

# Search for charged lepton flavor violation at BESIII

Dayong Wang  
[dayong.wang@pku.edu.cn](mailto:dayong.wang@pku.edu.cn)



30 Years of Tau International Workshops  
The 16th International Workshop on Tau Lepton Physics

TAU 2021

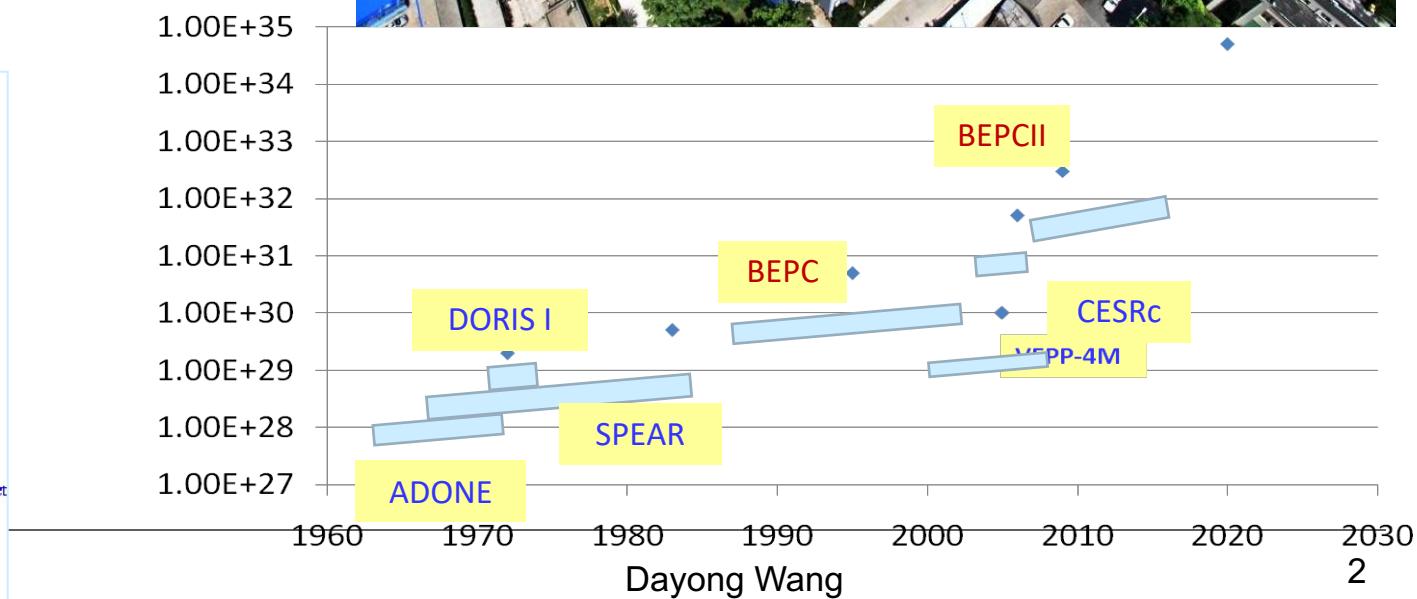
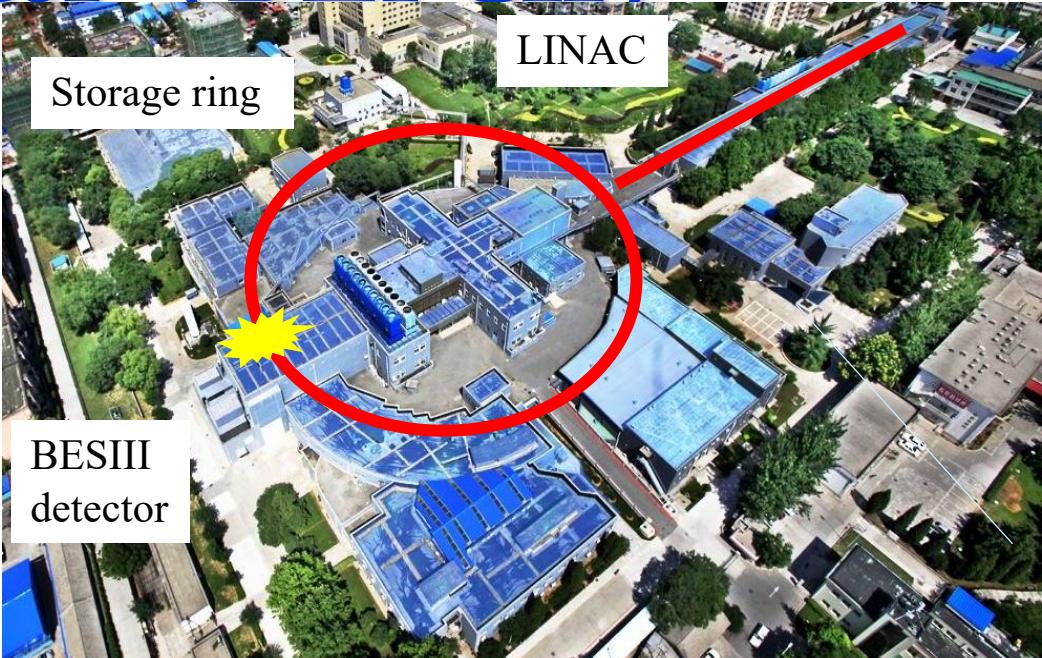
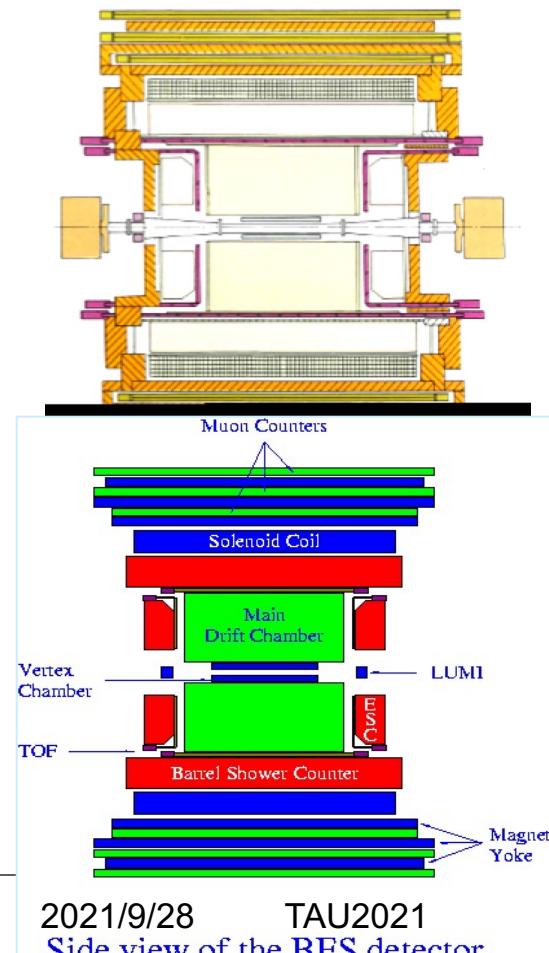
(Virtual edition)

Indiana University, Bloomington, USA

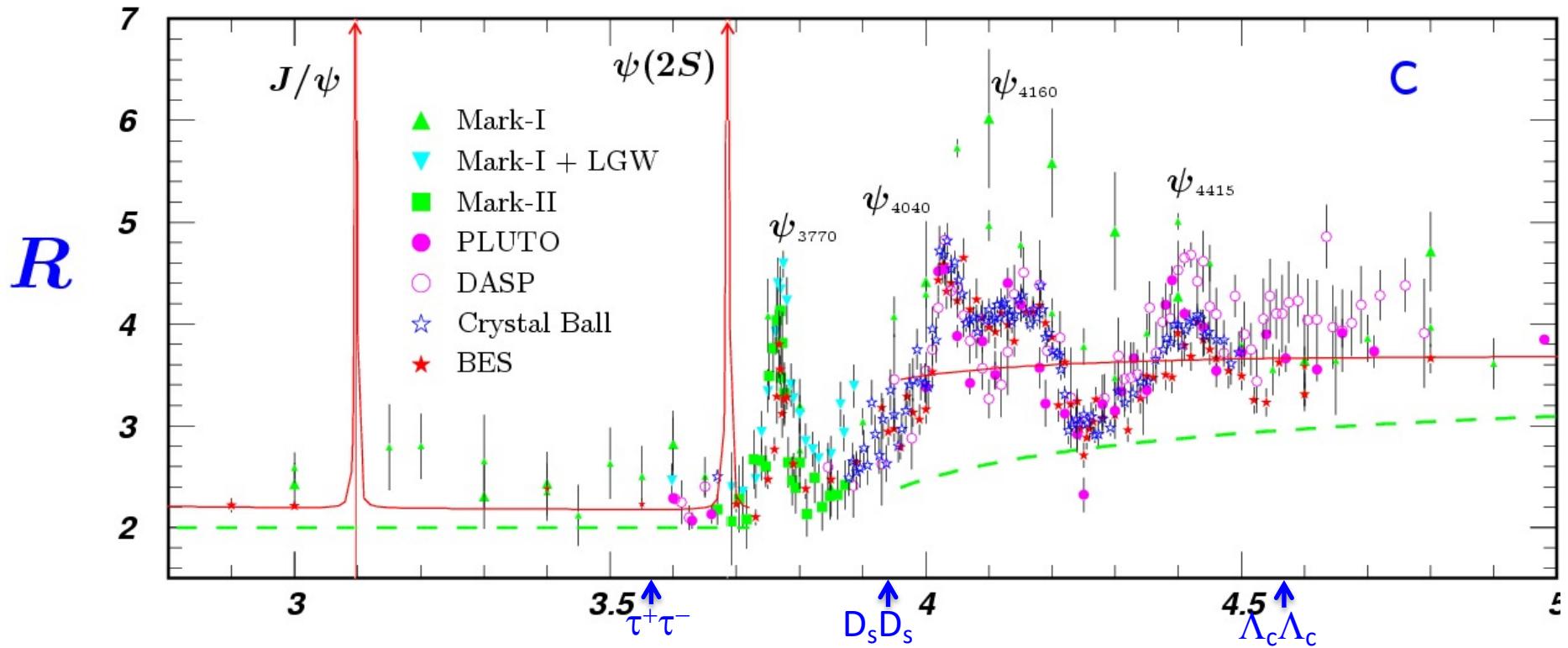
September 27, 2021 - October 1, 2021



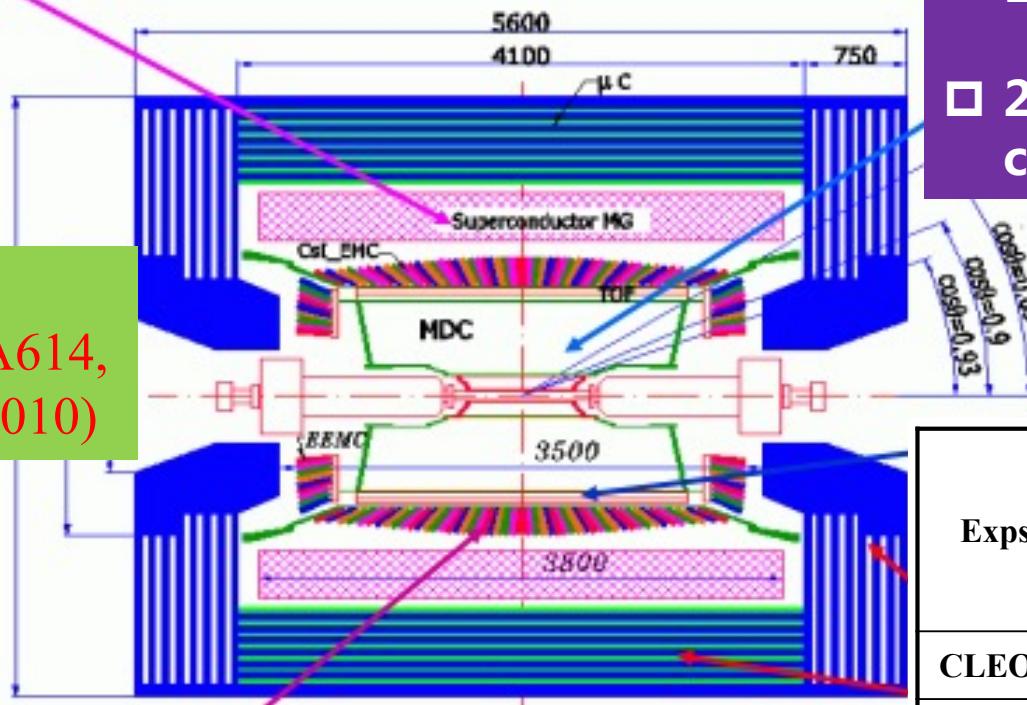
- 1984 ground breaking
- 1988 1st collision
- 1989 data-taking began
- Minor updates in mid-90s



- Rich of **resonances**, charmonia and charmed mesons.
- **Threshold characteristics** (pairs of  $\tau$ , D,  $D_s$ , charmed baryons...).
- **Transition** between perturbative and non-perturbative **QCD**.
- New hadrons: glueballs, hybrids, multi-quark states
- Rare and forbidden decays: **New Physics** beyond the SM



Magnet: 1 T Super conducting



Ref:  
NIM A614,  
345 (2010)

Clean environment, high luminosity, large acceptance and high efficiency at BESIII are helpful for indirect probe of new physics

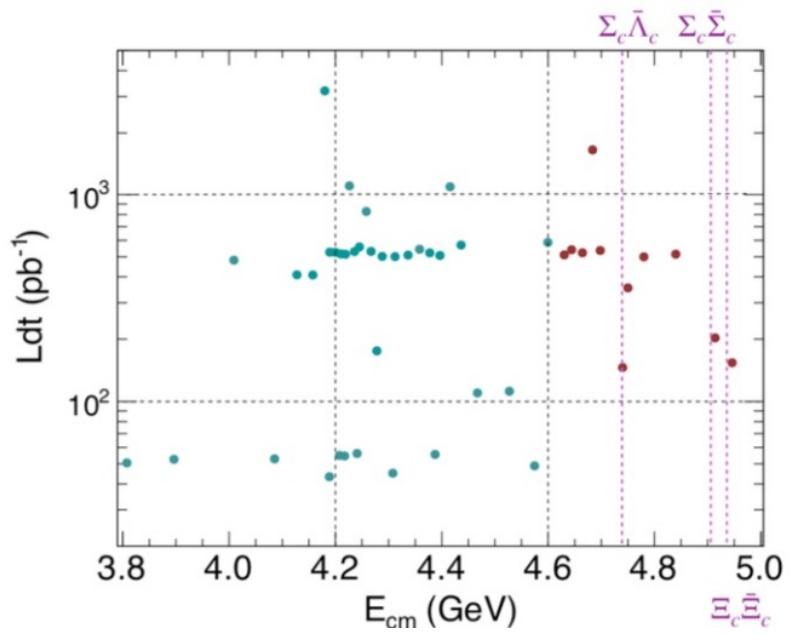
- peak lumi of  $1 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$  at 1.89GeV reached in April 2016

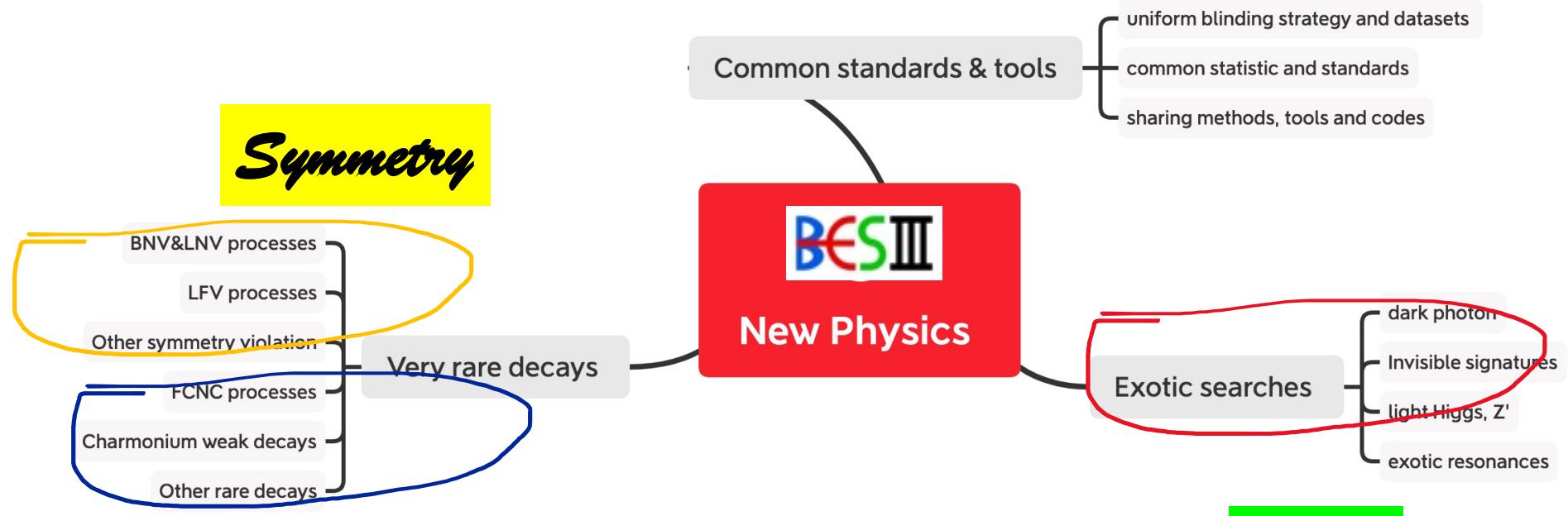
- 2019-2021: top-up injection , c.m.s.  $E > 4.94 \text{ GeV}$

Exps.	MDC Spatial resolution	MDC $dE/dx$ resolution	EMC Energy resolution
CLEO-c	110 $\mu\text{m}$	5%	2.2-2.4 %
BaBar	125 $\mu\text{m}$	7%	2.67 %
Belle	130 $\mu\text{m}$	5.6%	2.2 %
<b>BESIII</b>	<b>115 <math>\mu\text{m}</math></b>	<b>&lt;5% (Bhabha)</b>	<b>2.4%</b>

$\sim 3\text{ B}$	$\psi(3686)$ events	$\sim 140 \times \text{CLEO-c}$
$\sim 10\text{ B}$	$J/\psi$ events	$\sim 170 \times \text{BESII}$
$\sim 2.9/\text{fb} \text{ (20/fb soon)}$	$\psi(3770)$	$\sim 3.5(24) \times \text{CLEO-c}$
$\sim 23/\text{fb} \text{ (30+15/fb in future)}$	<b>XYZ</b> above 4 GeV	Unique

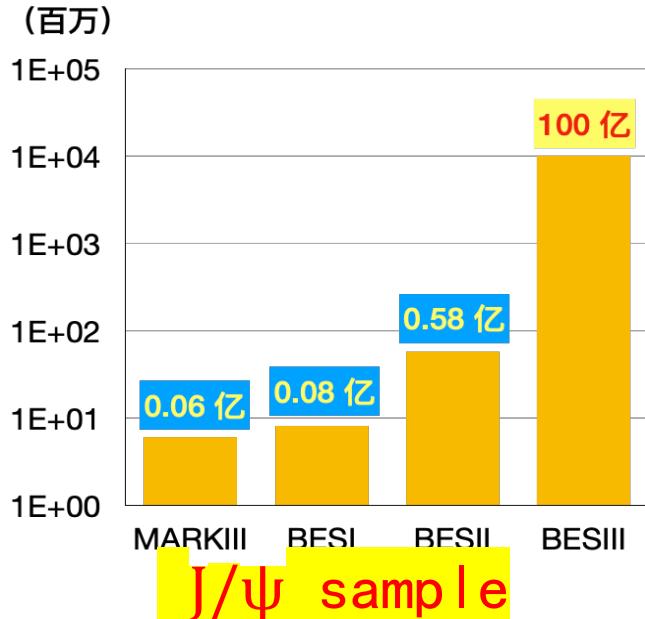
- 20 points for R & QCD Scan: 500/pb in 2015
- $\Upsilon(2175)$  resonance: 100 /pb :
- 3/fb  $D_s$  data at 4170 MeV ~  $5 \times \text{CLEO-c}$
- 3.8/fb 4.6-4.7GeV data in 2020
- 4.7-4.95GeV in 2021
- ~ other data sets: tau,  $\Lambda_c$ , resonance scan and continuum, etc.





More details, r.f.

- ✓ New Physics Searches at the BESIII Experiment, Shenjian Chen and Stephen Olsen, NSR, arXiv:2102.13290
- ✓ New Physics Program of BES, Dayong Wang, in 《30 Years of BES Physics》



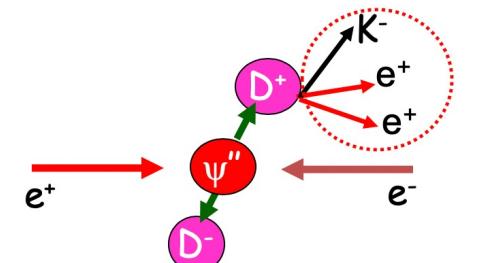
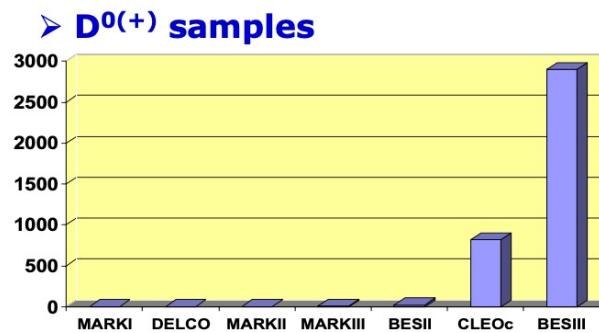
INTERACTIONS.ORG  
PARTICLE PHYSICS NEWS AND RESOURCES

A communication resource from the world's particle

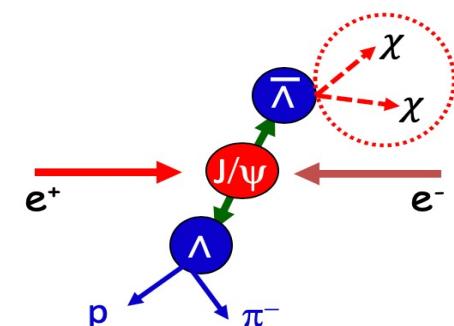
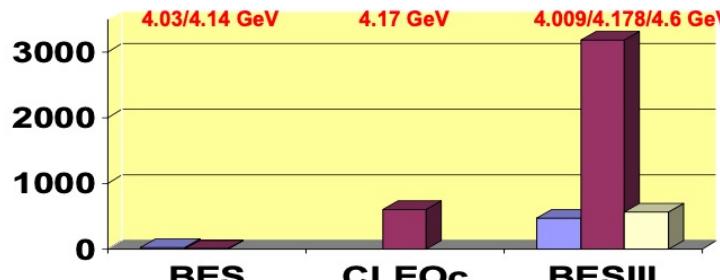
**BESIII Accumulates 10 Billion J/ψ Events**

2019/2/11

- Event is very clean
- High tagging efficiency
- Many systematic uncertainties can be cancelled
- Could measure absolute BFs

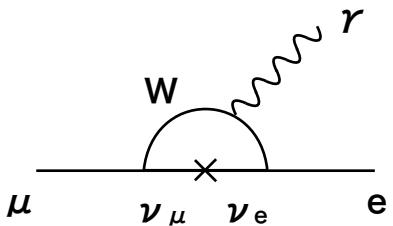


### ➤ **D<sub>s</sub><sup>+</sup>/D<sub>s</sub><sup>+</sup>/Λ<sub>c</sub><sup>+</sup> samples**



## charged lepton flavor violation(cLFV)

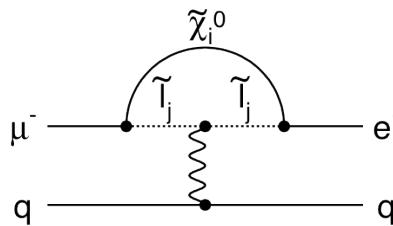
Considering neutrino mixing, extended vSM



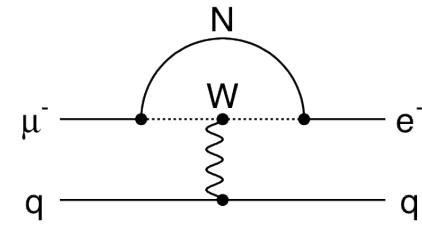
$$\mathcal{M} \propto \sum_j U_{ej} U_{\mu j}^* \frac{m_j^2}{M_W^2} \sim \mathcal{O}(10^{-54})$$

Possible CLFV from NP models

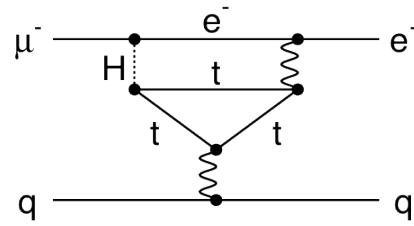
Loops



Supersymmetry

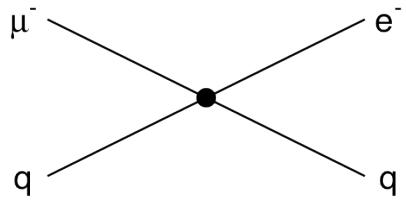


Heavy Neutrinos

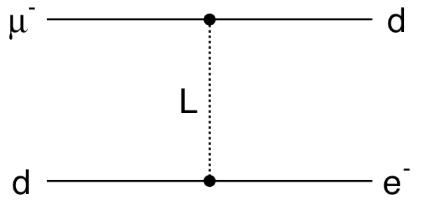


Extended higgs models

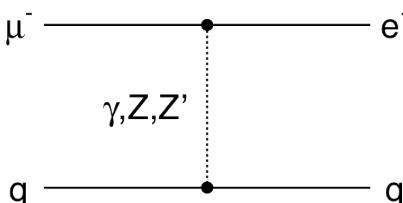
Contact Terms



Compositeness

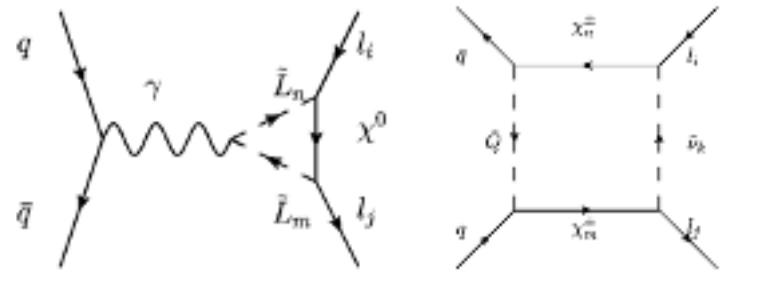


Leptoquarks



New Heavy Bosons /  
Anomalous Couplings

- New physics models predicting  $\text{BR}(J/\psi \rightarrow e\mu)$  to  $10^{-16} \sim 10^{-9}$ ,  
 $\text{BR}(J/\psi \rightarrow e\tau(\mu\tau))$  to  $10^{-10} \sim 10^{-8}$ .
  - model-independent prediction [1, 2]
  - rotating mass matrix [3]
  - unparticle physics [4]
  - effective Lagrangian [5]
  - MSSM with gauged baryon and lepton number [6]
  - ...
- Experimental results



	$J/\psi$ number	$J/\psi \rightarrow e\mu$	$J/\psi \rightarrow e\tau$	$J/\psi \rightarrow \mu\tau$
BES	58 million	$< 1.1 \times 10^{-6}$ [7]	$< 8.3 \times 10^{-6}$ [8]	$< 2.0 \times 10^{-6}$ [8]
BESIII	225 million	$< 1.6 \times 10^{-7}$ [9]	-	-

[1] X. M. Zhang et al, Phys. Rev. D 63, 016003 (2000).

[2] T. Gutche et al, Phys. Rev. D 83, 115015 (2011).

[3] J. Bordes and H. M. Chan, Phys. Rev. D 63, 016006 (2000).

[4] K. S. Sun et al, Mod. Phys. Lett. A 27, 1250172 (2012).

[5] D. E. Hazard and A. A. Petrov, Phys. Rev. D 94, 074023 (2016).

[6] X. X. Dong et al, Phys. Rev. D 97, 056027 (2018).

[7] BES Collaboration, Phys. Lett. B 561, 112007 (2003).

[8] BES Collaboration, Phys. Lett. B 598, 172 (2004).

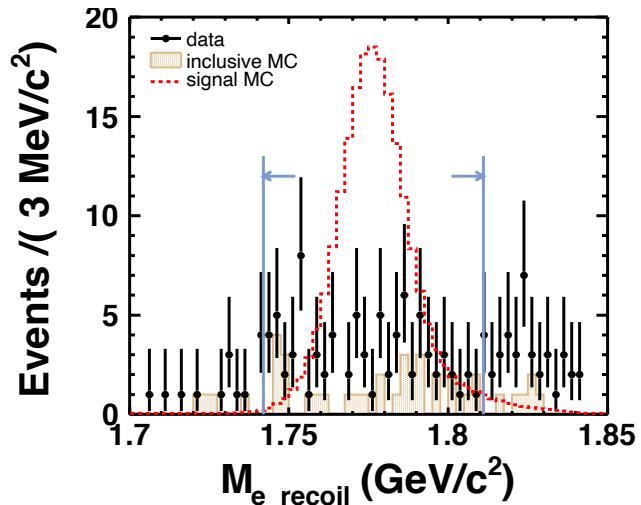
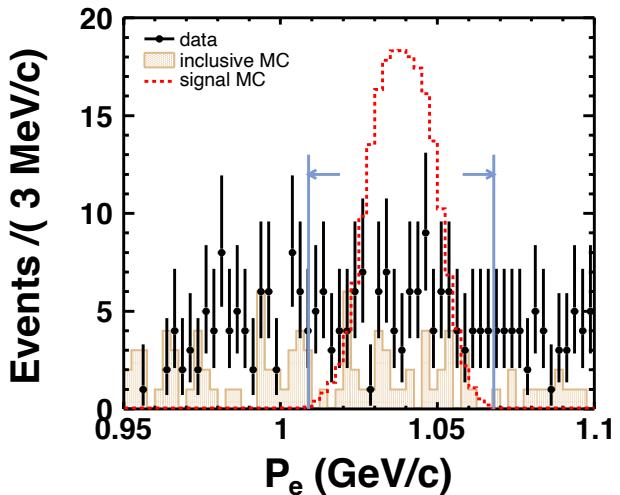
[9] BESIII Collaboration, Phys. Rev. D 87, 112007 (2013).



Phys. Rev. D 103, 112007 (2021)

- Based on 10 billion  $J/\psi$  data set:
  - ◆ 1310.6M collected @2009+2012 (sample I)
  - ◆ 8774.01M collected @2017-2019 (sample II)
- Searching process:  $J/\psi \rightarrow e\tau, \tau \rightarrow \pi\pi^0\nu$ 
  - ◆ One electron and one charged pion.
  - ◆ At least two photon showers and one  $\pi^0$ .
  - ◆ Two-body-decay
  - ◆ One undetected neutrino with missing energy
- Blind analysis to avoid possible bias

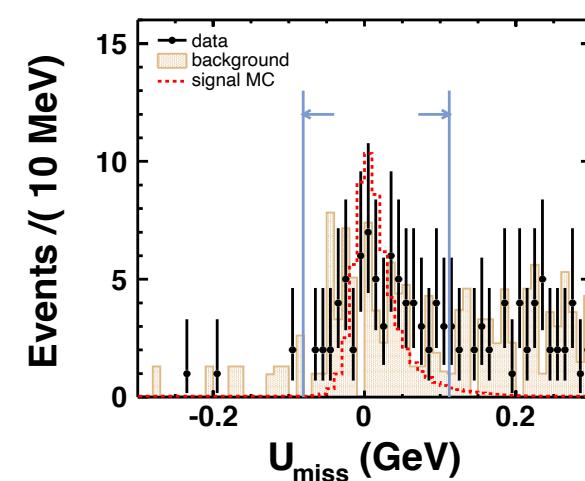
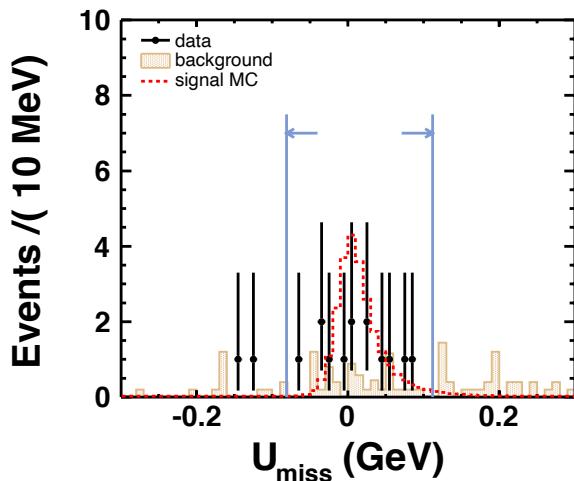
- Select two good charged tracks with PID.
  - ◆ The electron candidate:  $CL(e) > CL(\pi, K)$ ,  $\frac{CL(e)}{CL(\pi)+CL(e)} > 0.95$ ,  $E/p > 0.8$
  - ◆ The pion candidate:  $CL(\pi) > CL(e, K)$
- Select at least two good showers.
  - ◆ Select  $\pi^0$  with  $0.115 < M_{\gamma\gamma} < 0.150$  GeV
- Passing 1C kinematic fit with  $\chi^2 < 200$ .
- Two-body-decay:
  - ◆  $1.009 \text{ GeV} < P_e < 1.068 \text{ GeV}$
  - ◆  $1.742 \text{ GeV} < M_{e\_recoil} < 1.811 \text{ GeV}$ .
- Missing energy  $E_{miss} > 0.43 \text{ GeV}$ .



- Background from  $J/\psi$  resonance and continuum process.

	$N_{bkg}^{J/\psi}$	$N_{bkg}^{cont.}$	$N_{bkg}^{total}$	$N_{data}$
Sample I	$1.1 \pm 0.8$	$5.8 \pm 1.8$	$6.9 \pm 1.9$	13
Sample II	$25.7 \pm 6.4$	$37.9 \pm 11.5$	$63.6 \pm 13.2$	69

- Total systematic uncertainty  $\sim 4\%$ .
- No excess of events is observed over the background.



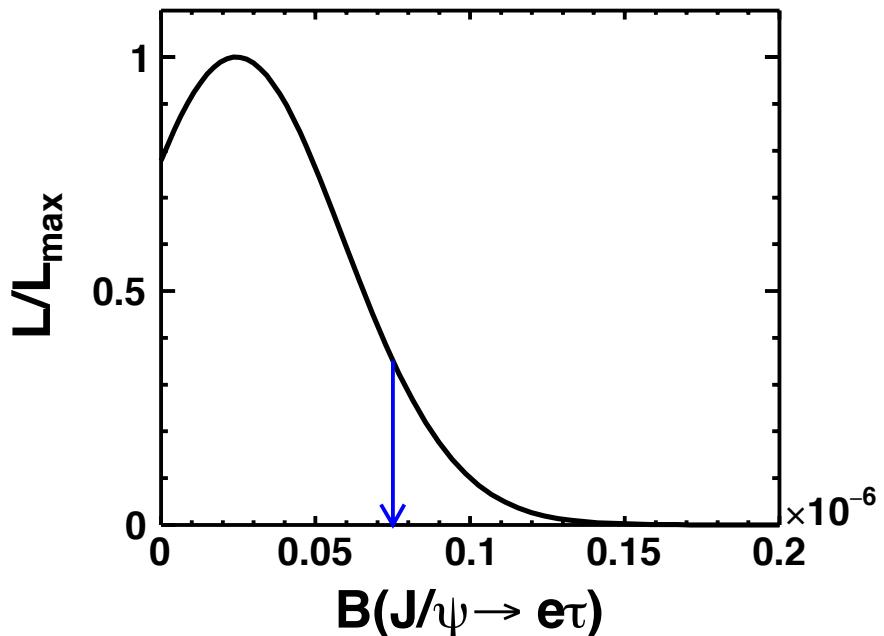
$$U_{miss} = E_{miss} - c|\vec{P}_{miss}|$$

Phys. Rev. D 103, 112007 (2021)



Sources	sample I	sample II
Number of $J/\psi$	0.5%	0.4%
Quoted BF*	0.4%	0.4%
MC model	0.6%	-
Pion PID*	1.0%	1.0%
Pion tracking*	1.0%	1.0%
Electron PID	0.4%	0.9%
Electron tracking*	0.1%	0.1%
Photon detection*	1.0%	1.0%
$\pi^0$ reconstruction*	1.0%	1.0%
$P_e$ and $M_{e\text{-recoil}}$ requirements	3.0%	3.3%
$E_{\text{miss}}$ requirement	1.0%	0.8%
Total uncertainty	3.9%	4.1%

- Determination of upper limit at 90% C.L. with Bayesian method, assuming:
  - the survived data events  $\sim$  Poisson,
  - detection efficiency  $\sim$  Gaussian,
  - background estimation  $\sim$  Gaussian.
- Combined result:
  - $BR(J/\psi \rightarrow e\tau) < 7.5 \times 10^{-8}$  @ 90% C.L.
- This result improves the previous published limits by **two orders of magnitude** and comparable with the theoretical predictions.
- The **1st published paper** based on full 10 billion  $J/\psi$  data of BESIII

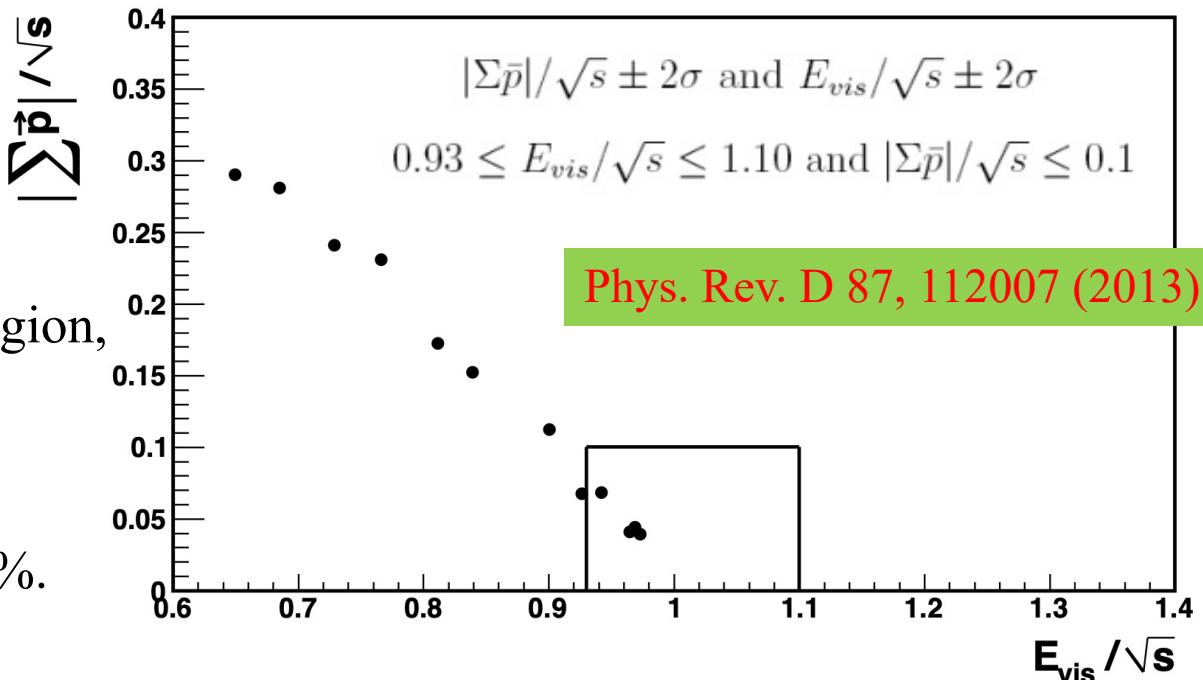


Phys. Rev. D 103, 112007 (2021)



- Data set: 225 million  $J/\psi$ .
- Two opposite charged tracks, no missing track.
- 4 candidates found in the signal region, consistent with background expectations ( $4.75 \pm 1.09$ ).
- Total systematic uncertainty  $\sim 5.8\%$ .
- Upper limit is obtained by the Feldman-Cousins method with systematic uncertainties included.
- Upper limit at 90% C.L.

$$BR(J/\psi \rightarrow e\mu) < 1.6 \times 10^{-7}$$



Update in progress:

- Data set: 10 billion  $J/\psi$ .
- Upper limit expectation  $10^{-9} \sim 10^{-8}$ .



➤  $J/\psi \rightarrow e\tau, \tau \rightarrow \mu\nu_\mu\nu_\tau$  and  $J/\psi \rightarrow \mu\tau, \tau \rightarrow e\nu_e\nu_\tau$

- Two opposite charged tracks, two missing tracks.
- Data set: 58 million → 10 billion.
- Upper limit expectation  $\sim 10^{-8}$ .

➤  $J/\psi \rightarrow \gamma e\tau$  and  $J/\psi \rightarrow \gamma\mu\tau$

- Two opposite charged tracks, one EMC shower, several missing tracks.
- Data set: 10 billion.
- No previous measurement.
- Upper limit expectation  $\sim 10^{-8}$ .

**cLFV processes from  $\psi(2S)$ , D,  $\eta$  and  $\eta'$  decays are also possibly to search at BESIII, esp for the coming final datasets**



Extended running of another 5-8 years, with upgrade in both energy and lumi  
 BEPC-U under investigation: x3 in lumi

### Exotic Decays and New Physics

6.1	Introduction .....
6.2	Rare decays of charmonia and charmed hadrons .....
6.2.1	Weak decays of charmonia states .....
6.2.2	Rare radiative and rare leptonic $D_{(s)}$ decays .....
6.3	Symmetry test in hyperon decays .....
6.3.1	Probing CP asymmetry in hyperon decays .....
6.3.2	Constraint on BNV from $\Lambda - \bar{\Lambda}$ Oscillation .....
6.3.3	More symmetry violation in hyperon decays .....
6.4	Charged Lepton Flavor (Number) Violation decays .....
6.4.1	Decays of $J/\psi, \psi(3686) \rightarrow l_1 l_2, l_1 l_2 \gamma$ .....
6.4.2	$X_c(\eta_c) \rightarrow l_1 l_2$ via photon tagging in $\psi(3686) \rightarrow \gamma X_c(\eta_c)$ .....
6.4.3	(radiative) Leptonic decays of $D^0 \rightarrow l_1 l_2, \gamma l_1 l_2$ .....
6.4.4	CLFV and LNV $D_{(s)}$ decays with light mesons .....
6.5	Searches for light (invisible) NP particles .....
6.5.1	Physics of the Dark Sector .....
6.5.2	(radiative) Invisible decays of charmonia .....
6.5.3	Invisible decays of $D$ mesons .....
6.5.4	Invisible decays of light mesons .....
6.6	Off-resonance searches .....
6.6.1	Rare charm production: $e^+ e^- \rightarrow D^*(2007)$ .....
6.6.2	Dark photon and dark Higgs searches .....
6.6.3	Axion-Like particles .....
6.6.4	Searches for fractionally charged particles .....
Reference .....	

Future Physics Programme of BESIII  
*Chinese Phys. C 44, 040001 (2020).*

- ✓ Further explore BESIII NP potential
  - Near-threshold production
  - High lumu
  - Clean signals
- ✓ Produce more influential results with these advantages

Open for new opportunity



- BESIII has a rich new physics search program
- charged LFV with the world largest  $e^+e^-$  annihilation  $J/\psi$ . Latest results are reported:
  - ◆  $BR(J/\psi \rightarrow e\tau) < 7.5 \times 10^{-8}$  @ 90% C.L. Phys. Rev. D 103, 112007 (2021)
    - The 1<sup>st</sup> publication with 10B  $J/\psi$  sample
  - ◆  $BR(J/\psi \rightarrow e\mu) < 1.6 \times 10^{-7}$  @ 90% C.L.
    - With 225M  $J/\psi$  sample Phys. Rev. D 87, 112007 (2013)
    - In updates with 10B data:  $10^{-9} \sim 10^{-8}$
- Better/more constraints on LFV processes can be expected from BESIII in future.  
...More to come!



# Thanks!