

# F-theory, M5-branes and N=4 SYM with Varying Coupling

Sakura Schäfer-Nameki



COST Conference Milano, February 23, 2017

1610.03663 with Benjamin Assel

1612.05640 with Craig Lawrie, Timo Weigand

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**Supersymmetry Breaking in String Theory**

Workshop  
10th March 2014 to 14th March 2014  
Original URL: <http://www.newton.ac.uk/programmes/INI/inw06.shtml>

Isaac Newton Institute for Mathematical Sciences

**Supersymmetry Breaking in String Theory**

10 - 14 March 2014

**Organisers:** Riccardo Argurio (Brussels), Matteo Bertolini (SISSA), Michela Petrini (Paris) and Sakura Schafer-Nameki (KCL)

Programme | Participants

**Theme**

Supersymmetry breaking in string theory is a crucial issue, both for formal and more applied aspects within string phenomenology, and in string theory more generally. Any string theoretic setup aiming at addressing phenomenological issues, both in particle physics and in cosmology, has to address supersymmetry breaking. On the other hand, supersymmetry is so deeply rooted in string theory that it is difficult to break it without spoiling many desirable features, or at least without losing analytical control. For instance, stability of non-supersymmetric solutions or frameworks in string theory is often an unresolved issue.

Isaac Newton Institute for Mathematical Sciences

Supersymmetry Breaking in String Theory

Overview

Participants

Seminars

Speakers

Timetable

Event Calendar

Upcoming Seminars

Watch Online

# String-Pheno-Cosmo 2015



## School and Workshop

### GGI Florence, October 19-23 & 26-30, 2015





**Organizers:**

Riccardo Argurio (ULB)  
 Marcus Berg (Karlstad)  
 Matteo Bertolini (SISSA)  
 Gabriele Honecker (Mainz)  
 Enrico Pajer (Utrecht)  
 Diederik Roest (Groningen)  
 Sakura Schafer-Nameki (KCL)

**Lecturers at the "School for Methods in String Theory and Applications in Particle Physics and Cosmology":**

- Cosmology: Daniel Baumann (DAMTP), Enrico Pajer (Utrecht)
- Phenomenology: David Shih (Rutgers), Florian Staub (CERN)
- Geometry: David Morrison (UCSB), Andreas Braun (Oxford)
- Effective Actions: Mariana Grana (Saclay), Hagen Triendl (CERN)

**Speakers at the Workshops include:**

Steve Abel (Durham)  
 Paolo Creminelli (ICTP)  
 Raphael Flauger (Carnegie Mellon)  
 Jim Halverson (KITP)  
 Liam McAllister (Cornell)  
 Hiranya Peiris (UCL)  
 Fernando Quevedo (ICTP/DAMTP)  
 Matt Reece (Harvard)  
 Roberto Valandro (ICTP)  
 Irene Valenzuela (Madrid)  
 Giovanni Villadoro (ICTP)  
 Timo Weigand (Heidelberg)

**Details and Registration:**  
<http://www.mth.kcl.ac.uk/~ss299/GGI>

# Plan

Goal: Understanding the D3-brane sector in F-theory.

## I. F-theory in a Flash

- # Geometric progress

- # 8d, 6d, 4d, 2d

## II. D3-brane Sector:

- # D3s in F/M5s in M

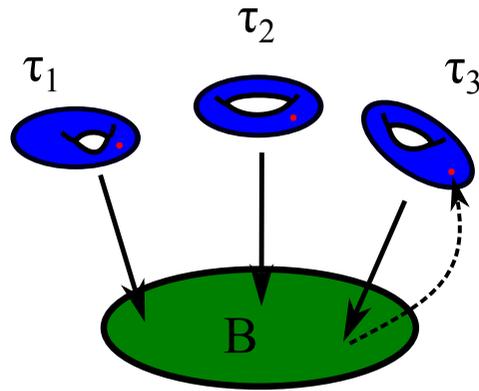
- # Defect theories

- # New chiral 2d  $(0, 2)$  Theories

# I. F-theory in a Flash

## F-theory and Elliptic Fibrations

F-theory on an elliptically fibered Calabi-Yau  $Y_{6-d}^\tau$  results in  $N = 1$  vacua in  $\mathbb{R}^{1,2d-1}$ , with  $\tau = C_0 + ie^{-\phi}$  axio-dilaton and  $B$  the spacetime of Type IIB string theory:



$$\mathbb{E}_\tau \rightarrow Y_{6-d}^\tau$$

$$\downarrow$$

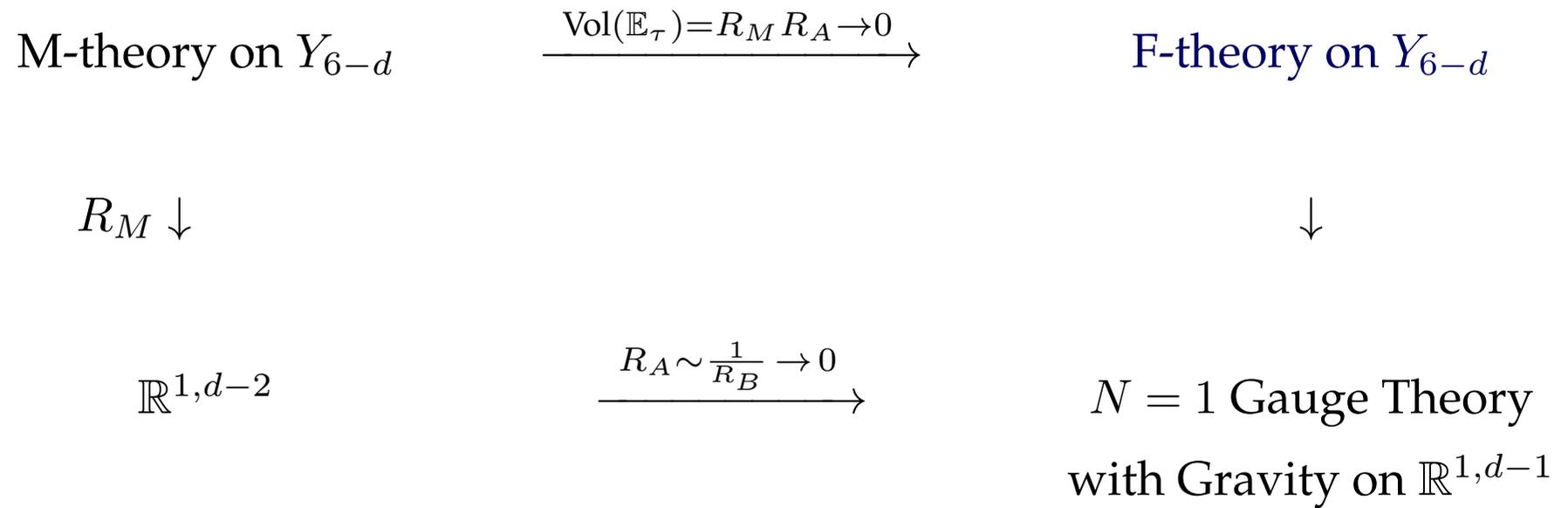
$$B_{5-d}$$

$\Rightarrow \mathbb{E}_\tau$  fibers = Tori  $\mathbb{C}/\mathbb{Z} \oplus \tau\mathbb{Z}$  with marked point  $O$ . There exists a “zero section”  $\sigma_0: B \rightarrow \mathbb{E}_\tau : b \mapsto O$

$\Rightarrow$  For such fibrations there is a Weierstrass form with  $O = [0, 1, 1]$

$$y^2 = x^3 + fxw^4 + gw^6 \quad [w, x, y] \in \mathbb{P}(1, 2, 3)$$

## Effective Theory from M/F duality



## Gauge bosons and Singular Fibers

Reduce M-theory 3-form along  $(1, 1)$  forms  $\omega^{(1,1)}$  in fiber:

$$C_3 = \omega^{(1,1)} \wedge A$$

Additional  $(1,1)$  forms from singularities:

- Elliptic curve is  $y^2 = x^3 + fxw^4 + gw^6$  singular if

$$\Delta = 4f^3 + 27g^2 = 0$$

Here  $\Delta$  depends on base:

$$\Delta(z) = O(z^n) \quad \Leftrightarrow \quad z = 0 \text{ is surface } S \subset B$$

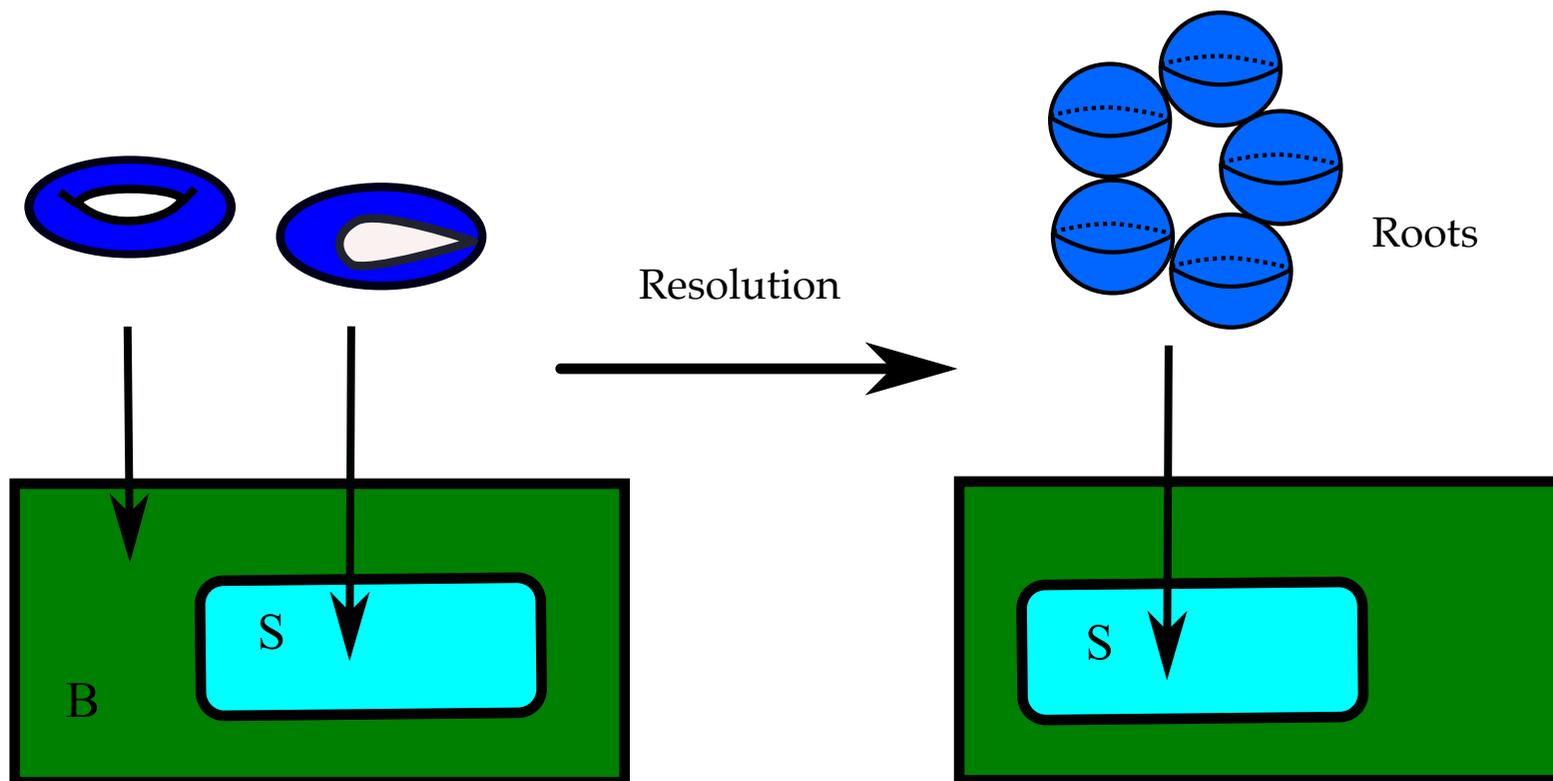
- Kodaira fibers from resolutions of singular fibrations
- Physics:  
Syncs with 7-branes intuition in IIB, which sources  $F_9$  and  $\tau \sim \log(x - x_0)$  undergoes monodromy  $SL_2\mathbb{Z}$

## F-theory and Singular Fibers: Codim 1

Kodaira classification of singular fibers: Resolution of singularities results in collection of rational curves  $\mathbb{P}^1$  which intersect in ADE-type Dynkin diagrams:

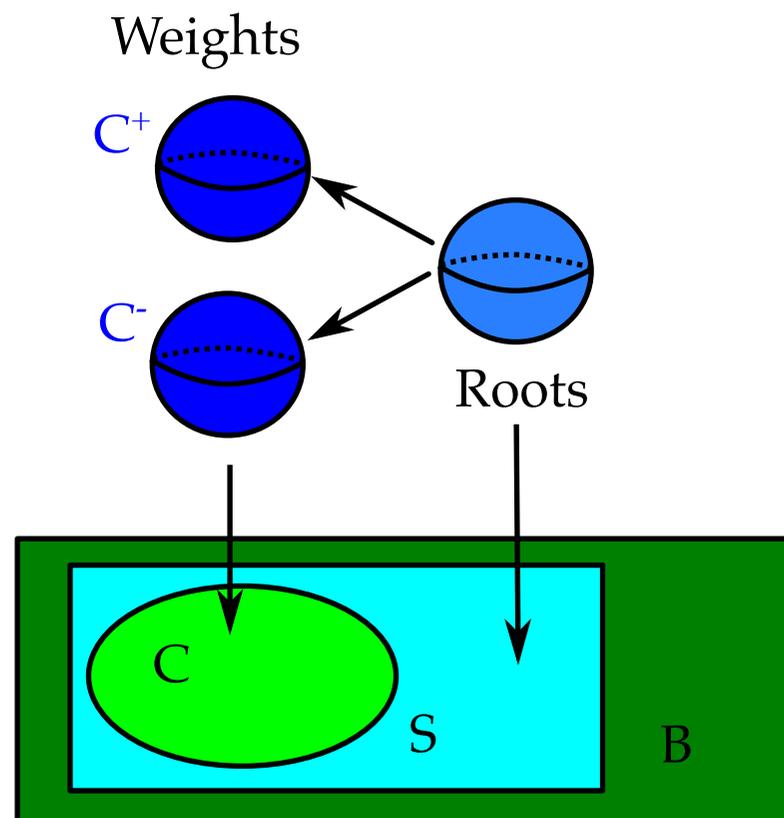
Affine roots of  $\mathfrak{g} \leftrightarrow$  Fibral rational curves

Gauge bosons from  $C_3 = A_i \wedge \omega_i^{(1,1)}$  and wrapped M2



## F-theory and Singular Fibers: Codim 2

Codim 2: Roots can split into weights of matter representation  $R$  of  $\mathfrak{g}$ :



E.g.  $SU(n)$ , fundamental weights  $L_i$ :  $\alpha_i = L_i + (-L_{i+1})$ .

How exactly this happens: as systematically understood as Kodaira in  
codim 1

[Hayashi, Lawrie, Dave Morrison, SSN]

## F-theory on elliptic CY

F-theory on an elliptically fibered Calabi-Yau

$$\mathbb{E}_\tau \rightarrow Y_{6-d}^\tau \rightarrow B_{5-d}$$

results in  $N = 1$  vacua in  $\mathbb{R}^{1,2d-1}$  with the geometric singularities above codim  $i$  in the base have the following physical interpretation:

Codim $_{\mathbb{C}}$	$\mathbb{P}^1$ s in Fiber	7-brane Gauge Theory
1	Simple roots	Gauge algebra $\mathfrak{g}$
2	Weights for Reps $\mathbf{R}$	Matter in $\mathbf{R}$
3	Splitting gauge invariantly	Cubic interactions
4	Further gauge invariant splitting	Quartic interactions

## 2n-dimensional F-theory Vacua

Fiber geometry relatively universal. Base  $B$

- # **8d**: K3, Geometry highly constrained  $B_1 = \mathbb{P}^1$  etc.
- # **6d**: Classification of  $N = (1, 0)$  SCFTs, Geometry of  $B_2$  constrained (Grassi, Gross)+ Anomalies
- # **4d**:  $N = 1$ , MSSM/GUTs, Geometry of  $B_3$  largely unconstrained and freedom of Fluxes
- # **2d**:  $N = (0, 2)$  gauge theories + gravity, Geometry of  $B_4$  largely unconstrained, freedom of Fluxes and necessity of D3-branes (tadpole).

## II. D3-brane Sector in F-theory

## 4d $N = 4$ SYM with varying $\tau$

F-theory is IIB with varying  $\tau$ , where there is also a self-duality group  $SL_2\mathbb{Z}$ , which descends upon D3-branes to the Montonen-Olive duality group of  $N = 4$  SYM.

4d  $N = 4$  SYM has an  $SL_2\mathbb{Z}$  duality group acting on the complexified coupling

$$\tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g^2}, \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d},$$

$ad - bc = 1$  and integral. Incidentally: the gauge group  $G$  maps to the Langlands dual group  $G^\vee$ .

Usually, we consider  $\tau$  constant in the 4d spacetime.

Coming from F-theory, it's very natural to ask whether we can define a version of  $N = 4$  SYM with varying  $\tau$ , compatible with the  $SL_2\mathbb{Z}$  action.

$\Rightarrow$  Network of 3d walls, 2d and 0d duality defects in  $N = 4$ .

## Duality Defects

Variation of  $\tau$  without singular loci are trivial. So the interesting physics will happen along the 4d space-time where  $\tau$  is singular.

$\Rightarrow$  around such singular loci,  $\tau$  will undergo an  $SL_2\mathbb{Z}$  monodromy.

Usual lore:  $\tau$  as the complex structure of an elliptic curve  $\mathbb{E}_\tau$

$\Rightarrow$  Lift to M5-branes

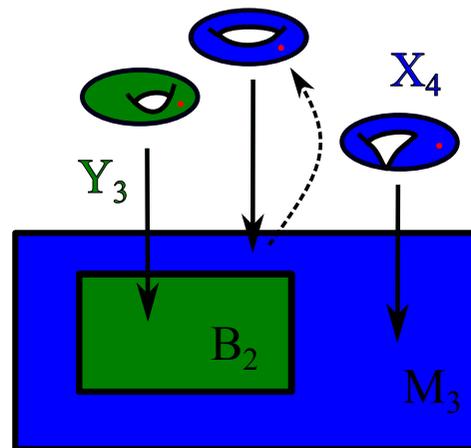
$\Rightarrow$  Setup: elliptic fibration over the 4d spacetime with  $N = 4$  SYM in the bulk and duality defects (2d), which can intersect in 0d.

Key: M5-brane point of view

$\{6d (2,0) \text{ theory on } \mathbb{E}_\tau \times \mathbb{R}^4\} = \{N = 4 \text{ SYM on } \mathbb{R}^4 \text{ with coupling } \tau\}$

So the setup that we will study is:

$\{6d (2,0) \text{ theory on a singular elliptic fibration}\}$   
 $= \{4d N = 4 \text{ SYM with varying } \tau \text{ and duality defects}\}$



## Setups:

### # Setup 1:

$\tau$  varies over 4d space (with Benjamin Assel)

$\Rightarrow Y_3$  elliptic three-fold  $\subset$  elliptic CY4

### # Setup 2:

$\tau$  varies over a 2d space: 2d  $(0, p)$  scfts (with C. Lawrie, T. Weigand)

$\Rightarrow$  D3s on curves in the base of CY $_n$ .

In both setups: M5-brane point of view will be instrumental.

## The 6d (2, 0) Theory

# Lorentz and R-symmetry:

$$SO(1, 5)_L \times Sp(4)_R \subset OSp(6|4)$$

# Tensor multiplet:

$$\mathcal{B}_{MN} : \quad (\mathbf{15}, \mathbf{1}) \quad \text{with selfduality } \mathcal{H} = d\mathcal{B} = *_6\mathcal{H}$$

$$\Phi^{\hat{m}\hat{n}} : \quad (\mathbf{1}, \mathbf{5})$$

$$\rho^{\hat{m}} : \quad (\bar{\mathbf{4}}, \mathbf{4})$$

# Abelian EOMs:

$$\mathcal{H}^- = d\mathcal{H} = 0, \quad \partial^2 \Phi^{\hat{m}\hat{n}} = 0, \quad \not{\partial} \rho^{\hat{m}} = 0.$$

## Setup 1: M5-branes on Elliptic 3-folds

[Assel, SSN]

An elliptic fibration  $\mathbb{E}_\tau \rightarrow Y_3 \rightarrow B$  (Y not CY) has metric

$$ds_Y^2 = \frac{1}{\tau_2} \left( (dx + \tau_1 dy)^2 + \tau_2^2 dy^2 \right) + g_{\mu\nu}^B db^\mu db^\nu .$$

Pick a frame  $e^a$  for the base  $B$  and

$$e^4 = \frac{1}{\sqrt{\tau_2}} (dx + \tau_1 dy), \quad e^5 = \sqrt{\tau_2} dy .$$

Let  $Y_3$  be a **Kähler three-fold**, so the holonomy is reduced to  $U(3)_L$ :

$$SO(6)_L \rightarrow U(3)_L$$

$$\mathbf{4} \rightarrow \mathbf{3}_1 \oplus \mathbf{1}_{-3} .$$

On a curve space: Killing spinor equation with  $\nabla_M$  connection

$$(\nabla_M - A_M^R)\eta = 0$$

R-symmetry background  $\Rightarrow$  constant spinor wrt **twisted** connection.

## M5-branes on Elliptic 3-folds: Twist

# Standard geometric twist:  $U(1)_L$  with  $U(1)_R$

$$Sp(4)_R \rightarrow SU(2)_R \times U(1)_R$$

$$\mathbf{4} \rightarrow \mathbf{2}_1 \oplus \mathbf{2}_{-1}.$$

# Topological Twist

$$T_{U(1)_{\text{twist}}} = (T_{U(1)_L} - 3T_{U(1)_R})$$

implies that the supercharge decomposes as

$$SO(6)_L \times Sp(4)_R \rightarrow SU(3)_L \times SU(2)_R \times U(1)_{\text{twist}} \times U(1)_R$$

$$(\mathbf{4}, \mathbf{4}) \rightarrow (\mathbf{3}, \mathbf{2})_{-2,1} \oplus (\mathbf{3}, \mathbf{2})_{4,-1} \oplus (\mathbf{1}, \mathbf{2})_{-6,1} \oplus (\mathbf{1}, \mathbf{2})_{0,-1}$$

$\Rightarrow (\mathbf{1}, \mathbf{2})_{0,-1}$  give two scalar supercharges

Now consider 6d spacetime as  $\mathbb{E}_\tau \rightarrow Y_3 \rightarrow B_2$  with coordinates  $x^0, \dots, x^5$ , and  $(x^4, x^5)$  the directions of the elliptic fiber.

The spin connection along  $U(1)_L$  is

$$\Omega^{U(1)_L} = -\frac{1}{6}(\Omega^{01} + \Omega^{23} + \Omega^{45}),$$

and the twist corresponds to turning on the background gauge field

$$A^{U(1)_R} = -3\Omega^{U(1)_L}.$$

The base  $B_2$  is Kähler as well, so the holonomy lies in  $U(1)_\ell \times SU(2)_\ell \subset U(3)_L$  with the  $U(1)$  generators given by

$$T_L = T_\ell + 2T_{45}$$

Key:  $SO(2)_{45}$  rotation is along the fiber, and the non-trivial fibration is characterized through a connection in this  $SO(2)_{45}$  direction and the spin connection is

$$A_D = \omega^D = -\frac{\partial_a \tau_1}{4\tau_2} e^a$$

## Duality Twist

This means: from the 4d point of view the topological twisting requires

$$A_D = \omega^D = -\frac{\partial_a \tau_1}{4\tau_2} e^a$$

The associated  $U(1)$  is in fact what is known as the “bonus symmetry” of abelian  $N = 4$  SYM [Intrilligator] and we recovered the duality twist of  $N=4$  SYM [Martucci] from the M5-brane theory.

The bonus symmetry exists for the abelian  $N = 4$  SYM and acts as follows on the supercharges for  $ab - cd = 1$

$$\begin{aligned}
 Q^{\dot{m}} &\rightarrow e^{-\frac{i}{2}\alpha(\gamma)} Q^{\dot{m}} & \text{where} & & e^{i\alpha(\gamma)} &= \frac{c\tau + d}{|c\tau + d|} \\
 \tilde{Q}^m &\rightarrow e^{\frac{i}{2}\alpha(\gamma)} \tilde{Q}^m \\
 \phi^{\hat{i}} &\rightarrow \phi^{\hat{i}}, & \lambda_+^{\dot{m}} &\rightarrow e^{-\frac{i}{2}\alpha(\gamma)} \lambda_+^{\dot{m}}, & \lambda_-^m &\rightarrow e^{\frac{i}{2}\alpha(\gamma)} \lambda_-^m \\
 F_{\mu\nu}^{(\pm)} &\rightarrow e^{\mp i\alpha(\gamma)} F_{\mu\nu}^{(\pm)} & F^{(\pm)} &\equiv \sqrt{\tau_2} \left( \frac{F \pm \star F}{2} \right)
 \end{aligned}$$

## Duality Twisted $N = 4$ SYM from 6d

6d topological twist + dim reduction to  $B$  gives an  $N = 4$  SYM with varying  $\tau$  over a Kähler base  $B$

$$\begin{aligned}
 S_{\text{total}}^{U(1)} &= \frac{1}{4\pi} \int_B \tau_2 F_2 \wedge \star F_2 - i\tau_1 F_2 \wedge F_2 \\
 &+ \frac{8}{\pi} \int_B \bar{\partial} \star \psi_{(1,0)}^\alpha \chi_{(0,0)\alpha} - \partial \psi_{(1,0)}^\alpha \wedge \rho_{(0,2)\alpha} - \partial_{\mathcal{A}} \star \tilde{\psi}_{(0,1)}^{\dot{\alpha}} \tilde{\chi}_{(0,0)\dot{\alpha}} + \bar{\partial}_{\mathcal{A}} \tilde{\psi}_{(0,1)}^{\dot{\alpha}} \wedge \tilde{\rho}_{(2,0)\dot{\alpha}} \\
 &- \frac{1}{4\pi} \int_B \bar{\partial} \varphi^{\alpha\dot{\alpha}} \wedge \star \partial \varphi_{\alpha\dot{\alpha}} + 2\bar{\partial}_{\mathcal{A}} \sigma_{(2,0)} \wedge \star \partial_{\mathcal{A}} \tilde{\sigma}_{(0,2)}
 \end{aligned}$$

and **non-abelian extension** (see paper with Ben Assel).

The twisted fields are form fields and sections of the  $\mathcal{A}_D$  bundle specified by the charges:

	$F_2^{(\pm)}$	$\varphi^{\alpha\dot{\alpha}}$	$\sigma_{(2,0)}$	$\tilde{\sigma}_{(0,2)}$	$\chi_{(0,0)}^\alpha$	$\tilde{\chi}_{(0,0)}^{\dot{\alpha}}$	$\psi_{(1,0)}^\alpha$	$\tilde{\psi}_{(0,1)}^{\dot{\alpha}}$	$\rho_{(0,2)}^\alpha$	$\tilde{\rho}_{(2,0)}^{\dot{\alpha}}$
$L_D^{q/2}$	$\mp 2$	0	-2	2	0	-2	0	2	0	-2

## Singular Elliptic Curves and Defects

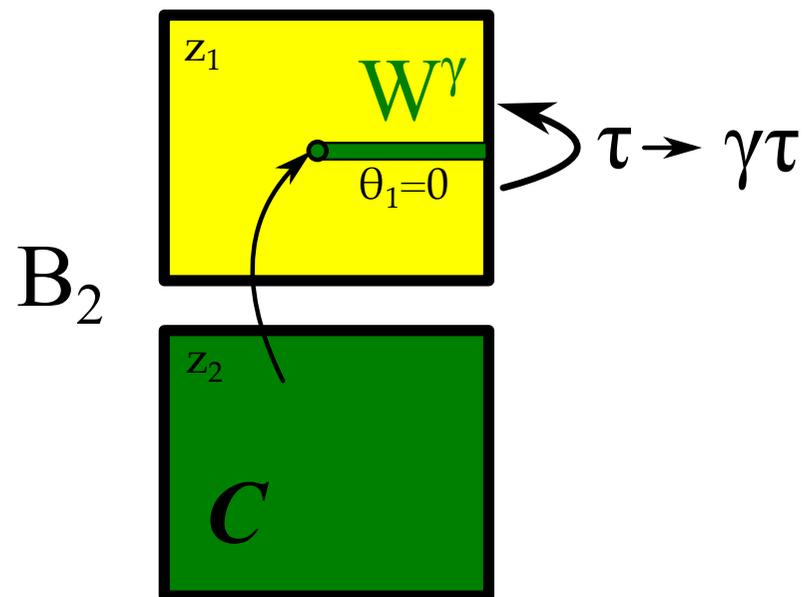
We can describe the elliptic fibration by  $\mathbb{E}_\tau$  in terms of a Weierstrass model

$$y^2 = x^3 + fx + g$$

$f$  and  $g$  sections  $K_B^{-2/-3}$  and the singular loci are

$$\Delta = 4f^3 + 27g^2 = 0.$$

Close to a singular locus  $z_2 = 0$ ,  $\tau \sim i \log z_2 + \dots$  with a branch-cut in the complex plane  $z_2$ . For the M5 this is relevant along  $\Delta \cap B$ :



## Gauge theoretic description of walls and defects

Locally we can cut up  $B = \cup B_i$  and  $W_{ij}$  3d walls between these regions, where  $\tau$  has a branch-cut.

Define

$$F_D = \tau_1 F + i\tau_2 \star F$$

then the action of  $\gamma \in SL_2\mathbb{Z}$  monodromy on the gauge field is

$$(F_D^{(j)}, F^{(j)}) \Big|_{W_{ij}} = \gamma(F_D^{(i)}, F^{(i)}) \Big|_{W_{ij}}$$

This maps the gauge part  $S_F = -\frac{i}{4\pi} \int_B F \wedge F_D$  to itself, except for an offset on the 3d wall (see also [\[Ganor\]](#))

$$S_{W_{ij}}^\gamma = -\frac{i}{4\pi} \int_{W_{ij}} \left( A^{(i)} \wedge F_D^{(i)} - A^{(j)} \wedge F_D^{(j)} \right)$$

E.g.  $\gamma = T^k$  this is a level  $k$  CS term.

## Chiral Duality Defects

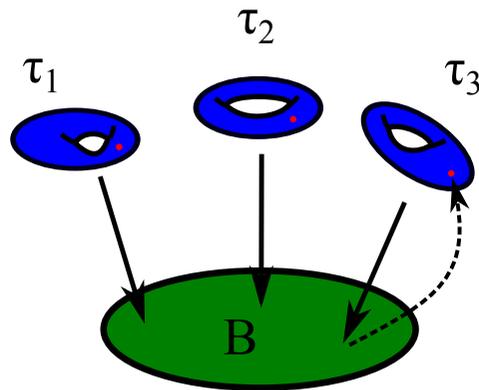
The wall action  $S^\gamma$  is neither supersymmetric nor gauge invariant. At the boundary of the wall  $\partial W = \mathcal{C}$  this induces chiral dofs: e.g. for the  $T^k$  wall this is simply a chiral WZW model with  $\beta_i, i = 1, \dots, k$ , with  $\star_2 d\beta_i = id\beta_i$  [Witten]

$$S_{\mathcal{C}} = \sum_{i=1}^k -\frac{1}{8\pi} \int_{\mathcal{C}} \star_2 (d\beta_i - A) \wedge (d\beta_i - A) - \frac{i}{4\pi} \int_{\mathcal{C}} \beta_i F$$

Under gauge transformations  $A \rightarrow A + d\Lambda, \beta_i \rightarrow \beta_i + \Lambda$  this generates  $\int F\Lambda$  which cancels the anomaly from the 3d wall.

## Duality Defects from M5-branes

From the elliptic fibration and M5-brane we can apply this to any  $\gamma$ :



Singular fibers resolve into collections of  $S^2 = \mathbb{P}^1$ s, intersecting in affine ADE Dynkin diagrams.

Each resolution spheres gives rise to an  $\omega^{(1,1)}$  form, along which we can expand  $\mathcal{B}$

$$d\mathcal{B} = \sum_{i=1}^{k-1} \left( \partial_z b_i dz \wedge \omega_{(1,1)}^i + \partial_{\bar{z}} b_i d\bar{z} \wedge \omega_{(1,1)}^i \right)$$

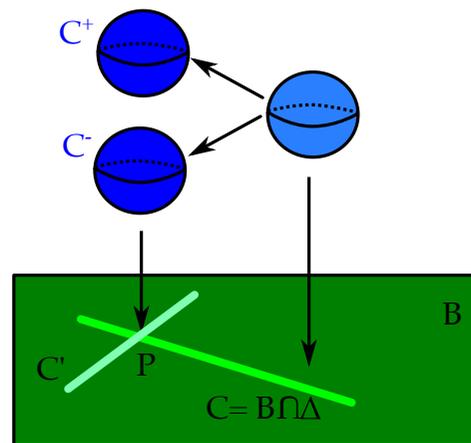
Imposing self-duality, and redefining the basis of chiral modes  $b_i$  with the "section" of the elliptic fibration, identifies these modes with  $\beta_i$ .

## Point-defects

These chiral  $(0, 2)$  supersymmetric defects can intersect at points

$$P_{\alpha\beta} = \{z_\alpha = z_\beta = z = 0\} = \mathcal{C}_\alpha \cap \mathcal{C}_\beta = B \cap \Delta_\alpha \cap \Delta_\beta$$

Geometrically: Kodaira fiber  $\mathbb{P}^1$ s become further reducible  $\mathbb{P}_i^1 \rightarrow C_+ + C_-$



Duality defects form network and at intersections:

$$\left( \int_{C^+} + \int_{C^-} \right) \mathcal{B} = \int_{\mathbb{P}_i^1} \mathcal{B} \quad \rightarrow \quad \beta_+ + \beta_- = \beta_i$$

Such point-intersections are generic e.g. in CY4.

## Example:

D3-branes wrapping  $B_2$  intersecting discriminant loci in

$$\Delta_1 \cap B = \mathcal{C} \leftrightarrow SU(n) \quad \Delta_2 \cap B = \tilde{\mathcal{C}} \leftrightarrow SU(m)$$

E.g. fibers are given in terms of simple roots  $F_i, i = 0, 1, \dots, n-1$  and  $\tilde{F}_j, j = 0, 1, \dots, m-1$  and there are chiral modes localized on each curve

$$\mathcal{C} : \quad \beta_i, \quad i = 0, 1, 2, 3, 4, \quad \tilde{\mathcal{C}} : \quad \tilde{\beta}_i, \quad i = 0, 1, 2$$

The fibers in codim 2 split as, e.g. for  $SU(5)$  and  $SU(3)$ :  $C_{ij}^\pm \equiv \pm(L_i + \tilde{L}_j)$ .

$$\mathcal{C} : \begin{cases} F_0 \rightarrow F'_0 + C_{\tilde{3}1}^- \\ F_1 \rightarrow F_1 \\ F_2 \rightarrow C_{\tilde{2}2}^+ + C_{\tilde{2}3}^- \\ F_3 \rightarrow F_3 \\ F_4 \rightarrow C_{\tilde{1}5}^- + C_{\tilde{1}4}^+ \end{cases} \quad \tilde{\mathcal{C}} : \begin{cases} \tilde{F}_0 \rightarrow \tilde{F}'_0 + C_{\tilde{1}5}^- \\ \tilde{F}_1 \rightarrow C_{\tilde{1}4}^+ + F_3 + C_{\tilde{2}3}^- \\ \tilde{F}_2 \rightarrow C_{\tilde{2}2}^+ + F_1 + C_{\tilde{3}1}^- \end{cases}$$

In codim 3 the  $SU(5)$  and  $SU(3)$  singularities collide at points  $P = \mathcal{C} \cap \tilde{\mathcal{C}}$  in  $B$ :

$$\left( F_{\mathcal{C}} \sum_{i=0}^4 \beta_i \right) \Big|_P = F_{\mathcal{C}} \left( \beta_{\tilde{35}}^+ + \beta_{\tilde{31}}^- + \beta_1 + \beta_{\tilde{22}}^+ + \beta_{\tilde{23}}^- + \beta_3 + \beta_{\tilde{15}}^- + \beta_{\tilde{14}}^+ \right) \Big|_P$$

and likewise

$$\left( F_{\tilde{\mathcal{C}}} \sum_{i=0}^2 \tilde{\beta}_i \right) \Big|_P = F_{\tilde{\mathcal{C}}} \left( \beta_{\tilde{35}}^+ + \beta_{\tilde{15}}^- + \beta_{\tilde{14}}^+ + \beta_3 + \beta_{\tilde{23}}^- + \beta_{\tilde{22}}^+ + \beta_1 + \beta_{\tilde{31}}^- \right) \Big|_P$$

Locally, this enhances the flavor symmetry of the 2d chiral models to  $SU(n + m)$ .

More generally: What happens for  $\gamma \in SL_2\mathbb{Z}$ ? Defect theory will be chiral cft, with flavor symmetry dictated by the singular fiber geometry.

## Setup 2: New 2d (0, 2) Theories

Consider now  $N = 4$  SYM on  $\mathbb{R}^{1,1} \times C$ , with  $\tau$ -varying only over the curve  $C$ :

$$SO(1, 4)_L \rightarrow SO(1, 1)_L \times U(1)_L$$

and to preserve supersymmetry, consider  $U(1)_R \subset SU(4)_R$ :

$$\begin{array}{ll}
 & SO(4)_T \times \underline{U(1)_R} \quad \text{CY}_3 \text{ Duality-Twist: } (0, 4) \\
 SU(4)_R & \rightarrow SU(2)_R \times \underline{U(1)_R} \times SO(2)_T \quad \text{CY}_4 \text{ Duality-Twist: } (0, 2) \\
 & SU(3)_R \times \underline{U(1)_R} \quad \text{CY}_5 \text{ Duality-Twist: } (0, 2)
 \end{array}$$

Geometric embedding corresponds to D3-branes on  $C \times \mathbb{R}^{1,1}$  with

$$C \subset B_{n-1} = \text{Base of the elliptic } CY_n$$

$CY_n$  Duality-Twist:

$$T_C^{\text{twist}} = \frac{1}{2}(T_C + T_R) \quad T_D^{\text{twist}} = \frac{1}{2}(T_D + T_R).$$

## Example: $CY_4$ -Duality Twist of $N = 4$ SYM

$$SU(2)_R \times SO(1,1)_L \times U(1)_C^{\text{twist}} \times U(1)_D^{\text{twist}} \times SO(2)_T \times U(1)_R$$

$$\begin{aligned} A : & \mathbf{1}_{2,0,*,0,0} \oplus \mathbf{1}_{-2,0,*,0,0} \oplus \mathbf{1}_{0,1,*,0,0} \oplus \mathbf{1}_{0,-1,*,0,0} \\ & = v_+ \oplus v_- \oplus \bar{a} \oplus a \end{aligned}$$

$$\begin{aligned} \phi : & \mathbf{1}_{0,0,0,2,0} \oplus \mathbf{1}_{0,0,0,-2,0} \oplus \mathbf{2}_{0,\frac{1}{2},\frac{1}{2},0,1} \oplus \mathbf{2}_{0,-\frac{1}{2},-\frac{1}{2},0,-1} \\ & = \bar{g} \oplus g \oplus \varphi \oplus \bar{\varphi} \end{aligned}$$

$$\begin{aligned} \Psi : & \mathbf{2}_{1,\frac{1}{2},\frac{1}{2},1,0} \oplus \mathbf{1}_{1,1,1,-1,1} \oplus \mathbf{1}_{1,0,0,-1,-1} \oplus \mathbf{2}_{-1,-\frac{1}{2},\frac{1}{2},1,0} \oplus \mathbf{1}_{-1,0,1,-1,1} \oplus \mathbf{1}_{-1,-1,0,-1,-1} \\ & = \mu_+ \oplus \psi_+ \oplus \gamma_+ \oplus \rho_- \oplus \lambda_- \oplus \beta_- \end{aligned}$$

$$\begin{aligned} \tilde{\Psi} : & \mathbf{2}_{1,-\frac{1}{2},-\frac{1}{2},-1,0} \oplus \mathbf{1}_{1,-1,-1,1,-1} \oplus \mathbf{1}_{1,0,0,1,1} \oplus \mathbf{2}_{-1,\frac{1}{2},-\frac{1}{2},-1,0} \oplus \mathbf{1}_{-1,0,-1,1,-1} \oplus \mathbf{1}_{-1,1,0,1,1} \\ & = \tilde{\mu}_+ \oplus \tilde{\psi}_+ \oplus \tilde{\gamma}_+ \oplus \tilde{\rho}_- \oplus \tilde{\lambda}_- \oplus \tilde{\beta}_-. \end{aligned}$$

Geometric identification:

$$N_{C/B_3} : (q_C^{\text{twist}}, q_D^{\text{twist}}) = \left( -\frac{1}{2}, -\frac{1}{2} \right)$$

and  $q_D$  charge give power of  $\mathcal{L}_D = K_B^{-1}|_C$

Fermions	Bosons	(0,2) Multiplet	Zero-mode Cohomology
$\mu_+$ $\tilde{\mu}_+$	$\varphi$ $\bar{\varphi}$	Chiral Conjugate Chiral	$h^0(C, N_{C/B_3})$
$\tilde{\psi}_+$ $\psi_+$	$a$ $\bar{a}$	Chiral Conjugate Chiral	$h^0(C, K_C \otimes \mathcal{L}_D) = g - 1 + c_1(B_3) \cdot C$
$\gamma_+$ $\tilde{\gamma}_+$	$g$ $\bar{g}$	Chiral Conjugate Chiral	$h^0(C) = 1$
$\rho_-$ $\tilde{\rho}_-$	— —	Fermi Conjugate Fermi	$h^1(C, N_{C/B_3}) = h^0(C, N_{C/B_3}) - c_1(B_3) \cdot C$
$\beta_-$ $\tilde{\beta}_-$	— —	Fermi Conjugate Fermi	$h^1(C) = g$
$\lambda_-$ $\tilde{\lambda}_-$	$v_+$ $v_-$	Vector	0

## Central Charges

From the  $N = 4$  with duality twist, the zero modes contribute

$$c_R = 3(g + c_1(B_3) \cdot C + h^0(C, N_{C/B_3}))$$

$$c_L = 3(g + h^0(C, N_{C/B_3})) + c_1(B_3) \cdot C$$

However, this neglects the contributions from the singularities (unless  $B_3$  is Calabi-Yau itself and the fibration is trivial).

Quick F-theory excursion: singular loci of  $\tau$  correspond to 7-branes, and additional defect modes are 3 – 7 strings.

Direct computation from 6d  $(2, 0)$  or anomalies, on the elliptic surface  $\mathbb{E}_\tau \rightarrow C$  times  $\mathbb{R}^{1,1}$  (much like in the earlier discussion) yields

$$\delta c_L^{\text{defects}} = 8c_1(B) \cdot C.$$

## Discussion of other cases:

#  $CY_3$  Duality twist  $N = (0, 4)$ :

$$c_R = 3C \cdot CN_c^2 + 3c_1(B) \cdot CN_c + 6, \quad c_L = 3C \cdot CN_c^2 + 6c_1(B) \cdot CN_c + 6$$

This is dual to M5-branes on elliptic surfaces in CY three-folds, i.e. MSW-string. Computation of elliptic genera see e.g. [Haghighat, Murthy, Vandoren, Vafa].

#  $CY_5$  Duality twist:

$$c_L = 3(g + h^0(C, N_{C/B_4}) - 1) + 9c_1(B_4) \cdot C$$

$$c_R = 3(g + c_1(B_4) \cdot C + h^0(C, N_{C/B_4}) - 1)$$

Application to 2d  $(0, 2)$  vacua from  $CY_5$  compactifications of F-theory [SSN, Weigand], [Apruzzi, Hassler, Heckman, Melnikov]. Tadpole cancellation requires D3-branes wrapped on curves in the class

$$C = \frac{1}{24} c_4(Y_5)|_{B_4}$$

## BPS-equations and Hitchin moduli space

For  $\tau$  constant,  $N = 4$  SYM on  $C \times \mathbb{R}^{1,1}$  with Vafa-Witten twist, gives rise to a sigma-model into the Hitchin moduli space, which for the abelian case is just flat connections [Bershadsky, Johansen, Sadvov, Vafa].

In all duality-twisted theories the BPS equations imply

$$\mathcal{F}_{\mathcal{A}} = \frac{1}{2} \left( \bar{\partial}_{\mathcal{A}}(\sqrt{\tau_2} a) - \partial_{\mathcal{A}}(\sqrt{\tau_2} \bar{a}) \right) = 0$$

where the internal components of the gauge field  $a, \bar{a}$  are

$$\sqrt{\tau_2} \bar{a} \in \Gamma(\Omega^{0,1}(C, \mathcal{L}_D^{-1}))$$

$$\sqrt{\tau_2} a \in \Gamma(\Omega^{0,0}(C, K_C \otimes \mathcal{L}_D))$$

In particular, for this abelian setup, the theory is a sigma-model into  $U(1)_D$ -twisted flat connections.  $\rightarrow$  duality twisted Hitchin moduli space

## Non-abelian Generalizations

We have seen: M5-brane point of view is useful in systematically assessing the contributions from singularities of  $\tau$ , i.e. 2d defects.

- # So far this was  $N = 4$  with  $U(1)$  gauge group. To non-abelianize, there is a conceptual problem: bonus  $U(1)_D$  is only known to exist in abelian  $N = 4$ , so the direct dimensional reduction from 4d is not really possible.
- # Start instead in 6d and reduce on an elliptic fibration: as shown in [Assel, SSN] this theory can be non-abelianized. E.g.  $C \subset K3^\tau$  get non-abelian version of the heterotic string.
- # M2-branes on  $C \times \mathbb{R}$  give rise to Super-QM: i.e. twisted version of the Bagger-Lambert-Gustavsson theory on  $C$ .

## Summary

- # M5 on an elliptic three-fold give rise to N=4 SYM with varying  $\tau$ , and a network of intersecting duality defects.
- # If  $\tau$  varies only over a curve, we get 2d  $(0, p)$  scfts from this, which correspond to N=4 on a curve with varying  $\tau$ . These correspond to M5-branes on elliptic surfaces. Example: MSW-string in CY3. These 2d  $(0, p)$  SCFTs have interesting "F-theory" AdS-duals, i.e. varying- $\tau$  IIB solutions [Haghighat, Murthy, Vafa, Vandoren] [Couzens, Martelli, SSN, Wong]

$$AdS_3 \times S^3 \times CY_3^\tau$$

Similarly:  $AdS_3$  solutions for 2d  $(0, 2)$  theories from CY4 in F-theory.