



# UCL



# ATLAS EXPERIMENT

## Search for $t\bar{t}H$ and $tH$ production (not including $H \rightarrow b\bar{b}$ ) at the LHC

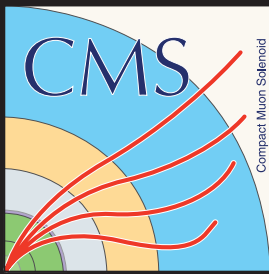
Josh McFayden

on behalf of the ATLAS  
and CMS collaborations



**Top2015**  
**Ischia**

17/09/2015

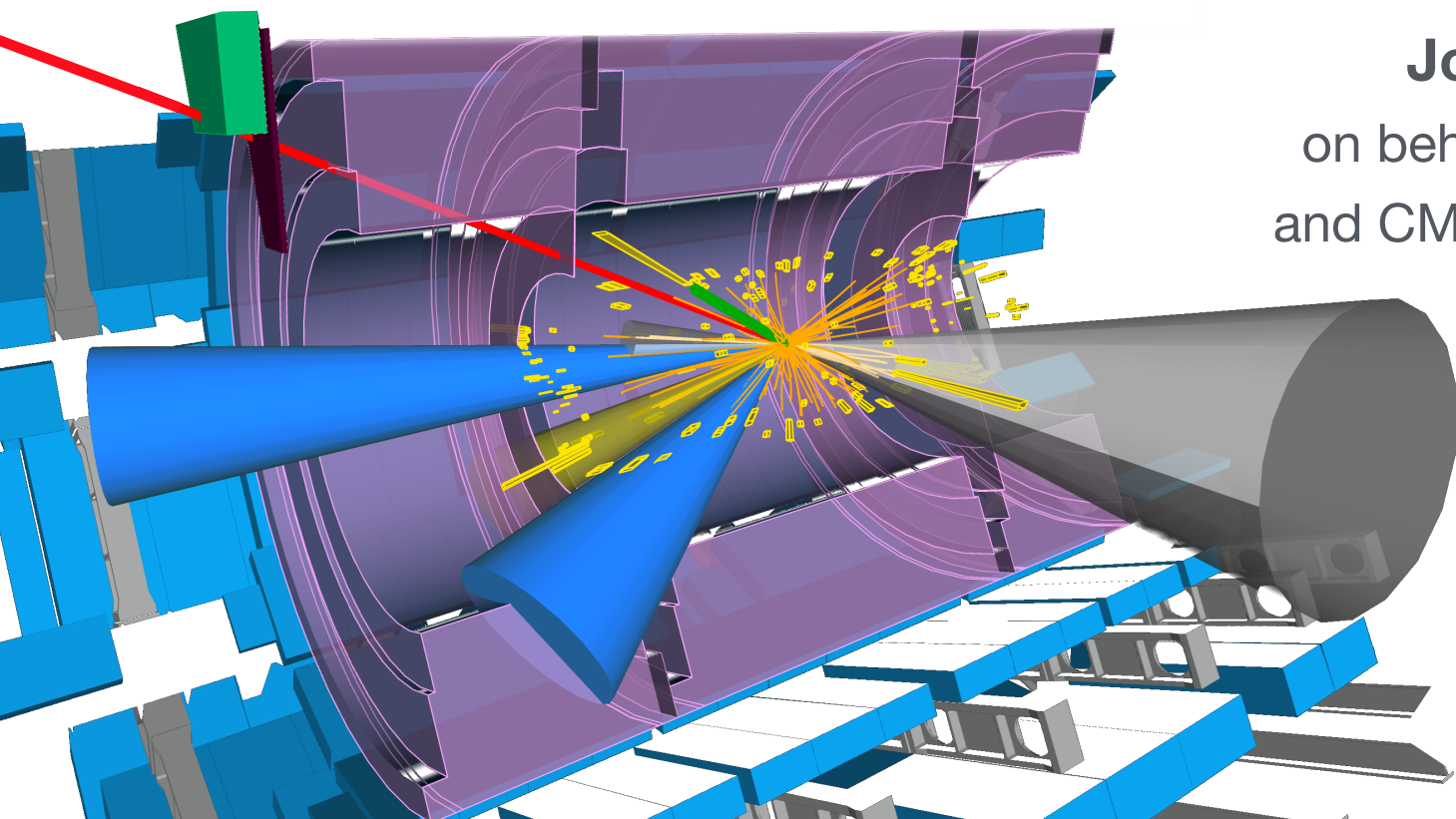


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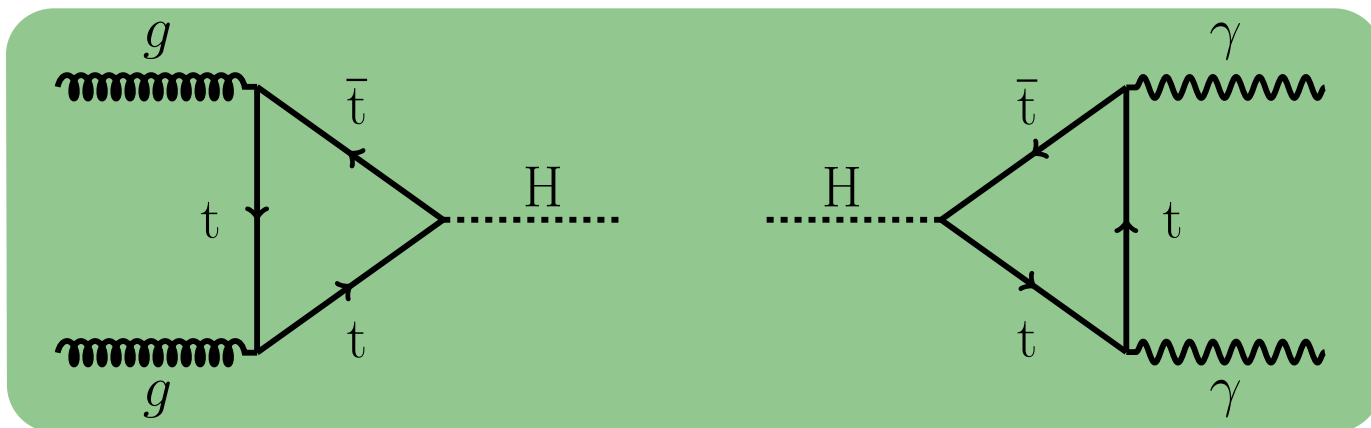


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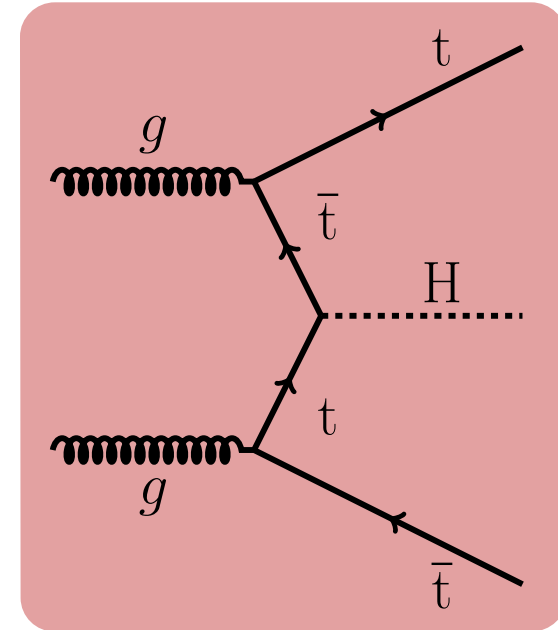
***Top2015***  
***Ischia***

17/09/2015

- ▶ Since the Higgs discoveries the focus of experiment has been to measure its properties including its coupling to SM particles.
  - ▶ Higgs observed decaying to  $H \rightarrow \gamma\gamma$ ,  $H \rightarrow ZZ^* \rightarrow 4\ell$ ,  $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ , evidence for  $H \rightarrow \tau\tau$ .
- ▶ The large mass of the top quark implies a top Yukawa coupling  $\sim 1$ .
- ▶ There is already sensitivity in to the top-Higgs coupling from ggF Higgs production and from the decay to photons via loop interactions:

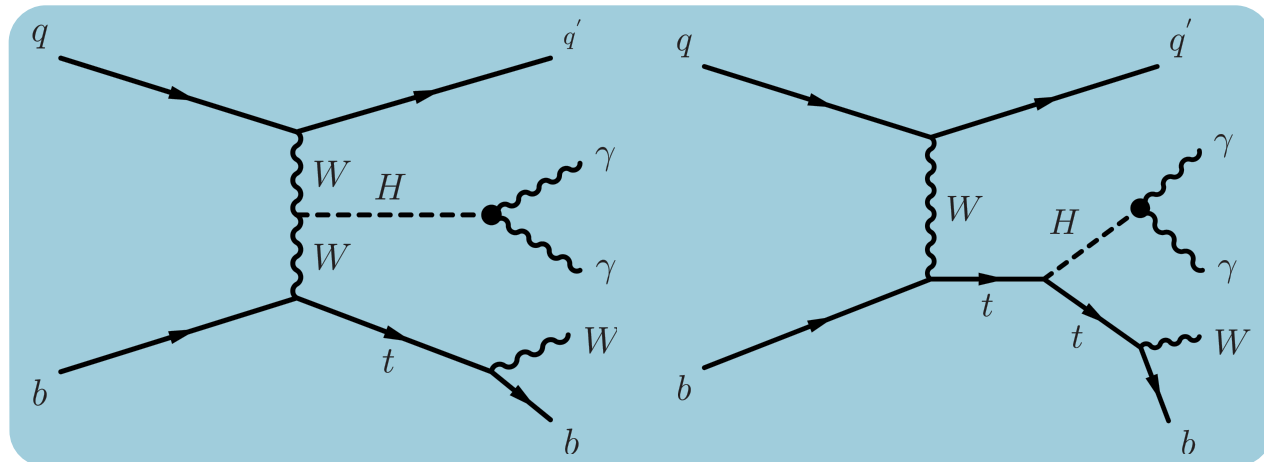


- ▶ Production in association with  **$t\bar{t}$**  would permit a direct measurement of the top-Higgs coupling
  - ▶ Removing possible BSM effects in the loops.

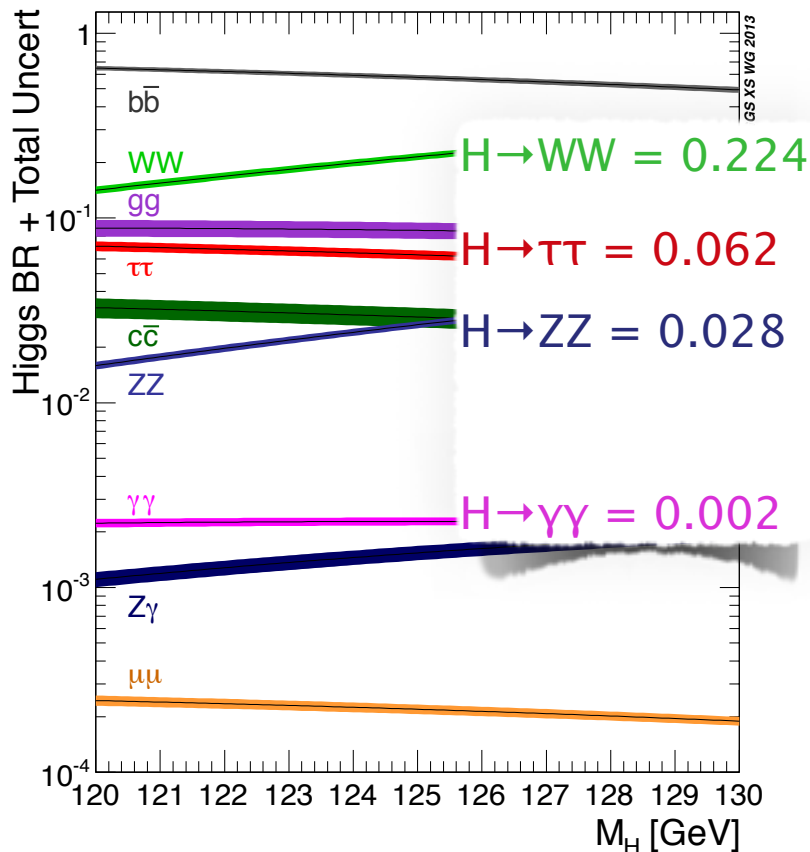


- ▶ Production in association with a **single top quark** is sensitive to  $W$ - $t$  interference and relative sign of the top-Higgs coupling

- ▶ Tree level interference in all channels and in  $H\gamma\gamma$  loop for  $H \rightarrow \gamma\gamma$

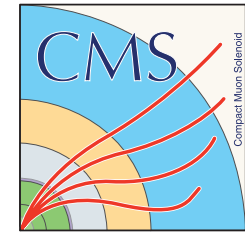


- ▶ ATLAS and CMS analyses searching for a Higgs produced in association with **top quark pairs (ttH)** and **single top quarks (tH)** are presented.
- ▶ This talk focuses on searches in decay modes not including  $H \rightarrow b\bar{b}$ 
  - ▶ In reality this means  $H \rightarrow \gamma\gamma$ ,  $H \rightarrow ZZ^*$ ,  $H \rightarrow WW^*$  and  $H \rightarrow \tau\tau$ .
  - ▶ Signatures are di-photons, same-sign (SS) di-lepton and multi-lepton final states



**t[t]H( $\rightarrow\gamma\gamma$ ):**  
Physics Letters B  
740 (2015)  
222-242

**t[t]H( $\rightarrow$ leptons):**  
Physics Letters B  
749 (2015)  
519-541



**ttH(H $\rightarrow$ all):**  
J. High Energy Phys.  
09 (2014) 087

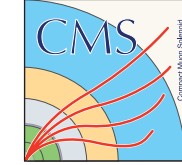
**tH( $\rightarrow\gamma\gamma$ ):**  
HIG-14-001

**ttH(H $\rightarrow$ leptons):**  
HIG-13-020

**tH( $\rightarrow$ leptons):**  
HIG-14-026

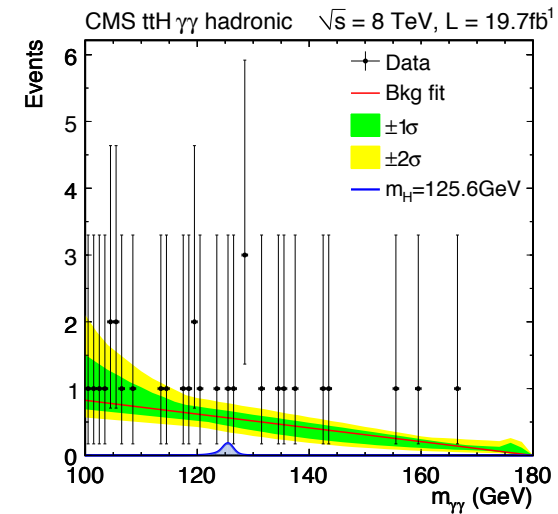
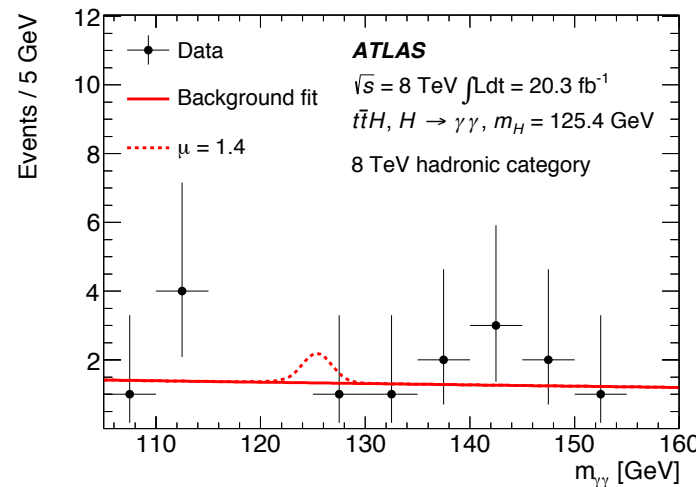
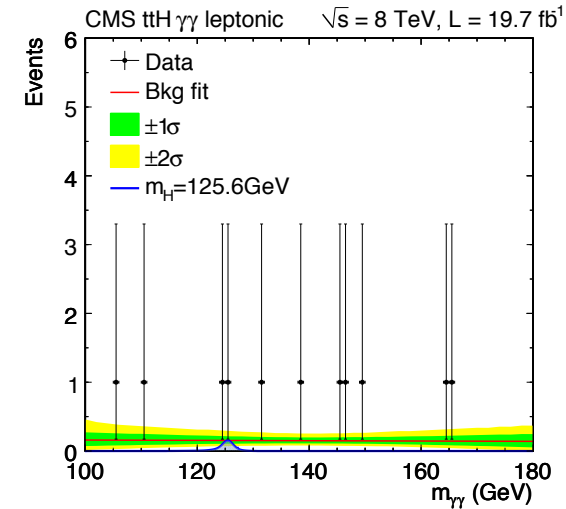
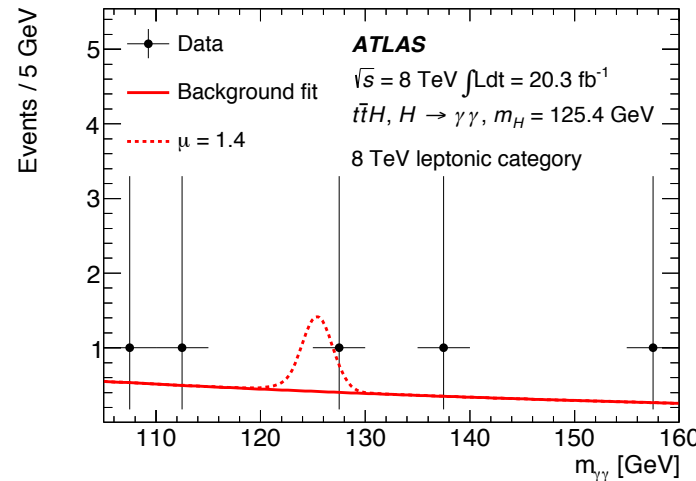
H → YY

- ▶ ATLAS and CMS use both  $\sqrt{s} = 7$  & 8 TeV datasets for this analysis.
  - ▶ ATLAS includes tH in the definition of signal whilst CMS has a separate analysis.
- ▶ Two signal regions defined by top decay modes
  - ▶ Leptonic and hadronic categories.
- ▶ Use excellent  $m_{\gamma\gamma}$  resolution to extract signal peak from falling continuum background.
  - ▶ Background estimated from data using  $m_{\gamma\gamma}$  sidebands.
- ▶ Signal strength,  $\mu$ , is extracted from fit to  $m_{\gamma\gamma}$  distribution
  - ▶ Higgs mass is fixed while  $\mu$  is allowed to float but is common across the different signal regions.



► Dominant systematic uncertainties are:

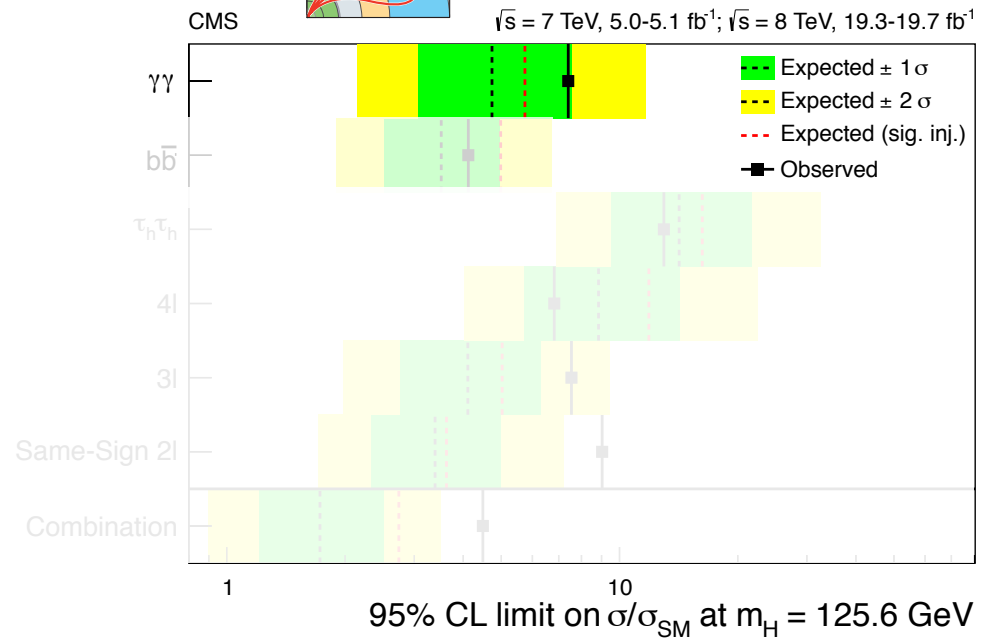
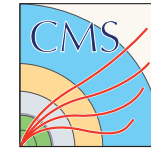
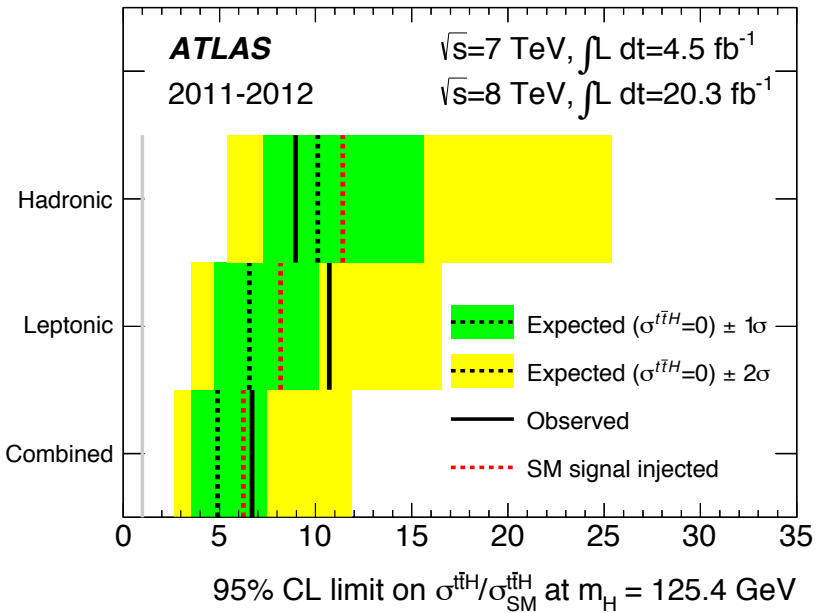
- Photon ID
- Background modelling
- Jet energy scale
- Theory & MC modelling



► Results are consistent with the SM expectation



- ▶ The  $H \rightarrow \gamma\gamma$  observed (exp.) **95%CL upper limits on  $\sigma/\sigma_{SM}$** :
  - ▶ ATLAS:  $6.7 (4.9^{+2.6}_{-1.4})$       CMS:  $7.4 (4.7^{+2.9}_{-1.6})$
- ▶ The  $H \rightarrow \gamma\gamma$  best-fit  **$ttH$  signal strengths**:
  - ▶ ATLAS:  $\mu_{ttH} = 1.3^{+2.6}_{-1.7}$       CMS:  $\mu_{ttH} = 2.7^{+2.6}_{-1.8}$

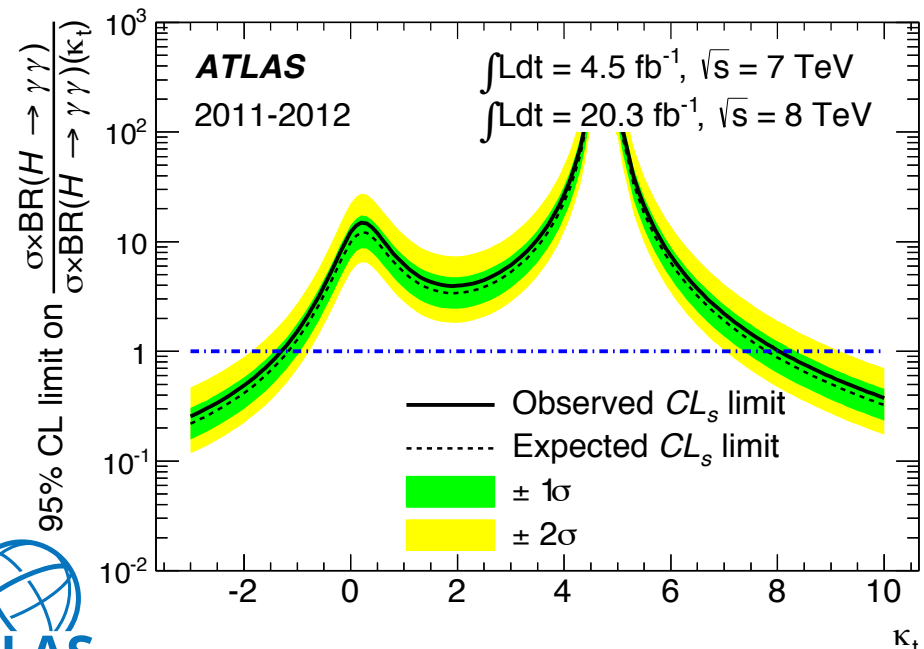
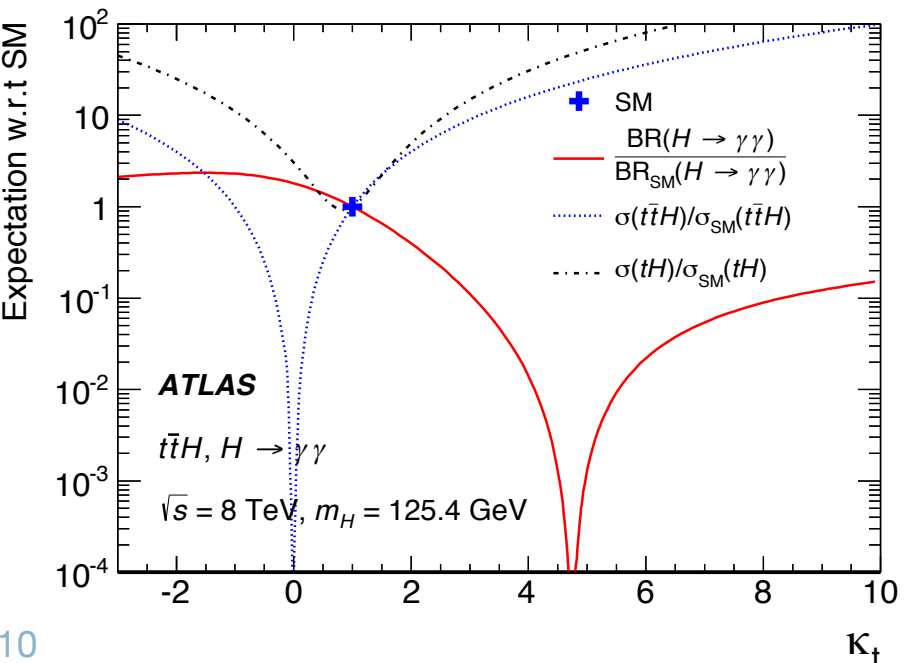
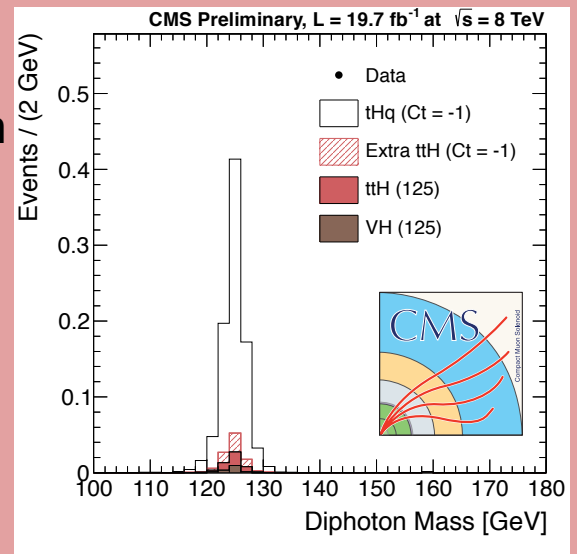


- ▶ Results can also be interpreted in terms of the scale of the top Yukawa coupling,  $\kappa_t$  or  $C_t$ .
- ▶ At the 95% CL ATLAS exclude  $\kappa_t < -1.3$  and  $\kappa_t > 8.0$ .
  - ▶ Limits constrain BSM models.

**tH Results:**

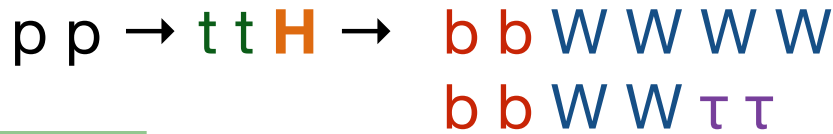
- ATLAS result included in  $t\bar{t}H$  search
- CMS dedicated search for  $tHq$ . No events observed:

**95%CL upper limit on  $\sigma/\sigma_{SM}$  of 4.1 for inverted coupling ( $C_t=-1$ ).**



# H → leptons

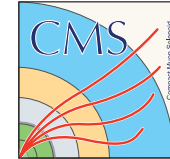
- Final state considered is the following:



$$\ell = e, \mu$$

| ATLAS signal category by Higgs decay mode (CMS ~same) | Category | WW* | $\tau\tau$ | ZZ* |
|---|----------|-----|------------|-----|
| $2\ell 0\tau_{had}$                                   |          | 80% | 15%        | 3%  |
| $3\ell$   |          | 74% | 15%        | 7%  |
| $2\ell 1\tau_{had}$                                   |          | 35% | 62%        | 2%  |
| $4\ell$   |          | 69% | 14%        | 14% |
| $1\ell 2\tau_{had}$                                   |          | 4%  | 93%        | 0%  |

- Signal regions selection:



- $2\ell$  SS  $0\tau_{had}$
- $2\ell$  SS  $1\tau_{had}$
- $3\ell$
- $4\ell$
- $2\tau_{had}$

|   |   |
|---|---|
| $\geq 4j, \geq 1b$                            | $\geq 4j, \geq 1b_{tight} \parallel 2b_{loose}$ |
| $\geq 4j, \geq 1b$                            |   |
| $\geq 4j, \geq 1b \parallel \geq 3j, \geq 2b$ | $\geq 2j, \geq 1b_{tight} \parallel 2b_{loose}$ |
| $\geq 2j, \geq 1b, Z_{enriched/deprived}$     | $N_{jets}$                                      |
| $1\ell, \geq 3j, \geq 1b$                     | Within $H \rightarrow bb$ framework             |

- Common selection:

- $m(\ell\ell)$  cuts
- $E_T^{miss}/H_T$

veto for low mass resonances & Z candidates

-

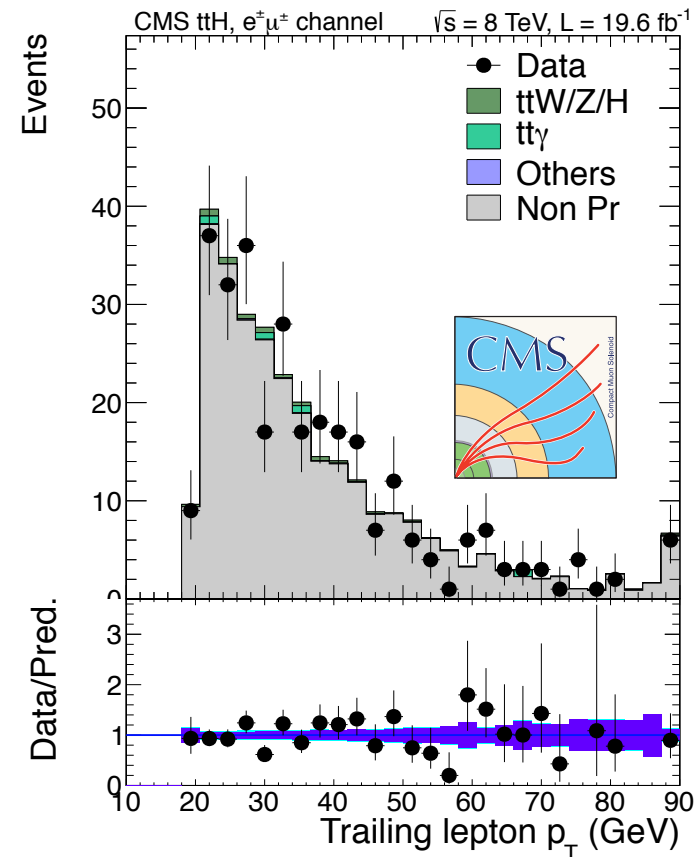
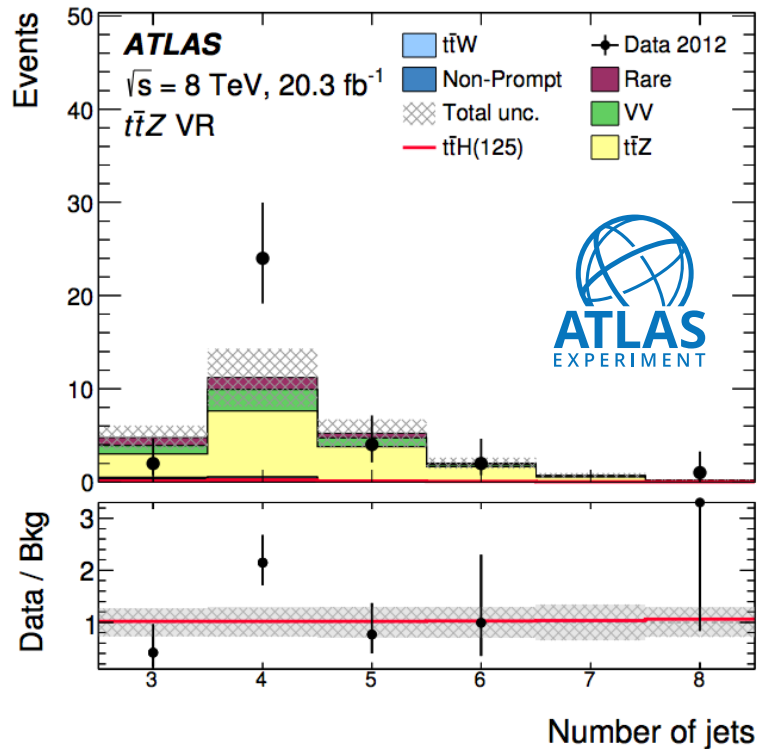
$L_D$  cut to remove Z+jets

- Fit strategy:

Yield in SRs  
( $2\ell 0\tau_{had}$  split SRs by  $N_{jets}$  &  $\ell$ -flav)

Shape: BDT bins &  $N_{jets}$   
( $N_{jets}$  is used in  $4\ell$ -only)

- ▶ Dominant backgrounds can be split into two categories
  - ▶ **Irreducible:** ttV, diboson(+bjet)
    - ▶ Use validation regions to validate MC with data
  - ▶ **Reducible:** non-prompt leptons
    - ▶ Use control regions with loosened isolation requirements to extrapolate to signal region



- ▶ Dominant systematic uncertainties:
  - ▶ Non-prompt lepton background estimation
  - ▶ Theory & MC modelling
  - ▶ Jet energy scale

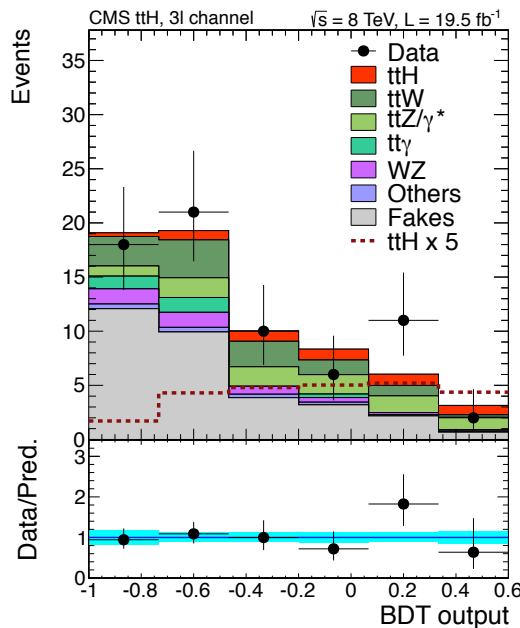
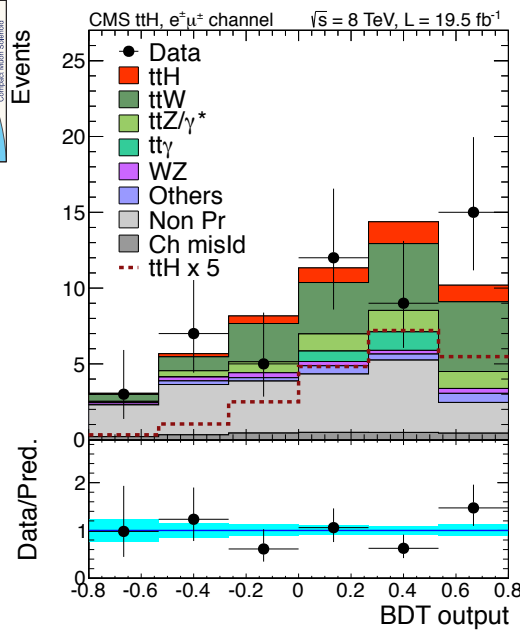
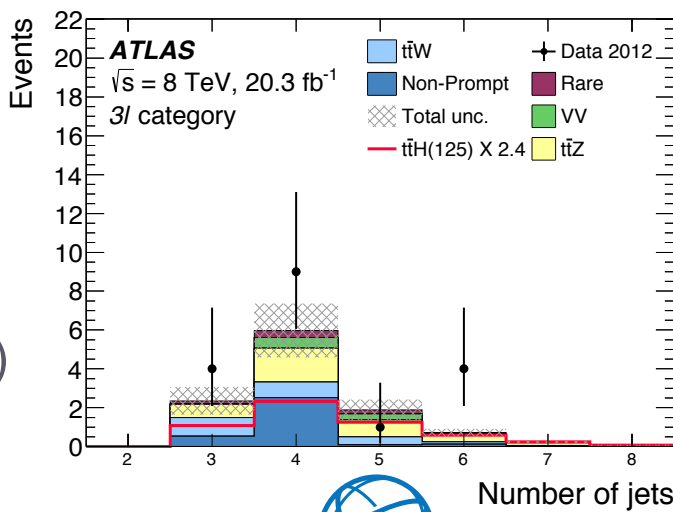
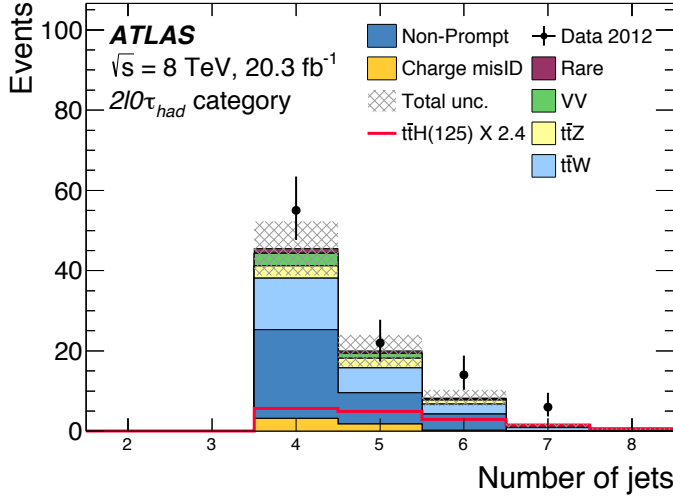
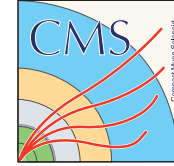
- ▶ Signal strength extraction from fit to:



11 signal region yields



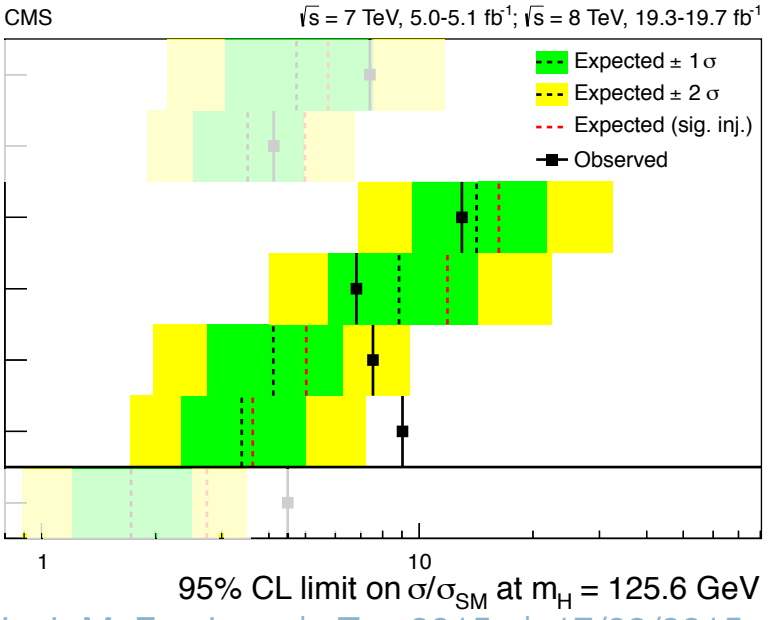
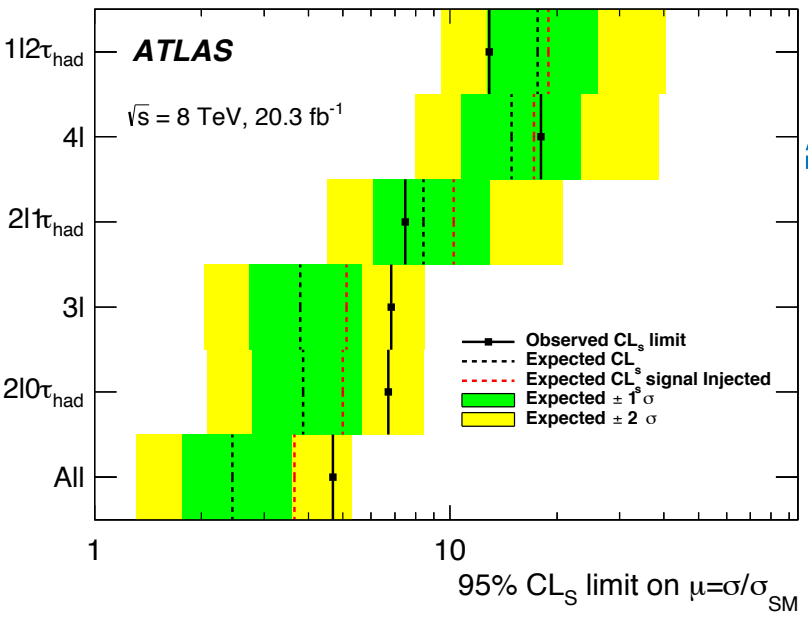
Shape of 4 BDT & 1  $N_{jet}$  distribution(s)



- ▶ The results are compatible with the SM expectation, although an excess is observed by both experiments.
- ▶ 2 $\ell$  SS and 3 $\ell$  categories are the most sensitive

▶ The **H → leptons** observed (exp.) **95%CL upper limits on  $\sigma/\sigma_{SM}$** :

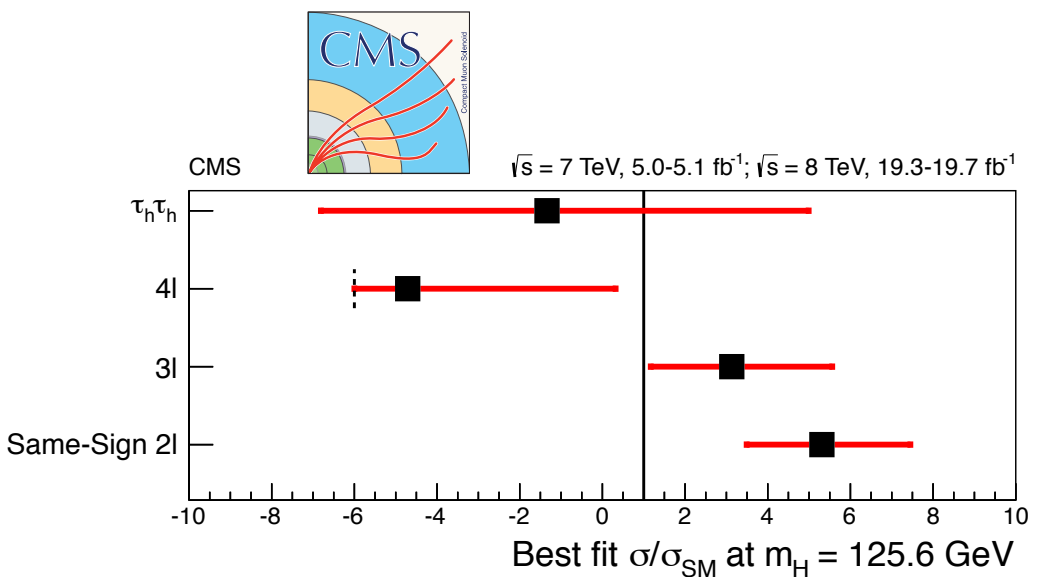
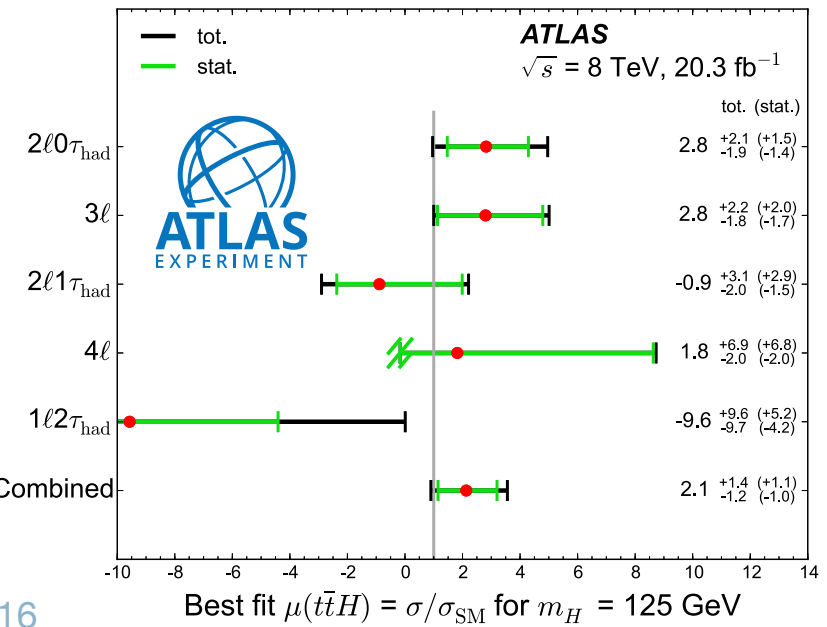
|   |  |
|---|--|
| ATLAS - 4 $\ell$ : 18 ( $15^{+8}_{-4}$ )              | CMS - 4 $\ell$ : 6.8 ( $8.8^{+1.2}_{-5.5}$ ) |
| 3 $\ell$ : 6.8 ( $3.8^{+1.9}_{-1.1}$ )                | 3 $\ell$ : 7.5 ( $4.1^{+2.2}_{-1.3}$ )       |
| 2 $\ell$ 0 $\tau_{had}$ : 6.7 ( $3.9^{+1.8}_{-1.1}$ ) | 2 $\ell$ : 9.0 ( $3.4^{+1.6}_{-1.1}$ )       |



- ▶ The results are compatible with the SM expectation, although an excess is observed by both experiments.
- ▶ 2ℓ SS and 3ℓ categories are the most sensitive.

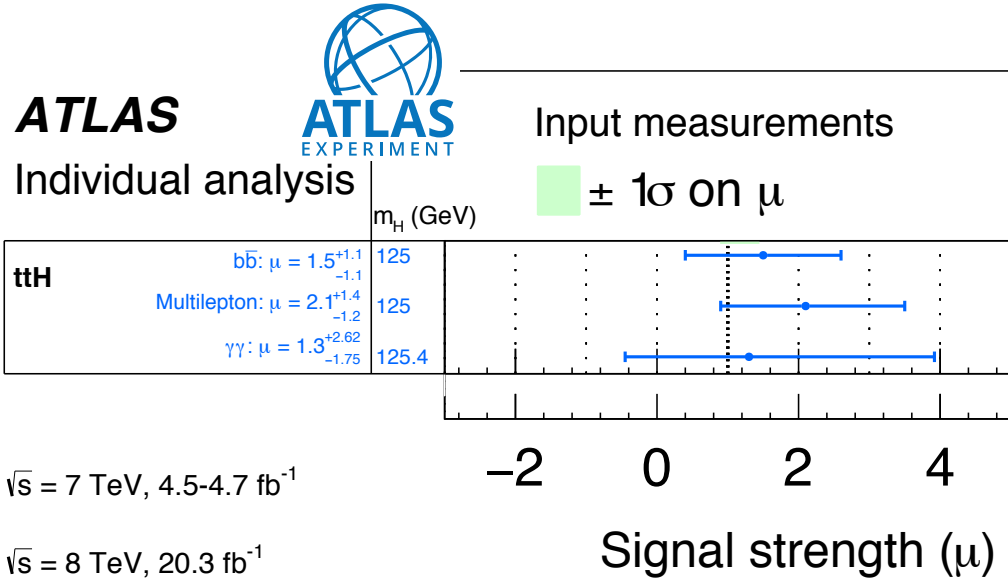
▶ The **H → leptons** observed **best-fit signal strengths**:

|   |  |
|---|--|
| ATLAS - 4ℓ: $1.8^{+6.9}_{-2.0}$<br>3ℓ: $2.8^{+2.2}_{-1.8}$<br>2ℓ0τ <sub>had</sub> : $2.8^{+2.1}_{-1.9}$ | CMS - 4ℓ: $-4.7^{+5.0}_{-1.3}$<br>3ℓ: $3.1^{+2.4}_{-2.0}$<br>2ℓ: $5.3^{+2.1}_{-1.8}$ |
|---|--|



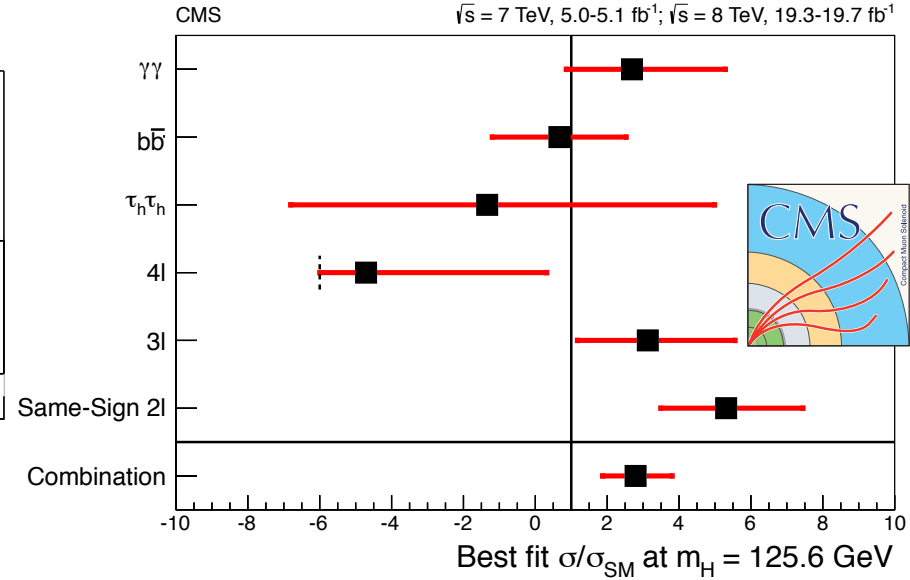
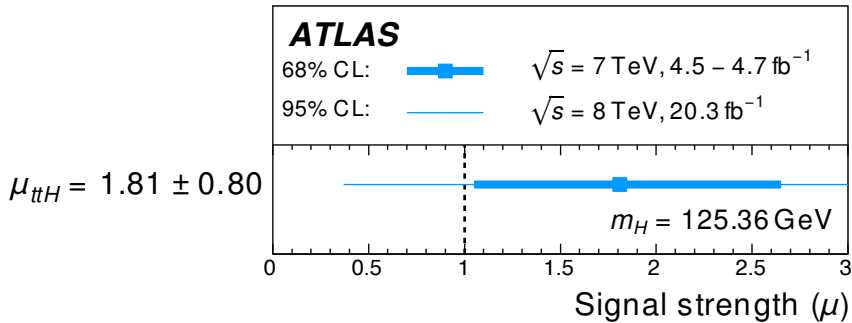


- ▶ The **combined** observed (exp.) **95%CL upper limits on  $\sigma/\sigma_{SM}$** :  
 ATLAS: 3.2 (1.4)                      CMS: 4.5 ( $1.7^{+0.8}_{-0.5}$ )
- ▶ The **combined** observed **best-fit signal strengths**:  
 ATLAS:  $\mu = 1.81^{+0.8}_{-0.8}$                       CMS:  $\mu = 2.90^{+1.08}_{-0.94}$



$\sqrt{s} = 7$  TeV, 4.5-4.7  $\text{fb}^{-1}$

$\sqrt{s} = 8$  TeV, 20.3  $\text{fb}^{-1}$

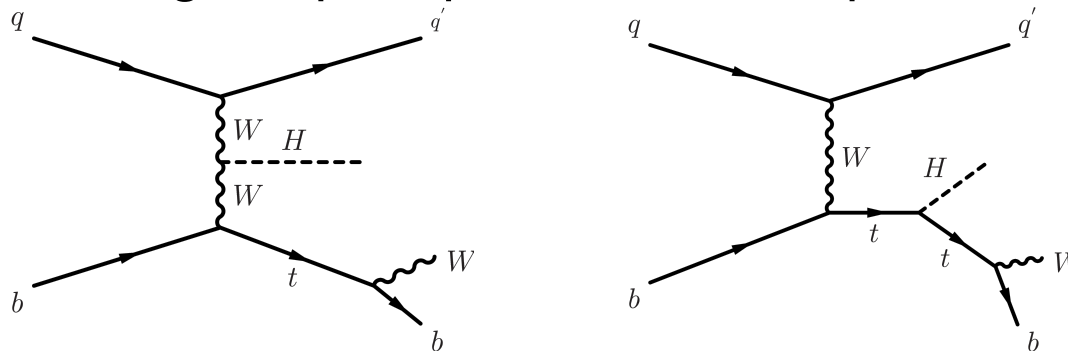


HIG-14-009

Parameter value



- ▶ Search for single top+H production in leptonic channels



- ▶ Focus on the  $H \rightarrow WW^*$  and  $H \rightarrow \tau\tau$  decay modes.

- ▶ 2 $\ell$  SS ( $e^\pm\mu^\pm$  and  $\mu^\pm\mu^\pm$ ) and 3 $\ell$  selections

- ▶ Several discriminating kinematic variables are combined into a single likelihood discriminant for each channel.

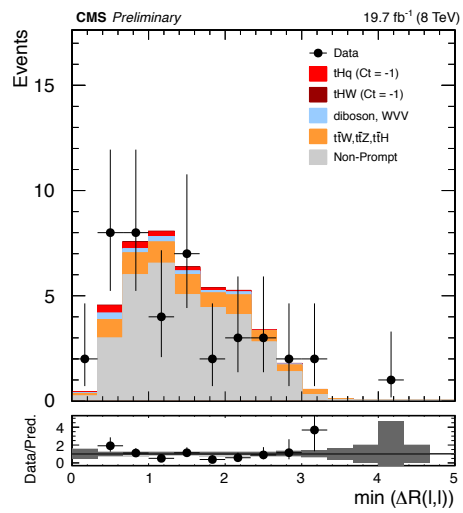
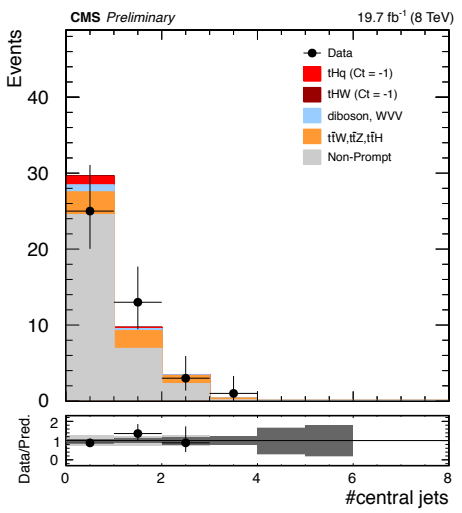
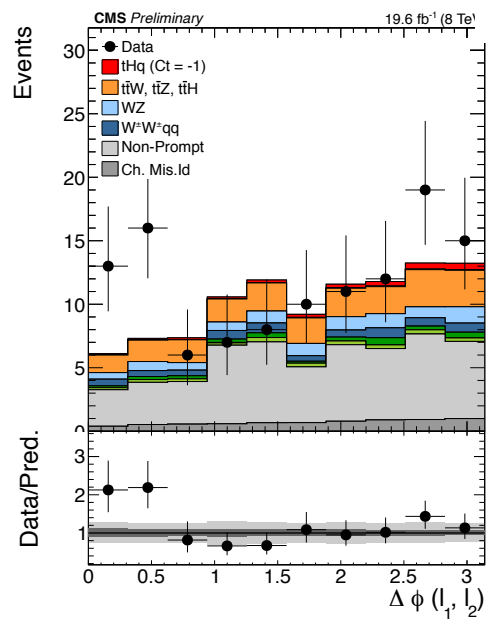
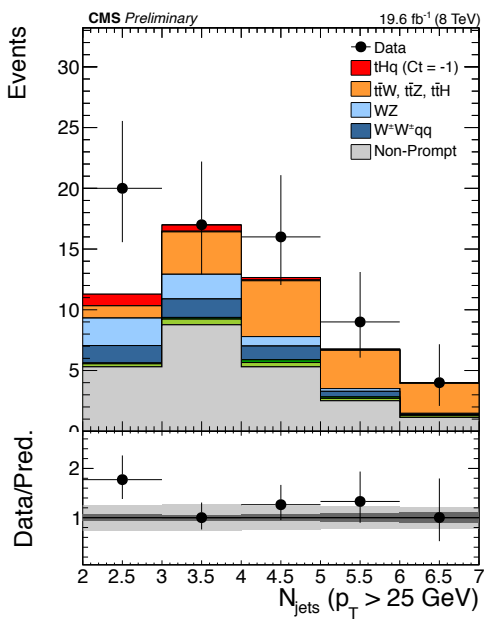
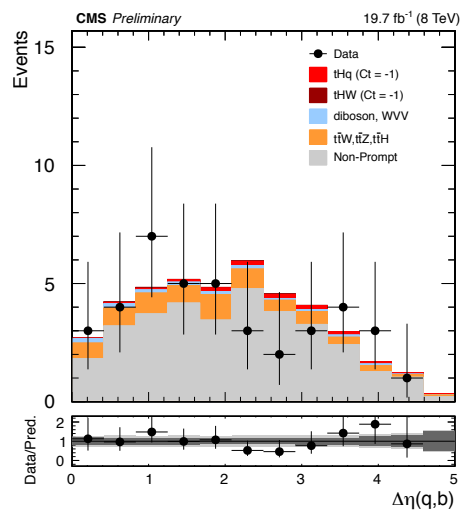
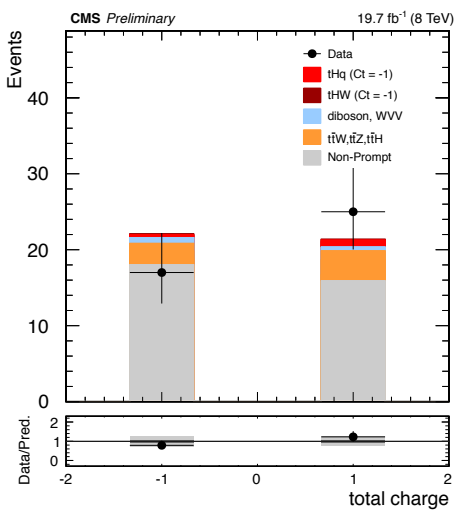
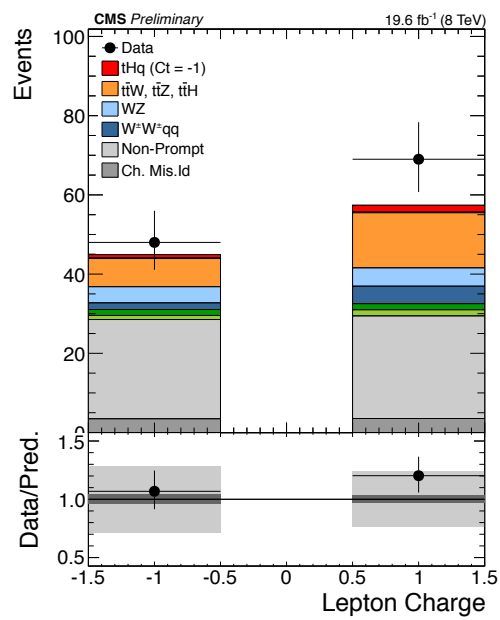
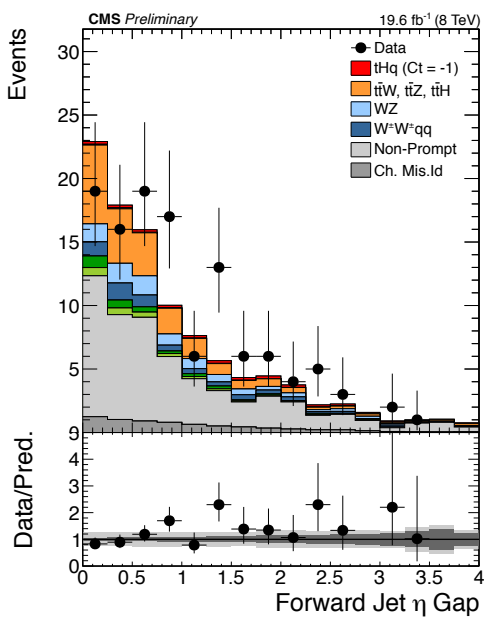
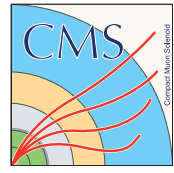
- ▶ The dominant backgrounds:

- ▶ Non-prompt leptons

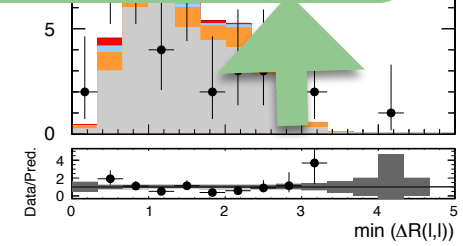
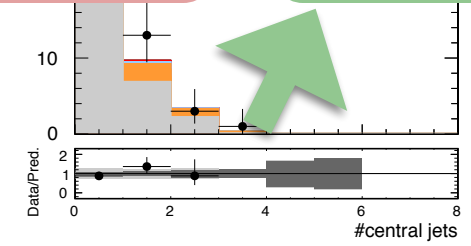
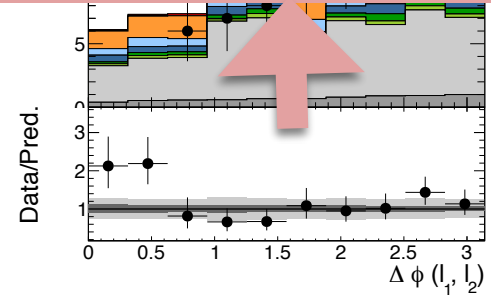
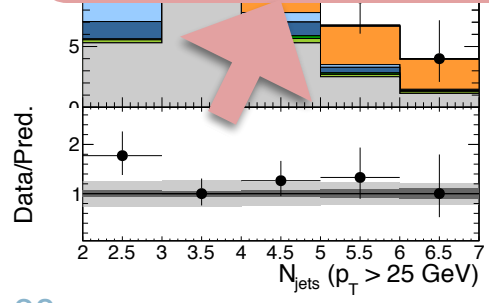
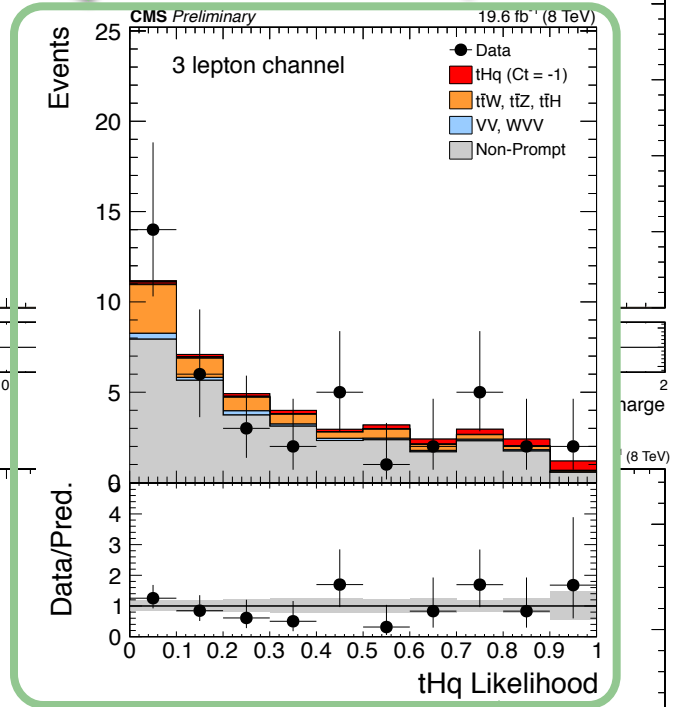
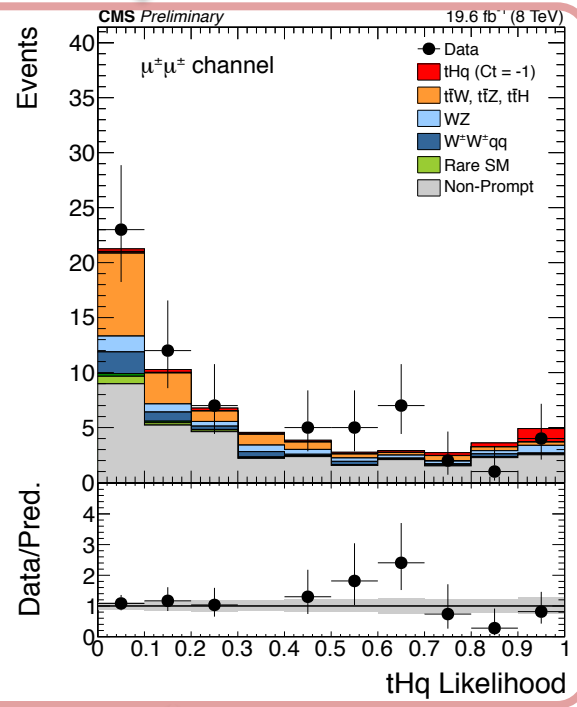
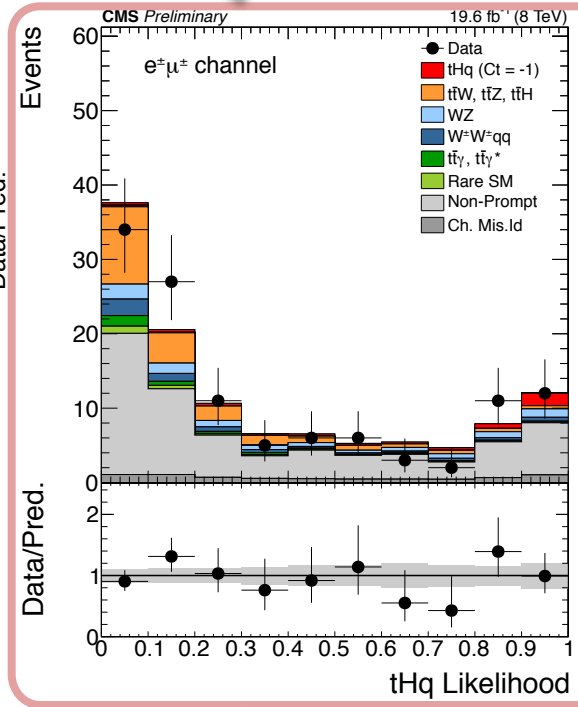
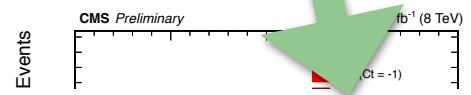
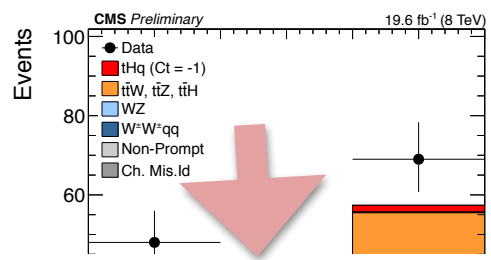
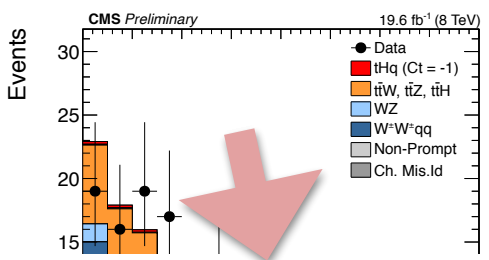
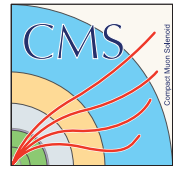
- ▶ Control regions defined by looser lepton selections then used to extract extrapolation factors back to signal regions

- ▶ ttW

- ▶ Estimated purely from MC.

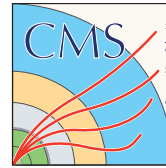


# tH → leptons | Likelihood discriminant

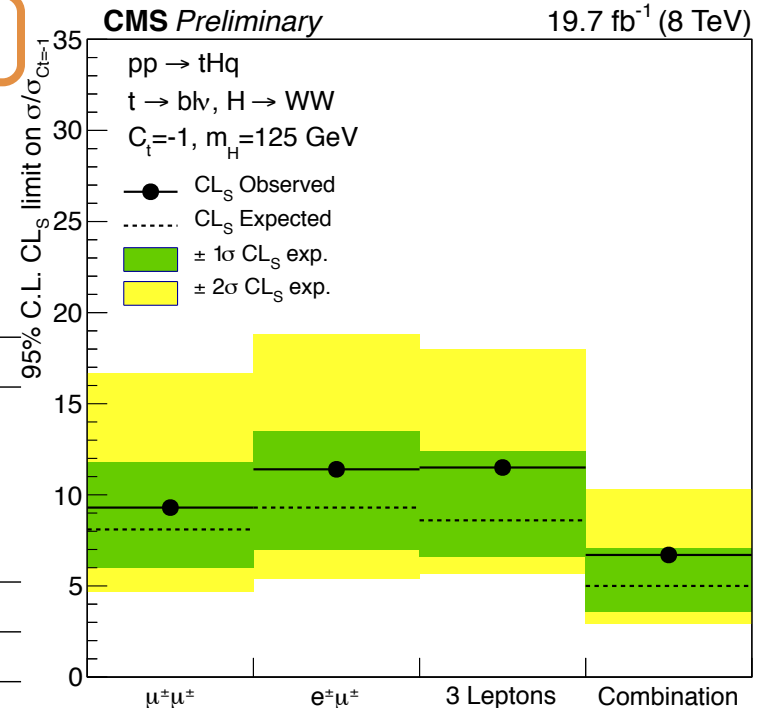


- ▶ The results are compatible with the SM expectation.
- ▶ The dominant systematic uncertainty comes from the estimation of the non-prompt lepton backgrounds.
- ▶ A simultaneous fit to the likelihood discriminators is used to extract the signal cross section upper limit.
- ▶ Combined **95% CL upper limit assuming  $C_t = -1$  on  $\sigma/\sigma_{SM}$ :**

observed: 6.7, expected:  $5.0^{+2.1}_{-1.4}$



| Total Background    | $60.07 \pm 8.95$                  | $112.13 \pm 13.53$                | $39.50 \pm 6.55$                  |
|---------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| tH( $\tau\tau$ )W   | $0.10 \pm 0.12$                   | $0.13 \pm 0.14$                   | $0.12 \pm 0.12$                   |
| tH(WW)W             | $0.28 \pm 0.29$                   | $0.47 \pm 0.48$                   | $0.35 \pm 0.35$                   |
| tH( $\tau\tau$ )q   | $0.59 \pm 0.61$                   | $0.90 \pm 0.91$                   | $0.56 \pm 0.58$                   |
| tH(WW)q             | $2.55 \pm 2.62$                   | $3.73 \pm 3.84$                   | $1.73 \pm 1.80$                   |
| <b>Total Signal</b> | <b><math>3.53 \pm 2.71</math></b> | <b><math>5.22 \pm 3.98</math></b> | <b><math>2.76 \pm 1.93</math></b> |
| <b>Data</b>         | <b>66</b>                         | <b>117</b>                        | <b>42</b>                         |



▶ Recently ATLAS & CMS published the Run-1 combined measurements of the Higgs production and decay rates and constraints on its couplings.

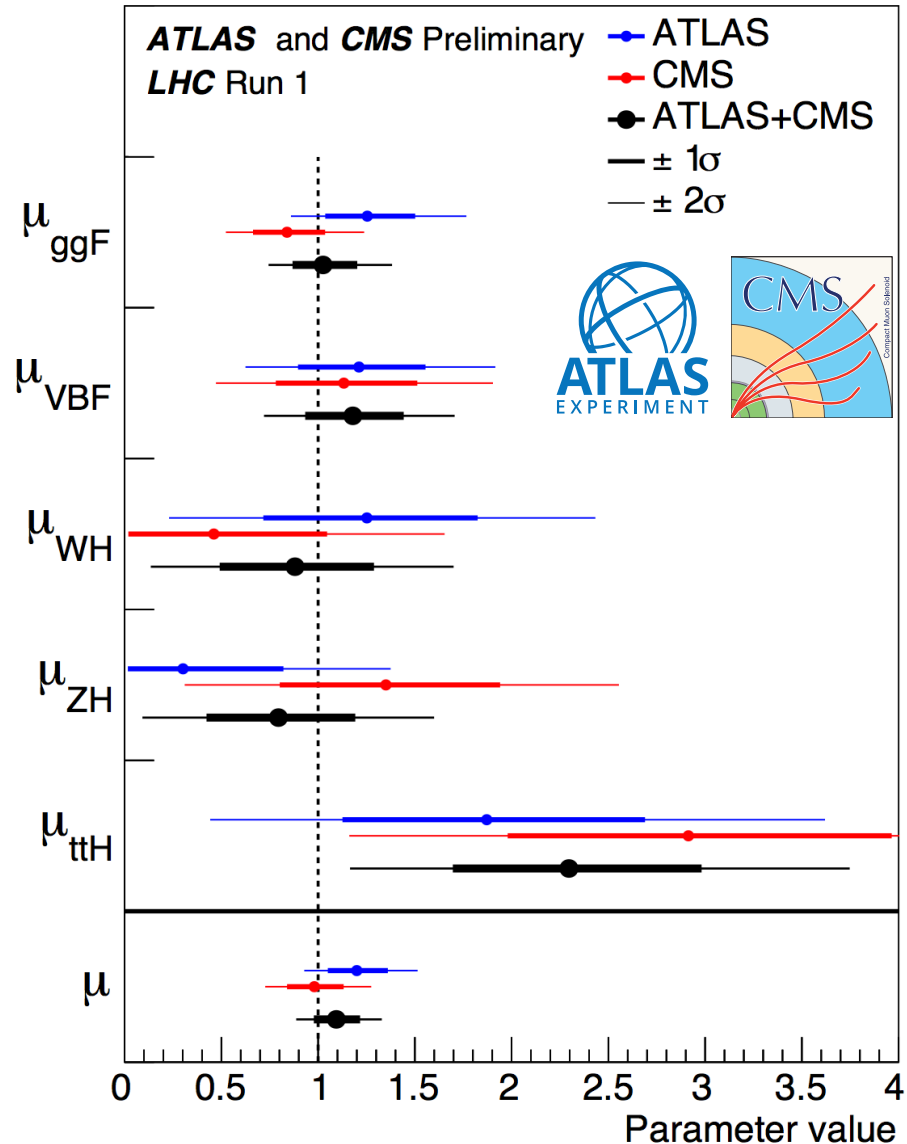
▶ This includes the combined value for the ttH signal strength:

▶  $\mu_{ttH} = 2.3^{+0.7}_{-0.6}$

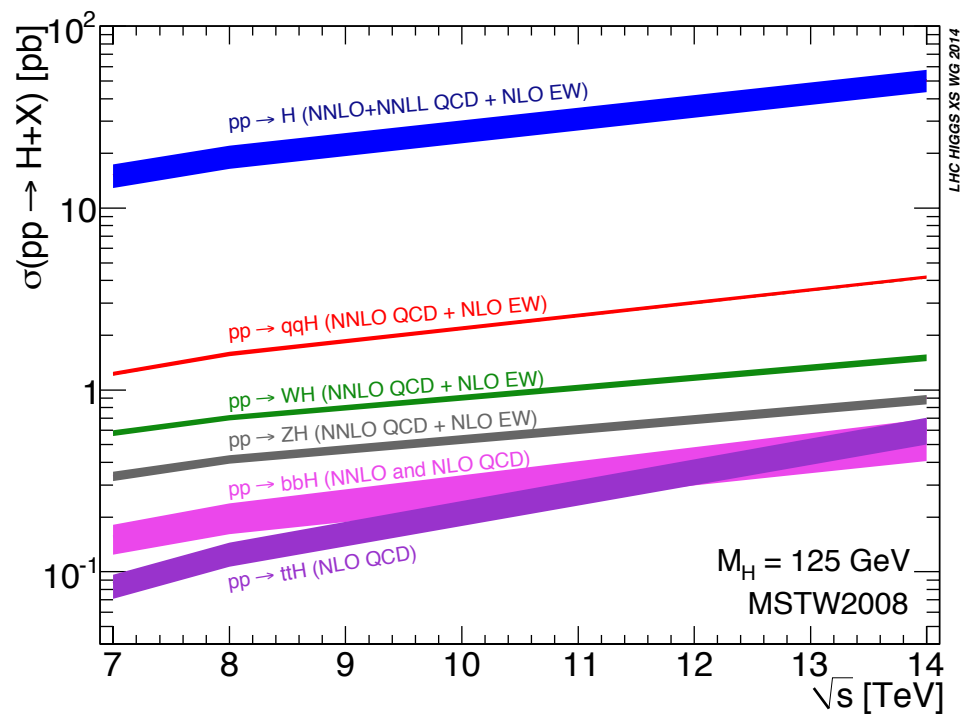
▶ Significance:  $4.4\sigma$  ( $2.0\sigma$  expected)

▶ Total combined signal strength:

▶  $\mu = 1.09^{+0.11}_{-0.10}$



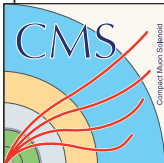
- ▶ First searches for the production of a Higgs boson in association with top(s) has been performed during Run-1
  - ▶ These have allowed direct measurement of magnitude and sign of top Yukawa coupling, a key parameter of EWSB in SM
- ▶ The prospects for  $t[t]H$  measurements are good in Run-2.
  - ▶ Improvement upon on Run-1 sensitivity should be fast.
  - ▶ At 13 TeV the  $ttH$  cross section is a factor of 4 larger than in Run-1.
  - ▶ Greater precision for top Yukawa measurement.



# Back-ups



|                              |   |          |   |
|------------------------------|---|----------|---|
| <b>H → Photons</b><br>H → γγ | Leptonic<br>(tt̄H → ℓνjjbbγγ,<br>tt̄H → ℓνℓνbbγγ) | Diphoton | 2 γ, p <sub>T</sub> > m <sub>γγ</sub> /2 (25) GeV for 1 <sup>st</sup> (2 <sup>nd</sup> )<br>≥1 e/μ, p <sub>T</sub> > 20 GeV<br>≥2 jets + ≥1 b-tags, p <sub>T</sub> > 25 GeV |
|                              | Hadronic<br>(tt̄H → jjjjbbγγ)                     | Diphoton | 2 γ, p <sub>T</sub> > m <sub>γγ</sub> /2 (25) GeV for 1 <sup>st</sup> (2 <sup>nd</sup> )<br>0 e/μ, p <sub>T</sub> > 20 GeV<br>≥4 jets + ≥1 b-tags, p <sub>T</sub> > 25 GeV  |



## ▶ Objects:

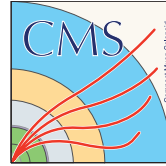
- ▶ **γ**: ET > 0.35, 0.25 × m<sub>γγ</sub>, |η| < 2.37 (excl. transition region), 105 < m<sub>γγ</sub> < 160
- ▶ **e**: ET > 15 GeV, |η| < 2.37, [E,p]Tcone/pT < 20%, 15% E<sub>T</sub>(e)
- ▶ **μ**: pT > 10, |η| < 2.7 [E,p]Tcone/pT < 20%, 15% pT(μ)
- ▶ **jets**: pT > 25 GeV and |η| < 2.5, btagging (8TeV): 60%, 70%, 80% working points:
- ▶ **Leptonic**: ≥1 ℓ, ≥1 bj(80%), ETmiss > 20 GeV, Z-veto: 84 < m<sub>eγ</sub> < 94 GeV
- ▶ **Hadronic**: ≥6j ≥2bj(80%), ≥5j(30 GeV) ≥2bj(70%), ≥6j(30 GeV) ≥1bj(60%)



- ▶ The ttH signal is modelled using the PYTHIA6.
- ▶ The background processes ttW, ttZ, tt+jets, Drell–Yan+jets, W+jets, ZZ+jets, WW+jets, and WZ+jets are all generated with the MADGRAPH.
- ▶ The rare WWZ, WWW, tt +  $\gamma$ +jets, and ttWW processes are generated similarly.
- ▶ Single top is generated with POWHEG.



- ▶ ttH: "PowHel"+Pythia8
- ▶ tHqb: MadGraph+Pythia8 (4-FS)
- ▶ WtH: MadGraph5\_aMC@NLO+Herwig++ (5-FS)
- ▶ ggH and VBF: Powheg+Pythia8
- ▶ VH: Pythia8



|         | 7 TeV      | 8 TeV            |                  |
|---------|------------|------------------|------------------|
|         | All decays | Hadronic channel | Leptonic channel |
| tt̄H    | 0.21       | 0.51             | 0.45             |
| gg → H  | 0.01       | 0.02             | 0                |
| VBF H   | 0          | 0                | 0                |
| WH/ZH   | 0.01       | 0.01             | 0.01             |
| Total H | 0.23       | 0.54             | 0.46             |
| Data    | 9          | 32               | 11               |

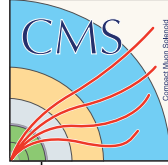


120 < m<sub>γγ</sub> < 130 GeV:

| Category                 | N <sub>H</sub> | ggF  | VBF | WH   | ZH  | tt̄H | tHqb | WtH | N <sub>B</sub>                      |
|--------------------------|----------------|------|-----|------|-----|------|------|-----|-------------------------------------|
| 7 TeV leptonic selection | 0.10           | 0.6  | 0.1 | 14.9 | 4.0 | 72.6 | 5.3  | 2.5 | 0.5 <sup>+0.5</sup> <sub>-0.3</sub> |
| 7 TeV hadronic selection | 0.07           | 10.5 | 1.3 | 1.3  | 1.4 | 80.9 | 2.6  | 1.9 | 0.5 <sup>+0.5</sup> <sub>-0.3</sub> |
| 8 TeV leptonic selection | 0.58           | 1.0  | 0.2 | 8.1  | 2.3 | 80.3 | 5.6  | 2.6 | 0.9 <sup>+0.6</sup> <sub>-0.4</sub> |
| 8 TeV hadronic selection | 0.49           | 7.3  | 1.0 | 0.7  | 1.3 | 84.2 | 3.4  | 2.1 | 2.7 <sup>+0.9</sup> <sub>-0.7</sub> |

|   | tt̄H [%]  |           | tHqb [%]   |      | WtH [%]  |      | ggF [%]  | WH [%]     |
|---|-----------|-----------|--|------|----------|------|----------|------------|
|   | had.      | lep.      | had.   | lep. | had.     | lep. | had.     | lep.       |
| Luminosity                              | ±2.8      |           |  |      |          |      |          |            |
| Photons                                 | ±5.6      | ±5.5      | ±5.6   | ±5.5 | ±5.6     | ±5.5 | ±5.6     | ±5.5       |
| Leptons                                 | < 0.1     | ±0.7      | < 0.1  | ±0.6 | < 0.1    | ±0.6 | < 0.1    | ±0.7       |
| Jets and E <sub>T</sub> <sup>miss</sup> | ±7.4      | ±0.7      | ±16  | ±1.9 | ±11      | ±2.1 | ±29      | ±10        |
| Bkg. modeling                           | 0.24 evt. | 0.16 evt. | applied on the sum of all Higgs boson production processes |      |          |      |          |            |
| Theory (σ × BR)                         | +10, -13  |           | +7, -6   |      | +14, -12 |      | +11, -11 | +5.5, -5.4 |
| MC modeling                             | ±11       | ±3.3      | ±12  | ±4.4 | ±12      | ±4.6 | ±130     | ±100       |

|  |  |                          |   |
|--|--|--------------------------|---|
| <b>H → Leptons</b><br>H → WW<br>H → ττ<br>H → ZZ | Same-Sign Dilepton<br>(ttH → ℓ <sup>±</sup> νℓ <sup>±</sup> [ν]jjj[j]bb) | Dilepton                 | 2 e/μ, p <sub>T</sub> > 20 GeV<br>≥4 jets + ≥1 b-tags, p <sub>T</sub> > 25 GeV  |
|  | 3 Lepton<br>(ttH → ℓνℓ[ν]ℓ[ν]j[j]bb)                                     | Dilepton,<br>Trielectron | 1 e/μ, p <sub>T</sub> > 20 GeV<br>1 e/μ, p <sub>T</sub> > 10 GeV<br>1 e(μ), p <sub>T</sub> > 7(5) GeV<br>≥2 jets + ≥1 b-tags, p <sub>T</sub> > 25 GeV |
|  | 4 Lepton<br>(ttH → ℓνℓνℓ[ν]ℓ[ν]bb)                                       | Dilepton,<br>Trielectron | 1 e/μ, p <sub>T</sub> > 20 GeV<br>1 e/μ, p <sub>T</sub> > 10 GeV<br>2 e(μ), p <sub>T</sub> > 7(5) GeV<br>≥2 jets + ≥1 b-tags, p <sub>T</sub> > 25 GeV |



▶ CMS b-tagging: **medium**:70% eff, 2% mistag, **loose**:85% eff, 10% mistag

## ▶ ATLAS:

- ▶ jets: p<sub>T</sub> > 25 GeV and |η| < 2.5, b-tagging: eff 70%, 1% mistag
- ▶ p<sub>T</sub>(ℓ) > 10 GeV
- ▶ τ<sub>had</sub> p<sub>T</sub> > 25 GeV and |η| < 2.47
- ▶ **2ℓ0τ<sub>had</sub>**: p<sub>T</sub>(ℓ) > 25, 20 GeV, [E, p<sub>T</sub>]<sup>cone</sup> / p<sub>T</sub> < 0.05, |η(e)| < 1.37
- ▶ **3ℓ**: Σq(ℓ) = ±1, p<sub>T</sub>(ℓ) > 20 GeV (same charge ℓ)
- ▶ **2ℓ1τ<sub>had</sub>**: p<sub>T</sub>(ℓ) > 25, 15 GeV, τ<sub>had</sub> opposite charge to ℓ's
- ▶ **1ℓ2τ<sub>had</sub>**: p<sub>T</sub>(ℓ) > 25, 15 GeV, τ<sub>had</sub> opposite charge to ℓ's
- ▶ **4ℓ**: Σq(ℓ) = 0, p<sub>T</sub>(ℓ) > 25, 15 GeV, 100 < m<sub>4ℓ</sub> < 500 GeV, Z<sub>enriched/depleted</sub> ℓℓ = SFOS



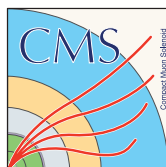
| Category                   | $q$ mis-id      | Non-prompt          | $t\bar{t}W$                 | $t\bar{t}Z$       | Diboson                     | Expected bkg.     | $t\bar{t}H (\mu = 1)$ | Observed |
|----------------------------|-----------------|---------------------|-----------------------------|-------------------|-----------------------------|-------------------|-----------------------|----------|
| $ee + \geq 5j$             | $1.1 \pm 0.5$   | $2.3 \pm 1.2$       | $1.4 \pm 0.4$               | $0.98 \pm 0.26$   | $0.47 \pm 0.29$             | $6.5 \pm 1.8$     | $0.73 \pm 0.14$       | 10       |
| $e\mu + \geq 5j$           | $0.85 \pm 0.35$ | $6.7 \pm 2.4$       | $4.8 \pm 1.2$               | $2.1 \pm 0.5$     | $0.38 \pm 0.30$             | $15 \pm 3$        | $2.13 \pm 0.41$       | 22       |
| $\mu\mu + \geq 5j$         | —               | $2.9 \pm 1.4$       | $3.8 \pm 0.9$               | $0.95 \pm 0.25$   | $0.69 \pm 0.39$             | $8.6 \pm 2.2$     | $1.41 \pm 0.28$       | 11       |
| $ee + 4j$                  | $1.8 \pm 0.7$   | $3.4 \pm 1.7$       | $2.0 \pm 0.4$               | $0.75 \pm 0.20$   | $0.74 \pm 0.42$             | $9.1 \pm 2.1$     | $0.44 \pm 0.06$       | 9        |
| $e\mu + 4j$                | $1.4 \pm 0.6$   | $12 \pm 4$          | $6.2 \pm 1.0$               | $1.5 \pm 0.3$     | $1.9 \pm 1.0$               | $24 \pm 5$        | $1.16 \pm 0.14$       | 26       |
| $\mu\mu + 4j$              | —               | $6.3 \pm 2.6$       | $4.7 \pm 0.9$               | $0.80 \pm 0.22$   | $0.53 \pm 0.30$             | $12.7 \pm 2.9$    | $0.74 \pm 0.10$       | 20       |
| $3\ell$                    | —               | $3.2 \pm 0.7$       | $2.3 \pm 0.7$               | $3.9 \pm 0.8$     | $0.86 \pm 0.55$             | $11.4 \pm 2.3$    | $2.34 \pm 0.35$       | 18       |
| $2\ell 1\tau_{\text{had}}$ | —               | $0.4^{+0.6}_{-0.4}$ | $0.38 \pm 0.12$             | $0.37 \pm 0.08$   | $0.12 \pm 0.11$             | $1.4 \pm 0.6$     | $0.47 \pm 0.08$       | 1        |
| $1\ell 2\tau_{\text{had}}$ | —               | $15 \pm 5$          | $0.17 \pm 0.06$             | $0.37 \pm 0.09$   | $0.41 \pm 0.42$             | $16 \pm 5$        | $0.68 \pm 0.13$       | 10       |
| $4\ell$ Z-enr.             | —               | $\lesssim 10^{-3}$  | $\lesssim 3 \times 10^{-3}$ | $0.43 \pm 0.12$   | $0.05 \pm 0.02$             | $0.55 \pm 0.15$   | $0.17 \pm 0.02$       | 1        |
| $4\ell$ Z-dep.             | —               | $\lesssim 10^{-4}$  | $\lesssim 10^{-3}$          | $0.002 \pm 0.002$ | $\lesssim 2 \times 10^{-5}$ | $0.007 \pm 0.005$ | $0.025 \pm 0.003$     | 0        |



|                                     | ee             | $e\mu$         | $\mu\mu$       | $3\ell$        | $4\ell$         |
|-------------------------------------|----------------|----------------|----------------|----------------|-----------------|
| $t\bar{t}H, H \rightarrow WW$       | $1.0 \pm 0.1$  | $3.2 \pm 0.4$  | $2.4 \pm 0.3$  | $3.4 \pm 0.5$  | $0.29 \pm 0.04$ |
| $t\bar{t}H, H \rightarrow ZZ$       | —              | $0.1 \pm 0.0$  | $0.1 \pm 0.0$  | $0.2 \pm 0.0$  | $0.09 \pm 0.02$ |
| $t\bar{t}H, H \rightarrow \tau\tau$ | $0.3 \pm 0.0$  | $1.0 \pm 0.1$  | $0.7 \pm 0.1$  | $1.1 \pm 0.2$  | $0.15 \pm 0.02$ |
| $t\bar{t}W$                         | $4.3 \pm 0.6$  | $16.5 \pm 2.3$ | $10.4 \pm 1.5$ | $10.3 \pm 1.9$ | —               |
| $t\bar{t}Z/\gamma^*$                | $1.8 \pm 0.4$  | $4.9 \pm 0.9$  | $2.9 \pm 0.5$  | $8.4 \pm 1.7$  | $1.12 \pm 0.62$ |
| $t\bar{t}WW$                        | $0.1 \pm 0.0$  | $0.4 \pm 0.1$  | $0.3 \pm 0.0$  | $0.4 \pm 0.1$  | $0.04 \pm 0.02$ |
| $t\bar{t}\gamma$                    | $1.3 \pm 0.3$  | $1.9 \pm 0.5$  | —              | $2.6 \pm 0.6$  | —               |
| WZ                                  | $0.6 \pm 0.6$  | $1.5 \pm 1.7$  | $1.0 \pm 1.1$  | $3.9 \pm 0.7$  | —               |
| ZZ                                  | —              | $0.1 \pm 0.1$  | $0.1 \pm 0.0$  | $0.3 \pm 0.1$  | $0.47 \pm 0.10$ |
| Rare SM bkg.                        | $0.4 \pm 0.1$  | $1.6 \pm 0.4$  | $1.1 \pm 0.3$  | $0.8 \pm 0.3$  | $0.01 \pm 0.00$ |
| Non-prompt                          | $7.6 \pm 2.5$  | $20.0 \pm 4.4$ | $11.9 \pm 4.2$ | $33.3 \pm 7.5$ | $0.43 \pm 0.22$ |
| Charge misidentified                | $1.8 \pm 0.5$  | $2.3 \pm 0.7$  | —              | —              | —               |
| All signals                         | $1.4 \pm 0.2$  | $4.3 \pm 0.6$  | $3.1 \pm 0.4$  | $4.7 \pm 0.7$  | $0.54 \pm 0.08$ |
| All backgrounds                     | $18.0 \pm 2.7$ | $49.3 \pm 5.4$ | $27.7 \pm 4.7$ | $59.8 \pm 8.0$ | $2.07 \pm 0.67$ |
| Data                                | 19             | 51             | 41             | 68             | 1               |

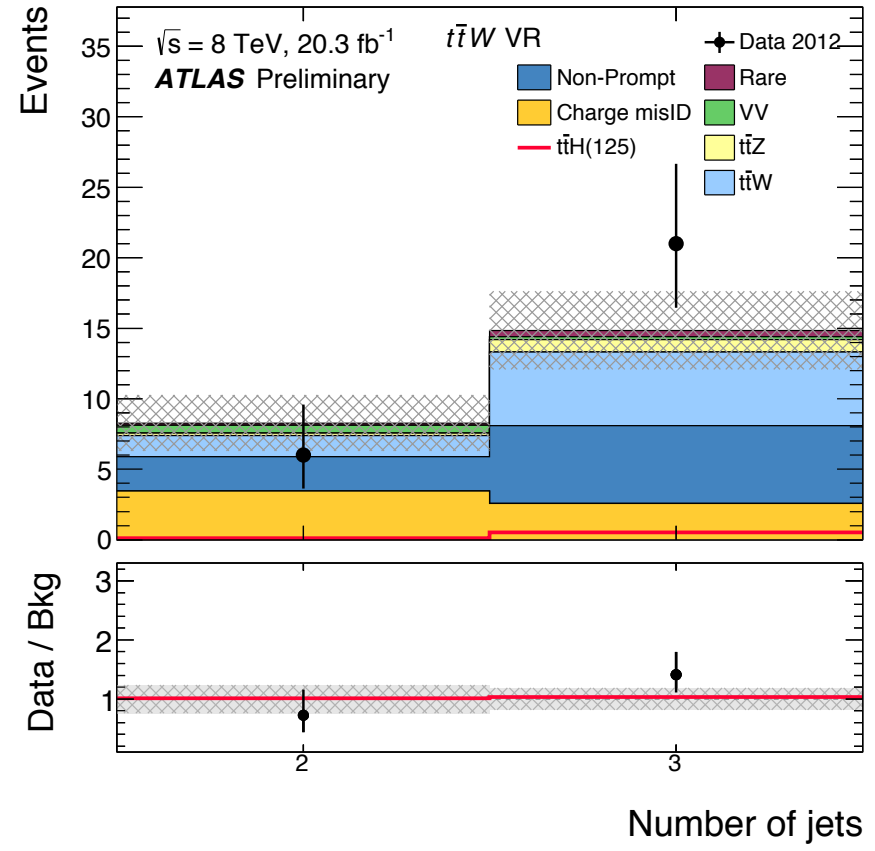
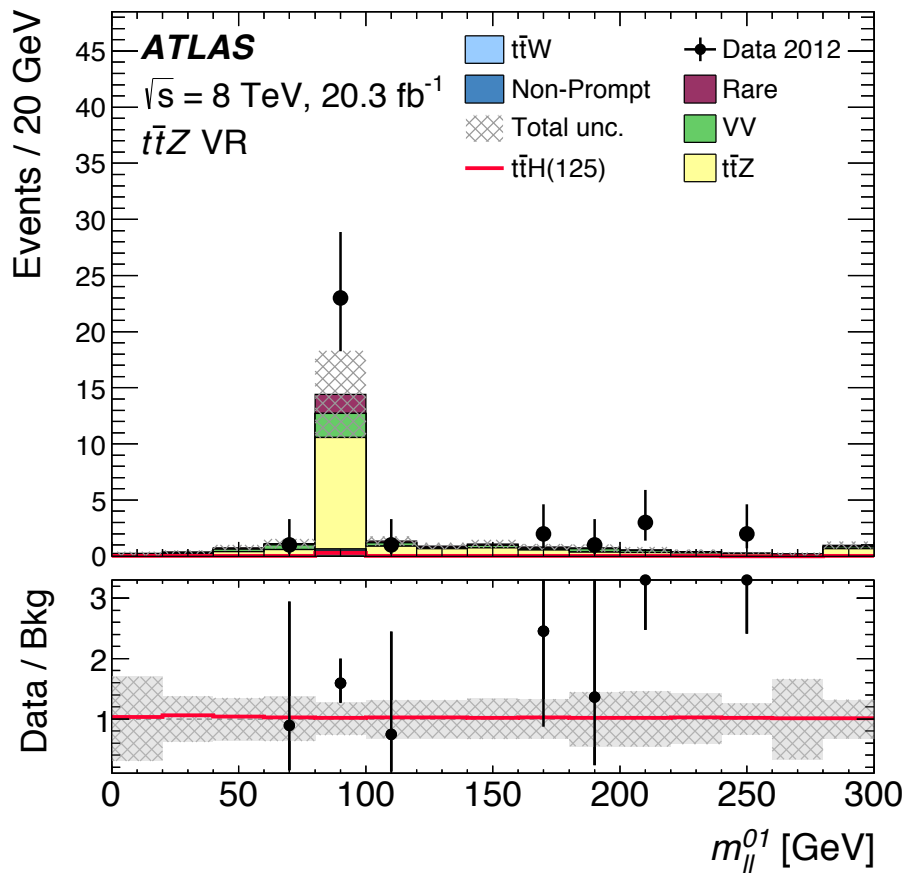


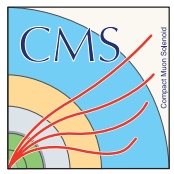
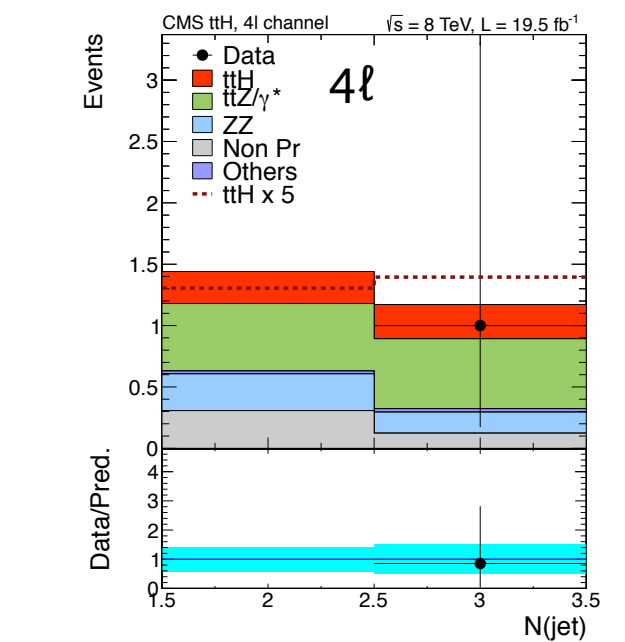
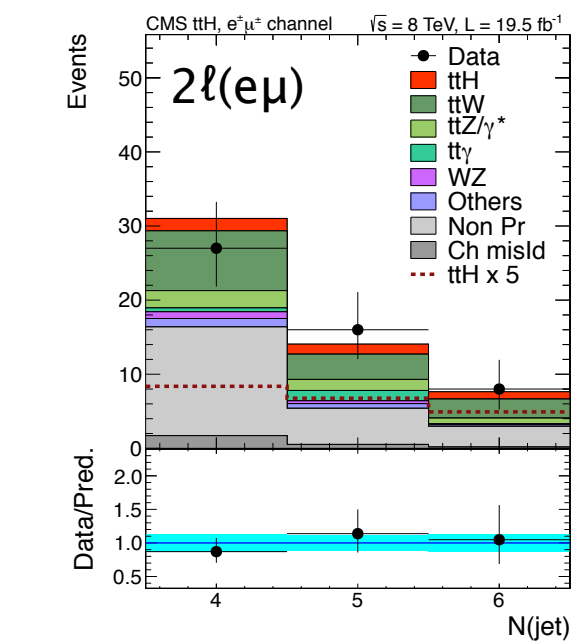
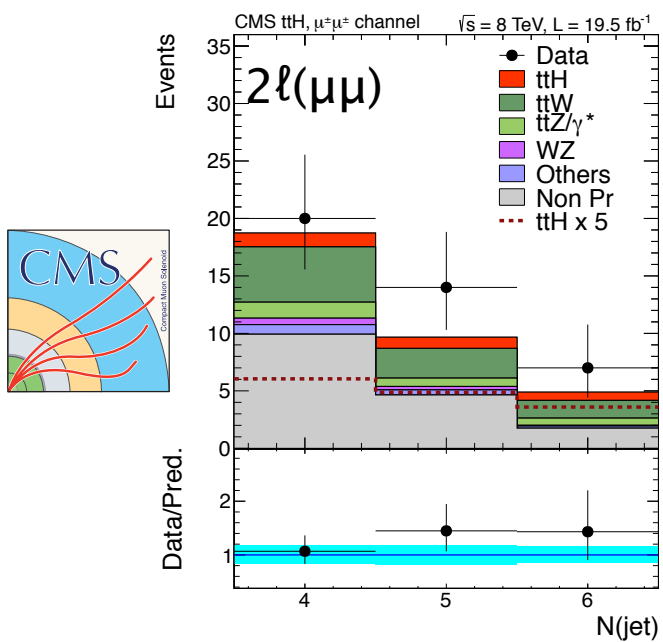
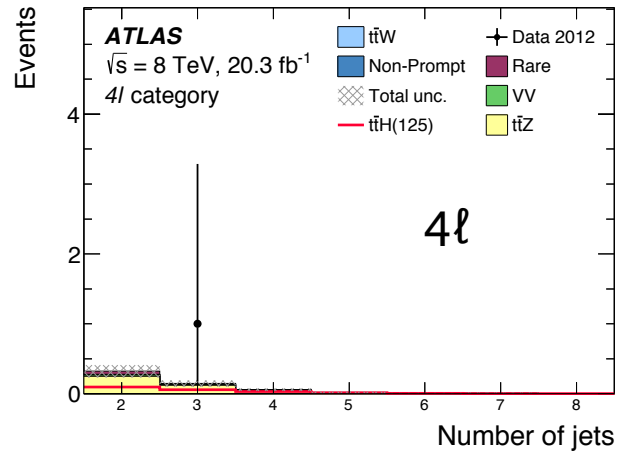
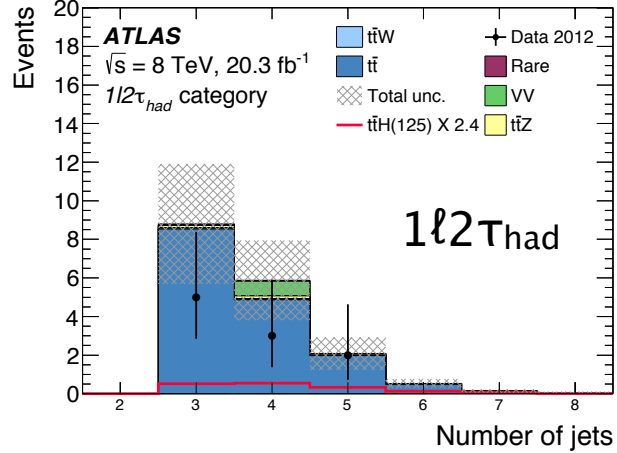
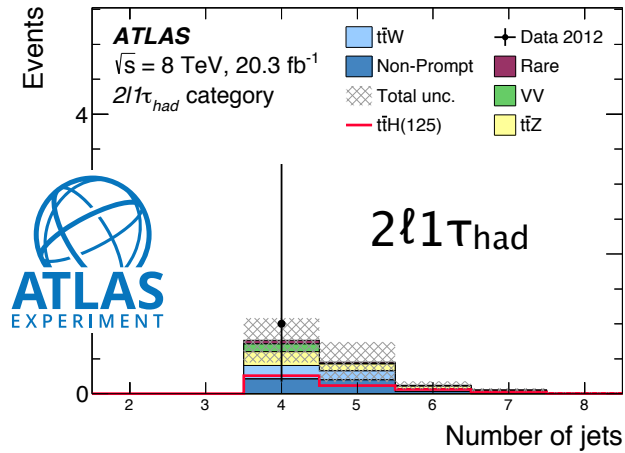
| Source   | $\Delta\mu$ |       |
|--|-------------|-------|
| $2\ell 0\tau_{\text{had}}$ non-prompt muon transfer factor     | +0.38       | -0.35 |
| $t\bar{t}W$ acceptance   | +0.26       | -0.21 |
| $t\bar{t}H$ inclusive cross section                            | +0.28       | -0.15 |
| Jet energy scale   | +0.24       | -0.18 |
| $2\ell 0\tau_{\text{had}}$ non-prompt electron transfer factor | +0.26       | -0.16 |
| $t\bar{t}H$ acceptance   | +0.22       | -0.15 |
| $t\bar{t}Z$ inclusive cross section                            | +0.19       | -0.17 |
| $t\bar{t}W$ inclusive cross section                            | +0.18       | -0.15 |
| Muon isolation efficiency                                      | +0.19       | -0.14 |
| Luminosity   | +0.18       | -0.14 |



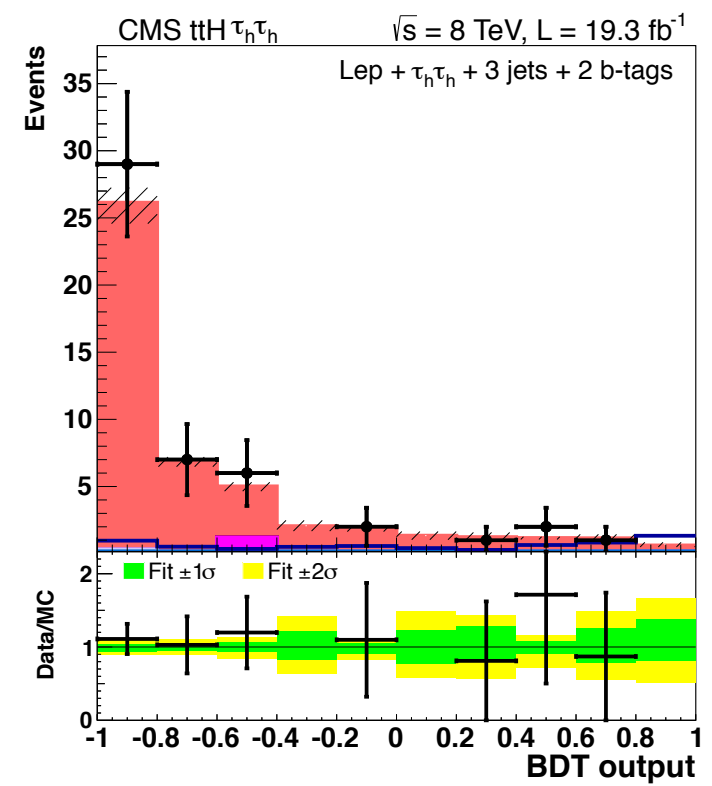
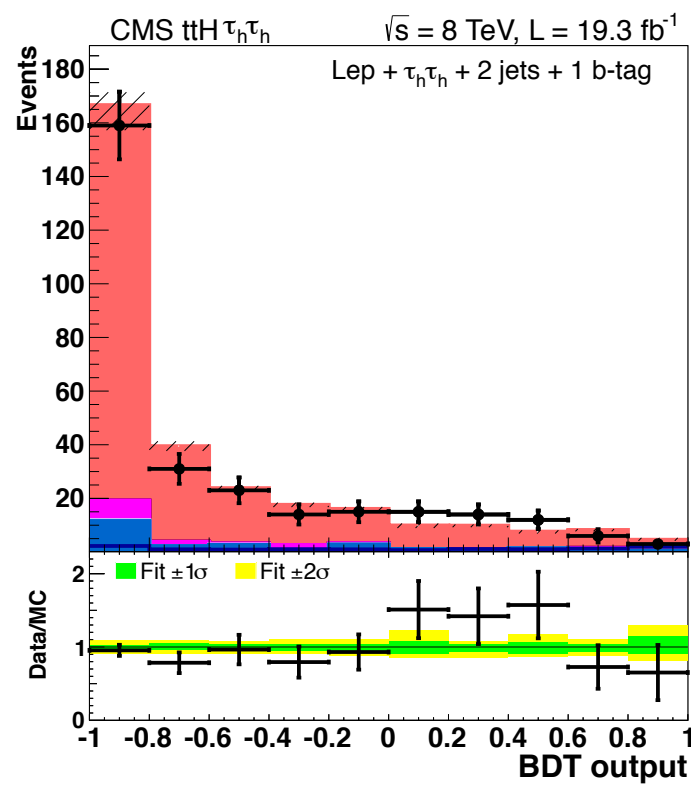
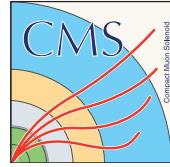
| Source                                      | Rate uncertainty |             | Shape |
|---|------------------|-------------|-------|
|   | Signal           | Backgrounds |       |
| Experimental                                |                  |             |       |
| Integrated luminosity                       | 2.2–2.6%         | 2.2–2.6%    | No    |
| Jet energy scale                            | 0.0–8.4%         | 0.1–11.5%   | Yes   |
| CSV b-tagging                               | 0.9–21.7%        | 3.0–29.0%   | Yes   |
| Lepton reco. and ID                         | 0.3–14.0%        | 1.4–14.0%   | No    |
| Lepton misidentification rate (H → leptons) | —                | 35.1–45.7%  | Yes   |
| Tau reco. and ID (H → hadrons)              | 11.3–14.3%       | 24.1–28.8%  | Yes   |
| Photon reco. and ID (H → photons)           | 1.6–3.2%         | —           | Yes   |
| MC statistics                               | —                | 0.2–7.0%    | Yes   |
| Theoretical                                 |                  |             |       |
| NLO scales and PDF                          | 9.7–14.8%        | 3.4–14.7%   | No    |
| MC modeling                                 | 2.3–5.1%         | 0.9–16.8%   | Yes   |
| Top quark $p_T$                             | —                | 1.4–6.9%    | Yes   |
| Additional hf uncertainty (H → hadrons)     | —                | 50%         | No    |
| H contamination (H → photons)               | —                | 36.7–41.2%  | No    |
| WZ (ZZ) uncertainty (H → leptons)           | —                | 22% (19%)   | No    |

- ▶ Validation regions for the ttV background.
  - ▶ ttZ and ttW enhanced regions to validate MC against data

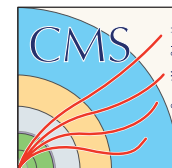




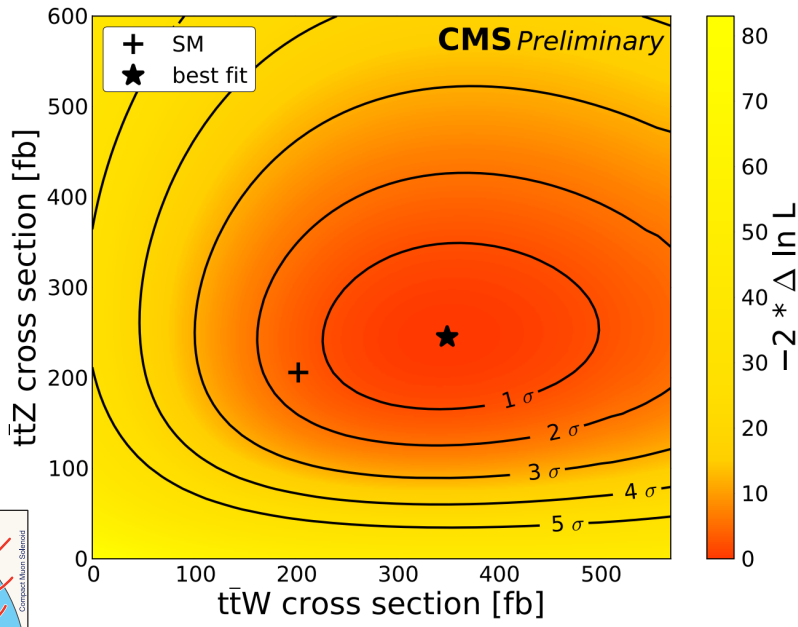




- ▶ The ttH signal is modelled using the PYTHIA6.
- ▶ The background processes ttW, ttZ, tt+jets, Drell–Yan+jets, W+jets, ZZ+jets, WW+jets, and WZ+jets are all generated with the MADGRAPH.
- ▶ The rare WWZ, WWW, tt + γ+jets, and ttWW processes are generated similarly.
- ▶ Single top is generated with POWHEG.



| Process  | ME Generator                                   | Parton Shower | PDF                        | Tune              |
|--|--|---------------|----------------------------|-------------------|
| $t\bar{t}H$                                    | HELAC-Oneloop [41, 42]<br>+ POWHEG-BOX [48–50] | PYTHIA 8 [43] | CT10 [44]/CTEQ6L1 [45, 46] | AU2 [47]          |
| $tHq\bar{b}$                                   | MADGRAPH [33]                                  | PYTHIA 8      | CT10                       | AU2               |
| $tHW$  | MG5_AMC@NLO [29]                               | HERWIG++ [51] | CT10/MRST LO** [52]        | UE-EE-4 [53]      |
| $t\bar{t}W + \leq 2$ partons                   | MADGRAPH                                       | PYTHIA 6 [54] | CTEQ6L1                    | AUET2B [55]       |
| $t\bar{t}(Z/\gamma^*) + \leq 1$ parton         | MADGRAPH                                       | PYTHIA 6      | CTEQ6L1                    | AUET2B            |
| $t(Z/\gamma^*)$                                | MADGRAPH                                       | PYTHIA 6      | CTEQ6L1                    | AUET2B            |
| $q\bar{q}, qg \rightarrow WW, WZ$              | SHERPA [56]                                    | SHERPA        | CT10                       | SHERPA default    |
| $qq \rightarrow qqWW, qqWZ, qqZZ$              | SHERPA   | SHERPA        | CT10                       | SHERPA default    |
| $q\bar{q}, qg \rightarrow ZZ$                  | POWHEG-BOX [57]                                | PYTHIA 8      | CT10                       | AU2               |
| $gg \rightarrow ZZ$                            | GG2ZZ [58]                                     | HERWIG [59]   | CT10                       | AUET2 [60]        |
| $t\bar{t}$                                     | POWHEG-BOX [61]                                | PYTHIA 6      | CT10/CTEQ6L1               | Perugia2011C [62] |
| $s-, t$ -channel, $Wt$ single top              | POWHEG-BOX [63, 64]                            | PYTHIA 6      | CT10/CTEQ6L1               | Perugia2011C      |
| $Z \rightarrow \ell^+ \ell^- + \leq 5$ partons | ALPGEN [65]                                    | PYTHIA 6      | CTEQ6L1                    | Perugia2011C      |
| $W \rightarrow \ell\nu + \leq 5$ partons       | ALPGEN   | PYTHIA 6      | CTEQ6L1                    | Perugia2011C      |



| Channel         | $t\bar{t}W$ significance |            | $t\bar{t}Z$ significance |            |
|-----------------|--------------------------|------------|--------------------------|------------|
|                 | Expected                 | Observed   | Expected                 | Observed   |
| $2\ell OS$      | 0.4                      | 0.1        | 1.4                      | 1.1        |
| $2\ell SS$      | 2.8                      | 5.0        | -                        | -          |
| $3\ell$         | 1.4                      | 1.0        | 3.7                      | 3.3        |
| $4\ell$         | -                        | -          | 2.0                      | 2.4        |
| <b>Combined</b> | <b>3.2</b>               | <b>5.0</b> | <b>4.5</b>               | <b>4.2</b> |

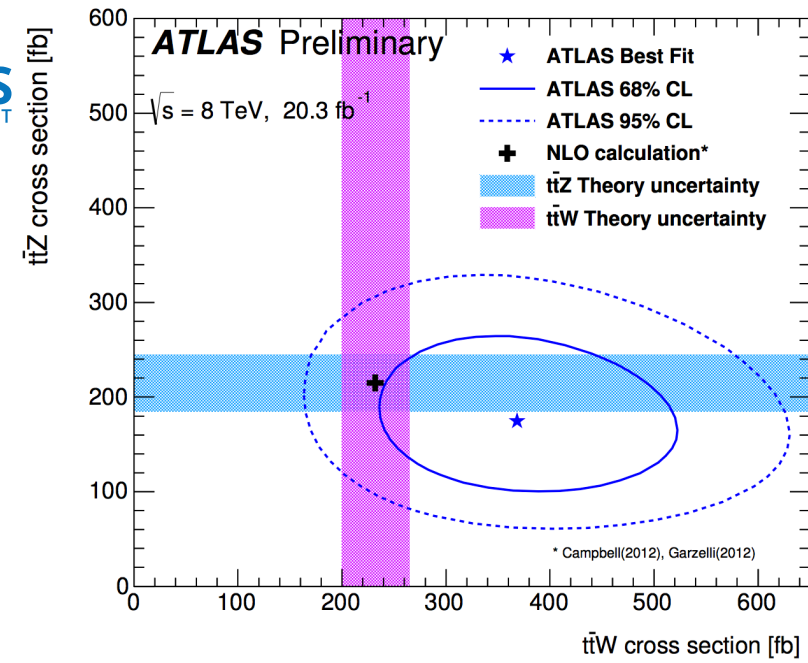


$t\bar{t}W$

| Channels     | Cross section (fb)  |                     | Signal strength ( $\mu$ ) |                        | Significance |          |
|--------------|---------------------|---------------------|---------------------------|------------------------|--------------|----------|
|              | Expected            | Observed            | Expected                  | Observed               | Expected     | Observed |
| SS           | $203^{+88}_{-73}$   | $414^{+135}_{-112}$ | $1.0^{+0.45}_{-0.36}$     | $2.04^{+0.74}_{-0.61}$ | 3.4          | 4.9      |
| $3\ell$      | $203^{+215}_{-194}$ | $210^{+225}_{-203}$ | $1.0^{+1.09}_{-0.96}$     | $1.03^{+1.07}_{-0.99}$ | 1.0          | 1.0      |
| SS + $3\ell$ | $203^{+84}_{-71}$   | $382^{+117}_{-102}$ | $1.0^{+0.43}_{-0.35}$     | $1.88^{+0.66}_{-0.56}$ | 3.5          | 4.8      |

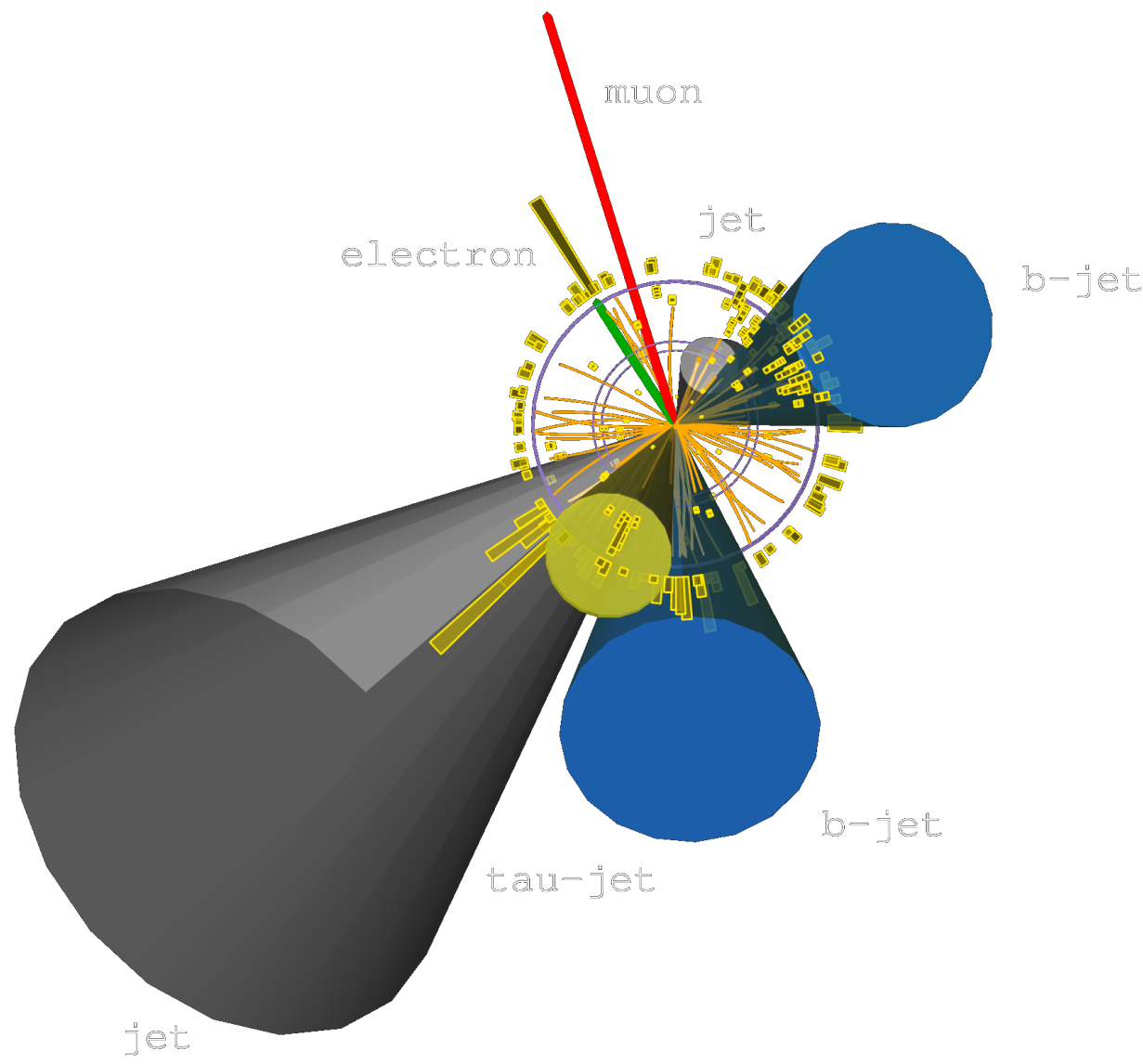
$t\bar{t}Z$

| Channels               | Cross section (fb)  |                     | Signal strength ( $\mu$ ) |                        | Significance |          |
|------------------------|---------------------|---------------------|---------------------------|------------------------|--------------|----------|
|                        | Expected            | Observed            | Expected                  | Observed               | Expected     | Observed |
| OS                     | $206^{+142}_{-118}$ | $257^{+158}_{-129}$ | $1.0^{+0.72}_{-0.57}$     | $1.25^{+0.76}_{-0.62}$ | 1.8          | 2.1      |
| $3\ell$                | $206^{+79}_{-63}$   | $257^{+85}_{-67}$   | $1.0^{+0.42}_{-0.32}$     | $1.25^{+0.45}_{-0.36}$ | 4.6          | 5.1      |
| $4\ell$                | $206^{+153}_{-109}$ | $228^{+150}_{-107}$ | $1.0^{+0.77}_{-0.53}$     | $1.11^{+0.76}_{-0.52}$ | 2.7          | 3.4      |
| OS + $3\ell$ + $4\ell$ | $206^{+62}_{-52}$   | $242^{+65}_{-55}$   | $1.0^{+0.34}_{-0.27}$     | $1.18^{+0.35}_{-0.29}$ | 5.7          | 6.4      |



▶ Event display for candidate event in the 2ℓ1τ<sub>had</sub> category.

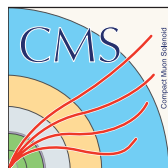
- ▶ **Muon**
  - ▶ pT = 42 GeV
- ▶ **Electron,**
  - ▶ pT = 16 GeV
- ▶ **τ candidate**
  - ▶ pT = 52 GeV
- ▶ **b-tagged jets**
  - ▶ pT = 85, 53 GeV
- ▶ **Non-b-tagged jets**
  - ▶ pT = 76, 26 GeV



- ▶  $\mu$  extraction dependant on ttW and ttZ cross sections:

$$\mu(tt\bar{H}) = 2.1 - 1.4 \left( \frac{\sigma(tt\bar{W})}{232 \text{ fb}} - 1 \right) - 1.3 \left( \frac{\sigma(tt\bar{Z})}{206 \text{ fb}} - 1 \right)$$

| Process                 | $\mu\mu$                           | $e\mu$                               | $lll$                              | Source of uncertainty                                  | Type  | Exclusive source (%) | Removal (%) |
|-------------------------|------------------------------------|--------------------------------------|------------------------------------|--|-------|----------------------|-------------|
| $W^\pm W^\pm qq$        | $4.60 \pm 0.68$                    | $6.03 \pm 0.85$                      | –                                  | Luminosity   | rate  | < 1                  | < 1         |
| WZ, WW, ZZ              | $5.47 \pm 2.10$                    | $8.83 \pm 3.25$                      | $1.19 \pm 0.14$                    | Pileup   | rate  | < 1                  | < 1         |
| Rare SM bkg.            | $1.40 \pm 0.68$                    | $2.57 \pm 1.23$                      | $0.11 \pm 0.03$                    | Lepton trigger efficiency                              | rate  | < 1                  | < 1         |
| $t\bar{t}\gamma^*$      | $0.50 \pm 0.20$                    | $1.04 \pm 0.42$                      | –                                  | Lepton selection efficiencies                          | rate  | < 1                  | < 1         |
| $t\bar{t}\gamma$        | $0.09 \pm 0.03$                    | $2.02 \pm 0.60$                      | –                                  | Electron energy scale                                  | shape | < 1                  | < 1         |
| $t\bar{t}Z$             | $2.23 \pm 0.41$                    | $2.87 \pm 0.50$                      | $2.21 \pm 0.36$                    | Jet energy corrections                                 | shape | < 1                  | < 1         |
| $t\bar{t}W^\pm$         | $10.18 \pm 2.24$                   | $14.85 \pm 3.32$                     | $3.03 \pm 0.51$                    | b-tagging efficiencies                                 | shape | < 1                  | < 1         |
| $t\bar{t}H$             | $2.26 \pm 0.34$                    | $3.24 \pm 0.47$                      | $1.52 \pm 0.18$                    | Flavour Scheme   | rate  | 2                    | 1           |
| Charge Mis-ID           | –                                  | $6.96 \pm 1.76$                      | –                                  | Higgs branching fractions                              | rate  | < 1                  | < 1         |
| Non-Prompt              | $33.34 \pm 8.34$                   | $63.74 \pm 12.46$                    | $31.44 \pm 6.52$                   | Renormalization/factorization scale                    | rate  | < 1                  | < 1         |
| <b>Total Background</b> | <b><math>60.07 \pm 8.95</math></b> | <b><math>112.13 \pm 13.53</math></b> | <b><math>39.50 \pm 6.55</math></b> | Parton density functions (pdf)                         | rate  | < 1                  | < 1         |
| $tH(\tau\tau)W$         | $0.10 \pm 0.12$                    | $0.13 \pm 0.14$                      | $0.12 \pm 0.12$                    | Irreducible background normalization                   | rate  | < 1                  | < 1         |
| $tH(WW)W$               | $0.28 \pm 0.29$                    | $0.47 \pm 0.48$                      | $0.35 \pm 0.35$                    | $\mu$ fake-rate normalization (SS)                     | rate  | 26                   | 19          |
| $tH(\tau\tau)q$         | $0.59 \pm 0.61$                    | $0.90 \pm 0.91$                      | $0.56 \pm 0.58$                    | e fake-rate normalization (SS)                         | rate  | 12                   | 5           |
| $tH(WW)q$               | $2.55 \pm 2.62$                    | $3.73 \pm 3.84$                      | $1.73 \pm 1.80$                    | $\mu$ fake-rate leptons shape (SS)                     | shape | < 1                  | 1           |
| <b>Total Signal</b>     | <b><math>3.53 \pm 2.71</math></b>  | <b><math>5.22 \pm 3.98</math></b>    | <b><math>2.76 \pm 1.93</math></b>  | e fake-rate leptons shape (SS)                         | shape | < 1                  | 2           |
| <b>Data</b>             | <b>66</b>                          | <b>117</b>                           | <b>42</b>                          | Non-prompt closure test ( $3\ell$ )                    | rate  | 3                    | 3           |
|                         |                                    |                                      |                                    | QCD control region variation for fake-rate ( $3\ell$ ) | shape | 1                    | < 1         |
|                         |                                    |                                      |                                    | Fake-rate variation within stat. uncert. ( $3\ell$ )   | shape | 1                    | < 1         |
|                         |                                    |                                      |                                    | Charge misidentification (SS)                          | rate  | < 1                  | < 1         |
|                         |                                    |                                      |                                    | Stat. uncert. for non-prompt leptons ( $3\ell$ )       | shape | 2                    | 3           |
|                         |                                    |                                      |                                    | Stat. uncert. for non-prompt leptons (SS)              | shape | 4                    | 3           |



| Channel     | Observed | Expected | 68% prob. band | 95% prob. band |
|-------------|----------|----------|----------------|----------------|
| SS $\mu\mu$ | 9.3      | 8.1      | [6.0, 11.8]    | [4.7, 16.7]    |
| SS $e\mu$   | 11.4     | 9.3      | [7.0, 13.5]    | [5.4, 18.8]    |
| $3\ell$     | 11.5     | 8.6      | [6.6, 12.4]    | [5.7, 18.0]    |
| combined    | 6.7      | 5.0      | [3.6, 7.1]     | [2.9, 10.3]    |