



# Search for feebly interacting particles with NA62

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On behalf of the NA62 Collaboration

- ▶ Short introduction on NA62 experiment
- ▶ Three recent results on searches for feebly interacting particles
  - ▶  $K^+ \rightarrow \pi^+ X, X \rightarrow \text{invisible}$  with the full 2016-2018 data
  - ▶  $K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \text{invisible}$  with 2017 data
  - ▶  $K^+ \rightarrow \mu^+ \nu X, X \rightarrow \text{invisible}$  with the full 2016-2018 data

PHENO 2021

Pittsburg (US)/virtual, 24 May 2021

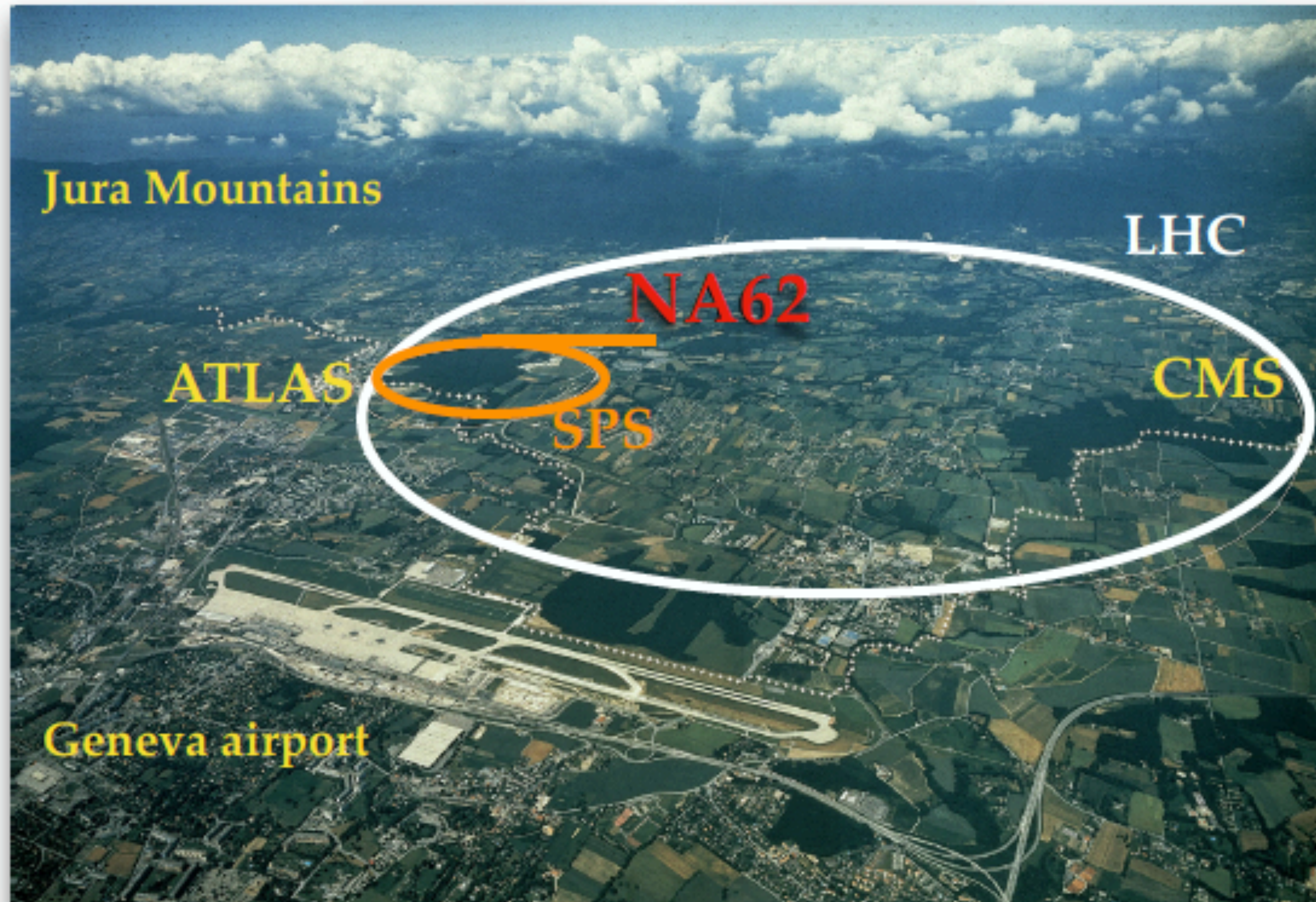


# NA62 Collaboration



~ 200 participants

Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna (JINR), Fairfax (GMU), Ferrara, Florence, Frascati, Glasgow, Lancaster, Liverpool, Louvain-la-Neuve, Mainz, Moscow (INR), Naples, Perugia, Pisa, Prague, Protvino (IHEP), Rome I, Rome II, San Luis Potosi, TRIUMF, Turin, Vancouver (UBC)



The main aim is the measurement of  
 **$BR(K^{\pm} \rightarrow \pi^{\pm} \nu \nu)$**   
with a precision better than 10%

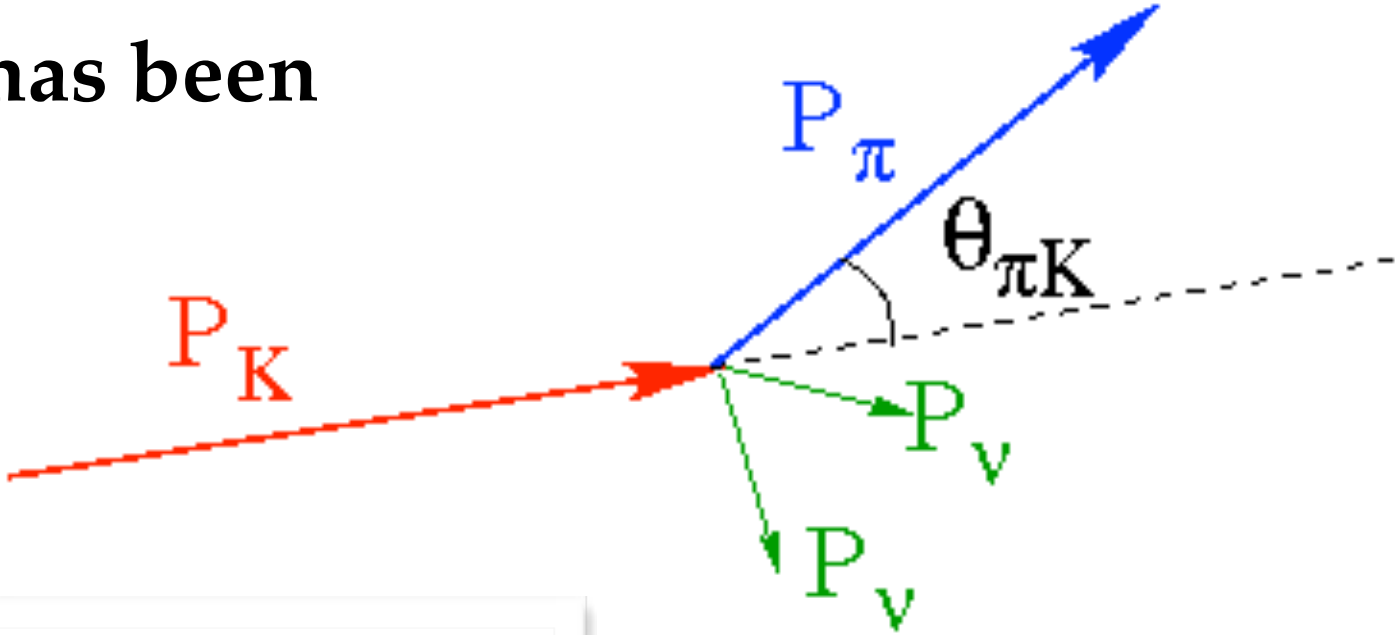
Collected good quality  
data in 2016-2018  
Ready to start the new data taking



# Features required for the BR( $K^+ \rightarrow \pi^+ \nu \nu$ )

The experimental apparatus has been designed in order to detect:

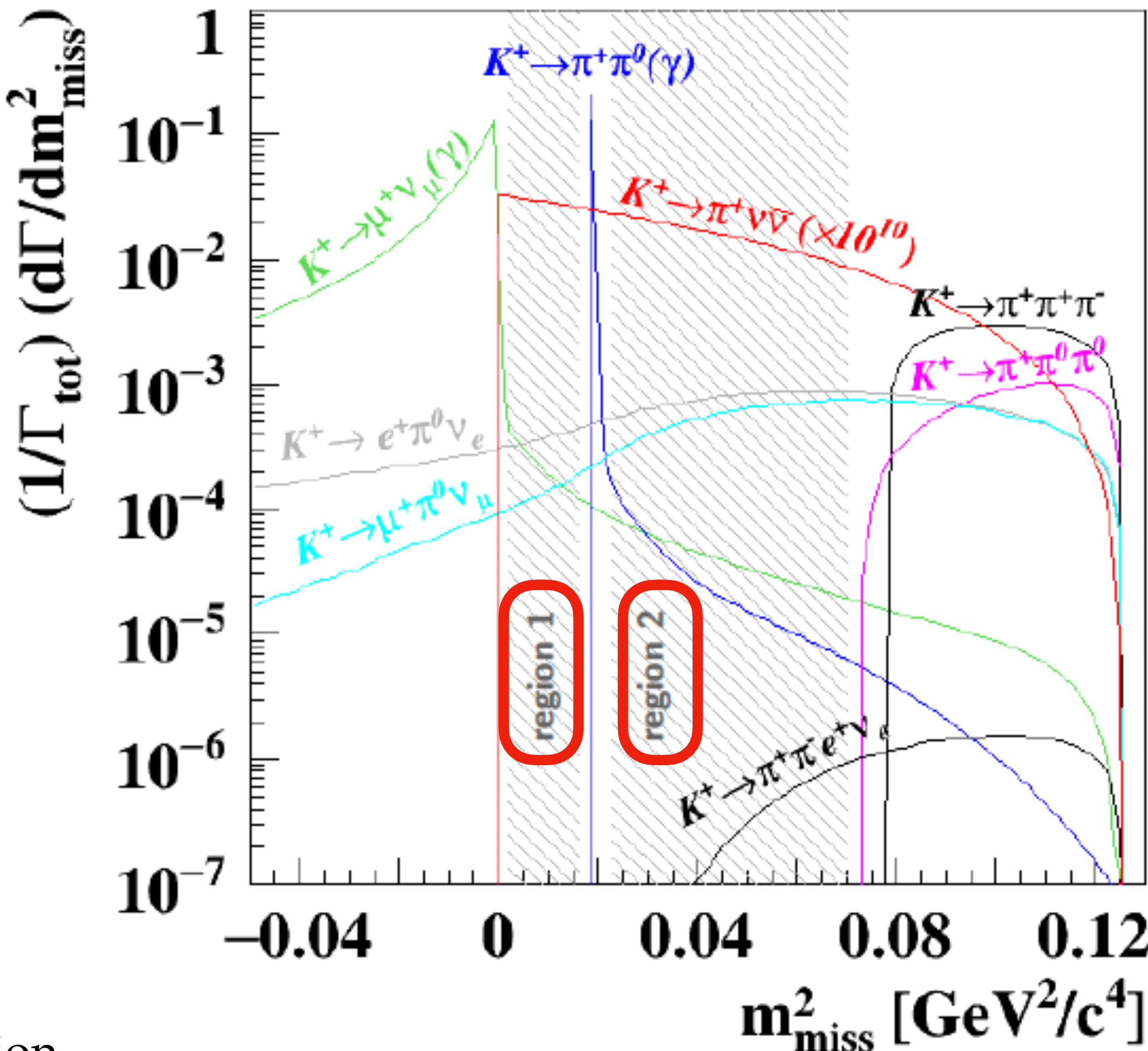
Decay in flight



$$m_{miss}^2 = (p_K - p_\pi)^2$$

- very good kinematic reconstruction
- time measurements

Decay	BR	Main Rejection Tools
$K^+ \rightarrow \mu^+ \nu_\mu (\gamma)$	63%	$\mu$ -ID + kinematics
$K^+ \rightarrow \pi^+ \pi^0 (\gamma)$	21%	$\gamma$ -veto + kinematics
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	6%	multi-track + kinematics
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	2%	$\gamma$ -veto + kinematics
$K^+ \rightarrow \pi^0 e^+ \nu_e$	5%	$e$ -ID + $\gamma$ -veto
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$	3%	$\mu$ -ID + $\gamma$ -veto



- K,  $\pi$ ,  $\mu$  identification
- Hermetic detection of muons
- Hermetic detection of photons

# NA62 Apparatus



JINST 12 P05025 (2017), arxiv:1703.08501

Final states described in this presentation:  
1 downstream track and nothing else

**STRAW: Downstream tracking**

**LAV: photon veto at large angles lead-glass blocks**

**RICH: Ring imaging Cherenkov kinematics and particle ID**

**IRC, SAC: lead and scintillator plates Shashlyk configuration**

**GTK: kaon tracking: 3 stations of silicon sensors**

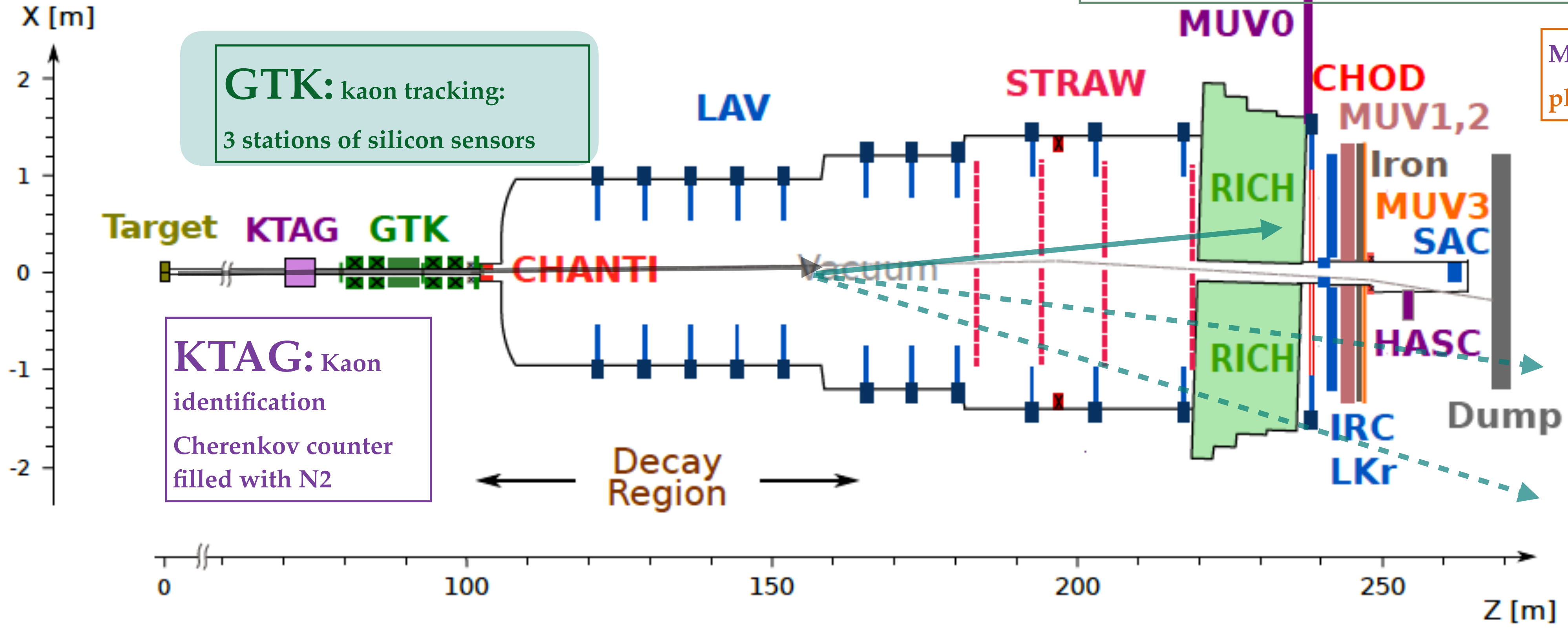
**MUV0, MUV3 plastic scintillators**

**Muon veto Hadronic calorimeters**

**KTAG: Kaon identification Cherenkov counter filled with N<sub>2</sub>**

**LKr: quasi-homogenous ionization chamber 27X0 deep**

**CHOD charged hodoscope**

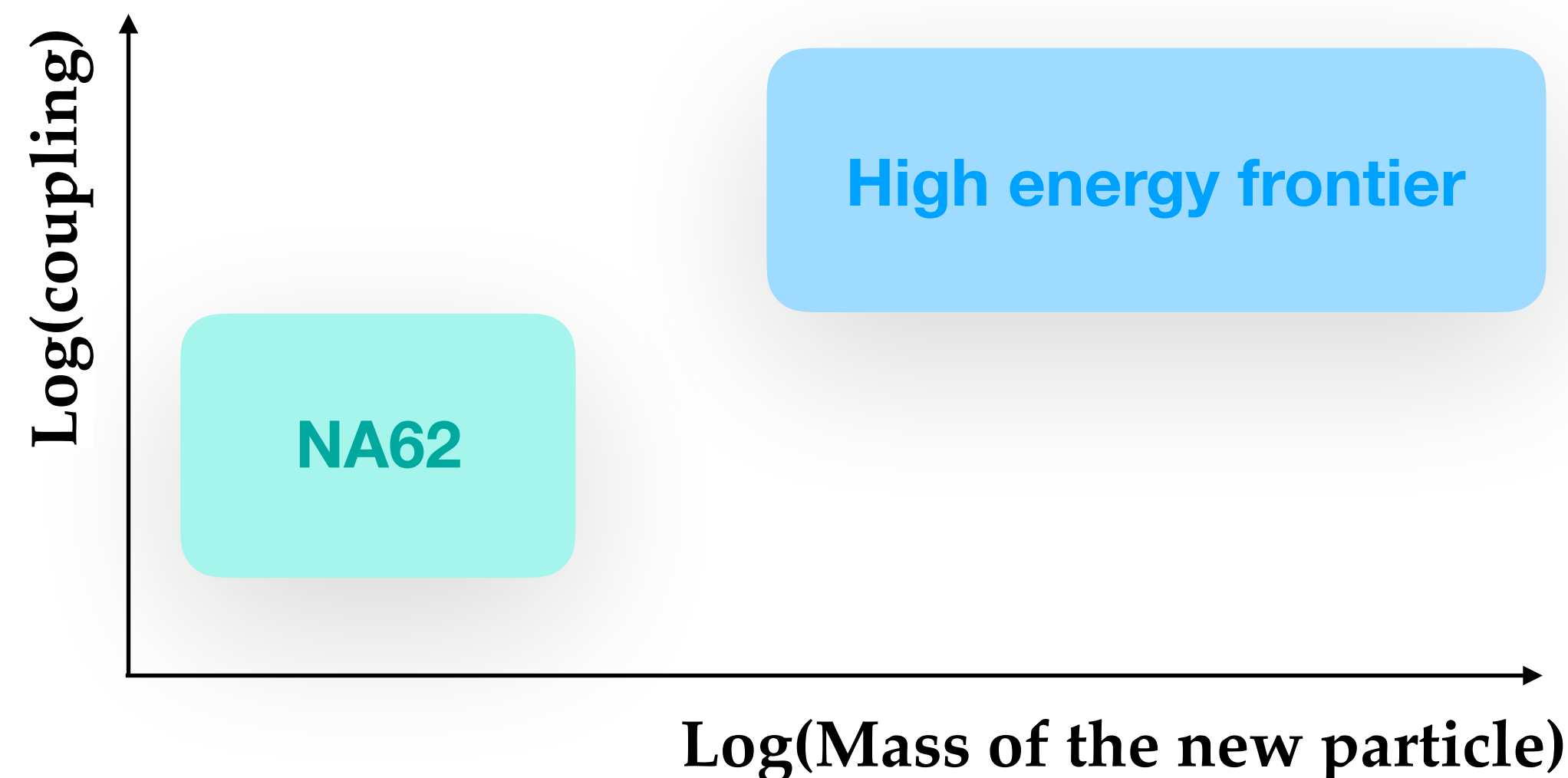




# “Invisible” feebly interacting particles

NA62 is competitive in the search for FIPs at some parameter space

- ✓ High intensity beam =>  $6 \cdot 10^{12}$   $K^+$  decays in fiducial volume collected in 2016-2018



## Outline:

Searches for a new exotic particle  $X$  produced in Kaon decays through detecting missing mass:

- $X$  decaying to invisible particles (neutrinos or dark matter)
- $X$  So long-lived to escape the apparatus

[Also lifetime  $\tau$  is an important parameter]

Signature: 1 track and missing mass

- ▶  $K^+ \rightarrow \pi^+ X, X \rightarrow \text{invisible}$  with the full 2016-2018 data **NEW**
- ▶  $K^+ \rightarrow \pi^+ \pi^0$  (or  $X$  with  $m_X \sim m_{\pi^0}$ ),  $X/\pi^0 \rightarrow \text{invisible}$  with 2017 data
- ▶  $K^+ \rightarrow \mu^+ \nu X, X \rightarrow \text{invisible}$  with the full 2016-2018 data **NEW**



# $K^+ \rightarrow \pi^+ X$ , $X$ invisible



**Motivation:** feebly interacting new particle  $X$  in  $K^+ \rightarrow \pi^+ X$  foreseen in several models

For example:

**Dark scalar: mixing with the Higgs**

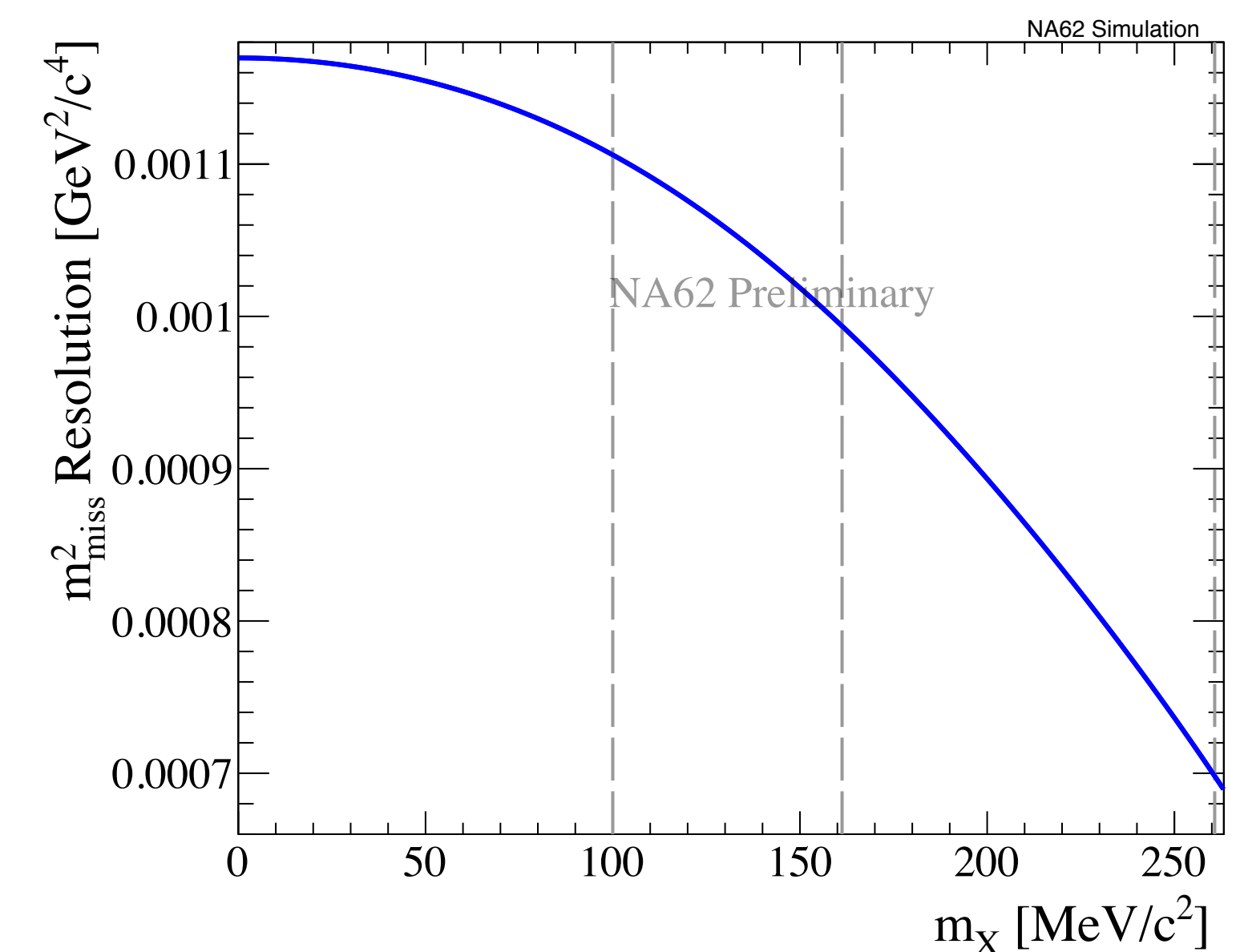
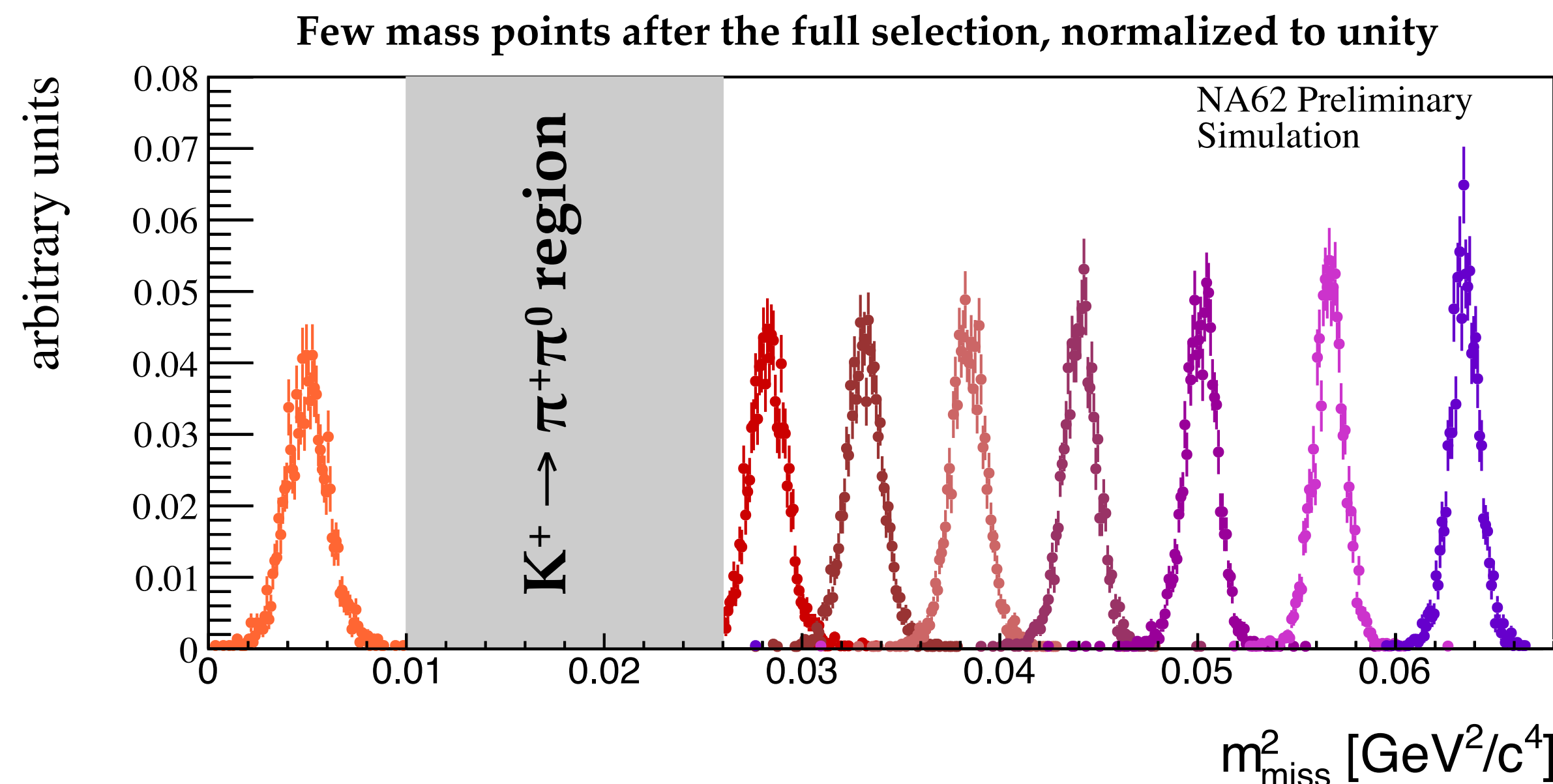
$$\mathcal{L}_{\text{scalar}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{DS}} - (\mu S + \lambda S^2) H^\dagger H$$
$$\mu = \sin \theta \quad \lambda = 0$$

**Pseudo-scalar**

Axion-like particles (ALPs)  
QCD axion, Axiflavoron ( $m \sim 0$ )

Analysis strategy: Same  $K \rightarrow \pi \nu \nu$

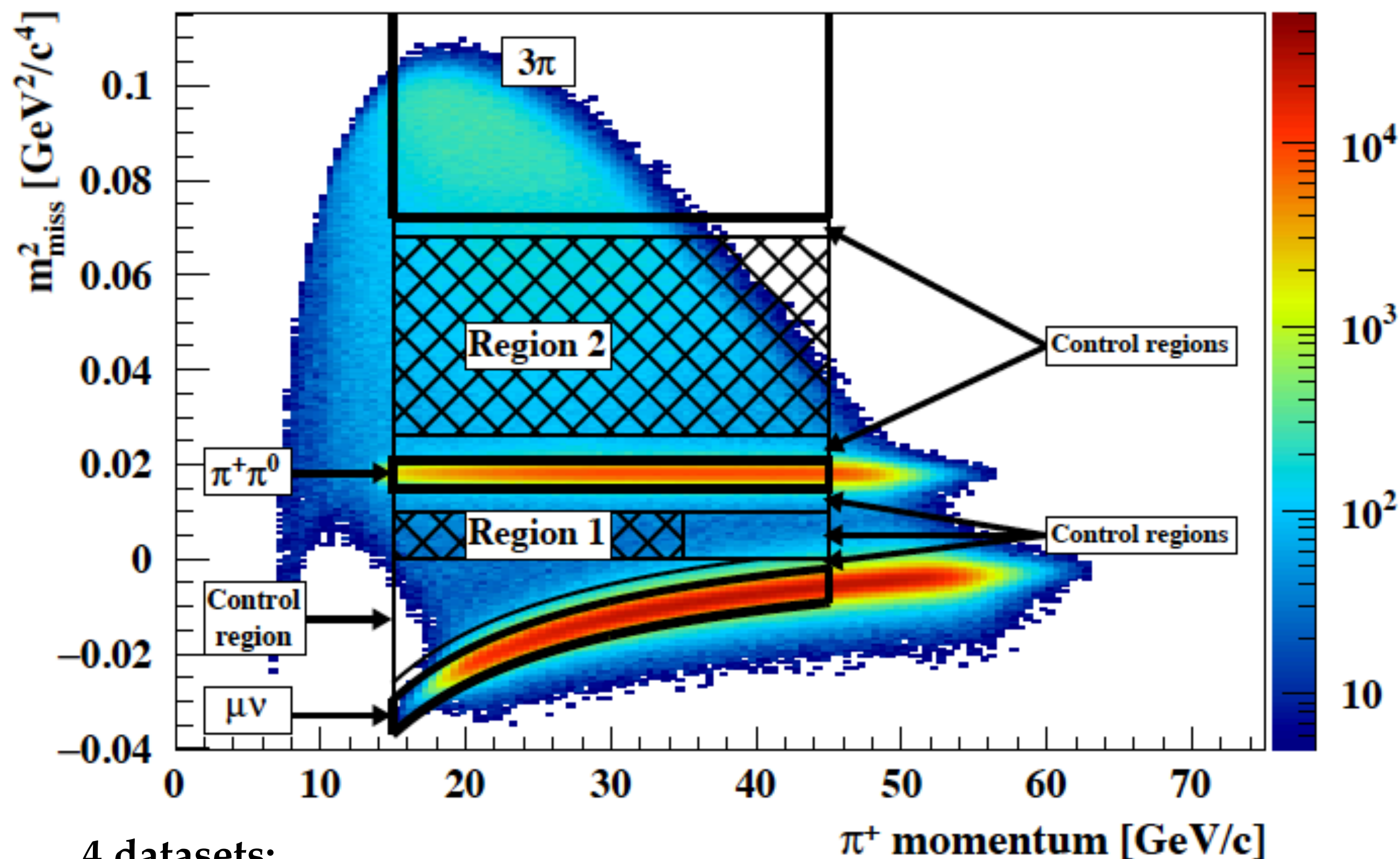
- Use exactly the same selection, normalization and background evaluation of  $\pi \nu \nu$  analysis
- Generate signal with two body decay for 200 mass hypotheses to compute acceptance and resolution in  $m^2_{\text{miss}}$





# $K^+ \rightarrow \pi^+ \nu \bar{\nu}, \pi^+ X(inv)$ analysis overview

arXiv: [2103.15389](https://arxiv.org/abs/2103.15389) [hep-ex]



- Normalization to  $K^+ \rightarrow \pi^+ \pi^0$  decay (non-factorizing efficiencies evaluated with data driven methods)
- Data-driven background estimation
- Bins of  $p(\pi^+)$  and intensity
- Control regions to validate it

## 4 datasets:

- 2016 data
- 2017 data
- 2018 data with old collimator (20%)
- 2018 data Run with new collimator (80%)

Details in the presentation by Bob Velghe  
Flavor I session

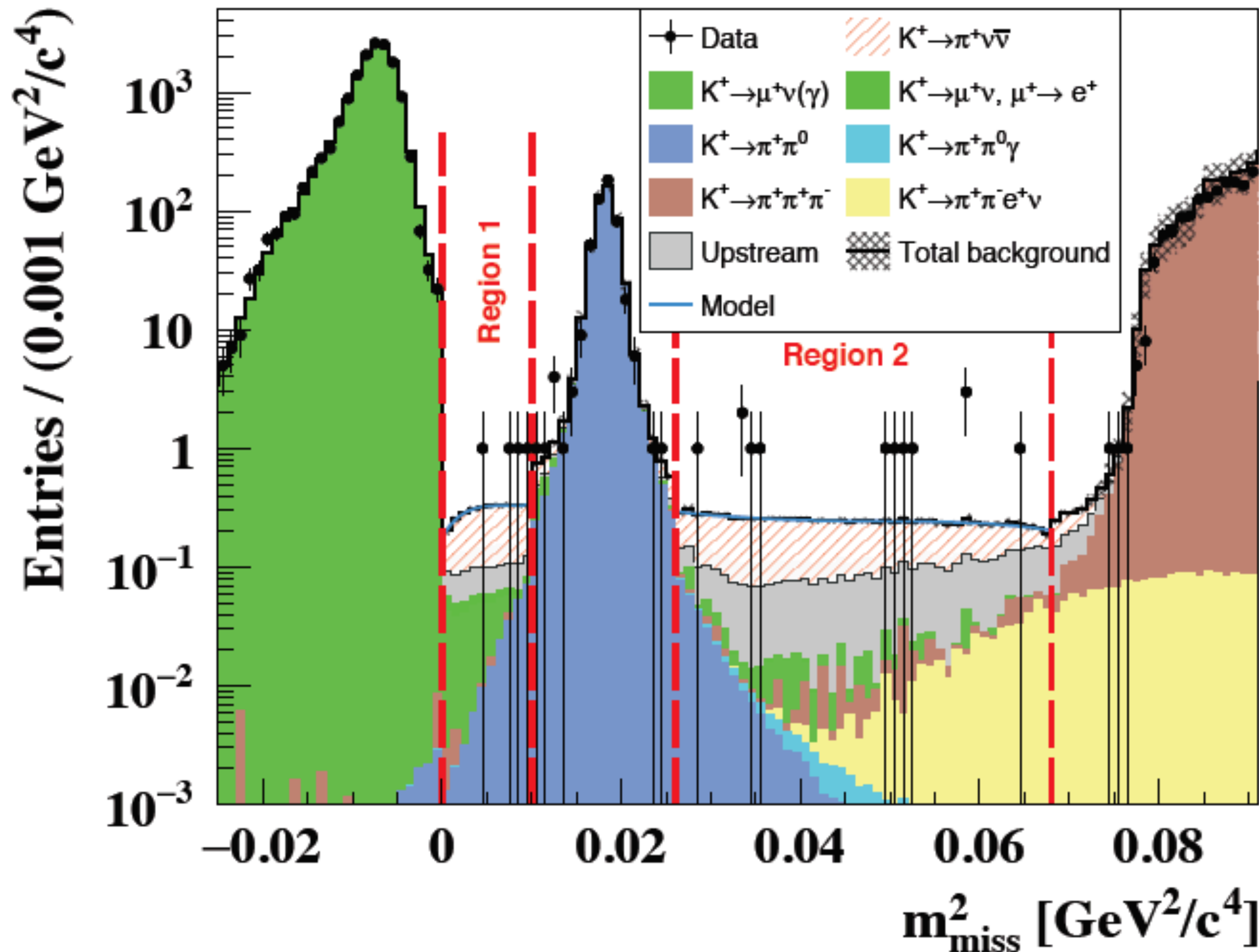
<https://indico.cern.ch/event/982783/contributions/4364555/>



# $K^+ \rightarrow \pi^+ X, X$ invisible



2018 Dataset, for illustration



## Bump hunting in $m_{miss}^2$

### Background model

- **shape:** Parameterized with polynomial functions in R1 and R2
- **Bkg yield** from  $\pi\nu\nu$  analysis, including  $K \rightarrow \pi\nu\nu$  from simulation and with SM BR

### Signal model

- **shape:** Gaussian
- **Ns** from efficiency and normalization obtained in bins of  $p$  and intensity, as in  $\pi\nu\nu$  analysis

Details in

*JHEP* 03 (2021) 058

[arXiv: 2011.11329](https://arxiv.org/abs/2011.11329) [hep-ex]

Update with full dataset

[arXiv: 2103.15389](https://arxiv.org/abs/2103.15389) [hep-ex]

Submitted to *JHEP*



# $K^+ \rightarrow \pi^+ X$ , $X$ invisible

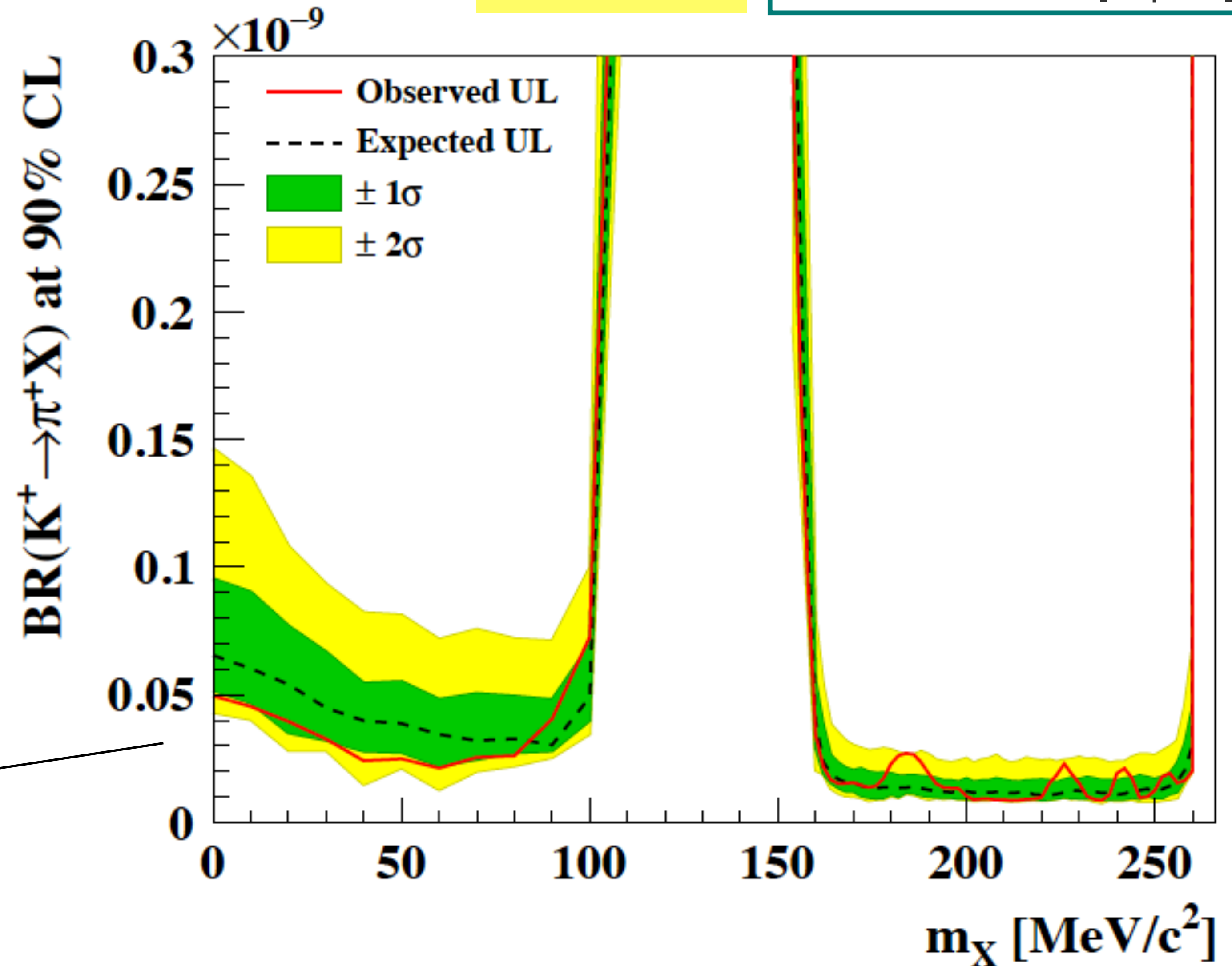
2016-2018 Data

arXiv: [2103.15389](https://arxiv.org/abs/2103.15389) [hep-ex]

- Shape analysis on  $m_{miss}^2$
- Fully frequentist approach
- Profiled likelihood test statistic
- Combination of the 4 datasets

Assumption:  $X$  decays to invisible particles, or it is so long-lived to escape the apparatus

Sensitivity degrades at small  $m_X$  because of resolution.  
In particular, for axion models, half of the signal is cut away





# $K^+ \rightarrow \pi^+ X$ , with $X$ decaying to visible particles



## If $X$ decays to visible SM particles

Probability that  $X$  does not decay within the NA62 apparatus:

$$P = e^{-\left(\frac{\Delta L}{\beta\gamma c\tau}\right)}$$

Dimensions of the experiment
 $\Delta L$

$c\tau$  depends on the coupling parameters and the mass

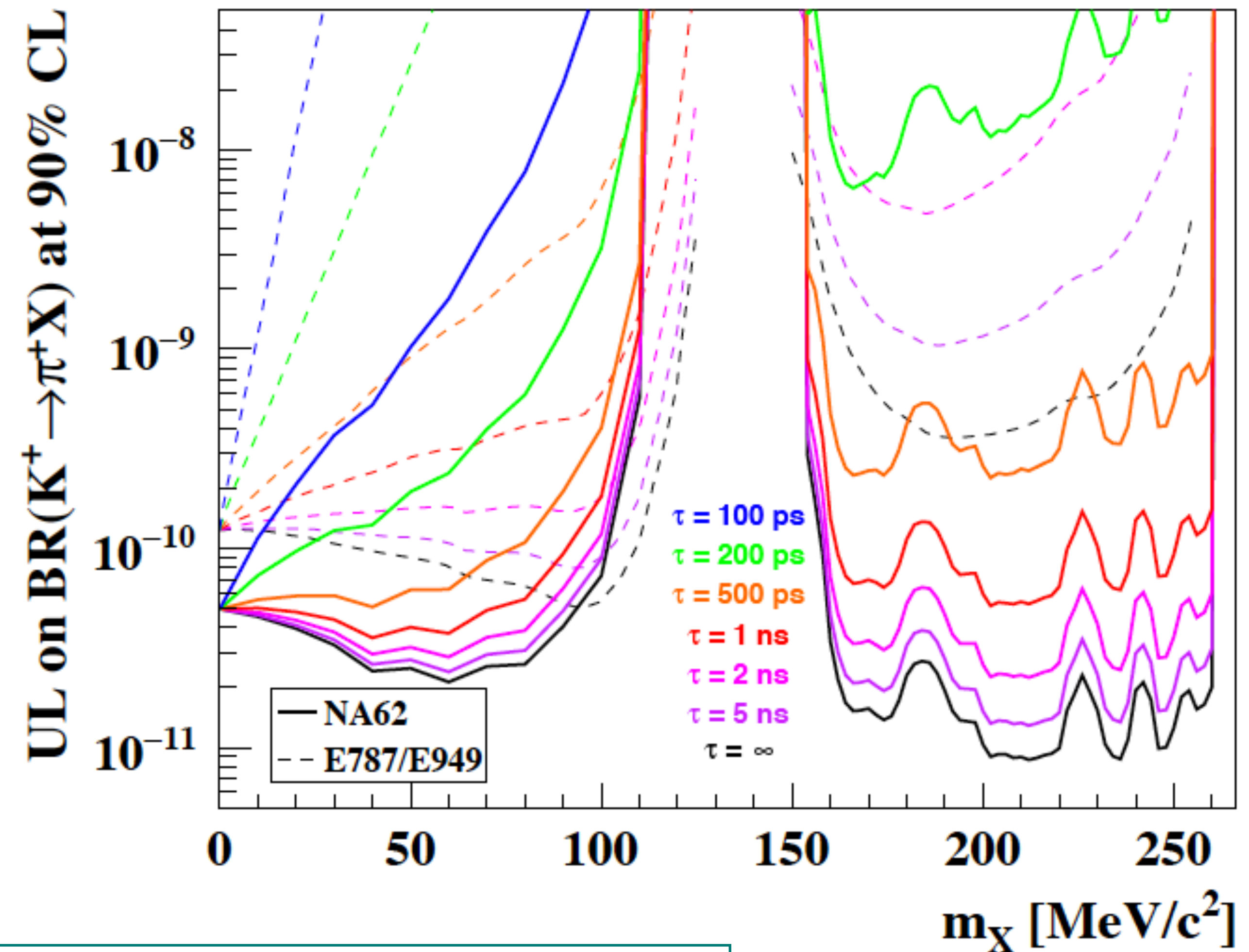
Comparison with BNL result

A. V. Artamonov *et al.* (E949 Collaboration)

Phys. Rev. D 79, 092004

2016-2018 Data

arXiv: 2103.15389 [hep-ex]



Improved upper limit over a the range [0,100] MeV and [160,260] MeV



# $K^+ \rightarrow \pi^+ S$ , $S$ : scalar



Higgs mixing model

$$\mathcal{L}_{\text{scalar}} = - (\mu S + \lambda S^2) H^\dagger H$$

$$\lambda = 0$$

$$\mu = \sin \theta$$

(BC4 in PBC)

[J. Beacham et al., J. Phys. G 47 (2020) 010501]

[M. W. Winkler, Phys. Rev. D 99 (2019) 015018]

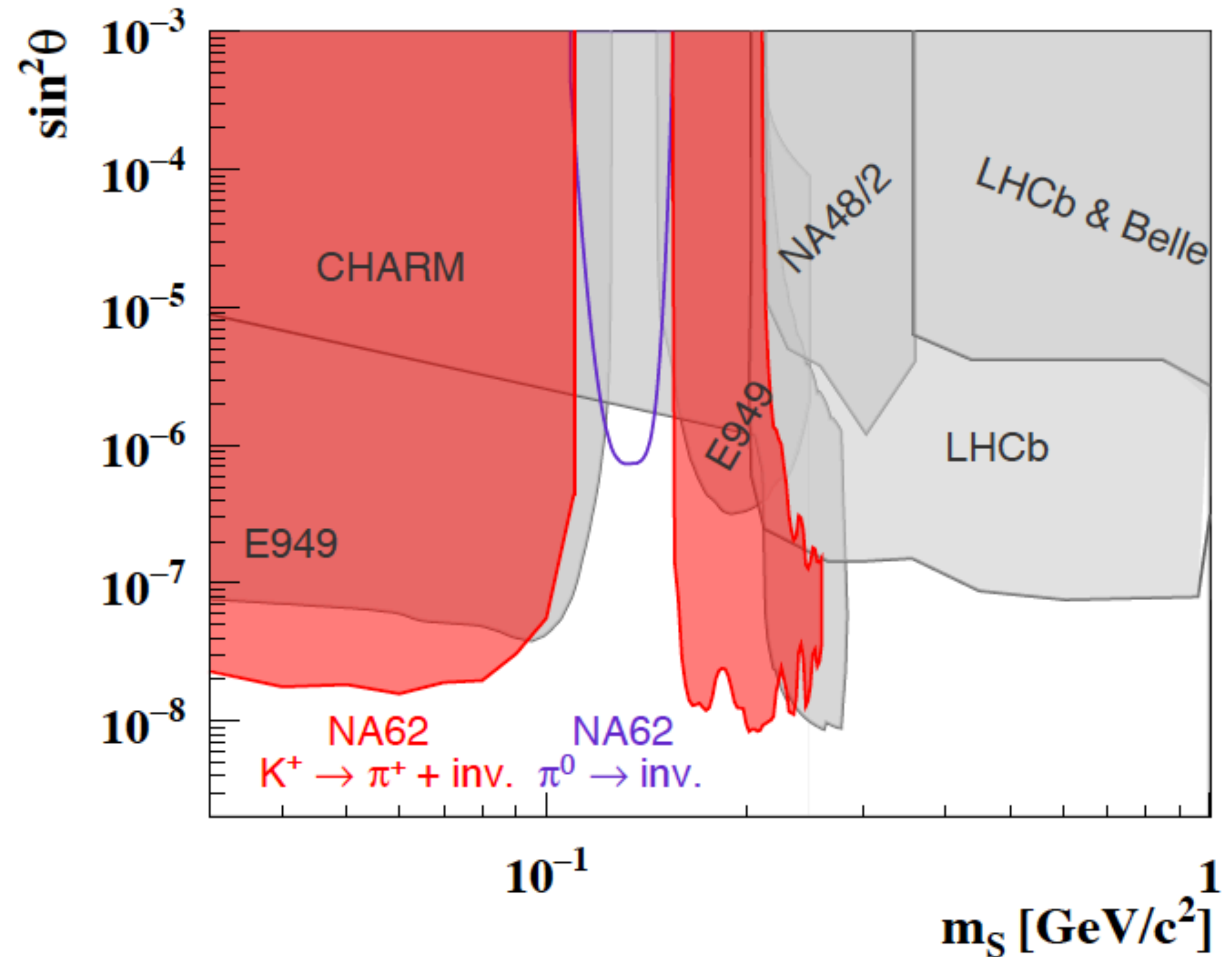
Used for BR computation

If  $S$  decays to visible SM particles the acceptance is reduced because the decay products (e or  $\mu$ ) are vetoed

$\tau$  depends on  $\sin\theta$  and  $m_S$

2016-2018 Data

arXiv: [2103.15389](https://arxiv.org/abs/2103.15389) [hep-ex]





$$K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \text{inv}$$



- $\pi^0 \rightarrow \nu\nu$  is not forbidden because of neutrino non-zero masses, but in the SM:  $\text{BR}(\pi^0 \rightarrow \nu\nu) \sim \text{O}(10^{-24})$ , so any observation  $\implies$  BSM

JHEP 02 (2021) 201  
[2010.07644](https://arxiv.org/abs/2010.07644) [hep-ex]

2017 Data

- The previous experimental limit is  $2.7 \cdot 10^{-7}$  at 90% CL, from BNL experiments

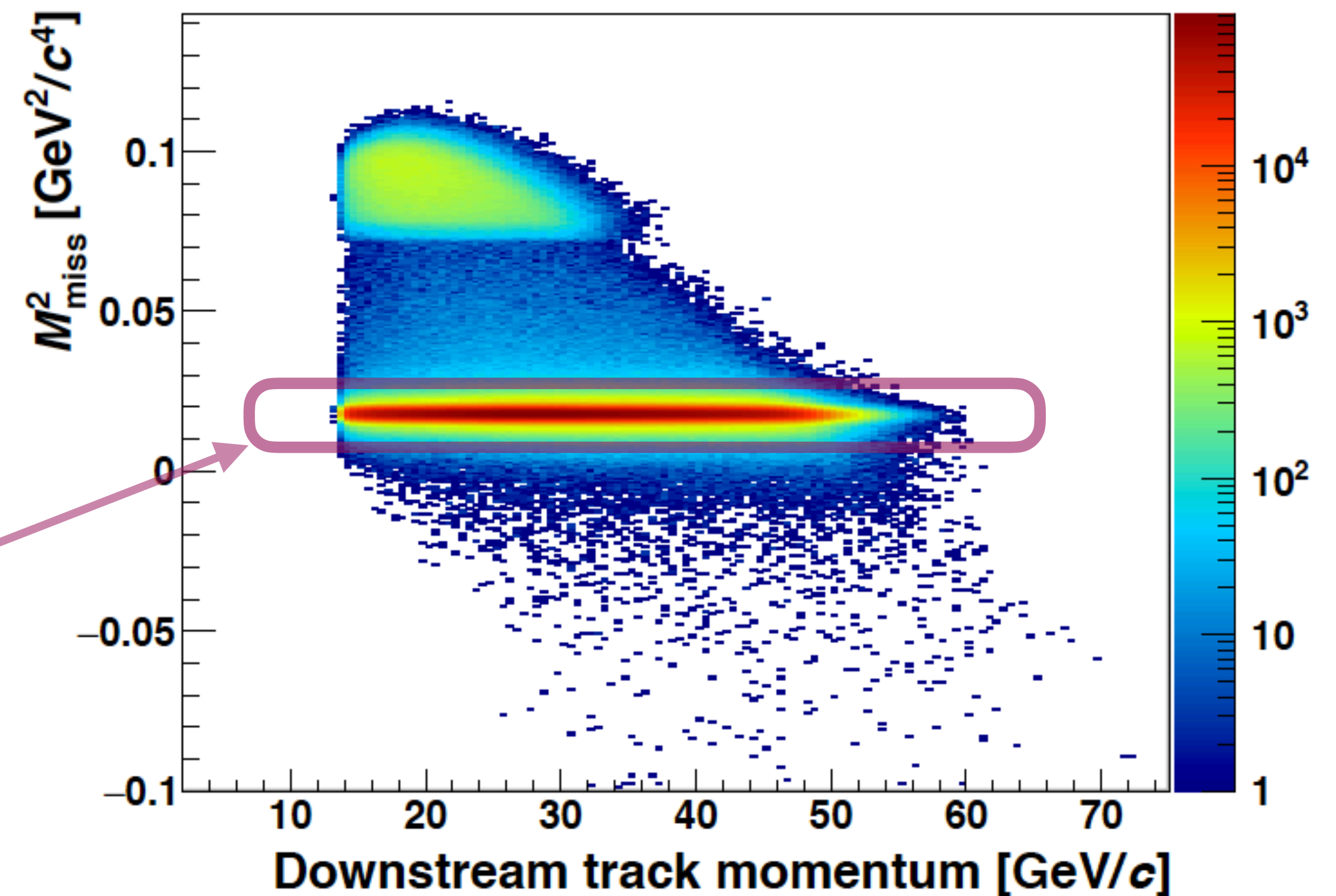
The hermetic photon veto in NA62, essential for  $\pi\nu\nu$  analysis, allows for the search in the Kaon decay

$$K^+ \rightarrow \pi^+ \pi^0(\gamma), \pi^0 \rightarrow \text{invisible}$$

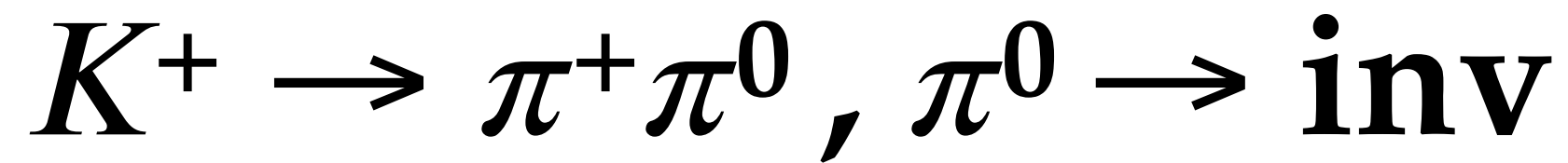
With the same analysis we can also search for

$$K^+ \rightarrow \pi^+ X, X \rightarrow \text{invisible, for } m_X \sim m_{\pi^0}$$

Search in the  $m_{miss}^2$  range which is a background region for the  $K \rightarrow \pi\nu\nu$  analysis







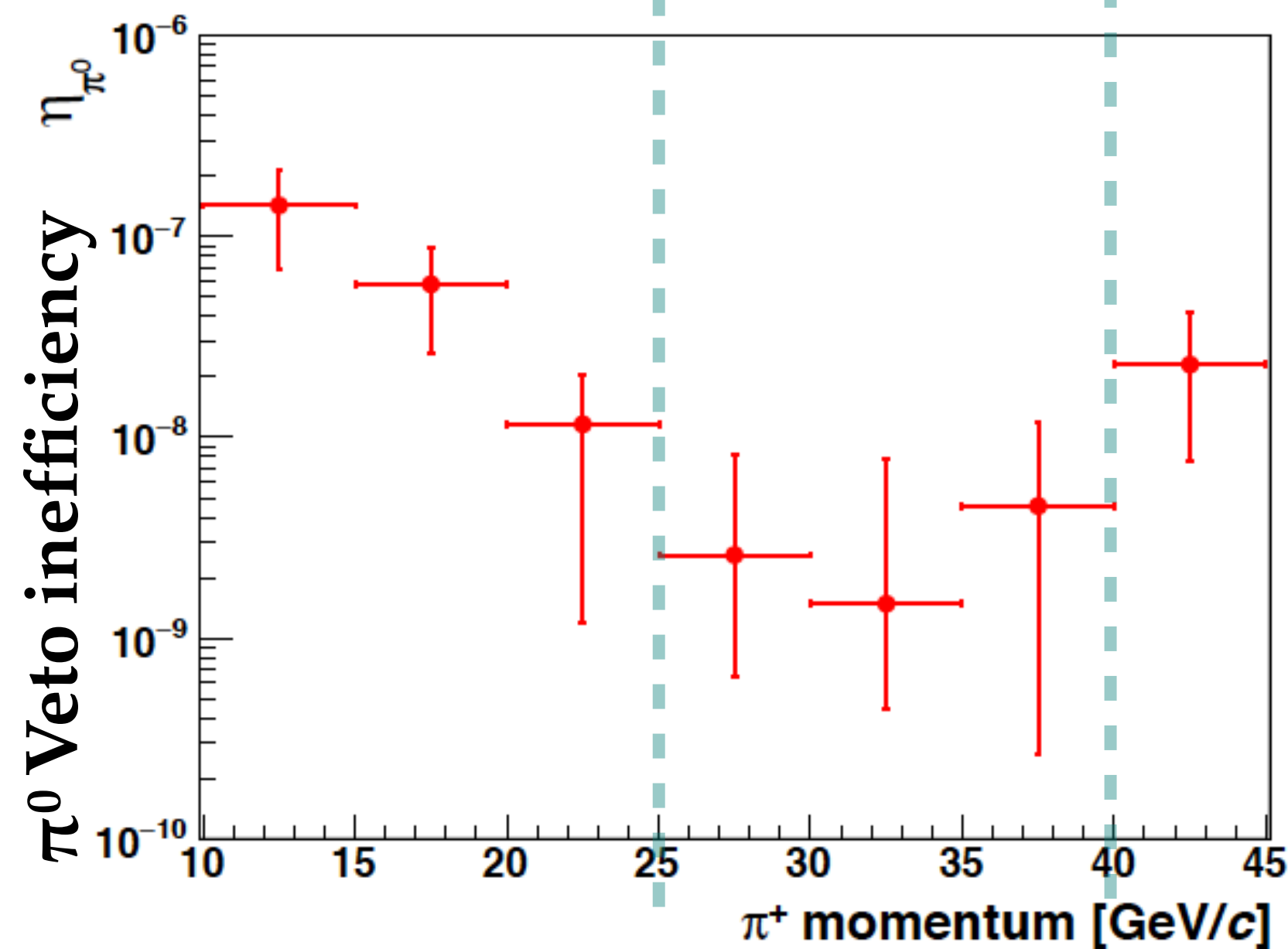
Analysis strategy:

$$\text{BR}(\pi^0 \rightarrow \text{invisible}) = \text{BR}(\pi^0 \rightarrow \gamma\gamma) \times \frac{N_s}{N_{\pi^0} \times \epsilon_{\text{sel}} \times \epsilon_{\text{trig}}}$$

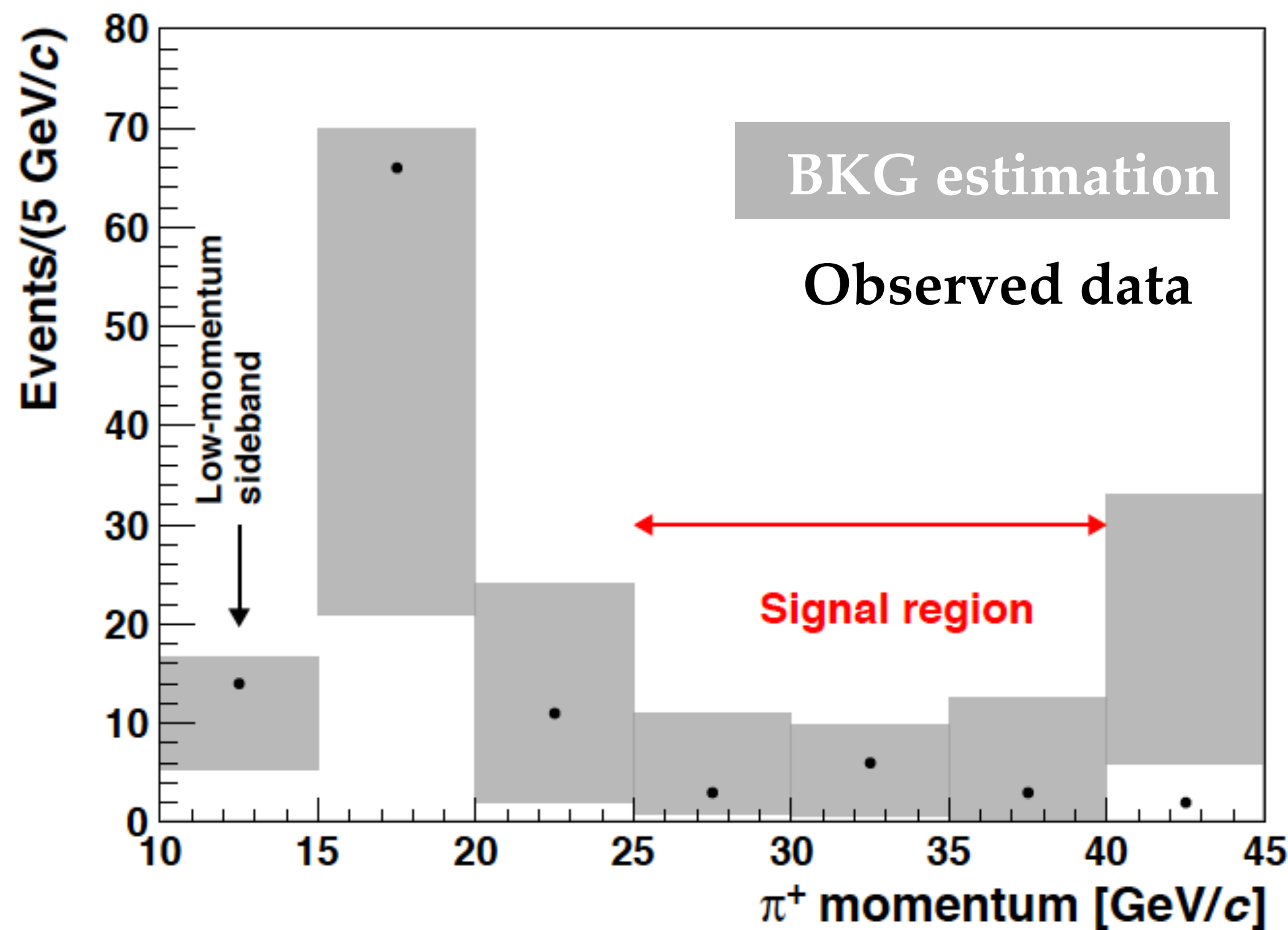
JHEP 02 (2021) 201  
[2010.07644](https://arxiv.org/abs/2010.07644) [hep-ex]

2017 Data

The main background is  $K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \gamma\gamma$  with undetected photons



Counting experiment in the region:  
 $25 < p < 40 \text{ GeV}/c$  and square missing  
 mass in  $[0.015, 0.021] \text{ GeV}^2/c^4$



*An improvement of  
 a factor 60  
 wrt the previous  
 experimental result*

$$\text{BR}(\pi^0 \rightarrow \text{invisible}) \leq 4.4 \times 10^{-9} \text{ at } 90\% \text{ C.L.}$$



# Search for $K^+ \rightarrow \pi^+ X$ , $m_X \sim m_{\pi^0}$



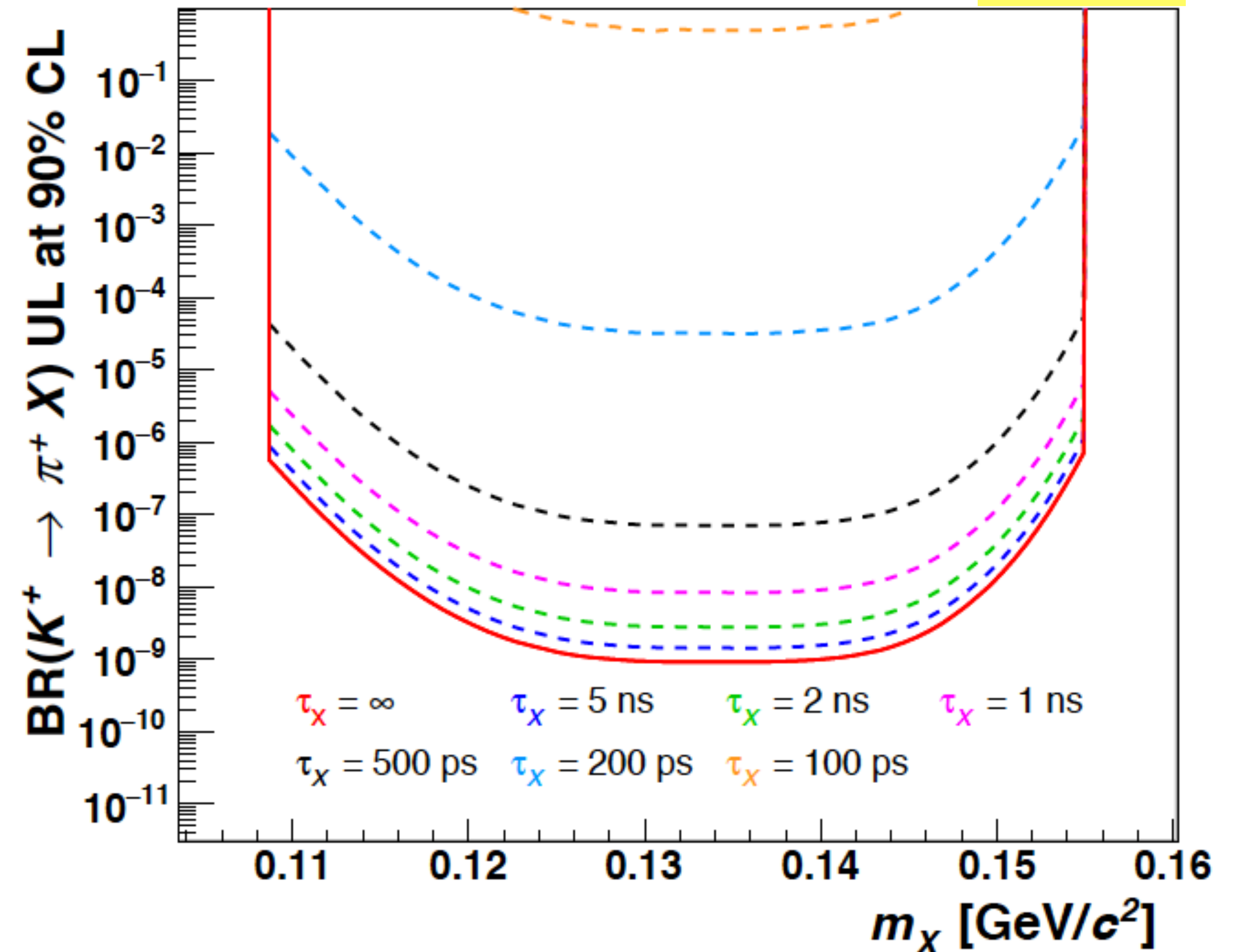
JHEP 02 (2021) 201  
[2010.07644](https://arxiv.org/abs/2010.07644) [hep-ex]

2017 Data

$$\text{BR}(K^+ \rightarrow \pi^+ X) = \frac{N_s}{N_{K^+} \times R(m_X) \times \epsilon_{\text{sel}} \times \epsilon_{\text{trig}}}$$

Acceptance of the cut on  $m_{\text{miss}}^2$  depends on the mass hypothesis

If  $X$  decays to visible particles inside the apparatus, the acceptance is reduced and the upper limit is weaker





# $K^+ \rightarrow \pi^+ a, a: \text{ALP}$



Pseudoscalar axion-like particle

JHEP 02 (2021) 201  
2010.07644 [hep-ex]

$$\mathcal{L}_{\text{SM}} = \frac{\partial_\mu a}{f_\ell} \sum_\alpha \bar{l}_\alpha \gamma_\mu \gamma_5 l_\alpha + \frac{\partial_\mu a}{f_q} \sum_\beta \bar{q}_\beta \gamma_\mu \gamma_5 q_\beta$$

2017 Data

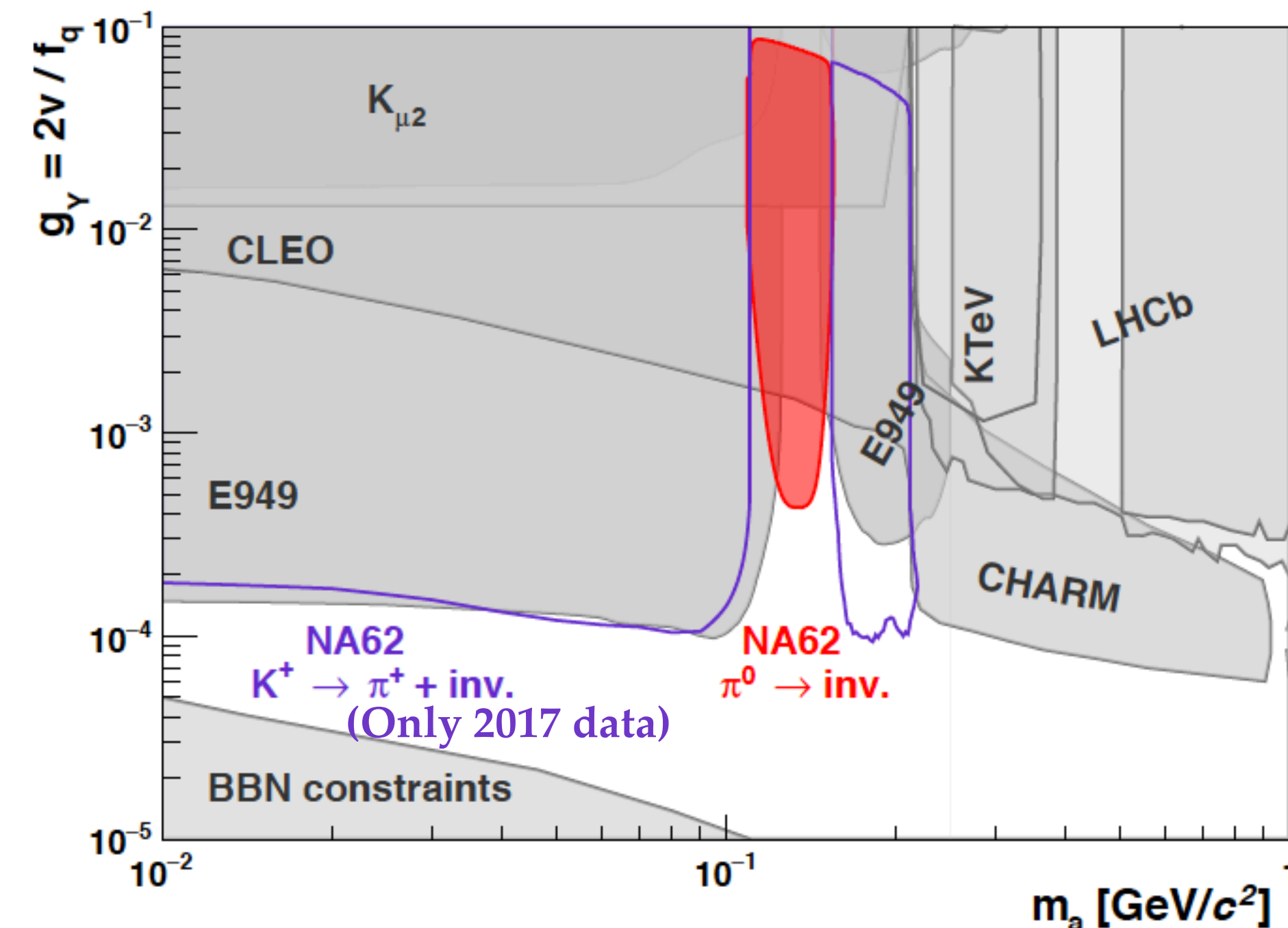
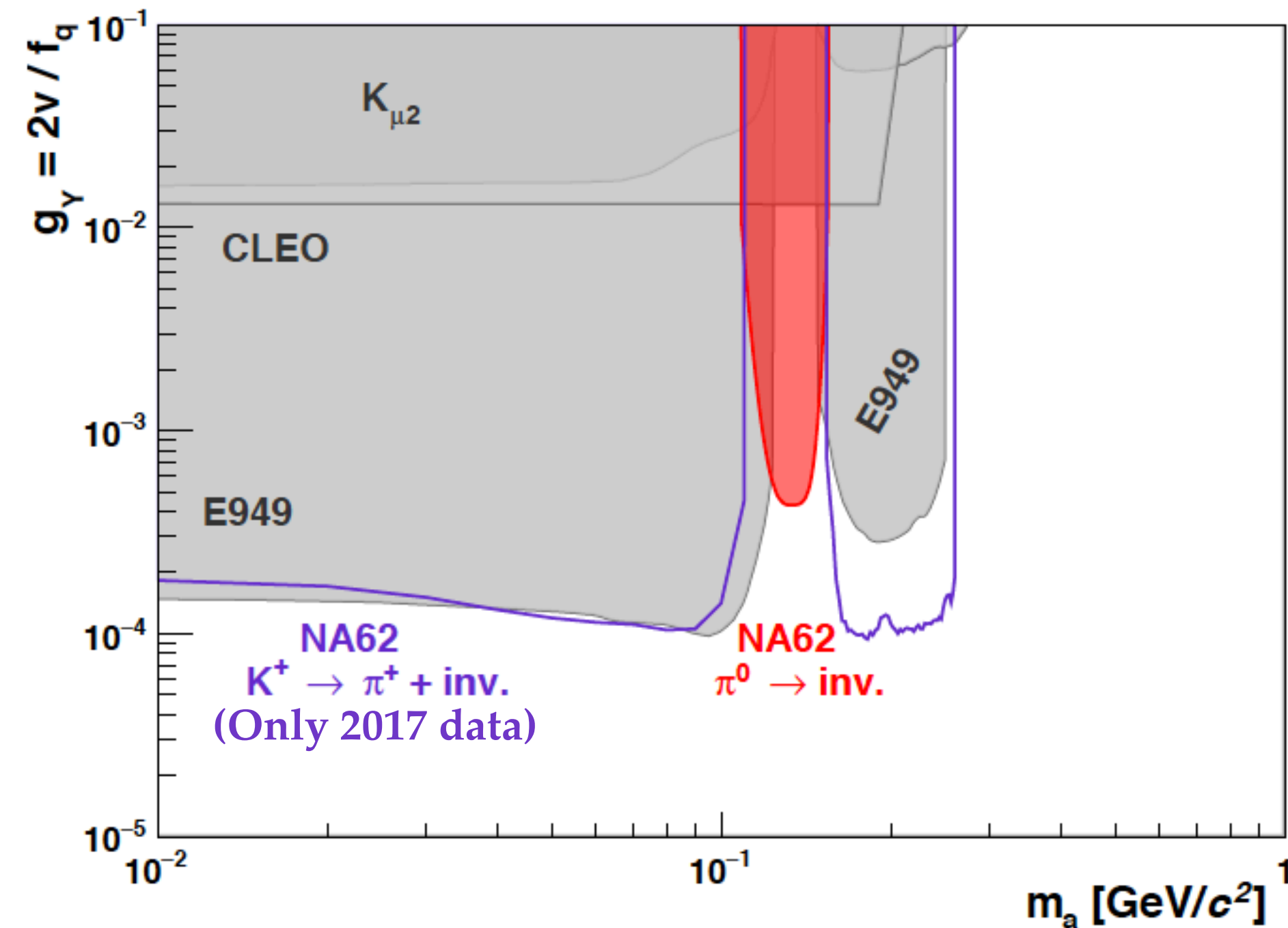
(BC10 in PBC) [J. Beacham et al., J. Phys. G 47 (2020) 010501]

$$f_q = f_\ell$$

M. J. Dolan et al., JHEP 03 (2015) 171  
Used for BR and lifetime computation

If  $a$  decays to invisible particles,  
or it is so long-lived to escape the apparatus

If  $a$  decays to visible SM particles the acceptance is  
reduced because the decay products (e or  $\mu$ ) are vetoed





$$K^+ \rightarrow \mu^+ \nu X, X \rightarrow \text{invisible}$$



## Motivation

A possible explanation of the **anomalous muon magnetic momentum g-2** is the existence of a new light gauge boson

S.N. Gninenko and N.V. Krasnikov, *Phys.Lett.B* 513 (2001) 119, <https://arxiv.org/pdf/hep-ph/0102222.pdf>

In a scenario with dark matter freeze out, it could be a scalar or vector mediator of an hidden sector decaying to Dark Matter  $X \rightarrow \chi\chi$

*Phys. Rev. Lett.* 124, 041802 (2020)  
[arXiv:1902.07715](https://arxiv.org/abs/1902.07715) [hep-ph]

$$K^+ \rightarrow \mu^+ \nu X, \text{ with } X \rightarrow \text{invisible}, \gamma\gamma, \mu^+ \mu^-$$

Work in progress

*Phys.Lett.B* 816 (2021) 136259  
[2101.12304](https://arxiv.org/abs/2101.12304) [hep-ex]

2016-2018 Data

Same final state as

$K^+ \rightarrow \mu^+ N$ , N: Heavy Neutral Lepton

One  $\mu^+$  and missing mass

Details in the presentation by  
Marco Mirra, Neutrino I session

<https://indico.cern.ch/event/982783/contributions/4362325/>



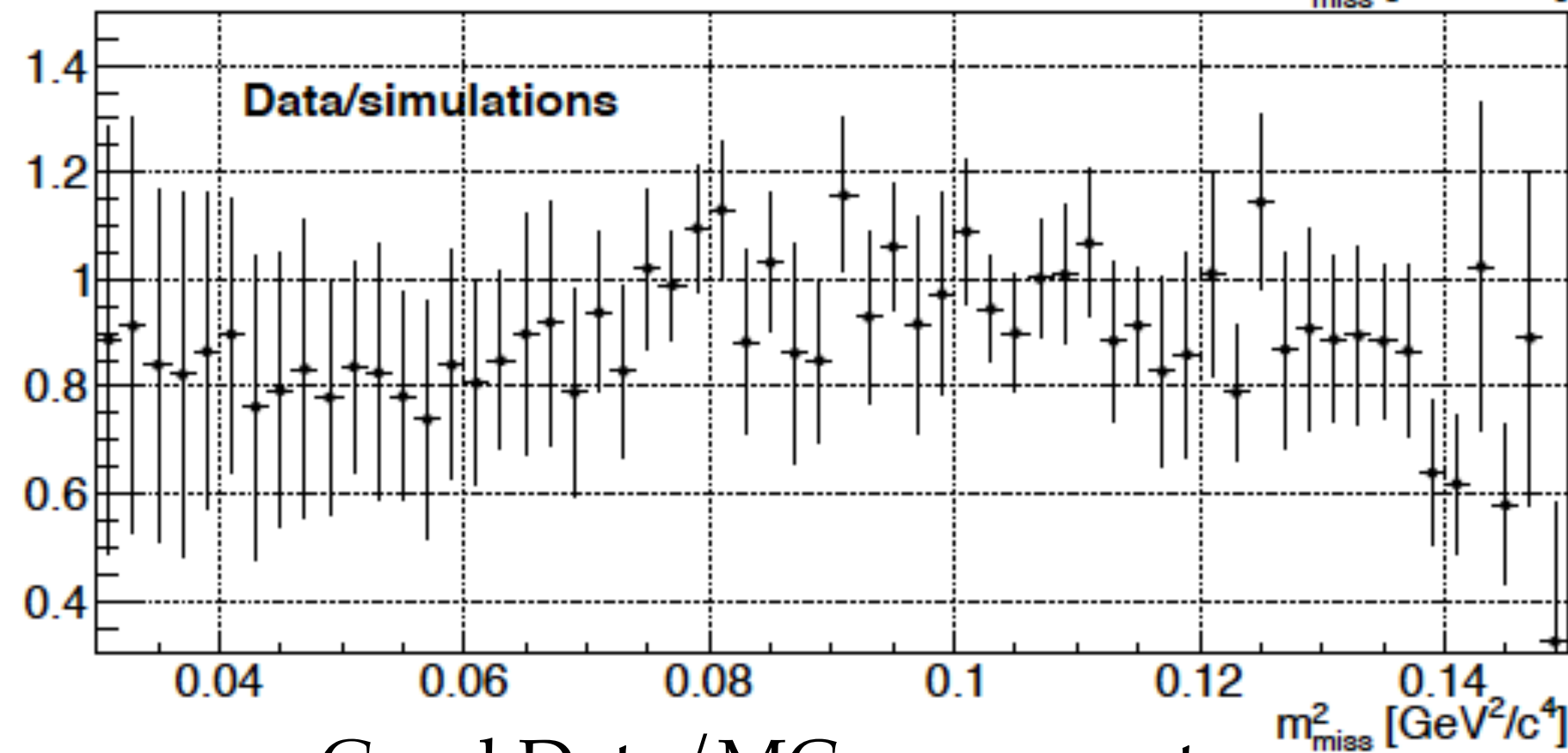
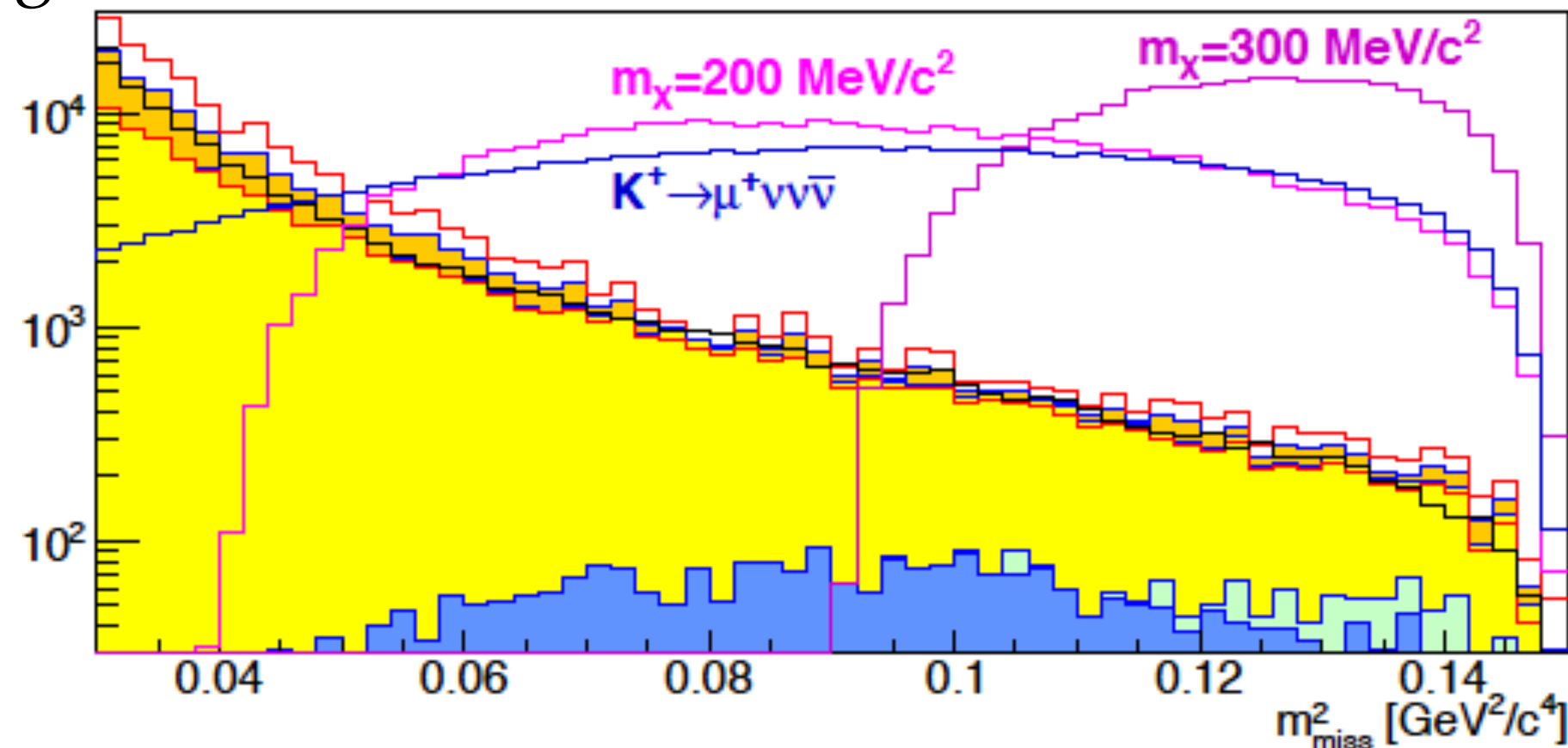
# $K^+ \rightarrow \mu^+ \nu \chi, \chi \rightarrow \text{invisible}$



3 body decay, the signal has a broad distribution in

$$m_{miss}^2 = (p_K - p_\mu)^2$$

background estimation from MC:



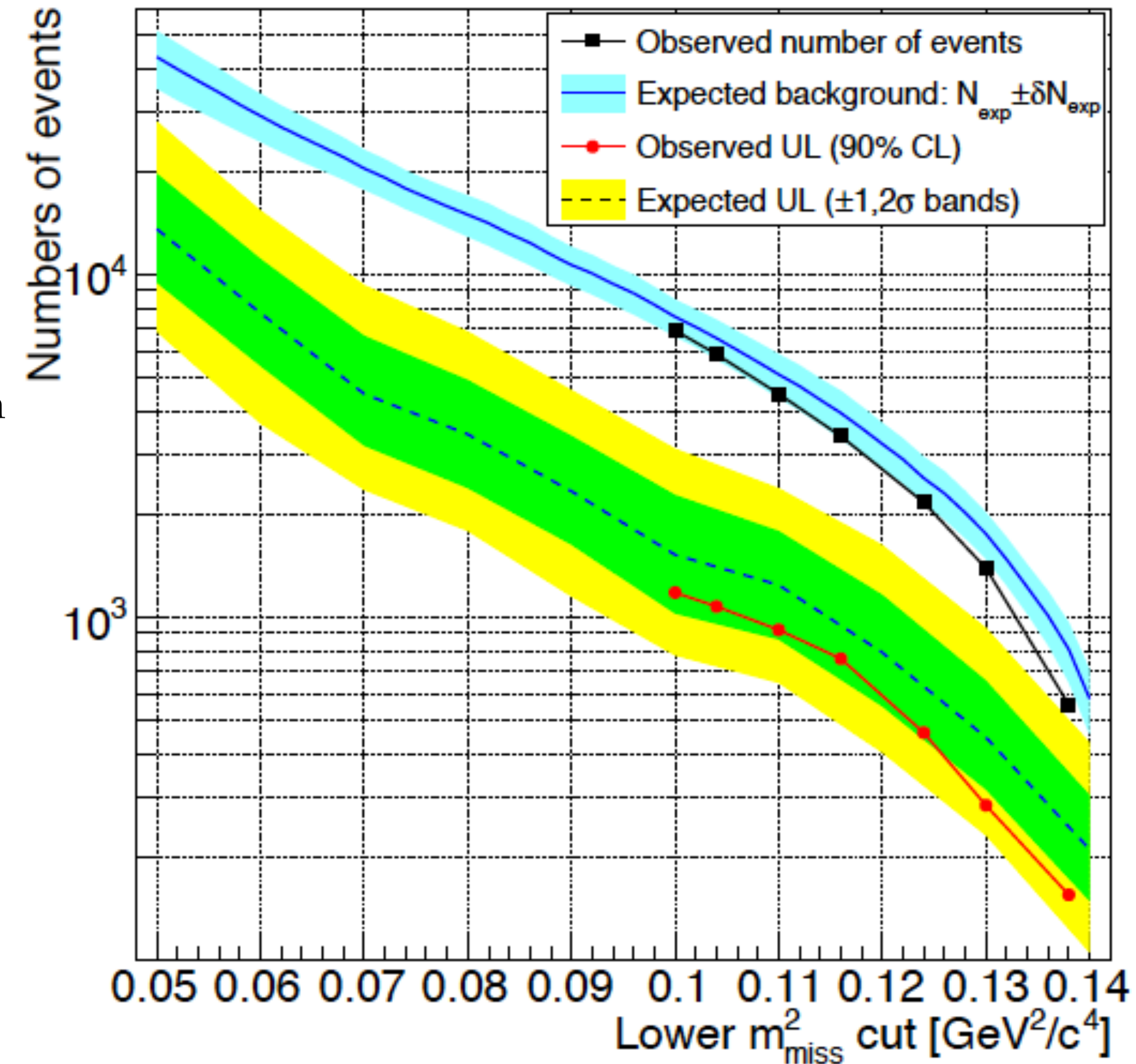
Good Data/MC agreement

Counting experiment with lower cut on  $m_{miss}^2$

optimized independently for each mass hypothesis, requiring the strongest upper limit

2016-2018 Data

*Phys.Lett.B* 816 (2021) 136259  
[2101.12304](https://arxiv.org/abs/2101.12304) [hep-ex]



# $K^+ \rightarrow \mu^+ \nu X, X \rightarrow \text{invisible}$



$$N_K = \frac{N_{SM}}{A_{SM} \cdot \mathcal{B}(K^+ \rightarrow \mu^+ \nu)} = (1.14 \pm 0.02) \times 10^{10}$$

2016-2018 Data

*Phys.Lett.B* 816 (2021) 136259  
[2101.12304](https://arxiv.org/abs/2101.12304) [hep-ex]

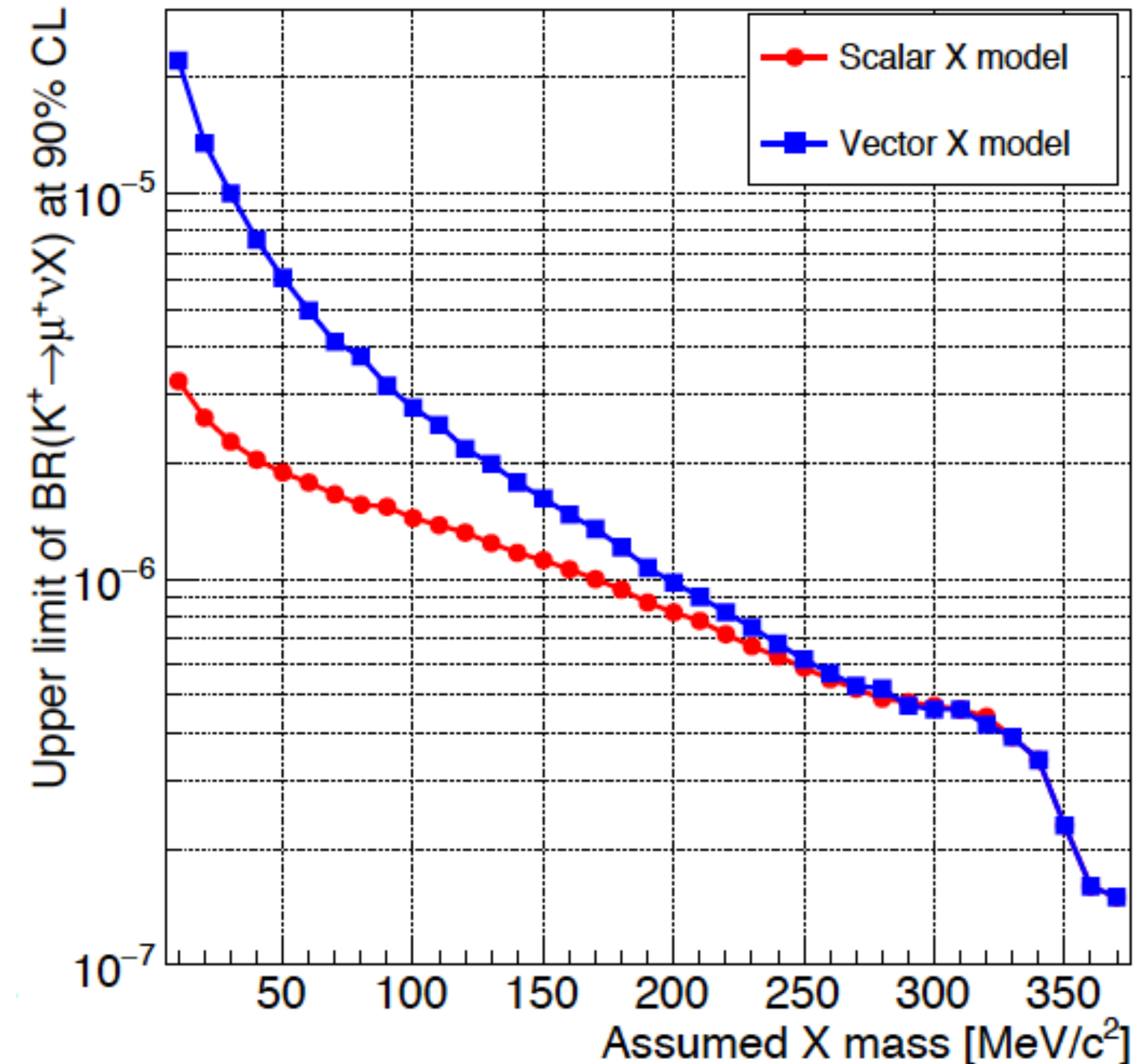
Tested mass hypotheses from 10 to 370 MeV

In the model with **scalar mediator**  
the mean value of  $m_{miss}^2$   
is larger compared to the **vector mediator**.

This results in a stronger upper limit for the **scalar X model**

Also an upper limit to the very rare SM decay has  
been established:

$$\mathcal{B}(K^+ \rightarrow \mu^+ \nu \nu \bar{\nu}) < 1.0 \times 10^{-6} \quad \text{at 90\% CL}$$





☑ Improved bounds on feebly interacting particles  $X$  with the signatures:

▶  $K^+ \rightarrow \pi^+ X, X \rightarrow \text{invisible}$ , in almost the full the mass range  $\sim [0, 250]$  MeV

▶  $K^+ \rightarrow \mu^+ \nu X, X \rightarrow \text{invisible}$ , in the mass range  $[10, 370]$  MeV

☐ Ongoing analyses to finalize other searches with 2016-2018 dataset

☐ Getting ready for the new data taking (Kaon beam and beam dump), starting soon (July 2021)

**Stay tuned!**

*Thank you for your attention*

# *Spare*s



# $K^+ \rightarrow \pi^+ S, S: \text{scalar}$



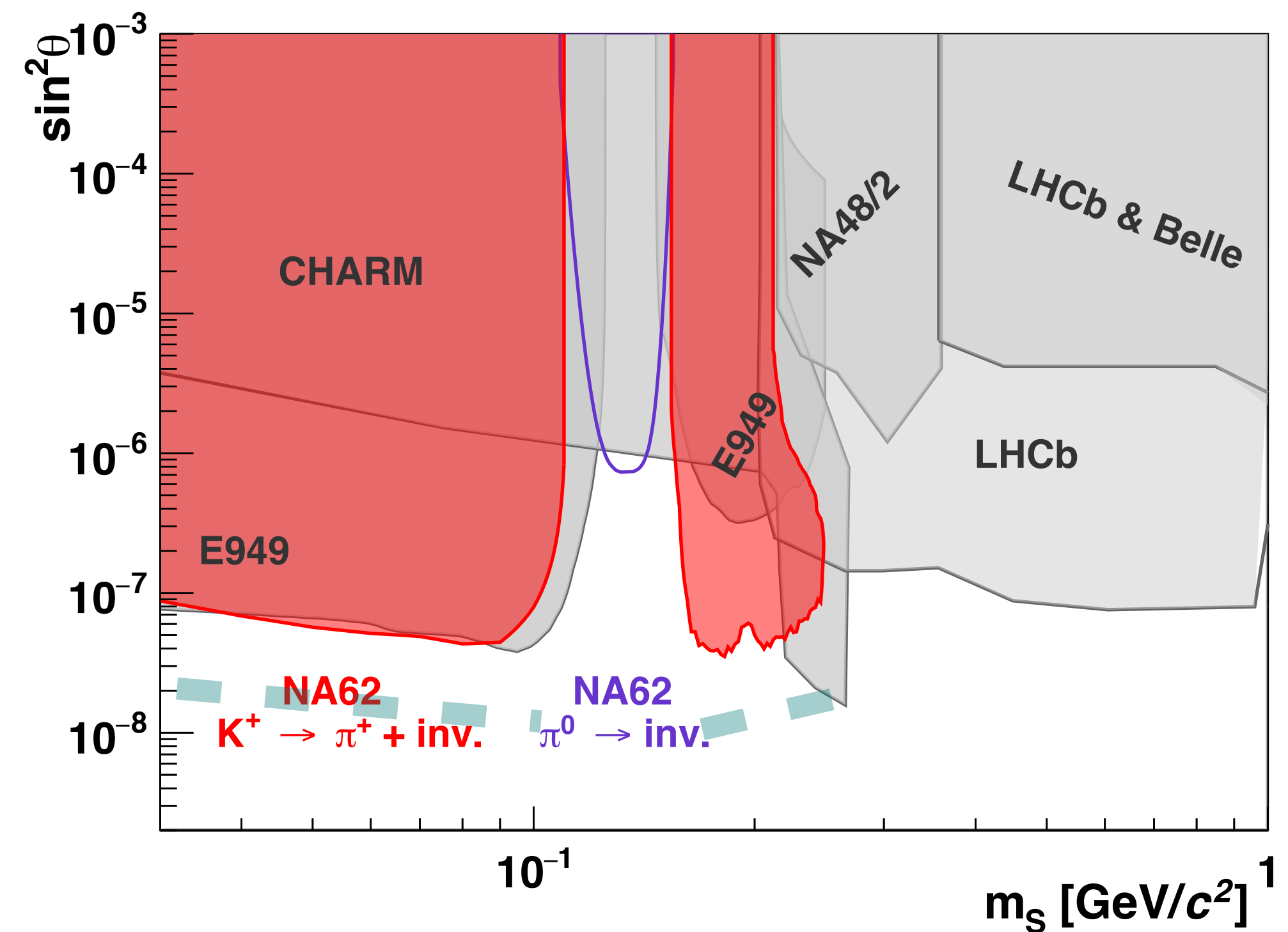
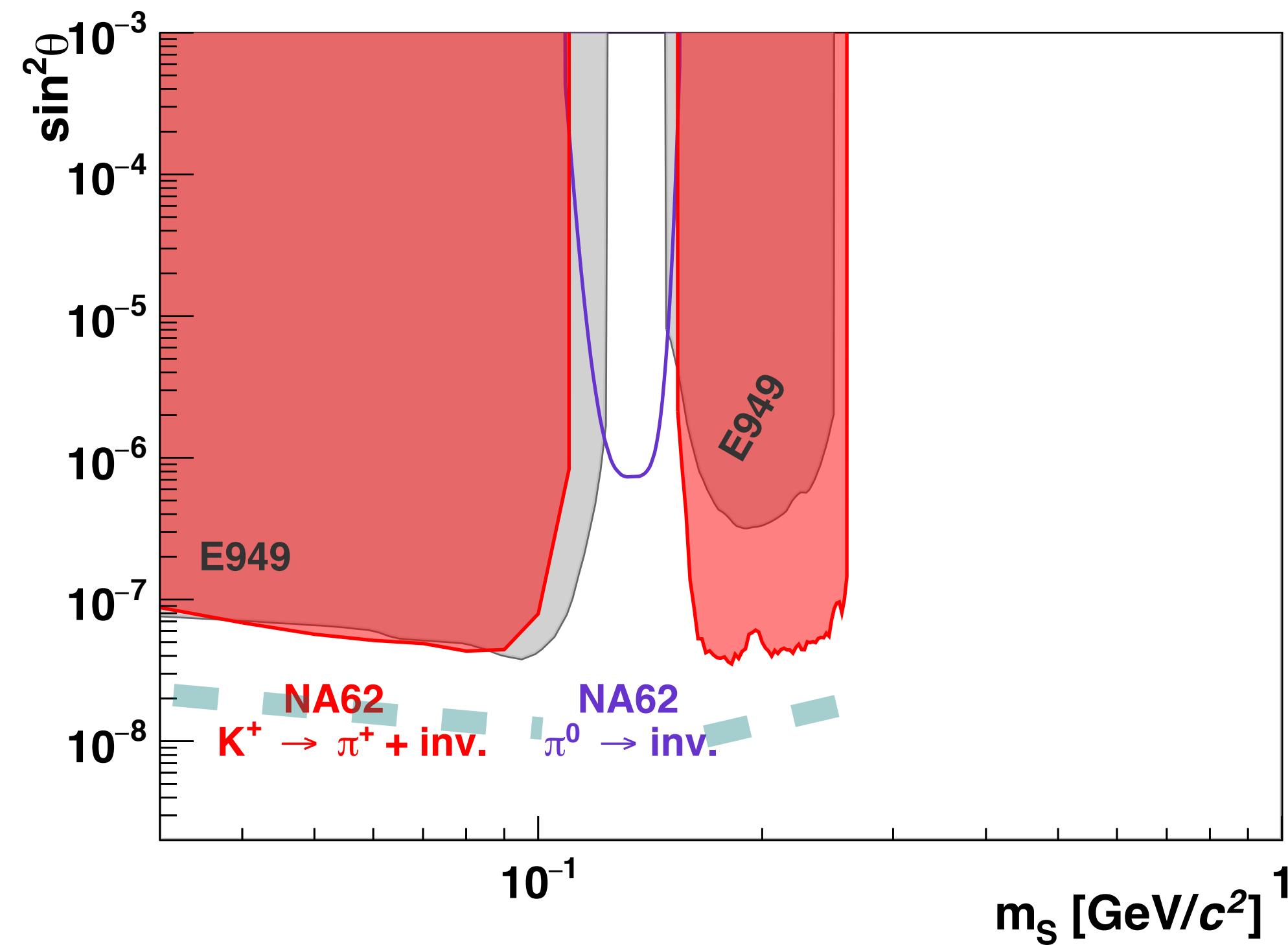
## 2017 dataset

<https://www.hepdata.net/record/ins1832447>

<https://www.hepdata.net/record/ins1822910>



JHEP 03 (2021) 058  
arXiv: [2011.11329](https://arxiv.org/abs/2011.11329) [hep-ex]



$$K^+ \rightarrow \pi^+ a, a: \text{ALP}$$

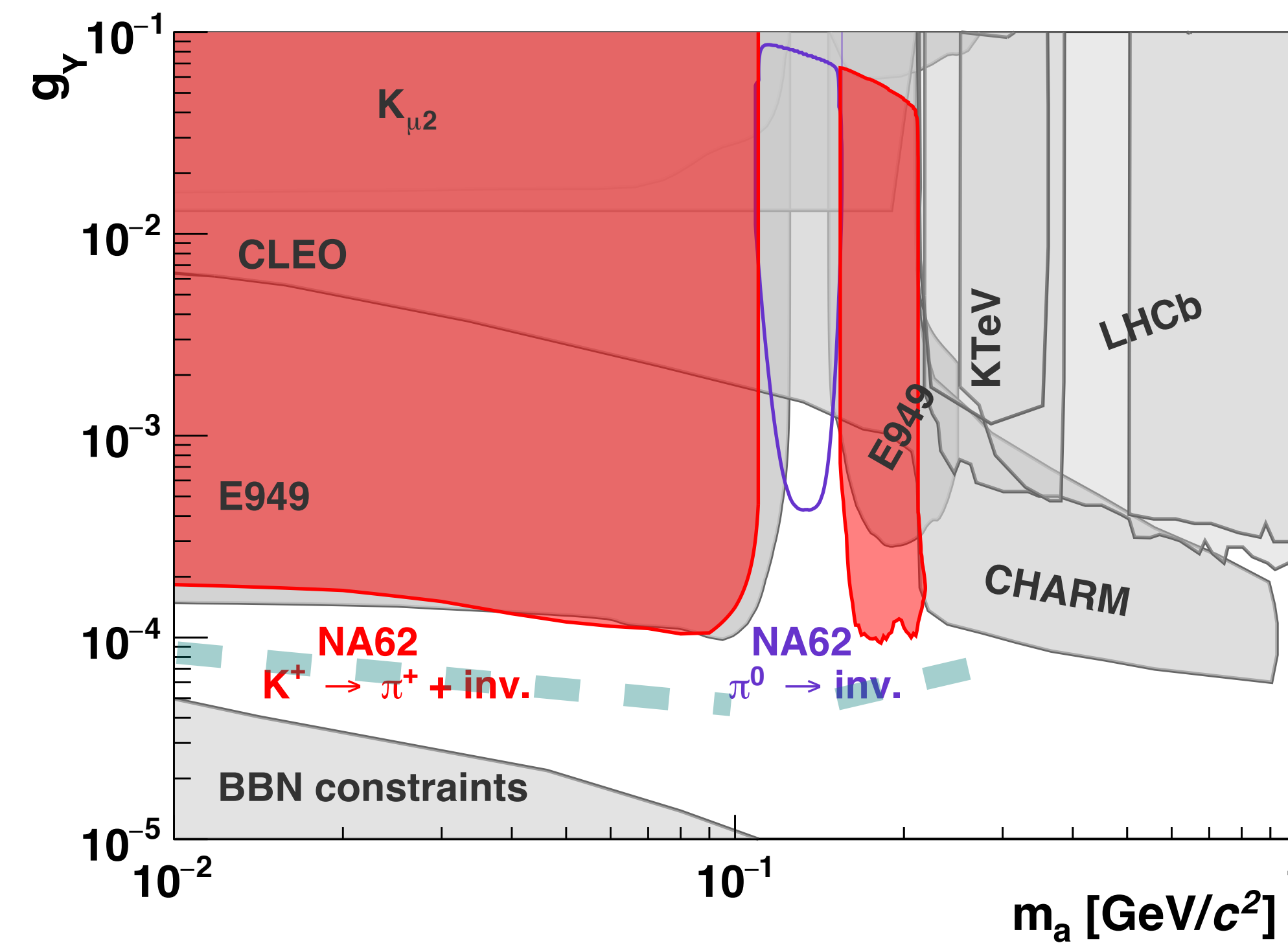
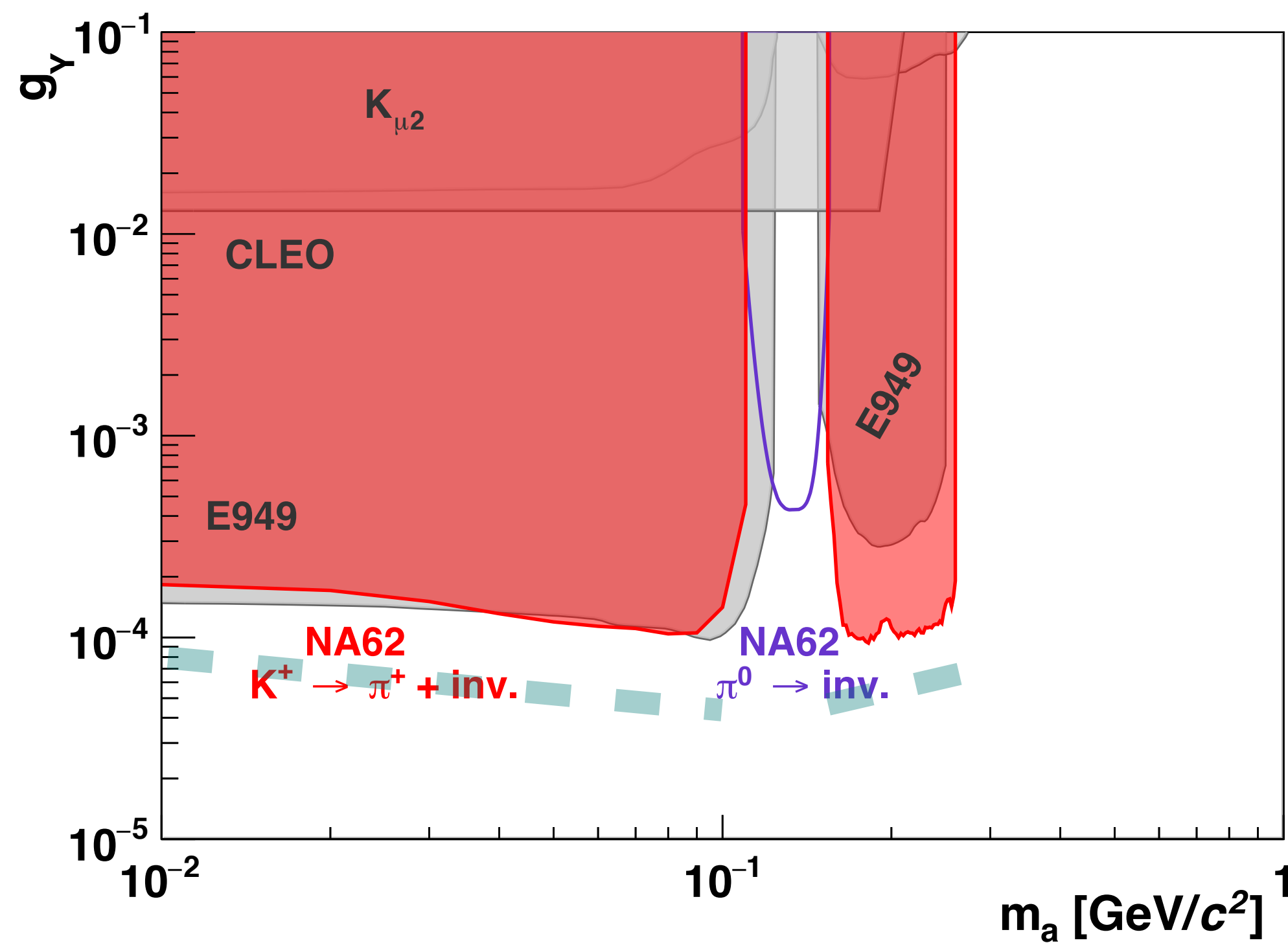


# 2017 dataset

<https://www.hepdata.net/record/ins1832447>  
<https://www.hepdata.net/record/ins1822910>



JHEP 03 (2021) 058  
 arXiv: [2011.11329](https://arxiv.org/abs/2011.11329) [hep-ex]





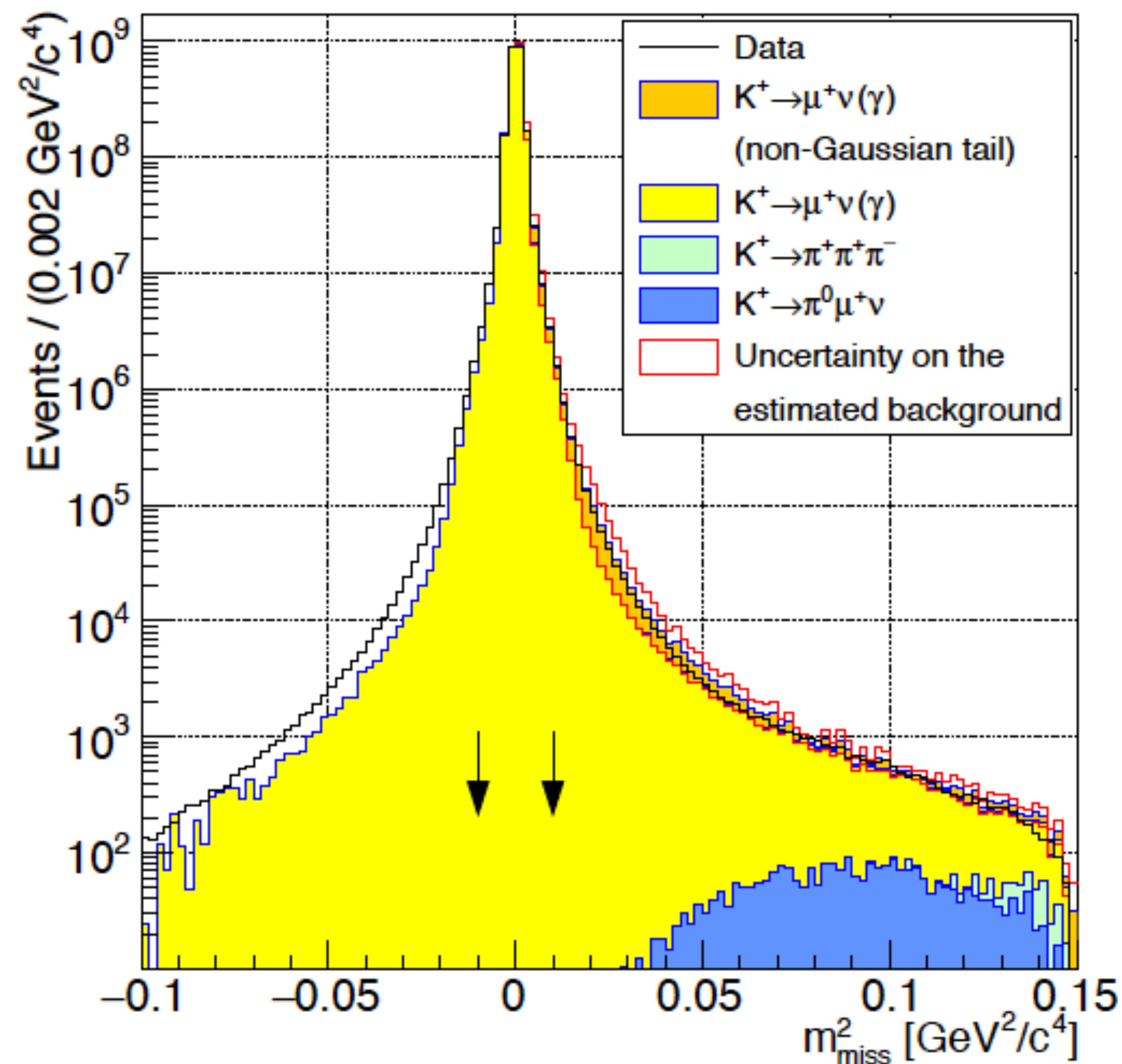
# Background estimation for $K^+ \rightarrow \mu^+ \nu \chi$ , $\chi \rightarrow$ invisible



Assumption: the non-Gaussian tails of the  $m_{\text{miss}}^2$  spectrum are left-right symmetrical.

A “tail” component is added to the estimated background in each  $m_{\text{miss}}^2$  bin in the region  $m_{\text{miss}}^2 > 0$  equal to the difference between the data and simulated spectra in the symmetric mass bin with respect to  $m_{\text{miss}}^2 = 0$ .

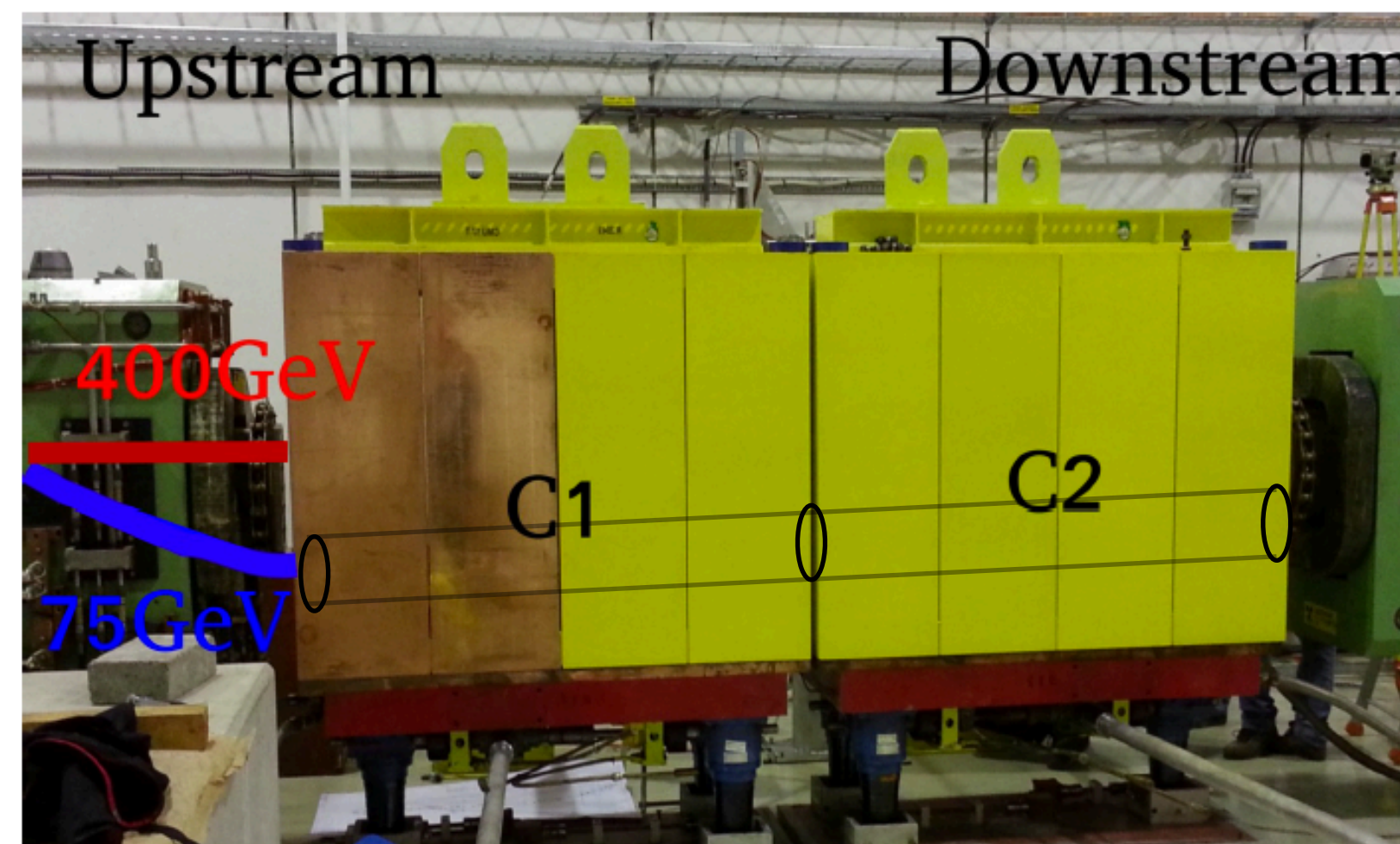
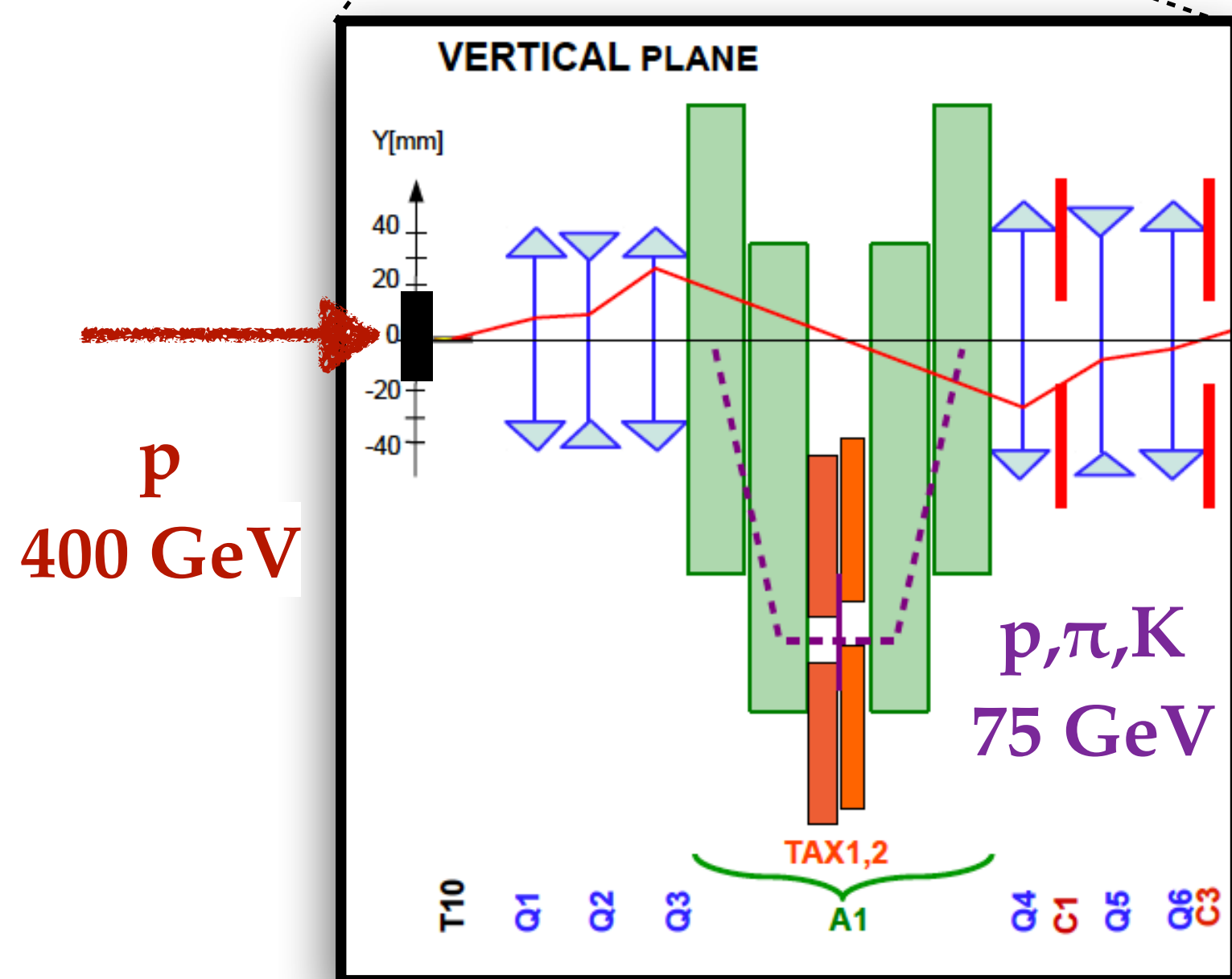
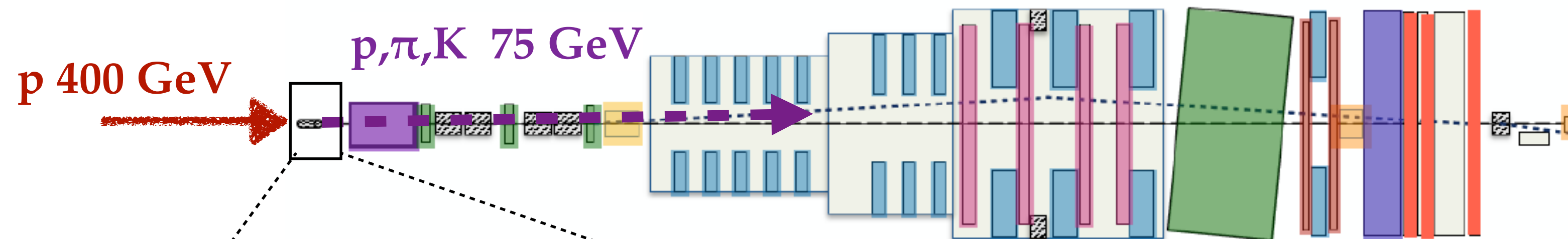
A 100% uncertainty is conservatively assigned to this component to account for the above assumption.



# Not-only Kaons

A large Kaon sample is ready to be analyzed but:  
 searches for exotic particles are limited by the kaon mass

## Standard kaon mode

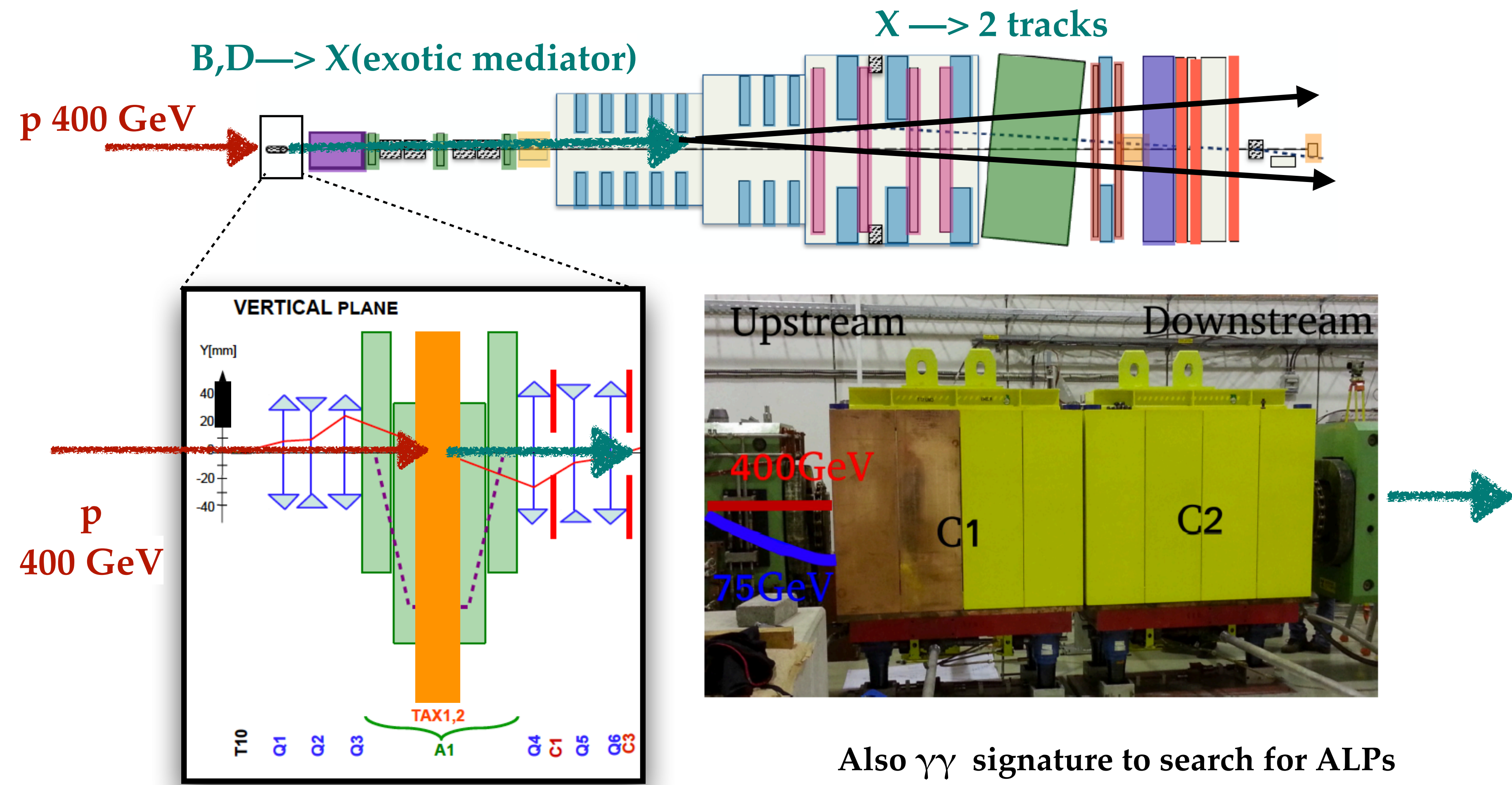




# Not-only Kaons

## Beam dump mode

B and D instantaneously decay to exotic mediators and SM particles which are stopped/deviated



Also  $\gamma\gamma$  signature to search for ALPs from Primakoff