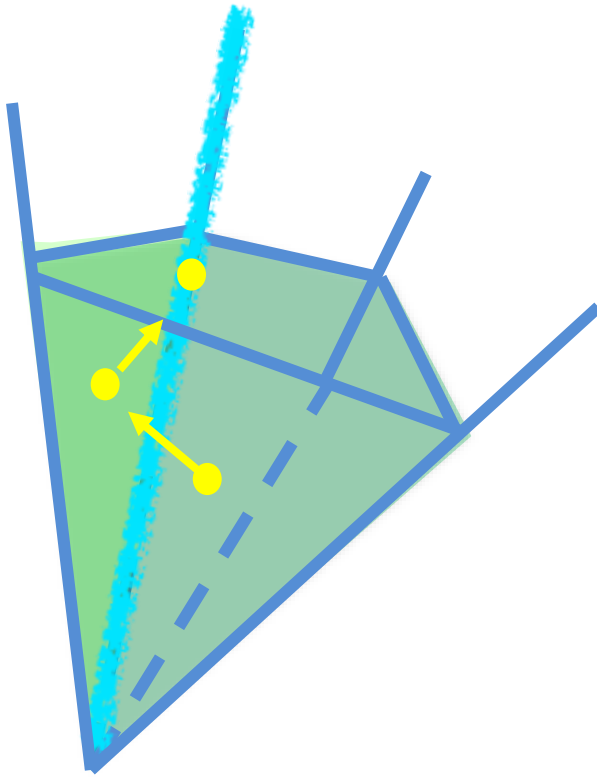
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Randomly search ERs



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See [Ramana & Goldman 1995]



Take a random straight-line in $F(x)$ that crosses x . Find its **intersection** with the boundary of the cone (this is a SDP).



Take x to be the intersection point and **iterate**, if $F(x)$ is not **dimension 1**



If $F(x)$ is **dimension 1**, An ER is found.

The SDP approach

If ERs are finite: The “MC” approach is enough

If ERs are infinite: We need the SDP approach also

The semi-definite programming (SDP) approach:

$$\begin{aligned} \min \quad & Q \cdot M \quad \leftarrow \text{Given a } M \\ \text{subject to} \quad & Q \in \text{spectrahedron} \end{aligned}$$

If the minimum is not negative, then M is allowed by positivity.

Applications

General 2-scalar case

$$\mathcal{L} \supset \frac{1}{\Lambda^4} C_{ijkl} O_{ijkl}, \quad O_{ijkl} = \partial_\mu \phi_i \partial^\mu \phi_j \partial_\nu \phi_k \partial^\nu \phi_l \quad i, j, k, l = 1, 2$$

Using operators we can calculate M^{ijkl} , which is a function of C_i 's

Crossing symmetry S^{n^4} will restrict the form

$$Q^{2^4} \ni Q = \begin{pmatrix} a & b & e & e \\ b & c & f & f \\ e & f & d & b \\ e & f & b & d \end{pmatrix}$$



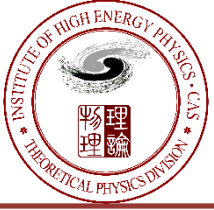
1. They are extremal
2. They are complete

ERs $Q_{\text{ex}} \rightarrow$

$$\begin{matrix} kl = 11 & 22 & 12 & 21 \\ \left[\begin{array}{cccc} a^2 & ab & ac & ac \\ ab & b^2 & bc & bc \\ ac & bc & 2c^2 - ab & ab \\ ac & bc & ab & 2c^2 - ab \end{array} \right]_{ij} = \begin{matrix} 11 \\ 22 \\ 12 \\ 21 \end{matrix} \end{matrix} \quad \text{With } c^2 \geq ab$$

$Q_{\text{ex}} \cdot M \geq 0$ will give the bounds on C_i 's !

Positivity bounds for 2-scalar EFTs



Finally get bounds !

$$C_{1111} \geq 0 \quad \text{and} \quad 4C_{1111}C_{1212} - C_{1112}^2 \geq 0$$

$$\text{and} \quad \left\{ C_{1112}C_{1122}C_{1222} - C_{1111}C_{1222}^2 - C_{1112}^2C_{2222} + C_{1212}(-C_{1122}^2 + 4C_{1111}C_{2222}) \geq 0 \right.$$

$$\text{or} \quad \left[\Delta \equiv 3(4C_{1111}C_{2222} - C_{1112}C_{1222}) + (C_{1122} + C_{1212})^2 \geq 0 \right.$$

$$\text{and} \quad \frac{3C_{1112}^2}{4C_{1111}} - 2(C_{1122} + C_{1212}) \leq \sqrt{\Delta} \leq C_{1212} - 2C_{1122}$$

$$\text{and} \quad \left. \left. 2\Delta^{3/2} \geq 27(C_{1111}C_{1222}^2 + C_{1112}^2C_{2222}) - 9(C_{1122} + C_{1212})(8C_{1111}C_{2222} + C_{1112}C_{1222}) + 2(C_{1122} + C_{1212})^3 \right] \right\}$$

What if $n > 2$?

—— resort to the numerical approach

4-gluon case $i, j, k, l = g$ (n=16 fields)

EFT operators:

$$\begin{array}{l}
 Q_{G^4}^{(1)} \\
 Q_{G^4}^{(2)} \\
 Q_{G^4}^{(3)} \\
 Q_{G^4}^{(4)}
 \end{array}
 \left| \begin{array}{l}
 (G_{\mu\nu}^A G^{A\mu\nu})(G_{\rho\sigma}^B G^{B\rho\sigma}) \\
 (G_{\mu\nu}^A \tilde{G}^{A\mu\nu})(G_{\rho\sigma}^B \tilde{G}^{B\rho\sigma}) \\
 (G_{\mu\nu}^A G^{B\mu\nu})(G_{\rho\sigma}^A G^{B\rho\sigma}) \\
 (G_{\mu\nu}^A \tilde{G}^{B\mu\nu})(G_{\rho\sigma}^A \tilde{G}^{B\rho\sigma})
 \end{array} \right.
 \begin{array}{l}
 Q_{G^4}^{(7)} \\
 Q_{G^4}^{(8)}
 \end{array}
 \left| \begin{array}{l}
 d^{ABE} d^{CDE} (G_{\mu\nu}^A G^{B\mu\nu})(G_{\rho\sigma}^C G^{D\rho\sigma}) \\
 d^{ABE} d^{CDE} (G_{\mu\nu}^A \tilde{G}^{B\mu\nu})(G_{\rho\sigma}^C \tilde{G}^{D\rho\sigma})
 \end{array} \right.$$

Plus a (D6)² term: $f^{abc} G_{\mu}^{a\nu} G_{\nu}^{b\rho} G_{\rho}^{c\mu}$

$\vec{n} \cdot \vec{C} \geq 0 \rightarrow n$ given by

[0, 0, 0, 1, 0, 0, 0]	[0, 0, 6, 3, 7, 2, 0]	[24, 0, 12, 21, 15, 14, 0]	[0, 0, 96, 24, 64, 40, -81]
[0, 0, 1, 1, 1, 0, 0]	[8, 6, 1, 6, 0, 2, 0]	[24, 32, 24, 4, 8, 0, -27]	[40, 32, 80, 4, 0, 0, -189]
[2, 0, 1, 0, 0, 0, 0]	[0, 6, 3, 12, 5, 0, 0]	[48, 36, 21, 27, 25, 0, 0]	[0, 0, 24, 120, 40, 104, -81]
[0, 2, 0, 1, 0, 0, 0]	[8, 6, 1, 12, 0, 0, 0]	[32, 40, 4, 80, 0, 0, -27]	[0, 0, 120, 24, 104, 40, -81]
[0, 0, 3, 0, 2, 0, 0]	[0, 6, 6, 9, 10, 4, 0]	[0, 48, 0, 48, 0, 40, -81]	[96, 0, 144, 24, 64, 40, -81]
[0, 0, 0, 3, 0, 2, 0]	[0, 12, 0, 14, 0, 0, -9]	[24, 0, 36, 24, 16, 40, -81]	[48, 0, 96, 24, 0, 40, -243]
[1, 1, 2, 2, 0, 0, 0]	[0, 0, 8, 8, 0, 8, -27]	[0, 0, 48, 24, 32, 40, -81]	[0, 192, 168, 96, 112, 120, -405]
[6, 0, 3, 0, 2, 0, 0]	[12, 0, 14, 0, 0, 0, -27]	[0, 0, 24, 48, 16, 56, -81]	[168, 480, 168, 156, 56, 160, -729]
[4, 2, 2, 1, 2, 0, 0]	[6, 8, 12, 1, 0, 0, -27]	[88, 32, 56, 4, 40, 0, -27]	[264, 384, 156, 168, 16, 200, -729]
[0, 0, 4, 0, 0, 0, -9]	[8, 16, 4, 8, 0, 8, -27]	[96, 42, 27, 84, 25, 0, 0]	[288, 384, 216, 168, 0, 200, -891]
[6, 0, 6, 0, 5, 0, 0]	[0, 24, 0, 12, 0, 8, -27]	[96, 66, 42, 39, 50, 4, 0]	[480, 384, 480, 168, 160, 200, -729]
[0, 0, 3, 6, 5, 4, 0]	[8, 22, 1, 14, 0, 10, -27]	[120, 42, 39, 42, 40, 14, 0]	[336, 768, 672, 216, 0, 200, -2187]

4-gluon case

$i, j, k, l = g$ (n=16 fields)

EFT operators:

$$\begin{array}{l}
 Q_{G^4}^{(1)} \\
 Q_{G^4}^{(2)} \\
 Q_{G^4}^{(3)} \\
 Q_{G^4}^{(4)}
 \end{array}
 \left| \begin{array}{l}
 (G_{\mu\nu}^A G^{A\mu\nu})(G_{\rho\sigma}^B G^{B\rho\sigma}) \\
 (G_{\mu\nu}^A \tilde{G}^{A\mu\nu})(G_{\rho\sigma}^B \tilde{G}^{B\rho\sigma}) \\
 (G_{\mu\nu}^A G^{B\mu\nu})(G_{\rho\sigma}^A G^{B\rho\sigma}) \\
 (G_{\mu\nu}^A \tilde{G}^{B\mu\nu})(G_{\rho\sigma}^A \tilde{G}^{B\rho\sigma})
 \end{array} \right.
 \begin{array}{l}
 Q_{G^4}^{(7)} \\
 Q_{G^4}^{(8)}
 \end{array}
 \left| \begin{array}{l}
 d^{ABE} d^{CDE} (G_{\mu\nu}^A G^{B\mu\nu})(G_{\rho\sigma}^C G^{D\rho\sigma}) \\
 d^{ABE} d^{CDE} (G_{\mu\nu}^A \tilde{G}^{B\mu\nu})(G_{\rho\sigma}^C \tilde{G}^{D\rho\sigma})
 \end{array} \right.$$

Plus a (D6)² term: $f^{abc} G_{\mu}^{a\nu} G_{\nu}^{b\rho} G_{\rho}^{c\mu}$

$\vec{n} \cdot \vec{C} \geq 0 \rightarrow n$ given by

7D polyhedral cone with 48 facets!

[0, 0, 0, 1, 0, 0, 0]	[0, 0, 6, 3, 7, 2, 0]	[24, 0, 12, 21, 15, 14, 0]	[0, 0, 96, 24, 64, 40, -81]
[0, 0, 1, 1, 1, 0, 0]	[8, 6, 1, 6, 0, 2, 0]	[24, 32, 24, 4, 8, 0, -27]	[40, 32, 80, 4, 0, 0, -189]
[2, 0, 1, 0, 0, 0, 0]	[0, 6, 3, 12, 5, 0, 0]	[48, 36, 21, 27, 25, 0, 0]	[0, 0, 24, 120, 40, 104, -81]
[0, 2, 0, 1, 0, 0, 0]	[8, 6, 1, 12, 0, 0, 0]	[32, 40, 4, 80, 0, 0, -27]	[0, 0, 120, 24, 104, 40, -81]
[0, 0, 3, 0, 2, 0, 0]	[0, 6, 6, 9, 10, 4, 0]	[0, 48, 0, 48, 0, 40, -81]	[96, 0, 144, 24, 64, 40, -81]
[0, 0, 0, 3, 0, 2, 0]	[0, 12, 0, 14, 0, 0, -9]	[24, 0, 36, 24, 16, 40, -81]	[48, 0, 96, 24, 0, 40, -243]
[1, 1, 2, 2, 0, 0, 0]	[0, 0, 8, 8, 0, 8, -27]	[0, 0, 48, 24, 32, 40, -81]	[0, 192, 168, 96, 112, 120, -405]
[6, 0, 3, 0, 2, 0, 0]	[12, 0, 14, 0, 0, 0, -27]	[0, 0, 24, 48, 16, 56, -81]	[168, 480, 168, 156, 56, 160, -729]
[4, 2, 2, 1, 2, 0, 0]	[6, 8, 12, 1, 0, 0, -27]	[88, 32, 56, 4, 40, 0, -27]	[264, 384, 156, 168, 16, 200, -729]
[0, 0, 4, 0, 0, 0, -9]	[8, 16, 4, 8, 0, 8, -27]	[96, 42, 27, 84, 25, 0, 0]	[288, 384, 216, 168, 0, 200, -891]
[6, 0, 6, 0, 5, 0, 0]	[0, 24, 0, 12, 0, 8, -27]	[96, 66, 42, 39, 50, 4, 0]	[480, 384, 480, 168, 160, 200, -729]
[0, 0, 3, 6, 5, 4, 0]	[8, 22, 1, 14, 0, 10, -27]	[120, 42, 39, 42, 40, 14, 0]	[336, 768, 672, 216, 0, 200, -2187]

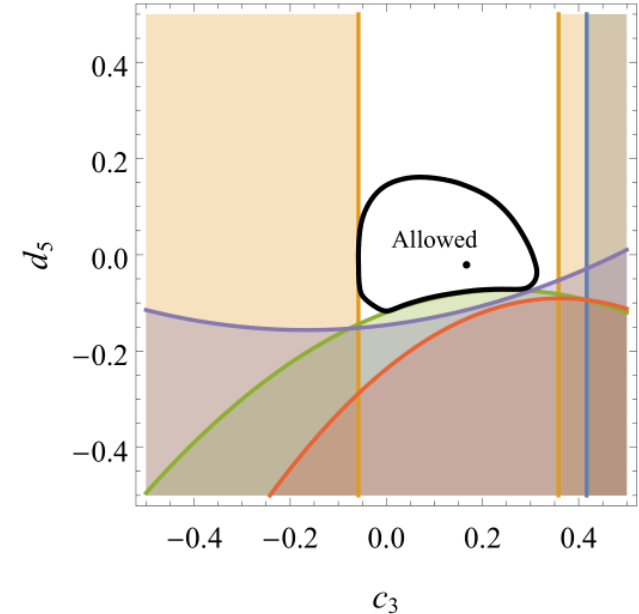
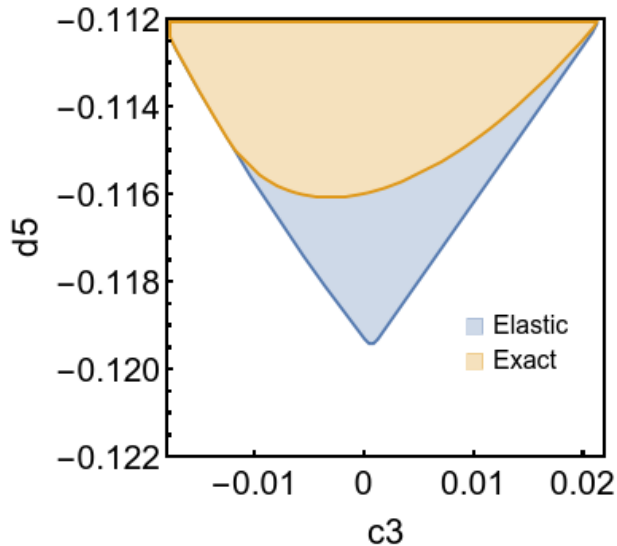
Example: Spin-2 EFT

- dRGT massive gravity ($n = 5$) — (c3, d5):

[PRL.106(2011) 231101, C. de Rham, et, al]

Elastic: elastic approach(superposed)

[JHEP 04 (2016) 002. C. Cheung and G. Remmen]



- Exact: SDP approach:

improves slightly the minimum value of d_5 .

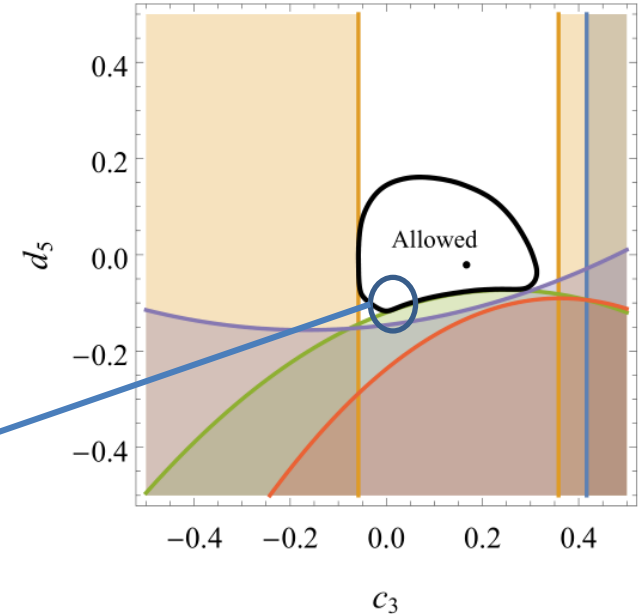
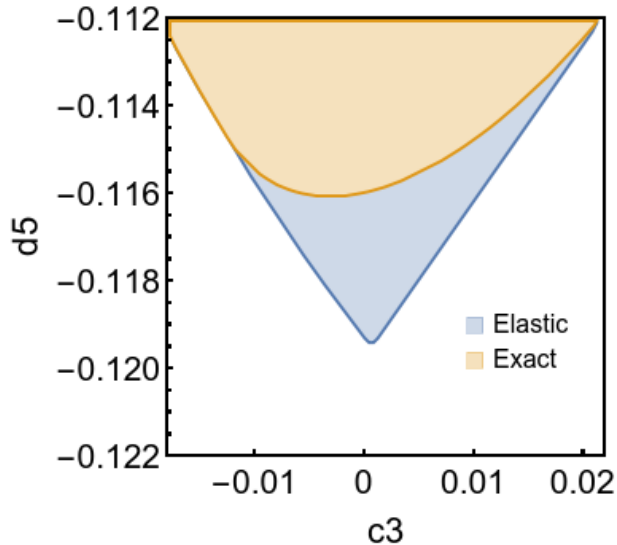
Example: Spin-2 EFT

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Elastic: elastic approach(superposed)

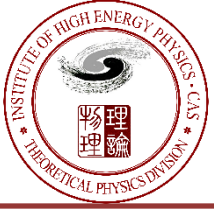
[JHEP 04 (2016) 002. C. Cheung and G. Remmen]



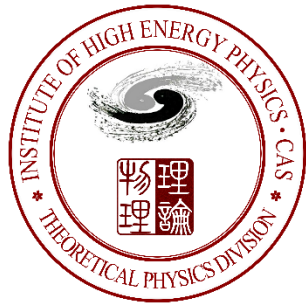
- Exact: SDP approach:

improves slightly the minimum value of d_5 .

Summary

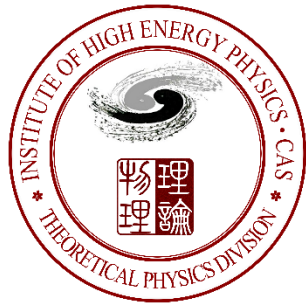


- Positive structures arise at the dim-8 level in EFT coefficient space, as a consequence of **axiomatic QFT principles**.
- We convert the problem of finding bounds to a geometric problem: finding the ERs of a spectrahedron.
 - can be solved using the **semi-definite programming**
- Improved previous results, and gave some new results.



Institute of High Energy Physics

Thank You!



Institute of High Energy Physics

Backup

Non elastic bounds for n=3

$$Q_{ex} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 2 & 2 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 2 & 3 & 0 & 1 & 1 & 1 & 1 & 2 \\ 0 & 0 & 0 & 2 & 0 & 1 & 2 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 2 & 1 & 1 & 3 & 1 & 2 \\ 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 2 & 1 & 1 & 1 & 2 & 1 & 3 \end{bmatrix}$$

Which can be apply in SM flavor sector (n=3 fields)

This is a rank-4 matrix, so it cannot be written as $uvuv$, which is at most rank-2 by definition

General 2-scalar case

$$\mathcal{L} \supset \frac{1}{\Lambda^4} C_{ijkl} O_{ijkl}, \quad O_{ijkl} = \partial_\mu \phi_i \partial^\mu \phi_j \partial_\nu \phi_k \partial^\nu \phi_l$$

$$\mathcal{M}_{\text{scalar}} = \begin{bmatrix} 4C_{1111} & C'_{1122} & C_{1112} & C_{1112} \\ C'_{1122} & 4C_{2222} & C_{1222} & C_{1222} \\ C_{1112} & C_{1222} & C_{1212} & C'_{1122} \\ C_{1112} & C_{1222} & C'_{1122} & C_{1212} \end{bmatrix} \quad \mathbf{Q}^{2^4} \ni \mathcal{Q} = \begin{pmatrix} a & b & e & e \\ b & c & f & f \\ e & f & d & b \\ e & f & b & d \end{pmatrix}$$

$$\text{ERs } \mathcal{Q}_{\text{ex}} \rightarrow \begin{bmatrix} a^2 & ab & ac & ac \\ ab & b^2 & bc & bc \\ ac & bc & 2c^2 - ab & ab \\ ac & bc & ab & 2c^2 - ab \end{bmatrix} \begin{matrix} ij = 11 \\ 22 \\ 12 \\ 21 \end{matrix} \quad \text{With } c^2 \geq ab$$

variable substitution

$$\mathcal{Q}_{\text{ex}} \cdot \mathcal{M} \equiv \left[w^2 \quad \frac{rw+sw}{2} \quad rs \right] \cdot D \cdot \left[w^2 \quad \frac{rw+sw}{2} \quad rs \right]^T$$

$$\geq 0 \quad \forall r, s, w \in \mathbb{R}, \quad \text{It is quartic !}$$

$$D = \begin{bmatrix} 2C_{1111} & C_{1112} & C_{1122} \\ C_{1112} & 2C_{1212} & C_{1222} \\ C_{1122} & C_{1222} & 2C_{2222} \end{bmatrix}$$

- SMEFT VVVV (aQGC) operators (W+B, n=12 modes):
(Anomalous quartic-gauge boson couplings)

- T-type:

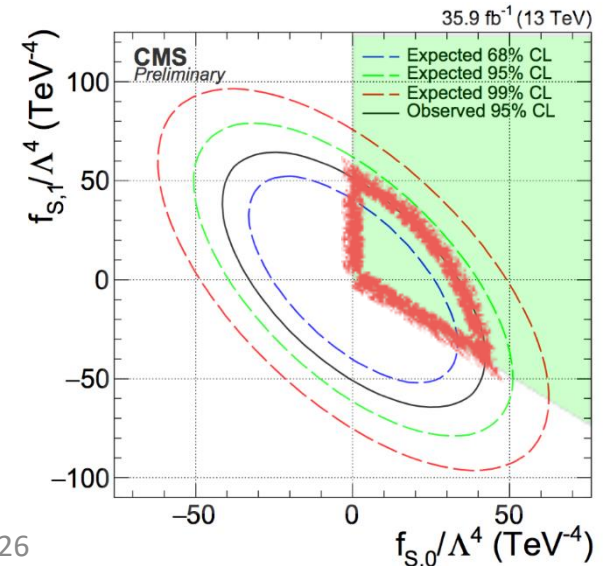
$$\begin{aligned}
 O_{T,0} &= \text{Tr}[\hat{W}_{\mu\nu}\hat{W}^{\mu\nu}]\text{Tr}[\hat{W}_{\alpha\beta}\hat{W}^{\alpha\beta}] & O_{T,1} &= \text{Tr}[\hat{W}_{\alpha\nu}\hat{W}^{\mu\beta}]\text{Tr}[\hat{W}_{\mu\beta}\hat{W}^{\alpha\nu}] \\
 O_{T,2} &= \text{Tr}[\hat{W}_{\alpha\mu}\hat{W}^{\mu\beta}]\text{Tr}[\hat{W}_{\beta\nu}\hat{W}^{\nu\alpha}] & O_{T,10} &= \text{Tr}[\hat{W}_{\mu\nu}\tilde{W}^{\mu\nu}]\text{Tr}[\hat{W}_{\alpha\beta}\tilde{W}^{\alpha\beta}] \\
 O_{T,5} &= \text{Tr}[\hat{W}_{\mu\nu}\hat{W}^{\mu\nu}]\hat{B}_{\alpha\beta}\hat{B}^{\alpha\beta} & O_{T,6} &= \text{Tr}[\hat{W}_{\alpha\nu}\hat{W}^{\mu\beta}]\hat{B}_{\mu\beta}\hat{B}^{\alpha\nu} \\
 O_{T,7} &= \text{Tr}[\hat{W}_{\alpha\mu}\hat{W}^{\mu\beta}]\hat{B}_{\beta\nu}\hat{B}^{\nu\alpha} & O_{T,11} &= \text{Tr}[\hat{W}_{\mu\nu}\tilde{W}^{\mu\nu}]\hat{B}_{\alpha\beta}\hat{B}^{\alpha\beta} \\
 O_{T,8} &= \hat{B}_{\mu\nu}\hat{B}^{\mu\nu}\hat{B}_{\alpha\beta}\hat{B}^{\alpha\beta} & O_{T,9} &= \hat{B}_{\alpha\mu}\hat{B}^{\mu\beta}\hat{B}_{\beta\nu}\hat{B}^{\nu\alpha}
 \end{aligned}$$

- S-type:

$$\begin{aligned}
 O_{S,0} &= [(D_\mu\Phi)^\dagger D_\nu\Phi] \times [(D^\mu\Phi)^\dagger D^\nu\Phi], \\
 O_{S,1} &= [(D_\mu\Phi)^\dagger D^\mu\Phi] \times [(D_\nu\Phi)^\dagger D^\nu\Phi], \\
 O_{S,2} &= [(D_\mu\Phi)^\dagger D_\nu\Phi] \times [(D^\nu\Phi)^\dagger D^\mu\Phi].
 \end{aligned}$$

- The aQGC couplings are used frequently to study VBS and tri-boson process at LHC
- Experimental limits are often given in term of aQGC operators at LHC

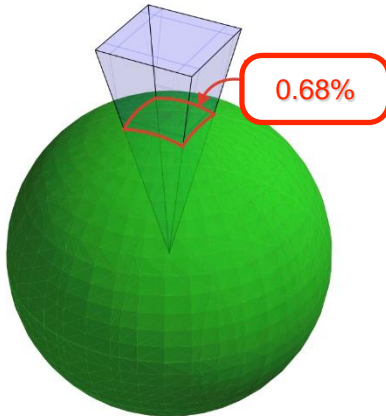
[Phys.Lett.B 798 (2019) 134985, CMS]



We reproduced bounds by [2009.04490, K. Yamashita, CZ and S.-Y. Zhou]

Linear:

$$\begin{aligned}
 F_{T,2} &\geq 0 \\
 4F_{T,1} + F_{T,2} &\geq 0 \\
 F_{T,2} + 8F_{T,10} &\geq 0 \\
 8F_{T,0} + 4F_{T,1} + 3F_{T,2} &\geq 0 \\
 12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10} &\geq 0 \\
 4F_{T,0} + 4F_{T,1} + 3F_{T,2} + 12F_{T,10} &\geq 0 \\
 4F_{T,6} + F_{T,7} &\geq 0 \\
 F_{T,7} &\geq 0 \\
 2F_{T,8} + F_{T,9} &\geq 0 \\
 F_{T,9} &\geq 0
 \end{aligned}$$



Quadratic:

$$\begin{aligned}
 F_{T,9} (F_{T,2} + 4F_{T,10}) &\geq F_{T,11}^2 \\
 16 (2 (F_{T,0} + F_{T,1}) + F_{T,2}) (2F_{T,8} + F_{T,9}) &\geq (4F_{T,5} + F_{T,7})^2 \\
 32 (2F_{T,8} + F_{T,9}) (3F_{T,0} + F_{T,1} + 2F_{T,2} + 4F_{T,10}) &\geq 3 (4F_{T,5} + F_{T,7})^2 \\
 2\sqrt{2}\sqrt{F_{T,9} (F_{T,2} + 8F_{T,10})} &\geq \max(-F_{T,7} - 4F_{T,11}, -4F_{T,6} - F_{T,7} + 4F_{T,11}) \\
 4\sqrt{(8F_{T,0} + 4F_{T,1} + 3F_{T,2}) (2F_{T,8} + F_{T,9})} &\geq \max(-8F_{T,5} - 4F_{T,6} - 3F_{T,7}, 8F_{T,5} + F_{T,7}) \\
 4\sqrt{F_{T,9} (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} &\geq \max(-F_{T,7} - 4F_{T,11}, -4F_{T,6} - F_{T,7} + 4F_{T,11}) \\
 4\sqrt{6}\sqrt{(2F_{T,8} + F_{T,9}) (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} &\geq \max[3 (8F_{T,5} + F_{T,7}), -3 (8F_{T,5} + 4F_{T,6} + 3F_{T,7})] \\
 \sqrt{6}\sqrt{(4F_{T,8} + 3F_{T,9}) (6F_{T,0} + 2F_{T,1} + 3F_{T,2} + 6F_{T,10})} &\geq \max(3 (2F_{T,5} + F_{T,11}), -3 (2F_{T,5} + F_{T,7} + F_{T,11})) \\
 2\sqrt{(12F_{T,8} + 7F_{T,9}) (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} &\geq \max(-12F_{T,5} - 4F_{T,6} - 5F_{T,7} - 2F_{T,11}, 12F_{T,5} + F_{T,7} - 2F_{T,11}, \\
 &\quad 12F_{T,5} + F_{T,7} + 2F_{T,11}, 12F_{T,5} - 4F_{T,6} + F_{T,7} + 2F_{T,11})
 \end{aligned}$$

- At least for simple cases, the $\text{ext}(G)$ can be found by inspection.

- E.g. simplest case:

$n=2$, with some Z_2 symmetry, $e=f=0$, $T \rightarrow$

$$\begin{pmatrix} a & b & 0 & 0 \\ b & c & 0 & 0 \\ 0 & 0 & d & b \\ 0 & 0 & b & d \end{pmatrix}$$

- There are two kinds of ERs

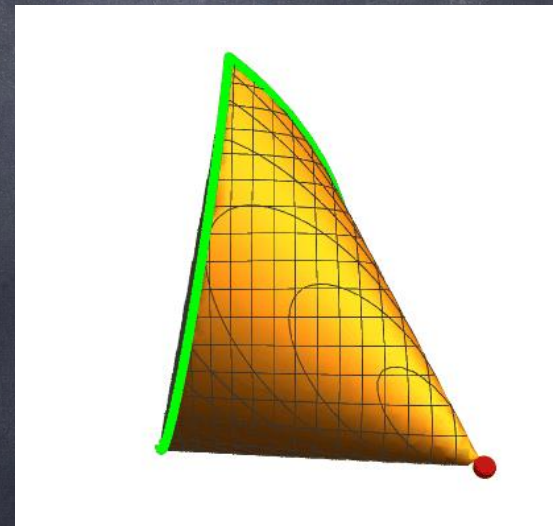
- ER1:** $a=b=c=0, d=1$

- ER2:** $ac=b^2, d=|b|, a,c>0$

A 3D cross section of the 4D cone (a,b,c,d)

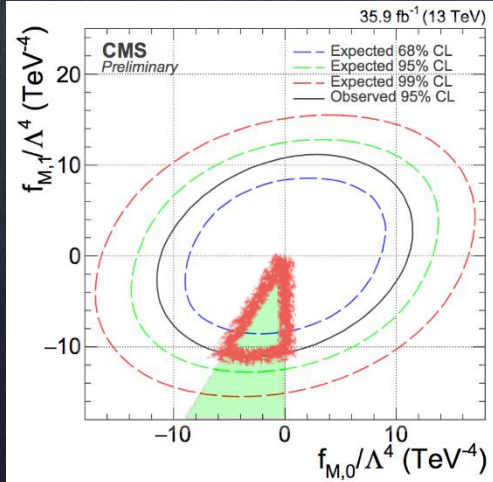
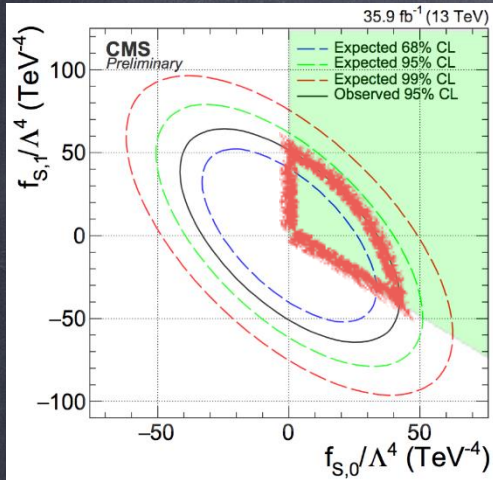
$$M^{ijkl} = \begin{pmatrix} C_1 & C_2 & 0 & 0 \\ C_2 & C_3 & 0 & 0 \\ 0 & 0 & C_4 & C_2 \\ 0 & 0 & C_2 & C_4 \end{pmatrix}$$

$$C_1, C_3, C_4 \geq 0 \text{ and } \sqrt{C_1 C_3} \geq \pm 2C_2 - C_4$$

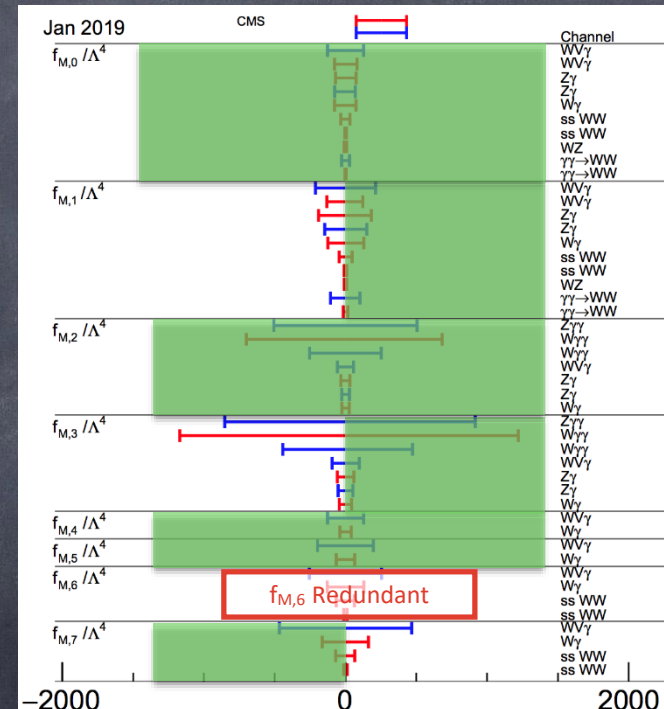
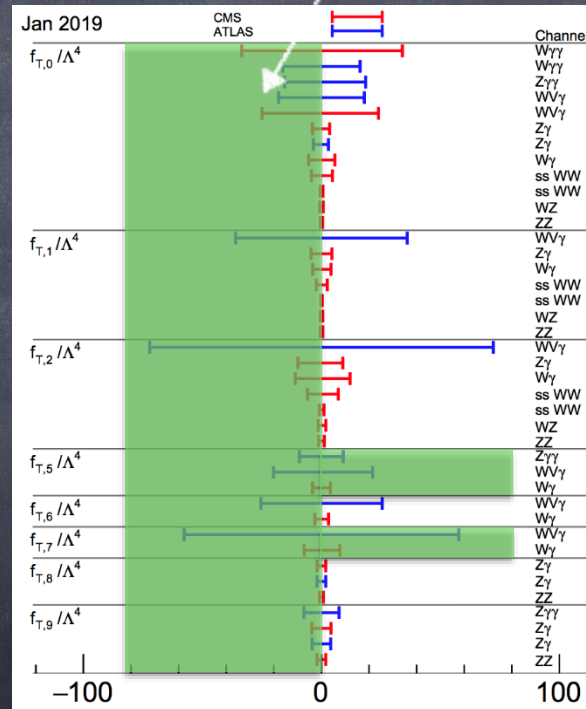


Pheno applications...

- May change the interpretation of measurements.



Excluded



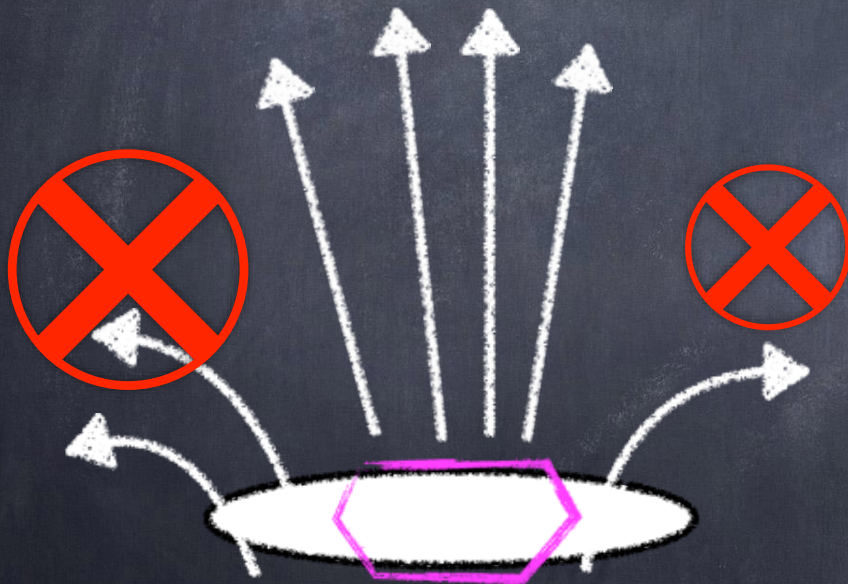
https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResults/SMPaTGC#aQGC_Results

• Infer UV model from EFT measurements

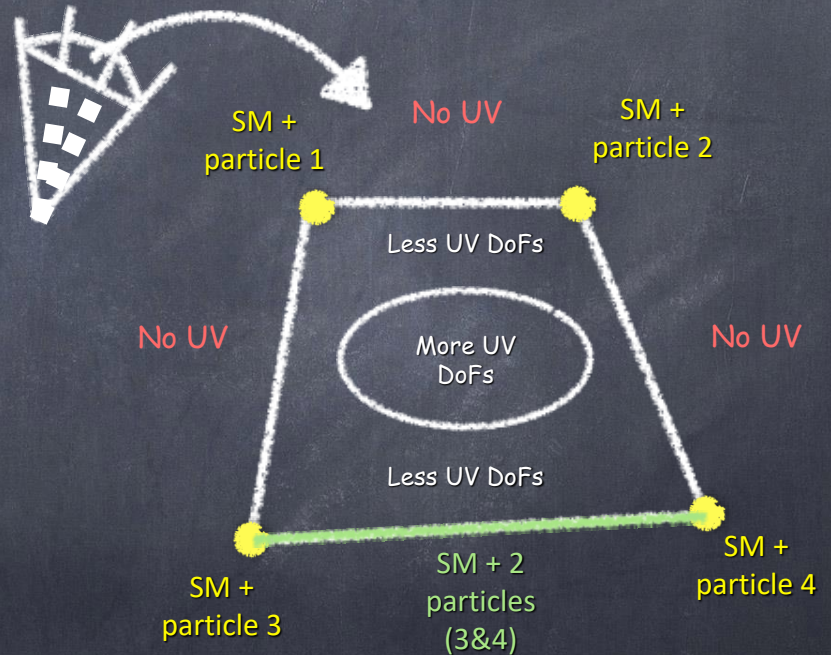
Inverse problem: Given the measured values of the operator coefficients around the electroweak scale, to what extent can we possibly determine the nature of the new physics beyond the SM? [Gu, Wang, 2008.07551]

see also [S. Dawson et al. 2007.01296] [N. Arkani-Hamed et al. hep-ph/0512190]

Many BSM models



Positivity bounds



[CZ and S.-Y. Zhou 2005.03047]

[2009.02212 B. Fuks, Y. Liu, CZ, S.-Y. Zhou]