



Direct Neutrino Mass Searches Covering the Inverted Mass Ordering

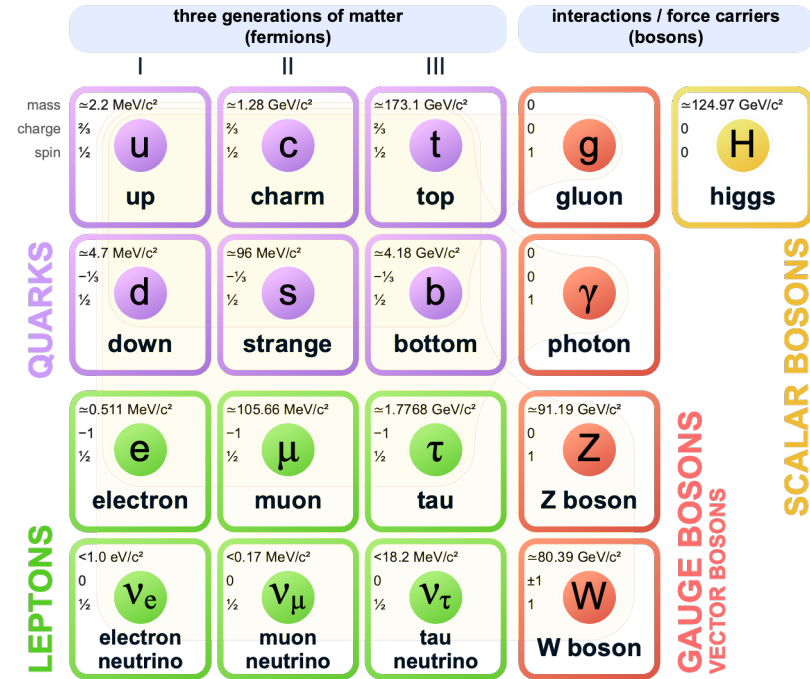
Walter C. Pettus
Indiana University

APS GPMFC Workshop
14 April 2023

PROJECT 8

Questions of Neutrino Mass

- Neutrinos are only fundamental fermions with unmeasured mass
- Non-zero neutrino mass is in conflict with original formulation of Standard Model
- Neutrino mass scale is vastly disparate from all other fundamental fermions
- Neutrino mass connected via $0\nu\beta\beta$ to fundamental symmetries

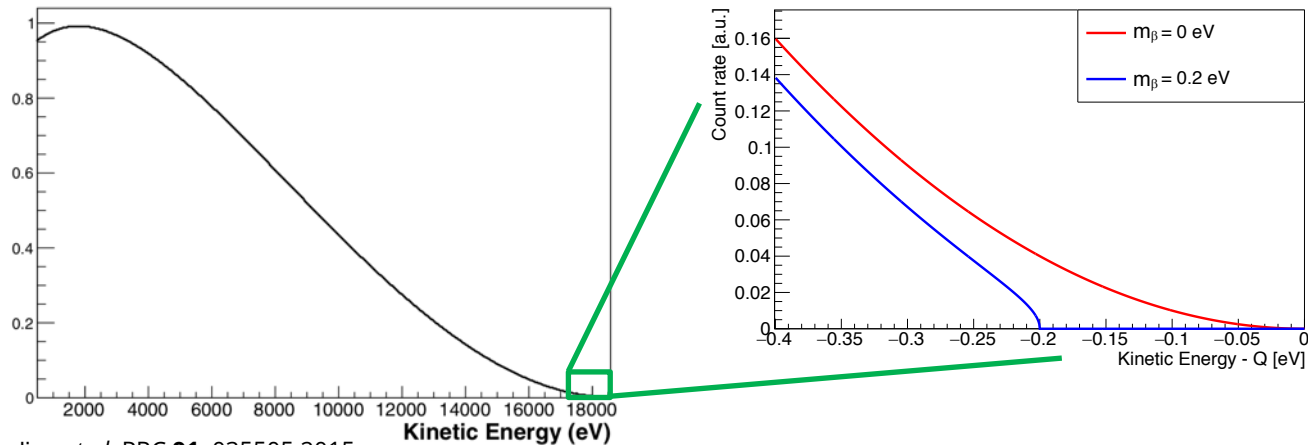


Wikimedia Commons

Direct Neutrino Mass Measurement

- Endpoint technique is only direct and model independent method to measure neutrino mass
 - Non-zero mass results in shift in maximum electron energy and shape distortion at endpoint

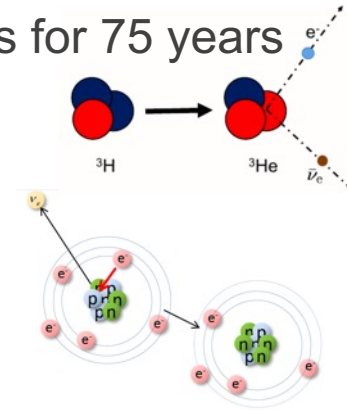
$$m_{\beta} = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$



L. I. Bodine *et al.* PRC **91**, 035505 2015

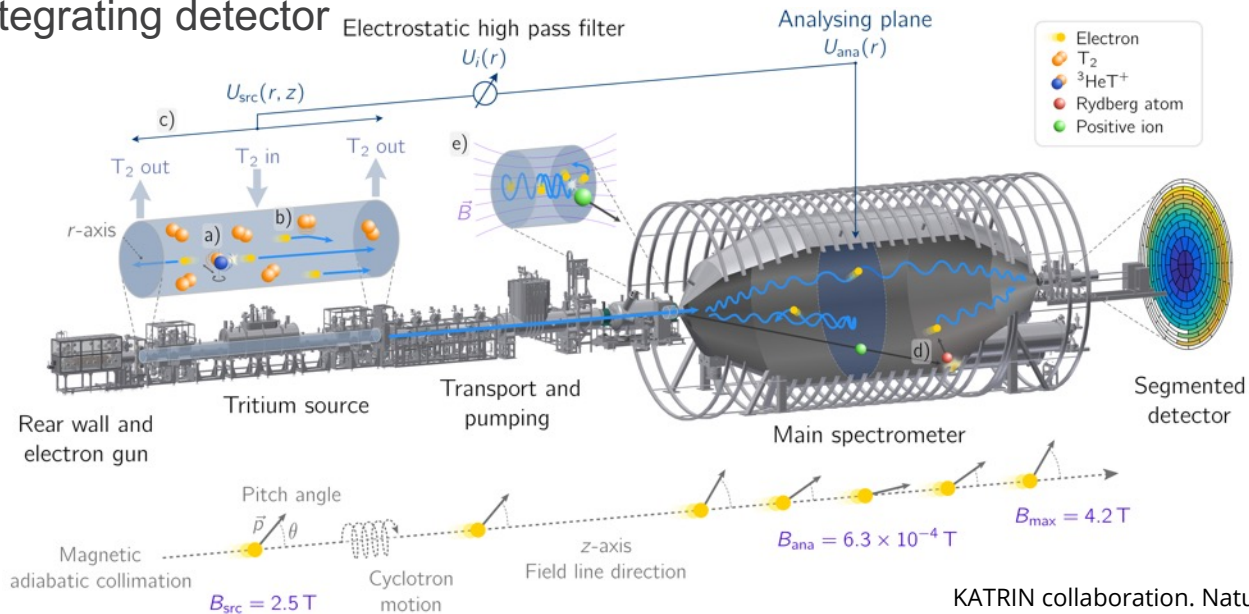
Endpoint Search Candidates

- Tritium beta decay has yielded leading direct limits for 75 years
 - ${}^3_1\text{H} \rightarrow {}^3_2\text{He}^+ + e^- + \bar{\nu}_e$
 - Endpoint: 18.6 keV; half-life: 12.3 yr
- Holmium electron capture decay
 - ${}^{163}_{67}\text{Ho} \rightarrow {}^{163}_{66}\text{Dy}^* + \nu_e$
 - Endpoint: 2.8 keV; half-life: 4570 yr
- Other isotopes have received interest attention: ${}^{187}\text{Re}$, ${}^{115}\text{In}$
 - No currently viable experimental program
 - Orders of magnitude worse figure-of-merit for quantity of isotope per decay in last eV



KATRIN: State-of-the-Art

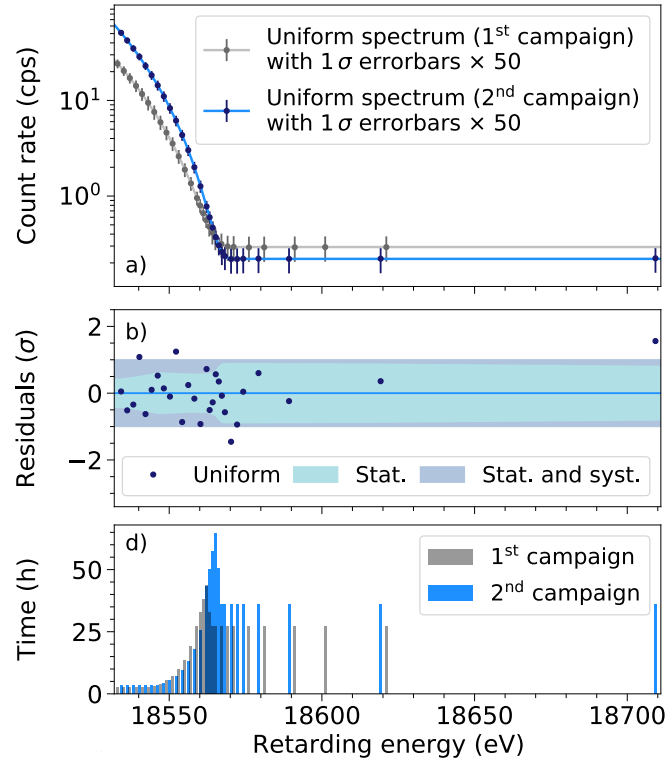
- Culmination of decades of experience in magnetic + electrostatic spectrometers
 - Electrons guided from tritium source, through filtering spectrometer, to integrating detector



KATRIN collaboration. Nature Physics **18**, 160 (2022)

see Andrew Gavin, K15.001

KATRIN: State-of-the-Art



KATRIN collaboration. Nature Physics **18**, 160 (2022)

- 2022 result yielded sub-eV sensitivity
 - Experimental limit of $m_\beta < 0.8$ eV (90% C.L.)
 - Only data from 2019
- Further results expected this year with ~ 0.5 eV sensitivity
- Neutrino mass operations continue through 2025 to reach 0.2 eV sensitivity
- Science program beyond turns to keV sterile neutrino search with TRISTAN detector

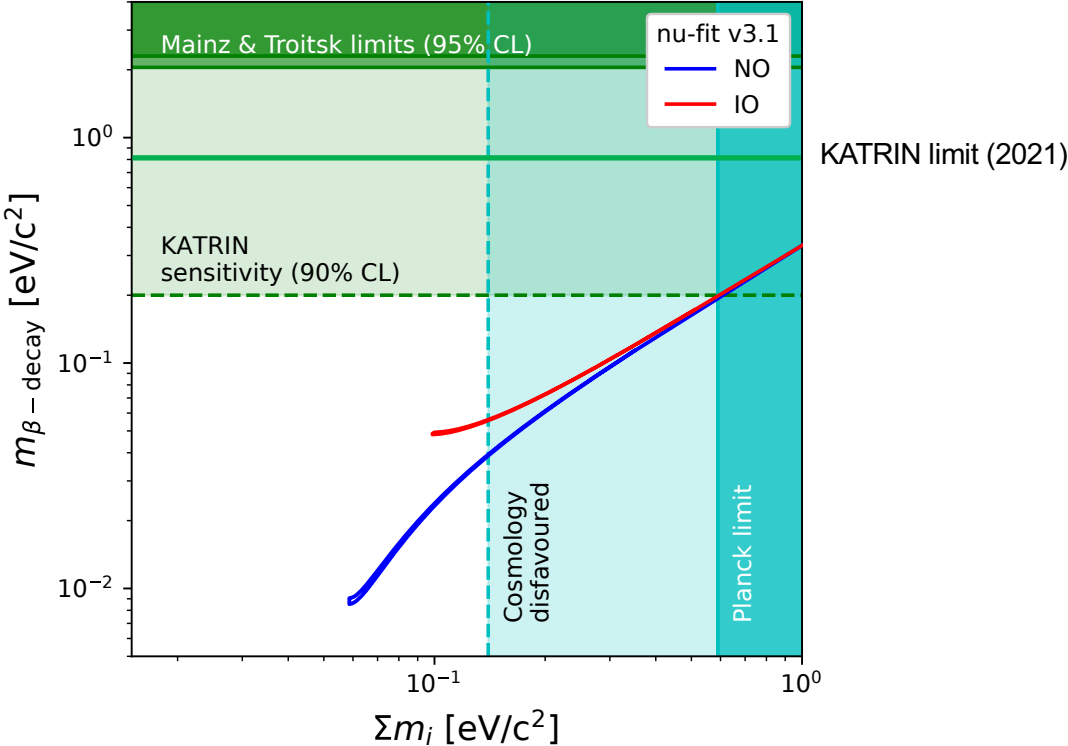
see Andrew Gavin, K15.001

Beyond KATRIN

- MAC-E technology reaching technical scaling limit with KATRIN
 - Larger spectrometer required for improved resolution
 - Integrating spectroscopy reduces statistical power
 - New spectrometer-related backgrounds discovered at KATRIN scale
- Molecular tritium source introduces systematic uncertainty due to molecular effects

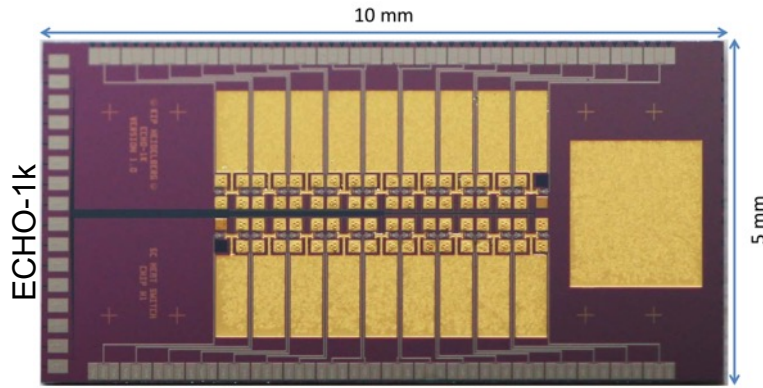


Beyond KATRIN: Sensitivity

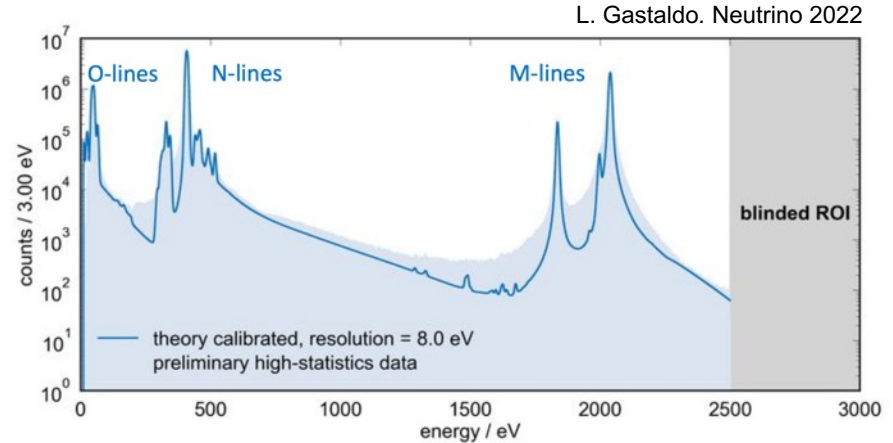


Holmium Electron Capture

- Microcalorimeters implanted with ^{163}Ho isotope



F. Mantegazzini *et al.* NIM A **1030**, 166406 (2022)

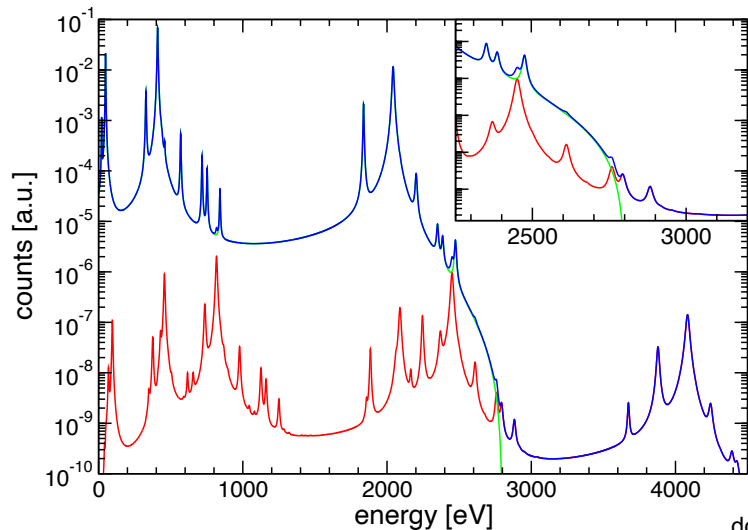


L. Gastaldo. Neutrino 2022

- Endpoint determination precision exceeds current Penning trap measurement
 - Calorimeter: $Q_{\text{EC}} = 2860 \pm 2_{\text{stat}} \pm 5_{\text{syst}}$ eV (preliminary)
 - Penning: $Q_{\text{EC}} = 2833 \pm 30_{\text{stat}} \pm 15_{\text{syst}}$ eV

Micro-Calorimeter Challenges

- Complicated spectral corrections due to electronic shell effects
- Slow readout creates pileup in spectrum
- Large number of channels ($\sim 10^9$) required
 - Multiplexing many channels together possible (required)

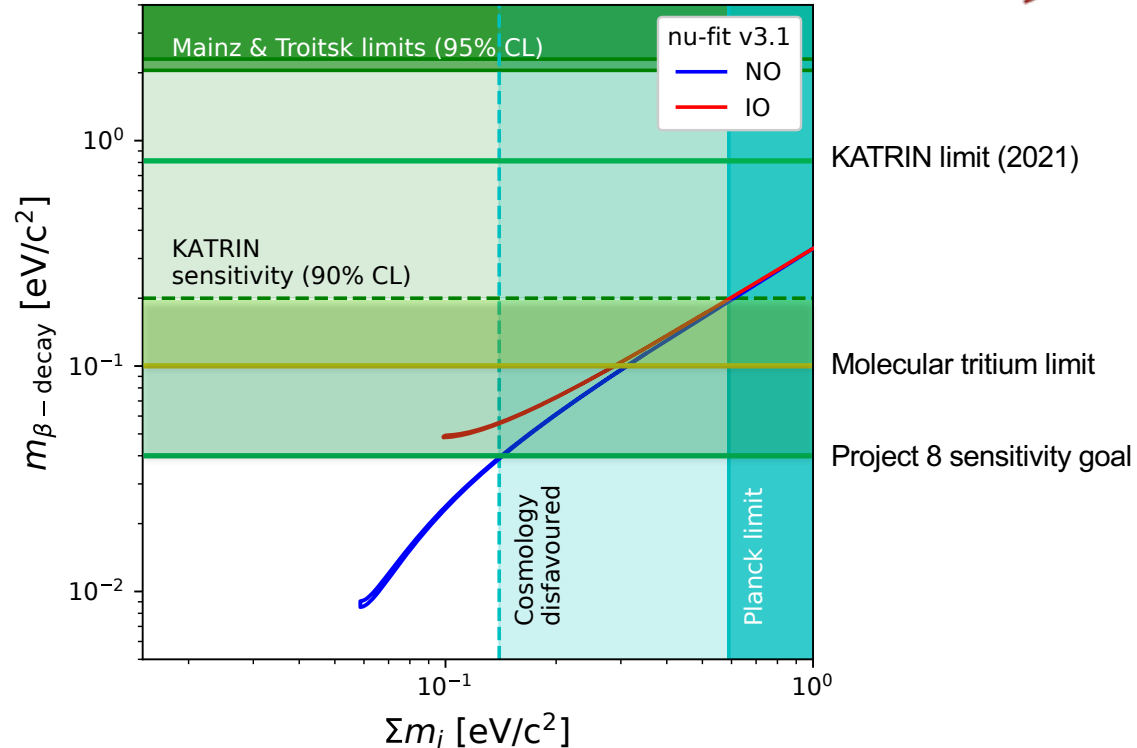


see also Snowmass Lol
arXiv:2203.07572

A. Nucciotti. AHEP (2016)
doi:10.1155/2016/9153024

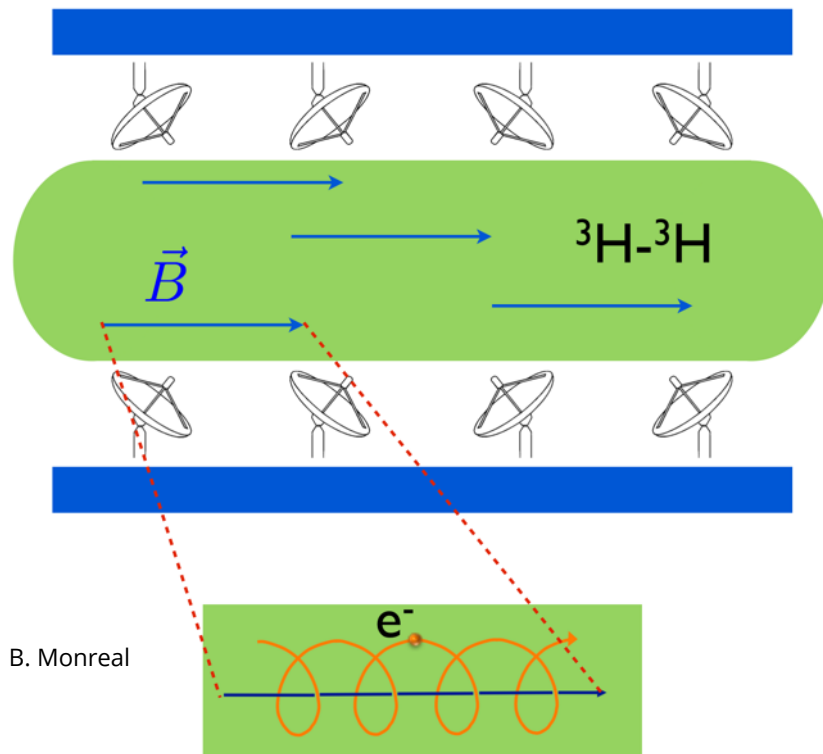
Beyond KATRIN: Project 8 Sensitivity

PROJECT 8



see Luiz de Viveiros, K15.004

Beyond KATRIN Concept



B. Monreal



Never measure anything but frequency.

– Arthur Schawlow

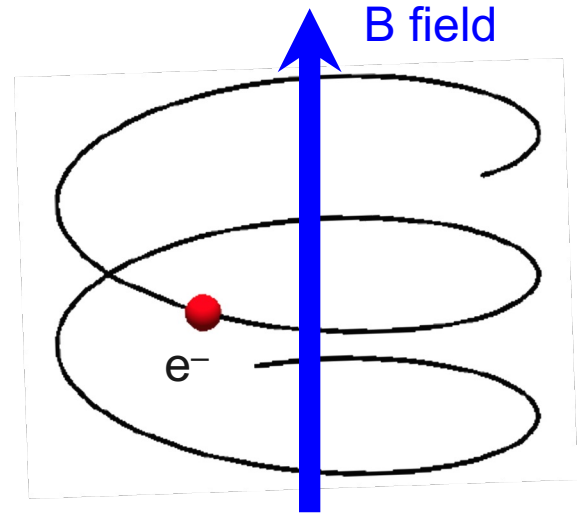
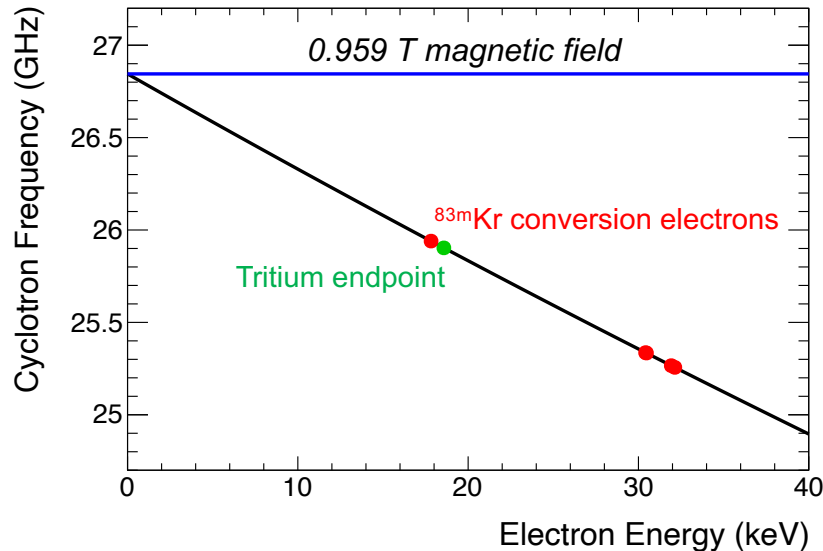


see Luiz de Viveiros, K15.004

Cyclotron Radiation Emission Spectroscopy (CRES)

- Harness frequency-energy relation for relativistic electrons

$$f_c = \frac{1}{2\pi} \frac{eB}{(m + E_{kin})}$$



see Luiz de Viveiros, K15.004

Project 8 Experiment

- A phased tritium beta endpoint experiment to measure the electron neutrino mass

2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027 2028

Phase I

- Proof-of-principle demonstration of CRES technique
 - ^{83m}Kr measurement in waveguide apparatus

Phase II Commission

Operations

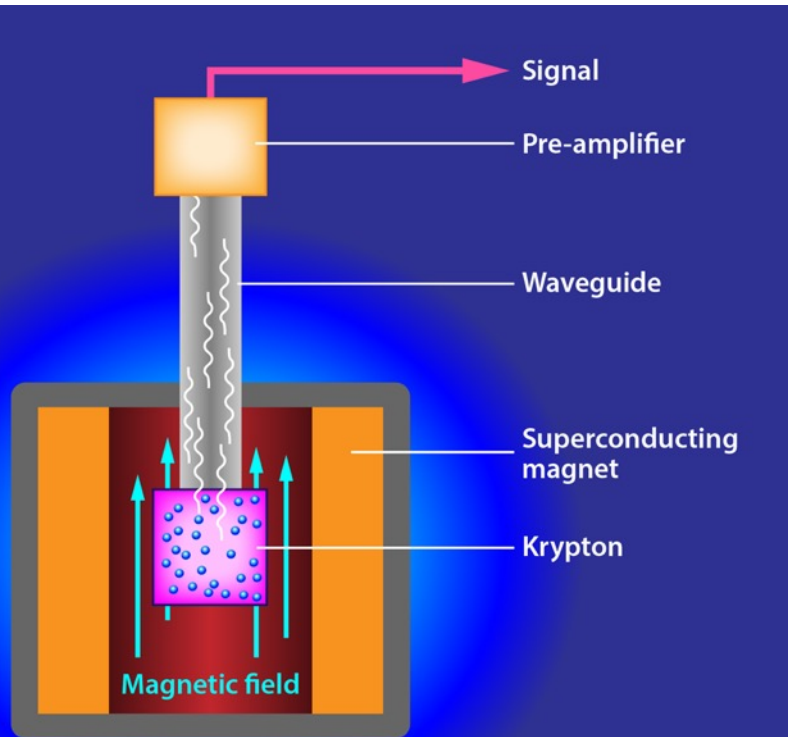
Analysis

Final results, see:

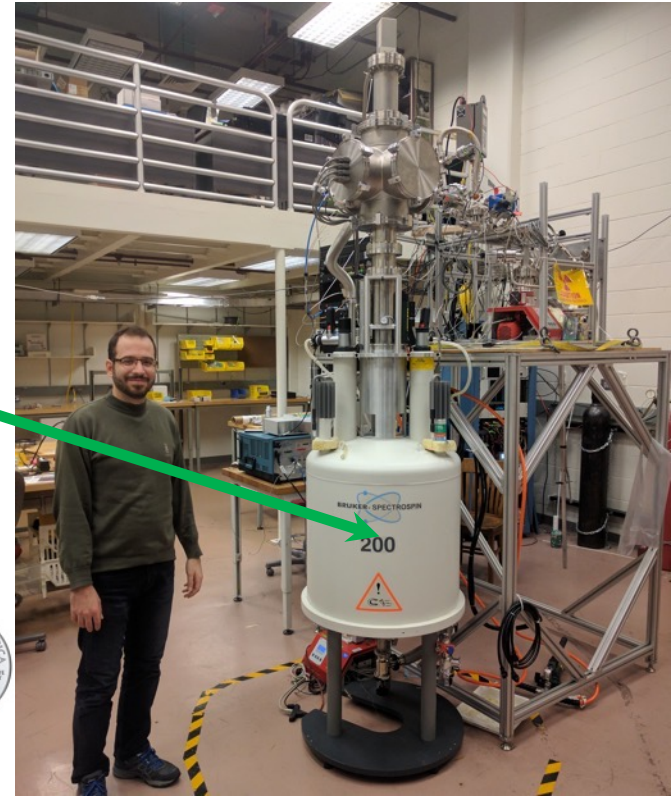
- arXiv:2212.05048 (submitted to PRL)
- arXiv:2303.12055 (submitted to PRC)

- High-resolution Kr measurements
- First tritium measurement and first neutrino mass limit with CRES
 - Zero-background beyond endpoint
 - Control of systematics effects

Waveguide Experimental Concept



APS / Alan Stonebraker



Waveguide CRES Results

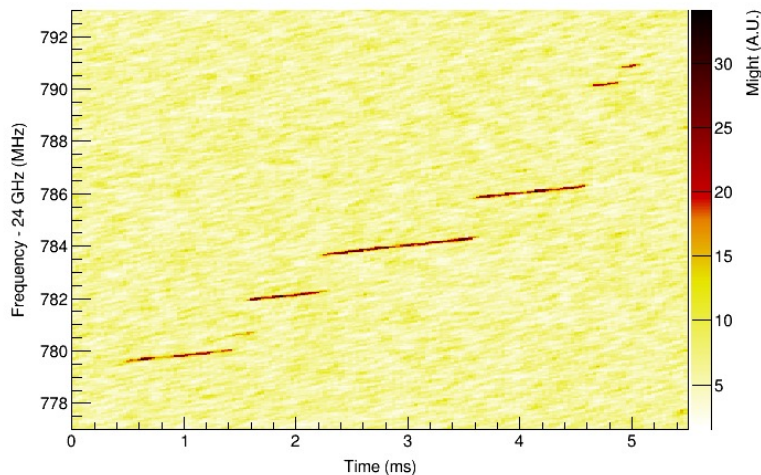
Featured in Physics

Editors' Suggestion

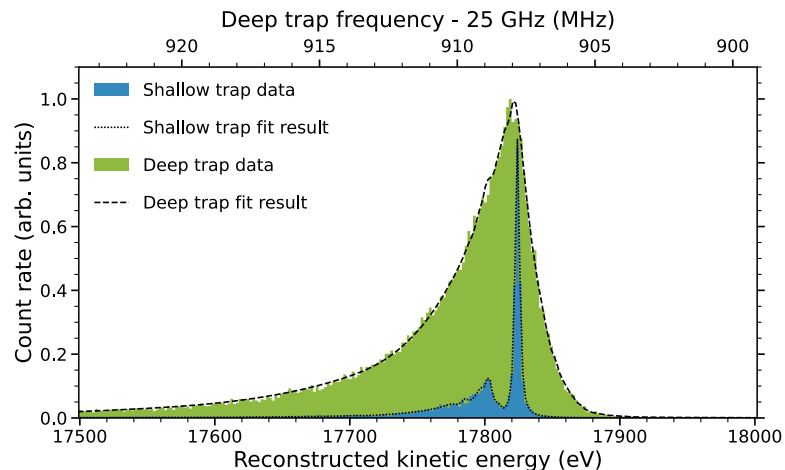
Single-Electron Detection and Spectroscopy via Relativistic Cyclotron Radiation

D. M. Asner *et al.* (Project 8 Collaboration)
Phys. Rev. Lett. **114**, 162501 – Published 20 April 2015

Physics See Viewpoint: [Cyclotron Radiation from One Electron](#)



D. Asner *et al.* Phys. Rev. Lett. 114, 162501 (2015)
(reprocessed)

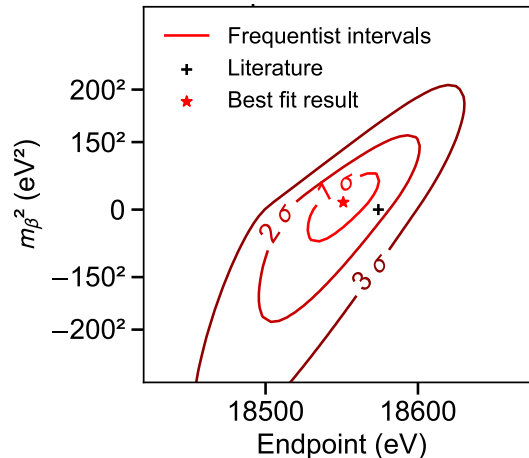
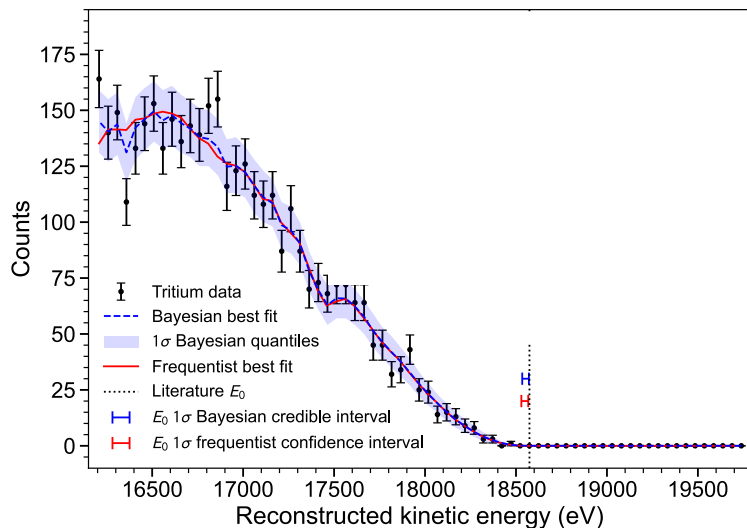


Instrumental resolution (1.7 ± 0.2 eV) now
better than natural CE linewidth (2.8 eV)

arXiv:2212.05048

First Tritium Spectrum

- Collected 3 months stable run of tritium
 - ~4000 events across all signal channels
 - Zero background beyond endpoint



T_2 endpoint:

$$E_0 = (18553^{+18}_{-19}) \text{ eV (90\% C.I.)}$$

Neutrino mass:

$$\leq 155 \text{ eV}/c^2 \text{ (90\% C.I.)} \quad \textit{Bayesian}$$

$$\leq 152 \text{ eV}/c^2 \text{ (90\% C.L.)} \quad \textit{Frequentist}$$

Background rate:

$$\leq 3 \times 10^{-10} \text{ eV}^{-1} \text{ s}^{-1} \text{ (90\% C.I.)}$$

Final results, see:

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Project 8 Experiment

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Phase I

Phase II Commission

Operations

Analysis

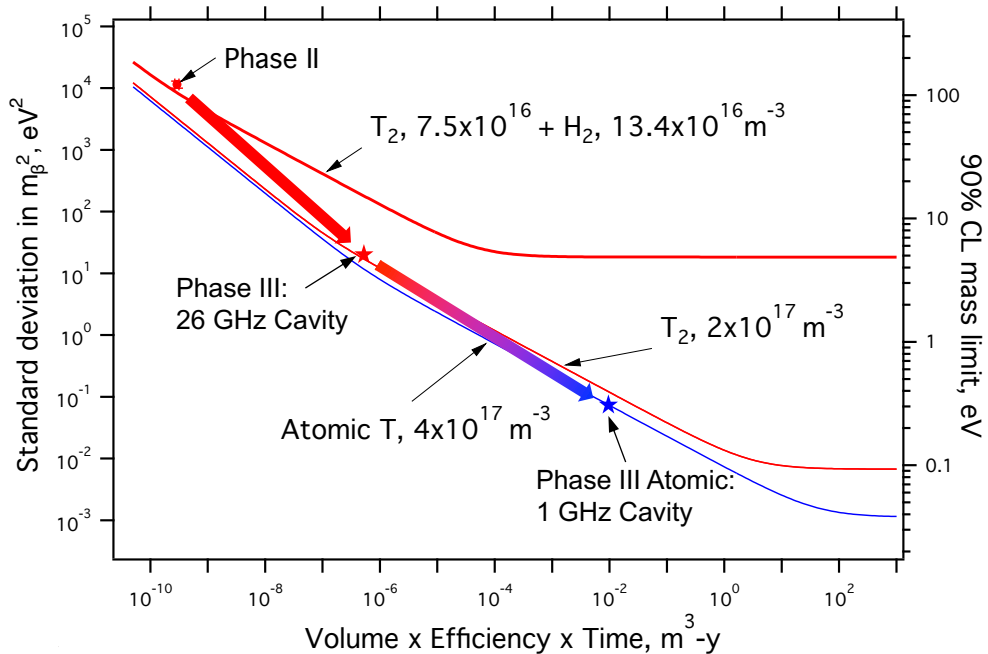
Phase III R&D

Operations

- Critical R&D demonstrations of technologies
 - Large-volume CRES measurement, first with single-mode cavity
 - Atomic tritium production, transport, and trapping
 - Culminates with first atomic tritium endpoint measurement

CREES – The Path Forward

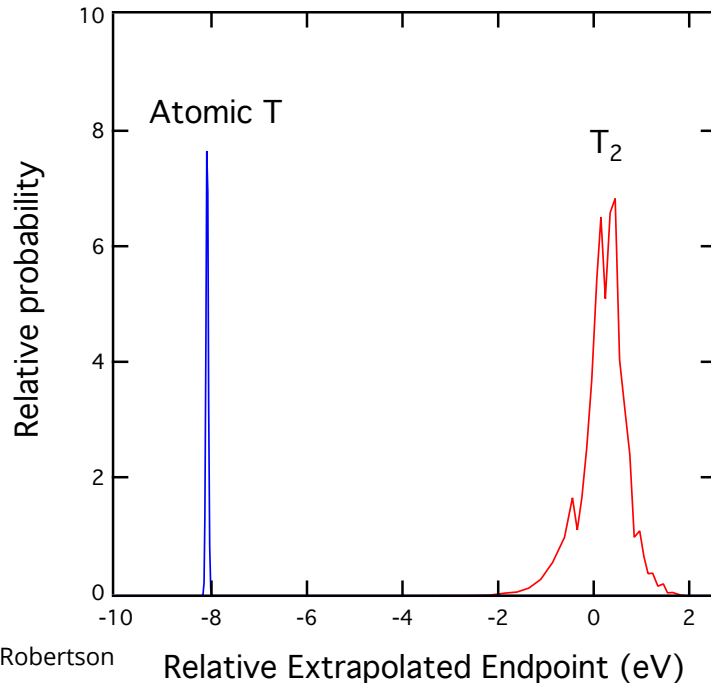
Phase II was heavily statistics-limited



- Develop atomic source
 - Overcome systematic of molecular final states
- Increase volume
- Improve SNR
 - Higher density with shorter tracks
- Improve control of systematics, field homogeneity, scattering effects

Phase III ATD – Molecular Limitation

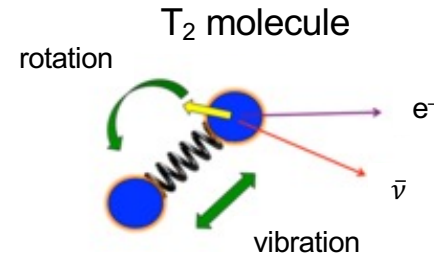
- Sensitivity beyond inverted hierarchy requires atomic tritium



H. Robertson

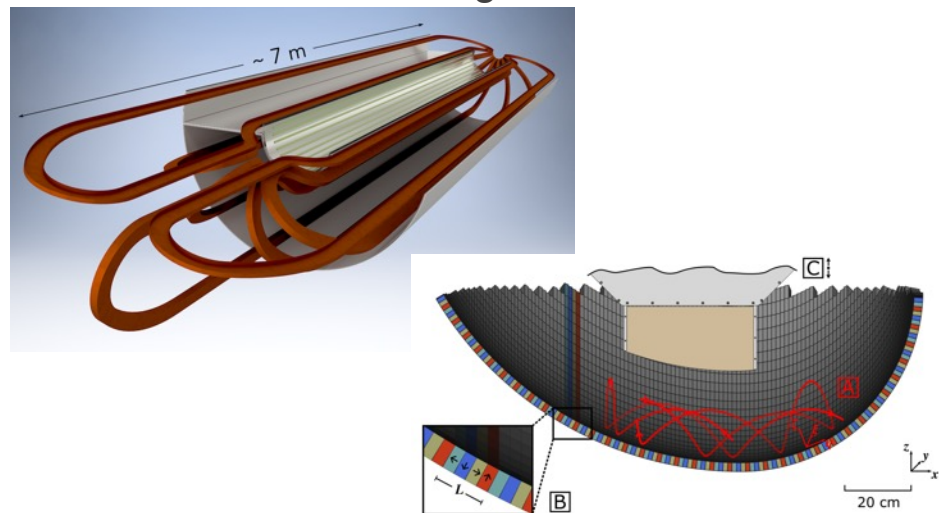
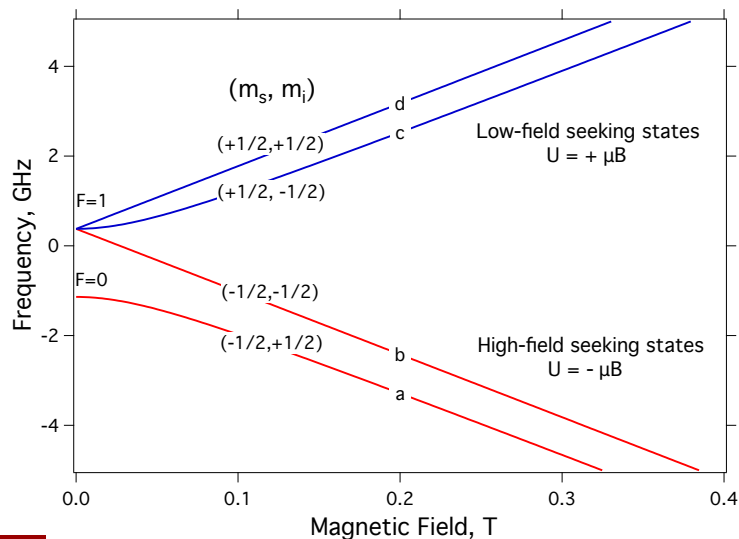
Relative Extrapolated Endpoint (eV)

...and pure atomic tritium, as molecular endpoint is higher



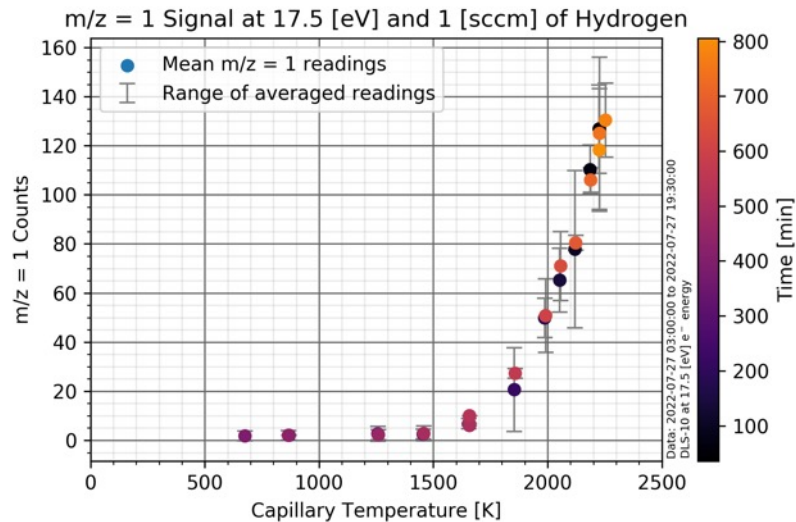
Phase III ATD – Trapping Atoms

- Magnetic moment of atomic species allows for guiding and trapping
 - Unpaired electron of atomic T (or H, D) gives it magnetic moment
 - “Low-field-seeking” states trapped by magnetic bottle
 - Requires high-multipole trap to achieve uniform CRES field region

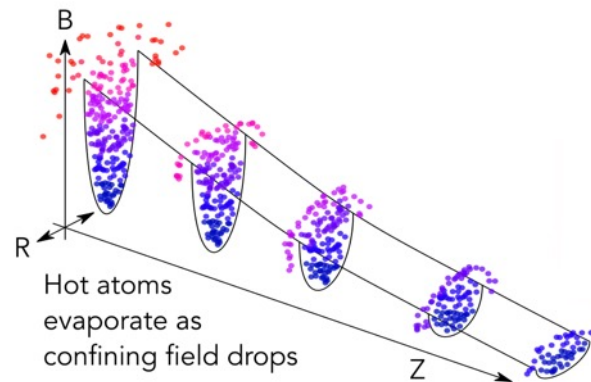
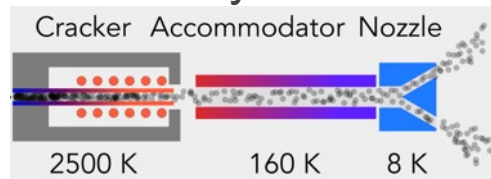


Phase III ATD – Supplying Atomic Tritium

- Molecular tritium thermally cracked at ~ 2500 K



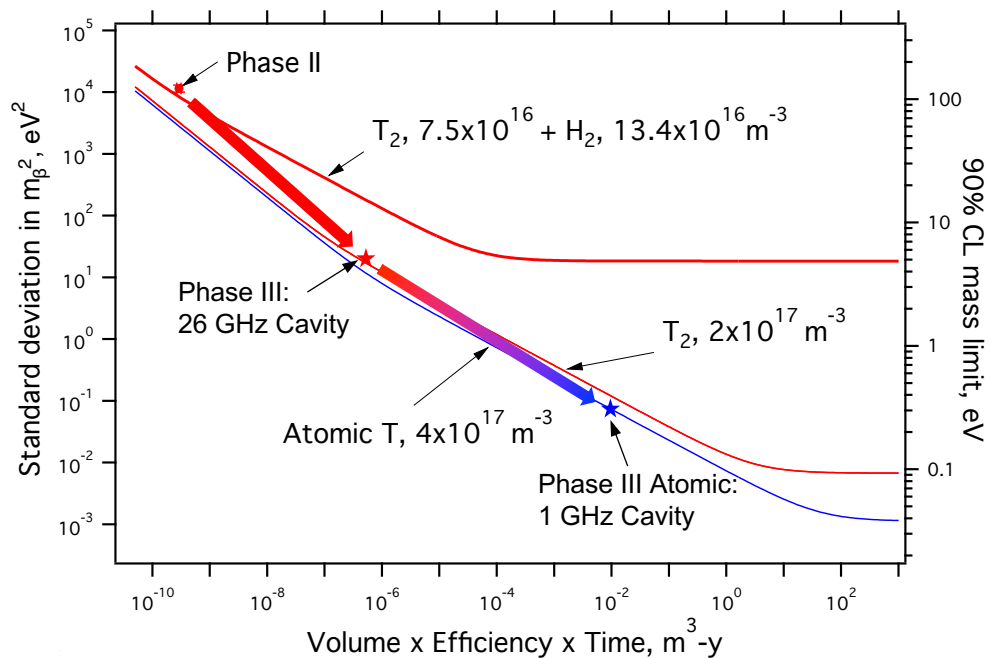
- Then cooled by accommodator to ~ 10 K



- Then cooled evaporatively down to mK

CRES – The Path Forward

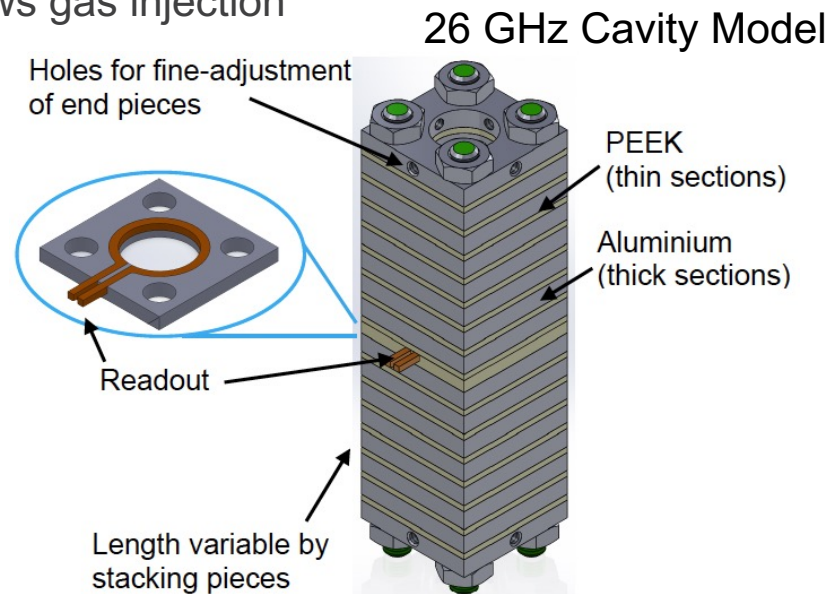
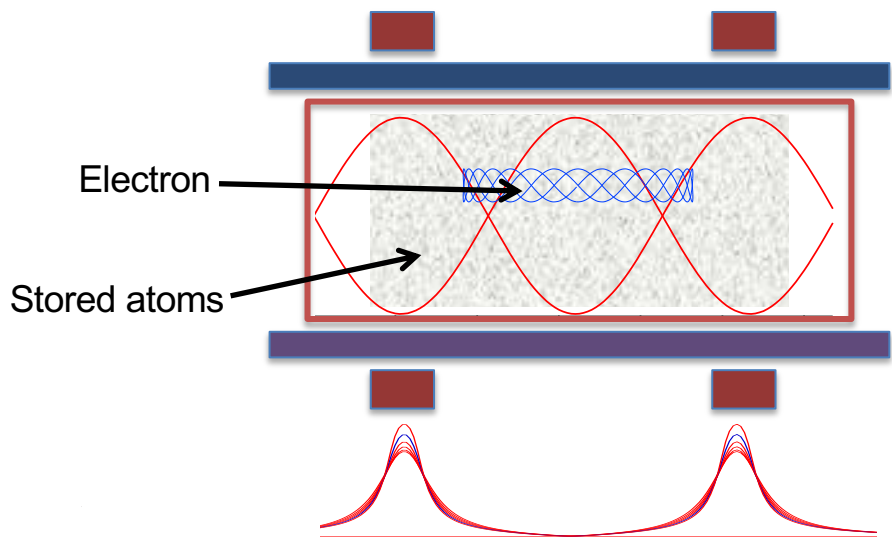
Phase II was heavily statistics-limited



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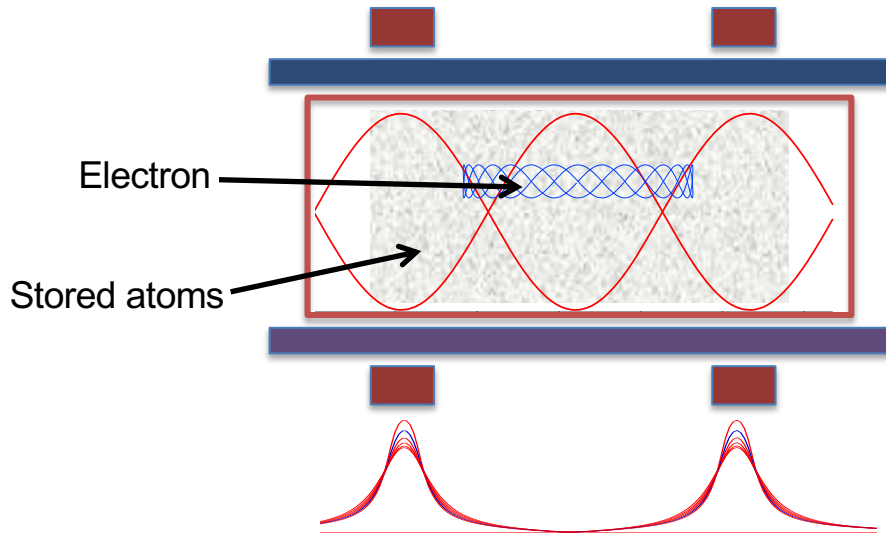
Phase III – Beyond Waveguide

- Demonstrate scalability of CRES technique
- Cavity efficiently couples electron power to readout antenna
 - Mode-filtering reduces complexity of mode structure
 - Open-ended terminated cavity still allows gas injection



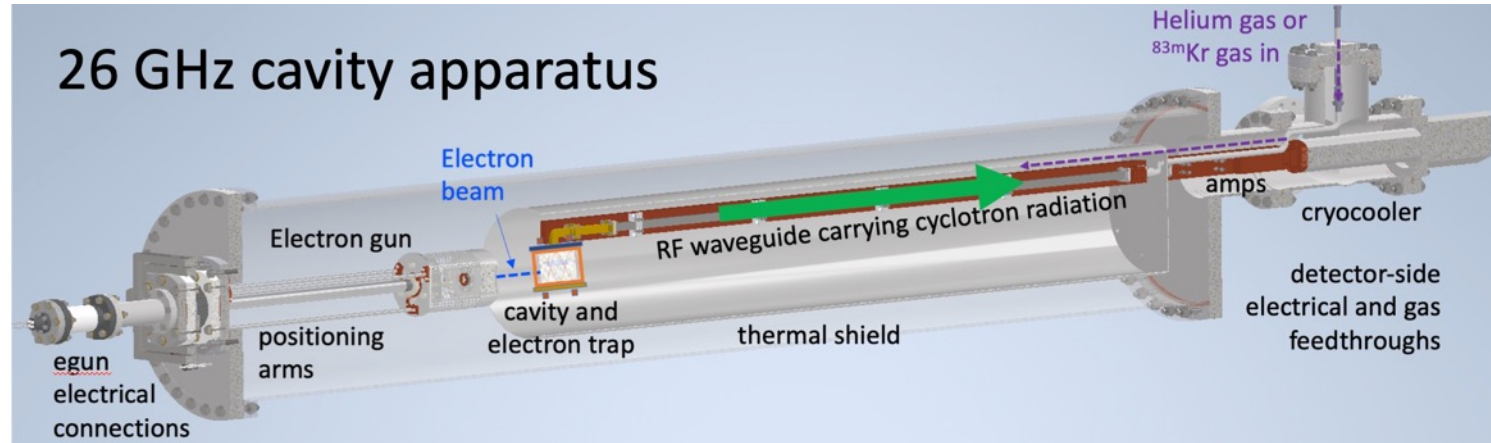
Phase III – Beyond Waveguide

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26 GHz Cavity CRES Demonstrator (CCD)

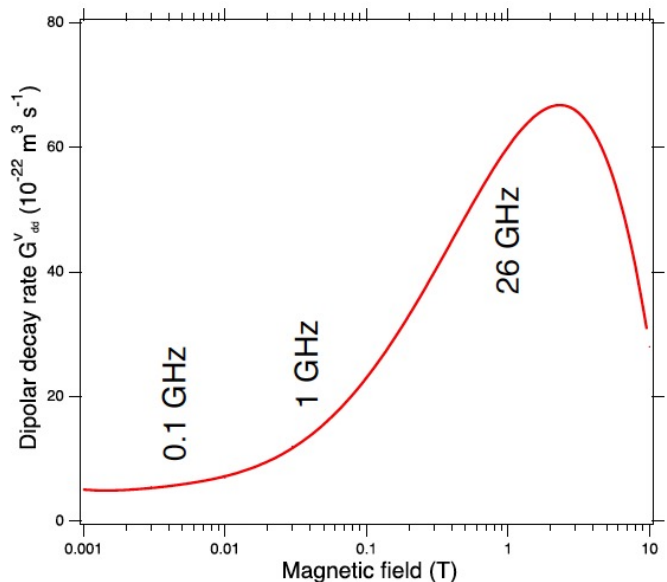
- First cavity demonstrator will operate at 26 GHz / 1 T
 - Installation this summer at University of Washington



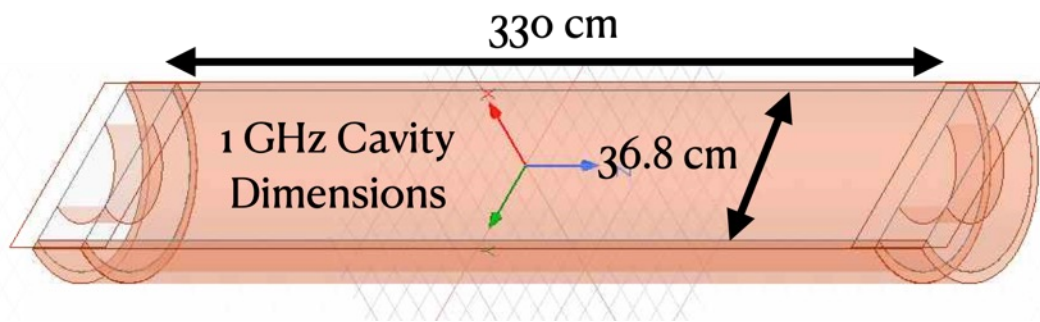
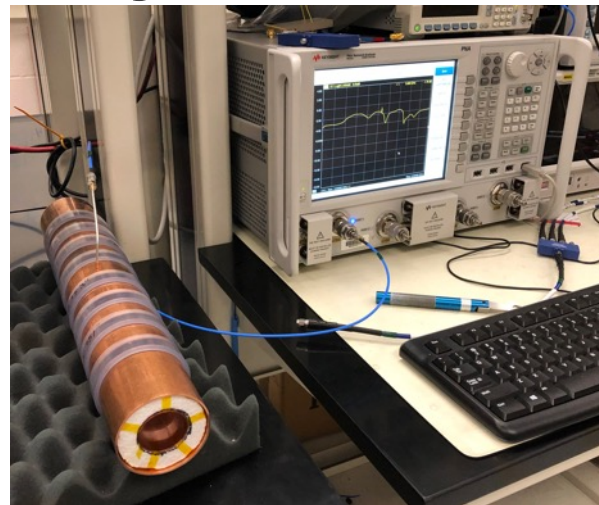
- Demonstration of high-precision calibration with electron gun source
- Science from ^{83m}Kr spectroscopy measurements

Future Cavities & Lower Frequency

- Cavity R&D must press to lower frequency
 - 26 GHz has unacceptably high dipolar spin flip losses
 - Targeting 1 GHz or lower



6 GHz Prototype
@ Penn State



Project 8 Experiment

- A phased tritium beta endpoint experiment to measure the electron neutrino mass

2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027 2028

Phase I

Phase II Commission

Operations

Analysis

Phase III R&D

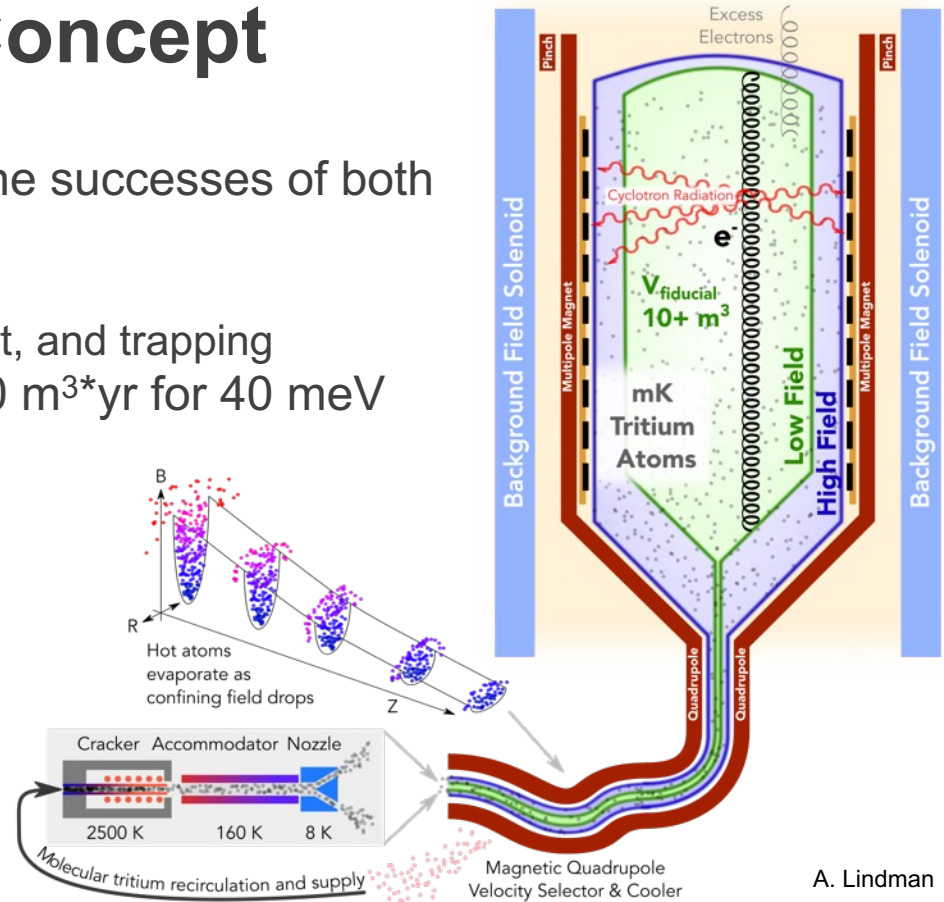
Operations

Phase IV Conceptual Design

- Atomic tritium endpoint measurement covering inverted ordering allowed region

Atomic Experiment Concept

- Experiment must ultimately combine successes of both R&D pathways:
 - Large-volume CRES detection
 - Atomic tritium production, transport, and trapping
- Requires effective exposure of $\sim 10 \text{ m}^3 \cdot \text{yr}$ for 40 meV (inverted ordering) sensitivity



A. Lindman

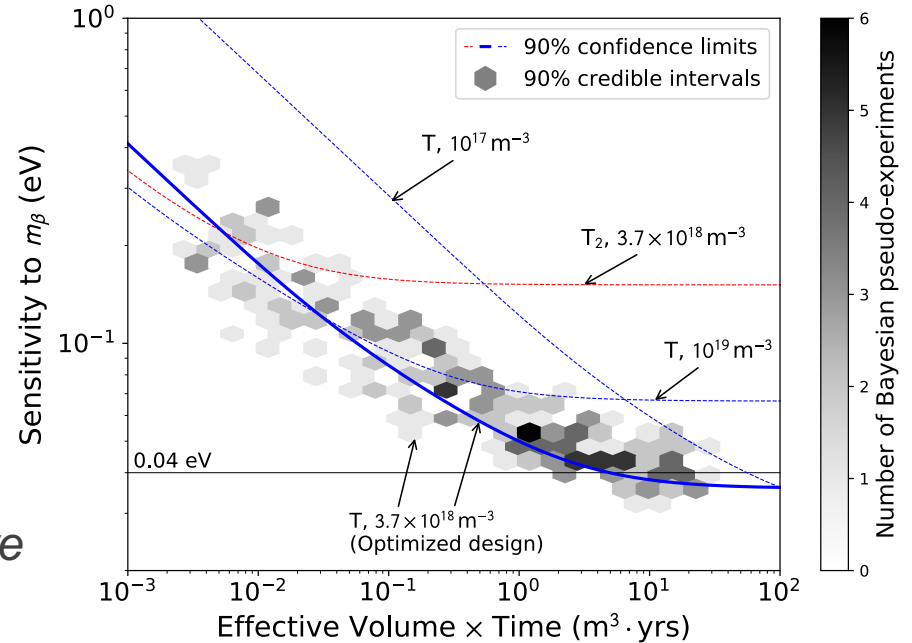
Phase IV Sensitivity

Framework developed for investigating sensitivity of ultimate experiment

Achieving 40 meV sensitivity requires

- Multi $\text{m}^3\cdot\text{yr}$ effective exposure
- High flux atomic tritium source
- ~ 0.1 eV resolution
- 10^{-7} field uniformity

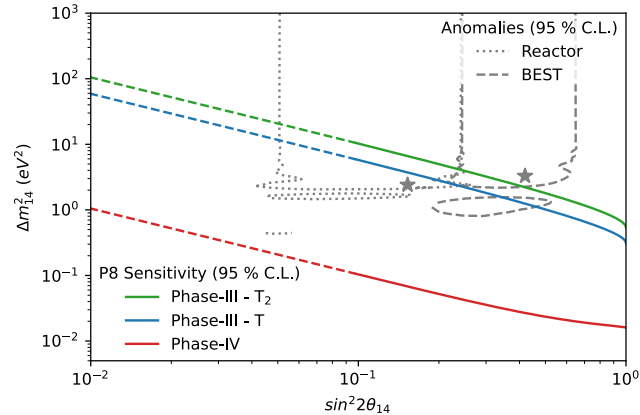
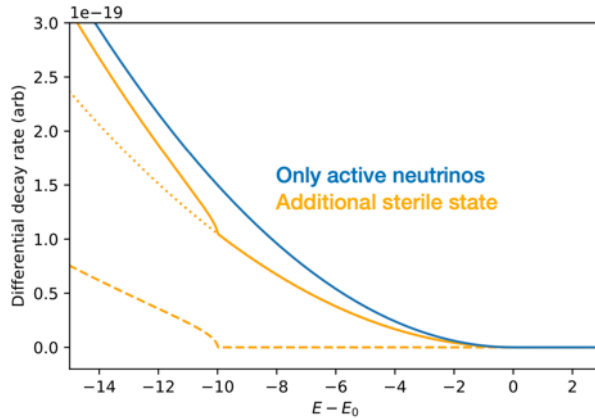
With potential to independently measure hierarchy



Phys. Rev. C **103**, 065501 (2021)

Other Science Reach

- Precision spectroscopy of tritium beta decay also yields sensitivity to new physics, with same neutrino mass dataset
 - eV-scale sterile neutrinos via kink search



- Relic neutrino overabundance via peak beyond the endpoint

Conclusions

- Understanding and measuring the absolute neutrino mass scale remain grand open experimental challenges
- KATRIN continues to deliver world-leading mass limits
 - Full exposure in 2025, target sensitivity of 200 meV
- Project 8 developing Cyclotron Radiation Emission Spectroscopy (CRES) as promising technique for a next-generation neutrino mass experiment
 - Phase II results finalized last month
 - Kr resolution below natural linewidth with full modeling of lineshape
 - First neutrino mass limit from RF technique
 - Zero background experiment
 - Control of systematics over broad energy range on continuous T_2 beta spectrum
 - R&D program underway tackling critical technology demonstrations for future inverted-hierarchy-covering (40 meV) experiment
 - Atomic tritium production, cooling, guiding, and trapping
 - Large volume CRES detection

Project 8 Collaboration

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Heidelberg University

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University of Illinois

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PROJECT 8

